

1 **Towards a set of Essential Biodiversity Variables for assessing**  
2 **change in mountains globally**

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26

27 **Abstract**

28 Mountain regions harbor unique and rich biodiversity, forming an important part of our  
29 global life support system. This rich biodiversity underpins the ecological intactness  
30 and functioning of mountain ecosystems, which are imperative for the provision of key  
31 ecosystem services. A considerable amount of data are required to assess ecological  
32 intactness and ecosystem functioning and, given the profound anthropogenic  
33 pressures many mountain regions are being subjected to, are urgently needed. Yet  
34 data on mountain biodiversity remain lacking. The Essential Biodiversity Variables  
35 (EBVs) framework can help focus efforts related to detecting, investigating, predicting,  
36 and managing global biodiversity change, but has not yet been considered in the  
37 context of mountains. Here, we review key biological processes and physical  
38 phenomena that strongly influence mountain biodiversity and ecosystems, and seek  
39 to elucidate their associations with potential mountain EBVs. We identify seven  
40 mountain-relevant EBVs of high relevance: *Species composition*, *Species abundance*,  
41 *Species distribution*, *Ecosystem fragmentation*, *Ecosystem extent*, *Ecosystem*  
42 *heterogeneity* and *Ecosystem functional type*. Our proposed set of mountain-relevant  
43 EBVs can help ensure that the most critical drivers and responses of mountain  
44 biodiversity change are well tracked and understood. If implemented, the selected  
45 EBVs can contribute to relevant information for management and policy interventions  
46 that halt mountain biodiversity loss and maintain functional mountain ecosystems.

47 **Keywords:**

48 Essential Biodiversity Variables (EBVs); mountains; monitoring; global change;  
49 ecosystems; biodiversity; Earth Observations; GEO Mountains

## 50 **Introduction**

51 Biodiversity loss and its impact on ecological intactness is a global challenge.  
52 Repeated calls have been made to generate and share relevant data to monitor  
53 changes and support the effective conservation, management, and sustainable use of  
54 ecosystems worldwide. To respond effectively to biodiversity loss, the harmonization  
55 of biodiversity monitoring approaches and improving access to the corresponding  
56 datasets have been recognized as being of great importance, leading to the concept  
57 of Essential Biodiversity Variables (EBVs) (Geijzendorffer et al. 2016, Hoffmann et al.  
58 2014, Jetz et al. 2019, Pereira et al. 2010). In short, EBVs can be considered a  
59 minimum set of fundamental biodiversity measurements that are required to detect  
60 and report on biodiversity change (O'Connor et al. 2020, Pereira et al. 2013, Pettorelli  
61 et al. 2016).

62 EBV datasets take the form of spatio-temporal layers (or data cubes) that characterise  
63 the state of biodiversity, and from which specific biodiversity indicators or metrics can  
64 be computed (Hardisty et al. 2019, Schmeller et al. 2017). Besides their use in science  
65 and for the visualization and communication of change in biodiversity, such indicators  
66 are extremely useful and regularly applied in policy-oriented biodiversity assessments.  
67 Two global biodiversity initiatives, the Intergovernmental Science-Policy Platform for  
68 Biodiversity and Ecosystem Services (IPBES) (Intergovernmental Science-Policy  
69 Platform on Biodiversity and Ecosystem Services 2019, Schmeller and Bridgewater  
70 2021), and the Kunming-Montreal Global Biodiversity Framework of the United  
71 Nations – aim to identify and address the key drivers of biodiversity loss. For that policy  
72 related work, a strategy on how the complex ecological processes and the resulting  
73 biodiversity changes can be more effectively monitored and communicated to policy  
74 makers is needed (Geijzendorffer et al. 2016).

75 EBVs were initially conceived to be globally applicable, with no distinction between  
76 domains (terrestrial, freshwater, and coastal/marine) or ecological settings (e.g.  
77 mountains, wetlands, tundra, and deserts). Whilst this brings clear benefits of  
78 consistency and comparability, generic EBVs may not be well suited to provide  
79 relevant information on biodiversity and its changes within specific domains and  
80 ecological settings. Here we explore the relevance of the EBV concept in a mountain  
81 context, where both the need for biodiversity data collection and the associated  
82 difficulties are well established.

83 Nevertheless, there are several reasons why more and well-targeted biodiversity data  
84 from mountain environments are still needed. First, because of the sharp change in  
85 climatic and life conditions in mountains they host a uniquely high diversity of species  
86 (Körner 2004), of which a high proportion are endemic (Rahbek et al. 2019) as a result  
87 of the biogeographic isolation under which mountain populations of plants and animals  
88 have evolved. Second, mountain biodiversity fulfils many important functions,  
89 including slope stabilisation or drinking water storage and hydrological regulation  
90 (Körner 2004). Third, mountain biodiversity is essential to sustainable development  
91 (Payne et al. 2020c) and repeated calls have been made to recognize the importance  
92 of mountain biodiversity and its protection in international agendas. However, despite  
93 political commitments towards the safeguarding of mountains (e.g. the 2030 Agenda  
94 for Sustainable Development and its 17 Sustainable Development Goals (SDGs),  
95 including mountain-specific SDG targets: <https://sdgs.un.org/topics/mountains>),  
96 mountains and their biodiversity continue to be subjected to multiple anthropogenic  
97 pressures. These pressures include climate and land-use change, pollution, the rapid  
98 spread of invasive species, the over-exploitation of natural resources, and  
99 demographic change (Comer et al. 2022, Payne et al. 2020a, Schmeller et al. 2022,

100 Thornton et al. 2022a). Their impacts have already induced major responses across  
101 mountain socio-ecological systems (Mayer et al. 2022, Payne et al. 2020c) and are  
102 associated with high risks for ecosystem health as well as human health and well-  
103 being (Adler 2022, Schmeller et al. 2020, 2022).

