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1-1-2009

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**Recommended** Citation

Garcia, Stephan Ramon and Wogen, Warren R., "Complex Symmetric Partial Isometries" (2009). *Pomona Faculty Publications and Research*. 245. http://scholarship.claremont.edu/pomona\_fac\_pub/245

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#### COMPLEX SYMMETRIC PARTIAL ISOMETRIES

STEPHAN RAMON GARCIA AND WARREN R. WOGEN

ABSTRACT. An operator  $T \in B(\mathcal{H})$  is complex symmetric if there exists a conjugate-linear, isometric involution  $C : \mathcal{H} \to \mathcal{H}$  so that  $T = CT^*C$ . We provide a concrete description of all complex symmetric partial isometries. In particular, we prove that any partial isometry on a Hilbert space of dimension  $\leq 4$  is complex symmetric.

#### 1. INTRODUCTION

The aim of this note is to complete the classification of complex symmetric partial isometries which was started in [10]. In particular, we give a concrete necessary and sufficient condition for a partial isometry to be a complex symmetric operator.

Before proceeding any further, let us first recall a few definitions. In the following,  $\mathcal{H}$  denotes a separable, complex Hilbert space and  $B(\mathcal{H})$  denotes the collection of all bounded linear operators on  $\mathcal{H}$ .

**Definition.** A conjugation is a conjugate-linear operator  $C : \mathcal{H} \to \mathcal{H}$ , which is both *involutive* (i.e.,  $C^2 = I$ ) and *isometric* (i.e.,  $\langle Cx, Cy \rangle = \langle y, x \rangle$ ).

**Definition.** We say that  $T \in B(\mathcal{H})$  is *C*-symmetric if  $T = CT^*C$ . We say that *T* is *complex symmetric* if there exists a conjugation *C* with respect to which *T* is *C*-symmetric.

It is straightforward to show that if dim ker  $T \neq$  dim ker  $T^*$ , then T is not a complex symmetric operator. For instance, the unilateral shift is perhaps the most ubiquitous example of a partial isometry which is not complex symmetric (see [7, Prop. 1], [9, Ex. 2.14], [6, Cor. 7]). On the other hand, we have the following theorem from [10]:

**Theorem 1.** Let  $T \in B(\mathcal{H})$  be a partial isometry.

- (i) If dim ker  $T = \dim \ker T^* = 1$ , then T is a complex symmetric operator,
- (ii) If dim ker  $T \neq$  dim ker  $T^*$ , then T is not a complex symmetric operator.
- (iii) If  $2 \leq \dim \ker T = \dim \ker T^* \leq \infty$ , then either possibility can (and does) occur.

Although these results are the sharpest possible statements that can be made given only the data (dim ker T, dim ker  $T^*$ ), they are in some sense unsatisfactory. For instance, it is known that partial isometries on  $\mathcal{H}$  that are not complex symmetric exist if dim  $\mathcal{H} \geq 5$  and that every partial isometry on  $\mathcal{H}$  is complex symmetric if dim  $\mathcal{H} \leq 3$ , the authors were unable to answer the corresponding question if

<sup>2000</sup> Mathematics Subject Classification. 47B99.

Key words and phrases. Complex symmetric operator, isometry, partial isometry.

First author partially supported by National Science Foundation Grant DMS-0638789.

 $\dim \mathcal{H} = 4$ . To be more specific, the techniques used in [10] were insufficient to resolve the question in the case where  $\dim \mathcal{H} = 4$  and  $\dim \ker T = 2$ . Significant numerical evidence in favor of the assertion that all partial isometries on a four-dimensional Hilbert space are complex symmetric has recently been produced by J. Tener [13].

