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1 **Antibody response to the hypervariable region-1 interferes with broadly neutralizing**
2 **antibodies to hepatitis C virus**

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13

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27 **ABSTRACT**

28 The hypervariable region-1 (HVR1) (amino acids (aa) 384-410) on the E2 glycoprotein of
29 hepatitis C virus contributes to persistent infection by evolving escape mutations that attenuate
30 binding of inhibitory antibodies and by blocking access of broadly neutralizing antibodies to
31 their epitopes. A third proposed mechanism of immune antagonism is that poorly neutralizing
32 antibodies binding to HVR1 interfere with binding of other superior neutralizing antibodies.
33 Epitope mapping of human monoclonal antibodies (HMABs) that bind to an adjacent,
34 conserved domain on E2 encompassing aa 412-423 revealed two subsets, designated as HC33
35 HMABs. While both subsets have contact residues within aa 412-423, alanine scanning
36 mutagenesis suggested that one subset, which includes HC33.8, has an additional contact
37 residue within HVR1. To test for interference of anti-HVR1 antibodies with binding of antibodies
38 to aa 412-423 and other E2 determinants recognized by broadly neutralizing HMABs, two
39 murine MABs against HVR1 (H77.16) and aa 412-423 (H77.39) were studied. As expected,
40 H77.39 inhibited the binding of all HC33 HMABs. Unexpectedly, H77.16 also inhibited the
41 binding of both subsets of HC33 HMABs. This inhibition also was observed against other broadly
42 neutralizing HMABs to epitopes outside of aa 412-423. Combination antibody neutralization
43 studies by the median-effect analysis method with H77.16 and broadly reactive HMABs
44 revealed antagonism between these antibodies. Structural studies demonstrated
45 conformational flexibility in this antigenic region, which supports the possibility of anti-HVR1
46 antibodies hindering the binding of broadly neutralizing MABs. These findings support the
47 hypothesis that anti-HVR1 antibodies can interfere with a protective humoral response against
48 HCV infection.

49 **Importance.** The HVR1 contributes to persistent infection by evolving mutations that
50 escape from neutralizing antibodies to HVR1 and by shielding broadly neutralizing antibodies
51 from their epitopes. This study provides insight into a new immune antagonism mechanism by
52 which the binding of antibodies to HVR1 blocks the binding and activity of broadly neutralizing
53 antibodies to HCV. Immunization strategies that avoid the induction of HVR1 antibodies should
54 increase the inhibitory activity of broadly neutralizing anti-HCV antibodies elicited by candidate
55 vaccines.

56

57

58 **INTRODUCTION**

59 Up to 170 million people worldwide are infected with hepatitis C virus (HCV) with significant
60 risk for liver failure and hepatocellular carcinoma. The World Health Organization estimates an
61 annual increase in the global burden by two million new infections (40) and in the United States
62 HCV is increasing in young adults from injection drug use (25). Multiple lines of evidence
63 suggest that CD4+ and CD8+ T cell responses are needed to control acute infection but
64 insufficient to prevent long-term persistence (2). Accumulating data indicate that neutralizing
65 antibodies are an important correlate of HCV clearance. In chimpanzee studies, an infectious
66 inoculum obtained during acute infection from a patient who eventually developed chronic
67 HCV hepatitis could be neutralized by *in vitro* incubation with plasma of the same subject
68 collected at 2 years after the initial infection (12). A neutralizing antibody response measured
69 against pseudotyped retroviral particles expressing HCV E1E2 glycoproteins (HCVpp) has been
70 associated with control of infection in single source outbreaks of acute HCV infections (35). In
71 addition, antibodies to HCV E2 prevent (28, 32) and clear established infection (8) in a human
72 liver-mouse chimeric model. In spite of the relationship between antibodies and protection
73 against HCV infection, 10^4 - 10^6 virions per milliliter of serum can be detected during chronic
74 infection even in the presence of high levels of neutralizing antibodies in serum.

75 One driver of persistent viremia is a high degree of viral variants or “quasispecies”. From a viral
76 replication rate of 10^{12} copies per day with an error prone NS5B RNA-dependent polymerase,
77 the estimated mutation rate is 2.0×10^{-3} base substitutions per genome per year (4). A major
78 determinant of antibody-mediated neutralization is the first 27 amino acids (aa 384-410), the
79 hypervariable region 1 (HVR1), located at the N-terminus of HCV E2 (41). This E2 segment is
80 highly immunogenic, and antibodies against HVR1 can be detected in the majority of HCV
81 infected individuals (51). However, antibodies to HVR1 over time select for viral variants that
82 escape the existing antibody response (47). The limited nature of the B cell response to this
83 region is shown in studies of HCV evolution from acute to chronic disease (10, 46). Sequential
84 autologous serum antibodies inefficiently neutralize emerging variants, in contrast to their
85 capacity to neutralize earlier quasispecies. Viral escape is associated with mutations within
86 HVR1. Other negative modulators of antibody-mediated neutralization include cell-to-cell
87 transmission, virion-associated lipoproteins, virus envelope protein-associated glycans, and the
88 HVR1 itself; the latter can mask epitopes or limit access of virus neutralizing antibodies to their
89 epitopes (14, 43, 44). Indeed, virions lacking HVR1 are less susceptible to neutralization by anti-
90 SR-B1 antibodies, but are more sensitive to antibody- and soluble CD81-mediated
91 neutralization (1, 37). It has been suggested that HVR1 partly shields CD81 binding sites and
92 conserved epitopes mediating virus neutralization (1).

93 The concept of interfering antibodies is controversial but remains a possible mechanism that
94 contributes to the development of persistent HCV infection in infected subjects (17, 42, 49, 50).
95 In this report, we performed more extensive epitope mapping of a panel of HMABs to a highly
96 conserved region on the E2 glycoprotein encompassing aa 412-423, designated as HC33-related
97 antibodies (17). Mapping by binding against a library of alanine substitution E2 mutants from aa
98 384 to aa 446 revealed two subsets of HC33 HMABs. Although both subsets have contact
99 residues within aa 412-423, one subset included a contact residue within HVR1. This raised the
100 possibility of anti-HVR1 antibodies interfere with the neutralizing activities of antibodies to aa
101 412-423. Two murine MABs were utilized to explore the relationship between anti-HVR1 and
102 antibodies against aa 412-423. Surprisingly, the anti-HVR1 antibody inhibited the binding and
103 neutralization of both sets of HC33 HMABs. This interference of binding and neutralization also
104 was observed against other broadly neutralizing HCV HMABs that have contact residues outside
105 of aa 412-423. Thus, immunization strategies that avoid the induction of HVR1 antibodies
106 should increase the inhibitory activity of broadly neutralizing anti-HCV antibodies.

107

108

109 MATERIALS AND METHODS

110 **Cells, viruses and antibodies.** HEK-293T cells were obtained from the ATCC. Huh7.5 cells
111 (generously provided by Dr. C. Rice, Rockefeller University) were grown in Dulbecco's modified
112 minimal essential medium (Invitrogen, Carlsbad, CA), supplemented with 10% fetal calf serum
113 (Sigma-Aldrich Co., St. Louis, MO) and 2 mM glutamine. The genotype 1a HJ3-5 recombinant
114 HCVcc was generously provided by Dr. Stanley Lemon (University of North Carolina at Chapel
115 Hill) (48). HMABs CBH-7, HC-1, HC-11, HC84.20, HC84.20, HC-84.26, HC33.1, HC33.4, HC33.8
116 and HC33.29 against HCV E2 glycoprotein were produced as described (13, 17, 22-24). Mouse
117 MABs H77.16 and H77.39 and their Fab fragments against HCV E2 glycoprotein were produced
118 as described (38).

119

120 **Binding to E2 glycoprotein.** A standard ELISA was used (24) to compare HMAb binding to wt
121 and Δ HVR1 HCV E2 glycoproteins. In some experiments, incubations were performed at
122 different temperature or in different sequence when paired with H77.16. Briefly, ELISA plates
123 were coated with *Galanthus nivalis* lectin (GNA) and blocked with 2.5% non-fat dry milk and
124 2.5% normal goat serum in PBS supplemented with 0.1% Tween 20. Lysates of cells expressing
125 HCV E2 glycoproteins were captured by GNA onto the microtiter plate, followed by incubation
126 with HMABs and then washing. Bound HMAb was detected by incubation with alkaline
127 phosphatase-conjugated goat-anti-human IgG (Promega; Madison, WI), followed by incubation
128 with *p*-nitrophenyl phosphate (Sigma) for color development. Absorbance was measured at

129 405/570 nm. The assay was carried out in triplicate in three independent assays for each
130 HMAb.

