Innovation for Our Energy Future

Impact of the 3Cs of Batteries on PHEV Value Proposition: Cost, Calendar Life, and Cycle Life

The 9th Advanced Automotive Battery Conference

Long Beach, California

June 10-12, 2009

Ahmad Pesaran, Kandler Smith, and Tony Markel National Renewable Energy Laboratory ahmad.pesaran@nrel.gov

NREL/PR-540-45887

Funded by Energy Storage R&D (David Howell)

Vehicle Technologies Program

U.S. Department of Energy



Overview

- Introduction and background
- Motivation for PHEV battery trade-off analysis
- Battery calendar and cycle life models
- Battery cost model
- Battery life/cost trade-off results
- Impact of temperature on battery life and cost
- Summary

Introduction

- PHEVs have the potential to significantly reduce (imported) petroleum consumption (and GHG emissions) by improving efficiency and use of electricity
- <u>Capacity</u>, <u>c-rate</u>, <u>cost</u>, <u>cycle life</u>, and <u>calendar life</u> are all critical in making batteries for PHEVs commercially viable
- Incremental cost of the long-lasting batteries could be offset with government incentives and high petroleum prices



Introduction

- PHEVs have the potential to significantly reduce (imported) petroleum consumption (and GHG emissions) by improving efficiency and use of electricity
- <u>Capacity, c-rate, cost, cycle life, and calendar life are all critical in making batteries for PHEVs commercially viable</u>
- Incremental cost of the long-lasting batteries could be offset with government incentives and high petroleum prices
- Cost, calendar life, and cycle life are the least known and have the biggest impact on PHEV value proposition
- Cost, fuel savings, and battery degradation characteristics at beginning of life vs. end of life must be evaluated
- The spectrum of battery degradation rates due to both cycle life and calendar life in various climates and operating states of charge (SOCs) are needed
- NREL has been studying trade-offs between the performance, life, and cost of batteries



Maximum Self-discharge

System Recharge Rate at 30°C

Survival Temperature Range

Unassisted Operating & Charging Temperature Range

Maximum System Production Price @ 100k units/yr

Major Battery Requirements (5Cs)



Requirements of End of Life Energy Storage Systems for PHEVs

Requirements of End of Life Energy Storage Systems for PHEVS				
Characteristics at EOL (End of Life)		High Power/Energy Ratio Battery	High Energy/Power Ratio Battery	
Reference Equivalent Electric Range	miles	10	40	
Peak Pulse Discharge Power - 2 Sec / 10 Sec	kW	50 / 45	46 / 38	
Peak Regen Pulse Power (10 sec)	kW	30	25	
Available Energy for CD (Charge Depleting) Mode, 10 kW Rate	kWh	3.4	11.6	
Available Energy for CS (Charge Sustaining) Mode	kWh	0.5	0.3	
Minimum Round-trip Energy Efficiency (USABC HEV Cycle)	%	90	90	
Cold cranking power at -30°C, 2 sec - 3 Pulses	kW	7	7	
CD Life / Discharge Throughput	Cycles/MWh	5,000 / 17	5,000 / 58	
CS HEV Cycle Life, 50 Wh Profile	Cycles	300,000	300,000	
Calendar Life, 35°C	year	15	15	
Maximum System Weight	kg	60	120	
Maximum System Volume	Liter	40	80	
Maximum Operating Voltage	Vdc	400	400	
Minimum Operating Voltage	Vdc	>0.55 x Vmax	>0.55 x Vmax	

Wh/day

kW

°C

°C

50

1.4 (120V/15A)

-30 to +52

-46 to +66

\$1,700

50

1.4 (120V/15A)

-30 to +52

-46 to +66

\$3,400



Major Battery Requirements (5Cs)



Requirements of End of Life Energy Storage Systems for PHEVs				
Peak Power Discharge (2S/10S) = 46/38 kW //Energy Ratio			High Energy/Power Ratio Battery	
C-rate ~ 10-15 kW			40	
Peak Puise Discharge Fower - 2 Dec / To Dec	30/45		46 / 38	
Peak Regen Pulse Power (10 sec)	kW	30	25	
Available Energy = 11.6 kWh	1.00 (ASOC = 70	3.4	11.6	
1144		70)	0.3	
Min Capacity (EOL) = 16	6.6 kWh	90	90	
Cold cranking power at -30°C, 2 sec - 3 Pulses	kW	7	7	
CD Life / Discharge Throughput	Cycles/MWh	5,000 / 17	5,000 / 58	
Cycle Life (depleting) = 3K-5K cycles			300,000	
Cycle Life (sustaining) =200K	15	15		
Ma Sycic Ene (Sustaining) –2001		60	120	
Maximum System Volume	Liter	40	80	
Maximum Operating Voltage	at	400	400	
Minimum Opera	15 Voore	>0.55 x Vmax	>0.55 x Vmax	
Maximum Self- Calendar Life at 35°C = 1	15 fears	50	50	
System Recharge Rate at 30°C	kW	1.4 (120V/15A)	1.4 (120V/15A)	
Unassisted Operating & Charging Temperature Range	°C	-30 to +52	-30 to +52	
Survival Temperature Range Cost	(system) = \$	3,400	-46 to +66	
Maximum System Production Price @ 100k units/yr	\$	\$1,700	\$3,400	

