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# The Effectiveness of a Short Food Frequency Questionnaire in Determining the Adequacy of Vitamin D Intake in Children

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## ACCEPTANCE

This thesis, THE EFFECTIVENESS OF A SHORT FOOD FREQUENCY QUESTIONNAIRE IN DETERMINING THE ADEQUACY OF VITAMIN D INTAKE IN CHILDREN, by Caitlin S. Russell was prepared under the direction of the Master's Thesis Advisory Committee. It is accepted by the committee members in partial fulfillment of the requirements for the degree Master of Science in the College of Health and Human Sciences, Georgia State University. The Master's Thesis Advisory Committee, as representatives of the faculty, certify that this thesis has met all standards of excellence and scholarship as determined by the faculty.

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### ABSTRACT

## THE EFFECTIVENESS OF A SHORT FOOD FREQUENCY QUESTIONNAIRE IN DETERMINING THE ADEQUACY OF VITAMIN D INTAKE IN CHILDREN by Caitlin S. Russell

**Background**: Studies have consistently found a high prevalence of vitamin D deficiency in adolescents. Few validated dietary intake assessment tools for vitamin D exist for adolescents.

**Objective**: The aim of this study was to determine if a short food frequency questionnaire (SFFQ) can be used to effectively assess vitamin D intake in adolescents compared to a previously validated long food frequency questionnaire (LFFQ).

**Participants/setting**: 140 healthy 6-12 year old (male *n*=81) Caucasian and African

American (n=94) children from Pittsburgh, Pennsylvania completed a SFFQ and LFFQ at two time points 6 months apart.

**Main outcome measures**: Reliability and validity of a SFFQ by comparison with a previously validated LFFQ for children and adolescents.

**Statistical analysis**: Reliability, validity, sensitivity, specificity, positive, and negative predictive values were assessed using Pearson correlation coefficients.

**Results**: Mean vitamin D intake from the SFFQ (range, 434 to 485 IU) was higher than the LFFQ (range, 320 to 378 IU). Overall association between the SFFQ and the LFFQ for vitamin D intake was modest (r=0.36, P<0.001). When stratified by race, the overall degree of association was weak for African Americans (r=0.26, P=0.001) and moderate for Caucasians (r=0.57, P<0.001). Overall reliability testing results were modest and significant for the LFFQ (r=0.28, P=0.002) and SFFQ (r=0.33, P<0.001). Association between mean vitamin D intake from LFFQs and SFFQs was used to determine validity. The association for validity was found to be modest (r=0.51, P<0.001). Sensitivity, specificity, positive predictive value, and negative predictive value for the SFFQ were 90%, 64%, 0.78, and 0.58, respectively.

**Conclusion**: The SFFQ was found to be modestly valid and reliable in an early adolescent population. Associations between African Americans were not as strong as Caucasians which may be due to errors in reporting dietary consumption related to higher body weight.

# THE EFFECTIVENESS OF A SHORT FOOD FREQUENCY QUESTIONNAIRE IN DETERMINING THE ADEQUACY OF VITAMIN D INTAKE IN CHILDREN

by Caitlin S. Russell

A Thesis

Presented in Partial Fulfillment of Requirements for the Degree of

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**Division of Nutrition** 

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# TABLE OF CONTENTS

	ables tions	
Chapter		
I.	INTRODUCTION	1
II.	LITERATURE REVIEW	4
	Vitamin D Requirements in Humans	4
	Vitamin D Status in Children and Adolescents	5
	Dietary Assessment Methods in Children	7
	24-Hour Dietary Recall	9
	Food Record	11
	Food Frequency Questionnaire	13
	Table 1. Characteristics of studies that focused on vitamin D intake	among
	adolescent populations	16
	Vitamin D Intake in Children and Adolescents	17
	References	19
III.	MANUSCRIPT IN STYLE OF JOURNAL	27
	Author's Page	
	Abstract	
	Introduction	
	Methods	
	Results	
	Discussion	41
	Conclusion	50
	Tables	51
	References	57

# LIST OF TABLES

Та	ble	Page
1.	Mean age and median weight, height and body mass index measures by visit and race	51
2.	Reported intake of milk, dairy products, and vitamin D-fortified orange juice per day from the short food frequency questionnaire	52
3.	Vitamin D intake for the long (LFFQ) and short food frequency questionnaire (SFFQ) by visit and race	53
4.	Association between the long (LFFQ) and short food frequency questionnaires (SFFQ) for vitamin D intake by visit and race	54
5.	Reliability test for vitamin D intake between two long food frequency questionnaires (LFFQs) administered 6 months apart and two short food frequency questionnaires (SFFQs) administered 6 months apart	55
6.	Probability statistics results for the short food frequency questionnaire (SFFQ)	56

## ABBREVIATIONS

1,25(OH) <sub>2</sub> D	1,25-dihydroxyvitamin D	
25(OH)D	25-hydroxyvitamin D	
AAP	American Academy of Pediatrics	
AI	Adequate Intake	
BMI	Body Mass Index	
cm	centimeter	
CSFII	Continuing Survey of Food Intakes by Individuals	
DRI	Dietary Reference Intake	
EAR	Estimated Average Requirement	
FFQ	Food Frequency Questionnaire	
GA	Georgia	
IOM	Institute of Medicine	
IU	International Units	
kg	kilogram	
L	liter	
LFFQ	Long Food Frequency Questionnaire	
μg	microgram	
mL	milliliter	
ng	nanogram	
NHANES	National Health and Nutrition Examination Survey	
nmoL	nanomole	

NPV	Negative Predictive Value		
OZ	ounce		
PA	Pennsylvania		
PPV	Positive Predictive Value		
RDA	Recommended Dietary Allowance		
SBNM	School-Based Nutrition Monitoring		
SFFQ	Short Food Frequency Questionnaire		
UPMC	University of Pittsburgh Medical Center		
U.S.	United States of America		
USDA	United States Department of Agriculture		
UVB	Ultra-violet B		

## CHAPTER I

# THE EFFECTIVENESS OF A SHORT FOOD FREQUENCY QUESTIONNAIRE IN DETERMINING THE ADEQUACY OF VITAMIN D INTAKE IN CHILDREN

#### Introduction

Several studies have reported the excessive prevalence of vitamin D insufficiency among children (1-9). The primary role of vitamin D in humans is to maintain serum calcium and phosphorus concentrations at levels that support proper cell function and bone mineralization (10,11). In children, deficiency of vitamin D is associated with rickets, when growing bones fail to mineralize. When a subject is deficient in vitamin D, calcium absorption is only 10 - 15% of what is normally absorbed, resulting in decreased bone mineralization and secondary hyperparathyroidism (12). Maintaining adequate vitamin D and calcium status during childhood may reduce the risk of developing chronic diseases such as osteoporosis, multiple sclerosis, hypertension, osteomalacia, and certain cancers, as well as reduce the risk of bone fracture, falls, depression, certain autoimmune diseases, muscle weakness, and cardiovascular disease later in life (11,13-15). Immune function and inflammation reduction are also regulated by vitamin D (16). Several factors determine vitamin D status and these include dietary intake of vitamin D, skin pigmentation, season, and latitude of residence (14,16). Because vitamin D is found in very few foods, fortified food and beverages provide the U.S. population with most of its dietary vitamin D. Fish liver oil, fatty fish, and egg yolks are natural sources of vitamin D (15,16). Researchers have measured the prevalence of vitamin D deficiency in healthy adolescents using serum 25-hydroxyvitamin D (25(OH)D) as a marker and dietary

1

assessment methods (2-4). These studies have consistently found a high prevalence of vitamin D deficiency or insufficiency in adolescents. Subjects with the lowest serum 25(OH)D tended to be African American, obese, and those who did not consume vitamin D-rich foods (2).

There is a range of dietary assessment methods that help determine individual and population nutritional intakes and habits. These methods play an essential role in establishing when a segment of the population is at risk for nutritional problems. There are limited data available on validated dietary assessment tools for adolescents (17-20). Previously validated tools include a food frequency questionnaire (FFQ) that provided reasonable estimates on vitamin D intake in children when compared to 3-day diaries (21), a calcium FFQ that was moderately associated with estimates from 24-hour dietary recalls in middle-school-aged children (22), a FFQ that showed good test-retest reliability for assessing food intake in schoolchildren when compared with observed food intake (23), and a FFQ that suitably ranked food and nutrient intake of adolescents when compared with food records (24). Fumagalli and colleagues (25) measured dietary intake in 5-10 year olds comparing 3-day dietary records with a FFQ that had previously been validated for use in adults. They found that the FFQ appears to overestimate usual energy and nutrient intakes in children and that portion sizes need to be adjusted before adoption of this instrument in children. When designing a valid assessment tool for this age group, consideration should be given to the populations' age, reading level, ability to understand questions, ability to understand conceptual thinking, and whether an adult caretaker will assist in completing the assessment (26).

