

Popular Half-Integral Matchings

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Abstract

In an instance $G = (A \cup B, E)$ of the stable marriage problem with strict and possibly incomplete preference lists, a matching M is popular if there is no matching M' where the vertices that prefer M' to M outnumber those that prefer M to M' . All stable matchings are popular and there is a simple linear time algorithm to compute a maximum-size popular matching. More generally, what we seek is a *min-cost* popular matching where we assume there is a cost function $c : E \rightarrow \mathbb{Q}$. However there is no polynomial time algorithm currently known for solving this problem. Here we consider the following generalization of a popular matching called a popular *half-integral* matching: this is a fractional matching $\vec{x} = (M_1 + M_2)/2$, where M_1 and M_2 are the 0-1 edge incidence vectors of matchings in G , such that \vec{x} satisfies popularity constraints. We show that every popular half-integral matching is equivalent to a stable matching in a larger graph G^* . This allows us to solve the min-cost popular half-integral matching problem in polynomial time.

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1 Introduction

Let $G = (A \cup B, E)$ be an instance of the stable marriage problem on n vertices and m edges. Each vertex has a strict preference list ranking its neighbors. A matching M is stable if M admits no *blocking edge*, i.e., an edge (a, b) such that both a and b prefer each other to their respective assignments in M . The existence of stable matchings in G and the Gale-Shapley algorithm [7] to find one are classical results in graph algorithms.

Stability is a very strict condition and here we consider a relaxation of this called *popularity*. This notion was introduced by Gärdenfors [9] in 1975. We say a vertex $u \in A \cup B$ *prefers* matching M to matching M' if u is matched in M and unmatched in M' or it is matched in both and $M(u)$ ranks better than $M'(u)$ in u 's preference list. For any two matchings M and M' in G , let $\phi(M, M')$ be the number of vertices that prefer M to M' .

► **Definition 1.** A matching M is *popular* if $\phi(M, M') \geq \phi(M', M)$ for every matching M' in G , i.e., $\Delta(M, M') \geq 0$ where $\Delta(M, M') = \phi(M, M') - \phi(M', M)$.

Every stable matching is popular [9]. In fact, it is known that every stable matching is a minimum-size popular matching [10]. In applications such as matching students to projects or applicants to posts, it may be useful to consider a weaker notion (such as popularity) than the total absence of blocking edges for the sake of obtaining larger-sized matchings. Popularity provides “global stability” since a popular matching never loses an election to another matching; by relaxing stability to popularity, we have a larger pool of candidate matchings to choose from in such an application.

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When there is a cost function $c : E \rightarrow \mathbb{Q}$, what we seek is a min-cost popular matching. There are several polynomial time algorithms known [11, 5, 6, 16, 14, 15] for computing a min-cost stable matching in G . However, while a maximum-size popular matching can be computed in linear time [12], no polynomial time algorithm is currently known for computing a min-cost popular matching in an instance $G = (A \cup B, E)$ with strict preference lists, except when preference lists are complete [4].

A fractional matching \vec{p} is a convex combination of matchings, i.e., $\vec{p} = \sum_i p_i \cdot I(M_i)$ where $\sum_i p_i = 1$, $p_i \geq 0$ for all i , M_i 's are matchings in G , and $I(M)$ is the 0-1 edge incidence vector of M . The fractional matching \vec{p} is *popular* if $\Delta(\vec{p}, M) \geq 0$ for all matchings M in G where $\Delta(\vec{p}, M) = \sum_i p_i \cdot \Delta(M_i, M)$ (see Definition 1). It follows by linearity that if \vec{p} is a popular fractional matching then $\Delta(\vec{p}, \vec{q}) \geq 0$ for all fractional matchings \vec{q} .

Let \mathcal{P} be the polytope defined by the constraints that \vec{p} belongs to the matching polytope of G and $\Delta(\vec{p}, M) \geq 0$ for all matchings M in G . A simple description of \mathcal{P} was given in [13]. Thus a min-cost popular *fractional* matching can be computed in polynomial time.

Our results and techniques. Our main result is a polynomial time algorithm to compute a min-cost popular *half-integral* matching in G . A popular half-integral matching is a vector $\vec{x} \in \{0, \frac{1}{2}, 1\}^m \cap \mathcal{P}$. For any two popular matchings M_1 and M_2 in G , the half-integral matching $(I(M_1) + I(M_2))/2$ is popular. However not every popular half-integral matching is a convex combination of popular matchings – we show such an example in Section 2. Thus if \mathcal{Q} is the convex hull of popular half-integral matchings in G , then \mathcal{Q} need not be integral.

We show that every extreme point of \mathcal{Q} is a stable matching in a new (larger) graph G^* that we construct here. Thus the min-cost popular half-integral matching problem in G becomes the min-cost stable matching problem in G^* which can be solved in polynomial time. This also gives us a simple description of the polytope \mathcal{Q} via the stable matching polytope of G^* (i.e., the convex hull of stable matchings in G^*).

The main tool that we use here is the description of the polytope \mathcal{P} from [13]. We first show that every stable matching S in the new graph G^* can be mapped to a half-integral matching in G whose incidence vector belongs to \mathcal{P} . We then show that every extreme point \vec{p} of the convex hull \mathcal{Q} of popular half-integral matchings in G can be realized as a stable matching in G^* . We use the fact that $\vec{p} \in \mathcal{P}$ along with the fact that G is bipartite to show a “helpful witness” $(\alpha_u)_{u \in A \cup B} \in \{\pm 1, 0\}^n$. This witness will guide us in building a stable matching S in G^* that corresponds to \vec{p} .

A graph G' , similar to the graph G^* used here, was recently used in [4] to show that any stable matching in G' maps to a maximum-size popular matching M in G . However every maximum-size popular matching in G need not be obtained as a stable matching in G' . In the special case when preference lists are complete (i.e., G is $K_{|A|, |B|}$), all popular matchings in G can be realized as stable matchings in G' . The method used in [4] is similar to the method used in previous algorithms to compute maximum-size popular matchings [10, 12] – these show that there is no *popularity-improving* alternating path or cycle with respect to the matching returned. In contrast, our technique here is based on linear programming.

