

ISSUE REPORT

# F as in Fat:

## HOW OBESITY POLICIES ARE FAILING IN AMERICA

# 2005



 Trust for  
America's Health  
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## REPORT AUTHORS

**The Honorable Parris N. Glendening**  
*President*  
Smart Growth Leadership Institute  
*Former Two-Term Governor of Maryland (1995 - 2003)*

**Shelley A. Hearne, DrPH**  
*Executive Director*  
Trust for America's Health

**Laura M. Segal, MA**  
*Director of Public Affairs*  
Trust for America's Health

**Chrissie Juliano, MPP**  
*Public Affairs Research Associate*  
Trust for America's Health

**Michael J. Earls**  
*Public Affairs Associate*  
Trust for America's Health

## CONTRIBUTORS

**Michael Eriksen, Sc.D.**  
*Professor and Director*  
Institute of Public Health, Georgia State University

**Benjamin T. Peltier, JD**  
*Attorney*  
Arent Fox, PLLC

**John R. Dwyer, Jr., JD**  
*Counsel*  
Arent Fox, PLLC

**Kim Elliott, MA**  
*Deputy Director*  
Trust for America's Health

**Jeffrey Levi, PhD**  
*Senior Policy Advisor*  
Trust for America's Health

**Richard Hamburg**  
*Director of Government Relations*  
Trust for America's Health

**Darrell R.C. Villaruz, MPH, MA**  
*Government Relations Coordinator*  
Trust for America's Health

**Laure Lesperance**  
*Executive Assistant*  
Trust for America's Health

**Misha Segal**  
*Consultant*

**Paul Smolarcik**  
*Consultant*

**Matthew Crim**  
*Intern*  
Trust for America's Health

**Emily Gadola**  
*Intern*  
Trust for America's Health

**Rose Stein**  
*Intern*  
Trust for America's Health

## PEER REVIEWERS

*TFAH thanks the reviewers for their time, expertise and insights. The opinions expressed in this report do not necessarily represent the views of these individuals or their organizations.*

**J. Michael McGinnis, M.D., M.P.P.**  
*Senior Scholar*  
Institute of Medicine

**Don Chen**  
*Founder and Executive Director*  
Smart Growth America (SGA)

**Marcus Plescia, M.D., MPH**  
*Chief, Chronic Disease and Injury Section*  
North Carolina Division of Public Health

**Debra Lightsey, MSW**  
*Director, Public Health Policy and Marketing*  
Health Services Research and Management Group  
BearingPoint, Inc.

**Stephen R. Daniels MD, PhD**  
*Professor and Associate Chairman,*  
Department of Pediatrics  
Cincinnati Children's Hospital Medical Center  
and the University of Cincinnati College of Medicine

# Introduction

In October 2004, Trust for America's Health (TFAH) issued a report examining obesity policies in America entitled, "F as in Fat: How Obesity Policies are Failing in America."<sup>1</sup> The 2004 report concluded that national and state policies are falling far short of obesity prevention and reduction goals. It found that the U.S. does not have the aggressive, coordinated national and state strategies needed to address the crisis -- and this threatens to make the epidemic worse.

Obesity is a complex issue, involving many contributing factors. While it is undisputable that individual behavior -- "eating less, exercising more" -- is critical to addressing obesity, government also has an important role to play. From sidewalks to school lunches, government can positively affect people's behavior when it comes to diet and physical activity.

This report is the second annual edition of "F as in Fat" and updates the information provided in the 2004 report. It is intended

to set a baseline of current national and state policies and programs. The report includes six sections:

**Section 1: The States.** This section provides information on each state's rate of obesity, related diseases, and related costs; school nutrition and physical activity policies; and additional state strategies and actions, including tax policies, litigation restrictions, and participation in major federal obesity grant programs.

**Section 2: States and Smart Growth Initiatives.** This section reviews "smart growth" initiatives intended to help encourage more active and healthier living in communities, such as increasing recreational spaces, making walking between locations more convenient and safe, and making healthy food more accessible and affordable.

**Section 3: The Federal Government.** This section focuses on changes in federal initiatives and actions taken in the past year.

**Section 4: Health Insurance.** This section examines actions taken by health insurance providers and private industry aimed at reducing obesity.

**Section 5: Private Sector.** This section provides examples of some obesity-related efforts and products launched by the corporate sector.

**Section 6: Recommendations.** This section offers a series of recommendations for steps that can be taken today by policymakers to have a positive impact on combating obesity in America.



# Obesity In America

The rapidly escalating rate of obesity in the U.S. is resulting in a major shift in the health of Americans. It is estimated that 119 million, or 64.5 percent, of American adults are overweight or obese.<sup>2</sup> Estimates of the number of obese American adults rose just in the past year, from 23.7 percent in 2003 to 24.5 percent in 2004.<sup>3</sup>

- Adult obesity rates have risen significantly, from 15 percent in 1980<sup>4</sup> to 19.4 percent in 1997 to 24.5 percent in 2004.<sup>5</sup> It should be noted that the federal government slightly lowered the threshold for what should be considered “overweight” and “obese” in 1998 to make it more reflective of maintaining good health, however, the trend of quickly rising levels of obesity are still very clear.<sup>6</sup>
- More than nine million children -- 15 percent -- are either overweight or obese. The rate of childhood obesity more than doubled from 1980 to 2000.<sup>7</sup>
- According to projections, 73 percent of American adults could be overweight (34 percent) or obese (39 percent) by 2008.<sup>8</sup>

According to the National Institutes of Health (NIH) and Centers for Disease Control and Prevention (CDC), being overweight or obese increases an individual’s risk for developing over 35 major diseases, including type 2 diabetes, heart disease and stroke, cancer, sleep apnea, osteoarthritis, gallbladder disease, and fatty liver disease.<sup>9</sup> According to the CDC, “obesity [contributes to] about ... 2/3 of heart disease, 20 percent of cancer in women, and 15 percent of cancer in men.”<sup>10</sup>

The characterization of people as obese and overweight, however, also has to be evaluated within the context of their overall health. The current prevailing scientific consensus is that fitness matters more than numbers on the scale. Additionally, recent research shows that even relatively small weight loss can yield big health benefits for individuals.<sup>11</sup> Also, good nutrition and physical activity have a positive impact on people’s health no matter what their current weight level may be. In fact, the American health epidemic is not just about obesity, but also about poor nutrition and lack of physical exercise. Therefore, U.S. obesity policy should focus on finding ways to encourage healthy eating and exercise regimes for all people, no matter what their current weight may be.



## EXAMPLES OF MAJOR OBESITY-RELATED HEALTH RISKS

### ■ Type 2 Diabetes

- ▲ Eighteen million -- approximately 7.2 percent - of adult Americans have diabetes.<sup>12</sup>
- ▲ Another 41 million Americans are “pre-diabetic,” which means they have prolonged or uncontrolled elevated blood sugar levels that can contribute to developing diabetes.<sup>13</sup>
- ▲ Diabetes is the sixth leading cause of death in the U.S. and accounts for 11 percent of all U.S. health care costs.<sup>14</sup>
- ▲ Elevated blood sugar levels can lead to early death, heart disease, kidney disease, stroke, and blindness.<sup>15</sup>
- ▲ People with diabetes and pre-diabetes are more likely to have fatty liver disease, which can lead to liver damage.<sup>16</sup>
- ▲ “Two out of three Americans with type 2 diabetes do not have their disease under control [or prolonged or uncontrolled elevated blood sugar levels can contribute to] ... early death from stroke, heart attack or kidney failure as well as blindness and limb loss.”<sup>17</sup>
- ▲ More than 80 percent of people with type 2 diabetes are overweight.<sup>18</sup>
- ▲ The World Health Organization (WHO) estimates that the number of adults in the U.S. with diabetes will double by the year 2030 to an estimated 30.3 million Americans.<sup>19</sup>

### ■ Heart Disease and Stroke

- ▲ Heart disease is the leading cause of death in the U.S., and stroke is the third leading cause.<sup>20</sup>
- ▲ One in four Americans has some form of cardiovascular disease.<sup>21</sup>
- ▲ Heart disease can lead to a heart attack, congestive heart failure, sudden cardiac death, angina (chest pain), or abnormal heart rhythm.<sup>22</sup>
- ▲ A stroke limits blood and oxygen to the brain and can cause paralysis or death.<sup>23</sup>
- ▲ People who are overweight are more likely to suffer high blood pressure, high levels of blood fats, and LDL cholesterol (“a fat-like substance”), which are risk factors for heart disease and stroke.<sup>24</sup>
- ▲ Over 75 percent of hypertension cases are reported to be directly attributed to obesity.<sup>25</sup>

### ■ Cancer

- ▲ Approximately 20 percent of cancer in women and 15 percent of cancer in men is attributable to obesity.<sup>26</sup>
- ▲ Cancer is the second leading cause of death in the U.S.
- ▲ People who are overweight “may increase the risk of developing several types of cancer, including cancers of the colon, esophagus, and kidney. Overweight is also linked with uterine and postmenopausal breast cancer in women.”<sup>27</sup>
- ▲ It is unknown why being overweight can increase cancer risk. One theory is that fat cells may affect overall cell growth in a person’s body.<sup>28</sup>



## “TWIN” EPIDEMICS OF OBESITY AND DIABETES: The Emerging Trend of Type 2 Diabetes in Children

CDC estimates that one-third of Americans will develop diabetes during their lifetime.<sup>29</sup> The American Diabetes Association estimates that one in three children born after the year 2000 will develop diabetes before reaching age 50.<sup>30</sup>

Before the 1990s, doctors often referred to type 2 diabetes as “adult-onset” diabetes. Now, it is estimated that between 12,000 and 69,000 people under the age of 20 could have type 2 diabetes.<sup>31, 32</sup>

“The incidence of type 2 in the young is rising in parallel with the incidence of overweight and obesity, suggesting a possible causal relationship, particularly when the obesity is central and in relation to decreased physical activity,” according to a recent study published in *Diabetes Care*.<sup>33</sup>

“An increase in type 2 diabetes ... in young people means that we are going to have more people -- children and adults -- with diabetes and they will have it for a longer time, which increases the rate of severe complications like blindness, renal failure, and amputations,” said Dr. Frank Vinicor, director of CDC’s diabetes program.<sup>34</sup>

Currently, treating type 2 diabetes in adults costs the U.S. an estimated \$140 billion annually.<sup>35</sup>

**TYPE 1 DIABETES:** A lifelong disease that develops when the pancreas stops producing insulin causing a person’s blood sugar to rise above a safe level. In this form of diabetes, the pancreas no longer makes insulin.<sup>36</sup> Type 1 diabetes is not associated with overweight or obesity.

**TYPE 2 DIABETES:** A chronic disease that develops when the pancreas cannot produce enough insulin or the body cannot process insulin properly, causing blood sugar to rise above a safe level. In this form of diabetes, the pancreas loses the ability to keep up with a body’s increased demand for more insulin. People who are overweight or obese are at risk for developing type 2 diabetes.<sup>37</sup>

People with diabetes are at risk for developing heart, kidney, nerve, eye, and blood vessel disease.

### CDC AND NIH “SEARCH FOR DIABETES IN YOUTH”

In response to reports indicating a rise in both type 1 and type 2 diabetes among children and adolescents, the CDC and NIH are funding a five-year study, SEARCH for Diabetes in Youth, to investigate the current status of diabetes among U.S. youth.

A primary goal of SEARCH is to estimate the number of new and existing childhood diabetes cases (by type, age, sex, and race or ethnicity) in the U.S. today. In addition, the CDC hopes to correctly differentiate the types of childhood diabetes (since no “gold standard” classification system currently exists), to describe the evolution and complications of the disease in children and adolescents, and to define the quality of life of youth afflicted with the disease.<sup>38</sup>

The study includes approximately 4.5 million American youths ages 0 to 19 from six sites, or approximately 6 percent of all children, making the SEARCH population “the largest and most racially and geographically diverse study group ever involved in a youth diabetes study.”<sup>39</sup>

The six sites are Kaiser Permanente Southern California, Pasadena, CA; University of Colorado Health Sciences Center, Denver, CO; Pacific Health Research Institute, Honolulu, HI; Children’s Hospital Medical Center, Cincinnati, OH; University of South Carolina School of Public Health, Columbia, SC; and Children’s Hospital & Regional Medical Center, Seattle, WA.<sup>40</sup>

## OBESEITY COSTS

As the medical conditions related to obesity increase in prevalence, so do the related costs.

- The direct and indirect costs of obesity, including medical costs and lost productivity, amount to more than \$117 billion each year, according to estimates from the U.S. Department of Health and Human Services (HHS).<sup>41</sup> This includes \$61 billion in direct medical costs for treatment of related diseases and \$56 billion in indirect costs such as lost productivity. [See Section 1: *Overweight and Obesity in the States for information on the cost of medical care for obesity in states.*]
- A 2002 study published in *Health Affairs* found that obesity increases health care costs for inpatient ambulatory care by 36 percent and medication costs by 77 percent compared to people in a normal weight range.<sup>42</sup>
- Employers and businesses bear a sizable portion of the costs associated with treating obesity-related conditions.<sup>43</sup> These costs are primarily for lost productivity, paid sick leave, and the increased costs of health, life, and disability insurance. Obese employees take more sick leave than non-obese employees and are twice as likely to have high-level absenteeism -- seven or more absences due to illness during a six month period.<sup>44</sup>
- ▲ In 1994, obesity led to 39.2 million days of lost work, 239 million restricted-activity days, and 89.5 million bed-days.<sup>45</sup>



## Institute of Medicine Report on Childhood Obesity

Responding to a request from Congress about the alarming growth of obesity rates, particularly in children, and the resulting health consequences and costs, the Institute of Medicine (IOM) and the National Academy of Sciences (NAS) convened a

committee of experts to prepare a “prevention-oriented action plan to tackle the alarming rise in childhood obesity.”<sup>46</sup> The report, released in September 2004, recommended specific actions for families, schools, industry, communities, and government.

### SUMMARY OF RECOMMENDATIONS FROM THE IOM’S “PREVENTING CHILDHOOD OBESITY” REPORT<sup>47</sup>

#### 1: National Priority

Government at all levels should provide coordinated leadership for the prevention of obesity in children and youth. The President should request that the Secretary of HHS convene a high-level task force to ensure coordinated budgets, policies, and program requirements and to establish effective inter-departmental collaboration and priorities for action. Increased levels and sustained commitment of federal and state funds and resources are needed.

#### 2: Industry

Industry should make obesity prevention in children and youth a priority by developing and promoting products, opportunities, and information that will encourage healthful eating behaviors and regular physical activity.

#### 3: Nutritional Labeling

Nutritional labeling should be clear and useful so that parents and youth can make informed product comparisons and decisions to achieve and maintain energy balance at a healthy weight.

#### 4: Advertising and Marketing

Industry should develop and strictly adhere to marketing and advertising guidelines that minimize the risk of obesity in children and youth.

#### 5: Multimedia and Public Relations Campaign

HHS should develop and evaluate a long-term national multimedia and public relations campaign focused on obesity prevention in children and youth.

#### 6: Community Programs

Local governments, public health agencies, schools, and community organizations should collaboratively develop and promote programs that encourage healthful eating behaviors and regular physical activity, particularly for populations at high risk of childhood obesity. Community coalitions should be formed to facilitate and promote cross-cutting programs and community-wide efforts.

#### 7: Built Environment

Local governments, private developers, and community groups should expand opportunities for physical activity, including recreational facilities, parks, playgrounds, sidewalks, bike paths, routes for walking or bicycling to school, and safe streets and neighborhoods, especially for populations at high risk of childhood obesity.

#### 8: Health Care

Pediatricians, family physicians, nurses, and other clinicians should engage in the prevention of childhood obesity. Health care professional organizations, insurers, and accrediting groups should support individual and population-based obesity prevention efforts.

#### 9: Schools

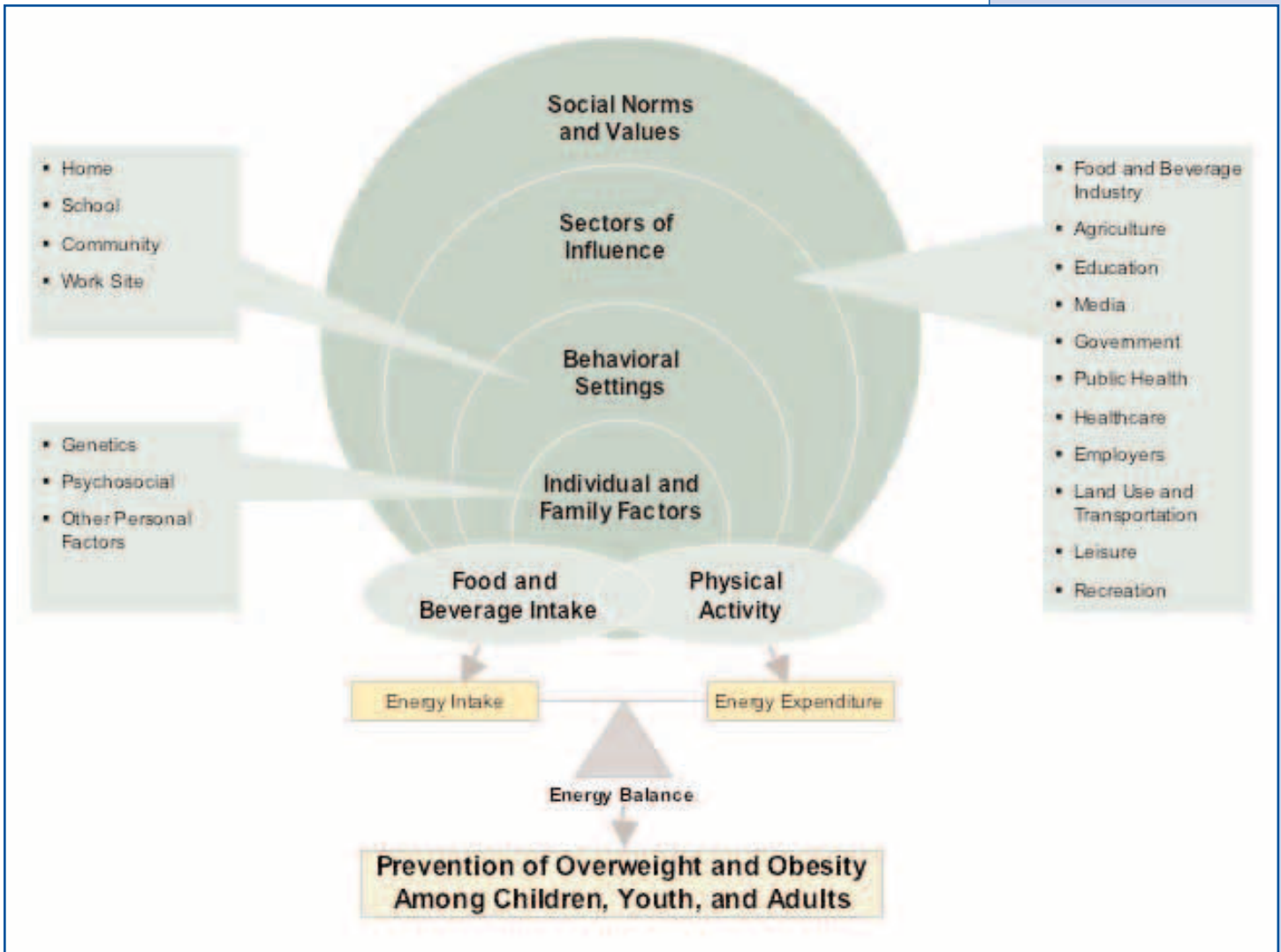
Schools should provide a consistent environment that is conducive to healthful eating behaviors and regular physical activity.

#### 10: Home

Parents should promote healthful eating behaviors and regular physical activity for their children.



# FRAMEWORK FOR ADDRESSING OBESITY



Source/Note: Adapted from "Preventing Childhood Obesity." Institute of Medicine, 2005.



## OBESITY AND OVERWEIGHT BACKGROUND

Overweight and obesity result from an energy imbalance over time. Energy balance involves eating too many calories and engaging in too little physical activity. When calories consumed are greater than calories used (physical activity), weight gain results.

Humans evolved in an environment that demanded vigorous physical activity, included nutritious but mostly low-calorie foods, and was characterized by cyclical feast and famine. To survive, humans developed an innate preference for sweet foods and a strong pleasure response to dietary fat. These natural defenses against nutritional deficiency and starvation backfire in a modern environment where food is plentiful and technology reduces the need for daily physical activity.<sup>48</sup>

Obesity is defined as an excessively high amount of body fat or adipose tissue in relation to lean body mass.<sup>49</sup> Overweight refers to increased body weight in relation to height, which is then compared to a standard of acceptable weight.<sup>50</sup> Body mass index, or BMI, is a common measure expressing the relationship (or ratio) of weight-to-height. It is a mathematical formula:

$$\text{BMI} = \frac{\text{(Weight in pounds)}}{\text{(Height in inches)} \times \text{(Height in inches)}} \times 703$$

Adults with a BMI of 25 to 29.9 are considered overweight, while individuals with a BMI of 30 or more are considered obese. The NIH adopted a lower optimal weight threshold in June 1998. Previously, the federal government defined overweight as a BMI of 28 for men and 27 for women.

There are some issues and disputes surrounding the use of BMI as the primary measure for obesity.

- For instance, it does not distinguish between fat and muscle, and individuals with a significant amount of lean muscle will have large BMIs, which do not indicate an unhealthy level of fat.
- Other research has shown that those of African and/or Polynesian ancestry may have “less body fat and more lean muscle mass,” suggesting higher baseline BMIs for overweight and obesity.<sup>51</sup>
- New research has also found that there may be race or ethnicity issues in BMI measurements. A June 2005 study found that current BMI thresholds “significantly underestimate health risks in many non-Europeans.”<sup>52</sup> Asian and Aboriginal groups, despite “healthy” BMIs, had high risk of “weight related health problems.”<sup>53</sup> Several years ago, it was suggested to the WHO that BMI levels be dropped to 23 and 25 for overweight and obesity, respectively, among Asian populations, but no such changes have occurred.

A number of scientific organizations, including the prestigious U.S Preventive Services Task Force (USPSTF), still believe there is insufficient evidence to formally issue a recommendation for or against across-the-board BMI screening.<sup>54</sup> Others, including the American Academy of Pediatrics (AAP) and the American Academy of Family Physicians (AAFP), however, endorse this type of screening.

Examining BMI levels are considered useful by a number of researchers for examining trends and patterns of overweight and obesity. However, assessing an individual’s health should include the consideration of other factors beyond BMI, such as waist size, waist-to-hip ratio, blood pressure, cholesterol level, and blood sugar.<sup>55</sup>

## OBESITY AND MORTALITY

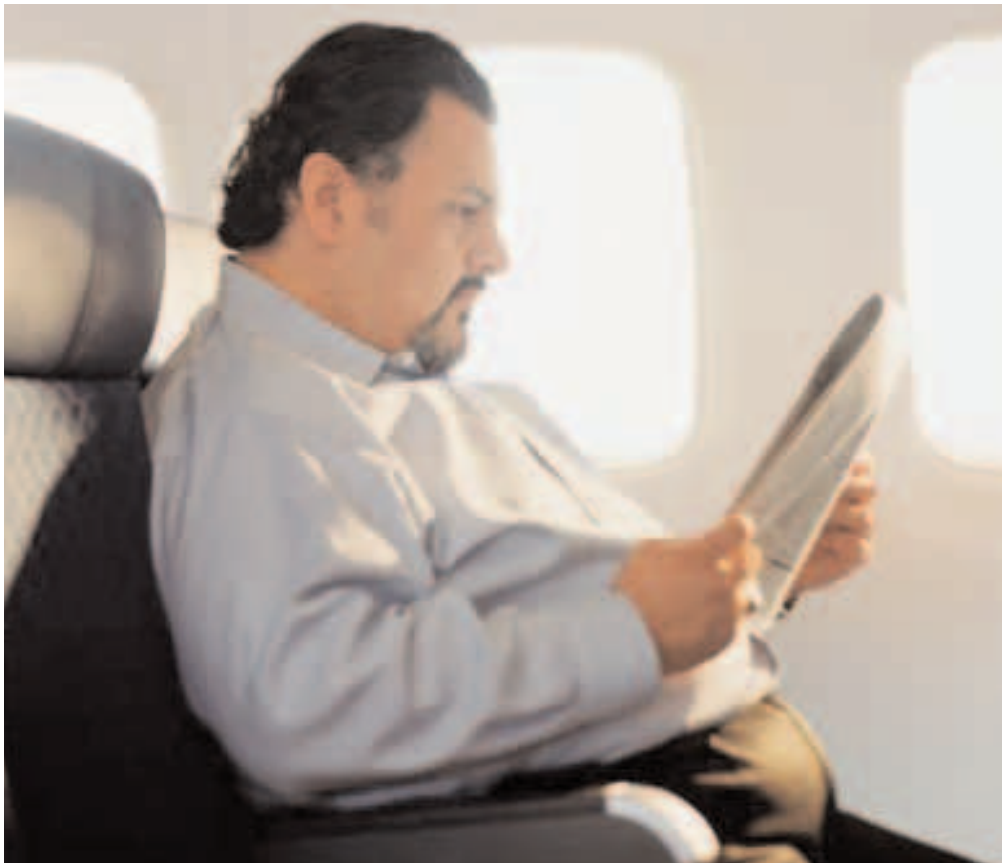
How does obesity relate to people's health and life expectancy? Despite efforts by many researchers to study obesity, morbidity, and mortality, there are still many remaining questions about how obesity impacts health, contributes to diseases, and, in some cases, leads to death.

For instance, in March 2004, an article by officials from the CDC reported that obesity caused approximately 400,000 deaths per year in the U.S. was published in the *Journal of the American Medical Association*.<sup>56</sup> A correction to this article was published in January 2005 due to a "review that found some typographical and transcriptional errors" in the study and updated the estimated deaths caused by obesity to be 365,000 annually.<sup>57</sup>

Then, in April 2005, an article by another team of officials from CDC and NIH used a different data set and concluded that obesity was the attributable cause of approximately 112,000 deaths annually.<sup>58</sup>

CDC officials explained that the wide discrepancy in the estimates in the two studies was due to the use of different research data, with the April 2005 article using more up-to-date statistics.<sup>59</sup> CDC experts continued to emphasize that obesity and overweight are risk factors for a range of chronic health conditions.<sup>60</sup>

Although some experts suggest that medical advancements are extending life expectancy for many people with some obesity-related conditions, such as new treatments for heart disease, the number of Americans dying from obesity-related diseases such as diabetes, arteriosclerosis, and certain cancers is increasing.





# Overweight and Obesity in the States

*In this section, TFAH examines the current status of each state's obesity and related health profile, and reviews legislative actions aimed at obesity reduction.*

**S**tates have primary responsibility for the health of their citizens. Each state, through its department of health, identifies health goals and strategies.<sup>61</sup>

Most obesity-related initiatives have only been in place for a short time, and their definitive impact is unknown. Very few existing programs include evaluation mechanisms to measure their effectiveness.

TFAH conducted this overview of state policies to help inform and begin to help evaluate those efforts that are having a positive impact. Successful policies hold the potential to improve the health of Americans and to help reduce obesity-related health care costs.

## **Section I is divided into three parts:**

**Part A:** State-by-state statistics on adult overweight and obesity; related disease rates; overweight among high school students and low-income children ages two to five; and health care costs.

**Part B:** School policies, including food, physical education, and health education.

**Part C:** State actions and policies.



## PART A: STATE-BY-STATE STATISTICS

### The Prevalence of Obesity and Related Diseases

Obesity levels rose in the last year in every state over last year, except in Oregon, where the level remained the same.<sup>62</sup> Over the past four years, the trend of rising obesity rates in states is even more apparent.

*(Note: The rankings of state's "obesity" and "obesity and overweight" levels in this section are based on averages of the states' three most recent years of statistics in order to increase the accuracy of the data. Further discussion on the data can be found below and in Appendix A.)*

HHS set a national goal of reducing obesity in adults to 15 percent or less of the population in states by the year 2010. Currently, adult obesity levels are 16 percent or more in every state.

In fact, 20 percent or more of adults are obese in 41 states and D.C. (based on the average of the most recent three years of data).

Ten states have levels exceeding 25 percent. Seven of these 10 states are in the Southeastern U.S.

Rank	State (And 2004 Reported Percentage of Adult Obesity)	Percentage of Obesity in Adults 2002-2004 Average
1	Mississippi (29.5)	28.1
2	Alabama (28.9)	27.7
3	West Virginia (27.6)	27.6
4	Louisiana (27.00)	25.8
5	Tennessee (27.2)	25.6
6 (tie)	Texas (25.8 -- not Southeastern state)	25.3
6 (tie)	Michigan (25.4 -- not Southeastern state)	25.3
6 (tie)	Kentucky (25.8)	25.3
9	Indiana (25.5 -- not Southeastern state)	25.2
10	South Carolina (25.1)	25.1

Source: Based on an average of 2002-2004 Behavior Risk Factor Surveillance Survey (BRFSS) data from CDC.

Colorado had the lowest levels at 16.4 percent based on the average of the most recent three years of data.

In addition, over 52 percent of adults are *either obese or overweight* in every state.

Twenty-three states have obese plus overweight levels of adults exceeding 60 percent. Mississippi has the highest combined level of obese plus overweight adults at 64.5 percent based on the average of most recent three years of data. Colorado has the lowest at 52.6 percent.

## THE PROBLEMS AND LIMITATIONS OF CDC'S STATE OBESITY AND OTHER HEALTH DATA

The data used for this report rely on the CDC's Behavioral Risk Factor Surveillance System (BRFSS). The BRFSS, which is a taxpayer-supported CDC program, is the primary source for health information in the U.S. This is the data that is supposed to provide policymakers and the public with information to make decisions about health policies, funding, and activities. These data are routinely presented by CDC to policymakers, including Congress and state officials, and the public in chart form, on their Website, and in trend maps. However, some CDC officials raise issues about the limitations of the data, such as reliance on small sample sizes and inconsistencies in collection in different states. For instance, in the 2004 BRFSS adult obesity data varied dramatically from the 2003 BRFSS data in at least ten states (for instance, Florida reported 19.9 percent adult obesity in 2003 and 22.8 percent in 2004; Maine reported 19.9 percent in 2003 and 23.3 percent in 2004; and Wisconsin reported 20.9 percent in 2003 and 22.1 percent in 2004.) Based on advice from officials at CDC, TFAH based the state rankings on averages of the three most recent years of data 2002, 2003, and 2004, in order to "stabilize" the data (i.e. to provide greater reliability and accuracy for the data) by increasing the sample size. The percent point changes for the data, used to evaluate changes across the years, in this report compared the averages of 2001, 2002, and 2003 with the averages of 2002, 2003, and 2004. The CDC presents the 2004 data (the first column in the chart on page 16) in its materials without "stabilizing" the information.

The combined overweight and obesity columns include the BRFSS 2004 data, the average of the 2002-2004 data, and then the rankings based on the 2002-2004 average. The diabetes columns are also based on the BRFSS 2004 data, the average of the 2002-2004 data, and then the rankings based on the 2002-2004 average. The hypertension rates represented are an average of the BRFSS 1999, 2001, and 2003 survey (the hypertension data are only collected every other year in most states, so there was no new 2004 data).

The BRFSS data is compiled through telephone surveys and relies on self-reported information by the respondents. Other potential limitations on the data include differences in telephone systems, sample designs, surveyed populations, and data collection processes. (More information about BRFSS data quality can be found on the CDC Web site at: [http://www.cdc.gov/brfss/technical\\_infodata/2004QualityReport.htm](http://www.cdc.gov/brfss/technical_infodata/2004QualityReport.htm). The data are collected in order to help identify trends and inform policy decisions.

The levels of overweight high school students are from CDC's Youth Risk Behavior Surveillance (YRBS) 2003 survey (which is conducted bi-annually; therefore, the levels reported in this chart are the same as those included in TFAH's 2004 report). The overweight levels among low-income children ages 2-5 are from the CDC's Pediatric Nutrition Surveillance (PedNSS) 2003 survey. The state medical costs related to obesity data are from a study published in *Obesity Research* in January 2004 that reflect data from 2003. (These figures were also included in TFAH's 2004 report). State rankings were computed by TFAH, based on the study's data, with 1 being the most obese state and 51 being the least (D.C. was included in the rankings.) Last year's ranking appear in parentheses as well. [For more detail on the sources, see Appendix A.]

### Obesity Health Care Costs

Obesity-related costs in the following table reflect findings from a 2004 study by RTI International and CDC's Division of Nutrition and Physical Activity. The researchers found that **obesity-attributable medical costs in the states totaling \$75 billion was spent in 2003.**<sup>63</sup>

The researchers examined Medicare, Medicaid, and private health insurance spending for obesity-attributable medical care. Of this amount, the researchers found that the government, and ultimately **taxpayers, are responsible for \$39 billion of these medical treatment**

**costs** (the other costs are carried by private insurance, and therefore paid for by employers and individuals). D.C. spent the most on obesity-attributable medical care per capita at \$660, and Arizona spent the least at \$135.

These figures only include direct medical care, and do not include the range of costs associated with other state programs, services, and initiatives that include or are related to obesity, such as children's health programs or obesity task forces.

**PART A: CHART ON O**

	% Obese Adults 2004	Percentage of Obesity Adults 2002-2004 (3-Yr. Avg)	Percentage Point Change Obesity Adults 2001-2003 to 2002-2004 (3-Yr. Avgs)	Adult Obesity Ranking 2002-2004 (3-Yr. Avg)	Percentage of Obese & Overweight Adults 2004****	Percentage of Overweight & Obesity Adults 2002-2004 (3-Yr. Avg)	Adult Overweight & Obese Ranking 2002-2004 (3-Yr. Avg)	% Diabetes Adults 2004
Alabama	28.9	27.7	1.5	2	64.60	63.5	2	8.1
Alaska	23.7	23.5	0.5	19	62.50	61.4	12	4.2
Arizona	21.2	20.3	0.9	40	56.00	56.4	43	6.6
Arkansas	26.1	25.0	1.2	11	62.40	61.7	8	7.1
California	22.2	21.5	0.1	31	60.20	58.8	40	7.1
Colorado	16.8	16.4	0.6	50	52.90	52.6	50	4.3
Connecticut	19.7	18.9	0.6	47	56.10	55.3	45	6.0
Delaware	21.1	22.5	0.1	27	59.60	59.5	26	7.0
DC	22.5	21.2	0.8	35	55.50	53.5	49	8.3
Florida	22.9	20.7	1.4	38	59.70	58.4	34	7.8
Georgia	24.7	24.5	0.7	12	59.40	59.6	25	7.3
Hawaii	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Idaho	20.8	20.9	0.1	37	58.20	58.2	35	6.1
Illinois	23.0	22.9	0.7	22	59.40	59.9	24	6.0
Indiana	25.5	25.2	0.3	9	62.20	61.7	8	7.7
Iowa	23.5	23.4	0.3	20	60.90	61.2	13	6.4
Kansas	23.2	22.9	0.5	22	60.60	60.4	17	6.5
Kentucky	25.8	25.3	0.4	6	63.40	63.0	4	7.5
Louisiana	27.0	25.8	1.0	4	62.60	61.6	10	8.3
Maine	23.4	21.3	1.3	32	60.90	59.3	28	7.5
Maryland	23.9	21.7	1.1	29	58.50	58.5	33	7.2
Massachusetts	18.4	17.8	0.6	49	54.40	54.0	48	5.6
Michigan	25.4	25.3	0.1	6	60.90	61.6	10	7.7
Minnesota	22.6	22.6	0.9	25	60.30	60.0	22	5.0
Mississippi	29.5	28.1	1.0	1	65.50	64.5	1	9.6
Missouri	24.9	23.9	0.6	16	61.70	60.4	17	7.3
Montana	19.7	19.1	0.3	45	57.00	56.8	42	6.0
Nebraska	23.2	23.4	0.8	20	61.70	60.9	14	6.3
Nevada	21.1	21.3	0.5	32	59.90	59.1	29	6.4
New Hampshire	21.6	19.9	0.7	43	57.60	57.0	39	6.5
New Jersey	21.9	20.3	0.8	40	59.70	57.8	37	6.8
New Mexico	21.5	20.5	0.6	39	57.80	56.9	40	6.5
New York	22.1	21.2	0.6	34	57.70	57.1	38	7.5
North Carolina	24.2	23.9	0.4	16	61.30	60.4	17	8.4
North Dakota	24.6	23.9	1.4	16	62.80	62.4	5	5.9
Ohio	25.3	24.4	1.0	13	61.00	60.2	20	7.8
Oklahoma	24.9	24.1	0.8	14	60.90	60.0	22	8.0
Oregon	21.2	21.0	0.0	36	59.00	58.1	36	6.6
Pennsylvania	24.3	24.0	0.7	15	61.00	60.2	20	7.8
Rhode Island	19.0	18.6	0.4	48	55.90	56.3	44	7.2
South Carolina	25.1	25.1	0.9	10	61.20	60.9	14	8.3
South Dakota	23.8	22.6	0.9	26	61.70	60.8	16	6.6
Tennessee	27.2	25.6	1.3	5	64.10	61.9	7	8.4
Texas	25.8	25.3	0.4	6	62.90	62.4	5	7.7
Utah	20.4	19.6	0.4	44	56.40	54.9	46	5.1
Vermont	18.7	19.1	0.4	45	54.20	54.7	47	5.3
Virginia	23.1	22.9	0.7	22	59.90	58.8	30	7.0
Washington	22.2	21.7	1.0	29	58.30	58.6	32	6.4
West Virginia	27.6	27.6	0.8	3	64.00	63.1	3	10.9
Wisconsin	23.2	21.9	0.3	28	60.50	59.5	26	5.7
Wyoming	20.8	20.1	0.4	42	57.70	56.9	40	6.0
U.S.	23.2	22.7	0.7	N/A	N/A	59.4	N/A	7.0

Source: Adult Obesity, Overweight, and Diabetes Rates: CDC's Behavioral Risk Factor Surveillance Survey (BRFSS) from 2001, 2002, 2003, 2004. Hypertension rates from 1999, 2001, 2003; hypertension data is only collected every other year.

