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### **Principal Author(s):**

Treado, Patrick, J.; Klueva, Oksana; Beckstead, Jeffrey

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#### Name and Address of Submitting Organization:

ChemImage Biothreat LLC, 7301 Penn Ave, Pittsburgh, PA 15208

Dr. Patrick J. Treado, treado@chemimage.com

#### **Contracting Officer's Representative:**

Department of Energy, National Energy Technology Laboratory

ATTN: Pierina N. Fayish, DOE NETL (hard copy)

Don Martello, DOE NETL (e-copy)

Address: P.O. Box 10940 Mailstop B922/ R342C

Pittsburgh, PA 15236 Tel: (412) 386-5428

E-mail: Pierina.Fayish@NETL.DOE.GOV

Donald.Martello@NETL.DOE.GOV

Contract No. DE-FC26-05NT42594 Contractor Name: ChemImage Biothreat LLC

Contractor Address: 7301 Penn Avenue, Pittsburgh, PA 15208.

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#### Abstract:

Aerosol threat detection requires the ability to discern between threat agents and ambient background particulate matter (PM) encountered in the environment. To date, Raman imaging technology has been demonstrated as an effective strategy for the assessment of threat agents in the presence of specific, complex backgrounds. Expanding our understanding of the composition of ambient particulate matter background will improve the overall performance of Raman Chemical Imaging (RCI) detection strategies for the autonomous detection of airborne chemical and biological hazards. Improving RCI detection performance is strategic due to its potential to become a widely exploited detection approach by several U.S. government agencies.

To improve the understanding of the ambient PM background with subsequent improvement in Raman threat detection capability, ChemImage undertook the Airborne Particulate Threat Assessment (APTA) Project in 2005-2008 through a collaborative effort with the National Energy Technology Laboratory (NETL), under cooperative agreement number DE-FC26-05NT42594.



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### 1. Executive Summary

Aerosol threat detection requires the ability to discern between threat agents and ambient background particulate matter (PM) encountered in the environment. To date, Raman imaging technology has been demonstrated as an effective strategy for the assessment of threat agents in the presence of specific, complex backgrounds. Expanding our understanding of the composition of ambient particulate matter background will improve the overall performance of Raman Chemical Imaging (RCI) detection strategies for the autonomous detection of airborne chemical and biological hazards. Improving RCI detection performance is strategic due to its potential to become a widely exploited detection approach by several U.S. government agencies.

To improve the understanding of the ambient PM background with subsequent improvement in Raman threat detection capability, ChemImage undertook the Airborne Particulate Threat Assessment (APTA) Project in 2005-2008 through a collaborative effort with the National Energy Technology Laboratory (NETL), under cooperative agreement number DE-FC26-05NT42594.

During Phase 1 of the program, a novel PM classification based on molecular composition was developed based on a comprehensive review of the scientific literature. In addition, testing protocols were developed for ambient PM characterization. A signature database was developed based on a variety of microanalytical techniques, including scanning electron microscopy, FT-IR microspectroscopy, optical microscopy, fluorescence and Raman chemical imaging techniques. An automated particle integrated collector and detector (APICD) prototype was developed for automated collection, deposition and detection of biothreat agents in background PM.

During Phase 2 of the program, ChemImage continued to refine the understanding of ambient background composition. Additionally, ChemImage enhanced the APICD to provide improved autonomy, sensitivity and specificity. Deliverables included a Final Report detailing our findings and APICD Gen II subsystems for automated collection, deposition and detection of ambient particulate matter.

Key findings from the APTA Program include:

- Ambient biological PM taxonomy
- Demonstration of key subsystems needed for autonomous bioaerosol detection
- System design
- Efficient electrostatic collection
- Automated bioagent recognition
- Raman analysis performance validating Td<9 sec</li>
- Efficient collection surface regeneration
- Development of a quantitative bioaerosol defection model

# 2. Project Objective

The objective of the APTA program was to advance the state of our knowledge of ambient background PM composition. Operation of an automated aerosol detection system was enhanced by a more accurate assessment of background variability, especially for sensitive and specific sensing strategies like Raman detection that are background-limited in performance. Based on this improved knowledge of background, the overall threat detection performance of Raman sensors was improved.

# 3. Project Statement of Work

The APTA Project conducted a qualitative and quantitative analysis of airborne PM including background interferants such as pollen, insecticides and industrial particulate matter. APTA program involved a development of ambient PM signature library. Review of the scientific literature identified major PM constituents. An audit of the CI/AFIP Bioagent library to determine its taxonomy and applicability to ambient PM detection revealed approximately 25% of the PM constituents were present in the CI/AFIP library. As part of Phase 2, we characterized another 25% of the PM classes. We carried out several



collections of ambient PM material at several indoor and outdoor locations. We characterized ambient backgrounds collected at NETL-supported ambient air collection facilities using techniques such as optical Raman and fluorescence chemical imaging, FTIR microspectroscopy and scanning electron microscopy.

ChemImage team undertook the following tasks:

Task 1: Refinement of the Knowledge Base

Task 2: APICD System Development

Task 3: Collection of Ambient Background Samples

Task 4: Detection

Task 5: Signature Database Compilation

Task 6: Final Report

In this Report, we describe our efforts in Phase 2 of the APTA program.

# 4. Task 1: Refinement of the Knowledge Base

Airborne particulate matter is one of the foremost and most complex air pollutants, diverse in chemical composition, size and origin. Suspended particulate matter (PM) influences climate changes and may be harmful to human health. The amount and composition of ambient particulate matter (PM) is highly variable with season, location and weather patterns. Such diversity leads to large uncertainties in physical and optical characteristics. While inorganic components of PM are fairly well characterized, the organic and biological contributors are generally measured "in bulk" as organic (OC) and elemental (EC) carbon without particular attention to composition. Classification of the organic components and their contribution to the PM mass strongly depends on the chosen techniques, particulate origin, formation mechanism, size, spatial and temporal location.

In the course of the APTA project, ChemImage has evaluated the current state of PM knowledge in respect to chemical composition by reviewing a body of scientific papers published in the last decade. In our examination of the literature, we have seen substantial gaps in the published quantitative studies on the PM chemical composition. These gaps are particularly obvious in regard to the biological fraction of PM. While pollen, fungal spores, animal allergens and bacteria types usually present in PM are well known from microscopy studies, no quantitative data exists on the mass contribution of biological components of PM due to different methods of detection and quantification for biological and non-biological particulates.

Based on our literature review, we proposed a classification of PM arising from chemical composition (**Figure 1**). We also used this methodology to classify our current Raman signature library (**Figure 2**). Additionally, we prepared a quantitative model for the chemical composition of the outdoor ambient PM based on the literature data. **Table 1** summarizes our findings for the chemical composition of outdoor ambient PM. PM data shows significant differentiation by size and location.

Table 1. Chemical Composition of Total Suspended Particulate (TSP) and its Fractions.

	Categories	Global TSP Data	North America TSP	North America PM <sub>2.5</sub>
	Mineral Dust	19.2%	10.5%	3.0%
Inorganic	Sea Salt	6.5%	1.6%	0.5%
Inorg	Industrial	9.0%	4.7%	7.6%
	Sulfates	16.3%	23.7%	35.9%

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	Ammonium salts	5.2%	8.3%	12.6%
	Nitrates	4.2%	4.0%	4.3%
	Elemental carbon	4.0%	3.7%	4.7%
	WSOC	12.2%	7.9%	15.5%
.≌	WINSOC	7.3%	4.7%	16.0%
Organic	Pollen	6.6%	7.2%	0.0%
0	Fungal Spores	3.1%	3.8%	0.0%
	Bacteria	7.0%	8.6%	0.0%
	Debris	10.4%	11.4%	0.0%

# 4.1. Outdoor PM Composition

# 4.1.1. Inorganic Particular Matter

The inorganic contribution includes mineral dust, sea salt, and secondary aerosols and can contribute from 6 to 95% to the mass of total suspended particulate (TSP). It is estimated that 50% of the atmospheric dust load can be attributed to anthropogenic factors. Transportation, cement manufacturing, metallurgy, waste incineration and fossil fuel combustion are regarded as main anthropogenic sources and are heavily regulated. An Industrial PM category was added for inorganic particulate of anthropogenic origin related to industrial activity.

Crustal aerosol fraction, also called mineral dust, includes all-non-water soluble and non-carbonaceous components and makes up the majority of particulate matter less than 10  $\mu$ m in size across the globe. Mineral dust contains minerals and other crustal earth material such as soil dust, fly ash and other windblown material from the deserts and dry lakebeds. Common mineral species in both PM<sub>10</sub> and PM<sub>2.5</sub> fractions are calcite (CaCO<sub>3</sub>), quartz (SiO<sub>2</sub>), gypsum (CaSO<sub>4</sub>·2H<sub>2</sub>O), dolomite (CaMg(CO<sub>3</sub>)<sub>2</sub>), feldspar (XAI<sub>(1-2)</sub>Si<sub>(3-2)</sub>O<sub>8</sub> where X = K, Na, Ca, Mg), hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>), and anatase (TiO<sub>2</sub>). Iron, copper, zinc and lead contribute more than 90% of total mass of 11 measured metals, iron being the dominant metal in both PM<sub>10</sub> and PM<sub>2.5</sub>. The finer fraction on average contains more water-soluble metallic species than the coarse function. Particulate may contain heavy and trace metals with heavy metal concentrations usually higher in urban and roadside locations as compared to rural sites.

Sea salt aerosol (0.05-10  $\mu$ m), the second largest contributor to the global aerosol budget, consists principally of sodium chloride from seawater. Other components of seawater include magnesium chloride and organic compounds. Sea salt aerosols are formed during whitecap formation and depend strongly on wind speed. Other ions found in seawater include Na $^+$ , Cl $^-$ , Mg $^{2+}$ , Ca $^{2+}$ , K $^+$ , SO $_4^{2-}$ , HCO $_3^-$ .

Suspended inorganic particulate includes secondary aerosols, produced by atmospheric oxidation of biogenic or anthropogenic compounds such as VOC,  $SO_2$ ,  $NO_x$ ,  $NH_3$ , sulfuric acid or nitric acid. Main species of secondary inorganic PM are sulfate, nitrate  $(NO_3^-)$  and ammonium  $(NH_4^+)$  ions. Sulfate aerosols consists of the sulfate anion  $(SO_4^{\ 2^-})$  existing in various chemical states: sulfuric acid, ammonium bisulfate, ammonium sulfate, or as a dissociated anion in aqueous solution. Composition of secondary PM varies significantly with emission source and process conditions.

Airborne soil (60%) was the largest source of primary  $PM_{10}$  mass while soil contributed only 1% to  $PM_{2.5}$  mass in a study conducted in Atlanta,  $GA^4$ . The primary contributor to  $PM_{2.5}$  mass was sulfate secondary



aerosol (56%) while elemental and organic carbon on average comprised approx. 8% and 40% of  $PM_{2.5}$  respectively. Invariably,  $NH_4^+$  was associated with both the  $SO_4^{2^-}$ -rich and  $NO_3$ -rich secondary aerosols. Ultrafine  $PM_1$  in the Atlanta study contained 74 % of organic and 1.5% of elemental carbon as percentage of particle mass.

Iron, copper, zinc and lead contribute more than 90% of the total mass of 11 measured metals across all size fractions. Particulate may contain heavy and trace metals with their concentration usually higher in urban and roadside locations as compared to rural sites. A study conducted in France of  $PM_{10}$  detected large amounts of Al, Ca, Fe and K indicating mineral dust, Na+ and  $Cl^-$  related to sea salt as well as  $NH_4+$ ,  $SO_4^{2-}$ ,  $NO_3^{-}$ , Pb and Zn related to anthropogenic activity. Raman spectroscopy identified calcite  $(CaCO_3)$ , quartz  $(SiO_2)$ , gypsum  $(CaSO_4 \cdot 2H_2O)$ , dolomite  $(CaMg(CO_3)_2)$ , feldspar  $(XAI_{(1-2)}Si_{(3-2)}O_8)$  where X= K, Na, Ca, Mg), hematite  $(\alpha - Fe_2O_3)$ , anatase  $(TiO_2)$  in both  $PM_{10}$  and  $PM_{2.5}$  fractions.

### 4.1.2. Organic Particular Matter

Non-mineral, carbon-containing compounds that constitute organic PM matter represent an important but poorly understood aerosol fraction. Globally about 20% of the total mass of atmospheric aerosols is carbonaceous material that may be separated into biogenic and non-biological fractions. The non-biological category contains combustion products and well as products of chemical processes in the soil and the atmosphere. The main sources for non-mineral, non-biological carbonaceous aerosol is the atmospheric oxidation of biogenic and anthropogenic VOCs, and burning of biomass and fossil fuel. Burning fossil fuels in factories, power plants, steel mills, smelters, diesel- and gasoline-powered motor vehicles and equipment generates most of the fine and ultrafine particles.

Secondary organic emissions are a complex mixture of the oxidation and condensation products originating from gaseous precursors and radical species such as  $O_3$ , OH, and  $NO_3$ . The low volatility products are usually condensed onto existing particles or nucleate and form new ones.<sup>7</sup> Composition and size of individual particles varies significantly with emission source and process conditions and can provide clues about their specific sources, i.e. combustion engines, explosives, forest fires, etc. and which helps elucidate potential health effects.

The total carbon content (TC) of particulate matter is traditionally expressed as the sum of all carbon present in the aerosol particles, except in the form of inorganic carbonates (0.5-3%) without attention to detailed chemistry. The TC is usually determined by catalytic oxidation of PM-laden filter to  $CO_2$  to observe two fractions - organic carbon (OC – 70-90%) and elemental carbon (EC - 10–25%). The fractions respective contribution to the PM mass strongly depends on the chosen technique, particulate origin, mechanism of formation, size, spatial and temporal location.

# 4.1.3. Biogenic Particular Matter

A significant part of organic fraction of particular matter is, or is produced by living things. Biogenic aerosols comprise 10-30% of total aerosol volume for both coarse and fine particulate fractions<sup>9</sup> and may include plant and insect debris, animal dander and saliva, microbial particles (bacteria, fungi, viruses, algae, pollen, spores, etc.) as well as semivolatile compounds emitted by plants directly or resulting from the chemical reactions in the atmosphere. The latter may form volatile organic compounds (VOC) or condense into humic-like substances that fall into the non-biological category in ChemImage's classification.

The sampling methods and analytical techniques associated with biogenic PM are varied and non-standardized. Collected microorganisms are grown and counted using optical or fluorescence microscopy. Other approaches include assays for specific microorganism constituents (i.e., ergosterol, muramic acid, glucans, allergens, mycotoxins, endotoxins) and molecular methods (i.e., polymerase chain reactions, gene probes, ELISA, LC-MS). Based on viable cultures, such approaches may underestimate microorganisms concentrations as fragments of pollen and fungi were found in PM fractions as low as 0.2  $\mu m.^{10}$  Nonviable fragments can remain toxic or allergenic, depending upon the specific organism.



Fungal spore size varies from 2-100 µm while many pollen species exceed 10 µm in size. The Bioaerosol Committee of the American Conference of Governmental Industrial Hygienists (ACGIH) stated<sup>11</sup> that outdoor airborne fungi concentration "routinely exceeds 1000 CFU/m<sup>3</sup> and may average near 10,000 CFU/m³ in summer months." Airborne fungal spores contribute to the organic carbon of the atmospheric aerosol, mainly in the coarse PM range due to their spore size. Fungal contribution to the coarse size fraction can reach up to 9.9% of OC, while an average contribution may be only 0.9% of OC.8 Other studies found the average spore mass percentage contribution to  $PM_{10}$  was 0.17%  $\pm$  0.13 for Aspergillus/Penicillium, and 0.95% ±1.63 for Cladosporium with assumed spore density of 1 g/cc. 12 The relationships between ambient airborne fungi and pollen with  $PM_{10}$ ,  $PM_{2.5}$ , organic carbon, and other parameters were investigated in Cincinnati, OH. Optical microscopy was used for identification and enumeration of a total of 28 fungal and 20 pollen genera. Mean concentrations of fungi and pollen were 102.7 spores/μg and 5.4 pollen/μg of total particulate matter with pronounced seasonal variations. The concentration levels of both PM<sub>10</sub> and PM<sub>2.5</sub> were elevated during summer and fall months, similar to fungi and unlike pollen, which peaked in the spring. Predominant airborne fungi were: Aspergillus/Penicillium group (41.6%), Cladosporium (28.4%), Ascospores (10.6%), and Basidiospores (9.8%) relative to the total airborne fungal load. The dominant pollen types showed significant seasonal patterns, therefore, their contributions to the total airborne pollen load were determined at the time of pollen type seasonal occurrence. The following seasonal contributions were found: Ambrosia (Ragweed) - 88.0% (Fall); Quercus (Oak) - 51.3% (Spring); Juniperus (Juniper, Cedar) - 11.5% (Spring); Ulmus (Elm) - 8.8% (Spring), Acer (Maple) - 8.0%, Pinaceae (Pine, Fir, Spruce) - 4.8% (Spring), and Poaceae (Grass) - 3.3% (Summer) relative to the total pollen load.

Common airborne bacteria usually range from 0.5 to 2.0  $\mu$ m but may be present as agglomerates. The bacterial load of PM is extremely low and difficult to quantify by methods other than colony counting after incubation and growth. Bauer *et al.* measured an average bacterial concentration of 1.2·10<sup>4</sup> cells/m³, corresponding to 0.03% of OC<sup>8</sup> and carbon content of bacteria of 17 fg C/cell. <sup>13</sup> Measurements in Finland outdoor levels of bacteria to be lower by an order of magnitude in winter (12 CFU/m³) than in summer (150 CFU/m³) as expected due to snow coverage. Acceptable levels of airborne microorganisms have not been established. <sup>15</sup>

# 4.1.4. Quantitative Outdoor PM Composition Model

Our findings for the chemical composition of outdoor ambient PM, summarized in **Table 1,** shows significant differentiation by size and location. Particular emphasis has been placed on understanding molecular composition of ambient PM in North America, including detailed composition of  $PM_{2.5}$ . The differences between total suspended particulate detected globally and in North America differ mainly in the sea salt contribution, even though PM data over oceans was not included. The inorganic contribution includes mineral dust (whether natural or anthropogenic), sea salt, and secondary aerosols and can contribute from 6 to 95% to the mass of total suspended particulate (TSP), with an average value of 61.1%. The organic contribution describes carbon-based biogenic and anthropogenic particulate from plant debris to pollen and may vary 2.6 to 75.5% of TSP, with an average value of 33.5%. Airborne microorganisms contribute ~15% to ambient PM mass on average, with the mass fractions ranging from 0.2% to 32.5%.