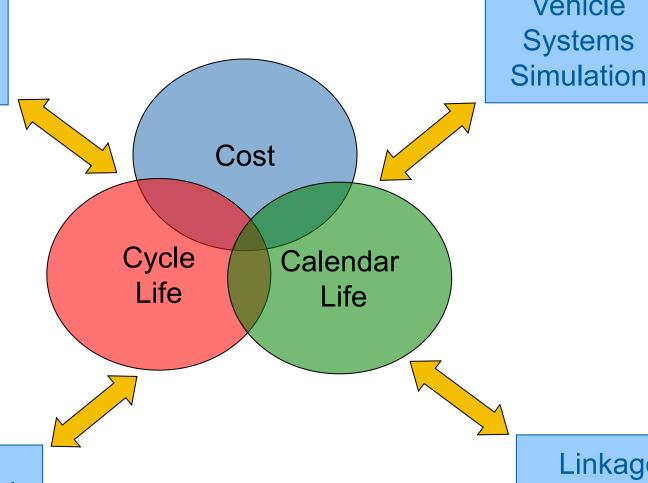
The Three Important Cs of Batteries

- <u>C</u>ost
- Cycle Life
- <u>Calendar Life</u>

These three attributes vary significantly from supplier to supplier, are not consistently reported, and dramatically affect the market potential of PHEVs and EVs.

C³ Data Is Critical to Many Analysis Efforts

Performance Modeling



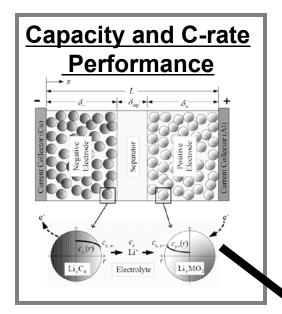
Linkage with Renewables

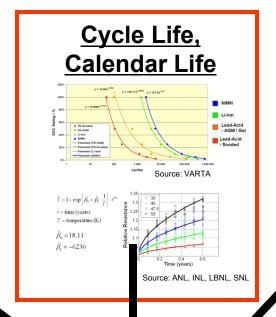
Vehicle

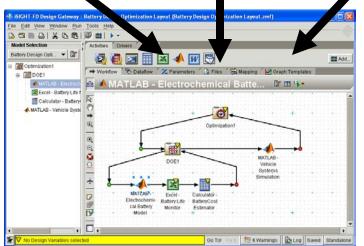
Economic Analysis & Value Proposition

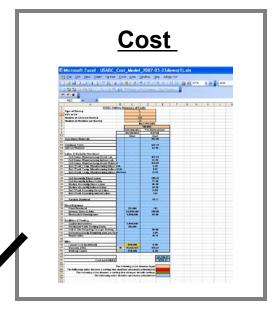
PHEV Battery Design Optimization

Design/size PHEV batteries to meet USABC technical goals/requirements at minimum cost.









Life prediction represents greatest uncertainty

Complex dependency on $t^{1/2}$, t, # cycles, T, V, ΔDOD

Optimization

with vehicle simulations under realistic driving cycles and environments

Motivation: Minimize Battery Cost, Maximize Life

How?

- 0) Select a high-quality, low-cost cell
- 1) Size battery appropriately so as not to overstress/overcycle, but with minimum cost and mass
 - 1) Accelerated calendar and cycle life testing
 - 2) Accurate life and DOD predictive models
- 2) Minimize time spent at high temperatures
 - 1) Standby thermal management (vehicle parked!)
 - 2) Active thermal management (vehicle being driven)
- 3) Use proper electrical management, control design

Component design/ selection

System design

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Modeling to Predict Battery Life

120%

100%

80%

60%

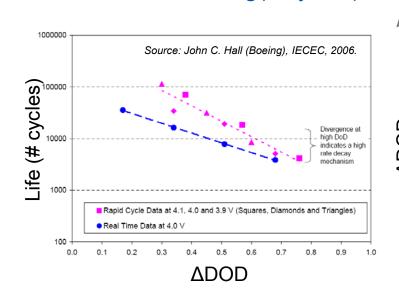
Calendar (Storage) Fade

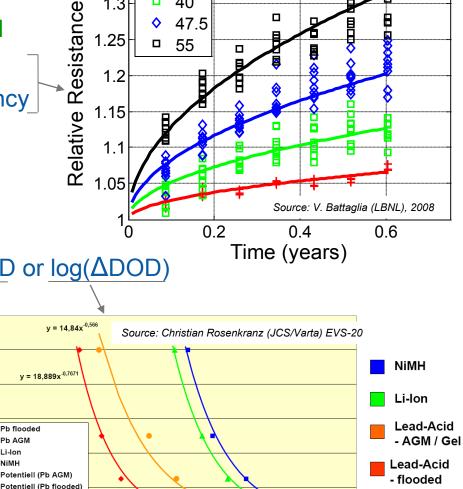
- Relatively well established & understood
- Typical t^{1/2} time dependency
- Arrhenius relation describes T dependency

Cycling Fade

- Poorly understood
- Typical t or N dependency

Often correlated log(# cycles) with Δ DOD or log(Δ DOD)





Calendar Life Study at various T (°C)

1.35

1.3

1.25

30

40

Life (# cycles)

47.5

Pb flooded

Potentiell (Li-Ion)

Potentiell (NiMH) 10

1.000.000

100.000

Objectives for Battery Life Modeling



Develop a power and energy degradation model that —

- 1. Uses both accelerated and real-time calendar and cycle life data as inputs.
- 2. Is mathematically consistent with all calendar and cycle life empirical data.
- 3. Is extendable to arbitrary usage scenarios (i.e., it is predictive).



