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# **NUMERICAL SPIN TRACKING IN A SYNCHROTRON**

# **COMPUTER CODE SPINK - EXAMPLES (RHIC)**

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# Numerical Spin Tracking in a Synchrotron. Computer Code *Spink.* Examples (RHIC)

A.Luccio, September **1995** 

## **1. Spin Tracking**

In the course of acceleration of polarized protons in a synchrotron, many depolarizing resonances are encountered **[l].** They are classified in two categories: intrinsic resonances that depend on the lattice structure of the ring and arise from the coupling of betatron oscillations with horizontal magnetic fields, and imperfection resonances caused by orbit distortions due to field errors.

In general, the spectrum of resonances vs. spin tune  $G\gamma(G = 1.7928)$ , the proton gyromagnetic anomaly, and  $\gamma$  the proton relativistic energy ratio) for a given lattice tune  $\gamma$ , or vs. v for a given *Gy,* contains a multitude of lines with various amplitudes or resonance strengths. The depolarization due to the resonance lines can be studied by numerically tracking protons with spin in a model accelerator. Tracking will allow one to check the strength of resonances, to study the effects of devices like Siberian Snakes **[2],** to find safe lattice tune regions where to operate, and finally to study in detail the operation of special devices such as Spin Flippers **[3].** 

A few computer codes exist that calculate resonance strengths  $\varepsilon_k$  and perform tracking, for proton and electron machines. Most relevant to our work for the AGS and RHIC machines are the programs *Depol* and *Snake. Depol,* originally written by E.D. Courant [4] calculates the  $\varepsilon_k$ 's by Fourier analysis. The input to *Depol* is the output of a machine model code, such as *Synch* or *Mad,* containing all details of the lattice. *Snake,*  written by J. **Buon** and modified by **E.D.** Courant, **S.Y.** Lee and others, does the tracking, starting **from** a synthetic machine, that contains a certain number of periods, of FODO cells, of Siberian snakes, etc.

We believed the complexities of machines like the AGS or **RHIC** could not be adequately represented by *Snake.* Then, we decided to write a new code, *Spink,* that combines some of the features of *Depol* and *Snake.* Le. *Spink* reads a *Mad* output like *Depol* and tracks as *Snake* does. The structure of the code and examples for RHIC are described in the following.

#### **2. Spink Formalism.**

The general idea is to track a certain number of protons, randomly generated in a phase space volume, through the machine lattice. Each proton is characterized by four transverse coordinates, x, x', y, y', by two longitudinal coordinates  $dp = p - p_s$ ,  $d\phi$ , and by three spin coordinates,  $S_x$ ,  $S_y$ ,  $S_z$  (where  $S_x^2 + S_y^2 + S_z^2 = 1$ ). Matrices are used to transform orbit (transverse and longitudinal) and spin coordinates. Orbit matrices **are** built from **a** *Twiss* file, output of *Mad.* Since for spin motion only magnets and RF cavities ("active" elements) **are** relevant, everything else in the lattice description is lumped in a drift **space.** Typically, for **RHIC** the number of *Spink* matrices is 981, each active element being surrounded by two drifts, keyword (D) in the code. Presently, active elements are: Bends (B), Quadrupoles (Q), Snakes (S), RF Cavities (R), Spin Flippers (F).

**e** 

The spin, treated as a three dimensional vector, is transformed by rotation, using matrices. In a Bend the rotation is around a vertical y-axis, in a Quad, around a radial axis, in a Snake, around an axis of orientation given as input, and in a Spin Flipper around an horizontal rotating or oscillating axis.

Tracking a fair number of particles, say **25,** for many revolutions, say 100,000, through a thousand or **so** matrices takes a considerable computer time, of the order of many hours for a typical fast workstation. To insure a "reasonable" turnaround time, some price had to be paid. The results presented in this paper were obtained with the following limitations: (i) only the vertical motion was considered, (ii) the longitudinal synchrotron motion was decoupled from the other degrees of freedom, (iii) there was no attempt to consider in detail the spin precession within each element (i.e. for the purpose of representing spin motion the machine elements **are** thin). These limitations are not too bad, however to study fine details, like side bands of resonance lines due to synchrotron or horizontal motion, full six-dimensional matrices are needed.