Major differences in chemical composition were observed between crude and fine size outdoor PM fractions. While traces of biological species may be detected in fine  $PM_{2.5}$  the amount is not easily quantified, while in  $PM_{10}$  the biological particulate fraction can dominate. The amount of mineral dust is greatly reduced in  $PM_{2.5}$  while sulfates comprise more than a third of the fine particulate. The amount of industrial dust increased twofold for  $PM_{2.5}$ . Other categories remained approximately the same.

# 4.2. Indoor PM Composition

References describing indoor PM composition are limited to enumeration of biological genera since indoor bioaerosols are generally monitored as a part of industrial hygiene, for assessment of indoor air quality, epidemiological investigations, clean rooms or allergy research. Very little published data is



available comparing biological loads with the rest of indoor particulate. From ChemImage's experience, participating in government sponsored bioaerosal field studies, Table 2 describes some dominant indoor PM constituents.

Table 2. Possible Sources of False Alarms in Ambient Particulate Matter.

Pollen	Fungi	Allergens	Bacteria	Particulates
Kentucky Blue Grass (Poa pratensis)	Neurospora crassa	Cat dander/antigen (Felis catus (domesticus))	Staphylococcus epidermidis	Upholstery Dust
Goldenrod Weed (Solidago spp.)	Penicillium chrysogenum	Dog Dander ( <i>Canis</i> familiaris)	Staphylococcus saprophyticus	Arizona Road Dust
Mulberry Paper (Broussonetia papyrifera)	Penicillium brevicompactum	Guinea Pig Epithelia (Cavia porcellus (cobaya))	Micrococcus luteus	Talc Powder (Hydrous magne- sium silicate)
Eastern Sycamore Tree ( <i>Platanus</i> occidentalis)		Mouse Epithelia (Mus musculus)	Bacillus thuringiensis	Kaolin
Dandelion Flower (Taraxacum officinale)		Human Epithelia	Bacillus mycoides	Bentonite Powder
,			-	House Dust
				Cellulose Flock

In general, a healthy indoor environment contains less bioaerosols and other PM than outdoor as the main source of indoor particulate is usually outdoor air. While outdoor PM levels influence indoor PM, their compositions have significant differences mostly in the organic and biological constituents. Excessive humidity and unsanitary conditions may result in large amounts of fungal spores and harmful bacteria. On the other hand, indoor environments have less mineral dust and different chemicals populating organic secondary PM (detergents, food, etc).

# 4.2.1. Qualitative Indoor Composition Model

The relationship between outdoor and indoor PM loads has not been sufficiently studied. A healthy indoor environment is assumed to contain less bioaerosols than outdoor although the opposite does not imply a healthy environment. Indoor microorganism concentrations as high 510 to 10,700 organisms/m $^3$ , with 25-250 organisms/µg of PM<sub>10</sub>, were measured in commercial buildings.  $^{16}$ 

The range of indoor air concentrations of airborne viable fungi is wide (10–10<sup>4</sup> cfu/m<sup>3</sup>), and airborne concentrations of fungi greatly vary both temporally and spatially. Common indoor molds<sup>17</sup> in the US include:

- 1.) Chaetomium globosum, Stachybotrys chartarum, Stachybotrys new species;
- 2.) Alternaria alternata, Aspergillus versicolor, Cladosporium sphaerospermum;
- 3.) Aureobasidium pullulans; Cladosporium cladosporioides, Penicillium brevicompactum, Penicillium chrysogenum, Ulocladium chartarum;
- 4.) Acremonium strictum, Aspergillus niger;
- 5.) Epicoccum nigrum, Eurotium amstelodami;
- 6.) Penicillium aurantiogriseum, Trichoderma harzianum.

Measurements based on phosphlipids content (0.3% of spore dry weight) have suggested that about 12% to 22% of the OC or from 4% to 11% of the total  $PM_{2.5}$  mass were of fungal origin. <sup>18</sup>

The bacteria found in indoor air generally is shed by building occupants or entered with outdoor supply air. Outdoor concentrations of airborne bacteria generally are higher than those indoors. <sup>19</sup> Concentrations of bacteria associated with normal human flora (e.g., Gram-positive cocci) were more



abundant in indoor air and in summer whereas those associated with soil and plant surfaces (e.g., Grampositive and – negative rods) were more abundant in outdoor air, with little seasonal difference.

# 5. Task 2: Automated Particle Integrated Collector and Detector (APICD)

# 5.1. APICD Gen I Testing

The APICD Gen I System developed in Phase 1 employs electrostatic collection to deposit ambient PM on a stainless steel rod (**Figure 3**). Fluorescence triggering of Raman acquisition is being evaluated and based on the results may be included into the APICD system.

In Phase 2 of the project, the existing APICD Gen I system was further optimized for automated collection of PM and detection of biological samples within ambient background levels collected as part of indoor aerosol testing. Basic performance of the APICD Gen I system was characterized. (**Figures 4-6**) The results include:

#### Electrostatic Collection Tests:

- The electrostatic (ESTAT) Gen I device was exercised and collected PM for 13 continuous hours;
- Collection area on the steel rod was approximately 2 cm wide. Three distinct deposition zones were observed with color variation possibly due to compositional differences;

#### Imaging and Spectral Performance Tests:

- Dispersive and FAST Raman spectra were collected from known samples including acetaminophen, silicon wafer, NIST SRM 2422, Teflon, neon lamp, and aluminum slide;
- Dependence of power density on the sample as a function of laser voltage and zoom lens setting was obtained;
- Imaging cameras resolution was calculated from a USAF1951 resolution target;
- Modulation Transfer Function was measured.

#### FAST Imaging Characterization:

- Linear end of the multi-fiber bundle was correlated to the round end:
- Each fiber position on the spectral camera was determined and a FAST reconstruction map was built:
- FAST Reconstruction map is being tested using pinhole light source and polystyrene microspheres;
- Crosstalk between fiber channels was tested using polystyrene microspheres;
- Modulation Transfer Function has been measured.

The APICD Gen I system was used for semi-automated collection at the NETL site (see Task 3 for a full description).

# 5.2. Design of APICD Gen II Prototype

**Figures 7-8** show the design concept for the Gen II prototype. The evolution of the design was based on a set of established requirements as seen in **Figure 9**.



#### 5.2.1. Electrostatic Collector

ChemImage has teamed with Sceptor Industries to optimize Sceptor's electrostatic deposition technology for continuous aerosol collection and deposition. The basis for Gen II design is a drum concept that uses a rotating drum to enable continuous PM collection onto a continuously renewable surface for Raman detections.) The original concept used an axial air flow geometry. To increase the collection efficiency Sceptor has designed and built a closed drum prototype utilizing tangential flow of the sampled air stream. Sceptor presented a finalized engineering design incorporating access for targeting and Raman subsystems during a design review meeting (April 22, 2008). Gen I verses Gen II deposition patterns are seen in Figure 10. Two units of the Tangential Flow Drum Collector were assembled and tested (Figures 11-13). Under bulk collection conditions the deposition pattern is approx. 8 mm at its maximum which represents a significant improvement over the axial flow system (Figures 14-15).

As part of the development effort, new software was developed for the control of the tangential drum to enable autonomies control of the collection subsystem.

Sceptor engineers tested the performance of units 1 and 2 using fluorescent PSMS particles with 2 and 3  $\mu m$  diameter. Fluorescent polystyrene particles were dispersed using a nebulizer and carried by airflow toward the electrostatic collector through ~3 meters of steel pipe (**Figure 16**). An airflow probe collected particles onto a reference filter to measure the reference particle concentration before deposition. Particles deposited on the drum are removed with a pre-weighed wipe; then the loaded wipe is placed into a centrifuge tube with a known volume of water. The reference filter was similarly placed into a known volume of water. Both centrifuge tubes are sonicated for 10 min to separate particles from the carrier. Concentrations of the fluorescent particles in the reference and drum suspensions are measured using a calibrated fluorometer and used to calculate % deposition efficiency.

Initial testing of TF unit 1 showed collection efficiency of 25% at the input airflow of 120 L/min. However, if the air flow rate was decreased to 40 L/min, the collection efficiency increased to 55%. Some scattering of the results was expected due to the incomplete recovery of particles from wipes, therefore, each measurement was repeated. Flow rates of 40-50 lpm gave the highest collection efficiency of 50-55% for 15 min collection on the stationary drum. Repeated measurements of collection efficiency as a function of time showed higher efficiencies as high as 80% around 20 minutes (**Figure 17**).

Similar experiments were carried out with rotating drum, in conditions closely approaching the intended operational conditions. The bead deposition pattern on the rotating drum was very faint with a well-defined top border. Nearly all the particles visible under the UV/Purple flashlight were inside a 3.75 mm stripe. Each measurement was repeated twice to confirm obtained efficiency values. The collection efficiency decreased with the flow rate with a maximum efficiency of 60% and minimum efficiency of ca. 40% at 100 lpm (**Figure 18**).

ChemImage recommended improvements for the 2<sup>nd</sup> unit based on the review of the 1<sup>st</sup> tangential flow drum Sceptor had made. Improvements to the 2<sup>nd</sup> unit design included brush disengagement, sealing around the drum and the mechanical interface.

# 5.2.2. Optical Targeting Subsystem

Ruda Associates were selected to aid in the design of the brightfield illumination optics. Optical system specifications were submitted to Ruda Associates for developing optimal coupling of the ultraviolet and white light LEDs to the Koehler illumination block. ChemImage performed the mechanical design to position these components (**Figures 19-20**). The magnification and sampling size of the imaging channel of the Brightfield Module are compared to design requirements in Table 3.

Table 3. Comparison of Specified and Measured parameters for Brightfield Optics in APICD Gen II.

Brightfield Imaging	Parameters
Design	Actual



Magnification	20x	20x
Field of View	1 mm	0.54 mm
Pixel Sampling Size	0.3-0.4 µm/pixel	0.29 µm/pixel
Image Resolution	600 lp/mm (1.6 μm)	645 lp/mm (1.5 μm)

### 5.2.3. Raman Subsystem

The Raman module was assembled and subsystem parameters were measured relating to laser and fiber coupling **Figure 21**. **Figure 22** shows the Raman subsystem and optical design as well as the first light measured using PEN, acetaminophen and polystyrene microspheres.

#### Laser Coupling

To aid in the development of an optical model of the Raman module, the lasers evaluated for this project were validated. Measurements included the beam profile as a function of distance from the laser head. These measurements were also taken when the *Laser Coupling Lens* was placed in the laser beam path, and finally after the objective at the objective's focal plane (the position of the drum surface). These measurements were compared to the results of the Raman laser delivery optical model developed by Ruda Associates. Possible improvements in the laser delivery optics were explored to reduce the beam size entering the objective's back aperture but will not be implemented at this time. **Figures 23-24** 

Table 4. Raman System parameters for APICD Gen II

Test	Test Microscope Laser po		Measured FWHM,* μm	FWHM Adjusted for System Magnification, μm
1	20x	25	1269.21	507.68
2	20x	80	1247.85	499.14
3	100x	25	965.41	386.16
4	100x	80	989.14	395.66

<sup>\* -</sup> Average of horizontal and vertical values measured by precalibrated OPHIR CCD Beam Profiler.

#### Fiber Coupling

The diameter of the Fiber Coupling Lens was found to be small for the efficient light collection and was increased. A mechanical mount for this lens and the Raman Fiber Bundle has been designed and fabricated. This mount allows the fiber to translate in three directions (one along the optical axis, two orthogonal. as well as rotate about the optical axis as well as the simple replacement of camera with the fiber mechanism for Raman alignment.

Using the Brightfield Imaging system, the performance of the new fiber lens has been evaluated using the new Fiber Coupling lens and the Brightfield camera.

<sup>\*\* -</sup> Average values corrected by the system magnification of 2.5.



### 5.2.4. Surface Regeneration

Automated regeneration of drum surface is a critical performance parameter of APICD Gen II. Gen II axial flow prototype drum was used for determining cleaning efficiency of the brush in the following way: (**Figure 25**).

- 1. A zoom lens with a video camera was setup to focus on the presumed Zero degrees position on the surface of the drum. Initial surface roughness was characterized over several fields of view.
- 2. 4 ml of 0.2% PSMS solution was dispersed for 90 sec Omcron nebulizer while electrostatic collector was active without rotation and the air blower was set to 10000 rpm.
- 3. The drum was rotated to bring deposited PSMS under the observation and 10 images were acquired.
- 4. The number of PSMS particles and their clusters in each field of view was determined and then particle density was calculated.
- 5. The surface cleaning brush was engaged and particle density was calculated over the same FOVs as a function of cleaning cycles.

On the axial drum prototype, 5  $\mu$ m PSMS particles were deposited over 110 degrees of drum rotation, between 86 and 200 degrees. A small number of particles were detected at 205 degrees. Particle distribution was not even, with deposits near the beginning of the electrode pattern and larger clusters of 9-20 particles in the center of the deposition zone. These effects can be attributed to the particle-containing water droplets from the nebulizer condensing on the drum surface. Deposition of 5  $\mu$ m polystyrene particles over 90 sec led to an average of ~10,000 particles/cm² within examined fields of view (**Figures 26-28**).

After the first cleaning cycle, the average density of PSMS particles and clusters was decreased to 624.39 particles/cm<sup>2</sup>. Therefore, the single cleaning cycle achieved a 94% percent efficiency. A few of the ambient particles were still observed on the drum; and the deposition pattern at the beginning of the deposition zone was particularly difficult to remove even after 5 cleaning cycles. It is possible that forces other than electrostatic are holding larger clusters in place.

# 6. Task 3: Collection of Ambient Background Samples

Samples of outdoor and indoor particulate matter were collected using a dry electrostatic collection with APICD and several reference instruments at NETL. The operation of APICD was tested in field conditions. The purpose of concurrent collection was to validate APICD Collector by having conventional instrumentation collect particulate along with the APICD. In addition, the certified aerosol collection equipment at NETL was used to measure particle concentration and estimate particle density on the deposition surface.

PM collections were carried out in the Spring and Summer 2007 at two locations: ChemImage facilities (Pittsburgh, PA) and the Ambient Air Monitoring facility at NETL Bruceton Research center (Pittsburgh, PA). Sampling days were based on the absence of rain in the two days prior to the collection to ensure higher PM concentrations in the air.

Methodology Reference collections were carried out at Ambient Air Monitoring facility at NETL. The collection equipment schematic used at the NETL site is shown in Figures 29-31. The APICD, TEOM and ELPI™ instruments were turned on simultaneously for concurrent collection. DustTrak™ monitored particle loading continuously for several months, therefore, data relevant to the concurrent collection on August 1 and 3, 2007 was extracted from appropriate files. The NETL site lost power after Run 1, which required resetting of all instruments. The DustTrak™, TEOM and ELPI™ instruments were not impacted but APICD lost position settings, and positioning of the rod was continued with human operator intervention.



**APICD** APICD Gen I was used for an electrostatic PM collection at the NETL site. APICD Gen I developed by ChemImage includes a low-power, high-efficiency electrostatic collector. A fan forces PM-laden air inside the collector with at the rate of 100 L/min. An electrostatically charged stainless steel (SS) rod attracts the particulate for deposition.

**ELPI** The Electrical Low Pressure Impactor (ELPI<sup>™</sup>), manufactured by Dekati Ltd (Finland), is a particle size spectrometer designed to monitor aerosol particle size distribution in real time through electrical detection of aerosol particles. The ELPI<sup>™</sup> uses 12 stages to measure particles ranging in size from  $0.03-10~\mu m$ . The sampling flow rate is 30 L/min. In this study, aluminum impaction plates were used to minimize background signal in following chemical analysis. No grease was used to maximize particle retention on aluminum plates to avoid future interference with Raman detection. The inlet from the impactor was placed 10 feet from the ground. Data was collected in 1 min intervals using ELPIVI software v.13.1.

**TEOM** A Tapered Element Oscillating Microbalance (TEOM) Ambient Particulate Monitor equipped with an AccuSampler™ (Thermo Electron Co., former Rupprecht & Patashnick) continuously monitored the particulate mass concentration every 5 minutes. The TEOM was located near Ambient Air Monitoring lab with the inlet placed 6 feet from the ground.

**DustTrak™** A DustTrak™ Aerosol Monitor (TSI Inc) is a portable, battery-operated laser photometer measuring real-time particle mass concentration. A pump draws the sample aerosol corresponding to the PM<sub>2.5</sub> fraction. The sensing mechanism consists of a laser diode directed at the aerosol stream. Scattered light is collected with optics and a photodetector at 90° to the light beam. The intensity of the scattered light is proportional to the particle mass concentration.

The DustTrak™ Aerosol Monitor was mounted at the NETL site, on a platform near the Ambient Air Monitoring lab. The inlet was placed 10 feet from the ground. Particle mass concentration was detected every 5 min.

### 6.1. Collections

In the Spring and Summer of 2007, 16 collections were carried out as seen in **Table 5**.

Preliminary outdoor and indoor collections were carried out at the ChemImage facility to estimate the fluorescent fraction of ambient PM for use in the APICD Particle Accounting model. A 10-day collection (April 3-12, 2007) of indoor witness sample was carried in ChemImage's Manufacturing area. A 24-hr witness sample collection of outdoor dust was performed at the on April 3-4, 2007 (**Figures 32-35**).

