SNOW SPELL: AN INTERACTIVE COMPOSITION

FOR ERHU, FLUTE, PIANO, CELLO

AND MAX/MSP

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Snow Spell is an interactive composition for erhu, flute, cello, piano, and Max/MSP interactive computer music system. This one-movement piece, *Snow Spell*, is intended to depict the beauty of a snow scene by presenting four different impressions of snow envisioned by the composer through music. The definition, history, and significance of interactive music are explored. Various modes of interactivity to control signal processing modules, and technical considerations for signal routing and level control in the interactive computer music system are also explored. Chinese music elements in *Snow Spell* including pentatonic scales, glissandi, and quotations from the Chinese folk tune *River of Sorrow* are investigated.

TABLE OF CONTENTS

ILLUSTRATIONS

PART I

CRITICAL ESSAY

CHAPTER 1

INTRODUCTION

Snow Spell is a real-time interactive work for erhu, flute, cello, piano, and computer. This work is designed to extend the possibilities of music expression through the use of contemporary technology. It is also an attempt to broaden the scope of real-time interactive pieces, most of which are limited to solo instruments either due to the limitations of technology or the lack of former examples.

The total duration of *Snow Spell* is about 18 minutes. In addition to exploring the possibilities of composition with new media and technology, this one-movement piece is also intended to depict the beauty of a snow scene by presenting four different impressions of snow through music, *The First Snowflake*, *Footprints Vanishing in the Storm*, *A Soliloquy in the Snow*, and *The Past Melts from the Window Panes*. The four impressions of the snow scene are presented in music through different textures, timbres, and temporalities. Transitions sometimes obscure the demarcation between the four different sections. Pentatonic scales and references to the Chinese folk tune *River of Sorrow* are incorporated to evoke Chinese folklore as a context for the images of snow. Idiomatic embellishment and glissandi from traditional Chinese erhu music are also employed. A novel glissando notation system is used in order to incorporate various glissando techniques from traditional erhu music into western notation. The harmonies focus on the interval of a third, used both diatonically and chromatically in (0 1 4) or (0 1 4 5) pitch sets.

The computer part of the piece is programmed in Max/MSP[1], a graphical and object-oriented programming environment for music, audio, and multimedia. Max/MSP and other

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[1] Cycling'74, *Max/MSP*, http://www.cycling74.com (accessed March 1, 2007).

similar software systems allow the user to create a "patch", [2] a collection of graphical objects interconnected by lines to serve as instructions obeyed by the computer. The functional content of each object is hidden from the user to simplify the programming process. Additional third-party libraries were used to enhance Max/MSP: Jimmies 1.1 libraries,[3] Eric Lyon's Potpourri[4] libraries, and Miller Puckette's external "fiddle~".[5] These tools and others are used to create the patch that runs the computer part. Prerecorded samples of flute and erhu are edited and transformed using sample manipulation software. To advance the cues in the patch, this piece may be controlled either by the computer's keyboard, or by a MIDI footswitch connected to the computer.

Among the four instruments used in this piece, the erhu takes the leading role in the music (especially in *A Soliloquy in the Snow*). Even though each of the four instruments is amplified, the computer does not always process all four instrumental sounds at the same time, in order to maintain a different sense of spatialization between the processed instruments and the non-processed acoustic sounds. Extensive solo passages and instrumental silence are used to leave more space for the computer to do precise pitch tracking and to bring its potential into full play.

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[2] Miller Puckette, "Max at Seventeen," *Computer Music Journal* 26, no. 4 (2002): 35.

[3] IRCAM (Institut de Recherche et Coordination Acoustique/Musique), *Real-Time Software Pack*, http://www.ircam.com (accessed March 1, 2007).

[4] Eric Lyon, *Potpourr*, http://eamusic.dartmouth.edu/~eric/MAX (accessed March 1, 2007).

[5] Miller Puckette, *fiddle~*, http://www.akustische-kunst.org/maxmsp/other.html (accessed March 1, 2007).

CHAPTER 2

CONCEPT AND HISTORY OF INTERACTIVE MUSIC

The history of music shows that the development of technology has affected the development of musical instruments and the diversification of musical media, inspiring new musical ideas and ways of expression. Valved horns and trumpets facilitated chromatic playing in the first decades of the 19th century, sparking Wagner's interest to raise the role of the brass section within the orchestra.[6] The phonograph record and magnetic tape make possible Pierre Schaeffer's experiments in Musique Concrete in the late 1940s.[7] More recently, new developments in computer science started a new trend of interactive composition and performance, and began to attract more and more composers and performers to this new area. This helps expand the possibility of seamlessly combining traditional instrumental performance and the sound world of electronic media.

Definition

In a broader sense, everything involving people working together or having influence on each other is interactive. The term "interactive" may be confusing when applied to music since interactivity has always been there among performers: between the conductor and the performers, and between the performers and the audience in any live performance. If we narrow down the definition of "interactive music" to "interactive computer music," emphasizing the relationship between human and machine, it can still mean various things. A piece created through algorithms whose computation is initiated by the composer with keys, buttons, or mouse, can be

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[6] "Orchestration," in *The New Harvard Dictionary of Music*, ed. Don Michael Randel (Cambridge: Belknap Harvard, 1986), 576.

[7] Robert P. Morgan, *Twentieth-Century Music: A History of Music Style in Modern Europe and America*, 1st ed. (New York: W.W. Norton, 1991), 463.

interactive music since it is accomplished through the collaboration between composer (or

programmer) and computer. The pre-composed music made non-linear and adaptive through the

storyline of a computer game can be interactive music. A piece of web art intended for non-

musician users to create their own music using the mouse to "drag and drop" musical elements or

parameters can also be interactive music.[8] Todd Winkler has defined interactive music as "a

music composition or improvisation where software interprets a live performance to affect music

generated or modified by computer."[9] Robert Rowe, instead of defining interactive music,

defines interactive computer music systems as ones whose behaviors change "in response to

musical input" and whose responsiveness allows them to "participate in live performances, of

both notated and improvised music."[10] These definitions may not be able to cover various

categories of interactive music, but they stress the importance of combining live performers with

computers.

Guy E. Garnett looks at "interactive computer music" as "a sub-genre of performance-

oriented computer music – that is, any computer music that includes a strong performance

component." [11] Under this broader category, there is at least one live performer joined with

computer-generated or electronically produced or modified music. Therefore this genre

"incorporates both the tape-plus-instrument medium and more recent interactive computer

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[8] Various examples of music related interactive web art can be found on this website
(http://vispo.com/misc/ia.htm) which presents different on-line user-friendly interface for people
to enjoy the easy fun of creating their own music (accessed March 1, 2007).

[9] Todd Winkler, *Composing Interactive Music: Techniques and Ideas Using Max* (Cambridge,
Ma.: MIT Press, 1998), 4.

[10] Robert Rowe, *Interactive Music Systems* (Cambridge, Mass., London: MIT Press, 1994), 1.

[11] Guy E. Garnett, "The Aesthetics of Interactive Computer Music," *Computer Music Journal* 25,
no. 1 (2001): 21.

music – whether pre-composed, improvised, randomly generated, or a mixture of these."[12] However, even if we confine the meaning of "interactive music" to the concert performance context, it still carries too broad a meaning concerning various forms of music creation and presentation. It raises the issues of the distinction between music and sound work, instruments and audio controllers, and composition and improvisation. Even the roles of computer and performer are called into question since a person operating various controllers to generate sounds can be viewed as either a performer or a composer in a broader sense. An instrument player triggering musical response from a computer by inputting instrument sound through microphone or by inputting various parameters of physical gestures through sensors can be viewed as a composer in a broader sense, too.

In the following discussion of interactive music, the author will follow Garnett's definition but confine the performance element to traditional acoustic instrument players, excluding those that manipulate electronic audio devices or computer music software to generate sounds or music in a concert performance. This definition will serve as a better focal point for the documentation of the interactive piece, *Snow Spell*.

History

In interactive music, the interaction happens in two aspects: "either the performer's actions affect the computer's output, or the computer's actions affect the performer's output."[13] These two aspects may also combine together in various ways and at different levels. Interactive music, as a sub-genre of performance-oriented computer music, can be traced back to its forerunner, the combination of tape music with live performance. In this case, the tape part tends

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[12] Ibid.

[13] Ibid.

to dictate the performer's tempo. However, such tape-plus-instrument combinations still provide

some valuable elements that the absolutely fixed tape piece does not: spontaneity, the immediate

expressive contact, visual stimuli, and the subtleties of musical interpretation.[14] The earliest

example of such tape-plus-instrument piece was the *Musica su due dimensioni* (*Music of Two*

Dimensions) for flute, percussion, and tape, composed by Bruno Maderna in the Cologne studio

in 1952.[15] The early works of such type have the tendency to emphasize timbral contrasts

between the taped and performed music but later also to exploit their similarities as well as

differences.[16] In order to involve more temporal interaction between tape and live instrument,

Davidovsky's *Synchronisms* No.1 for flute and tape (1962) employed cues to allow the flutist to

affect the start and stop points of the pre-recorded material. Later on, composers started to

include "live" electronic parts, which present a closer model to modern interactive music, rather

than just pre-recorded tape music. These might be as simple as manipulating some effects added

to the acoustic instruments. For example, Stockhausen's *Mantra* (1970) uses real-time electronic

ring modulators to transform the timbre of two pianos during the performance.[17]

By 1980, instantaneous interaction and response had been realized in some studios. For

example, at the Colgate University, Dexter Morill experimented with a system that allows one to

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[14] Morgan, *Twentieth-Century Music: A History of Music Style in Modern Europe and America*,
470.

[15] Ibid.

[16] Ibid., 471.

[17] John A. Maurer, *Interactive Electronics in Computer Music*, 1999,
http://ccrma.stanford.edu/~blackrse/interactive_electronics.html (accessed March 1, 2007).

"play" the computer on a drum-like surface.[18] The introduction of the Musical Instrument

Digital Interface (MIDI) and the development of MIDI-related controllers, software, and

instruments greatly affected the later development of real-time interactive computer music with

live acoustic instruments. The introduction of MIDI facilitated the digital and computerized

communication with MIDI-compatible musical instruments in concert performances. Examples

include the use of a MIDI trumpet by Dexter Morrill in his *Sketches for Invisible Man* (1989) to

trigger spontaneous electronic events, and Gary Nelson**'s** creation of a MIDI horn for *Warps of*

Time (1987) to trigger spontaneous effects and algorithmically generated music output to various

synthesizers.[19] However, even today, acoustic instruments still can not be replaced by digital

MIDI instruments because of their complicated timbral characters and processes of sound

generation and control. Early pioneers in MIDI-based interactive music systems are the M and

Jam Factory software packages released in 1986.[20] These programs can generate musical

patterns based on a performer's actions using MIDI instruments. Opcode's version of Max, and

Mark Coniglio's Interactor software[21] opened the field further. A more recent direction to

realize the combination of live acoustic instruments and controllable computerized sound worlds

is through real-time audio analysis, synthesis and sound processing.

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[18] Elliott and Daniel Godfrey Schwartz, *Music since 1945: Issues, Materials, and Literature* (New York: Schirmer Books, 1993), 354.

[19] Ibid., 362-63.

[20] Winkler, *Composing Interactive Music: Techniques and Ideas Using Max*, 15-16.

[21] Robert Rowe, "Interactor 4.0.8," *Leonardo Music Journal* vol. 2, no. No. 1 (1992).

By 1990, various programmable interactive MIDI systems had proved their success in concert situations, among which the Kyma[22] system (starting from 1986) once stood out for its capability of dealing with audio synthesis and sound processing. Another important programming environment, Max, grew from the Patcher created by Miller Puckette at IRCAM in the mid-1980s. It gives composers easier access to an authoring system for interactive computer music through its graphical user interface and efficient way of "combining pre-designed building blocks into configurations useful for real-time computer music performance."[23] The earliest example of realizing the combination of live instruments and interactive computer music system with Max is Philippe Manoury's piece *Jupiter* (1987) for flute and computer which "broke new ground in using real-time pitch tracking and score following to correlate computer-generated sound with a live performer."[24]

With the technology of pitch tracking, the pitch content of an audio signal from the live performance can be detected to allow closer communication between live acoustic instruments and the computer. The detailed synchronization between live instruments and electronics can be achieved when such data is used in score following, a process of automatically "listening" to a live performance and tracking the position in the score in order to trigger or automate different presets and accompaniments in the interactive computer music system.[25] Nowadays in addition

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[22] Symbolic Sound Corporation, *Kyma*, http://www.symbolicsound.com (accessed March 1, 2007).

[23] Puckette, "Max at Seventeen," 31.

[24] Andrew May, "Philippe Manoury's Jupiter," in *Analytical Methods of Electroacoustic Music* ed. Mary Simoni (New York: Routledge, 2006), 145.

[25] M. and C. Lippe Puckette, "Score Following in Practice," in *Proceedings of the International Computer Music Conference* (San Francisco: 1992), 182.

to Kyma and Max/MSP mentioned above, interactive music systems such as SuperCollider,[26] jMax,[27] PureData and CsoundAV,[28] RTcmix,[29] RTCSound are also available to users. Several of these systems support pitch tracking and score following techniques.