# **2.a. Orbit**

With these limitation, the orbit matrices are  $2 \times 2$  and act only on  $(y, y')$ . They are computed from the Twiss file using twiss function values at the entrance and exit of each element, **as** follows

$$
\begin{pmatrix} y \\ y \end{pmatrix} = T \begin{pmatrix} y \\ y \end{pmatrix}, \quad T \equiv \begin{pmatrix} A & B \\ C & D \end{pmatrix}
$$

(2-1) 
$$
\begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} \sqrt{\frac{\beta_2}{\beta_1}}(\cos \Delta \phi + \alpha_1 \sin \Delta \phi) & \sqrt{\beta_1 \beta_2} \sin \Delta \phi \\ \frac{AD - 1}{C} & \sqrt{\frac{\beta_1}{\beta_2}}(\cos \Delta \phi - \alpha_2 \sin \Delta \phi) \end{pmatrix}
$$

with

$$
\Delta\phi=\phi_2-\phi_1
$$

Orbit matrices **are** unitary, **as** they should, except than in a RF (thin) cavity, where the D element is decreased by a quantity proportional to the momentum gain per turn  $dp_m$ , corresponding to **an** instantaneous change of vertical orbit angle (together with the change of momentum)

(2-2) 
$$
D = 1 - \frac{dp_m}{\beta \gamma}, dp_m = V_{RF} \sin \phi, G\gamma = G \sqrt{1 + (\beta \gamma)^2}
$$

Since an important study is to track polarized protons through resonances at constant energy (storage mode) as a function of lattice tune, we need a means to vary the tune . It would be very cumbersome to run again *Mad* and read its output for every tune in the range. Besides, one might want **to** study acceleration with a programmed tune change. **To**  accomplish this, two thin lenses of strength  $\delta$  are added to each quadrupole in the machine, immediately up and down stream. The new quadrupole matrix and the quadrupole gradient **become** *(See* Appendix A)

become (see Appendix A)  
\n(2-3) 
$$
\begin{pmatrix} A+B\delta & B \\ C+2A\delta+B\delta^2 & D+B\delta \end{pmatrix}, \begin{cases} K_1:=K_1-\frac{2A}{B}\delta-\delta^2 & \text{(focusing quad)} \\ K_1:=K_1+\frac{2A}{B}\delta+\delta^2 & \text{(defocusing quad)} \end{cases}
$$

**3** 

*Spink* compute the lattice tune simply **by** counting zeroes of the orbit perfoming betatron oscillations. If  $\delta = 0$ , this tune coincides with the tune calculated in *Mad*.

#### **2.b.Spin**

Spin rotation matrices **are** less **familiar** 

$$
\begin{pmatrix} S_x \\ S_y \\ S_z \end{pmatrix} = \mathbf{R} \begin{pmatrix} S_x \\ S_y \\ S_z \end{pmatrix}
$$

In a Bend, the spin vector precesses around the y-axis by an angle  $\psi$  proportional to the bend angle  $\theta$ 

$$
\psi = G\gamma\theta
$$

The **spin matrix** of a Bend is

(2-4) 
$$
\mathbf{R}_{B} = \begin{pmatrix} \cos \psi & 0 & -\sin \psi \\ 0 & 1 & 0 \\ \sin \psi & 0 & \cos \psi \end{pmatrix}
$$

**In** a Quadrupole, the spin vector precesses around a radial axis. The angle of precession is proportional to the quadrupole integrated gradient  $K<sub>1</sub>L$  and to the orbit (vertical) displacement,  $y_\beta$  due to the betatron motion, and  $y_e$  due to lattice errors

$$
\psi = -K_1 L(1 + G\gamma)(y_\beta + y_\alpha)
$$

The **spin** rotation **matrix** for a quadrupole is

(2-5) 
$$
\mathbf{R}_2 = \begin{pmatrix} 0 & 1 & 0 \\ -\cos \psi & 0 & \sin \psi \\ \sin \psi & 0 & \cos \psi \end{pmatrix}
$$