Suppose that T is a partial isometry on  $\mathcal{H}$  and let

$$\mathcal{H}_1 = (\ker T)^{\perp} = \operatorname{ran} T^* \tag{1}$$

denote the *initial space* of T and  $\mathcal{H}_2 = (\mathcal{H}_1)^{\perp} = \ker T$  denote its orthogonal complement (see [12, Pr. 127] or [2, Ch. VIII, Sect. 3] for terminology). With respect to the orthogonal decomposition  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ , we have

$$T = \begin{pmatrix} A & 0\\ B & 0 \end{pmatrix} \tag{2}$$

where  $A : \mathcal{H}_1 \to \mathcal{H}_1$  and  $B : \mathcal{H}_1 \to \mathcal{H}_2$ . Furthermore, the fact that  $T^*T$  is the orthogonal projection onto  $\mathcal{H}_1$  yields the identity

$$A^*A + B^*B = I, (3)$$

where I denotes the identity operator on  $\mathcal{H}_1$ . Finally, observe that the operator  $A \in B(\mathcal{H}_1)$  is simply the compression of the partial isometry T to its initial space.

The main result of this note is the following concrete description of complex symmetric partial isometries:

**Theorem 2.** Let  $T \in B(\mathcal{H})$  be a partial isometry. If A denotes the compression of T to its initial space, then T is a complex symmetric operator if and only if A is a complex symmetric operator.

Due to its somewhat lengthy and computational proof, we defer the proof of the preceding theorem until Section 3. We remark that Theorem 2 remains true if one instead considers the final space of T. Indeed, simply apply the theorem with  $T^*$  in place of T and then take adjoints.

#### **Corollary 1.** Every partial isometry of rank $\leq 2$ is complex symmetric.

*Proof.* Let  $T \in B(\mathcal{H})$  be a partial isometry such that rank  $T \leq 2$ . If rank T = 0, then T = 0 and there is nothing to prove. If rank T = 1, then this is handled in [10]. In the case rank T = 2, we may write

$$T = \begin{pmatrix} A & 0 \\ B & 0 \end{pmatrix}$$

where A is an operator on a two-dimensional space. Since every operator on a two-dimensional Hilbert space is complex symmetric (see [1, Cor. 3], [3, Cor. 3.3], [7, Ex. 6], [10, Cor. 1], [13, Cor. 3]), the desired conclusion follows from Theorem 2.

**Corollary 2.** Every partial isometry on a Hilbert space of dimension  $\leq 4$  is complex symmetric.

*Proof.* As mentioned earlier, the results of [10] indicate that only the case dim  $\mathcal{H} = 4$  and dim ker T = 2 requires resolution. The corollary is now immediate consequence of Theorem 2 and the fact that every operator on a two-dimensional Hilbert space is complex symmetric.

We conclude this section with the following theorem, which asserts that each C-symmetric partial isometry can be extended to a C-symmetric unitary operator on the whole space (the significance lies in the fact that the corresponding conjugations for these two operators are the same).

**Theorem 3.** If T is a C-symmetric partial isometry, then there exists a C-symmetric unitary operator U and an orthogonal projection P such that T = UP.

*Proof.* Since T is a C-symmetric partial isometry, it follows that |T| = P is an orthogonal projection and that T = CJP where J is a conjugation supported on ran P which commutes with P [8, Sect. 2.2]. We may extend J to a conjugation  $\widetilde{J}$  on all of  $\mathcal{H}$  by forming the internal direct sum  $J \oplus J'$  where J' is a partial conjugation supported on ker P. The operator  $U = C\widetilde{J}$  is a C-symmetric unitary operator.

#### 2. Partial isometries and the norm closure problem

Partial isometries on infinite-dimensional spaces often provide examples of note. For instance, one can give a simple example of a partial isometry T satisfying dim ker  $T = \dim \ker T^* = \infty$  which is not a complex symmetric operator:

**Example 1.** Let *S* denote the unilateral shift on  $l^2(\mathbb{N})$ , Although *S* is certainly *not* a complex symmetric operator (by (ii) of Theorem 1, see also [9, Ex. 2.14], or [6, Cor. 7]), part (i) of Theorem 1 does ensure that the partial isometry  $S \oplus S^*$  is complex symmetric. Indeed, simply take *N* to be the bilateral shift on  $l^2(\mathbb{Z})$  and note that  $S \oplus S^*$  is unitarily equivalent to  $N - Ne_0 \otimes e_0$ . That  $S \oplus S^*$  is complex symmetric can also be verified by a direct computation [8, Ex. 5]. On the other hand, the partial isometry  $T = S \oplus 0$  on  $l^2(\mathbb{N}) \oplus l^2(\mathbb{N})$  is *not* a complex symmetric operator by Lemma 1.

