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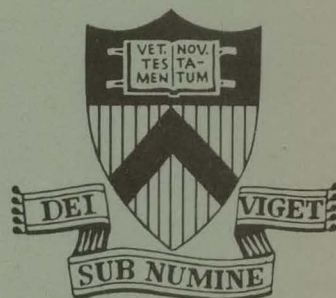
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MAXIMUM POWER GAINS OF RADIO-  
FREQUENCY-DRIVEN  
TWO-ENERGY-COMPONENT  
TOKAMAK REACTORS

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Maximum Power Gains of Radio-Frequency-Driven  
Two-Energy-Component Tokamak Reactors.\*

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ABSTRACT

Two-energy-component fusion reactors in which the suprathreshold component (D) is produced by harmonic cyclotron "runaway" of resonant ions are considered. In one ideal case, the fast hydromagnetic wave at  $\omega = 2\omega_{CD}$  produces an energy distribution  $f(W) \approx \text{constant}$  (up to  $W_{\text{max}}$ ) that includes all deuterons, which then thermalize and react with the cold tritons. In another ideal case,  $f(W) \approx \text{constant}$  is maintained by the fast wave at  $\omega = \omega_{CD}$ . If one neglects (1) direct rf input to the bulk-plasma electrons and tritons, and (2) the fact that many deuterons are not resonantly accelerated, then the maximum ideal power gain is about  $0.85 Q_m$  in the first case and  $1.05 Q_m$  in the second case, where  $Q_m$  is the maximum fusion gain in the beam-injection scheme (e.g.,  $Q_m = 1.9$  at  $T_e = 10$  keV). Because of nonideal effects, the cyclotron runaway phenomenon may find its most practical use in the heating of 50:50 D-T plasmas to ignition.

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## I. INTRODUCTION

In the two-energy-component reactor scheme,<sup>1</sup> fast deuterium neutrals are injected into a relatively cold tritium plasma. The resulting D ions slow down by Coulomb collisions with the bulk-plasma electrons and tritons, and while thermalizing they produce  $Q$  times their injected energy in fusion reactions. For  $T_e > 4.4$  keV,  $Q > 1$ , and  $Q$  can be as large as 3.1 for a high- $T_e$  target. The ' $n\tau$ ' of the plasma need be only about  $10^{13}$  sec  $\text{cm}^{-3}$ , the exact value depending on the ratio  $\bar{f} = (\text{suprathermal-ion energy density})/(\text{plasma energy density})$ .

In this paper we consider how a two-component torus (TCT) may be operated with the suprathermal ion component produced entirely in situ by harmonic ion-cyclotron resonant heating that makes use of the fast hydromagnetic wave (magnetosonic wave). In particular, we investigate two simple models that give limiting fusion gains without recourse to the detailed particle motion in the presence of rf fields. These ideal limiting gains are comparable to the gains in the beam-injection scheme, but are bound to be seriously reduced after including rf inputs to the bulk plasma. In any event, rf suprathermal-ion production might find application in non-Maxwellian reactors where both bulk-plasma and beam-plasma fusion reactions contribute to the energy output.<sup>2</sup>

## II. EXPERIMENTAL BACKGROUND

Harmonic ion-cyclotron heating experiments have generally demonstrated the formation of long "tails" on the transverse ion-energy distribution.<sup>3,4</sup> In early ST experiments,<sup>3</sup> during heating by the fast hydromagnetic wave at the second harmonic, the

tail formed in 1 or 2 msec and was usually Maxwellian. These observations have been explained<sup>5</sup> as the result of single-particle resonance heating of trapped ions; the calculations are summarized in Appendix A. In recent ST experiments at higher power levels (up to 600 kW),<sup>6</sup> the observed tails make up a larger fraction of the total ion population, but they are still essentially Maxwellian. These results suggest that if sufficient rf power is applied, the two-temperature distribution will be replaced with a one-temperature distribution, although the banana orbits of very high energy ions may not be confined.