TFAH calculated 3-year averages for each category to increase state-level sample sizes. Rankings were also calculated based on the 3-year average. Note that Hawaii did not report data in 2004; averages includes only data from 2001 through 2003.



## BESITY AND OVERWEIGHT IN THE STATES

Percentage of Diabetes Adults 2002-2004 (3-Yr. Avg)	Adult Diabetes Ranking 2002-2004 (3-Yr. Avg)	Rates of Hypertension Adults 2003*	Percentage of Hypertension Adults 1999-2003 (3-Yr. Avg)	Adult Hypertension Ranking 1999-2003 (3-Yr. Avg)	Percentage of Overweight High School Students 2003	High School Overweight Ranking 2003	Rates of Overweight Low-Income Children Ages 2-5 2003	Overweight Low-Income Children Ages 2-5 Ranking 2003	Medical Costs Related to Obesity Per Person 2003	Ranking for Medical Costs Related to Obesity Per Person 2003
8.4	5	33.1	32.0	3	13.5	7	14.7	7	\$293	9
4.2	51	20.8	21.3	47	11.0	18	N/A	N/A	\$301	8
6.4	32	22.7	20.2	51	10.8	19	N/A	N/A	\$135	51
7.5	16	30.5	29.5	4	N/A	N/A	12.2	28	\$243	32
7.2	22	23.4	23.2	38	N/A	N/A	17.6	2	\$216	43
4.5	50	19.8	21.2	48	N/A	N/A	9.4	36	\$192	44
5.9	42	24.2	22.9	40	N/A	N/A	N/A	N/A	\$246	30
7.3	20	27.7	26.8	11	13.5	7	N/A	N/A	\$253	29
8.0	7	25.2	26.3	15	N/A	N/A	13.3	16	\$660	1
8.0	7	29.3	28.0	7	12.4	12	13.4	14	\$234	37
7.4	17	28.0	27.1	10	11.1	16	12.4	25	\$246	31
6.7	26	23.2	23.3	37	N/A	N/A	10.1	34	\$231	38
6.2	37	23.1	23.6	35	7.4	29	11.1	32	\$166	49
6.7	26	24.4	25.3	23	N/A	N/A	14.0	9	\$272	18
7.6	14	27.0	26.2	17	11.5	15	13.7	10	\$264	22
6.5	30	25.1	24.9	26	N/A	N/A	13.6	11	\$266	21
6.3	34	23.3	22.9	40	N/A	N/A	12.6	24	\$241	35
7.7	13	29.8	29.1	6	14.6	3	17.2	3	\$282	15
8.0	7	29.0	27.5	9	N/A	N/A	13.3	16	\$305	7
7.4	17	26.0	25.9	20	12.8	10	16.0	5	\$273	17
7.0	24	25.0	25.3	24	N/A	N/A	N/A	N/A	\$278	16
5.9	42	23.1	22.8	42	9.9	23	N/A	N/A	\$283	14
7.8	12	26.8	26.4	14	12.4	12	12.9	23	\$291	10
5.1	48	22.2	22.2	45	N/A	N/A	13.2	19	\$258	25
9.7	2	33.4	32.7	1	15.7	1	N/A	N/A	\$263	23
7.2	22	27.5	26.2	16	12.1	14	13.3	16	\$287	12
5.7	45	21.3	23.8	33	8.1	28	11.0	33	\$191	45
6.2	37	23.5	22.7	44	10.4	21	13.4	14	\$261	24
6.3	34	23.6	26.1	19	N/A	N/A	13.6	11	\$150	50
6.1	39	22.5	22.9	39	9.9	23	15.6	6	\$235	36
6.7	26	25.6	25.1	25	N/A	N/A	17.9	1	\$271	20
6.1	39	21.1	20.7	50	N/A	N/A	N/A	N/A	\$173	47
7.4	17	25.3	24.7	28	12.9	9	16.8	4	\$317	5
7.9	11	28.6	26.6	13	12.5	11	N/A	N/A	\$254	28
6.1	39	24.0	24.7	28	9.3	27	11.4	31	\$330	3
8.1	6	26.3	26.8	12	13.9	4	11.6	30	\$289	11
7.3	20	28.0	25.8	21	11.1	16	N/A	N/A	\$243	33
6.4	32	24.0	23.7	34	N/A	N/A	14.7	7	\$219	41
8.0	7	26.5	26.2	17	N/A	N/A	12.4	25	\$335	2
6.5	30	28.9	25.7	22	9.8	25	N/A	N/A	\$283	13
8.7	4	28.8	27.6	8	N/A	N/A	12.4	25	\$256	26
6.7	26	24.8	24.2	32	9.4	26	13.6	11	\$255	27
8.8	3	30.3	29.4	5	15.2	2	12.0	29	\$315	6
7.6	14	24.6	24.8	27	13.9	4	N/A	N/A	\$241	34
5.0	49	18.8	20.8	49	7.0	31	8.6	37	\$167	48
5.7	45	23.1	21.8	46	10.8	19	13.1	21	\$228	39
6.8	25	24.4	24.6	30	N/A	N/A	N/A	N/A	\$222	40
6.3	34	23.8	23.4	36	N/A	N/A	N/A	N/A	\$217	42
10.3	1	33.6	32.4	2	13.7	6	13.2	19	\$325	4
5.6	47	24.3	24.5	31	10.4	21	13.0	22	\$272	19
5.8	44	23.8	22.7	43	7.2	30	9.5	35	\$174	46
7.0	N/A	24.8	24.8	N/A	N/A	N/A	14.7	N/A	\$258	N/A

Adult overweight and obesity figure calculated by TFAH by adding BRFSS figures for obesity and overweight, and then computing average. While not methodological ideal, all figures fall within appropriate confidence intervals.

Source: High School figures, 2003 YRBS, CDC; YRBS data is only collected every two years. Low-Income Children Figures, PedNSS 2003, CDC State medical costs per person are TFAH calculations based on January 2004 journal article, State Level Expenditures of Annual Medical Expenditures Attributable to Obesity.

Notes: Total U.S. BRFSS figures are not national averages but the median figure from each year's data set or a three-year average of the median figure. The numbers are the reported data as of July 18, 2005.

## PART B: SCHOOL POLICIES, INCLUDING FOOD, PHYSICAL EDUCATION, AND HEALTH EDUCATION

In a “Call to Action” issued by the U.S. Surgeon General in 2001, school-based programs were identified as key to addressing overweight and obesity in children and youth. There are over 14,000 school districts in the U.S., and the primary jurisdiction for most school policies is the school district. While states set specific policies, local jurisdictions may have discretion to decide whether or not to follow state-directed guidelines. Compliance is often based

on funding streams and distribution of state money. Similarly, states may ignore certain federal policies if funding is not provided and if the legislation is not compulsory.

TFAH conducted an independent review of laws and enacted legislation in each state of school nutrition and physical education requirements through July 1, 2005 (See Appendix C for more details on data collection) and found:

- Six states set nutritional standards for school lunches, breakfasts, and snacks that are more strict than existing U.S. Department of Agriculture (USDA) requirements. Three states -- Arkansas, Kentucky, and South Carolina -- have established new standards since last year.
- Eleven states have nutritional standards for “competitive foods” in schools. Six states have set new requirements since last year: Arizona, Kentucky, Maryland, New Mexico, Oklahoma, and South Carolina. Competitive foods include food sold outside of the formal school lunch program, such as in snack shops or vending machines.
- Nineteen states limit the availability of competitive foods beyond federal requirements. Two states have passed new restrictions since last year: Arizona and Oklahoma.
- In the past year, more than 20 other states have debated or introduced legislation to address food programs in schools that have either not reached a vote or have not been enacted.
- All states except South Dakota require physical education for students; however, these requirements are often not enforced and many of the programs are inadequate with respect to quality. In the past year, 17 states have passed legislation, resolutions, or new requirements to try to improve physical education programs.
- Only six states -- Alaska, Colorado, Kansas, New Mexico, Oklahoma, and South Dakota -- do not require schools to provide health education.
- Four states -- Arkansas, Illinois, Tennessee, and West Virginia -- have passed legislation enabling schools to test students’ BMI levels as either part of health examinations or physical education activities.
- Two states, California and Illinois, screen students for risk of type 2 diabetes.
- Fewer than half of the states (23) received funds from CDC to support school-based, obesity-reduction initiatives. Thirty-nine states applied for grants, but there were insufficient funds to fulfill all of the applications.

**TABLE: OBESITY-RELATED STANDARDS IN SCHOOLS – 2005**

	Nutritional Standards for School Meals	Nutritional Standards for Competitive Foods	Limited Access to Competitive Foods	Physical Education Requirements	BMI Information Collected	Non-Invasive Screening for Diabetes	Health Education Requirements	Receives CDC School Health Program Grants
Alabama				✓			✓	
Alaska				✓				
Arizona		✓	✓	✓			✓	
Arkansas	✓		✓	✓	✓		✓	✓
California		✓	✓	✓		✓	✓	✓
Colorado			✓	✓				✓
Connecticut			✓	✓			✓	
Delaware				✓			✓	
DC				✓			✓	
Florida			✓	✓			✓	✓
Georgia			✓	✓			✓	
Hawaii		✓	✓	✓			✓	✓
Idaho				✓			✓	
Illinois			✓	✓	✓	✓	✓	
Indiana				✓			✓	✓
Iowa				✓			✓	
Kansas				✓				✓
Kentucky	✓	✓	✓	✓			✓	✓
Louisiana			✓	✓			✓	
Maine			✓	✓			✓	✓
Maryland		✓		✓			✓	
Massachusetts				✓			✓	✓
Michigan				✓			✓	✓
Minnesota				✓			✓	
Mississippi			✓	✓			✓	
Missouri				✓			✓	
Montana				✓			✓	
Nebraska			✓	✓			✓	
Nevada				✓			✓	
New Hampshire				✓			✓	
New Jersey				✓			✓	
New Mexico		✓		✓				
New York			✓	✓			✓	✓
North Carolina			✓	✓			✓	✓
North Dakota				✓			✓	✓
Ohio				✓			✓	
Oklahoma		✓	✓	✓				
Oregon				✓			✓	✓
Pennsylvania				✓			✓	
Rhode Island				✓			✓	✓
South Carolina	✓	✓		✓			✓	✓
South Dakota	✓							✓
Tennessee	✓	✓		✓	✓		✓	✓
Texas	✓	✓	✓	✓			✓	
Utah				✓			✓	
Vermont				✓			✓	✓
Virginia				✓			✓	
Washington				✓			✓	✓
West Virginia		✓	✓	✓	✓		✓	✓
Wisconsin				✓			✓	✓
Wyoming				✓			✓	
Number of States	6	11	19	49 + DC	4	2	44+ DC	23

## I. FOOD IN SCHOOLS

Food is typically available for sale in most schools in two ways:

### Formal Meal Programs

The formal school lunch, breakfast, and after-school snack programs are offered by state school systems in coordination with USDA's Food and Nutrition Service. USDA provides subsidies for states if the programs follow established national nutritional guidelines and offer "free or reduced-cost" meals to children from low-income households. In FY 2002, 28 million children participated in the National School Lunch Program,<sup>64</sup> and another eight million participated in the School Breakfast Program.<sup>65</sup>

### FORMAL SCHOOL MEAL PROGRAMS

**Six states set nutritional standards for school lunches, breakfasts, and snacks that are stricter than U.S. Department of Agriculture requirements. Three states -- Arkansas, Kentucky, and South Carolina -- have established new standards since last year.**

Under the National School Lunch Program, schools must serve meals that meet the Dietary Guidelines for Americans.<sup>68</sup> The Guidelines recommend that no more than 30 percent of a student's calories should come from fat, and less than 10 percent should come from saturated fat. USDA requires school lunches to provide one-third of the Recommended Dietary Allowances (RDA) of total calories, protein, vitamins A and C, iron and calcium. School

### "Competitive" Foods

"Competitive foods" include food sold from snack shops, school stores, vending machines, and through à la carte lines in the cafeteria. Food from bake sales, fundraisers and other school activities are also considered "competitive foods." The nutrition of these foods is largely unregulated by the federal government; regulation is primarily left to the states and local school systems.<sup>66</sup>

■ Almost all school districts rely on some level of revenue from vending machine food and beverage sales.<sup>67</sup>

lunches are intended to provide students with one-third of their daily nutritional requirements and provide an example of a proper diet. No specific standards are set for fiber, cholesterol, sodium, carbohydrates, or sugar. While school lunches must meet the federal nutritional requirements, decisions about which foods to serve are made by local school boards. The federal requirements will be updated to reflect 2005 changes to the Dietary Guidelines. (In the table, changes from last year are noted in red). There is no independent agreed upon standard for states to model beyond the minimum nutritional standards set by the federal government that states must comply with to be eligible for the National School Lunch Program.

STATE	NUTRITIONAL REQUIREMENTS FOR SCHOOL MEALS THAT ARE MORE STRICT THAN USDA REQUIREMENTS
<b>Arkansas</b>	New law enhances the authority of school district Nutrition and Physical Activity Committees, including the authority to set nutritional standards for school lunch programs (SB 965).
<b>Kentucky</b>	New law (SB 172) limits the sale of retail fast food in cafeterias to one day per week, prohibits deep-fried foods in schools, and requires school menus to include nutritional information starting in the 2006-07 school year.
<b>South Carolina</b>	New law (HB 3499) requires the state Board of Education to establish statewide requirements for “elementary school food service meals and competitive foods.”
<b>South Dakota</b>	<p>The state sets additional standards for sodium,<sup>69</sup> cholesterol and fiber.</p> <ol style="list-style-type: none"> <li>1. For breakfast (all grades), the following standards apply: Sodium=800 mg, cholesterol=75 mg, fiber=4.5 mg.</li> <li>2. For lunch (all grades), the following standards apply: Sodium=1300 mg, cholesterol=75 mg. Fiber standards for grade levels are: K-3=3.8 mg, 4-12=5.9 mg, K-6=4.3 mg, 7-12=6.5 mg.</li> <li>3. Standards for fat, saturated fat, and weight follow federal guidelines.</li> </ol>
<b>Tennessee</b>	A May 2004 (HB 2783) law requires the state Board of Education to develop rules that establish minimum nutritional standards for individual food items sold or offered for sale to pupils in grades P-K-8 through vending machines or other sources, including school nutrition programs.
<b>Texas</b>	<p>The Texas Public School Nutrition Policy sets nutrition and portion size standards for food and beverage items sold as school meals or à la carte, and those offered as a nutritious classroom snack. Portion restrictions are not placed on federal school meals offered to students.</p> <ul style="list-style-type: none"> <li>■ Schools and other vendors may not serve food items containing more than 28 grams of fat per serving size more than twice per week.</li> <li>■ French fries and other fried potato products must not exceed three ounces per serving and may not be offered more than once per week in elementary schools and three times per week in middle and junior high schools. Students may only purchase one serving at a time.</li> <li>■ State policy requires that fruit and vegetables be offered daily at all points of service.</li> </ul>

Other states have taken action in the past year addressing nutrition in school meal programs, however, they did not include specific requirements:

- Colorado passed SB 81 in April 2005 encouraging school districts to adopt policies ensuring that by July 1, 2006, students will have access to healthy food choices and portions throughout the school day and access to information about the nutrition of food and beverages served in schools, however, minimum standards are not required.
- Kansas (SB 154) requires the Department of Education to establish nutritional guidelines for all food and beverages available to students during the school day. Local school boards will be asked to consider the guidelines.
- Vermont adopted legislation in 2004 requiring the Department of Education to develop a model nutrition policy that includes nutritional guidelines and policies, but there is no implementation requirement.

## EXAMINING THE QUALITY OF SCHOOL LUNCHES

In 1993, USDA determined that the nutritional content of school lunches was substandard and in need of reform. Subsequent changes sought to lower fat content and provide more access to fruits and vegetables.<sup>70</sup> Despite the modifications, many nutritionists and health advocates still criticize the nutritional content of school lunch offerings.

A 2003 U.S. Government Accountability Office (GAO) report on the School Lunch Program indicated that fat still accounted for 34 percent of calories in lunches served under the program in the 1998-99 school year. This figure represented a four percent decline from the 1991-92 level, but was still above the USDA-mandated 30 percent.<sup>71</sup>

Dr. Walter Willett, the head of the Department of Nutrition at Harvard University's School of Public Health, says that School Lunch Program foods "tend to be at the bottom of the barrel in terms of healthy nutrition."<sup>72</sup> Fruits and vegetables account for only one-quarter of the money USDA spends on School Lunch Program food commodities.<sup>73</sup>

USDA states that while it has moved towards healthier menu options, these healthy choices often compete for students' attention with unhealthy, higher-fat options in the lunchroom.<sup>74</sup>

## WHO MAKES SCHOOL LUNCHES?

- As of FY 2000, an estimated "8.5 percent, or 1,648 of the 19,329 local school food authorities nationwide had contracted with private firms to operate their school lunch and breakfast programs," according to USDA's Food and Nutrition Service (FNS), which administers the National School Lunch Program, the Special Milk Program, and the School Breakfast Program.<sup>75</sup>
- In December 2004, the FNS, via new proposed regulations, advised "school food personnel to be more careful about how they contract for the food that will be served to children."<sup>76</sup> According to the Center for Health and Health Care in Schools (CHHCS) at The George Washington University School of Public Health and Health Services, the FNS tightened its rules due to a concern that "federal funds [i.e., reimbursements schools receive from the federal government for breakfasts, lunches and milk served] may be used for purposes not intended in the school food legislation, such as kickbacks to schools in return for contracts or entrenched contracts with one supplier in an area instead of competitive bidding that might lower prices or increase food quality."<sup>77</sup>
- USDA spends approximately \$948 million a year to provide about 18 percent of the food that U.S. schools serve to students.<sup>78</sup>

## COMPETITIVE FOODS

**Eleven states have nutritional standards for “competitive foods” in schools. Six of these states have set new requirements since last year: Arizona, Kentucky, Maryland, New Mexico, Oklahoma, and South Carolina.**

**Nineteen states limit the availability of competitive foods beyond federal requirements. Two states have passed new restrictions since last year: Arizona and Oklahoma.**

According to a March 2004 Government Accountability Office (GAO) report, federal regulations restrict only a small subset of competitive foods from being sold during meal times in cafeterias.<sup>79</sup> These include “foods of minimal nutritional value (FMNV),” such as candy, water ices, chewing gum, and soft drinks. Other competitive foods which are not regulated by the federal government include fruit, vegetables, hamburgers, potato chips, French fries, pizza, and pretzels.

However, these federal regulations do not prohibit selling these minimal nutritional value foods *outside of the cafeteria* areas at any time during the day.

Since “competitive foods” are not part of the federally sponsored school meal programs, state and local school systems have primary responsibility for overseeing practices and regulations concerning competitive food standards. Therefore, states may set additional policies to limit the availability of competitive foods. There are no agreed upon independent standards for states or school systems to use as a model.

The accompanying table details the additional restrictions that 11 states have set. It includes state policies that limit the availability of competitive foods sold in vending machines as well as items sold in cafeterias or snack bars. (Changes from last year are noted in red.)

STATE	NUTRITIONAL STANDARDS FOR COMPETITIVE FOODS
<p><b>Arizona</b></p>	<p>A new law (HB 2544) requires the Department of Education to develop minimum nutritional standards consistent with federal guidelines, and may include portion sizes, minimum nutrient values, and listing of contents. This bill then requires that food or beverages sold on school grounds during the school day to meet these requirements, including items sold à la carte and in vending machines. Beginning Aug. 1, 2005, new contracts and renewal contracts for food or beverages, or both, shall expressly prohibit the sale of sugared, carbonated beverages, and all other foods of minimal nutritional value. Any food advertising on school grounds or affiliated with the school (partnerships, etc.) must be for food complying with these requirements.</p>

STATE	NUTRITIONAL STANDARDS FOR COMPETITIVE FOODS
<p><b>California</b></p>	<p>The following nutritional standards apply in elementary schools for individual food items sold during morning or afternoon breaks:</p> <ul style="list-style-type: none"> <li>■ Maximum calories from fat: 35 percent for each individual food item. Does not include the sale of nuts or seeds.</li> <li>■ Maximum calories from saturated fat: 10 percent for each individual food item's total calories.</li> <li>■ Maximum percent of sugar: 35 percent of total weight for each individual food item. Does not include the sale of fruits or vegetables.</li> <li>■ The only beverages that may be sold in school vending machines are water, milk, and 100 percent fruit juices or fruit-based drinks that are at least 50 percent fruit juice with no added sweeteners.</li> </ul> <p>In middle schools, only beverages are restricted throughout the state.</p> <ul style="list-style-type: none"> <li>■ From 30 minutes before the start of the school day to 30 minutes after the end of the school day, only the following may be sold: Fruit-based drinks composed of 50 percent fruit juice with no added sweeteners; water; milk, including, but not limited to, chocolate milk, soy milk, rice milk, and other similar dairy or nondairy milk; and an electrolyte replacement beverage that contains no more than 42 grams of added sweetener per 20-ounce serving.</li> </ul> <p>Middle and high schools may also elect to participate in a pilot program that implements nutritional standards for all foods and beverages sold outside the federal meal program.</p>
<p><b>Hawaii</b></p>	<p>In secondary schools, the state places the following nutritional requirements on supplementary food and beverage items that can be sold during the meal periods:</p> <ul style="list-style-type: none"> <li>■ Maximum calories from fat: 25 percent of total calories.</li> <li>■ Maximum calories from saturated fat: 10 percent of total calories.</li> <li>■ Maximum percent of sugar: 25 percent of total calories with the exception of fruits and vegetables.</li> <li>■ Eighty percent of beverage selections from each vending machine at the schools shall be "healthy beverages," defined as milk, flavored milk, water, and fruit juice containing at least 50 percent juice, or other choices deemed appropriate by the Department of Education. The School Community Council and principal will determine the combination of beverages to be sold, including the remaining 20 percent of beverage selections, and shall have the discretion to ban caffeinated products. No alcoholic beverages, coffee, or coffee-based beverages may be dispensed.</li> </ul>
<p><b>Kentucky</b></p>	<p>A new law requires the Board of Education to issue regulations that set minimum nutritional standards for all food and beverage programs that are sold outside of the formal breakfast and lunch programs. Allows beverages defined as water, 100 percent fruit juice, low-fat milk, and any other beverage containing no more than 10 grams of sugar per serving to be sold in elementary school vending machines, school stores, canteens, or fundraisers during the school day.</p>
<p><b>Maryland</b></p>	<p>Requires the Board of Education in each county to establish nutritional policies for all food and beverages available to students during the school day, to be implemented by the start of the 2006-07 school year.</p>
<p><b>New Mexico</b></p>	<p>Governor signed HB 61 requiring the Department of Education to establish nutritional standards for foods and beverages sold outside of public school meal programs. The department will collaborate with local school districts, dieticians, and other interested parties in drafting the standards.</p>



STATE	NUTRITIONAL STANDARDS FOR COMPETITIVE FOODS
<b>Oklahoma</b>	Governor signed legislation (SB 265) requiring each school district board to ensure that: Elementary school students do not have access to foods of minimal nutritional value except on special occasions; middle and junior high school students do not have access to foods of minimal nutritional value, with the exception of diet sodas with less than 10 calories per serving, except after school, at evening events, and on special occasions; and high school students have access to healthy food choices in addition to any foods of minimal nutritional value to which they have access. Incentives such as lower prices should be provided to encourage selection of healthy food choices.
<b>South Carolina</b>	A new law (HB 3499) requires the state Board of Education to establish statewide requirements for “elementary school food service meals and competitive foods.” School fundraisers are exempt from these requirements.
<b>Tennessee</b>	A May 2004 law (HB 2783) required the state Board of Education to develop rules that establish minimum nutritional standards for individual food items sold or offered for sale to pupils in grades P-K-8 through vending machines or other sources, including school nutrition programs.
<b>Texas</b>	<p>At elementary, middle, and secondary schools, portion size restrictions are placed on certain food and beverage items served or made available to students, with the exception of school meals. State policy places restrictions on portion size for the following items: Chips, baked chips, crackers, popcorn, cereal, trail mix, nuts, seeds, dried fruit, jerky, pretzels, cookies/cereal bars, bakery items, frozen desserts, yogurt, ice cream, pudding, gelatin desserts, and beverage items.</p> <p>■ Maximum calories from fat: Schools and other vendors may not serve food items containing more than 28 grams of fat per serving size more than twice per week. French fries and other fried potato products must not exceed three ounces per serving and may not be offered more than once per week and students may only purchase one serving at a time. Schools serving potato chips should use reduced fat chips with no more than five grams per ounce, or baked varieties when possible.</p> <p>Flavored or unflavored milks and other beverages may contain no more than 30 grams total sugar per eight-ounce serving. Frozen fruit slushes must contain a minimum of 50 percent fruit juice. In high school, the sale of sugared, carbonated beverages in containers larger than 12 ounces is prohibited. There are also portion restrictions for candy bars and packaged candies for secondary schools. Elementary school classrooms may allow one nutritious snack per day, but not at the same time as the regular meal period for that class. The snack must comply with the fat and sugar limits of the Public School Nutrition Policy and may not contain any minimal nutritional value foods or consist of candy or dessert-type items.</p> <p>A 2005 amendment (SB 42) prevents restrictions on foods provided by parents or grandparents for birthday or school-function celebrations.</p>

STATE	NUTRITIONAL STANDARDS FOR COMPETITIVE FOODS
West Virginia	<p>Only meal components may be sold as à la carte items for breakfast, and only fluid milk, milkshakes, and bottled water may be sold as à la carte items for lunch. All “other foods” (including those sold in vending machines, at fundraisers during the school day, and at school functions) will reflect the Dietary Guidelines or meet the USDA standard for a lunch component.</p> <ul style="list-style-type: none"> <li>■ Maximum calories from fat: Limited to not more than eight fat grams per one-ounce serving or meet USDA standards for a lunch component.</li> <li>■ Maximum percent from sugar: 40 percent.</li> <li>■ Any juice or juice product sold or served must contain a minimum of 20 percent fruit juice.</li> </ul> <p>2005 legislation (HB 2816) prohibits the sale of soft drinks through vending machines, in school stores, or on-site fundraisers during the school day at elementary, middle or junior high schools. These schools are only permitted to sell “healthy beverages.” High schools may allow the sale of soft drinks, but “healthy beverages” must account for at least 50 percent of total beverages ordered and must be located near the vending machines containing soft drinks.</p>

■ Some cities and localities are implementing their own restrictions, such as Chicago, which bans vending machine sales of soda, gum, candy, and other products that have more than 30 percent of their calories from fat or contain more than 40 percent sugar.

The following table describes the 19 states that have limited the availability of competitive foods beyond federal requirements. (Changes from last year are noted in red).

STATE	RESTRICTION ON COMPETITIVE FOOD AVAILABILITY
Arkansas	“In-school access” to vending machines is prohibited in elementary schools.
Arizona	A new law (HB 2544) requires the Department of Education to develop minimum nutritional standards consistent with federal guidelines and may include portion sizes, minimum nutrient values, and listing of contents. This bill then requires that food or beverages sold on school grounds during the school day meet these requirements, including items sold à la carte and in vending machines. Beginning Aug. 1, 2005, new contracts and renewal contracts for food or beverages, or both, shall expressly prohibit the sale of sugared, carbonated beverages and all other foods of minimal nutritional value. Any advertising on school grounds or affiliated with the school (partnerships, etc.) must be for food complying with these requirements.
California	In elementary schools, the only food that may be sold to a pupil during breakfast and lunch periods is food that is sold as a full meal. This does not prohibit the sale of fruit, non-fried vegetables, legumes, beverages, dairy products, or grain products if they meet the state’s nutritional standards. Individual items that meet the state’s nutritional standards may be sold during morning or afternoon breaks. Middle and high schools may participate in pilot programs that may place limits on competitive food availability.

STATE	RESTRICTION ON COMPETITIVE FOOD AVAILABILITY
<b>Colorado</b>	Competitive food service must be closed for a period beginning 30 minutes prior to and remain closed until 30 minutes after the last regularly scheduled school lunch and/or school breakfast period on campus where these foods are served. During the 2004 state legislative session, a new law (SB 103) was enacted requesting school districts to work with contractors to increase the nutritional value of foods in vending machines. By 2006-07, district school boards are instructed to adopt policies implementing a requirement that 50 percent threshold of offerings in vending machines must be healthy.
<b>Connecticut</b>	No school food authority shall permit the sale or dispensing to students of extra food items (defined as tea, coffee, soft drinks, and candy) anywhere on the school premises from 30 minutes prior to the start of any state or federally subsidized milk or food service program until 30 minutes after such program. During the 2004 state legislative session, new legislation (HB 5344) was enacted requiring each local and regional board of education to make available nutritious, low-fat foods and drinks for purchase. Beverages should include, but are not limited to, low-fat milk, 100 percent natural fruit juices, and water when drinks are available for purchase. Low-fat dairy products and fresh or dried fruits should be made available for purchase at all times when food is available for purchase.
<b>Florida</b>	FMNV may be sold in secondary school stores only one hour following the close of the last lunch period. The state Board of Education also requires school district food service programs to adopt policies that control the sale of FMNV.
<b>Georgia</b>	Prohibits the sale of FMNV in elementary schools from the beginning of the day until the time when the last class/group of students eating lunch is scheduled to return to class.
<b>Hawaii</b>	The sale of food in all elementary and secondary schools shall be limited to the School Breakfast Program and School Lunch Program, plus milk, water, fruit, and vegetable juice containing at least 50 percent fruit and/or vegetable juice.
<b>Illinois</b>	Local school authorities for junior and senior high schools have the authority, if so desired, to regulate the sale of competitive foods to students during the time period designated by local school authorities as the regular breakfast and lunch periods.
<b>Kentucky</b>	The sale or serving of any food or beverage item to students in competition with the School Breakfast Program or the National School Lunch Program is to be prohibited on the school campus during the school day until 30 minutes after the close of the last lunch serving period.
<b>Louisiana</b>	À la carte meal service is prohibited. Some food items can be sold as extra sale items to those who completed a meal. Extra sale items must be an item from the menu that day. Exceptions to the extra sale items include milkshakes, yogurt, frozen yogurt, ice cream, ice milk, and unflavored, non-carbonated water. Reimbursement for lunch, special milk, and/or breakfast may be withheld from schools if concessions, canteens, snack bars, or vending machines are operated on a profit basis before the end of the last lunch period. Concessions/canteens may be open at the end of lunch for grades 7-12.

STATE	RESTRICTION ON COMPETITIVE FOOD AVAILABILITY
<b>Maine</b>	Any food or beverage sold during the school day at a school participating in the National School Lunch or Breakfast Programs must be a planned part of the total food service program. Only items that contribute to both the nutritional needs of children and the development of desired food habits will be sold.
<b>Mississippi</b>	School food services may only sell those foods that are components of the approved federal meal pattern being served, with the exception of milk. A student may only purchase individual components of a meal if a full meal was also purchased. The state policy is a minimum requirement. Local school boards may adopt more restrictive policies. <sup>80</sup> State policy also indicates that no food is to be sold on campus for one hour before breakfast or one hour before lunch and until the end of either serving period.
<b>Nebraska</b>	The sale of any foods in competition with the National School Lunch and School Breakfast Program is prohibited anywhere on school/institution premises during the period beginning 30 minutes prior to the serving period for breakfast and/or lunch and lasting until 30 minutes after the serving of breakfast and/or lunch.
<b>New York</b>	From the beginning of the school day until the end of the last scheduled meal period, no sweetened soda water, no chewing gum, no candy including hard candy, jellies, gum, marshmallow candies, fondant, licorice, spun candy, and candy coated popcorn, and no water ices except those which contain fruit or fruit juices shall be sold in any public school within the state.
<b>North Carolina</b>	Schools may not sell soft drinks to students at elementary schools. In middle and high schools, soft drinks may not be sold until after the last lunch period with the approval of the local school board. The State Department of Public Instruction also developed Eat Smart School Standard recommendations.
<b>Oklahoma</b>	A 2005 law (SB 265) prohibits access to foods with minimal nutritional value in elementary, middle, and junior high schools, with the exception of diet soda. Schools are also required to offer healthy snack and beverage options.
<b>Texas</b>	State policy prohibits an elementary school campus from serving competitive foods or FMNV to students anywhere on school premises until the end of the last scheduled class (does not pertain to food items made available by the school food service program). Middle schools are prohibited from serving or providing access to FMNV and all other forms of candy anytime, anywhere on school premises until after the last lunch period.
<b>West Virginia</b>	No candy, soft drinks (exception for high school), chewing gum, or flavored ice bars will be sold or served during the school day. If soft drinks are sold in high school, they may not be offered during the breakfast or lunch periods.

- While not passing legislation, New Jersey’s Department of Agriculture administratively mandated adoption of model school nutrition policies that ban foods with minimal nutritional value, foods and beverages with sugar as the first ingredient, and all candy from being sold during the school day.

## USDA CONCERNS ABOUT COMPETITIVE FOODS IN SCHOOLS

Many schools receive revenue from the sale of competitive foods. For instance, the Seattle School District earns about \$330,000 a year from vending machine contracts.<sup>81</sup>

Funds from competitive food sales are often used to pay for special activities or items not covered by the school's budget. In fact, to help manage budgets, some school food authorities have chosen to sell less healthful items in the cafeteria, in competition with USDA-reimbursable meals.<sup>82</sup>

In 2001, USDA issued a report to Congress highlighting concerns about competitive foods<sup>83</sup>:

- **Diet-related health risks** -- These foods are typically relatively low in nutrients and relatively high in fat, sugars, and calories, increasing the likelihood of over-consumption and unhealthy weight gain.
- **Stigmatization of school meal programs** -- The USDA report expressed concerns that the National School Lunch Program is often viewed as just for low-income children rather than available for all children.
- **Impact on school meal programs** -- The increase in competitive food sales and accompanying decrease in student participation in the National School Lunch Program has implications for the overall viability of the program. Declining participation results in decreased cash and commodity support from USDA for school meals. The reduction in federal funds may also contribute to less interest on the part of schools in maintaining quality school meal programs that meet set nutritional standards, undermining the substantial federal investment in programs to provide healthy meals to children.
- **A mixed message** -- When children are taught in the classroom about good nutrition but are surrounded by vending machines, snack bars, school stores, and à la carte foods with low nutrients, they receive the message that good nutrition does not actually matter and is therefore not important.<sup>84</sup>

## SOFT DRINKS IN SCHOOLS AND "POURING RIGHTS"<sup>85</sup>

- Fifty-eight percent of elementary, 84 percent of middle/junior high, and 94 percent of senior high schools sell soft drinks, sports drinks, and fruit drinks. In contrast, 50 percent of elementary, 54 percent of middle/junior high, and 67 percent of senior high schools sell 100 percent fruit or vegetable juice.
- Thirty percent of elementary, 56 percent of middle/junior high, and 66 percent of senior high schools sell bottled water.
- Fifty percent of elementary, 40 percent of middle/junior high, and 45 percent of senior high schools sell 2 percent or whole milk. In contrast, 29 percent of elementary, 20 percent of middle/junior high, and 23 percent of senior high schools sell 1 percent or skim milk.
- Additionally, 47 percent of schools have an exclusive soft drink contract, with 92 percent getting a percentage of total revenue, 37 percent having incentives to sell more, 38 percent allowing advertising in school buildings, and 28 percent allowing advertising on other school property.

## SOFT DRINKS IN SCHOOLS AND “POURING RIGHTS”

### What Is a “Pouring Rights Contract?”

According to the Prevention Institute, “In exchange for direct payments, school districts agree to sell only one company’s products in vending machines at all school events. Contract conditions frequently include the prominent display of advertising and marketing materials on school grounds and may include incentive payments for greater sales at the school sites.”<sup>86</sup> According to the American Academy of Pediatrics, such contracts already have provided schools with more than \$200 million in revenue.<sup>87</sup>

Pouring rights contracts are not new and have traditionally been used to help support school sports programs. However, in the last 20 years, they have become more common at educational institutions, starting first at the university level and then extending into secondary, middle, and elementary schools. Further, this has become a bigger issue due to the current consumer environment in which children have more money and spend it. In 1999, children ages 6-19 “influenced \$485 billion in spending.”<sup>88</sup> Coupled with a tight budget climate for public education, pouring rights represent “a unique commercial opportunity” not only for soft drink companies, but for schools as well.<sup>89</sup>

### State and Federal Restrictions and Action

Current federal “regulations only restrict the sales of soft drinks in the cafeteria during the lunch period. Despite recent attempts to expand the scope of federal regulations, current law permits the sale of soft drinks, and thus permits pouring-rights contracts.”<sup>90</sup> This is primarily due to an early 1980’s court decision -- National Soft Drink Ass’n v. Block -- that greatly restricted the power of the USDA relating to soft drink sales in schools, followed by 1994 amendments to the School Lunch Act that relegated such regulation to state and local policy-makers.<sup>91</sup> Thus, the only way -- at the federal level -- to prohibit either pouring rights contracts or sales of soft drinks would be by an act of Congress.

