Atomic Snapshots from Small Registers

Leqi Zhu¹ and Faith Ellen²

- 1 University of Toronto, Toronto, Canada
- 2 University of Toronto, Toronto, Canada

— Abstract

Existing *n*-process implementations of atomic snapshots from registers use large registers. We consider the problem of implementing an *m*-component snapshot from small, $\Theta(\log n)$ -bit registers. A natural solution is to consider simulating the large registers. Doing so straightforwardly can significantly increase the step complexity. We introduce the notion of an *interruptible* read and show how it can reduce the step complexity of simulating the large registers in the snapshot of Afek et al. [1]. In particular, we show how to modify a recent large register simulation [2] to support interruptible reads. Using this modified simulation, the step complexity of UPDATE and SCAN changes from $\Theta(nm)$ to $\Theta(nm + mw)$, instead of $\Theta(nmw)$, if each component of the snapshot consists of $\Theta(w \log n)$ bits. We also show how to modify a limited-use snapshot [4] to use small registers when the number of UPDATE operations is in $n^{O(1)}$. In this case, we change the step complexity of UPDATE from $\Theta((\log n)^3)$ to $O(w + (\log n)^2 \log m)$ and the step complexity of SCAN from $\Theta(\log n)$ to $O(mw + \log n)$.

1998 ACM Subject Classification E.1 Distributed data structures

Keywords and phrases atomic snapshot, limited-use snapshot, small registers, simulation

Digital Object Identifier 10.4230/LIPIcs.OPODIS.2015.17

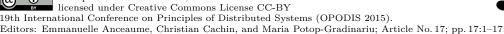
1 Introduction

Atomic snapshots give processes the ability to obtain a consistent view of shared memory through a SCAN operation, even when other processes are concurrently performing UPDATE operations to the memory. This allows programmers to reason about the concurrency in the system in a higher-level manner and can greatly simplify development and verification of concurrent programs. In their seminal paper on atomic snapshots, Afek et al. [1] cite many applications. In the same paper, they presented an *n*-process, *m*-component snapshot implementation from registers (i.e., using only READ and WRITE) with $\Theta(nm)$ step complexity for both SCAN and UPDATE.

A well-known concern with this implementation, and indeed all known snapshot implementations from registers, is the assumption that the system provides registers large enough to store the result of a SCAN. As the number of components or the size of each component of the snapshot grows, this assumption becomes less and less practical. We consider the problem of implementing snapshots shared by n processes from $\Theta(\log n)$ -bit registers. We call such registers *words*. It is customary to use registers with $\Omega(\log n)$ bits, so they can store process identifiers.

A natural solution is to consider simulating the large registers. Recently, Aghazadeh, Golab, and Woelfel [2] showed how to simulate a $\Theta(w \log n)$ -bit register from words with optimal step complexity, $\Theta(w)$, for READ and WRITE. Straightforwardly applying their register simulation to the snapshot implementation by Afek et al. significantly increases the step complexity from $\Theta(nm)$ to $\Theta(nmw)$, if each component of the snapshot consists of $\Theta(w \log n)$ bits.

© Leqi Zhu and Faith Ellen;





Leibniz International Proceedings in Informatics LIPICS Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

17:2 Atomic Snapshots from Small Registers

Most of these extra steps come from (unnecessarily) reading the embedded scans and value fields contained in each of the large registers during the double-collects. However, it is possible to determine if the embedded scans and values are needed by reading only $\Theta(\log n)$ bits from each of the registers. Motivated by this, we introduce the notion of an *interruptible* read. This means that a process can read part of the large register's data, pause the simulated READ to perform other operations, and then return to read more of the data, so that the entire read appears to occur at the same time. The large register simulation of Aghazadeh, Golab, and Woelfel can be modified to have interruptible reads. Applying this modified simulation to the snapshot of Afek et al. reduces the step complexity of UPDATE and SCAN from $\Theta(nmw)$ to $\Theta(nm + mw)$.

Recently, Aspnes, Attiya, Censor-Hillel, and Ellen [4] showed that, if the number of UPDATE operations, b, is in $n^{O(1)}$, then it is possible to implement a b-limited-use m-component snapshot from $\Theta(nmw \log n)$ -bit registers with step complexity $\Theta((\log n)^3)$ for UPDATE and step complexity $\Theta(\log n)$ for SCAN. Simulating the large registers from words increases the step complexity of UPDATE to $\Theta(n^2mw)$ and the step complexity of SCAN to $\Theta(nmw)$, even with interruptible reads.

We show how to directly modify their implementation to use $\Theta(\log n)$ -bit registers while only slightly increasing the step complexity: $O(w + (\log n)^2 \log m)$ for UPDATE and $O(mw + \log n)$ for SCAN. The idea is similar to interruptible reads. Instead of directly returning a view, a SCAN returns an index into a sequence of views, whose length is proportional to the number of UPDATE operations that have been performed. We call this an *implicit* SCAN. The view may then be examined by using this index as input to a VIEW operation. We call snapshots implemented in this way *implicit*. We provide a simple recursive construction of an implicit snapshot from two implicit snapshots with fewer components. Instead of representing a view directly, we represent it implicitly, by a pair of indices into the sequences of views of these two smaller implicit snapshots. The actual view can be recovered recursively. This also allows us to also implement a *partial* scan [5], in which only c of the components are queried, with step complexity $O(c(w + \log m) + \log n)$.

2 Model and Preliminaries

We consider an asynchronous shared memory system with n processes which communicate using shared $\Theta(\log n)$ -bit (multi-writer) registers. We call these registers *words*. We assume that processes may fail at any time by crashing.

An execution in this system is an alternating sequence of configurations and events C_0, e_1, C_1, \ldots Each event e_i (or, step) is either a READ or WRITE operation on a shared register and each configuration C_i consists of the contents of every register and the state of each process after event e_i is applied to configuration C_{i-1} . For any two events a and b in an execution, we write $a \to b$ to mean that a precedes b in the execution.

An *implementation* of a shared object in this system provides a representation of the object using words and an algorithm for each type of operation supported by the object and for each process sharing the object. We only consider *wait-free* implementations, where each operation invoked by a non-faulty process is guaranteed to be completed within a finite number of its own steps. The *step complexity* of an operation O in an implementation is the maximum, over all possible executions, of the number of steps taken by any process to finish an instance of O that it invoked.

Given an execution, the *execution interval* of an operation is the portion of the execution which begins with the first step in the operation and ends with the last step in the operation.

An implementation is *linearizable* [8] if, for every execution, we can choose a *linearization* point in the execution interval of each operation such that operations appear to occur instantaneously at their linearization points.

An *m*-component atomic snapshot (or simply snapshot) has two operations, SCAN and UPDATE(j, v). The SCAN operation returns an instantaneous view of the components, as if all *m* components were read in a single atomic step. The UPDATE(j, v) operation updates component *j* to have value *v* and returns nothing. For $b \ge 1$, we say a snapshot is *b*-limited-use if it supports at most *b* UPDATE operations in any execution.

A max register has two operations, READ-MAX and WRITE-MAX(v). The READ-MAX operation returns the largest value written thus far, while WRITE-MAX(v) adds a number v to the set of values written and returns nothing. For $b \ge 1$, we say a max register is *b*-bounded if its values are restricted to $\{0, \ldots, b-1\}$. Aspnes, Attiya, and Censor-Hillel [3] showed that:

▶ **Theorem 1.** There is a b-bounded max register implementation with step complexity $\Theta(\log b)$ which uses only 1-bit registers.