Impedance Growth Mechanisms: Complex Calendar and Cycling Dependency

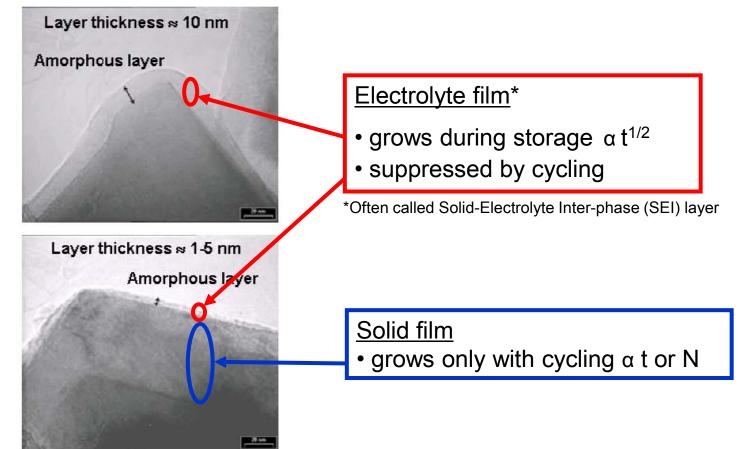
NCA chemistry: Different types of electrode surface film layers can grow.

(1) "Electrolyte film" or SEI layer (2) "Solid film"

SEM Images: John C. Hall, IECEC, 2006.

Cell stored at 0°C

Cell cycled
1 cycle/day
at 80% DOD
and 0°C



Life Model Summary (equations & coefficients)

Impedance Growth Model

- Temperature
- Voltage
- ADOD
- Calendar Storage (t^{1/2} term)
- Cycling (t & N terms)

Capacity Fade Model

- Temperature
- Voltage
- ADOD
- Calendar Storage (Li loss)
- Cycling (Site loss)

Life Model Summary (equations & coefficients)

Impedance Growth Model

- Temperature
- Voltage
- ADOD
- Calendar Storage (t^{1/2} term)⁻¹
- Cycling (t & N terms)

$$k_1 = k_{1,ref} \exp(-E_{a1} \times (T^{-1} - T_{ref}^{-1}) / R)$$

 $k_2 = k_{2,ref} \exp(-E_{a2} \times (T^{-1} - T_{ref}^{-1}) / R)$

$$a_1 = a_{1,ref} k_1 \exp(\alpha_1 F/RT \times V)$$

$$a_2 = a_{2,ref} k_2 \exp(\alpha_2 F/RT \times V)$$

$$a_1 = b_0 + b_1 (1 - \Delta DOD)^{b2}$$

 $a_2/a_1 = max[0, c_0 + c_1 (\Delta DOD)]$

$$a_{2,t} = a_2 (1 - \alpha_N)$$

 $a_{2,N} = a_2 \alpha_N$

$$R = a_1 t^{1/2} + a_{2,t} t + a_{2,N} N$$

Capacity Fade Model

- Temperature
- Voltage
- ADOD
- Calendar Storage (Li loss)
- Cycling (Site loss)

From impedance growth model

$$Q_{1i} = d_0 + d_1 \times (a_1 t^{1/2})$$

$$Q_{sites} = e_0 + e_1 \times (a_{2,t} t + a_{2,N} N)$$

 $Q = min(Q_{Li}, Q_{sites})$

Reasonably fits available data

Actual interactions of degradation mechanisms may be more complex.

Details of Calendar and Cycle Life Models Are Presented by Kandler Smith in the Poster Session for AABC-09



Abstract

NREL is a national laboratory of the U. S. Department of Energy, Office of Energy Efficiency and Renewable Energy, operated by the Alliance for Sustainable Energy, LLC.

Modeling of Nonuniform Degradation in Large-Format Li-ion Batteries

Kandler Smith · Kandler.Smith@nrel.gov, Gi-Heon Kim · Gi-Heon.Kim@nrel.gov, Ahmad Pesaran · Ahmad.Pesaran@nrel.gov - National Renewable Energy Laboratory



Asselvation mechanism apparent for high-rate cycling case:
 Higher impedance — Higher temperature —

Faster degradation

Major factors leading to nonuniform degradation
Ronuniform temperature librariades inner cost

Imbalance grows throughout life IT. An throughout.

Nonuniform potential (degrades terminal regions)
 Regions heavily used at beginning of life (inner care.

terminal regions) are used less and less as life greezeds.

1-D echann-fumped thermal model not suited to product performance dispractation for large calls.

For a given electricide feest degradation mechanism, overpredicts yet-level capacity fade.



 U.S. Department of Energy, Office of Vehicle Technologies
 Davie Howell, Energy Storage Program



and impedance growth

Frank II A

References

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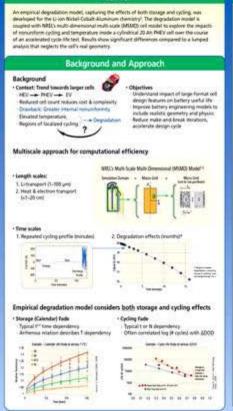
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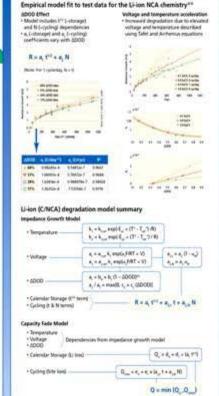
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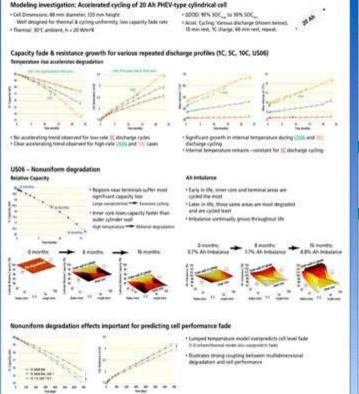
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Kodrag * NSA Arrogue Editing Brokelog: Autoutie, 4L Sovietter (7 /8, 300)