The Coulomb drag on a fast ion of energy  $W$  is

$$\frac{dW}{dt} = c_1 \frac{n_e W \ln \Lambda_e}{T_e^{3/2}} + c_2 \frac{n_i \ln \Lambda_i}{W^{1/2}} \quad (1)$$

where  $c_1$  and  $c_2$  are constants,  $\ln \Lambda_e$  and  $\ln \Lambda_i$  are the Coulomb logarithms, and  $n_e \approx n_i$  are the bulk-plasma electron and ion densities. Equation (1) is plotted in Fig. 1.

Consider the fast wave at  $\omega = 2\omega_{CD}$ , where  $\omega_{CD}$  is the cyclotron frequency of the deuterons. The power input to a deuteron is  $dW/dt \propto W$ , so that there is a minimum energy  $W_{\min}$  ( $W_3$  in Fig. 1) at which deuterons will "run away." For cases of interest,  $W_{\min}$  lies on the ion-drag (low- $W$ ) side of Fig. 1, and one can easily show that for those ions that remain resonant with the wave,

$$W_{\min} \approx \left( \frac{\sqrt{2} c_2 \ln \Lambda_i n_e B Z_{\text{eff}}}{k_x E_x c} \right)^{2/3} \quad (2)$$

where  $E_x$  is the left-hand component of the transverse electric field, and  $k_x$  is the transverse wave number.  $W_{\min}$  is generally in the range 0.5-2.0 keV, so that for large hot tokamaks, a large fraction of the deuteron population in the resonant zone may "run away."

### III. FAST HEATING AND RELAXATION

We first consider a process whereby deuterons are rapidly accelerated to high energies, and then allowed to thermalize with the bulk plasma. The resonant zone for the second harmonic has width  $\delta R \approx 2k_{\parallel} v_{ti} R/\omega$ , where  $R$  is the major radius of the torus. This width can be made a large fraction of the plasma radius by increasing  $k_{\parallel}$ . For very large rf power, deuterons will be trapped as their transverse energy becomes many times their initial thermal energy, and a suprathermal distribution formed before there is significant drag, or before the trapped ions can escape from the resonant interaction by scattering from trapped orbits. This limiting distribution will be Maxwellian-like in two dimensions (Appendix A), that is,  $f(W) \approx 1/T_1 \exp(-W/T_1)$ , and for very large rf power can be approximated as  $f(W) \approx \text{constant}$ , up to a maximum energy  $W_m$  which may be that energy, for example, at which the ion banana width becomes comparable to  $\delta R$ .

Once this rectangular distribution is formed, the rf is terminated, and the fast ions thermalize. The fusion energy gain of this cycle (22.4 meV per reaction) is

$$G_1 = \frac{\int_0^{W_m} F(W) W dW}{\int_0^{W_m} W dW} \quad (3)$$

where  $F(W, T_e)$  is the F-factor of Ref. 1. Here we have neglected direct rf input to the bulk plasma. The plasma temperature is maintained provided that  $\bar{T} \gg \langle \tau_s \rangle / \tau_E$ , where  $\langle \tau_s \rangle$  is the average slowing-down time of the D ions. This relation determines the required deuteron density  $n_D$ . As in the beam-injection scheme,  $n_D/n_e$  is in the range 0.03 to 0.15. Cyclic operation can be set up by applying rf pulses at intervals of  $\langle \tau_s \rangle$ .

Figure 2 shows  $F(W)$  for  $T_e = 10$  keV. If  $W_m = W_a$ , then  $G_1 \approx (2/3)F_m$ , where  $F_m$  is the maximum value of  $F(W)$ . This gain can be improved by increasing  $W_m$ . Approximating  $F(W)$  by the triangle shown in Fig. 2, one can easily show that  $G_1$  is maximum when  $W_m = (W_a^2 W_b)^{1/3} = 360$  keV. Then  $G_1 = 0.84 F_m$ . This relation holds rather well throughout the range  $T_e = 4-20$  keV.