<div align="center">Significance</div>

"Pure" electroacousitc music played back from fixed media creates a very different performance environment from other music: the stage is empty, and the human elements of interpretation and communication in performance are absent. Adding a live performer to the performance enables computer music, like traditional repertoire, to incorporate "gestural nuance such as rubato, subtleties of phrasing and articulation, and dynamics."[30] Fixed media works are usually played in a darkened environment due to their lack of visual elements, whereas visual stimuli from performers help communicate the music to listeners. Facial expressions and physical gestures, synchronized with musical gestures, help reinforce the mood and expression of the music. This creates the "acoustic intimacy" and "the feeling of closeness to the performer."[31] It also prevents

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[26] James McCartney, *SuperCollider*, http://www.audiosynth.com (accessed March 1, 2007).

[27] IRCAM (Institut de Recherche et Coordination Acoustique/Musique), *jMax*, http://freesoftware.ircam.fr (accessed March 1, 2007).

[28] Barry Vercoe, *Csound*, http://www.csounds.com (accessed March 1, 2007).

[29] Brad Garton and Dave Topper, *RTcmix*, http://music.columbia.edu/cmc/RTcmix (accessed March 1, 2007).

[30] Garnett, "The Aesthetics of Interactive Computer Music," 25.

[31] Jerald R. Hyde, "The Concert Hall Experience: How Visual Stimuli Can Affect What We Hear," in *Acoustical Society of America 147th Meeting* (New York: 2004).

the audience from becoming "detached, isolated, and emotionally removed from the scene"[32] and helps the listener to concentrate.

From the performer's perspective, playing with fixed media severely limits timing, tempo, and phrasing. This has been described as "like working with the worst human accompanist imaginable: inconsiderate, inflexible, unresponsive and utterly deaf."[33] Interactive computer music can give the performer better control of time and dynamics. With more flexibility for interpretation in interactive music, "each performance is a unique representation of the work, each performance can change details and change emphasis, and, for better or worse, each performance becomes a version of the work and not the work itself."[34] Just like the repertoire of traditional classical music which focuses so much on performer interpretation, the fact that interactive music is open to new interpretations creates opportunity for "the growth of the work over time or across cultural boundaries." [35] Because of this, such music may be able to "maintain a longer life and have a broader impact culturally, because it is able to change to meet changing aesthetic values."[36]

Real-time signal processing in interactive music can greatly expand the performers' instrumental capability as well. For example, through real-time transposing techniques, the pitch range of an acoustic instrument can be expanded beyond the physical limits of the instrument.

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[32] Garnett, "The Aesthetics of Interactive Computer Music," 32.

[33] Elizabeth McNutt, "Performing Electroacoustic Music: A Wider View of Interactivity," *Organized Sound* 8, no. 3 (2003): 299.

[34] Garnett, "The Aesthetics of Interactive Computer Music," 26.

[35] Ibid., 27.

[36] Ibid.

Through phase vocoding, looping, reverberation, and granulation, an instrument with no sustaining capability can produce sustained tone based on its unique timbre. Through time-stretching and delay effects, the contrapuntal textures of imitation, diminution, augmentation and even retrograde can be achieved with one monophonic instrument. While still retaining the recognizable features of the instrumental source, real-time interactive music systems can realize an "unhearable ideal" of instrumental timbre. In this way, a clear relationship between live performance and computer sound is possible: one which can be made "cognitively graspable, without confining it to what is already cognitively grasped." This "brings about a more realistic compositional attitude which in turn leads to more successful works,"[37] in the view of some musicians.

In interactive music, the computer is humanized as a performer, extending and amplifying the capability of the live instrument to bring new surprise to the listener. At the same time, the interactive relationship between the performer and the computer in particular systems using a minimum of hardware on stage creates a magical presence that is intrinsically mysterious and surprising. This presence itself becomes a compositional element that requires great care and consideration:

> Live interactive music contains an element of magic, since the computer music responds "invisibly" to a performer. The drama is heightened when the roles of the computer and performer are clearly defined, and when the actions of one has an observable impact on the actions of another, although an overly simplistic approach will quickly wear thin. On the other hand, complex responses that are more indirectly

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[37] Ibid., 26.

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influenced by a performer may produce highly successful musical results, but without

some observable connection the dramatic relationship will be lost to the audience.[38]

To sum up, interactive music is a new form of musical expression which leaves more space for interpretation (compared to other types of computer music) and thus has greater potential to grow over time. It provides visual stimuli and human interactions to the audience as in traditional instrumental performance. It takes advantages of computer technology to transform, extend, and amplify instrumental sounds, creating beauty out of the mixture of the familiar and the unfamiliar. The magic element of its disembodied responses also creates surprise and mystery. All these features endow interactive music with a strong potential to become a uniquely powerful medium for art music expression in the twenty-first century.

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[38] Winkler, *Composing Interactive Music: Techniques and Ideas Using Max*, 9.

CHAPTER 3

INTERACTIVITY BETWEEN PERFORMERS AND COMPUTER

IN SNOW SPELL

Programming Structure

The programming structure for *Snow Spell* is mainly composed of five components:

1. Input data analysis includes the audio analysis of live instruments and the analysis
   of input data from MIDI footswitch or computer keyboard. The former includes
   the interpretation of basic musical elements of the live instrumental sound such as
   pitch, amplitude, phrase starting and ending points, phrase length, pitch density,
   and the most prevalent pitches. The latter includes interpretation of MIDI
   messages or ASCII codes to advance or rewind the cues in the music.

2. Compositional and decision-making systems use the results of input data analysis
   to control signal processing modules. Input data are interpreted by threshold
   settings (for density of pitch activity, pitch range, amplitude, and pitch prevalence)
   and various pitch sieves. They send out parameters to the signal processing
   modules or decide when to turn on/off these modules. The mapping of input-
   based decisions to output parameters is controlled by the event list, which serves
   as the music score triggering signal processing modules or sending out parameters
   to them.

3. Signal processing modules which transform or generate musical materials in
   accordance with the controls described above.

4. Matrix mixers which handle the routing of input and output signals from the live
   instruments and the various signal processing modules

The diagram of the programming structure for *Snow Spell* is illustrated below:

Figure 1. Programming Structure
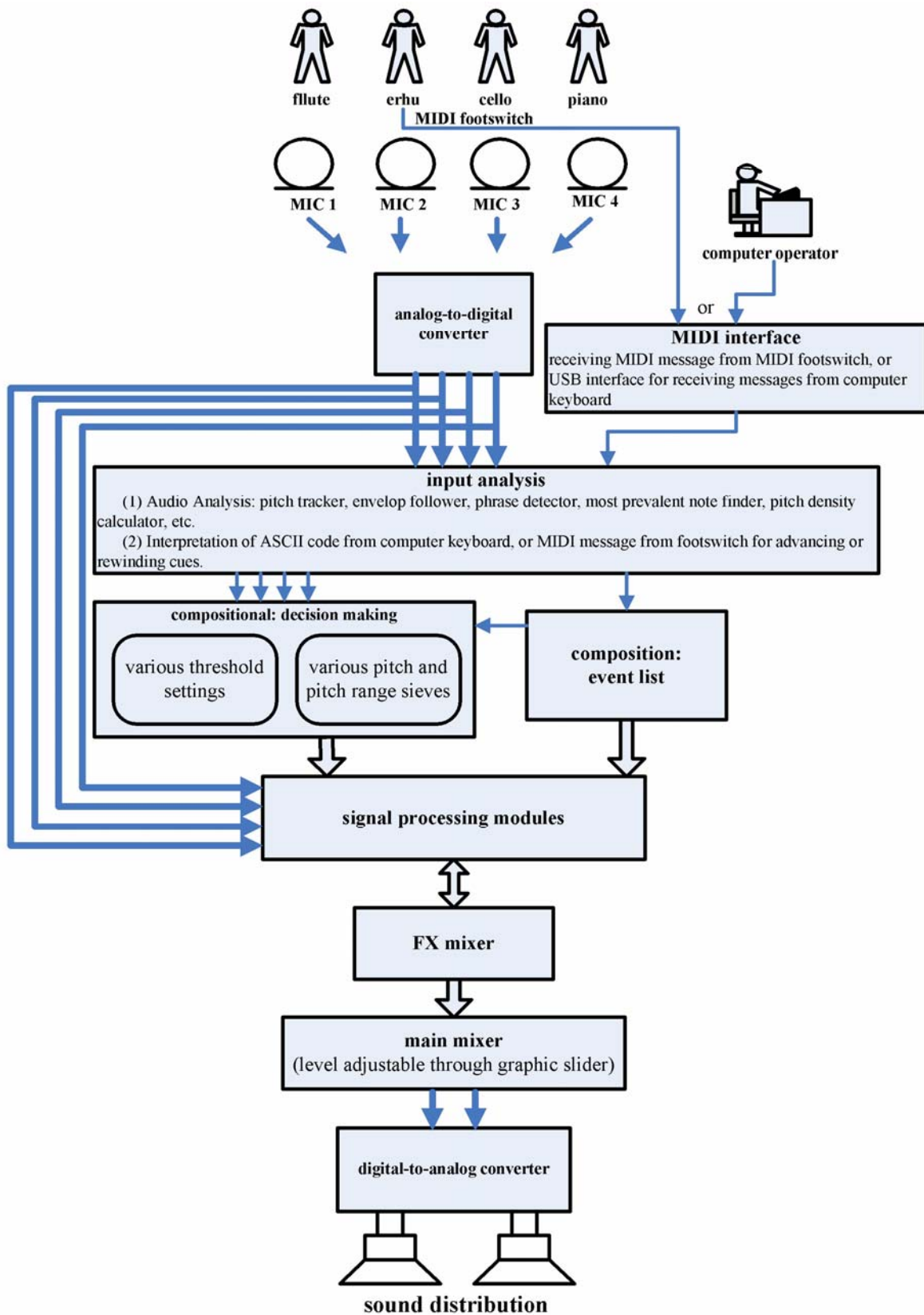
The connection between live instruments and the computer is built through four microphones picking up signals and sending them respectively to the computer through analog-to-digital converter. The four different incoming signals from the A/D converter are analyzed by the computer separately through pitch and amplitude detection functions offered by Miller Puckette's fiddle~[39] and other signal processing objects in Max/MSP. The pitch tracker outputs MIDI-style pitches and the amplitude detector outputs amplitude scaled as MIDI numbers (0-127). Since there are four different groups of pitch and amplitude data respectively from flute, erhu, cello, and piano, they are used selectively for decision making and control, as determined by the event list.

The signal processing modules can be viewed as virtual musical instruments (in a broader sense) which serve to produce or process sounds in the interactive music system. To identify characteristics of different interactive music works, Robert Rowe classifies interactive systems as transformative, generative and sequenced; score-driven or performance-driven; and instrument or player paradigm.[40] Similar to Rowe's first way of classification, Cort Lippe exemplifies these differentiations:

> A DSP module which outputs altered version of an incoming signal can be considered transformative, while a module that does not take an incoming signal, but only outputs a signal, can be considered generative. Thus, reverberation is transformative and synthesis via oscillators is generative….Sample playback falls, somewhat arbitrarily, in a grey area between transformative and generative. Depending on the application, sampling could be considered highly transformational (real-time

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[39] Puckette, *fiddle~*.

[40] Rowe, *Interactive Music Systems*.

recordings of input which are played back in non-standard ways), while normal

playback of pre-recorded sounds might be considered generative.[41]

According to these definitions, the interactive system for *Snow Spell* includes both

transformative and generative functions plus playback of pre-recorded sound files which is

viewed as generative method by Lippe, or as sequenced method by Rowe. The FM virtual

instrument is generative. Other signal processing modules in *Snow Spell*, such as delay effects,

harmonizer, FFT Freezer, granular synthesis are transformative. These signal processing

modules are controlled by compositional algorithms which can be viewed as virtual performers

making musical decisions based on the result of input analysis mechanism, the virtual listeners.

Snow Spell is primarily a score-driven work in which most events are predetermined and

organized in a linear way. Compared to a performance-driven work which is basically

improvised and does not expect the realization of any particular score, a primarily score-driven

work allows the composer to determine the musical materials, the overall formal structure, the

timing and the style of the piece. In order to construct a work with such a pre-determined linear

structure, it is necessary to design a scheme for encoding and successively triggering all of the

events and parameter changes that will articulate the form, as well as a system for correlating

these with data from the performers. In this work, the encoding of events and their correlation

with input data are accomplished using the technique of score following, which was designed to

permit the computer to accompany live performance. A score following technique based on

tempo detecting was first demonstrated at the 1984 International Computer Music Conference,

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[41] Cort Lippe, "Music for Piano and Computer: A Description," *Information Processing Society of Japan SIG Notes* 1997, no. 122 (1997).

independently, by Barry Vercoe[42] and Roger Dannenberg.[43] For the purpose of better

accommodating performers' timing interpretation, a new score following system based on pitch

tracking was developed later at IRCAM.[44] However, the pitch-tracking based score follower has

proved not to be perfectly stable, and usually requires an assistant to click through the major cues

of the piece.[45] In view of this, instead of relying on pitch tracking, the major cues for large

sections in *Snow Spell* and other cues that demand precise synchronization are cued via a MIDI

footswitch or by an assistant at the computer keyboard. In addition to different cues which

trigger different settings for continuous and automated signal processing modules, control of

digital effects, synthesis, and sound file playback is provided by threshold settings, different

mechanisms of pitch sieves and their combinations. These compositional devices become the

nucleus of the interactivity in *Snow Spell* and will be elaborated in the following section.

<div align="center">Interactivity and Compositional Algorithms</div>

Phrase length detector to control harmonizer DSP effect:

The phrase length detector used in *Snow Spell* is based on the assumption that a musical

phrase is an audio event whose amplitude has to be above a certain threshold. In order to

exclude unexpected noise from being counted as a new audio event, an audio signal with its level

above the specified amplitude threshold needs to be long enough to be counted as the start of a

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[42] Barry Vercoe, "The Synthetic Performer in the Context of Live Musical Performance," in *The Proceedings of International Computer Music Conference* (Paris, France: 1984), 185.

