

BNL-100854-2013-CP

#### Nonproliferation and Knowledge Security Course

#### Dawn Verdugo

Presented as an on-going GIPP Course
Brookhaven National Laboratory, Upton, NY
October 1, 2014 through January 1, 2015

Nonproliferation and National Security Department Safeguards and Nonproliferation Policy

**Brookhaven National Laboratory** 

#### U.S. Department of Energy

Notice: This manuscript has been authored by employees of Brookhaven Science Associates, LLC under Contract No. DE-AC02-98CH10886 with the U.S. Department of Energy. The publisher by accepting the manuscript for publication acknowledges that the United States Government retains a non-exclusive, paid-up, irrevocable, world-wide license to publish or reproduce the published form of this manuscript, or allow others to do so, for United States Government purposes.

This preprint is intended for publication in a journal or proceedings. Since changes may be made before publication, it may not be cited or reproduced without the author's permission.

#### DISCLAIMER

This report was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, nor any of their contractors, subcontractors, or their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or any third party's use or the results of such use of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof or its contractors or subcontractors. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof.

# NONPROLIFERATION AND KNOWLEDGE SECURITY COURSE

**Global Security Through Science Partnerships** 

#### **DRAFT**



#### Introductions

Global Security through Science Partnerships (GSSP)

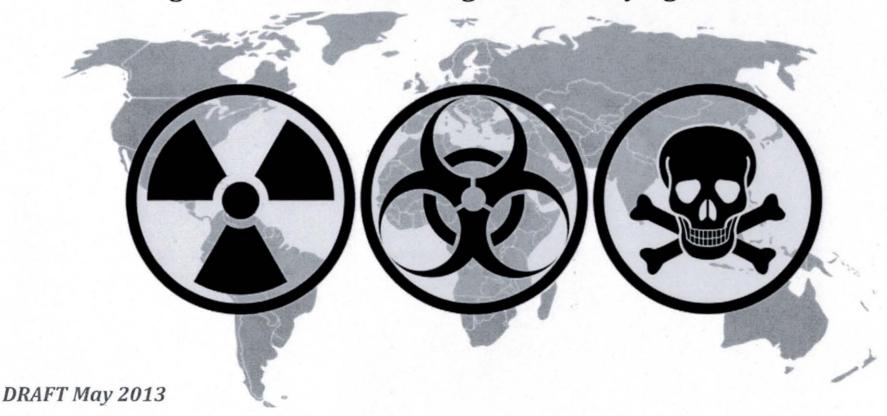


Strengthening knowledge security best practices through science and technology collaborations, outreach and training

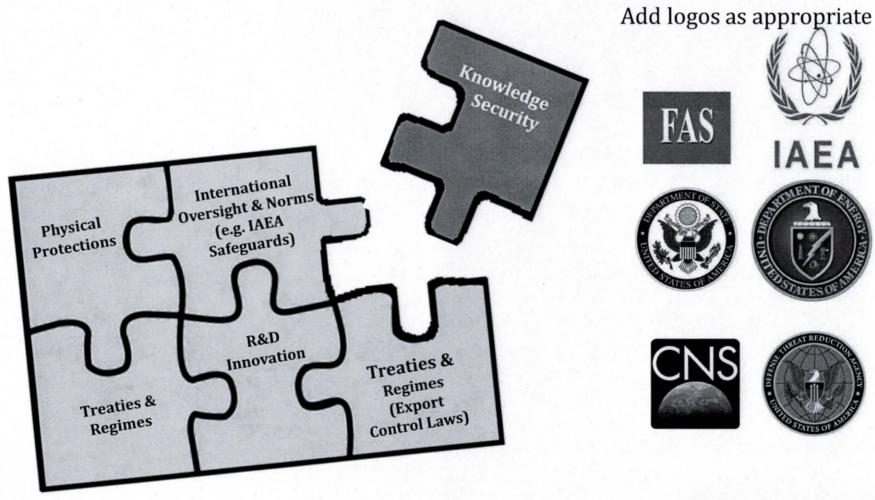
Insert Partner-Institute's logo etc.

