

1                    Sampling Artifacts from Conductive Silicone Tubing

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3                    A manuscript submitted to *Aerosol Science & Technology*

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31                    **Abstract.** We report evidence that carbon impregnated conductive silicone tubing used in  
32 aerosol sampling systems can introduce two types of experimental artifacts: 1) silicon  
33 tubing dynamically absorbs carbon dioxide gas, requiring greater than 5 minutes to reach  
34 equilibrium and 2) silicone tubing emits organic contaminants containing siloxane that  
35 adsorb onto particles traveling through it and onto downstream quartz fiber filters. The  
36 consequence can be substantial for engine exhaust measurements as both artifacts directly  
37 impact calculations of particulate mass-based emission indices. The emission of  
38 contaminants from the silicone tubing can result in overestimation of organic particle

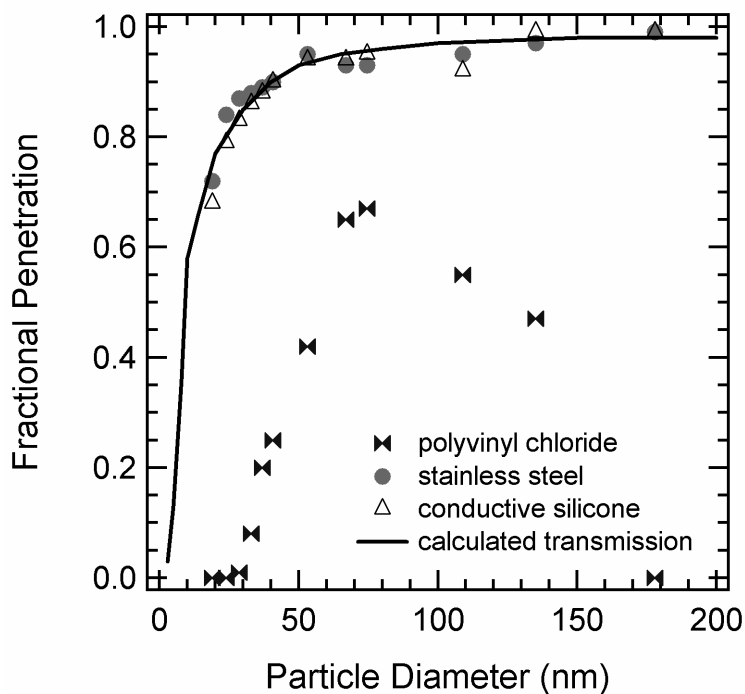
39 mass concentrations based on real-time aerosol mass spectrometry and the off-line  
40 thermal analysis of quartz filters. The adsorption of siloxane contaminants can affect the  
41 surface properties of aerosol particles; we observed a marked reduction in the water-  
42 affinity of soot particles passed through conductive silicone tubing. These combined  
43 observations suggest that the silicone tubing artifacts may have wide consequence for the  
44 aerosol community and should, therefore, be used with caution. Contamination  
45 associated with the use of silicone tubing was observed at ambient temperature and, in  
46 some cases, was enhanced by mild heating (<70 °C) or pre-exposure to a solvent  
47 (methanol). Further evaluation is warranted to quantify systematically how the  
48 contamination responds to variations in system temperature, physicochemical particle  
49 properties, exposure to solvent, sample contact time, tubing age, and sample flow rates.

50

51 **1. Introduction.** Typical aerosol characterization experiments require tubing to convey  
52 particle-laden gas streams from the source to the particle characterization instruments.  
53 Aircraft gas turbine engine exhaust gas – which must be cooled and diluted prior to  
54 reaching the instruments – is a specific particle source which nearly always requires use  
55 of sample extraction and sample tubing (Lobo et al., 2007). Even most studies of  
56 ambient particles require a short length (< 3m) of tubing to convey and distribute sample  
57 to particle instruments. To avoid experimental bias, sample tubing must meet the  
58 following two requirements: 1) high particle transmission efficiency (as close to 100% as  
59 possible) for particles of all important sizes; 2) zero particle contamination (including  
60 condensation and/or nucleation).

61           Several monographs describe the guidelines for minimizing particle losses in  
62 sample probes and sample lines (Brockman, 1993; Hinds, 1999). Kumar et al. (2008)  
63 recently reported results and comparison with theory for a line-loss study directed at  
64 quantifying the particle loss effects encountered in street canyon experiments. The most  
65 prevalent mechanisms for particle loss include diffusional loss, inertial loss, and  
66 electrostatic loss. For particles relevant to engine exhaust studies (3-300 nm diameter),  
67 diffusional and inertial losses are minimized by maintaining turbulent flow, employing  
68 sample tubes with large volume/surface area ratio, maintaining short residence times, and  
69 avoiding sharp bends. Electrostatic losses are eliminated by use of conductive tubing  
70 which prevents localized build-up of charge on the tube walls. Metals (copper,  
71 aluminum, stainless steel) are the preferred materials for particle sampling tubing. In  
72 some applications, flexible tubing may be desired – especially in cases where rapid setup  
73 is required, for translating sample probe systems, or if the sampling system requires  
74 connections be made in tight spaces.

75           Recent use of carbon impregnated conductive silicone tubing as a flexible  
76 alternative to metal tubing has become prevalent. Several vendors supply silicone tubing  
77 and we have found no substantive variation in the performance of their products.  
78 Compared to metal tubing, conductive silicone tubing can be assembled rapidly and made  
79 to conform to unusual space requirements. Based on particle transmission alone,  
80 conductive silicone tubing is an acceptable substitute to metal. Figure 1 shows that  
81 particle transmission through conductive silicone tubing is nearly equal to that for  
82 stainless steel tubes, all other variables held nearly constant (50 m of tubing flowing  
83 particle laden gas at 50 SLPM, 297.4 K, 1 bar, 1.75 cm i.d. for silicone tubing, 1.17 cm



84

85 **Figure 1.** Fractional penetration (transmission) of size selected soot particles through test  
86 sections of stainless steel, conductive silicone, and polyvinyl chloride tubing. Fractional  
87 penetration is nearly identical for stainless steel, and conductive silicone tubing.  
88 Electrostatic losses in the non-conducting polyvinyl chloride tubing greatly reduce  
89 particle transmission. The penetration calculated for conductive tubing is shown for  
90 reference. Calculated penetration includes losses due to diffusion and inertia (settling),  
91 but not electrostatic losses. Conditions: 50 SLPM flow rate, 1.27 cm i.d. tubing, 50m  
92 tubing length, 25°C, 1 bar pressure.

93

94

95 i.d. for stainless steel tubing). For the conductive silicone and stainless steel tubing,

96 penetration is greater than 90% for particles between about 50 and 200 nm and drops

97 rapidly for particles smaller than 50 nm. The predicted penetration agrees exceptionally

98 well with that observed for all particle sizes considered, provided that the tubing is

99 conductive. The much lower particle penetration shown in Figure 1 for polyvinyl

100 chloride (PVC) tubing is likely due to electrostatic losses. Typical application of

101 conductive silicone tubing for particle counting measurements (e.g. condensation particle

102 counters (CPC), scanning mobility particle sizers (SMPS), and kindred instruments) may  
103 be justified.

104

105 **2. Sampling Artifacts.** Despite being appropriate for certain applications, we  
106 recommend that silicone tubing be used judiciously. We have identified two sampling  
107 artifacts that conductive silicone tubing introduces: 1) biases in sampled carbon dioxide  
108 concentrations, and 2) emission of siloxane compounds that contaminate air and particles  
109 transported through the tubing. We share laboratory and field data which provide  
110 evidence of both types of artifacts. The carbon dioxide artifact can cause miscalculation  
111 of sample dilution and pollutant emission indices (mass pollutant emitted per mass of fuel  
112 consumed) for engine exhaust studies. The siloxane artifact alters particle composition,  
113 inflates particle mass (especially mass of semi-volatile particles), changes particle surface  
114 properties, and introduces positive mass biases into filter-based sampling methods for  
115 particulate carbon. Table 1 summarizes our findings and respective conditions. When  
116 combined with the findings of other reports (Yu, 2009 and Schneider et al., 2006), a  
117 sufficient body of experimental evidence exists to warrant caution when using silicone  
118 tubing for aerosol sampling and characterization experiments, especially since standard  
119 testing procedures may not reveal the contamination.

