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## Evaluating a new algorithm for satellite-based evapotranspiration for North American ecosystems: Model development and validation

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1 **Evaluating a New Algorithm for Satellite-based Evapotranspiration for North American**  
2 **Ecosystems: Model development and Validation**

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37 **Abstract**

38 We introduce “a different operational approach” to estimate 8-day average daily  
39 evapotranspiration (ET) using both routinely available data and the Penman-Monteith (P-M)  
40 equation for canopy transpiration and evaporation of intercepted water and Priestley and  
41 Taylor for soil evaporation. Our algorithm considered the environmental constraints on  
42 canopy resistance and ET by (1) including vapor pressure deficit (VPD), incoming solar  
43 radiation, soil moisture, and temperature constraints on stomatal conductance; (2) using leaf  
44 area index (LAI) to scale from the leaf to canopy conductance; and (3) calculating canopy  
45 resistance as a function of environmental variables such as net radiation and VPD. Remote  
46 sensing data from the Moderate Resolution Spectroradiometer (MODIS) and satellite soil  
47 moisture data were used to derive the ET model. The algorithm was calibrated and evaluated  
48 using measured ET data from 20 AmeriFlux Eddy covariance flux sites for the period of  
49 2003-2012. We found good agreements between our 8-day ET estimates and observations  
50 with mean absolute error (MAE) ranges from 0.17 mm/day to 0.94 mm/day compared with  
51 MAE ranging from 0.28 mm/day to 1.50 mm/day for MODIS ET. Compared to MODIS ET,  
52 our proposed algorithm has higher correlations and higher Willmott’s index of agreement  
53 with observations for the majority of the Ameriflux sites. The strong relationship between the  
54 model estimated ET and the flux tower observations implies that our model has the potential  
55 to be applied to different ecosystems and at different temporal scales.

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**Keywords:**

Penman-Monteith; Evapotranspiration; MODIS; Remote sensing; Eddy covariance flux.

## 109 **1 Introduction**

110 Estimating evapotranspiration (ET) is important for water and land resources management  
111 because it “is an essential component of the water and energy cycles”. It is vital for climate  
112 change models “because ET is sensitive to changes in surface albedo [Mattar et al., 2014]  
113 and it can play an important role in driving local weather conditions including air  
114 temperature and precipitation [Fisher et al., 2017]”. ET estimates are important for  
115 understanding and modeling terrestrial ecosystem productivity because ET is related to the  
116 energy transferred between the terrestrial ecosystem and the atmosphere. The connection  
117 between ET and terrestrial ecosystem productivity is due to the strong relationship between  
118 stomatal conductance, which controls the rate of water, and carbon exchange between the  
119 atmosphere and vegetation [Beer et al., 2007, 2009; Farquhar and Sharkey, 1982; Wong et  
120 al., 1979], and the rate of carbon assimilation [Chaves, 1991; Goulden, 1996; Law et al.,  
121 2002; Medrano et al., 2002; Schulze et al., 1994]. Improving the accuracy as well as the  
122 spatial and temporal coverage of ET estimates will reduce the uncertainty in the water budget  
123 and will provide valuable information for applications requiring ET estimates.

124 Several methods for estimating ET were developed that ranged from point estimates to  
125 complex land surface models [Bastiaanssen et al., 1998a; Cleugh et al., 2007; Su et al.,  
126 2005]. Yet, the applicability of these approaches is dependent on the availability of the  
127 required input parameters “hinders” their application globally. Satellite remote sensing is a  
128 promising tool for scaling measurements from the local to the regional and global scales. It  
129 provides continuous spatial and temporal information about surface parameters such as  
130 albedo and emissivity that can be used for ET estimation. For instance, the Moderate  
131 Resolution Imaging Spectroradiometer (MODIS) provides data twice a day that are crucial

132 for model developments aimed at remote monitoring of terrestrial ecosystem  
133 evapotranspiration.