104 The challenges associated with biodiversity data collection in mountains arise primarily  
105 from remoteness and topography. Remoteness affects accessibility, logistics, and  
106 costs. Accordingly, despite the development of certain successful *in situ* observation  
107 programmes and networks such as GLORIA (Grabherr et al. 2000), MIREN (Haider et  
108 al. 2021), and the iLTER (Haase et al. 2016), the coordinated coverage of *in situ*  
109 biodiversity monitoring efforts in mountains remains limited. Despite recent  
110 improvements in remote sensing technologies, the spatial resolutions of the resultant  
111 products are often insufficient in mountains (Pettorelli et al. 2016, Randin et al. 2020,  
112 Rumpf et al. 2022). Additional challenges associated with data collection arise from  
113 the fact that many responses of mountain species and ecosystems to drivers may be  
114 non-linear or involve tipping points. For instance, biodiversity declines are likely to  
115 accelerate when different drivers are mutually amplifying, e.g. rising temperatures  
116 coupled with drought (Chen et al. 2011), or fish introduction and chemical pollution in  
117 aquatic ecosystems (Machate et al. 2022), calling for the adaptation of monitoring  
118 schemes. Likewise, species-specific traits such as body size (Brose et al. 2008, 2006)  
119 and phenology (Barnosky et al. 2012), as well as shifts in species distributions  
120 (Parmesan and Yohe 2003, Steinbauer et al. 2018, Balint et al. 2011, Engler et al.  
121 2011), may provide early indications of critical changes in biodiversity and ecosystems  
122 (Schmeller et al. 2018); appropriate monitoring is required if such changes are to be  
123 detected in a timely fashion (Figure 1).

124 In summary, given past and ongoing changes in mountain ecosystems and their  
125 biodiversity as well as predicted future changes, there is an urgent need to improve  
126 their monitoring and assessment. The numerous challenges associated with  
127 biodiversity data collection in mountain environments call for the identification and  
128 prioritization of biodiversity-related variables for the world's mountains that are  
129 possible to be measured in such settings. By enabling the detection of biodiversity  
130 change (Pereira et al. 2010, Schmeller et al. 2015, 2017), EBVs can serve as an early  
131 warning of irreversible changes in ecosystems (Schmeller et al. 2018) and provide a  
132 useful initial reference framework from which to prioritize the measurement of  
133 biodiversity variables that hold particular importance in a mountain context. A set of  
134 mountain EBVs could therefore increase the relevance and efficiency of biodiversity  
135 data collection, while considering local requirements (Kühl et al. 2020), improve  
136 coordination, collaboration and information exchange. It will further improve reporting  
137 and forecasting of biodiversity change, and hence enhancing mitigation and  
138 adaptation efforts (Thornton et al. 2021b). At the same time, mountain EBVs must  
139 accommodate diverse data collection efforts and stakeholder groups (Bingham et al.  
140 2017, Kühl et al. 2020), and evolve with ongoing progress in measurement  
141 technologies (Randin et al. 2020).

142 The establishment of a globally applicable and coherent approach that harmonizes  
143 existing approaches and data, identifies future monitoring priorities, and meets  
144 mountain-specific observational requirements should help considerably to address  
145 existing deficiencies in data coverage and biodiversity assessment-relevant  
146 information (Figure 1).

147 **Key biological and biophysical processes that determine or**  
148 **influence mountain biodiversity**

149 Our contribution builds upon a workshop hosted by the Mountain Research Initiative  
150 as a contribution to the Global Earth Observation (GEO) Network on Observations and  
151 Information in Mountain Environments (GEO Mountains), held in February 2020. At  
152 the workshop, extensive discussions were held amongst 23 global experts, following  
153 a similar approach taken to identify and propose relevant Essential Climate Variables  
154 ECVs in mountains (Thornton et al 2021). For EBVs, we first identify and review  
155 several key processes and associated phenomena which determine or strongly  
156 influence mountain biodiversity. We then proceed to explore the extent to which  
157 candidate mountain EBVs could provide information pertaining to those key  
158 processes. Importantly, the candidate EBVs were not limited to those already included  
159 in the general framework (Pereira et al. 2013), but additions were made where deemed  
160 necessary, such as EBVs on community productivity, treeline position,  
161 evapotranspiration and soil carbon stock. Finally, a subset of high-priority mountain-  
162 relevant EBVs was selected based on their combined informativeness in relation to  
163 the key processes (Figure 2).

164 Ultimately, we identified 12 biological, biophysical, and abiotic key processes that exert  
165 a strong influence on mountain ecosystems. These key mountain processes are  
166 changes in: 1) *species distribution*; 2) *biotic interactions*; 3) *species traits*; 4) *genetic*  
167 *processes*; 5) *taxonomic composition*; 6) *functional composition*; 7) *soil erosion*; 8)  
168 *disturbances*; 9) *nutrient dynamics*; 10) *water quantity and quality dynamics*; 11)  
169 *carbon dynamics*; and 12) *ecosystem distribution*. We then proceeded to assess the

170 relationship of these key processes on distribution, composition, and condition of  
171 mountain biodiversity at genetic, species, and ecosystem levels.

## 172 **Changes in species distribution areas**

173 The geographic ranges of species and their changes through time are fundamental  
174 ecological characteristics (Gaston and Fuller 2009). From a conservation perspective,  
175 information on species distributions underlies almost every aspect of biodiversity  
176 management (Franklin 2010), with geographic range, for example, being used as a  
177 predictor of extinction risk in the International Union for Conservation of Nature (IUCN)  
178 Red List. Although climate is often considered to be the main determinant of species  
179 distribution (Thomas et al. 2006, Woodward 1987), geographic distributions are also  
180 locally structured by extinction and colonization events arising from spatial variation  
181 and interactions between demographic rates, local population persistence, the  
182 distribution of suitable habitats, dispersal and interspecific interactions (Pulliam 2000).  
183 Many species outposts, which in mountain environments often are located at their cold  
184 limits, are reacting strongly to climate warming and are thus acting as sentinels of  
185 climate change impacts.

186 In the short term, rapid changes in species abundance and distribution are likely to  
187 result from the direct effects of climatic factors on species phenology, growth rate and  
188 demography (Chaine 2010). In the longer term, altered temperature and precipitation  
189 regimes will also offer (temporally limited) opportunities for some species to shift their  
190 range and colonize new, higher elevation areas, thereby altering the community  
191 compositions, functions and services of mountain ecosystems (Alexander et al. 2018,  
192 2015). In accordance with general distribution trends, range shifts and declines of  
193 species in mountain habitats have already been observed (Freeman et al. 2018, Lenoir  
194 et al. 2010, Rumpf et al. 2018). However, many of these changes occur at rates that



195 lag expected rates, and there is considerable variation in change rates between  
196 species (Alexander et al. 2018). Changes in species distribution with different  
197 strategies within and among montane belts inevitably lead to considerable changes in  
198 community functional trait composition that might have major consequences for  
199 montane ecosystem functioning (Winfree et al. 2015). This is especially relevant in  
200 mountains, since functional redundancy is considered to be lower than in lowland  
201 ecosystems (Körner 2004).