#### IV. ENERGY CLAMPING

Next we consider a process whereby a rectangular energy distribution,  $f(W) = \text{constant}$  for  $W_1 < W < W_2$  (Fig. 1), is maintained by the fast wave at  $\omega = \omega_{CD}$ .

First harmonic heating applies the same power input to all ions, regardless of their velocity, and this power input can thus be represented as the horizontal line in Fig. 1. If  $W_1$  is not too small, the drag is nearly constant for  $W_1 < W < W_2$ , so that  $f(W)$  will remain fairly constant in this range. This process is a form of energy clamping.<sup>2,7</sup> Actually, the rf essentially scatters the ion energies between  $W_1$  and  $W_2$ . Ions tend to run to  $W_2$ , but cannot do so, since they do not experience a continuous power input. Ions at  $W < W_1$  receive the same power input  $P_{rf}$ , but for these ions Coulomb drag is



dominant. If  $\tau_h$  is the lifetime of the fast ions, the maximum energy gain is attained when  $\tau_h \gg \langle \tau_s \rangle$ , or alternatively, if the fast-ion energy can be recovered by magnetic decompression.<sup>7</sup> Thus

$$G_{2\max} = \frac{\int_{W_1}^{W_2} P_F(W) dW}{\int_{W_1}^{W_2} |dW/dt| dW + W_1 P_{rf}} \quad (4)$$

where  $P_F$  is the fusion power output, and  $P_{rf}$  is the average rf power input per ion. Note that once  $W_1$  is chosen, the corresponding  $W_2$  must be set by the initial second harmonic heating; see Sec. III.

If  $W_1$  is too small (Fig. 1), most ions will have a strong tendency to run toward  $W_2$ , while for maximum gain with  $f(W) = \delta(W - W_0)$ ,  $W_0$  should be near the trough of the  $dW/dt$  curve.<sup>2,7</sup> Furthermore, the low-energy ions have small fusion reactivities. On the other hand, if  $W_1$  is too large, only a small fraction of the rf-heated ions have large fusion reactivities. Figure 3 shows  $G_{2\max}$  as a function of  $W_1$ . The optimum  $W_1$  is 40 keV, with  $G_{2\max}/F_M = 1.07$ .

## V. REDUCTION OF THE IDEAL GAINS

In this section we briefly consider unavoidable phenomena that reduce the ideal gains.

1. In practice the distribution  $f(W) \approx \text{constant}$  will not include all deuterons in the wave interaction region. Thus, the gain of the cyclic scheme becomes

$$G_1 \frac{n_T}{n_e} = \frac{G_1 n_T}{n_T + n_{Db} + n_{Dp}} \quad (5)$$

where  $n_{Db}$  and  $n_{Dp}$  are the deuteron densities in the supra-thermal distribution and bulk-plasma, respectively. When  $n_{Db}/n_e \approx 0.05$ ,  $G_1$  is not greatly reduced even if only  $\sim 1/3$  of the deuterons are accelerated. On the other hand, because of the rf input to the cold ions when  $\omega = \omega_{CD}$ , the gain of the clamped scheme becomes  $G_2 (n_{Db}/n_D) (n_T/n_e)$ , which may be a small fraction of  $G_2$ . Here we have ignored the contribution of bulk-plasma D-T reactions, which are important only if the plasma has a very long confinement time.<sup>2</sup>

2. RF energy is supplied directly to plasma electrons.

The gains of two-component reactors are derived assuming that all energy to the bulk plasma is supplied by the suprathemal ions.<sup>1,2</sup> Thus, both  $G_1$  and  $G_2$  are reduced by the factor  $P_D/(P_e + P_D)$ , where  $P_e$  and  $P_D$  are the rf powers going to electrons and deuterons, respectively. For a one-ion-specie plasma, the magnetosonic wave tends to be damped equally by ions and electrons, but Adam<sup>8</sup> has shown that in two-ion-species plasmas of the type relevant here, with  $n_D/n_T \sim 0.1$ , the D will be preferentially heated if  $\omega = \omega_{CD}$ , and  $P_e/P_D$  should be as small as  $10^{-2}$ . However, this ratio may be somewhat larger for  $\omega = 2\omega_{CD}$ .