**In a Siberian** snake, two angles are needed: the angle *@A* of the precession axis with the radial  $x$ -axis (we assume that the precession axis is in the horizontal plane) and the **snake** rotation **w. Both** angles are given as input **to** the program. The spin rotation **matrix**  for a snake is (see Appendix B)

<span id="page-7-0"></span>(2-6) 
$$
\mathbf{R}_{s} = \begin{pmatrix} 1 - 2\sin^{2}\phi_{A}\sin^{2}\frac{1}{2}\psi & \sin 2\phi_{A}\sin^{2}\frac{1}{2}\psi & \sin \phi_{A}\sin \psi \\ -\sin\phi_{A}\sin \psi & 1 - 2\sin^{2}\frac{1}{2}\psi & \cos\phi_{A}\sin \psi \\ \sin\phi_{A}\sin \psi & -\cos\phi_{A}\sin \psi & 1 - 2\cos^{2}\phi_{A}\sin^{2}\frac{1}{2}\psi \end{pmatrix}
$$

In a RF Spin Flipper, the **spin** precesses around an axis that rotates (or oscillates) in a horizontal plane with a frequency  $\omega$ . This frequency varies. When  $\omega$  equals half of the revolution frequency of the protons in the machine (with two snakes on), the spin flips. **There are** variation of this scheme that will be described later. The spin flipping angle is proportional to the strength of the **SF** 

$$
\psi = \frac{\int Bd\ell}{B\rho} G\gamma
$$

The **spin** rotation matrix for a spin flipper is

(2-7) 
$$
\mathbf{R}_{F} = \begin{pmatrix} 1 - 2\sin^{2} \omega t \sin^{2} \frac{1}{2} \psi & \sin \omega t \sin \psi & \sin 2\omega t \sin^{2} \frac{1}{2} \psi \\ -\sin \omega t \sin \psi & 1 - 2\sin^{2} \frac{1}{2} \psi & \cos \omega t \sin \psi \\ \sin 2\omega t \sin^{2} \frac{1}{2} \psi & -\cos \omega t \sin \psi & 1 - 2\cos^{2} \omega t \sin^{2} \frac{1}{2} \psi \end{pmatrix}
$$

## **2.c. Population**

Montecarlo population is extracted at the beginning of a tracking run in the transverse and longitudinal phase spaces. There are two basic ways **to** do the random extraction. One is to extract more particles per unit area where the density function is higher. The other is to extract particles with an even distribution, but **to** attribute weights to each one, proportional to the local density value. If the particles **are** not too many, the second method is probably better. In Spink this second method is used.

# **2.d. Froissart-Stora**

*Spink* can **be used** to check the strength of resonances calculated **by** *Depol* using the Froissart-Stora formula *[5].* Conditions are that the resonances being studied are reasonably separated (say, ten times their width) and are not too strong. The first condition insures that the polarization, at the beginning of the run is stable around the value of one. The second should be met in order than Froissart-Stora would not saturate. It can always be **used (at** least for intrinsic resonances) by tracking particles close to the ring horizontal plane, thus reducing the effective strength.

Assume that after crossing an isolated resonance the polarization (no snakes on) is reduced to some final value  $\langle P \rangle$ . The resonance strength is then calculated with

(2-8) 
$$
\varepsilon_k = \sqrt{-4\alpha \ln \frac{1+\langle P \rangle}{2}}, \quad \alpha = \frac{\Delta \gamma_m}{2\pi}
$$

with  $\alpha$  the rate of resonance crossing  $(\Delta \gamma_m)$ , the change of  $\gamma$  per turn). Since after the crossing the polarization performs some oscillations,  $\langle P \rangle$  is calculated as the average over a few *turns,* starting after a value of Gygiven **as** input.

