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PROCUREMENT HISTORY OF THE HYBRID UNDULATOR FOR THE U-5 BEAM LINE AT THE NATIONAL SYNCHROTRON LIGHT SOURCE*

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May 1989

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Submitted as a Light Source Document #137

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LS #-137 April 1989 P. J. Viccaro, D. C. James & S. D. Bader

PROCUREMENT HISTORY OF THE HYBRID UNDULATOR FOR THE U-5 BEAM LINE AT THE NATIONAL SYNCHROTRON LIGHT SOURCE

D. C. James ANL Procurement

S. D. Bader Materials Science Division

P. J. Viccaro Advanced Photon Source Division

Introduction:

As part of a national multi-institutional Materials Research Group (MRG), Argonne National Laboratory had the responsibility, under a prime contract with the U. S. Department of Energy, of obtaining a Permanent Magnet Hybrid undulator to be used on the U5 Beam Line on the VUV Ring at the National Synchrotron Light Source (NSLS). The procurement involved determining the technical specifications of the device as well as developing an effective procedure for evaluation of the proposals. The conceptual design of the magnetic structure including all pertinent magnetic field properties was developed before the actual procurement process was initiated. In addition, complete calculations of the expected spectral properties of the undulator were performed which included the emittance properties of the VUV ring. The results from both analysis were essential in determining the expected performance of the device and the final choice of operating parameters.

The procurement involved three distinct phases of activity until the final choice of a vendor was made. These involved:

- A. Technical Specification and Related Issues.
 - Specification of the conceptual magnetic design and expected spectral properties based on the research requirements of the MRG

In-house cost base estimate.

Technical specification of the device and its required magnetic and mechanical tolerance levels. Formation of the Technical Evaluation Team (TET) and formulation of the evaluation criteria.

B. Preparation and Distribution of Request For Proposals.

C. Evaluation of Proposals by the TET and Recommendations.

D. Final Selection of Vendor by the ANL Source Selection Board.

Each of these phases are discussed in detail below and relevant supporting documentation is contained in the Attachments. The Procurement process outlined above was initiated in March, 1987 and the selection of the Vendor took place in October, 1987.

Detailed Procurement Process:

Phase A.

The specification of the initial magnet design of the device was based on the radiation tunability requirements of the members of the MRG and requirements specified by the NSLS regarding the storage ring. In addition, the performance of the undulator was optimized from the point of view of actual beam emittance expected for the VUV ring. Field calculations were carried out in order to estimate magnetic field properties in the horizontal, vertical, and transverse directions.

Based on the preliminary design, a cost estimate was made. This estimate used in part vendor quotes for similar devices and the cost-base developed by the Advanced Photon Source.

The technical specifications including magnetic and mechanical tolerance requirements and the detailed statement of work was prepared. Consultations were made with established experts in the field of undulator design at sister

laboratories and other research institutions. The final Statement of Work including tolerances is included in Attachment 1.

A Technical Evaluation Team was formed and the evaluation criteria were formulated. The evaluation method was composed of two parts. In the initial evaluation phase, it was decided to provide no cost information to the TET in order that incoming proposals would be judged on their technical merit only. The evaluation criteria and weights given in Attachment 2 were distributed to each member of the TET. Cost information would be supplied after the technical evaluation by each member was completed.

Phase B.

A request for procurement was forwarded to ANL Procurement. A synopsis was prepared and a procurement invitation was sent for publication in the Commerce Business Daily (see Attachment 3.) The Request For Proposals (RFP) was prepared. The cover letter describing the documentation included in the RFP is given in Attachment 4. The RFP was sent to 12 prospective bidders given in Attachment 5.

Phase C.

The proposals received were evaluated individually by the TET members according to the procedure outlined in Phase A. Discussions were held by the TET concerning the individual ratings and further information was solicited from bidders on specific points of the proposals. After the technical evaluation was complete, the cost information was released to the TET. The final recommendation by the TET which took the cost information into account the individual member recommendations and group averaged recommendation was sent to the SSB.