3. RF energy is supplied directly to tritons, since the tail of the triton distribution tends to be heated resonantly by the fast wave when  $\omega = 2\omega_{CD} = 3\omega_{CT}$ . This effect reduces  $G_1$  and  $G_2$  by  $P_D/(P_T + P_D)$ , but may be useful in a reactor with composition 50% D, 50% T.

The above effects may well reduce the gain of an rf-TCT by a factor of 2, thus making energy break-even impractical.

## VI. MISCELLANEOUS PROBLEMS

There are other unfavorable features of the rf-TCT that are not found in the beam-injection scheme. These problems will be only briefly mentioned.

1. The deuteron energy distribution is described by  $T_{\perp} \gg T_{\parallel}$ , unless scattering is very rapid. Scattering of high- $W$  ions should be negligible, however. This distribution is susceptible to a high-frequency shear Alfvén wave instability.<sup>9</sup>

2. Since practically all the rf power goes into transverse ion motion, orbit confinement problems are more severe than in the beam-injection case with tangential injection. Furthermore, the proportion of trapped ions may increase substantially, thus aggravating trapped-ion instabilities.

3. The use of rf coils inside the vacuum vessel may be prohibitive in a neutron environment, may be damaging to plasma purity, and poses serious cooling and insulation problems.

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<sup>6</sup>W. M. Hooke and J. C. Hosea, private communication.

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APPENDIX A. SINGLE-PARTICLE HARMONIC RESONANT HEATING

The equation of motion of an ion in the field of the fast wave at  $\omega = 2\omega_C$  is

$$\ddot{x} + \omega_C^2 x \approx \frac{1}{2} \frac{q}{m} k_x E_x a_L \exp [i(\omega_C - k_{\parallel} v_{\parallel})t - i\phi] \quad (A1)$$