A min-cost popular half-integral popular matching has applications – consider the problem of assigning projects to students where each project can be split into two half-projects. Each half-project can be assigned to a distinct student and a student can be assigned two half-projects. A min-cost popular half-integral matching is a feasible assignment here that is popular and has the least cost. While fractional matchings, in general, may not be feasible in typical applications, half-integral matchings are more natural and suitable to applications.

Background. Algorithms for computing popular matchings [1] were first considered in the one-sided preference lists model where it is only vertices in A that have preferences and cast votes while vertices in B have no preferences. Popular matchings need not always exist in this model, however it was shown in [13] that popular fractional matchings always exist and using the description of \mathcal{P} , such a fractional matching can be found in polynomial time (via linear programming).

In the two-sided preference lists model, when preference lists have ties, $G = (A \cup B, E)$ need not always admit a popular matching and it is known that determining if G admits a popular matching or not is an NP-complete problem [2, 3]. When preference lists are strict, every stable matching is popular. The min-cost stable matching problem in an instance $G = (A \cup B, E)$ with strict preference lists is well-studied and descriptions of the stable matching polytope were given by Vande Vate [16], Rothblum [14], and Teo and Sethuraman [15].

We discuss preliminaries in Section 2. Section 3 describes the graph G^* and shows that every stable matching in G^* is a popular half-integral matching in G . Section 4 shows how every popular half-integral matching in G that is an extreme point of \mathcal{Q} (the popular half-integral matching polytope) can be obtained as a stable matching in G^* .

2 Preliminaries

For any vertex $u \in A \cup B$ and neighbors v and w , we will use the following function to show u 's preference for v vs w : $\text{vote}_u(v, w) = 1$ if u prefers v to w , it is -1 if u prefers w to v , else (i.e., when $v = w$) it is 0 . We will be using this function in the description of the popular fractional matching polytope \mathcal{P} .

Recall that a popular fractional matching is a point $\vec{x} = (x_e)_{e \in E}$ in the matching polytope of G such that $\Delta(\vec{x}, M) \geq 0$ for all matchings M in G . It will be convenient to assume that each vertex $u \in A \cup B$ is completely matched in every fractional matching \vec{x} in G . So we will revise \vec{x} so that each vertex u gets matched to an artificial last-resort neighbor $\ell(u)$ (which is placed at the bottom of u 's preference list) with weight $(1 - \sum_{e \in E(u)} x_e)$, where the sum is over all the edges e incident on u .

For convenience, we will continue to use \vec{x} to denote the revised \vec{x} in $[0, 1]^{m+n}$. We use \tilde{E} to denote the edge set $E \cup \{(u, \ell(u)) : u \in A \cup B\}$ and $\tilde{E}(u)$ is the set of edges in \tilde{E} that are incident on u . The following simple description of \mathcal{P} was given in [13]. In the constraints below, a variable α_u is associated with each $u \in A \cup B$ and not to last-resort neighbors.

$$\begin{aligned} \alpha_a + \alpha_b &\geq \sum_{(a,b') \in \tilde{E}(a)} x_{(a,b')} \cdot \text{vote}_a(b, b') + \sum_{(a',b) \in \tilde{E}(b)} x_{(a',b)} \cdot \text{vote}_b(a, a') \quad \forall (a,b) \in \tilde{E} \\ \sum_{u \in A \cup B} \alpha_u &= 0 \quad \text{and} \quad \sum_{e \in \tilde{E}(u)} x_e = 1 \quad \forall u \in A \cup B \quad \text{and} \quad x_e \geq 0 \quad \forall e \in \tilde{E}. \end{aligned}$$

The constraints above arise as the dual to the maximum weight matching problem in the graph \tilde{G}_x which is G augmented with last-resort neighbors and with edge set \tilde{E} , where the weight of an edge (a, b) is $\sum_{(a,b') \in \tilde{E}(a)} x_{(a,b')} \cdot \text{vote}_a(b, b') + \sum_{(a',b) \in \tilde{E}(b)} x_{(a',b)} \cdot \text{vote}_b(a, a')$. The constraint $\sum_{u \in A \cup B} \alpha_u = 0$ is equivalent to saying that the maximum weight of a matching in \tilde{G}_x is 0, in other words, \vec{x} is popular. We refer the reader to Section 3 of [13] for all the details.

For any fractional matching \vec{x} , if there exists $\vec{\alpha} = (\alpha_u)_{u \in A \cup B}$ such that \vec{x} and $\vec{\alpha}$ satisfy the above constraints, then we say $\vec{x} \in \mathcal{P}$. The vector $\vec{\alpha}$ will be called a *witness* to \vec{x} 's popularity.

a_0	v_1			
a_1	b_1	v_1		
a_2	b_1	b_2		
u_1	v_1	v_2	b_0	
u_2	v_2	b_2	v_1	

b_0	u_1			
b_1	a_2	a_1		
b_2	a_2	u_2		
v_1	u_2	a_1	u_1	a_0
v_2	u_1	u_2		

■ **Figure 1** The above table describes the preference lists of all the men and women in G . Here a_0 has a single neighbor v_1 while a_1 's top choice is b_1 , second choice is v_1 and so on for each vertex.

\mathcal{P} is not integral. We now show an example of a graph G and a fractional matching $\vec{p} \in \mathcal{P}$, however \vec{p} is not a convex combination of popular matchings. Let $A = \{a_0, a_1, a_2, u_1, u_2\}$, $B = \{b_0, b_1, b_2, v_1, v_2\}$, and the preference lists of vertices are described in Figure 1.

Consider the half-integral matching \vec{p} which has $p_{(a_1, b_1)} = p_{(a_2, b_2)} = 1$ and $p_e = \frac{1}{2}$ for $e \in \{(u_1, v_1), (u_2, v_2), (u_1, v_2), (u_2, v_1)\}$. For any other edge e , we have $p_e = 0$. This fractional matching belongs to \mathcal{P} by using the following α values: $\alpha_{a_0} = \alpha_{b_0} = 0$; $\alpha_{a_2} = \alpha_{b_1} = 1$; $\alpha_{a_1} = \alpha_{b_2} = -1$; and $\alpha_w = 0$ for $w \in \{u_1, u_2, v_1, v_2\}$.

There is only one way to express \vec{p} as a convex combination of integral matchings, that is, $\vec{p} = (I(M_1) + I(M_2))/2$, where $M_1 = \{(a_1, b_1), (a_2, b_2), (u_1, v_1), (u_2, v_2)\}$ and $M_2 = \{(a_1, b_1), (a_2, b_2), (u_1, v_2), (u_2, v_1)\}$. We show below that neither M_1 nor M_2 is popular.