[43] Roger Dannenburg, "An on-Line Algorithm for Real-Time Accompaniment," in *The Proceedings of International Computer Music Conference* (Paris, France: 1984), 187.

[44] Miller Puckette, "Score Following Using the Sung Voice," in *The Proceedings of International Computer Music Conference* (Canada: 1995), 199.

[45] McNutt, "Performing Electroacoustic Music: A Wider View of Interactivity," 301.

new event. Similarly, for the purpose of ignoring short break points in musical articulation in exchange for recognizing a larger phrase, a duration threshold for silence to be counted as the ending of an event is also required. These three threshold settings for amplitude, duration of silence, and duration of sound are indispensable for more meaningful analysis results that can suit compositional purposes.

In *Snow Spell*, the phrase detector is used to control the multi-voice harmonizer DSP effect which transposes the incoming signal and stretches its duration. Whenever a new phrase is detected, a pitch transposition factor, a time-stretch factor, and a panning factor are chosen and sent to one of the harmonizer voices.   At the same time, the time-stretch factor is memorized in another algorithm. Thus whenever the incoming current event is detected as ended, the algorithm will be able to calculate the total length of the stretched signal and to send out a command later to that voice when the stretched audio signals ends in order to free it for further use by other detected audio events. These procedures can be illustrated in the following diagram.

Figure 2. Phrase Length Detector to Control Multi-voice Harmonizer
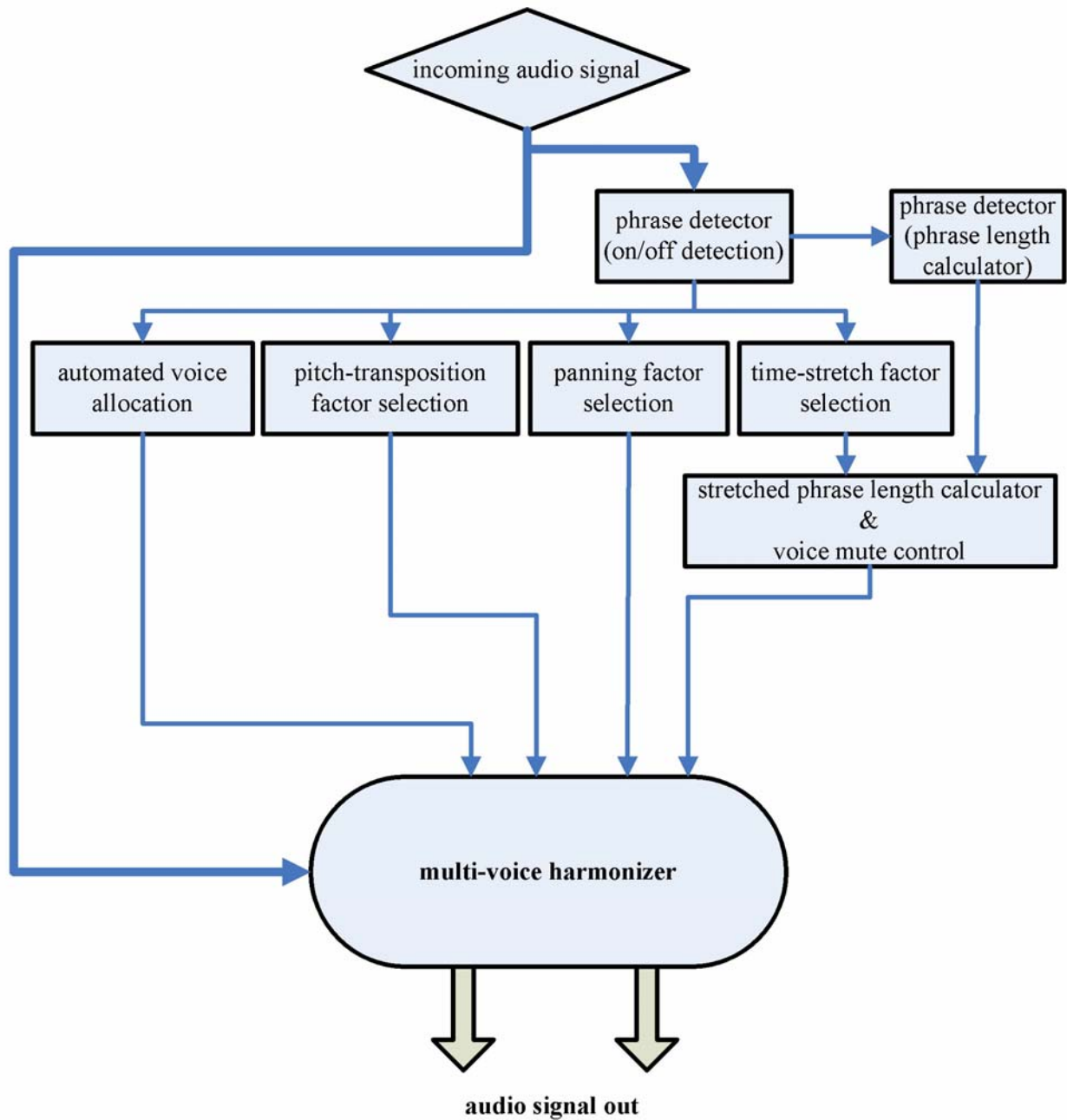
This application of a multi-voice harmonizer is intended to create a kind of strict

counterpoint in augmentation. Voices are added into the music one by one following the onset of

every newly detected phrase, and may also overlap each other according to their time-stretch

factors and the original phrase length. Since this technique is used in a multiple-instrument piece, the pitch transposition factors, even though randomly chosen, are still confined only to different octaves to ensure the clarity of melodic lines and harmony. The imitation relationship is most recognizable when the texture is thin. This happens with the flute line in measures 5-6, introducing the device to the audience. At this point, the two string instruments are doing pizzicato, and the pitch activity of erhu and piano is limited to only two pitches (D and F), which further differentiates the flute melody and its duplicated versions from the other instruments.

Figure 3. Harmonizer in *Snow Spell*

New pitch detection combined with amplitude analysis result to trigger FFT Freezer:

The effectiveness of signal processing modules depends greatly upon the input signal and the controls applied to the modules. This is true of the FFT Freezer, a sampling and re-synthesis technique based on the Fast Fourier Transformation. This technique analyzes the spectrum of a frame of samples, and re-synthesizes that spectrum repeatedly to create a sustained timbre. The timbre produced by the FFT Freezer does not vary over time. It does not change much when the effect is re-triggered by the same pitch on the same instrument. When several FFT Freezers are triggered at nearly the same time, they tend to fuse into a single timbre. In *Snow Spell*, individual notes are frozen and panned to different locations to create a magical sense of momentary events frozen in space and time. To accomplish this and to overcome the limitation described above, the control system excludes consecutively repeated pitches, as well as events of very low amplitude.

Figure 4. Pitch Detection Combined with Amplitude Analysis
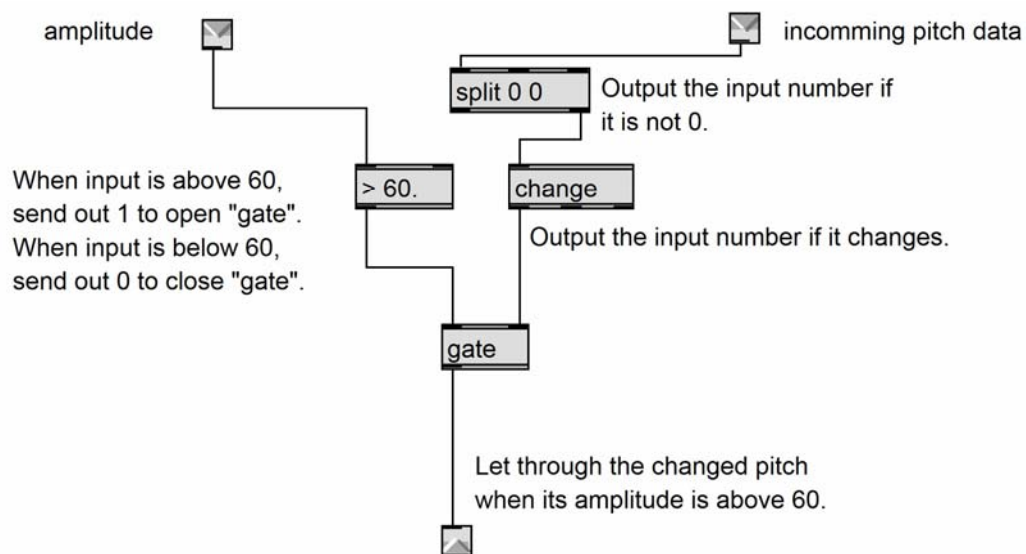
It also limits the rate at which new "frozen notes" can be triggered. Max/MSP provides an object

named "speedlim" that limits the speed at which data passes through. However, it does not

ensure the synchronization of incoming and outgoing data since it will hold and delay a message.

A better solution to limit the speed of data and to ensure better synchronization of incoming and

outgoing data is shown below.

Figure 5. Speed Limit Device
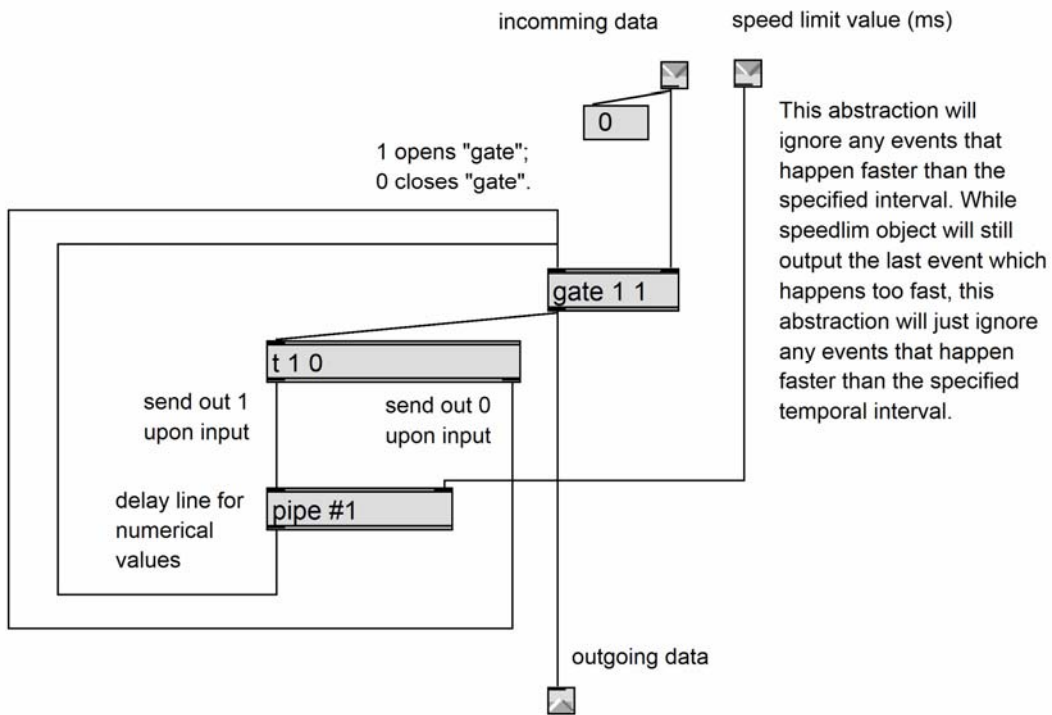
This device prevents output of a new message until a certain amount of time has passed

since the last message was output. Events in between are ignored (the "gate" is closed to them).

This mechanism is also used for other signal processing modules such as FM synthesis and

sound file triggering in *Snow Spell*.

With these algorithms, the re-synthesized signal of FFT Freezer and the attack of the note that triggers it combine together to form a new instrumental timbre which extends the original. Since complicated texture of the original live instruments will obscure the clarity of the interesting effect, FFT Freezer is mainly applied in music of thin texture (e.g. m. 5, for piano without loud pedal) or solo dominated passages (e.g. m.135, for erhu solo).

Pitch range to control signal processing modules:

In *Snow Spell*, the pitch range sieve is built based on the assumption that pitches of extreme range usually indicate higher tension in music. A pitch range sieve algorithm can specify the pitch range within which the incoming pitch data can trigger a certain signal processing module to further intensify or amplify the original music in addition to its transformative or generative purposes. In *Snow Spell*, instead of directly controlling the parameters of signal processing modules, the pitch range sieve is mainly used to control the input level sent into one particular signal processing module, cloudy delay. This module splits the incoming signal into fragments of irregular length, which are then distributed randomly through a stereo panning system, and combined with delay effects to create a cloudy texture. Whenever the pitch analysis result of the incoming signal falls within the specified pitch range of the sieve, the sieve algorithm will send out the message "1" to trigger a ramp-up process for the audio signal level. Conversely, whenever the pitch falls beyond the specified range, the sieve algorithm will send out the message "0" to trigger a ramp-down process for the audio signal level.