134 Over the last decade, several methods were developed to estimate ET from satellite data.  
135 These methods can be categorized into three groups: (1) triangle methods (Vegetation  
136 indices- surface temperature ( $T_s$ ) [Jiang et al., 2009; Jiang and Islam, 2001; Long and Singh,  
137 2012; Merlin et al., 2014; Nemani and Running, 1989; Nishida et al., 2003; Yang and Shang,  
138 2013], (2) energy balance models “using” satellite-observed land surface temperature to  
139 compute the components of the surface energy budget [Bastiaanssen et al., 1998a, 1998b;  
140 Kustas and Norman, 1999; Long and Singh, 2012; McVicar and Jupp, 1999, 2002; Norman  
141 et al., 1995; Su, 2002], and (3) “remote-sensing-only” driven ET using the Penman-Monteith  
142 or the Priestley and Taylor methods [Cleugh et al., 2007; Fisher et al., 2008; García et al.,  
143 2013; Miralles et al., 2011; Mu et al., 2007, 2011; Leuning et al., 2008; Zhang et al., 2008].  
144 Intensive intercomparison studies have been conducted to compare and evaluate ET models  
145 driven only by satellite data [Ershadi et al., 2014; McCabe et al., 2016; Miralles et al., 2016;  
146 Michel et al., 2016; Vinukollu et al., 2011]. Results of these studies showed that all  
147 approaches performed well with discrepancy that can be traced back to differences in the  
148 models schemes. In general, models did not outperform one another [McCabe et al., 2016]  
149 and all overestimated observed ET for dry sites where ET is limited by soil moisture  
150 availability [Michel et al., 2016].

151 “However, these remote sensing driven ET models estimates varies drastically with the  
152 choice of climate reanalysis data (Mu et al., 2007, 2011; Yao et al., 2017) due to biases in  
153 these datasets. Thus, minimizing or eliminating the need for inputs from climate reanalysis  
154 data can increase the accuracy of remote-sensing-only driven ET models. In addition,

155 majority of remote-sensing-only driven ET models rely on meteorological forcing to account  
156 for soil moisture limitation on ET instead of satellite land surface temperature and may lead  
157 to slower ET response to soil moisture changes [Long and Singh, 2010]. Hence, remote  
158 sensing ET models should use satellite land surface temperature to account for soil moisture  
159 restriction on ET [Yang et al., 2015].”

160 In this study, we utilized the Penman-Monteith method (hereafter P-M) for canopy  
161 transpiration and the Priestly and Taylor (hereafter P-T) methods for soil evaporation  
162 estimation using optical and thermal data from the MODIS and fusion of data from multiple  
163 sensors. We built up on existing approaches to develop our ET model through the  
164 combination of different satellite data sources and different methods to estimate the required  
165 meteorological inputs from satellite observations. Key distinguishing feature from other  
166 satellite based P-M approaches is the use of a single global parametrization for stomatal  
167 conductance instead of biome specific relationships to maximum stomatal conductance [Mu  
168 et al., 2007, 2011; Zhang et al., 2016] and vapor pressure deficit (VPD) based on MODIS  
169 surface temperature instead of VPD from coarse spatial resolution climate reanalysis data  
170 [Zhang et al., 2010]. We also demonstrated here that combining previously established  
171 methods into one model can be applied to estimate ET using solely satellite observations.

172 The objective of this study was to develop and evaluate a model for monitoring terrestrial  
173 ecosystem evapotranspiration using satellite data only. Our goal was to eliminate the need for  
174 climatic reanalysis data by incorporating optical, thermal, and microwave remote sensing  
175 information to estimate the required model inputs, such as vapor pressure deficit. Model  
176 performance was compared and validated with field data from 20 Ameriflux Eddy Covariance  
177 flux towers sites representative of the major North American biomes. Uncertainties and error

178 analysis were computed for the model outputs. Finally, the model results were compared with  
179 MODIS evapotranspiration product (hereafter referred as MOD16) to demonstrate that the  
180 model results present an improvement compared to MOD16.

## 181 **2 Methods**

### 182 **2.1 ET algorithm**

183 We proposed a fundamentally different operational approach to develop a remote sensing  
184 data driven process-based method for estimating ET that uses the P-M equation for canopy  
185 evaporation and transpiration and P-T equation for soil evaporation (hereafter called RS-  
186 PMPT). In our approach we did not alter the P-M or P-T equation. Instead, we estimated  
187 each of their parameters using only satellite data in order to gain insight about the ability of  
188 available remotely sensed data to derive P-M and P-T equations (Fig. 1)

189 The Penman-Monteith [Monteith, 1965] estimate evapotranspiration as:

$$\lambda E = \frac{s(R_n - G) + (\rho C_p \frac{VPD}{r_a})}{s + \gamma(1 + \frac{r_c}{r_a})} \quad 1$$