A 2-component max array has two operations, MAX-SCAN and MAX-UPDATE(j, v). Each component behaves like a max register. The MAX-SCAN operation returns an instantaneous view of the two components, as if both components had READ-MAX performed on them in a single atomic step. The MAX-UPDATE(j, v) operation updates component j as if it had performed WRITE-MAX(v) to it and returns nothing. For $b_1, b_2 \ge 1$, we say a 2-component max array is (b_1, b_2) -bounded if the values of its first component are restricted to $\{0, \ldots, b_1 - 1\}$ and the values of its second component are restricted $\{0, \ldots, b_2 - 1\}$. Aspnes, Attiya, Censor-Hillel, and Ellen [4] showed that:

▶ **Theorem 2.** There is a (b_1, b_2) -bounded 2-component max array implementation with step complexity $\Theta(\log b_1 \log b_2)$ which uses only 1-bit registers.

3 Unlimited-use snapshot from small registers

We show how to obtain an *m*-component snapshot implementation from words with step complexity $\Theta(nm+mw)$ for SCAN and UPDATE, if each component of the snapshot consists of $\Theta(w \log n)$ bits.

Our approach is to simulate the large registers in the *m*-component snapshot by Afek et al. [1] from words. In their implementation, a SCAN performs $\Theta(n)$ collects on an array of *m* registers, each containing $\Theta(w \log n)$ bits. The large register simulation by Aghazadeh, Golab, and Woelfel [2] has $\Theta(w)$ step complexity for READ and WRITE of a $\Theta(w \log n)$ -bit register. Thus, if we directly apply their simulation, the step complexity of SCAN and UPDATE (which contains an embedded SCAN) becomes $\Theta(nmw)$ instead of $\Theta(nm)$.

We observe that not all the bits read during the collects are needed. Indeed, in all but the last collect, only $\Theta(\log n)$ bits from each of the *m* registers end up being used. Furthermore, after reading only these bits, it is possible to determine which additional bits need to be read. Motivated by this, we introduce the notion of an *interruptible* read which, intuitively, allows a process to reserve a copy of the value in the large register (BEGIN-IREAD), read particular words in the copy (READ-WORD), and then return the memory for reuse (END-IREAD). In general, this is useful for algorithms in which a process can read only a small fraction of the bits in a large register to determine if it needs to read the rest of the bits.

In Section 3.1, we formally define an interruptible read. In Section 3.2, we explain how to modify the simulation by Aghazadeh et al. to implement BEGIN-IREAD, READ-WORD,

17:4 Atomic Snapshots from Small Registers

 $\begin{array}{ccc} p_1 & {\rm W}(00) & & {\rm W}(11) \\ p_2 & & {\rm BI} = t_1 & & {\rm RW}(t_1,1) = 0 & & {\rm BI} = t_2 & & {\rm RW}(t_2,1) = 1 & & {\rm RW}(t_2,0) = 0 \\ \end{array}$

Figure 1 Example of an execution using interruptible reads.

and END-IREAD in constant time. In Section 3.3, we carefully apply this modified simulation with interruptible reads to the standard snapshot of Afek et al. to obtain an *m*-component snapshot with SCAN and UPDATE step complexity $\Theta(nm + mw)$. Finally, in Section 3.4, we describe some other, faster snapshot implementations and why it is difficult to modify them to use words while maintaining their step complexity.

3.1 Interruptible reads

Formally, a simulation of a $\Theta(w \log n)$ -bit register from words supports *interruptible* reads if it implements 3 operations: BEGIN-IREAD_p, READ-WORD_p, and END-IREAD_p, for each process p. BEGIN-IREAD_p takes no arguments and returns a pointer to a block of w words which represents the current value of the large register. END-IREAD_p takes a pointer returned by a BEGIN-IREAD_p operation and returns nothing. READ-WORD_p takes a pointer t returned by a BEGIN-IREAD_p operation and an integer j. It returns the value of the j'th word in the block of memory pointed to by t. Between a BEGIN-IREAD_p that returns a pointer t and the next occurrence of END-IREAD_p(t), the memory pointed to by t is not changed. We say that a process p has an *active* interruptible read at the end of an execution if the execution contains a BEGIN-IREAD_p operation that returns some pointer t which is not followed by a corresponding END-IREAD_p(t) operation.

For example, we can use interruptible reads to implement a normal READ by obtaining a pointer t via BEGIN-IREAD_p, concatenating the values returned by READ-WORD_p(t, j) for j = 1, ..., w into a single value v, releasing the memory pointed to by t via END-IREAD_p(t), and then returning v.

Figure 1 gives an example of an execution involving 2 processes, p_1 and p_2 , using interruptible reads. It features a 2-bit register being simulated by 1-bit registers. At the start, p_1 writes 00, denoted by W(00). Then p_2 begins an interruptible read and obtain a pointer t_1 . This is denoted by BI = t_1 . Next, p_2 reads the first word (in this case, a bit) being pointed to by t_1 , which has value 0. We denote this by RW($t_1, 1$) = 0. Now p_1 writes 11. Then p_2 begins another interruptible read to obtain a pointer t_2 and reads the first word being pointed to by t_2 , which has value 1. Finally, when p_2 reads the second word pointed to by t_1 , it is still 0. At the end of this execution, p_2 has 2 active interruptible reads.

3.2 A large register simulation supporting fast interruptible reads

Not all large register simulations support fast interruptible reads. For instance, Peterson [10] showed how to simulate a large single-writer $\Theta(w \log n)$ -bit register from single-writer $\Theta(\log n)$ -bit registers. His simulation represents a large register by collections of $\Theta(w)$ words, called buffers. The writer alternately writes to two of these buffers. A switch bit indicates which of these two buffers was most recently written to. The writer flips the switch bit after completing a sequence of $\Theta(w)$ writes to one of these buffers. Ideally, the readers would read from one buffer while the writer writes to the other. However, processes may fall asleep for a long time. Using handshakes, the writer can detect if a reader is concurrent with its WRITE. In this case, it also writes the current value of the register to the reader's designated copy buffer. The reader performs collects on both of the main buffers as well as its own

copy buffer. By checking the switch and handshake bits, the reader returns the value of a buffer that was not being written to while it was being read. Implementing fast interruptible reads is difficult in this case because the reader cannot quickly determine which pointer BEGIN-IREAD should return.

The simulation by Aghazadeh et al. [2] can easily be modified to support a polynomial (in n) number of active interruptible reads per process. Like Peterson's simulation, they use buffers. Writers have a pool of buffers to which they may write and there is a pointer to the most recently written buffer. To READ, a reader reads the pointer to the most recently written buffer and announces this pointer. The algorithm guarantees that an announced buffer will not be modified by any writer. Since a writer may miss this announcement, there is also a mechanism for a writer to pass hints to the reader about alternate buffers which have been written to in the meantime, from which it is safe to read. These hints can be read by the reader in a constant number of steps. The algorithm guarantees that, until the reader acknowledges a hint, no writer is allowed to modify the buffers mentioned in the hint. The reader acknowledges a hint when it will no longer read from the buffer to which it points. This takes a constant number of steps. It is possible, but not necessary, for the reader to clear its initial announcement.