		(	Collection Date		Collection	Landan	
#	Description	Start	End	Duration	method	Location	
1	Spring Outdoor Collection	3-Apr-07	4-Apr-07	24 hrs	gravity	Point Breeze, PA	
2	Spring Indoor Collection	3-Apr-07	12-Apr-07	10 days	gravity	Indoor, Point Breeze, PA	
3a	Summer Outdoor Collection, Run 1	1-Aug-07 12:50 EST	1-Aug-07 13:50 EST	1 hr	ELPI	NETL	
3b	Summer Outdoor Collection, Rod 1	1-Aug-07 12:50 EST	1-Aug-07 13:50 EST	1 hr	APICD	NETL	
3c	Summer Outdoor Collection, Run 1	1-Aug-07 12:50 EST	1-Aug-07 13:50 EST	1 hr	TEOM	NETL	
3d	Summer Outdoor Collection, Slide 1	1-Aug-07	2-Aug-07	1 day	gravity	NETL	

Table 5. Collection Schedule.

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3e	Summer Outdoor Collection, Run 1	1-Aug-07 12:50 EST	1-Aug-07 13:50 EST	1 hr	DustTrak	NETL
4a	Summer Outdoor Collection, Run 2	1-Aug-07 14:08 EST	1-Aug-07 15:58 EST	1.5 hr	ELPI	NETL
4b	Summer Outdoor Collection, Rod 2, PSMS spiked	1-Aug-07 14:08 EST	1-Aug-07 15:58 EST	1.5 hr	APICD	NETL
4c	Summer Outdoor Collection, Run 2	1-Aug-07 14:08 EST	1-Aug-07 15:58 EST	1.5 hr	TEOM	NETL
4d	Summer Outdoor Collection, Run 2	1-Aug-07 14:08 EST	1-Aug-07 15:58 EST	1.5 hr	DustTrak	NETL
4e	Summer Outdoor Collection, Slide 2, PSMS spiked	2-Aug-07 14:35 EST	2-Aug-07 15:37 EST	1.5 hr	gravity	NETL
5a	Summer Outdoor Collection, Run 3	2-Aug-07 14:35 EST	2-Aug-07 15:37 EST	1 hr	ELPI	NETL
5b	Summer Outdoor Collection, Rod 3, PSMS spiked	2-Aug-07 14:35 EST	2-Aug-07 15:37 EST	1 hr	APICD	NETL
5c	Summer Outdoor Collection, Run 3	2-Aug-07 14:35 EST	2-Aug-07 15:37 EST	1 hr	TEOM	NETL
5d	Summer Outdoor Collection, Run 2	2-Aug-07 14:35 EST	2-Aug-07 15:37 EST	1 hr	DustTrak	NETL

Three outdoor collections were carried out at DOE-NETL location in Pittsburgh, PA using APICD Gen I, ELPI™, TEOM and DustTrak concurrently at similar conditions (Figure 36). Two witness samples were collected by gravity deposition of suspended PM on Al-slide. Successful deposition of PM was confirmed by optical microscopy. Sampling times varied from 60 to 90 min as our previous research have shown that longer collection times may overload the rod surface with PM.



Table 6. Weather conditions at NETL site during the collection. Weather Conditions Are Equivalent For Three Collections.

	DATE	TIME	AVERAGE WIND SPEED (MPH)	AVERAGE WIND DIRECTION (DEGREES)	AVERAGE AIR TEMPERATURE 2M (DEGREES F)	AVERAGE RELATIVE HUMIDITY 2M (PERCENT)	AVERAGE SOLAR RADIATION (WATTS/M^2)	AVERAGE PRESSURE (MILLIBARS)
	8/1/2007	12:45	2.89	64.96	84.7	91.6	326.0	980
	8/1/2007	13:00	3.10	49.15	85.5	91.6	843.0	980
	8/1/2007	13:15	3.59	14.6	86.1	91.7	840.0	980
	8/1/2007	13:30	2.40	180.7	86	91.7	673.6	980
	8/1/2007	13:45	3.72	1.519	86	92.1	588.6	980
RUN 1		MEAN	3.14	62.19	85.66	91.74	654.2	980.00
	8/1/2007	14:15	4.17	305.1	87.5	91.9	532.6	979
	8/1/2007	14:30	2.51	319.3	87.8	91.8	841.0	980
	8/1/2007	14:45	3.20	334.4	88	91.9	541.1	979
	8/1/2007	15:00	2.14	233.2	87.9	91.9	530.3	979
	8/1/2007	15:15	2.40	285.2	88.6	91.9	711.0	979
	8/1/2007	15:30	2.39	283.7	88.7	91.8	845.0	979
	8/1/2007	15:45	3.47	331.9	89.3	91.9	592.7	979
RUN 2		MEAN	2.90	298.97	88.26	91.87	656.2	979.14
	8/3/2007	14:30	5.92	258.7	90.2	91.7	816.0	978
	8/3/2007	14:45	4.26	269.9	89.8	91.8	744.0	978
	8/3/2007	15:00	5.46	259.9	89.1	91.8	370.5	978
	8/3/2007	15:15		243.1	88.7		501.4	978
			5.35			92.2		
	8/3/2007 8/3/2007	15:30 15:45	5.03	252.1 248.6	89.7 88.5	91.8	738.0 295.8	978 978
	8/3/2007		5.90			91.8		
RUN 3		MEAN	5.32	253.80	89.33	91.87	577.6	978.00

ELPI<sup>TM</sup> stages 1 to 8 collected particulate with aerodynamic diameter of  $\leq$ 2.5 µm corresponding to PM<sub>2.5</sub> measured by other instruments and therefore, were used for comparison of mass concentration of fine particulate in the air. As ELPI<sup>TM</sup> data had the highest time resolution of 1 minute, 5 minutes averages were compared with DustTrak<sup>TM</sup> and TEOM readouts.

Mass concentration of  $PM_{2.5}$  ranged from 15 to 130  $\mu$ m/m<sup>3</sup> during the Summer 2007 collection (**Figure 37**). The obtained data was on the same order of magnitude for three particle monitors. DustTrak<sup>TM</sup> produces the highest readings. Discrepancy in the mass concentration reading is a result of the fundamentally different approaches to measuring mass, as well as high humidity (91%) during the sampling periods. The DustTrak<sup>TM</sup> particle monitor uses light scattering for mass measurement, which depends on particle size, refractive index, shape and orientation of the particle. Therefore, highly variable composition of PM with unknown optical properties, may lead to inaccuracy in measuring particle mass concentration.

The TEOM uses a direct relationship between oscillator mass and oscillating frequency, so it should provide more accurate data in real time. However, heating of the sampler leads to loss of water and substantial evaporation of volatile and semi-volatile organic components (organics, ammonium nitrate) on particles entering the TEOM, while ELPI measures particle number concentration at an ambient humidity. Additionally, ELPI™ operated close to the limit of detection for this stage (6.3 µg/m³) during the first two runs. Run 3 was carried out during an air quality day with a high concentration of particulate in the ambient air, and there was a better agreement between DustTrak and ELPI data (Figure 38).

Particle size distribution is shown in **Figure 39**. Ultrafine particulate constitutes the majority of the collection. ELPI<sup>TM</sup> stages 8 to 12 collected particulate with aerodynamic diameter of 1  $-10~\mu m$  that corresponds to the size most likely to be detected by APICD. Particle number concentration for these stages were added and averaged to yield 1000 to 8000 particles/L. As expected, the last run had the highest particle concentration of 6985± 712 particles/L.

Deposition of fluorescent particles from Run 2 was investigated and 17 fluorescent particles were detected. Calculated fluorescent particle density was 257 particles/mm² (2.6E4 particles/cm²). Overall



deposition surface of the rod  $(4.7 \text{ cm}^2)$  was extrapolated to contain 1.2E5 fluorescent particles. As fluorescent particles comprise 38% of particulate in 1-10  $\mu$ m size range, the total rod loading was estimated to be 3.2E5 particles.

The data above was used to approximate APICD collection efficiency. During Run 2 average 1 L of air contains 2364 of APICD-detectable particles; a 90-min collection with a flow rate of air 100 L/min exposes the collector to 2.1E7 such particles. The collector's efficiency overall was estimated for collection of particles in the 1-10  $\mu$ m size range to be 1.5%. Overall collection efficiency is higher as particles in this range are a small fraction of overall particulate.

#### 7. Task 4: Detection

In this task, collected particulate matter underwent rigorous analysis to validate identities obtained by APICD Gen I device and other methods in Task 3 and by APICD Gen II device in Task 2.4. APICD results were confirmed by laboratory analysis conducted by trained ChemImage scientists.

We analyzed a part of the summer collection at NETL. Witness sample of Run 1 on an aluminum-covered slide was analyzed to determine fluorescence particle fraction (**Figure 40**). This sample is an aluminum-covered slide that was exposed to the ambient PM during Run 1 of summer collection. This witness sample is being analyzed to correlate gravity-deposited PM with the ambient concentration of PM collected by the Dekati collector at NETL. The initial step is the estimation of particle size distribution and the relative fraction of fluorescent particles

Ambient PM collected by the Dekati collector at NETL was analyzed to determine fluorescence fraction to correlate both sets of data. A 5x5 BFR and FLI montage was collected for stages 6, 8, 10, and 12 of the APTA Dekati Outdoor Collection Sample. For stages 6 and 8, the 100x objective was used to collect the BFR and FLI montages, and a 20x microscope objective was used for the remaining stages. Manual counting was performed for both BFR and FLI montages. The average fluorescence fraction of summer 2007 collection collected by the Dekati apparatus was 12%.

# 8. Task 5: Signature Database

# 8.1. Continue development of the Ambient PM signature library

Characterization and database coverage of both biothreat and interferent materials is critical to robust operation of detectors in real environments. ChemImage has a substantial experience with the efficient collection of biothreat signatures which are arranged into a Raman Chemical Imaging biothreat database. This biothreat database and underlying analysis software have been shown to be effective in the detection of biothreats in complex mixtures.

The APTA Project conducted a qualitative and quantitative analysis of airborne PM including background interferents such as pollen, insecticides and industrial particulate matter. Interferents causing possible false positives for Raman-based detection were identified and studied. ChemImage uses ChemDB, a database developed to manage signature libraries developed in support of biomedical and biodetection projects. ChemDB database includes 1059 entries, consisting of threat agents, near neighbors and common interferents (**Figure 2**). 413 entries were liquids or other materials that were unlikely to be found in particulate matter so they were omitted and the database was reclassified. A review of the database classification indicated insufficient population of the pollen and fungi categories that comprise a large part of coarse suspended matter and are ubiquitous in the environment. Audit of the CI Bioagent library to determine its taxonomy and applicability to ambient PM detection revealed 20 out of 82 major PM constituents were present in CI/AFIP library. During the APTA Project, an additional 22 materials were characterized using the following methods:

#### **Primary Methods:**

Brightfield reflectance

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- Polarized Light Microscopy
- Differential Contrast Microscopy
- Fluorescence Light Microscopy
- Dispersive Raman microspectroscopy

#### Secondary Methods:

- Fluorescent Chemical imaging
- Fourier Transform Infrared microspectroscopy
- Raman Chemical Imaging
- Near Infrared Chemical Imaging
- Scanning electron microscopy (SEM) with X-ray fluorescence energy dispersive spectroscopy (EDS)

As Raman spectroscopy is the basis for a searchable library of signatures, particular attention was paid to collection of reference quality Raman spectra. Due to the complex nature and variability of components comprising particulate matter, each reference sample was characterized by 10 Raman spectra taken at different areas of the bulk sample.

As part of Task 5, ChemImage has initiated a harmonization of the Raman Spectral Library with developing guidelines being formulated for the evaluation and testing of bioagent detectors. We have cross-referenced the Raman Signature Database against the developing guidelines. The terms *inclusivity* or *sensitivity* describe the ability of a detection method to detect the target analyte from a wide range of strains. *Exclusivity* or *specificity* is the lack of interference in a detection method from a relevant range of nontarget strains, which are potentially cross-reactive. **Table 7** represents an analysis of inclusive and exclusive biological agents currently in the library. **Table 8** represents an analysis of various environmental interferents currently in the library.

Table 7. Inclusive and Exclusive Biological Agents.

Inclusivity	In Library?	Exclusivity	In Library?
Bacillus anthracis Canadian bison	Х	B. cereus G9241	X
Bacillus anthracis V770-NP-1R	Х	B. thuringiensis subsp. Israelensis	X
Bacillus anthracis PAK-1	Х	B. thuringiensis subsp. kurstaki	Х
Bacillus anthracis BA1015	Х	B. mycoides	Х
Bacillus anthracis Ames	Х	B. megaterium	Х
Bacillus anthracis SK-102 (Pakistan)	Х		
Bacillus anthracis Vollum 1B	Х		
Bacillus anthracis BA1035	Х		
Bacillus anthracis RA3	Х		
Bacillus anthracis Pasteur	Х		
Bacillus anthracis Sterne	Х		
Bacillus anthracis Turkey #32	Х		



**Table 8. Environmental Interferants.** 

Environmental Interferant	In library?
Additional Biothreats	
Bacillus anthracis Ames	Χ
Yersinia pestis Colorado-92	X
Francisella tularensis subsp. tularensis Schu-S4	Χ
Burholderia pseudomallei	Χ
Brucella melitensis	Χ
Ricinus communis	Х
Clostridium botulinum Type A Hall Strain	X (not sure of strain)
Cultivatable Bacteria	
Acinetobacter Iwoffii	Χ
Bacillus megaterium	Х
Burkholderia cepacia	Χ
Deinococcus radiodurans	Χ
Escherichia coli K12	Χ
Neisseria lactamica	Χ
Pseudomonas aeruginosa	Χ
Staphylococcus aureus	Χ
Stenotophomonas maltophilia	Χ
Streptococcus pneumoniae	Χ
Vibrio cholerae	Х
Listeria monocytogenes	Х
Microbial Eukaryotes (Fungi)	
Alternaria alternata	Х
Aspergillus penicilloides change to fumigatis	(terreus)
Aureobasidium pullulans	X
Cladosporium cladosporioides	Х
Cladosporium sphaerospermum	Х
Epicoccum nigrum	Х
Penicillum chrysogenum	Х
Higher Eukaryotes (Plants)	
Pollen from Pinus spp. (pine)	Χ
Cotton	Х
Homo sapiens(HeLa) human	Х
Biological Insecticides	
B. thuringiensis subsp. israelensis	Χ
B. thuringiensis subsp. kurstaki	Х
Powders and Chemicals	
Bacillus thuringiensis powders (e.g., Dipel)	Χ
Powdered milk	Х
Powdered coffee creamer	X
Powdered sugar	X
Talcum powder	X
Flour	X
Baking soda	X
Chalk dust	X
Dry wall dust	X
Cornstarch	X
Baking powder	X
- <b>U</b> F	- •



GABA (Gama aminobutyric acid)	X
L-Glutamic acid	X
Kaolin	X
Chitin (n-acetylglucosamine)	X
Chitosan	X
MgSO <sub>4</sub>	Х
Boric Acid	X
Popcorn salt	X

# 8.2. Improve algorithms for autonomous operation and decision-making

ChemImage is improving algorithms for autonomous data acquisition and developing decision-making methods for better fitting between target spectra and signature libraries.

Figure 41 describes the detection sequence for PM collection, analysis and identification. Aqueous solution of 5  $\mu$ m polystyrene microspheres (PSMS) was placed in a metered dose pump spray bottle. The dispenser was primed 5 times and dispersed 25 sprays of PSMS solution in the vicinity of the collector. Ambient PM was collected for 60 min on a previously regenerated post. The post was placed into the detection position and was screened for the presence of PSMS among PM. Brightfield reflectance (BFR) and total fluorescence (FLI) linear montages were collected at 20x with automated focusing between the frames to compensate for surface roughness of the collection post. An automated targeting algorithm analyzed size, shape and brightness of fluorescence montages and selected two sub-frames containing maximum amount of targets. A list containing positions of the identified targets is generated for subsequent analysis at 100x. After manual switch to a 100x microscope objective and centering the object in the FOV, the automated acquisition software brings the object into focus, takes a BFR and FLI images, and switches to the Raman mode. In Raman mode, the software function monitors photobleaching using a pre-set 5% change threshold, and upon reaching the target threshold snaps a spectral image using a pre-set time. The spectral image is automatically converted into 19 Raman spectra corresponding to each fiber and each spectrum is classified. The final results of identification are displayed as a table of the top three matches.

Currently ChemImage software and application group efforts were directed toward further improvement of system control and decision-making software algorithms such as:

#### 1) Autofocus

Ability of APICD Gen I to focus was demonstrated previously for 20x and 100x microscope objectives. Automated focusing in BFR mode precedes all APICD measurements in fluorescence mode. The current focusing algorithm uses a Step-Scan method based on monitoring sharpness of the video image while changing the z-position of the sample. The maximum sharpness position is considered the focal plane position. Step size and number of steps are flexible and can accommodate different magnifications.

ChemImage has developed a faster auto-focusing method which uses a Rapid Scan technique for coarse focusing and Successive Approximation method for fine focusing. Rapid Scan allows stage movement while acquiring a stack of images and processes them in the background. This approach works well for finding the analysis surface at low magnification. The Successive Approximation Method is used for fine focusing to find the frame with the maximum sharpness. It is similar to the Step-Scan approach but changes direction and reduced step size based on the sharpness differential.

### 2) Automated Targeting

The APICD Targeting User Function analyzes size, shape and brightness of particle in total fluorescence montage collected at 20x. A ranked list containing positions of the identified targets is generated for subsequent analysis at 100x.