Over the past several years, however, much has been happening at the state and local levels. More large school systems, including New York City, Los Angeles, Philadelphia, Chicago, and Seattle, **are banning the sale of soft drinks** -- and other sugary beverages -- in their schools.

- Philadelphia did so in July 2004 and since then, “only milk, water and the occasional sports drink” can be found in vending machines.<sup>92</sup> At the time of the policy implementation, Philadelphia officials estimated the school district would lose \$500,000 a year in soda revenue.<sup>93</sup>
- New York City and Los Angeles began banning soda machines around the spring of 2003.<sup>94</sup>
- Chicago’s ban includes both soft drinks and junk food; it took effect in 2004.<sup>95</sup>
- Seattle had been debating a soda ban in schools since 2003, and it eventually took effect in 2004.<sup>96</sup> High schools in Washington state stand to lose \$20,000-\$60,000 a year in revenue.<sup>97</sup> Often, proceeds from school stores -- mostly operated by students -- go directly to student groups. So losses from these purchases directly impact student programs, in some cases more than corporate contract losses. “Coke’s exclusive ‘pouring rights’ contract would generate \$345,000” in the 2003-04 school year “for middle and high schools’ academic and after-school activities.”<sup>98</sup>
- As of Sept. 1, 2007, all New Jersey schools will also ban soda and sugary snack foods.<sup>99</sup> Sports and iced tea drinks will continue to be available in high schools. Further, “school districts must agree on a nutrition plan by Sept. 1, 2006,” and if they do not, will risk losing state and/or federal funding.<sup>100</sup>

## SOFT DRINKS IN SCHOOLS AND “POURING RIGHTS”

U.S. schools are not the only ones dealing with these issues:

- Canadian elementary schools were soda-free as of September 2004. However, companies “won’t have to give up their lucrative contracts in the education system...[They] also distribute popular brands of sports drinks, fruit juices, iced teas and bottled water. These companies will restock their vending machines with those brands instead.”<sup>101</sup> This was a voluntary action from the Canadian soft drink industry trying to avoid regulation.
- France banned the sale of candy and soft drinks in both middle and secondary schools in the summer of 2004.<sup>102</sup>
- Brussels banned all vending machines in primary schools as of September 2004.<sup>103</sup>
- Germany has even banned sales of soda and candy at kiosks around schools.<sup>104</sup>

Finally, a January 2004 policy statement by the American Academy of Pediatrics (AAP) Committee on School Health (COSH) may help push the issue further.<sup>105</sup> The AAP is highly critical of pouring rights contracts because of the exchange of money, and the incentives for schools to encourage consumption to make even more money. The committee strongly disagrees with the claim of “some superintendents, school board members, and principals... that the financial gain from soft drink contracts is an unquestioned ‘win’ for students, schools, communities, and taxpayers.”<sup>106</sup>

AAP COSH recommends that:

- Pediatricians work to eliminate sweetened drinks in schools.
- Pediatricians should advocate for the creation of school nutrition councils that include parents, community and school officials, and public health and health care workers.
- There should be public discussion prior to agreeing to vending and/or soft drink contracts.
- For those districts with contracts in place, procedures should be put in place to combat “overconsumption,” such as eliminating soft drink sales in elementary schools, no sales incentives, selected hours of availability, and the inclusion of sugar-free and lower-sugar substitutes in vending machines.
- Consumption or marketing in the classroom of soft drinks should not be allowed.

*“Soft drinks are not tobacco. The majority of Americans drink them. Like other energy-dense, nutrient-poor foods, they may have a place in everyday nutrition, albeit only in moderation, and in the opinion of the AAP COSH, not in schools. To be successful in our efforts to prevent childhood obesity, we need the cooperation of the beverage, restaurant, and vended and snack food industries. We should not make any one of them the scapegoat for obesity. On the other hand... these industries should expect pediatricians and parents to hold them accountable...”<sup>107</sup>*

## SOFT DRINKS IN SCHOOLS AND “POURING RIGHTS”

### A BRITISH CASE STUDY

A recent study in the United Kingdom explored the concept of reducing childhood obesity by targeting carbonated drink consumption. Over time, sweetened carbonated drinks can significantly increase caloric consumption and promote an energy imbalance. “Theoretically, daily consumption of one can of a sweetened carbonated drink over a 10- year period can add 50 kg of weight,” which equates to 110 pounds.<sup>108</sup>

The study, which engaged over 600 children ages 7-11, presented students with a simple message for one hour each school term. The study encouraged children to decrease sugar consumption to improve well-being and dental health. A series of educational exercises, including an interactive session to develop a song incorporating the message, imparted the benefits of replacing carbonated drinks with water or fruit juice alternatives. The researchers used BMI to determine the effectiveness of the program.<sup>109</sup>

After one year, the average percentage of overweight and obese children increased over 7 percent in the control groups not exposed to the message, while the intervention groups saw a slight overweight and obese reduction of less than 1 percent.<sup>110</sup>

## 2. PHYSICAL EDUCATION

**Forty-nine states and D.C. have requirements for some form of physical education in elementary and secondary schools. This is an increase from 48 last year. Oklahoma passed a new requirement. South Dakota is the only state without a physical education requirement.**

**In the past year, 17 states have passed legislation, resolutions, or new requirements to try to improve physical education programs: Arizona, Colorado, Kansas, Kentucky, Louisiana, Montana, New Hampshire, New Mexico, North Carolina, North Dakota, Oklahoma, South Carolina, Texas, Vermont, Virginia, Washington, and West Virginia.**

**More than 20 other states debated or introduced legislation aimed at improving physical education programs that either did not reach a vote or were not enacted.**

**Even though nearly every state has requirements, they are often not enforced at the local level and numerous exemptions are permitted.**

Many state education agencies argue that physical education policies are often not enforced because there are already too many other mandated curriculum requirements.<sup>111</sup> Some education experts point out that the Elementary and Secondary Education Act (ESEA), known as the “No Child Left Behind Act,” which emphasizes student achievement on standardized tests, is forcing school districts to divert limited resources away from programs that are not tested, like physical education and extracurricular sports.<sup>112</sup>

In addition, states often allow schools exemptions from physical education standards.<sup>113</sup> Therefore, having requirements in place does not necessarily mean all students are receiving physical education.

Additional reasons cited for ineffective physical education requirements are:

- Physical education and extracurricular physical activities rarely have sufficient resources to be successful.<sup>114</sup>
- Physical education is often viewed as a less essential use of limited funds and time during the school day, compared with



many core curriculum requirements, such as math, science, and reading.<sup>115</sup>

The CDC, together with partners in other federal agencies and health organizations, developed “Guidelines for School and Community Programs to Promote Lifelong Physical Activity Among Young People,” which were issued in 1997. The Guidelines

recommend comprehensive, daily physical education for students beginning in kindergarten through grade 12.<sup>116</sup> Schools and communities have the potential to improve the health of young people by providing instruction and programs in physical education because these programs reach most children and adolescents.

Illinois is the only state that requires daily physical education in every grade. However, Illinois permits students to be excused from physical education requirements for various reasons, and a study by the Robert Wood Johnson Foundation (RWJF) notes that the state policy is not strongly enforced.<sup>117</sup>

Some research has shown that physical fitness levels affect student performance. An analysis by the California Department of Education found that higher student fitness levels were associated with higher performance on standardized achievement measures.<sup>118</sup>

The table describes each state’s physical education requirements and exemptions. (Changes from last year are noted in red).

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>Alabama</b>	30 minutes daily required in elementary and middle schools (50 minutes recommended for middle school). One credit is required for high school graduation.	No exceptions in elementary or middle school, unless student attends a church school as defined by law. No exceptions for high school.
<b>Alaska</b>	One unit of physical education is required to graduate from high school, though specific standards are left to local districts.  HB 128 (introduced 2/4/05) established the Alaska Schools Physical Activity Task Force to establish recommendations for maximizing physical activity and education within the state’s schools	
<b>Arizona</b>	Required for elementary and middle school. Duration and frequency are not specified. There is no requirement for high school.  2005 law AZ HB 2111 establishes a task to create a uniform physical education policy for grades K-8.	Parents can withdraw a child if they object to any activity or learning material.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>Arkansas</b>	One hour per week required for elementary and middle schools. Physical education is required in high school, although frequency and duration are not specified. One-half credit is required for high school graduation.	Student may be excused for medical or religious reasons. The local school board must then “encourage” a student who has been granted a waiver to have appropriate instruction in health education or other lifestyle modification as an alternative to physical education.
<b>California</b>	Elementary school requirement is 200 minutes every 10 days; requirement for grades 7-8 is 400 minutes every 10 days. For high school graduation, two physical education courses are required, unless exempted.	School district may grant temporary exemption if a student (1) is ill or injured and a modified program cannot be provided, or (2) is enrolled for one-half, or less, of the coursework normally required of full-time pupils. Students can be exempt for two years if they have passed the physical performance test administered in ninth grade. Permanent exemption from physical education is available for students 16 or older who are enrolled as a postgraduate pupil, or enrolled in a juvenile home, ranch, camp or forestry camp.
<b>Colorado</b>	Data not available on requirements. <b>New law CO SB 81 encourages school districts to provide students access to daily physical activity.</b>	
<b>Connecticut</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One credit is required for high school graduation.	Student may be excused for medical reasons. Credit for physical education may be fulfilled by an elective.
<b>Delaware</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One credit is required for high school graduation.	Student may be excused for medical or religious reasons.
<b>D.C.</b>	1 1/2 credits required for high school graduation. Did not provide response to survey question about elementary and middle school requirements.	The high school graduation requirement is waived for students participating in an evening high school diploma program.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>Florida</b>	No current physical education requirement for elementary and middle school. One credit is required for high school graduation. By Dec. 1, 2004, each district school board must adopt a physical education policy. Any district that does not adopt an education policy by Dec. 1, 2005, must at minimum provide 30 minutes of physical education three days a week for grades K-5. Statutes require each district school board to provide courses designed to ensure that students meet the Sunshine State Standards for Health and Physical Fitness.	Students may be excused if they participate in an interscholastic sport at the junior varsity or varsity level. Two full seasons satisfy the one-credit high school graduation requirement if the student passes a competency test on personal fitness with a score of C or better. One-half credit is satisfied if a student completes one semester with a grade of C or better in (1) a marching band class or in a physical activity class that requires participation in marching band activities, or (2) Reserve Officer Training Corps class.
<b>Georgia</b>	Ninety hours required at each grade level in elementary school. One unit (140 hours) is required for high school graduation.	Not identified through statute or code.
<b>Hawaii</b>	1½ credits required for high school graduation. Did not provide response to survey question about elementary and middle school.	Did not provide an answer to survey question.
<b>Idaho</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One credit is required for high school graduation.	Not identified through statute or code.
<b>Illinois</b>	Required daily in grades K-12. Duration is not specified.	Student may be excused for medical reasons. School board is authorized to excuse students enrolled in grades 11-12 if they: (1) participate in an interscholastic athletic program, or (2) are required to take an academic class necessary to enroll in college, or (3) are required to enroll in an academic class needed to graduate from high school. Students in grades 9-12 may be excused if they enroll in a marching band or ROTC program. A vocational or technical course may be substituted for physical education in grades 9-12.
<b>Indiana</b>	Required in elementary, middle and high school. Recommended duration and frequency are: 105 minutes of motor skills development for grades 1-3; 75 minutes of weekly physical education for grades 4-6; and 100 minutes of physical education weekly for middle school. Two semesters are recommended in high school, and one credit is required for graduation.	Not identified through statute or code.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
Iowa	Required in elementary, middle and high school. Duration and frequency are only specified for high school at 50 minutes per week.	12th graders may be excused from the physical education requirement by the school principal if: (1) the student is enrolled in a work-study or other educational program that requires the student to be off school premises during the day, or (2) the student is enrolled in an academic class not otherwise available, or (3) the student participates in an athletic program that requires at least as much time as the physical education requirement. Students in grades 9-12 may be excused if requested by a parent or guardian. These students must then participate in an athletic program that requires at least as much time as the physical education requirement.
Kansas	Required in elementary, middle and high school, although duration and frequency are not specified. One unit of physical education, of which one-half unit may include health education, is required for high school graduation. 2005 law KS SB 154 encourages schools to improve physical activity policies and SCR 1604 requires a study of physical education policies.	High school graduation requirement may be waived for medical or religious reasons.
Kentucky	Required in elementary, middle and high school, although duration and frequency are not specified. One-half credit (60 hours) is required for high school graduation. New law KY SB 172 requires school councils with grades K-5 to implement a wellness policy that includes moderate to vigorous activity each day and may allow physical activity up to 30 minutes per day or 150 minutes per week as part of the instructional day.	Students may be excused with a physician's note.
Louisiana	30 minutes required daily in elementary school, and 150 minutes weekly required in middle school. 1½ credits are required for high school graduation. (These requirements were reenacted this year).	For elementary school, adapted physical activity shall be provided for students with special needs that prevent them from participating in regular physical education classes. No exception identified through statute or code for middle or high school.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>Maine</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One unit is required for high school graduation.	Not identified through statute or code.
<b>Maryland</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One-half credit is required for high school graduation.	Not identified through statute or code.
<b>Massachusetts</b>	Required in elementary, middle and high school, although duration and frequency are not specified.	Student may be excused for medical or religious reasons.
<b>Michigan</b>	Required in elementary, middle and high school, although duration and frequency are not specified.	School districts may credit a student's participation in extracurricular athletics or other extracurricular activities involving physical activity as meeting the physical education requirement.
<b>Minnesota</b>	Required in elementary, middle and high school, although duration and frequency are not specified.	Students may be excused for medical or religious reasons. Local school districts are given the authority to exempt students for athletic purposes.
<b>Mississippi</b>	Required in elementary and middle school, although duration and frequency are not specified. Not required in high school.	Not identified through statute or code.
<b>Missouri</b>	Fifty minutes required per week in elementary school, with 25 minutes required weekly for half-day kindergarten students. Three thousand minutes are required per year in middle school. No requirements for frequency or duration are specified in high school; however, one unit is required for graduation.	Students may be excused for medical or religious reasons.
<b>Montana</b>	Required in elementary and middle school, although duration and frequency are not specified. One-half unit each year is required in middle school. In high school, one unit total (135 hours) is required for graduation, in increments of half units for two years. A resolution was enacted to encourage greater opportunities for students to participate in physical activity and sports programs (MT HJR 17).	Not identified through statute or code.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>Nebraska</b>	Required in elementary and middle school, although duration and frequency are not specified. Daily physical education is required for two years in high school.	Not identified through statute or code.
<b>Nevada</b>	The state developed performance standards for physical education that are benchmarked for grades 2, 3, 5, 8, and 12. Standards are designed to help districts develop and implement their own curriculum. Two credits are required for high school graduation.	Not identified through statute or code.
<b>New Hampshire</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One unit is required for high school graduation. <b>New legislation passed created an Advisory Committee to develop a policy for physical education requirements for schools.</b>	Not identified through statute or code.
<b>New Jersey</b>	150 minutes of health, safety and physical education required each week in elementary (except kindergarten), middle and high school. $3\frac{3}{4}$ credits are required in health, safety and physical education for each year of attendance in high school.	Determined by local school boards. Schools are required to provide alternatives in order for students to meet the physical education core standards.
<b>New Mexico</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One unit is required for high school graduation. <b>State enacted physical education legislation (HJM 83) creating a committee to study physical education programs.</b>	The high school graduation requirement may be waived because of a medical condition.
<b>New York</b>	In elementary school, 120 minutes per week are required. Frequency requirements are daily for grades K-3 and three times a week for grades 4-6. In middle and high school, 120 minutes weekly are required, with a frequency of three times per week in one semester and at least two times a week in the other semester. Two credits are required for high school graduation.	Not identified through statute or code.
<b>North Carolina</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One unit is required for high school graduation. <b>Board of Education set new requirements for at least 30 minutes of physical education daily for students in grades K-8.</b>	Not identified through statute or code.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>North Dakota</b>	Required in elementary, middle and high school, although duration and frequency are not specified. <b>Legislation (House Concurrent Resolution 3034) encourages schools to provide mid-morning and mid-afternoon recess of at least 10 minutes in grades K-6.</b>	Not identified through statute or code.
<b>Ohio</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One-half credit (60 hours) is required for graduation from high school.	Not identified through statute or code.
<b>Oklahoma</b>	<b>Beginning with the 2006-07 school year, requires physical education or exercise programs for at least 60 minutes per week for all students in full-day kindergarten and grades 1-5. Encourages school districts to provide physical education instruction to students in grades 6-12.</b>	Not identified through statute or code.
<b>Oregon</b>	Required in elementary, middle and high school, although duration and frequency are not specified.	Not identified through statute or code.
<b>Pennsylvania</b>	Required in elementary, middle and high school, although duration and frequency are not specified.	Not identified through statute or code.
<b>Rhode Island</b>	An average of 20 minutes of daily health and physical education required in elementary, middle and high school.	Not identified through statute or code.

STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>South Carolina</b>	Required in elementary, middle and high school. One unit is required for high school graduation. 2005 legislation SC HB 3499 establishes revised physical education standards in schools; however, implementation is contingent on funding. All elementary school students will eventually have the equivalent of 30 minutes per day (150 minutes per week). In 2006-07, the minimum time will be 60 minutes per week, in 2007-08 it will be 90 minutes per week, and so on. The bill mandates a certified physical education teacher-to-student ratio; the ratio will be 700 to 1 in elementary schools in 2006-07; 600 to 1 in 2007-08, and 500 to 1 in 2008-09. One teacher at each school must be designated the Physical Education Activity Director to “plan and coordinate opportunities for physical activity that exceed the designated weekly student physical education instruction times...” All public schools must administer the South Carolina Physical Education Assessment in grades 2, 5, 8, and in high school. The State Department of Education is responsible for compiling scores and determining “effectiveness” of physical education programs in each school or school district.	One Junior ROTC credit may be taken instead of physical education. Students who are physically or mentally unable to take physical education must take a suitable modified course.
<b>South Dakota</b>	No requirements at the state level.	
<b>Tennessee</b>	Required in elementary, middle and high school, although duration and frequency are not specified. One unit is required for high school graduation.	Credit earned in two years of Junior ROTC may be substituted; participation in marching band or interscholastic athletics may not be substituted.
<b>Texas</b>	135 minutes per week required in elementary school. Physical education is required in middle and high school, although duration and frequency are not specified. 1½ units are required for high school graduation. New law requires schools to include an emphasis on the importance of proper exercise (TX SB 42).	School districts may allow a student to substitute certain physical activities towards the high school graduation requirement. Waivers may be granted for credit to individual students for private or commercially sponsored programs in Olympic-level physical training.
<b>Utah</b>	Required in elementary, middle and high school, although duration and frequency are not specified. 1½ units are required for high school graduation.	Not identified through statute or code.



STATE	PHYSICAL EDUCATION REQUIREMENT SET AT STATE LEVEL	POLICY FOR BEING EXCUSED FROM PHYSICAL EDUCATION
<b>Vermont</b>	Required in elementary, middle and high school, although duration and frequency are not specified. 1½ years of physical education are required for high school graduation. <b>Legislation in late 2004 required the Department of Education to develop a model fitness policy (H544, S 241).</b>	Not identified through statute or code.
<b>Virginia</b>	Required in elementary, middle and high school, although duration and frequency are not specified. Two credits of health and physical education are required for high school graduation. <b>SB 1130 requires physical education including cardio, muscle building, and stretching exercises (amends 22.1-200 of the Code of Virginia).</b>	Not identified through statute or code.
<b>Washington</b>	Required in elementary, middle and high school, although duration and frequency are not specified. Two credits (300 hours) of health and fitness education are required for high school graduation. <b>State released model policy in 2004 as required by SB 5436. The law mandates local school districts to establish school physical education policies by Aug. 1, 2005. (Amends 28.A235 RCW).</b>	Student may be excused on account of physical disability, employment or religious beliefs, or because of participation in athletics or military science and tactics, or for other good cause.
<b>West Virginia</b>	Required in elementary, middle, and high school. One credit is required for high school graduation. <b>House Bill 2816 requires 30 minutes a day at least three days a week for grades K-5; a full period of physical education daily for one semester for grades 6-8; and one full course during high school for grades 9-12. (Amends CWW 18-2-7a).</b>	Not identified through statute or code.
<b>Wisconsin</b>	Required in elementary, middle and high school. Frequency is only specified for elementary school, three times per week. Duration and frequency are not specified for middle and high school. 1½ credits are required for high school graduation.	Not identified through statute or code.
<b>Wyoming</b>	Required in elementary, middle, and high school, although duration and frequency are not specified.	Not identified through statute or code.

\*The data in this table do not distinguish between what schools are required to offer and what students are required to take.

## RECOMMENDED ACTIVITY GUIDELINES FOR CHILDREN AGES 5-12

*From the National Association for Sport and Physical Education<sup>119</sup>*

The American Alliance for Health, Physical Education, Recreation and Dance (AAHPERD) issued a set of recommended levels of physical activity for children. AAHPERD is an alliance of six national organizations and is the largest organization of professionals supporting and assisting those involved in physical education, leisure, fitness, dance, health promotion, and education and all specialties related to achieving a healthy lifestyle.

**Guideline 1.** Children should accumulate at least 60 minutes, and up to several hours, of age-appropriate physical activity on all or most days of the week. This daily accumulation should include moderate and vigorous physical activity with the majority of the time being spent in activity that is intermittent in nature.

**Guideline 2.** Children should participate in several bouts of physical activity lasting 15 minutes or more each day.

**Guideline 3.** Children should participate each day in a variety of age-appropriate physical activities designed to achieve optimal health, wellness, fitness, and performance benefits.

**Guideline 4.** Extended periods (periods of two hours or more) of inactivity are discouraged for children, especially during the daytime hours.

### 3. BODY MASS INDEX INITIATIVES AND DIABETES SCREENING IN SCHOOLS

**Four states have passed legislation allowing schools to collect Body Mass Index (BMI) information on students.**

**Two states have enacted legislation requiring non-invasive diabetes screening in schools.**

As noted in the introduction, the utility of BMI screenings for individual obesity management is still being debated by medical professionals. Both the American Academy of Pediatrics (AAP) and the American Academy of Family Physicians (AAFP) endorse across-the-board BMI screening initiatives. However, some other organizations, including the U.S. Preventive Services Task Force (USPSTF), still believe there is insufficient evidence to formally issue a recommendation for or against such screening.<sup>120</sup>

Despite the lack of consensus, a number of states have begun initiatives to screen stu-

dents' BMI levels in schools. There are no studies yet that examine the results or effectiveness of these programs on reducing obesity.

During the 2003-04 school year, Arkansas became the first state in the country to begin testing children's BMI levels. Approximately 440,000 public school students in the state had their height and weight measured to assess BMI.<sup>121</sup> The results were mailed to parents from June through July 2004. The overall results are intended to help Arkansas identify schools, school districts, and student populations that may need interventions to help reduce the prevalence of overweight.

An initial review of the 2003-04 school year data in Arkansas found that 38 percent of students were either overweight (21 percent) or at risk of becoming overweight (17 percent).<sup>122</sup> These numbers were higher

than the previous federal estimates based on smaller sample sizes or self-reported data.<sup>123</sup>

Three more states – Illinois, Tennessee, and West Virginia – have since enacted similar legislation to also begin testing children’s BMI levels. Seven other states considered BMI testing legislation, but the laws were not passed. These were Alaska, Connecticut, Georgia, Iowa, New Jersey, North Carolina, and Texas.

In 2003, California and Illinois enacted legislation requiring risk analysis and non-invasive screening of students for diabetes. In

2005, California also enacted SCR 4 that encourages additional diabetes awareness and prevention efforts. Two other states, Pennsylvania and Texas, have considered legislation to screen students for their potential at-risk status for type 2 diabetes, but these initiatives were not enacted. More than 20 states considered legislation related to diabetes in the past year mostly aimed at obesity reduction efforts or stem cell initiatives.

(In the accompanying table, changes from last year are noted in red).

STATE	BODY MASS INDEX POLICIES
<b>Arkansas</b>	In 2003, as part of a statewide multifaceted legislative initiative, Arkansas required every public school student to have an annual BMI assessment performed and reported confidentially to parents. The legislation also required schools to provide parents with an explanation of the possible health effects of BMI, poor nutrition, and physical inactivity. The goal is to provide parents with information regarding the health risks their child could develop as a result of being overweight or underweight. <sup>124</sup>
<b>Illinois</b>	Illinois enacted legislation allowing the Department of Public Health to collect data relating to obesity as part of students’ mandatory health examinations for entrance into public schools and report on BMI. (This amends the School Code and the Illinois Health Statistics Act).
<b>Tennessee</b>	Requires that parents be provided with a confidential health report card for their children, including BMI levels. Schools with high aggregate BMI levels are encouraged to improve nutritional and physical activity programs (TN HB445, P.C. 194).  Tennessee also enacted legislation to authorize local education agencies to implement a program that identifies public school children who are at risk for obesity (SB 247).
<b>West Virginia</b>	Requires BMI testing for students in kindergarten, grades 4-8, and those enrolled in high school physical education programs (amends C.W.V. 18-2-7a).

## 4. HEALTH EDUCATION

**Only six states -- Alaska, Colorado, Kansas, New Mexico, Oklahoma, and South Dakota -- do not require schools to provide health education. This is the same number as last year.**

**South Carolina, West Virginia, and Virginia have passed legislation in the past year to revise their health education standards. Colorado and Oklahoma have enacted legislation encouraging changes in health and nutrition education, but do not articulate these changes as requirements.**

“Healthy People 2010” states that health education should include information about the consequences of unhealthy diets and inadequate physical activity. Health education seeks to teach students about maintaining good health, including the proper nutrition

and the value of physical activity, which are key to controlling obesity. The CDC notes that health education can effectively promote students’ health-related knowledge, attitudes, and behaviors.<sup>125</sup> The education programs are intended to help students set a foundation for maintaining good nutritional habits and a physically active lifestyle.

Forty-four states and D.C. require schools to provide some health education to students in elementary, middle, or senior high school. However, there are indications that some states are beginning to reduce classroom time devoted to health education to focus on areas considered core academic requirements, such as reading, writing, and math.<sup>126</sup> (In the accompanying table, changes from last year are noted in red).

STATE	HEALTH EDUCATION REQUIREMENTS
Alabama	Required each year in elementary and middle school. Requirements for frequency and duration: 60 minutes per week in elementary school; in grades 7-8, schools can choose 60 minutes per week or the high school amount, 70 hours total. One-half credit (70 hours) is required for high school graduation.
Alaska	Not required in elementary, middle, or high school. In high school, one credit (two semesters) of health or physical education is required for high school graduation.
Arizona	Required in elementary and middle school, but not in high school. For schools offering health education, the courses must comply with standards set by the state Board of Education.
Arkansas	Required each year in elementary and middle school. One-half credit of health and safety classes is required for high school graduation.
California	Required in grades K-6, but not in grades 7-8 or high school. For schools offering health education, the courses must comply with standards set by the state Board of Education.
Colorado	No state requirement. A voluntary health education program exists. <b>A 2005 law (CO BS 81) encourages school districts to include nutrition education goals.</b>
Connecticut	Required in elementary, middle and high school.
Delaware	In grades 1-4, 30 hours required per year; 35 hours per year are required in grades 5-6. Grades 7-8 must have 60 hours per year. In high school, one-half credit is required for graduation
D.C.	1½ credits of health education are required for high school graduation. Did not provide response to survey question about elementary and secondary school requirements.

STATE	HEALTH EDUCATION REQUIREMENTS
<b>Florida</b>	Not required in elementary and middle school. In high school, one-half credit is required for graduation.
<b>Georgia</b>	Ninety hours of health and physical education are required in elementary school. There are no health education requirements for middle school; schools must offer health education. One unit (140 hours) of health education is required for high school graduation.
<b>Hawaii</b>	Required in elementary school. One semester is required in middle school. One-half credit is required for high school graduation.
<b>Idaho</b>	Required in elementary and middle school. One credit is required for high school graduation. The state Board of Education developed health education content standards that are a minimum requirement for schools.
<b>Illinois</b>	In elementary school, health instruction must be provided for each grade level. One semester must be taught both in middle and high school.
<b>Indiana</b>	Required each year in elementary and middle school. One credit is required for high school graduation.
<b>Iowa</b>	Elementary and middle schools must teach health education at each grade level to receive accreditation. One unit must be taught in high school for school to receive accreditation.
<b>Kansas</b>	No state requirement. School districts are responsible for determining whether health education should be offered.
<b>Kentucky</b>	Required in elementary and middle school. One-half credit (60 hours) is required for high school graduation.
<b>Louisiana</b>	A minimum of 150 minutes of health education is required in elementary and middle school. One-half credit is required for high school graduation. The state developed the Louisiana Health Education Content Standards, which schools are required to follow.
<b>Maine</b>	Required in elementary and middle school. One-half unit is required for high school graduation.
<b>Maryland</b>	Required in elementary and middle schools. One-half credit is required for high school graduation.
<b>Massachusetts</b>	Required in elementary, middle and high schools.
<b>Michigan</b>	Required in elementary, middle and high schools.
<b>Minnesota</b>	Required in elementary and middle schools. In high school, health education must be taught at least once.
<b>Mississippi</b>	Required in elementary and middle school. One-half credit (70 hours) is required for high school graduation. Mississippi developed the Comprehensive Health Framework, and the competencies contained in the Framework are required for all grade levels.
<b>Missouri</b>	Required in elementary, middle and high school. The Missouri School Improvement Program sets requirements for health education at all grade levels.
<b>Montana</b>	Required in elementary and middle school. One unit (135 hours) is required for high school graduation.
<b>Nebraska</b>	Required in elementary and middle school. In high school, required daily for two years.

STATE	HEALTH EDUCATION REQUIREMENTS
<b>Nevada</b>	Required in elementary and middle school. One-half credit (60 hours) is required for high school graduation.
<b>New Hampshire</b>	Required in elementary and middle school. One-quarter credit is required for high school graduation.
<b>New Jersey</b>	150 minutes of health and safety education are required each week in elementary and middle school. 3 <sup>3</sup> / <sub>4</sub> credits of health, safety and physical education are required for each year of high school attendance.
<b>New Mexico</b>	Not required. In 2005, the New Mexico House of Representatives passed a resolution creating a committee to study how the lack of health education affects the state (HM 28).
<b>New York</b>	Required in elementary school. In middle school, a half-year course is required. One-half credit is required for high school graduation.
<b>North Carolina</b>	Required in elementary and middle school. One credit is required for high school graduation.
<b>North Dakota</b>	Under school accreditation requirements, 40 minutes of health education weekly are required for grades 1-3, 80 minutes are required weekly for grades 4-6, and 60 hours per year are required for grades 7-8. One unit of health and physical education is required for high school graduation.
<b>Ohio</b>	Required in elementary and middle school. One-half credit (60 hours) is required for high school graduation.
<b>Oklahoma</b>	Not required. The Priority Academic Student Skills for Health and Safety (PASS) have been adopted describing what students should know about health and safety by grade level. A 2004 law (SB 1445) mandates the formation of a committee in each school to address nutrition and health.
<b>Oregon</b>	Required in elementary and middle school. One credit of health education is required for high school graduation.
<b>Pennsylvania</b>	Required each year in elementary school. Health education is also required in middle and high school, although frequency and duration are not mandated by the state.
<b>Rhode Island</b>	An average of 20 minutes of daily health and physical education are required each year in elementary, middle and high school.
<b>South Carolina</b>	Required in elementary school for 75 minutes per week and must be taught each year. Health education must be taught each year in middle school. In high school, each student must receive a comprehensive health education course for 36 weeks. A 2005 law requires weekly nutritional instruction as part of health education, but its implementation is contingent on funding.
<b>South Dakota</b>	Not required. The state developed the South Dakota Health Education Standards, but schools are not required to follow these.
<b>Tennessee</b>	Required in elementary and middle school each year. One unit is required for high school graduation.
<b>Texas</b>	Required in elementary and middle school each year. One-half credit is required for high school graduation.
<b>Utah</b>	Required in grades 3-6. One-half credit is required to advance to high school. In high school, one-half credit is required for graduation.

STATE	HEALTH EDUCATION REQUIREMENTS
Vermont	Required in elementary, middle and high school.
Virginia	Required in elementary and middle school. Two credits (140 hours) of health and physical education are required for high school graduation. A new resolution (House Joint Resolution 260) urges school divisions to provide age-appropriate and culturally sensitive health, nutrition, and physical education so students can maintain healthy eating habits and physically active lifestyles.
Washington	Required in elementary and middle school. Two credits (300 hours) of health and fitness education are required for graduation.
West Virginia	Required in grades K-4. Health education must be taught as a separate subject in grades 5-8. One credit is required for high school graduation. A new law (WV HB 2816) requires the state Board of Education to mandate health education classes for grades 6-12 to teach the importance of healthy eating and physical activity.
Wisconsin	Required in elementary school. For grades 7-12, students must complete one-half credit for high school graduation.
Wyoming	Required in elementary and middle school. While not listed as a high school graduation requirement, students must demonstrate proficient performance in core knowledge and skills, including health education.

## 5. CDC'S SCHOOL HEALTH PROGRAM GRANTS

**Only 23 states received funds to support CDC's school health program that encourages behaviors to help reduce students' risk of obesity.**

CDC's Division of Adolescent and School Health (DASH) awarded grants to 23 of the 39 states that applied in 2005 to improve school health programs and policies designed to help young people avoid behaviors that increase risk for obesity and chronic disease. States received approximately \$9.2 million in grants in 2005. This is a slight decrease from approximately \$9.6 million in 2004.

Each state Department of Education is the lead agency for these grants and works in partnership with the state Department of Health to strengthen school-based policies and programs that address obesity and chronic disease. The DASH grants support:

- The planning and coordination of school-based programs that address all aspects of health in a school, including physical education and other physical activities, nutritional services, and health education.
- The implementation of the school health guidelines that address physical activity and healthy eating.
- Statewide assessments of critical health behaviors that contribute to obesity and overweight in youth.
- Local-level assessment of school health programs.
- The building of effective partnerships among state-level governmental and non-governmental agencies in support of school health programs and policies.
- The establishment of a state technical assistance and resource plan for school districts and schools.

<b>STATE</b>	<b>AMOUNT OF CDC DASH GRANT AWARD</b>
Arkansas	\$156,910
California	\$450,001
Colorado	\$405,300
Florida	\$450,000
Hawaii	\$410,000
Indiana	\$399,541
Kansas	\$407,463
Kentucky	\$410,000
Maine	\$410,000
Massachusetts	\$410,000
Michigan	\$455,000
New York	\$450,000
North Carolina	\$424,943
North Dakota	\$410,000
Oregon	\$410,000
Rhode Island	\$415,000
South Carolina	\$409,047
South Dakota	\$410,000
Tennessee	\$409,979
Vermont	\$410,000
Washington	\$408,101
West Virginia	\$351,015
Wisconsin	\$413,750

Source: CDC



## PART C: STATE ACTIONS AND POLICIES

**T**FAH examined a number of state obesity-related policies and actions that are aimed at the general population. (See Appendix C for more information on the data collection, which included an independent review by TFAH of state laws and enacted legislation as of July 1, 2005.) These include tax policies, litigation restrictions, requirements for health insurance plans, and grants from CDC for obesity-related programs. TFAH found:

- Seventeen states and D.C. have a “snack” or soda tax. This is the same number as last year.
- Only 28 states receive funds from the CDC for state-based nutrition and physical activity programs aimed at reducing the prevalence of obesity and other chronic disease. This is the same number as last year. CDC received 58 applications, but had insufficient funds to support the demand.
- Only seven states receive funds for STEPS to a Healthier US program. This is the same number as last year. CDC received 104 total applications, but could only support 32 programs due to insufficient resources.
- Twenty states have enacted laws that limit liability for obesity. This is an increase from 11 last year. The nine states with new laws are Arizona, Kansas, Kentucky, Maine, Michigan, North Dakota, Ohio, Texas, and Wyoming.



## OBESITY RELATED STATE INITIATIVES — 2005

	Has Snack Taxes	Has a CDC State-Based Nutrition & Physical Activity Program	Receives STEPS Grant	Has Limited Liability Laws
Alabama			✓	
Alaska				
Arizona		✓	✓	✓
Arkansas	✓	✓		
California	✓			
Colorado		✓	✓	✓
Connecticut				
Delaware				
DC	✓			
Florida		✓		✓
Georgia		✓		✓
Hawaii				
Idaho				✓
Illinois	✓	✓		✓
Indiana	✓			
Iowa		✓		
Kansas				✓
Kentucky	✓	✓		✓
Louisiana				✓
Maine	✓	✓		✓
Maryland		✓		
Massachusetts		✓		
Michigan		✓		✓
Minnesota	✓		✓	
Mississippi				
Missouri	✓	✓		✓
Montana		✓		
Nebraska				
Nevada				
New Hampshire				
New Jersey	✓			
New Mexico		✓		
New York	✓	✓	✓	
North Carolina		✓		
North Dakota	✓			✓
Ohio				✓
Oklahoma		✓		
Oregon		✓		
Pennsylvania		✓	✓	
Rhode Island	✓	✓		
South Carolina		✓		
South Dakota		✓		✓
Tennessee	✓			✓
Texas	✓	✓		✓
Utah				✓
Vermont		✓		
Virginia	✓			
Washington	✓	✓	✓	✓
West Virginia	✓	✓		
Wisconsin		✓		
Wyoming				✓
<b>Number of States</b>	<b>17 + DC</b>	<b>28</b>	<b>7</b>	<b>20</b>

## I. SNACK TAXES

**Seventeen states and D.C. have a “snack” or soda tax. This is the same number as last year.**

Taxing products is one way legislatures try to influence consumers’ buying practices. The federal and state governments have imposed taxes on items such as alcohol and tobacco to raise revenue, but also to promote public health and discourage consumption. The National Governors Association’s Center for Best Practices and the World Health Organization (WHO) have noted that taxes on “junk foods” are possible tools governments can use to influence consumer choices.<sup>127,128</sup> One reason for imposing such taxes is to raise the price of high-calorie foods with few nutrients and encourage consumers to switch to healthier foods.

