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#### Gait Coordination in Parkinson Disease: Effects of Step Length and Cadence 1

#### **Manipulations** 2

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#### 43 Abstract

44 **Background:** Gait impairments are well documented in those with PD. Prior studies suggest 45 that gait impairments may be worse and ongoing in those with PD who demonstrate FOG compared to those with PD who do not. Purpose: Our aim was to determine the effects of 46 manipulating step length and cadence individually, and together, on gait coordination in those 47 with PD who experience FOG, those with PD who do not experience FOG, healthy older adults, 48 and healthy young adults. Methods: Eleven participants with PD and FOG, 16 with PD and no 49 FOG, 18 healthy older, and 19 healthy young adults walked across a GAITRite walkway under 50 four conditions: Natural, Fast (+50% of preferred cadence), Small (-50% of preferred step 51 length), and SmallFast (+50% cadence and -50% step length). Coordination (i.e. phase 52 coordination index) was measured for each participant during each condition and analyzed using 53 mixed model repeated measure ANOVAs. Results: FOG was not elicited. Decreasing step 54 length or decreasing step length and increasing cadence together affected coordination. Small 55 56 steps combined with fast cadence resulted in poorer coordination in both groups with PD compared to healthy young adults and in those with PD and FOG compared to healthy older 57 adults. **Conclusions:** Coordination deficits can be identified in those with PD by having them 58 walk with small steps combined with fast cadence. Short steps produced at high rate elicit worse 59 coordination than short steps or fast steps alone. 60

61

#### 62 Introduction

Gait impairments are well documented in those with Parkinson disease (PD).(1-6) 63 Decreased stride length, decreased velocity, and poor coordination are some impairments that 64 can be become debilitating for an individual with PD. Freezing of gait (FOG), a particularly 65 troubling symptom characterized by a spontaneous increase in cadence and decrease in step 66 length with an accompanying inability to produce effective stepping, (3, 7) is associated with 67 falls and reduced quality of life in this population.(8, 9) It has been suggested that gait 68 impairments in people with PD are worse in those who experience FOG (PD+FOG) than those 69 who do not experience FOG (PD-FOG). For example, those with PD+FOG exhibit increased 70 step-time asymmetry, step length variability, and cadence compared to PD-FOG.(5, 10, 11) 71 Coordination of steps has also been shown to be dysfunctional in those with PD during 72 gait, particularly in PD+FOG. Plotnik et al. demonstrated that those with PD+FOG exhibit 73 poorer coordination during forward walking than those with PD-FOG, even when FOG is not 74 elicited.(5) Danoudis et al. (12) also showed those with PD+FOG have poorer coordination 75 during preferred gait, as well as gait with an imposed decrease in step length. Nanhoe-Mahabier 76 et al. (6) showed those with PD have worse coordination while walking on a treadmill compared 77 to healthy controls, but no differences exist between groups during forward walking overground. 78 These studies demonstrate that gait coordination is affected in those with PD. However, it 79 remains unclear how coordination is affected during gait conditions that are characteristic of 80 FOG (i.e. increased cadence with progressively decreasing step length (3, 13, 14)) and 81 differences in coordination between those with PD+FOG and PD-FOG require further 82 examination. Identifying differences in coordination during conditions characteristic of FOG by 83