120 ***Artifact 1: Carbon Dioxide Uptake.*** For engine exhaust measurements, above ambient  
121 levels of CO<sub>2</sub> are taken as tracers for fuel combustion. Uptake of CO<sub>2</sub> into silicone  
122 tubing will introduce errors in the calculation of the dilution ratio used to quantify the  
123 mass of pollutant release per unit fuel burned (emission indices). To quantify the uptake  
124 effect, the concentration of CO<sub>2</sub> was measured before and after passing through test

125

**Table.** Summary of Silicone Tubing Observations

Particle source	Sample Temp <sup>a</sup> (°C)	L (m)	i.d. (cm)	t <sub>res</sub> (sec)	Observation	Description in text
filtered air	24.3	15.2	1.75		~5% CO <sub>2</sub> uptake into tubing	Fig. 2
filtered air	22 and 45	0.75	1.1	0.5	gaseous organic carbon sorbed onto downstream quartz filters	Fig. 7
filtered air	20-25	0.3	0.64	0.2	PDMS particle entrainment <50 ng m <sup>-3</sup>	Section 4. Mechanisms of Siloxane Uptake by Particles
ambient sulfate particles	20-25	~1	0.64	0.02	<0.1 wt% uptake of PDMS	Section 4. Mechanisms of Siloxane Uptake by Particles
gas turbine engine lubrication oil	<70 <sup>b</sup>	~1	0.64	0.02	<2 wt% uptake of PDMS onto particles	Section 4. Mechanisms of Siloxane Uptake by Particles
gas turbine engine lubrication oil	20-25	0.3	0.64	0.2	1-2 wt% uptake of PDMS onto particles	Section 4. Mechanisms of Siloxane Uptake by Particles
organic PM in laboratory air <sup>c</sup>	20-25	0.3	0.64	0.2	1-3 wt% uptake onto particles	Fig. 4
atomized squalane <sup>d</sup> particles	20-25	1	0.64	1.5	conclusive identification of PDMS using VUV ionization and high resolution mass spectrometry	Fig. 5
gas turbine engine soot	<70 <sup>b</sup>	~1	0.64	0.02	identification of PDMS contaminant	Fig. 3
diffusion flame soot	20-25	0.3	0.64	0.2	30 wt% uptake of PDMS onto particles	Consequence 1: Addition of Particle Mass
diffusion flame soot	22 and 51	0.75	1.1	0.5	10 wt% onto particles	Fig. 4
diffusion flame soot	22 and 45	0.75	1.1	0.5	PDMS detected on particles using FTIR	Fig. 6
diffusion flame soot	22 and 45	0.75	1.1	0.5	reduced soot's water affinity	Fig. 8

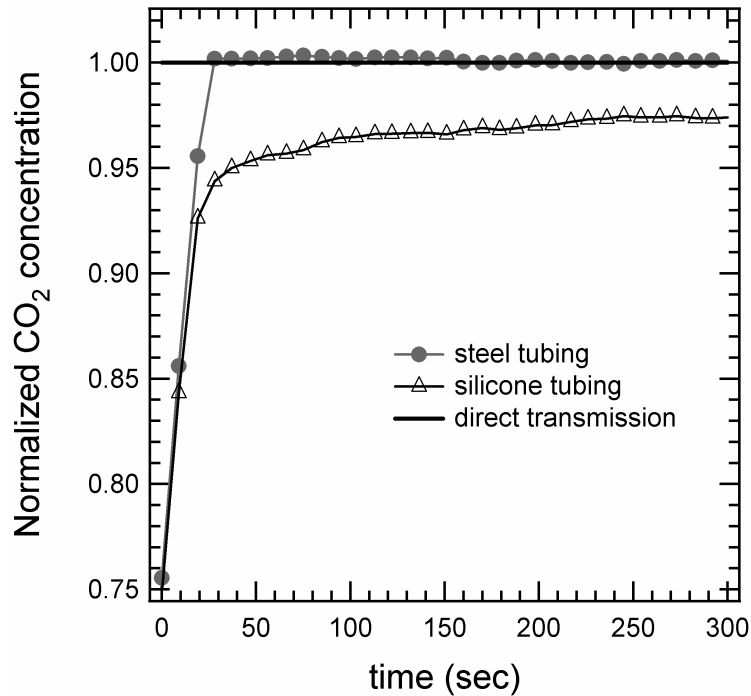
126 <sup>a</sup> air temperature inside tubing at its heated inlet (i.e., maximum temperature)

127 <sup>b</sup> estimated based on exhaust gas temperature of 900 °C and 20:1 dilution with 30 °C  
128 nitrogen

129 <sup>c</sup> air found in the Aerodyne Research, Inc. laboratory space contained organic particles,  
130 which under un-controlled conditions, picked up PDMS from the conductive silicone  
131 tubing

132 <sup>d</sup> tubing expose to methanol prior to observation of PDMS contamination of particles

133 sections of flexible conductive silicone (1.75 cm i.d. x 15.2 m) and rigid 306 stainless  
134 steel tubing (1.17 cm i.d. x 15.2 m). The sample lengths used here are typical for engine  
135 exhaust experiments which require a substantial standoff distance between the engine and  
136 the test equipment, as is the case for testing of gas turbine engine exhaust. Flow rates of  
137 5 and 50 SLPM were used giving residence times of ~40 and 4 seconds. Three CO<sub>2</sub>  
138 concentrations characteristic of engine exhaust were tested (5.00%, 1.69% and 0.80%).  
139 In a typical experiment, the test section of tubing was conditioned by flowing CO<sub>2</sub>-free  
140 nitrogen gas over it for roughly 10 min. Then, CO<sub>2</sub> was introduced into the stream at the  
141 desired mixing ratio and fed directly to the CO<sub>2</sub> detector, bypassing the test section. The  
142 CO<sub>2</sub> gas was then re-directed to the test section of tubing and the CO<sub>2</sub> concentration  
143 monitored. Figure 2 shows representative CO<sub>2</sub> data collected after passing through the  
144 stainless steel and silicone test sections. Data are normalized using the CO<sub>2</sub>  
145 concentration measured in bypass mode. Compared to stainless steel, the CO<sub>2</sub>  
146 concentration was reduced by ~5% (from 50,000 ppm to 47,500 ppm) after passing  
147 through the silicone tubing. Similar decreases in CO<sub>2</sub> concentrations were observed at  
148 the lower CO<sub>2</sub> concentrations tested. The CO<sub>2</sub> concentrations appeared to recover with  
149 time; however, they did not fully recover after 5 min of stable operation. For many  
150 experiments (e.g., transient exhaust plume sampling or when engine test time is limited),  
151 the transient uptake of CO<sub>2</sub> may introduce systematic errors on the order of 5% in CO<sub>2</sub>  
152 concentration and emission index calculations - or require careful planning of test  
153 conditions and substantial (> 5 min) equilibration times. Tubing lengths shorter than 15.2  
154 m had smaller fractional CO<sub>2</sub> uptake, and the system returned to 100% CO<sub>2</sub> transmission



155

156 **Figure 2.** CO<sub>2</sub> concentrations in air dilution gas (initially 50,000 ppm CO<sub>2</sub>) directly from  
157 the flow manifold and after transport through 15.2 m of stainless steel or 15.2 m of  
158 conductive silicone tubing. The CO<sub>2</sub> concentration is about 5% lower after transport  
159 through silicone tubing as compared to its concentration direct from the manifold or after  
160 transport through stainless steel tubing. The CO<sub>2</sub> concentration after transmission  
161 through silicone tubing appears to slowly recover, but the dynamic response time is  
162 greater than 5 min.

163

164 more rapidly than shown in Figure 2, indicating that the CO<sub>2</sub> absorption effect might be  
165 minimized using short lengths of silicone tubing.

166

167 **Artifact 2: Emission of Siloxanes.** We have seen evidence of contamination emitted  
168 from the silicone tubing used for several different research applications and have  
169 identified siloxanes as the key constituent of the contamination using independent  
170 analytical techniques.