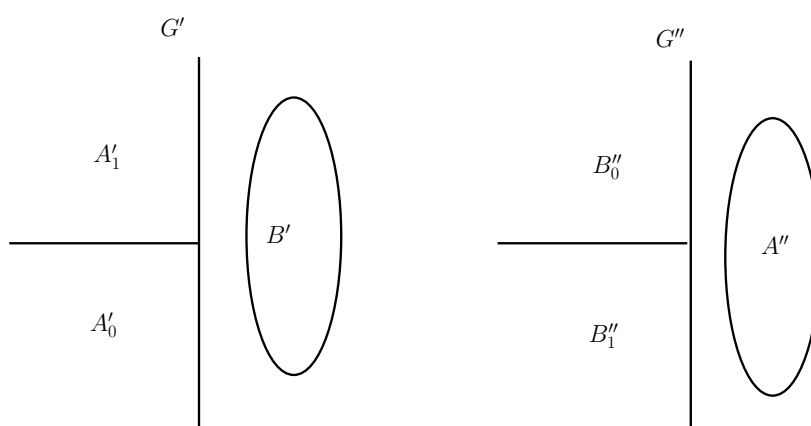
The matching $M'_1 = \{(u_1, b_0), (a_1, v_1), (a_2, b_1), (u_2, v_2)\}$ is more popular than M_1 and the matching $M'_2 = \{(a_0, v_1), (u_2, b_2), (a_2, b_1), (u_1, v_2)\}$ is more popular than M_2 . Thus \vec{p} is not in the convex hull of popular matchings in G .

The graph G' . Our input is a graph $G = (A \cup B, E)$ on n vertices and m edges. Note that there are no last-resort neighbors here – they were added only for the formulation of the polytope \mathcal{P} . Vertices in A and in B are usually referred to as men and women, respectively, and we follow the same convention here.

The construction of the following graph $G' = (A' \cup B', E')$, based on G , was shown in [4]. The set A' has two copies a_0 and a_1 of each man $a \in A$, the men in $\{a_0 : a \in A\}$ are called *level 0 men* of G' and those in $\{a_1 : a \in A\}$ are called *level 1 men* of G' . The set B' consists of all the women in B along with *dummy vertices* $\cup_{a \in A} \{d(a)\}$, where there is one dummy vertex per man in A . The preference lists of the vertices are as follows:

- each level 0 man a_0 has the same preference list as the corresponding man a in G except that the dummy vertex $d(a)$ occurs as his *least* preferred neighbor at the bottom of his preference list
- each level 1 man a_1 has the same preference list as the corresponding man a in G except that the dummy vertex $d(a)$ occurs as his *most* preferred neighbor at the top of his preference list
- each dummy vertex $d(a)$ has a_0 and a_1 as its neighbors: top choice is a_0 , followed by a_1
- every woman $b \in B$ has the following preference list in G' : all her level 1 neighbors (in the same order of preference as in G) followed by all her level 0 neighbors (in the same order of preference as in G).

We will be using this graph G' here; in fact, we will have two such graphs G' and G'' combining to form our new graph G^* . The graph G'' is analogous to the graph G' except that the roles of men and women (and also that of levels 0 and 1) are swapped here.



■ **Figure 2** The graph G' on the left and the graph G'' on the right in G^* . For $i = 0, 1$, we use A'_i to refer to level i men in G' and we use B''_i to refer to level i women in G'' .

3 The graph G^*

We define the graph G^* as follows: G^* consists of two vertex-disjoint subgraphs G' and G'' (see Figure 2). The graph G' was described in Section 2.

In the graph $G'' = (B'' \cup A'', E'')$, women are on the left side of G'' and men are on the right side – the set B'' has two copies b_0 and b_1 of each woman $b \in B$, the women in $\{b_0 : b \in B\}$ are called *level 0 women* of G'' and those in $\{b_1 : b \in B\}$ are called *level 1 women* of G'' .

The set A'' consists of all the men in A along with new dummy vertices $\cup_{b \in B} \{d(b)\}$, where there is one dummy vertex per woman in B . The preference lists of the vertices are as follows:

- each level 0 woman b_0 has the same preference list as the corresponding woman b in G except that the dummy vertex $d(b)$ occurs as her *most* preferred neighbor at the top of her preference list
- each level 1 woman b_1 has the same preference list as the corresponding woman b in G except that the dummy vertex $d(b)$ occurs as her *least* preferred neighbor at the bottom of her preference list
- each dummy vertex $d(b)$ has only b_0 and b_1 as its neighbors: its top choice is b_1 , followed by b_0
- every man $a \in A$ has the following preference list in G'' : all his level 0 neighbors (in the same order of preference as in G) followed by all his level 1 neighbors (in the same order of preference as in G).

We want all stable matchings in G^* to be perfect matchings – note that all level 0 men in G' and all level 1 women in G'' will be matched in any stable matching in G^* since they are top-choice neighbors for their respective dummy neighbors. However the same cannot be said about level 1 men in G' and level 0 women in G'' .

In order to take care of these vertices, we add the following “self-loop” edges to G^* : the edge (a_1, a) for each man a in A , where $a_1 \in A'_1$ and $a \in A''$, and the edge (b_0, b) for each woman b in B , where $b_0 \in B''_0$ and $b \in B'$. The vertex $a_1 \in A'_1$ regards $a \in A''$ as his worst ranked neighbor and similarly, $b_0 \in B''_0$ regards $b \in B'$ as her worst ranked neighbor.

For any man $a \in A''$, the vertex a_1 is in the middle of his preference list, sandwiched between all his level 0 neighbors and all his level 1 neighbors as shown in (1) below. More

precisely, a_1 is sandwiched between b'_0 and b'_1 , where $b' > \dots > b''$ is a 's preference list in G . Thus b'_0 is a 's worst level 0 neighbor and b'_1 is a 's best level 1 neighbor.

$$a : b'_0 > \dots > b'_0 > \underline{a_1} > b'_1 > \dots > b'_1; \quad b : a'_1 > \dots > a'_1 > \underline{b_0} > a'_0 > \dots > a'_0. \quad (1)$$

Similarly, for any woman $b \in B'$, the vertex b_0 is in the middle of her preference list, sandwiched between all her level 1 neighbors and all her level 0 neighbors as shown in (1). More precisely, b_0 is sandwiched between a'_1 and a'_0 , where $a' > \dots > a''$ is b 's preference list in G . Using the fact that all stable matchings in G^* match the same set of vertices [8], it can be shown that every stable matching in G^* is perfect.