#### **4. Examples**

The following examples **are** for **RHIC. RHIC** contains two full Siberian snakes *(w=*  **180')** with **axis** at **f45'** respectively. Gy ranges from **45** to 500 *[6].* 

**4.a. Example: Strength of an isolated Intrinsic Resonance.** 

Intrinsic resonances **are** located where

$$
G\gamma = \text{Integer} \pm \nu ,
$$

*v* being the (vertical) tune of the lattice. Integers that **are** multiple of some characteristic periodicity of the lattice **are** particularly **important.** 

**A** spectrum of intrinsic resonances for **RHIC,** calculated **by** *Depol* is shown in Fig. **1.** The spectrum **has** been calculated for a particle on the contour of a vertical emittance of **l0** $\pi$  mm-mrad for a tune of RHIC  $v = 29.18000$ . One of the strongest resonance is

$$
\varepsilon
$$
<sub>*k*</sub> = 0.41288,  $G\gamma$  = 381.82 = 5 × 81 – ( $v$  – 6).

With *Spink,* one particle was tracked through this resonance. The Froissart-Stora formula was used to calculate the strength and compare with *Depol.* In the run, the emittance was reduced by a factor of **100,** since the strength of the resonance would have saturated Froissart-Stora. With this reduced emittance, a predicted value for the strength is 10 times smaller, i.e. **0.0413. A** series of acceleration rates were used. The results **are**  shown in **Fig. 2** and in the following table



We consider the agreement with *Depol* very **good,** also recalling that only one test particle **was used.** 

Tracking through the same resonance was repeated, with both snakes on, and emittance back .to **1Ox.** The results **are** in Fig. 3, that shows how snakes are an effective means to avoid depolarization.

## **4.b. Example: Scanning the tune.**

When the lattice tune is close to a fraction with small denominator, resonances can become *so* strong that snakes are not capable of avoiding depolarization of the **beam.** In this example we have investigated the crossing of the same intrinsic resonance **of** the previous example, i.e.  $G\gamma = 381.82$ , with a variable fractional tune around  $1/6 = 1.66667$ .

In the tracking, **25** particles were used, extracted at random with a gaussian distribution in a vertical phase-space ellipse containing 99.9% of the particles in  $10\pi$  mmmrad, and a gaussian energy distribution with  $3\sigma = 0.1\%$ . Twiss parameters for the yy' ellipse were given in a typical *Mad* run. The rate of acceleration was **4.10-5** (GeV/c)/turn. Synchrotron oscillations were included.

The results are shown in Fig. **4.** Each plot corresponding to about **100,000** turns shows the polarization averaged over the **25** particles and the average plus/minus the statistical dispersion of the polarization. **As** a measure of the final depolarization, the difference between these maximum and minimum curves was taken, showing how the polarization cone of all the particles in the distribution remain open around the value **of** 1/6 of the fractional tune. [Fig.](#page-22-0) *5* shows the profile of this "tune" line, that appeared twopeaked, with an amplitude  $\Delta v = 0.0012$ .

## **4.c. Example: Spin Flipper**

For experiments with polarized protons in **RHIC,** the spin must be reversed periodically in the machine. **A** way to achieve this goal is to use a RF Spin Flipper. In an idealization of such a device, a magnetic field is established in some position around the machine, that rotates in **the** horizontal plane, or simply oscillates along the radial or longitudinal direction. The frequency of rotation of the field is varied. It can be shown that, with two Siberian snakes in action (with axis at  $\pm 45^{\circ}$ ), when the frequency of the SF field becomes equal to half the frequency of revolution of the protons in the ring, **and** the field is strong enough, the polarization flips over.