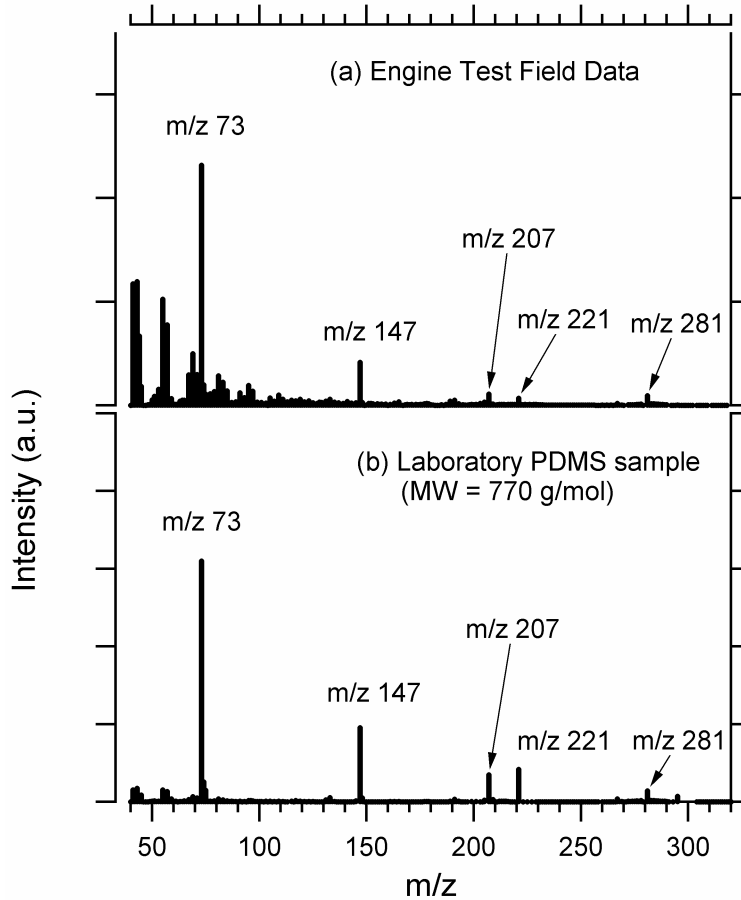
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We previously observed siloxane compounds during several campaigns to  
172 characterize aircraft engine exhaust particles (APEX-1, Lobo et al., 2007, Onasch et al.,



173 2008; JETS-APEX2/APEX3, Timko et al., 2009). With repeated observation, we grew  
174 suspicious that the source of the siloxanes may not be aircraft related. We have now  
175 accumulated data from three separate sources that confirm that silicone tubing is the  
176 source of the siloxane contamination: 1) 70 eV electron impact (EI) ionization aerosol  
177 mass spectrometry of gas turbine engine soot particles and laboratory jet fuel diffusion  
178 flame soot, 2) VUV-ionization high-resolution aerosol mass spectrometry of organic  
179 particles, and 3) Fourier transform infrared (FTIR) spectroscopy of diffusion flame soot  
180 particles collected on quartz filters.

181         Although we had detected trace siloxane during previous aviation experiments,  
182 these events provided too little signal (<5% of the total organic PM) to perform a  
183 rigorous chemical analysis. A more recent engine test (Timko et al., 2009) provided  
184 sufficient data to make a positive identification. Figure 3a shows a characteristic mass  
185 spectrum ( $m/z$  40-300) of the engine exhaust particles obtained by an aerosol mass  
186 spectrometer (Jayne et al., 2000; Canagaratna et al., 2007). During that test, the majority  
187 (20 m) of tubing was stainless steel or copper, with two important exceptions: 1) several  
188 short sections (1m total length) of silicone tubing were used in a valve box designed to  
189 distribute gases to various experimental groups and 2) two short pieces (1m total length)  
190 of 0.51 cm i.d. conductive siloxane tubing were used to make several tight connections 2  
191 m before the sample gas reached the particle characterization instruments. Due to its  
192 proximity to the engine, the tubing in the valve box may have been exposed to elevated  
193 temperatures ( $T < 70$  °C) during the test. Though the exact temperature at that location  
194 was never measured, we estimated an upper limit. We assumed that the maximum  
195 exhaust gas temperature was 900 °C, consistent with data from a recent field



196

197 **Figure 3.** Characteristic EI ionization mass spectra obtained for a) engine exhaust  
198 particles and b) aerosolized polydimethylsiloxane (PDMS). The  $m/z$  features distinctive  
199 of PDMS, ( $m/z = 73, 147, 207, 221, 281$ ) are readily apparent as a contaminant in the  
200 engine exhaust particles.

201

202 measurements (Wey et al., 2006). Prior to the sample reaching the silicone tubing, the

203 raw exhaust gas was diluted by a factor of at least 20 using dry nitrogen at 30 °C.

204 Assuming similar heat capacities for nitrogen and the exhaust gas (which is an accurate

205 simplification), the estimated gas temperature contacting the silicone tubing was 70 °C.

206 Since we took an upper limit on the initial exhaust gas temperature and since heat transfer

207 with the surroundings would further reduce the final temperature, we assign 70 °C as the

208 upper limit for the gas when it contacted the silicone tubing during the gas turbine

209 exhaust experiments.

210 The spectrum in Figure 3a was measured for particles sampled 1 m from the exit  
211 nozzle of a commercial gas turbine engine operating at 85% of its full rated thrust.  
212 Electron impact (EI), a technique associated with significant molecular fragmentation,  
213 was the ionization method for the spectra in Figure 3, and the resolution was unit mass  
214 ( $m/\Delta m \approx 800$  at  $m/z$  184). In addition to features consistent with fragmentation of a  
215 hydrocarbon backbone ( $m/z = 41, 43, 55, 57$ , etc.), a series of lines with  $m/z = 73, 147,$   
216  $207, 221,$  and  $281$  is clearly evident in the mass spectrum. The distinctive  $m/z$  pattern  
217 allows identification of an organosilicon compound in the particles.

218 Dong et al. (1998) recorded the time-of-flight secondary ion mass spectra (ToF-  
219 SIMS) of several organosilicon polymers using gas chromatography tandem mass  
220 spectrometry. Dong et al. (1998) report that the  $[nR + 73]^+$  fragment is a common feature  
221 of siloxanes. Of the silicon-bearing polymers tested by Dong et al. (1998),  
222 polydimethylsiloxane (PDMS) provided the best match to the field spectrum shown in  
223 Figure 3a. Schneider et al. (2006) observed the  $m/z$  147, 221, 295 series during aerosol  
224 mass spectrometer characterization of soot particles that had passed through a short  
225 (unspecified) length of conductive silicone tubing and assigned the spectra features to  
226  $[(\text{SiOC}_2\text{H}_6)_n(\text{SiOC}_2\text{H}_5)]^+$  (with  $n = 1, 2,$  and  $3$ ). Yu et al. (2009) found a siloxane  
227 contaminant on  $\text{NaNO}_3$  particles that had contacted conductive silicone tubing. Yu et al  
228 (2009) used high resolution electron impact ionization to assign the  $m/z$  147, 221, 295,  
229 369 series to  $[(\text{SiOC}_2\text{H}_6)_n\text{Si}(\text{CH}_3)_3]^+$  (with  $n = 1, 2, 3, 4$ ) and the  $m/z$  207, 281, 355, 429  
230 series to  $[(\text{SiOC}_2\text{H}_6)_n(\text{SiOCH}_3)]^+$  (with  $n = 2, 3, 4, 5$ ).

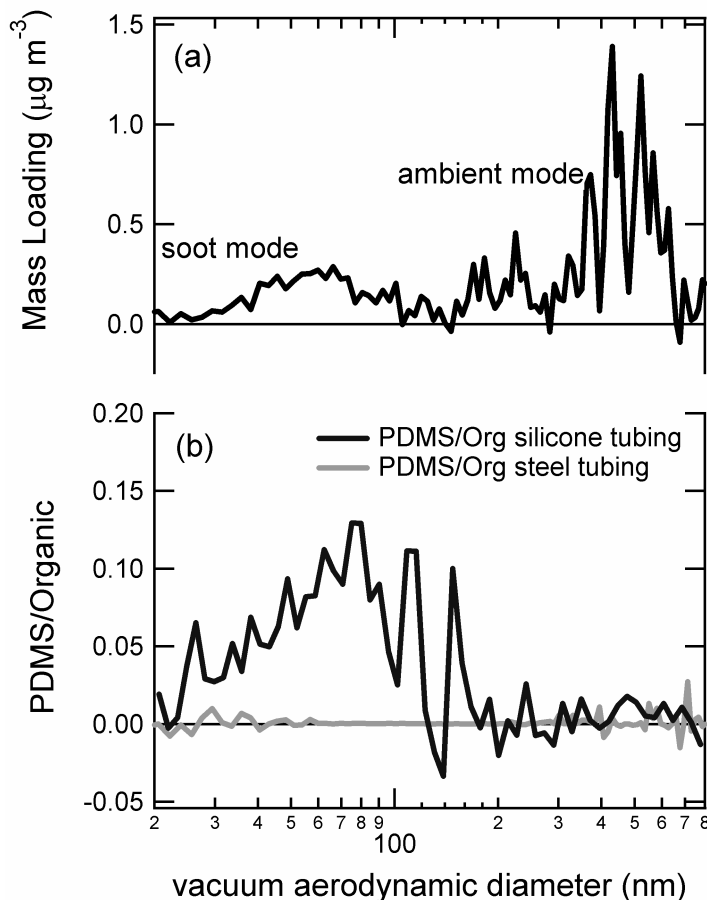
231 We confirmed the PDMS assignment by performing a laboratory test. Figure 3b  
232 shows the mass spectrum of a PDMS sample obtained by aerosolizing the polymer

233 directly into the same aerosol mass spectrometer used during the field test. The match  
234 between the primary feature present in Figure 3a and Figure 3b is excellent. PDMS  
235 samples with different molecular weights (700, 1,500, and 2,500) are qualitatively  
236 similar, the only difference being that the ratio of  $m/z = 73$  to the other peaks decreases  
237 with molecular weight.