## 202 **Changes in community taxonomic composition**

203 The composition of a biotic community is the assemblage of taxa and their abundance  
204 within a given area. Community composition depends on both abiotic habitat  
205 conditions (i.e., abiotic filtering) and biotic interactions between co-occurring  
206 individuals of the same species, different species, or organism groups. Beyond tropical  
207 mountains, with increasing elevation and in topography-influenced habitats such as  
208 ridges and snow beds, abiotic factors, especially climatic ones like temperature,  
209 increase in relative importance over biotic ones as drivers of community composition  
210 (Normand et al. 2009, Randin et al. 2009). The composition of alpine and subnival  
211 ecosystems is strongly determined by low-temperature stress. The relaxation of this  
212 abiotic filter, therefore, leads to a change in species abundance and compositional  
213 community changes (Nagy and Grabherr 2009, Pauli and Halloy 2019, Rumpf et al.  
214 2018, Steinbauer et al. 2018).

215 Because animal and plant communities in mountains are composed of species with  
216 different climatic niches, shifts in species composition can indicate directional  
217 changes, for example shifts towards more warmth-demanding communities (i.e.  
218 thermophilization; Gottfried et al. 2012) following changes in thermal or soil moisture

219 conditions. Besides such abiotic drivers, which also include disturbances and nutrient  
220 dynamics, changes in community composition are strongly related to biotic processes  
221 such as biotic interactions, adaptation, and species range shifts. Changes in  
222 community composition are expected to occur more rapidly than species' range shifts  
223 since species turnover over time is preceded by changes in the abundance of resident  
224 species (Lamprecht et al. 2018, Rumpf et al. 2018).

### 225 **Changes in genetic and phenotypic diversity**

226 Species are composed of genetically differentiated populations that can evolve and  
227 change over time. Genetic diversity – the variation in genetic composition within and  
228 across populations – is therefore a fundamental dimension of biodiversity, determining  
229 species composition and ecosystem functioning. How contemporary genetic diversity  
230 is maintained and partitioned within species depends primarily on the movement  
231 among populations (gene flow), the emergence of new mutations, random  
232 demographic processes (e.g. genetic drift), and adaptive processes (e.g. natural  
233 selection). As high-elevation ecosystems are more isolated than low-elevation ones,  
234 genetic differentiation between isolated populations of the same species might be  
235 more pronounced in mountains as compared to lowlands (Steinbauer et al. 2016,  
236 Valbuena-Ureña et al. 2018).

237 The steep environmental gradients in mountains can impose strong selection on  
238 populations to adapt to local conditions despite being connected by gene flow  
239 (Halbritter et al. 2018, Keller et al. 2013, Clausen et al. 1948). The great spatial  
240 heterogeneity of mountain landscapes means that local adaptation can occur at fine  
241 scales (Fischer et al. 2011, Hamann et al. 2016). Mountains can thus host high levels  
242 of (adaptive) genetic diversity within small areas. In increasing the fitness of local

243 populations, adaptation is important for population persistence and the extent of  
244 species distributions, especially in a mountain context under strong climate change  
245 impacts. By affecting the performance of populations and their capacity to persist or  
246 spread to new habitats, the capacity for plasticity is an important trait for coping with  
247 environmental heterogeneity in mountains (Gruber et al. 2013, Ligarreto et al. 2011).  
248 Phenotypic plasticity also plays a crucial role in adaptation and functional diversity  
249 within communities (Körner 2004), and consequently in the survival and competitive  
250 abilities of species and the functioning of ecosystems in the context of rapid climate  
251 change (Schmeller et al. 2022).

## 252 **Changes in species interactions**

253 Species are embedded in more or less complex ecosystems in which they interact  
254 with various competitors, natural enemies, mutualists, and commensalists. These  
255 interactions are key regulators of population sizes and dynamics, and strongly govern  
256 individual species' distributions as well as the composition of local communities.  
257 Species interactions are also the basis for ecosystem functioning (Tylianakis et al.  
258 2011). In mountains, competition with warm-adapted species, rather than warm  
259 temperatures themselves, is thought to restrict many alpine plants to cooler, high  
260 elevation environments (Alexander et al. 2018, Paquette and Hargreaves 2021, Vittoz  
261 et al. 2009). More generally, competition is thought to strongly shape community  
262 assemblies at lower elevations, while positive interactions (“facilitation”) become more  
263 prevalent at higher elevations (Callaway et al. 2002). Facilitation can be especially  
264 important in arid mountain regions, where “nurse plants” provide shelter for more heat-  
265 and drought-sensitive species (Anthelme et al. 2014, Bucher and Rosbakh 2021).  
266 Nonetheless, competition certainly plays a key role for both plant (Brooker 2006, Lyu  
267 and Alexander 2022) and animal populations (Chan et al. 2019) at high elevations.

268 Other types of interaction also vary with elevation. For example, herbivory pressure  
269 tends to decline with increasing elevation (Rasmann et al. 2014). As a consequence  
270 some high elevation plants are less able to cope with herbivory (Pellissier et al. 2012),  
271 and hence with grazing pressure from livestock. Similarly, pollinator abundance is  
272 lower at higher elevations, meaning that plants typically rely on a smaller number of  
273 more generalist insects to provide pollination services (Inouye 2020). These  
274 distribution patterns are highly relevant for the future biodiversity of mountains  
275 because they imply that changes in biotic interactions might mediate some impacts of  
276 environmental change on mountain biodiversity (Alexander et al. 2018). The effects of  
277 changing environmental conditions on biodiversity may not be exclusively negative.  
278 For example, novel herbivores might help promote diversity by preferentially feeding  
279 on dominant high elevation plants, and so help subordinate species to persist despite  
280 climate warming (Descombes et al. 2020).