# Weapons of Mass Destruction (WMD) Proliferation Threat

- Keeping WMD out of the hands of those who seek to harm requires a coordinated and substantial effort
- Globalization, modernization, increasing trade of WMD-related technologies, materials, knowledge all intensifying threat



### Other (site) Nonproliferation Activities, Partnerships





#### Three Modules of the Course

Module 1.

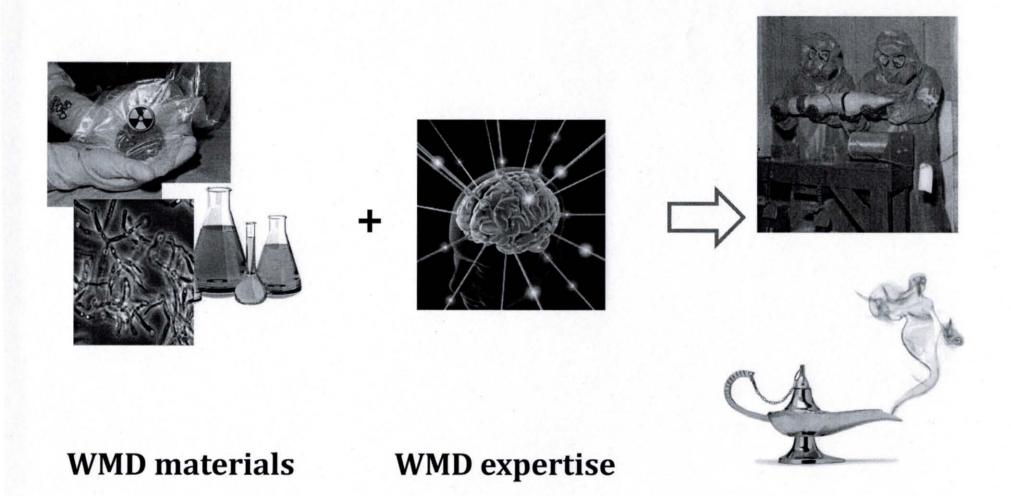
Introduction to Nonproliferation Concepts and Regimes Module 2.

Characterizing
Proliferation
Vulnerabilities for
Scientists

Module 3.

Building a Knowledge Security Network: an Integral Component of Responsible Science

#### Expertise is half of the equation to producing WMDs:



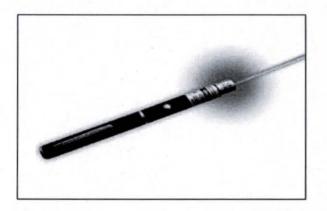
#### What Does "Dual-use" Mean?

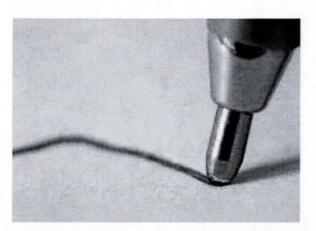
- Dual use materials, technologies *and knowledge are* developed for everyday purposes, but have the potential for hostile use

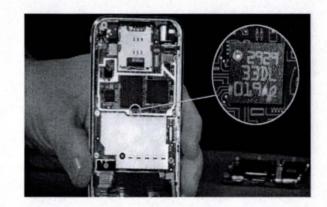


What does this smart-phone have to do with WMDs?

## What do these Everyday Items Have in Common?





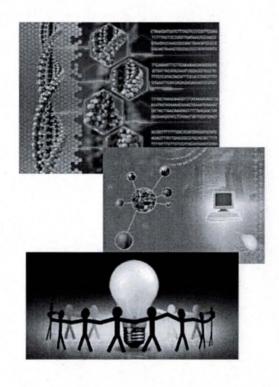




Our challenge:

To consider when our research may have WMD-applications, and if indiscriminately released, could be used in ways we didn't intend.