Since the pitch range of the flute melody in *Snow Spell* mostly coincides with the overall rise and release of tension in this piece, analysis of the flute's pitch range, is used to control the level of cloudy delay applied to the other instruments (not the flute itself). In this way, the clarity of the original melody is retained while the overall musical shape is enforced and the tension of

25

the music is selectively intensified by the processed sound. Examples of such usage in *Snow*

Spell can be found in m.45, where sound from the piano is processed by the cloudy delay effect

according to the pitch range analysis result of the flute. A similar example, with processing

applied to the cello sound can also be found in m.107. A diagram demonstrating this method is

shown below:

Figure 6. Pitch Range Sieve to Control Signal Processing Modules
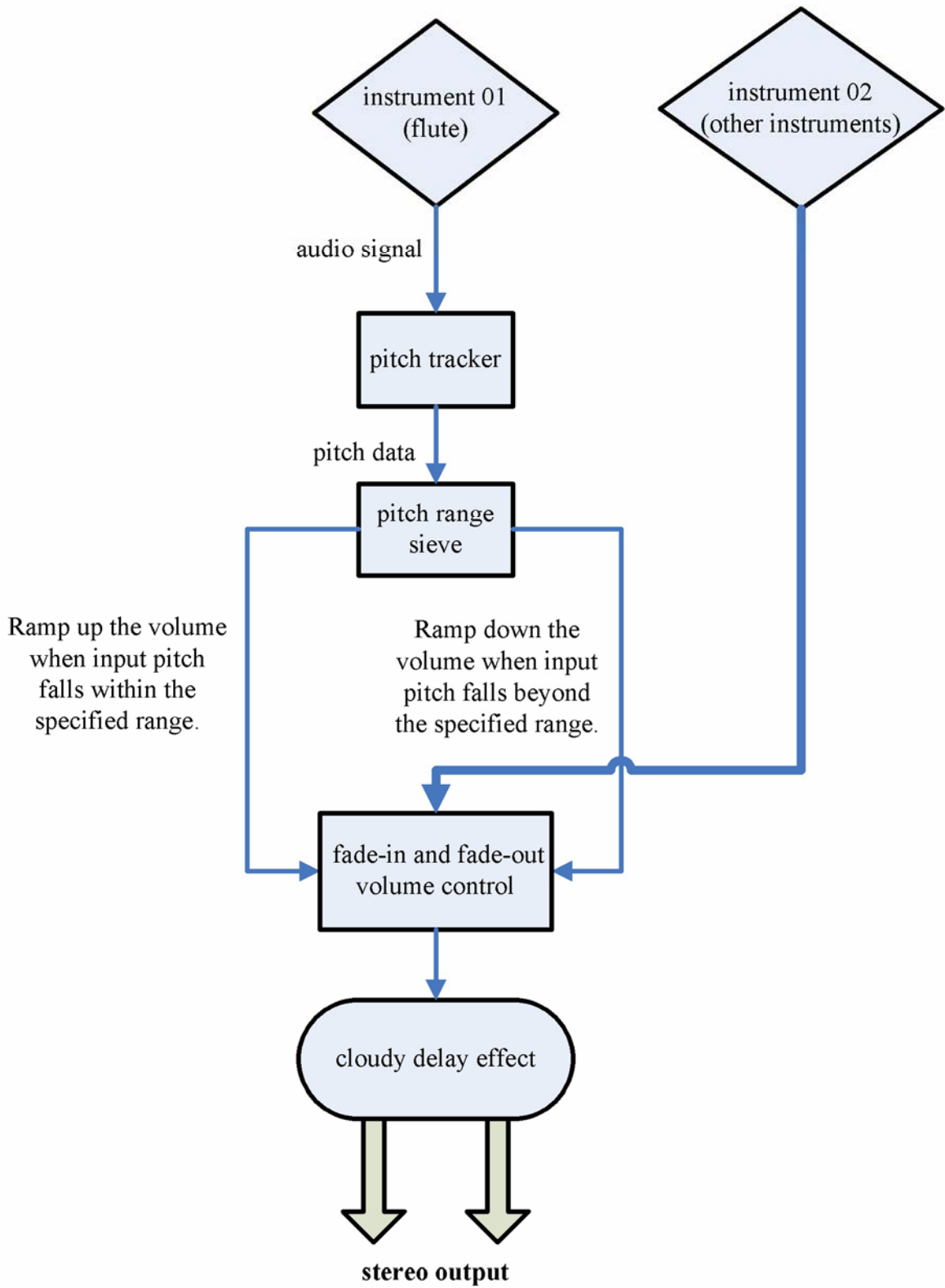
Pitch sieves are also used for arbitrary parameter selection for the granular transposition

module. The granular transposer streams incoming pitch data into seven ranges; each is assigned

a different factor for pitch transposition. Whenever the mean average of the recent pitch data

falls within a particular range, it will send the corresponding factor to the granular transposition

modules. In order to keep the processed signal at the same pitch level for enough time to form a

recognizable fragment of imitation of the original live instrument melody before a new

transposition level is selected, the speed limiter previously described is once again used, with

6000 milliseconds as the minimum interval between each transposition.

Pitch sieve to trigger virtual instruments:

In *Snow Spell*, a pitch sieve is used to control a virtual instrument based on FM synthesis.

This software-based synthesizer mainly produces longer sounds which serve to produce pedal-

tone effect parallel to the FFT Freezer mentioned above. The main difference between the two is

that the FM synthesizer does not take any audio signal from the live instruments as the source for

re-synthesis. Another feature of the FM synthesizer is that the timbre changes each time it

receives a trigger. Randomly assigned envelopes, stretched proportionally over time according

to randomly selected factors, are used to control amplitude and modulation index, creating

timbral variety.

In *Snow Spell*, the FM synthesizer not only provides pedal effects, but also plays a

structural role, appearing near the beginning (from m.16 to around m.136) and the end (from

around m.145 to the end) of the piece to reinforce the mirror form of the work. The pitch sieve

algorithm that controls the FM synthesizer only lets through the pitch classes C#, D, F, and F#

from the pitch tracking result of the piano. Whenever the piano plays one of these pitches, a new

FM note is triggered. This forms a melodic line based on pitch class of C#, D, F, and F#, with an

irregular rhythm. The FM synthesizer thus emphasizes the harmonic center of the piece: the

harmony of the work focuses on pitch classes C#, D, F, and to a lesser degree F#, at the

beginning and returns to them at the end of the piece. In addition to these pitch and rhythmic

connections, the amplitude of the FM synthesizer is also controlled by the amplitude of each note

detected in the piano part, creating a further correlation between the two. Since the total number

of active voices in the FM synthesizer is limited to eight (to save CPU power) and no note can be

triggered if all eight voices are occupied, the aforementioned speed limiter algorithm is once

again used to ensure a more even distribution of notes over time. The pitch sieve patch that

controls the triggering of the FM synthesizer is illustrated below.

Figure 7. Pitch Sieve to Trigger Virtual Instrument
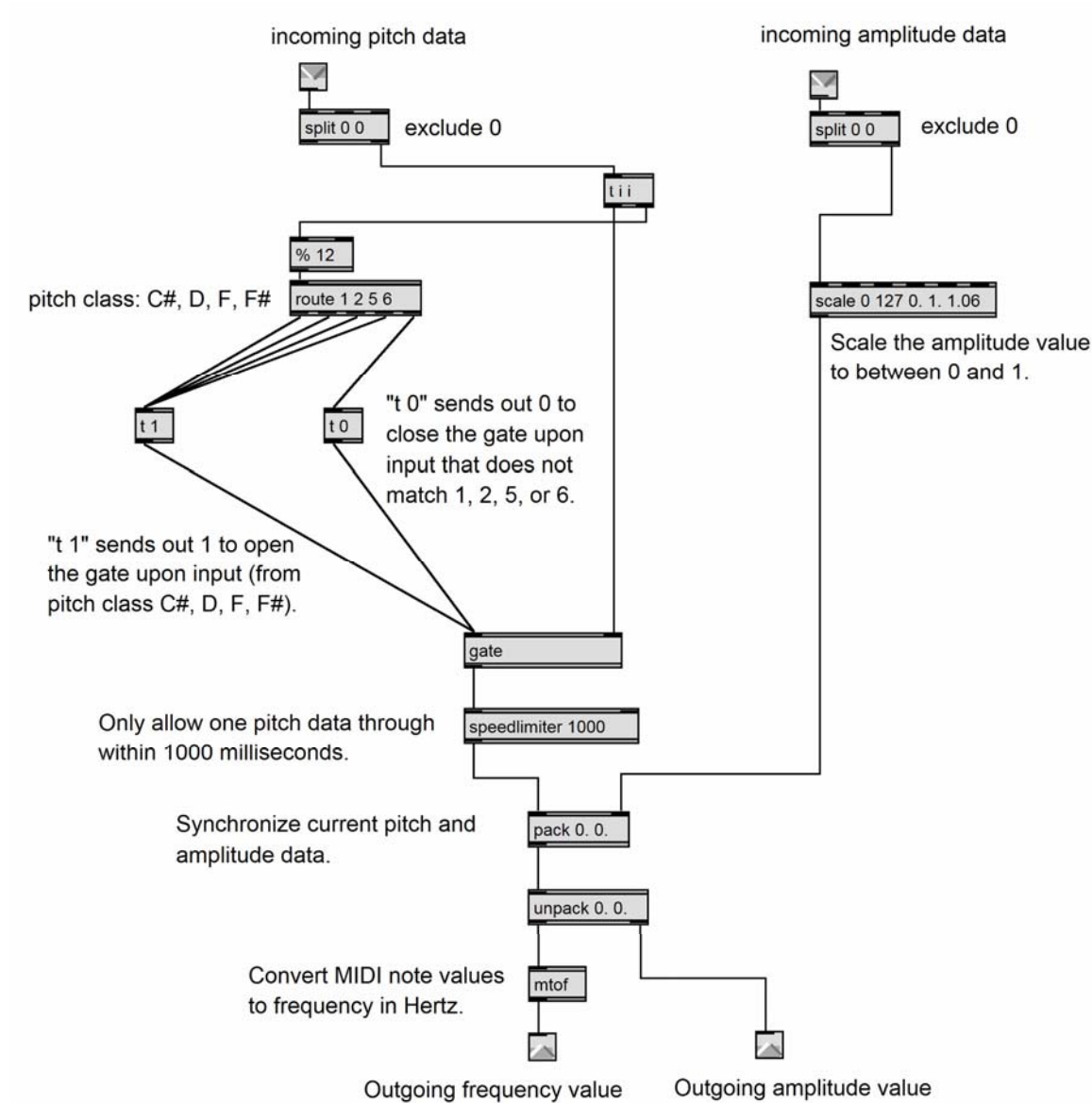
Pitch stabilizer to trigger delay-based looper:

A technique for detecting a stable pitch, developed by Andrew May, is used to trigger looper. In this technique, continuous pitch data are saved in a circular buffer, and the most prevalent values are detected. The buffer for pitch data collection is updated at the rate of the fiddle~ window size – for flute and erhu, about every 13 ms, slower for cello and piano. When a

30

value occurs enough times within the buffer, this most prevalent pitch value is output. This will trigger signal processing modules in the *Snow Spell* patch; it is desirable because it ensures a highly accurate and stable detector of a note's pitch. It is also used to improve duration detection by removing extraneous "bounces," or rapid outputs of inaccurate pitches. A diagram that demonstrates the use of note prevalence algorithm is illustrated below.

Figure 8. Pitch Stabilizer to Control DSP Modules
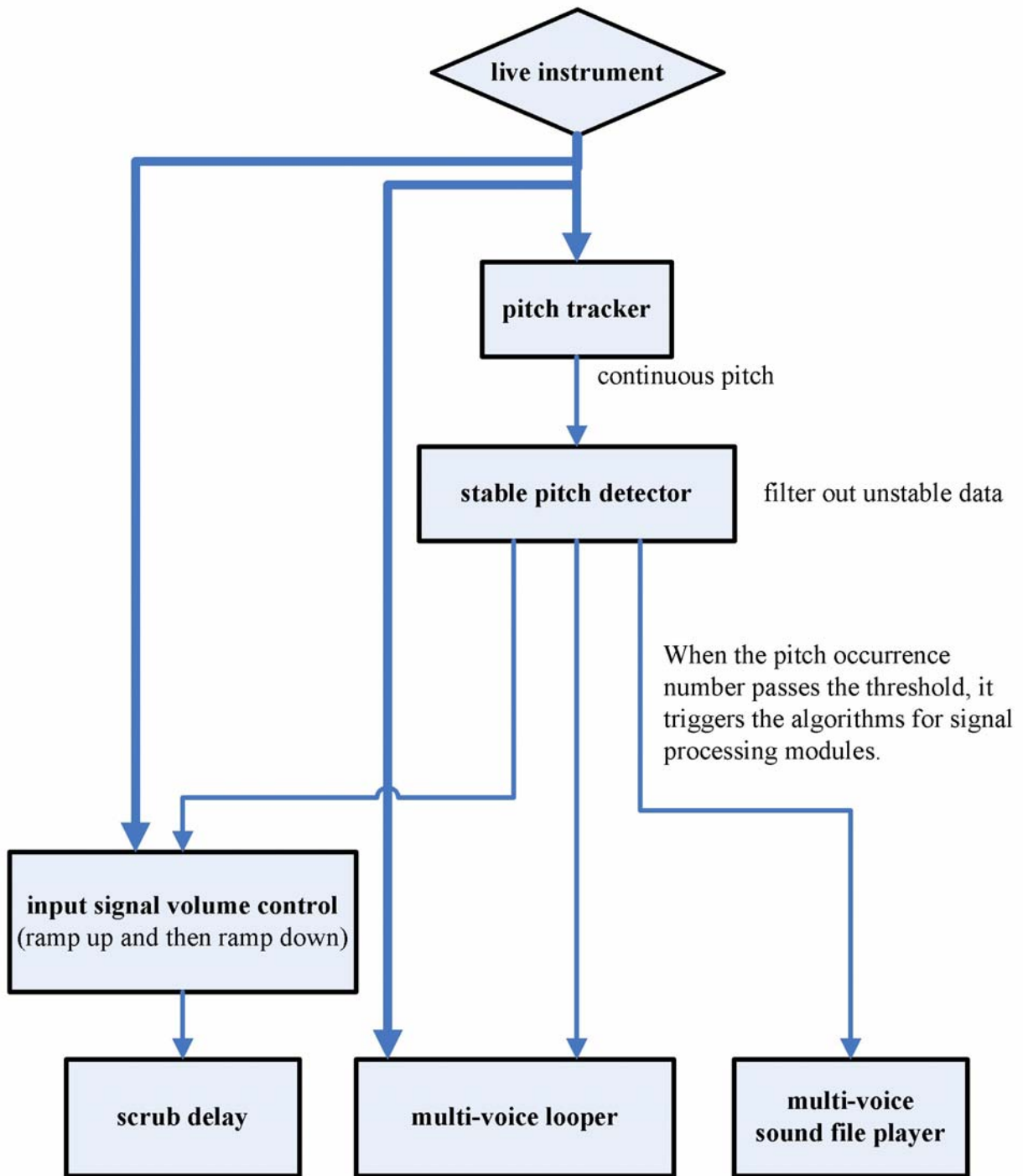
The looping algorithm that is triggered by the pitch stabilizer in *Snow Spell* captures a

small segment of the current audio signal in a buffer, plays looped audio from the buffer, and

gradually fades the loop down to silence. It is basically another method of making a pedal effect,

with a very different timbre from the FFT Freezer and the FM instrument. FFT Freezer sounds

when applied to shorter notes of abrupt and strong attack (e.g. piano or string pizzicato); in

contrast, the delay-based looper is only suitable for longer notes of smooth envelope (e.g.