238         Data from the engine test experiments identified the PDMS contaminant, but  
239 could not verify the source of PDMS as the polymer is used in many common  
240 applications and the contaminant has been observed in previous aerosol characterization  
241 experiments. Hayden et al. (2008) reported a siloxane contaminant resulting from a  
242 silicone sealant used in a counter-flow virtual impactor. Since we did not use silicone  
243 sealant in any particle accessible regions of the sampling line, we dismissed sealant as a  
244 potential contamination source. Other contaminant sources include the fuel tank and fuel  
245 line seals, fuel additive, exhaust gas probes, and sample transfer lines may have plausibly  
246 introduced PDMS into the particles. We dismissed the fuel-related options as we deemed  
247 it unlikely that PDMS would survive the combustion process. Several different exhaust  
248 gas extraction probes were used throughout the experiment and they yielded similar  
249 PDMS signatures and quantities, leaving the common sample transfer lines – and the  
250 silicone tubing used in them - as the most likely source of the PDMS contaminant.

251         We performed three tests to identify unequivocally the silicone tubing as the  
252 source of the PDMS contaminant and to demonstrate that the artifact is not limited to  
253 engine exhaust studies.

254         In one experiment, the size-resolved composition of particles emitted from a  
255 diffusion flame of kerosene fuel was characterized using on-line aerosol mass



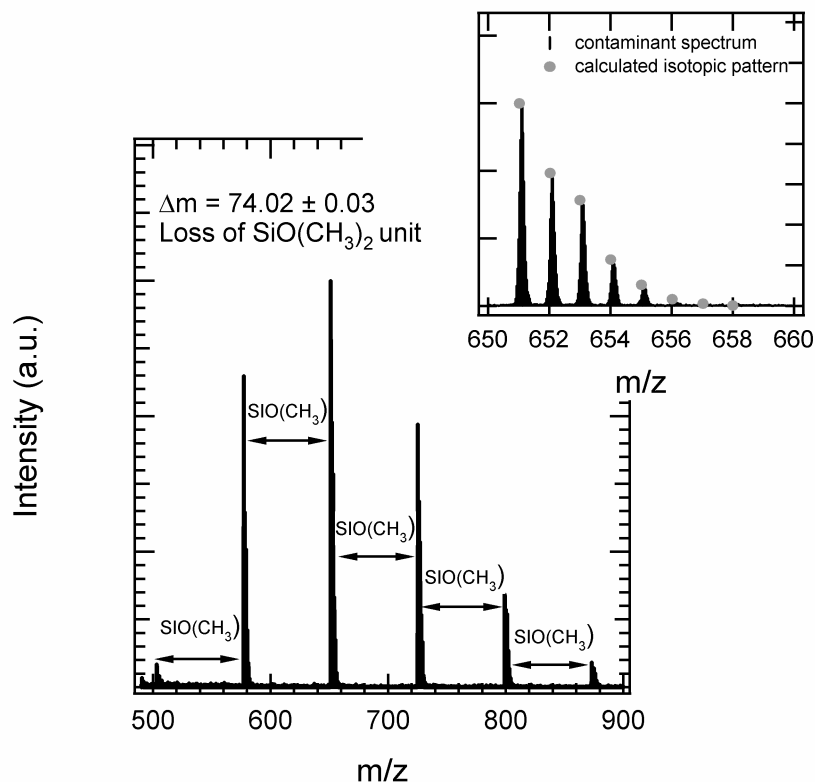
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257 **Figure 4.** Particle size distribution of organic material and polydimethylsiloxane (PDMS)  
 258 coated on soot particles generated by combustion of kerosene in a diffusion flame: a)  
 259 total mass loading of organic particles obtained using either silicone (shown) or stainless  
 260 steel tubing, b) ratio of PDMS to total organic obtained for using either silicone or  
 261 stainless steel tubing. Data were collected for an hour by an aerosol mass spectrometer.  
 262 When the silicone tubing is used, the mass loading of PDMS is about 10% of the total  
 263 organic material in the 30-100 size range and roughly 2% in the 400-800 nm range. The  
 264 size distribution of PDMS and organic material indicates well-mixed particle population  
 265 for both soot sized particles (30-100 nm) and accumulation mode particles (400-800 nm).  
 266

267 spectrometry. The combustion-generated particles were passed through either a 30.5 cm  
 268 test section of as-received conductive silicone tubing (0.953 cm o.d., 0.635 cm i.d.) or  
 269 stainless steel tubing (0.635 cm o.d., 0.476 cm i.d.). Figure 4a shows the particle size  
 270 distribution attributed to organic PM in the soot while Figure 4b shows the ratio of  
 271 PDMS to organic as a function of particle size. Substantial PDMS pick up is evident on

272 the 30-100 nm vacuum aerodynamic diameter soot particles (roughly 10% by mass), and  
273 the PDMS is present as an internally mixed aerosol together with soot particles (Timko et  
274 al., 2008; Onasch et al., 2008). The silicone tubing data in Figure 4b show that organic  
275 aerosol particles present in the air in our laboratory and used to dilute the primary exhaust  
276 sample (i.e., the size mode greater than about 500 nm) picked up about 1-3 wt % of the  
277 PDMS contaminant. Figure 4b shows that PDMS pick up was negligible when stainless  
278 steel tubing replaced the silicone tubing, confirming the silicone tubing as the source of  
279 the contaminant.

280         In a second experiment, designed to study heterogeneous chemistry (Smith et al.,  
281 2009), we obtained independent evidence supporting our PDMS assignment using a high  
282 resolution ( $m/\Delta m \sim 3,000$  at  $m/z$  184) mass spectrometer coupled with a soft-ionization  
283 technique (10.5 eV VUV radiation). In this experiment, organic aerosol particles  
284 (squalane:  $C_{30}H_{62}$ ) were generated in a nucleation oven and later sampled into the aerosol  
285 mass spectrometer through a short (1 m) section of silicone tubing. During post-  
286 processing, evidence of PDMS compounds was observed in the mass spectra; the  
287 contamination was strongest after the silicone tubing was inadvertently exposed to  
288 methanol. The high resolution, soft-ionization instrument permitted us to obtain mass  
289 defect spectrometry data for high  $m/z$  ( $m/z > 500$ ) PDMS ions. Figure 5 presents  
290 characteristic high resolution mass spectra data for the large PDMS fragment ions. The