Phase D.

A meeting was held by the SSB with members of the TET in which specific points concerning the recommendation of the TET were discussed. A final selection of the vendor was indicated by the SSB based on their evaluation of the recommendations of the TET.

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LIST OF ATTACHMENTS

Attachment 1	Statement of Work for Specification of a Hybrid Undulator for the U5 Beamline at NSLS
Attachment 2	Proposal Evaluation Criteria
Attachment 3	Procurement Invitation Published on Commerce Business Daily
Attachment 4	ANL Cover Letter for Request for Proposals
Attachment 4	List of Twelve Prospective Bidders

. .: ATTACHMENT 1

RFP No. 87-DJ43-012 April 29, 1987

Appendix "B"

Statement of Work

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For

"SPECIFICATION FOR A HYBRID UNDULATOR FOR THE US BEAMLINE AT NSLS"

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SPECIFICATION FOR A HYBRID UNDULATOR FOR THE US BEAMLINE AT NSLS

1. SCOPE

This specification is for the design and fabrication of the basic magnetic and mechanical structure of a permanent-magnet hybrid undulator to be used on the U5 beamline on the vacuum ultraviolet VUV ring at National Synchrotron Light Source (NSLS). The device shall have a variable gap with provisions for remote adjustments incorporated into the design. The first choice for the permanent magnet materials shall be the Nd-Fe-B alloy and for the ferromagnetic pole, vanadium permendur. The specifications are such that the undulator will provide the recommended field strength and the smallest bandwidth on the first and third harmonic radiation within the emittance of VUV ring. Both the magnetic and mechanical properties are to be compatible with the vacuum chamber housing of the storage ring. The stand and mechanical structure shall also comply with the Space requirements of the storage ring. End correctors shall comply with the NSLS requirements for the VUV ring.

A review of the preliminary magnetic and mechanical design is to occur before final acceptance of the design. A second review of the final design and its magnetic and mechanical tolerances will also occur before fabrication. Results of final tests shall be performed at the installation site (NSLS). Final acceptance of the device shall occur after the device has operated within specifications at NSLS for a period no less than 90 days.

The device will be used as a photon source for spin-polarized photoemission studies of magnetic materials. The performance of the device.

including gap adjustment, should not perturb normal storage-ring operations. The photon flux in the first three harmonics should be at least 70% of that calculated for an ideal magnet array using the actual NSLS VUV ring emittance values.

2. MAGNETIC STRUCTURES

2.1 <u>Magnetic Materials</u>: The permanent magnet material shall be Nd-Fe-B with a minimum coercive force $(-H_c)$ of 10.6 kilo-Oersted (kOe). The ferromagnetic pole shall be vanadium permendur alloy. Both items shall be procured by the vendor.

2.2 Length: 2.25 m (maximum)

2.3 Period: 7.5 cm

2.4 <u>Gap</u>: The minimum gap is 3.4 cm (giving 3 cm clearance in the vacuum space, plus 0.2 cm vacuum-chamber wall thickness per wall). The gap adjustment device should operate to 2.4 cm gap, however, for future applications. The maximum open gap shall be such that the on-axial magnetic field is < 500 G.

2.5 <u>Gap Variation</u>: The gap shall be varied remotely from the minimum to maximum value. Encoder signals compatible with a microprocessor control unit shall be provided. The gap setting shall be reproducible to within 0.001 inch. It is envisioned that in normal operation the gap will be varied constantly throughout the day, to tune the photon energy. Hence, a rugged dependence device is needed.

2.6 <u>Peak Magnetic Field</u>: Shall be 1.0 kG (0.1 Tesla) at the minimum gap.