131

132 **Inhibition assay.** An ELISA measured the inhibition by mouse MAbs of HMAb binding to GNA-
133 captured E2 glycoproteins (20). Briefly, microtiter plates were coated with GNA and blocked
134 with 2.5% BSA and 2.5% normal goat serum in 0.1% Tween-PBS. Pre-titrated mouse MAb was
135 added to each well at a saturating concentration. After 1 h, HMAb was added at a
136 concentration corresponding to 65%-75% of the maximal OD level, incubated for 30 min at
137 either room temperature or at 40°C and then washed. Bound HMAb was detected as described
138 above. This assay was carried out in triplicate a minimum of two times for each HMAb.

139

140 **Epitope mapping.** Epitope mapping was performed using alanine substitution mutants of a
141 defined E2 region (aa 404-425) by ELISA. Alanine substitution mutants were constructed in
142 plasmids carrying the 1a H77C E1E2 coding sequence (GenBank accession nos. AF009606), as
143 previously described (21). All mutations were confirmed by DNA sequence analysis (Elim
144 Biopharmaceuticals, Inc. Hayward, CA) for the desired mutation and for exclusion of
145 unexpected residue changes in the full-length E1-E2 encoding sequence. The resulting plasmids
146 were transfected into HEK293T cells for transient protein expression using the calcium-
147 phosphate method, as suggested by the manufacturer (Clontech, Mountain View, CA).

148 **Antibody cooperativity for virus neutralization.** Synergistic, additive or antagonistic
149 cooperativity by two antibodies for virus neutralization was evaluated by the median-effect
150 analysis method, as described (5, 6) using the CompuSyn software (ComboSyn Inc, Paramus,
151 New Jersey). The approach takes into account the potency, the shape and the slope of the
152 dose-dependent neutralization curve of each antibody alone and in combination, at a constant
153 ratio, to calculate a CI. A CI value of 1 indicates additive effect, <1 indicates synergism and >1
154 indicates antagonism. For each antibody, dose-dependent neutralization was measured initially
155 to determine the concentration that resulted in 50% reduction (IC₅₀ value). The constant ratio
156 of the combined antibodies was set by the IC₅₀ values of the two antibodies. Neutralization of a
157 serial two-fold dilution of each antibody and in combination was then measured in a range of
158 concentrations above and below the IC₅₀ values. The measured neutralization values were
159 entered in the program as fractional effect (FA) in the range 0.01<FA<0.99 for each of the two
160 antibodies and in combination. The software determines the linear correlation coefficient, *r*, of
161 each curve to indicate the fit or conformity of the data with respect to the median-effect
162 method and calculates the CI values in relation to FA values. The 1a HCVcc and each HMAb or
163 combination of HMAbs were incubated for 1 h at 37°C and then plated onto Huh7.5 cell
164 monolayers (3.2 x 10⁴ cells/well) that were grown in 8-well chamber slides (Nalge Nunc,

165 Rochester, NY) for virus neutralization assay as described previously (17). These assays were
166 carried out in four replicates for each HMAb and combination of HMABs.

167 **Production and purification of recombinant Fab molecules HC33.4 and HC33.8.** Synthetic
168 genes that were codon optimized for *Drosophila melanogaster* encoding for heavy and light
169 chains of the Fab regions of each antibody were cloned into a *Drosophila* S2 Fab expression
170 vector containing a double Strep tag for efficient affinity purification. *Drosophila* S2 cells were
171 transfected with these plasmids as reported previously (15). For large-scale production, cells
172 were induced with 5 μ M CdCl₂ at a density of approximately 10⁷ cells/ml for 7 days, pelleted
173 and Fabs were purified by affinity chromatography from the supernatant using a Strep Tactin
174 Superflow column followed by size exclusion chromatography using a Superdex 200 column.
175 Purified monomeric Fab was concentrated to approximately 25 mg/ml.

176 **Complex formation, crystallization, data collection, structure determination and refinement.**
177 A synthetic peptide comprising residues 406-425 (PGAKQNIQLINTNGSWHINST) of the H77
178 strain was synthesized by GenScript (> 98% purity) and dissolved in water at 10 mg/ml. Fab-
179 peptide complexes were formed overnight at 277°K containing 12 mg/ml Fab + 2 mg/ml
180 peptide. HC33.4-peptide complex crystals were grown at 293°K using the hanging-drop vapor-
181 diffusion method in drops containing 1 μ l complex solution (14 mg/ml in 10 mM Tris pH 8.0,
182 150 mM NaCl) mixed with 1 μ l reservoir solution containing 100 mM sodium citrate pH 5.2, 300
183 mM ammonium sulfate, 100 mM potassium phosphate and 1 M lithium chloride. Diffraction
184 quality crystals of the HC33.8/peptide complex were grown at 293°K as described above using a
185 seed stock derived from HC33.4/peptide complex crystals and reservoir solution containing 32%
186 PEG 4000, 100 mM Tris-HCl pH 8.5 and 800 mM lithium chloride with 0.5 μ l of seed stock
187 solution. Rock-like crystals appeared after one week and were flash-frozen in mother liquor
188 with 20% glycerol. Spacegroups and cell dimensions refinement statistics are summarized in
189 Table 1. Data were collected at the Synchrotron Soleil (Proxima 1) for the HC33.4 complex
190 crystals and at the SLS (PX 1) for the HC33.8 complex crystals. Data were processed, scaled and
191 reduced using XDS (16) and programs from the CCP4 suite (7). The crystal structures of the Fab
192 complexes were determined by the molecular replacement method using Phaser (30). The
193 molecular replacement for Fab HC33.4 was performed using separate variable and constant
194 regions of a hypothetical Fab fragment assembled from the LC of PDB accession code 4JZO (89%
195 aa identity) and the HC of PDB accession code 3KDM (91% aa identity) as search model. The
196 molecular replacement for Fab HC33.8 was performed using Fab HC33.4 as search model.
197 Model building was performed using Coot (11) and refinement was done using AutoBuster (3).
198 Difference maps calculated after refinement of the Fab molecules revealed an unambiguous
199 side chain density for a tryptophan residue close to the complementarity determining regions
200 (CDRs), which allowed us to manually build a partial atomic model for the peptide comprising
201 aa 418-421 (HC33.4 complex) and aa 415-421 (HC33.8), respectively. The atomic coordinates

202 and structure factors have been deposited in the Protein Data Bank, www.pdb.org, under the
203 accession numbers 5FGB and 5FGC.

204 **Crystal structure analysis.** Peptides were aligned using the MatchMaker algorithm
205 implemented in Chimera (36) and an iterative alignment process pruning long atom pairs until
206 no pair exceeds 1 Å. Root mean square deviations were calculated using Chimera. Buried
207 solvent accessible surface areas for the interfaces as well as for individual residues within the
208 peptides were calculated using the PISA server (27). Interactions were determined using the
209 protein interactions calculator (PIC; (45)). Figures were prepared with PyMOL
210 (<http://www.pymol.org>).

211 **Statistical analysis.** Statistical tests were two-sided, and *P* values (calculated by Graphpad
212 software) below 5% are considered significant.

213

214

215 RESULTS

216 **HVR1 has different effects on blocking antibody access to epitopes on E2.** HVR1 attenuates
217 the activity of broadly neutralizing antibodies to HCV (1, 37). Its ability to decrease the potency
218 of virus neutralizing antibodies was established with representative HMABs to clusters of
219 overlapping epitopes, designated as antigenic domains B and C (1). To test whether HVR1 acts
220 by shielding access of these and other broadly neutralizing antibodies to their respective
221 epitopes, binding studies were performed to 1a H77C recombinant E2 protein, with and
222 without HVR1 (Δ HVR1) (Figs. 1A and 1B). Representative antigenic domain B antibodies (HC-1
223 and HC-11 (19)) bound to higher levels to Δ HVR1 E2 than wt E2 but with different degrees of
224 increase (86% and 14% , $P < 0.05$). Antigenic domain D antibodies (HC84.20, HC84.24 and
225 HC84.26 (24)) also bound more to Δ HVR1 E2 than to wt E2 (56-75%, $P < 0.05$). CBH-7, an
226 antigenic domain C antibody (13) also bound higher to Δ HVR1 E2 but with only a minimal
227 increase (6%, $P < 0.05$).

228 We next interrogated HMABs that recognize linear epitopes located in a highly conserved
229 region on E2, encompassing aa 412-423, designated as antigenic domain E (17, 18), and
230 observed two different patterns. HC33.1 behaved similarly to antigenic domain B and D
231 antibodies with greater binding to Δ HVR1 E2 than wt E2 (50%, $P < 0.001$) (Figs. 1A and 1B).
232 Unexpectedly, binding by three other E antibodies, HC33.4, HC33.8 and HC33.9, to Δ HVR1 E2
233 was reduced compared to wt E2 protein (65-78%, $P < 0.05$). The decrease in binding of these
234 MABs to Δ HVR1 E2 suggests that part of their epitopes may fall within the HVR1.