### 281 **Changes in functional trait composition**

282 Functional trait composition refers to the distribution of intra- and interspecific traits,  
283 life forms and strategies among and between co-occurring species in a community or  
284 food web (McGill et al. 2006). Functional traits comprise any morphological,  
285 behavioural, physiological, or phenological features that define the performance of  
286 individuals under specific environmental conditions (Violle et al. 2007). In mountains,  
287 plants and animals possess specialized traits to survive temperature extremes, the  
288 high fraction of ultraviolet light, short growing periods and frequent strong winds.  
289 These environmental adaptations, via functional traits, are closely linked to niche  
290 partitioning, species interactions and community composition (Villéger et al. 2008).  
291 Therefore, the changes in functional traits can be used to infer mountain species  
292 responses to the changes in their (a)biotic environments, species interactions and

293 community assembly process ('response' traits; Villéger et al. 2008). Changes in  
294 functional traits also have effects on mountain ecosystem services such as carbon  
295 sequestration and water runoff ('effect' traits; Fernandez and Kennedy 2015, Hébert  
296 et al. 2016). Temporal shifts in functional traits at population, species, or community  
297 levels can be used to monitor and predict the effects of global change on alpine  
298 biodiversity (Byamungu et al. 2021, Gallagher et al. 2013, Yin et al. 2020). They allow  
299 changes in crucial mountain ecosystem services and functions such as productivity,  
300 carbon sequestration, resource efficiency and soil stability to be inferred (Lavorel and  
301 Grigulis 2012).

### 302 **Changes in nutrient dynamics**

303 Due to their essential role in biochemical processes, nutrients constrain the function  
304 and shape of ecosystem community structure. Nutrient influences can be magnified at  
305 higher elevations (Dantas de Paula et al. 2021), and by the high spatio-temporal  
306 variability of nutrients in such settings. In response to the unique challenges posed by  
307 the physical nature of mountain environments, soil-plant systems have developed  
308 various strategies to deal with limited nutrient availability in space and time. Nitrogen  
309 (N) and phosphorus (P) in particular underpin the fundamental processes of  
310 metabolism, growth and reproduction and are therefore required in large amounts by  
311 all organisms.

312 The apparent scarcity of N and P in alpine systems is rarely due to low abundance,  
313 but is more frequently a consequence of their reduced biological availability.  
314 Competition for these nutrients can be high, as can the range of strategies and  
315 adaptations to access or maximise nutrient uptake. Critical N loads for alpine systems  
316 have rarely been explored (Bowman et al. 2012), and the interactive effects of climate  
317 change are poorly understood (Matteodo et al. 2016). Since high mountain soils are

318 commonly nutrient-poor, anthropogenic fertilisation effects, especially when combined  
319 with climate warming, can have a strong impact on species composition (Staude et al.  
320 2022).

321 Unlike N, phosphorus has no gaseous phase, and so all P in mountain systems is  
322 derived either from local erosion of bedrock or mineral matter (mineral dissolution),  
323 local introduction from livestock, dust or aerosol deposition, or in precipitation. P  
324 dynamics can create high functional diversity within alpine environments and  
325 furthermore have the potential to rapidly alter ecosystem processes through  
326 community turnover induced by climate or land use change. With respect to general  
327 nutrient cycling, snow in particular plays an important role in many mountain  
328 environments (Edwards et al. 2007, Litaor et al. 2005, Venn and Thomas 2021).  
329 Changes in snow cover persistence and snowmelt timing as a consequence of climate  
330 change are likely to increase the nutrient stress on alpine communities (Baptist et al.  
331 2010). Snow and ice-related specialisms may become more limited in extent, and  
332 snow-free periods in winter may enhance nutrient limitations, creating greater temporal  
333 variance in ecosystem processes whilst many systems begin to homogenise in spatial  
334 terms.

### 335 **Changes in carbon dynamics**

336 Mountain carbon dynamics are strongly heterogeneous in space and time (Fu et al.  
337 2015, Körner 2021). Nevertheless, specific adaptations and constraints in mountain  
338 ecosystems make it possible to infer the functioning of mountain carbon cycling. The  
339 net difference between photosynthesis and plant respiration dictates the total carbon  
340 eventually stored in plant biomass. Plants in high mountain regions are often  
341 temperature limited (Li et al. 2018) and therefore often have higher respiration rates

342 (Larigauderie and Körner 1995) and slower growth rates (Fu et al. 2015) than lowland  
343 plants.

344 Generally, particulate organic matter (POM) in mountain soils is structurally complex  
345 and difficult to break-down (Chomel et al. 2016), and consequently constitutes a high  
346 proportion (~20%–60%) of the total organic matter (Budge et al. 2011, Leifeld et al.  
347 2009, Saenger et al. 2015). POM is decomposed by soil microorganisms into  
348 dissolved organic matter. Organic matter decomposition in mountains is also  
349 temperature-limited (Schinner 1982), which contributes to a build-up of soil organic  
350 matter (Hagedorn et al. 2019). However, as mountain soil microbes produce cold-  
351 adapted enzymes that work efficiently at low temperatures (Jing et al. 2018, Margesin  
352 et al. 2009), even minor warming could cause a large increase in POM decomposition,  
353 possibly altering mountain soil carbon stocks (Benbi et al. 2014) enhanced nutrient  
354 (e.g., nitrogen) availability (Chen et al. 2020, Kudernatsch et al. 2008) may further  
355 increase decomposition.

### 356 **Changes in water dynamics and quality**

357 Hydrological conditions in mountainous terrain, both at the surface and in the  
358 subsurface, exhibit considerable spatial and temporal variability across a range of  
359 scales (Thornton et al. 2021b, 2022b). In many regions, snowmelt, ice-melt and  
360 groundwater discharge sustain mountain stream and river flows through dryer and  
361 hotter periods or seasons. As such, these water sources play a crucial role in ensuring  
362 ecological streamflow and supporting in-stream ecosystems (Somers and McKenzie  
363 2020, Thornton et al. 2021a). Many mountain streams and torrents, especially lower  
364 order ones, are ephemeral or intermittent, and host biota that are adapted to or can  
365 tolerate such conditions (Stubbington et al. 2017). Mountain lakes, wetlands, and

366 many alpine meadows often hold particularly high ecological importance (Gandarillas  
367 et al. 2016, Hayashi 2020, Ortiz-Álvarez et al. 2018).