The function f . We now define a function $f : \{\text{stable matchings in } G^*\} \rightarrow \{\text{half-integral matchings in } G\}$. Observe that every stable matching in G^* has to match all dummy vertices since each of these is a top-choice neighbor for someone. Thus out of a_0 and a_1 in A' , only one is matched to a non-dummy neighbor and similarly, out of b_0 and b_1 in B'' , only one is matched to a non-dummy neighbor.

Let S be any stable matching in G^* . By removing all self-loops that occur in S and those edges in S that contain a dummy vertex, the resulting matching is the union of two matchings S' and S'' in G . We define $f(S)$ to be $(I(S') + I(S''))/2$, where $I(M) \in \{0, 1\}^m$ is the 0-1 edge incidence vector of M . So $f(S)$ is a valid half-integral matching in G .

► **Theorem 2.** *For any stable matching S in G^* , the half-integral matching $f(S)$ is popular in G .*

Proof. We are given a stable matching S in G^* . Recall that we pruned all edges that contain a dummy vertex and all self-loops from S to define $f(S)$. We now prune all dummy vertices, their partners in S , and self-loops from G^* also – let H^* denote the pruned graph G^* . Let H' denote the pruned subgraph G' and let H'' denote the pruned subgraph G'' .

The men in the graph H' consist of one copy of each $a \in A$ – some of these are in level 0 and the rest are in level 1. The women in H' are exactly those in B . The women in H'' consist of one copy of each $b \in B$ – some of these are in level 0 and the rest are in level 1. The men in H'' are exactly those in A . Thus H' and H'' are two copies of the graph G .

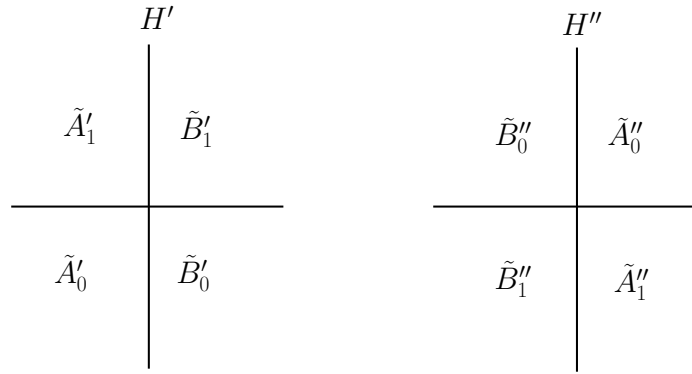
Let S' be the pruned matching (resulting from S) restricted to H' and let S'' be the pruned matching (resulting from S) restricted to H'' . Let \tilde{A}'_i denote the set of level i men in H' , for $i = 0, 1$ (see Figure 3). Let \tilde{B}'_i consist of women matched in S' to men in \tilde{A}'_i , for $i = 0, 1$. Women unmatched in S' are added to \tilde{B}'_1 .

Similarly, \tilde{B}''_i consists of level i women in the H'' part of H^* and \tilde{A}''_i denotes the set of men matched in S'' to women in \tilde{B}''_i , for $i = 0, 1$. Men unmatched in S'' are added to \tilde{A}''_0 .

For each edge $e = (a, b) \in H'$, define the function $w'(e)$ as follows: $w'(e) = \text{vote}_a(b, S'(a)) + \text{vote}_b(a, S'(b))$. If $S'(u)$ is undefined for any vertex u , then $\text{vote}_u(v, S'(u)) = 1$ for any neighbor v of u since every vertex prefers being matched to being unmatched. Note that if $(a, b) \in S'$ then $w'(e) = 0$.

Similarly, for each edge $e = (a, b) \in H''$, define the function $w''(e)$ as follows: $w''(e) = \text{vote}_a(b, S''(a)) + \text{vote}_b(a, S''(b))$. For any vertex u that is unmatched in S'' , we take $\text{vote}_u(v, S''(u)) = 1$, for any neighbor v of u . Note that $w'(e)$ and $w''(e)$ always take values in $\{-2, 0, 2\}$. Due to the stability of the matching S in G^* , the following observations hold:

- Every edge $e \in \tilde{A}'_1 \times \tilde{B}'_0$ has to satisfy $w'(e) = -2$. Similarly, every edge $e \in \tilde{A}''_1 \times \tilde{B}''_0$ has to satisfy $w''(e) = -2$.



■ **Figure 3** The graph H' on the left and the graph H'' on the right in the graph H^* .

Consider an edge (a_1, b) in $\tilde{A}'_1 \times \tilde{B}'_0$. It follows from the definition of preference lists of women in G' that the woman b prefers a_1 (a level 1 man) to her partner $S'(b)$ (a level 0 man). Since S is stable, it follows that a_1 prefers his partner $S'(a_1)$ to b . Moreover, a_0 prefers b to $S'(a_0) = d(a)$, since $d(a)$ is a_0 's last choice. Thus b prefers her partner $S'(b)$ to a_0 . So $\text{vote}_a(b, S'(a)) = \text{vote}_b(a, S'(b)) = -1$. A similar proof holds for any edge $e \in \tilde{A}'_1 \times \tilde{B}'_0$.

- Every edge e such that $w'(e) = 2$ has to be in $\tilde{A}'_0 \times \tilde{B}'_1$. Similarly, every edge e such that $w''(e) = 2$ has to be in $\tilde{A}''_0 \times \tilde{B}''_1$.

If e is an edge in H' such that $w'(e) = 2$, then $e \notin \tilde{A}'_i \times \tilde{B}'_i$ (for $i = 0, 1$) as such an edge would block S . We have already seen that any edge $e \in \tilde{A}'_1 \times \tilde{B}'_0$ satisfies $w'(e) = -2$. Thus any edge e such that $w'(e) = 2$ has to be in $\tilde{A}'_0 \times \tilde{B}'_1$. We can similarly show that any edge e in H'' such that $w''(e) = 2$ has to be in $\tilde{A}''_0 \times \tilde{B}''_1$.