To implement interruptible reads, we break this READ operation into pieces. In particular, BEGIN-IREAD is the portion of the READ that determines the buffer to be read. It returns a pointer to that buffer. READ-WORD simply reads the appropriate word from the buffer. By the correctness of the simulation, the words may be read in any order. Finally, END-IREAD is be the portion of the READ that acknowledges hints. Note that each of these operations take a constant number of steps.

This simulation assumes that each process can only have one operation active at a time. To support $c \in n^{O(1)}$ active interruptible reads of the same large register per process, we need to increase the size of the buffer pool for each writer by a factor of c. Then the size of each pointer increases by $\lceil \log_2 c \rceil = \Theta(\log n)$ bits.

3.3 Application to Afek et al.

We consider the *m*-component snapshot implementation of Afek et al. [1]. Suppose that each component of the snapshot consists of $\Theta(w \log n)$ bits. The implementation uses binary registers, $q_{i,j}$ and $q'_{i,j}$, for $i, j \in \{0, 1, \ldots, n-1\}$, which are the *handshaking* bits, $\Theta(mw \log n)$ bit registers, $view_1, \ldots, view_n$, which store views, and $\Theta(w \log n)$ -bit registers, R_1, \ldots, R_m , each of which stores the current value of the component, a process identifier, and a *toggle* bit.

A SCAN operation by process p_i consists of a loop, each iteration of which (1) collects $q_{1,i}, \ldots, q_{n,i}$, (2) writes to $q'_{i,1}, \ldots, q'_{i,n}$, (3) collects R_1, \ldots, R_m twice, and (4) collects $q_{1,i}, \ldots, q_{n,i}$ again. The iteration is *successful* if the handshaking bits read in both collects of $q_{1,i}, \ldots, q_{n,i}$ are the same and the process identifiers and toggle bits read in both collects of R_1, \ldots, R_m are the same. In this case, (5) the current value of the components read from the second collect of R_1, \ldots, R_m are returned. Otherwise, the algorithms (6) checks (by examining the previously read handshaking bits, process identifiers, and toggle bits) if some process p_j has performed at least one complete UPDATE since the start of the SCAN and, if so, (7) reads and returns $view_j$. They prove that there can be at most O(n) iterations of the loop. An UPDATE operation by process p_i consists of a collect of $q'_{1,i}, \ldots, q'_{n,i}$, writes to $q_{i,j}$, for $j \in \{0, 1, \ldots, n-1\}$, an embedded SCAN, a write to $view_i$, and a write to R_c , for some $c \in \{1, \ldots, m\}$.

Steps (1), (2), and (4) have $\Theta(n)$ step complexity. If we directly apply the simulation of Aghazadeh et al. [2], the step complexity of step (3) will be $\Theta(mw)$ and the step complexity

17:6 Atomic Snapshots from Small Registers

of the SCAN is $\Theta(nmw)$. We can improve this to $\Theta(m)$ using interruptible reads by reading only the words containing the process identifiers and toggle bits from R_1, \ldots, R_m in both collects. We can end the interruptible reads started during the first collect immediately after the first collect is finished. If the iteration is successful, then we read the current value of each component and end each interruptible read started during the second collect. If the iteration is unsuccessful, then we end each interruptible read started during the second collect without reading the current value of each component. We note that each process has at most one active interruptible read on each register at any time. Steps (5) and (7) have step complexity $\Theta(mw)$. Step (6) consists of only local operations. Since steps (5) and (7) are performed just before returning, they contribute $\Theta(mw)$ steps to the total. Steps (1) to (4) occur $\Theta(n)$ times in the worst case. Overall, the step complexity of SCAN is $\Theta(nm + mw)$.

▶ **Theorem 3.** For $k \in \Omega(\log n)$, there is an *m*-component snapshot implementation from *k*-bit registers with step complexity $\Theta(nm + mw)$ for SCAN and UPDATE, if each component of the snapshot consists of $\Theta(wk)$ bits.

3.4 Other snapshots

There are snapshots with better step complexity. Attiya and Rachman [7] implemented a single-writer snapshot from single-writer registers with $\Theta(n \log n)$ step complexity. However, they perform too many reads on large registers. In particular, they perform $\Theta(n \log n)$ reads of $\Theta(n \log n)$ -bit registers, if each component of the snapshot consists of $\Theta(w \log n)$ bits. Moreover, they always use the entire value obtained from each read, so interruptible reads are not helpful. This results in a step complexity of $\Omega(n^2w \log n)$ using any large register simulation. Inoue and Chen [9] showed how to implement lattice agreement from multi-writer registers with $\Theta(n)$ step complexity. Attiya, Herlihy, and Rachman showed how to implement a single-writer snapshot from lattice agreement [6]. This implies an implementation of a single-writer snapshot from multi-writer registers with $\Theta(n)$ step complexity. Infortunately, the implementation of Attiya, Herlihy, and Rachman uses unbounded size registers. It is unclear whether it is possible to modify these implementations to use small registers while maintaining their step complexity.

4 Limited-use snapshot from small registers

An *implicit snapshot* object is like a regular snapshot object except that a SCAN operation is separated into two parts, an ISCAN operation and a VIEW operation. Intuitively, the ISCAN operation is where the actual SCAN occurs. It returns a pointer to a view, which may then be read via the VIEW operation. To facilitate our implementation of a *partial* SCAN [5], a VIEW operation takes a range of components as input and returns the values of the components in that range. We formalize this as follows.

An ISCAN operation S returns a value $t(S) \ge 0$, which we call the *index* of S. We require that, for any two ISCAN operations S_1 and S_2 , $t(S_1) < t(S_2)$ if and only if S_1 is linearized before S_2 and there is at least one UPDATE linearized between them. We also require that an ISCAN operation S has t(S) = 0 if and only if no UPDATE operation is linearized before it.

Given an ISCAN operation S, we define the view at index t(S) to be the *m*-component vector whose c'th component contains the value of the last UPDATE operation to component c linearized before S, or the initial value \perp , if no such operation exists, for all $c \in \{1, \ldots, m\}$. This is well-defined since, by our requirement on the indices returned by ISCANs, there can

be no UPDATE operations linearized between two ISCAN operations returning the same index. The VIEW(t, i, j, V) operation, takes as input an index t returned by a previously completed ISCAN operation, integers $1 \le i \le j \le m$, and an output array V[1..j - i + 1]. It writes components i through j of the view at index t(S) into entries 1 through j - i + 1 of V. A VIEW could simply return an array containing the values of components i to j. However, since we recursively build implicit snapshots from implicit snapshots with fewer components in Section 4.2, it is more efficient to copy values to one array versus repeatedly creating arrays and concatenating them.

We note that an implicit snapshot object can implement a regular snapshot object by substituting the SCAN operation with VIEW(ISCAN(), 1, m, V[1..m]). Furthermore, an implicit snapshot can implement a *partial* SCAN [5] on a set of disjoint component ranges $(i_1, j_1), \ldots, (i_r, j_r)$ by performing ISCAN and then running VIEW $(t, i_k, j_k, V[c])$ for all $k \in \{1, \ldots, r\}$, where t is the index returned by the initial ISCAN.