Degradation Model



Life Model Summary

- Model structure set by Boeing satellite battery dataset^{1,2}
 - Difficult to decouple ΔDOD and voltage degradation effects from cell-level dataset
- Model adjusted to reflect more recent experience with NCA-graphite cells from various Labs ³⁻⁶
 - 4.5 years storage at 40°C, 50% SOC
- → 10% capacity fade⁴

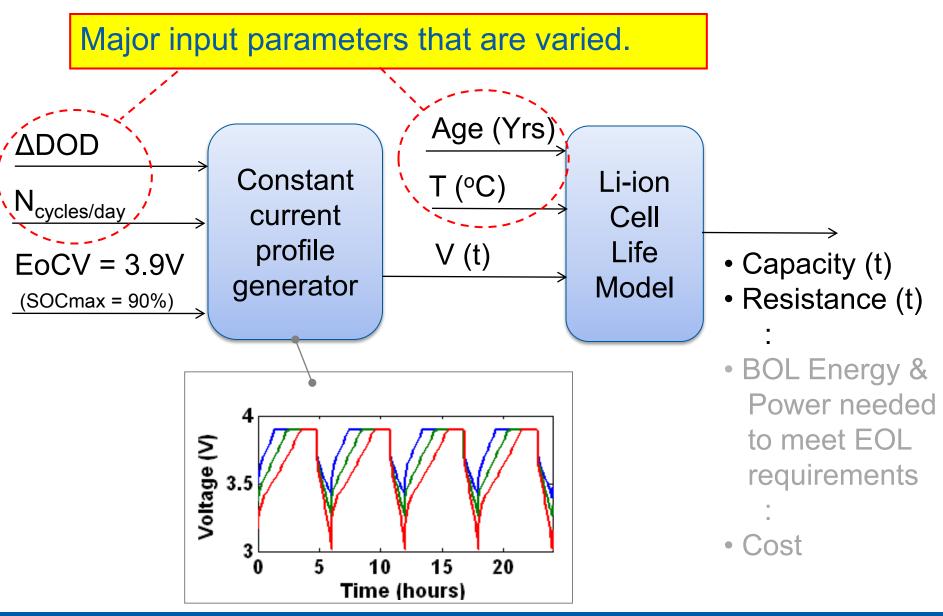
13.7 years storage at 35°C

- → 110% resistance growth⁵
- 2700 PHEV charge depletion cycles at 25°C → 8% capacity fade, 50% resistance growth⁶
- The following analysis illustrates trade-offs for a cell with low capacity fade but high resistance growth over life.

References:

- J. Hall, T. Lin, G. Brown, "Decay Processes and Life Predictions for Lithium Ion Satellite Cells," 4th International Energy Conversion Engineering Conference & Exhibit, San Diego, CA, June 26-29, 2006.
- 2. J. Hall, A. Schoen, A. Powers, P. Liu, K. Kirby, "Resistance Growth in Lithium Ion Satellite Cells. I. Non Destructive Data Analyses," 208th Electrochem. Soc. Mtg., Los Angeles, CA, October 16-21, 2005.
- 3. J.P. Christophersen, I. Bloom, E.V. Thomas, K.L. Gering, G.L. Henriksen, V.S. Battaglia, D. Howell, "Advanced Technology Development Program for Lithium-Ion Batteries: DOE Gen 2 Performance Evaluation Final Report," Idaho National Laboratory, INL/EXT-05-00913, July, 2006.
- M.C. Smart, K.B. Chin, L.D. Whitcanack, B.V. Ratnakumar, "Storage Characteristics of Li-Ion Batteries," NASA Aerospace Battery Workshop, Huntsville, AL, November 14-16, 2006.
- 5. P. Biensan, Y. Borthomieu, "Saft Li-Ion Space Batteries Roadmap," NASA Aerospace Battery Workshop, Huntsville, AL, November 27-29, 2007.
- 6. L. Gaillac, "Accelerated Testing of Advanced Battery Technologies in PHEV Applications," 23rd Electric Vehicle Symposium, Anaheim, CA, December 2-5, 2007.

Life Analysis Conducted Using Simplified Cycling Profiles

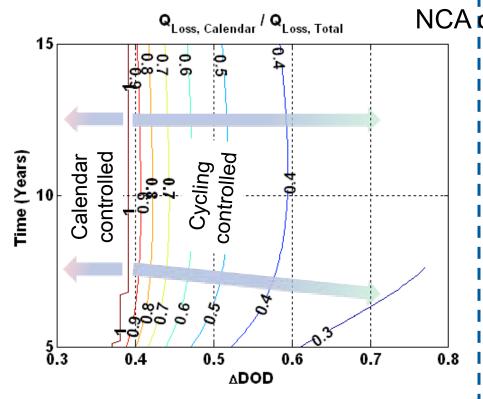


Results: Which Dominates — Calendar or Cycling? Capacity Fade – Energy

Generally cycling controlled, though it depends on temperature

Moderate Climate

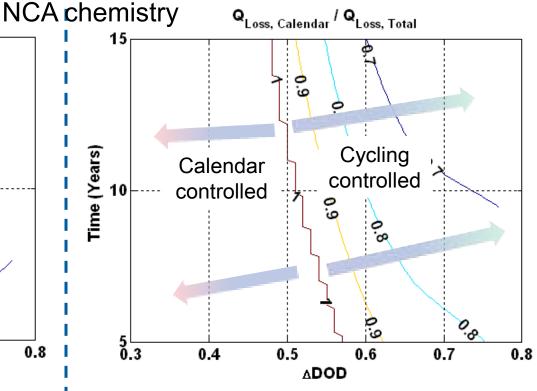
• 20°C, 1 cycle/day, SOC_{max} = 90%



• Predominantly cycling controlled (calendar fade just 30% to 40% of cycling fade)

Hot Climate

• 35°C, 1 cycle/day, SOC_{max} = 90%



- Cycling controlled for High ΔDOD
- Calendar controlled for Low ΔDOD

Results: Which Dominates — Calendar or Cycling? Resistance Growth – Power

Calendar effect dominates, though both are important.