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Several changes were made to the *Targeting* algorithm. In the new version, each frame in the montage is flat-fielded after conversion to a gray-scale image. An intensity threshold is applied to the background corrected image to generate a binary image. In the binary images, particles are analyzed by size (Maximum Chord) and shape to eliminate specific sizes and shapes. The remaining targets are ranked according to their similarity to an "ideal threat". A ranked list of targets is generated and loaded into the *AutoID* function for further analysis at 100x. This list can be saved and loaded separately **(Figure 42).** 

A statistical model was developed to describe the targeting performance of APICD Gen I. A witness sample on AI slide for 1-hr indoor collection (March 07, 2007) spiked with polystyrene microspheres (PSMS) was used to test algorithm performance. Single 5  $\mu$ m polystyrene spheres served as the analyte of interest. Manual counting and identification were used as gold standard method to correctly identify events. Three runs were carried out and the targeting results are shown in **Figures 43-44**. Targeting sensitivity and specificity for PSMS are above 90% with low false positives and false negatives rates as seen in **Figure 45**.

An additional option for targeting in brightfield reflectance mode was also developed and tested.

#### 3) Automated Acquisition and Identification Functions

Automated Acquisition software allows user-free collection of focal plane images of Raman signals from multiple targets, comparison of resulting Raman spectra to the library, and displaying target identity in the form of a confusion matrix.

After a manual switch to a 100x microscope objective, *Automated Acquisition* brings the target into focus, centers the object in the FOV (new function), and takes BFR and FLI images. After switching to Raman mode, the software photobleaches the sample and snaps a spectral image using a pre-set time. The spectral image is automatically converted into 19 Raman spectra corresponding to each sampling fiber, which are run against the library using Mahalanobis distance. The final results of identification are displayed as a Mahalanobis Statistics matrix of top three matches. Images and spectra generated during the targeting and identification are automatically saved under standardized names reflecting target rank.

Initially, photobleaching time (PBT) varied based on the percent change in the fluorescence and a flexible acquisition time based on preset SNR. This approach was found inefficient, particularly with highly fluorescent samples. The current algorithm has added the ability to pre-set photobleaching and exposure times. PBT of 10-15 sec and exposure of 10 sec at  $3 \text{ kW/cm}^2$  power density at the sample usually results in a reasonably good Raman signal from the test samples. A statistical model was developed to describe the identification performance of APICD Gen I. Targets obtained from a witness sample from a 1-hr indoor collection (March 07, 2007) spiked with  $5 \mu m$  PSMS was used to test algorithm performance.

Raman identification was carried out in the automated mode using Mahalanobis Distance identifier with 5 Principal components in 800-1800 and 2800-3200 cm<sup>-1</sup> spectral ranges. The model was based on the library containing 4 classes (aluminum, polystyrene, Bt and Bg). APICD Identification had perfect specificity (100%) rejecting non-polystyrene particles with no False Positives. Three runs were carried out and the results for each run and the summary of runs are shown in **Figure 46.** The system sensitivity was ~30%. Insufficient SNR and baseline correction along with focusing failure at 100x contributed to the false negative rate.

#### 4) Data Logger

ChemImage is developing a data logger to display APICD Gen II results. In APICD Gen II the collector drum would rotate, presenting a narrow strip of deposited particulate to the detector. The data logger would keep track of: particles in the respirable range; fluorescence particles in the respirable range, and threat probability as a function of time. As the cumulative threat probability reaches a set threshold, The APICD software would trigger an alarm.

ChemImage exploited APICD Gen I data to develop a data-logging concept to assist the software team. Bt on AI slide deposited by inkjet aerosol generator in 5  $\mu$ m clusters was used to represent threat PM. Three random areas of the sample were arbitrarily chosen undergo analysis. A data logger was



constructed in Excel to display respirable particles, for fluorescence respirable particles, and threat probability (**Figure 47**).

#### 5) Time Logger

*Time Logger* was developed to monitor the timing of APICD Gen I subsystems and how long each *Auto Acquisition* process takes. The output is stored as a text file for each particle. Each run has a summary of the total experimental time of the run, time spent on the Raman portion of the run and average Raman experimental time, including photobleaching and exposure times, per target.

#### 6) Spectral Calibration

Correct identification of biothreat agents is highly dependent on good spectral calibration of the spectrometer. The APICD Gen I device uses Fiber Array Spectral Translation (FAST) technology to obtain spatially resolved 19 Raman Spectra. The routine for spectral image calibration uses an average spectrum of acetaminophen standard. While such an approach may result in adequate calibration of the 19-fiber system, APICD Gen II will use 80+ fibers for better spatial resolution. Misalignment of 80+ fibers with detector pixels can results in a systematic shift of peaks in the resulting spectra. Anticipating Gen II development, ChemImage software team developed an algorithm for individual calibration of each FAST fiber.

### 8.3. Enhance System Performance Model

ChemImage prepared a Particle Accounting Model (PAM) model for electrostatic collector to test feasibility of PM detection. PAM is a useful exercise based on the efficiency estimate for every stage of the collection and detection process for electrostatic collection coupled with Raman detection which allows us to evaluate the feasibility of system use in field conditions.

APICD Gen II Particle Accounting model was updated based on the experimental collection and cleaning efficiencies obtained by Sceptor and ChemImage. We also utilized experimentally measured average fluorescence fraction of 12% for summer 2007 collection (Task 4.1). Independent investigation allowed for a better estimate of the time necessary for FCI targeting based on the reduced number of frames and faster frame rate. The updated model based on these experimental parameters (Figures 48-51) was used to calculate time to alarm of 14 minutes for 10% BT concentration (Figures 52-54).

#### 9. Conclusions

The ChemImage team collected and reviewed a body of scientific papers in order to develop the APTA Project knowledge base. Based on the literature review, we proposed a novel particulate material (PM) taxonomy based on the underlying chemical structure of PM constituents. In addition, we developed a quantitative model for outdoor PM composition. PM components are broadly grouped into inorganic and organic fraction. The inorganic contribution includes mineral dust, sea salt, and secondary aerosols and can contribute from 6 to 95% to the mass of total suspended particulate (TSP). The organic contribution describes carbon-based particulate of various origins and may vary 2.6 to 75.5% of TSP, with an average value of 33.5%. Airborne microorganisms contribute ~15% to ambient PM mass on average, with PM bioloading ranging from 0.2% to 32.5%.

As part of the APTA project, in the Spring and Summer of 2007, 16 aerosol collections were carried out. The Summer 2007 collections were carried out at NETL in order to make use of the NETL PM monitoring instruments for validation of the APICD collector. The APICD Collector successfully operated in both automatic and semiautomatic modes. Multiple samples were collected to enable evaluation of particle density, composition and APICD collection efficiency for particles in the 1-10  $\mu$ m size range.

In Phase 2, efforts to develop a database of reference materials specific to airborne Particulate Matter involved harmonization with developing bioagent test guidelines.

Finally, emphasis was placed during Phase 2 on the development and validation of subsystems appropriate for future automated Raman bioaerosol monitors. These development efforts have been

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successful, and validate the APICD design as a credible, future potential technology area for continuous, reagentless PM monitoring and identification in complex aerosols.

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<sup>&</sup>lt;sup>2</sup> Batonneau Y, Sobanska S, Laureyns J, Bremard C. Confocal Microprobe Raman Imaging of Urban Tropospheric Aerosol Particles, Submitted to *Environmental Science & Technology*, **2005**.

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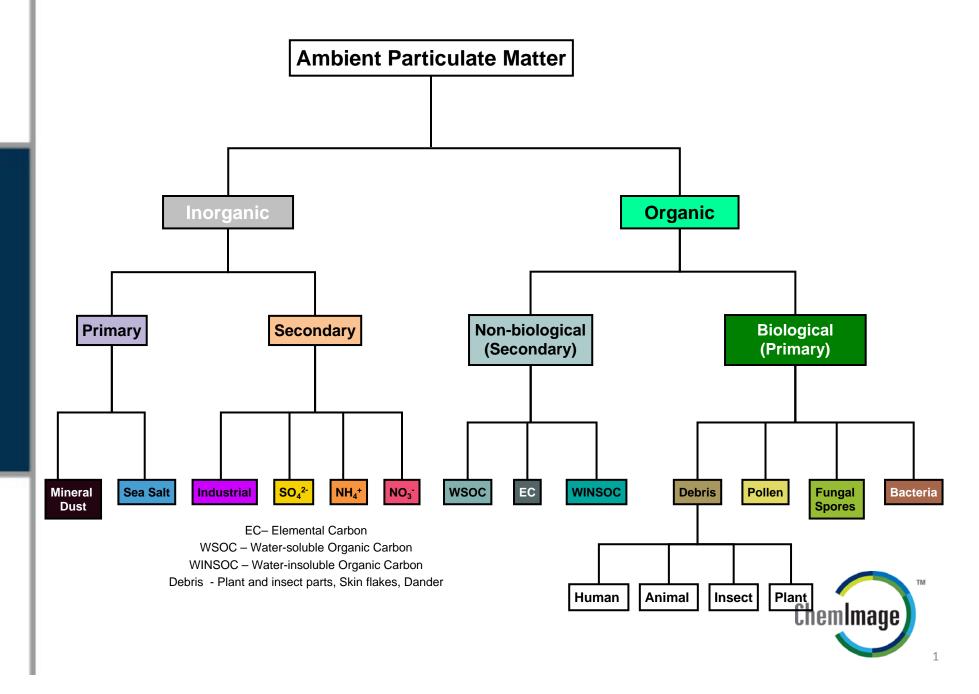
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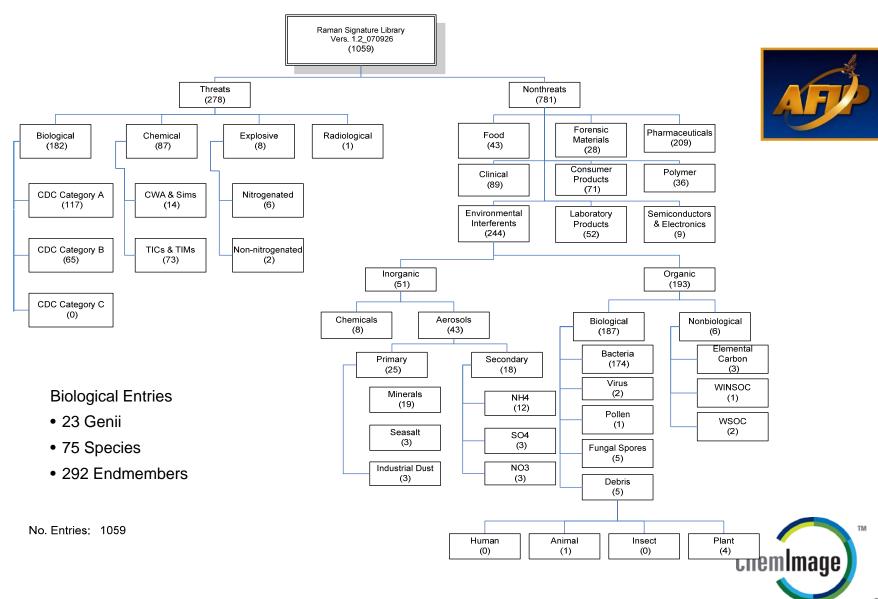
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# Task 1 Classification of Ambient Particulate Matter



# **Task 1 Raman Signature Library Taxonomy**

1059 entries (Pathogens, CWA, Explosives, TICs, TIMs, simulants and interferents)



# Task 2 APICD Gen I Assembly





Micron/pixel Resolution	APICD Gen I
Video Camera at 20x	0.48
Video Camera at 100x	0.10
FCI Camera at 20x	0.24
FCI Camera at 100x	0.05

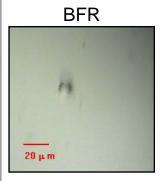
#### Status:

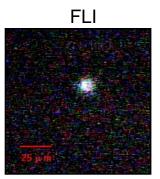
- Fabrication of APICD Gen I system is complete
- Initial performance evaluations are complete
- Gen I enables evaluation of :
  - ESTAT collector performance
  - Detector autonomy (autofocus; autotarget, autoID)
  - Performance models
  - Need for bio-enrichment
  - Manual surface cleaning procedure (as a precursor to the automated procedure)
- Performance evaluation drives APICD Gen II design



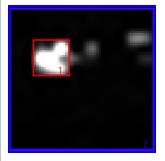
# **Task 2 APICD System Using Headwall Spectrometer**

FAST Spectra of 10  $\mu$ m PSMS at 20x on Headwall

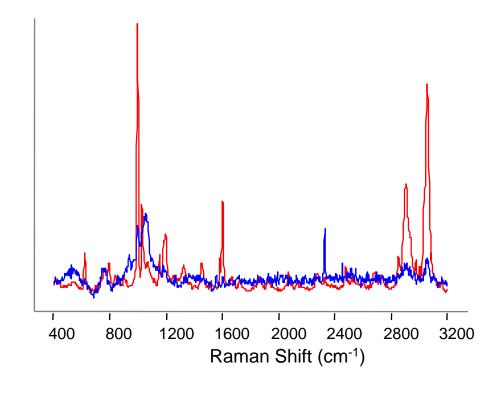




FAST at 1000 cm<sup>-1</sup>



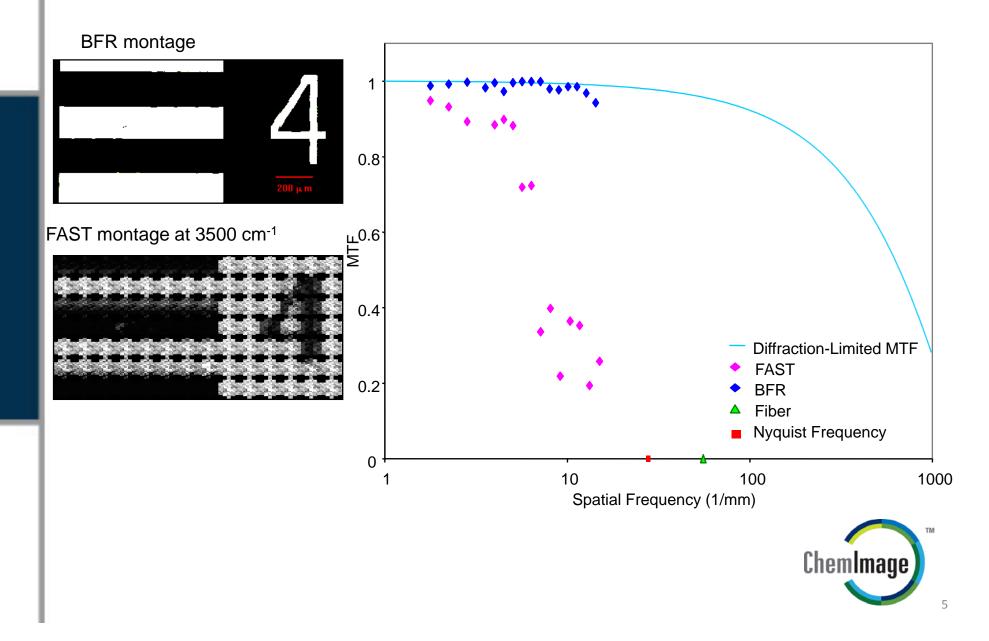
Bias, NIST, Bsln, Normalized, Expanded







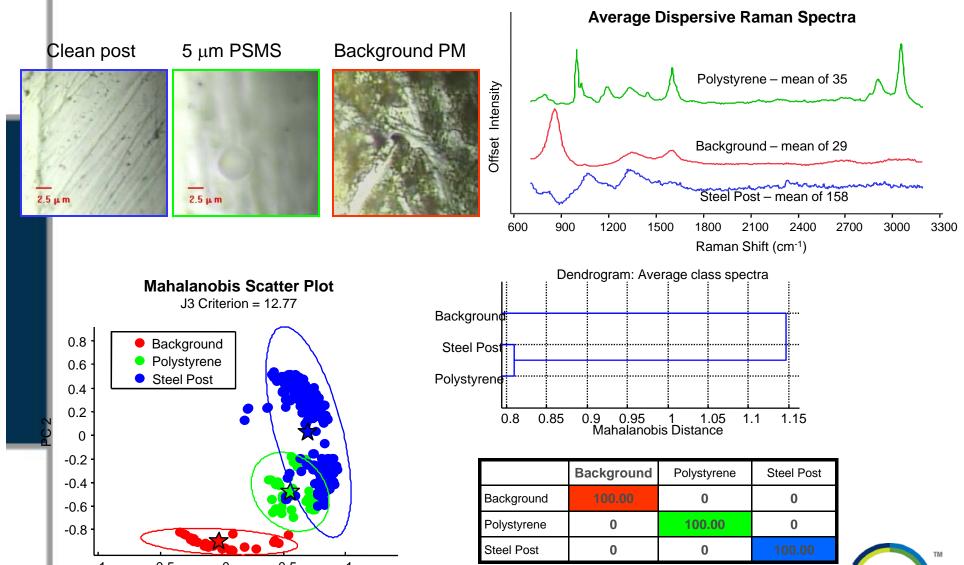
# Task 2 Characterization of APICD Imaging Performance at 20x