We have simulated a rotating field spin flipper by tracking with *Spink.* That was done at constant proton energy (storage mode)  $G\gamma = 378$ , at various SF field strengths and frequency sweep speeds. Since at that energy the frequency of revolution in **RHIC** is **78.25042** KHz, the SF frequency range was taken between **38** and **41 KHz.** The results are shown in Fig. 6. **A** good flipping was found at the right frequency of **39.125 KHz** with an integrated FP field of 0.006 Tesla-m and a sweep rate of 0.05 Hz/turn.

It has been shown that **an** oscillating field **SF** will produce the desired results when the snakes precession axes are somewhat detuned **from** their standard values **f45'.** The required field of a transverse SF is about  $\sqrt{2}$  times larger than in a rotating field SF, however the former is much easier to build. We studied with Spink such transverse oscillating field SF with the Snake axes detuned by  $\pm 5^{\circ}$ . The results are given in Fig. 7, that shows **how** a transverse field **SF** flips the polarization back and **forth** at two frequencies.

# **5. Program Notes (User's Manual?)**

?

Spink is a straightforward Fortran program in double precision with few or no extensions. It should compile and run on any platform, with static storage for all the variables. Needed *files* **are:** 



*9* 

Spink.dat is used in the following way.

 $\ddot{\phantom{0}}$ 



**10** 

 $\mathcal{L}_{\mathcal{A}}$ 



 $\bar{z}$ 

 $\epsilon$ 

# **Appendix A. Modified Quadrupole [7]**

**Add two** thin quadrupoles at **both** ends of a **regular** quadrupole

$$
\mathbf{M} = \begin{pmatrix} 1 & 0 \\ \delta & 1 \end{pmatrix} \begin{pmatrix} A & B \\ C & A \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \delta & 1 \end{pmatrix} = \begin{pmatrix} A + B\delta & B \\ C + 2A\delta + B\delta^2 & A + B\delta \end{pmatrix}
$$

**For a** focusing quad it is

$$
A = \cos \phi_0, \quad B = \frac{1}{\sqrt{k_0}} \sin \phi_0, \quad C = -\sqrt{k_0} \sin \phi_0
$$

Write the identities

$$
A + B\delta = \cos\phi_0 + \frac{\delta}{\sqrt{k_0}} \sin\phi_0 = \cos\phi
$$
  

$$
B = \frac{1}{\sqrt{k_0}} \sin\phi_0 = \frac{1}{\sqrt{k}} \sin\phi \implies k = k_0 \frac{\sin^2\phi}{\sin^2\phi_0}
$$
  

$$
C + 2\delta A + B\delta^2 = \frac{k_0 - \delta^2}{\sqrt{k_0}} \sin\phi_0 + 2\delta\cos\phi_0 = -\sqrt{k}\sin\phi
$$

**From** the above **obtain** 

$$
k = k_0 - 2\delta \frac{A}{B} - \delta^2
$$

The corresponding **result** for a diverging quadrupole is

$$
k = k_0 + 2\delta \frac{A}{B} + \delta^2
$$

**Appendix B. Spin Rotation in a Snake.** 

 $X = (x,y,z)$  are the Lab coordinates,  $U = (u,v,w)$  are the proton coordinates. **R** is a rotation of **U with** respect to **X.** 

**S, are** the spin coordinates in **U.** Assume that in **U** the spin precesses around the axis  $\hat{\mathbf{u}}$  by an angle  $\theta$ 

$$
\mathbf{S}_{\mathbf{u}} = \mathbf{P}\mathbf{S}_{\mathbf{u}}, \quad \mathbf{P} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c & s \\ 0 & -s & c \end{pmatrix}, \quad \begin{cases} c = \cos \theta \\ s = \sin \theta \end{cases}
$$