**Gait Coordination in PD** 

84 manipulating cadence and step length independently of one another and also concurrently may have potential implications for understanding the underlying mechanisms of FOG. 85 In this study, we used PCI, a measure of gait coordination, to study the effects of 86 manipulating step length and cadence independently and in combination on gait coordination in 87 healthy controls (young and old) and those with PD+FOG and PD-FOG. Healthy old individuals 88 were included to determine how those with PD differ from individuals of the same age without 89 PD. Healthy young were included to examine differential effects of aging and PD on 90 coordination. To this end, coordination was measured during: 1) step length manipulation, while 91 holding cadence fixed; 2) cadence manipulation, while holding step length fixed; and 3) 92 combined step length and cadence manipulation. We hypothesized that decreasing step length or 93 increasing cadence would decrease coordination in people with PD compared to healthy controls. 94 We expected these effects to be additive, i.e. coordination would be poorest when step length 95 was reduced and cadence was concomitantly increased. Finally, we hypothesized that the 96 PD+FOG group would demonstrate the poorest coordination and be more affected by gait 97 manipulations compared to PD-FOG and healthy controls. We expected healthy young and 98 healthy older adults to be similarly affected by gait manipulations, and less affected than those 99 with PD. 100 Methods 101 **Participants** 102 103 Individuals with PD, healthy older, and healthy young adults participated. Subjects with PD were divided into PD+FOG and PD-FOG based upon a score of >2 on item 3 of the Freezing 104 of Gait Questionnaire (FOG-Q)(15), indicating freezing episodes occurring at least once per 105

4

week. Participants with PD were recruited from the XXXX Movement Disorders Center

107	database. All participants with PD had a diagnosis of idiopathic PD according to established
108	criteria(16) and were asked to come in "OFF" medication ( $\geq$ 12 hour overnight withdrawal of
109	anti-parkinson medication). Healthy older adults (>30 years old) were often the spouses of those
110	with PD and were age-matched with the PD group. Healthy young adults (<30 years old) were
111	doctoral students at XXXX. Participants were excluded if they were unable to follow multiple
112	step commands or unable to walk independently without the use of an assistive device. All
113	participants gave informed consent as approved by the XXXX Human Research Protection
114	Office.
115	Outcome Variables
110	Dhase coordination index (DCI) was the primary outcome measure. DCI quantifies goit

Phase coordination index (PCI) was the primary outcome measure. PCI quantifies gait coordination by taking into account the accuracy and consistency of the timing of stepping phases and was calculated as defined in previous literature.(17) Higher PCI values indicate poorer coordination.(5, 17) Correlation between PCI and total FOG-Q score was included as a secondary outcome measure. Prior work has only correlated FOG-Q and PCI during natural gait. Therefore, we sought to correlate PCI during gait conditions characteristic of FOG with the FOG-Q.

#### 123 Data sources/Measurement: Gait

Participants with PD completed the freezing of gait questionnaire (FOG-Q)(15) and were
assessed using the Movement Disorder Society Unified Parkinson Disease Rating Scale Motor
Subscale III (MDS-UPDRS-3) (18) to quantify disease severity. All participants walked across a
4.9 m GAITRite (CIR Systems, Inc., Sparta, NJ) walkway, on level ground in an open room,
under four conditions: Natural (preferred cadence and step length), Fast (50% above preferred
cadence), Small (50% below preferred step length), and SmallFast (50% above preferred

cadence and 50% below preferred step length). The Natural condition was completed first to 130 establish criteria for the three subsequent conditions, which were randomized. Ten trials were 131 performed for each condition. Once a participant completed 10 trials of the Natural gait 132 133 condition, his/her average natural cadence was calculated from trials 4, 5, and 6, as these trials were near the middle of the 10 trial block and could be analyzed quickly enough to inform 134 settings for the subsequent conditions. For the Fast condition, a metronome was set to +50% of 135 the individual's natural cadence with instructions to "keep as close to your normal step length as 136 possible." For the Small condition, a metronome was set to each participant's natural cadence 137 and the participant was instructed to take small steps (approximately 50% of natural step length) 138 "no bigger than where your heel comes to your big toe" to the metronome. The SmallFast 139 condition was a combination of the Small and Fast conditions in which the metronome was set to 140 141 +50% of natural cadence and the same instructions for small steps were given as previously described. 142