At the Primary Care Center of the Children's Hospital of Pittsburgh of the University of Pittsburgh Medical Center (UPMC), Rajakumar and colleagues conducted a Vitamin D and Sunlight study between June 2006 and March 2008. This study was designed to determine the seasonal variation of vitamin D insufficiency in healthy 6-12 year old pre- and early adolescent African American and Caucasian children residing in Pittsburgh, PA. Included in this initial study was an evaluation of nutrition intake using both a long food frequency questionnaire (LFFQ) and a short food frequency questionnaire (SFFQ). For the current study, a secondary analysis was performed on the data generated from Rajakumar's initial investigation. By way of validity and reproducibility tests, the secondary analysis aimed to determine if the SFFQ could effectively assess vitamin D intake in adolescents compared to the LFFQ. It is important to use validity and reproducibility components because any interpretation of a FFQ should include the degree to which a questionnaire can truly measure dietary intake (17). We hypothesized that there will be no difference between adolescents' vitamin D intake calculated from a SFFQ when compared to a validated LFFQ.

#### CHAPTER II

#### Literature Review

#### Vitamin D Requirements in Humans

The primary role of vitamin D in humans is to maintain cellular calcium concentrations within the homeostatic 8-10 mg/dL range. Vitamin D, or its biologically active form 1,25-dihydroxyvitamin D (1,25(OH)<sub>2</sub>D), regulates calcium and phosphorus metabolism in the intestine and bone. Without adequate vitamin D, the small intestines only absorb about 10 - 15% of dietary calcium (15). Low serum calcium stimulates parathyroid hormone (PTH) which, along with 1,25(OH)<sub>2</sub>D, increases decalcification of bone leading to osteoporosis in adults and rickets in children (27). Having a sufficient concentration of serum vitamin D has been shown to reduce diastolic and systolic blood pressures in hypertensive patients and possibly decrease the risk of developing type 1 diabetes, cardiovascular disease, osteoporosis, and certain cancers such as prostate, breast, colon, and esophagus (10,15).

Vitamin D can be obtained through the diet and from supplements. Vitamin D is synthesized in the epidermis of the skin after its exposure to ultraviolet-B (UVB) radiation from sunlight, turning 7-dehydrocholesterol (provitamin D<sub>3</sub>) into previtamin D<sub>3</sub>, which later becomes thermodynamically stable vitamin D<sub>3</sub>. This stable form of vitamin D is called cholecalciferol and later becomes 25(OH)D (calcidiol) (15). However, when skin is protected from UVB radiation by melanin pigment, sunscreen, and clothing, cutaneous vitamin D production is greatly diminished (14). Living at a high latitude reduces the amount of vitamin D that can be synthesized in the skin since fewer solar UVB photons reach the earth than for those living at a lower latitude. Natural sources of vitamin D include cod liver oil and oily fish such as salmon and sardines. In the U.S., milk, infant formulas, some orange juices, cereals, and breads are fortified with vitamin D. Obtaining accurate estimates of average dietary intakes of vitamin D can be difficult because of the variability of vitamin D content of fortified foods (11). Vitamin D supplements are available without a prescription and many supplements generally provide 400 IU (10 µg) of vitamin D.

Whether vitamin D is made in the skin or ingested in the diet, it enters circulation and is transported to the liver by vitamin D-binding protein. In the liver, vitamin D undergoes hydroxylation and becomes 25(OH)D, the major circulating form of vitamin D. To become biologically active, it is then hydroxylated to 1,25(OH)<sub>2</sub>D in the kidneys and other tissues.

According to the Institute of Medicine, the Dietary Reference Intake (DRI) for vitamin D is 600 IU/day (15  $\mu$ g) for 1-70 year olds (28). The Estimated Average Requirement (EAR) for vitamin D is 400 IU/day (10  $\mu$ g) for 1-71+ year olds. The American Academy of Pediatrics (AAP) recommends 400 IU/day of vitamin D intake for all infants, children, and adolescents (13). Vitamin D status in humans is measured using 25(OH)D (11,15).

### Vitamin D Status in Children and Adolescents

Vitamin D deficiency is defined by most experts as  $25(OH)D \le 20$  ng/mL (5,6,14,29-30). Numerous studies report vitamin D deficiency and insufficiency among children and adolescents (1-9). Using data from the National Health and Nutrition Examination Survey (NHANES) 2001-2004, Kumar and colleagues (2) analyzed data on

children and adolescents and found that 25(OH)D deficiency was common among 1-21 year old persons. In this nationally representative segment of the U.S. population, 61% or 50.8 million of the children had 25(OH)D concentrations between 15 and 29 ng/mL. Children with lower 25(OH)D concentration were girls, non-Hispanic blacks, obese and those who spent more time in front of a television, playing video games, or using a computer. Vitamin D deficiency was also associated with hypertension, low HDL concentrations, and elevated PTH concentrations. Suboptimal vitamin D status was also common among healthy, low-income, minority children in Atlanta, GA (9). Cole and colleagues reported that 22% of the children in their study (n=290) had vitamin D deficiency and 74% had insufficiency. The study authors predicted that vitamin D intake would not influence vitamin D status in this study which was true. At a Boston primary care center, Gordon and colleagues (5) studied 380 pediatric patients and found that 12% were vitamin D deficient and 40% were below an accepted optimal threshold. Among the participants who had vitamin D deficiency, 32.5% showed evidence of demineralization after wrist and knee radiographic assessments. In light of growing data that support vitamin D's immunomodulatory effects, study authors stated that their findings support recommendations that vitamin D supplementation should be made available for all young children. The Atlanta and Boston studies contradict the assumption that children living at lower latitudes exhibit better vitamin D status than children living at higher latitudes.

Using  $\leq 15$  ng/mL as deficient, Gordon and colleagues (3) found that 24.1% (*n*=307) of 11-18 year old children were vitamin D deficient, 42% were vitamin D insufficient ( $\leq 20$  ng/mL), and 4.6% were severely vitamin D deficient ( $\leq 8$ ng/mL). They found that African American adolescents were more likely to be vitamin D deficient than

other ethnic groups, which corresponds with lower vitamin D production among individuals with darker skin pigmentation. They also suggested additional research on health outcomes among children and adolescents after vitamin D supplementation. In order to estimate the proportion of vitamin D insufficiency among the pediatric African American population, Rajakumar and colleagues (4) studied the response among 42 preadolescent African American children who were given 400 IU of vitamin D daily for one month. They found that 49% of the subjects were vitamin D insufficient (10-20 ng/mL) at baseline. After one month of supplementation, the mean 25(OH)D concentration significantly increased in the vitamin D insufficient group, however, 18% persisted to be vitamin D insufficient. Study authors explained that the short duration of the study and minimal dosage of vitamin D supplementation might explain the persistent insufficiency at the end of the trial. They concluded that preadolescent African Americans residing in the Northeast were at risk of low vitamin D concentrations. In a southeastern U.S. prospective study, Willis and colleagues (8) found that vitamin D status declined with age for African American and Caucasian girls aged 4-8 years old. In this population, 18% of the participants had 25(OH)D concentration below 20 ng/mL. They found an inverse relationship between circulating 25(OH)D and muscle mass, indicating that an increase in fat-free soft tissue may have an increased utilization of vitamin D. This raises the question of whether more vitamin D is required during periods of growth among adolescents.

#### **Dietary Assessment Methods in Children**

As children grow from birth through adolescence, they undergo enormous social, emotional, physical, and cognitive development. There are many factors that influence

this growth, including environmental factors, genetics, and nutritional status (26). To understand the dietary intake habits of adolescents, it is important to monitor their nutritional behavior using methods that quantify what is consumed. An important prerequisite for monitoring the nutritional status in children is the accurate assessment of nutrient intake using validated tools that have been shown to accurately portray consumption patterns. There are various methods used to assess children's dietary intake, ranging from food records, 24-hour dietary recalls, FFQs, and combinations of all three (18-25,31-44). Due to the challenging nature of dietary assessment tools, some of which require concerted effort to complete on behalf of the respondent, these methods are prone to reporting error, and dietary intakes may be erroneously interpreted (45). Subjects must be able to retrieve dietary information from memory and accurately estimate portion sizes. When a child is too young to complete an assessment on his own, parents and caregivers must be able to reliably estimate what the child has consumed. Parents and caregivers may be able to report intake from the home setting but may not accurately assess what is consumed at school and outside the home. It is essential to develop valid assessment tools for adolescents, which reduce response burden and achieve precise estimation of actual nutrient intake (18,20,37).

Dietary assessment methods can assess macro- and micronutrient components of the diet. Variability is lowest among nutrients that are consumed on a regular basis such as protein, fat, and carbohydrate. Micronutrient intake, such as vitamin D, is the most variable and requires many days of records to accurately capture true intake, though it is not known exactly how many days are needed (45).