2.7 <u>Magnetic Structure</u>: The thickness of the pole and permanent magnetic materials shall be optimized to achieve the peak field <u>and</u> to achieve longitudinal field quality along the midplane of the device. The maximum deviation of the midplane field variation from a pure sinusoidal one shall be <u>less than</u> 2% at any gap. Approval of the final design for the magnetic structure, including the permanent-magnet materials, will be made at the preliminary design review.

2.8 <u>Pole Width</u>: The selection of the permanent-magnet and vanadiumpermendur width shall be dictated by the peak-field requirements given above, and the magnetic field uniformity required in the horizontal direction. Within an aperture of ± 1 cm-horizontal in the midplane, the field rolloff shall be less than 0.5%. Final acceptance of the design shall be consistent with recent experimental data for a 7-cm period undulator. (See K. Halbach et al., IEEE Trans. Nucl. Soc., <u>NS32</u> (1985) 3640) A two-dimensional model analysis, such as PANDIRA shall not serve as a basis for acceptance of performance.

2.9 <u>Gap Errors</u>: Gap errors which cause steering errors within the undulator body shall be less than 1 mil (0.001 inch). This includes pole-placement errors as well as permanent magnet placement errors and pole tilt.

2.10 <u>Magnetic-Field Errors</u>: At midplane along the axis of the undulator, the uncorrected field error shall be $\left|\frac{\Delta B rms}{B}\right| < 0.01$. This value is based on a 30% loss in peak amplitude in the third-harmonic radiation. The analysis is based on the work by B. M. Kincaid, J. Opt. Soc. Amer., B2 (1985) 1294.

2.11 <u>Phase Errors</u>: The deviation in the period over the length of the device shall be $\left|\frac{\Delta\lambda rms}{\lambda}\right| < 0.008$ on centerline, midplane. Verification can be based on a determination of the magnetic-field cross-over position.

2.12 <u>Good Field-Length of Device</u>: The above specifications on errors shall be satisfied to within 4 poles (2 periods) on each end.

2.13 <u>End-Field Clamp</u>: End-field clamp plates shall be provided so as to reduce stray and fringe fields to a minimum. The final configuration shall be approved at the preliminary design review.

2.14 <u>End Correctors</u>: End correctors shall be provided in accordance with specifications given by NSLS requirements.

2.15 <u>Residual Steering Errors</u>: The integrated dipole-field error shall not exceed 100 gauss-cm vertical. The integrated quadrupole shall be less than 10 gauss within a horizontal region of ± 1 cm. The integrated sextapole component shall be less than 100 gauss/cm. The trajectory errors shall be commensurate with the steering errors.

3.0 MECHANICAL STRUCTURE

3.1 The dimensions of the mechanical structure shall be consistent with the available space. Clearance shall be provided for the vacuum chamber that houses the storage ring.

4.0 DESIGN REVIEW: TIMETABLE AND SCOPE

4.1 <u>Preliminary Design Review</u>: A design review shall occur not later than four months, and as soon as possible after the acceptance of the order. The vendor shall meet with designated reviewers at the vendors site to present the magnetic and mechanical designs chosen. This meeting shall include presentation of:

4.1.1 magnetic-field calculations and choice of magnetic configuration
4.1.2 model measurements which may have been carried out
4.1.3 chosen mechanical tolerances

4.1.4 specifications for proposed purchase of permanent magnet material

4.1.5 choice of suppliers for permanent-magnet material

4.1.6 permanent magnet material testing plans

4.1.7 engineering approach and schedule showing major milestones

4.1.8 engineering layout drawings

Work necessary for the final design may proceed following written acceptance of the preliminary design.

4.2 <u>Final Design Review</u>: No more than two months, and as soon as possible, after the preliminary design review, the vendor shall present a) the final engineering drawings, b) detailed construction and testing plan, c) production schedule to the review teams. All additional work may proceed after written acceptance of the final design. Any minor changes after this date shall be negotiated and accepted by mutual consent.

5. ACCEPTANCE TESTING

Prior to shipment the vendor shall certify that all specifications of the undulator have been met. The purchasers shall be invited to witness these certification measurements. Final test data shall be supplied by the vendor. Written acceptance of these results will be required as part of approval process of the undulator.