4.1 A 1-component limited-use implicit snapshot implementation

We can implement a *b*-limited-use 1-component single-writer implicit snapshot using an array A of *b* single-writer registers and a single-writer register index. To UPDATE component 1 to v, the writer increments a local counter t, writes v to A[t], and then writes t to index. An ISCAN reads index and returns it. VIEW(t, 1, 1, V) reads A[t] and writes this value to V[1].

To extend this implementation to multiple writers, we change index to be a (bn + 1)bounded max register. Furthermore, instead of incrementing a local counter, a writer performs index.*read-max* to determine the current index t, chooses an index t' > t that no other writer will choose, writes the value v to A[t'], and then performs index.*write-max*(t'). An ISCAN consists of performing index.*read-max* and returning the resulting value. The VIEW operation is unchanged. Always choosing t' to be the smallest integer larger than twhich is congruent to the writer's process identifier modulo n ensures that different indices are chosen by different writers and they are all bounded above by bn. See Algorithm 1 for the pseudocode.

Algorithm 1 A <i>b</i> -limited-use 1-component implicit snapsho	ot object.
1: procedure UPDATE $(1, u)$	
2: $t \leftarrow index.read\text{-}max()$	
3: $t' \leftarrow \min\{j : j > t \text{ and } j \equiv i \mod n\}$	\triangleright code for process p_i
4: $A[t'].write(u)$	
5: $index.write-max(t')$	
6: procedure ISCAN	
7: return index. <i>read-max()</i>	
8: procedure $VIEW(t, 1, 1, V[11])$	
9: $V[1] \leftarrow A[t].read()$	

Recall that, from Theorem 1, there is a linearizable implementation of a bounded max register from binary registers. Thus, we will assume that all operations on index are atomic and treat them as steps in the executions we consider.

For every UPDATE operation U, let t(U) be the value t' used as the argument of the index. write-max that U performed on line 5.

▶ Lemma 4. For all $t \ge 1$, there is at most one UPDATE operation U with t(U) = t. No UPDATE operation U has t(U) = 0.

17:8 Atomic Snapshots from Small Registers

Proof. By line 3, if $t \equiv i \mod n$, then only process p_i can choose t. Since p_i performs index.*write-max*(t) on line 5, the values returned to p_i from index.*read-max* on line 2 are strictly increasing. Therefore, p_i will never choose t again. Since index is initially 0, t(U) > 0 for all UPDATE operations U.

An UPDATE operation U is linearized at the first point that index has value at least t(U). This occurs when some process, not necessarily the process performing U, performs index.write-max(t), for some $t \ge t(U)$. If multiple UPDATE operations are linearized at the same point, then they are linearized in increasing order of their indices. By Lemma 4, there will be no ties. An ISCAN operation is linearized when it performs index.read-max. A VIEW operation is linearized when it performs A[t].read.

Since an UPDATE operation U begins with an index.read-max and t(U) is chosen to be larger than the value it returns, index $\langle t(U) \rangle$ at the beginning of U's execution interval. Furthermore, since U ends with index.write-max(t(U)), index $\geq t(U)$ at the end of U's execution interval. Since the value of a max-register is non-decreasing, it follows that each UPDATE operation is linearized at a point within its execution interval.

▶ Lemma 5. If an UPDATE operation U_1 is linearized before another UPDATE operation U_2 , then $t(U_1) < t(U_2)$.

Proof. Let X be the index.*write-max*(t) step at which U_1 is linearized, so that $t \ge t(U_1)$. If the index.*read-max* step of U_2 occurs after X, then the value returned by the index.*read-max* step of U_2 is at least t, so $t(U_2) > t \ge t(U_1)$. So, suppose that the index.*read-max* of U_2 occurs before X. If U_2 is also linearized at X, then, from the way the linearization order is defined when multiple UPDATE operations are linearized at the same step, $t(U_2) > t(U_1)$. Otherwise, U_2 is linearized after X so, by definition, $t(U_2) > t \ge t(U_1)$.

▶ Lemma 6. Let S be an ISCAN operation. If no UPDATE operation is linearized before S, then t(S) = 0. Otherwise, if U is the last UPDATE operation linearized before S, then t(U) = t(S).

Proof. Every UPDATE operation is linearized at no later than its index.*write-max* step. If S is linearized before every UPDATE operation, then its index.*read-max* step occurs before any index.*write-max* step and, hence, returns 0. So, suppose some UPDATE operation is linearized before S and let U be the last such UPDATE operation. Since S obtained index t(S) from its index.*read-max* step, there was an UPDATE operation U' that previously performed index.*write-max*(t(U')) with t(U') = t(S). U' is linearized at no later than its index.*write-max* step. Hence, it is linearized before S. Suppose, for a contradiction, that $U \neq U'$, so that U is linearized between U' and S. By Lemma 5, t(U) > t(U'). By definition, there was a index.*write-max*(t) with $t \geq t(U)$ at the linearization point of U. This implies that $t(S) \geq t(U)$. This is a contradiction, since t(U) > t(U') = t(S).

▶ Lemma 7. An UPDATE operation U is linearized before an ISCAN operation S if and only if $t(U) \le t(S)$.

Proof. Suppose an UPDATE operation U is linearized before an ISCAN S. Let U' be the last UPDATE operation linearized before S. By Lemma 6, t(U') = t(S). If U = U', then t(U) = t(S). Otherwise, by Lemma 5, t(U) < t(U') = t(S). Conversely, suppose that U is linearized after S. If no UPDATE operation is linearized before S, then t(S) = 0 and, by Lemma 4, t(U) > t(S). So, suppose that some UPDATE operation is linearized before S and let U' be the last such UPDATE operation. Since U is linearized after U', by Lemma 5, t(U) > t(U') = t(S).

▶ Lemma 8. For any two ISCAN operations S_1 and S_2 , $t(S_1) < t(S_2)$ if and only if S_1 is linearized before S_2 and there is at least one UPDATE linearized between them.

Proof. If $t(S_1) < t(S_2)$, then S_1 is linearized before S_2 , since they are linearized at their respective index.*read-max* steps. By Lemma 4, there is an unique UPDATE operation U with $t(U) = t(S_2)$. Since $t(U) > t(S_1)$, Lemma 7 implies that U is linearized after S_1 . Since U is the only UPDATE operation with $t(U) = t(S_2)$, the index.*write-max* step in U occurs before the index.*read-max* step in S_2 . Since U is linearized at no later than its index.*write-max* step, it follows that U is linearized before S_2 . Conversely, suppose S_1 is linearized before S_2 and there is at least one UPDATE operation U linearized between them. By Lemma 7, $t(S_1) < t(U) \le t(S_2)$.

▶ Lemma 9. For any two ISCAN operations S_1 and S_2 , $|t(S_1) - t(S_2)| \le kn$, where k is the number of UPDATE operations linearized between them.