Let  $\mathcal{S}(\mathcal{H})$  denote the subset of  $B(\mathcal{H})$  consisting of all bounded complex symmetric operators on  $\mathcal{H}$ . There are several ways to think about  $\mathcal{S}(\mathcal{H})$ . By definition, we have

$$\mathcal{S}(\mathcal{H}) = \{ T \in B(\mathcal{H}) : \exists \text{ a conjugation } C \text{ s.t. } T = CT^*C \}.$$

If C is a fixed conjugation on  $\mathcal{H}$ , then we also have

$$\mathcal{S}(\mathcal{H}) = \{ UTU^* : T = CT^*C, \ U \text{ unitary} \}.$$

Thus if we identify  $\mathcal{H}$  with  $l^2(\mathbb{N})$  and C denotes the canonical conjugation on  $l^2(\mathbb{N})$  (i.e., entry-by-entry complex conjugation), we can think of  $\mathcal{S}(\mathcal{H})$  as being the *unitary orbit* of the set of all bounded (infinite) complex symmetric matrices.

The following example shows that the set  $\mathcal{S}(\mathcal{H})$  is not closed in the strong operator topology (SOT):

**Example 2.** We maintain the notation of Example 1. For  $n \in \mathbb{N}$ , let  $P_n$  denote the orthogonal projection onto the span of the basis vectors  $\{e_i : i \geq n\}$  of  $l^2(\mathbb{N})$ . Now observe that each operator  $T_n = P_n S \oplus S^*$  is unitarily equivalent to  $S \oplus 0_n \oplus S^*$  where  $0_n$  denotes the zero operator on an *n*-dimensional Hilbert space. Each  $T_n$  is complex symmetric since  $S \oplus S^*$  is complex symmetric (by Lemma 1). On the other hand, since  $P_n S$  is SOT-convergent to 0, it follows that the SOT-limit of the sequence  $T_n$  is  $0 \oplus S^*$ , which is not a complex symmetric operator (by Lemma 1).

The preceding example demonstrates that the set of all complex symmetric operators (on a fixed, infinite-dimensional Hilbert space  $\mathcal{H}$ ) is not SOT-closed. We also remark that the conjugations corresponding to the operators  $T_n$  from Example 2 depend on n. In contrast, if we fix a conjugation C, then it is elementary to see that the set of C-symmetric operators is a SOT-closed subspace of  $B(\mathcal{H})$ .

We conclude with a related question, which we have been unable to resolve:

Question. Is  $\mathcal{S}(\mathcal{H})$  norm closed?

#### 3. Proof of Theorem 2

This entire section is devoted to the proof of Theorem 2. We first require the following lemma:

**Lemma 1.** If  $\mathcal{H}, \mathcal{K}$  are separable complex Hilbert spaces, then  $T \in B(\mathcal{H})$  is a complex symmetric operator if and only if  $T \oplus 0 \in B(\mathcal{H} \oplus \mathcal{K})$  is a complex symmetric operator.

*Proof.* If T is a C-symmetric operator on  $\mathcal{H}$ , then it is easily verified that  $T \oplus 0$  is  $(C \oplus J)$ -symmetric on  $\mathcal{H} \oplus \mathcal{K}$  for any conjugation J on  $\mathcal{K}$ . The other direction is slightly more difficult to prove.