235 **Epitope mapping of antibodies to a highly conserved region on E2.** Prior mapping of HC33-
236 related HMAb epitopes was limited to aa 411-446 by alanine-scanning mutagenesis analysis of
237 H77C E2 (17). That analysis revealed loss-of-binding residues located at L413, G418 and W420.
238 We expanded on these results using alanine-scanning mutagenesis of aa 384-446 on E2, which
239 included the entire HVR1 region (aa 384 to 410) (Fig. 1C). The E2 mutants were expressed in
240 293T cells and binding by HC33.1, HC33.4, HC33.8 and HC33.29 to cell lysates was measured by
241 ELISA. Expression levels of the mutants were normalized by binding with CBH-17, a non-
242 neutralizing HMAb that recognizes a different linear epitope on HCV E2 (13). A test
243 concentration of 50% maximum binding of each antibody was selected for epitope mapping
244 studies. This was determined by dose-dependent binding of each HC33 HMAb to E2 that
245 showed the test concentration in the linear portion of the binding curve (data not shown). A
246 greater than 80% reduction in binding was observed again for all four HC33-related HMABs with
247 alanine substitutions at L413A, G418A and W420A (Fig. 1C), which suggests that they bind to
248 the same or to nearly identical epitopes. Three of these antibodies, HC33.4, HC33.8 and
249 HC33.29, also showed greater than 80% reduction in binding against a K408A mutant E2
250 protein. Taken together, these mapping studies revealed two subsets of HC33-related
251 antibodies, and explain why the deletion of HVR1 led to decreased binding by HC33.4, HC33.8
252 and HC33.29, and not HC33.1.

253 **Anti-HVR1 antibody blocks the binding of broadly neutralizing antibodies.** Epitope mapping of
254 HC33-related antibodies revealed two subsets. One set, HC33.1, has contact residues that are
255 restricted to aa 412-423. This is similar to other MAbs to this region, e.g. AP33, HCV1 and
256 H77.39 (33, 38). The other set, HC33.4, HC33.8 and HC33.29, includes a putative contact
257 residue within HVR1 that is located at aa 408. This raises the possibility that at least some anti-
258 HVR1 antibodies can interfere with the functions of antibodies to aa 412-423 because of their
259 spatial proximity. To test this hypothesis, blocking studies were performed with two murine
260 MAbs, H77.39 and H77.16 (38). H77.39 binds to an epitope centered at aa 412-423 whereas
261 H77.16 recognizes an epitope within HVR1 (38). Epitope mapping by alanine scanning
262 mutagenesis of H77C E2 from aa 384-446 confirmed that H77.39 recognizes residues (N415,
263 G418 and W420) restricted to aa 412-423, whereas H77.16 binds to HVR1 residues (G406, K408
264 and N410) (Fig. 1C). Each of these murine MAbs was tested for blocking of binding by
265 representative antigenic domain B-E HMABs (Fig. 2). H77C E2 was first incubated with either
266 H77.39 or H77.16 prior to adding the test HMAb. As expected, H77.39 inhibited the binding to
267 E2 of both subgroups of HC33 HMABs (67-75%, $P < 0.05$, (Fig. 2A). Inhibition also was observed
268 against representative neutralizing HMABs to two other epitope clusters. HC-1 and HC-11
269 (antigenic domain B) were inhibited by H77.39 between 73-74% ($P < 0.05$) and HC84.24 and
270 HC84.26 (antigenic domain D) were inhibited between 64-69% ($P < 0.05$). Against a neutralizing
271 antibody (CBH-7) to a third domain C cluster, essentially no inhibition was observed ($P > 0.05$).
272 The inhibition of domain D HMAb binding by an antibody to aa 412-423 has been observed

273 previously and is unidirectional, which is consistent with proximity but not overlapping nature
274 of their respective epitopes (17).

275 Unexpectedly, H77.16, a murine MAb that binds primarily to 1a H77C HVR1 (38) also blocked
276 both subsets of HC33-related HMABs by 75-89% ($P<0.05$) (Fig. 2B). Although we anticipated
277 inhibition by H77.16 against HC33.4, HC33.8 and HC33.9 because of the effect of the K408A
278 mutation on their binding, we did not expect a loss of HC33.1 binding (Fig. 1C). H77.16 blocked
279 antigenic domain B antibodies HC-1 and HC-11 by 51% to 53% ($P<0.05$) and antigenic domain D
280 antibodies HC84.24 and HC84.26 by 39% to 44% ($P<0.05$). In contrast, binding by an antigenic
281 domain C antibody, CBH-7 was not affected by H77.16 ($P>0.05$). Contact residues within HVR1
282 have not been identified for antibodies to antigenic domains B, C and D in previous studies (13,
283 19, 24). Thus, the global blocking effect of H77.16 on the binding of these broadly neutralizing
284 antibodies suggests that anti-HVR1 antibodies may interfere with the neutralizing antibodies of
285 antigenic domain B, D and E.

286 **Anti-HVR1 interferes with broadly neutralizing antibodies.** We next examined the
287 neutralization of 1a H77 HCVcc by representative antigenic domains B-E HMABs in the presence
288 or absence of “interfering” HMABs. We assessed whether combinations of antibodies were
289 antagonistic, additive or synergistic using the median-effect analysis method, as described in
290 Materials and Methods (5). A constant ratio between the HVR1 antibody H77.16, and HC33.1 or
291 HC33.4 (domain E), HC-11 (domain B), HC84.26 (domain E) or CBH-7 (domain C) was set by their
292 respective IC_{50} concentrations against the 1a H77 (HJ3-5) HCVcc (48). The IC_{50} concentrations
293 for HC-11 (1.2 $\mu\text{g/ml}$) and HC84.26 (0.080 $\mu\text{g/ml}$) were previously established (19, 24). Dose-
294 dependent neutralization was performed for H77.16, HC33.1, HC33.4 and CBH-7 that
295 determined their respective IC_{50} values of 0.5, 3.24, 0.11 and 1.8 $\mu\text{g/ml}$ (data not shown). Dose-
296 dependent neutralization was tested for each antibody and in combination in a range of two-
297 fold dilutions in concentration from $8(IC_{50})$ to $1/16(IC_{50})$. A representative set of analyses to
298 determine cooperativity in virus neutralization is shown in Figures 3A-C for H77.16 and HC33.4.
299 Dose-dependent neutralization for H77.16, HC33.4, and H77.16 + HC33.4 in combination from a
300 dose of $8(IC_{50})$ to $1/32(IC_{50})$ was determined (Fig. 3A); fractional effect (FA) values were plotted
301 in relation to dose (Fig. 3B); and combination index (CI) values were calculated and plotted in
302 relation to fractional effect, FA (Fig. 3C). These studies were performed initially for H77.16 in
303 combination with HC33.1, HC33.4, HC-11, HC84.26 or CBH-7. For each set of analyses, the linear
304 correlation coefficient r was greater than 0.95 indicating a high goodness of fit to the plots
305 (data not shown). The CI values of the paired studies at FA values of 50%, 75% and 90%
306 effective dose (ED_{50} , ED_{75} and ED_{90}) were tabulated (Fig 3D). Aside from CBH-7, the CI values for
307 the remaining antibodies, HC33.1, HC33.4, HC-11 or HC84.26, in combination with H77.16 at FA
308 of ED_{50} (range: 1.44-1.84), ED_{75} (range: 1.31-2.76) and ED_{90} (range: 1.18-2.32) were all above 1,
309 which indicates antagonism. Moderate (CI ranging between 1.2-1.45) antagonism was observed

310 between H77.16 and HC33.1 or HC84.26 (Fig. 4A). Stronger antagonism (ranging between 1.46-
311 3.0) was observed between H77.16 and HC33.4 or HC-11. These findings are consistent with the
312 ability of H77.16 to interfere with the binding of representative antigenic domain B (HC-11), D
313 (HC84.26) and E (HC33.1 and HC33.4) HMABs. Additive cooperativity with CI values near 1.0
314 (defined as 0.9-1.1) was observed between H77.16 and CBH-7, which is consistent with the
315 minimal competition between these two antibodies (Figs.2B and 4A).

316 To assess whether the mass of full-length IgG binding to HVR1 decreases access of broadly
317 neutralizing antibodies to their epitopes on E2 by steric hindrance, combination antibody
318 studies were repeated with H77.16 Fab₂ fragments (Fig. 3D). As documented for each pair, the
319 CI values at ED₅₀, ED₇₅, and ED₉₀ were substantially lower for H77.16 Fab₂ fragments than for
320 intact H77.16 IgG in combination with HC33.1, HC33.4, HC-11 or HC84.26. The mean CI values
321 for combination studies with H77.16 Fab₂ ranged between 0.93-1.07 for H77.16 Fab₂ molecules,
322 which are within the additive range (Fig. 4B). The CI values for H77.16 Fab₂ in combination with
323 CBH-7 remained within the additive range (Fig. 3D and Fig. 4B). Because both H77.16 and
324 HC33.4 have at least one critical residue within HVR1 by alanine substitution studies (Fig. 1C)
325 and H77.16 Fab₂ interferes less than full-length H77.16 against HC33.4 neutralization, inhibition
326 of binding studies were performed. The findings showed that full-length H77.16 inhibited
327 HC33.4 binding to E2 more than H77.16 Fab₂ ($P < 0.05$) (Fig. 4C). Thus, the mass of an intact IgG
328 binding to an epitope in HVR1 decreases the access of broadly neutralizing antibodies to E2 to
329 their respective epitopes.