Proof. Without loss of generality, assume S_1 is linearized before S_2 . Let U_1, U_2, \ldots, U_k be the UPDATE operations linearized between S_1 and S_2 , in that order. By Lemma 7, $t(S_1) < t(U_1)$. By Lemma 6, $t(U_k) = t(S_2)$. By Lemma 5, $t(U_{i-1}) < t(U_i)$ for $2 \le i \le k$. Suppose the index.read-max step in U_1 returned a value $t > t(S_1)$. Then there was a index.write-max(t') after S_1 with $t(S_1) < t' < t(U_1)$, and the UPDATE operation U which performed this index.write-max would be linearized between S_1 and U_1 , contradicting the definition of U_1 . Therefore, the index.read-max step in U_1 returned a value which is at most $t(S_1)$. Similarly, for $2 \le i \le k$, the index.read-max step in U_i returned a value which is at most $t(U_{i-1})$. It follows by line 3 that $|t(U_1) - t(S_1)| \le n$ and $|t(U_i) - t(U_{i-1})| \le n$, for $2 \le i \le k$. It follows that $|t(S_1) - t(S_2)| = |t(S_1) - t(U_1) + t(U_1) - t(U_2) + \cdots + t(U_{k-1}) - t(U_k)| \le nk$.

▶ Lemma 10. Let S be an ISCAN operation and let U be the last UPDATE operation linearized before S. Then every VIEW(t(S), 1, 1, V) operation starting after S will set V[1] to the value written by U.

Proof. By Lemma 6, t(U) = t(S). By Lemma 4, U is the unique UPDATE operation with t(U) = t(S). After U completes, A[t(S)] contains the value written by U, since each UPDATE operation U' only writes to A[t(U')]. The index.*read-max* step in S occurs after the index.*write-max* step in U, so U completes before S. It follows that any VIEW(t(S), 1, 1, V) operation starting after S will set V[1] to the value of A[t(S)], which contains the value written by U.

▶ **Theorem 11.** There is an implementation of a b-limited-use 1-component implicit snapshot object from w-bit registers and 1-bit registers, where w is the number of bits needed to represent each component of the snapshot. In the implementation, UPDATE consists of a write to a w-bit register and $\Theta(\log(bn + 1))$ writes and reads on 1-bit registers, SCAN consists of $\Theta(\log(bn+1))$ reads on 1-bit registers, and VIEW consists of a single read on a w-bit register.

Proof. Lemma 10 shows that a VIEW(t, 1, 1, V) operation on an index t returned by an ISCAN operation S will set V[1] to the value of the last UPDATE linearized before S. It follows that the implementation is linearizable. Lemma 8 shows that, for any two ISCANs S_1 and S_2 , $t(S_1) < t(S_2)$ if and only if S_1 is linearized before S_2 and there is an UPDATE linearized between them, so the indices returned by ISCAN operations are correct. Lemma 9 shows that index is bounded by bn, since there can be at most b UPDATE operations between any two ISCANs. Hence a (bn + 1)-bounded max register suffices and A needs at most bn + 1 entries. By Theorem 1, a READ-MAX or WRITE-MAX on a (bn + 1)-bounded max register requires $\Theta(\log(bn + 1))$ reads and writes on 1-bit registers.

4.2 An m-component implicit snapshot implementation

For m > 1, we obtain a *b*-limited-use *m*-component implicit snapshot recursively. Our implementation is essentially a modification of the implementation in Aspnes, Attiya, Censor-Hillel, and Ellen [4]. The result is a simpler implementation which uses substantially smaller registers.

Let snap_1 and snap_2 be *b*-limited-use c_1 -component and c_2 -component implicit snapshots, respectively. Let indices be a bounded 2-component max array. We describe a simple, but incorrect implementation of a *b*-limited-use $(c_1 + c_2)$ -component implicit snapshot from snap_1 and snap_2 and then show how to fix it.

UPDATEs on the first c_1 components are handled by $\operatorname{snap}_1.update$ while UPDATEs on the last c_2 components are handled by $\operatorname{snap}_2.update$. The idea is that the ISCAN will use the 2-component max array to keep track of the most recent indices for snap_1 and snap_2 . ISCAN performs $\operatorname{snap}_j.iscan$ to obtain index u_j and performs $\operatorname{indices}.max-update(j, u_j)$, for $j \in \{1, 2\}$. Then it performs $\operatorname{indices}.max-scan$ to obtain new indices (t_1, t_2) , which it writes to the register $\mathsf{T}[t_1 + t_2]$, before finally returning $t_1 + t_2$. The VIEW operation for an index t returned by a previously completed ISCAN operation reads $\mathsf{T}[t]$ to obtain indices (t_1, t_2) , and then calls $\operatorname{snap}_j.view$ on index t_j , for $j \in \{1, 2\}$, as necessary to get the appropriate components. By definition of a 2-component max-array, the indices (t_1, t_2) and (t'_1, t'_2) seen by two ISCAN operations as a result of their indices.max-scan steps are comparable component-wise. It follows that if $t_1 + t_2 = t'_1 + t'_2$, then $t_1 = t'_1$ and $t_2 = t'_2$. Hence, if two ISCAN operations write to $\mathsf{T}[t]$, for some index t, then they write the same value.

An UPDATE operation consists of a single snap_j .update step, at which it must be linearized. It is tempting to linearize an ISCAN seeing indices (t_1, t_2) from its indices.max-scan step at the first point in its execution interval that component 1 of indices has index t_1 and component 2 of indices has index t_2 . It is possible to show that, with these linearization points, for any two ISCAN operation S_1 and S_2 , if $t(S_1) < t(S_2)$, then S_1 is linearized before S_2 and there was an UPDATE operation linearized between them. The converse, however, does not hold. The problem is that, for S_2 , some UPDATE operations to the first c_1 components may linearized between the first time that component 1 of indices has index t_1 and the first time that component 2 of indices has index t_2 , and S_2 will fail to see these UPDATEs, even though it is linearized after them.

To fix this, we ensure that an UPDATE completes only after it knows it will be seen by all ISCANs linearized after it. Thus, after the UPDATE operation updates snap_j , it performs snap_j .*iscan* to obtain an index t, and then performs $\operatorname{indices.}max-update(j,t)$. Since UPDATEs now perform snap_j .*iscan* and $\operatorname{indices.}max-update(j, -)$, we can, in fact, remove the snap_j .*iscan* and $\operatorname{indices.}max-update(j, -)$ steps from ISCAN, for $j \in \{1, 2\}$. The pseudocode is presented in Algorithm 2.

Recall that, from Theorem 2, a bounded 2-component max array can be implemented from 1-bit registers. Thus, we will assume that all operations on indices are atomic and treat them as steps in the executions we consider.

An ISCAN operation S is linearized at its indices.max-scan step. We use $(t_1(S), t_2(S))$ to denote the value returned by this step, so that $t(S) = t_1(S) + t_2(S)$. An UPDATE operation which updates snap_j is linearized at the first point in its execution interval that component j of indices is at least the value returned in its snap_j .iscan step. More formally, for each UPDATE operation U, let X(U) be the snap_j .update step in U, let $\mathcal{Y}(U)$ be the set of all snap_j .iscan steps occurring after X(U), and let $\mathcal{Z}(U)$ be the set of all indices.max-update(j,t) steps, where t = t(Y) for some $Y \in \mathcal{Y}(U)$. Let Z(U) be the earliest step in $\mathcal{Z}(U)$. Z(U)is part of some UPDATE operation U' which updates snap_j . Let Y(U) be the snap_j .iscan

Algorithm 2 A *b*-limited use $(c_1 + c_2)$ -component implicit snapshot object.