All participants practiced the Fast, Small, and SmallFast conditions one to two times with the metronome. After practicing, the participant began the recorded trials. The metronome was on at the start of each trial in order to remind the participant of the cadence to keep, but was turned off during data collection as to not provide an external auditory cue during recorded walking. Auditory cues are known to enhance performance in people with PD and the purpose of this study was to observe the effects of cadence and step length manipulation during a natural, uncued state.(19-21)

### 150 Data Processing

Individual footfall data such as heel on/off, toe on/off, swing time, and stride time werecollected within GAITRite. Footfall data were used to calculate PCI as previously defined using

153	custom written Matlab software (MathWorks, Natick, MA).(17) Cadence and step length were
154	also collected and averaged per condition within GAITRite. Average cadence and step length
155	were analyzed to determine if participants were able to perform each condition as instructed.
156	Statistical Approach
157	Mixed model repeated measures ANOVA with an unstructured covariance structure was
158	implemented using SAS v 9.3 (SAS Institute, Inc., Cary, NC, USA). Group (PD+FOG, PD-FOG,
159	healthy old, healthy young) was used as the between subject factor and gait condition (Natural,
160	Fast, Small, SmallFast) as the within subject factor. We corrected for multiple comparisons by
161	dividing alpha 0.05 by the number of comparisons made (Bonferroni correction); a post-hoc p-
162	value of 0.0025 was considered significant for evaluating interactions, while a post-hoc p-value
163	of 0.0033 was considered significant for evaluating between-condition differences. Spearman's
164	correlation was used to determine relationships between FOG-Q scores and PCI. A p-value of
165	$< 0.05$ was considered significant. All measures are reported as mean $\pm$ standard deviation, unless
166	otherwise noted.
167 168	<u>Results</u> Twenty-eight participants with idiopathic PD (16 PD-FOG, 12 PD+FOG), 19 healthy
169	older adults, and 19 healthy young adults participated. One participant with PD was excluded
170	due to the inability to walk independently in all conditions. One healthy older adult was excluded
171	due to the inability to follow directions adequately. Sex, age, and disease severity characteristics
172	are included in Table 1.
173	Mean performance of each group during each condition is shown in Figure 1. All groups
174	were able to decrease step length (Fig. 1A) and increase cadence (Fig. 1B) as instructed. There

were no between-group differences in percent change from Natural in step length (p=0.37) or in

cadence (p=0.18) for any condition. There were also no between-group differences in percentchange in velocity for any condition (p=0.62).

#### 178 Phase Coordination Index (PCI)

179	No FOG episodes occurred during this study. Average PCI values across all gait
180	conditions combined were $5.4\pm1.8$ (healthy young), $6.1\pm2.6$ (healthy old), $6.9\pm3.5$ (PD-FOG)
181	and $8.9\pm4.2$ (PD+FOG). Overall, PCI values were significantly different between groups

182 (p<0.001; DF=3; F=9.4) and conditions (p<0.001; DF=3; F=47.43). A significant condition x

group interaction effect was also observed (p=0.005; DF=9; F=2.75) (Figure 2). Specifically, the

184 SmallFast condition had a more pronounced effect on coordination in the PD group compared to

healthy young (p<0.0025). Additionally, those with PD+FOG had poorer coordination during

the SmallFast condition compared to healthy old (p=0.0005) and poorer coordination during the

187 Natural and Small conditions compared to healthy young (p<0.0025). Those with PD+FOG also

had worse coordination during SmallFast compared to their coordination during Natural walking
(p<0.0033).</li>

190Outcome Data/FOG-Q Correlation

Among those with PD, there was a significant but moderate correlation between FOG-Q score and PCI in the Small condition ( $r_s=0.48$ ; p=0.01) and a trend toward significance between FOG-Q and PCI in the SmallFast condition ( $r_s=0.36$ ; p=0.06). FOG-Q score was not correlated with PCI in the Natural ( $r_s=0.27$ ; p=0.17) or Fast ( $r_s=0.17$ ; p=0.38) conditions.