368 Extreme hydrological events can cause major ecological disturbances (Herbst and  
369 Cooper 2010) via elevated sediment concentrations (Cover et al. 2010), increased  
370 input of micropollutants to acute toxic levels (Machate et al. 2022), or through changes  
371 to channel morphology (Molnar et al. 2010). Outside such extreme events, water  
372 quality is primarily controlled by lithology and subsurface water residence times and  
373 flow pathways. Indeed, certain hydro-chemical conditions can support exceptionally  
374 high levels of biodiversity (Cantonati et al. 2020). Mountain forests are able to sustain  
375 high rates of evapotranspiration under drought conditions (Mastrotheodoros et al.  
376 2020), and spatio-temporal patterns of near-surface soil moisture strongly influence  
377 terrestrial species distributions (Giaccone et al. 2019). Climate change is likely to affect  
378 all aforementioned aspects of mountain hydrological systems. Other crucial  
379 hydrological variables for biodiversity such as water temperatures will likewise respond  
380 (Michel et al. 2020).

### 381 **Changes in ecosystem distributions**

382 Earth's land- and seascapes are composed of ecosystems. Ecosystems are generally  
383 recognized as comprising distinct physical environments and their associated biota  
384 (Bailey 1996, Sayre et al. 2020b). The organismal makeup of an ecosystem is a  
385 function of both the basic environmental drivers (e.g., climate, substrate type, etc.) that  
386 determine which organisms can occur there based on tolerances and life history  
387 requirements. Other factors such as geographic isolation, disturbance regime, glacial  
388 histories, and evolutionary history also play an important role (Dirnböck et al. 2011,  
389 Hewitt 2001, 2004, Schönswetter et al. 2005). Many topographically isolated mountain  
390 systems only have highly fragmented alpine areas, but outstandingly high proportions



391 of small-ranged species, resulting in exceptionally high extinction risks (Mendoza-  
392 Fernández et al. 2022).

393 In mountains, the structuring factors drive rapid modification of macro-climates to  
394 meso- and micro-climates and barriers to dispersal of propagules (Pepin et al. 2015).  
395 Reactions to these changes, however, depend on the temperature and hydrological  
396 tolerance of species. The differences in reaction time and movement abilities will  
397 disassociate existing communities, the basis of ecosystems. Hence, changes through  
398 elevation-dependent climate warming (Palazzi et al. 2019, Pepin et al. 2022,  
399 Rangwala and Miller 2012) and its effects on the cryosphere (e.g. snow phenology;  
400 (Wang et al. 2022) and hydrosphere is predicted to result in changes in ecosystem  
401 distribution (Wang et al. 2022). As ecosystem distribution and redistribution are closely  
402 linked to functional composition and species distribution these processes will see  
403 increased pressures and changes with increasing climate change impacts (Chiang et  
404 al. 2014).

## 405 **Identifying mountain relevant EBVs**

406 Based on our review of key mountain processes, their interactions, feedback  
407 mechanisms, and major abiotic influences, we proceeded to first identify those existing  
408 EBVs that hold high relevance in mountains (Figure 2). We then identified several  
409 additional candidate EBVs that could help advance our understanding of mountain  
410 biodiversity change. We thus considered the following 15 variables as candidate EBVs  
411 in a mountain context: *Allelic Diversity*, *Species Abundance*, *Species Distribution*,  
412 *Species Growth (Morphology)*, *Movement*, *Phenology*, *Species Composition*,  
413 *Community Productivity (Net Primary Production)*, *Ecosystem Composition by*  
414 *Functional Type*, *Ecosystem Extent*, *Ecosystem Fragmentation*, *Ecosystem*

415 *Heterogeneity, Evapotranspiration, Soil Carbon Stock and Treeline Position* (Table 1).  
416 Thereafter, we developed a consensus ranking that represents the perceived  
417 importance of each EBV with respect to the information content that their  
418 corresponding datasets could cumulatively provide with respect to all key biological  
419 processes and their abiotic influences.

420 To relate the 12 key mountain processes with our 15 candidate mountain EBVs, we  
421 constructed a table characterising the strength of their bi-directional pairwise  
422 dependencies. In this way, we were able to distinguish between EBVs that are related  
423 to individual or multiple processes (Figure 2). From this, we derived an aggregated  
424 information power (AIP) score, thus prioritizing those candidate EBVs which support  
425 the understanding of multiple processes over those more specific EBVs that are  
426 associated to a smaller number of processes. In this expert elicitation approach, we  
427 sought parsimony in the selection of variables for presumed reduction in monitoring  
428 costs. The 12 biological, biophysical and abiotic processes were weighted equally.

429 The selected processes that drive the functioning of mountain ecosystems through  
430 their impacts on biodiversity on the different biological levels (from genetic to  
431 ecosystem) formed the basis of our scoring system. As alluded above, we assigned  
432 scores based on the perceived importance of the information that could be provided  
433 by each candidate mountain EBV to understand the key mountain processes and  
434 influences. We applied four categories: no importance (Score 0), low importance  
435 (Score 1), medium importance (Score 2) and high importance (Score 3). Below, using  
436 allelic diversity as an example, we illustrate this scoring system:

437 *Low importance* (Score 1): Allelic diversity contributes to the key ecological/biophysical  
438 process, but low allelic diversity may not have a negative impact on the process and  
439 in turn it itself is impacted little by the key process in question;

440 *Medium importance* (Score 2): Allelic diversity contributes to the key process, but does  
441 not drive it alone, nor is allelic diversity solely driven by the key process in question;

442 *High importance* (Score 3): Allelic diversity is imperative for the key process to operate,  
443 and the key process under question is itself strongly driving allelic diversity.

444 Our reasoning follows the logic that, for instance, levels of allelic diversity strongly and  
445 directly result from population genetic processes, and equally allelic diversity itself  
446 shapes population genetic processes (and so the combination received a score of 3).

447 On the other hand, population genetic processes are one of many factors influencing  
448 species abundance (Score 2), and will therefore also influence community  
449 composition, but the contribution of population genetic processes relative to other  
450 processes is likely to be much weaker at the community scale (Score 1). Biotic  
451 interactions can strongly regulate species abundances at local scales (Score 3), and  
452 therefore also influence biodiversity patterns at larger scales and regulate ecosystem  
453 processes to a greater or lesser extent. However, the contribution of biotic interactions  
454 at larger scales, for example to species distributions, may be reduced relative to other  
455 factors (Score 2), or might not need to be considered to understand some ecosystem  
456 properties such as ecosystem fragmentation (Score 1). We followed the same  
457 approach and logic throughout our assessment (i.e., for the other candidate variables).  
458 These importance scores were added to the count of the number of processes to which  
459 a candidate mountain EBV contributes information, leading to the enumeration of the  
460 AIP score.