1: procedure UPDATE(c, v) $j \leftarrow \mathbf{if} \ c \leq c_1 \ \mathbf{then} \ 1 \ \mathbf{else} \ 2$ 2: 3: $c \leftarrow \mathbf{if} \ c \leq c_1 \ \mathbf{then} \ c \ \mathbf{else} \ c - c_1$ 4: $snap_i.update(c, v)$ $t \leftarrow \operatorname{snap}_i.iscan()$ 5:6: indices. max-update(j, t)7: procedure ISCAN() $(t_1, t_2) \leftarrow indices.scan-max()$ 8: $\mathsf{T}[t_1 + t_2].write((t_1, t_2))$ 9: 10: return $t_1 + t_2$ 11: **procedure** VIEW(t, i, j, V[1..j - i + 1]) $(t_1, t_2) \leftarrow \mathsf{T}[t].read()$ 12:if $i \leq c_1$ then 13: $\mathsf{snap}_1.view(t_1,i,\min\{j,c_1\},V[1..\min\{c_1-i+1,j-i+1\}])$ 14: if $j \ge c_1 + 1$ then 15: $\operatorname{snap}_2.view(t_2, \max\{i - c_1, 1\}, j - c_1, V[\max\{1, c_1 - i + 2\}, j - i + 1])$ 16:

step in U'. Since there is a $Y \in \mathcal{Y}(U)$ with t(Y) = t(Y(U)), X(U) cannot occur between Y and Y(U), so $X(U) \to Y(U)$ and $Y(U) \in \mathcal{Y}(U)$. We linearize U at Z(U). If multiple UPDATE operations are linearized at the same point, then linearize them in the order of their $\operatorname{snap}_{i}.update$ steps. A VIEW operation is linearized when it returns.

▶ Lemma 12. An UPDATE operation U that updates $snap_j$ is linearized before an ISCAN operation S if and only if X(U) occurs before the first $snap_j$.iscan step returning $t_j(S)$.

Proof. Suppose U is linearized before S. Let Y be the first snap_j .iscan step returning $t_j(S)$. Since U is linearized before S, its linearization point, Z(U), occurs before the indices.max-scan step in S, so $t(Y(U)) \leq t_j(S) = t(Y)$. If t(Y(U)) < t(Y), then $X(U) \to Y(U) \to Y$. Otherwise, if t(Y(U)) = t(Y), then $Y \to Y(U)$ by definition of Y. Since t(Y) = t(Y(U)), there are no snap_j .update steps between Y and Y(U), so we must have $X(U) \to Y \to Y(U)$.

Conversely, suppose X(U) occurs before the first $\operatorname{snap}_j.iscan$ step Y returning $t_j(S)$. Let Z be the first $\operatorname{snap}_j.max-update(j, t_j(S))$ step, which is part of some UPDATE operation U', and let Y' be the $\operatorname{snap}_j.iscan$ step in U' which returned $t_j(S)$. By assumption, $X(U) \to Y \to Y'$, so $Y' \in \mathcal{Y}(U)$ and $Z \in \mathcal{Z}(U)$. Thus, U is linearized no later than Z, which is before the indices.max-scan step in S, so U is linearized before S.

▶ Lemma 13. Let S be an ISCAN operation, and let U_1, \ldots, U_p be the UPDATE operations linearized before S that update snap_j , in the order in which they are linearized. Then $X(U_1) \to X(U_2) \to \cdots \to X(U_p)$ and $t(Y(U_1)) \le t(Y(U_2)) \le \cdots \le t(Y(U_p)) = t_j(S)$.

Proof. Let $1 \leq i < j \leq p$. Suppose, for a contradiction, that $t(Y(U_i)) > t(Y(U_j))$, so $X(U_j) \to Y(U_j) \to Y(U_i)$, $Y(U_i) \in \mathcal{Y}(U_j)$, and $Z(U_i) \in \mathcal{Z}(U_j)$. It follows that $Z(U_i) = Z(U_j)$, for otherwise U_j is linearized before U_i . Thus, $Y(U_i) = Y(U_j)$, so $t(Y(U_i)) = t(Y(U_j))$, which is a contradiction. To see that $t(Y(U_p)) = t_j(S)$, note that the UPDATE operation which performs the first indices.max-update $(j, t_j(S))$ step Z, is linearized no later than Z, which is linearized before S.

Similarly, suppose for a contradiction that $X(U_j) \to X(U_i)$. It follows that $X(U_j) \to Y(U_i)$, $Y(U_i) \in \mathcal{Y}(U_j)$, and $Z(U_i) \in \mathcal{Z}(U_j)$. Thus, U_j would be linearized at no later than $Z(U_i)$, and it would be linearized before U_i since $X(U_j) \to X(U_i)$, a contradiction.

17:12 Atomic Snapshots from Small Registers

▶ Lemma 14. Consider any ISCAN operation S and any $VIEW(t(S), 1, c_1 + c_2, V[1..c_1 + c_2])$ operation. Then, after this VIEW operation completes, V[c] is set to the value of the last UPDATE operation on component c linearized before S, or \perp if no such operation exists, for all components c.

Proof. We only consider when $c \in \{1, \ldots, c_1\}$; the case for $c \in \{c_1 + 1, \ldots, c_1 + c_2\}$ is similar. Suppose that no UPDATE to component c is linearized before S. By Lemma 12, no $\operatorname{snap}_1.update(c, u)$ step occurs before the first $\operatorname{snap}_1.iscan$ step returning index $t_1(S)$. Thus, the view of snap_1 at index $t_1(S)$ has component c set to \bot , so $V[c] = \bot$.

Now, suppose some UPDATE to component c is linearized before S. Let U be the last such UPDATE, and let Y be the first $\operatorname{snap}_1.iscan$ step returning index $t_1(S)$. By Lemma 12, any UPDATE operation U' on component c such that $X(U') \to Y$ is linearized before S. Since U is the last UPDATE on component c linearized before S, Lemma 13 implies that $X(U') \to X(U)$. It follows that the view of snap_1 at index $t_1(S)$ has component c set to the value of X(U), which is the value of U, so V[c] is set to the value of U.

▶ Lemma 15. For any two ISCAN operations S_1 and S_2 , $t(S_1) < t(S_2)$ if and only if S_1 is linearized before S_2 and there is at least one UPDATE linearized between them.

Proof. If $t(S_1) < t(S_2)$, then S_1 is linearized before S_2 since they are linearized at their respective indices. max-scan steps. Furthermore, we must have either $t_1(S_1) < t_1(S_2)$ or $t_2(S_1) < t_2(S_2)$. Suppose $t_1(S_1) < t_1(S_2)$; the other case is similar. Since $t_1(S_1) < t_1(S_2)$, there was a indices. max-update $(1, t_1(S_2))$ step Z before the start of S_2 . Let U be the UPDATE operation which performed Z. Let Y be the first snap₁. iscan with $t(Y) = t_1(S_2)$. Since $t(Y) = t(Y(U)), X(U) \rightarrow Y$ and Lemma 12 implies that U is linearized before S. Since $t(Y(U)) = t_1(S_2) > t_1(S_1)$, Lemma 13 implies that U is linearized after S_1 .