TFAH’s analysis found that nearly all the states administering soda and snack food taxes use the funds for general revenue purposes. Arkansas and West Virginia are the only two states that designate tax receipt funds for health-related spending. Arkansas’s tax on soft drinks raises over \$40 million annually to help finance its portion of Medicaid expenses.<sup>129</sup> West Virginia uses the funds for medical, dental, and nursing schools at West Virginia University.

These taxes are very controversial. Proponents of the taxes argue that:

- A tax on junk food could be used to fund a healthy eating and nutritional information campaign, allowing anti-obesity crusaders to compete with the massive advertising budget of the food industry. The Center for Science in the Public Interest, a leading proponent of the junk food tax, has stated: “The government needs to do more than just cross its fingers and hope that the obesity epidemic goes away. It needs to mount campaigns and implement policies that will make it easier for people to eat well and be active.”<sup>130</sup>
- WHO supports taxing unhealthy foods and lowering the cost of healthier options

in an effort to combat obesity and overweight prevalence throughout the world.<sup>131</sup>

Opponents argue that junk food taxes are:

- Regressive. Individuals with lower incomes spend a greater proportion of their incomes on food, including junk foods, and therefore the tax is primarily a tax on low-income people. Additionally, since the tax is the same for the poor as it is for the rich, the tax eats up a bigger percentage of the poor consumer’s income.
- Unlikely to encourage many people to substitute healthier foods for junk food. The British Heart Foundation, responding to a similar U.K. proposal, stated that “few people would seriously consider avoiding these foods altogether.”<sup>132</sup>
- Difficult to administer, burdensome, and leads to consumer confusion.<sup>133</sup>
- Penalizing the wrong target. If manufacturers are to blame for the prevalence and damage of unhealthy food, they should be burdened by taxes, rather than their consumers.<sup>134</sup>

Public opinion is divided on the issue of a junk food tax. “Forums on Health” at Harvard University sponsored a national poll of 1,002 Americans in 2003 and found that 41 percent “somewhat supported” or “strongly supported” a special tax on junk food.<sup>135</sup>

A Minnesota poll of over 800 state residents found similar percentages – 42 percent supported a potential junk food tax, of whom 25 percent “strongly supported” such an initiative. Forty percent of state residents strongly opposed a tax.<sup>136</sup>

Seventeen states and D.C. currently have laws that permit foods of low nutritional value to be taxed (see table). This assessment does not include a comprehensive review of all food tax policies in all states. Some states with a general food tax that covers “junk food” may not be included in this evaluation.

<b>State</b>	<b>SODA TAX</b>	<b>SNACK TAX</b>	<b>REVENUE PURPOSE</b>
<b>Arkansas</b>	\$0.21 per gallon of liquid soft drink; \$2 per gallon of soft drink syrup.		Arkansas Medicaid Program Trust Fund
<b>California</b>	7.25 percent		General Funds
<b>D.C.</b>	9 percent	9 percent	General Funds
<b>Illinois</b>	6.25 percent	1 percent	General Funds
<b>Indiana</b>	6 percent	6 percent	General Funds
<b>Kentucky</b>	6 percent	6 percent	General Funds
<b>Maine</b>	7 percent	7 percent	General Funds
<b>Minnesota</b>	6.5 percent	6.5 percent; bakery products exempt.	General Funds
<b>Missouri</b>	\$0.003 per gallon of soft drinks produced (excise).		General Funds
<b>New Jersey</b>	6 percent	6 percent	General Funds
<b>New York</b>	4.25 percent	4.25 percent	General Funds
<b>North Dakota</b>	5 percent	5 percent	General Funds
<b>Rhode Island</b>	\$0.04 per case of soft drinks (excise).		General Funds (excise), local government (sales).
<b>Tennessee</b>	1.9 percent of gross receipts from soft drinks and soft drink ingredients paid by manufacturers and bottlers.	6 percent	General Funds. Soft drink tax for highway litter control. Sales tax expired June 1, 2005.
<b>Texas</b>	6.25 percent	6.25 percent	General Funds
<b>Virginia</b>	Small excise tax on wholesalers and distributors based on total sales of carbonated soft drinks.		Litter control and recycling.
<b>Washington</b>	\$1 per gallon of syrup		Violence prevention and drug enforcement.
<b>West Virginia</b>	\$0.01 per half-liter of carbonated and non-carbonated soft drinks; \$0.80 per gallon of syrups paid by manufacturers or wholesalers.		West Virginia University medical, dental, and nursing schools.

## 2. CDC GRANTS FOR STATE-BASED NUTRITION AND PHYSICAL ACTIVITY PROGRAMS

**Only 28 states have received funds to support a CDC-funded, state-based nutrition and physical activity program aimed at obesity and other chronic disease reduction. This is the same number as last year.**

CDC's Division of Nutrition and Physical Activity (DNPA) awarded grants to 28 states to help improve their efforts to prevent obesity and other chronic diseases. Federal funds of about \$9.3 million were available for the state grants, which promote good nutrition and physical activity. While the CDC received 58 applications in 2005, sufficient funding was available for only 28 grant awards.

Seven basic implementation grants (average of \$1 million each) were awarded to Colorado, Massachusetts, New York, North Carolina, Oregon, Pennsylvania, and Washington. The basic implementation grants are intended to help states:

- Hire staff with expertise in public health nutrition and physical activity.
- Build broad-based coalitions.

- Develop state nutrition and physical activity plans.
- Identify community resources and gaps.
- Implement small-scale interventions.
- Work to raise public awareness of systemic changes needed to help state residents achieve and maintain a healthy weight.

Capacity building grants are to be used to help states:

- Conduct and evaluate nutrition and physical activity interventions.
- Train health care providers and public health professionals.
- Provide grants to communities for local obesity prevention initiatives.
- Make environmental changes to encourage access to healthful foods and places to be active.
- Strengthen obesity prevention programs in community settings such as preschools, childcare centers, work sites, and health care settings.



<b>STATE</b>	<b>TYPE OF CDC GRANT</b>	<b>FY 2004</b>
<b>Arkansas</b>	Capacity building	\$415,488
<b>Arizona</b>	Capacity building	\$450,001
<b>Colorado</b>	Basic implementation	\$804,763
<b>Georgia</b>	Capacity building	\$272,209
<b>Florida</b>	Capacity building	\$450,000
<b>Illinois</b>	Capacity building	\$376,865
<b>Iowa</b>	Capacity building	\$397,136
<b>Kentucky</b>	Capacity building	\$450,000
<b>Maine</b>	Capacity building	\$397,743
<b>Maryland</b>	Capacity building	\$449,599
<b>Massachusetts</b>	Basic implementation	\$1,499,999
<b>Michigan</b>	Capacity building	\$449,716
<b>Missouri</b>	Capacity building	\$337,500
<b>Montana</b>	Capacity building	\$449,088
<b>New Mexico</b>	Capacity building	\$450,000
<b>New York</b>	Capacity building	\$450,000
<b>North Carolina</b>	Basic implementation	\$800,000
<b>Oklahoma</b>	Capacity building	\$400,000
<b>Oregon</b>	Capacity building	\$339,750
<b>Pennsylvania</b>	Basic implementation	\$1,000,000
<b>Rhode Island</b>	Capacity building	\$446,785
<b>South Carolina</b>	Capacity building	\$448,524
<b>South Dakota</b>	Capacity building	\$436,813
<b>Texas</b>	Capacity building	\$448,624
<b>Vermont</b>	Capacity building	\$437,833
<b>Washington</b>	Basic implementation	\$1,000,000
<b>West Virginia</b>	Capacity building	\$303,575
<b>Wisconsin</b>	Capacity building	\$450,000
<b>TOTAL</b>		<b>\$14,612,011</b>

Source: CDC

### 3. “STEPS TO A HEALTHIER US” GRANTS FROM HHS

The Steps to a HealthierUS initiative was launched by the Bush Administration in 2003 to help “Americans live longer, better, and healthier lives.”<sup>137</sup>

“At the heart of this program lie both personal responsibility for the choices Americans make and social responsibility to ensure that policymakers support programs that foster healthy behaviors and prevent disease.”<sup>138</sup>

A centerpiece of this initiative awards grants to states, cities, and rural communities to sup-

port innovative, community-based programs to prevent diabetes, asthma and obesity.

A total of seven states (which coordinate grants to 25 small cities and rural communities), 12 large cities, three tribes, and one national organization are funded through the program (award range, \$1.5-\$2.8 million). CDC received approximately 104 applications for FY 2005, 36 from states, 55 from cities and counties, and 13 from tribes.

The September 2004 awards include:<sup>139</sup>

FIVE-YEAR PROJECT PERIOD, FUNDING FOR INITIAL YEAR	
STATE	AMOUNT
Alabama (two areas)	\$1,500,000
Minnesota (four areas)	\$1,500,000
Pennsylvania (three counties)	\$1,500,000
FIVE-YEAR PROJECT PERIOD, FUNDING FOR SECOND YEAR	
STATE	AMOUNT
Arizona (three counties, one tribe)	\$2,780,494
Colorado (four counties)	\$2,566,574
New York (four counties)	\$2,800,000
Washington (four areas)	\$2,800,000

FIVE-YEAR PROJECT PERIOD, FUNDING FOR INITIAL YEAR	
CITY/COUNTY	AMOUNT
San Antonio, TX	\$1,000,000
County of Santa Clara, CA	\$1,000,000
DeKalb County, GA	\$1,000,000
Cleveland, OH	\$1,000,000
Hillsborough County, FL	\$1,000,000
FIVE-YEAR PROJECT PERIOD, FUNDING FOR SECOND YEAR	
CITY	AMOUNT
Austin, TX	\$1,857,672
Boston, MA	\$2,000,000
New Orleans, LA	\$2,000,000
Philadelphia, PA	\$2,000,000
Salinas, CA	\$1,848,756
Seattle, WA	\$1,930,680
St. Petersburg, FL	\$1,880,612

FIVE-YEAR PROJECT PERIOD, FUNDING FOR INITIAL YEAR	
TRIBE	AMOUNT
Cherokee Nation Health Services Group (OK)	\$500,000
Southeast Alaska Regional Consortium	\$500,000
FIVE-YEAR PROJECT PERIOD, FUNDING FOR SECOND YEAR	
TRIBE	AMOUNT
Intertribal Council of Michigan	\$800,000

Source: CDC

### YMCAs PARTNER WITH STEPS PROGRAMS

The YMCA of the USA has partnered with the Steps to a HealthierUS program. YMCAs located in communities involved in Steps to a HealthierUS help expand community capacity to identify and promote programs that encourage behavioral changes, leading to a reduction of some of the leading causes of death, including diabetes, obesity and asthma, as well as the control of risk factors such as poor nutrition, physical inactivity, and tobacco use.

The program is included in the YMCA's "Activate America" initiative, which is aimed at uniting the public and private sectors to strengthen the health of America's kids, families, and communities.

## 4. LAWS LIMITING LIABILITY FOR OBESITY

**Twenty states have enacted laws that limit liability for obesity. This is an increase from 11 last year. The nine states with new laws are Arizona, Kansas, Kentucky, Maine, Michigan, North Dakota, Ohio, Texas, and Wyoming.**

**In the past year, nearly 20 other states have debated or introduced similar legislation that was not voted on or enacted.**

Twenty states have passed "limited liability" laws that prevent individuals from suing restaurants, food manufacturers, and marketers for contributing to unhealthy weight and related health problems.

Limited liability laws are fairly controversial. Proponents of these bills argue that the central issue is "common sense and personal

responsibility."<sup>140</sup> Passage of the bill indicates a level of support for the view that obesity is an individual health issue. Supporters also endorse a statement from the White House that "food manufacturers and sellers should not be held liable for injury because of a person's consumption of legal, unadulterated food and a person's weight gain or obesity."<sup>141</sup>

Opponents of limited liability laws support the position that "it's impossible for consumers to exercise personal responsibility when businesses are concealing important information about their products," such as the number of calories in restaurant food or lack of consistency in food labeling.<sup>142</sup>

The table describes the 20 states with laws limiting liability (changes from last year are noted in red).



STATE	LIABILITY LIMITATION LAW
<b>Arizona</b>	April 2004. Law states that there is no duty to warn a consumer that a non-defective food product may cause health problems if consumed excessively and provides an affirmative defense.
<b>Colorado</b>	May 2004. Protects a manufacturer, packer, distributor, carrier, holder, or seller of any food or beverage from civil liability for any claim arising from weight gain, obesity, a health condition associated with weight gain or obesity, or other injury caused by or resulting from the long-term consumption of food. The limitation of civil liability shall not bar a claim based on material violation of a composition, branding or labeling standard set by state or federal law.
<b>Florida</b>	May 2004. Protects a manufacturer, distributor, or seller of any food or nonalcoholic beverage from civil liability for personal injury or wrongful death associated with weight gain, obesity, or a health condition associated with weight gain or obesity resulting from the long-term consumption of food. The limitation of civil liability shall not bar a claim if the aforementioned entities failed to provide nutritional content information as required by state or federal law or has provided materially false or misleading information.
<b>Georgia</b>	May 2004. Protects a manufacturer, packer, distributor, carrier, holder, seller, marketer, or advertiser of any food or beverage, or an association of those entities, from civil liability for any claim arising from weight gain, obesity, a health condition associated with weight gain or obesity, or other generally known condition allegedly caused or likely to result from the long-term consumption of food. The limitation of civil liability shall not bar a claim based on material violation of adulteration or misbranding or any other violation of federal or state law. In 2005, Georgia enacted a supplement to 2004 legislation (HB 1519) clarifying that cognizable claims already existing in state law are not affected (HB 186).
<b>Idaho</b>	April 2004. Same as Georgia (see above).
<b>Illinois</b>	July 2004. Protects a seller of a food from civil liability resulting from weight gain, obesity, or a health condition associated with weight gain or obesity. The limitation of civil liability shall not bar a claim if the seller violated federal or state statutes applicable to marketing, distribution, advertisement, labeling, or sale of the product. The limitation shall also not bar a claim for breach of contract or express warranty in connection with the product, or an action of adulteration.
<b>Louisiana</b>	June 2003. Protects a manufacturer, distributor, or seller of any food or nonalcoholic beverage from civil liability for any claim arising from weight gain, obesity, or a health condition associated with weight gain or obesity resulting from the long-term consumption of food.
<b>Kansas</b>	April 2005. A manufacturer, producer, packer, distributor, carrier, holder, seller, marketer, or advertiser of a food, or an association of one or more such entities, shall not be subject to civil liability for any claim arising out of weight gain, obesity, a health condition associated with weight gain or obesity, or other generally known condition allegedly caused by or allegedly likely to result from long-term consumption of food.
<b>Kentucky</b>	Kentucky adopted SB 103 limiting liability for obesity-related lawsuits.
<b>Maine</b>	June 2005. A person or business entity that serves food is not liable for the obesity or excessive weight gain of a customer as a result of the customer's long-term consumption of food from that person or entity.

STATE	LIABILITY LIMITATION LAW
<b>Michigan</b>	Michigan enacted HB 5809 limiting liability for obesity-related lawsuits.
<b>Missouri</b>	<p>June 2004. Protects a manufacturer, packer, distributor, carrier, holder, seller, marketer, retailer, or advertiser of any food or beverage, or an association of those entities, from civil liability for any claim arising from weight gain, obesity, or a health condition associated with weight gain or obesity resulting from the long-term consumption of food.</p> <p>The limitation of civil liability shall not bar a claim based on material violation of adulteration or misbranding or any other violation of federal or state law.</p>
<b>North Dakota</b>	March 2005. Provides for limited liability for a food producer, processor, manufacturer, packer, distributor, carrier, holder, seller, marketer, trade association, or advertiser for a claim of injury resulting from weight gain, obesity, or any health condition related to weight gain.
<b>Ohio</b>	January 2005. Precludes any manufacturer, seller, or supplier of a qualified product (generally, food or drink) and any trade association from being liable for injury, death, or loss to person or property for damages, from being subject to an action for declaratory judgment, injunctive, or declaratory relief, or from being responsible for restitution, damages, or other relief arising out of, resulting from, or related to cumulative consumption, weight gain, obesity, or any health condition that is related to cumulative consumption, weight gain, or obesity.
<b>South Dakota</b>	March 2004. Protects a manufacturer, seller, trade association, livestock producer, or retailer of any food or beverage from civil liability for any claim arising from weight gain, obesity, or a health condition associated with weight gain or obesity resulting from the long-term consumption of food.
<b>Texas</b>	June 2005. Prohibits actions alleging injury relating to an individual's weight gain, obesity, or any health condition associated with weight gain or obesity.
<b>Tennessee</b>	April 2004. Same as Georgia (see above).
<b>Utah</b>	March 2004. Protects a manufacturer, packer, distributor, carrier, holder, seller, marketer, or advertiser of any food or beverage, or an association of those entities, from civil liability for any claim arising from weight gain or obesity resulting from the long-term consumption of food. The limitation of civil liability shall not bar a claim based on material violation of adulteration or misbranding or any other violation of federal or state law.
<b>Washington</b>	March 2004. Protects a manufacturer, packer, distributor, carrier, holder, marketer, seller, or an association of those entities, from civil liability for any claim arising from weight gain, obesity, or a health condition associated with weight gain or obesity resulting from the long-term consumption of food.
<b>Wyoming</b>	Enacted legislation (HB 170) limiting liability for obesity-related lawsuits.

- When defining food, states usually refer to Section 201(f) of the federal Food, Drug, and Cosmetic Act [21 U.S.C. 321(f)].

## 5. HEALTHY LIVING INITIATIVES FOR STATE GOVERNMENT EMPLOYEES

The National Governors Association’s (NGA) Center for Best Practices recently released a policy brief outlining several state government employee healthy living initiatives.<sup>143</sup> It makes the point that state governments are often both the largest employer

and largest provider of health insurance in the state. The accompanying table highlights some state policy initiatives based on the NGA report. (Note: Healthy Arkansas, Healthy Virginia, and Michigan programs are highlighted separately.)

STATE	HEALTHY LIVING INITIATIVE
<b>Alabama</b>	State employees enjoy lower health insurance premiums if they are non-smokers.
<b>Arkansas</b>	See “HealthyArkansas” in the following section.
<b>Arizona</b>	The “Arizona Nutrition and Physical Activity State Plan” outlines a worksite wellness program that includes assessment plans, Web-based health resources, education campaigns, and recognition for worksite wellness plans.
<b>Delaware</b>	“Health Rewards” began in 2003 and encourages prevention and wellness activities, such as health assessments, blood pressure measurements, BMI screenings, and fitness “prescriptions.” Follow-up visits assess the ongoing health of state employees.
<b>Georgia</b>	State employees enjoy lower health insurance premiums if they are non-smokers.
<b>Kansas</b>	Workplace wellness initiatives continue to be implemented, including a wellness book club with books promoting healthy living and exercise. In addition, the “State Thanks and Recognition” (STAR) program offers recognition to those companies that offer discounted fitness services to state employees. This is largely aimed at those activities or vendors not covered by the state employee health plan.
<b>Kentucky</b>	The “Wellness Program” requires state health insurers to provide all state employees with a health risk assessment either online or in person to help foster healthier lifestyles. The program also includes “adjustments and surcharges” for smokers, while non-smokers pay a lower share of their health insurance.
<b>Maryland</b>	“Club Maryland,” which has existed for approximately 10 years, offers state employees and their families access to health screenings and other fitness events. Agencies also can secure “wellness grants” for on-site fitness equipment and classes, and the state has secured discounted memberships at area health and fitness clubs.
<b>Michigan</b>	See “Michigan’s First -- and Only State-Level -- Surgeon General” in the following section.

STATE	HEALTHY LIVING INITIATIVE
<b>North Carolina</b>	<p>Gov. Easley proclaimed September 2004 “Healthy North Carolina Month.” At a state employee wellness fair, he highlighted the importance of prevention and physical activities. The state Health Department helped local departments put on events for the community at large, such as blood pressure screenings, nutritional education, and information about the importance of physical fitness.</p> <p>“HealthSmart” is a prevention and wellness program for those who are members of the state employees’ health care plan. It stresses health promotion, disease management and prevention, and worksite wellness. Health assessments and educational programs are taking place, as well as health “coaching and medical case management” both over the phone and person-to-person. There are also nine pilot wellness programs across North Carolina, saving the state an estimated \$22.5 million.</p>
<b>Oklahoma</b>	<p>“Oklahoma’s Wellness Week” highlighted for state employees the importance of exercise, healthy eating, and health screenings to help combat obesity, heart disease, and cancer, as well as to keep down state health insurance premiums. As a result, state agencies offer health screenings on-site and are encouraged to put water and healthy foods in their vending machines.</p>
<b>South Dakota</b>	<p>“Healthy South Dakota” is a wellness program for state employees that will eventually be expanded to the private sector and all South Dakotans. It includes a Web site and tool kits for participants, as well as journals to monitor one’s success. The program has “been proven to contain costs” among the state’s employees.<sup>144</sup> The state government has also implemented financial incentives for state employees to participate, use their journals, and reach self-determined goals: \$100 for reaching a goal in FY 2005 and \$50 for participating in a health assessment. The online journal activity also helps the state continue to evaluate the program. Employees may also be reimbursed for memberships in exercise clubs or weight loss programs, up to \$300 per year (the \$300 can also be used for co-payments and/or deductibles as well).</p>
<b>Utah</b>	<p>The “Work Well Program” describes ways for state employees to be healthier. An educational campaign includes posters that encourage healthy eating, cubicle yoga, and using the stairs, and materials about area trails available for walking and biking. Employees are also encouraged to commute to work by bike, bus, or by walking, and where possible, they can adjust their work hours to accommodate this type of commuting. Finally, state employees, with the approval of their supervisor, can take up to three 30-minute exercise breaks per week.</p>
<b>Virginia</b>	<p>See “Healthy Virginians” in the following section.</p>
<b>West Virginia</b>	<p>State employees enjoy lower health insurance premiums if they are non-smokers.</p>
<b>Wisconsin</b>	<p>Gov. Doyle ordered all state buildings to become smoke-free and has urged the legislature to pass a bill to make all local government buildings smoke-free as well.</p>

Source: NGA and TFAH review of state announcements and programs.

## SPOTLIGHT ON STATE PROGRAMS: HEALTHY ARKANSAS AND HEALTHY VIRGINIANS

### HealthyArkansas

“We have reached a point in time that we simply have to start to address behavioral issues when we talk about the general health and well-being of any group of people.”<sup>145</sup>

In May 2004, Gov. Mike Huckabee launched HealthyArkansas to improve the health of all Arkansans. After losing over 100 pounds himself, Huckabee has become a leading advocate for healthy eating and regular exercise.

HealthyArkansas has a three-pronged approach:

1. Awareness for the individual, family, community, and worksite.
2. Support from worksites and insurance programs.
3. Engagement that includes friendly competition and fiscal incentives to be healthier.<sup>146</sup>

Health promotion, prevention, and education are the centerpieces of the program, which “uses state resources and the best practices of the private sector to encourage healthy behaviors and increase quality of life for Arkansans.”<sup>147</sup> The general goals are to reduce obesity, tobacco use, and physical inactivity among all of the state’s residents with a particular focus on state employees and Medicaid recipients.<sup>148</sup>

Specific goals include:

- Increasing the number of children and teenagers who “are active” at least 20 minutes, three times a week, from 64 to 85 percent.
- Increasing the number of adults who exercise at least 30 minutes, three times a week, from 15 to 30 percent.
- Reducing the number of obese children percent.
- Reducing the number of obese adults from 23 to 15 percent.

Huckabee has implemented both policies and incentives for state employees and others to get and remain healthy:

- Preventive care co-payments are being phased out for state employees, and their health insurance now covers a broader spectrum of preventive care.
- State employees who undergo a voluntary health risk assessment will receive a \$20 monthly discount on their 2005 health premiums. Eighteen thousand state employees and 4,000 of their spouses took advantage of this offer in the first several months.
- Medicaid recipients are also receiving more preventive care, such as sessions with a nutritionist.<sup>149</sup>

Recently, Huckabee has been discussing his HealthyArkansas plan with corporate leaders across the country, and he has promised to continue to raise the issue as chair of the National Governors Association.<sup>150</sup> According to media reports, Starbucks, Gerber, and Kraft have all contacted the governor about implementing wellness programs for their employees.<sup>151</sup>

Huckabee has noted in remarks that as governor, his health insurance would pay for him to get heart surgery, but not to see a nutritionist to learn how to eat better.<sup>152</sup>

In June 2005, Gov. Huckabee appointed Dr. Joe Thompson, a Little Rock pediatrician, as Arkansas’s first chief health officer. His main charge is to “identify strategies and shape policies to improve the health of Arkansans.”<sup>153</sup>

## Healthy Virginians

“Governor Warner sees a role for Virginia government to play in promoting healthy lifestyles in our workplaces, our schools and among families who receive health care through Medicaid. That effort is called ‘Healthy Virginians.’”<sup>154</sup>

“Healthy Virginians” was launched by Gov. Mark Warner in November 2004. The program is “an effort to promote health and wellness and reduce health care costs by combating obesity, hypertension, and other preventable diseases among state employees, public school students, Medicaid recipients, and the public.”<sup>155</sup> The governor also hopes it to be a “best practices demonstration for the private sector.”<sup>156</sup>

The Healthy Virginians Web site ([www.healthyvirginians.virginia.gov](http://www.healthyvirginians.virginia.gov)) has information divided into three sections: (1) Healthy (State) Employees, (2) Healthy Students, and (3) Healthy Families.

■ **Healthy Employees** focuses generally on getting state employees to be more aware of their health and to become more active. Employees can fill out confidential online health assessments and return to the site to update their health habits and status.<sup>157</sup> They also can keep track of their physical activity by participating in the “Virginia on the Move” campaign, recording daily walking and/or exercise routines. Gov. Warner has also made sure that state employees are given a 15-minute break each day to get up and walk around or do some other form of exercise. Finally, there is incentive to participate: Drawings are held each week from the pool of employees who have filled out health assessments and prizes have included weekend getaways and treadmills.<sup>158</sup>

■ **Healthy Students** works to combat childhood obesity through the state’s schools, focusing on better nutrition and increased physical activity.<sup>159</sup> The major statewide school-based activity is the Governor’s Nutrition and Physical Activity Scorecard, which “recognizes and rewards schools for encouraging healthy habits.”<sup>160</sup> Schools can compete with other each for points, based on the following criteria:

- ▲ Providing a minimum of 30 minutes of recess per day that fosters physical activity;
- ▲ Encouraging middle and high school students to develop a personal exercise plan;
- ▲ Creating school- and community-based fitness or nutrition nights;
- ▲ Allowing only foods that meet minimum nutritional standards during the school day;
- ▲ Selling only 100 percent fruit juice, water, and/or low-fat milk.<sup>161</sup>

Gov. Warner also is trying to implement Virginia’s first-ever state funding match of the federal School Breakfast program. He is proposing that the state contribute 5 cents for each breakfast sold to encourage participation in the program.<sup>162</sup>

■ **Healthy Families** currently focuses on families who receive Medicaid health benefits. The Healthy Virginians program seeks to make sure that such families have and maintain access to preventive health care and chronic disease management.<sup>163</sup> It also seeks to find ways for all Virginians, even those without any form of health coverage, to access such services as well. Additional details will be rolled out by the Warner Administration for both of these programs at a later date.

## MICHIGAN'S FIRST — AND AMERICA'S ONLY — STATE-LEVEL SURGEON GENERAL

Dr. Kimberly Dawn Wisdom was appointed Michigan's first surgeon general by Gov. Jennifer M. Granholm in 2003. Dr. Wisdom's mandate is similar to that of the U.S. Surgeon General: Overseeing the health of the state's population as an "unbiased, non-partisan, evidence-based, best practices advocate."<sup>164</sup> She is fulfilling this charge in several ways.

■ **"Healthy Michigan 2010: A Health Status Report."** In April 2004, Dr. Wisdom and Janet Olszewski, director of the Michigan Department of Community Health, released the state's first health status report. It called on Gov. Granholm and state leaders and residents to "bring back a focus on prevention in health care to help improve Michigan's economy."<sup>165</sup> The report calls attention to the many ways the state is failing to meet "Healthy Michigan 2010" goals and draws a link between Michigan's health and economic status. "Higher obesity, diabetes, heart disease, and other chronic disease rates," the report says, have led to "increases in the cost of health care throughout the state," and are hurting Michigan businesses.<sup>166</sup>

■ **The Michigan Surgeon General's Prescription for a Healthier Michigan.** This report builds on the "Healthy Michigan 2010" report and also takes into account meetings and forums with varied stakeholders from across the state.<sup>167</sup>

The report also identifies the costs of obesity to the state of Michigan:

▲ \$8.9 billion, including medical care costs, lost productivity, and workers' compensation in 2002.

▲ \$2.9 billion in medical care costs alone in 2003.

■ **The Public Health Steps Up Challenge.** The Public Health Steps Up Challenge was the state's third walking competition (following the Legislative Health Challenge and the Walk by Faith program); 2,471 employees at Michigan's 45 local health departments, the Michigan Department of Community Health (MDCH), the Michigan Public Health Institute (MPHI), and the Michigan Association for Local Public Health (MALPH) registered for the Challenge.<sup>168</sup>





# States and Smart Growth Initiatives

In this section, TFAH examines initiatives intended to help foster more active and healthy communities.

**Part A:** Describes the role that community design can play in encouraging people to be more active, such as the creation of more recreational spaces and sidewalks.

**Part B:** Describes access to low-cost, nutritious food, particularly in urban and rural areas.

## PART A: COMMUNITY DESIGN

“THOSE LIVING IN THE MOST SPRAWLING AREAS ARE LIKELY TO WEIGH SIX POUNDS MORE THAN THOSE LIVING IN THE LEAST SPRAWLING AREAS.”<sup>169</sup>

— “The Public Health Effects of Sprawl,” The Environmental and Energy Study Institute<sup>170</sup>

While individual choices of food intake and exercise remain the most important components of promoting a healthy weight, research has increasingly shown that how we live, not just what we eat, also plays a significant role. Research also shows that even short periods of physical activity can make a difference in improving health, particularly if periods of activity are accumulated throughout the day. Community design that encourages increased walking and other

physical activity can make a big difference in people’s health.

**Sprawl** describes spread-out areas where homes may be isolated from schools, the workplace, and other frequent destinations. As a result, people “who live in these areas may find that driving is the most convenient way to get everything done, and they are less likely to have easy opportunities to walk, bicycle, or take transit as part of their daily routine.”<sup>171</sup>

“IT IS CLEAR THAT THE WAY WE HAVE USED THE LAND -- WHERE AND HOW WE CHOOSE TO BUILD NEW HOMES, SCHOOLS, AND BUSINESSES -- CONTRIBUTES TO OUR INDIVIDUAL AND COLLECTIVE WEIGHT GAIN, AND TO MANY OF OUR HEALTH PROBLEMS AS A NATION.”

— Gov. Parris Glendening, 2004 speech before the American Public Health Association<sup>172</sup>

“THE AVERAGE AMERICAN NOW DRIVES 73 MINUTES PER DAY AND USES THE AUTOMOBILE FOR ALMOST 90 PERCENT OF TRIPS REGARDLESS OF DISTANCE. CHILDREN BETWEEN THE AGES OF FIVE AND FIFTEEN...WALKED AND BIKED 40 PERCENT LESS IN 1995 THAN IN 1977.”

— “Relationship Between Urban Sprawl and Physical Activity, Obesity, and Morbidity”<sup>173</sup>

In 2003, a research study, “Relationship Between Urban Sprawl and Physical Activity, Obesity, and Morbidity,” published in the *American Journal of Health Promotion*, examined the relationship between health and the environment in which we live. The researchers studied counties across the nation and derived a “sprawl index,” a score comprised of a number of quantifiable factors, many coming from U.S. census information.<sup>174</sup> This index, involving such components as where housing is located in relation to centers of activity, the interconnectedness of streets, and population density, was then compared with a number of health variables, such as overweight and obesity levels.<sup>175</sup>

The study found a strong link between high sprawl and poor health. Specifically, the report found that sprawl is linked to:

- **Weight:** The study determined that “the people who live in more sprawling counties were likely to be heavier than people who live in more compact counties.”<sup>176</sup>
- **Physical activity:** The study showed that “the degree of sprawl makes a difference in how much people engaged in the most common form of exercise -- walking.”<sup>177</sup>
- **Chronic disease:** The study found that people in high-sprawl areas are more likely to suffer from high blood pressure, even after controlling for demographic factors. The study postulated that lower levels of physical activity among high-sprawl residents most likely accounted for the higher rates of hypertension.<sup>178</sup>

Other studies have similarly demonstrated that the distance from a person’s home to work and other daily destinations, community safety, the safety of roads for pedestrians and bicyclists, the availability of facilities for physical activity, and time spent commuting in cars contribute to how often a person walks, bicycles, or plays.<sup>179</sup>

A community’s surroundings, known as the “built environment,” include features such as street layout, existence of sidewalks, the availability of parks and recreation centers, and zoning. A number of states and communities are examining ways to improve the physical environment of communities so that they will encourage greater physical activity. These initiatives include developing parks (“green spaces”) and converting existing unused or underused buildings (“brownfields”) into recreational centers.

**Green spaces** describe open, undeveloped recreational spaces that are accessible to the public and maintained by the government. Green spaces provide communities with opportunities for recreation and physical activity by providing areas for walking, biking, and other sports.<sup>180</sup>

Recent research has found that a lack of green spaces and other recreational areas may contribute to higher obesity rates. For instance, fewer parks and swimming pools are typically available in communities with high levels of poverty and with greater numbers of African Americans and Latinos, who have higher rates of overweight and obesity.<sup>181</sup>

A number of cities and states are beginning to enact programs to improve and preserve green spaces. The goal of preserving open areas is to create accessible environments for recreation and activity that can enhance quality of life.<sup>182</sup> Rural, suburban, and urban areas are filled with examples of open space being converted into areas of recreation and conservation.<sup>183</sup> While many of the initiatives are government-driven, a number rely on public-private partnerships for funding and development.

HHS and the National Parks and Recreation Association recently recognized the importance of conserving open space through a partnership designed to enhance physical activity, reduce overweight and obesity rates, and thus improve overall public health through the development of community parks throughout the nation.<sup>184</sup>

“OUR COMMUNITIES’ PARKS ARE TRULY NATIONAL TREASURES AND HAVE LONG BEEN RECOGNIZED AS PLACES OF BEAUTY AND GREEN SPACES FOR RECREATION...[NOW] OUR PARKS WILL ALSO BE A PLACE OF HEALTH, WHERE COMMUNITY MEMBERS CAN COME TO NOT ONLY EXERCISE BUT TO LEARN ABOUT AND PARTICIPATE IN OTHER WAYS TO MAKE A DIFFERENCE IN THEIR SUCCESS AND WELL-BEING.”<sup>185</sup>

– Dr. Eve E. Slater, former assistant secretary for health, HHS

**Brownfields** are former commercial and industrial sites, many of which are abandoned or contaminated with hazardous substances or pollutants. Often, these locations provide no usable space for the surrounding area and serve as decaying eyesores, environmental health threats, and indicators of blight.

In recent years, numerous initiatives have sought to convert brownfields into green space centers of physical activity. The U.S. Environmental Protection Agency (EPA) has a Brownfields Initiative devoted to clean-up assistance and redevelopment. The Initiative funds eligible pilot programs, engages in research and assessment tasks, and works with local partners to develop a sustainable alternative to the brownfields.<sup>186</sup> EPA estimates there are 450,000 brownfields in the U.S.<sup>187</sup> A number of states are using EPA brownfields funding for many clean-up and redevelopment efforts. (See “Brownfields” column in the following state-by-state chart for examples of these initiatives.)

Smart growth advocates have also prioritized the redevelopment of non-contaminated properties, including empty shopping malls (“greyfields”), abandoned buildings, and vacant lots. For example, in 2003, a partnership of smart growth, community development, and local government groups launched The National Vacant Properties Campaign to address this issue ([www.vacant-properties.org](http://www.vacant-properties.org)). In making “vacant properties reclamation a national priority,” the campaign to rejuvenate abandoned sites seeks to “revitalize existing communities and support more environmentally sound approaches to growth,” thus improving public health and enhancing quality of life.<sup>188</sup> The transformation of brownfields and vacant properties has particular resonance for obesity reduction in inner cities, as abandoned commercial and industrial lots abound in urban areas.