330 **Temperature and time of addition alters anti-HVR1 interference of binding by broadly**
331 **neutralizing antibodies.** Previous studies with HCV (39) and other flaviviruses (9) demonstrated
332 that higher incubation temperatures lead to greater antibody binding to cryptic epitopes
333 because of enhanced viral protein motion. We hypothesized that at higher temperatures
334 binding by broadly neutralizing antibodies would be increased due to greater exposure of their
335 epitopes and decreased steric hindrance by anti-HVR1 MAbs. Two sets of H77C E2 were first
336 exposed to H77.16 (20 µg/ml) at room temperature (RT). One (test) set was incubated at 40°C
337 incubator prior to adding antigenic domain E, B and D HMABs; the other (control) set remained
338 at RT prior to adding the HMABs. After 30 minutes at either RT or 40°C, detection of test HMAB
339 binding was determined by ELISA. Indeed, greater binding by each antigenic domain E, B and D
340 HMAB was observed at 40°C than at RT, and this was associated with significantly less inhibition
341 by H77.16 at 40°C than at RT ($P < 0.05$, Fig. 5A). Furthermore, we tested whether pre-incubation
342 with either broadly neutralizing antibodies or H77.16 affected the ability of H77.16 to interfere
343 with the binding of E, B and D HMABs. When E2 is first incubated with each E, B or D HMAB and
344 followed by H77.16, virtually no inhibition of binding was observed by H77.16, as expected (Fig.
345 5B). When E2 is first incubated by H77.16 and followed by each E, B or D HMAB, the
346 magnitudes of inhibition by H77.16 against the domain E, B or E HMABs were similar to the

347 observed inhibition, as shown in Figure 5A at RT. The control antibody, CBH-7, binding to E2
348 was not affected by H77.16 under either test conditions (Fig. 5B). These observations support
349 the hypothesis of steric hindrance of binding of broadly neutralizing MAbs by anti-HVR1 MAbs.

350 **Structure determination of Fab/peptide complexes.** Because the HC33.4, HC33.8 and HC33.29
351 epitopes appear to overlap between HVR1 and aa 412-423, structural analysis was performed.
352 We expressed the Fab fragments derived from HC33.4 and HC33.8 and performed co-
353 crystallization trials of complexes containing the Fab and a peptide comprising residues 406-425
354 (PGAKQNIQLINTNGSWHINST) of the genotype 1a strain H77. This peptide was chosen to include
355 all putative contacts revealed by the alanine scanning mutagenesis, i.e., residues K408, L413,
356 G418 and W420. We obtained crystals diffracting to 1.65Å resolution (Fab HC33.4) and 1.9Å
357 resolution (Fab HC33.8), respectively (Table 1). The structures of both complexes were
358 determined by the molecular replacement method (see Materials and Methods for more
359 details). Since comparison of peptides from both complexes revealed an identical amino acid
360 backbone conformation and the peptide in the HC33.8 complex structure is more complete, we
361 concentrated our further analysis on this complex.

362 **Molecular determinants of Fab HC33.8 interaction with its peptide epitope.** The conformation
363 of the peptide in complex with Fab HC33.8 resembles the recently described conformation of a
364 similar peptide in complex with Fab HC33.1 (29). The interaction between the peptide and Fab
365 HC33.8 is dominated by the side chain of W420 that protrudes and is deeply immersed into a
366 cavity formed by the long complementarity determining region 3 loop of the heavy chain (CDR-
367 H3), the framework residues around CDR-H2 and the CDR-L3 loop (Figs. 6B and C). This pattern
368 agrees with the results of the alanine scanning mutagenesis with W420 being a primary
369 determinant of antibody binding. The second residue that is suggested by the alanine scanning
370 mutagenesis as crucial for an antibody/E2 interaction is G418. This glycine residue makes three
371 hydrogen bonds involving main-chain atoms, however, this cannot explain the amino acid
372 specificity suggested by alanine scanning mutagenesis. It is more likely that the extensive
373 flexibility of the glycine residue is required to facilitate the protrusion of the W420 side chain
374 into the described cavity in the paratope. In contrast to the HC33.1 complex, in which electron
375 density was observed for residues 412-423, peptide residues 412-414 of the HC33.8 complex
376 are likely to be disordered and no evidence for further direct interactions between antibody
377 and peptide were observed.

378 The peptide in the HC33.8 complex is exposed to the solvent and particularly N415 (i.e., the
379 region directly downstream of the disordered part of the peptide) is located in a solvent
380 channel (Fig. 6A), suggesting that crystal packing does not prevent a direct interaction between
381 K408 and the antibody. However, the N-terminal peptide parts of the HC33.1 and HC33.8
382 complexes likely differ due to a short stretch at the end of β -strand *F* in the heavy chain variable

383 region of HC33.8 (¹⁰¹VFTDS¹⁰⁵ vs. ¹⁰¹VSSDI¹⁰⁵ in HC33.1). The distances between superposed Cα-
384 atoms from the corresponding segments of HC33.1 and HC33.8 amount to 0.2Å and 0.6Å at
385 residues 101 and 105, respectively, and to 4.3Å in the middle, with the HC33.8 segment bulging
386 out. Fab HC33.1 tightly interacts with I414 in the N-terminal part of the peptide via two main
387 chain hydrogen bonds from S^{H103}, presumably stabilizing the peptide conformation in the
388 HC33.1 complex. The bulge observed in the HC33.8 complex prohibits this interaction,
389 suggesting major differences in the N-terminal part of the interface. The complex structure can
390 therefore neither confirm nor rule out a direct interaction between antibody and the N-
391 terminal part of the peptide.

392 **Conformation of the E2 aa415-423 peptide in complex with Fab HC33.8.** The peptide in the
393 HC33.8 complex adopts an extended conformation similar to the conformation observed in
394 complex with the related HC33.1 Fab (29). However, this binding mode contrasts with the β-
395 hairpin formed in complex with three independent neutralizing antibodies (26, 34) or with the
396 extended conformation observed in complex with MAb 3/11 (31) (Fig. 7). All three backbone
397 conformations have been observed in complex with broadly neutralizing antibodies underlining
398 the structural flexibility at the surface of infectious virus particles. Our data suggest that
399 different conformations of the antigenic region aa 412-423 are in equilibrium and that
400 independent antibodies recognize this site following the principle of induced fit or
401 conformational selection. The demonstrated conformational flexibility in this antigenic region
402 supports the hypothesis of a temperature-dependent steric hindrance of binding of broadly
403 neutralizing MAbs by anti-HVR1 MAbs. An intact IgG that dangles upstream of the flexible
404 antigenic domain E, (i.e., one that binds the C-terminus of HVR1), sterically blocks binding of
405 broadly neutralizing antibodies to adjacent epitopes.

406

407 DISCUSSION

408 The functional and biophysical properties of HVR1 on the E2 glycoprotein contribute to the
409 ability of HCV to escape from immune recognition, which ultimately leads to persistent
410 infection. The region is immunodominant and serves as a major decoy that diverts the B cell
411 response from other more conserved regions on E2. Antibodies elicited to HVR1 are
412 neutralizing but they are associated with rapid viral escape without compromising viral fitness.
413 Prior studies have proposed that HVR1 structurally shields access by neutralizing antibodies to
414 their respective epitopes (1). Our finding that broadly neutralizing HMAbs to overlapping
415 conformational epitopes in E2 bind greater to ΔHVR1 E2 than wt E2 is consistent with this
416 hypothesis.