Suppose that  $S = T \oplus 0$  is a complex symmetric operator on  $\mathcal{H} \oplus \mathcal{K}$ . Before proceeding any further, let us remark that it suffices to consider the case where

$$\mathcal{H} = \overline{\operatorname{ran} T + \operatorname{ran} T^*}.$$
(4)

Otherwise let  $\mathcal{H}_1 = \overline{\operatorname{ran} T + \operatorname{ran} T^*}$  and note that  $\mathcal{H}_1$  is a reducing subspace of  $\mathcal{H}$ . If  $\mathcal{H}_2$  denotes the orthogonal complement of  $\mathcal{H}_1$  in  $\mathcal{H}$ , then with respect to the orthogonal decomposition  $\mathcal{H}_1 \oplus \mathcal{H}_2 \oplus \mathcal{K}$ , the operator S has the form  $T' \oplus 0 \oplus 0$ , where T' denotes the restriction of T' to  $\mathcal{H}_1$ . By now considering S with respect to the orthogonal decomposition  $\mathcal{H} \oplus \mathcal{K} = \mathcal{H}_1 \oplus (\mathcal{H}_2 \oplus \mathcal{K})$ , it follows that we need only consider the case where (4) holds.

Suppose now that (4) holds and that S is C-symmetric where C denotes a conjugation on  $\mathcal{H} \oplus \mathcal{K}$ . Writing the equations  $CS = S^*C$  and  $CS^* = SC$  in terms of the  $2 \times 2$  block matrices

$$S = \begin{pmatrix} T & 0\\ 0 & 0 \end{pmatrix}, \qquad C = \begin{pmatrix} C_{11} & C_{12}\\ C_{21} & C_{22} \end{pmatrix}$$
(5)

(the entries  $C_{ij}$  of C are conjugate-linear operators), we find that

$$C_{11}T = T^*C_{11}, (6)$$

$$C_{21}T = C_{21}T^* = 0, (7)$$

$$T^*C_{12} = TC_{12} = 0. (8)$$

Since  $C_{21}T = C_{21}T^* = 0$ , it follows that  $C_{21}$  vanishes on ran  $T + \operatorname{ran} T^*$  and hence on  $\mathcal{H}$  itself by (4). On the other hand, (8) implies that  $C_{12}$  vanishes on the orthogonal complements of ker T and ker  $T^*$  in  $\mathcal{H}$ . By (4), this implies that  $C_{12}$ vanishes identically.

It follows immediately from (5) that  $C_{11}$  and  $C_{22}$  must be conjugations on  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, whence T is  $C_{11}$ -symmetric by (6). This concludes the proof of the lemma.

Now let us suppose that T is a partial isometry on  $\mathcal{H}$  and let

$$\mathcal{H}_1 = (\ker T)^{\perp} = \operatorname{ran} T^*.$$

and  $\mathcal{H}_2 = \ker T$ . With respect to the decomposition  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ , it follows that

$$T = \begin{pmatrix} A & 0 \\ B & 0 \end{pmatrix}$$

where  $A: \mathcal{H}_1 \to \mathcal{H}_1, B: \mathcal{H}_1 \to \mathcal{H}_2$ , and

$$A^*A + B^*B = I. (9)$$

(⇒) Suppose that T is a complex symmetric operator. For an operator with polar decomposition T = U|T| (i.e., U is the unique partial isometry satisfying ker  $U = \ker T$  and |T| denotes the positive operator  $\sqrt{T^*T}$ ), the Aluthge transform of T is defined to be the operator  $\widetilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ . Noting that

$$T^*T = \begin{pmatrix} I & 0\\ 0 & 0 \end{pmatrix},$$

we find that

$$\widetilde{T} = \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix}.$$

By [5, Thm. 1], we know that the Aluthge transform of a complex symmetric operator is complex symmetric. Applying Lemma 1 to  $\tilde{T}$ , we conclude that A is complex symmetric, as desired.

( $\Leftarrow$ ) Let us now consider the more difficult implication of Theorem 2, namely that if A is a complex symmetric operator, then T is as well. We claim that it suffices to consider the case where  $\overline{\operatorname{ran}} B = \mathcal{H}_2$ . In other words, we argue that if

$$\mathcal{K} = \overline{\operatorname{ran} T + \operatorname{ran} T^*},$$

then we may suppose that  $\mathcal{K} = \mathcal{H}$ . Indeed,  $\mathcal{K}$  is a reducing subspace for T and T = 0 on  $\mathcal{K}^{\perp}$ . By Lemma 1, if  $T|_{\mathcal{K}}$  is a complex symmetric operator, then so is T.