6. DELIVERY

6.1 The undulator shall be delivered to NSLS within fifteen months from acceptance of the order.

6.2 The vendor shall repeat the certification measurements of the magnetic field at NSLS and shall make available any apparatus needed for these measurements. Written approval of all results will be required as part of the acceptance process of the undulator.

6.3 The vendor will assist in the installation of the undulator in the VUV ring at NSLS.

6.4 Final acceptance will occur after the device has operated within specifications at NSLS for a period of no less than 90 days.

7. WARRANTY

7.1 One year standard limited warranty against parts failure and defects in workmanship.

7.2 Warranty against damage in shipping to NSLS.

8. DOCUMENTATION

All relevant instruction and maintenance manuals, and schematic and engineering drawings are to be provided upon delivery.

9. BIDDING INSTRUCTIONS

9.1 Bids should be valid for six months.

9.2 List separately the price of optional features, and easily

retrofitted features, such as the vacuum chamber that the undulator encloses.

ATTACHMENT 2

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RFP. No. 87-DJ43-012 May 22, 1987

Evaluation Criteria

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EVALUATION OF PROPOSAL FOR HYBRID UNDULATOR

Bidders are encouraged to prepare a technical proposal which is specific and sufficiently detailed to allow a complete evaluation of their methods for satisfying the requirements set forth in this RFP. The proposal shall address the following evaluation criteria:

1. Suitability and quality assurance of magnetic materials

The proposal shall contain purchasing specifications for both the permanent-magnet material and the ferromagnetic pole material, a description of the testing and sorting procedures for these materials, and a description of the equipment to be used in the testing.

2. Magnetic design

The proposal shall contain a detailed description of the magnetic design including, but not limited to, thickness and width of permanent magnet and pole materials, design of end correctors, and methods for achieving the magnetic field adjustments described in the specifications. Bidder should describe the procedures used in arriving at the design including, where applicable, the names and descriptions of computer programs employed. Additional design work, including model construction and testing if any, that would be performed after award of contract should also be described.

3. Magnetic measurements

Bidders should provide a detailed description of the magnetic measurement apparatus and techniques to be used to make the measurements required by these specifications.

4. Mechanical design

The proposal shall contain a detailed description of the undulator including support structures, gap adjustment mechanism, and vacuum chambers. The mechanical design of the magnetic structure of the undulator shall be described in detail including the methods chosen for installing and aligning the permanent magnet and pole materials, dimensional tolerances, fabrication and quality control procedures.

5. Experience and capability

Bidder shall present evidence of experience and capability in the design and construction of hybrid permanent-magnet undulators. This evidence could include, but is not limited to, the specifications of insertion devices built by the bidder, the date of completion, and the names, addresses and telephone numbers of the users of these devices.

6. Schedule and delivery

The proposal shall include a schedule of the planned work showing the performance period for each major element of work and setting forth a schedule of delivery of all items to be furnished under the contract. This shall include proposed delivery dates for all deliverables described in the specifications. Bidder shall also describe preparation for shipment, method of shipment, and installation procedures.

U5 EVALUATION

PROPOSAL No. 87-DJ43-012 EVALUATION:

U5 HYBRID UNDULATOR

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CRITERIA	%	
Suitability & QA of Mag Mat'l	15	
Magnet Design	15	
Magnetic Measurements	10	
Mechanical Design	25	
Experience and Capability	25	
Schedule and Delivery	10	
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Page 1

ATTACHMENT 3

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ARGONNE NATIONAL LABORATORY 9700 SOUTH CASS AVENUE, ARGONNE, ILLINOIS 60439

May 19, 1987

U. S. Department of Commerce Office of Field Operations Commerce Business Daily Section P.O. Box 5999 Chicago, IL 60680

Transmittal No. 106

Argonne National Laboratory Procurement Department 9700 South Cass Avenue Argonne, IL 60439-4873