### 24-Hour Dietary Recall

A 24-hour dietary recall is a snapshot of what a person has recently eaten. It is usually a consultation, either in person or on the telephone, between a participant and trained personnel who interview the subject about everything he has eaten and drank over the past 24-hour period (18). The participant does not have to be motivated to complete any sort of dietary record when participating in a 24-hour dietary recall. A nutrient analysis program is needed in order to assess intake after a skilled nutritionist records the information. Limitations of this method include subjects not recalling all consumed foods, reporting information they feel the clinician wants to hear, and needing to collect multiple recalls in order to determine usual intake (17). As diet is known to vary from day to day, a 24-hour recall does not give an accurate portrayal of long-term eating habits for an individual, but it does provide estimates of population means for nutrients (18). Validity of 24-hour recalls depends on how accurately an individual can recall his intake in terms of both food eaten and portion sizes. Validity is also dependent on how accurately the trained personnel codes the intake recorded during the interview and how comprehensive the nutrient database is that is used to analyze the recorded food (17). Faggiano and colleagues (46) completed a validation trial in which subjects' (n=103)actual food consumption was compared with their recollection of consumption on the following day. All food chosen for consumption was recorded and weighed before and after the meal. For the recall, researchers used photographs of each type of dish served, including seven different portion sizes for each dish. The following day, subjects were interviewed on what they consumed during the prior meal. Subjects overestimated portion sizes by >20% for six foods and underestimated portion sizes by <20% for four foods. Those who ate more than average tended to underreport and those who ate less

than average tended to overreport intake. This phenomenon is generally referred to as *flat slope syndrome*. In a 2009 validation study, Baxter and colleagues (47) investigated the effects of the elapsed time between a meal and the interview (retention interval) on the accuracy of 4<sup>th</sup> graders reporting dietary intake during 24-hour recalls. The children were observed eating two school meals and later interviewed to obtain 24-hour recall. Two target periods were used: prior 24 hours (immediately preceding the interview) and previous day (midnight to midnight of the day before the interview). Interviews were conducted in the morning, afternoon, and evening. Researchers found that accuracy was better for prior 24 hours than previous day recalls and the best accuracy was obtained in the afternoon and evening. After analyzing data in 2010 from the same 2009 retention-interval validation study, Baxter and colleagues (48) concluded that shortening the retention interval of dietary intake and report increases accuracy for reporting macronutrients among this age-group.

Reliability, which is also referred to as reproducibility or precision, expresses the degree to which an investigator is able to obtain the same results when a method is repeated under similar conditions (17). If a certain method is used to assess dietary intake and it does not give consistent results after repeated use under the same conditions, the method cannot be considered reliable. Using a USDA-funded School-Based Nutrition Monitoring (SBNM) secondary-level student questionnaire, Hoelscher and colleagues (49) analyzed reproducibility results for 8<sup>th</sup> grade middle school students' food choice behavior. Using test-retest study design for reproducibility, researchers administered the survey to students at two timepoints. They found that most questions on the SBNM questionnaire had acceptable reproducibility. For questions on food choices from the

previous day, agreement was 70% or greater (r=0.66). As this questionnaire only represented one day and was not typical intake at the individual level, authors emphasized that it is appropriate to use their study findings to characterize groups, as in cross-sectional studies, but it is not appropriate for tracking individuals' food intake over time. Also using a test-retest study design for reproducibility of questionnaire items, Penkilo and colleagues (50) measured reproducibility coefficients of an elementary school-level SBNM questionnaire among 4<sup>th</sup> graders. Food and meal choice questions had relatively good reproducibility, however mean percent agreement was lower for the elementary school-level SBNM questionnaire versus the secondary school-level SBNM questionnaire mentioned previously (78.4% vs. 86.0% respectively). The authors concluded that the questionnaire could be a valuable tool for epidemiological studies when it is necessary to assess dietary intake in a large number of children in a relatively short time period.

## Food Record

Similar to the 24-hour dietary recall, the food record is based on actual foods and amounts consumed by an individual on one or more specific days (17). As with 24-hour recalls, the food record is used primarily as a means to assess the validity of a FFQ when the questionnaire is used as the primary collection instrument (17,21,24-26,32-34,40,42-44,51). There is considerable flexibility afforded for dietary data analysis when using a food record since this method can accommodate any level of diverse food description detail, which is also true with the 24-hour recall method. Also known as a food diary, the food record consists of a detailed listing of all food and beverages consumed by an individual for one or more days. To reduce relying on memory, intake should be recorded

by the subject at the time of consumption and the subject should be trained on the proper way to keep an accurate  $\log (21,37)$ . The food record is then reviewed by trained personnel in order to ensure sufficient detail of food descriptions, preparations, and amounts (17,32). An advantage of using a food record is that, if subjects are compliant with instructions, the foods and amounts eaten are recorded immediately and relying on memory is not necessary, unlike with 24-hour recalls. Keeping a food record requires a high level of motivation for the subject, which can lead to poor response rates if subjects are not inspired to keep accurate records. Taylor and colleagues (32) designed a study to determine the validity of a food frequency questionnaire compared to a 4-day food record for assessing calcium and vitamin D intake in adolescent girls with and without anorexia nervosa. Daily calcium and vitamin D intake did not differ between the food record and questionnaire. They found that there was better compliance with the questionnaire than with the food record, which reflects the general sentiment that food records are burdensome to complete. Multiple food record days are needed in order to correctly assess usual individual intake. The number of days necessary for an accurate estimation of intake depends on the daily variability of the nutrient of interest. Basiotis and colleagues (52) used food records from 29 participants who completed a 365 consecutiveday food record to determine the number of days of intake needed to estimate average nutrient intakes for individuals and groups of individuals. Food energy and 18 nutrients, except vitamin D, were analyzed. The number of days of food intake records needed to predict the usual nutrient intake of an individual varied substantially among individuals for the same nutrient and within individuals for different nutrients. Food energy required 31 days to predict usual intake (the least) and vitamin A required 433 days (the most).

For estimating mean nutrient intake for the group, 3 days were needed for food energy and 41 days were needed for vitamin A. Authors concluded that for even larger groups, fewer days of food records would be necessary. The Basiotis study, as well as a paper by Nelson and colleagues (53) that presents a comprehensive picture of within- and between-subject variation in nutrient intake, suggest that the minimum number of days of intake required for characterizing usual intake for energy and macronutrients ranges from 3-10 days. For food components, such as vitamins and minerals, with large day-to-day variability, the number of days required may range from 20 to >50 days (17). Participant motivation and logistics make this situation impractical for most researchers. In order to assess intake of energy and macronutrients, 4-5 days of food record intake are customarily selected as a reasonable compromise. For nutrients with high within-person variability that are found in few food sources such as vitamins and minerals, FFQs specifically designed to assess these micronutrients are usually selected as the method of choice.

### Food Frequency Questionnaire

The 24-hour dietary recall and the food record differ in their methods of data collection from the FFQ. Whereas the 24-hour recall and the food record report specific foods and amounts consumed on a particular day, the FFQ is based on an individual's perception of typical intake over a period of time, usually from 1 month to a year (17,18). When designing a FFQ, decisions about whether to measure intake of specific nutrients or whether there is a need for a more comprehensive dietary assessment are critical. There are many factors to consider when designing a questionnaire, which include whether the primary objective is to rank individuals or to get a measure of absolute intake

of a nutrient. Also, the questionnaire must include food items that are consumed reasonably often by a majority of individuals. Developing the food list for a questionnaire takes careful consideration and can be approached by various methods: a review of published food composition tables using foods that contain large amounts of the nutrient of interest; or start with a long list of foods and reduce the list by pilot testing the questionnaire. Stepwise regression is a more sophisticated approach and has been used, among other approaches, to develop questionnaires that measured a fairly comprehensive list of nutrients that needed to fit in a relatively small amount of space (54,55). FFQs can be "short" or "long" depending on the number of questions included. In large epidemiological studies, it may not be feasible to collect multiple days of dietary intake via the 24-hour dietary recall or the food record. Therefore, the FFQ is generally the most appropriate method for measuring intake in this situation. A number of studies have used FFQs to investigate relationships between dietary intake and disease outcomes or to just assess energy, macronutrient, and micronutrient intakes in a pediatric population (19-25,32,33,36-44). In order to determine validity of their FFQ, most of these studies compared their questionnaire with either food records or dietary recalls. Only three studies (21,32,33) were found that focused on vitamin D intake among adolescent populations, and the studies are summarized in Table 1. Using 3-day diaries for reference, Marshall and colleagues (21) investigated the relative validities of the Iowa Fluoride Study questionnaire and the Block Kids' Food Questionnaire in assessing vitamin D intakes. Children completed the Iowa Fluoride Study nutrient questionnaire (n=223), the Block Kids' Food Questionnaire (*n*=129) and 3-day diaries during similar time periods. Nutrient correlations between intakes estimated from 3-day diaries and questionnaires

were similar for vitamin D intake. The authors concluded that a dietary assessment method that targets specific foods or nutrients could be as effective at estimating intakes as a more comprehensive assessment tool. They also stated that it is important to consider major dietary sources of the relevant nutrient and dietary habits of the population of interest when designing a targeted nutrient tool. Using a 3-day food record as a reference, Marshall and colleagues (33) designed a study to evaluate the validity of a beverage frequency questionnaire in assessing calcium and vitamin D intakes. They found that their questionnaire could provide a relative estimate of vitamin D intake. As consumers are decreasing their milk intake and increasing their soft drink and energy drink intake, they are consuming fewer beverages that contribute vital nutrients to the total diet such as calcium and vitamin D.