## SMART GROWTH: IMPROVING BUSINESSES?

A 2004 report from the Smart Growth Leadership Institute examined a number of smart growth policies and their positive effect on business. The report, “Smart Growth is Smart Business,” divided smart growth’s benefits to businesses into five distinct categories<sup>189</sup>:

- **Quality of life:** The availability of multiple housing and transportation options can have a significant impact on employee satisfaction.
- **Reinvestment in established communities:** Improving existing infrastructure within established communities has “the potential to reduce costs and improve profits over the short- and long-term.”<sup>190</sup>
- **Emerging market opportunities:** Investing in transitional neighborhoods “on the edge of revitalization” has proven a successful strategy for a number of businesses in an array of industry sectors.<sup>191</sup>
- **Improved growth management can improve the bottom line:** Many industry groups, such as realtors, builders, and developers have a direct stake and interest in ensuring smart and sustainable growth patterns throughout a region.
- **Economic benefits in any economic condition:** Smart growth investments within existing communities may be more stable than investments in far-flung locations, which are often less attractive to investors and home buyers during economic downturns.<sup>192</sup>

## GENERATION O: KIDS NO LONGER WALKING AND BIKING TO SCHOOL

As is well documented, Americans do not get enough physical activity to balance their level of caloric intake. However, it is difficult to determine exactly why exercise levels are no longer sufficient. “What may be changing is the amount of physical activity people get in the course of their everyday life.”<sup>193</sup>

A 2003 poll conducted by the Surface Transportation Policy Project examined the issue of walking, and how sprawl has affected this achievable form of exercise. The survey provided, perhaps, one part of the answer as to why overweight and obesity rates are rising so dramatically among children.

Over 70 percent of American adults say they walked or rode a bike to school when they were students. The situation has dramatically changed for today’s youth. “Most school-aged children are either driven by a parent (53%) or a school bus (38%). Less than two in 10 (17%) walk.”<sup>194</sup>

*“The main reason their children do not walk or bike is because the school is too far away (66%). Other concerns take a backseat to distance - too much traffic, no safe route (17%), fear of abduction (16%), crime in the neighborhood (6%), lack of convenience (15%), and finally, children not wanting to walk (6%).”<sup>195</sup> – “Americans’ Attitudes Toward Walking and Creating More Walkable Communities,” The Surface Transportation Policy Project, 2003.*

One reason for the growing distance between schools and students’ homes are “acreage” rules in many states and localities that require that new schools be built on large plots of land. Some states are reviewing these policies. For instance, Gov. Mark Sanford (R-SC) has initiated efforts to remove acreage rules for new school construction to allow communities to build schools closer to existing homes and commercial regions instead of in remote areas.

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

The following chart contains selected, illustrative examples of many emerging and promising state government programs aimed at green space and brownfield development and reducing sprawl. In many locations, non-governmental organizations (NGOs) have undertaken a leadership role in promoting smart growth and related initiatives. While NGO contributions are crucial, the below chart is limited to public sector programs and public/private partnerships.

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>AL</b>		The Brownfields Redevelopment and Voluntary Cleanup Program (VCP) offers industrial grants of up to \$375,000 adaptable for brownfield purposes. Also, the EPA-capitalized Brownfield Revolving Loan Fund (RLF) targets counties and municipalities for brownfield redevelopment.	
<b>AK</b>		The Alaska state government is developing resources to assist eligible entities within the state to apply for EPA brownfield grants. The state's Contaminated Sites program includes a brownfield component.	Two ordinances in November 2004 would allow higher densities through bonuses for conserving open space and encouraging cottage housing, which would minimize urban sprawl in Juneau. Anchorage has encouraged Smart Growth policies by discussing tax breaks in preserving open space and preventing sprawl.
<b>AZ</b>	In 1996, the Arizona State Land Preserve Initiative (A.R.S. § 37-312 enacted by HB 2555), was established for the long-term conservation of ecologically, culturally, and historically important areas. The Act directs the state Land Commissioner to survey public lands and determine if areas should be reclassified for conservation purposes. The Act falls short of a green infrastructure program insofar that it only involves land already owned by the state.	Environmental Quality Act, ARS, Title 49, Sections 281-298, establishes strict proportional liability, administrative orders, abatement, and remedial actions requiring ADEQ to set standards for residential and non-residential use of brownfields.  APC & EC Regulation 29-Brownfields Redevelopment	Since 1998, Arizona has adopted the Growing Smarter Act and Growing Smarter Plus Act to promote consideration by local governments of open space, growth areas, environmental planning, and cost of development when constructing growth plans. State referendums have provided resources to fund the programs included in the two laws.
<b>AR</b>	The Natural Heritage Fund, comprised of conservation sales tax funds, real estate transfer tax funds, general funds, and grants totaling \$4 million in fiscal 1999, preserves land that retains its pre-settlement characteristics. (A.C.A. § 15-20-301 et seq.)	On May 10, 2005 Senators Blanche Lincoln and Mark Pryor, with the help of Congressmen Vic Snyder and Mike Ross secured \$3,450,880 in grants from the U.S. Environmental Protection Agency (EPA) to cleanup brownfields. The Arkansas Department of Environmental Quality (ADEQ) has created the Brownfields Program to redevelop properties with hazardous substance contamination.	In an attempt to limit sprawl, Arkansas now encourages transit-oriented development (TOP). This development involves the creation of a commuter rail for Northwest Arkansas which University of Arkansas-Fayetteville's Community Design Center director Stephen Leoni says, "could cement in place smart growth patterns and prevent sprawl."

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
CA	<p>The Department of Conservation and the Division of Land Resource Protection are programs to conserve California's farmland and open space resources. Grants and financial assistance incentives have been used to promote conservation of open land. The Williamson Act (Land Conservation Act) of 1965 has continued to provide property tax incentives for the promotion of open space conservation. Additionally, grants have been awarded for agricultural conservation easements of green space.</p>	<p>Two regulatory bodies within the California Environmental Protection Agency (Cal/EPA), the Department of Toxic Substances Control (DTSC) and the Regional Water Quality Control Boards (Water Boards), oversee the cleanup of brownfields in the state.</p>	<p>The State of California's zoning code has been hailed as a model for smart growth practitioners across the nation.</p> <p>"Senate President Pro Tem Don Perata and other Democrats unveiled a series of proposals with the ultimate goal of lowering home prices. They want to increase housing supply in the high-demand, urban centers of San Francisco and Los Angeles and contain certain fallout from sprawl (SB 832)." (2/24/05 Modesto Bee B1)</p> <p>The California Chapter of American Planning Association (APA) will push for multi-prong legislation that would require CA to draw up to a 20-year housing plan, promote urban infill, encourage smart zoning, curb sprawl, and streamline the CA Environmental Quality Act (CEQA) process for projects in line with smart-growth and affordability goals (SB 832). (2/15/2005 Enterprise Record)</p> <p>Amends Section 21159.24 of the Public Resources Code</p> <p>In 2004, Governor Arnold Schwarzenegger signed legislation to approve less restrictive zoning regulations for cities that are trying to build more "mixed use" neighborhoods, allowing more intermixing of businesses and residences.</p>
CO	<p>The Smart Growth: Colorado's Future initiative seeks to protect Colorado's open lands while giving communities across the state the tools they need to plan for responsible growth. The plan is divided into four components: "Natural Landscapes", "Strong Neighborhoods", "Moving Forward" and "Opportunity Colorado".</p>	<p>The state's Voluntary Cleanup and Redevelopment Program (VCP) established such financial incentives as Colorado Brownfields Revolving Loan Fund, Colorado Brownfield Tax Credit and Colorado Contaminated Land Redevelopment Credit (25-16-301).</p>	<p>The Office of Smart Growth in the Colorado Department of Local Affairs and Governor Bill Owens' Smart Growth: Colorado's Future initiative have begun to coordinate efforts for quality growth. The Boulder area has been particularly successful in controlling sprawl by regulating public service expansion.</p> <p>Proposals such as funding mass transit development through taxation and other methods to encourage smart growth and limit sprawl have been important issues in Colorado voting booths in recent years. In 2004, Colorado voters approved a regional sales tax increase to fund the mass transit FasTracks program.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<p><b>CT</b></p>	<p>Legislation introduced (2005 Session) to allow districts to issue bonds for green building projects (SB 1331).</p> <p>Legislation introduced (2005 Session) concerning cost savings through adoption of high performance energy efficient green building standards (SB 923).</p>	<p>Brownfield-type programs are administered by the Remediation Section in the Bureau of Waste Management within the Department of Environmental Protection, offering grants, loans, tax incentives and include the following programs: Urban Sites Remedial Action Program, Special Contaminated Property Remediation and Insurance Fund, Dry Cleaner Establishment Remediation Fund, and Connecticut Brownfields Redevelopment Authority.</p> <p>Legislation introduced (2005 Session) relating to limiting lawsuits brought by suburban rural parties in distressed municipalities against brownfields open space reclamation projects. (HB 5495).</p> <p>Legislation introduced (2005 Session) allowing municipalities to impose .25% or 1% tax on buyers of real property to fund efforts for, but not limited to, brownfield remediation (HB 6393).</p> <p>Legislation introduced (2005 Session) providing protection from civil liability for any owner of contaminated property (SB 00795).</p>	<p>HB 6393 would provide municipalities with the opportunity to independently raise revenue for important community preservation and investment initiatives and curb sprawl. Support is growing for steps to rein in sprawl that would encourage development in urban areas, which already have an infrastructure of roads, schools, sewers and public safety services.</p> <p>Specifically, the bill would set up a geographic information system to provide a snapshot of every property; authorize an analysis showing the prospective look of each municipality if every plot was developed to the maximum allowed under zoning laws and a study documenting the impact of federal, state and local taxes on taxpayers of different incomes; and let the state's five largest cities adopt a "split rate property tax system, under which land would be taxed at a higher rate than buildings". (Hartford Current, 3/3/2004)</p>
<p><b>DE</b></p>	<p>The Delaware Land Protection Act recognized the need to conserve land and protect green space. In this act, the Delaware Open Space Council was created (effective July 2, 2006) to advise on all matters related to land preservation and implementation of conservation initiatives (§ 7501-7510)</p>	<p>The Brownfield Development Program (2004) provides liability waivers and financial assistance to brownfield developers.</p> <p>2005: As part of the Livable Delaware Legislative Agenda, Governor Ruth Ann Minner proposed improving and expanding existing law to further encourage the redevelopment of Brownfields. <a href="http://www.legis.state.de.us/Legislature.com">http://www.legis.state.de.us/Legislature.com</a></p>	<p>Without Governor Minner's objections, the Joint Bond Bill Committee removed part of her Livable Delaware plan to check sprawl-proposed higher impact fees for home and business construction outside designated growth areas -- from the state capital improvement budget (The News Journal 6/19/2002).</p> <p>Governor Minner continues to strengthen programs designed to cut down on sprawl and unplanned growth. In 2004, the Governor issued an Executive Order which recognized that "haphazard sprawl and unplanned growth create an inefficient demand for public infrastructure and facilities that all Delaware taxpayers must finance." (2004 WLNR 167017708)</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>DC</b>	<p>The two-year Green Infrastructure Demonstration Project sponsored by the National Park Service and the Metropolitan Washington Council of Governments is intended to demonstrate techniques for the conservation of forest cover, and for the protection and management of park, recreation and open space land by local government and private groups through the use of green infrastructure approaches.</p>	<p>On June 15, 2001, the Brownfield Revitalization Amendment Act of 2000, became effective. This act established the Voluntary Cleanup Program, which provides tax and other incentives for development of contaminated properties (§ 8-633.08).</p>	<p>The city is currently redrafting its comprehensive plan to increase density in areas that need economic investment and development. The city is currently redrafting its comprehensive plan to increase density in areas that need economic investment and development.</p>
<b>FL</b>	<p>Statute 259.105, enacted in 2001, known as the “Florida Forever Act, extends and elaborates upon the Preservation 2000 Program. An Acquisition and Restoration Council receives proposals and develops an annual project list, using criteria detailed in the Act. Grants are used for community-based greenspace areas, with priority given to urban projects.</p>	<p>The pollutant Discharge Prevention and Removal Act, Fla. Stat. 376.30 through 376.85, authorizes voluntary cleanups of brownfields.</p> <p>Florida’s Brownfields Redevelopment Act establishes the state’s brownfields program, eligibility criteria, land designation, institutional controls and voluntary cleanups.</p> <p>The Brownfields Redevelopment Act provides \$2,500 tax credit “brownfields bonus” per job created in designated brownfields area for certain business (376.77-376.85).</p> <p>Brownfield loan guarantees and revision of the definition “brownfields” are established by SB 338, which passed in the 2004 session.</p>	<p>The Senate’s growth management bill, or “growth planning” bill was approved by the Senate Community Affairs Committee. SB 360 contains sufficient infrastructure requirements and encourages local governments to create a “community vision” about its growth plans and an “urban service boundary” to encourage compact, contiguous urban development.”</p> <p>Growth management is a top priority of Senate President Tom Lee. (Palm Beach Post, 4/13/05, 6A)</p>



## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>GA</b>	<p>“The greatest achievements of the 2005 session were Gov. Sonny Perdue’s green space initiative” Atlanta Journal Constitution, 4/6/05, 4</p> <p>SB 275 requires at least 30 percent of the land area within the proposed corporate boundaries of the township shall be dedicated as greenspace</p> <p>SB 70 concerning community greenspace preservation, so as to include land used as or dedicated for use as a cemetery within the definition of greenspace for a limited purpose; to provide for related matters; to provide an effective date; to repeal conflicting laws; and for other purposes.</p> <p>SR 116- passed in the state Senate -- the Belt Line proposes to increase greenspace within the City of Atlanta by up to 1,400 acres by expanding and creating park areas along the transit rights of way</p>	<p>Although not defined in statute, the state provides a formal mechanism for oversight of voluntary cleanups. Brownfield designation is made upon request of program applicant for cleanup cost certification.</p> <p>Legislation passed house on 3/24/05, allowing protection to current owners of contaminated brownfield properties from litigation by neighboring property owners (SB 277).</p>	<p>With help from then-Governor Roy Barnes, the Georgia legislature created the Georgia Regional Transportation Authority (GRTA) specifically to preserve quality of life in Georgia by managing growth patterns. The Atlanta Business Chronicle noted, “GRTA will work to prune the city’s kudzu-like sprawl.” Current Governor Sonny Perdue continues to support GRTA as an alternative to sprawl.</p>
<b>HI</b>	<p>Governor Linda Lingle said in her State of the State address, “Preserving the environment also means making wise land use decisions,” urging pro-active rather than “reactive” stewardship, broad cooperation for legislative enactment of the 1979 Hawaii constitutional amendment to conserve agricultural land and a \$100 million increase in the Housing and Community Development Corps. Borrowing authority to expand construction and rehabilitation of low-income rental housing. <a href="http://www.hawaii.gov/">www.hawaii.gov/</a></p>	<p>Potential sources of leveraged state funds for the State of Hawaii Brownfields Cleanup Revolving Loan Fund include the Hawaii Capital Loan Program and the Hawaii Innovation Development Program.</p>	<p>Administered by the National Oceanic and Atmospheric Administration (NOAA), the Sea Grant Program is encouraging its extension agents to help local communities overcome “the effects of decades of poor planning and urban sprawl.” (Honolulu Advertiser, 4/13/05)</p>
<b>ID</b>	<p>The Trust for Public Lands in Idaho and the Idaho Department of Lands have partnered in attempting to conserve Idaho green space. In October 2004, 23,000 acres of land in the St. Joe Basin were officially protected.</p>	<p>In 2004, the Department of Environmental Quality established its Brownfields Revitalization and Environmental Site Response Program. These programs facilitate the reuse of brownfield sites and works to develop Web tools, authorities and guidance aimed at improving the efficiency of all DEQ remediation programs.</p>	<p>SB 1183, which has passed the Senate, would let the state borrow \$1.6 billion for 13 specific road and bridge projects, mostly highway construction and highway widening in order to fight congestion. The plan ins known as “Connecting Idaho” (Idaho Statesman, 3/12/05)</p>
<b>IL</b>	<p>Illinois has an Open Land Trust Bill in effect.</p>	<p>Governor Blagojevich’s FY2006 budget proposal contains funding for the Illinois EPA, including \$2 million for brownfield sites <a href="http://www.ileniro.org/2005_legislation.htm">www.ileniro.org/2005_legislation.htm</a></p>	

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>IN</b>		The Brownfield Program (1997) provides a mechanism for the state to partner with communities to promote cleanup and redevelopment by mitigating barriers that impede local economic growth. The Program offers Comfort and Site Status letter to address liability issues and a Federal Brownfield Cleanup Revolving Loan Fund exists.	The Northwestern Indiana Regional Planning Commission has acquired a new awareness of the problems posed by unregulated land development. Its "Connections 2030 Long Range Transportation Plan" outlines future highway construction and public transit problem solutions for the debilitating sprawl of the region. (Post Tribune, 2/7/05, B3)
<b>IA</b>		Iowa Brownfield definition is the federal definition as of 2002. Legislation and programs specify minimum participation requirements and liability at brownfield sites is strict and retroactive.	In 1998, a committee was created by the Commission on Urban Planning, Growth Management of Cities, and Protection of Farmland to "Survey the status of Iowa farmland and natural areas over the past 20 years to determine how much of these areas have been converted to residential, commercial, or industrial use. ... Survey the problems facing the state's cities and the effectiveness of local planning and zoning laws."
<b>KS</b>		State program titles include the Brownfields Targeted Assessment Program and the Brownfield Cleanup Revolving Loan Fund Program.	
<b>KY</b>	In February 2005, the Trust for Public Land helped the state in an initiative that includes a 2,000 acre expansion of Floyds Fork watershed, creating a "City of Parks." This park expansion is to promote land conservation.	The Kentucky Environmental and Public Protection Cabinet began implementation of a comprehensive new set of regulations, on March 18, 2004, that will govern all environmental remediation activities. "Though Kentucky's Brownfield Program is in its infancy, it has already had...notable success, including the Louisville Riverfront Redevelopment Project ( <a href="http://www.brownfieldassociation.org/newsite_test/news/south_ky.htm">www.brownfieldassociation.org/newsite_test/news/south_ky.htm</a> )	The city of Louisville and Jefferson County will merge at the outset of 2003 "from a position of strength" stated by the "Beyond Merger: A competitive Vision for the Regional City of Louisville Study." This plan attempts to secure advancement for working families, coordinate land use infrastructure and to prevent sprawl by achieving balanced growth. (The Courier Journal, 7/14/02)

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>LA</b>	<p>The Green Space Ordinance of Louisiana was additionally designed in recognition of the importance of preserving open space in the state.</p>	<p>The Technical Assistance for Brownfields (TAB) Communities Program, South and Southwest Region, is a federal organization designed to redevelop brownfield sites in regions throughout the state by providing development assistance and education to communities.</p> <p>In New Orleans, the Mayor's Office of Environmental Affairs provides a Brownfields Cleanup Revolving Loan Fund to redevelop contaminated brownfields.</p>	<p>In 2004, the New Orleans Regional Planning Commission's held a summit entitled "Managing Growth." The Commission examined strategic land use and plans for encouraging efficient growth management. The Commission also held a second summit on smart growth in June, 2005.</p> <p>One of his top priorities upon taking office in January, said Baton-Rouge city-parish Democratic Mayor-President-elect Melvin "Kip" Holden, will involve efforts to ensure broad-based cooperation on a plan for containing urban sprawl. (Advocate, 11/21/04). Baton Rouge has also revised its building code to encourage smart and sustainable development.</p>
<b>ME</b>	<p>Maine has one of the strongest land trust communities in the country. Maine's Department of Conservation has seventeen million acres of forestland, 10.4 million acres of unorganized territory, 47 parks and historic sites and more than 480,000 acres of public reserved land.</p>	<p>Maine has created the Voluntary Response Action Program (VRAP), which offers grants to municipalities for site assessment of tax of delinquent brownfield properties and also offers a Ground Water Fund.</p>	<p>The State Planning Office will work with other agencies and groups like GrowSmart Maine to draft a smart growth agenda by January. The Governor estimates that sprawl-related spending on new suburban roads, and schools and water lines cost about \$50 million/ year. (Portland Press Herald, 10/8/03)</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>MD</b>	<p>HB 1371, enacted July 2001 and in effect until June 2006, establishes the Maryland GreenPrint Program within the State Department of Natural Resources, in order to create a statewide green infrastructure network, directing the Department to identify ecologically important areas compromising the green infrastructure network, protecting them through land acquisitions, conservation easements, and land grants to local government and trusts.</p> <p><b>Regional Initiatives:</b> Montgomery County, Maryland, added to its previous green infrastructure of the 1940 and 1950's. In 2001 the county began adding to this system with a 10-year, \$100 million initiative to complete a county-wide network of open space composed of protected farmland, stream valley parks, ecological reserves, trail corridors and green space preserves. (GreenInfrastructure.net)</p> <p>Baltimore County has also been hailed as a model county for greenspace. Baltimore County established growth boundaries in the northern regions of the County to preserve open space.</p>	<p>Maryland's Brownfields Revitalization Incentive Program, administered by the Department of Business and Economic Development, is viewed as a national model for encouraging smart development.</p> <p>7/13/04: Maryland General Assembly passed HB 294, increasing funding for Brownfields cleanup.</p>	<p>Maryland's landmark 1997 Smart Growth Act is a model for many other states' efforts to address sprawl and development in a comprehensive manner.</p> <p>The Maryland Department of Planning and the Office of Smart Growth continues to promote controlled urban development.</p> <p>The Economic Growth, Resource Protection, and Planning Act of 1992 advocates organized development and appropriate land use and conservation.</p>
<b>MA</b>	<p>Chapter 266, enacted August 2002, establishes the Southeastern Massachusetts Bioreserve, to convey parcels of state forest land to the local redevelopment authority. In return local governments will place conservation restrictions on other lands and make payment into a state greenspace fund.</p>	<p>The Massachusetts Brownfield Act (1998) authorizes several agencies at the state level to administer financial and liability programs created through this legislation.</p>	<p>Brownfields Tax Credit for remediation -- 25% (with reuse restrictions) or 50% percent (without reuse restrictions), for eligible persons who complete projects in Economically Distressed Areas (EDAs).</p> <p>In 2000, Massachusetts announced the Community Development Plan that is designed to access housing opportunities, economic development, improve transportation, and preserve open space. The 2020 Growth Strategy for Central Massachusetts, updated in December 2004, continues to be dedicated to the control of urban sprawl and quality development.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>MI</b>	<p>The Farmland and Open Space Preservation Program of Michigan's Department of Agriculture consists of 5 methods for preserving farmland and open space:</p> <ol style="list-style-type: none"> <li>1) Farmland Development Rights Agreement</li> <li>2) Purchase of Development Rights</li> <li>3) Agricultural Development Fund</li> <li>4) Local Space Easement</li> <li>5) Designated Open Space Easement.</li> </ol>	<p>Michigan offers Brownfield Redevelopment grants and loans and Redevelopment Authorities hold tax-increment financing (TIF) / bond authority.</p> <p>House Bill 4479 passed both houses (2005 Session) and amends certain brownfield redevelopment authority.</p>	<p>The Michigan Legislature has passed several bills to encourage vacant property reclamation and denser development, including the Michigan Land Bank Fast Track Act, Amendments to the Michigan Brownfields Redevelopment Act, and PA 123 which in 1999 created a new tax foreclosure law that is more favorable to reclamation of vacant properties.</p>
<b>MN</b>	<p>The Metro Greenways Program is committed to the establishment of a regional network of natural areas and open spaces interconnected by green corridors in the seven-county Twin Cities metropolitan region. Initiated in 1998, with funding by the MN legislature, the Program is managed by the Central Region of Minnesota's Department of Natural Resources (DNR). The program consists of two principal components: 1) funding for land acquisition and habitat restoration; and 2) funding for matching grants to local units of government for land cover inventories and greenway planning.</p>	<p>This state has a Voluntary Investigation and Cleanup Program (VIC) (1988) and most recently has enacted a Meth Lab Brownfield Program (2004). The Minnesota Pollution Control Agency oversees every stage of brownfield cleanup and redevelopment <a href="http://www.polsci.wvu.edu/ipa/par/Vol_14_No_1_vv.html">www.polsci.wvu.edu/ipa/par/Vol_14_No_1_vv.html</a></p>	
<b>MS</b>		<p>MS Senate Bill 2989 created Mississippi Brownfields Voluntary Cleanup and Redevelopment Act in the 1998 regular session. The Mississippi Commission on Environmental Quality (MCEQ) adopted subpart I and II of the 1998 act without any legislative changes. This Act governs the development of brownfields in the state.</p> <p><b>Recent legislation:</b> House Bill 1341, sent to Governor, allowing for income tax credit incentives for certain activities at Brownfield sites (2005 Session).</p> <p>House Bill 1294, signed by Governor, creating and providing incentives for development of certain contaminated properties (2005 Session)</p>	<p>In July 1999, the Mississippi Department of Economic and Community Development (MDECD) provided \$20 million in infrastructure assistance to Tradition Community Development Corporation which plans to develop a planned and controlled community in Mississippi's Harrison County. Michael Oliver, executive director of Harrison County Development Commission stated, "Tradition is the solution to unchecked sprawl."</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>MO</b>	Several programs in Missouri work toward green space preservation: The Missouri Department of Conservation, Natural Resources Division and the Missouri Coalition for the Environment Issue Campaigns.	The enacted Voluntary Cleanup and Redevelopment Act (VCRA) of 1995 formalizes the state's voluntary cleanup process by specifying application requirements, voluntary cleanup plan requirements, agency review criteria and time frames. Loans are available for VCRA applicants through the state's Board of Investments Programs.	
<b>MT</b>		The state Voluntary Cleanup and Redevelopment Act (1995) offers loans through state Board of Investments program that may apply to brownfield sites.	Governor Brian Schweitzer made sprawl reduction a component of his gubernatorial campaign in 2004, focusing on the importance of smarter highway and housing development in Montana.
<b>NE</b>		The enacted Remedial Action Plan Monitoring Act allows the Nebraska Department of Environmental Quality (NDEQ) to coordinate voluntary cleanups, providing owners and parties responsible for contamination with a mechanism for developing voluntary environmental cleanup plans.	
<b>NV</b>		The Nevada State legislature passed the Voluntary Cleanup Program (VCP) in 1999. The VCP provides relief from liability to owners who undertake cleanups of contaminated properties under the oversight of the Nevada Division of Environmental Protection.	Enacted in 1997, Senate Bill No. 383 established the Southern Nevada Strategic Planning Authority and called on the Authority to: 1) identify and evaluate Clark County's needs with regard to growth; 2) prioritize objectives and strategies relating to Clark County's growth; and 3) recommend to the 70th session of the Nevada Legislature strategies for meeting the County's growth needs and objectives.
<b>NH</b>		The Brownfields Covenant Program (1996) includes the EPA-funded Brownfield Cleanup Revolving Loan Fund, which provides low-interest loans and some direct financial assistance for brownfield cleanup.	<p>In 1999, at the request of the New Hampshire General Court, the New Hampshire Office of State Planning formed the Growth Management Committee to help examine the effects of sprawl development in the state. The Committee's study examined the nature of sprawl in New Hampshire; looked for ways in which public policies and programs may contribute to the growth of sprawl; and offered a series of recommendations to strengthen the ability of state and local governments and regional organizations to cope with the challenges of future growth.</p> <p>Enrolled in May 2005, House Bill 480 establishes limits on development densities within particular village plans.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>NJ</b>	<p>Garden State Greenways is an online planning tool that provides a grand vision for an interconnected system of open space in New Jersey. The Greenways project is a cooperative effort between the New Jersey Conservation Foundation and the New Jersey Department of Environmental Protection Green Acres Program.</p>	<p>Passed in 1998, the Brownfield and Contaminated Site Remediation Act provides incentives to develop brownfields and makes corrections to previous state laws governing site remediation.</p> <p>New Jersey provides tax rebates through redevelopment agreements with developers, allowing recovery of up to 100% of cleanup costs.</p> <p>Introduced during the 2004 legislative session, Assembly Bill 1633/Senate Bill 2116 called for an inventory of brownfields in the State and annual progress reports by the Brownfields Redevelopment Task Force.</p> <p>Also introduced during the 2004 session, Assembly Bill 2343/Senate Bill 853 called for the allocation of certain unexpected funds to support brownfields development and the operation of an underground storage tank inspection program.</p>	<p>New Jersey's Board of Public Utilities issued new rules to ensure that its programs align with the smart growth policy goals of the State. The new rule proposal includes an "innovative pilot program for encouraging development in certain targeted areas, called the targeted revitalization incentive program (TRIP). " (12/20/04 REGALERT - 2004 WLNR 16774727)</p> <p>The New Jersey Abandoned Property Rehabilitation Act of 2004 does the following things to make it easier for communities to redevelop vacant properties: 1) Provides a clear -- and broad -- definition of what constitutes an abandoned property, and what constitutes a nuisance, 2) Makes significant changes to the Tax Sale Law to speed up the foreclosure of abandoned properties, as well as permit special tax sales of abandoned properties to selected bidders subject to performance requirements, 3) Facilitates the use of eminent domain for abandoned properties (spot blight), 4) Provides for vacant property receivership (called 'possession') in the law, including the ability of the receiver to get lien priority for funds used to rehabilitate the property, 5) Revises the procedure for establishing and maintaining a municipal abandoned property list to make it (hopefully) workable and effective.</p>
<b>NM</b>	<p>The New Mexico State Land Office manages 9 million acres of surface trust land and 13 million acres of subsurface minerals for trust land beneficiaries. Each acre of land is designated to a specific beneficiary, with public schools receiving more than 90 percent of the acreage. State trust land is located in 32 of New Mexico's 33 counties. The goal of the trust is to optimize revenues while protecting the health of the land for future generations.</p>	<p>The EPA Brownfields Assessment Demonstration has helped in implementing the Voluntary Remediation Act (VRA), which encourages the remediation of contaminated soils and brownfield areas and provides incentives for faster cleanup. In doing so, VRA encourages the redevelopment of unused and contaminated sites that have previously been abandoned.</p>	<p>In 2004, Gov. Richardson established a statewide task force to examine smart growth policies in New Mexico communities. The task force recently released its first report.</p> <p>The State also unveiled plans for its first commuter rail, which will run from Belen to Santa Fe beginning in Fall 2005. Governor Richardson is trying to strengthen transit-oriented development.</p> <p>After rapid growth in the 1960s and 1970s, the city of Albuquerque responded with the Albuquerque/ Bernalillo County Comprehensive Plan of 1975. The Plan, which has been amended over the years, "serves to guide and establish the legal mandate for growth management and open space preservation" in the area. (<a href="http://www.interenvironment.org/pa/miller.htm">http://www.interenvironment.org/pa/miller.htm</a>)</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<p><b>NY</b></p>	<p>Regional Model, Pittsford, NY: The proposed plan, entitled “Greenprint for Pittsford’s Future”, targets 2,000 acres of land for permanent protection while creating several enhanced economic development sites for commercial and light industrial expansion. Today Pittsford has a network of preserved open space that is a regional model and is hailed as a program that serves as a national model for community conservation.</p>	<p>Created through legislation signed in 2003, the Brownfield Cleanup Program encourages private investment through liability reform and tax incentives, authorizes up to \$135 million for various cleanup needs, and establishes a predictable process for cleaning and redeveloping brownfields.</p> <p>The Brownfield Opportunity Areas Program established under the state’s Superfund/Brownfield Law of 2003, offers municipalities and community based organizations with assistance (up to 90% of eligible costs) to complete area-wide brownfield development plans.</p> <p>In 2003, Governor Pataki signed into law legislation creating a new Brownfields Cleanup Program (BCP). The BCP provides “regulatory guidance, liability protection, and tax credits to volunteers who investigate, remediate, and redevelop brownfield sites.” The BCP replaced and expanded the Department of Environmental Conservation’s Voluntary Cleanup Program. (<a href="http://www.nyc.gov/html/oec/html/brown/brownfaq.shtml#q_six">http://www.nyc.gov/html/oec/html/brown/brownfaq.shtml#q_six</a>)</p> <p>In January 2005, legislation (A01908) was proposed to encourage the use of former industrial properties and brownfields for future electric generating facilities.</p> <p>During the 2005 Session, legislation (S02476/A04634) was also proposed to restrict industrial development agency financing of industrial and commercial projects to areas in or near brownfields.</p>	<p>Governor Pataki’s executive budget proposes dedicating \$5 million annually from the state’s expanded Environmental Protection Fund to support local land use planning and sustainable community development. The Quality of Communities Interagency Task Force, created by Pataki five years ago, seeks to develop plans and identify resources in support of promoting sustainable economic development.</p>
<p><b>NC</b></p>		<p>North Carolina has both a Voluntary Cleanup Program and a Brownfields Program. The Brownfield Program (1997) operates under the Brownfields Property Reuse Act of 1997, and provides prospective developers liability protection under a Covenant Not To Sue.</p>	<p>The proposed Growth Management Act of 1999 (H.B. 1468) called for the establishment of a growth management plan recognizing urban growth boundaries and providing for government conservation of land.</p>



## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>ND</b>	The Land and Water Conservation Fund was established by Congress in 1964 to, in part, provide annual monies for the acquisition of land for open space. The North Dakota Parks and Recreation Department has been able to use this money to continue to promote green space.	The Technical Assistance to Brownfields Communities Program, Great Plains/Rocky Mountain Region is part of the Environmental Protection Agency's Brownfields initiative to redevelop contaminated brownfield sites. The North Dakota Hazardous Waste Program also assists in brownfield cleanup.	
<b>OH</b>	2000 Ballot Issue One was a November 2000 ballot measure to amend the state constitution to authorize \$200 million in general obligation bonds for environmental conservation and natural areas, open space, farmlands, and other lands dedicated to agriculture and another \$200 million in revenue bonds for the development and re-use of contaminated public and private lands ("brownfields") by remediation or cleanup. The issue passed by a margin of 57% to 43%.	The Community Reinvestment Area Program was created in 1977 to "promote the revitalization of areas where investment has been discouraged by offering property tax exemptions for any increased property valuation that would result from renovation of existing structures or new construction activities within the area [e.g. brownfields transformed into practical and useable areas]." The Program is used for historic preservation, residential rehabilitation, industrial remodeling and expansion, and new commercial, residential and industrial construction. ( <a href="http://www.odod.state.oh.us/edd/cra/crasummary.pdf">http://www.odod.state.oh.us/edd/cra/crasummary.pdf</a> )	The Mid-Ohio Regional Planning Commission assists 42 local governments with issues related to sprawl and smart growth. Ohio is facing a situation in which the state "consumes land five times faster than the rate of population growth." <a href="http://www.morpc.org/web/publicpolicy/SprawlFactSheet.htm">http://www.morpc.org/web/publicpolicy/SprawlFactSheet.htm</a>
<b>OK</b>	Regional Initiatives: The Cuyahoga County Greenprint is a green space plan that builds off of previous park and environmental planning efforts and identifies new opportunities for open space protection and the creation of trail connections.	Oklahoma, which has both a Voluntary Cleanup Program and a Brownfields Program, has been active in the clean-up and redevelopment of brownfields for many years. In 1996, the Oklahoma Brownfields Voluntary Redevelopment Act was enacted, giving the Department of Environmental Quality the authority to release successful program participants from environmental liability.	In 2000, legislation was introduced calling for the creation of the Planning and Land-Use Legislative Study Task Force, which was designed to recommend and guide action toward controlled development in Oklahoma.