461 We subsequently selected the highest AIP scoring EBVs and checked their  
462 interdependence to assess whether some EBVs themselves inform other EBVs  
463 (Figure 2). For this we used a second scoring system, from 0 to 4. A score of 4 would  
464 indicate that two EBVs are entirely redundant, a score of 3 highly redundant, 2  
465 redundant, 1 little redundant, 0 not redundant (i.e. fully independent).

#### 466 **The consensus building process**

467 Our assessment was based on the consensus agreement of all experts (N = 23).  
468 Based on the AIP distribution (Figure 3), we identified the seven most informative  
469 candidate mountain EBVs. A noticeable step in the AIP distribution was observed at  
470 the value of 29, and therefore this was chosen as the importance or informativeness  
471 threshold for a candidate variable to be considered a priority mountain EBV. The seven  
472 variables are: *Species composition*, *Species abundance*, *Ecosystem fragmentation*,  
473 *Ecosystem extent*, *Ecosystem heterogeneity*, *Species distribution* and *Ecosystem*  
474 *functional type* (Figure 3).

475 Among these seven selected mountain EBVs, our interdependence analysis shows  
476 some redundancy of species abundance, species composition, ecosystem  
477 composition by functional type and ecosystem heterogeneity (Table 2). Ecosystem  
478 heterogeneity depends on nearly all other EBVs and could easily be deduced from  
479 other EBVs, once their corresponding data are available and standardised.

#### 480 **The policy relevance of mountain EBVs – ways forward**

481 Our assessment yielded seven mountain-relevant EBVs, three of which relate to  
482 species and community levels (*Species abundance*, *Species distribution*, *Species*  
483 *composition*), and four to the ecosystem level (*Ecosystem composition by functional*  
484 *type*, *Ecosystem extent*, *Ecosystem fragmentation*, *Ecosystem heterogeneity*). We

485 suggest that data collection regarding these EBVs should be prioritized to effectively  
486 document and evaluate on-going and extensive changes in mountain biodiversity and  
487 key mountain processes. Among the proposed set of EBVs, ecosystem heterogeneity  
488 captures a particularly high number of mountain processes (N = 11/12), and is highly  
489 linked with six other EBVs. This variable has a high potential to be monitored by remote  
490 sensing, which would allow large scale and relatively rapid data acquisition and high  
491 temporal resolution (Rocchini et al. 2016, Turner et al. 2015).

492 The three species-level EBVs (composition, distribution and abundance) are globally  
493 applicable and are also of high interest in a mountain context. In lowlands, these EBVs  
494 are generally well informed (Schmeller et al. 2018) and efforts need to be stepped up  
495 in mountains to provide equally high-quality data (Jetz et al. 2019). The three  
496 ecosystem structure related EBVs (extent, fragmentation, and heterogeneity), and the  
497 EBV in the ecosystem function class (ecosystem composition by functional type) are  
498 intended to provide standardized characterizations of different dimensions of  
499 ecosystem extent and condition. These EBVs can be informed by remote sensing, and  
500 in light of the continued increase of the spatial resolution of remote sensing data  
501 (Pettorelli et al. 2016, Skidmore et al. 2021), we recommend to increase efforts to  
502 quickly operationalize these EBVs to document environmental changes in mountains.

503 Although most of the EBVs in our mountain set are universal, specific requirements or  
504 attributes of the respective measurements may differ considerably between  
505 mountainous and non-mountainous terrain, as well as within individual mountainous  
506 areas. Data from those approaches might be difficult to compare and compile over  
507 larger spatial scales, potentially introducing substantial biases in mountain biodiversity  
508 assessments. In particular, higher spatial resolution is typically required in  
509 mountainous terrain to capture the dominant scale of variability due to the spatial

510 compression of climate life zones. As such, mountain EBVs may not provide a one-  
511 fits-all solution to the urgent need to inform environmental governance about ongoing  
512 threats to global mountain ecosystems.

513 Recent years have seen major developments in the global landscape with regards to  
514 biodiversity and conservation policy. Perhaps most notably, the 15th Conference of  
515 Parties to the UN Convention on Biological Diversity in 2022 saw the adoption of the  
516 Kunming-Montreal Global Biodiversity Framework (GBF). The GBF, as well as the  
517 ecosystem accounting framework of the United Nations System for Environmental and  
518 Economic Reporting (UNSEEA), require national level reporting of some of our  
519 proposed mountain EBVs, such as ecosystem extent and condition.

520 The set of mountain-relevant EBVs proposed here can thus potentially be seen as a  
521 part of a broader framework which includes variables related to both climate (Thornton  
522 et al. 2021b) and society. Datasets corresponding to these complementary variables  
523 that explicitly consider the specificities of mountain areas and could eventually  
524 represent potentially powerful information pools to identify the status and predict the  
525 evolution of mountain systems more broadly. In this sense, we hope that our proposed  
526 mountain EBVs will encourage collective reflection on both “establishing a culture of  
527 integration in biodiversity monitoring” (Perino et al. 2022), and considering biodiversity  
528 as a key component of mountain social-ecological systems. To realise this vision,  
529 further convergence and agreement is needed, and new monitoring programs may  
530 need to be co-designed to elucidate the integrated nature of mountain system  
531 dynamics.

532 This integration should not merely be data-oriented in purpose, but rather should  
533 recognise, work with, and interrelate the monitoring efforts made by diverse groups of  
534 stakeholders, where different motivations, resources, agendas, and mandates

535 inevitably shape the legacies of these efforts (Kühl, et al 2020). As a network initiative,  
536 GEO Mountains is working towards this integration goal for the multiple and diverse  
537 components of mountain social-ecological systems. In addition, the Global Mountain  
538 Biodiversity Assessment (GMBA) links experts and data regarding all aspects of  
539 mountain biodiversity, contributing also to GEOSS and GEO Mountains. These  
540 scientific community-led efforts are, in turn, consistent with and respond to the Group  
541 on Earth Observations' own priorities in support of societal benefit areas, whereby  
542 Earth observations play a key role in decision making at all scales (Pettorelli et al.  
543 2016). Such efforts are therefore well placed to ensure that the necessary  
544 specifications and/or translations of global agendas to guide appropriate coordinated  
545 monitoring efforts in mountains, including data integration and interoperability, are  
546 taken up at all levels. Meanwhile, our existing relationships with other networks, such  
547 as the World Network of Mountain Biosphere Reserves  
548 (<https://www.mountainbiosphere.org>) could provide an excellent opportunity for the  
549 framework to be implemented and refined.