Conversely, suppose S_1 is linearized before S_2 and there is an UPDATE operation U linearized between them. Suppose that U updates snap_j . Since S_1 is linearized before S_2 , $t(S_1) \leq t(S_2)$. Since U is linearized after S_1 , by Lemma 12, X(U) occurred after the first snap_j .iscan step Y returning $t_j(S_1)$. Since $Y \to X(U) \to Y(U)$, it follows that $t(Y(U)) > t(Y) = t_j(S_1)$. Furthermore, since U is linearized before S_2 , by Lemma 13, $t(Y(U)) \leq t_j(S_2)$. Therefore $t(S_1) = t_j(S_1) + t_{3-j}(S_1) < t_j(S_2) + t_{3-j}(S_1) \leq t_j(S_2) + t_{3-j}(S_1) \leq t_j(S_2) + t_{3-j}(S_2) = t(S_2)$, where $t_2(S_1) \leq t_2(S_2)$ follows since the indices.max-scan step in S_1 occurs before the indices.max-scan step in S_2 .

▶ Lemma 16. For every $\ell \ge 0$, there is an implementation of a b-limited use 2^{ℓ} -component implicit snapshot from w-bit registers, $\Theta(\log(bn+1))$ -bit registers, and 1-bit registers, where w is the number of bits needed to represent each component of the snapshot. The implementation satisfies the following properties:

- 1. The ISCAN operation consists of $O((\log(bn+1))^2)$ reads and writes on 1-bit registers and a write to a $\Theta(\log(bn+1))$ -bit register. Furthermore, for any two ISCAN operations S_1 and S_2 , $|t(S_1) - t(S_2)| \le kn$, where k is the number of UPDATE operations linearized between S_1 and S_2 .
- **2.** The UPDATE operation consists of $O((\log(bn+1))^2(\ell+1))$ reads and writes on 1-bit registers, ℓ writes to $\Theta(\log(bn+1))$ -bit registers, and a write to a w-bit register.
- **3.** The VIEW operation on an index returned by an ISCAN operation and a range (i, j) of components consists of $T_{\ell}(i, j) = 1 + \ell + 2(j i) \sum_{d=0}^{\ell-1} (y_d x_d) \le 1 + 2(\ell + j i)$ reads on $\Theta(\log(bn + 1))$ -bit registers and j i + 1 reads on w-bit registers, where $x_{\ell-1} \cdots x_0$ and $y_{\ell-1} \cdots y_0$ are the binary representations of i 1 and j 1, respectively.

Proof. By induction on ℓ . The base case, when $\ell = 0$, holds by Theorem 11. Suppose now that the claim holds for ℓ and consider $\ell + 1$. We consider what happens when we build a *b*-limited use $2^{\ell+1}$ -component implicit snapshot object from two *b*-limited use 2^{ℓ} -component implicit snapshot objects using Algorithm 2.

(1) Let S_1 and S_2 be any two ISCAN operations. For $i, j \in \{1, 2\}$, let Y_j^i be the first $\operatorname{snap}_j .iscan$ step returning $t_j(S_i)$. By assumption, $|t_j(Y_j^1) - t_j(Y_j^2)| \leq q_j n$, where k_j is the number of UPDATE operations which had their $\operatorname{snap}_j .update$ step linearized between Y_j^1 and Y_j^2 . By Lemma 12, an UPDATE operation U which updates snap_j is linearized between S_1 and S_2 if and only if X(U) occurs between Y_j^1 and Y_j^2 . It follows that $k_1 + k_2 = k$. Thus, $|t(S_1) - t(S_2)| = |t_1(Y_1^1) - t_1(Y_1^2) + t_2(Y_2^1) - t_2(Y_2^2)| \leq |t(Y_1^1) - t(Y_1^2)| + |t(Y_2^1) - t(Y_2^2)| \leq (k_1 + k_2)n = kn$. Since $k \leq b$, this shows that indices can be (bn + 1, bn + 1)-bounded and T can be an array of bn + 1 $\Theta(\log(bn + 1))$ -bit registers. By Theorem 2, indices can be implemented from 1-bit registers with step complexity $\Theta((\log(bn + 1))^2)$ for MAX-UPDATE and MAX-SCAN. Therefore, an ISCAN consists of $O((\log(bn + 1))^2)$ reads and writes on 1-bit registers, and a write to a $\Theta(\log(bn + 1))$ -bit register.

(2) $\operatorname{snap}_j.update$ consists of $O((\log(bn+1))^2(\ell+1))$ reads and writes on 1-bit registers, ℓ writes to $\Theta(\log(bn+1))$ -bit registers, and a write to a *w*-bit register. $\operatorname{snap}_j.iscan$ consists of $O((\log(bn+1))^2)$ reads and writes on 1-bit registers and a write to a $\Theta(\log(bn+1))$ -bit register. indices.max-update consists of $O((\log(bn+1))^2)$ reads and writes on 1-bit registers. Thus, in total, an UPDATE consists of $O((\log(bn+1))^2(\ell+2))$ reads and writes on 1-bit registers, $\ell+1$ writes to $\Theta(\log(bn+1))$ -bit registers, and a write to a *w*-bit register.

(3) By Algorithm 2, a VIEW operation at an index returned by an ISCAN operation on a range (i, j) of components consists of

$$T_{\ell+1}(i,j) = \begin{cases} T_{\ell}(i-2^{\ell},j-2^{\ell})+1 & i > 2^{\ell} \\ T_{\ell}(i,j)+1 & j \le 2^{\ell} \\ T_{\ell}(i,2^{\ell})+T_{\ell}(1,j-2^{\ell})+1 & \text{otherwise} \end{cases}$$

reads on $\Theta(\log(bn+1))$ -bit registers. Let $x_{\ell} \cdots x_0$ and $y_{\ell} \cdots y_0$ be the binary representations of i-1 and j-1, respectively. If $i > 2^{\ell}$, then $x_{\ell} = y_{\ell} = 1$ and $0x_{\ell-1} \cdots x_0$ and $0y_{\ell-1} \cdots y_0$ are the binary representations of $(i-2^{\ell})-1$ and $(j-2^{\ell})-1$. In this case, it follows that

$$T_{\ell+1}(i,j) = T_{\ell}(i-2^{\ell},j-2^{\ell}) + 1 = 1 + \ell + 2((j-2^{\ell}) - (i-2^{\ell})) - \sum_{d=0}^{\ell-1} (x_d - y_d) + 1$$
$$= 1 + (\ell+1) + 2(j-i) - \sum_{d=0}^{\ell} (x_d - y_d).$$

If $j \leq 2^{\ell}$, then $x_{\ell} = y_{\ell} = 0$ and $0x_{k-1} \dots x_0$ and $0y_{k-1} \dots y_0$ are the binary representations of i-1 and j-1, respectively. In this case, it follows that

$$T_{\ell+1}(i,j) = T_{\ell}(i,j) + 1 = 1 + \ell + 2(j-i) - \sum_{d=0}^{\ell-1} (y_d - x_d) + 1$$
$$= 1 + (\ell+1) + 2(j-i) + \sum_{d=0}^{\ell} (y_d - x_d).$$

17:14 Atomic Snapshots from Small Registers

Otherwise, if $i \leq 2^{\ell}$ and $j > 2^{\ell}$, then $x_{\ell} = 0$ and $y_{\ell} = 1$ and $0x_{\ell-1} \dots x_0$ and $0y_{\ell-1} \dots y_0$ are the binary representations of i-1 and $(j-2^{\ell})-1$, respectively. Note that the binary representation of $2^{\ell}-1$ is $01 \cdots 1$ (one zero followed by $\ell-1$ ones). In this case, it follows that

$$\begin{aligned} T_{\ell+1}(i,j) &= T_{\ell}(i,2^{\ell}) + T_{\ell}(1,j-2^{\ell}) + 1 \\ &= \left[1 + \ell + 2(2^{\ell}-i) - \sum_{d=0}^{\ell-1} (1-x_d) \right] + \left[1 + \ell + 2(j-2^{\ell}-1) - \sum_{d=0}^{\ell-1} y_d \right] + 1 \\ &= 1 + (\ell+1) + 2(j-i) - \sum_{d=0}^{\ell} (y_d - x_d) \,. \end{aligned}$$

In all 3 cases, there are j - i + 1 reads on w-bit registers.