417 The different binding patterns of a panel of HMABs to mainly linear epitopes that are located
418 adjacent to HVR1, encompassing aa 412-423 and designated as antigenic domain E, led to a
419 series of studies that identified a new mechanism for HVR1 attenuation of antibody-mediated
420 virus neutralization. We showed that when an anti-HVR1 antibody occupies its site on HVR1,
421 access of antigenic domain E antibodies (e.g., HC33.1 and HC33.4) is compromised. Diminished
422 access also was observed against antibodies to antigenic domains B (HC-1 and HC-11) and D
423 (HC84.20, HC84.24 and HC84.26). The major binding regions for domains B and D have been
424 mapped to aa 529-540 and aa 440-446, respectively (19, 24). The effect of anti-HVR1 on
425 neutralization by antibodies recognizing antigenic domains B, D, and E antibodies was apparent
426 in the antagonism studies by the median-effect analysis method. When the mass of the anti-
427 HVR1 antibody was reduced as Fab₂ fragments, the observed antagonism anti-HVR1 was
428 diminished. Furthermore, increased binding by each domains B, D and E HMAb was observed at
429 40°C than at RT, which is consistent with increased exposure of their cognate epitopes due to
430 enhanced viral or in this case, protein 'breathing' at higher temperatures (9, 39). This led to
431 decreased interference by anti-HVR1 antibodies. The drop in antagonism with H77.16 Fab₂
432 against both HC33.1 and HC33.4 appears similar, although the HC33.4 epitope includes a critical
433 residue within HVR1 by alanine substitution studies. A possible explanation is that structural
434 studies with both antibodies are nearly identical, which means that HC33.4 does not include a
435 true contact residue at aa 408 (29). Taken together, these findings suggest that anti-HVR1 Abs
436 interfere with the binding of broadly neutralizing antibodies by steric hindrance. Finally, the
437 interference by H77.16 against domain E antibodies (HC33-related HMABs) appears greater
438 than H77.39, although H77.39 binds to the same region as antigenic domain E antibodies (Fig.
439 2). The difference is probably due to the different mechanisms of interference. H77.39 directly
440 competes for the same binding sites as the HC33-related antibodies that can be affected by the
441 relative binding affinities of the two antibodies. In contrast, H77.16 binds to an adjacent site in
442 which the bulk of the antibody molecule is preventing the binding of HC33-related antibodies to
443 their respective epitopes. The extent of blockade by steric hindrance is less dependent on
444 differences in the affinities of the competing antibodies that could be more effective with the
445 tested antibodies. The concept of antibody-mediated interference of HCV is not new. It has
446 been proposed that epitopes within the E2 segment encompassing aa 434-446 elicit non-
447 neutralizing antibodies, and that these antibodies interfere with neutralizing antibodies
448 directed at an adjacent E2 segment (aa 412-426) (49, 50). However, other studies employing
449 similar approaches of isolating polyclonal antibodies to synthetic peptides encompassing aa
450 412-426 and aa 434-446 showed no interference in virus neutralization (42). The lack of
451 interference by antibodies to aa 434-446 was assessed by combination studies of HMABs that
452 bind to aa 412-426 or aa 434-446 (17, 24). The median-effect analysis method was applied and
453 determined that the effect was additive and not antagonistic (17).

454 We performed structural studies to further understand how the binding of anti-HVR1 MAbs
455 interferes with broadly neutralizing antibodies. The antigenic region aa 412-423 is positioned
456 downstream of the HVR1, which is a structurally flexible region at the N-terminus of E2. HVR1
457 was reported to interfere with binding of neutralizing antibodies by shielding conserved
458 epitopes (1, 37). The different structures observed for peptides comprising aa 412-423 bound
459 to multiple neutralizing antibodies suggests that this region also has considerable structural
460 flexibility in the HCV particle. These observations indicate that there is a long, highly flexible
461 region at the N-terminus of E2, which extends beyond HVR1 and includes conserved residues
462 strongly implicated in CD81 binding. Since the majority of neutralizing epitopes within E2 is
463 located in close proximity to this flexible region, MAbs targeting epitopes within this region
464 likely can interfere- even in the absence of direct competition for binding residues- by steric
465 hindrance, with binding of neutralizing antibodies to other antigenic domains within E2.

466 Overall, our findings indicate that in addition to a direct shielding role of HVR1, an indirect
467 shielding role is plausible and could be exerted by antibodies binding to the extended
468 structurally flexible region at the N-terminus of E2 (including aa 412-423). Thus, immunization
469 strategies that avoid the generation of HVR antibodies may be needed to enable broadly
470 neutralizing to function optimally and prevent new or control established infections.

471

472

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476

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624

625 **FIGURE LEGENDS**

626 **Figure 1: Binding properties of broadly neutralizing HMABs. (A)** Antibody binding to wt or
627 Δ HVR1 recombinant E2 by ELISA. Recombinant H77C E2 cell lysate was captured by pre-coated
628 GNA wells. Bound proteins were incubated with each test HMAb at 1 μ g/ml (*x*-axis) and bound
629 antibody was detected by anti-human antibody. The *y*-axis shows the mean optical density
630 values for triplicate wells, the mean of three experiments \pm SD. **(B)** Tabulation of difference in
631 binding between Δ HVR1 and wt E2 with calculated *P* values. **(C)** Epitope mapping of HMAb
632 (designated as HC33) and murine MAb (H77.39) to a highly conserved E2 region, aa 412-423,
633 and a murine MAb to HVR1 (H77.16). E2 mutant proteins were expressed in 293T cells and cell
634 lysates were analyzed by ELISA. HC33.1, -.8 and -.29 were tested at 0.1 μ g/ml and HC33.4 at
635 0.05 μ g/ml. The murine MAbs, H77.16 and H77.39 were tested at 0.1 μ g/ml. Individual protein
636 expression was normalized by binding of CBH-17, an HCV E2 HMAb to a linear epitope (13). The
637 E2 region encompassing aa 384-446 was analyzed. Red indicates 0-20%, orange 21-40%, brown
638 41-60%, white 61-100% and green >100% binding when the residue was replaced by alanine (or
639 glycine at aa 407), relative to binding to wt. Data are shown as mean values of two independent
640 experiments performed in triplicate.

641 **Figure 2: Inhibition of binding by an antibody to HVR1 against broadly neutralizing HMABs. (A**
642 **and B)** Competition studies with two murine MAbs against antigenic domain B-E HMABs.
643 Lysates of 293T cells expressing recombinant H77C E2 were used. Unlabeled murine MAb
644 H77.39 **(A)** or H77.16 **(B)** at 20 μ g/ml was first incubated with E2 that had been immobilized on
645 an ELISA plate (24). Test HMAb at 1 μ g/ml was then added and bound HMAb was measured as
646 described (24). The percent inhibition was based on test HMAb binding in the absence of the
647 murine MAb. Data are shown as mean percent inhibition of two experiments performed in
648 triplicate.

649 **Figure 3: Effects of combined MAbs to HVR1 and representative antigenic domain B-E in**
650 **neutralizing 1a H77 HCVcc. (A)** Dose-dependent neutralization of H77.16, HC33.4 and
651 combined H77.16 plus HC33.4 at a constant ratio. Antibody concentrations were two-fold
652 dilution from 8 times their respective (IC_{50}) values to 1/32 of their respective (IC_{50}) values. The
653 constant ratio of the combined antibodies was their IC_{50} values. On the *x*-axis, a dose of 1 is at
654 the IC_{50} concentration. Each assay was performed in four replicates and data are shown as
655 percent neutralization, the mean \pm SD. **(B)** The fractional effect (FA) plots generated by the
656 CompuSyn program for H77.16, HC33.4 and their combination showing dosage vs. effect. **(C)**
657 Median effect plot of calculated combination index (CI) values (logarithmic) vs. FA values, in
658 which $\log CI < 0$ is synergism and > 0 is antagonism. **(D)** Table of CI values for combinations of
659 each H77.16 or H77.16 Fab₂ with HC33.1, HC33.4, HC-11, HC84.26 or CBH-7 at FA values of

660 ED₅₀, ED₇₅ and ED₉₀. Data are shown as mean percent inhibition of two experiments performed
661 in triplicate.

662 **Figure 4: Cooperativity in functional effects of combined antibodies to HVR1 and HMABs**
663 **antigenic domains.** Average CI values at FA of 0.5, 0.75 and 0.9 ± SD for virus neutralization
664 with intact IgG **(A)** or Fab₂ fragments **(B)** of H77.16 combined with HC33.1, HC33.4, HC-11,
665 HC84.26 and CBH-7. Dotted lines indicate the range for antagonistic, additive or synergistic
666 effects by their respective CI values. **(C)** Unlabeled full-length and Fab₂ MAb H77.16 at 20 µg/ml
667 were first incubated with E2 that had been immobilized on an ELISA plate (24). Test HC33.4
668 HMAb at 1 µg/ml was then added and bound HMAb was measured as described (24). The
669 percent inhibition was based on test HMAb binding in the absence of the murine MAb. Data are
670 shown as mean percent inhibition of two experiments performed in triplicate.

671

672 **Figure 5: Inhibition of broadly neutralizing antibody binding is altered by changes in**
673 **temperature of incubation and by timing of exposure.** Cell lysates of 293T cell expressing
674 recombinant H77C E2 were captured by pre-coated GNA wells in duplicate sets. **(A)** Unlabeled
675 murine MAb H77.16 at 20 µg/ml was added to both sets of ELISA plates for 30 minutes at RT
676 (24). One set was washed with buffer at RT and the other (test) set washed with buffer at 40°C.
677 The test set was placed in a 40°C incubator for 10 minutes and the control set remained at RT.
678 Each antigenic domain E (H33.1 and H33.4), B (HC-1 and HC-11) or D (HC84.24 and HC84.26)
679 HMAb at 1 µg/ml was then added, and after 30 min at either RT or 40°C, bound HMAb was
680 measured at RT as described (24). The percent inhibition in either RT or 40°C was based on each
681 HMAb binding in the absence of the murine MAb. **(B)** H77.16 (labeled as H77.16 1st) or E, B or D
682 HMAb (labeled as H77.16 2nd) was added to E2 for 30 minutes at RT. After washing, each E, B or
683 D HMAb was added to E2 pre-incubated with H77.16 or H77.16 was added to E2 pre-incubated
684 with E, B or D HMABs for an additional 30 minutes. Control antibody was CBH-7, a domain C
685 HMAb. Detection and calculation of percent inhibition were the same as **(A)**. Data are shown as
686 mean percent inhibition of two experiments performed in triplicate.