550 Ultimately, the common language and means for data integration that can be facilitated  
551 through mountain EBVs should not only facilitate important scientific advances and  
552 monitoring efforts, but also support the science-policy dialogues upon which this type  
553 of collaborative work depends.

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984 **Table 1: The full set of candidate mountain EBVs.** The table gives the name of  
 985 the candidate EBV, its definition, the EBV class as defined by GEO BON (Pereira  
 986 Henrique Miguel et al. 2013), and important references for the EBV definition.

	<b>Definition</b>	<b>EBV class</b>	<b>References</b>
<b>Allelic diversity</b>	The average number of alleles per locus in a population of a given species and is an indicator of genetic differentiation.	Genetic composition	(Allendorf 1986, Pereira et al. 2013, Schmeller et al. 2018)
<b>Species abundance</b>	The number of individuals, the biomass or surface coverage of a species within a local population or a biological community at a given point in time.	Species populations	(Jetz et al. 2019, Schmeller et al. 2018, Turak et al. 2017)
<b>Species distribution</b>	The arrangement of a biological taxon in time and space.	Species populations	(Jetz et al. 2019)
<b>Species Growth</b>	The variation in species growth over space and time.	Species traits	(Cunningham and Read 2003)
<b>Movement</b>	Behaviour related to the spatial mobility of organisms, such as dispersal and migration.	Species traits	(Hawkes 2009)
<b>Phenology</b>	The presence, absence, date of appearance, or duration of seasonal recurring activities of organisms.	Species traits	(Schmeller et al. 2018, Tang et al. 2016)
<b>Species Composition</b>	The presence/absence and abundance of species in ecological assemblages.	Community composition	(Jetz et al. 2019)
<b>Community productivity (Net Primary Production)</b>	The rate at which energy is transformed into organic matter, primarily through photosynthesis.	Ecosystem functioning	(Melillo et al. 1993)
<b>Ecosystem composition by functional type</b>	The diversity of the functional traits in ecological assemblages.	Ecosystem functioning / Community composition	(Paruelo et al. 2001)

<b>Ecosystem extent</b>	The horizontal (spatial) distribution of discrete ecosystem units.	Ecosystem structure	(Payne et al. 2020b, Sayre et al. 2020a)
<b>Ecosystem fragmentation</b>	A measure of the emergence of spatial discontinuities (fragmentation) in an ecosystem.	Ecosystem structure	(Reed et al. 1996, Saunders et al. 1991, Sayre and Hansen 2017)
<b>Ecosystem heterogeneity</b>	The degree of variability of the ecosystem in space and time.	Ecosystem structure	(Cadenasso et al. 2006, Schmeller et al. 2018)
<b>Treeline position</b>	The spatial position of the low-temperature determined treeline.	Ecosystem structure/traits	(Bader et al. 2021, Paulsen and Körner 2014)
<b>Evapotranspiration</b>	Net flux in water from the land surface to the atmosphere, including evaporation from water bodies, bare soil, and leaves, as well as transpiration by vegetation.	Ecosystem traits	(Goulden and Bales 2014)
<b>Soil carbon stock</b>	Organic and inorganic carbon stored in the soil, resulting from the balance between soil carbon inputs and outputs.	Ecosystem traits	(Sjögersten et al. 2011)

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988

989 **Figure legends:**

990 **Figure 1: The conceptual framework of the present study, linking the different**

991 **levels of biodiversity to key mountain processes.** The different biodiversity

992 levels, from genes to ecosystem, can be measured with a variety of Essential

993 Biodiversity Variables (EBVs) through space and time (Pereira et al. 2013). These

994 EBVs can provide information on several key mountain processes, such as water or

995 nutrient dynamics, population genetic processes, and ecosystem redistribution,

996 because these processes change ecosystems and biodiversity. We elucidate the

997 relationships between EBVs and key mountain processes to define a set of EBVs

998 that are most relevant for mountain contexts. Such an EBV set will improve data

999 coverage and biodiversity assessment-relevant information for mountain biodiversity

1000 and will aid the global policy arena in decision making (e.g. the United Nations

1001 Environmental Programme).

1002 **Figure 2: Approach in evaluating candidate mountain EBVs.** We first reviewed

1003 which key mountain process exist (see the review section of our article), identified

1004 relevant EBVs from the list put forward by Pereira et al. (2013) and complemented

1005 this list with additional candidate mountain EBVs. We then crossed the information of

1006 key mountain processes and the ability of candidate EBVs to inform those

1007 processes. By calculating the AIP (see Material and Method section for details), we

1008 selected the seven most informative candidate mountain EBVs. The final step of our

1009 work was to understand how the different EBVs are interrelated and which ones

1010 might be most useful to operationalize with priority. Find more details in the main

1011 text.

1012 **Figure 3: List of Essential Biodiversity Variables and the key mountain**

1013 **processes they inform.** The table illustrates the main conclusions of our expert-

1014 based assessment of importance of the candidate variables to provide information  
1015 regarding the key biological, biophysical, and abiotic processes. This assessment  
1016 yields two important results: 1) the importance of each candidate mountain EBV in  
1017 providing information regarding every key mountain process (no importance (Score  
1018 0), low importance (Score 1), medium importance (Score 2), high importance (Score  
1019 3)), and 2) the degree to which individual candidate EBVs inform multiple processes  
1020 (defined as  $N$  processes). These two dimensions of information power are captured  
1021 as an aggregate importance score (AIP) for each candidate EBV, where the  
1022 importance score is added to the number of processes each candidate EBV informs.