# Task 2 APICD Gen I Discrimination Performance at 100x

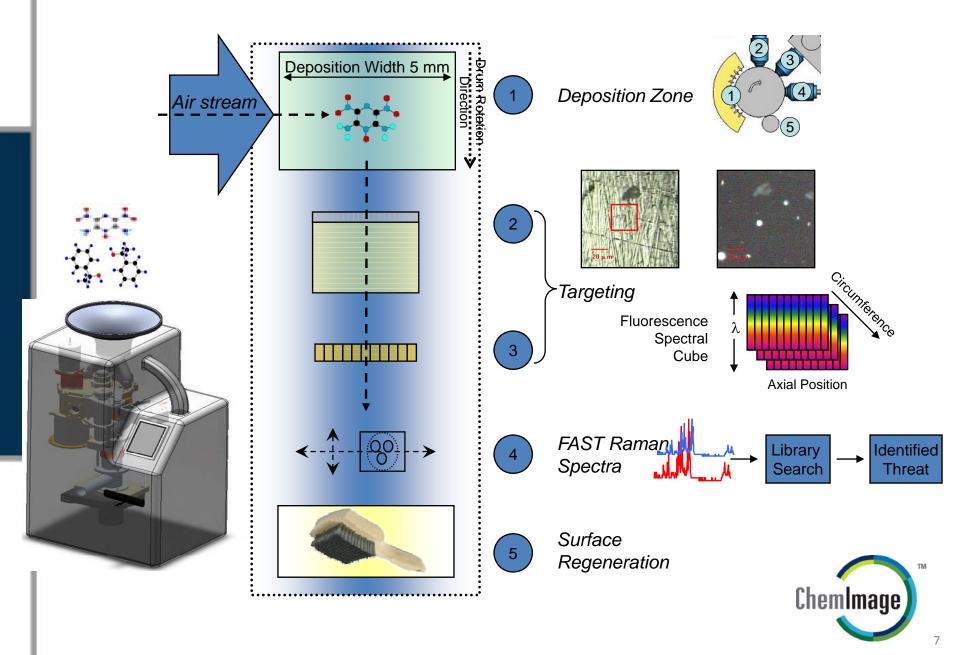
3 classes, 800-1800 cm<sup>-1</sup>, 5 PC

PC 1



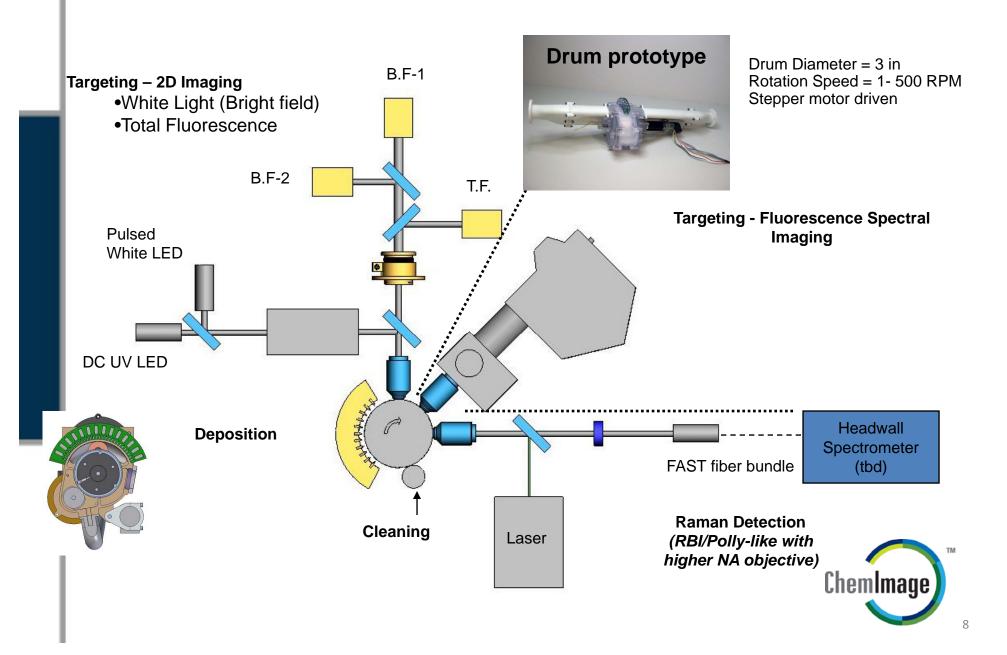
# Task 2 Design Concept For APICD Gen II.



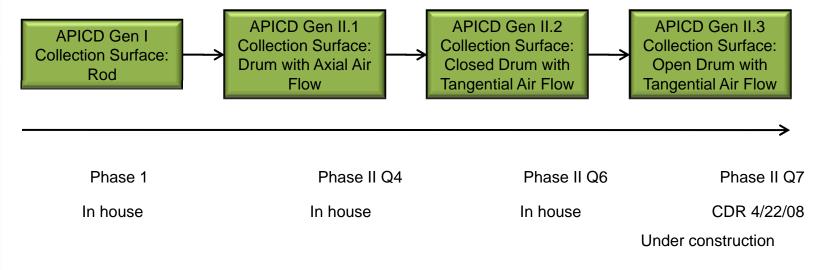


# Task 2 APICD Gen II Concept Layout





# **Task 2 APICD Evolution**



### Requirements:

- Optimized collection efficiency and deposition pattern
- >145° free access to drum
- Positional Accuracy and Feedback
  - Thor Labs motor and GPI Encoder
- Replaceable drum surface
- "GOOD" surface regeneration and dis-engagable brush
- Particulate Load Monitoring
- All-Weather Operation (100% non-condensing humidity)

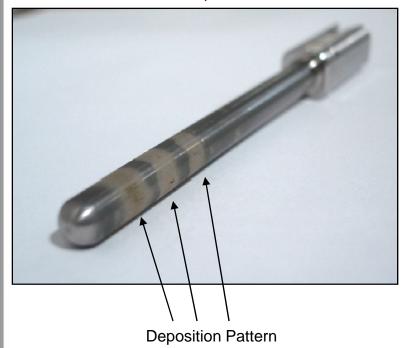


# **Task 2 Deposition Pattern of PM**

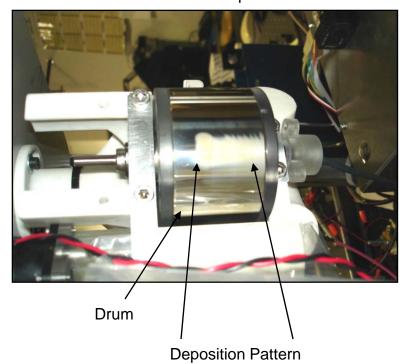
Obtained on APICD Gen I and APICD Gen II drum prototype

Weekend Collection September 28 - October 1, 2007

APICD Gen I Deposition

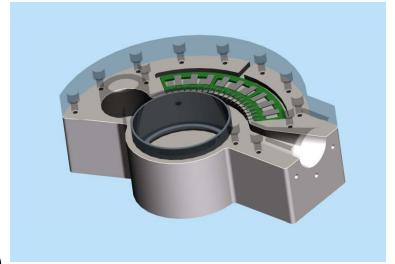


APICD Gen II Deposition

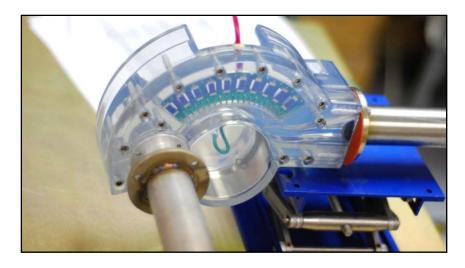


# Task 2 Model and a Prototype of a Tangential Flow Collector

- Requirements:
- Optimized collection efficiency and deposition pattern
- >145° free access to drum
- Positional Accuracy and Feedback
  - Thor Labs motor and GPI Encoder
- Replaceable drum surface
- "GOOD" surface regeneration and disengagable brush
- Particulate Load Monitoring
- All-Weather Operation (100% non-condensing humidity)

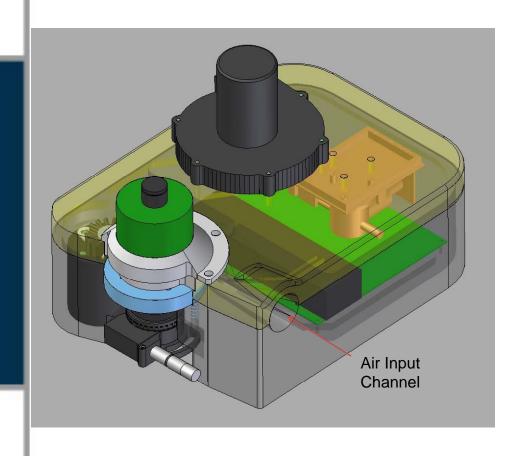


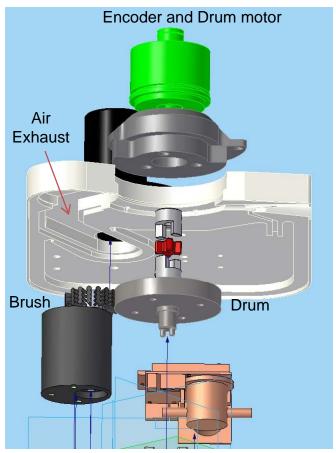
CAD model with transparent cover plate showing electrode region and collection zone.





# Task 2 Finalized Engineering Design for APICD Gen II with Tangential Flow

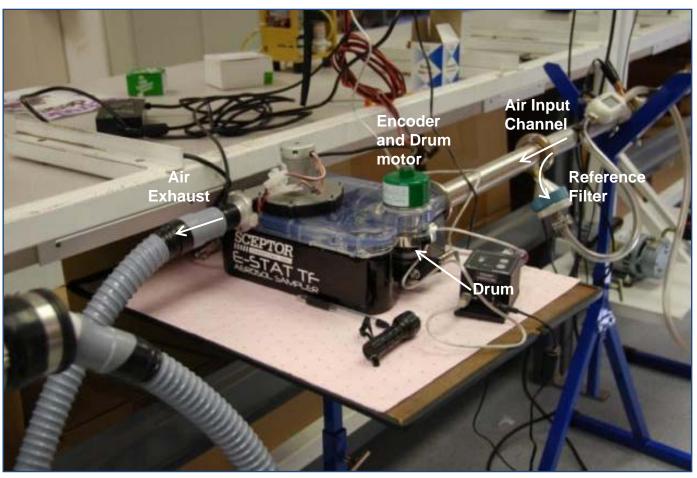






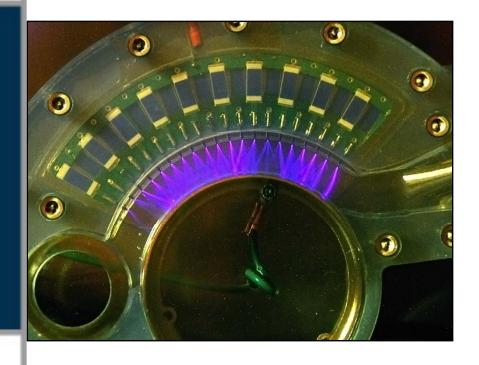
## Task 2 Finished Tangential Flow Unit 1







## **Task 2** Test Deposition Pattern on the Modified Drum



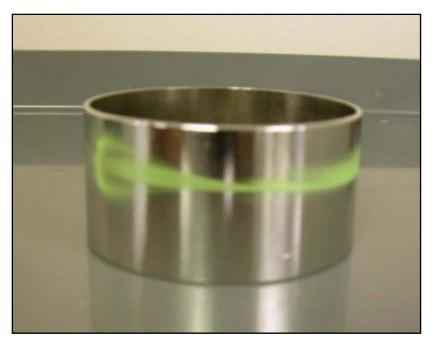
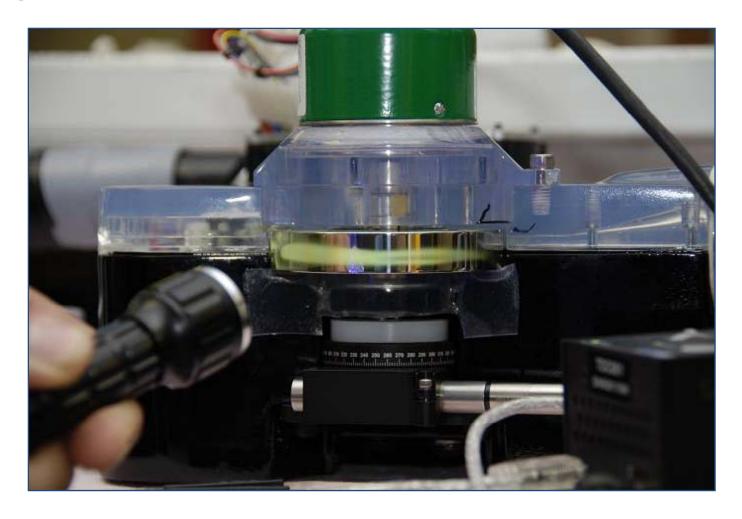


Image of corona ring of closed tangential flow prototype

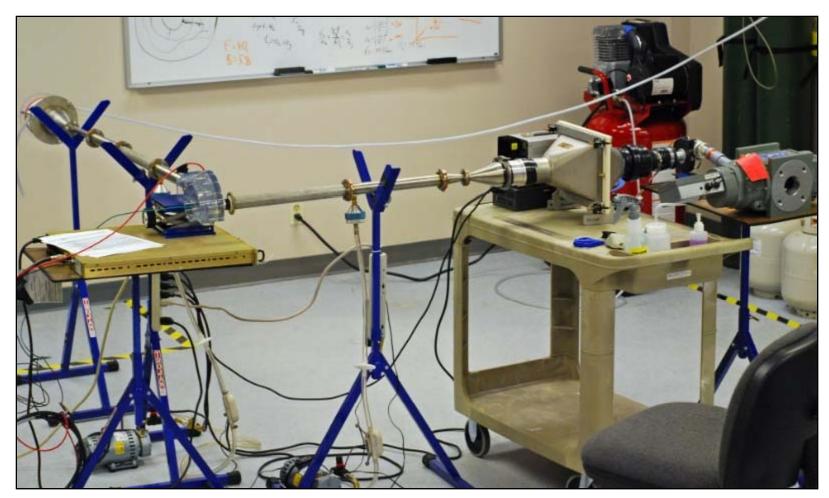
# Task 2 Deposition Pattern for the Tangential Flow Unit







# Task 2 Test fixture for Studying deposition Pattern and Collection Efficiency

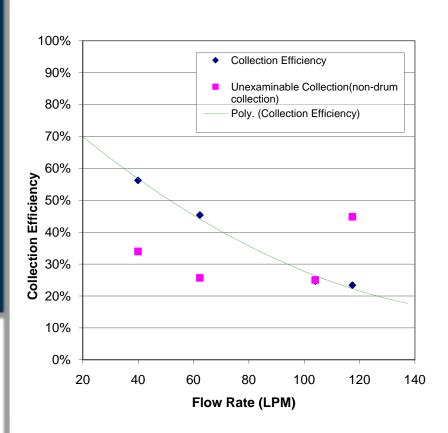




### Task 2 Collection Efficiency for Stationary Drum, Unit 1

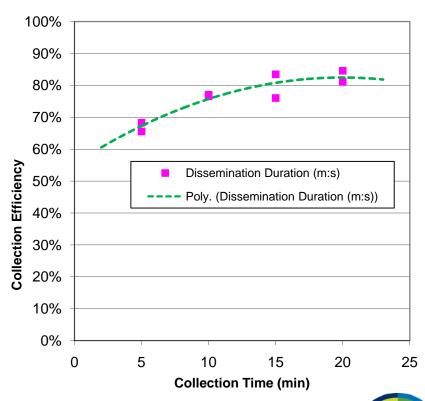
#### Dependence on Flow Rate

15 min Collection time, 3 micron polystyrene microspheres



#### Dependence on Collection Time

Flow Rate 50 LPM, 2 micron polystyrene microspheres

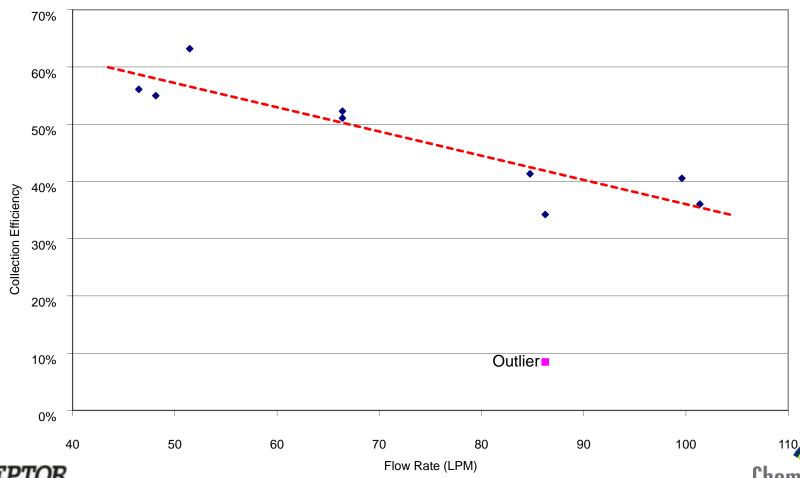




### Task 2 Collection Efficiency for Rotating Drum, Unit 1

10 min Collection time, 2 micron polystyrene microspheres

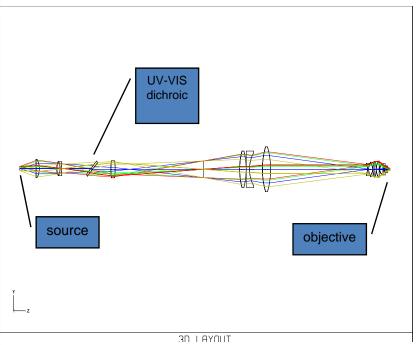
#### **Collection Efficiency vs Flow Rate**