Write B = V|B| where  $V : \mathcal{H}_1 \to \mathcal{H}_2$  is a partial isometry with initial space  $(\ker B)^{\perp} \subseteq \mathcal{H}_1$  and final space  $\mathcal{H}_2$  (since  $\overline{\operatorname{ran}} B = \mathcal{H}_2$ ). In particular, we have the relations

$$V^*B = |B| = B^*V, \qquad |B| = \sqrt{I - A^*A}.$$
 (10)

By hypothesis, the operator  $A \in B(\mathcal{H}_1)$  is complex symmetric. Therefore suppose that K is a conjugation on  $\mathcal{H}_1$  such that  $KA = A^*K$  and observe that the equations

$$\begin{aligned} A\sqrt{I - A^*A} &= \sqrt{I - AA^*}A, \\ A^*\sqrt{I - AA^*} &= \sqrt{I - A^*A}A^*, \\ K\sqrt{I - A^*A} &= \sqrt{I - AA^*}K, \\ K\sqrt{I - AA^*} &= \sqrt{I - A^*A}K, \end{aligned}$$

follow from a standard polynomial approximation argument (i.e., if  $p(x) \in \mathbb{R}[x]$ , then  $Ap(A^*A) = p(AA^*)A$  and  $Kp(A^*A) = p(AA^*)K$  hold whence the desired identities follow upon passage to the strong operator limit). In particular, it follows from the preceding that

$$(KA)\sqrt{I - A^*A} = \sqrt{I - A^*A}(KA),$$

that is

$$KA|B| = |B|KA, \qquad A^*K|B| = |B|A^*K.$$
 (11)

Let us now define a conjugate-linear operator C on  $\mathcal{H}$  by the formula

$$C = \begin{pmatrix} AK & KB^* \\ BK & -VA^*KV^* \end{pmatrix}.$$
 (12)

Assuming for the moment that C is a conjugation on  $\mathcal{H}$ , we observe that

$$\underbrace{\begin{pmatrix}A & 0\\B & 0\end{pmatrix}}_{T} = \underbrace{\begin{pmatrix}AK & KB^*\\BK & -VA^*KV^*\end{pmatrix}}_{C}\underbrace{\begin{pmatrix}K & 0\\0 & 0\end{pmatrix}}_{J}\underbrace{\begin{pmatrix}I & 0\\0 & 0\end{pmatrix}}_{|T|}$$

Since it is clear that J is a partial conjugation which is supported on the range of |T| and which commutes with |T|, it follows immediately that T is a C-symmetric operator (see [8, Thm. 2]).

To complete the proof of Theorem 2, we must therefore show that C is a conjugation on  $\mathcal{H}$ . In other words, we must check that  $C^2$  is the identity operator on  $\mathcal{H}$  and that C is isometric. Since these computations are somewhat lengthy, we perform them separately:

### Claim: $C^2 = I$ .

*Pf. of Claim.* We first expand out  $C^2$  as a  $2 \times 2$  block matrix:

$$C^{2} = \begin{pmatrix} AK & KB^{*} \\ BK & -VA^{*}KV^{*} \end{pmatrix} \begin{pmatrix} AK & KB^{*} \\ BK & -VA^{*}KV^{*} \end{pmatrix}$$
$$= \begin{pmatrix} AKAK + KB^{*}BK & AKKB^{*} - KB^{*}VA^{*}KV^{*} \\ BKAK - VA^{*}KV^{*}BK & BKKB^{*} + VA^{*}KV^{*}VA^{*}KV^{*} \end{pmatrix}$$
$$= \begin{pmatrix} AA^{*} + KB^{*}BK & AB^{*} - KB^{*}VA^{*}KV^{*} \\ BA^{*} - VA^{*}KV^{*}BK & BB^{*} + VA^{*}KV^{*}VA^{*}KV^{*} \end{pmatrix}.$$

To obtain the preceding line, we used the fact that K is a conjugation and A is K-symmetric. Letting  $E_{ij}$  denote the entries of the preceding block matrix we find that

$$E_{11} = AA^* + KB^*BK$$
  
=  $AA^* + K(I - A^*A)K$   
=  $AA^* + (I - AA^*)$   
=  $I.$   
$$E_{12} = AB^* - KB^*VA^*KV^*$$
  
=  $AB^* - K|B|A^*KV^*$  by (10)  
=  $AB^* - KA^*K|B|V^*$  by (11)  
=  $AB^* - A|B|V^*$   
=  $AB^* - AB^*$  since  $B^* = |B|V$   
= 0.