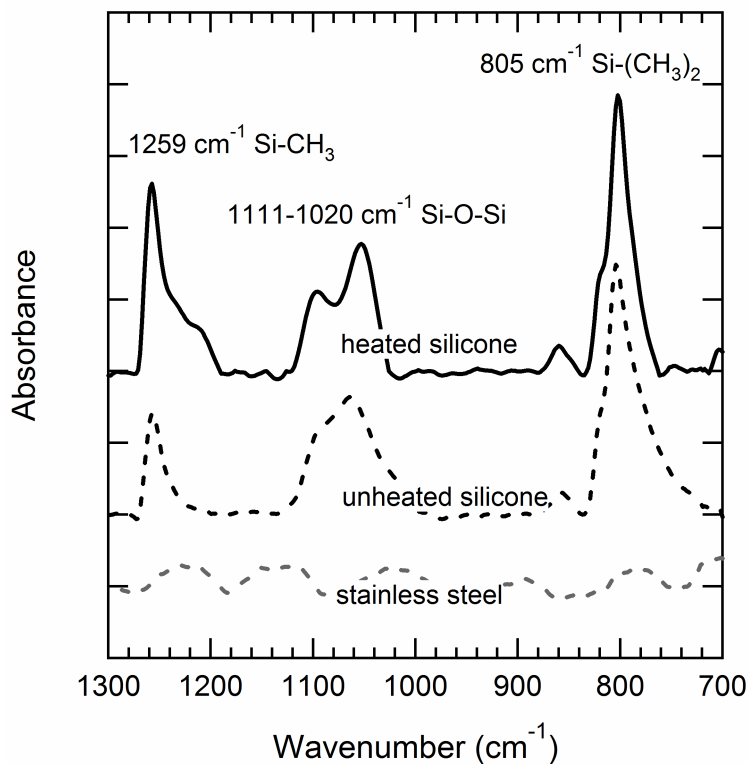
291  
 292 **Figure 5.** High resolution ( $\Delta m/m \sim 3,000$ ) mass spectrum for the PDMS contaminant  
 293 obtained after soft-ionization using VUV radiation. Adjacent peaks are separated by  
 294  $74.02 \pm 0.03$  mass units, which corresponds to  $\text{SiO}(\text{CH}_3)_2$  ( $m/z$  74.02). The inset  
 295 compares the experimental spectrum to the predicted isotopic fragmentation pattern for  
 296  $(\text{SiO}(\text{CH}_3)_2)_8\text{SiOCH}_3^+$ .  
 297  
 298 distinct  $m/z$  series containing 503, 577, 651, 725, 799, 873, and 947 is clearly evident in  
 299 the data. In slight contrast to the EI ionization data presented in Figure 3, the difference  
 300 between consecutive peaks resulting from soft ionization is always 74 mass units. In fact,  
 301 for the high resolution spectra the exact difference is  $74.02 \pm 0.03$  mass units, matching  
 302 the weight of the  $\text{SiO}(\text{CH}_3)_2^+$  fragment ion within instrumental mass calibration  
 303 precision. The soft ionization series follows the distinct  $m/z$  pattern of  $59 + 74(n)$ ,  
 304 indicating the molecular ion carrier is either of the series  $\text{SiO}(\text{CH}_3)(\text{SiO}(\text{CH}_3)_2)_n^+$  or  
 305  $\text{SiH}(\text{CH}_3)_2(\text{SiO}(\text{CH}_3)_2)_n^+$ . Based partially on the  $(\text{SiO}(\text{CH}_3)_2)_n^+$  molecular ion carrier  
 306 observed with EI ionization, we suspect that the soft ionization carrier is of the

307  $\text{SiO}(\text{CH}_3)(\text{SiO}(\text{CH}_3)_2)_n^+$  series. As further support of the  $\text{SiO}(\text{CH}_3)$   $m/z$  59 assignment,  
308 the strongest cluster of peaks occurs at  $m/z$  651.11(6) which more closely matches the  
309 mass of  $(\text{SiO}(\text{CH}_3)_2)_8\text{SiOCH}_3^+$   $m/z$  651.14(5) than  $(\text{SiO}(\text{CH}_3)_2)_8\text{SiH}(\text{CH}_3)_2^+$   $m/z$   
310 651.18(2). The inset to Figure 5 shows a close-up of the  $m/z$  651 mass spectra region  
311 side-by-side with the calculated isotopic pattern for  $(\text{SiO}(\text{CH}_3)_2)_8\text{SiOCH}_3^+$ . The isotopic  
312 match is excellent, confirming our assignment of the  $\text{SiO}(\text{CH}_3)_2$  monomer to the  
313 observed spectrum.

314 In a third experiment, FTIR spectroscopy provided complementary identification  
315 of siloxane condensed on soot particles collected on filters. The soot was produced in a  
316 diffusion flame of methane and air (Kirchstetter and Novakov, 2007) and was collected  
317 with three PTFE membrane filters (Pall Life Sciences, 2.0  $\mu\text{m}$  pore size) in stainless steel  
318 holders immediately downstream of two sections of conductive silicone tubing (for 1.27  
319 cm hose barb) and one section of 1.27 cm stainless steel tubing, each 75 cm long.  
320 Heating tape was applied to the upstream end of one of the pieces of silicone tubing. The  
321 air temperature four centimeters into the upstream ends of the heated and unheated  
322 silicone tubing lines (the point of maximum temperature) was 51 and 24 °C, respectively.  
323 The sampling airflow rate through each line and duration were 12 SLPM and 80 min,  
324 respectively.

325 The soot was removed from the filter and pelletized with KBr. FTIR spectra  
326 recorded in the transmission mode (Magna Nicolet 760) are shown in Figure 6. Peaks  
327 corresponding to siloxane functional groups were observed in the soot collected through  
328 the silicone tubing, but were not evident in the soot collected through the stainless steel





329

330

331 **Figure 6.** FTIR spectra of soot passed through equal lengths of stainless steel and  
 332 silicone conductive tubing at room temperature (unheated), and heated silicone  
 333 conductive tubing. Peaks in the spectra of the soot collected via silicone tubing  
 334 correspond to siloxane functional groups and are not evident in the spectra of soot  
 335 collected via stainless steel tubing. The distance between each tick mark on the vertical  
 336 axis is 0.01 absorbance units.

337

338 tubing. We assigned the following bands to siloxane functional groups: CH<sub>3</sub> bending

339 (1259 cm<sup>-1</sup>), asymmetric Si-O-Si vibration (1020-1111 cm<sup>-1</sup>), and Si-(CH<sub>3</sub>)<sub>2</sub> rocking

340 vibrations (805 cm<sup>-1</sup>) (Wachholz et al., 1995).

341 **3. Consequences of Contamination from Conductive Silicone Tubing.** The emission

342 of organic contaminants from silicone tubing can have undesirable consequences. We

343 have identified three circumstances when using this type of tubing can lead to erroneous

344 conclusions about the mass concentrations and physical behavior of aerosol particles.

345 Our analysis has not been exhaustive (i.e., our results cannot be used quantitatively to

346 assess the potential contamination in experimental circumstances not described here);  
347 however, our results do illustrate significant artifacts when sampling carbonaceous  
348 aerosol particles and, to a lesser degree, CO<sub>2</sub> concentration measurements through carbon  
349 impregnated silicone tubing. Therefore, we recommend caution be exercised when  
350 silicone tubing is used for particle characterization experiments.

351 ***Consequence 1: Addition of Particle Mass.*** Having positively identified the siloxane  
352 contaminant in the engine exhaust particles discussed above, we set out to quantify its  
353 concentration. The SiO(CH<sub>3</sub>)<sub>2</sub> monomer unit in PDMS has a distinct mass spectrum from  
354 other hydrocarbon-like organic material (e.g. partially oxidized fuel in aircraft engine  
355 exhaust and lubricating oils), which provides an opportunity to quantify the fraction of  
356 particle material which is PDMS. Overlap between PDMS and hydrocarbon organic  
357 compounds occurs at m/z 55, 57, etc; these peaks constitute less than 5% of the total  
358 PDMS spectrum and were assumed to be entirely organic. Based on the limited overlap  
359 between PDMS and other interfering species, we estimate our PDMS detection limit to be  
360 50 ng m<sup>-3</sup> in the presence of engine exhaust (for a 10 sec sampling period). In the  
361 absence of any interference, our detection limit, calculated as three times the  
362 measurement noise, is 3 ng m<sup>-3</sup> (for a 10 sec sampling period). The characteristic PDMS  
363 peak at m/z 73 was distributed between PDMS and organic assuming that the organic  
364 contribution at m/z 73 was the average value of m/z 87 and m/z 59 (corresponding to  
365 addition and subtraction of a -CH<sub>2</sub> group, respectively), according to a common mass  
366 spectrometry analysis procedure (Allan et al., 2004). The other major characteristic  
367 PDMS peaks at m/z = 147, 207, 221, etc. were assumed to be entirely due to PDMS.  
368 With these assumptions, we calculate that PDMS constitutes about 30% of the entire

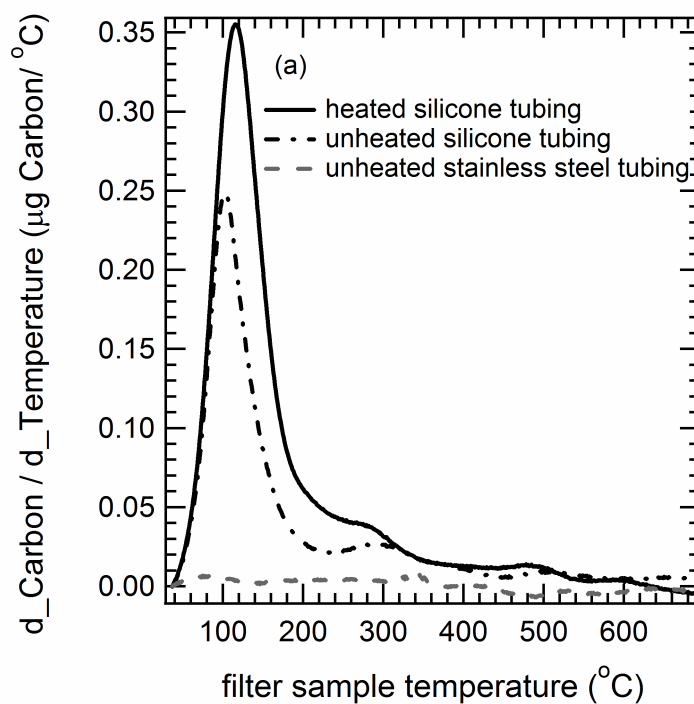
369 particle mass defined as “organic” in the spectrum pictured in Figure 3a. Similarly,  
370 roughly 10% of the organic mass present on the laboratory soot (Figure 4) was PDMS.  
371 Instruments designed to measure total particle mass loadings would have overestimated  
372 semi-volatile organic PM (that is, particle mass which exists in the gas phase at  
373 temperatures greater than 100 °C) by up to 30% for these two specific cases, and without  
374 corresponding chemical composition information the data could not have been corrected  
375 during post-processing.