We will now show that $f(S) \in \mathcal{P}$ by assigning appropriate α_u values for all u in $A \cup B$. We first define α'_u and α''_u :

- let $\alpha'_u = -1$ if $u \in \tilde{A}'_1 \cup \tilde{B}'_0$ and let $\alpha'_u = 1$ if $u \in \tilde{A}'_0 \cup \tilde{B}'_1$.
- let $\alpha''_u = -1$ if $u \in \tilde{A}''_1 \cup \tilde{B}''_0$ and let $\alpha''_u = 1$ if $u \in \tilde{A}''_0 \cup \tilde{B}''_1$.

The following is an immediate corollary of the above observations and the definitions of α'_u and α''_u : $\alpha'_a + \alpha'_b \geq w'(a, b)$ and $\alpha''_a + \alpha''_b \geq w''(a, b)$ for all edges (a, b) . Also for any vertex u that is unmatched in S' and S'' , we have $\alpha'_u + \alpha''_u = 0$.

Define $\alpha_u = (\alpha'_u + \alpha''_u)/2$ for all $u \in A \cup B$. Observe that $\sum_{u:A \cup B} \alpha_u = 0$. The above constraints imply that $(\alpha_u)_{u \in A \cup B}$ and the incidence vector of $f(S)$ satisfy the constraints of the polytope \mathcal{P} . Thus $f(S)$ is a popular half-integral matching. ◀

4 Constructing a stable matching in G^*

We showed in the previous section that f maps stable matchings in G^* to popular half-integral matchings in G . In fact, $f(S)$ is what we will call a *full* half-integral matching, i.e., for every vertex $u \in A \cup B$, either u is fully matched in $f(S)$ or it is fully unmatched in $f(S)$. Let $\vec{p} \in \{0, \frac{1}{2}, 1\}^m$ be a full half-integral matching that is popular. Since $\vec{p} \in \mathcal{P}$, there exists a witness $(\alpha_u)_{u \in A \cup B}$ to \vec{p} 's popularity. The following lemma will be useful to us.

► **Lemma 3.** *There exists a witness $(\alpha_u)_{u \in A \cup B}$ to \vec{p} 's popularity such that $\alpha_u \in \{\pm 1, 0\}$, for each $u \in A \cup B$.*

22:8 Popular Half-Integral Matchings

Proof. In order to show such a witness, we will consider the following linear program:

$$\begin{aligned} & \text{minimize} && \sum_{u \in A \cup B} \alpha_u && \text{(LP1)} \\ & \text{subject to} && && \end{aligned}$$

$$\alpha_a + \alpha_b \geq \sum_{(a,b') \in \tilde{E}(a)} p_{(a,b')} \cdot \text{vote}_a(b, b') + \sum_{(a',b) \in \tilde{E}(b)} p_{(a',b)} \cdot \text{vote}_b(a, a') \quad \forall (a, b) \in \tilde{E}$$

Recall that \tilde{E} is the set $E \cup \{(u, \ell(u)) : u \in A \cup B\}$, where $\ell(u)$ is the artificial last-resort neighbor of vertex u . In the above constraints, let us denote the right hand side quantity corresponding to edge e by $\text{value}_p(e)$. Since \vec{p} is a full half-integral matching, it is easy to see that $\text{value}_p(e)$ is integral for all edges e .

Consider the polyhedron defined by the above constraints $N \cdot \vec{\alpha} \geq \vec{c}$, where N is the above $(m+n) \times n$ constraint matrix, $\vec{\alpha}$ is the column of unknowns α_u , for $u \in A \cup B$, and \vec{c} is the column vector of $\text{value}_p(\cdot)$ values. The top $m \times n$ sub-matrix of N is the edge-vertex incidence matrix U of the graph G and the bottom $n \times n$ matrix is the identity matrix I . Since the graph G is bipartite, the matrix U is totally unimodular and hence the matrix N is totally unimodular. Since \vec{c} is an integral vector, it follows that all the vertices of $N \cdot \vec{\alpha} \geq \vec{c}$ are integral.

Thus there is an integral optimal solution to (LP1), call it $\vec{\alpha}^*$. We need to now show that $\vec{\alpha}^* \in \{\pm 1, 0\}^n$. It follows from the constraints corresponding to the edges $(u, \ell(u))$ that $\alpha_u^* \geq -1$ if u is matched in \vec{p} and $\alpha_u^* \geq 0$ for u unmatched in \vec{p} . We now show the following claim.

► **Claim 4.** *Let $e = (a, b)$ be any edge such that $p_e > 0$. Then the constraint in (LP1) corresponding to e is tight, i.e., $\alpha_a^* + \alpha_b^* = \text{value}_p(e)$.*

Proof. Consider the dual program of (LP1): it is the maximum weight matching problem in the graph G augmented with last-resort neighbors and with edge set \tilde{E} , where the weight of edge e is $\text{value}_p(e)$. A maximum weight matching in this graph has weight 0 (because \vec{p} is popular). Since $\Delta(\vec{p}, \vec{p}) = 0$, the fractional matching \vec{p} is an optimal dual solution. It follows from complementary slackness conditions that if $p_{(a,b)} > 0$, then the constraint in (LP1) for edge (a, b) is tight. ◀

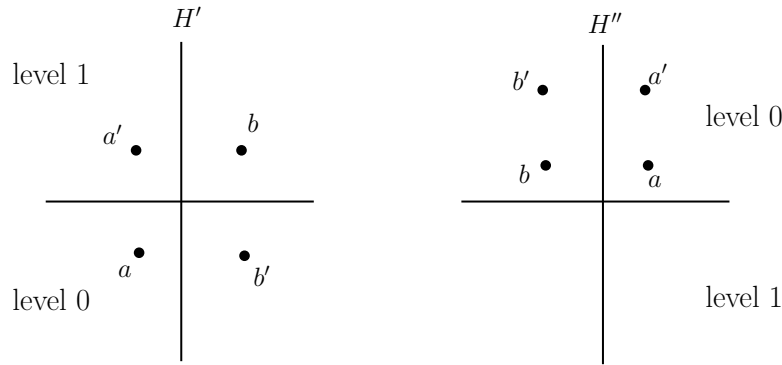
Observe that for any vertex u , there has to be an edge e incident on it with $p_e > 0$ and either $\text{value}_p(e) = 0$ or $\text{value}_p(e) = -1$ (the edge e between u and its worse partner v in \vec{p}). Using Claim 4 and the fact that $\alpha_v^* \geq -1$, we can now conclude that $\alpha_u^* \leq 1$. ◀

We will use the above lemma to show the following theorem in this section.