 $E_{21} = BA^* - VA^*KV^*BK$ 

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$$= BA^* - VA^*K|B|K \qquad \text{since } V^*B = |B|$$
$$= BA^* - V|B|A^*KK \qquad \text{by (11)}$$
$$= BA^* - V|B|A^*$$
$$= BA^* - BA^* \qquad \text{since } B = V|B|$$
$$= 0.$$

As for  $E_{22}$ , it suffices to show that  $E_{22}$  agrees with I (the identity operator on  $\mathcal{H}_2$ ) on the range of B, which is dense in  $\mathcal{H}_2$ . In other words, we wish to show that  $E_{22}Bx = Bx$  for all  $x \in \mathcal{H}_2$ , which is equivalent to showing that

$$E_{22}Bx = BB^*Bx + VA^*KV^*VA^*KV^*Bx = Bx$$
(13)

for all  $x \in \mathcal{H}_2$ . Let us investigate the second term of (13):

$$VA^*KV^*VA^*KV^*Bx = VA^*KV^*VA^*K|B|x \qquad \text{by (10)}$$
  
=  $VA^*KV^*V|B|A^*Kx \qquad \text{by (11)}$   
=  $VA^*K|B|A^*Kx \qquad \text{since } V^*V = P_{\overline{ran}|B|}$   
=  $V|B|A^*KA^*Kx \qquad \text{by (11)}$   
=  $BA^*KA^*Kx \qquad \text{since } B = V|B|$   
=  $BA^*Ax$   
=  $B(I - B^*B)x \qquad \text{since } A^*A + B^*B = I$   
=  $Bx - BB^*Bx.$ 

Putting this together with (13), we find that  $E_{22}Bx = Bx$  for all  $x \in \mathcal{H}_2$  whence  $E_{22} = I$ , as claimed.

**Claim**: C is isometric.

*Pf. of Claim.* The proof requires three steps:

- (i) Show that C is isometric on  $\mathcal{H}_1$ ,
- (ii) Show that C is isometric on  $B\mathcal{H}_1$ , which is dense in  $\mathcal{H}_2$ ,
- (iii) Show that  $C\mathcal{H}_1 \perp C(B\mathcal{H}_1)$ .

For the first portion, observe that

$$\begin{split} \left| C \begin{pmatrix} x \\ 0 \end{pmatrix} \right\|^2 &= \left\| \begin{pmatrix} AK & KB^* \\ BK & -VA^*KV^* \end{pmatrix} \begin{pmatrix} x \\ 0 \end{pmatrix} \right\|^2 \\ &= \left\| \begin{pmatrix} AKx \\ BKx \end{pmatrix} \right\|^2 \\ &= \langle AKx, AKx \rangle + \langle BKx, BKx \rangle \\ &= \langle A^*AKx, Kx \rangle + \langle B^*BKx, Kx \rangle \\ &= \langle (A^*A + B^*B)Kx, Kx \rangle \\ &= \langle Kx, Kx \rangle \\ &= \|Kx\|^2 \\ &= \|x\|^2 \,. \end{split}$$

Thus (i) holds.