Table 1. Characteristics of studies that focused on vitamin D intake among adolescent

# populations

Author/year	Participants/age	Dietary method(s)/	Findings	Limitations
publication	group	reference method		
Taylor <i>et al.</i> (32) (2009)	57 girls with anorexia nervosa 50 healthy girls 12-18 years	FFQ 4d food record	Greater compliance with FFQ (99%) than food record (71%). Daily vitamin D intake from food record and FFQ did not differ. Strong correlations observed for daily vitamin D intake derived from FFQ vs food record ( <i>r</i> =0.78, P<0.0001).	Vitamin D concentrations were not measured as biomarker of intake. Socioeconomic status and parental education, which can affect dietary reporting, were not collected.
Marshall <i>et</i> <i>al.</i> (21) (2008)	223 children completed Iowa Fluoride Study questionnaire and 3 d food record at 9 years; 129 children completed the Block Kids' Food Questionnaire and 3 d food record at 8.3 years	Iowa Fluoride Study targeted nutrient questionnaire Block Kids' Food Questionnaire 3 d food record	Correlations with food records for vitamin D intakes reported on Iowa Fluoride Study questionnaires were similar to correlations with vitamin D reported on Block Kids' Food Questionnaire. Percentage of exact agreement was lower for vitamin D for intakes reported on Iowa Fluoride Study questionnaires compared to Block Kids' Food Questionnaires relative to food records.	Targeted nutrient questionnaires were not administered before standard 3 d food record, leading to potential bias. Parents reported data and may not know what/how much children are eating. Subjects reporting data may not remember specifics of intake. Participants primarily Caucasian from narrow geographic region – not a representative sample of the general population. Results not generalizable to wider population.
Marshall <i>et</i> <i>al.</i> (33) (2003)	240 children 6 months-5 years	Quantitative beverage questionnaire 3 d food record	Correlations between mean daily nutrient intakes estimated from questionnaires and food record were 0.60-0.80 for vitamin D.	Targeted beverage questionnaire was not administered before standard 3 d food record, leading to potential bias. Parents reported data and may not know what/how much children consumed. Participants primarily Caucasian from narrow geographic region – not a representative sample of the general population. Results should not be generalized to wider population.

#### Vitamin D Intake in Children and Adolescents

The EAR for vitamin D is 400 IU/day (10  $\mu$ g) for children age 1-13 years and the RDA is 600 IU/day (15  $\mu$ g) (28). According to food consumption data collected in NHANES 1988-1994 (NHANES III), the 1994-1996 Continuing Survey of Food Intakes by Individuals (CSFII 1994-1996), and the 1998 Supplemental Children's Survey, the majority of Americans are not consuming the Adequate Intake (AI) of vitamin D (56). Although dairy was the largest food source of calcium and vitamin D, some avoided dairy products due to taste or lactose intolerance. For these persons, fortified juices can provide an alternative source of vitamin D. Using NHANES 2005-2006 data, Bailey and colleagues (57) estimated vitamin D intakes from food and dietary supplements. Participants completed two 24-hour recalls and a questionnaire. Among 9-13 year olds, 24% of males and 32% of females used a daily supplement containing vitamin D. Mean vitamin D intake among this age group was 8.4 µg or 336 IU/day for males and 8.0 µg or 320 IU/day for females. The prevalence of meeting the AI for vitamin D through diet was 53% and 47% among 9-13 year old males and females, respectively. When dietary supplement use was included, 66% of 9-13 year old males met the AI for vitamin D and 53% of 9-13 year old females met the AI for vitamin D. Decreased dairy consumption relates to inadequate intake of key nutrients, including vitamin D (58). Using data from CSFII 1994-1996, 1998 and NHANES 1999-2000 reports, Fulgoni and associates (59) showed that African American males across all age groups had significantly lower dairy intake than did their non-African American counterparts. Likewise, African American females age 2-18 years consumed significantly less dairy when compared with non-African Americans. In a segment of the population that is already at risk of low serum

vitamin D concentrations due to darker skin pigmentation, these results indicate a need for increased education about the role vitamin D plays in our health and the risks of not consuming enough vitamin D-fortified foods and beverages or a vitamin D supplement.

FFQs are typically validated by comparing results with food records as the reference method (18,21,24-26,32-34,36-40,43,44). Statistical tests are used to determine whether the two instruments reported similar values for nutrients. These tests assess reliability and validity, and statistical analysis includes paired sample t tests, Pearson's correlation coefficients, Spearman correlations, Fisher's exact test, Wilcoxon rank sum test, weighted K statistics, and percentages of exact agreement.

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# CHAPTER III

Manuscript in style of Journal

#### **1 AUTHORS' PAGE**

- 2 The Effectiveness of a Short Food Frequency Questionnaire in Determining the
- 3 Adequacy of Vitamin D Intake in Children
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# 23 ABSTRACT

24	Background: Studies have consistently found a high prevalence of vitamin D deficiency				
25	in adolescents. Few validated dietary intake assessment tools for vitamin D exist for				
26	adolescents.				
27	<b>Objective</b> : The aim of this study was to determine if a short food frequency				
28	questionnaire (SFFQ) can be used to effectively assess vitamin D intake in adolescents				
29	compared to a previously validated long food frequency questionnaire (LFFQ).				
30	<b>Participants/setting</b> : 140 healthy 6-12 year old (male <i>n</i> =81) Caucasian and African				
31	American (n=94) children from Pittsburgh, Pennsylvania completed a SFFQ and LFFQ at				
32	two time points 6 months apart.				
33	Main outcome measures: Reliability and validity of a SFFQ by comparison with a				
34	previously validated LFFQ for children and adolescents.				
35	Statistical analysis: Reliability, validity, sensitivity, specificity, positive, and negative				
36	predictive values were assessed using Pearson correlation coefficients.				
37	<b>Results</b> : Mean vitamin D intake from the SFFQ (range, 434 to 485 IU) was higher than				
38	the LFFQ (range, 320 to 378 IU). Overall association between the SFFQ and the LFFQ				
39	for vitamin D intake was modest ( $r=0.36$ , $P<0.001$ ). When stratified by race, the overall				
40	degree of association was weak for African Americans (r=0.26, P=0.001) and moderate				
41	for Caucasians ( $r=0.57$ , $P<0.001$ ). Overall reliability testing results were modest and				
42	significant for the LFFQ (r=0.28, P=0.002) and SFFQ (r=0.33, P<0.001). Association				
43	between mean vitamin D intake from LFFQs and SFFQs was used to determine validity.				
44	The association for validity was found to be modest ( $r=0.51$ , $P<0.001$ ). Sensitivity,				

- 46 90%, 64%, 0.78, and 0.58, respectively.
- 47 **Conclusion**: The SFFQ was found to be modestly valid and reliable in an early
- 48 adolescent population. Associations between African Americans were not as strong as
- 49 Caucasians which may be due to errors in reporting dietary consumption related to higher
- 50 body weight.