--A-<u>Specification for a Hybrid Undulator for the U5 Beamline at NSLS</u>. This specification is for the design and fabrication of the basic magnetic and mechanical structure of a permanent-magnet hybrid undulator to be used on the U5 beamline on the vacuum ultraviolet VUV ring at National Synchrotron Light Source (NSLS). The device shall have a variable gap with provisions for remote adjustments incorporated into the design. The first choice for the permanent magnet materials shall be the Nd-Fe-B alloy and for the ferromagnetic pole, vanadium permendur. The specifications are such that the undulator will provide the recommended field strength and the smallest bandwidth on the first and third harmonic radiation within the emittance of VUV ring. Both the magnetic and mechanical properties are to be compatible with the vacuum chamber housing of the storage ring. The stand and mechanical structure shall also comply with the space requirements of the storage ring. End correctors, end-field clamps, and residual steering errors shall comply with the NSLS requirements for the VUV ring.

The permanent magnet materials shall have a minimum coercive force of 10.6 kOe. The recommended period is 7.5 cm and the maximum length is 2.25 m. The gap adjustment should be rugged and dependable for constant daily operation from a minimum of 2.4 cm to a maximum such that the on-axis magnetic field is less that 500 Gauss. The gap setting shall be reproducible to within 0.001 inch. The maximum deviation of the midplane field variation from a pure sinusoidal one shall be less than 2% at any gap setting to within 2 period on each end of the device. The device shall be delivered to NSLS within fifteen months from the acceptance of the order. The purpose of this announcement is to establish a bidders list. Interested organizations will be placed on the bidders list by requesting in writing. Argonne National Laboratory, 9700 South Cass Avenue, Argonne, Illinois 60439, Attention: DonCarlos James, Building 20I-PRO. This announcement closes I5 days after publication of this notice.

REDNESDAY, May 27, 1967

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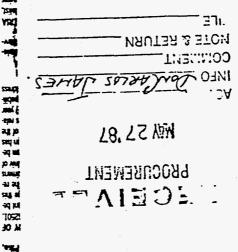
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ATTACHMENT 4

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ARGONNE NATIONAL LABORATORY 9700 SOUTH CASS AVENUE, ARGONNE, ILLINOIS 60439

June 26, 1987

See Distribution List

Subject: Request for Proposal (RFP) No. 87-DJ43-012, Regarding the Design and Fabrication of the Basic Magnetic and Mechanical Structure of a Permanent-Magnet Hybrid Undulator to be Used on the U5 Beamline on the Vacuum Ultraviolet VUV Ring at National Synchrotron Light Source (NSLS).

Argonne National Laboratory, under a prime contract with the U. S. Department of Energy (DOE), has the responsibility of obtaining the item which is the subject of this Request for Proposal (RFP). Your company is being invited to furnish necessary information for meeting this requirement. The Laboratory is most interested in receiving a Fixed Price (FP) proposal from your organization to perform the work as stated in the enclosed statement of work (SOW). The anticipated period of performance to successfully complete this effort, as stated in the SOW, is fifteen (15) months after contract award. Your attention is directed to the enclosed documents which constitute requirements for this RFP and should be fully complied with as indicated.

Enclosure No. 1: Proposed contract and applicable terms and provisions for any resultant contract. These include the following:

- A. <u>Sample Fixed Price Contract Format.</u>
- B. Appendix "A" "Argonne Terms and Conditions for Fixed-Price Supply Contracts", dated 6/86.
- C. Appendix "D-1" "Intellectual Property Provisions Research, Development, Demonstration Contract, General Contractor, dated November 1, 1982.
- Enclosure No. 2: Pre-Award Information and Booklet of Representations and Certifications dated 3/87.
 - <u>Note:</u> All applicable sections of the enclosed booklet entitled Pre-Award Information <u>must</u> be completed and returned with your response.