#### The Dual-use Dilemma



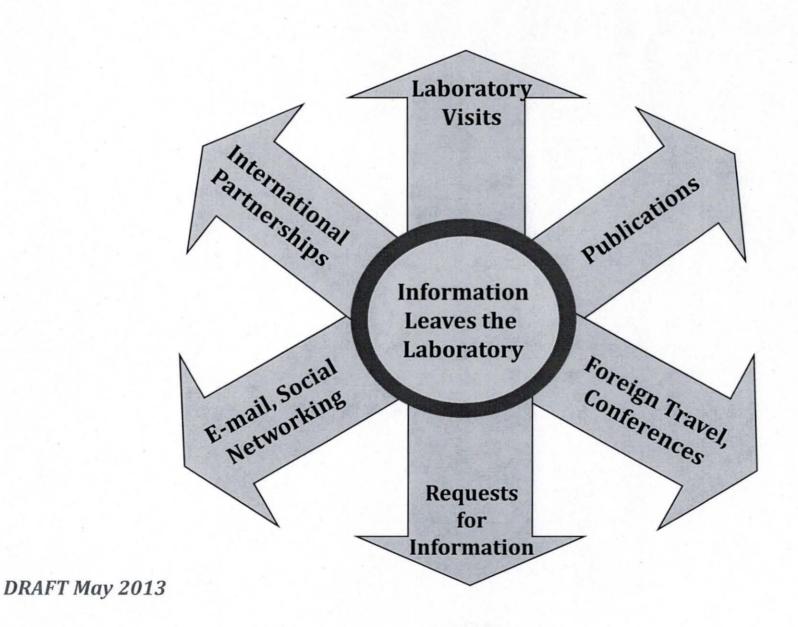
Information sharing leads to scientific innovations and new discoveries **BUT** 



**Not sharing** knowledge is sometimes necessary to:

- Protect professional reputation
- Protect intellectual property
- Comply with export control regulations and international treaties

#### The Many Ways we Share Scientific Information



#### The Concept of Knowledge Security

#### **Knowledge Security is:**

A set of understandings, practices and processes that are designed to prevent proliferation of knowledge that could be applied to the development of Weapons of Mass Destruction (WMDs):

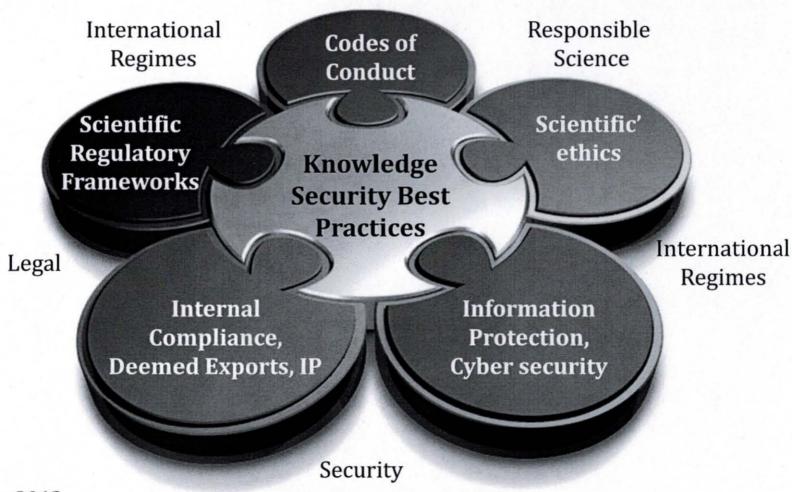
- Nuclear
- Chemical
- Biological
- ·Dual-use





## An Integrated Knowledge Security Network

· Draws upon tried and true best practices grounding many related areas



#### Knowledge Security and Responsible Science

Knowledge security fits within the practice of Responsible Science

Safety, legal obligations

## Responsible Science:

A set of shared attitudes, values, goals, and practices that characterizes an organization's commitment to honest, verifiable, safe, and ethical scientific research

Research integrity, ethics

# Responsible Science: One Example is Part of Industry Compliance

Integrity and compliance program visualized at an international biopharmaceutical enterprise:



"At Novartis we believe that doing the right thing makes good business sense. We consider that ethical conduct is best assured by promoting a culture of integrity, supported by a sound management framework and assurance processes."



# **QUESTIONS?**