#### 195 Discussion

196 The results from this study demonstrate that coordination is somewhat affected in all 197 groups when walking with decreased step length, increased cadence, or both. Post-hoc analyses 198 revealed that when taking short, fast steps, coordination of those with PD is significantly worse

#### **Gait Coordination in PD**

199 than healthy young, and those with PD+FOG exhibit worse coordination during this condition than healthy older adults. Further, coordination during natural gait or gait with short steps is 200 worse in the PD+FOG group compared to healthy young adults. Importantly, these differences 201 202 are not due to performance differences, as all groups similarly modified their step length and cadence as instructed during each task. Lastly, no FOG episodes occurred during this study. 203 Our results support previous work that demonstrated coordination of steps to be 204 dysfunctional in people with PD. (6, 12, 17) Prior work also linked coordination to FOG(5), 205 though no differences were observed between the PD-FOG and PD+FOG groups in the present 206 study. Additionally, it has been shown that imposing a high cadence or decreased step length on 207 those with PD+FOG can elicit FOG, (10, 13) although no FOG episodes were observed during 208 this study. 209

Plotnik et al. suggest that though FOG is a transient event, there is ongoing gait
impairment in those who experience FOG compared to those who do not. (5, 11) Additionally,
Danoudis et al. (12) demonstrated those with PD who experience FOG have decreased
coordination compared to those who do not experience FOG during preferred gait and gait with
decreased step length. Our results agree with this, as the PD+FOG group had on average higher
PCI values than the other three groups across all four conditions.

Specifically, Danoudis et al. (12) demonstrated that PCI was significantly worse in those with PD+FOG compared to those with PD-FOG during preferred gait and when asked to walk at 50% and 75% of normalized step length. At 25% of normalized step length there was no difference in PCI between groups, though there was an increased incidence of FOG in this condition. As such, the authors suggest that coordination may be associated with step length, but may not explain FOG. However, they acknowledge that poor coordination cannot be ruled out

as being associated with FOG. We agree that poor coordination may be associated with
decreased step length, but may or may not explain FOG. Our data show that conditions with
small step lengths resulted in poorer coordination in PD+FOG compared to healthy controls and
that coordination in the Small condition was correlated with FOG-Q. Nonetheless, FOG was not
elicited by any manipulations.

Chee et al.(13) demonstrated that when those with PD+FOG were asked to walk at 25% 227 of normalized step length an increased number of FOG episodes were observed and suggested 228 that decreased step length in combination with the sequence effect (consecutive short steps 229 become even shorter) causes FOG. Likewise, Moreau et al. (10) manipulated cadence and 230 velocity above and below preferred levels in ten individuals with FOG. They concluded that a 231 high cadence or velocity can induce FOG. However, there was a significant decrease in stride 232 233 length during the imposed fast cadence and an inability to increase step length during fast velocity conditions. Unlike the results from Chee et al.(13) and Moreau et al.(10), those with PD 234 in the current study did not demonstrate reduced stride length during Small or Fast conditions 235 236 with respect to controls. Our study differs from Chee et al.(13) and Moreau et al.(10) in that participants were instructed to take short steps at their natural cadence and to maintain normal 237 step length during the Fast condition. These instructions may have increased the volitional 238 control of each participant's gait pattern and reduced the chances of eliciting a freezing event. 239 All participants manipulated step length and cadence as instructed in this study. This 240 retained ability to adjust walking patterns as instructed is in keeping with prior work showing 241

that people with PD have the ability to control stepping rate(2) and provision of auditory cues,

- such as a metronome, can enhance gait performance.(19-21) Though the metronome for this
- study was turned off during recorded trials, all participants were allowed to practice with it on.