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>OR</b>	<p>The Metropolitan Greenspaces Master Plan, adopted in 1992, describes a vision for a unique regional system of parks, natural areas, greenways and trails for wildlife and people. The plan is being implemented by local park providers, schools, businesses, and citizen groups of the Portland metropolitan region.</p>	<p>The State's Voluntary Cleanup Program has two pathways: the Voluntary Cleanup Pathway and the Independent Cleanup Pathway. The Voluntary Cleanup Pathway provides "No Further Action" determinations, preliminary assessment review, soil cleanup standards, report/document review, etc.</p> <p>House Bill 2176, enacted in May 2005, eliminates the position of Brownfields Redevelopment Coordinator; limits the total amount of the Brownfields Redevelopment Fund that may be awarded to certain persons in any biennium; authorizes certain payments from the Redevelopment Fund; and establishes the Oregon Coalition Brownfields Cleanup Program in the Economic and Community Development Department and the Oregon Coalition Brownfields Cleanup Fund.</p>	<p>The 2005 state legislature considered modifying or replacing Measure 37, which helped stimulate a national debate about land use and property rights.</p>
<b>PA</b>	<p>The enacted Growing Greener Act of 1999 (HB 868) appropriated around \$27.4 million dollars to protect open space.</p>	<p>Pennsylvania signed a Memorandum of Agreement with the EPA in April 2004 that clarifies how sites remediated under the state's Brownfields program also may satisfy requirements for three key federal laws: the Resource Conservation and Recovery Act (RCRA), the Comprehensive Environmental Response Compensation Liability Act (CERCLA), also referred to as Superfund, and the Toxic Substances Control Act (TSCA).</p> <p>Pennsylvania provides liability incentives and a Job Creation Tax Credit Program.</p>	<p>Gov. Rendell's "Growing Greener II" proposal seeks to expand the original 1999 legislation to include a comprehensive investment in community development, cleaner energy, improved infrastructure, farmland redevelopment, and brownfield and green space components. In May 2005, Pennsylvania voters approved a \$625 million bond issue that will help implement the Growing Greener concept. A legislative agreement must now be reached to fund the programs.</p> <p>In 1997, then-Governor Ridge created the 21st Century Environment Commission to identify environmental priorities for Pennsylvania, including sprawl.</p>
<b>RI</b>	<p>Rhode Island Department of Environmental Management's Land Acquisition and Real Estate Reports office operates to define, assess, develop plans and acquire land consistent with the Department's responsibility to provide recreational lands and save environmentally sensitive open space for future generations. The programs it oversees include the Agricultural Land Preservation Program; State Land Acquisition; Forest Legacy; and the North American Wetland Conservation Act.</p>	<p>The Industrial Property Remediation and Reuse Program (1995) offers an EPA-funded Brownfields Cleanup Revolving Loan Fund (RLF), which provides low-interest loans for site cleanup.</p>	<p>Since 2002, Rhode Island has had a State Building Rehab Code that makes it easier to renovate existing older buildings.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
SC	<p>The Charleston Downtown Plan identifies downtown areas that can accommodate new development and those areas that should be protected. The plan is based on the following principles: nurturing inclusive, vibrant neighborhoods; pursuing economic diversity; maintaining downtown as the regional center of culture and commerce; fostering sustainability; reinforcing the existing urban structure; respecting the grain, scale and mix of the peninsula's urban fabric; ensuring architectural integrity; encouraging a balanced network for movement; and using growth strategically.</p>	<p>Established in 1988, South Carolina's Voluntary Cleanup Program includes the state's Brownfield program. In 2002, the South Carolina legislature passed financial incentives to encourage brownfield redevelopment as amendments to the South Carolina Tax Code.</p>	<p>Governor Sanford has initiated efforts to remove acreage requirements for new school construction. Flexible standards for school site construction allow communities to build schools closer to existing homes and commercial regions instead of in remote areas.</p>
SD		<p>According to "State Brownfields and Voluntary Response Programs: An Update From the States" (2004), South Dakota uses the same definition for brownfields as defined in federal law, although recent legislation (SDCL Chapter 74:05:12) was passed to establish additional liability provisions for sites designated as brownfields sites by the state.</p>	<p>The City of Sioux Falls 2015 Growth Management Plan is an update of the Year 2000 Plan and offers a number of continuing, expanded, or new policy initiatives for the management of growth and development in Sioux Falls, Lincoln County, and Minnehaha County. It provides the framework for implementation of the Sioux Falls Tomorrow action plan goal of providing steady planned growth, environmental quality, strong neighborhoods, open spaces, transportation, and public utilities. Some of its recommended policies include encouraging higher density housing development in urbanized areas; establishing urban growth boundaries; encouraging compact, contiguous development on the urban fringe; allowing flexibility of land use and density in the redevelopment of blighted areas; and providing for a mix of housing types in all new residential growth areas.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>TN</b>	<p>The Tennessee Department of Environment and Conservation works to promote green space conservation throughout the state.</p>	<p>In June 2004, the U.S. Environmental Protection Agency awarded brownfields grants to four communities in Tennessee. The communities will receive a combined amount of approximately \$1.5 million for brownfield cleanup.</p>	<p>In May 1998, the Tennessee General Assembly passed Tennessee's Growth Policy Act, known as Public Chapter 1101 (PC1101). PC1101 requires counties and their associated municipalities to develop countywide growth plans. These plans are to be developed and recommended by coordinating committees and submitted to the county commissions and the governing bodies of the municipalities within the county. Counties and municipalities may either reject or ratify those plans. Ratified plans are submitted to the Local Governmental Planning Advisory Committee (LGPAC) for approval. The plans are to establish Urban Growth Boundaries (UGBs) for municipalities, as well as Planned Growth Areas (PGAs) and Rural Areas (RAs) for counties.</p>
<b>TX</b>	<p>In 1999, the Texas Land Trust Council was formed in partnership with Texas Parks and Wildlife to support land trust organizations in Texas and to promote the conservation of green space. The Council supports conservation easements and farm land bills to preserve land.</p>	<p>Texas' Voluntary Cleanup Program offers incentives to cleanup properties with perceived contamination. The Innocent Owner/Operator Program was initiated by House Bill 2776 as a tool for redevelopment by adding value to contaminated property by offering a certificate that confirms an innocent owner and exempts them from liability issues. The Brownfields Site Assessment program provides contaminated site assessment and redevelopment plans for brown space.</p> <p>In 2004 the Site Assessment program was granted additional funding from the Small Business Liability Relief and Brownfields Revitalization Act of 2002. Both Senate Bill 1596 and House Bill 1239 of the Texas Legislative Session of Section 312.211 in the Texas Tax Code provide property tax relief for development of brownfield properties. The EPA provides some technical assistance to redevelopment projects.</p>	

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>UT</b>	<p>The Utah Quality Growth Commission (UQGC) was created by the Utah Quality Growth Act of 1999 to address the challenges and opportunities growth brings to Utah. The Commission is responsible for administering the LeRay McAllister Critical Land Conservation Fund, allocating local government planning grants, advising the Legislature on growth management issues, and coordinating with the 21st Century Communities Program.</p>	<p>During the 2005 Legislative Session, Governor Huntsman signed legislation that sets forth improvements in the state Superfund law by allowing for the development of industrial contaminated sites (SB-173).</p>	
<b>VT</b>		<p>Senate Bill 42, enacted in 2004, calls for the Department of Environmental Conservation and the Agency of Commerce and Community Development to initiate a 10 year plan for reclaiming brownfield sites, simultaneously addressing the issues of environmental cleanup and economic revitalization.</p>	<p>The Vermont Legislature passed the state's Land Use and Development Law (Act 250) in 1970. Act 250 was designed to control development proposed on a relatively large scale, and/or in sensitive areas.</p> <p>The Vermont Municipal and Regional Planning and Development Act of 1988 (Act 200) amended Act 250 to strengthen planning coordination at the local, regional and state agency levels and to increase the resources available to towns and regions for planning. Municipal planning is optional in Vermont, but those that adopt plans must make them consistent with a set of 16 statewide planning goals and include a set of mandatory plan elements.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
VA	<p>In 1999, the General Assembly and then-Governor Gilmore established the Virginia Land Conservation Foundation to help fund the protection of Virginia's open spaces, farms, areas of cultural significance, parks and battlefields. Funds from the foundation are used to establish permanent conservation easements and to purchase open spaces and parklands, lands of historic or cultural significance, farmlands and forests, and natural areas.</p>	<p>The enacted Brownfield Restoration and Land Renewal Act of 2002 (HB 463) created the Virginia Brownfields Restoration and Economic Redevelopment Assistance Fund, which awards grants and loans to local governments and businesses for the purposes of promoting the restoration and redevelopment of brownfield sites.</p> <p>HB 1462, introduced during the 2005 Session, called for a percentage of municipal solid waste disposal fees collected by localities to be deposited in the Virginia Brownfields Restoration and Economic Redevelopment Assistance Fund.</p> <p>The purpose of SB 746, which was passed during the 2005 Session, is to "encourage the investment of both public and private funds and to make loans, grants, and credit advancements available to local governments to finance...the remediation of brownfields and contaminated properties." (<a href="http://leg1.state.va.us/cgi-bin/legp504.exe?051+ful+CHAP0727">http://leg1.state.va.us/cgi-bin/legp504.exe?051+ful+CHAP0727</a>)</p>	<p>House Bill 2159, passed in March 2005, contains language relating to density considerations.</p>
WA		<p>Senate Bill 6046, which relates to the financing of local economic brownfield development projects, was introduced during the 2005 Legislative Session.</p>	<p>Adopted in 1999, the Growth Management Act "requires state and local governments to manage Washington's growth by identifying and protecting critical areas and natural resource lands, designating urban growth areas, preparing comprehensive plans and implementing them through capital investments and development regulations." (<a href="http://www.gmhb.wa.gov/gma/">http://www.gmhb.wa.gov/gma/</a>)</p> <p>The following legislation was introduced during the 2005 Session:</p> <p>HB 2276 - calls for the inclusion of planning provisions in the Growth Management Act for safe non-motorized transportation routes to and from schools.</p> <p>HB 1166 - calls for the inclusion of access to family planning services in growth management planning.</p>

## State-by-State Green Space, Brownfields, and Sprawl Initiatives

STATE	Green Space Development	Brownfields Development	Sprawl/Density
<b>WV</b>	In 2000, the West Virginia Legislature passed Senate Bill 209, a law providing landowners the opportunity to protect their land to limit urban development and preserve open farmland space.	The Voluntary Remediation and Redevelopment Act of 1996 was enacted “for the purpose of encouraging the voluntary clean-up of contaminated sites and redevelopment of abandoned and under-utilized properties [i.e. brownfields].” ( <a href="http://www.urbanfutures.org/state.cfm?state=West%20Virginia#4">http://www.urbanfutures.org/state.cfm?state=West%20Virginia#4</a> )	
<b>WI</b>		“As part of Governor [Doyle]’s ‘Grow Wisconsin’ Plan, 31 communities across the state have been awarded grants to fund projects that will help develop brownfields. Governor Doyle’s 2005-07 Budget has earmarked \$3.4 million for the DNR’s Brownfields SAG program.” <a href="http://www.hamilton-consulting.com/tidbits/tb040105.html">www.hamilton-consulting.com/tidbits/tb040105.html</a> )	In September 1994, the State Inter-agency Land Use Council was created by then-Governor Tommy G. Thompson to discuss land use issues in Wisconsin. The Smart Growth Bill of December 1999 sets guidelines, funding, and incentives for controlled development planning and provides several million dollars to local governments. In 2005, Wisconsin is eligible for even more aid.  In July 2005, Governor Jim Doyle used his veto power to reverse an attack on the state’s Smart Growth Program.
<b>WY</b>		Enacted in 2000, Wyoming’s Voluntary Remediation of Contaminated Sites law sets forth “a process for cleanups by owners or potential developers to facilitate streamlined cleanups and encourage the productive reuse of contaminated properties.”  <a href="http://deq.state.wy.us/volremedi/index.asp">http://deq.state.wy.us/volremedi/index.asp</a>	

NOTES: The information in this chart is based on current laws and proposed legislative and regulatory initiatives in the fifty states and DC. Sources of information include news articles and information provided by state entities. Where appropriate, citations to sources are provided

## PART B: ACCESS TO LOW-COST, NUTRITIOUS FOOD

### THE URBAN AND RURAL GROCERY GAPS

The link between obesity and food availability and cost is documented by a growing body of research that shows:

- There is limited access to supermarkets and nutritious foods in most urban and rural areas.<sup>196</sup>
- Low-income zip codes tend to have fewer and smaller grocery stores than higher-income zip codes.<sup>197</sup> Fewer supermarkets in low-income communities mean less access to healthy foods.<sup>198</sup>
- People in low-income areas often pay more for nutritious foods such as fresh fruits and vegetables.<sup>199</sup>
- Low-income households are six to seven times less likely than other households to own a car -- and are also less likely to live in a neighborhood with a supermarket.<sup>200</sup>
- A study by the Metropolitan Chicago Center found that 60 percent of major grocery stores in Chicago are in affluent neighborhoods.<sup>201</sup>

The “urban grocery store gap,” coupled with inadequate transportation services, has led inner-city consumers to do the bulk of their grocery shopping at convenience stores, which lowers the quality and variety of available foods. The studies conclude that this makes it significantly harder for people in these areas to maintain a balanced diet.<sup>202 203</sup>

### ETHNIC DISPARITIES OF FOOD ACCESS

Several recent studies have examined the relationship of access to healthy foods and grocery stores to ethnicity. A 2002 study from researchers at the University of North Carolina at Chapel Hill compared U.S. Census data on neighborhood-level ethnicity in Mississippi, North Carolina, Maryland, and Minnesota to commercial locations to purchase food in those states.<sup>204</sup> The researchers found that there were four times the number of supermarkets in predominantly white areas of those states than in the predominantly African American areas.<sup>205</sup>

A separate 2002 study by researchers at the Mount Sinai School of Medicine in New York examined availability of healthy food choices for diabetics along ethnic lines.<sup>206</sup> The researchers examined food access for individuals in the lower-income, predominantly African American and Latino neighborhoods of East Harlem in comparison to availability of diabetes-appropriate food in the Upper East Side - a predominantly white, higher-income area. Based on the size of the store and the availability of healthy foods, the researchers determined that residents of East Harlem had significantly less access to healthy foods important to controlling or preventing diabetes.<sup>207</sup>



A 2004 TFAH analysis found that there have been few systematic or comprehensive state and municipal efforts to address the lack of access to supermarkets and nutritious food to low-income areas.<sup>208</sup>

A number of factors often cited as barriers to improving supermarket access include:

- Costs associated with inner-city store operations (rent, labor, insurance) are higher than in suburban locations.
- Urban locations can present problems to development due to space limitations, if traditional development patterns are used (as opposed to innovative plans, such as considering grocery stores that are housed on multiple floors of buildings).

- Public development agencies typically focus more on housing and retail entities than supermarkets.<sup>209</sup>

In Pennsylvania, lawmakers have introduced several proposals to introduce full-scale supermarkets into urban neighborhoods and improve food access to inner-city residents. One proposed initiative would result in markets in development benefiting through tax reductions, planning grants, and/or direct loans;<sup>210</sup> \$100 million will be earmarked to develop 10 supermarkets in Philadelphia over the next several years.<sup>211</sup>

## CONSUMING FRUITS AND VEGETABLES: QUESTIONS OF AFFORDABILITY AND ACCESS

A recent USDA report, “How Much Do Americans Pay for Fruits and Vegetables?,” examined questions of access and affordability of produce as possible impediments to maintaining a healthy diet, particularly for low-income individuals.<sup>212</sup> In 1999, consumers spent \$223 billion on food at grocery stores.<sup>213</sup> About 15 percent — or about \$33.5 billion — was spent on fruits and vegetables, while about 9 percent was spent on baked goods, 8 percent on red meat, 6 percent on soda(s), 4 percent on cheese, and 3 percent each on candy and breakfast cereal.<sup>214</sup>

According to the USDA report, affordability was not the central problem; there was a misconception that produce is too costly. The study theorizes that this may be due to confusion of “per pound” versus “per serving” costs. For example, “few people may realize that a pound provides three to five servings for most fruits.” Thus, the price per serving is lower than the price per pound.<sup>215</sup>

This USDA analysis found:

- The per-serving price of 69 different fruits was less than \$1 in 1999.
- Among fresh fruits, 16 of 25 options (nearly two-thirds) cost less than 25 cents a serving.
- The 35 fresh vegetables examined cost less than \$1 per serving, two-thirds of which were 25 cents or less per serving.
- Only three out of 85 fresh, frozen, and canned vegetables examined cost more than 75 cents per serving.

Finally, the researchers also looked at the cost of eating the recommended daily allowance (RDA) of fruits and vegetables. Four servings of vegetables and three servings of fruit could be achieved for less than \$1 -- just 64 cents. Based on the 1999 average expenditure of \$5.50 per person per day on food, fruit and vegetable servings consume only 12 percent of the average daily food budget.<sup>216</sup> (Note: This study was conducted prior to the release of the new Food Pyramid guidelines in April 2005, and was based on the previous RDA of fruits and vegetables.)

## IMPROVING ACCESS: FARM-TO-MARKET INITIATIVES

To try to help compensate for the often low availability of quality and affordable fruits and vegetables in urban grocery stores, one way some states are addressing food accessibility problems is by introducing programs that help local farmers to routinely sell their products in urban neighborhoods. These markets are often carefully established near convenient public transportation nodes.<sup>217</sup>

In many cases, consumers can buy subsidized food with coupons or other government food assistance, allowing customers to get healthier foods and farmers to take advantage of new demand.<sup>218</sup> These programs have been so popular in areas where they have been tried that from 1994-2002 the creation of new farmers' markets increased 79 percent.<sup>219</sup>

For instance, approximately a dozen farmers markets were introduced under Maryland's Smart Growth program.

As one example, the Farmers' Market Program in Philadelphia was designed by The Food Trust to promote healthy eating by making fresh and other nutritious foods available to city residents.<sup>220</sup> The Farmers' Market Program objectives include:

- Increased frequency of fruit and vegetable consumption.
- Increased variety of fruits and vegetables consumed.
- Improved awareness of the daily recommended number of fruits and vegetables.
- Increased use of shopping lists to shop more wisely.

Of consumers surveyed, 40 percent said the primary reason for using the market was that there were limited locations to buy fresh food in their area.<sup>221</sup> Shoppers at the markets also have access to nutritional information and education, such as recipes, informational brochures, conversations with nutritionists, and food tastings.<sup>222</sup>

- As of 2004, the program had reached over 60,000 low-income Philadelphia residents and operated in 15 locations.
- Nearly 90 percent of those who go to the markets have received nutritional education.
- Fifty-seven percent reported increased fruit and vegetable intake since coming to the market.
- Sixty-five percent reported eating a greater variety of fruits and vegetables.

### Improving Access: Creating Inner-City Gardens

To make use of abandoned lots in urban areas, programs have been developed in some areas to convert these lots into fruit and vegetable gardens for local residents' consumption.

- U FiT (Urban Farmers in Training) created a community food center and urban farm in vacant Chicago lots to improve food access for residents.<sup>223</sup>
- Walnut Way Conservation Corps promoted turning vacant Milwaukee lots into cut flower gardens and vegetable gardens.<sup>224</sup>

# Federal Responsibilities and Policies

**I**n this section, TFAH provides an overview of most federal programs related to obesity, and focuses on changes in federal initiatives and actions that have been taken in the past year.

TFAH's 2004 report, "F as in Fat: How Obesity Policies are Failing in America," comprehensively outlined obesity responsibilities and policies of pertinent federal agencies. This section of the report seeks to update last year's report and provides a descriptive outline of the federal government's major tactics to combat obesity. TFAH found these efforts largely fall into three categories:

- Public education campaigns targeted at individual behavior change.
- Treatment of obesity-related diseases.
- Initial steps toward developing community active living incentives.

It is difficult to evaluate the effectiveness of federal activities. Unlike reviewing state actions, which can be viewed in context with each other, there is not a corresponding way to view federal efforts. There are also complications in comparing U.S. actions to other countries due to the differences in the scope of obesity rates, systems of medical care, and the structure of public health systems. Additionally, it is difficult to assess the level of relative importance placed on federal obesity-related initiatives based on examining funding levels - given the wide range of agencies addressing at least some aspect of obesity (including HHS, USDA, and the Department of Transportation), and the dif-

iculties in ascertaining what portion of broader programs are in fact obesity related.

In order to gain some perspective, TFAH identified a number of concerns related to the federal government actions in the 2004 report, and found that they have been largely unaddressed in the last year. Obstacles TFAH found that hinder a more strategic, coordinated obesity policy include:

- Lack of designated leadership in the obesity fight and a frequent silo approach which results in a lack of coordinated effort among related agencies.
- Difficulties in balancing the competing interests and priorities of industry and the public health community.
- An emphasis on the traditional development of road and highway construction rather than encouraging public transportation or developments, which provide more space for people to be active.

This 2005 section on federal agencies will, wherever possible, attempt to hold programs accountable for what they have and have not done to fight the rise of obesity. This section gives an overview of most federal programs related to obesity, and provides additional details about new programs and programs that have had significant changes or new initiatives.

## I. Dietary Guidelines for America -- Joint U.S. Department of Health and Human Services (HHS) and U.S. Department of Agriculture (USDA) Initiative

Every five years since 1980, HHS and USDA jointly publish the “Dietary Guidelines for Americans,” based on the latest scientific, medical, and nutritional information available. The latest version was released in January 2005. The guidelines aim to provide people with “authoritative advice” about good dietary habits and how these relate to health and reducing risk factors for many major diseases. Additionally, the guidelines provide a basis for federal food and nutrition education programs.

The 2005 edition has improvements aimed at making it easier for people to understand serving sizes and the importance that both calories and physical activity play in staying healthy.

The new guidelines are organized around nine themes: (1) Adequate Nutrients Within Calorie Needs, (2) Weight Management, (3) Physical Activity, (4) Food Groups to Encourage, (5) Fats, (6) Carbohydrates, (7) Sodium and Potassium, (8) Alcoholic Beverages, and (9) Food Safety. Key recommendations from some of these categories are:<sup>225</sup>

### ■ Adequate Nutrients Within Calorie Needs

- ▲ Consume a variety of nutrient-dense foods and beverages within and among the basic food groups while choosing foods that limit the intake of saturated and trans (sic) fats, cholesterol, added sugars, salt, and alcohol.
- ▲ Meet recommended intakes within energy needs by adopting a balanced eating pattern.

### ■ Weight Management

- ▲ To maintain body weight in a healthy range, balance calories from foods and beverages with calories expended.
- ▲ To prevent gradual weight gain over time, make small decreases in food and beverage calories and increase physical activity.

### ■ Physical Activity

- ▲ Engage in regular physical activity and reduce sedentary activities to promote health, psychological well-being, and a healthy body weight.
- ▲ Achieve physical fitness by including cardiovascular conditioning, stretching exercises for flexibility, and resistance exercises or calisthenics for muscle strength and endurance.<sup>226</sup>

### ■ Food Groups to Encourage

- ▲ Consume a sufficient amount of fruits and vegetables while staying within energy needs. For a daily diet of 2,000-calories-a-day, two cups of fruit and 2½ cups of vegetables per day are recommended.
- ▲ Consume three or more ounce-equivalents of whole-grain products per day, with the rest of the recommended grains coming from enriched or whole-grain products. In general, at least half the grains should come from whole grains.
- ▲ Consume three cups per day of fat-free or low-fat milk or equivalent milk product.

### ■ Fats

- ▲ Consume less than 10 percent of calories from saturated fatty acids and less than 300 mg/day of cholesterol, and keep trans fatty acid consumption as low as possible.
- ▲ Keep total fat intake between 20 to 35 percent of calories, with most fats coming from sources of polyunsaturated and monounsaturated fatty acids, such as fish, nuts, and vegetable oils.

A number of items in the guidelines received praise from public health advocates, nutritionists, and other health providers, including changes to both include better defined serving sizes (now in cups, tablespoons, etc.), exercise guidelines, the encouragement to eat whole grain foods, and prominence of calorie guidelines.<sup>227</sup>

The **Food Guide Pyramid** is a graphic tool that attempts to translate nutritional recommendations into easy-to-understand, specific guidelines about servings and portion sizes.<sup>228</sup> In January 2005, the USDA unveiled new dietary guidelines and in April, released an updated version of the Food Pyramid. The new design for the first time highlights the importance of physical activity as well, with a person walking up stairs on the side of the pyramid, which had been included in the Dietary Guidelines previously, but not represented in the food pyramid. Additionally, [mypyramid.gov](http://mypyramid.gov) has a variety of information relating to food intake and dieting, with the option to personalize most of the information on the site. The new pyramid also reflects the updated 2005 dietary guidelines. Later this year, the USDA will release a “child-friendly version,” targeting children ages 6-11.

The new food pyramid received mixed reviews. Many public health advocates, nutritionists, and other health providers felt it was not as clear, concise, or simple to understand as they would recommend.<sup>229</sup>

- Critics expressed concern that the public has to use the pyramid’s Web site ([mypyramid.gov](http://mypyramid.gov)) to understand specific recommendations.
- Others criticized the lack of inclusion of information about what foods should be considered unhealthy.
- The guidelines are not accompanied with funds by the federal government to promote them.



## THE SHIFT IN AMERICA'S EATING HABITS

According to a December 2004 essay on how to address the obesity epidemic published by the National Institute for Health Care Management, “for most Americans, a healthy diet means: smaller portions (fewer calories), minimal saturated and “trans” fats, few sweets and low fiber-carbohydrates (think desserts and sodas), and more fruits and vegetables.”<sup>230</sup>

In 2005, the Robert Wood Johnson Foundation, the American Stroke Association, and the American Heart Association issued a statistical sourcebook entitled, “A Nation at Risk: Obesity in America.”<sup>231</sup> One section of the book compiled data from scientific research studies about changes in the eating patterns of Americans over the past few decades. Some of the trends include:

### ■ More calories

- ▲ Adults consumed approximately 300 more calories daily in 2000 than they did in 1985.<sup>232</sup>
- ▲ Adolescent boys consumed approximately 9 percent more calories in 1994 than they did in 1977, and adolescent girls consumed approximately 7 percent more.<sup>233</sup>

### ■ Bigger portion sizes

- ▲ A study in the Journal of the American Medical Association examined the rise in portion sizes from 1977 to 1996 by examining caloric contents of servings.<sup>234</sup>

### ■ Fewer fruits, vegetables, and whole grains

- ▲ A 2003 USDA report examining American’s food consumption patterns called America’s per capita fruit consumption “woefully low” and is limited to a small range of fruit options, and that vegetable consumption “tells the same story.”<sup>235</sup>
- ▲ Per-capita grain consumption has risen nearly 50 percent since the early 1970s, but whole grain consumption has dropped.<sup>236</sup>

### ■ More sugar

- ▲ “Added sugar” consumption is nearly three times the USDA recommended intake.<sup>237</sup>
- ▲ Average consumption of added sugars increased 22 percent from the early 1980s to 2000.<sup>238</sup>

### ■ More dietary fat

- ▲ Americans consumed an average of 600 calories worth of added fats per person per day in 2000.<sup>239</sup>

### ■ A drop in drinking milk and a large increase in drinking soda and fruit juice

- ▲ Milk consumption dropped 39 percent from 1977 to 2001 for children ages 6-11 while consumption of soda rose 137 percent, fruit juice rose 54 percent, and fruit drink rose 69 percent.<sup>240</sup>

### ■ A major increase in eating out

- ▲ In 1975, approximately 25 percent of food spending was in restaurants; by 1995, this had risen to 40 percent.
- ▲ Spending in fast food restaurants grew 18 times (from \$6 billion to \$110 billion) in the past three decades.
- ▲ In 1970, there were approximately 30,000 fast food restaurants in the U.S.; in 2001, there were approximately 222,000.
- ▲ Children ate out at fast food and other restaurants nearly three times more in 1996 than they did in 1977.

## PORTION SIZE CHANGES

### 20 YEARS AGO

### TODAY

#### Coffee with whole milk and sugar

8-ounce Serving Size

45 calories

#### Mocha with steamed milk and syrup

16-ounce serving size

350 calories

**Difference: 305 Calories**

#### Muffin

1.5-ounce serving size

210 calories

#### Muffin

4-ounce serving size

500 calories

**Difference: 290 Calories**

#### Pepperoni pizza

2 slices

500 calories

#### Pepperoni pizza

2 slices

850 calories

**Difference: 350 Calories**

#### Chicken Caesar salad

1 1/2-cup serving size

390 calories

#### Chicken Caesar salad

3 1/2-cup serving size

790 Calories

**Difference: 400 Calories**

#### Popcorn

5-cup serving size

270 calories

#### Popcorn

11-cup serving size

630 calories

**Difference: 360 Calories**

#### Chicken stir fry

2-cup serving size

435 calories

#### Chicken stir fry

4 1/2-cup serving size

865 calories

**Difference: 430 Calories**

Source: National Heart, Lung, and Blood Institute Obesity Initiative, Portion Distortion II Interactive Quiz.

Accessed at: [http://www.hin.nhlbi.nih.gov/oei\\_ss/PDII/download/odf/PD2.pdf](http://www.hin.nhlbi.nih.gov/oei_ss/PDII/download/odf/PD2.pdf)

## 2. U.S. Department of Health and Human Services (HHS)

HHS is involved in more than 300 obesity-related programs nationwide.<sup>241</sup> Most of the agencies and offices within HHS are involved in obesity-related programs, including the CDC, the Centers for Medicare and Medicaid Services, the Food and Drug

Administration, NIH, the Health Resources and Services Administration, the Office of Women's Health, the Administration on Aging, the Head Start Bureau, and the Indian Health Service.

### Surgeon General's Office

The surgeon general is America's preeminent health educator, providing leadership and management of public health and advocating for scientifically credible and healthy lifestyle directions.<sup>242</sup> The surgeon general's office is housed within the Office of the Secretary at HHS. In a speech before the J.P. Morgan 23rd Annual Healthcare Conference in January 2005, U.S. Surgeon General Richard H. Carmona called 2005 the "Year of the Healthy Child."<sup>243</sup> He announced private-public partnerships with

organizations and corporations such as the March of Dimes, the American Academy of Pediatrics, Nike, the Boy Scouts of America, SAFEKIDS, and a variety of HHS, CDC, and NIH departments. In addressing childhood obesity specifically, Carmona mentioned the importance of eating healthy foods, controlling portion size, increasing physical activity, and spending less time in front of computer and TV screens.<sup>244</sup> It is unclear if further action has been taken related to the "Year of the Healthy Child."

### Centers for Disease Control and Prevention (CDC)

The **National Center for Chronic Disease Prevention and Health Promotion (NCCDPHP)** at the CDC has been leading the agency's obesity-related initiatives. CDC manages a wide range of programs aimed at combating obesity, including state, community, school, and employer-based initiatives as well as marketing campaigns. A number of CDC's key programs are discussed below. Three of CDC's major obesity-related initiatives are grant-based programs – **Division of Adolescent and School Health (DASH)**, **Division of Nutrition and Physical Activity (DNPA)**, and **Steps to a HealthierUS**. They are included earlier in the state section of this report, however, they are discussed again below from a federal perspective.

**Steps to a HealthierUS** focuses on community-based health initiatives related to obesity. In FY 2004, HHS awarded \$35.8 million directly to grantees, increasing funding for a dozen existing programs and funding an additional 10.<sup>245</sup> Overall program funding in FY 2004 was \$41.3 million, with FY 2005 at

\$44.3 million. The President's FY 2006 proposal funded it with a slight increase (\$46.6 million), while the U.S. House of Representatives voted to appropriate \$44.3 million. The final figure still remains to be determined, both by the U.S. Senate and any further changes before the budget is finally approved.

**VERB** is a multiethnic, multimedia campaign targeted at youths ages 9-13 (the "tween" population) to encourage more physical activity and increase awareness of the importance of exercise. This program got off to a fast and successful start. Recently, however, funding has been reduced for VERB. This is despite first-year evaluation results that show a 34 percent increase in weekly free-time physical activity. While FY 2005 appropriations were \$58.8 million, the President's FY 2006 budget eliminates the program. It is likely that Congress will authorize some amount for the program to continue; the House has approved \$11 million.



The **Division of Adolescent and School Health (DASH)** seeks to prevent health adverse behavior in school-aged children and young adults. DASH funding saw a decrease from \$57.2 million appropriated in FY 2004 to \$56.7 million in FY 2005. The President's FY 2006 budget request includes a slight increase to \$56.8 million. This figure was also approved by the House.

The **Division of Nutrition and Physical Activity (DNPA)** supports a wide variety of obesity-related endeavors at the community level. As outlined in TFAH's 2004 report, DNPA handed out 28 grants to states to help improve their efforts to prevent obesity and other chronic diseases. No additional grants have been made to date. President Bush's FY 2006 budget proposal recommended

funding DNPA at just slightly above the FY 2005 amount, \$41.94 and \$41.93 million, respectively. This also represents a slight increase over FY 2004's \$39.30 million.

The **Health Protection Research Initiative (HPRI)** is a fairly new CDC program created to produce research that can be used in outreach to employers to educate them about the benefits and cost-effectiveness of wellness programs. In last year's "F as in Fat" report, TFAH reported that CDC would establish a Center for Excellence in Health Promotion Economics. Since then, CDC has awarded two major grants to establish these centers at the University of Chicago and the Research Triangle Institute in North Carolina.<sup>246</sup> The Initiative has also issued more than 50 smaller grants for research projects on similar subjects.<sup>247</sup>

### CDC TRAILBLAZERS IN WEST VIRGINIA

In April 2005, CDC dispatched its new obesity "Trailblazer" investigative team to West Virginia to examine the rise of overweight and obesity in the state. State health officials, puzzled by the rise of obesity and troubled by the resultant rise in a number of diseases, asked CDC for investigative assistance.

The three-week investigation centered on two representative West Virginia communities: Gilmer County, a town with slightly over 7,000 residents, and Clarksburg, a city with nearly 17,000 residents.<sup>248</sup>

"The investigative teams spent one-and-a-half weeks in each place, going to schools to look at physical education programs and the foods served. They asked, for example, whether students 'were offered at least one or two appealing fruits and vegetables every day... would you replace regular sour cream with low-fat sour cream?'"<sup>249</sup>

By exploring on-the-ground programs and policies in schools, workplaces, grocery stores, and restaurants, the CDC hopes to develop a better understanding of why rates of obesity continue to escalate. A preliminary report will be available in August 2005.

This initiative is a strong example of how traditional public health techniques can be used to address a chronic problem. The Trailblazers are comparable to CDC's **Epidemic Intelligence Service (EIS)**, which investigates, diagnoses, and finds ways to contain infectious disease outbreaks.

## Centers for Medicare and Medicaid Services (CMS)

Medicare and Medicaid pay over half of the nation's bill to treat obesity-related conditions -- \$39 billion out of a total of \$75 billion in direct medical costs each year. The

Medicare and Medicaid costs are significantly higher when indirect costs are factored in.<sup>250</sup> As the table shows, these costs are rising in step with the rising rate of obesity.

Estimated Obesity-Related Disease Costs to Medicare 1992, 2000, 2004 (in billions)			
	1992	2000	2004
Diabetes	n/a	\$10.4	\$12.7
Heart Disease	\$21.1	\$34.9	\$42.8
Cancer	\$10.3	\$15.2	18.5

Source: Office of the Actuary, June 2002 (includes direct and indirect costs)

Together, the Medicare and Medicaid programs spend \$84 billion annually on five major

chronic conditions that could be significantly improved by increased physical activity.<sup>251</sup>

## The Food and Drug Administration (FDA)

In March 2004, the Food and Drug Administration released the "Calories Count" report, the result of an interagency working group on obesity.<sup>252</sup> Key recommendations of the report that resulted in recent actions include:

- Reevaluating food labeling to consider (1) how to make calorie counts more prominent, (2) how best to change serving sizes to reflect de facto single servings (such as a 20-oz. bottle of soda, largely considered one serving, but labeled as 2½ servings -- a major caloric intake difference), and (3) how food manufacturers could help consumers make better food choices (such as, "instead of a cherry pie, try our delicious low-fat cherry yogurt -- 20 percent fewer calories and 86 percent less fat").
- Working with the **Federal Trade Commission (FTC)** to increase enforcement of inaccurate labeling such as false claims on weight loss products and inaccurate serving sizes.
- Working with the restaurant industry to provide voluntary, comprehensive nutritional information for consumers across the nation.<sup>253</sup>

Immediately following the release of "Calories Count," FDA sent a letter to manufacturers telling them that the agency would

be reevaluating nutrition panel labeling and making enforcement of current law a priority. The letter outlined current guidelines and encouraged manufacturers to be responsible in their labeling practices. For example, it cited the rise of "super size" servings and encouraged manufacturers to provide nutritional information based on the entire amount of food even though current regulations give the manufacturer the choice to display nutritional information for either a part of or the entire serving.<sup>254</sup> Both Coca-Cola and PepsiCo. announced plans to start "dual labeling" procedures so that nutritional panels reflect information for both the suggested serving size and the entire bottle.<sup>255</sup>

To follow up on "Calories Count" and to monitor implementation of its policy recommendations, the FDA created a "follow-on Obesity Working Group (OWG2)" In August 2004.<sup>256</sup>

In April 2005, the FDA released two Advanced Notices of Proposed Rule Making (ANPRM) relating to food labeling. The first, "Food Labeling: Prominence of Calories," seeks public comment on how best to change food labels so that a better understanding of caloric content can lead to healthier choices.<sup>257</sup> The second ANPRM, "Food Labeling:

Serving Sizes of Products that Can Reasonably Be Consumed at One Eating Occasion; Updating of Reference Amounts Customarily Consumed; Approaches for Recommending Smaller Portion Sizes,” addresses portion sizes, again in the hopes of helping consumers make healthier choices.<sup>258</sup> Samples of the possible label changes can be found online at <http://www.cfsan.fda.gov/~acrobat/nutrcal.pdf>.

Additionally, as of Jan. 1, 2006, manufacturers will be required to list trans fat content on the nutritional panel of labels.<sup>259</sup> The FDA points out that consumers will now be able to keep track of saturated fats, cholesterol, and trans fats, all of which are important to Americans’ hearts and overall health.

In a speech before the 2005 Food Safety Summit (a yearly trade show sponsored by the Food Processors Association and the National

Restaurant Association, among others), FDA Commissioner Lester Crawford spoke in part about the agency’s obesity work. He specifically mentioned that the FDA had asked the restaurant industry to provide nutritional information. The restaurant industry’s response is that information is already available to customers upon request. Because of this, the FDA will begin a public education campaign to encourage consumers to ask for such information.<sup>260</sup>

Additional activities that Crawford talked about in the speech include the FDA’s partnering with an outside policy center contracted to do “policy dialogues” to include “government, industry, academia, consumer groups, health professionals and others.” Based on preliminary results of this work, the agency and the policy center have refocused on “away from home food,” or food eaten at or ordered from a restaurant, for example.

## **DISPLAYING NUTRITIONAL INFORMATION FOR FOODS PREPARED AWAY FROM HOME: MANDATORY OR VOLUNTARY?**

Policy change is always riddled with important decisions such as mandating change or asking for voluntary compliance. Nutritional information is no different. Given that nearly half (46 percent) of American food expenditures are spent on food away from home -- up from only 27 percent in 1962 -- and intake of calories away from home accounts for about a third (32 percent) of caloric intake, the effect of labeling cannot be brushed aside.<sup>261</sup> Current law exempts away-from-home food from the labeling requirements mandated for other pre-packaged foods bought in retail outlets.

A recent USDA report looked at the implications and economic costs and benefits of such a change. It illustrated that foods sold outside of home settings “contain more calories per eating occasion (meals and snacks) and are higher in total fat, saturated fat, and cholesterol and low in dietary fiber, calcium, and iron.”<sup>262</sup> Many public health advocates feel that these facts bear a large part of the responsibility for the obesity epidemic.