1023

1024 Figure 1:

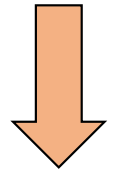
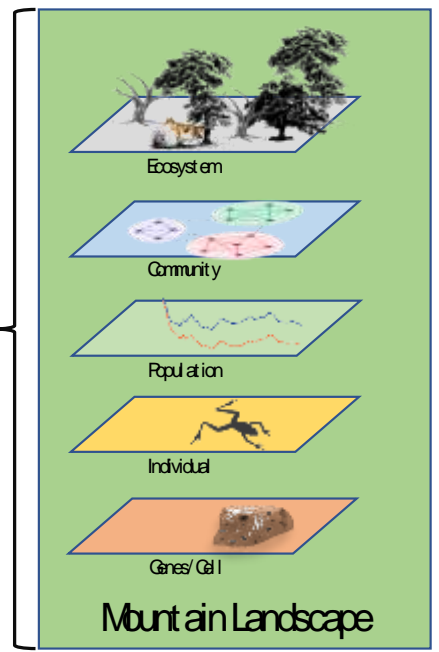
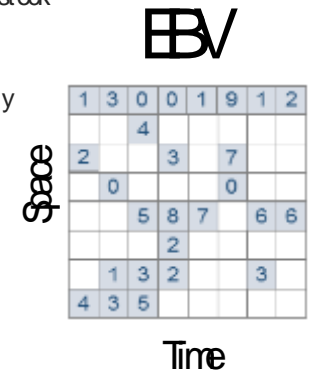
Key Mountain processes

- Physical dynamics
- Ecosystem redistribution
- Water and Nutrient dynamics
- Disturbances
- Species Range Shifts
- Botic and abiotic interactions



Essential Biodiversity Variables

- Productivity, Evapotranspiration, Carbon stock
- Ecosystem extent, fragmentation, heterogeneity
- Community composition
- Phenology and movement
- Species occurrence and abundance
- Genetic diversity



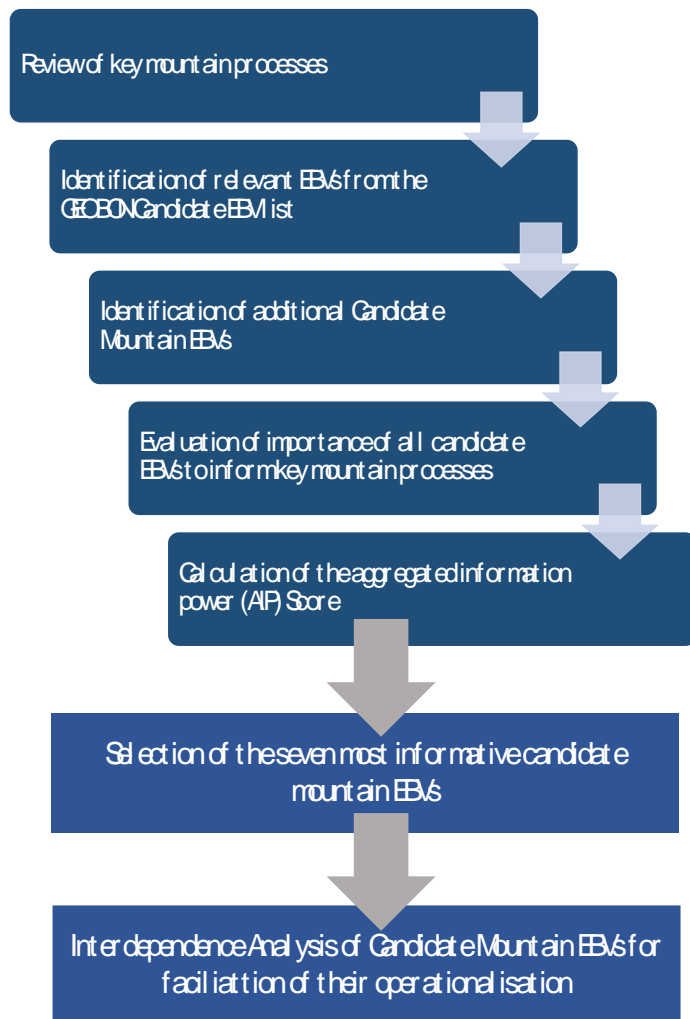
Improved mountain data coverage and biodiversity assessment - relevant information



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1026

**Figure 2:**





**Figure 3:**

	Allelic diversity	Species abundance	Species distribution	Species Growth (Morphology)	Movement	Phenology	Species Composition	Community productivity (Net Primary Production)	Ecosystem composition by functional type	Ecosystem extent	Ecosystem fragmentation	Ecosystem heterogeneity	Evapo-transpiration	Soil carbon stock	Treeline position	Observation approach
Species range shifts	1	2	3	1	2	1	2	1	1	1	1	1	1	1	3	Ground
Changes in biotic interactions	1	2	2	2	1	2	1	1	1	1	1	2	1	2	1	Ground
Adaptation Evolution - Changes in Species traits	2	2	1	3	3	3	1	1	1	1	1	1	2	1	1	Ground
Population genetic processes	1	1	1	1	1	1	1	1	1	1	2	1	1	1	1	Ground
Changes in community taxonomic composition	1	2	2	1	1	1	3	1	3	1	1	3	1	1	3	Ground and remote sensing
Changes in community functional composition	1	1	1	1	1	1	2	1	1	2	2	2	1	3	1	Ground and remote sensing
Soil erosion	1	1	1	1	1	1	1	1	1	1	1	1	1	1	3	Ground and remote sensing
Geomorphological processes - disturbances	1	1	1	2	1	1	2	1	3	3	2	2	1	1	1	Remote sensing
Nutrient dynamics	1	2	1	1	1	1	2	2	2	2	1	1	2	2	2	Remote sensing
Water dynamics	1	1	2	2	1	1	1	1	1	1	3	2	2	1	1	Remote sensing
Carbon dynamics	1	1	1	2	1	1	2	1	1	1	1	1	1	2	2	Remote sensing
Ecosystem redistribution	1	2	2	1	1	1	2	1	3	3	2	2	2	2	2	Remote sensing
<b>Importance score</b>	11	24	19	17	12	11	24	16	19	19	22	19	14	18	18	
<b>N processes</b>	7	11	10	9	7	8	12	7	10	11	12	11	7	9	8	
<b>AIP</b>	18	35	29	26	19	19	36	23	29	30	34	30	21	27	26	

0 no importance  
 1 low importance  
 2 medium importance  
 3 high importance

**Table 2: Interdependence of the candidate mountain EBVs.** Impact from top EBV on left EBV (upper triangle), impact from left EBV on top EBV (lower triangle). Empty circle = no redundancy (=fully independent), one quarter = low redundancy (score = 1), demi circle = redundant (score = 2), three quarter = highly redundant (score = 3), black circle = fully redundant (score = 4). See also Schmeller et al. (2018).

	Species abundance	Species distribution	Species Composition	Ecosystem composition by functional type	Ecosystem extent	Ecosystem fragmentation	Ecosystem heterogeneity
Species abundance							
Species distribution							
Species Composition							
Ecosystem composition by functional type							
Ecosystem extent							
Ecosystem fragmentation							
Ecosystem heterogeneity							