51 The Effectiveness of a Short Food Frequency Questionnaire in Determining the

52 Adequacy of Vitamin D Intake in Children

#### 53 INTRODUCTION

Several studies have reported the excessive prevalence of vitamin D insufficiency 54 55 among children (1-9). The primary role of vitamin D in humans is to maintain serum 56 calcium and phosphorus concentrations at levels that support proper cell function and bone mineralization (10,11). In children, deficiency of vitamin D is associated with 57 58 rickets, when growing bones fail to mineralize. When a subject is deficient in vitamin D, 59 calcium absorption is only 10 - 15% of what is normally absorbed, resulting in decreased bone mineralization and secondary hyperparathyroidism (12). Maintaining adequate 60 vitamin D and calcium status during childhood may reduce the risk of developing chronic 61 diseases such as osteoporosis, multiple sclerosis, hypertension, osteomalacia, and certain 62 cancers, as well as reduce the risk of bone fracture, falls, depression, certain autoimmune 63 64 diseases, muscle weakness, and cardiovascular disease later in life (11,13-15). Immune function and inflammation reduction are also regulated by vitamin D (16). Several factors 65 determine vitamin D status and these include dietary intake of vitamin D, skin 66 67 pigmentation, season, and latitude of residence (14,16). Because vitamin D is found in very few foods, fortified food and beverages provide the U.S. population with most of its 68 dietary vitamin D. Fish liver oil, fatty fish, and egg yolks are natural sources of vitamin D 69 70 (15,16). Researchers have measured the prevalence of vitamin D deficiency in healthy adolescents using serum 25-hydroxyvitamin D (25(OH)D) as a marker and dietary 71 72 assessment methods (2-4). These studies have consistently found a high prevalence of 73 vitamin D deficiency or insufficiency in adolescents. Subjects with the lowest serum

74 25(OH)D tended to be African American, obese, and those who did not consume vitamin75 D-rich foods (2).

76 There is a range of dietary assessment methods that help determine individual and population nutritional intakes and habits. These methods play an essential role in 77 78 establishing when a segment of the population is at risk for nutritional problems. There 79 are limited data available on validated dietary assessment tools for adolescents (17-20). 80 Previously validated tools include a food frequency questionnaire (FFQ) that provided 81 reasonable estimates on vitamin D intake in children when compared to 3-day diaries 82 (21), a calcium FFQ that was moderately associated with estimates from 24-hour dietary recalls in middle-school-aged children (22), a FFQ that showed good test-retest reliability 83 84 for assessing food intake in schoolchildren when compared with observed food intake (23), and a FFQ that suitably ranked food and nutrient intake of adolescents when 85 compared with food records (24). Fumagalli and colleagues (25) measured dietary intake 86 87 in 5-10 year olds comparing 3-day dietary records with a FFQ that had previously been validated for use in adults. They found that the FFQ appears to overestimate usual energy 88 and nutrient intakes in children and that portion sizes need to be adjusted before adoption 89 90 of this instrument in children. When designing a valid assessment tool for this age group, consideration should be given to the populations' age, reading level, ability to understand 91 92 questions, ability to understand conceptual thinking, and whether an adult caretaker will 93 assist in completing the assessment (26).

At the Primary Care Center of the Children's Hospital of Pittsburgh of the
University of Pittsburgh Medical Center (UPMC), Rajakumar and colleagues conducted a
Vitamin D and Sunlight study between June 2006 and March 2008. This study was

97	designed to determine the seasonal variation of vitamin D insufficiency in healthy 6-12
98	year old pre- and early adolescent African American and Caucasian children residing in
99	Pittsburgh, PA. Included in this initial study was an evaluation of nutrition intake using
100	both a long food frequency questionnaire (LFFQ) and a short food frequency
101	questionnaire (SFFQ). For the current study, a secondary analysis was performed on the
102	data generated from Rajakumar's initial investigation. By way of validity and
103	reproducibility tests, the secondary analysis aimed to determine if the SFFQ could
104	effectively assess vitamin D intake in adolescents compared to the LFFQ. It is important
105	to use validity and reproducibility components because any interpretation of a FFQ
106	should include the degree to which a questionnaire can truly measure dietary intake (17).
107	We hypothesized that there will be no difference between adolescents' vitamin D intake
108	calculated from a SFFQ when compared to a validated LFFQ.

#### 109 METHODS

#### 110 Study Design

The Vitamin D and Sunlight study was an observational study designed to 111 determine the seasonal variation of vitamin D insufficiency, and to assess its risk factors 112 and metabolic impact in healthy 6-12 year old pre-and early adolescent African American 113 114 and Caucasian children residing in Pittsburgh, PA. A total of 140 participants were enrolled in the initial study between the summer months of June-September 2006 and the 115 winter months of December 2007-March 2008. There was no intervention and 116 117 participants were seen at 2 time points, with the initial visit being either in the summer or winter and the follow-up visit occurring 6 months later. Blood was drawn at both visits to 118 determine serum concentrations of calcium, phosphorus, albumin, serum PTH, 25(OH)D 119 and  $1,25(OH)_2D$ . Subjects completed a LFFQ and a SFFQ at both timepoints in order to 120 assess sunlight exposure and dietary intake of vitamin D and calcium. The initial study 121 122 was approved by the Institutional Review Board of the University of Pittsburgh. Written informed consent was obtained from parents at recruitment of the initial study and 123 participants' assent was obtained prior to enrollment. The Institutional Review Board at 124 125 Georgia State University approved the secondary analysis study.

126

#### 127 Subjects

The initial study used a convenience sample of patients with a mean age of 9.1 years at the time of recruitment. Participants were recruited from the Primary Care Center of the Children's Hospital of Pittsburgh of the University of Pittsburgh Medical Center (UPMC) and from the surrounding area. Inclusion criteria included healthy 6-12 year old 132 pre- and early adolescent African American and Caucasian children residing in

133 Pittsburgh, PA. Children with hepatic or renal disease, metabolic rickets, malabsorptive

disorders, cancer, or those on treatment with anticonvulsants or systemic glucocorticoids

136

135

#### 137 Data Collection

were excluded.

Study participants received a clinical exam at their initial and six month visit to gather anthropometric information including weight (kg), height (cm) and body mass index (BMI) (kg/m<sup>2</sup>). BMI was calculated from weight and height at baseline and followup. Dietary intake was recorded by participants at both time points using a SFFQ and a LFFQ. BMI was evaluated using the 2000 Centers for Disease Control and Prevention growth charts, where overweight is determined by a BMI value between the 85<sup>th</sup> and 95<sup>th</sup> percentile and obesity >95<sup>th</sup> percentile (27,28).

145

146 The SFFQ.

Rajakumar and colleagues adapted a questionnaire, developed by Dr. Michael 147 148 Holick (Boston University Medical Center) that assessed vitamin D intake in adults, to address vitamin D intake and sunlight exposure in pre- and early adolescents. The 149 questionnaire included 21 questions for the patient/parent to complete. Subjects were 150 151 asked if they take a multivitamin, vitamin D supplement, or cod liver oil, how many servings of milk, cheese, yogurt, and vitamin D-fortified orange juice they consume per 152 day, and how often they consume fish and dried mushrooms per month. The remaining 153 154 questions documented sun exposure and sunscreen use.

# 156 The LFFQ.

157	Subjects also completed a LFFQ at baseline and at the follow-up visit. The LFFQ
158	is an eating survey designed by the Harvard Medical School, copyright ©1995 Brigham
159	and Women's Hospital. The LFFQ was used as the gold standard against the SFFQ. The
160	LFFQ is a semiquantitative FFQ that consists of 7 food groups with 152 questions and
161	requests information on dietary intake over the past year.
162	
163	Data Analyses.
164	In order to quantify the dietary intake of vitamin D, completed SFFQs were
165	analyzed using the Food Processor SQL (version 10.4.0, ESHA Research; Salem, OR) by
166	the Clinical Nutrition Department at the Children's Hospital of Pittsburgh of UPMC.
167	LFFQ data forms were analyzed at Brigham and Women's Hospital. Nutrient intake data
168	comprised 17 nutrients including total energy, dietary calcium, and dietary vitamin D.
169	For the current study, variables and data distribution were analyzed for normality
170	using the Kolmogorov-Smirnov test ( $P \le 0.05$ ). Normality testing revealed that most
171	anthropometric data were not normally distributed (Table 1). Mean values were used for
172	age and vitamin D intake while median values were used for weight, height and BMI.
173	The Wilcoxon Signed-Rank test was used to examine differences in BMI between the
174	initial and 6 month visit. The Mann-Whitney U test was used to determine if there was a
175	significant difference between BMI by race at each time point. A summary of SFFQ
176	responses for multivitamin, calcium supplement, and vitamin D supplement use, as well
177	as dairy, and vitamin D-fortified orange juice consumption was determined using

178	frequency statistics. Vitamin D intake (IU) was found to be normally distributed for both
179	the LFFQ and SFFQ for most groups. Pearson's correlation was used to determine the
180	correlation coefficient between the LFFQ and the SFFQ for vitamin D intake. The
181	correlation coefficient " $r$ " indicates the strength of the relationship and the value can
182	range from -1.0 to +1.0. A correlation coefficient of near +1.0 implies perfect
183	correlation. Correlations were interpreted using the following guidelines: 0.0-0.3 as
184	weak, 0.3–0.6 as modest and 0.6–1.0 as good. Reliability testing was done using
185	Pearson's correlation between the LFFQ and SFFQ completed at the initial visit and
186	corresponding LFFQ and SFFQ completed 6 months later. Validity of the SFFQ and
187	LFFQ was evaluated by comparing mean vitamin D intake from the two LFFQs with the
188	two SFFQs. External validity of the SFFQ was evaluated via sensitivity, specificity,
189	positive predictive value (PPV), and negative predictive value (NPV) equations.
190	Sensitivity refers to the test instrument (SFFQ) and describes the proportion of
191	participants who were correctly identified as having met the American Academy of
192	Pediatrics' (AAP) recommendation for vitamin D (400 IU/day). Specificity also refers to
193	the test instrument, but describes the proportion of participants who were correctly
194	identified as not having met the AAP's recommendation for vitamin D. PPV measures
195	the probability that a person who tests positive for meeting the AAP's recommendation
196	truly consumed 400 IU/day. NPV measures the probability that a person who tests
197	negative for meeting the AAP's recommendation actually consumed less vitamin D.
198	Statistical analyses were done using IBM® SPSS® Statistics 18 (version 18, 2010, IBM
199	Corp, Armonk, NY).