For the past year, FDA has been encouraging restaurants to make this nutritional information available, and according to FDA and industry representatives, much of the information is available. For instance, some restaurants make “point of choice” information about the nutritional content and serving sizes of their food on menus, on wall posters, and on their Web sites. The FDA is now in the process of shifting its focus to let consumers know. The question still remains whether consumers should have to ask for such information or if should it be readily available, however.

The availability of away-from-home nutritional labeling and information raises cost-benefit questions. The best case scenario is that the market (consumers) would demand both information and healthier foods from restaurants and the like. To continue to profit, these outlets would then need to adjust their practices accordingly. Either government intervention or consumer demand is needed to facilitate this change, but no one is sure which action will occur first or be most effective.

“ THE MOST IMPORTANT FOOD-RELATED LIFESTYLE CHANGE OF THE PAST TWO DECADES IS PROBABLY THE INCREASE IN CONSUMPTION OF FOOD PREPARED AWAY FROM HOME, WHETHER EATEN IN RESTAURANTS, AS TAKEOUT OR AS HOME-DELIVERED MEALS. DATA FROM USDA’S CONTINUING SURVEY OF FOOD INTAKES BY INDIVIDUALS, COLLECTED IN 1994-96 AND 1998, INDICATE THAT AMERICANS CONSUME ABOUT A THIRD OF CALORIES FROM FOOD PREPARED AWAY FROM HOME, UP FROM LESS THAN A FIFTH IN 1977-78. ...[F]RIED POTATOES MAKE UP APPROXIMATELY 35 PERCENT OF VEGETABLES EATEN AWAY FROM HOME.<sup>263</sup> ”

### FDA AND OBESITY TREATMENT DRUGS

The Food and Drug Administration has approved a number of appetite-suppressing drugs, including Diethylpropion (Tenuate), Mazanor (mazindol), Bontril (phendimetrazine), Adipex-P (phentermine), Dexfenfluramine (Redux), and Fenfluramine (Pondimin). These drugs are intended for short-term use, no longer than a few weeks to a month.

In 1997, the FDA approved a long-term appetite suppressant, Meridia (sibutramine), and withdrew approval for dexfenfluramine and fenfluramine because these drugs were linked to heart valve defects. In April 1999, the FDA approved Xenical (Orlistat), a lipase inhibitor, the first of its kind. Currently, Xenical is the only other obesity drug that can be taken for longer terms. Potential problems associated with appetite suppressors are the risks of tolerance and dependency.

### National Institutes of Health (NIH)

NIH serves research and awareness functions. In April 2003 the Obesity Research Task Force was created, and it subsequently developed the “Strategic Plan for NIH Obesity Research” which focuses on four areas:

1. Lifestyle modification.
2. Medical approaches.
3. Linkages between obesity and health, specifically the detection of biomarkers and other molecular factors that serve as early warning signs for the development of obesity-related health problems.
4. Health disparities among certain racial, ethnic, and socioeconomic populations.<sup>264</sup>

*We Can!* In June 2005, HHS and NIH announced a joint initiative to stem the tide of childhood obesity called *Ways to Enhance Children’s Activity & Nutrition*

(*We Can!*) *We Can!* is a “national education program designed for parents and caregivers to help children 8-13 stay at a healthy weight.”<sup>265</sup> Materials include a handbook for parents and a six-lesson curriculum used by community-based organizations.<sup>266</sup> The four main components of *We Can!* include encouraging healthy eating, increasing physical activity, understanding caloric intake and uptake, and reducing time spent in front of TV and computer screens.

The **National Cancer Institute (NCI)** promotes healthy lifestyles which lead to lower cancer incidence. One of the projects that is working to address obesity and obesity-cancer linkages is the **Optimizing Energy Balance to Reduce the Cancer Burden** research program which aims to understand how to change behavior and how to better monitor health status, among other topics.

NCI is hoping to receive about \$50 million in funding for discovery and development of obesity-cancer linkages.<sup>267</sup>

The **National Institute of Environmental Health Sciences (NIEHS)** examines the link between obesity and the physical arrangement of a community. In June 2005, NIEHS held a two-day conference on childhood obesity and the built environment, bringing together over 700 policymakers and analysts, public health care workers and advocates, researchers from across the country, and community-based organizations. The conference helped participants learn more about the connection between the built environment and obesity, and also showcased state and local programs that help create safer, healthier communities for children.<sup>268</sup>

The **National Institute of Diabetes and Digestive and Kidney Disease (NIDDK)** oversees the **Weight Control Information Network** which provides science-based materials on obesity, weight maintenance, and nutrition. The Institute also conducts a range of research. In January 2005, it launched the **It's Not Too Late** public education campaign, a part of the **Small Steps. Big Rewards. Prevent Type 2 Diabetes** campaign. The new program urges Americans 60 and older diagnosed with pre-diabetes to be active and watch their weight as new research has found that the onset of type 2 diabetes can be postponed or even avoided by losing small amounts of weight, eating right, and becoming more active. The launch of the program took place in Jacksonville, FL, in cooperation with the Yates Family YMCA.<sup>269</sup>

#### **Health Resources and Services Administration (HRSA)**

HRSA seeks to expand health care for all Americans and is structured to focus on specific populations. The **Maternal and Child Health Bureau (MCHB)** coordinates several obesity-related programs, including one component of the **Bright Futures** initiative and the **National Adolescent Health Information Center (NAHIC)**.

HRSA's overall budget increased in FY 2005 to \$7.37 billion, but MCH programs were virtually flat-funded.<sup>270</sup> The President's FY 2006 funding proposal includes a \$836 million cut to HRSA. The House of Representatives passed appropriations legislation that maintained that cut, while Senate appropriators voted to restore the cut, and actually provided a small increase.

In June 2005, HHS Secretary Mike Leavitt announced \$23.1 million in grants to improve MCHB services.<sup>271</sup> Among these grants is funding for the **Health Behaviors in Women** program, four three-year projects

totaling nearly \$600,000. The goal is "to develop innovative approaches to reduce prevalence of overweight and obesity in women by helping women create healthier lifestyles."<sup>272</sup> Additionally, to facilitate a focus on those with limited access to preventive health services, the grants were awarded to local health departments and health centers.

The **Bright Futures Initiative**, designed to encourage and guide healthy living and development, recently entered into a cooperative agreement with the American Academy of Pediatrics (AAP), where a Bright Futures Education Center and Pediatric Implementation Project is now housed.<sup>273</sup> "In 2004, Bright Futures focused heavily on its implementation activities, holding trainings and meetings with public and private partners, as well as implementing pilot programs throughout the country."<sup>274</sup> Bright Futures will release its newest and most comprehensive guidelines in late summer or early fall 2005.<sup>275</sup>

## National Institute of Environmental Health Sciences

The National Institute of Environmental Health Sciences (NIEHS) at NIH has examined the impact of community design and smart growth on human health, including obesity.<sup>276</sup> In 2004 and 2005, NIEHS hosted conferences on the built environment's role in obesity. Among the topics examined were:

- Community design and its relationship to obesity, including the role of school site location
- Varying levels of food access, affordability, and nutritional content in communities across the nation
- How to improve the amount of physical activity children receive in the “normal” course of the day

The **National Adolescent Health Information Center (NAHIC)** is also funded through HRSA's MCHB and housed at the University of California, San Francisco. It recently established a partnership with Child Trends, and the “two institutions will collaborate to create resources and provide assistance to improve the health of young people and their families.”<sup>277</sup>

The first such collaboration is a recent report, “Towards Meeting the Needs of Adolescents: An Assessment of Federally Funded Adolescent Health Programs and Initiatives Within the Department of Health

and Human Services,” that addresses the following questions:

- Is there a national policy that addresses the promotion of adolescent health?
- Is HHS making an effort to create healthier environments for adolescents through a multi-level approach?
- What is the status of evaluations of federally funded adolescent health programs?
- What can be learned from existing evaluations of programs that seek to influence adolescent health outcomes?

The report finds that there is not a “clearly articulated” national policy to address adolescent health despite the “significant investment” in programming. It cites the need for inter-agency collaboration and the development of clearer policy mandates. It also finds that while HHS programs are good incremental steps, “systemic efforts are needed” to better address adolescent health. Finally, the report points out that there are few large-scale evaluations of HHS adolescent health programs and suggests that until those are undertaken, policymakers should look to smaller, less comprehensive evaluations for guidance. However, there is little synthesis of such information. In short, the report says that more needs to be done to “guide and improve” adolescent health efforts.

## Administration on Aging (AOA)

AOA launched **You Can! Steps to Healthier Aging** in September 2004. The goal of the program is to promote physical activity and sound nutrition in elderly populations. As of December 31, 2004, the program had 1,441 organizations participating and by spring 2005, it was estimated that more than

1,500 had joined.<sup>278</sup> The partners include 950 community organizations. The AOA's goal is to reach 2,000 partners by September 2006. There will be a You Can! celebration in September 2005 to acknowledge participants and success stories and motivate more organizations to join the movement.

## The Office of Women's Health (OWH)

Obesity-related programs include:

■ **Girls and Obesity Initiative**, which redesigns existing obesity programs to resonate with girls and young women. A model program is the Girls Rule! project, a targeted prevention program aimed at African American girls in North Carolina that focuses on community-based interventions through churches and other community institutions.<sup>279</sup>

■ **Pick Your Path to Health**, a national general health education and outreach campaign that provides simple and practical advice that women can use to live a healthy life. The campaign focuses each month on a different health indicator; obesity-related indicators include the maintenance of healthy weight and the promotion of physical activity.<sup>280</sup>

## The President's Council on Physical Fitness and Sports (PCPFS)

PCPFS is housed at HHS and advises the President and Secretary of HHS on ways to encourage more Americans to become physically fit and active. The PCPFS communicates with the public on the importance of exercise; increases physical activity participation and opportunities by encouraging related efforts in schools and communities; collaborates with business, industry, government and labor organizations on innovative programs to reduce the financial and health care costs associated with physical inactivity; and cooperates with medical, dental and other allied

health care professional associations to encourage patient counseling on physical activity and fitness habits and practices.

The PCPFS also initiated the **President's Challenge**, which is a physical activity/fitness awards program. During the school year more than four million awards are distributed. The Challenge is for children between ages 6-17 and is designed to build strength, endurance and flexibility in children while motivating them to form healthy eating and exercise habits.



### 3. U.S. Department of Agriculture (USDA)

USDA is responsible for a range of food and nutrition programs that impact obesity, including:

- Nutritional advice and guidance.
- Food and obesity education campaigns.
- Distribution of food products to schools.
- Oversight and protection of the nation's agricultural and dairy markets.

#### Food and Nutrition Services (FNS)

FNS administers nutrition assistance programs to needy and eligible populations through food assistance, school lunch, and school-based educational programs.<sup>281</sup>

The **Food Stamp Program** in FY 2004 served nearly 24 million people per month and cost

USDA's **Division of Food, Nutrition, and Consumer Services (FNCS)** is central to obesity policies. FNCS is one of seven agencies in USDA, and it includes two departments relating to obesity: **Food and Nutrition Services (FNS)** and the **Center for Nutrition Policy and Promotion (CNPP)**.

about \$27.2 billion.<sup>282</sup> While this is clearly an important public assistance program for many Americans, research data show that those who received food stamps are more likely to be obese compared both to eligible nonparticipants and higher-income individuals. The table illustrates this.

Overweight and Obesity Differences Among Food Stamp Participants, Eligibles, and Higher-Income Nonparticipants				
	OBESE	OVERWEIGHT	HEALTHY WEIGHT	UNDERWEIGHT
<b>WOMEN</b>				
Food Stamp participants	42 percent	26 percent	28 percent	3 percent
Eligible nonparticipants	30 percent	29 percent	36 percent	4 percent
Higher-income nonparticipants	22 percent	25 percent	49 percent	4 percent
<b>MEN</b>				
Food Stamp participants	25 percent	29 percent	44 percent	2 percent
Eligible nonparticipants	20 percent	35 percent	43 percent	2 percent
Higher-income nonparticipants	20 percent	42 percent	37 percent	1 percent

Source: National Health and Nutrition Examination Survey.<sup>283</sup>

USDA has been trying to address the problem. The agency is examining assistance programs, poverty, and other factors that may be contributing to disparities of higher levels of obesity in lower-income populations. For instance, in September 2003, USDA contracted with ALTA Systems to “conduct a project with the goal of providing a comprehensive overview of the relationship between poverty, program participation and obesity.” The report was publicly released in February 2005.<sup>284</sup> “Obesity, Poverty, and Participation in Food Assistance Programs” basically concludes that despite efforts at quality research, the effects of food assistance programs are still unknown.<sup>285</sup>

There is general acknowledgement that those who rely on government assistance, for whatever reasons, are more likely to be obese than the rest of the population as a whole.

Some health and poverty advocates raise the issue that the levels of obesity in lower income communities could be misinterpreted as hunger no longer being a problem.<sup>286</sup> The two issues are inextricably linked since healthier foods are often less affordable and accessible to people receiving public assistance for hunger, and the increased risk for obesity has been linked in some research to skipping meals.<sup>287</sup>



The federal government requires that food stamps cannot be used to purchase non-food items, alcoholic beverages and tobacco, vitamins and medicines, any foods that will be eaten in the store, or hot foods.<sup>288</sup> A number of health advocacy organizations raise the issue that many food stamp beneficiaries have difficulty affording many healthy food options, since many healthier foods cost more than less healthy alternatives.<sup>289</sup> Nutrition advocates suggest that economic incentives be provided to increase fruit, vegetable, and other healthy food consumption through the Food Stamp Program.<sup>290</sup>

The Women, Infants, and Children Program (WIC) is a federal grant program that provides supplemental food, counseling, and nutritional education for low-income pregnant or postpartum women and children up to age 5.<sup>291</sup> Fifty-four percent of all U.S. infants received WIC benefits in 2000, as did 25 percent of U.S. children ages 1-4.<sup>292</sup> WIC food packages also provide supplements for the children's mothers. In 2003, the federal government spent \$4.7 billion on WIC and served about 7.6 million Americans. Included in that appropriation was \$25 million to fund the Farmers' Market Nutrition Program, which allows WIC recipients to use their benefits at local farmers' markets.<sup>293</sup>

According to USDA, WIC has resulted in a number of important successes, such as helping reduce the incidence of very low birth weight babies in the U.S., improving mothers' nutritional status during and after pregnancy, improving children's diets, and contributing to a decline in the national rate of iron deficiency anemia.<sup>294</sup>

Recently, FNS requested that the Institute of Medicine (IOM) review the program — which has not been substantively changed since its inception in 1974 — and report on suggested changes in order to have the program's "food packages" better reflect new dietary guidelines and to help combat the growing problem of obesity in America.<sup>295</sup>

The suggested changes can be summed up in three key ways:

1. The introduction of a \$10 cash voucher for purchasing fresh fruits and vegetables.
2. A decrease in both saturated and total fat (such as the substitution of 2 percent milk for noninfants rather than whole milk for all).
3. An increase in whole grain products.

The IOM committee also suggested changes in a "revenue neutral" way. That is, the suggested changes would not cost the government any more money. To achieve this, it decreased suggested servings of some fattier options (such as cheese and eggs) and also decreased suggested consumption of fruit juice for toddlers as recommended by the American Academy of Pediatrics.<sup>296</sup>

Importantly, instead of implementing these suggested changes immediately and across the board, the committee recommended that USDA start with small pilot programs and randomized controlled trials. It suggests evaluating the outcome of the changes on program participants to make sure that the proposed benefits — higher grain intake, for example — are actually reached. If participants do not like the newer, stricter grain requirements, they might wind up with less grain intake, making their nutrition worse, and reducing the overall benefit of the program. The committee also pointed out the importance of flexibility and stressed making food packages culturally sensitive.<sup>297</sup>

A recent USDA analysis found that unlike Food Stamp beneficiaries, WIC participants consume about the same number of calories than its nonparticipants. Additionally, there are real consumption differences across geographic regions and racial/ethnic/cultural differences regarding consumption patterns.<sup>298</sup> The IOM report highlighted this as an important factor to keep in mind as changes are made throughout the country.

According to USDA, the proportion of children participating in WIC who are overweight or obese is growing.<sup>299</sup> Recent data found that one out every 10 children in publicly funded health and nutrition programs was overweight. However, they also report that children in the WIC program are no more likely to be overweight than other low-income children, and that WIC likely helps provide children with more nutritious food alternatives.<sup>300</sup> Since 2001, the WIC program has increased its obesity prevention efforts.

The **National School Lunch Program** is a federally assisted meal program that serves free or low-cost lunches to low-income children throughout the nation. It serves lunch to over 26 million children each day in over 99,800 public and nonprofit private schools.<sup>301</sup> There are nutritional requirements – such as offering milk with different fat contents – that are aligned with the U.S. Dietary Guidelines, and these will be updated to reflect recent changes to the Guidelines. Schools are reimbursed between \$1.84 and \$2.24 for reduced price and free lunches, respectively.<sup>302</sup> In FY 2003, the federal government spent \$7.1 billion on the lunch program.

#### **Center for Nutrition Policy and Promotion (CNPP)**

The CNPP develops nutritional education information and works to disseminate research findings through outreach materials

As a result of the reauthorization process last year, schools will be required to implement “wellness policies” by the first day of the 2006 school year.<sup>303</sup> These programs must include:

- Appropriate goals for nutritional and physical education and other school-based activities to promote student wellness.
- Nutritional guidelines for foods available in the school with the objective of promoting student health and reducing overweight.
- A designated program director and means for measuring implementation.
- A coalition of parents, students, school staff and board members, and members of the general public who are part of developing the wellness policies.

USDA is statutorily mandated to provide technical assistance to the school wellness programs. Accordingly, the agency has established a “Local Wellness Policy” section on the USDA Team Nutrition Web site with goals, guidelines, and recommendations.

to targeted populations.<sup>304</sup> Dietary guidelines and the Food Pyramid are CNPP’s notable initiatives; both were updated in 2005.

#### **4. Federal Trade Commission (FTC)**

In the 1970s, the FTC became linked to the obesity issue when it examined the possible regulation of advertising “junk food,” particularly ads aimed at children. In 1978, the FTC issued a Notice of Proposed Rule-making, often referred to as “KidVid,” to limit “junk food” advertising for children, but the Congressional action needed for the ban to take effect was not taken.<sup>305</sup> A number of current advocates are calling for the reexamination of potential limits on marketing of unhealthy food to children.

In July 2005, the FTC partnered with HHS to convene a workshop titled “Perspectives on Marketing, Self-regulation, and Childhood Obesity.” The two-day event was open to the public and included discussions about what industry leaders and industry watchdogs are doing to address childhood obesity and the perils of advertising (mostly) unhealthy foods to children. The examination of any federal regulations must also include reviewing the jurisdiction the government might have to limit ads through the Federal Communications Commission, which has clout over television and radio licensing.

## THE BLAME GAME: MARKETING FOOD TO KIDS

In discussions about ways to prevent and reduce obesity, the topic of marketing food to children is one of the most contentious. Debates on the issue often revolve around personal responsibility versus fostering efforts to promote social change at a community level.

Proponents of limiting the marketing of food to children, particularly less nutritious foods, contend that:

- The sheer amount of advertising aimed at children demonstrates that the food marketing industry believes it is valuable and effective.
  - ▲ “Clearly, the conclusion advertisers have drawn is that TV ads can influence children’s purchases - and those of their families.”<sup>306</sup>
  - ▲ “Scientific studies that are available in the public realm back up these marketing industry assessments of the effectiveness of advertising directed at children.”<sup>307</sup>
- Children are particularly vulnerable or susceptible to advertising and, therefore, extra measures should be taken to filter messages to help protect their health.
  - ▲ “Young children do not understand the persuasive intent of advertising/marketing and are easily misled. Older children, who still do not have fully developed logical thinking, have considerable spending money and opportunities to make food choices and purchases in the absence of parental guidance.”<sup>308</sup>
  - ▲ “Many commercials use cartoon characters to sell products, which research has shown to be particularly effective in aiding children’s slogan recall and ability to identify the product.”<sup>309</sup>
- Parents find it difficult to counter the messages and influence of marketing targeted toward their children.

Opponents of limiting marketing of food to children argue that:

- The food and beverage industries are responding to the needs and desires of the marketplace.
- There is no scientifically demonstrated evidence that marketing impacts or causes obesity.
- Marketing is regulated by the FTC, which enforces requirements about truth in advertising and penalizes companies making deceptive claims. Aside from those restrictions, advertising should be considered in the realm of free speech and the First Amendment.
- Industry should be and is capable of responsibly policing itself (Kraft’s & PepsiCo’s voluntary restrictions are an example of this).

Children’s media experts warn that these issues will become even more acute with the advent of digital television. In the near future, it will become easier to market directly to children as Internet and television platforms are integrated. A precursor of this phenomenon is the “advergame.” An advergame is a “free electronic game to promote a product or brand. For example, an automobile manufacturer might use a race car game, which keeps the brand name in front of the player at all times.”<sup>310</sup> More and more children are playing such games on the Internet, with many of these games featuring cartoon characters or other similar messengers, and quite often these games advertise unhealthy foods.<sup>311</sup>

Currently, the IOM and the National Research Council are reviewing the effects of food marketing on the diet and health of children and youth. The IOM effort brings together experts from the health community, public interest groups, the marketing industry, and the food and beverage industry. They are expected to release a report in fall 2005.

## Children's Advertising Review Unit

The Children's Advertising Review Unit (CARU) began "in 1974 to promote responsible children's advertising as part of a strategic alliance with the major advertising trade associations through the National Advertising Review Council (comprising the AAAA, the AAF, the ANA and the CBBB)."<sup>312</sup> At a July 2005 FTC workshop on marketing to children, CARU Executive Director Elizabeth Lascoutx outlined recent changes to the organization:

- Parents can now directly register a complaint with CARU through the organization's Web site.
- A new position has been approved and budgeted so that CARU may have a staff member directly responsible for outreach to consumers.
- CARU recently added experts in child nutrition to its Academic Advisory Board.
- This fall, CARU will release guidelines on responsible "advergaming."<sup>313</sup>

At the same workshop, the Grocery Manufacturers Association released its own plan to strengthen self-regulation of advertising to children that will significantly affect CARU.

Additionally, the FTC works on partnering with the FDA to monitor truthful labeling of food products. Its additional obesity-related responsibility is a truth-in-advertising role. Over the past decade, the "Commission has brought more than 100 cases challenging false or misleading claims in advertising for weight-loss products."<sup>314</sup> A major initiative of

the FTC is the **Red Flag** program, which works to "encourage the media's voluntary efforts to adopt and implement screening standards that would reduce the level of false advertising for covered weight-loss products." There are seven delineated red flags that the media can use a guide in determining if an advertising claim is truthful.<sup>315</sup>

## RED FLAG DECEPTIVE ADVERTISING CLAIMS THE FTC ENCOURAGES THE MEDIA TO SELF-POLICE

Claims that a product can:

- Cause weight loss of two pounds or more a week for a month or more without dieting or exercise.
- Cause substantial weight loss no matter what or how much the consumer eats.
- Cause permanent weight loss (even when the consumer stops using product).
- Block the absorption of fat or calories to enable consumers to lose substantial weight.
- Safely enable consumers to lose more than three pounds per week for more than four weeks.
- Cause substantial weight loss for all users.
- Cause substantial weight loss by wearing it on the body or rubbing it into the skin.

## 5. Department of Defense (DOD)

According to a DOD spokesman, 16 percent of active duty adults in the U.S. armed services are obese and 18.9 percent of active duty adolescents (members of the services under the age of 21) are obese.<sup>316</sup> In FY 2002, the military health system spent \$15 million for bariatric surgeries in civilian and military facilities, with nine of those surgeries performed on active duty members.<sup>317</sup>

Every year, between 3,000 and 5,000 service members are forced to leave the military for being overweight. Meanwhile, military manpower remains low and the Pentagon is struggling to find new recruits.<sup>318</sup> Almost 80 percent of today's recruits who exceed weight-for-height standards when they entered the military leave before they complete their first term of enlistment. This, in turn, increases the cost of recruitment and training.<sup>319</sup>

## 6. Department of Veterans Affairs (VA)

The VA serves over six million veterans; nearly 70 percent are overweight and approximately 30 percent are obese.<sup>322</sup> Within the VA, the **Veterans Health Administration's National Center for Health Promotion and Disease (NCP)** has developed the **Managing Overweight/Obesity for Veterans Everywhere** or *MOVE!* program. A weight management and physical activity initiative, *MOVE!* is undergoing clinical trials at 16 VA facilities nationwide.

Eventually, the *MOVE!* program will be implemented in virtually every VA Medical Center

## 7. Office of Personnel Management (OPM)

OPM is responsible for building a high-quality and diverse federal workforce, based on merit system principles. This is accomplished by recruiting citizens to federal service, connecting job applicants with federal agencies and departments, and administering retirement, health benefits, long-term care, and life insurance programs.<sup>325</sup>

In an effort to reduce the demands on the health care system and associated costs, OPM has launched the **HealthierFeds** initiative, which educates the federal civilian workforce

To combat the battle of the bulge, each of the armed services has developed programs to promote fitness and health. The Army has **Weigh to Stay**, a program created and run by Army dietitians and nutrition care specialists. Navy officials are implementing **Ship Shape Navy**, a program designed to move military personnel and their families toward healthier food choices, fitness habits and lifestyles. The Air Force has a new fitness plan that encourages unit fitness programs, encourages units to exercise together three times a week, and offers nutrition and fitness counseling to those with borderline fitness test scores.<sup>320</sup>

Department of Defense dining halls have also made an effort to combat obesity by revamping more than 1,700 recipes to include more fruits and vegetables and less salt and fat. Main entrees have 100-300 fewer calories.<sup>321</sup>

and many of its community-based outpatient clinics. The VA says that will make *MOVE!* the largest and most comprehensive weight management and physical activity program associated with a medical care system in the United States, giving it the capacity to reach every overweight VA patient in the country.<sup>323</sup>

The NCP also addresses obesity and related health issues in a number of publications on its Web site. For example, weight management was featured in NCP's Monthly Prevention Topics newsletter in January 2002, January 2003 and January 2004.<sup>324</sup>

and retirees about healthy living and best health care strategies. In partnership with **Federal Employees Health Benefits Program (FEHB)** carriers, OPM introduced a new Web site that offers practical information on nutrition, physical activity, and prevention. It also includes information about other government initiatives targeted towards obesity reduction, including HHS's Steps to a HealthierUS program.<sup>326</sup> OPM intends to extend the HealthierFeds campaign to include all federal agencies.



# Health Insurance

In this section, TFAH examines some actions taken by health insurance providers and private industry aimed at reducing obesity.

## PART A: A FIT VERSUS FAT HEALTH CARE SYSTEM?

Will there be a day when obese persons are forced into costlier insurance plans? Recent trends in the health insurance market suggest that this may be a possibility in the near future.

As health care costs escalate, employers are examining ways to curb them. In 2003, the nation spent over \$1.7 trillion on the health sector, representing 15.3 percent of the Gross Domestic Product (GDP).<sup>327</sup> Despite a slight slowdown in growth of spending from 2000 to 2002, there are no signs of a further leveling off or a slowdown. In both 2003 and 2004, health care spending outpaced the growth of the economy – outstripping overall economic growth by almost 3 percent – even with a 5.6 percent increase in GDP in 2004.<sup>328</sup> Private health insurance (non-governmental programs) spending has also increased substantially, nearly 60 percent between 1987 and 2002.<sup>329</sup>

In response, employers have considered major changes to their health care benefits. Faced with five consecutive years of double-digit rate increases for their premiums, more employers are establishing tiered coverage, often called “consumer-driven health plans.” According to the American Academy of Actuaries, when employers offer these plans, employees are divided into health insurance plans based on risk categories like demographic and health characteristics, which includes obesity.<sup>330</sup>

Currently, insurance plan rates are higher for groups with high prevalence of chronic

conditions. If obesity starts to be considered a chronic or high-risk condition, this could result in insurance plans becoming more expensive to employers. These higher costs could then be passed along to employees by requiring obese employees to pay higher co-payments for services or by disqualifying obese individuals from some types of coverage if they are viewed as having a “pre-existing” health condition.

Over the past several years, there has been a movement among employers to shift more health care costs onto employees. Many employers have started to require higher deductibles, co-payments, and employee contributions. This trend is expected to continue. Health insurance experts, such as Hewitt Consulting, believe that individuals, especially those with higher risks, will be required to pay a greater percentage of their health care costs over the next five years.<sup>331</sup>

Further, many preventive treatments for obesity are currently left uncovered by insurance plans. Most plans do not cover obesity medications, including orlistat, sibutramine or phentermine. According to the 2003 Takeda Prescription Drug Benefit and Plan Design Survey Report, 72 percent of employers excluded coverage of this medication class while 19 percent provided limited coverage and only 9 percent provided standard coverage.<sup>332</sup> Other weight-loss treatments that typically are left uncovered include obesity prevention and treatment

counseling; counseling on physical activity; counseling pregnant women on weight issues; medical nutritional therapy; and participation in other independent weight loss options (e.g., Weight Watchers, Curves for Women, and health club memberships).

While many health insurance trends look bleak for obese persons, there are some encouraging signs. In July of 2004, Medicare relaxed its coverage policy by eliminating the phrase “obesity itself cannot be considered an illness” from its Medicare Coverage Issues Manual. In essence, the federal insurance

program that insures over 40 million elderly and disabled Americans signaled that it would consider covering obesity treatments, such as counseling programs, physician visits, and medications. Many private health insurers follow the lead of Medicare, so the policy change holds the promise of enormous gains in obesity coverage. In addition, four states -- Georgia, Indiana, Maryland, and Virginia -- have statutory requirements for health insurance plans to either cover bariatric surgery for morbidly obese individuals or offer coverage. At least 10 other states are considering similar action.

Recent research has found that obese individuals often earn lower wages. While past research has repeatedly shown a gap between the salaries of obese and non-obese people, this newer research pinpointed the gap only among those who have employer-sponsored health insurance.<sup>333</sup> This finding also suggests that non-obese colleagues are not subsidizing the health care of the obese, but that obese employees are bearing the costs themselves.<sup>334</sup>

Does the health care for people who are obese -- and other high-risk employees -- really cost that much more? Most of the research suggests that it does.

- Increases in obesity and its complementary conditions cost the private sector (non-public program health insurance spending) more than \$36 billion -- nearly 12 percent of private spending -- in 2002. In 1987, comparable costs were only \$3.6 billion (in 2002 dollars) -- approximately 2 percent of private spending.<sup>335</sup>
- Conditions related to obesity are becoming more prevalent and are also being treated more. Diabetes treatment, for example, has increased 64 percent since 1987, accounting for almost 80 percent of the overall increase in costs.<sup>336</sup>
- Differences between the health insurance costs of obese and non-obese people have also grown. In 1987, the difference was

\$272 per year or 18 percent; in 2002, the difference had increased to \$1,244, 56 percent more per year.<sup>337</sup>

- The number of obese adults who received treatment for six or more conditions in 2002 was 15.5 percent, compared to 8.7 percent in 1987.<sup>338</sup>
- Over a period of nearly 40 years, with nearly 40,000 patient screenings, researchers tracking the relationship of BMI to health costs throughout life found that health care costs for “severely” obese men ages 65-84 were 84 percent (or \$6,192) higher than their non-overweight colleagues. Comparably obese women ages 65-84 had costs 88 percent (or \$5,618) higher than their non-overweight peers.<sup>339</sup>
- Individuals with high BMI were 1.7 times more likely to have medical expenditures exceeding \$5,000 versus the average medical cost of \$1,878 over a two-year study period.<sup>340</sup>



## Health Insurance Companies' Obesity Disease Management Programs

Obesity disease management programs are in their infancy. The good news is that after years of very limited offerings, some of the largest health insurance companies have finally introduced obesity disease management plans. Proper ongoing management of disease can lower treatment costs over time, resulting in net savings. The bad news is that most people still do not have access to them.

Insurance companies are now developing new disease management programs aimed at reducing the incidence of obesity, whether through diet and exercise, surgery, or both. Many programs aim to identify "at-risk" beneficiaries, and then provide them with more intensive outreach from nurses and weight loss counselors. Methods used to identify "at-risk" beneficiaries include voluntary Internet-based programs, prescription drug and medical claims data, and initiatives undertaken by physicians.

Typically, the programs seek to aid patients who possess a high BMI or significant obesity-related conditions, such as diabetes or cardiovascular disease. Although the specifics vary by insurer, beneficiaries receive "personalized" attention from Web-based interactive tools and telephonic support from nutritionists and other specialized health care practitioners. Insurers may also include discounts for health clubs and "healthy-eating" or weight loss programs.

In addition, many companies and insurers are currently struggling with decisions about covering the costs of bariatric surgery, which is a high cost procedure and could have major ramifications for health care costs if it becomes routinely covered. Some insurers - - such as Kaiser Permanente and CIGNA -- offer the option of bariatric surgery, while others, like Aetna, do not (except where mandated by law; see the following section).

Overall, obesity management programs are still extremely new, and most people do not have access to them. For example, Aetna's program is still in the pilot phase, where it is offered to just 500,000 of its 12 million mem-

bers. CIGNA, an insurer with approximately 11 million members, will not unveil its program until 2006. Further, disease management companies, such as Magellan, have just recently created their own products.

It is unclear whether the vast majority of individuals will ever have access to obesity management programs, particularly since most employers are not made aware of the potential savings they could bring. In the U.S.'s complex health care system, over 60 percent of Americans receive health insurance through their employer. The employer negotiates its policies with health insurance companies, and it is then left to the employer whether to include an obesity management program. Since health insurers are expected to charge an additional fee for these programs, employers may choose not to pay for them.

Following are some recent efforts made by selected health insurers or disease management organizations.

**Aetna:** Aetna is one of the nation's largest health insurers, providing services for over 12 million members. According to company estimates, Aetna's pilot program, which began in January 2005, will enroll approximately 500,000 beneficiaries in its first year. Patients who enroll in Aetna's obesity management program will receive discounts on weight loss programs, receive pedometers to encourage walking, and be put in touch with a nurse to coordinate counseling and other services. Each patient's own physician also can become involved. Eventually, Aetna and other insurers may offer discounts on premiums for healthier people as an incentive to keep weight down and adopt healthy lifestyles, according to company officials.

■ *Bariatric surgery:* Starting in 2005, Aetna has removed bariatric treatment as a standard benefit. Employers will have the option to add bariatric surgery to their health plan if they so choose, but rates would be adjusted accordingly.

**CIGNA:** CIGNA medical plans cover approximately 11 million people. Beginning in 2006, the insurer will offer an obesity management program as an add-on service for employers, but with a bit of a twist: CIGNA's program will focus on metabolic disease, not just an individual's weight. A person with at least three of the following five factors has metabolic disease: Hypertension (high blood pressure), high levels of cholesterol, high levels of triglycerides, abnormal blood sugar, and obesity concentrated in the abdomen. Once identified, beneficiaries with metabolic disease would begin a comprehensive weight loss program that uses nutritional counseling, exercise counseling, and behavioral modification strategies.

■ *Bariatric surgery:* Beginning in 2006, those seeking bariatric surgery must first receive approval from case management nurses as part of CIGNA's new Specialized Case Management and Centers of Excellence program. In addition, CIGNA HealthCare will establish a network of facilities recognized for their expertise in performing bariatric surgery and will provide this information to members, encouraging them to seek care from these facilities.

**Kaiser Permanente:** Kaiser Permanente serves approximately 7.5 million enrollees in 17 states and D.C. In June 2001, Kaiser developed a comprehensive, multi-faceted plan to address the epidemic of overweight children. This plan focuses on three areas:

*Medical Office Visit Interventions:* Kaiser trains its pediatricians and nurse practitioners to educate children about four key behavioral determinants for childhood overweight — physical

activity, television viewing, sweetened beverage consumption, and fruit and vegetable consumption.

*Weight Management Interventions:* Kaiser currently has 25 facilities in Northern California offering weight management programs for families. Single session weight management programs are offered in all major service areas at no additional cost to members.

*Environmental Changes:* Kaiser sponsors social marketing campaigns, including a theater program on healthy eating and physical activity, and legislative campaigns supporting a soda ban in California schools.

■ *Bariatric surgery:* Kaiser currently covers bariatric surgery for its beneficiaries, although all cases are reviewed and access can be denied.

**Magellan Health Services:** Magellan is a behavioral health disease management group, which typically contracts its services to health insurance companies or employers. In 2005, Magellan began a multi-faceted obesity management program. It not only includes diet and exercise programs, but also has a cognitive component to address the emotional barriers to successful weight loss.

■ *Bariatric surgery:* Magellan's obesity management program includes bariatric surgery designed to manage its costs. It is designed to ensure that individuals considering the procedure are appropriate candidates, are educated about the life-changing procedures, and are prepared emotionally as well as physically.

Florida has various provisions in state law that require insurance providers to rebate premiums to members of a group health plan when a majority of the group plan members enroll in and maintain participation in any health wellness, maintenance, or improvement programs (e.g., Section 627.65626, Florida Statutes).

## Health Insurance Requirements for Obesity Surgery Coverage

**Only four states require health insurance plans to offer coverage for obesity surgery.**

**More than five other states considered similar legislation in the past year, but the measures were not voted on or enacted.**

Instead of stressing prevention, the medical approaches to overweight and obesity often begin when a patient is at the point of being diagnosed as overweight or obese.<sup>341</sup> Patients are first encouraged to adopt a healthier diet and level of activity. If these changes prove ineffective, drug interventions may be recommended. Obesity-related drugs usually focus on reducing appetite or lessening fat absorption in the body.<sup>342</sup> These drugs are prescribed in conjunction with ongoing efforts to maintain a healthy lifestyle.