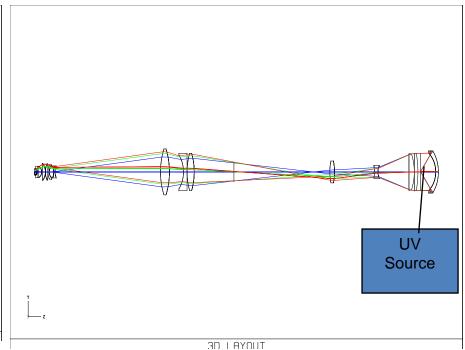


### Task 2 New Design for Koehler Brightfield Illuminator

#### White light ray trace



#### **UV** light ray trace



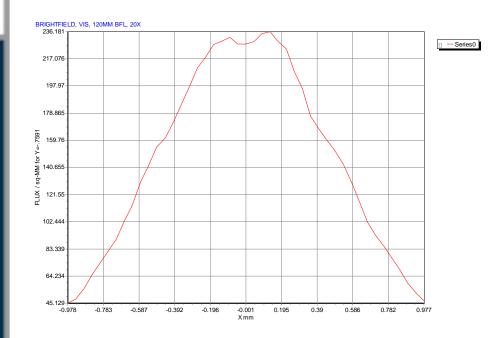


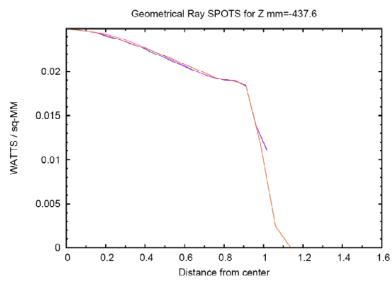


# Task 2 Irradiance on Focal Plane in APICD Gen II Illuminator

White light irradiance pattern

UV light irradiance pattern



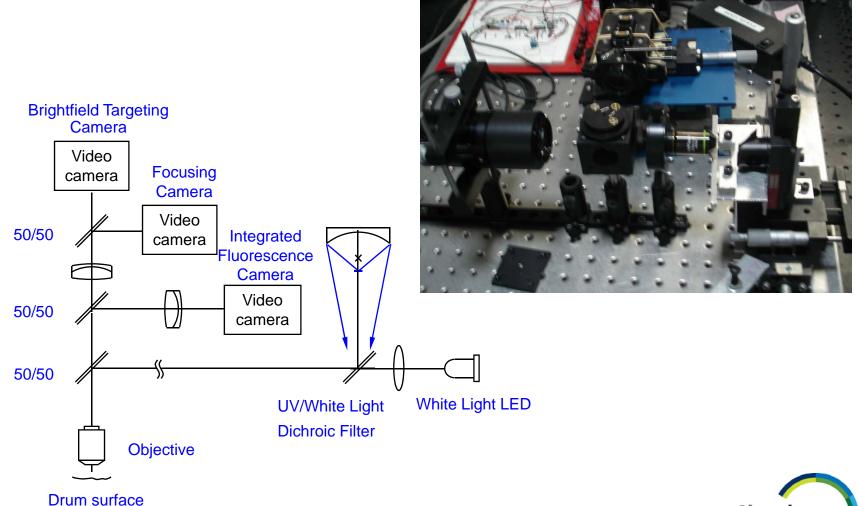


• The modeled microscope objective may not be an accurate representation of the actual Olympus objective

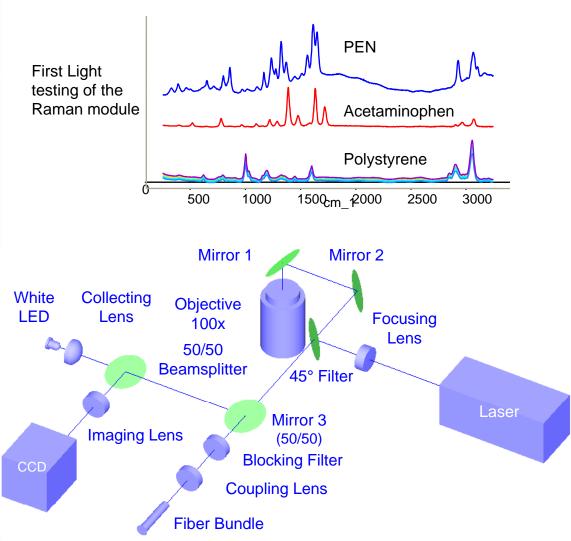


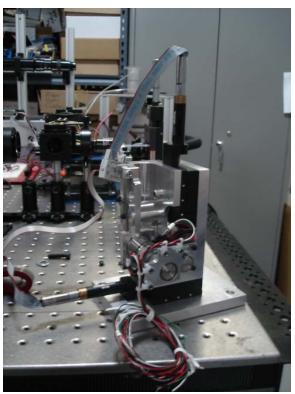
### Task 2 Raman Targeting Subsystem in APICD Gen II

Optical layout and prototype

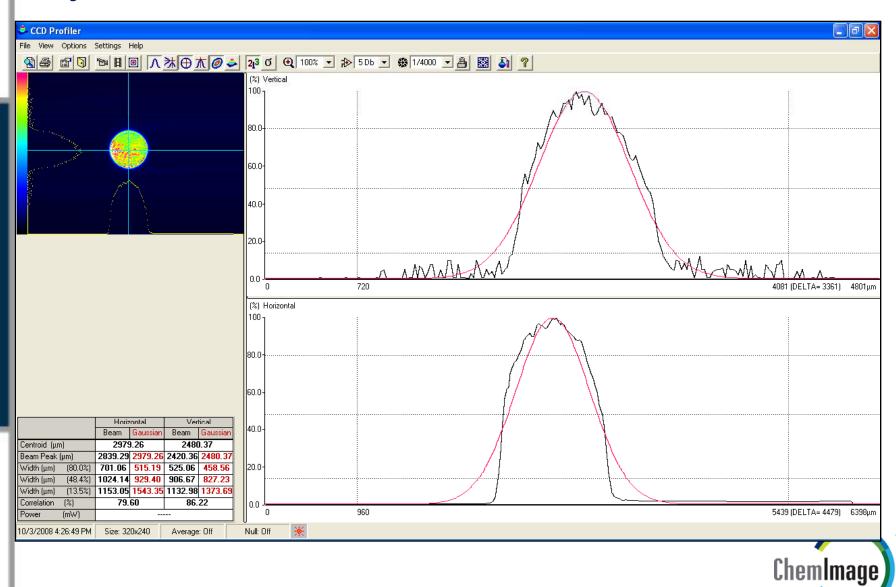


# Task 2 Raman Threat Identification Subsystem **APICD Gen II**

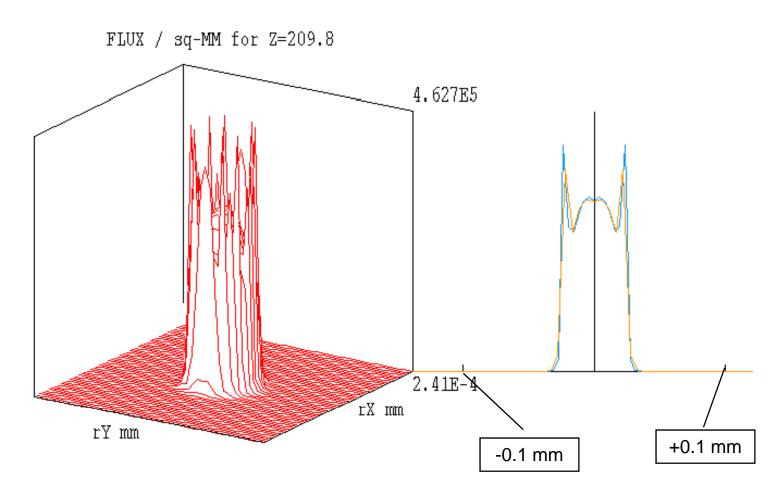




# Task 2 Measured Beam Size for 100x Microscope Objective



# Task 2 Laser Illumination in Focal Plane of 100x Microscope Objective







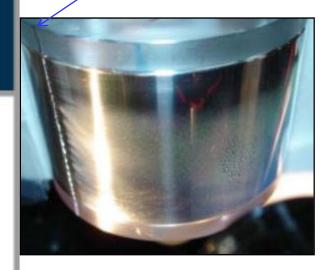
# Task 2 Experimental Setup for APICD Cleaning Performance

APICD Gen II axial flow prototype drum was cleaned.

Zoom lens was focused on the presumed Zero degrees Inlet position on the surface of the drum.

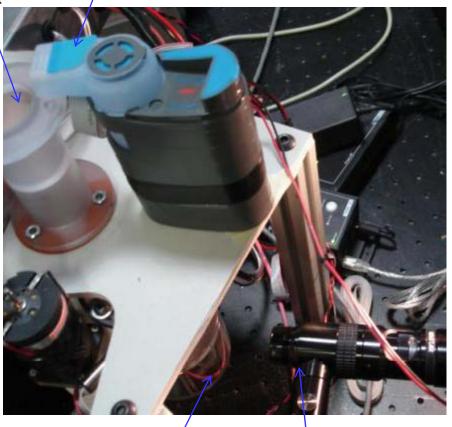
- 1 ml of 1% aq. sln of 5.3 micron PSMS solution was diluted by 4 ml of deionized water. Obtained 0.2% PSMS solution was placed into Omcron nebulizer and dispersed for 90 sec while electrostatic collector was active without rotation.
- Air blower was set to 10000 rpm
- Drum was rotated for 86 degrees to bring PSMS deposition under the observation. PSMS particles were deposited between 86 and 200 degrees of rotation of the drum. Insignificant number of particles was detected at 205 degrees.

86 degrees



View from Above

Nebulizer

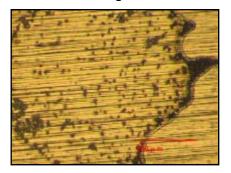


Drum Zoom lens

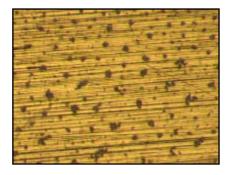


## Task 2 Particle Counts and Density after 90 sec Dispersal

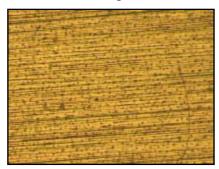
85 degrees



105 degrees



125 degrees

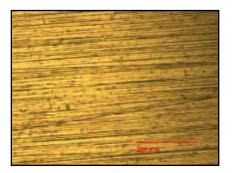


	FOV at rotation	Particle Count	Particle /cm <sup>2</sup>
1	95 degrees	180	5483.88
2	100 degrees	220	6702.52
3	105 degrees	357	10876.35
4	110 degrees	412	12551.98
5	115 degrees	464	14136.21
6	125 degrees	310	9444.45
7	140degrees	250	7616.49
8	150 degrees	292	8896.07
9	180 degrees	285	8682.80
10	200 degrees	486	14806.46
11	205 degrees	5	152.33
	Average*:	325.6	9919

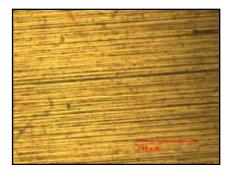
<sup>\*</sup> Only first 10 FOV were included in average calculation
Clusters of PSMS were counted as one particle.
Each FOV is 657.84 x 498.96 micron = 328,235.85 um<sup>2</sup> = 0.0328235 cm<sup>2</sup> mage

### Task 2 After 1<sup>st</sup> Cleaning Cycle – 94% Cleaning Efficiency

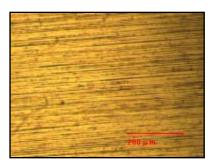
105 degrees



120 degrees



140 degrees

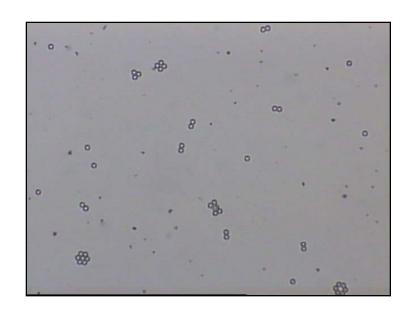


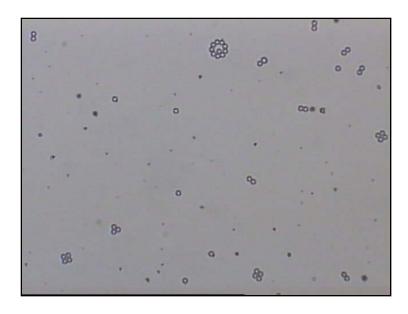
Particle density per cm<sup>2</sup> **FOV** at rotation **Particle Count** 105 degrees 1370.97 45 100 degrees 517.92 17 130 degrees 10 304.66 140 degrees 18 548.39 150 degrees 16 486.46 180 degrees 17 517.92 **Average** 20.5 624.39

Only PSMS particles were counted, therefore, 94% refers to PSMS cleaning efficiency. Visual inspection shows that some small particles remained on the drum.



# Task 2 Representative BFR images of 5 $\mu m$ PSMS on Al slide dispersed using a Omcron Nebulizer





### **Task 3 Setup for Characterization of Deposition Efficiency**

2. Inlet Particle Counter

#### **Nebulizer**



Known
 Volume,
 Known
 Concentration

4. Particles on the Drum

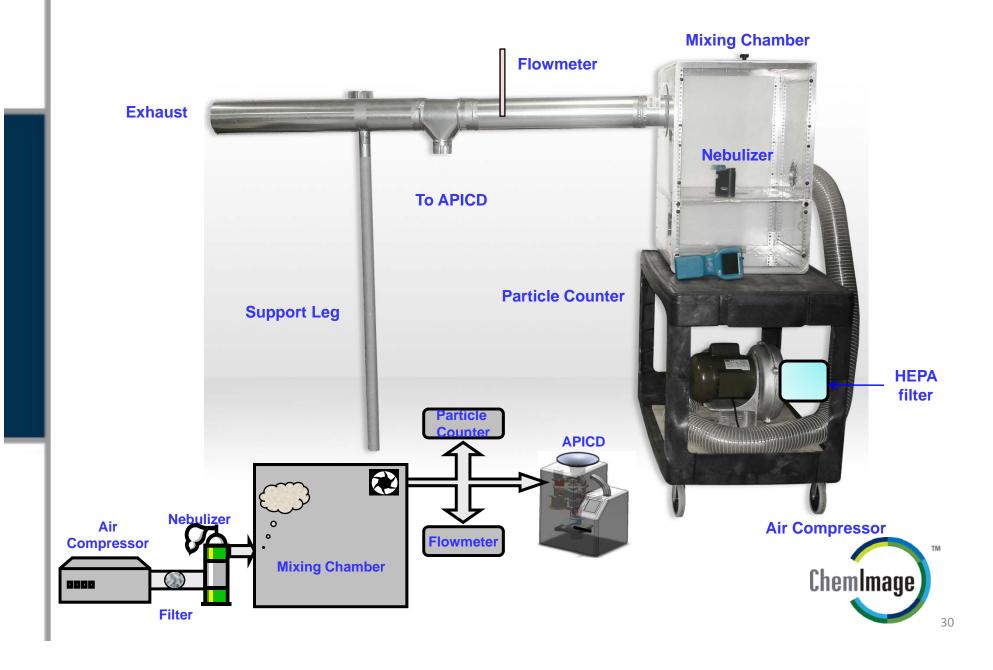


3. Outlet Particle Counter

- Disperse known amount of PSMS using Omcron Nebulizer while running electrostatic collector
- 2. Measure particle count entering the APICD drum inlet. NB May contain water droplets.
- 3. While collecting, measure amount of particles on the outlet of the APICD drum
- 4. Count number of particles deposited on the drum in at least 10 FOVs
- 5. Estimate particle deposition efficiency
- 6. Turn off electrostatic collector and turn on cleaning brush
- Count number of particles remaining on the drum in the same FOVs after 1, 2, 3 cleaning cycles



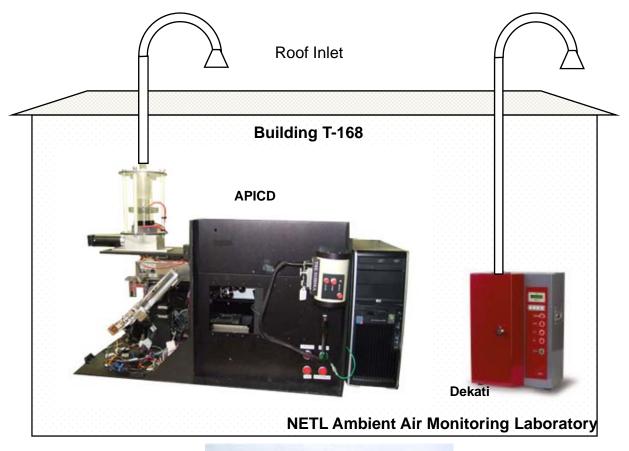
#### **Task 3 Particulate Matter Test Chamber**



## **Task 3 Collection Equipment Schematic**

Setup for Concurrent Collection at NETL















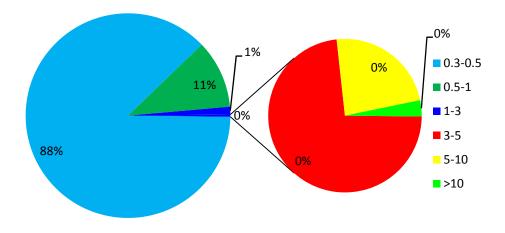


### Task 3 Particle Size Distribution: 10 min Average

Manufacturing Area Reference Measurement on March 12, 2008

	Particle Count				
Particle Fraction	10 min Average (Counts/m³)	St Dev	1 Hrs Average (PPL)		
0.3-0.5 μm	32,620,197	3.36%	195,721		
0.5-1.0 μm	4,019,623	10.94%	24,118		
1-3 μm	458,457	20.37%	2,751		
3-5 μm	91,663	28.77%	550		
5-10 μm	29,450	37.71%	177		
>10 μm	4,277	34.10%	26		
TOTAL:	37,223,666		223,342		

#### **Ambient Particle Size Distribution**





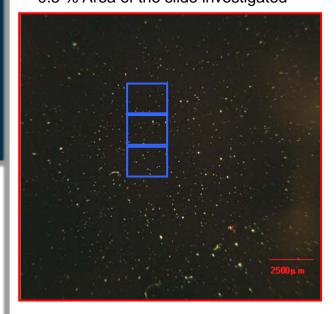
#### Task 3 Fluorescent Fraction of Spring Outdoor Witness Sample

Characterization of the Outdoor Witness Sample (s4161)

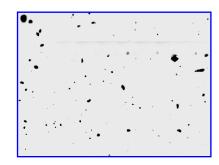
Digital Photograph of Outdoor Witness Sample