Moderate Climate

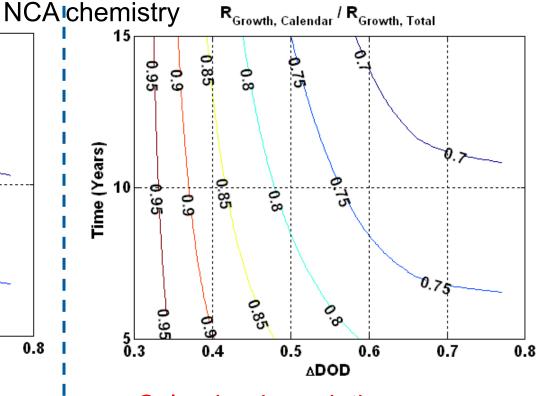
• 20°C, 1 cycle/day, SOC_{max} = 90%

R_{Growth, Calendar} / R_{Growth, Total} Time (Years) 0.65 -0.7 0.8 0.6 **DOD**

- Calendar degradation:
 - > 60% of total resistance growth

Hot Climate

• 35°C, 1 cycle/day, SOC_{max} = 90%



- Calendar degradation:
 - > 70% of total resistance growth

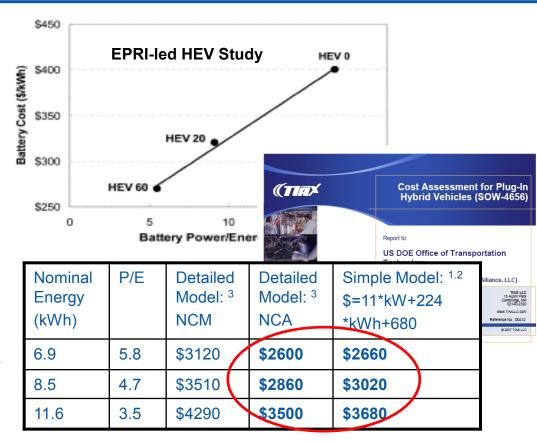
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Developing a Simplified Cost Model

Estimating Manufacturer Pack Cost

- Battery cost estimates from EPRIled HEV study as original source¹
- EPRI HEV cost model used for NREL's EVS-22 paper on PHEV Cost Benefit Analysis²
- DOE-sponsored TIAX study reviewed cost details of two Li-ion cathodes (NCA and NCM) manufacturing³
- Modified fixed costs to include a per-cell component based on TIAX estimates (this study)
- Cost at volume manufacturing at 2007 materials' prices



NCA - Nickel Cobalt Alumina; NCM- Nickel Cobalt Manganese

Simplified Pack Cost Model \$/pack = 11.1*kW + 224.1*kWh + 4.53*BSF + 340

BSF = Battery Size Factor

- 1. Graham, R. et al. "Comparing the Benefits and Impacts of Hybrid Electric Vehicle Options," Electric Power Research Institute (EPRI), 2001.
- 2. Simpson, A., "Cost Benefit Analysis of Plug-In Hybrid Electric Vehicle Technology," 22nd International Electric Vehicle Symposium, Yokohama, Japan, Oct. 2006.
 - "Cost Assessment for Plug-In Hybrid Vehicles," TIAX LLC, Oct. 2007.

Life-Cost Trade-Off Study: Approach

- Choose a cycle life model and a calendar life model
 - We picked curve fits from slide 13 for NCA chemistry
- Choose a cost model
 - Manufacturing cost of a complete pack at high-volume production
 - We picked the equation on slide 18 for NCA chemistry
- Select the required battery energy and power
 - Energy: 3.4 kWh PHEV10; 11.6 kWh PHEV40 (USABC requirements)
- Select the required battery life
 - Cycles (charge depleting): 5000 CD cycles (USABC requirements)
 - Calendar life: 10 years at 30°C (less aggressive than 15-year USABC)
- Perform analysis to answer the following questions:
 - What ΔDOD & P/E meet life at minimum cost?
 - Which controls life? Calendar or cycle life?
 - What environmental parameters cause greatest life sensitivity?