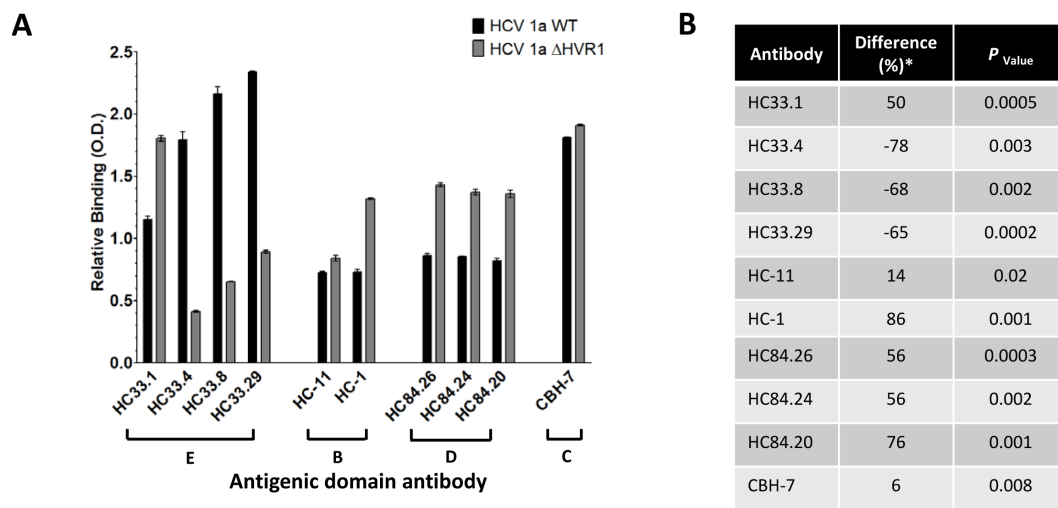
687 **Figure 6: Crystal structure of Fab HC33.8-peptide complex.** The crystal structure of the Fab
688 HC33.8-peptide complex was determined to 1.9 Å resolution. **(A)** View on the crystalline
689 environment to determine crystal packing effects. The Fab is colored in light (light chain) and
690 dark grey (heavy chain) and shown as molecular surface. The peptide (shown as a cartoon and
691 ramp-colored from blue to red through yellow from N- to C- terminus) interacts mainly with the
692 heavy chain and its N-terminus is exposed in a solvent channel (arrows). **(B and C)** View on the
693 paratope of the Fab HC33.8-peptide complex from two angles illustrating the protruding side
694 chain of W420 in a cavity formed by CDR 3 of the heavy chain 1, 2 and 3 (CDR-H3), CDR-L3 and
695 framework residues surrounding the CDR-H2 loop. The Fab is colored as in A, CDRs 1, 2 and 3

696 are colored in cyan, light cyan and dark green for the heavy chain and in sand, olive and yellow
697 for the light chain, respectively. The peptide is shown as a cartoon and colored by atom-type
698 (orange, red and blue for carbon, oxygen and nitrogen, respectively) and binds to the paratope
699 mostly between the long CDR-H3 loop (green) and the other heavy chain CDRs. A red ellipse
700 highlights a short stretch at the end of β -strand *F* in the heavy chain variable region that bulges
701 out in HC33.8, while it tightly interacts with the peptide in the HC33.1 complex (29). **(D)** View
702 on the peptide (shown as molecular surface and colored as in B) rotated by 120° around the
703 indicated axis with respect to panel C to illustrate how the W420 side chain protrudes from the
704 bulge in the center of the peptide.

705 **Figure 7: Conformations of the antigenic region aa 412-423.** Comparison of peptide
706 conformations observed for aa 412-423. The peptides in complex with Fabs HC33.8 (A), 3/11 (B;
707 PDB 4WHT) and HCV-1 (C; PDB 4DGV; (26) as an example for the β -hairpin conformation) are
708 shown as cartoons with the side chains shown as sticks and colored by atom-type (red and blue
709 for oxygen and nitrogen, respectively, and carbon atoms colored orange (HC33.8), grey (3/11)
710 or green (HCV1)) to illustrate the differences in the backbone conformation observed for the
711 three structures.

712

Figure 1



*Relative change in binding between ΔHVR1 and wt E2 glycoproteins.

C

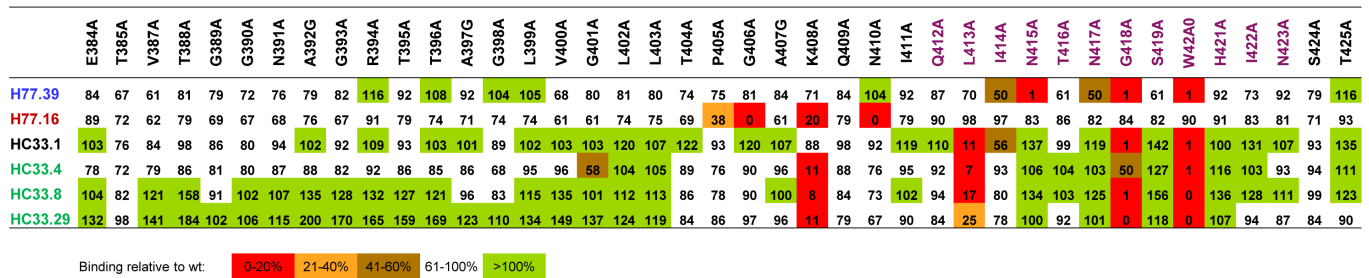
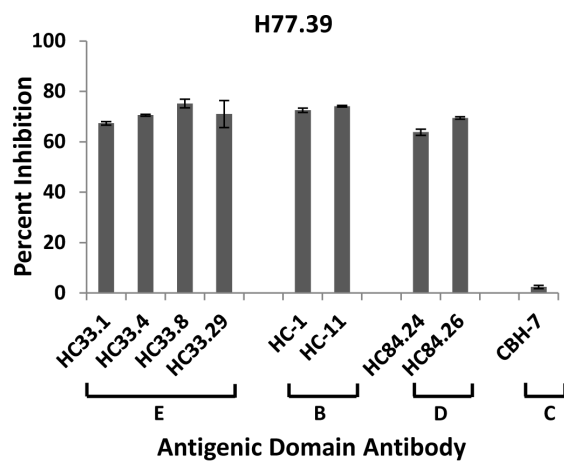
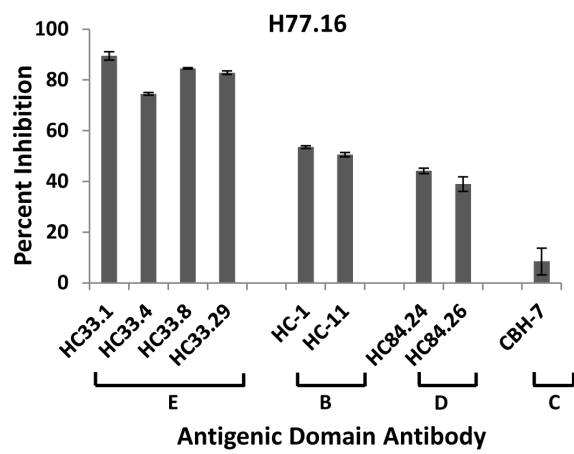


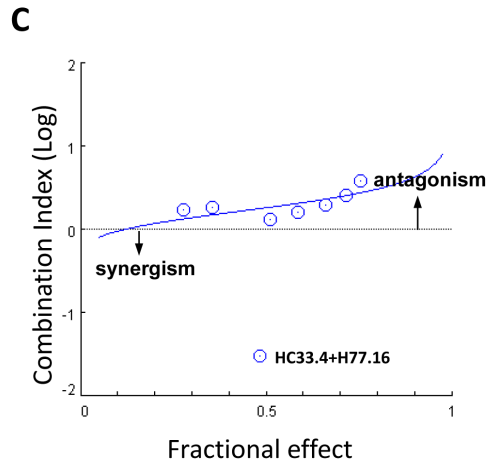
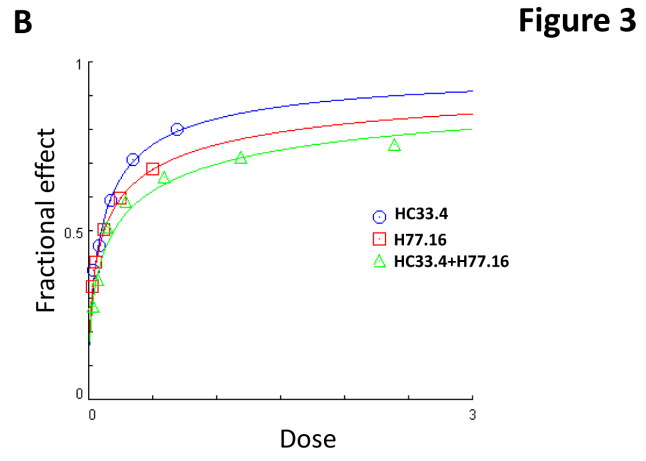
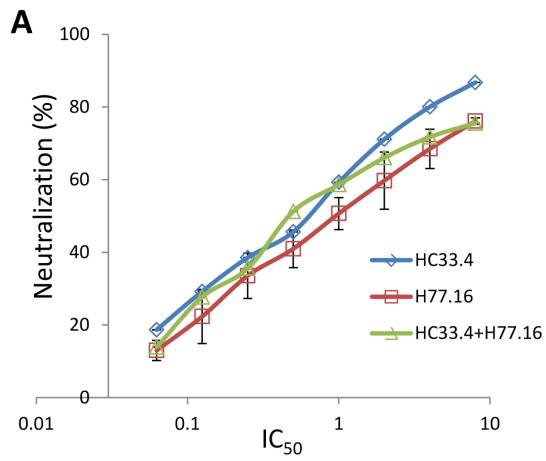
Figure 2