woodwind or string). Thus, these two algorithms address a similar musical goal in very different

situation. Because the delay-based looper relies on sampled sound from the live instrument, it is

less flexible but more timbrally consistent than the FM instrument. In *Snow Spell*, the delay-

based looping device is only applied to long flute notes at the beginning (mm.1-16) and at the

end (mm.157-171) of the piece, which also serves to clarify the formal structure of music.

The pitch stabilizer is also used to trigger drone-like sound files of varying duration, pitch

and frequency bandwidth. By waiting for a stable and sustained pitch, these drones are

introduced in a way that appears to grow out of the instrumental sound.

Pitch tracking to trigger pitch shifter for sample playback:

In mm.135-171 of *Snow Spell*, pre-recorded erhu music is triggered by the live erhu.

These sound files are randomly selected in such a way that none is repeated until all five have

been heard. In order to closely relate the pre-recorded sound files[46] to the notes that trigger them,

each sound file begins at the same "reference" pitch level and is transposed to the triggering note.

Once the pitch of the instrumental sound is detected, the sound file is transposed to begin on the

same pitch, using the granular pitch shifter "granola~," taken from Eric Lyon's Potpourri library.

Two sound file player modules play the sound files in alternation. In order to retain melodic

clarity and prevent the erhu-dominated polyphonic textures from becoming too thick, a crossfade

effect is applied when the next sound file is triggered before the previous one ends. Each

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[46] See also Chapter 5, the section of "Elements of Chinese Music in *Snow Spell*" for further
description about the musical purpose of using these sound files.

triggered sound file is also assigned a randomly chosen panning factor to create spatial variety. The patch is shown below:

Figure 9. Pitch Tracking to Trigger Pitch Shifter for Sample Playback
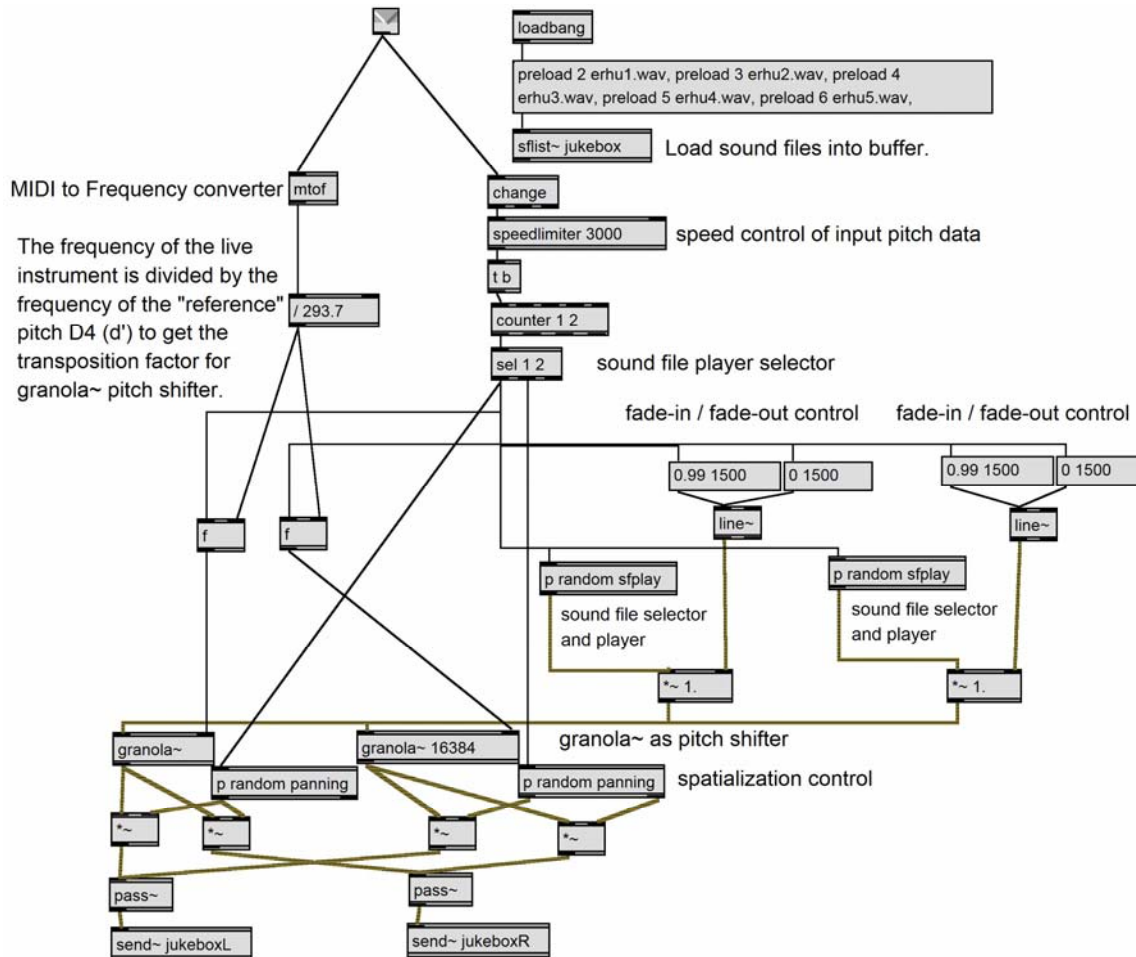
Multiple comparison operators of different musical elements to control spatialization:

Since the same expressive purpose may be achieved by different musical elements together or separately, several comparison operators for different musical elements may be used at the same time to trigger a signal processing module. For example, a sense of tension in

instrumental music can be achieved by higher pitch range, stronger dynamics, or greater density

of notes. Based on these assumptions of tension, an interactive computer music system can

detect moments of tension according to the musical elements mentioned above, and further

intensify them by applying DSP effects. When these real-time DSP effects are used, the

combined amplitude of the processed signal and the original instrumental sound, in addition to

the special texture generated by DSP effects, actually also serves to enlarge the dynamic range

and heighten musical contrasts. In the *Snow Spell* patch, different thresholds are set respectively

for pitch range, dynamics, and note density. Note density here refers to the number of notes per

second. The note density data is obtained by counting note events in an accumulation, and then

"forgetting" them (subtracting each counted item) after 1000 milliseconds. Thus, at any given

time, the accumulated value is the number of note events over the last second. The note-density

calculation patch is shown below:

Figure 10. Note Density Analyzer
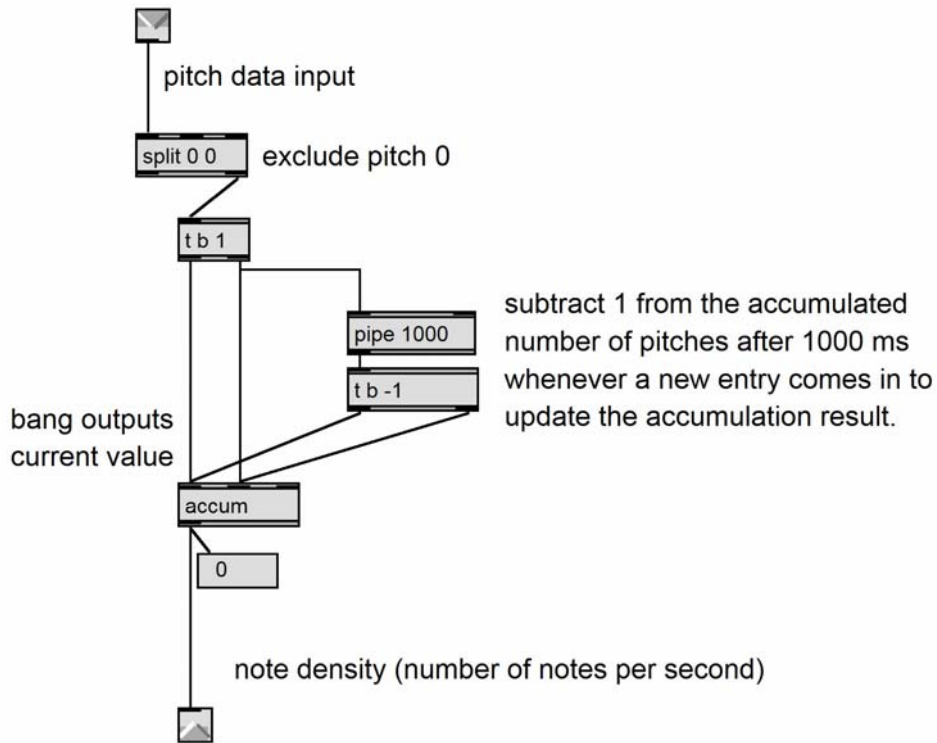
When the pitch range, dynamic, or note density detected in the live instrument's signal exceeds a given threshold, it triggers a "crescendo and decrescendo" of random panning. In this Grain Panning module, the signal is divided in short grains, which are panned alternately to left and right. The distance of panning from the center is randomly chosen. The speed of granulation accelerates and then decelerates again after each trigger, heightening the sense of growing and diminishing tension.

CHAPTER 4

OTHER TECHNICAL CONSIDERATIONS

Audio Signal Routing and Mixing

A flexible real-time interactive system will necessarily have a complex set of real-time controls which demand great caution. Among various parameter controls in real-time music, volume adjustment can be problematic if the programming is not well structured. There are at least four aspects that need to be considered:

1. Clicks might happen upon turning on/off signal processing modules.

2. Clicks might happen upon switching source signals for signal processing modules.

3. A way of routing the output from one signal processing module to another may be required for musical purposes and for more efficient use of existing modules.

4. A handy volume control interface may be required for immediate balance adjustment during the live performance.

In order to reduce CPU consumption during performance, it is helpful to turn off the audio function in unused signal processing modules. However, this may cause unwanted clicks as a signal is abruptly cut to zero. Moreover, such an abrupt change could be musically disruptive. Therefore, fade-in and fade-out controls are needed. This may be achieved by including fade-in and fade-out messages in the event list whenever necessary. However, this solution may complicate the task of programming the event list by repeating the same messages again and again. A more efficient way is to program a device that automatically applies the fade-in and fade-out control to the output level of the modules whenever they receive the on or off command from the event list. This device makes the output signal fade in after the signal

processing module is turned on and fade out before the module is turned off. The "mute control"

subpatch shown below serves to perform this function:

Figure 11. Mute Control Subpatch

on/off manual control: receive
1/0 message from toggle
object -- 1 turns on the module
and 0 turns off the module

further control of ramp time and destination level:

receive a list (from qlist) --

DSP module on/off, ramp-up destination level, ramp time

(1/0)

r mute_control_reset

0 135 3000

reset ramp time and
ramp-up destination
level to default value

unpack 0 135 3000

t i stop

select 1 0

preparation
time for mute~
(505 ms)

Mute On/OFF:

1 1 = mute (including
muting all the
subpatches inside
the modules)

delay 3000

delay enough time
before actually turn
on/off the signal
processing module

delay 505

int 135

destination
level value
(ramp down to
0)

0

destination
level value
(ramp up to
110)

pack 135 3000

0 1 = unmute
(including muting all
the subpatches
inside the modules)

t 0

t 1

pack 0 1

sel 1 0

0   1

send the level value to
"slider" object to show
the level by graphic
interface. It also sends
both level value and
ramp time value to
"matrix~" object to
control the fade-in and
fade-out of output
signal

connected to
mute~ object

show effect status:
1 (checked) = on;
0 (unchecked) = off

trigger buffer
clear message

connected to
"slider" object
and "matrix~"
mixer

39

An example of the mute control subpatch positioned in a higher-level patch (in the "freeze"

signal processing module) is shown below:

Figure 12. Mute Control
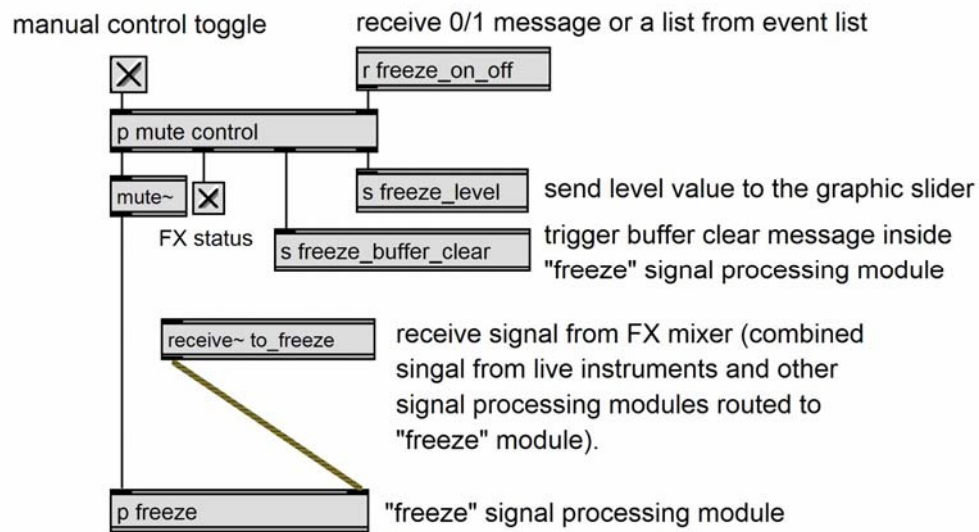
This "mute control" subpatch can take 1 or 0 message from its left inlet to switch "mute" and

"unmute" status of the connected signal processing modules. The "toggle" object connected to

the left inlet is designed for direct manual control of turning on/off the signal processing modules

with default or fixed ramp-up destination level and the time needed. This helps simplify the

testing procedures in the composition process. The right inlet of the subpatch, on the other hand,

allows control of the ramp time and the destination level in addition to "mute" and "unmute"

status. All level control commands are sent to graphic sliders, to provide visual representation

and manual control of current levels. These values are also sent to the matrix mixer, which

executes signal switching and mixing according to the specified ramp time and level. When

patches are muted and unmated, a delayed response is necessary: the "mute~" object requires

about 500 ms to restore audio, so when a patch is unmuted, the ramp-up process is delayed accordingly. This avoids clicks and other artifacts.

One further protection against click artifacts is necessary: audio in delay lines, which might otherwise start abruptly, must be cleaned. This is accomplished by sending a "clear" message to "tapin~" object.

Figure 13. Audio Signal Routing and Mixing

signal from live instruments

signals from other signal processing modules

mute control

ramp down the output volume of the signal processing module before muting it

ramp up the output volume of the signal processing module before unmuting it

control audio on/off of the signal processing module

send out buffer clear message when signal is muted

control the output volume of the signal processing module (i.e, the level of the signal sent to the matrix mixer) though graphic slider controls

FX mixer

signal processing module

signal routing controlled by crossbar mechanism

graphic slider
for immediate level control on matrix mixer

main mixer

digital-to-analog converter

sound distribution

In the *Snow Spell* patch, two separate matrix mixers are used, both relying on the "matrix~" object. The master mixer receives data from the "mute control" patch to adjust the levels of outputs from the signal processing modules to the master output. Control messages from the event list can be sent anytime when necessary to control these levels in addition to the fade-in/out process upon switching mute on/off. The main concerns of designing this kind of routing is to ensure the clickless on /off switch of each signal processing module, to create a user friendly interface for immediate level control through the graphic slider during the performance when it is needed, and also to facilitate manually testing various signal processing modules in the composition process.

The other matrix mixer handles the internal routing among different signal processing modules and live instrument inputs: This mixer is controlled exclusively by event list messages, which drive Crossbar menus based on Cort Lippe's model for controlling "matrix~":

> Finally, using an automated signal crossbar (similar to a studio patch-bay) to connect modules to each other, signals can be sent from the output of practically every module to the input of every other module. This signal crossbar maximizes the number of possible signal paths and allows for greater flexibility when using a limited number of signal processing modules.[47]

These crossbar menus serve to facilitate the event list programming by automatically generating parameters in "matrix~" acceptable format whenever the user supplies the names of modules for connection or disconnection, the volume value, and the ramp time value. The following examples show the "matrix~" routing for various signal processing modules (and instrument inputs) in *Snow Spell*, and the crossbar menu for FFT Freezer to connect to other signal

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[47] Lippe, "Music for Piano and Computer: A Description."

processing modules. The text in the numbered boxes connected to the inlet of "matrix~"

represent signal sources connected to signal destinations (e.g. "receive~ freeze_from" represents

signal source from FFT Freezer). "Crossbar" is an abstraction, a self-created patch that functions

as an object in Max/MSP,[48] which takes three arguments: the name of the control message for

the crossbar, the input source number, and the output destination number. For example, the

crossbar marked "xbar freeze_to_rand 5 0" deals with the connection between input 5 (FFT

Freezer) and output 0 (a random panning module). A crossbar receives a message consisting of

the destination level value and ramp time value; it formats this as a message to matrix~ that

directs these data to control the appropriate signal routing. Thus, when a "freeze_to_rand"

message is sent, the matrix mixer will follow the order to connect FFT Freezer to the random

panning module (or if the level is 0, disconnect it), and ramp the level to the value specified

within the specified ramp time.

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[48] Christopher Dobrian, *Max Tutorials and Topics*, ed. David Zicarelli (San Francisco: Cycling '74, 2005), 126.

Figure 14. Crossbar and Mixing

crossbars for audio routing from FFT freezer to other signal processing modules

receive~ rand_from 0
receive~ rev_from 1
receive~ harm_from 2
receive~ cloud_from 3
receive~ scrub_from 4
receive~ freeze_from 5
receive~ FM_from 6
receive~ looper_from 7
receive~ grain_from 8
receive~ spectral_from 9
receive~ polysfplay_from 10
receive~ flute 11
receive~ erhu 12
receive~ cello 13
receive~ piano 14

xbar freeze_to_rand 5 0
xbar freeze_to_rev 5 1
xbar freeze_to_harm 5 2
xbar freeze_to_cloud 5 3
xbar freeze_to_scrub 5 4
xbar freeze_to_looper 5 7
xbar freeze_to_grain 5 8
xbar freeze_to_spectral 5 9
xbar freeze_to_scrub2 5 10

r reset
clear

r to_matrix2

prepend set
clear

matrix~ 15 11 1.

send~ to_scrub2 10
send~ to_spectral 9
send~ to_grain 8
send~ to_looper 7
6
send~ to_freeze 5
send~ to_scrub 4
send~ to_cloud 3
send~ to_harm 2
send~ to_rev 1
send~ to_rand 0

The Crossbar strategy simplifies the process of event list programming. In combination with

matrix~, it also helps to create a smooth transition when signal modules are connected or

disconnected, and makes smooth the process of switching among different instrument inputs for

the same signal processing modules. However, it does not facilitate immediate control of levels

45

by using graphic sliders, and cannot turn off audio to reduce CPU consumption. This is the

reason for the "two-mixer" solution, using two models of level control: graphical slider control

for the final outputs from signal processing modules, plus mute control for each subpatch of

these modules, and the crossbar abstractions for internal routing among different processing

modules and instrument inputs.

<div align="center">Spatialization in Stereo Environment</div>

In order to enrich the soundscape of a stereo interactive piece, a sense of physical space is

of great value. In *Snow Spell*, this is accomplished by assigning different reverberation depths

for different instruments and processed signals, and by adjusting panning settings between the

two speakers. Panning modes used in *Snow Spell* mainly include equal-distance panning and

linear panning, and are combined with randomly chosen panning factors integrated in the design

of signal processing modules. The linear-crossfade random panning control is used in the FM

virtual instrument and is illustrated below.

Figure 15. Random Panning in Linear Crossfade Mode
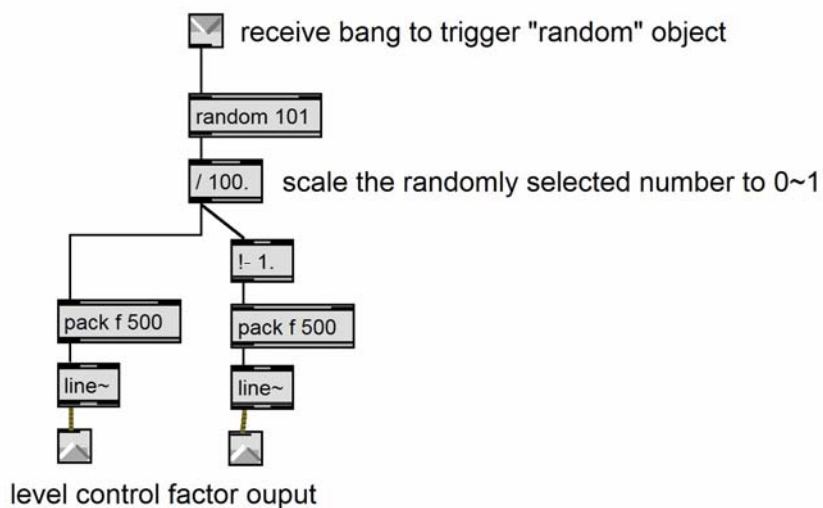
In this case, whenever the FM virtual instrument receives a note-on message from its compositional algorithm, it sends a "bang" message to the "random" object which generates a number as a panning factor; this number is scaled to lie between 0 and 1. This result is used as the level of one of the audio signal output in FM virtual instrument; its complement (the result subtracted from 1) will be the level of the other audio signal output. In this way, each long note generated from FM virtual instrument is assigned a different panning factor, creating notes of ever-changing timbre floating all around in a virtual space.

Random panning factors may also be triggered along with a windowing function, as used in the Grain Panning module. The subpatch that controls this process is shown below:

Figure 16. Windowed Random Panning

rate of envelopes

random panning
width adjustment

loadbang

256

0

cycle~ 0.5

narrow   wide

/ 256.

>=~ 0   Remove signal
below 0, creating
an envelope
function.

0.996

noise~

*~

*~ 0.

*~ 0.5

sample the signal from "noise~" only
when input signal reaches 0 (to
prevent clicks, when changing
panning factors);
each grain is thus assigned a new
random pan position.

+~ 0.5

sample the signal
from noise~ when
the level of cycle~
transits from below
to above 0.

sah~ 0

!~ 1

Envelope control of the input
signal segments the incoming
signal into grains at the
envelope rate.

level scale cotnrol of the
output signal

In this case, the input signal is windowed by a sinusoidal oscillator (the "cycle~" object) with its

negative values removed to create a signal audible for only half the cycle. A noise generator (the

"noise~" object) is used as the source of random values and is sampled when the input signal is

crosses zero (i.e., when the window function ends and the signal is silenced). The sampled value

from the noise generator is used as a panning factor in a linear crossfade between channels.

Because the panning factor is assigned at the precise moment when the input signal level reaches zero, no undesirable clicks are generated. This granulator patch sends window of the input signal to random positions, at a rate and intensity corresponding to the intensity of the input signal, creating a kind of modulation that adds activity and complexity to the sound.

A random panning treatment based on equal distance crossfade technique is also used in *Snow Spell*, as for example in the FFT Freezer module. It can also be combined with delay effects. In one module in *Snow Spell*, a delay is generated using the tapin~ and tapout~ objects, and the delay time is varied at random intervals. Whenever a new random delay time is assigned, a window is applied to prevent clicks, using the adsr~ object. As the new delay time is implemented, the equal-distance panning control generates levels for each audio output.

The equal-distance panning level control is based on the assumption that the two speakers are located 90 degree apart with the listener at the center. Using the Pythagorean Theorem, the relative amplitudes are set to simulate a constant distance between sound source and listener. The subpatch of the equal-distance random panning control is illustrated below:

Figure 17. Equal-Distance Random Panning
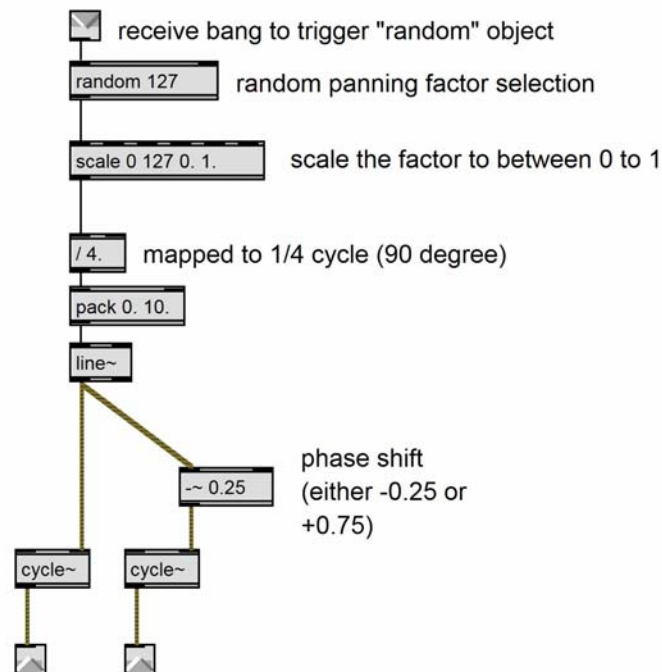
Through these techniques, the limited opportunity for spatialization in stereo environment is used to maximal effect. The *Snow Spell* patch combines different panning trajectories and controls of panning speed to achieve a variety of imaginary spatial relationships.