If  $S_x$  are the spin coordinates in the Lab, it is

$$
\mathbf{S}_{x}^{\prime} = \mathbf{R}^{-1} \mathbf{P} \mathbf{R} \mathbf{S}_{x}
$$

with

$$
\mathbf{R} = \begin{pmatrix} \lambda_1 & \lambda_2 & \lambda_3 \\ \mu_1 & \mu_2 & \mu_3 \\ \nu_1 & \nu_2 & \nu_3 \end{pmatrix} \quad \mathbf{R}^{-1} = \begin{pmatrix} \lambda_1 & \mu_1 & \nu_1 \\ \lambda_2 & \mu_2 & \nu_2 \\ \lambda_3 & \mu_3 & \nu_3 \end{pmatrix}
$$

and

$$
\lambda, \mu, \nu
$$
 direction cosines of  $\hat{\mathbf{x}}, \hat{\mathbf{y}}, \hat{\mathbf{z}}$  in U

We obtain

(B-1) 
$$
\mathbf{R}^{-1}\mathbf{PR} = \begin{pmatrix} \lambda_1^2 + A_{11}c & \lambda_1.\lambda_2 + A_{12}c + B_{12}s & \lambda_1.\lambda_3 + A_{13}c + B_{13}s \\ \lambda_1.\lambda_2 + A_{12}c - B_{12}s & \lambda_2^2 + A_{22}c & \lambda_2.\lambda_3 + A_{23}c + B_{23}s \\ \lambda_1.\lambda_3 + A_{13}c - B_{13}s & \lambda_2.\lambda_3 + A_{23}c - B_{23}s & \lambda_3^2 + A_{33}c \end{pmatrix}
$$

with

$$
\begin{cases} A_{ij} = \mu_i \mu_j + v_i v_j \\ B_{ij} = \mu_i v_j - v_i \mu_j \end{cases}
$$

Note that all spin rotation matrices in See. 2.b are a special case of **Eq. (B-1).** We obtain:

- a spin rotation around **y** (Bend), with  $u = y$ , and  $v = x$  (arbitrary);

- around **x** (Quadrupole) is obtained by putting  $\mathbf{u} = \mathbf{x}$ ;
- around **z**, with  $u = z$ , and  $v = y$  (arbitrary);
- around an axis in the  $xz$  plane (Snake and Spin Flipper), with  $w = y$ .

The last case **as an** example: precession axis in the *xz* plane **(fig. 8)** 

(B-7) 
$$
\mathbf{R}^{-1}\mathbf{PR} = \begin{pmatrix} \cos^2 \phi + \sin^2 \phi \cos \theta & \sin \phi \cos \phi (1 - \cos \theta) & \sin \phi \sin \theta \\ \sin \phi \cos \phi (1 - \cos \theta) & \sin^2 \phi + \cos^2 \phi \cos \theta & -\cos \phi \sin \theta \\ -\sin \phi \sin \theta & -\cos \phi \sin \theta & \cos \theta \end{pmatrix}
$$

# **6. References**

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**Fig.1. Intrinsic Resonances in RHIC, calculated by** *Depol.* **Proton on the contour of a**   $\textbf{vertical phase-space ellipse of } 10\pi \text{ mm-mrad.}$ 



Fig. 2. Tracking with *Spink* through the intrinsic resonance at  $G\gamma = 381.82$ . Proton on the contour of a vertical phase-space ellipse of  $0.1\pi$  mm-mrad. Snakes off.



Fig. 3. Same tracking as in Fig. 2. Snakes on.  $10 \pi$  mm-mrad.



Fig. 4. Crossing the  $G\gamma$ =381.82 resonance with snakes on and varying the tune in proximity of 1/6.



Fig. 4. (Continuation). Crossing the  $G\gamma = 381.82$  resonance with snakes on and varying **the tune in proximity of 1/6.** 





<span id="page-22-0"></span>

Fig. 5. Profile of the resonance tune line of Fig. 4.



Fig. 6. Rotating Field Spin Flipper. Polarization vs. the ratio of SF frequency to revolution frequency in RHIC.



Fig. 7. Oscillating Transverse Field Spin Flipper. Polarization vs. the ratio of SF frequency to revolution frequency in RHIC. Snake axis detuned by  $\pm 5^{\circ}$ .



**Fig. 8. Reference Coordinates** for **Spin Rotation Matrices. Precession axis** in **the** *xz* **plane.**