190 where  $\lambda E$  is the latent heat flux ( $\text{W/m}^2$ ),  $\lambda$  is the latent heat of vaporization ( $\text{J/kg}$ ),  $s$  is the  
191 slope of the curve relating saturated water vapor pressure to temperature ( $\text{kPa}$ ),  $R_n$  is the net  
192 solar radiation ( $\text{W/m}^2$ ),  $G$  is soil heat flux ( $\text{W/m}^2$ ),  $\rho$  is air density ( $\text{kg/m}^3$ ),  $C_p$  is specific heat  
193 capacity of air ( $\text{J/kg/K}$ ),  $VPD$  is vapor pressure deficit ( $\text{kPa}$ ),  $r_a$  is the aerodynamic resistance  
194 ( $\text{s/m}$ ),  $\gamma$  is the Psychrometric constant ( $\text{kPa/K}$ ), and  $r_c$  is the canopy resistance ( $\text{s/m}$ ) for  
195 evaporation from the leaves and transpiration from the plant canopy.

196 In the RS-PMPT model fraction of the photosynthetically active radiation ( $f_{par}$ ) is used  
197 as surrogate for vegetation cover fraction [Mu et al., 2011] to partition net radiation ( $R_n$ )  
198 between the canopy and the soil:



$$R_{nc} = R_n \times fpar \quad 2$$

$$R_{ns} = (1 - fpar) \times R_n \quad 3$$

199 where  $R_{nc}$  is the canopy net radiation,  $R_{ns}$  is soil net radiation, and  $fpar$  is the fraction of  
 200 photosynthetically active radiation from MODIS. In the RS-PMPT model, plant  
 201 evapotranspiration is the sum of canopy transpiration and evaporation of intercepted water by  
 202 the canopy. The relative surface wetness ( $f_{wet}$ ) is used to determine whether the surface is  
 203 wet or not following Fisher et al. [2008] with modification by Mu et al., [2011] and Yao et al.  
 204 [2013]:

$$f_{wet} = \begin{cases} 0 & RH < 70\% \\ fsm^4 & 70\% \leq RH \leq 100\% \end{cases} \quad 4$$

205  
 206 where RH (%) is daily mean RH estimated from midday MODIS land surface  
 207 temperature (LST) and daily mean MODIS LST. RH is calculated as  $(e_a \times 100) / e_s$ , “where  $e_s$  is  
 208 saturated vapor pressure at  $T_s$  estimated following Running and Coughlan [1988]:”  
 209

$$e_s(Pa) = 6.1078e^{\frac{17.269T_s}{237.3+T_s}} \quad 5$$

210  $e_a$  is actual vapor pressure estimated using equation 5, but by replacing daytime LST with  
 211 average day and night LST. Soil moisture constraint is estimated as:

$$fsm = (1/DT)^{DT/DT_{max}} \quad 6$$

212 where  $DT = LST_{day} - LST_{night}$  and  $DT_{max} = 60$  °C [Yao et al., 2013].  $f_{wet}$  is used to determine  
 213 when to estimate evaporation from wet canopy and from wet soil surface.

### 214 215 **2.1.1. Plant Transpiration**

216 MODIS daytime land surface temperature ( $T_s$ ) data were used in the algorithm because  
 217 recent studies showed that  $T_s$  can be used as reliable estimator of air humidity, specifically  $e_s$

218 [Granger, 2000; Hashimoto et al., 2008]. The curve relating  $e_s$  and  $T_s$  was used to derive  $s$ ,  
 219 VPD is estimated based on the approach of Hashimoto et al. [2008; Fig. 5] that related  $e_s$   
 220 (equation 5) to VPD as:

$$VPD = 0.391 \times e_s - 0.028 \quad 7$$

221 Canopy resistance ( $r_c$ :s/m) was found to vary with different environmental variables. For  
 222 instance, canopy resistance decreases with an increase in temperature and VPD [Jarvis,  
 223 1976]. Following Stewart [1988],  $r_c$  was modeled as a product of the response functions to  
 224 different environmental variables that acts independently on  $r_c$  (see Damour et al. 2010 for  
 225 more detailed assumptions about the multiplicative models of canopy resistance). The  
 226 Stewart [1988]  $r_c$  model is based on Jarvis's model [Jarvis, 1976] with modified  
 227 environmental constraints. This approach was tested successfully at different biomes  
 228 [Dingman, 2002; Stewart, 1988; Stewart and Gay, 1989] and model parameters were fitted  
 229 using multivariate optimization technique.  $r_c$  is calculated as:

$$r_c = \frac{1}{f(T_s) \times f(\theta) \times LAI \times f(VPD) \times f(R_s) \times 0.5 \times C_{leaf}} \quad 8$$