Efficiency (poly~, matrix~, mute~, pcontrol, etc.) consideration in CPU consumption

While real-time signal processing effects contribute to timbral variety, they also increase the burden on the computer's CPU which can make the interactive music system unstable. Balance between CPU conservation and the number of real-time signal processing effects used is necessary, as well as a strategy to make the best of CPU resources.

In *Snow Spell* patch, there are three ways to reduce CPU consumption: (1) turn off MIDI or audio function in unused subpatches (using the mute-control system previously described); (2) make the best use of signal processing modules by combining them in series (using the matrix mixer previously described); and (3) remove unnecessary graphical user interfaces, or replace

them with simple ones that consume less CPU.

Another approach to CPU consumption involves management of input data. For example, the fiddle~ object generates a great deal of data based on the input signal. To reduce CPU consumption, one may close the gate to data generated by fiddle~, preventing further analysis of these data. The following example shows a combination of "mute~" control and input signal selector, which is meant to turn off the audio function and to prevent any input data flow from entering the module for further CPU-consuming calculation or analysis.

Figure 18. Mute Control and Input Selector
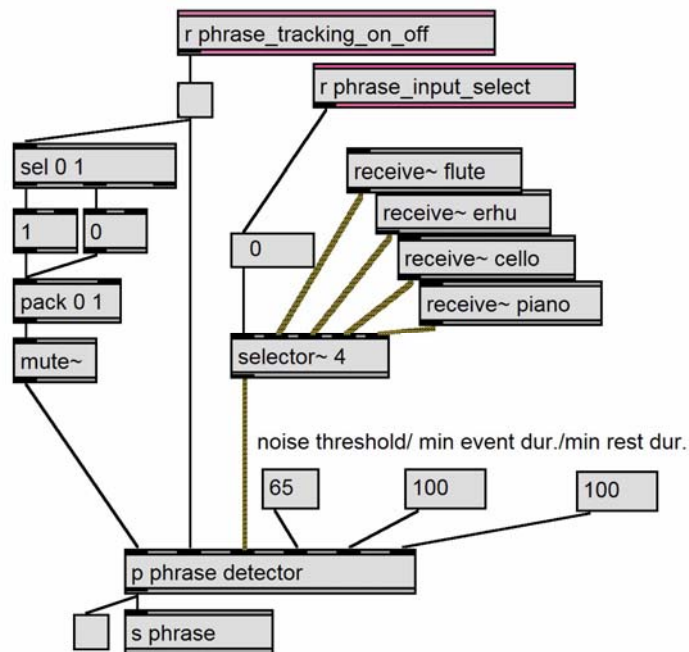
For multi-voice signal processing modules such as the sound file player, the poly-looper, and the FM virtual instrument, a special object "poly~" is used to automatically assign muted (free-for-use) subpatches for further use and to allow the audio function of unused subpatches to be independently turned off. In this way, the audio function of each subpatch can be more

efficiently used.

The matrix mixer previously described also helps improve efficiency: parallel routing of DSP effects would be more CPU-consuming. In a quartet piece like *Snow Spell*, in order to clearly differentiate transformed versions of the four different instrument sounds, different instruments are usually routed to different processing networks. The four instruments can be left unprocessed, or they can be processed by different effects simultaneously, or some can be processed while others not. However, except in the case of reverberation, it will happen only rarely that more than two instruments are routed in parallel to the same effects module; such blending of signals could sound muddy and make each instrument lose its identity. A multitude of distinct processing types for each instrument would consume a great of CPU power. A similar variety and complexity can be obtained much more efficiently through serial routing of just a few signal processing modules. For example, with three modules, one can obtain seven distinct combinations – or fifteen, if the order of routing is significant.

CHAPTER 5

COMPOSITIONAL CONSIDERATIONS

Structure of *Snow Spell*

The structure of *Snow Spell* depicts four impressions of snow as envisioned by the composer. Rather than saying that each of these impressions creates a distinct section within the structure, they should instead be viewed as the origin of the composer's inspirations, upon which different musical ideas are based. In fact, the most apparent sectional divisions in the piece, based on shifts of texture, dynamic, and melodic activity, as seen at m.63 and m.99, do not coincide with the point where a new impression starts.

In the first impression of snow, the pitch D serves as the main pitch center, and is emphasized from the outset. This pitch is either elaborated by neighboring tones or combined with the pitch F to form another important motive. The most apparent example can be found in mm.6-13 where the pitch center D is elaborated with its neighbor tone E*b* or E repeated with different rhythmic figuration, and alternately presented by ehru and piano. Later in the same impression (*The First Snowflake*), the pitch center is gradually obscured even though the pitch D does come back once in a wile and is usually recognizable in the figuration that emphasizes the pitches D and F (e.g. mm.41-42 in flute).

In *Footprints Vanishing in the Storm*, the music is designed to move toward the climactic point of the piece (mainly from m.118 to m.127) with thick texture, fast pacing, and active pitch movement combined with repetitive patterns in both instrumental parts and pre-recorded sound files. One secondary climax in this passage appears around mm.59-63, reinforced by pre-recorded sound files that present a version of the piano part in mm.19-22, time-stretched through granular resynthesis. The other secondary climax appears in mm.157-162, created by an upward

motion in the erhu melody combined with transformed versions of the same erhu melody in pre-recorded sound files and from the real-time granular effect.

The beginning point of the third impression of snow, *A Soliloquy in the Snow* in fact coincides with a very evident sectional division, where the tutti texture suddenly shifts to a passage dominated by the erhu, which leads to the climax in mm.159-162. This is immediately followed by the fourth impression of snow, *The Past Melts from the Window Panes*, which gradually diminishes the energy of the piece as if brings it to an end.

The pacing of *The Past Melts from the Window Panes*, compared to previous passages, is relatively static and slow, featuring repeated figures in the piano and limited pitch activity in the other instruments. An implicit return to the pitch center D can be found in the repeated piano figures and the recurrent bass pedal.

Figure 19. D as Pitch Center (mm.163-164)

Figure 20. D as Pitch Center (mm.1-2)

The upward melodic motive formed with the prominent pitch set (0 1 4) is emphasized

again at the beginning of this passage (m.163) by the erhu as the final recall of the flute's figure

at the opening of the piece, shown in the excerpt above, here, too, the main notes, one based on

the (0 1 4) set. The melodic lines of the erhu and cello later also return to the pitch center D

(mm.170-171), though this is obscured intentionally by other pitches in flute and piano to avoid an excessively evident tonal center.

<div align="center">Elements of Chinese Music in Snow Spell</div>

The elements of Chinese music incorporated in *Snow Spell* mainly include the use of idiomatic expressions from traditional Chinese erhu music, and references to the Chinese folk tune, *River of Sorrow*.

The erhu, known as the "Chinese violin", is a two-stringed fiddle with a small resonator body covered with python skin on the front (playing) end. It does not have a fingerboard, which makes its performance demanding but also allows more flexibility through finger pressure control. The bowhair passes between the two strings. The bow is removed from the instrument only when replacing the strings, bowhair or bow. It belongs to the huqin family of Chinese bowed string instruments. Huqin came into China through the Mongolian conquest of the thirteenth century and has become the most popular stringed instrument in China.[49] It is mainly used in Chinese folk music. Chinese folk music, known as Su Yueh, is very different from Ya Yueh, which was the music of royal ritual. While Ya Yueh is more "elegant, polished, refined, and polite," Su Yueh is full of "turns, grace notes, glissandos, portamentos, and excessive rhythmic variation and vitality."[50] Since erhu is an instrument used mainly for Chinese folk music, it bears the same feature as other Chinese folk instruments, serving as an extension of vocal music. The following is quoted from Bliss Wiant:

> Both vocal and instrumental music have had important functions in China.
>
> Instrumental melody does not differ fundamentally from vocal music except, of

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[49] Bliss Wiant, *The Music of China* (Hong Kong: Chung Chi Publications, 1965), 96.

[50] Ibid., 2-3.

course, in range, but even in this respect many instruments are limited to the

approximate range of the human voice, say three octaves or less. The greatest single

factor in instrumental music is that of timbre.[51]

Regarding the tradition of Chinese folk songs, Bliss also wrote:

Such songs are common property of the folk and are so full of grace notes,

portamentos, and embellishments that no notation has ever been invented competent

to represent all the nuances which greet the ear. The texts of many of these songs

have been assembled……But no musical scores have been made.[52]

The erhu and other melodic instruments for Chinese folk music have developed various

techniques of imitating human singing, and the use of these techniques also varies according to

the music style in different geographical areas. One of the most important factors is a wide range

of glissandi techniques. However, in the old Chinese music notation system, Gongchepu, these

nuances are not notated and only the nuclear notes (primary notes) are notated. Even in the

newly and widely used notation system for traditional Chinese music, Jianpu, introduced from

Japan at the end of the Ching Dynasty, [53] these nuances are still not fully notated. On one hand,

there is no way to notate all the nuances; on the other, it is rooted in this tradition to give the

performer a great deal of freedom and flexibility for personal interpretation.

According to the most popular and authoritative erhu pedagogical book Shao Zheng's

Ehru Broadcasting Instruction, glissando techniques for ehru can be mainly divided into

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[51] Ibid., 5.

[52] Ibid., 97.

[53] Shao Zhang, *Erhu Broadcasting Instruction* (Shanghai: Shanghai Music Publication, 1980), 6.

seventeen kinds belonging to five different categories. The following is the author's translation

and summary of Zhang's categorization:[54]

    I.   Widely used glissando techniques:

        a.   Small glissando:

             i. Upward glissando (approximately at the interval of third)

             ii.   Downward glissando (approximately at the interval of third)

             iii.   Glissando after the main note

             iv.   Continuous glissando (over two or more main notes)

             v.   "Finger-carrying" glissando upon changing fingering position:
This effect is similar to continuous glissando but more subtle.
It is used at performer's discretion and is not notated in a music
score.

             vi.   Half-step glissando (usually in downward motion)

             vii. "Round tone" glissando: glissando of pitch interval between
one half to one third of a half step

        b.   Large glissando:

             i   Upward glissando

             ii   Downward glissando

        c.   glissando turn

             i.   Upward glissando turn: Quickly move from the main note to an
upper note and then back to the main note.

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[54] Ibid., 87-94.

> ii. Downward glissando turn: Quickly move from the main note to a lower note and then back to the main note.

II. Special glissando techniques:

> a. multiple-finger glissando:

>> i. Regular multiple-finger glissando: Put two or three fingers on the string and slide the higher-pitch finger towards the lower-pitch finger, releasing them gradually to let the lower pitch be heard.

>> ii. Multiple-finger glissando turn: Combine the techniques of double-finger glissando and glissando turn.

>> iii. Pressed-string glissando (by pressing the string harder to change the pitch)

> b. Glissando in combination:

>> i. Trill glissando: Perform the trill and glissando simultaneously

>> ii. Glissando with pressed vibrato (vibrato produced by rapidly changing finger pressure on the string)

>> iii. Tremolo glissando: Perform the glissando and bowed tremolo simultaneously.

The glissando techniques in Zhang's modern edition of erhu music do not always specify a definite pitch interval; sometimes they are notated approximately with a slanted line or a curve plus an arrowhead. As mentioned before, it is intended to allow more flexibility in performance. In this case, it also implies that a clear attack of the pitch starting or ending the glissando is not preferred (unless these pitches are specified in the score).

In *Snow Spell*, the composer not only incorporates these glissandi nuances to evoke Chinese cultural resonances, but also to make the erhu music sound different from traditional Chinese music by putting it in a relatively atonal context, mixing different cultural elements into a unified composition. In addition to some glissando notation ideas drawn from *Erhu Broadcasting Instructions*, the composer also take ideas from Kurt Stone's notation book[55] to differentiate "into-a-note" glissandi from "out-of-a-note" glissandi by using different notation symbols.[56] However, just as in the Chinese tradition in which many nuances can not and should not be fully notated, the composer also expects the erhu player to add his or her own glissando or portamento in addition to those of particular importance, which are notated in the score.

Besides glissandi nuances, the music *Snow Spell* also refers to a Chinese folk tune *River of Sorrow*. *River of Sorrow* was originally a solo piece drawn from an old Chinese opera score. It was written for guanzi, a Chinese double-reed wind instrument, and became popular as a folk tune in the northeast of mainland China. It was rearranged by Chang-An Zhu and Xin-Shan Gu in 1950s and was later adapted as an erhu piece by Hai-Huai Huang.[57] Before the rearrangement by Zhu and Gu, the tune of *River of Sorrow* was used both in rituals of celebration and of mourning. However, after it was arranged, it became a very sad piece describing the sorrow and resentment of a widow against the governing class, crying over the river bank for her husband

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[55] Kurt Stone, *Music Notation in the Twentieth Century* (New York: W. W. Norton & Company, 1980), 20.

[56] Kurt Stone describes these short "into-a-note" and "out-of-a-note" glissandi as quick, short slides or portamento; in this he differs from Samuel Adler's definition for portamento as "a natural, expressive way of connecting melody notes which are a great distance apart and [which] is rarely indicated in the score."

[57] Jiu-Sheng Yang, "The Origin and Development of the Music 'River of Sorrow'," in *Anthology of Muisc Research Paper*, ed. Yong-Qi Peng (Shanghai: Shanghai Musicology Publication, 2005), 266-67.

who was conscribed and killed.[58] The introductory passage of *River of Sorrow* was added when it was rearranged, and serves as a summary of the materials and the emotion of the whole piece.[59] Therefore, it is referred to in *Snow Spell* both to evoke sorrowful feelings and to embody a multitude of tragic episodes in Chinese history.