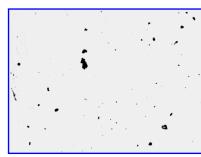


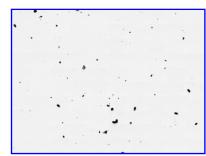
0.5 % Area of the slide investigated



BFR Montages at 20x overlaid with FLI data





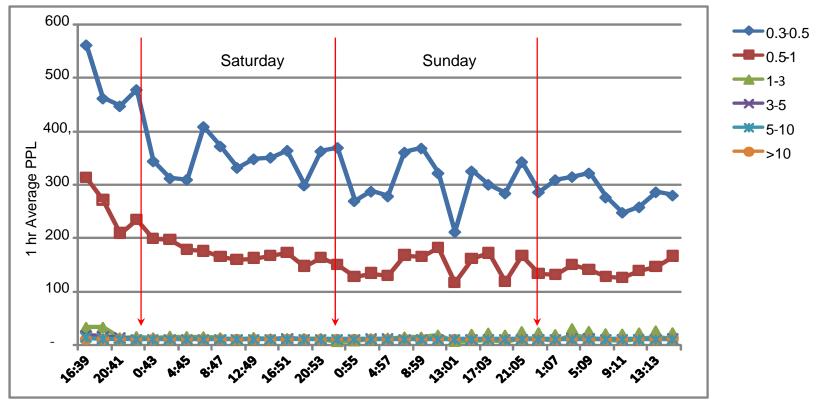


	Particles		
	Manual Counting	CI Xpert	
BFR total	937	1502	
BFR 1-10 μm		651	
FLI total	369	541	
FLI 1-10 μm	-	245	
Fluorescent Fraction (all sizes)		36%	
Fluorescent Fraction (1 – 10 μm)		38%	



#### **Task 3 Particle Size Distribution for 69 hrs**

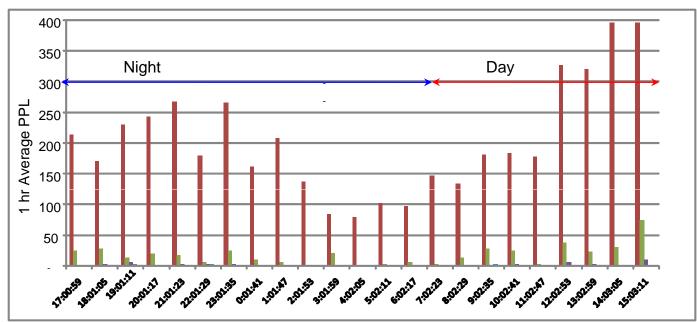
Empty Particle Chamber Measurement



Particle Count	Particle Fraction						Total
Particle Count	0.3-0.5 μm	0.5-1.0 μm	1-3 μm	3-5 μm	5-10 μm	>10 μm	TOTAL
2 hr Average (Counts/m³)	670,944	333,392	27,208	1,305	255	10	1,033,109
% St Dev	21%	24%	43%	218%	427%	600%	
1 Hrs Average (PPL)	335	167	13	0.6	0	0	<sup>51</sup> <b>C</b> he

#### **Task 3 Particle Size Distribution for 23 hrs**

Empty Particle Chamber Measurement



	-0	<b>-</b> 0	.5-
	<del>-</del>	<b>-</b> 1	-3
	<del>×</del>	<b>-</b> 3	-5
	<del>-</del> *	<b>-</b> 5	-10

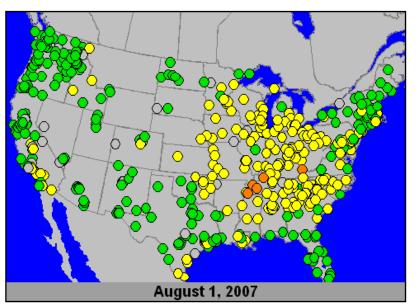
Particle Count	Particle Fraction						Total
Particle Count	0.3-0.5 μm	0.5-1.0 μm	1-3 μm	3-5 μm	5-10 μm	>10 μm	Total
1 hr Average (Counts/m³)	2,641,752	210,070	18,804	2,002	465	-	2,873,093
% St Dev	52%	49%	88%	149%	264%	-	
1 Hrs Average (PPL)	2,641	210	18	2	0.5	-	

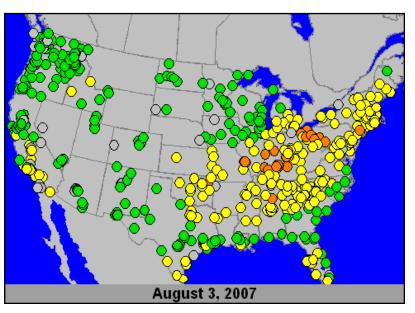


### Task 3 PM<sub>2.5</sub> Maps of US for Outdoor Collections at NETL

Daily 24-Hour AQI for PM<sub>2.5</sub> (midnight to midnight)

Runs 1 and 2 Run 3





http://www.airnow.gov

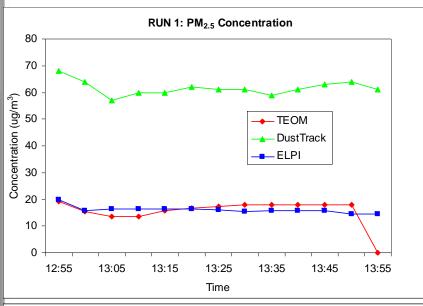
	DATE	START	END	COLLECTION DURATION (MIN)
RUN 1	8/1/2007	12:50	13:50	60
RUN 2	8/1/2007	14:08	15:38	90
RUN 3	8/3/2007	14:25	15:37	60

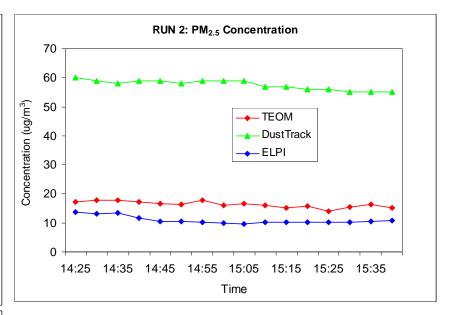
Air Quality Day

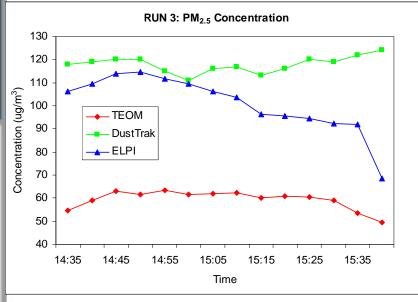


### Task 3 PM<sub>2.5</sub> Mass Concentration For Three Runs

Measured by TEOM, ELPI and DustTrack particulate monitors



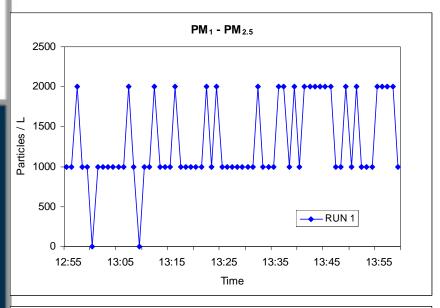


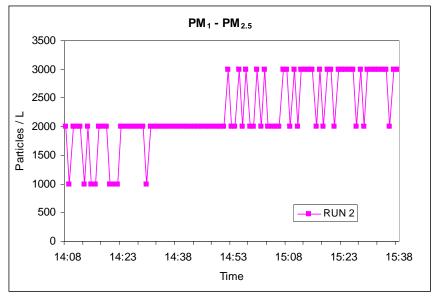


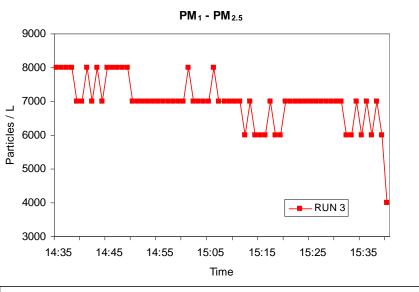


#### Task 3 Concentration of 1-2.5 µm Particles in Three Runs

#### Measured by ELPI instrument





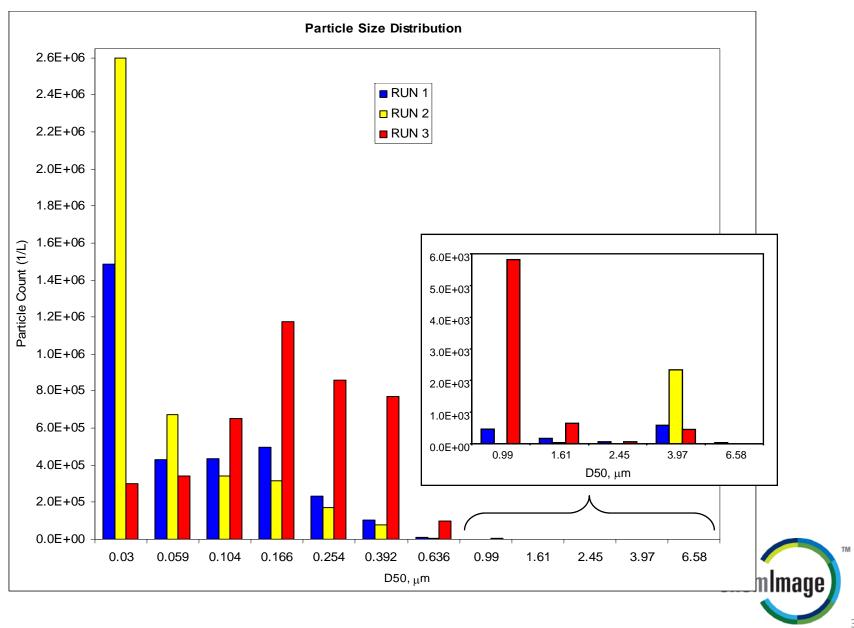


	DATE	MEAN PM <sub>1</sub> -PM <sub>2.5</sub> CONCENTRATION (# / L)	STD (%)
RUN 1	8/1/2007	1308	40%
RUN 2	8/1/2007	2364	27%
RUN 3	8/3/2007	6985	10%



#### **Task 3 Particle Size Distribution For Three Runs**

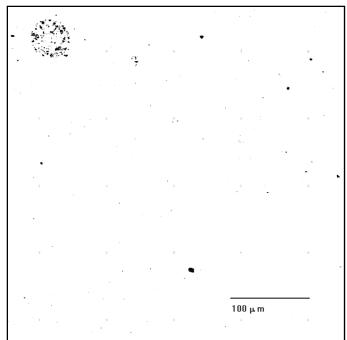
Measured by ELPI



# Task 4 Analysis of Outdoor Summer Collection: Witness Sample

Particle Count (Manual)	Brighfield Reflectance	Fluorescence	Fluorescent Fraction
Less than 1 μm	120	10	8.3%
All Particles 252		34	13.5%

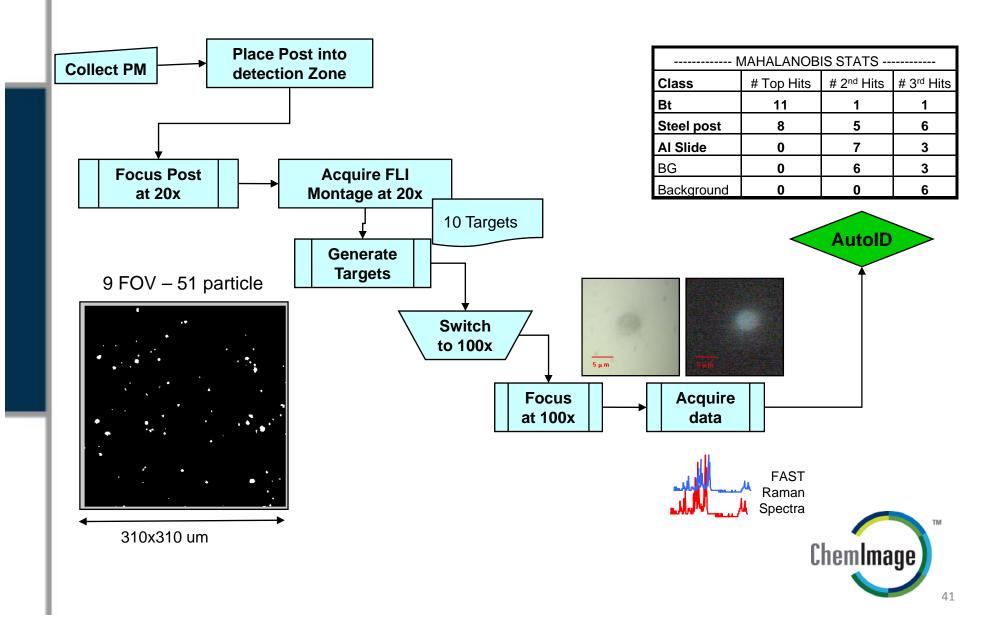
BFR montage at 20 x



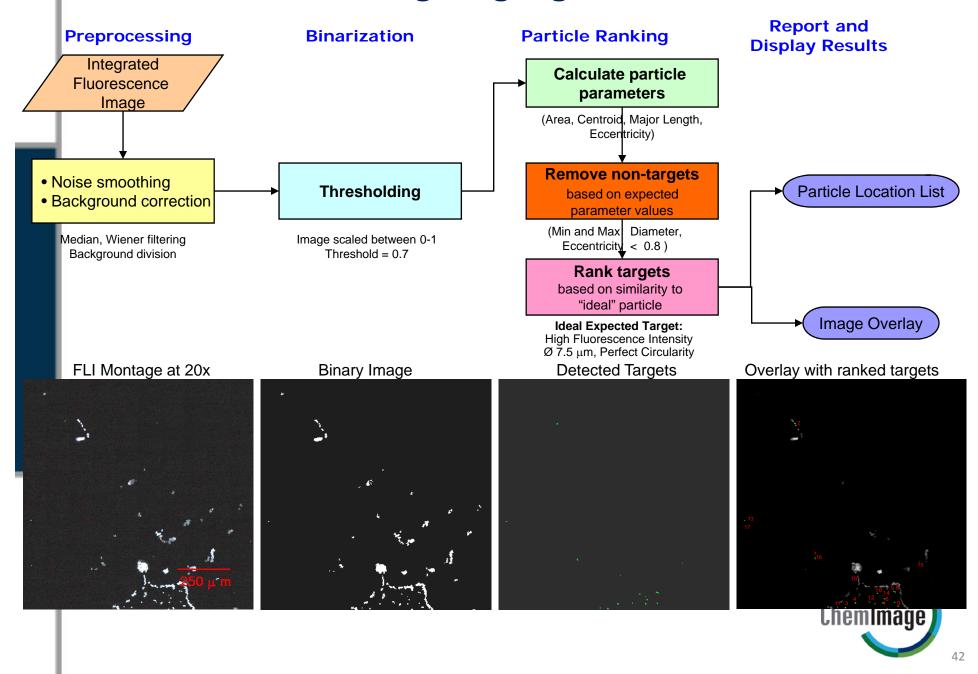
FLI montage at 20 x



# Task 5 Detection Sequence for PM Collection, Analysis and Identification

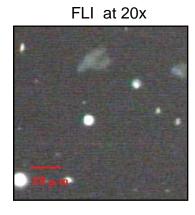


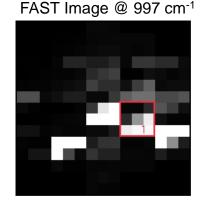
### **Task 5 Automated Targeting Algorithm**

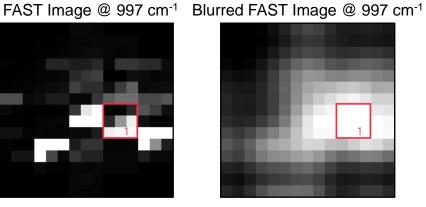


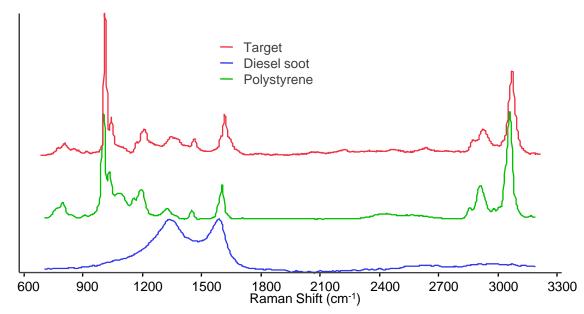
## Task 5 Detection of 5 µm Polystyrene Sphere in Collected **Indoor PM**

BFR at 20x







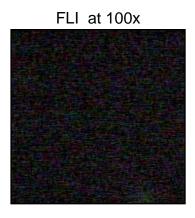


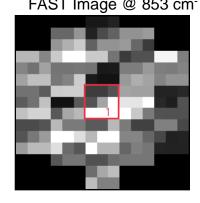
FAST Recon Steps: Cosmic, NIST, Truncate 300-3200cm<sup>-1</sup>, Baseline, Normalize Image Processing Steps: Gaussian Blur 3

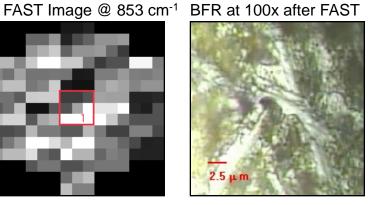


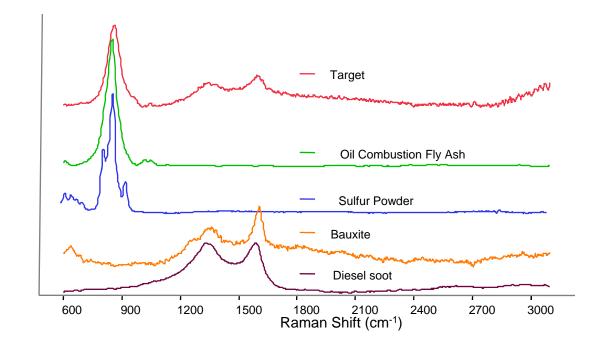
# **Task 5 Characterization of Collected Yellow Background at 100x**