Enclosure No. 3:	Contract Pricing Proposal, PD-9, dated 2/85.
Enclosure No. 4:	Appendix "B", Statement of Work For "Specification For a Hybrid Undulator For the U5 Beamline at NSLS".
Enclosure No. 5:	Evaluation Criteria, dated May 22, 1987.
Enclosure No. 6:	Late Submissions, Modifications and Withdrawals of Proposals, dated June 19, 1987.

The evaluation of the response(s) will be based upon the following criteria:

- 1. Experience and capability
- 2. Mechanical design
- 3. Magnetic design
- 4. Suitability and quality assurance of magnetic materials
- 5. Magnetic measurements
- 6. Schedule and delivery

Criteria numbered 1 and 2 are of equal and are most important. Criteria numbered 3 and 4 are of equal and lesser importance. Criteria numbered 5 and 6 are of equal and are least important, however, their level of importance should not be minimized.

Your firm's proposal should fully explain your proposed methods and procedures in meeting the requirements set forth in the Statement of Work, as well as the requirements stated in the Evaluation Criteria. To facilitate an effective evaluation process, the order of the items in your response should correspond with the above stated criteria.

This Request for Proposal (RFP) does not commit the Laboratory to pay any cost for the preparation and submission of a proposal. It is also brought to your attention that the Authorized Procurement Official is the only individual who can legally commit the Laboratory to the expenditure of funds in connection with this proposed procurement.

Your proposal should be submitted to the following address:

Argonne National Laboratory 9700 S. Cass Avenue, Building 201 Argonne, IL 60439-4873

Attention: DonCarlos James, Subcontracts

Your proposal should identify the proposed key individual(s) performing the project, and the individual(s), (name, title, and telephone number) who will represent the organization(s) in any ensuing negotiations. Your proposal (three [3] complete packages with separately bound technical and cost proposals) should be properly identified and should be mailed or hand carried to the address specified above no later than 4:30 p.m. on Thursday, August 13, 1987.

The Laboratory reserves the right to accept or reject all proposals if that is determined to be in the best interest of the Laboratory. Award may be made without discussion; and hence, your proposal should be submitted initially on the most favorable terms.

Your proposal should be valid for a period of six months from the date of your response.

All questions, comments, and correspondence should be directed to the undersigned at the address specified above or telephone (312) 972-7080.

Sincerely,

D. James Contract Specialist Senior Procurement Department

DJ:gmp Encls.

bxc w/o encl.:

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Sundstrand Corporation 4747 Harrison Avenue P. O. Box 7002 Rockford, IL 61125-7002

Attention: Ted Fokins - 869-6

Oxford Superconducting Technology 600 Milik Street Carteret, NJ 07008-1199

Attention: Roy J. Hausman

A. Mac D. Engineering 1235 Ashland Avenue Wilmette, IL 60091

Rocketdyne Division Rockwell International Corp. 6633 Canoga Avenue Canoga Park, CA 91303

Attention: D.B. Vandiver

Field Effects, Inc. 6 East Road Acton, MA 01720

Attention: R. Holsinger

Sonolysts, Inc. P. O. Box 280 Waterford, CT 06385-0280

Attention: Jane E. Goldsmith

Westinghouse Research & Development Center 1310 Beulah Road Pittsburgh, PA 15235

Attention: Ms. Diana Mance

GA Technologies, Inc. Mail Stop 13/254 P. O. Box 85608 San Diego, CA 92138-5606

Attention: J. B. Gibson

Lawrence Berkeley Lab. 1 Cyclotron Bivd. Berkeley, CA 94720

Attention: K. Birkner

Deacon Research 900 Welch Road Suite 203 Palo Alto, GA 94304

Hughes Aircraft Company P. O. Box 45066 Los Angeles, CA 90045-0066

Attention: N. M. Novick

Spectra Technology 2755 Northup Way Bellevue, WA 98004-1495

Attention: Beverly A. Pelto