► **Theorem 5.** *Let $\vec{p} \in \{0, \frac{1}{2}, 1\}^m$ be a full half-integral matching that is popular. Then $\vec{p} = f(S)$ for some stable matching S in G^* .*

We will now build a stable matching S in the graph G^* such that $f(S) = \vec{p}$. For every edge $e = (a, b)$ such that $p_e > 0$, we need to decide which of the edges $(a_0, b), (a_1, b), (b_0, a), (b_1, a)$ will get included in S . In order to make this decision, we will build a graph H^* . The graph H^* consists of two copies H' and H'' of the input graph G .

Every vertex $u \in A \cup B$ gets assigned a level, denoted by $\text{level}'(u)$, in H' . For $a \in A$, $\text{level}'(a) = i$ fixes $a_i \in \{a_0, a_1\}$ to be the one that will be matched to a woman (i.e., a non-dummy vertex) in S . For $b \in B$, we say $\text{level}'(b) = i$ to fix b getting matched to some level i man in H' . We will say u is in level i in H' to mean $\text{level}'(u) = i$.



■ **Figure 4** Since $\alpha_a^* = 1$ and $\alpha_{b'}^* = -1$, we have $\text{level}'(a) = \text{level}'(b') = 0$ and similarly, $\text{level}''(a) = \text{level}''(b') = 0$. Since $\alpha_b^* = \alpha_{a'}^* = 0$, we have $\text{level}'(b) = \text{level}'(a') = 1$ and similarly, $\text{level}''(b) = \text{level}''(a') = 0$. So we place a and b' in level 0 in both H and H' and we place a' and b in level 1 in H' and in level 0 in H'' .

Similarly, every vertex $u \in A \cup B$ gets assigned a level, denoted by $\text{level}''(u)$, in H'' . For $b \in B$, $\text{level}''(b) = j$ fixes $b_j \in \{b_0, b_1\}$ to be the one that will be matched to a man (i.e., a non-dummy vertex) in S . For $a \in A$, we say $\text{level}''(a) = j$ to fix a getting matched to some level j woman in H'' . We will say u is in level j in H'' to mean $\text{level}''(u) = j$.

Since \vec{p} is a full half-integral matching that is popular, we know from Lemma 3 that there exists a witness $\vec{\alpha}^* = (\alpha_u^*)_{u \in A \cup B}$ in $\{-1, 0, 1\}^n$ to the popularity of \vec{p} . We will use $\vec{\alpha}^*$ to fix $\text{level}'(u)$ and $\text{level}''(u)$ for each vertex u as follows.

- $\alpha_u^* = -1$: If $u \in A$ then $\text{level}'(u) = \text{level}''(u) = 1$. If $u \in B$ then $\text{level}'(u) = \text{level}''(u) = 0$.
- $\alpha_u^* = 1$: If $u \in A$ then $\text{level}'(u) = \text{level}''(u) = 0$. If $u \in B$ then $\text{level}'(u) = \text{level}''(u) = 1$.
- $\alpha_u^* = 0$: For all $u \in A \cup B$, $\text{level}'(u) = 1$ and $\text{level}''(u) = 0$.

As an example, consider the 4-cycle G on 2 men a, a' and 2 women b, b' where both a and a' prefer b to b' and both b and b' prefer a to a' . Let \vec{p} be the half-integral matching with $p_e = 1/2$ for each edge e . This is popular and $\alpha_a^* = 1$, $\alpha_b^* = \alpha_{a'}^* = 0$, and $\alpha_{b'}^* = -1$ is a witness to \vec{p} 's popularity. Figure 4 shows how these vertices get placed in H' and in H'' .

For any vertex u , let v and v' be its neighbors in G such that \vec{p} has positive support on (u, v) and (u, v') . We will refer to v and v' as *partners* of u in \vec{p} . We need to show that either (i) $\text{level}'(u) = \text{level}'(v)$ and $\text{level}''(u) = \text{level}''(v')$, or (ii) $\text{level}'(u) = \text{level}'(v')$ and $\text{level}''(u) = \text{level}''(v)$. In other words, we need to show that u, v are level-compatible in one of H', H'' and u, v' are level-compatible in the other graph in H', H'' .

We will now show that our allocation of levels to men and women based on their α^* -values ensures this. If $v = v'$ then $p_{(u,v)} = 1$ and the (tight) constraint for edge (u, v) in the description of \mathcal{P} is $\alpha_u^* + \alpha_v^* = 0$. Thus (α_u^*, α_v^*) has to be one of $(1, -1), (0, 0), (-1, 1)$: in all three cases we have level-compatibility in both H' and H'' . The following lemma shows that even when u has two distinct partners v and v' in \vec{p} , there is level-compatibility.

► **Lemma 6.** *Every vertex that has two distinct partners in \vec{p} is level-compatible in H' with one partner and is level-compatible in H'' with another partner.*

Proof. We will show this lemma for any vertex $b \in B$. An analogous proof holds for any vertex in A . Let $a \neq a'$ be the partners of b in \vec{p} and let b prefer a to a' . We know that $p_{(a,b)} = p_{(a',b)} = 1/2$. Since \vec{p} is a full half-integral matching, a (similarly, a') has another neighbor $r(a)$ (resp., $r(a')$) with positive support in \vec{p} . We have four cases depending on how a and a' rank b versus $r(a)$ and $r(a')$, respectively.