If the previous options do not prove effective, or if the patient is morbidly obese (BMI > 40), surgery may be recommended. The most common types of obesity-related surgery involve either limiting the amount of food the stomach can hold or an invasive bypass procedure.<sup>343</sup>

According to the NIH, gastrointestinal, or bariatric, surgery is the best option for people who are severely obese and cannot lose weight by traditional means, such as diet and exercise.<sup>344</sup> Nonsurgical approaches to losing weight seldom succeed over the long run for the morbidly obese. Bariatric surgery promotes weight loss by restricting food intake and, in some operations, interrupting the digestive process to reduce calories and nutrients absorbed. NIH guidelines recommend that surgery should be considered for patients with a BMI of 40, or greater than 35 when there is also a life-threatening condition present. A recent article estimated that bariatric surgery prevalence increased 400 percent between 1998 and 2002, rising from 13,386 cases in 1998 to 71,733 cases in 2002.<sup>345</sup>

Currently, only four states -- Georgia, Indiana, Maryland, and Virginia -- have statutory requirements for health insurance plans to either cover bariatric surgery for morbidly obese individuals, or offer coverage.

From 1988 to 2000, the prevalence of extreme obesity (BMI > 40) increased from 2.9 to 4.7 percent, up from 0.8 percent in 1960. Morbidly obese patients are generally considered by experts as a distinct group of obese patients, with special needs and challenges, who require more aggressive approaches to weight loss.<sup>346</sup>

A study by the Blue Cross Blue Shield Association's Technology Evaluation Center concluded that surgery improves health outcomes for patients with morbid obesity when compared to nonsurgical treatment. Evidence from clinical trials suggests that surgery results in large amounts of weight loss compared with usual care -- a 16 percent decrease in weight at six years versus an increase of 0.8 percent for usual care.<sup>347</sup>

STATE	ENACTMENT DATE	MANDATED BENEFIT COVERAGE REQUIREMENT FOR SURGICAL TREATMENT OF MORBID OBESITY
Georgia	1999	Every major health policy that provides major medical benefits must offer coverage for the treatment of morbid obesity.
Indiana	2000	Requires the state to provide coverage under group insurance plans for public employees for non-experimental, surgical treatment of morbid obesity. Requires an insurer that issues an accident and sickness insurance policy and an HMO that provides coverage for basic health care services to offer coverage for the treatment of morbid obesity.
Maryland	2001	Insurers, nonprofit health service plans, HMOs and managed care organizations that provide individual and group policies must provide coverage for gastric bypass surgery or any other surgical method that is recognized or approved by the NIH for the treatment of morbid obesity.
Virginia	2000	Insurers and state health plans must offer and make available coverage under any such policy, contract, or plan for the treatment of morbid obesity through gastric bypass surgery or other methods recognized by the NIH.

\*Colorado enacted legislation in 2005 (HB 1066) for a pilot program for treating Medicaid recipients who are obese and have a co-morbidity (related medical condition), including diabetes, hypertension, and coronary heart disease.



# Industry and the Obesity Epidemic

In this section, TFAH examines some actions taken by private industry aimed at reducing obesity.

Following are some examples of what several industry leaders are doing to combat obesity or offer healthier choices to consumers.

## Stonyfield Farm's Healthy Vending Machines

Stonyfield Farm's "menu for change" program is working on "getting healthy foods into schools." Since fall 2003, the company has been shipping vending machines with healthy foods, like its yogurt, to schools across the country. The company covers leasing costs for the schools and donates all proceeds to the school or the school's designated vending operator.<sup>348</sup> Stonyfield also holds events and taste tests in the schools to help promote the healthy products and help kids realize that healthy food can taste good. There are currently 32 machines in seven states (Massachusetts, Connecticut, California, Washington, Illinois, Rhode Island, and Pennsylvania) and a waiting list of over 900 schools nationwide that want machines.<sup>349</sup>

The idea for the healthy vending machine was originally launched by the company's CEO who was disturbed to hear that his kids had had "pizza and Skittles" for lunch one day. He was convinced that if school children were given healthy foods that also tasted good, they would choose those options.<sup>350</sup>

## PepsiCo's "Smart Spot"

PepsiCo introduced its "Smart Spot" symbol in the summer of 2004 and launched an accompanying Web site Sept. 1, 2004.<sup>351</sup> In 2004, 37 percent of PepsiCo's revenue was from Smart Spot products, as was 48 percent of the company's growth in sales.<sup>352</sup>

To be designated a Smart Spot product, foods must:

- Contain at least 10 percent of the Daily Value of a targeted nutrient (e.g., protein, fiber, calcium, iron, vitamin A, vitamin C) and meet limits for fat, saturated fat, trans fat, cholesterol, sodium, and added sugar; or
- Be formulated to have specific wellness benefits; or
- Be reduced calorie, fat, sodium, or sugar.<sup>353</sup>

For example, Smart Spot beverages contain no more than three grams of fat, foods contain no more than 30 percent of calories from fat, and snacks contain no more than 35 percent of calories from fat.<sup>354</sup>

## Kraft Foods' "Sensible Solution"

Similar to Pepsi's Smart Spot program, Kraft has rolled out a "Sensible Solution" program offering "better-for-you" choices of their popular brands.<sup>355</sup> The Sensible Solution label flag includes nutritional facts about the product in accordance with the 2005 U.S. Dietary Guidelines and other such criteria.<sup>356</sup> According to remarks made by Mark H. Berlind, Kraft's Global Corporate Affairs executive vice president, the Sensible Solution product line is experiencing 3-4 percent faster growth than the company's other products.

To be designated as a Sensible Solution, products must meet one of two criteria:

1. Provide beneficial nutrients such as protein, calcium, or fiber/whole grain at “nutritionally meaningful levels,” or deliver a health benefit like hydration, and stay within caloric, fat, sodium, and sugar limits; or
2. Meet specifications for “reduced,” “low,” or “free” in calories, fat, saturated fat, sugar, or sodium.<sup>357</sup>

For example, Sensible Solution juices are 100 percent juice and contain no more than 120 calories, while Sensible Solution cookies and crackers must have less than 100 calories. All of the products have multiple criteria to meet, but for most, a caloric threshold is mandated. Often, fat, saturated fat, and sugar are also tempered.<sup>358</sup>

Kraft plans to roll out a new labeling scheme which will include trans fat levels to meet the FDA’s January 1, 2006 requirement. Currently, trans fat information is available on Kraft’s Web site.<sup>359</sup>

### **Grocery Manufacturers of America’s (GMA) Public Education Campaigns**

In July 2005, GMA announced greater industry support for CARU and stronger self-regulatory guidelines on advertising to children. Highlights of these proposals are:

- Increase CARU’s resources and enforcement capacity with a significant increase in staffing.
- Improve direct consumer access so that parents and others can immediately voice their concerns about particular advertisements. In addition to Web site changes already being made by CARU, GMA suggests a toll-free number and increased publicity of CARU and its responsibilities.
- Improve transparency by listing all complaints on CARU’s Web site along with information about how the complaints were resolved.
- Increase CARU’s knowledge of children’s health by expanding CARU’s advisory board (already being considered by CARU).

- Strengthen voluntary pre-dissemination review of ads.

- Ensure that CARU’s guidelines properly address advergaming, prohibit paid product placement on children’s programming, and mandate appropriate use of third-party licensed characters in advertising.

- Build a closer working relationship with the FTC and HHS to further foster a robust self-regulatory system.<sup>360</sup>

The GMA has pledged full financial support from industry if these suggestions are approved by CARU and its overseers.

In April 2005, the GMA announced that it would join the food and beverage industry in helping USDA to promote its new Food Guidance System.<sup>361</sup> To do so, the GMA “will sponsor a nationwide education campaign... to promote the Food Guidance System to students, teachers and families” in conjunction with publishers of the Weekly Reader distributed in schools across the country.<sup>362</sup> Materials will include teachers’ guides, student activities, posters, and bilingual parent kits for students to take home, and is estimated to reach at least four million children.

The GMA and its membership also are undertaking public education campaigns to broadly publicize the new guidelines and will be using product labels and other marketing to help consumers understand how its products fit into the new schematic.<sup>363</sup> Finally, the GMA also has pledged to work on making foods healthier, using more whole grains, less saturated and trans fats, and less sugar and sodium.

### **NikeGo Programs**

Nike has launched several programs over the past few years to encourage activity among children. In most cases, the programs are focused on underserved kids and/or those most at risk for overweight and inactivity. NikeGO is the company’s primary community outreach program. Its mission is “to increase physical activity in youths, offering them the support and motivation to become physically

active, stay healthy and have fun.”<sup>364</sup> The company spent about \$10.5-million in cash and products during the last fiscal year, and also plays an advocacy role on behalf of children’s activity.<sup>365</sup> Along with its coalition partners, Nike has a presence in Congress. Some of the specific programs are:

■ *NikeGO After School* provides physical activity programs at Boys & Girls Clubs, YMCAs, Parks & Recreation programs, and after-school programs. The first programs began in D.C. in December 2004; programs are also being launched in Chicago, New York City, and Los Angeles during the 2004-2005 school year. Nike believes that activity in after-school programs is a necessary substitute for school children whose physical education and recess time are being cut.<sup>366</sup>

■ *NikeGO Head Start* is a “first-of-its-kind physical education curriculum for Head Start students and their families.”<sup>367</sup> In cooperation with SPARK and the National Head Start Association, the curriculum is being piloted in 80 sites in eight cities in 2005; 320 teachers will be trained in the first year, with estimates of 30,000 children benefiting. In addition to the curriculum, Nike will make sure the necessary equipment is donated to the sites.<sup>368</sup>

■ *NikeGO on Native Lands* is similar to other programs and is implemented among Native American kids. In addition to physical activity, Native Lands also includes nutritional education and diabetes prevention. There are currently 3,000 children served on 25 reservations with plans to expand to 100 reservations over five years.<sup>369</sup>

■ *PE2GO* is “a national, standards-based program... to help increase the quality and quantity of physical education in schools where physical education classes have been drastically reduced or eliminated.”<sup>370</sup> Curriculum, training, and equipment is given directly to classroom teachers in grades 4-5 who can implement it regardless of the status of the school’s physical education program. This program is currently reaching 9,000 students in 80 public schools.<sup>371</sup>

■ *The Reuse-A-Shoe* initiative aims to reuse athletic shoes for “sports surfaces, like soccer

and football fields, basketball and tennis courts, track and playground surfacing.”<sup>372</sup> Since 1993, when the program began, Nike has donated more than 170 sports surfaces or NikeGO Places. The vast majority of these surfaces are donated to areas that do not typically have such facilities.<sup>373</sup> In June 2005, Nike held its second annual Capitol Hill Reuse-A-Shoe Challenge with the Oregon Congressional Delegation.<sup>374</sup> The first competition collected nearly 500 pairs of used shoes.

### Sony PlayStation 2’s Eye Toy

In fall 2003, Sony debuted its PlayStation 2 Eye Toy, designed to get kids (or whoever is playing) up off the couch and “in the game.”<sup>375</sup> Eye Toy games come with a camera that picks up the players’ movements; this camera movement then directs the game, instead of joysticks or other game controllers. Success in the game, then, depends on one’s ability to move effectively. Over six million Eye Toy games and cameras have been sold as of June 2005.<sup>376</sup>

The initial technology led to only two games, but Sony has several more in development scheduled for release in fall 2005. The new games aim to indulge “hard core” gamers as well as to “teach players new physical movements” that they must learn over time.<sup>377</sup> Sony likens these movement games to other training of one’s muscles to perform an activity, exercise, or sport.

Games also adjust the difficulty of the activity as players begin to master certain moves, and Sony has worked with “human movement” experts to make sure that the game’s challenges and workout are both appropriate and healthy.<sup>378</sup> For example, in September 2005, Sony will release Kinetic, a “fitness product that delivers a full body workout with world-class trainers in the privacy” of one’s own home.<sup>379</sup> The target audience for this game is fitness buffs, particularly women 18-35. The game will be able to customize a workout for the participant based on ability, free time, and performance.





# Recommendations

OBESITY IS A MAJOR PROBLEM TO THE U.S. HEALTH AND ECONOMY.

TFAH'S EVALUATION OF POLICY INITIATIVES AT THE STATE AND FEDERAL LEVEL SHOWS THAT POLICYMAKERS LARGELY UNDERSTAND THAT OBESITY IS A SERIOUS ISSUE, BUT ONLY FAIRLY MINIMAL EFFORTS HAVE BEEN UNDERTAKEN TO ADDRESS IT.

One reason for the scarcity of action is the lack of major scientific examinations into many crucial issues related to obesity. Therefore, when many policies are recommended, they are often not acted upon due to a lack of unquestioned evidence that can be used to support decisions.

The complexity associated with battling obesity combined with the gaps in the research has resulted in a policy paralysis. Individuals and communities have been left with insufficient and occasionally conflicting information about the magnitude of the problem and methods to manage their health.

In order to advance efforts to solve key scientific questions that are holding back policy decisions and related actions, TFAH has identified five major research questions. ***TFAH challenges the research community to make finding answers to these questions a top priority.*** As the first step of the challenge, researchers should establish consistent measures of accountability for policy efforts

based on positive changes in people's health.

At the same time, while it is clear that there are no simple answers to the complex and multifaceted obesity problem, there are also many practical decisions that can and should be made now based on common sense and the research that does exist to address this growing problem. There is no question that weight gain is the result of an energy imbalance, and that the major way to change that is for people to eat less and exercise more. There is also little question that people are influenced by many factors, ranging from their families to their employers to the neighborhoods, that impact their choices and action. ***Therefore, TFAH challenges policy-makers, businesses, communities, and individuals to take informed actions now and study their effects, even while many in-depth questions are being researched.***

There will be no quick fixes. A sustained effort will be required to reverse the current trend.

## CHALLENGE TO THE RESEARCH COMMUNITY: Five Major Research Questions

**1) How does obesity relate to people's health and life expectancy?** Despite efforts by the CDC to study obesity, morbidity, and mortality, there are still many remaining questions about how obesity impacts health, illness, and premature death.

**2) What is success: can people be “fit and fat” or is weight-loss necessary to be healthy?** Research should examine the inter-relationship between weight and activity. There are many questions about whether inactivity or weight has a bigger impact on health. These studies should explore how incremental changes in weight impact people's health. These efforts must include assessing nutrition, fitness, and health levels for different demographic categories, including studying men and women separately, given they will have different results. Additionally, there are questions about how active Americans currently are versus how active they should be to maintain good health. These research efforts should also develop model school meal programs, physical education programs in schools, and investigate the impact of community design on activity levels.

**3) What are the relationships between socio-economic and cultural issues and obesity?** This research should further examine the economics of eating healthy and being physically active. Research should examine food accessibility, food affordability, exercise, and racial/ethnic, genetic and cultural differences. Improved understanding in these areas will lead to better intervention efforts within targeted populations.

**4) What are the economic costs of obesity and the benefits of possible policy actions?** There needs to be further research that clearly identifies the harms and costs caused by obesity and the potential health and economic benefits of anti-obesity efforts. Research should examine obesity prevention programs for individuals, families, schools, communities, the food industry, employers, states, and the federal government.

**5) Who is responsible for obesity-reduction?** Research should examine if focusing on personal responsibility is most effective or if approaches that also include altering other factors that influence individual behavior leads to more positive results. There should also be efforts to develop better communications with the public about obesity recommendations and actions through consistent and effective messages targeted at appropriate audiences.

## 20 ANTI-OBESITY ACTION ITEMS

Many segments of our society have an important role to play in anti-obesity efforts. Individuals, families, communities, local governments, states, schools, employers, industry, and the federal government all have the opportunity, if not the direct responsibility, to recognize the costs and consequences of obesity -- and the savings and benefits of health.

TFAH also calls for ongoing policy research to be conducted that addresses the range of obesity-related policy initiatives currently being implemented, including restrictions on competitive foods in schools, physical activity requirements in schools, health education, tax incentives or disincentives, manufacturer liability limits, healthy living programs, and smart growth initiatives. There needs to be a clear understanding about which policy or population-wide interventions are most effective in addressing obesity.

Below are 20 recommendations for reducing obesity’s health and financial costs to the nation. The recommendations are categorized by stakeholders, however, obesity should be viewed as a multidimensional issue that involves each of these decision-maker categories: individuals and families, communities, states, schools, health professionals, employers, the food industry, and the federal government. Individual behavior change will not work in isolation. A strategic action plan should be undertaken to define what each sector can do together and how the different actions can reinforce each other for a more effective outcome.

Stakeholders	Recommendation	Description
<b>Individuals and Families:</b>  <i>Eat and Exercise for Better Health</i>	<b>Personal Responsibility Programs</b>	<b>Individuals should Factor Health Concerns into their Eating and Exercise Choices.</b> Research has found that even small changes in diet and physical activity can yield big results toward reducing people’s risk for health problems, ranging from diabetes to heart disease. Everyone should regularly engage in some form of physical activity. Individuals should also adapt eating patterns toward healthier selections and moderate their intake of foods with limited nutritional value. People should also learn about and take advantage of resources designed to help them stay healthy. If they are unsatisfied with the support they receive, they should make their opinions known to their local, state, and federal government officials.
	<b>Family Matters</b>	<b>People should also be Concerned About Obesity and Inactivity as Health Risks to their Family Members.</b> By encouraging family members to make healthy choices, people may help decrease the number of health problems their loved ones face. Particularly, by helping children stay active and maintain nutritious eating habits, families may help them avoid potential life-long diseases. Families also have leverage as consumers. They should directly communicate with food, beverage, and marketing industries and use their purchasing power to encourage product development and offerings that match the interest they may have for alternative choices.

Stakeholders	Recommendation	Description
<p><b>Communities and Local Governments:</b></p> <p><i>Facilitate a Healthy Lifestyle</i></p>	<p><b>Healthy Environments in Community and Faith-Based Organizations</b></p>	<p><b>Provide Opportunities for Safe and Supervised Activity for Children.</b> Communities should develop and support organizations and facilities that allow children to participate in safe physical activity programs.</p> <p><b>Provide No or Low Cost Fitness Opportunities and Nutrition Counseling.</b> Communities should support offering no and low cost venues for children and adults to participate in physical activity, ranging from building and maintaining parks to supporting community centers, such as YMCAs. Community groups should also provide access to no or low cost physical activity programs.</p> <p><b>Offer Healthy Food at Community Events.</b> Communities should provide nutritious food at events to help people foster and maintain healthy eating habits.</p>
	<p><b>Focus on Smarter Community Design</b></p>	<p><b>Provide Improved Healthy Food Access in Low-Income Areas.</b> Healthy food access is a demonstrated problem in many low-income communities. Communities should encourage the development of and provide public space for locally-operated produce markets and farmers markets. Also, through the use of incentives, communities should encourage supermarkets and food shopping vendors to locate in lower-income neighborhoods and offer healthier food alternatives.</p> <p><b>Encourage “Mixed Use” Areas.</b> Communities and states should examine and update zoning and land use laws to allow for more “mixed use” commercial and residential communities, so people can have more opportunities to walk or bike to retail centers and to work.</p> <p><b>Examine Health Impact of New Building.</b> Communities should require “Health Impact Assessments” for proposed land use and building projects, which will help communities and policymakers understand the possible resulting changes to people’s health, including access to recreational space and to food shopping. These can be based on the “Environmental Impact Assessment” model.</p> <p><b>Building Design Codes.</b> Encourage new building design that includes stair-friendly and other spaces that facilitate activity in commercial and public buildings.</p> <p><b>Build More Sidewalks.</b> Communities should place greater emphasis on building sidewalks, particularly in new developments and around highways, to make it possible for people to walk safely.</p> <p><b>Encourage Transportation Fund Use for Mass Transit and Alternatives to Highways.</b> Communities should insist that states and counties require alternative proposals be examined when new highway initiatives are proposed. New development should also be required to include pedestrian-friendly components, such as sidewalks, which encourage interconnectivity of communities and opportunities for activity. State and federal transportation dollars should be considered for mass transit, sidewalk, and mixed use opportunities rather than be focused on highway construction.</p> <p><b>Modernize New School-Site Construction Requirements.</b> States and localities should review and update old acreage requirements for new school construction that required large spaces for construction, but have ended up resulting in the building of schools in remote locations that students can often only access by bus rather than by walking or biking. Flexible standards for school site construction would allow communities to build schools closer to existing homes and commercial regions instead of in remote areas.</p>

Stakeholders	Recommendation	Description
<p><b>Governors, Legislators and State Health Departments:</b></p> <p><i>Oversee and Implement Creative Policies</i></p>	<p><b>Obesity Research and Prevention Initiatives</b></p>	<p><b>Community-Wide Education Campaigns.</b> Communities and states should create or expand initiatives to inform the public about ways to maintain better health, particularly for groups that are at-risk for obesity related diseases and for children. These efforts should include developing practical, effective, and consistent messages to help avoid confusion.</p> <p><b>Trailblazing Studies in At-Risk Communities.</b> States should follow the model of the CDC “Epi-Aid” and recently launched obesity “Trailblazers” programs to set up evaluation teams of expert scientists in communities with particularly high levels of obesity to help design and conduct studies to gain the information needed to create effective obesity control and prevention programs.</p>
	<p><b>Employer Status and Purchasing Clout</b></p>	<p><b>State and Local Government Employee Wellness Efforts.</b> State and local governments are employers as well as providers of governance and public service. Many Governors have begun initiatives to provide workplace wellness, preventive health care services, including premium discounts, subsidies for fitness clubs and activities, disease management programs, and information to state employees, such as nutrition and obesity counseling. All states should offer these programs and should also provide these models to private businesses to expand these opportunities to private employees as well.</p> <p><b>Leverage Power as Food Purchaser.</b> The public sector purchases food across a range of institutions, including in government cafeterias, schools, and prisons. The government should leverage its power as a food purchaser to require a greater emphasis on nutritional value as a priority in the bidding process for these contracts.</p> <p><b>Evaluate Current Snack Tax and Liability Limitation Policies.</b> States should devote time and resources to developing evaluation standards to monitor the effectiveness of both types of controversial initiatives.</p>
<p><b>Schools and School Districts:</b></p> <p><i>Educating Healthy Minds and Bodies... Minimum Standards Are Not Good Enough</i></p>	<p><b>Taking Responsibility for Feeding Students Well</b></p>	<p><b>Adopt Stricter Nutritional Standards Than USDA.</b> Some states have taken the lead in setting requirements that are stricter than the USDA minimum requirements for food served in school. Instead of focusing on delivering minimum nutritional standards, schools and school districts should concentrate on setting high nutritional standards for the foods served to students that allow them to eat for better health. These standards should be extended to cover “competitive” foods as well as those sold during the regular meal program.</p> <p><b>Revise Food Contract Policies and Priorities to Focus on Maximum Nutrition.</b> Contracts for school food suppliers and providers should be reviewed to focus on competing to provide maximum nutrition standards to students.</p> <p><b>Evaluate Alternative Fundraising Options that Do Not Involve Providing Food of Minimum Nutritional Value to Students.</b> Currently many schools, school districts, and after-school activities rely on revenue from vending machines and other food sales. Jurisdictions should conduct cost-benefit analyses of these funds, factoring in the impact and cost to children’s health. Communities should prioritize finding other revenue streams to support programs.</p>

Stakeholders	Recommendation	Description
<b>Schools and School Districts:</b>	<b>Fitness and Activity During the Day</b>	<p><b>Provide Effective Physical Education and Other Activity Options Throughout the School Day.</b> While schools and school districts are struggling to meet set academic standards with limited resources and time, physical education still needs to be considered an important part of a child’s education. Schools should also encourage other activity throughout the day and ensure that facilities and space for students provides options for walking, being active, and exercising before and after school as well as between classes.</p> <p><b>Evaluate and Refine BMI Initiatives.</b> School BMI screening programs should be evaluated for effectiveness for reducing and controlling obesity. Schools in which BMI data is collected should establish clear and consistent evaluation standards to ensure that success can be measured.</p> <p><b>Improve Nutrition and Health Promotion Education.</b> Greater efforts should be made to educate students about ways to maintain good nutrition and exercise regimes and how this impacts their health.</p>
<b>Employers:</b>  <i>Healthy Workers Are Productive Workers</i>	<b>Wellness and Disease Prevention Programs and Benefits</b>	<p><b>Offer Employees Programs and Health Benefits that Help Them Stay Healthy,</b> including nutrition and obesity counseling, subsidizing health club memberships, and providing insurance discounts for preventive services. Investing in the health of employees not only improves productivity but also cuts down on absenteeism.</p>
	<b>Healthier Work Environments</b>	<p><b>Provide Opportunities for Employees to be Active During the Day,</b> including open, safe stairwells and other places to walk. Businesses should also focus on providing healthy options in vending machines and in cafeterias.</p>
<b>Industry:</b>  <i>Encourage Healthy Options, Prevention, and Informed Choice in the Marketplace</i>	<b>Health Care Sector</b>	<p><b>Promote Prevention Efforts in the Marketplace.</b> Offering more prevention-focused benefit options to employers could improve long-term health and make an economic difference. This should extend to providing prevention support and offering healthy food and activity capabilities to their own employees as well.</p> <p><b>Routinely Measure Patients’ Exercise Histories.</b> As part of a normal check up, health care providers should routinely ask patients about their exercise histories and habits and counsel patients on the importance of fitness for their health.</p>
	<b>Food, Beverage, and Marketing Industries</b>	<p><b>Encourage Healthy Options and Inform Customers.</b> Providing customers with healthy options and additional product information and nutritional values can be both good for health and the bottom line. The food and beverage industry should provide consistent nutritional labeling to consumers, based on product size. Industry should seek the input of parents and other community members to establish standards and practices for marketing products to children.</p>
<b>Federal Government:</b>  <i>Raising the Bar for Requirements and Service</i>	<b>Overhaul the food stamp and Women, Infants, and Children (WIC) Supplemental Nutrition Programs</b>	<p><b>The Food Stamp and WIC Programs should Focus on Maximum Nutrition for Cost.</b> At a minimum, the programs should be adapted to meet the new recommended federal food guidelines. More should be done to enable healthier food choice, such as purchasing fresh fruits and vegetables, decreasing fat, and increasing whole grains. Greater actions should be taken to provide useful nutritional counseling and services.</p>

Stakeholders	Recommendation	Description
Federal Government:	<b>Medicaid System</b>	<p><b>Provide Routine Screenings for Those At-Risk for Obesity-Related Illnesses.</b> Individuals in lower-income ranges, including many who are in the Medicaid program, are at high risk for obesity and many obesity-related diseases. The current Medicaid reform efforts should mandate routine screenings for program participants along with routine nutritional and obesity counseling. Better prevention and disease management programs will result in cost-savings to the system as a whole.</p> <p><b>Subsidize or Reimburse for Fitness Programs.</b> Providing support for individuals receiving Medicaid to participate in exercise and fitness programs, such as those offered by the YMCA or community recreational centers, will help reduce beneficiaries' risk for developing or better manage obesity-related diseases, as well as improve the health of those who are already suffering from related diseases.</p>
	<b>Raise Requirements on School Meal Programs</b>	<p><b>Minimum Nutrition Standards Should Be Raised.</b> The USDA school lunch program not only influences school food offerings through requirements for the formal meal programs, but also serves as a model. The standards should be reformed to focus on providing maximum nutrition rather than minimum nutrition to students.</p>
	<b>Fix the Food Pyramid And Add Corresponding Physical Activity Guidelines</b>	<p><b>Address Public Concerns.</b> There were a number of public concerns that were unaddressed after the new food pyramid guidelines were released earlier this year. USDA should make every effort to respond to concerns that ranged from complaints that the spectrum of pyramids was too confusing to information only being available online to insufficient information about unhealthy foods and serving sizes.</p> <p><b>Add More Physical Activity Information.</b> The new food pyramid included encouraging individuals to engage in activity for the first time. This should be expanded into providing a full-fledged set of guidelines and recommendations to the public on physical activity.</p>
	<b>Offer and Emphasize Prevention Benefits Provided to Federal Employees</b>	<p><b>As an Employer, the Federal Government Should Provide Preventive Health Services.</b> The federal government should set an example and place a high priority on providing obesity and nutrition counseling, preventive health programs, proactive disease management benefits, and premium discounts for preventive services to federal employees.</p>
	<b>Use Clout as Food Purchaser, Employer, and Service Provider to Veterans</b>	<p><b>Government has a Critical Role as Employer Model and Purchaser.</b> The government purchases food for a range of purposes, ranging from USDA programs to cafeteria food for employees to veterans hospitals to meals for the military. Government should serve as a model in following high nutrition guidelines for the meals and food it provides as well as using its clout to influence the food industry to provide healthier choices to consumers.</p> <p>The government should also explore incentive programs for food companies to make healthier food available, especially directed to targeted populations.</p>

Stakeholders	Recommendation	Description
Federal Government:	<p><b>Bolster Obesity Research</b></p>	<p><b>Prioritize and Fund Key Research Initiatives.</b> Based on the size, cost, and impact of the obesity issue, the federal government should prioritize and fully fund critical research efforts, particularly the five major research questions TFAH outlined that are holding back the ability to make better informed and practical policies.</p> <p><b>Reform and Improve the Behavioral Risk Factor Surveillance System (BRFSS).</b> The taxpayer-supported BRFSS is supposed to be the primary source for trends on health information. These data are provided to policymakers, including Congress and state officials, and the public to make decisions about health policies, funding, and activities. However, some CDC officials routinely point out problems and limitations of the data, such as a reliance on small sample sizes and inconsistencies in data collection in states. Increased funding should be provided to permit immediate improvement in the data collection and analysis available to policy makers and the public from this important data set.</p> <p><b>Explore Economic Incentives for Promoting Good Nutrition and Exercise.</b> The federal government should sponsor research and modeling efforts on the use of economic incentives to encourage businesses to provide more healthy options to consumers, such as examining the impact of taxes on unhealthy foods or subsidies for fruit and vegetable marketing.</p>
	<p><b>Increase Availability of Obesity-Initiatives and Grants to States</b></p>	<p><b>Expand and Fully Fund Obesity-Related Initiatives.</b> Currently, there are insufficient funds allocated to provide grants for existing obesity programs to meet the requests of states. At a minimum, there should be enough funding to provide grants to all qualified state applicants to the CDC’s Division of Nutrition and Physical Activity (DNPA), Steps to a HealthierUS, and the school-based Division of Adolescent and School Health (DASH) grant programs.</p> <p><b>Enhance Targeted Public Education Efforts, Particularly for Children.</b> CDC’s multiethnic, multimedia education campaign targeted at youth ages 9 to 13 to encourage more physical activity and increase the awareness of the importance of exercise, called VERB, has demonstrated positive results. The IOM has called for the increased use of media as a channel to reach and inform children about nutrition and exercise. Efforts like VERB should be extended and other public education campaigns aimed at high-risk communities should be developed using consistent messages.</p>



# Appendix A

## NOTES ON TABLE 1: OBESITY AND HEALTH-RELATED STATISTICS

### **Prevalence Rates for Obesity, Overweight, Diabetes, and Hypertension in Adults**

The source for the obesity, overweight, and diabetes levels are the CDC's Behavioral Risk Factor Surveillance Survey (BRFSS). The chart includes the 2004 BRFSS data for obesity, overweight and obesity, and diabetes. It also includes prevalence based on averages of the BRFSS survey data from 2001, 2002, 2003, and 2004 for obesity, overweight and obesity and diabetes; two, three-year averages were compared, 2001-2003 and 2002-2004, to evaluate changes across years. The use of data averaged from more than one year provides a more accurate number by increasing state-specific sample sizes (the number of individuals included in the survey). The hypertension rates are an average of the BRFSS 1999, 2001, and 2003 surveys (the hypertension data are only collected every other year in most states). The BRFSS is the primary source of information for states and the nation on the health-related behaviors of adults. The data may be found at <http://apps.nccd.cdc.gov/brfss/>.

### **Overweight Prevalence in High School Students and Young Children Ages 2-5**

Overweight prevalence data among high school students are from CDC's Youth Risk Behavior Surveillance System (YRBSS), 2003. The data were collected from February through December 2003. YRBSS includes a national, school-based survey conducted by the CDC as well as state and local school-based surveys conducted by education and health agencies. The survey monitors six categories of priority health-risk behaviors, including overweight. The survey is conducted biannually.

The data for overweight rates are from the CDC's Pediatric Nutrition Surveillance

System (PedNSS), 2004. This is a child-based health surveillance system that monitors the nutritional status of low-income children in federally funded maternal and child health programs, such as the Special Supplemental Nutrition Program for Women, Infants and Children. The data are for 2003.

### **Obesity Costs to States Data**

Finkelstein, Eric A., Fiebelkorn, Ian C., Wang, Guijing, "State-Level Estimates of Annual Medical Expenditures Attributable to Obesity." *Obesity Research* Vol. 12. No. 1. January 2004.

This 2004 study conducted by RTI International and CDC's Division of Nutrition and Physical Activity examined the economic impact of obesity at the state level. Obesity-related costs in the states totaled \$75 billion in 2003. Of this amount, the researchers note that the government and ultimately the taxpayer are responsible for financing about half, or \$39 billion.<sup>380</sup>

The data for this indicator are from the study, "State-Level Estimates of Annual Medical Expenditures Attributable to Obesity," that appeared in the January 2004 issue of *Obesity Research*.<sup>381</sup> Researchers at Research Triangle Institute International and CDC's Division of Nutrition and Physical Activity conducted the study which presents the best available information on the impact of obesity at the state level.

The study involved three steps. The researchers first used 1998 Medical Expenditure Panel (MEPS) Survey data linked to the 1996 and 1997 National Health Interview Surveys (NHIS). MEPS is a nationally representative survey of health care use, expenditures, sources of payment,

and insurance coverage, fielded by the Agency for Health Care Research and Quality. NHIS is a household interview survey that collects information on basic health and demographic items. The linked MEPS/NHIS data included information on obesity and expenditures to create a model that predicts annual expenditures as a function of obesity status, insurance status, and sociodemographic characteristics.

Second, the researchers used BRFSS and results from the MEPS/NHIS analysis to estimate the fraction of each state's expenditures attributable to obesity and the fraction of each state's Medicare and Medicaid expenditures attributable to obesity. Third, the researchers multiplied these fractions by state-specific medical expenditures for each state (and for Medicare and Medicaid within each state). The researchers caution that because the state-level estimates are associat-

ed with large standard error, these estimates should not be used to make comparisons across states or among payers within states.

### Overweight Defined in Children

In children and teens, BMI is used to assess underweight, overweight, and risk for overweight. Girls and boys differ in their body fatness as they age. To determine whether a child is overweight or at risk for overweight, his or her BMI is compared to other children of the same age and gender, referred to as BMI-for-age.

At risk of overweight:

BMI-for-age 85th percentile to < 95th percentile.

Overweight:

BMI-for-age > 95th percentile.

The 95th percentile means that compared to children of the same gender and age, 95 percent have a lower BMI.<sup>382</sup>



# Appendix B

## MISSING INFORMATION MISINFORMS POLICY

**Eighteen states and D. C. failed to report rates of overweight high school students.**

**No states report general preschool child overweight rates.**

**Thirteen states failed to report childhood overweight rates for low-income children in federally funded maternal and child health programs.**

Despite the importance of the obesity crisis, 18 states and D.C. failed to report information about numbers of overweight high school students to the CDC in 2003. Biannually, CDC conducts a Youth Risk Behavior Surveillance System (YRBSS). YRBSS includes a national school-based survey conducted by CDC and state/local school-based surveys conducted by education and health agencies. The survey monitors six health categories.

The non-reporting states were Arkansas, California, Colorado, D.C., Hawaii, Illinois, Iowa, Kansas, Louisiana, Maryland, Minnesota, Nevada, New Jersey, New Mexico, Oregon, Pennsylvania, South Carolina, Virginia, and Washington.

The CDC and states do not survey information about the general population of preschool-aged children. Instead, trends about health information about children younger than age 5 are often derived from CDC's Pediatric

Nutrition Surveillance System (PedNSS), 2004. This is a child-based health surveillance system that monitors the nutritional status of low-income children in federally funded maternal and child health programs, such as the Special Supplemental Nutrition Program for Women, Infants and Children.

Thirteen states did not report data about overweight rates for 2-5-year-old children in these programs for this survey in 2003. The non-reporting states were Alabama, Alaska, Connecticut, Delaware, Massachusetts, Mississippi, Montana, North Carolina, Oklahoma, Rhode Island, Texas, Virginia, and Washington.

Without this information, determining the scope of the problem and determining policies is virtually impossible. It is also impossible, therefore, to measure the effectiveness of overweight control and reduction programs aimed at children and youth in these states.<sup>383</sup>

# Appendix C

## STATE LEGISLATION DATA, SMART GROWTH ACTIVITIES, FEDERAL ACTIVITIES, HEALTH CARE SECTOR ACTIVITIES, AND INDUSTRY ACTIVITIES

The data for the legislative actions taken by states (sections 2 and 3) were compiled through a state by state review of proposed, enacted, and previously enacted legislation as of July 1, 2005 by a team of research associates at TFAH and attorneys with the law firm Arent Fox, PLLC.

The data for the federal, health care sector, and industry initiatives were compiled through research conducted by staff at TFAH.

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1707 H Street, NW, 7th Floor  
Washington, DC 20006  
(t) 202-223-9870  
(f) 202-223-9871