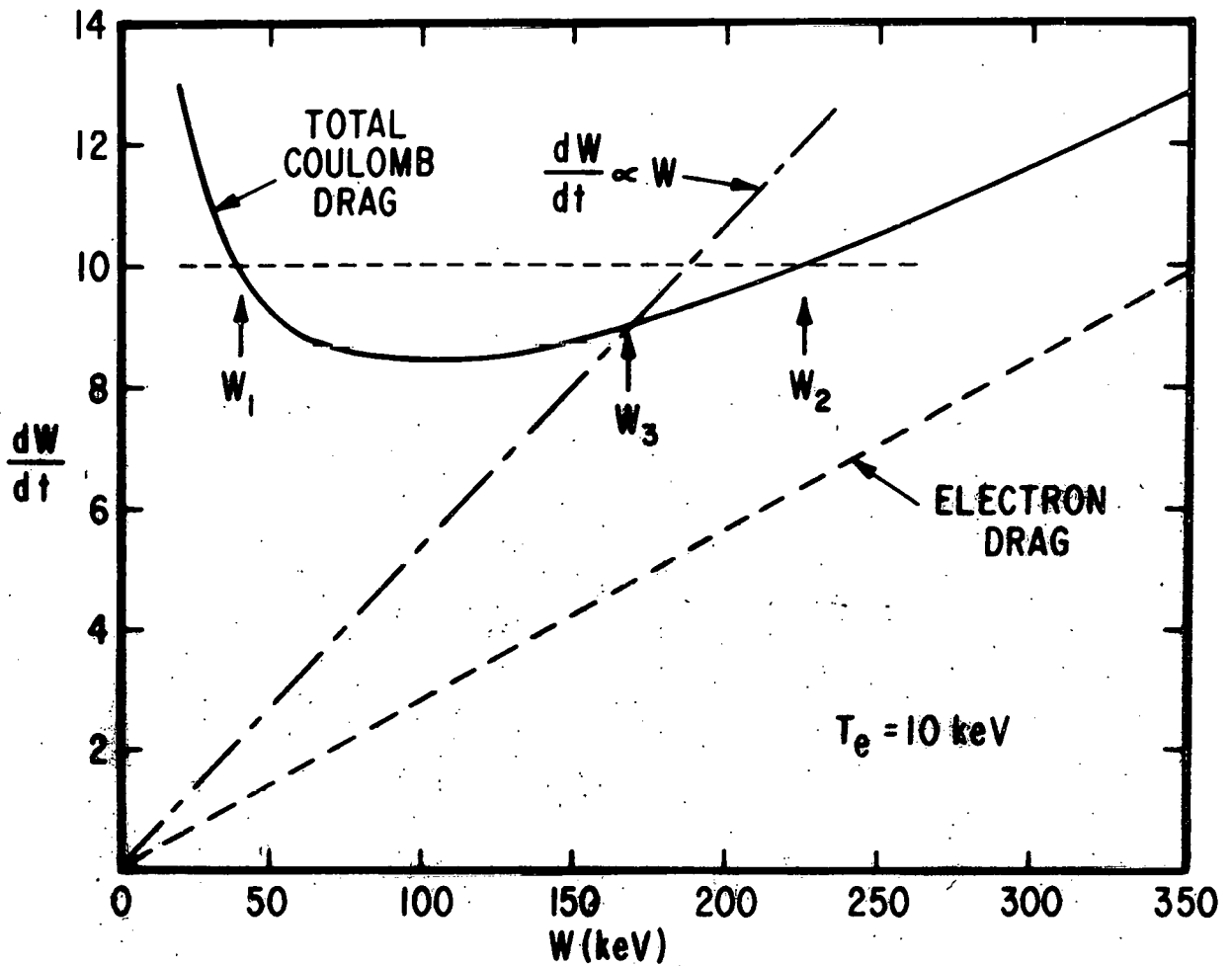
where  $E_x$  refers to the left-hand component,  $a_L$  is the ion gyroradius, and  $k_x a_L \ll 1$ . Considering only ions trapped in the wave field, the solution of Eq. (A1) is

$$\frac{dW}{dt} = \alpha W t \quad (A2)$$

where  $W$  is the ion energy and  $\alpha = (ck_x E_x / 8B)^2$ . Equation (A2) preserves the nature of energy distributions in the absence of collisions or escape from the resonance zone. If the initial  $f(W)$  is Maxwellian at temperature  $T_0$ , then the solution of the equation of continuity in energy space is

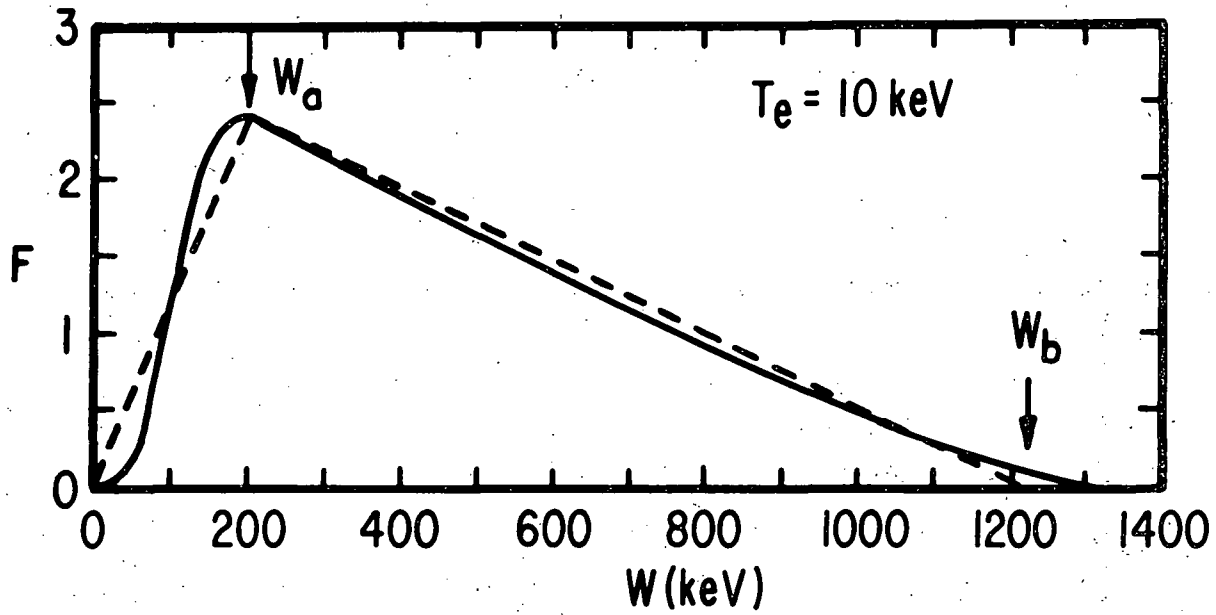
$$f(W, t) = [\exp (-0.5\alpha t^2)] / T_0 \{ \exp [(-W/T_0) \exp (-0.5\alpha t^2)] \}. \quad (A3)$$