•

Inductively constructing implicit snapshots from implicit snapshots on a smaller number of components via Lemma 16, we obtain the following:

▶ **Theorem 17.** There is an implementation of a b-limited-use m-component implicit snapshot from w-bit registers, $\Theta(\log(bn + 1))$ -bit registers, and 1-bit registers. The implementation satisfies the following:

- 1. The ISCAN operation consists of $O((\log(bn+1))^2)$ reads and writes on 1-bit registers and a write to a $\Theta(\log(bn+1))$ -bit register.
- **2.** The UPDATE operation consists of $O((\log(bn + 1))^2 \log m)$ reads and writes on 1-bit registers, $\log m$ writes to $\Theta(\log(bn + 1))$ -bit registers, and a write to a w-bit register.
- **3.** The VIEW operation on an index returned by an ISCAN operation and a range (i, j) of components consists of at most $1 + 2(\log m + j i)$ reads on $\Theta(\log(bn + 1))$ -bit registers and j i + 1 reads on w-bit registers.

Algorithm 3 A	faster b -	-limited-use <i>m</i> -com	ponent implicit sn	apshot object.
---------------	--------------	----------------------------	--------------------	----------------

- procedure UPDATE(c, v)
 old.update(c, v)
 index.write-max(old.iscan())
 procedure ISCAN()
 return index.read-max()
- 6: **procedure** VIEW(t, i, j, V)
- 7: old.view(t, i, j, V)

We can reduce the step complexity of ISCAN to $O(\log(bn+1))$ reads on $\Theta(\log(bn+1))$ -bit registers. The idea is to use the old implicit snapshot implementation from Theorem 17 and use a (bn + 1)-bounded max register index, as in Algorithm 1, to store the most recent index. An UPDATE operation performs old.*update* to handle the actual update and then performs index.*write-max*(old.*iscan*()) to store the most recent index. An ISCAN simply returns the result of index.*read-max*. A VIEW operation calls old.*view*. See Algorithm 3 for the pseudocode.

Since old is linearizable, we can assume that the embedded old.update and old.iscan operations are atomic. UPDATEs which write t to index are linearized at the first point that index has value at least t. Ties are broken in order of the embedded old.update operations. ISCANs are linearized when they perform index.read-max.

Finally, by using the large register simulation of Aghazadeh, Golab, and Woelfel [2], we can obtain an implementation from k-bit registers, for $k \in \Omega(\log n)$. Putting this all together, we obtain the following:

▶ **Theorem 18.** For $b \in n^{O(1)}$ and $k \in \Omega(\log n)$, there is an implementation of a b-limited-use *m*-component snapshot from *k*-bit registers with step complexity $O(w + (\log n)^2 \log m)$ for UPDATE and step complexity $O(mw + \log n)$ for SCAN, if each component of the snapshot consists of $\Theta(wk)$ bits.

5 Conclusions

Aghazadeh, Golab, and Woelfel's [2] large register simulation requires registers with $\Omega(\log n)$ bits. Peterson's large register simulation works for arbitrarily small registers, but it is a single-writer register simulation and it is unclear if the simulation can be modified to efficiently implement interruptible reads. It would be interesting to find a large register simulation, which works for arbitrarily small registers, that can implement efficient interruptible reads.

It is not possible to directly apply our techniques to other, more efficient, snapshots [7, 6, 9] without significantly increasing their step complexities because they read too many large registers and they need most of the bits they read. We would like to investigate the possibility of modifying these snapshots to obtain a faster snapshot from $\Theta(\log n)$ -bit registers.

For $b \in n^{O(1)}$, we showed how to implement a *b*-limited-use *m*-component snapshot from $\Theta(\log n)$ -bit registers with UPDATE step complexity $\Theta(w + (\log n)^2 \log m)$ and SCAN step complexity $\Theta(mw + \log n)$, if each component of the snapshot consists of $\Theta(w \log n)$ bits. We had to use multi-writer registers. It would be interesting to see if it is possible to obtain similar results with only single-writer registers.

Acknowledgments. We thank Hagit Attiya and David Solymosi for helpful discussions. This research was supported by the Natural Science and Engineering Research Council of Canada.

— References

- 1 Yehuda Afek, Hagit Attiya, Danny Dolev, Eli Gafni, Michael Merritt, and Nir Shavit. Atomic snapshots of shared memory. *Journal of the ACM*, 40(4):873–890, 1993.
- 2 Zahra Aghazadeh, Wojciech Golab, and Philipp Woelfel. Making objects writable. In Proceedings of the Thirty-Third ACM Symposium on Principles of Distributed Computing (PODC), pages 385–395, 2014.
- 3 James Aspnes, Hagit Attiya, and Keren Censor-Hillel. Polylogarithmic concurrent data structures from monotone circuits. *Journal of the ACM*, 59(1):2:1–2:24, 2012.
- 4 James Aspnes, Hagit Attiya, Keren Censor-Hillel, and Faith Ellen. Limited-use atomic snapshots with polylogarithmic step complexity. *Journal of the ACM*, 62(1):3:1–3:22, 2015.
- 5 Hagit Attiya, Rachid Guerraoui, and Eric Ruppert. Partial snapshot objects. In Proceedings of the Twentieth Annual ACM Symposium on Parallelism in Algorithms and Architectures (SPAA), pages 336–343, 2008.
- 6 Hagit Attiya, Maurice Herlihy, and Ophir Rachman. Atomic snapshots using lattice agreement. Distributed Computing, 8(3):121–132, 1995.
- 7 Hagit Attiya and Ophir Rachman. Atomic snapshots in O(n log n) operations. In Proceedings of the Twelfth Annual ACM Symposium on Principles of Distributed Computing (PODC), pages 29–40, 1993.

17:16 Atomic Snapshots from Small Registers

- 8 Maurice P. Herlihy and Jeannette M. Wing. Linearizability: A correctness condition for concurrent objects. ACM Transactions on Programming Languages and Systems, 12(3):463– 492, 1990.
- 9 Michiko Inoue, Toshimitsu Masuzawa, Wei Chen, and Nobuki Tokura. Linear-time snapshot using multi-writer multi-reader registers. In Proceedings of the Eighth International Workshop on Distributed Algorithms (WDAG), pages 130–140, 1994.
- 10 Gary L. Peterson. Concurrent reading while writing. ACM Transactions on Programming Languages and Systems, 5(1):46–55, 1983.