## Task 5 Targeting Performance of APICD Gen I

Date	1-Jun-07	13-Jun-07	13-Jun-07	Summary
Run #	1	3	4	
Analysis Time				
Time to Target (min)	3.31	2.7	3.6	9.61
Experiment Time (sec)	15.5	23.7	58.4	97.6
Photobleach Time (sec)	10	10	15	35
Exposure Time (sec)	10	10	10	30
Raman Expt Time (sec) /Particle	24.4	23.9	30	26
(Tfocus+Td) (sec) /Particle	70.2	78	78	75
Image Params				
Deposition Area (um2)				1.94E+09
Area Analyzed (um2)	1.04E+06	1.27E+06	8.86E+05	3.19E+06
% of Deposited Area Analyzed	254 FOVs			0.17%
Analyzed Particle Params				
# Particles	146	563	505	1214
# Respirable Particles	142	529	484	1155
# Fluorescent Particles	28	89	91	208
# Fluorescent Respirable Particles	15	60	59	134
% Respirable Fluorescent Fraction	10.56%	11.34%	12.19%	11.6%
Targeting Performance				
# Targets Classes				
# of PSMS Targets	11	20	44	75
Total Targeted Particles	13	18	46	77
# Correctly Targeted Threats	11	18	44	73
# Challenges (Fluo Particles)	28	89	91	208
True Positives	11	18	44	73
False Positives	2	0	2	4
False Negatives	0	2	0	2
True Negative	15	69	45	129
Sensitivity	100.0%	90.0%	100.0%	97.3%
Specificity	88.2%	100.0%	95.7%	97.0%
FN Rate	0.0%	10.0%	0.0%	2.7%

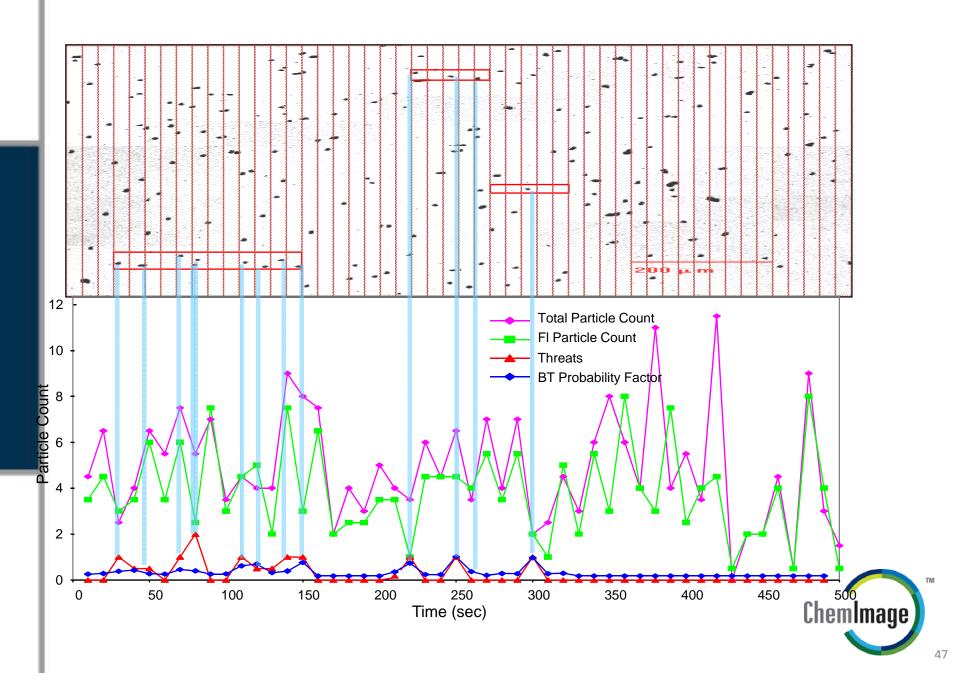


#### Task 5 Raman Identification Performance of APICD Gen I

Date	1-Jun-07	13-Jun-07	13-Jun-07	Summary
Run #	1	3	4	
Raman Identification Performance				
Algorithm				MD
Spectral Range			800	0-1800; 2800-3200
#PCs				5
Library (AI; PSMS; Bg_Gmedia; Bt	_Gmedia)			Library_070531
# Target Classes	4	4	4	4
# of TP Particles ID'd	5	3	11	19
# Challenges (# Targeted Particles)	13	18	46	77
Total # of ID Decisons	52	72	184	308
True Positives	5	3	11	19
False Positives	0	0	0	0
False Negatives	6	15	33	54
True Negative	41	54	140	235
Sensitivity	45.5%	16.7%	25.0%	26.0%
Specificity	100.0%	100.0%	100.0%	100.0%
FN Rate	54.5%	83.3%	75.0%	74.0%
FP Rate	0.0%	0.0%	0.0%	0.0%
Positive Predictive Value	100.0%	100.0%	100.0%	100.0%
Negative Predictive Value	87.2%	78.3%	80.9%	81.3%



### **Task 5 Simulated APICD Gen II Data Logger Output**



# Task 5 Modeling of APICD Gen II Device Based on Continuous Targeting and Detection

#### **Aerosol Particulate Makeup Model**

Component	Particles per liter
Non-Bio, Non-threat	900
Bio, Non-threat (targeted)	100
Bio, Threat (targeted)	25

#### **Particulate Deposition Calculations**

Component	Particles / mm² / sec
Non-Bio, Non-threat	3.0
Bio, Non-threat (targeted)	0.3
Bio, Threat (targeted)	0.1

#### **Electrostatic Collector Model**

Drum Diameter	3 inch
Collection Span	120 degree
Deposition Length	80 mm
Deposition Width	5 mm
Collection Rate	100 liters/min
Collection Efficiency	80%

# Time for farthest particle to reach Raman Position

Collection Zone	1.48 min
Time to BF / TF	0.18
Spectral Imaging	0.55
Move to Raman	0.55
Total Time prior to Raman	2.76 min



# Task 5 Particle Accounting Model - 1

Process	Sto	ep Detection Sequence	Chemimage APICD Gen II Aerosol Sensor Particle Counting Model		Total Aerosols	Non-Bio Non- Threat Particles (NBNT)	Bio Non-Threat Particles (BNT)	Threat Agent Particles (BT)	Percent of Original Agent Particles
umptions	(	0	Input Air Assumptions Biothreat Concentration (BT) NonBio Nonthreat Concentration (NBNT) Bio Nonthreat Concentration (BNT) Total Particle Loading:	111 (#/liter) 900 (#/liter) 100 (#/liter) 1,111 (#/liter)	1111	900	100 100	111	9.99% 81.01% 9.00% 100.00%
Assump			Fluorescent Fraction Makeup BT Fluorescent Fraction BNT Fluorescent Fraction NBNT Fluorescent Fraction Total Fluorescent Particles	98% percent 98% percent 2.5% percent 229 #/liter	229.3	22.5	98	109	20.6%
		Electrostatic	Collect PM						
lection	1	Collection	Collection Rate: Particle Input Rate CollectionTime Air Volume Sampled During Collection Particles Pulled In	50 Liter/min 926 particles / sec 600 seconds 500 Liters 555,500 particles	925.8 555,500	750 450,000	83 50,000	93 55,500	100.00%
trostatic Col			Total Collection Efficiency Collection Segments Active Collection Segments Deposited Particles Makeup	60% per Sceptor 3 segment 333,300 particles	333,300	270,000	30,000	33,300	60.00%
Elec			Deposition Area: Deposition Width	10.0 mm					
			Drum Rotation Parameters: Max Motor Rotation Rate	1.0 degree / sec					
		Targeting	FLI Targeting						
		5 FLI Targeting	Fluorescent Particles in FLI Targeting  Apply FLI Targeting Algorithm to Generate List of Targets  FLI Targeting Sensitivity  Fluorescent Particle Density in Active Deposition Area	5,012.9 particles  95%  76.8 particles / mm2	5,012.9	491.9	2,142.6	2,378.3	4.29%
	н		FCI Targeting	·					
	6	6 Sample Transfer	Transfer Efficiency Targeted Particles Transferred	99% 3,115.2 particles	3,115.2	138.8	1,410.6	1,565.8	2.821%
Targeting	,	7 Focus at 20X	Distance Collection to FLI Objective Rotation Time to FCI from FLI FCI Focus Efficiency	45 degree 90%					
Tar	l		FLI Targeted Particles Available for FCI Targeting # of FCI FOVs FCI Area Surveyed Fraction of the Deposition Segment Surveyed	2,803.6 130 65.3 mm <sup>2</sup> 8.18% percent	2,803.6	124.9	1,269.5	1,409.2	2.539%
	8	Acquire FCI at 20x	FCI Acquisition Efficiency FLI Targeted Particles Available for FCI Targeting FCI Fields of View Fraction of the Targeting FOV Surveyed	95% 2,663.5 particles 1 FCI Fields of View 0.8% percent	2,663.5	118.7	1,206.1	1,338.7 <b>hem lg</b>	
			Number of the Targeted Particles Surveyed	20.49 particles	20.5	0.9	9.3	попий	lugo

# Task 5 Particle Accounting Model - 2

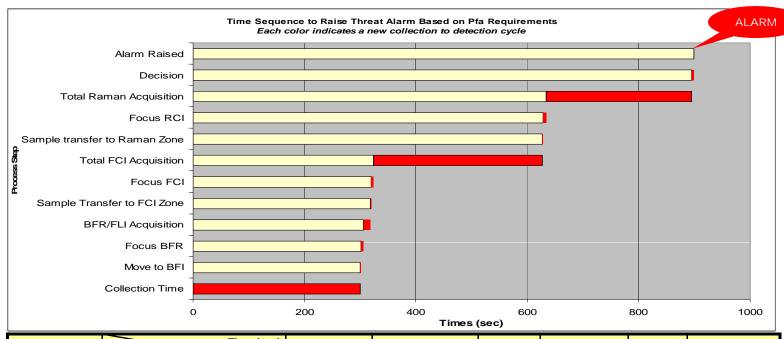
Process	Ste #	P Detection Sequence	Chemimage APICD Gen II Aerosol Sensor Particle Counting Model		Total Aerosols	Non-Bio Non- Threat Particles (NBNT)	Bio Non-Threat Particles (BNT)	Threat Agent Particles (BT)	Percent of Original Agent Particles
	9	FCI Targeting	Apply FCI Targeting Algorithm to Generate List of Targets FCI Targeting Sensitivity FCI Targeting Specificity Targets Available for RCI Analysis	95% 70% 9.8 particles	9.8	0.3	2.6	6.8	0.012%
		Raman Detection	<u>Analysis</u>						
	10	Sample Transfer	Transfer Efficiency Targeted Particles Transferred Distance Collection to FLI Objective	99% 9.655 particles 45 degree	9.7	0.3	2.6	6.8	0.012%
ection	11	Focus at 100X	Rotation Time to Raman from FCI  Auto Find Targeted Particles Find & Autofocus Efficiency	90%					
aman Det			Fraction of Particles to review Targeted Particles Available for Raman Targets in one FAST Sample Area	100% 8.8 particles 1.0 particles / FAST FOV	8.8	0.2	2.4	6.2	0.011%
œ	12	2 Acquire FAST RCI	Autoacquire RCI at 100x while maint focus between frames RCI Acquisition Efficiency Particles Available for RCI Decision Making Algorithm	<b>90%</b> 7.9 particles	7.9	0.2	2.1	5.5	0.010%
	13	3 Identification	Search against Mahalanobis Library RCI BT Detection Sensitivity RCI Threat Specificity Particle Available for Combinatorial Decision-Making	95% 70% 4.4 particles	4.4	0.1	0.6	3.7	0.007%
			<u>Decision</u>						
Decision	14	System Report	Compare BT particles with set threshold  Misclassification  Decide Air Safety Based On Particles' #  The minimum Number of Threat Particles needed to Achieve Required FAR	95% 4.1 particles	4.1	Why? 0.1	0.6	3.5	0.006%
	ı		Number of iterations to achieve Threat count	1 iterations					
		Regeneration	Cleaning (Particles Added to the deposited ones) Cleaning is added here to allow particle accumulation to be accounted for in the	ne overall particle counting					
ıtion	15	Sample Transfer	Sample transfer to Regeneration Zone Distance from RCI Zone to Collection Zone Transfer Efficiency	107.5 99%					
nera	16	Surface Regeneration	Targeted Particles Transferred	4.1 particles	4.1	0.1	0.6	3.5	
Regeneration			Cleaning Efficiency Residual Particles (Total) Residual BT, BNT, NBNT Particles (per FLI FOV)	94.0% 0.246 particles 11.211 particles / Targeting FOV	0.00	0			0.006%
			TOTAL Resudial Particles on the surface	19,998	19,998.0	16,200	1,800	hemlm	lage
									50

#### **Task 5 APICD Gen II Performance Compared for 3 scenarios**

Biothreat concentration = 9%, 50%, 91%

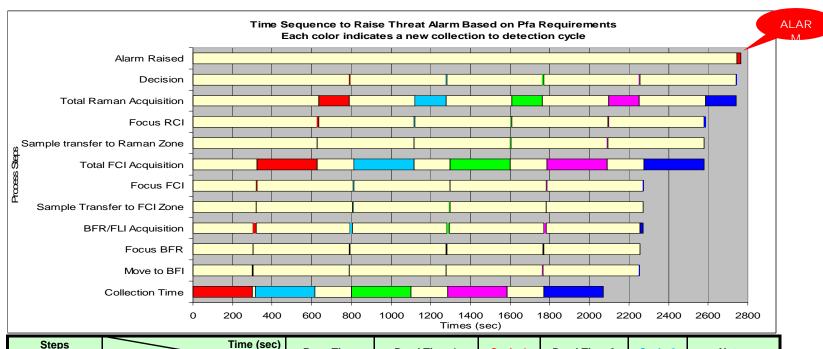
ChemImage APICD Gen II Aerosol Sensor Particle Counting Model		Total Aerosols	NBNT	BNT	вт
Bio Nonthreat Concentration (BNT)	100.0 (#/liter)			100.0	
NonBio Nonthreat Concentration (NBNT)	900.0 (#/liter)		900		
Biothreat Concentration (BT)	100.0 (#/liter)	9.0%			100
	1,000.0 (#/liter)	50.0%			1000
	10,000.0 (#/liter)	90.9%			10000
CollectionTime	300.0 seconds				
Particles in one FLI Targeting FOV	32.1 particles / Tai	rgeting FOV			
	131.0 particles / Tai	rgeting FOV			
	1,119.9 particles / Tai	rgeting FOV			
# of FLI FOVs	130.0 FOVs				
Fluorescent Particles in FLI Targeting	4,168.6 particles	4168.6	1311.8	1428.4	1428.4
	17,024.4 particles	17024.4	1311.8	1428.4	14284.1
	145,581.6 particles	145581.6	1311.8	1428.4	142841.4
FCI Analysis Time	300.0 seconds				
FCI Fields of View	1.0 FCI Fields of	View			
Number of the Targeted Particles Surveyed	14.8 particles	14.8	2.4	6.2	6.2
	70.5 particles	70.47	2.43	6.18	61.85
	627.1 particles	627.1	2.4	6.2	618.5
Decide Air Safety Based On Particles' #	2.6 particles	2.6	0.2	0.4	2.1
	21.6 particles	21.6	0.2	0.4	21.0
	211.0 particles	211.0	0.2	0.4	210.5
Min # of Threat to achieve false Alarm Rate of 10 <sup>-4</sup>	10.0 RCI analysis	time is restricted by	the max of 10	threats	
Number of iterations to achieve Threat count	5.0 iterations				
	1 iterations				
	1 iterations				
Alarm raised	0.76 hrs	45.6 ।	min		
	0.25 hrs	15.0			
	0.25 hrs	15.0 ו	min		Րեզ

#### Task 5 Time to Alarm Model for 50% Biothreat in PM



16(666)							
Steps	Time (sec)	Base Time	Dead Time 1	Cycle 1	Dead Time 2	Cycle 2	Notes
1	Collection Time	300.0	0	300	0	0	Parallel to FCI
2	Move to BFI	2.1	300	2.0	0	0	Serial
3	Focus BFI	3.0	302	3.0	0	0	Serial
4-5	FLI Acquisition	13.0	305	13.0	0	0	Serial
6	Transfer to FCI Zone	2.3	318	2.3	0	0	Serial
7	Focus FCI	3.0	320	3.0	0	0	Serial
8-9	Total FCI Acquisition	303.0	323	303	0	0	Serial
10	Transfer to Raman Zone	2.3	626	2.3	0	0	Serial
11	Focus RCI	5.0	629	5.0	0	0	Serial
12-13	Raman Acquisition	154.3	634	154	0	0	Serial
14	Decision	5.0	788	5.0	0	0	Parallel
	Alarm Raised	1	2745 sec (15 min)				

#### Task 5 Time to Alarm Model for 9% Biothreat in PM



Steps	Time (sec)	Base Time	Dead Time 1	Cycle 1	Dead Time 2	Cycle 2	Notes
	Step						
1	Collection Time	300.0	0	300	15	300	Parallel to FCI
2	Move to BFI	2.1	300	2.0	300	2.0	Serial
3	Focus BFI	3.0	302	3.0	329	3.0	Serial
4-5	FLI Acquisition	13.0	305	13.0	2.0	13.0	Serial
6	Transfer to FCI Zone	2.3	318	2.3	486	2.3	Serial
7	Focus FCI	3.0	320	3.0	485	3.0	Serial
8-9	Total FCI Acquisition	303.0	323	303	185	303	Serial
10	Transfer to Raman Zone	2.3	626	2.3	486	2.3	Serial
11	Focus RCI	5.0	629	5.0	483	5.0	Serial
12-13	Raman Acquisition	154.3	634	154	334	154	Serial
14	Decision	5.0	788	5.0	483	5.0	Parallel
	Alarm Raised		2745 sec (46 min)				

#### Task 5 BT "Threat" Detection Simulation