230 where,  $f(T_s)$  is the temperature multiplier,  $f(VPD)$  is the VPD multiplier,  $f(\theta)$  leaf water  
 231 content deficit multiplier,  $f(R_s)$  is the solar radiation multiplier,  $C_{leaf}$  is the maximum leaf  
 232 conductance set to  $5.3 \times 10^{-3} \text{ ms}^{-1}$ , which is the typical value for forest, shrub, and Savannah  
 233 ecosystems [Dingman, 2002; Schulz et al., 1994], 0.5 is a shelter factor that accounts for the  
 234 fact that some leaves are shaded from the sun and have a minimum contact with wind, thus  
 235 transpire at a lower rate [Dingman, 2002]. The shelter value was used as only one half of the  
 236 leaf area in vegetated areas are effective in ET and a value of 0.5 is probably a good estimate  
 237 for a dense vegetated area [Allen et al., 1989]. Stewart [1988] tested the sensitivity of

238 environmental multiplier to  $\pm 20\%$  change in their parameters values and found that  
 239 temperature and vapor pressure deficits functions were highly sensitive to changes in their  
 240 parameters values, while solar radiation and soil moisture functions had very little sensitivity.  
 241 Based on this finding, only parameters values for temperature and vapor pressure deficit  
 242 functions were calibrated (see below). We calculated the constraints on stomatal conductance  
 243 for temperature [Gerosa et al., 2012] and VPD [Mu et al., 2007] as:

$$f(T_s) = \begin{cases} 1 & T_s = T_{opt} \\ \frac{(T_s - T_{min})}{(T_{opt} - T_{min})} \times \left[ \frac{(T_{max} - T_s)}{(T_{max} - T_{opt})} \right]^{\frac{(T_{max} - T_{opt})}{(T_{opt} - T_{min})}} & T_{min} \leq T_s \leq T_{opt} \\ 0.1 & T_s \leq T_{min} \text{ or } T_s \geq T_{max} \end{cases} \quad 9$$

244

$$f(VPD) = \begin{cases} 1 & VPD \leq VPD_{open} \\ \frac{VPD_{close} - VPD}{VPD_{close} - VPD_{open}} & VPD_{open} < VPD < VPD_{close} \\ 0.1 & VPD \geq VPD_{close} \end{cases} \quad 10$$

245 Where  $T_{opt}$  is the optimal temperature equal to 25 °C,  $T_{min}$  is minimum temperature equal to 0  
 246 °C,  $T_{max}$  is the maximum temperature equal to 50 °C and  $VPD_{close}$  indicates stomatal  
 247 inhibition due to high VPD and is set to 2.5 KPa based on flux tower observations for the  
 248 forest sites and 4 KPa for grassland and savannah sites.  $VPD_{open}$  indicates no inhibition to  
 249 transpiration and is set to 0.4 KPa for the forest, grassland and savannah sites. “When  $T_s$  is  
 250 lower or higher than the  $T_s$  threshold ( $T_{min}$ ,  $T_{max}$ ) or VPD is higher than  $VPD_{close}$ , stomatal  
 251 will close halting plant transpiration because of temperature or VPD stress. Similarly, when  
 252  $T_s$  is equal to  $T_{opt}$  and VPD is less than or equal to  $VPD_{open}$ , stomatal is open and plant  
 253 transpiration is not limited by temperature or VPD stress. The multipliers range from 0 for  
 254 total inhibition on stomatal conductance to 1.0, which means there is no inhibition by VPD  
 255 and  $T_s$  on stomatal conductance”. The parameters ( $VPD_{close}$ ,  $VPD_{open}$ ,  $T_{max}$ ,  $T_{opt}$ , and  $T_{min}$ )