22:10 Popular Half-Integral Matchings

1. If both a and a' prefer $r(a)$ and $r(a')$ respectively to b , then $\text{value}_p(a, b) = -\frac{1}{2} + \frac{1}{2} = 0$ and $\text{value}_p(a', b) = -\frac{1}{2} - \frac{1}{2} = -1$. By Claim 4, we know that $\alpha_a^* + \alpha_b^* = 0$ and $\alpha_{a'}^* + \alpha_b^* = -1$. So $(\alpha_a^*, \alpha_b^*, \alpha_{a'}^*)$ is either $(1, -1, 0)$ or $(0, 0, -1)$.
 - In the former case $\text{level}'(a) = \text{level}'(b) = 0$ and $\text{level}''(a') = \text{level}''(b) = 0$.
 - In the latter case $\text{level}'(a') = \text{level}'(b) = 1$ and $\text{level}''(a) = \text{level}''(b) = 0$.
2. If both a and a' prefer b to $r(a)$ and $r(a')$ respectively, then $\text{value}_p(a, b) = \frac{1}{2} + \frac{1}{2} = 1$ and $\text{value}_p(a', b) = \frac{1}{2} - \frac{1}{2} = 0$. By Claim 4, we know that $\alpha_a^* + \alpha_b^* = 1$ and $\alpha_{a'}^* + \alpha_b^* = 0$. So $(\alpha_a^*, \alpha_b^*, \alpha_{a'}^*)$ is either $(0, 1, -1)$ or $(1, 0, 0)$.
 - In the former case $\text{level}'(a) = \text{level}'(b) = 1$ and $\text{level}''(a') = \text{level}''(b) = 1$.
 - In the latter case $\text{level}'(a') = \text{level}'(b) = 1$ and $\text{level}''(a) = \text{level}''(b) = 0$.
3. If a prefers b to $r(a)$ while a' prefers $r(a')$ to b , then $\text{value}_p(a, b) = \frac{1}{2} + \frac{1}{2} = 1$ and $\text{value}_p(a', b) = -\frac{1}{2} - \frac{1}{2} = -1$. By Claim 4, we know that $\alpha_a^* + \alpha_b^* = 1$ and $\alpha_{a'}^* + \alpha_b^* = -1$. So $(\alpha_a^*, \alpha_b^*, \alpha_{a'}^*)$ is $(1, 0, -1)$.
 - Here $\text{level}'(a') = \text{level}'(b) = 1$ and $\text{level}''(a) = \text{level}''(b) = 0$.
4. If a prefers $r(a)$ to b while a' prefers b to $r(a')$, then $\text{value}_p(a, b) = -\frac{1}{2} + \frac{1}{2} = 0$ and $\text{value}_p(a', b) = \frac{1}{2} - \frac{1}{2} = 0$. By Claim 4, we know that $\alpha_a^* + \alpha_b^* = 0$ and $\alpha_{a'}^* + \alpha_b^* = 0$. So $(\alpha_a^*, \alpha_b^*, \alpha_{a'}^*)$ is $(1, -1, 1)$ or $(0, 0, 0)$ or $(-1, 1, -1)$.
 - In the first case, all three vertices a, b , and a' are in level 0 in both H' and H'' .
 - In the second case, all three vertices are in level 1 in H' and in level 0 in H'' .
 - In the third case, all three vertices are in level 1 in both H' and H'' . ◀

For any vertex u with partners v and v' in \vec{p} , where u prefers v to v' , we call v the *better partner* of u and v' the *worse partner* of u . If $p_{(a,b)} = 1$ for some edge (a, b) , then we regard a as both the better partner and the worse partner of b .

We are now ready to describe the construction of our matching S . We give the following two pairing rules for any $b \in B$ (let a be b 's better partner and a' be b 's worse partner):

1. if $\alpha_b^* \in \{\pm 1\}$ then pair b with a in H' and with a' in H'' .
2. if $\alpha_b^* = 0$ then pair b with a' in H' and with a in H'' .

More precisely, if $\alpha_b^* = -1$ then we include (a_0, b) and (b_0, a') in S ; if $\alpha_b^* = 1$ then we include (a_1, b) and (b_1, a') in S ; and if $\alpha_b^* = 0$ then we include (a'_1, b) and (b_0, a) in S .

Note that the above rules for pairing vertices follow from the proof of Lemma 6. A woman b with $\alpha_b^* = -1$ (similarly, $\alpha_b^* = 1$) is level-compatible with her better partner in level 0 (resp., level 1) in H' and with her worse partner in level 0 (resp., level 1) in H'' . Similarly, if $\alpha_b^* = 0$ then b is level-compatible with her worse partner in level 1 in H' and with her better partner in level 0 in H'' .

Thus level-compatibility unambiguously fixes for us in which of H, H' a vertex gets paired with which partner till we are left with a set T of vertices forming a cycle: each vertex in T has both its partners in T , and all these vertices are in the same level in both H' and H'' . We again know from the proof of Lemma 6 that this happens only when $(\alpha_a^*, \alpha_b^*) \in \{(1, -1), (0, 0), (-1, 1)\}$ for each edge (a, b) in this cycle. The cycle can be resolved as per the two rules above (which is what our algorithm for constructing S does). Thus rule 1 and rule 2 given above always work.

As the last step, we add the dummy vertices to H' and H'' . We also add the *inactive* men and women (the ones who will get matched to dummy vertices in S). We now add to S the edges $(a_j, d(a))$ for all inactive men a_j and similarly, the edges $(b_j, d(b))$ for all inactive women b_j . We also add self-loops to match each unmatched vertex with its copy on the other side, i.e., we add the edges (a_1, a) for each $a \in A$ that is unmatched in \vec{p} and the edges (b_0, b)

for each $b \in B$ that is unmatched in \vec{p} . Thus the final matching S is a perfect matching in the graph G^* and it follows from the construction of S that $f(S) = \vec{p}$.

In order to prove that the matching S is stable in G^* , we show in Lemmas 7 and 8 that S has no blocking edge in G' . We can similarly show that S admits no blocking edge in G'' . Regarding the other edges in G^* , no self-loop (a_1, a) or (b_0, b) can be a blocking edge since a is the least preferred neighbor of a_1 and similarly, b is the least preferred neighbor of b_0 . Similarly, since the dummy vertex $d(a)$ is the least preferred neighbor of a_0 and since a_1 is the least preferred neighbor of $d(a)$, no edge $(a_i, d(a))$ can block S . It is the same with edges $(b_i, d(b))$, for $i = 0, 1$. Hence S is a stable matching in G^* and Theorem 5 follows.

► **Lemma 7.** *Let $a \in A$ be in level 0 in H' and b be any neighbor of a in G . Neither edge (a_0, b) nor edge (a_1, b) in G' can block S .*

Proof. The following are the three cases that we need to consider here and show that none is a blocking edge to S :

1. the edge (a_1, b) ,
2. the edge (a_0, b) where b is in level 1 in H' ,
3. the edge (a_0, b) where b is in level 0 in H' .