Life-Cost Trade-Off: Energy and Power Margin to Meet EOL Performance Requirements

Battery Sizing Metrics:

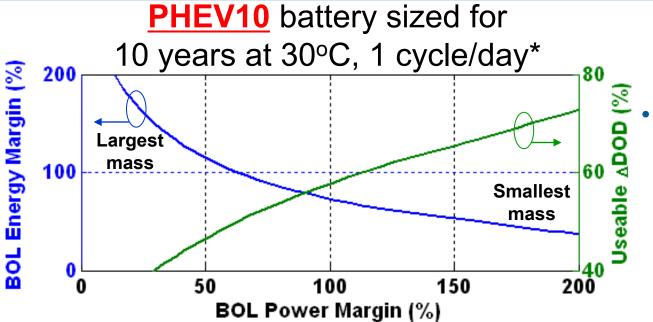
$$\begin{array}{c}
BOL \\
Energy \\
Margin
\end{array} = \left[\begin{array}{c}
BOL Total Energy \\
\hline
EOL Available Energy \\
Requirement
\end{array} - 1 \times 100\%$$

$$\begin{array}{c}
BOL \\
Power \\
Margin
\end{array} = \left[\begin{array}{c}
BOL Total Power \\
\hline
EOL Available Power \\
Requirement
\end{array} - 1 \times 100\%$$

BOL = Beginning of Life EOL = End of Life Next slides give results for **typical** Li-ion NCA chemistry and include fade for a chosen ΔDOD window (1 cycle/day, 30°C).

Example Results: Life-Cost Trade-Off Study

(Energy & Power Margin, Usable ΔDOD)



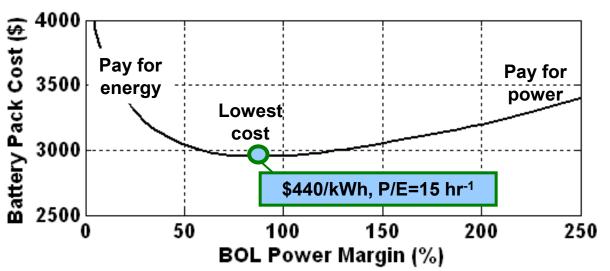
NCA chemistry

PHEV10 batteries can require >100% excess power at BOL

Allows ~60% usable ΔDOD (More useable ΔDOD is possible with even more excess power)

- Too much power is preferable to too little
 - small increase in cost
 - reduces mass

Today's costs at volume production

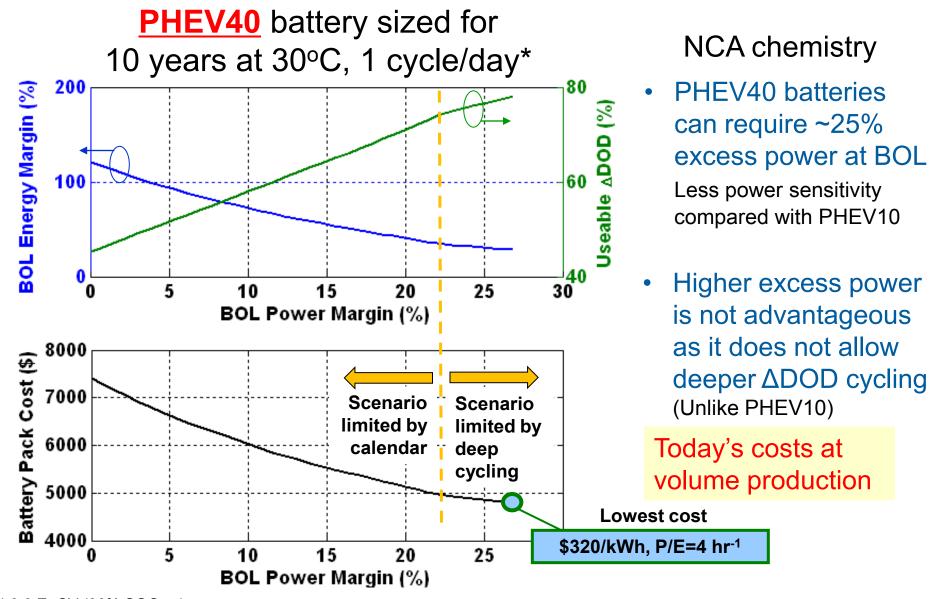


^{* 3.9} EoCV (90% SOC_{max})

^{**} Excess power and energy relative to 50kW and 3.4 kWh PHEV 10 requirements

Example Results: Life-Cost Trade-Off Study

(Energy & Power Margin, Usable ΔDOD)



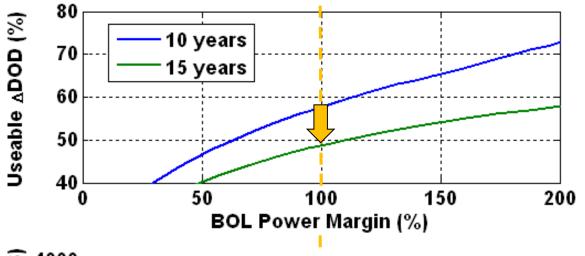
^{* 3.9} EoCV (90% SOC_{max})

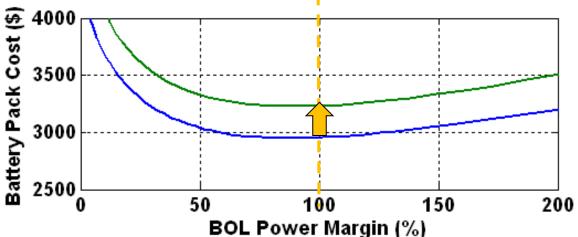
^{**} Excess power and energy relative to 46kW and 11.6 kWh PHEV 40 requirements

Example Results: Life-Cost Trade-off Study

(Sensitivity to Years of Life)

PHEV10 battery sized for 10, 15 years at 30°C*





NCA chemistry

Increasing life requirement from 10 to 15 years means:

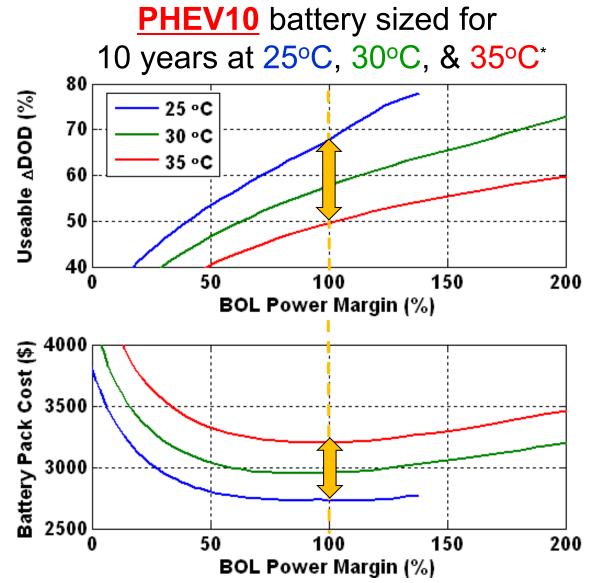
- •10% less ∆DOD is usable
- •\$250 greater cost

^{* 1} cycle/day, 3.9 EoCV (90% SOC_{max})

^{**} Excess power relative to 50kW PHEV 10 requirement

Example Results: Life-Cost Trade-Off Study

(Temperature Sensitivity)



NCA chemistry

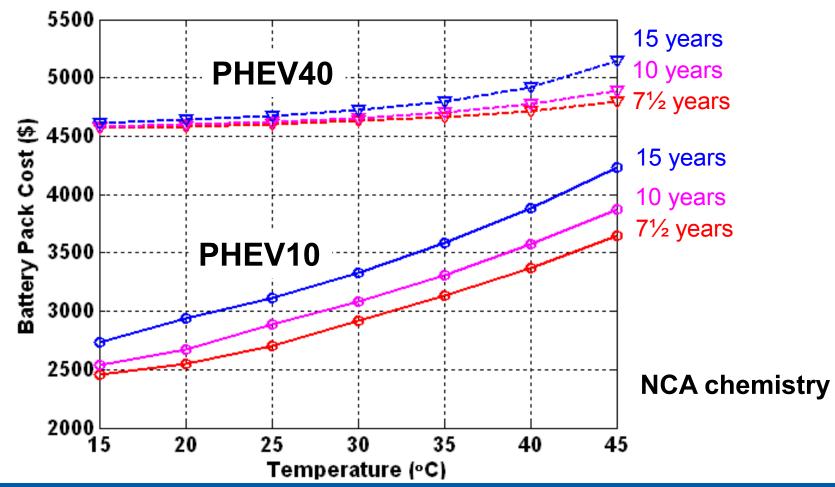
- Temperature
 exposure drastically
 impacts system size
 necessary to meet
 goals at end of life
 - <u>25°C</u>: 70% ΔDOD is usable
 - <u>35°C</u>: 50% ΔDOD is usable
- Modifying life requirements from 10 years at 25°C to 10 years at 35°C increases battery cost by >\$500

^{* 1} cycle/day, 3.9 EoCV (90% SOC_{max})

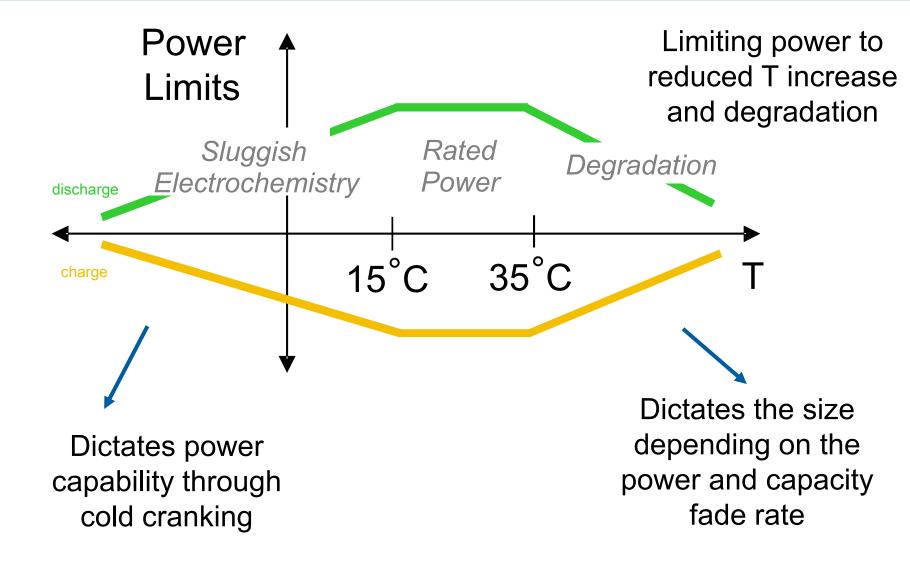
^{**} Excess power relative to 50kW PHEV 10 requirement

Summary: Comparison of Battery Minimum Cost Designs for Varying Years of Life and Temperature

- Battery replacement not economically justified
- Cost can be <u>more sensitive to temperature than years life</u>
 (Especially true for small PHEV batteries with high power requirement)