A



B



**D**

Combination Index for Virus Neutralization

Antibody Combination	CI Values			
	ED ₅₀	ED ₇₅	ED ₉₀	Mean
HC33.1+H77.16	1.56	1.34	1.24	1.38
HC33.1+H77.16 Fab2	1.11	1.02	0.95	1.03
HC33.4+H77.16	1.84	2.76	2.32	2.31
HC33.4+H77.16 Fab2	0.97	1.07	1.18	1.07
HC-11+H77.16	1.44	1.48	1.59	1.50
HC-11+H77.16 Fab2	1.04	1.01	0.93	0.99
HC84.26+H77.16	1.51	1.31	1.18	1.33
HC84.26+H77.16 Fab2	0.95	0.93	0.90	0.93
CBH-7+H77.16	1.01	1.01	1.01	1.01
CBH-7+H77.16 Fab2	1.06	1.05	1.05	1.05

Figure 4

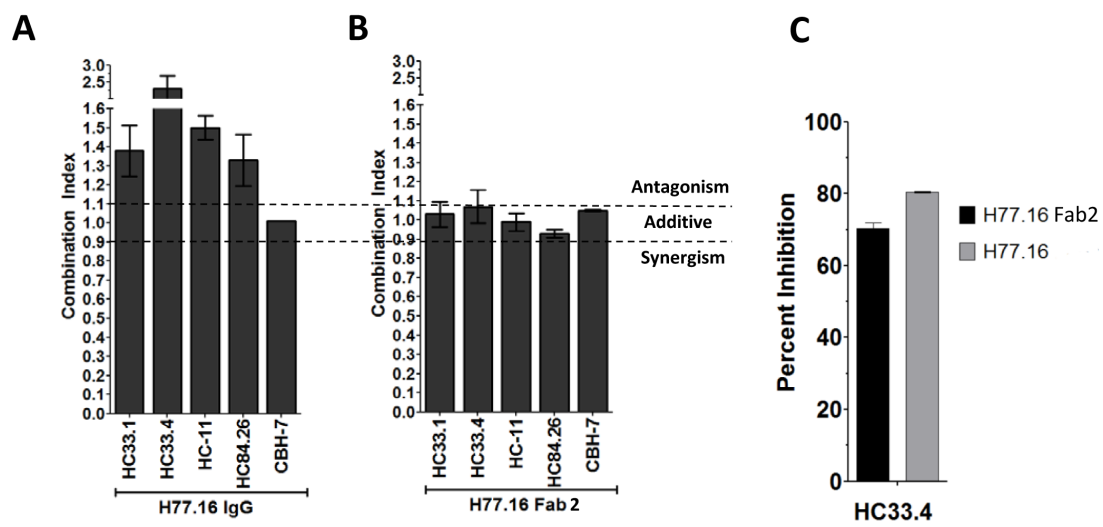


Figure 5

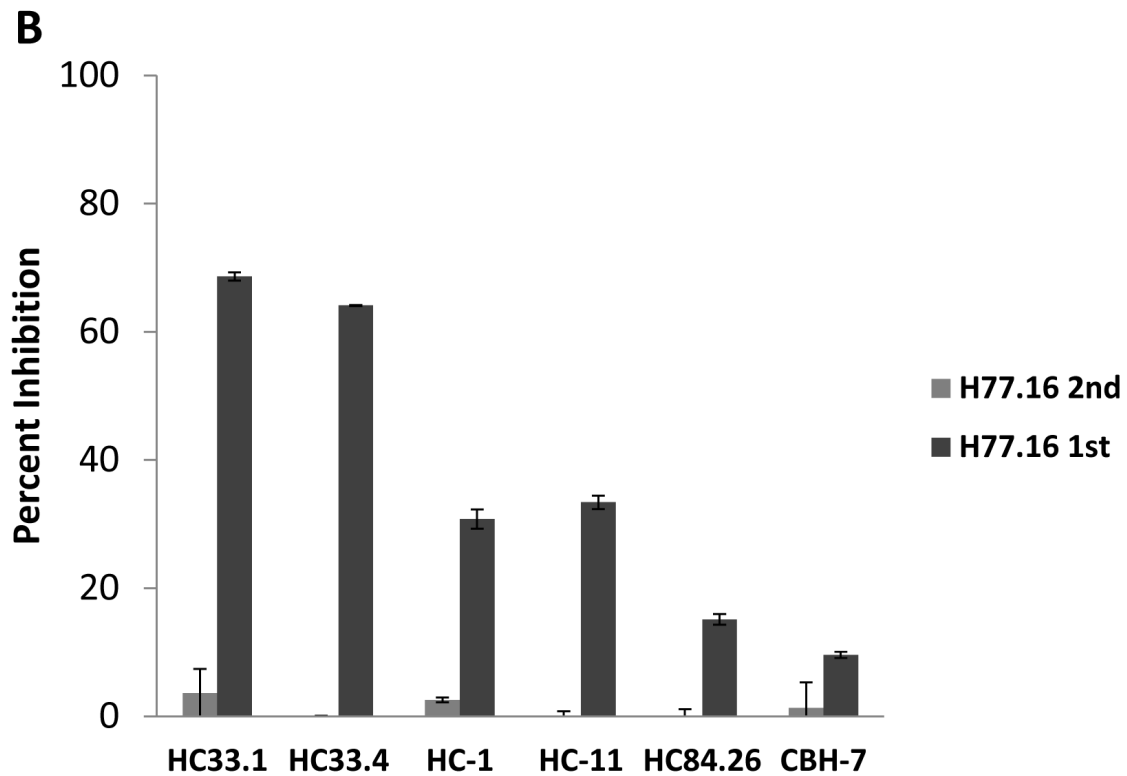
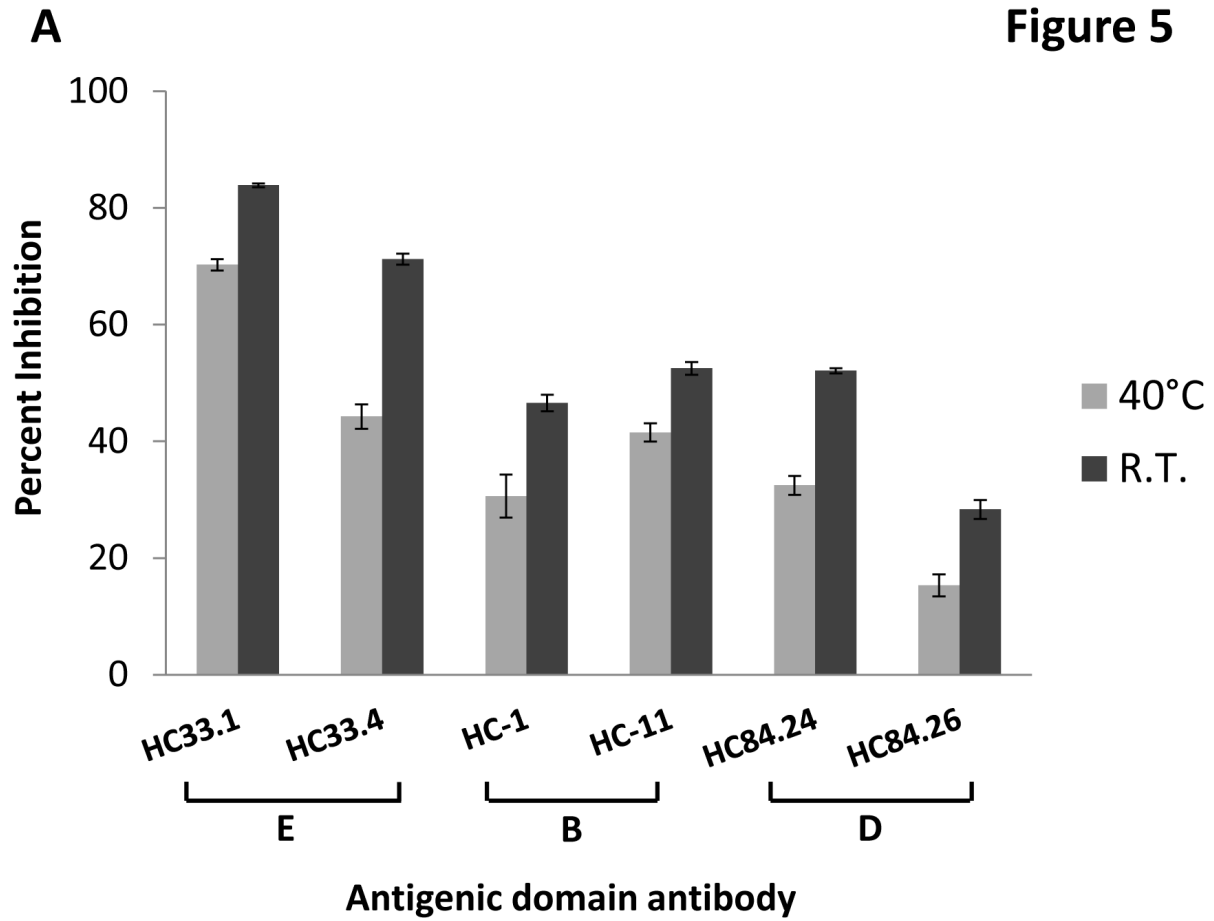