Consider Case 1. Since a is in level 0 in H' , the vertex a_1 is matched to $d(a)$ in S . Since $d(a)$ is a_1 's most preferred neighbor, it follows that the edge (a_1, b) cannot block S for any neighbor b .

Consider Case 2. The woman b is in level 1 and this implies that $S(b)$ is a level 1 vertex in H' . Since b prefers any level 1 neighbor to a level 0 neighbor in G' , it follows that b prefers $S(b)$ to a_0 , thus (a_0, b) cannot block S .

Consider Case 3. Since both a and b are in level 0 in H' , we have $\alpha_a^* = 1$ and $\alpha_b^* = -1$. These α^* -values and $p_{(a,b)}$ satisfy the constraint corresponding to edge (a, b) in the description of the popular matching polytope \mathcal{P} . Thus we have $0 \geq \text{value}_p(a, b)$, where $\text{value}_p(a, b)$ is the right hand side of the constraint for (a, b) in \mathcal{P} . The following sub-cases can occur here (since $\text{value}_p(a, b) \leq 0$):

- (i) both the partners of a are better than b or both the partners of b are better than a
- (ii) $p_{(a,b)} = 1/2$ and either a regards its other partner better than b or vice-versa
- (iii) a has one partner better than b and the other worse than b and similarly, b has one partner better than a and the other worse than a

Sub-case (i) is straightforward and it is easy to see that (a_0, b) does not block S here. In sub-cases (ii) and (iii), we know that a woman b with $\alpha_b^* = -1$ gets matched to her better partner in H' . Thus in sub-case (ii) either b is matched to a (if a is b 's better partner) or to a partner that b prefers to a . Similarly, in sub-case (iii), b gets matched to a neighbor that she prefers to a , thus (a_0, b) does not block S in any of these cases. This completes the proof of Lemma 7. ◀

► **Lemma 8.** *Let $a \in A$ be in level 1 in H' and b be any neighbor of a in G . Neither edge (a_0, b) nor edge (a_1, b) in G' can block S .*

Proof. The following are the three cases that we need to consider here and show that none is a blocking edge to S :

1. the edge (a_0, b) ,
2. the edge (a_1, b) where b is in level 0 in H' ,
3. the edge (a_1, b) where b is in level 1 in H' .

Consider Case 1. When b is in level 1 in H' , she is matched to a level 1 man; since b prefers any level 1 neighbor to a level 0 neighbor in G' , it follows that b prefers $S(b)$ to a_0 , thus (a_0, b) cannot block S .

Let us consider the case when b is in level 0 in H' . So $\alpha_b^* = -1$. Since a is in level 1 in H' , we have either $\alpha_a^* = -1$ or $\alpha_a^* = 0$. So $\text{value}_p(a, b) \leq -1$. Hence b prefers her better partner to a and since b satisfies $\alpha_b^* = -1$, she gets matched to her better partner in H' . Thus (a_0, b) does not block S .

Consider Case 2. We will show that a_1 prefers his partner $S(a_1)$ to b . Either (i) $\alpha_a^* = -1$ in which case $\text{value}_p(a, b) \leq -2$ or (ii) $\alpha_a^* = 0$ in which case $\text{value}_p(a, b) \leq -1$.

In case (i), $\text{vote}_a(b, S(a_1)) = -1$ and so a prefers $S(a_1)$ to b . In case (ii), $\text{vote}_a(b, S(a_1)) \leq 0$ and so a prefers his better partner in \vec{p} to b . It follows from the proof of Lemma 6 that if $\alpha_a^* = 0$, then the man a is matched to his better partner in H' . Thus (a_1, b) does not block S in either case.

Consider Case 3. There are four sub-cases here based on possible values of (α_a^*, α_b^*) : (i) $(\alpha_a^*, \alpha_b^*) = (-1, 1)$, (ii) $(\alpha_a^*, \alpha_b^*) = (-1, 0)$, (iii) $(\alpha_a^*, \alpha_b^*) = (0, 1)$, and (iv) $(\alpha_a^*, \alpha_b^*) = (0, 0)$.

- Cases (i) and (iv) are analogous to case 3 in the proof of Lemma 7 since $\text{value}_p(a, b)$ is at most 0 in both these cases and a similar proof holds here for both these cases.
- In case (ii) above, we have $\text{value}_p(a, b) \leq -1$. So either (I) a prefers both his partners in \vec{p} to b or vice-versa, in which case (a_1, b) does not block S or (II) $p_{(a,b)} = 1/2$ and both a and b prefer their other partners in \vec{p} to each other, in which case $(a_1, b) \in S$.
- In case (iii) above, we know that both a and b get paired to their respective better partners in H' (since $\alpha_a^* = 0$ and $\alpha_b^* = 1$). We have $\text{value}_p(a, b) \leq 1$ here. So either (I) a prefers its better partner in \vec{p} to b or vice-versa (in which case (a_1, b) does not block S) or (II) $p_{(a,b)} = 1/2$ and both a and b prefer each other to their other partners in \vec{p} , in which case $(a_1, b) \in S$. Thus (a_1, b) does not block S in any of these cases. ◀

Thus we have shown that f is a surjective map from the set of stable matchings in G^* to the set of full half-integral matchings in G that are popular. It can be shown that if \vec{p} is a popular half-integral matching that is *not* full, then the edge incidence vector of \vec{p} is a convex combination of the edge incidence vectors of popular half-integral matchings that are full. Hence the extreme points of the convex hull \mathcal{Q} of popular half-integral matchings are the full ones. Thus the description of \mathcal{Q} can be obtained in a straightforward manner from the description of the stable matching polytope of G^* .

We have shown the following theorem.

► **Theorem 9.** *A min-cost popular half-integral matching in $G = (A \cup B, E)$ with strict preference lists and cost function $c : E \rightarrow \mathbb{Q}$ can be computed in polynomial time.*

Conclusions. We gave a simple description of the convex hull of popular half-integral matchings in a stable marriage instance $G = (A \cup B, E)$ with strict preference lists. This allowed us to solve the min-cost popular half-integral matching problem in G in polynomial time. The main open problem here is to settle the complexity of the min-cost popular matching in G .

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