376 ***Consequence 2: Positive mass bias in filter-based techniques.*** On-line aerosol mass  
377 spectrometry is a powerful technique, but it is not as commonly practiced as filter  
378 collection of particle samples and off-line analysis. We performed simple tests which  
379 indicate that silicone tubing may introduce a positive mass bias for filter-based  
380 techniques used to measure concentrations of carbonaceous particulate matter. In these  
381 tests, quartz fiber filters (Pallflex 2500 QAT-UP) were used to sample air that had passed  
382 through parallel sections of tubing: heated silicone, unheated silicone, and unheated  
383 stainless steel (the same as used in the experiments that produced Figure 6). The  
384 sampling flow rate and duration were 10 SLPM for 55 min in each case. The air was  
385 initially particle free and scrubbed of organic gases using an activated carbon denuder.  
386 The air temperature at four centimeters into the upstream ends of the heated and unheated  
387 silicone tubing lines in this experiment was 45 and 22 °C, respectively.

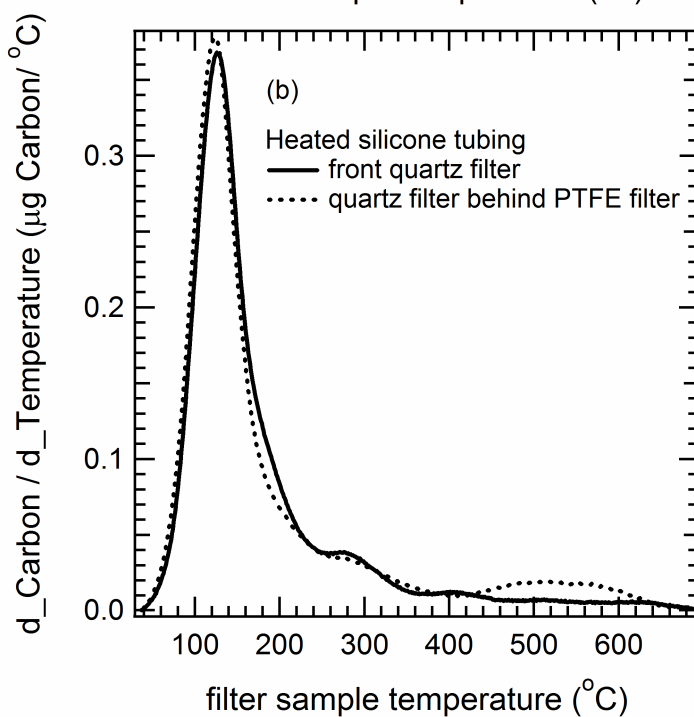
388         The carbon content of each filter was quantified using the thermal analysis  
389 technique of Kirchstetter and Novakov (2007). The carbon evolved from each filter as it  
390 was heated is shown in Figure 7a. The filters downstream of both the heated and  
391 unheated silicone tubing collected significant amounts of carbon; the former collected

392 about 50% more mass than the latter. In contrast, the filter downstream of the stainless  
393 steel tubing was comparatively free of carbon, proving that carbon on filters downstream  
394 of the silicone tubing was emitted by the silicone tubing. The features of the carbon  
395 thermograms – both the relative heights and temperatures of the primary peak and  
396 secondary peaks are consistent with those of sorbed organic vapors on quartz filters  
397 (Kirchstetter et al., 2001). Figure 7b shows thermograms of two quartz filters  
398 downstream of the same section of heated silicone tubing in similar experiment in which  
399 one quartz filter was preceded by a PTFE membrane filter. The particle removal  
400 efficiency of the PTFE membrane is essentially 100%, so the presence of carbon on the  
401 backup quartz filter proves that this carbonaceous material was gaseous rather than  
402 particulate when it was collected, demonstrating that particles are not required to carry  
403 the vapors emitted from the silicone tubing. We infer that the vapor is likely the siloxane  
404 compound identified above. Our observations are consistent with the diffusion of low  
405 molecular weight siloxanes from the bulk to the surface of the silicone tubing wall as  
406 described by Hunt et al (2002) and Oláh et al. (2005), followed by their release into the  
407 vapor phase.

408         While the collection of particulate matter with quartz fiber filters and the  
409 subsequent thermal analysis of the filters is a widely used method for quantifying  
410 concentrations of carbonaceous particulate matter, the technique is prone to a major  
411 sampling artifact: the adsorption of organic vapors to the quartz filters. The adsorbed  
412 vapors on the filter, in addition to the collected particulate matter, evolve during thermal  
413 analysis. Particulate carbon concentrations derived from this analysis will, therefore, be



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417

418 **Figure 7.** Evolution of carbon as a function of temperature for (a) quartz filters that were

419 used to sample particle free air through heated and unheated silicone conductive tubing and

420 and unheated stainless steel tubing and (b) a front and a backup quartz filter collected

421 downstream of heated silicone conductive tubing.

422

423

424 overestimated if the adsorbed carbon is not discounted. This artifact is known as the  
425 positive sampling artifact for particulate carbon.

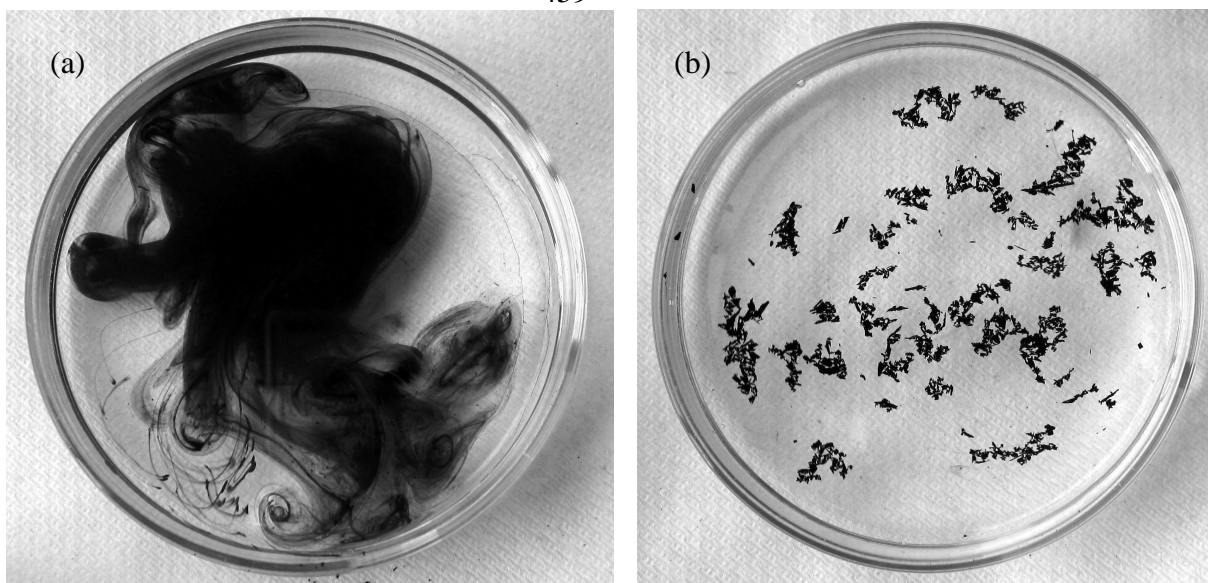
426         The experiments described above illustrated that the use of silicone conductive  
427 tubing results in the adsorption of organic vapors to quartz filters. If this carbon is  
428 mistaken as particulate, the apparent particulate carbon concentrations for the “heated”  
429 and “unheated” quartz filter samples collected through silicone tubing shown in Figure 7a  
430 are 64 and 39  $\mu\text{gC m}^{-3}$  for the experimental configuration and tubing lengths used here.  
431 (The concentrations of contaminant vapor present in the sampled air stream were most  
432 likely larger than these estimates because quartz filters generally remove only a fraction  
433 of the vapor to which they are exposed.) While heating the tubing enhanced the artifact,  
434 the positive bias still large (compared to typical atmospheric carbon particulate matter  
435 concentrations, for example) in the case when the tubing was not heated.