Now for (ii):

$$\begin{split} \left\| C \begin{pmatrix} 0 \\ Bx \end{pmatrix} \right\|^2 &= \left\| \begin{pmatrix} AK & KB^* \\ BK & -VA^*KV^* \end{pmatrix} \begin{pmatrix} 0 \\ Bx \end{pmatrix} \right\|^2 \\ &= \left\| \begin{pmatrix} KB^*Bx \\ -VA^*KV^*Bx \end{pmatrix} \right\|^2 \\ &= \|KB^*Bx\|^2 + \|VA^*KV^*Bx\|^2 \\ &= \|B^*Bx\|^2 + \|VA^*K|B|x\|^2 \\ &= \|B^*Bx\|^2 + \|V|B|A^*Kx\|^2 \\ &= \|B^*Bx\|^2 + \langle BA^*Kx, BA^*Kx \rangle \\ &= \|B^*Bx\|^2 + \langle BA^*Kx, A^*Kx \rangle \\ &= \|B^*Bx\|^2 + \langle (I - A^*A)A^*Kx, A^*Kx \rangle \\ &= \|B^*Bx\|^2 + \langle (I - A^*A)x, AA^*Kx \rangle \\ &= \|B^*Bx\|^2 + \langle K(I - A^*A)x, AA^*Kx \rangle \\ &= \|B^*Bx\|^2 + \langle K(I - A^*A)x, AA^*Kx \rangle \\ &= \langle B^*Bx, B^*Bx \rangle + \langle KAA^*Kx, (I - A^*A)x \rangle \\ &= \langle (I - A^*A)x, (I - A^*A)x \rangle + \langle A^*Ax, (I - A^*A)x \rangle \\ &= \langle x, (I - A^*A)x \rangle \\ &= \langle x, B^*Bx \rangle \\ &= \langle Bx, Bx \rangle \\ &= \langle Bx, Bx \rangle \\ &= \|Bx\|^2 . \end{split}$$

Thus (ii) holds.

Now for (iii):

$$\left\langle C\begin{pmatrix} x\\0 \end{pmatrix}, C\begin{pmatrix} 0\\By \end{pmatrix} \right\rangle = \left\langle \begin{pmatrix} AK & KB^*\\BK & -VA^*KV^* \end{pmatrix} \begin{pmatrix} x\\0 \end{pmatrix}, \begin{pmatrix} AK & KB^*\\BK & -VA^*KV^* \end{pmatrix} \begin{pmatrix} 0\\By \end{pmatrix} \right\rangle$$

$$= \left\langle \begin{pmatrix} AKx\\BKx \end{pmatrix}, \begin{pmatrix} KB^*By\\-VA^*KV^*By \end{pmatrix} \right\rangle$$

$$= \left\langle AKx, KB^*By \right\rangle - \left\langle BKx, VA^*KV^*By \right\rangle$$

$$= \left\langle B^*By, KAKx \right\rangle - \left\langle BKx, VA^*K|B|y \right\rangle$$

$$= \left\langle B^*By, A^*x \right\rangle - \left\langle BKx, VA^*K|B|y \right\rangle$$

$$= \left\langle AB^*By, x \right\rangle - \left\langle BKx, BA^*Ky \right\rangle$$

$$= \left\langle AB^*By, x \right\rangle - \left\langle B^*BKx, A^*Ky \right\rangle$$

$$= \left\langle AB^*By, x \right\rangle - \left\langle K(I - AA^*)x, A^*Ky \right\rangle$$

$$= \left\langle AB^*By, x \right\rangle - \left\langle KA^*Ky, (I - AA^*)x \right\rangle$$

$$= \left\langle AB^*By, x \right\rangle - \left\langle Ay, (I - AA^*)x \right\rangle$$

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$$= \langle AB^*By, x \rangle - \langle (I - AA^*)Ay, x \rangle$$
  
=  $\langle AB^*By, x \rangle - \langle A(I - A^*A)y, x \rangle$   
=  $\langle AB^*By, x \rangle - \langle AB^*By, x \rangle$   
= 0.

By the polarization identity, it follows that

$$\left\langle C\begin{pmatrix} x_1\\Bx_2 \end{pmatrix}, C\begin{pmatrix} y_1\\By_2 \end{pmatrix} \right\rangle = \left\langle \begin{pmatrix} x_2\\By_2 \end{pmatrix}, \begin{pmatrix} x_1\\By_1 \end{pmatrix} \right\rangle$$

holds for all  $x_1, x_2, y_1, y_2 \in \mathcal{H}_1$  whence C is isometric on  $\mathcal{H}$ .

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