201	The study sample ( $n=140$ ) consisted of 67% African American ( $n=94$ ). At the 6
202	month follow-up ( $n=122$ ), the sample consisted of 68% African American ( $n=83$ ). For
203	the 6 month visit, 18 participants were lost due to follow-up. At both time points, 58% of
204	the participants were boys. The majority of data is missing for one participant in the 6
205	month follow-up group and has been excluded from the data analysis. In African
206	Americans, BMI ranged from 13.8 to $35.3 \text{ kg/m}^2$ for the initial and 6 month visits, with
207	46% of observations above the 85 <sup>th</sup> percentile at the initial visit and 50% at the 6 month
208	visit. For Caucasians, BMI ranged from 14.3 to 32.0 kg/m <sup>2</sup> , with 25% and 28% of
209	observations above the 85 <sup>th</sup> percentile at the initial visit and 6 month visit, respectively.
210	At the initial visit, 24% of African Americans were obese compared with 12% of
211	Caucasians. Six months later, 29% of African Americans were obese compared with 12%
212	of Caucasians. A significant difference in BMI was found between the initial and 6
213	month visits for Caucasians (16.3 vs. 17.1 kg/m <sup>2</sup> , $P$ <0.01) and African Americans (18.3
214	vs. 19.1 kg/m <sup>2</sup> , $P$ <0.001) (Table 1). There was a significant difference between BMI by
215	race at the initial and at the 6 month visit ( $P < 0.05$ ).
216	At the initial visit, 24% ( $n=34$ ) of participants reported taking a multivitamin,
217	while 33% (n=39) reported multivitamin use at the follow-up visit. Only 1 participant
218	reported taking a vitamin D supplement at the initial and 6 month visit. Reported intake
219	of milk, dairy products, and vitamin D-fortified orange juice from the SFFQ is shown in

220 Table 2. Median milk intake per day for participants at the initial and six month visit was

two 8-oz servings of milk. Median daily cheese consumption was one 1-oz serving for

the initial visit and one and one-half 1-oz servings at the follow up visit. Median daily

intake of other dairy products (i.e. Lactaid<sup>®</sup>, chocolate milk, yogurt) was minimal. Mean
vitamin D intake stratified by visit and race is shown in Table 3. Mean values for vitamin
D intake for the LFFQ were significantly lower than for the SFFQ at both timepoints
(*P*<0.001). There are intra-group differences between LFFQs and SFFQs at the initial and</li>
6 month follow-up visits.

Associations between the LFFQ and the SFFQ for vitamin D intake by race and visit timepoint are shown in Table 4. With the exception of African Americans at the 6 month visit, the associations between the 2 FFQs for vitamin D intake were significant (P<0.01). For all pairs, the degree of association between the LFFQ and the SFFQ was modest (r=0.36). After stratifying the population by race, the degree of correlation between the LFFQ and the SFFQ was weak (r=0.26) for African Americans and modest

for Caucasians (r=0.57).

Reliability test results for vitamin D intake between the two LFFQs administered
6 months apart and the two SFFQs administered 6 months apart are shown in Table 5.

237 With the exception of African Americans for the LFFQs, the reliability of the 2

questionnaires is modest and is statistically significant (P < 0.01) for all groups.

Association or validity between the average vitamin D intake from the two LFFQs and the two SFFQs administered 6 months apart (n=119) was modest (r=0.51) and significant (P<0.001). Probability statistics for predicting whether the SFFQ is able to identify participants who have or have not met the AAP's recommendation for vitamin D are shown in Table 6. The SFFQ was tested against the LFFQ for sensitivity, specificity, PPV, and NPV. In this evaluation, 90% of participants were correctly identified as having

245 met the AAP's recommendation for vitamin D (400 IU/day). Of these participants, the

probability (PPV) that they actually consumed ≥400 IU/day was 0.78. This indicates that
the SFFQ is very sensitive and that the probability of the result being true is high.
According to the SFFQ, 64% of participants were correctly identified as not having met
the AAP's recommendation; the probability that these participants actually consumed
<400 IU/day was 0.58. This indicates that the SFFQ is less specific than it is sensitive;</li>
however, out of those who did not consume 400 IU/day, the likelihood of this result
being false is low.

253

#### 254 **DISCUSSION**

This study was designed to evaluate the reliability and validity of a SFFQ in 255 determining vitamin D intake among adolescents using a LFFQ as a reference. There are 256 limited data available on adolescent behavior in regards to dietary consumption habits 257 (18,19). The adolescents' level of independence and their caretakers' unawareness of the 258 foods they consume further complicate assessing adolescent dietary consumption (29). 259 Developing a valid tool that accurately measures vitamin D consumption in children is 260 important in order to assess factors that optimize adequate vitamin D status. Shortening a 261 262 long FFQ to a targeted short FFQ would provide a quick and accurate estimate of the nutrient of interest. Constructing and evaluating a less burdensome tool (the SFFQ) that 263 specifically targets a certain nutrient (vitamin D) is important in order to assess the 264 265 vitamin D status in a vulnerable segment of the population. Rajakumar's initial study is significant because there are a limited number of 266

266 Rajakumar's initial study is significant because there are a minited number of
 267 dietary assessment instruments that are specifically designed for assessing vitamin D
 268 intake in adolescents (18,19,30). Accurately assessing the dietary intake of the adolescent

269 population is essential in order to monitor their nutritional status. Valid assessment is also crucial for determining links between diet and health (31). It is important to evaluate the 270 effectiveness of Rajakumar's SFFQ because this instrument could be used in future large 271 epidemiological studies to estimate the vitamin D intake of an adolescent population. 272 273 Estimating vitamin D consumption in adolescents is important because of the significant 274 role this nutrient plays in establishing peak bone mass, as well as potentially preventing or delaying adult-onset diseases (10,13). Since studies have shown that vitamin D 275 deficient status in US children is prevalent, it is important to have an instrument that will 276 277 quickly assess an adolescent's vitamin D intake. Subsequent intervention can take place if subjects are found to be deficient. 278

For the total population in our study, the mean vitamin D intake, assessed by the 279 SFFQ, was 142 IU and 90 IU higher than the LFFQ at the initial visit and 6 month 280 follow-up visit, respectively. This is not surprising given that our SFFQ's primary focus 281 282 was on vitamin-D-rich foods, whereas the LFFQ assessed all foods eaten over a one year period, not concentrating specifically on one nutrient. Despite the higher reported vitamin 283 D intake by the SFFQ, associations between the short and long FFQs ranged from modest 284 285 for the entire population (r=0.36) and weak for African Americans (r=0.26) to modest for Caucasians (r=0.57). Using a 3-day diary for validation purposes, Marshall and 286 colleagues (21) found that vitamin D intake estimates were lower on targeted nutrient 287 288 questionnaires compared to food diaries. Our findings differ perhaps due to the openended nature of food diaries, which allow a more comprehensive assessment of nutrient 289 intake and thus accumulate more nutrient-specific data. FFQs assess specific foods or 290 291 nutrients and may leave out a food that is routinely eaten by a participant.

At the 6 month visit, we found a weak relation (r=0.19) between the LFFQ and SFFQ for African Americans. Kaaks and colleagues (32) report that, when compared to normal weight subjects, obese subjects usually underreported food intake regardless of dietary assessment technique used. Similarly, Bandini and colleagues (33) found that obese adolescents underreported food intake significantly more than nonobese adolescents. This could explain the weak relation seen in the African American segment of our study.

Reliability was assessed by comparing vitamin D intake data from the same 299 300 questionnaire administered at two separate time points. Associations were weak for the entire population for the LFFQs administered six months apart (r=0.28) and modest for 301 the entire population for the SFFQs administered 6 months apart (r=0.33). Validity was 302 assessed by comparing vitamin D intake data collected using different methods (LFFQ) 303 and SFFQ) at two time points. Using unadjusted values of vitamin D intake, validity 304 testing revealed modest association (r=0.51). Based on sensitivity and specificity, it is 305 deduced that the SFFQ is more accurate in measuring the proportion of people who met 306 the AAP's recommendation for vitamin D than measuring the proportion of people who 307 308 did not meet the AAP's recommendation.