436         A sampling method recommended to quantify the magnitude of the positive  
437 artifact – and to correct for it – involves sampling with a backup quartz filter, either  
438 placed behind the primary quartz filter or behind a PTFE membrane filter (Turpin et al.,  
439 1994). This method works well if the backup and front quartz filters adsorb comparable  
440 amounts of organic vapors, in which case the amount of carbon on the back quartz filter  
441 can be subtracted from the amount of carbon on the front quartz filter. As shown for the  
442 experiment depicted in Figure 7b, the quartz filter behind the PTFE membrane filter  
443 provided a good measure of the artifact. In most published instances, however, this  
444 correction is not applied to particulate carbon concentrations (Novakov et al., 2005).

445 ***Consequence 3: Alteration of Particle Surface Properties.*** In addition to the quantitative  
446 biases observed for aerosol mass spectrometry and filter collection and analysis, we

447 observed that the silicone tubing can alter the surface of sampled particles. Specifically  
448 during the production of suspensions of soot in water for various research applications,  
449 we observed that passing of soot through silicone conductive tubing altered its water  
450 affinity. Our production of soot suspensions involved 1) collecting soot generated with a  
451 diffusion flame of methane and air through stainless steel tubing onto a stretched PTFE  
452 membrane filter, 2) exposing the soot-laden filter to ozone via PTFE tubing, and 3)  
453 rinsing the soot from the filter with water and collecting it in a beaker. At that point, a  
454 simple swishing of the water formed a stable soot suspension (Figure 8a). The ozonation  
455 step leads to the formation of polar surface groups, such as carboxylates (Smith and  
456 Chughtai, 1997), which apparently transforms the soot from a hydrophobic to a  
457 hydrophilic state. If the ozonation step was skipped, the soot remained hydrophobic and  
458 would not wet, clustering at the water surface.

459



461 **Figure 8.** (a) Soot from a diffusion flame made hydrophilic by reaction with ozone.  
462 Shown is the soot as it is mixing with water. (b) Soot from a diffusion flame that does not  
463 mix with water despite ozone exposure. The soot in (b) was collected through silicone  
464 conductive tubing as opposed to the soot in (a), which was collected through stainless  
465 steel tubing.

466           The influence of the silicone tubing was noted when the first step of our method  
467 was altered to include a 75 cm length of silicone conductive tubing in lieu of stainless  
468 steel tubing. In this case, the soot particles did not evenly disperse in the water. The  
469 effect was markedly enhanced when the inlet to the silicone tubing was heated to 45 °C.  
470 For heated silicone tubing, the soot remained hydrophobic and was completely non-  
471 wettable, as shown in Figure 8b.

472           We considered the mechanism preventing the soot's transformation to a  
473 hydrophilic state, though it remains an open question. Adsorbed siloxanes may inhibit  
474 (i.e., poison) the surface oxidation reaction necessary for making the soot hydrophilic.  
475 FTIR measurements, however, indicated the formation of hydrophilic (Chughtai et al.,  
476 1991) carboxyl groups upon ozonation in samples of soot regardless of whether they had  
477 passed through the heated silicone or unheated stainless steel tubing. Another possibility  
478 is that the adsorbed vapor was hydrophobic and rendered the soot hydrophobic despite its  
479 surface oxidation. We observed in the experiments described above that the adsorption  
480 of the vapor emitted by the silicone tubing onto quartz filters increased their  
481 hydrophobicity, supporting this hypothesis. A drop of water placed on the "heated  
482 silicone tubing" filter (referenced in Figure 7a) remained on its surface whereas a water  
483 drop placed on the "unheated stainless steel tubing" filter was immediately soaked into  
484 the filter, demonstrating the hydrophobic nature of the vapor emitted by the silicone  
485 tubing. FTIR measurements indicated the continued presence of the siloxane during  
486 ozonation, and while these data do not give a complete description of the soot surface,  
487 they suggest that the persistence of the siloxanes may render the soot hydrophobic even  
488 though some oxidation of the soot may occur.



490 **4. Mechanisms of Siloxane Uptake by Particles.** Experimental observations suggest  
491 that the PDMS entrainment mechanism primarily involves gas-to-particle transfer of  
492 short-chain PDMS oligomers. Transfer of PDMS polymers into the sample stream via  
493 direct entrainment of loose particles (i.e., particle entrainment) is another plausible  
494 mechanism. Freshly received silicone tubing sporadically generated PDMS particles (1  
495 particle event every 30-60 sec) when filtered air was passed through it at room  
496 temperature ( $>50 \text{ ng m}^{-3}$ ). However, gas-to-particle uptake was much more significant  
497 than the small contribution due to particle entrainment. As suggested in Figure 4, PDMS  
498 was typically present as an internally mixed aerosol, together with an organic fraction.  
499 PDMS particles shed directly from the tubing wall would likely be present as an  
500 externally mixed aerosol population. Only the gas-to-particle mechanism would lead to  
501 the internally mixed aerosol populations observed experimentally.

502         The quartz filter experiments discussed above provide the best evidence that gas-  
503 to-particle transfer must occur. In these experiments, a quartz filter placed downstream  
504 of a PTFE membrane collected organic carbon while sampling purified air that had  
505 contacted the silicone tubing. The collection of carbon could only have occurred due to  
506 adsorption of gaseous materials – i.e., siloxane – that had evaporated from the silicone  
507 tubing. In the presence of a particle carrier, the siloxane materials would also condense  
508 on the particles. Therefore, we conclude that gas-to-particle conversion must be an  
509 important mechanism whereby siloxane is introduced to the particles.

510         Gentle heating ( $<70 \text{ }^\circ\text{C}$ ), particle carriers, and exposure to organic solvents  
511 enhance siloxane uptake. Table 1 summarizes our observations of PDMS uptake  
512 alongside experimental conditions. Transporting the soot particles through room

513 temperature silicone tubing reduced the effectiveness of an ozone treatment to make the  
514 soot hydrophilic. Heating the silicone tubing completely negated the effectiveness of the  
515 ozonation treatment. PDMS uptake onto squalane particles did not occur until the  
516 silicone tubing was inadvertently exposed to methanol. PDMS uptake onto soot was  
517 more pronounced at slightly elevated temperatures ( $< 70\text{ }^{\circ}\text{C}$ , estimated based on energy  
518 balance considerations) than at room temperature, even though the exposure time was an  
519 order of magnitude shorter.

520         In addition to solvent exposure and temperature, particle composition and surface  
521 area may also be important. We have tested PDMS uptake behavior for a range of  
522 particles: soot particles (generated in a high pressure gas turbine engine and in an  
523 atmospheric pressure diffusion flame burners,  $50\text{ nm} < D_{VA} < 120\text{ nm}$ ), lubrication oil  
524 droplets (generated by a gas turbine engine or atomization,  $100\text{ nm} < D_{VA} < 400\text{ nm}$ ),  
525 organic aerosol (squalane generated from atomizing a methanol solution) and ambient  
526 particles (present in both outdoor and laboratory air), and ambient sulfate particles  
527 present at Jupiter, FL ( $D_{VA} > 100\text{ nm}$ ). PDMS uptake ranged from below detection limits  
528 ( $50\text{ ng m}^{-3}$  in the presence of organic interference such as from engine exhaust,  $3\text{ ng m}^{-3}$   
529 in filtered air) to  $1000\text{ ng m}^{-3}$ . Depending on the exact source and sampling  
530 configuration, uptake onto soot particles accounted for 20-30% of the total organic  
531 particle mass (absolute quantity  $\approx 200$  to  $1000\text{ ng m}^{-3}$ ). Lubrication oil droplets picked  
532 up much less PDMS than soot – on the order of 1-2% by weight or absolute quantities of  
533 about  $10\text{-}50\text{ ng m}^{-3}$ . Insufficient PDMS was present on the lube oil to confirm coincident  
534 size distributions. PDMS content in poorly characterized organic particles present in  
535 laboratory air was about 5% by mass (as shown in Figure 4). PDMS pickup by ambient

536 sulfate particles was below the instrument detection limits as PDMS constituted less than  
537 0.1% of the sulfate particle mass (corresponding to a gas concentration of between 3-10  
538  $\text{ng m}^{-3}$ ).