Figure 6

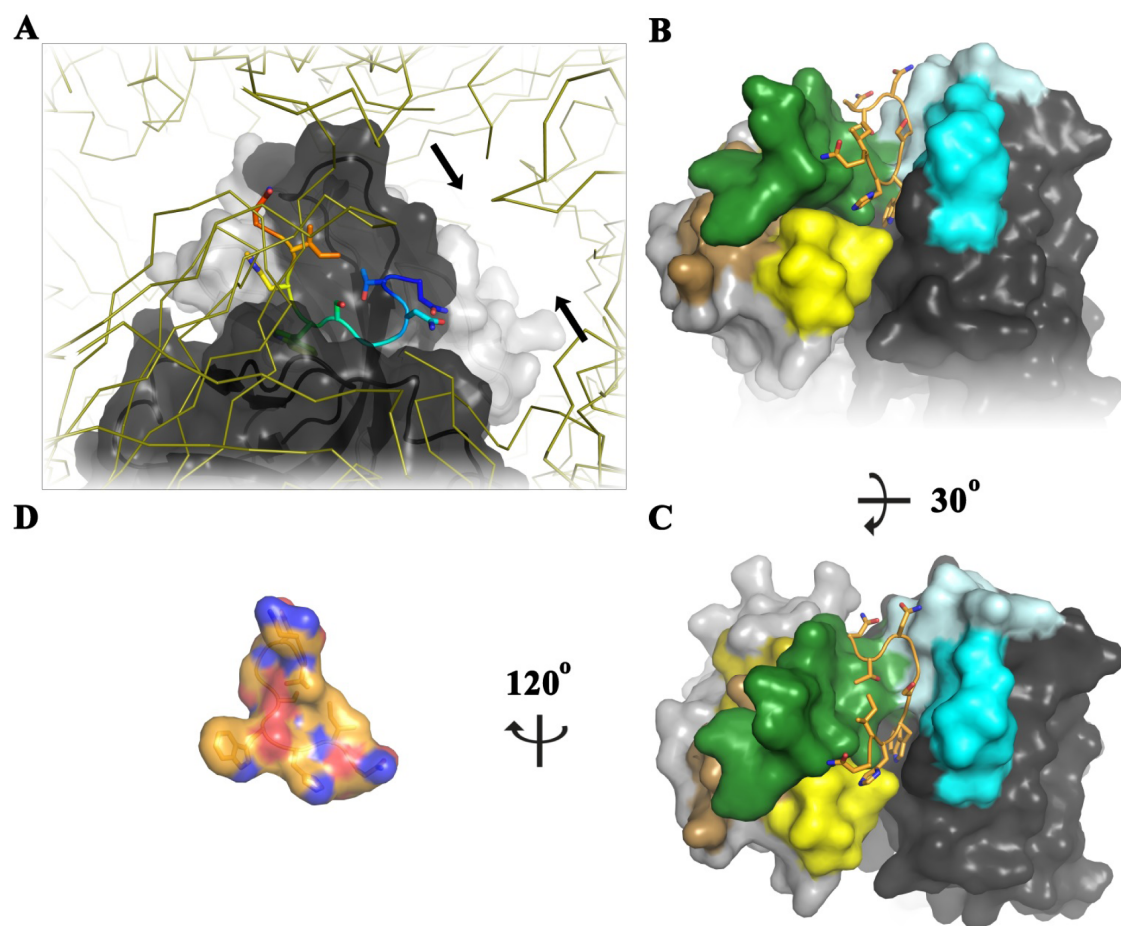


Figure 7

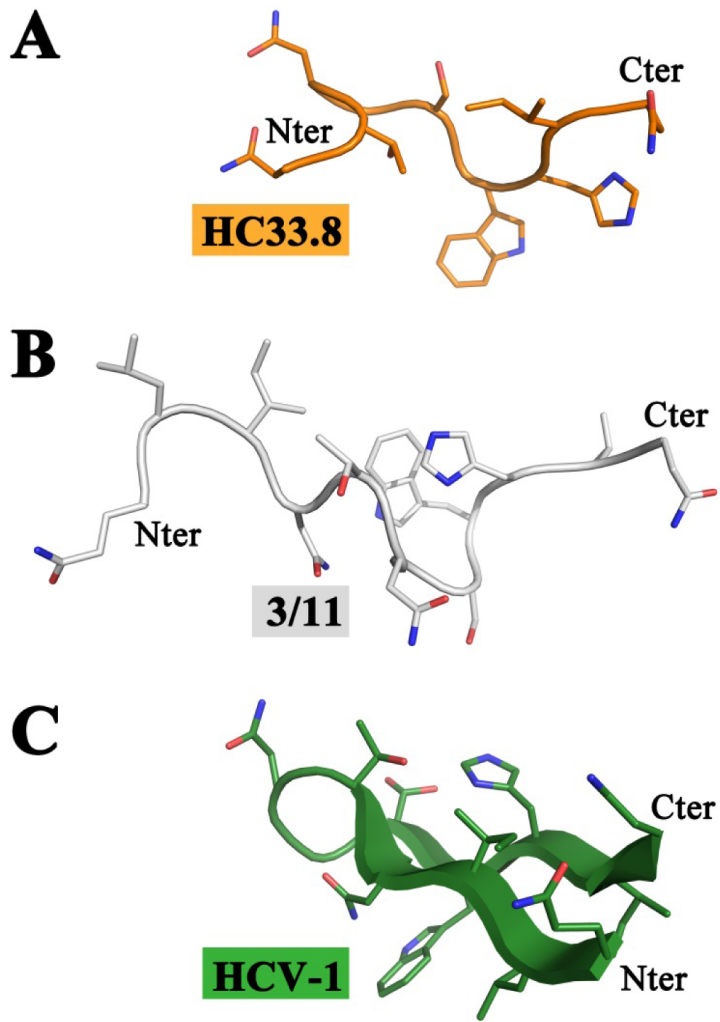


Table 1. Data collection and refinement statistics.

	Fab HC33-4 + peptide	Fab HC33-8 + peptide
<u>Data collection</u>		
Space group	P2 ₁	P2
Complexes per AU	2	1
Cell dimensions		
a, b, c (Å)	49.81 149.43 67.95	66.05 49.57 66.39
a, b, γ (°)	90 90.08 90	90 98.5 90
Resolution (Å)	47.25-1.65 (1.75-1.65)	49.57-1.90 (2.02-1.90)
CC(1/2)	99.8 (68.8)	99.9 (89.8)
I/ σ	12.52 (1.63)	16.17 (1.21)
Completeness (%)	99.7 (98.4)	99.6 (97.8)
Redundancy	5.3 (5.2)	6.6 (6.4)
<u>Refinement</u>		
Resolution (Å)	24.16-1.65	49.57-1.90
No. reflections	118727	33727
Rwork/ Rfree	0.171 / 0.189	0.194 / 0.236
<u>No. of atoms</u>		
Protein	6589	3414
Ligand	-	-
Water	604	116
Residues per AU	862	448
<u>B-factors</u>		
Protein	20.8	38.1
<u>Ramachandran</u>		
favored	98.00%	96.80%
allowed	2.00%	2.70%
outliers	0%	0.50%
<u>R.m.s. deviations</u>		
Bond length (Å)	0.01	0.01
Bond angles (°)	1.09	1.16

¹ Values in parentheses correspond to the highest resolution shell. rmsd, root-mean-square deviation.