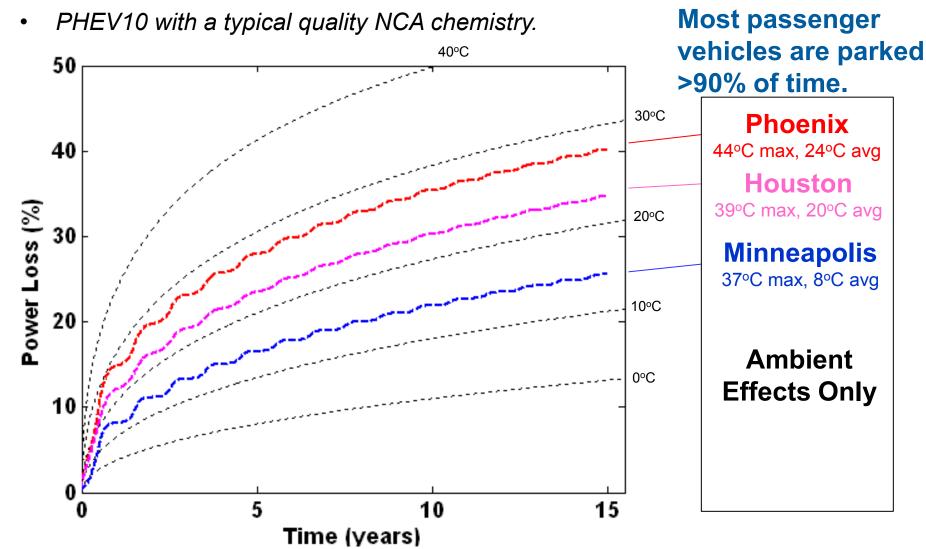


Temperature Impacts Cost (Sizing & Life)



Impact of Temperature on Battery in a Parked Car (Battery T = Ambient T)

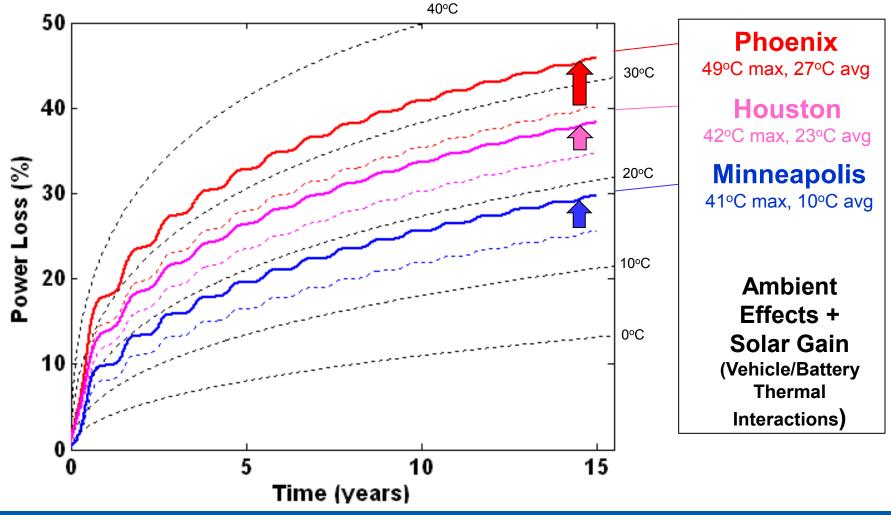
- Used typical metrological year (TMY) as the hourly temperature
- Power fade model reformulated as rate law, integrated for temperature profile.



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Impact of Temperature on Battery in a Parked Car (Battery T = Ambient T + Solar Gain)

- The same as previous slide (PHEV10, NCA chemistry and TYM weather)
- Developed a vehicle-battery-ambient model to predict the battery temperature
- Results show significant fade due to the ambient temperature and solar gain



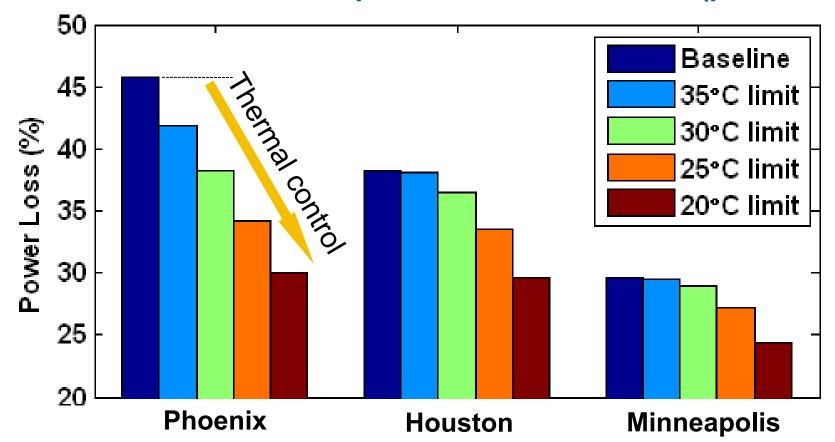
Analysis Shows Keeping Peak Battery Temperature below Extremes Could Greatly Improve Battery Life

PHEV10 – Power loss after 15 years

Ambient temperature & solar radiation climate data input to vehicle/battery thermal model.

Assume peak battery temperatures can be eliminated.

Typical Quality Current NCA Li-ion Technology How much is it worth to spend on thermal control (parked too)?



Summary

- Battery cost, cycle life, and calendar life must be optimized to achieve maximum value for PHEV commercialization.
 - A process/approach such as the one discussed here is needed.
- Useful life of a given pack design is dictated by complex interaction of parameters (t^{1/2}, t, N, T, V, DOD).
 - Different chemistries have different behaviors.
- Battery life is extremely sensitive to temperature exposure; solar loading can cause further battery heating and lower life.
- Thermal control (when parked or driving) could be a cost-effective method to reduce oversizing of battery for the beginning of life.
- PHEV battery "standby" thermal control can reduce power loss, particularly for PHEV10.
- Accurate degradation prediction requires a large experimental matrix (for different chemistries).

www.nrel.gov/vehiclesandfuels/energystorage/