539 In their studies of diesel combustion exhaust from a camp stove burner, Schneider  
540 et al. (2006) found that particles produced in fuel rich flames (with a modal aerodynamic  
541 diameter of 60 nm) picked up the PDMS contaminant from the silicone tubing, while  
542 particles produced in oxygen rich flame (with modal aerodynamic diameters ranging  
543 from 120-180 nm) did not. Since the fuel rich flames produced soot particles whereas the  
544 oxygen rich flames did not, the authors infer that the PDMS contaminant partitions  
545 preferentially onto soot. Schneider et al. (2006) also report PDMS contamination of soot  
546 particles produced by a spark soot generator.

547 Yu et al. (2009) report siloxane uptake onto  $\text{NaNO}_3$  particles (83 nm geometric  
548 mean diameter). The quartz filters picked up  $4 \text{ ng m}^{-3}$  of siloxane when dry air was  
549 passed through a 0.30 m section of silicone tubing and  $14.9 \text{ ng m}^{-3}$  for a 3.3 m tubing  
550 length. After passing through 3.3 m of silicone tubing, salt particles deposited  
551  $22.9 \text{ ng m}^{-3}$  of siloxane material on the quartz filter (RH = 10%). The quantity of PDMS  
552 decreased with increasing relative humidity for both particle free air and salt particles,  
553 though the authors note that increasing humidity may increase PDMS partitioning to the  
554 particle phase in some instances.

555

556 **5. Conclusions and Recommendations.** Conductive silicone tubing use is associated  
557 with two sampling artifacts: 1) erroneous  $\text{CO}_2$  concentration measurements due to  
558 dynamic uptake of  $\text{CO}_2$  and 2) contamination by polydimethylsiloxane (PDMS) vapors.

559 Contamination by PDMS inflates particle mass measurements made by aerosol mass  
560 spectrometry and filter deposition methods. Moreover, PDMS pickup may alter particle  
561 surface properties, specifically the hydrophobic/hydrophilic balance. We recommend  
562 further tests be performed to evaluate the influence of silicone tubing on the water-uptake  
563 of soot in in-situ aerosol hygroscopic growth experiments. Contamination associated  
564 with the use of silicone tubing was observed at room temperature and, in some cases, was  
565 enhanced by mild heating (>70 °C). The experimental evidences warrants further  
566 evaluation of the effects of temperature, physicochemical properties of the particle  
567 carriers, sample contact time, and tubing age on particle contamination by silicone  
568 tubing. Despite its convenient flexibility and charge dissipation properties, we  
569 recommend that conductive silicone tubing be used with care for aerosol test  
570 experiments.

571         In some instances, the advantages of silicone tubing may outweigh its  
572 disadvantages. In these cases, we recommend that special precautions be made to  
573 manage potential errors. Specifically, when sampling particulate matter onto a quartz  
574 filter through silicone tubing to quantify particulate carbon concentration by thermal  
575 analysis, we recommend the simultaneous use of a backup quartz filter to correct for the  
576 adsorption of organic vapors to the quartz filter.

577

578 **Acknowledgments.** The Aerodyne Research Inc. team thanks NASA (NRA  
579 #NNC07CB57C) for supporting this work. We thank Bruce Anderson (NASA, Langley  
580 Research Center) for his continued support of gas turbine engine particle emissions  
581 characterization and useful discussion during the drafting of this manuscript. Brent

582 Williams (Aerodyne Research, Inc. and University of Minnesota) shared results from his  
583 GC/MS analysis of siloxanes. Kathleen Tacina, Dan Bulzan, and Nan-Suey Liu (NASA  
584 Glenn Research Center); Andreas Beyersdorf (NASA Langley Research Center); and  
585 Anuj Bhargava (Pratt & Whitney) provided helpful discussion and comments which  
586 improved the manuscript. Measurements at the Chemical Dynamics Beamline at the  
587 Advanced Light Source are supported by the Director, Office of Energy Research, Office  
588 of Basic Energy Sciences, Chemical Sciences Division of the U.S. Department of Energy  
589 under Contract No. DE AC02-05CH11231. J.D.S is also supported by the Camille and  
590 Henry Dreyfus foundation postdoctoral program in environmental chemistry.

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677 **Figure Captions**

678 **Figure 1.** Fractional penetration (transmission) of size selected soot particles through test  
679 sections of stainless steel, conductive silicone, and polyvinyl chloride tubing. Fractional  
680 penetration is nearly identical for stainless steel, and conductive silicone tubing.  
681 Electrostatic losses in the non-conducting polyvinyl chloride tubing greatly reduce  
682 particle transmission. The penetration calculated for conductive tubing is shown for  
683 reference. Calculated penetration includes losses due to diffusion and inertia (settling),  
684 but not electrostatic losses. Conditions: 50 SLPM flow rate, 1.27 cm i.d. tubing, 50m  
685 tubing length, 25°C, 1 bar pressure.

686 **Figure 2.** CO<sub>2</sub> concentrations in air dilution gas (initially 50,000 ppm CO<sub>2</sub>) directly from  
687 the flow manifold and after transport through 15.2 m of stainless steel or 15.2 m of  
688 conductive silicone tubing. The CO<sub>2</sub> concentration is about 5% lower after transport  
689 through silicone tubing as compared to its concentration direct from the manifold or after  
690 transport through stainless steel tubing. The CO<sub>2</sub> concentration after transmission  
691 through silicone tubing appears to slowly recover, but the dynamic response time is  
692 greater than 5 min.

693 **Figure 3.** Characteristic EI ionization mass spectra obtained for a) engine exhaust  
694 particles and b) aerosolized polydimethylsiloxane (PDMS). The m/z features distinctive  
695 of PDMS, (m/z = 73, 147, 207, 221, 281) are readily apparent as a contaminant in the  
696 engine exhaust particles.

697 **Figure 4.** Particle size distribution of organic material and PDMS coated on soot particles  
698 generated by combustion of kerosene in a diffusion flame. Data were collected over the  
699 course of an hour by an aerosol mass spectrometer. The mass loading of PDMS is about  
700 10% of the total organic material in the 30-100 size range. The size distribution of  
701 PDMS and organic material indicates well-mixed particle population for soot sized  
702 particles (30-100 nm). Ambient organic aerosol present in the laboratory during the  
703 testing period (diameter > 200 nm) has measurable but lower capacity for PDMS  
704 compared to the soot particles. The ratio of the organic scale to the PDMS scale is 12:1.

705 **Figure 5.** High resolution ( $\Delta m/m \sim 3,000$ ) mass spectrum for the PDMS contaminant  
706 obtained after soft-ionization using VUV radiation. Adjacent peaks are separated by  
707  $74.02 \pm 0.03$  mass units, which corresponds to SiO(CH<sub>3</sub>)<sub>2</sub> (m/z 74.02). The inset  
708 compares the experimental spectrum to the predicted isotopic fragmentation pattern for  
709 (SiO(CH<sub>3</sub>)<sub>2</sub>)<sub>8</sub>SiOCH<sub>3</sub><sup>+</sup>.

710 **Figure 6.** FTIR spectra of soot passed through equal lengths of stainless steel and  
711 silicone conductive tubing at room temperature (unheated), and heated silicone  
712 conductive tubing. Peaks in the spectra of the soot collected via silicone tubing  
713 correspond to siloxane functional groups and are not evident in the spectra of soot  
714 collected via stainless steel tubing. The distance between each tick mark on the vertical  
715 axis is 0.01 absorbance units.

716 **Figure 7.** Evolution of carbon as a function of temperature for (a) quartz filters that were  
717 used to sample particle free air through heated and unheated silicone conductive tubing  
718 and unheated stainless steel tubing and (b) a front and a backup quartz filter collected  
719 downstream of heated silicone conductive tubing.



720 **Figure 8.** (a) Soot from a diffusion flame made hydrophilic by reaction with ozone.  
721 Shown is the soot as it is mixing with water. (b) Soot from a diffusion flame that does not  
722 mix with water despite ozone exposure. The soot in (b) was collected through silicone  
723 conductive tubing as opposed to the soot in (a), which was collected through stainless  
724 steel tubing.

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