The United States Department of Agriculture's (USDA) MyPyramid recommends 2-3 cups from the milk group per day for children (34). In general, 1 cup in the milk group is defined as 1 cup of milk or yogurt, 1.5-oz of natural cheese, or 2-oz of processed cheese. The reported median intake our sample consumed from the milk group per day was 3.05 cups at the initial visit and 3.65 cups at the 6 month follow up visit, which slightly exceeds the USDA guideline.

315	This is the first study to assess vitamin D-only intake data from a SFFQ designed
316	specifically to measure vitamin D consumption in adolescents. Other studies have
317	assessed total macronutrient and select micronutrient intakes or types of foods eaten in
318	children (23-25,35); however, only 4 studies included relations between mean daily
319	vitamin D intake estimated from questionnaires and food records in children and young
320	adults (21,36-38). Taylor and colleagues (37) performed a study to determine the validity
321	of a FFQ for assessing calcium and vitamin D intake in 12-18 year old anorexic and
322	healthy girls. Researchers validated the FFQ with 4-day food records. They found that
323	subjects demonstrated greater compliance with the FFQ than with the food record. They
324	also observed a strong association ( $r=0.78$ ) between daily vitamin D intake derived from
325	the FFQ and the food record. The researchers concluded that the FFQ was useful in
326	maximizing the information regarding calcium and vitamin D intake in a segment of the
327	population that is vulnerable to nutrient deficiencies. Our SFFQ had similar or better
328	validity than reported in other studies of one or more micronutrient-focused FFQs
329	(22,38,39). Hacker-Thompson and colleagues (39) compared 2 calcium-focused
330	questionnaires to 3-day diet records. They found modest association between the
331	questionnaires and the diet records (r=0.37). Harnack and colleagues (22) found good
332	reliability ( $r=0.74$ ) of a calcium FFQ administered twice, with 1 week between
333	administrations. Validity showed a modest association between the calcium FFQ and the
334	dietary recall ( $r=0.43$ ). Wu and colleagues (38) evaluated the validity of a FFQ for
335	assessing vitamin D and used a 7-day food diary for reference. Validity of the FFQ was
336	good ( <i>r</i> =0.60).

337 Using a 3-day diary as a reference, Marshall and colleagues (21) investigated the relative validities of the Iowa Fluoride Study questionnaire and the Block Kids' Food 338 Questionnaire in assessing vitamin D intakes. Children completed the Iowa Fluoride 339 Study nutrient questionnaire (n=223), the Block Kids' Food Questionnaire (n=129), and 340 3-day diaries during similar time periods. Associations between intakes estimated from 3-341 342 day diaries and the 2 questionnaires were modest for vitamin D intake and virtually identical to our validation findings (r=0.51). Study authors concluded that a dietary 343 assessment method that targets specific foods or nutrients can be as effective at 344 345 estimating intakes as a more comprehensive assessment tool. They also stated that it was important to consider major dietary sources of the relevant nutrient and dietary habits of 346 the population of interest when designing a targeted nutrient tool. Using a 3-day food 347 record as reference, Marshall and colleagues (36) designed a study to evaluate the 348 validity of a beverage frequency questionnaire in assessing calcium and vitamin D 349 350 intakes. They found that their questionnaire could provide a relative estimate of vitamin D intake. The validity of our vitamin D-focused SFFQ compared favorably with the 351 results of Marshall's beverage questionnaire. Researchers stated that as beverage intake 352 353 patterns change, with decreased milk intake and increased soft drink and energy drink intake, this leaves fewer beverages contributing vital nutrients such as calcium and 354 vitamin D to the total diet. A study by Araujo and colleagues (24) estimated the validity 355 356 of dietary intake data from a FFQ designed specifically for adolescents living in Brazil and demonstrated that their FFQ was a suitable tool for ranking adolescent's energy and 357 358 nutrient intake. Study authors stated that aiding tools such as food models and 359 photographs should be used to reduce bias when reporting food intake portions.

360	Estimating vitamin D intake in children is important because of the role of
361	vitamin D in various important biological functions that include accruing peak bone
362	mass. Increased melanin causes decreased cutaneous production of previtamin D <sub>3</sub> , putting
363	African Americans at higher risk of vitamin D deficiency (40). For those who live $\geq$ 40
364	degrees north all year or $\leq$ 40 degrees from November to early March, there is
365	insufficient ultraviolet B (UVB) radiation from the sun for vitamin D synthesis (11).
366	Experts disagree about what constitutes vitamin D deficiency (1,3,9,10,13,14,16,41-42).
367	Proposed cutoff values for deficient 25(OH)D range from 10 ng/mL to 30 ng/mL.
368	In November 2010, the Institute of Medicine (IOM) revised the Dietary Reference
369	Intakes (DRIs) for vitamin D. The new recommendations include an EAR of 400 IU/day
370	for all age groups. The Recommended Dietary Allowance (RDA) is 600 IUs for persons
371	age 1-70 years (43). In a 2008 publication, the American Academy of Pediatrics (AAP)
372	revised their recommendation that all infants, children, and adolescents have a minimum
373	daily intake of 400 IU of vitamin D, up from 200 IU/day (13). In our total study
374	population using the SFFQ, 54% reportedly met 400 IU/day and 30% met 600 IU/day at
375	the initial visit. At the follow-up visit, these percentages reduced to 46% and 23%,
376	respectively.

377

### 378 Vitamin D Insufficiency in Children

Among the scientific community, there is mounting consensus that vitamin D insufficiency is more widespread than originally thought (1-9). Research has shown that a large percentage of adolescents living in the northern hemisphere are frequently vitamin D deficient, particularly African Americans. Otherwise healthy children may not show 383 clinical signs of vitamin D deficiency, but when serum 25(OH)D concentration is measured, vitamin D deficiency is present in many US adolescents. Using data from the 384 National Health and Nutrition Examination Survey (NHANES) 2001-2004, Kumar and 385 colleagues (1) analyzed data on children and adolescents and found that vitamin D 386 deficiency was common among 1-21 year old persons and was associated with adverse 387 388 cardiovascular risks. In this nationally representative sample of the U.S. population, 61% (50.8 million) of the children had vitamin D concentrations between 15 and 29 ng/mL, 389 considered vitamin D deficient in this study. Only 4% of the children had taken 400 IU of 390 391 vitamin D/day for 30 days. In our study, only 1 child out of 140 reported taking a vitamin D supplement on a regular basis. In Kumar's study, children with lower vitamin D 392 concentrations were girls, non-Hispanic blacks, obese, and those who spent more time in 393 front of a television, playing video games, or using a computer. Deficiency was also 394 associated with hypertension, low HDL concentration, and elevated PTH. Suboptimal 395 396 vitamin D status was also common among healthy, low-income, minority children in Atlanta, GA (8). Cole and colleagues reported that 22% of the children in their study 397 (n=290) had vitamin D deficiency (<20 ng/mL) and 74% had insufficiency (<30 ng/mL). 398 399 Fortified milk provided most dietary vitamin D (62%), comparing favorably with our study where milk was the most consumed source of dietary vitamin D. Cole and 400 colleagues concluded that vitamin D intake did not influence vitamin D status. At a 401 402 Boston primary care center, Gordon and colleagues (4) studied 380 pediatric patients and found that 12% were vitamin D deficient (<20 ng/mL) and 40% were below an accepted 403 optimal threshold (<30 ng/mL). Multivitamin use was reported in 25% of subjects and 404 405 69% of subjects reported occasional consumption of fortified milk. Among the

participants who had vitamin D deficiency, 32.5% showed evidence of demineralization
after wrist and knee radiographic assessments. In light of growing data that support
vitamin D's immunomodulatory effects, study authors stated that their findings support
recommendations that vitamin D supplementation should be made available for all young
children. The Atlanta and Boston studies contradict the assumption that children living at
lower latitudes exhibit better vitamin D status than children living at higher latitudes.