245 Those with PD were able to increase their cadence during the Fast and SmallFast conditions and were able to keep close to their determined natural cadence during the Small condition. 246 However, these complex gait manipulations did not elicit FOG. This suggests that there may be a 247 248 difference between the volitional reproduction of the gait characteristics associated with FOG and the spontaneously occurring increase in cadence and decreasing step length that occur prior 249 to a freezing event. It remains unclear whether increased cadence compensates for the decreased 250 stride length prior to a freeze(1, 22) or if increased cadence during "pre-freezing" strides is a 251 response that indicates a system out of control, with freezing occurring due to the combination of 252 253 gait hypokinesia and hastening steps. (3, 14) Several limitations to this study must be acknowledged. Though some findings were 254

statistically significant, we were unable to detect a difference between PD-FOG and PD+FOG. 255 256 This may be due to a relatively small sample size and the large variation within each condition per group. Further, participants were not matched on sex and the PD-FOG and PD+FOG were 257 not matched on disease severity. This makes it difficult to conclude definitively whether our 258 259 measures of coordination are attributable to disease severity, FOG status, or both. In addition, we only increased cadence and decreased step length. Though small steps and fast cadence 260 precede FOG and PCI was the worst during the SmallFast condition, we cannot say whether PCI 261 would be different during conditions with slow cadence and/or large steps. PCI was also 262 evaluated while individuals were OFF anti-parkinson medication. The factors contributing to 263 ON medication FOG may be different from OFF medication FOG, therefore PCI values may 264 differ for those who experience FOG while ON medication. 265

Coordination deficits can be identified in those with PD by having them walk with small,
fast steps. Future research is needed to determine if PCI is an appropriate measure to investigate

268	whether there is	a threshold	value of PC	I that may	distinguish	peopl	le with PD	+FOG from	n those
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- with PD-FOG. Further, volitional reproduction of gait characteristics associated with FOG does
- not elicit FOG. Future research may be aimed at identifying the involuntary mechanisms that
- 271 contribute to the increased cadence and decreasing step length prior to a freezing event.

272

# 273 Conflict of Interest Statement:

- April J. Williams: Grants TL1RR024995 and UL1RR024992. No other conflicts of interest todisclose.
- Daniel S. Peterson: Grants TL1RR024995 and UL1RR024992. No other conflicts of interest todisclose.
- 278 Gammon M. Earhart: Grants UL1RR024992, R01NS077959, R01NS041509, R01 HD070855.
- 279 No other conflicts of interest to disclose.

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Characteristic	Healthy	Healthy	PD-FOG	PD+FOG
	Young	Old	N=16	N=11
	N=19	N=18		
Sex (M/F) * <sup>†</sup>	9/10	6/12	5/11	10/1
Age (yrs) <sup>£‡</sup>	25.3±2.9	68.4±7.5	67.6±9.5	$70.8\pm6.9$
Average Leg	89.6±7.4	88.3±5.7	85.5±6.1	93.0±6.1
Length (cm)				
Hoehn&Yahr			$2.2 \pm .44$	$2.2 \pm .26$
OFF <sup>∗</sup>				
MDS-UPDRS-3			26.1±9.4	$44.8 \pm 11.8$
OFF* <sup>8</sup>				
FOG-Q Score <sup>*¥</sup>			2.8±1.8	11.3±2.2

Table 1. Final Sample Characteristics

\*all group(s) significantly different; p<0.05

£Young significantly different from all other groups; p<0.05

†Chi square analysis; ‡One way ANOVA;¥ Mann-Whitney U Test;§Independent samples t-test

## Abbreviations

M: Male

F: Female

Yrs: years

MDS-UPDRS-3: Movement Disorder Society Unified Parkinson Disease Rating Scale Motor

Subscale 3

FOG-Q: Freezing of Gait Questionnaire



Figure 1. Mean performance (% of Natural) for step length (A) and cadence (B) in each group during Fast, Small, and SmallFast conditions. There were no significant differences between groups within each condition.



Figure 2. PCI Values of Young, Old, PD-FOG, and PD+FOG in the four different walking conditions. Values are means +/- standard deviations.