Reference techniques in music composition may be divided into two categories, allusion and quotation. Quotation is regarded as stronger than allusion. A quotation is often explicitly identified and is intended to be recognized by its audience, while allusion is reference of a more covert kind.[60] In *Snow Spell*, the composer refers to *River of Sorrow* by allusion, segmenting the original introductory melody of *River of Sorrow*, shifting the segments to different pitch levels, making use of the most recognizable materials in *River of Sorrow* (in particular the first four-note figuration), and distributing the derived materials (e.g., grace-note figures and the interval of a third) throughout the piece. The most explicit reference to *River of Sorrow* can be found in mm.135-144.

The following is a comparison between the introductory excerpt from the original version of *River of Sorrow* notated in Jianpu; the western notation for the original Jianpu version of *River of Sorrow*; the transcribed version from erhu master Hui-Fen Min's performance[61] recording of *River of Sorrow*; and the references to *River of Sorrow* in *Snow Spell* in mm.135-144.

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[58] Ibid.

[59] Ibid.

[60] Nicholas Marston, *Schumann Fantasie Op.17*, Cambridge Music Handbook (Cambridge: Cambridge University Press, 1992), 35.

[61] Hui-Fen Min, *River of Sorrow*, Immortal Chinese Instrumentals Album (Washington: Lasting Impression Music, 2002).

Figure 21. Comparison between different versions of *River of Sorrow*

The Introduction of *River of Sorrow* Notated in Jianpu System

The Author's Translation of the Jianpu Notation above into Western Notation

The Author's Transcription from Erhu Master Hui-Fen Min's Performance Recording

Figure 22. Allusions and Quotations of River of Sorrow in *Snow Spell* (quotations are found in mm.135-144):

As mentioned above, traditional Chinese music scores generally notate only the nuclear notes and leave the grace notes, glissandi, and portamento for the performer's interpretation. The newly edited score from *Erhu Broadcasting Instructions*, even though adding some grace note and special glissando still does not and cannot notate all these nuances. As shown in the excerpts above, Min's interpretation includes extra glissandi in m.1 and m.3, an extra pause in m.3, and extra "out-of-a-note" grace-notes in m.4 and m.6. This tradition of decoration is stated by Stephen Jones as follows:

> Having established the nuclear notes of a melody, written in the score and embedded
> in the musician's head, it remains to give flesh and blood to the skeleton. Early in the
> learning process of chanting the score, musicians begin to add simple decoration to
> the basic framework. This obligatory practice is known by names such as jiahua
> ('adding decoration': cf. the standard translation 'adding flowers'), akou ('ah-

mouthing'), henghe ('humming and ha-ing'), or caiqiang ('embellishing the melody').[62]

Therefore, a piece may sound very different from one performer to another and from one geographical area to another. The performer's taste and the geographical style have a great deal of impact on the way the same piece is played. The erhu master, Hui-Fen Min's recording of *River of Sorrow* has been widely regarded as authoritative since 1970s; her interpretation of this piece can almost be viewed as the "standard" version. The composer therefore adopts ideas from her version of the ornaments and glissandi nuances as the model for his references to *River of Sorrow* in *Snow Spell*. In addition to Hui-Fen Min's personal glissando interpretation, the composer also adopts her special "out-of-a-note" grace-note figure in his piece. This special grace-note figure at the end of the main note with the interval of upward third is used not only as a decoration in *Snow Spell* but also as an emphasized motive appearing in m.1 (flute and piano), mm.3-4 (flute), m.17 (erhu), m.26 (cello), m.41 (flute), and etc. This kind of decoration can be traced back to the idiomatic playing from Chinese wind instrument. Since *River of Sorrow* is originally a piece for guanzi, such figuration is used by Hui-Fen Min to imitate the effect of guanzi. In my piece, it serves as a central motive. When it is used as a grace note (which is expected to be lighter and shorter), it serves both as the reiteration of this motive and as a coloristic effect intended to evoke Chinese resonances.

In addition to the use of references to Chinese folk music in the acoustic instrumental part, the melody of *River of Sorrow* is also segmented and loaded into the computer as samples to be triggered by the live erhu performance in the fourth section of the piece, *A Soliloquy in the Snow*. These pre-recorded melodic segments are transposed in real-time and are triggered in a random

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[62] Stephen Jones, *Folk Music of China - Living Instrumental Traditions* (New York: Oxford University Press Inc., 1995), 126-27.

order according to the pitch change in the live erhu performance. They are spaced apart by at least three seconds, and transposed to the same pitch level as the live erhu sound that triggers them. If the next sound file is triggered before the previous one ends, a crossfade effect is applied. Each triggered sound file is assigned a randomly chosen panning factor in order to create spatial variety. In this way, the traditional Chinese folk melody is obscured through its polytonal expression against a written-out allusion to the same folk music played live by erhu. The quickly changing segments of the pre-recorded sound serve to imply a quick flash of memory while the live erhu allusions to *River of Sorrow* serve to imply the current self, absorbing and internalizing the past to become a new self.

Through these extra-musical associations, the music goes beyond the immediate passage of time and unites the past and present moment into one. However, the use of cultural references in music requires the listeners' familiarity with the culture that is invoked. Some of the Chinese music elements mentioned above may only be effective for those who are familiar with Chinese musical culture. Even so, the way these materials are organized within the domain of the work still makes them coherent within the structure of the piece. The work is more emotionally resonant to a listener familiar with the references to Chinese tradition, but the flow of impressions and emotions in the work does not rely on such familiarity.

CHAPTER 6

CONCLUSION

Most interactive pieces are solo works. *Snow Spell*, a quartet with interactive computer

music system, thus presents an unusual challenge. There are few models and resources for such

a project. During the processes of design and programming, the composer attained much greater

control and sophistication in composing interactive music. This led to a unique personal model

for structuring an interactive piece in Max/MSP for multiple instruments. The experiments on

further control of DSP effects, and the attempt to optimize audio signal routing among multiple

signal processing modules, contributed to new possibilities for further interactive work.

In addition to the technical issues, there is a fundamental difference between purely

instrumental composition and interactive computer music composition: the latter requires a great

deal of time for experimenting with various DSP effects, their results with various instrumental

sounds, and their opportunities for algorithmic controls in order to find an ideal direction for the

music. While instrumentation and orchestration have been studied by traditional composers in

relatively systematic ways, there is no organized documentation of the ways instrument sounds

can be processed effectively and efficiently by real-time DSP effects to achieve particular results.

However, the variety of timbre and texture in electroacoustic music can be seemly unlimited and

the results can be fresh and surprising; it is a fertile field for further research, study, and

experimentation. Experiments in combining different DSP effects and controls for interactive

music are not unlike the study of instrumentation and orchestration for acoustic music composers.

Nevertheless, the former might be even more challenging because of the way they can mingle

musical, technical, and scientific tasks as the composer explores new audio technologies. This

challenge affects the opportunity for expression in such music: great care must be taken to go beyond the technological to the artistic domain.

As an electro-acoustic music composer focusing on interactive music, the author believes there will be endless challenges in the future in searching for new expressions through technology and tradition at the same time. These challenges have kept many contemporary composers away from interactive technology. Nevertheless, the opportunities for imagination, and the surprising results that can be obtained, justify the effort.

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PART II

COMPOSITION

- **Title:** *Snow Spell* (Quartet for Erhu, Flute, Cello, and Piano)

- **Duration:** ca. 18 min.

- **Finished Date:** March 15, 2007

- **Program Notes:**

This one-movement piece, *Snow Spell* for erhu, flute, cello and piano, is intended to depict the beauty of a snow scene mixed with eastern cultural association and related personal fantasy by presenting four different impressions of snow through music, *The First Snowflake*, *Footprints Vanishing in the Storm*, *A Soliloquy in the Snow*, and *The Past Melts from the Window Panes*. The four impressions of the snow scene will be presented in order in music through different textures, timbres, and temporalities. Transitions will obscure the demarcation between the four different sections. Pentatonic scales, traditional erhu glissandi, embellishment, and pizzicato techniques, and quotations from Chinese folk tune "River of Sorrow" are incorporated to evoke Chinese folklore as a context for the images of snow. The pitch structure focuses on the interval of a third, used both diatonically and chromatically in (0 1 4) or (0 1 4 5) pitch sets in order to combine the elements of eastern pentatonic materials, and western atonal techniques as a whole.

● **Performance Instructions:**

General:

1.

Repeat the pattern in the box until the end of the extender.

2.
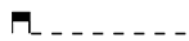
Let the bow bounce on the strings elastically, as in ricochet bowing.

3.

Highest possible pitch on a string of erhu or cello

Glissando for erhu and cello:

1.
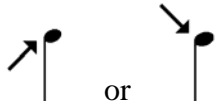
A short glissando into the main note at an approximate interval of a third with the initial attack intentionally obscured by softer dynamics

2.
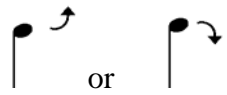
or   A short glissando out of the main note at an approximate interval of a third

3.
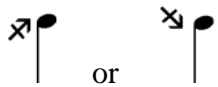
or   A short half-step glissando into the main note with the initial attack intentionally obscured by softer dynamics

4.

or   A short half-step glissando out of the main note

5.

A fast "glissando turn" from the notated pitch to a whole step downward and then back to its original pitch

6.

pressed vibrato

Glissando with pressed vibrato (vibrato produced by rapidly changing finger pressure on the string)

7.

tr

Trill glissando: Perform the trill and glissando simultaneously
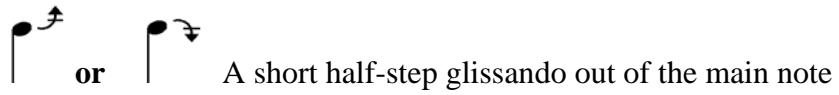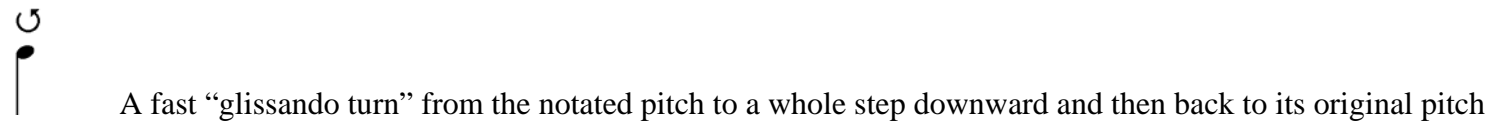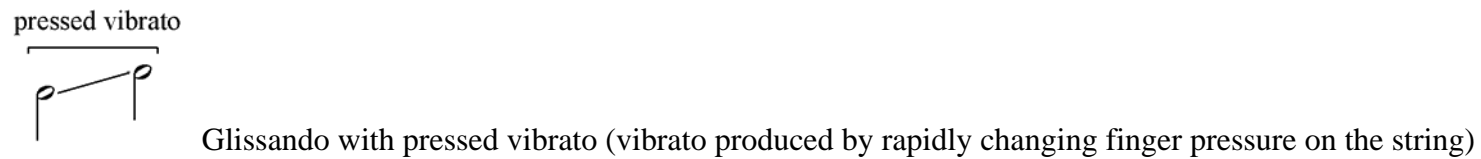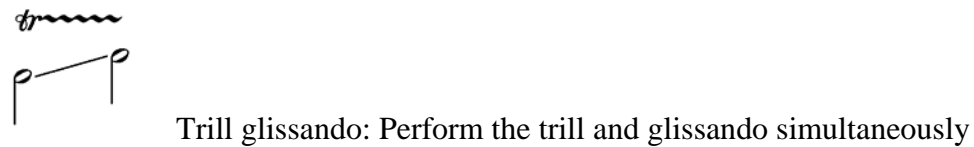
Pizzicato for erhu

Even though Erhu pizzicato is produced by two strings together, the pizzicato in the score only notates the pitch of the inner string.

1.

Left-hand pizzicato.

2.

Left-hand pizzicato roll achieved by making a backwards and forwards motion with the middle finger.

3.

Right-hand single-finger pizzicato roll achieved by plucking erhu strings back and forth with the index finger. For better result of this performing technique, the performer may let the hand pivot on the rim of the snake skin on erhu when plucking the strings.

4

Right-hand multiple-finger pizzicato roll achieved by plucking erhu strings with four fingers (except the thumb) alternatively

5.

Left-hand single-finger fingernail flick achieved by flicking the middle finger against the string.

6.

or
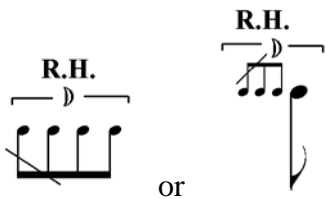
Right-hand four-finger fingernail flick achieved by flicking the little finger, ring finger, middle finger, index finger in order (with the thumb) against the string.

7.

or
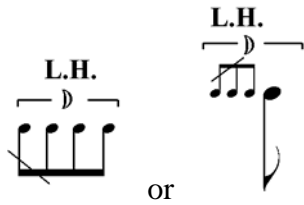
Left-hand four-finger fingernail flick achieved by flicking the little finger, ring finger, middle finger, index finger in order against the string.

8.

Stop the resonance of the previous pizzicato sound by touching the specified pitch point without plucking the string.

Snow Spell

The First Snowflake
初雪晶瑩落地融

83

Footprints Vanishing in the Storm
漫天飛雪掩孤墳
(from slow to fast)

91

Repeat 6 times.

a single bow with
left-hand pizzicato roll

pizz.

arco

pizz.

Repeat 10 times

21

116

A Soliloquy in the Snow　雪夜獨白

134