412

#### 413 **Food Frequency Questionnaires**

414 FFQs that assess nutrient intake in adolescents are uncommon. Although dietary recalls and food records allow for a comprehensive evaluation of dietary habits, they 415 416 require a substantial commitment from the subjects which can lead to noncompliance and increased dropout rates (21). Therefore, the FFQ has become the primary method for 417 assessing intake in large, population-based studies. The FFQ can also be specialized to 418 419 identify specific nutrient intake (44). The FFQ estimates food intake over a period of time and is usually less expensive and takes less time to complete than a 24-hour recall and a 420 food record (18). Nutrition assessment instruments like the SFFQ in our study are easy to 421 422 administer, low-cost, simple to complete, reproducible, and accurate (19). The use of FFQs can be a consistent and precise method for describing habitual dietary intake. 423 424 Before a FFQ is used in an epidemiological study, it should be validated to see if it 425 actually measures the aspect of the diet it was intended to assess (17). Although Klohe and colleagues did not exclusively determine vitamin D status, they developed and 426 427 validated a FFQ for low-income children, and found that the FFQ yielded excellent 428 reliability and acceptable validity when compared to a 3-day diet record (45). This

demonstrates that FFQs can be reliable tools for assessing dietary consumption patternsin youth.

Due to variations in adolescents' literacy levels and their limited knowledge of 431 foods, developing an assessment tool that accurately reports nutrient intake is challenging 432 (46). We were unable to adjust the analysis for energy intake because energy intake was 433 434 not mentioned in the SFFQ. However, no association was found between calories and vitamin D for the LFFQ; we felt using the unadjusted values for the SFFQ was justified. 435 Another limitation was the relatively small sample size of our study, which may have 436 437 affected the statistical power for vitamin D intake assessment. In addition to the SFFQ and the LFFQ, a 3-day food record was completed by fewer than half of the participants. 438 The food record was to be used to further validate the SFFQ. However, the data from 439 many of the food records were incomplete, and did not represent an accurate description 440 of macro- and micronutrient consumption. Therefore, it was determined not to use the 441 442 food record data in this secondary analysis.

Future studies should involve continued evaluation of this micronutrient 443 assessment instrument, especially among populations vulnerable to vitamin D deficiency. 444 445 Using biological markers such as 25(OH)D could improve the reliability and validity of this instrument. As FFQs can provide valuable feedback, which is useful for evaluating 446 447 micronutrient policy initiatives, the SFFQ used in this study could be used in large-scale 448 surveys to monitor vitamin D intake in segments of the population at risk for deficiency. Additional studies are needed to document the effects of screening otherwise healthy 449 450 children for and treatment of deficient vitamin D status.

49

## 451 Conclusion

- 453 vitamin D intake in adolescents. The SFFQ would serve well as a tool for identifying
- 454 children with low vitamin D intake who may benefit from a vitamin D nutrition
- 455 intervention designed for increasing vitamin D consumption.

456 Tables

457 Table 1. Mean age and median weight, height and body mass index measures by visit and

458 race

Initial Visit	Total	African American	Caucasian
Initial Visit	(n=140)	(n=94)	(n=46)
Age (y) <sup>a</sup>	9.1 <u>+</u> 1.7	8.9 <u>+</u> 1.7	9.5 <u>+</u> 1.7
Weight (kg) <sup>b</sup>	32.1 (26.8-39.4)	34.5 (27.5-39.1)	31.2 (24.9-41.6)
Height (cm) <sup>b</sup>	133.5 (126.8-140.8)	131.9 (127.0-139.8)	135.4 (126.5-145.6)
Body mass index (kg/m <sup>2</sup> ) <sup>b</sup>	17.8 (16.2-20.4)	18.3 (16.6-21.0)*‡	16.3 (15.4-19.2)**
6 Month Visit	(n=122)	(n=83)	(n=39)
Age (y) <sup>a</sup>	9.6 <u>+</u> 1.7	9.5 <u>+</u> 1.7	10.0 <u>+</u> 1.8
Weight (kg) <sup>b</sup>	34.1 (28.8-42.8)	35.0 (29.0-42.7)	33.4 (27.8-45.7)
Height (cm) <sup>b</sup>	136.3 (129.5-143.2)	135.5 (129.5-142.2)	137.9 (128.2-145.6)
Body mass index (kg/m <sup>2</sup> ) <sup>b</sup>	18.2 (16.6-21.6)	19.1 (16.7-22.4)‡	17.1 (15.8-20.0)

- 459 <sup>a</sup>Mean  $\pm$  SD
- 460 <sup>b</sup>Median (Interquartile range of 25%-75% of the study population)
- 461 \*Comparison of median BMI between initial and 6 month visit (*P*<0.001): Wilcoxon
- 462 Signed-Rank test
- 463 \*\*Comparison of median BMI between initial and 6 month visit (*P*<0.01): Wilcoxon
- 464 Signed-Rank test
- 465  $\ddagger$  Comparison of BMI by race (*P*<0.05): Mann Whitney U test

467	per day from the short food frequency questi	onnaire
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	n	Range	Median (25%-75%) <sup>a</sup>
Initial visit			
Milk (8 oz)	137	0.0 - 10.0	2.0 (1.0-3.0)
Soy, Lactaid <sup>®</sup> or chocolate milk (8 oz)	106	0.0 - 4.5	0.0 (0.0-1.0)
Cheese (1 oz or 1 slice)	133	0.0 - 8.0	1.0 (1.0-2.0)
Yogurt (1 cup)	129	0.0 - 5.0	0.05 (0.0-1.0)
Fortified orange juice (8 oz)	107	0.0 - 3.0	0.20 (0.0-1.0)
6 month visit			
Milk (8 oz)	119	0.0 - 10.0	2.0 (1.0-3.0)
Soy, Lactaid <sup>®</sup> or chocolate milk (8 oz)	92	0.0 - 4.0	0.0 (0.0-1.0)
Cheese (1 oz or 1 slice)	110	0.0 - 8.0	1.5 (1.0-2.0)
Yogurt (1 cup)	106	0.0 - 4.0	0.15 (0.0-1.0)
Fortified orange juice (8 oz)	92	0.0 - 4.0	0.35 (0.0-1.0)

468 <sup>a</sup>Interquartile range of 25%-75% of the study population

469 Table 3. Vitamin D intake for the long (LFFQ) and short food frequency questionnaire

470 (SFFQ) by visit and race<sup>a</sup>

	Total	African American	Caucasian
Initial Visit	(n=140)	(n=94)	(n=46)
	IU	IU	IU
LFFQ	332 <u>+</u> 180*	320 <u>+</u> 168*	357 <u>+</u> 201*
SFFQ	474 <u>+</u> 288	485 <u>+</u> 319	452 <u>+</u> 215
6 Month Visit	(n=122)	(n=83)	(n=39)
LFFQ	349 <u>+</u> 200*	334 <u>+</u> 185*	378 <u>+</u> 227
SFFQ	439 <u>+</u> 234	440 <u>+</u> 222	434 <u>+</u> 263

- 471 <sup>a</sup>Values are mean  $\pm$  SD
- \*Mean values for the LFFQ were lower than for the SFFQ (P<0.01 to 0.001): Paired-
- 473 Samples *t*-Test
- 474 Conversion equation:  $40 \text{ IU} = 1 \mu g$

		LFFQ and SFFQ		
	n	r <sup>a</sup>	<i>P</i> value <sup>b</sup>	
Total	257	0.36	< 0.001	
African American	172	0.26	0.001	
Caucasian	85	0.57	< 0.001	
Initial Visit				
Total	138	0.35	< 0.001	
African American	92	0.32	0.002	
Caucasian	46	0.48	0.001	
6 Month Visit				
Total	119	0.38	< 0.001	
African American	80	0.19	0.087	
Caucasian	39	0.66	< 0.001	

476 (SFFQ) for vitamin D intake by visit and race

- 477 <sup>a</sup>Pearson correlation coefficient
- 478 <sup>b</sup>Significance for r statistic

- Table 5. Reliability test for vitamin D intake between two long food frequency
- 480 questionnaires (LFFQs) administered 6 months apart and two short food frequency

	LFFQs <sup>a</sup>		SFFQs <sup>a</sup>			
	n	r	P value	n	r	P value
Total	119	0.28	0.002	120	0.33	< 0.001
African American	79	0.09	0.428	80	0.31	0.006
Caucasian	40	0.52	0.001	40	0.41	0.008

481 questionnaires (SFFQs) administered 6 months apart

482 <sup>a</sup>Test for reliability: Pearson correlation coefficient

	Total
	(258 pairs)
Sensitivity <sup>a</sup>	0.896
Specificity <sup>b</sup>	0.644
Positive Predictive Value <sup>c</sup>	0.776
Negative Predictive Value <sup>d</sup>	0.578

483 Table 6. Probability statistics results for the short food frequency questionnaire (SFFQ)

- <sup>a</sup>Proportion of participants who were correctly identified as having met AAP vitamin D
- 485 recommendation (400 IU/day)
- 486 <sup>b</sup>Proportion of participants who were correctly identified as not having met AAP
- 487 recommendation
- 488 <sup>c</sup>Probability that a person who tested positive for meeting AAP recommendation truly
- 489 consumed  $\geq$  400 IU/day
- <sup>d</sup>Probability that a person who tested negative for meeting the AAP recommendation
- 491 actually consumed <400 IU/day

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