Details are given in Ref. 5.



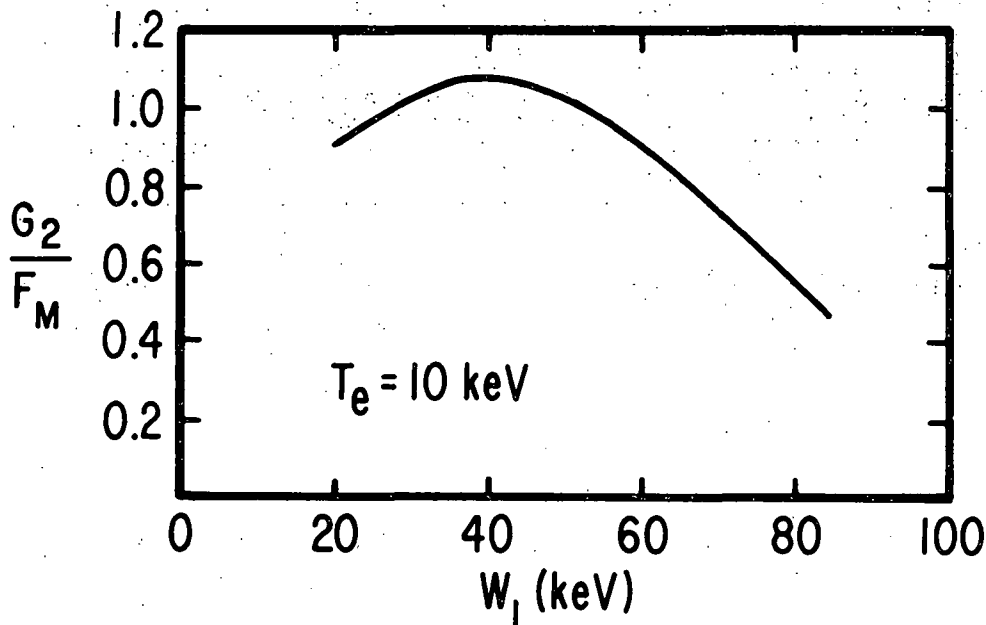
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Fig. 1. Coulomb drag on fast deuterons vs deuteron energy, at  $T_e = 10 \text{ keV}$ . The ordinate units are arbitrary.



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Fig. 2. Energy multiplication factor  $F$  from Ref. 1, for D on T.  $W$  is the deuteron energy.  $n_e = 10^{14}$  cm $^{-3}$ .



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Fig. 3. Gain of the rf-TCT with a uniform fast deuteron population clamped in the energy range  $W_1 < W < W_2$ , where  $W_1$  and  $W_2$  have the same Coulomb drag (see Fig. 1). Target plasma is 100% T.  $F_M$  is the maximum  $F$ -factor at  $T_e = 10$  keV.

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