256 used for in equations 8 and 9, which have strong effect on ET simulation were calibrated by  
 257 direct comparison of observed and modeled ET. We optimized the model outcome by using  
 258 trail and error method, which found the calibrated parameters values for  $VPD_{close}$ ,  $VPD_{open}$ ,  
 259  $T_{max}$ ,  $T_{opt}$ , and  $T_{min}$  that could achieve the minimum difference between “the 8-day” modeled  
 260 ET and “the 8-day Ameriflux” ET for the “calibration” sites. Since  $VPD_{close}$  parameters  
 261 varies between “forested and non-forested areas”, the optimization is done for forest  
 262 calibration sites and savannah and grassland calibration sites, “independently”. Leaf water  
 263 content represents the effect of soil moisture deficit in leaf conductance that influences  
 264 transpiration rates. Leaf water content (cm) is calculated according to Dingman [2002]  
 265 following Stewart [1988]:

$$f(\theta) = 1 - 0.00119 \times e^{(0.81 \times \Delta SM)} \quad 11$$

266 where  $\Delta SM$  ( $m^3/m^3$ ) is the soil moisture deficit defined as the max (SM for the growing  
 267 season) –  $SM_d$ , where “ $SM_d$  is the soil moisture for a given” day of the year. Incident solar  
 268 radiation constraint is estimated following Dingman [2002] and Stewart [1988]:

$$f(R_s) = \frac{12.78 \times R_s}{11.57 \times R_s + 104.4} \quad 12$$

269 where  $R_s$  is the incoming shortwave radiation ( $Wm^{-2}$ ).

270  $r_a$  (s/m) is estimated according to the following equation:

$$r_a = 0.012 \times \rho \times C_p \quad 13$$

271 where 0.012 is the mean net radiation coefficient from the multiple regression between  
 272 temperature and multiple environmental variables for different ecosystem types [Thornton,  
 273 1998],  $\rho$  is the air density, and  $C_p$  is the specific heat capacity of air.

274 Air density ( $\rho$ ) is calculated using the ideal gas law and expressed as a function of  
 275 atmospheric pressure and MODIS LST:

$$\rho (kgm^{-3}) = \frac{P}{R \times T_s} \quad 14$$

276 where P is the atmospheric pressure (Pa), R is the specific gas constant set to 287.05 Jkg<sup>-1</sup>K<sup>-1</sup>.

277 P is calculated with respect to the elevation of each site:

$$P = P_0 \times \left[ \frac{T_b}{T_b + L_b \times (h - h_b)} \right]^{\frac{g \times M}{R \times L_b}} \quad 15$$

278 where P<sub>0</sub> is the standard sea level atmospheric pressure = 101325 Pa, L<sub>b</sub> is the temperature

279 lapse rate = 0.0065 Km<sup>-1</sup>, h-h<sub>b</sub> is the altitude (m), T<sub>b</sub> is the sea level standard temperature =

280 288.15 K, R is the universal gas constant = 8.314 472(15) Jmol<sup>-1</sup>K<sup>-1</sup>, M is the molar mass of

281 the earth of Earth's air = 0.0289644 kg/mol, and g is the earth-surface gravitational

282 acceleration = 9.80665 ms<sup>-2</sup>. We used surface temperature because studies showed a strong

283 relationship between MODIS LST and air temperature [Mildrexler et al., 2011; Yang et al.,

284 2017] and because our purpose was not to use climate reanalysis data.

285 Finally, plant transpiration is calculated as:

$$\lambda E_c = \frac{\left[ s \times R_{nc} + \left( \rho \times C_p \frac{VPD}{r_a} \right) \right] \times (1 - f_{wet})}{s + \gamma \left( 1 + \frac{r_s}{r_a} \right)} \quad 16$$

### 286 **2.1.2. Wet canopy evaporation**

287 Studies have showed that evaporation from water intercepted by the canopy was a

288 significant contributor toward total ET from dense canopy [Grimmond et al., 2000]. When

289 the canopy is wet, mostly evaporation of intercepted water will occur. For wet canopies,

290 several studies have shown that  $r_c$  is negligible [Stewart, 1977; Van der Tol et al., 2003]. The  
 291 evaporation for wet canopy surface is calculated as:

$$\lambda E_{c\_wet} = \frac{\left[ s \times R_{nc} + \left( \rho \times C_p \times \frac{VPD}{r_a} \right) \right] \times f_{wet}}{s + \frac{C_p \times P}{\lambda \times M \times r_a}} \quad 17$$

292 where M is the ratio of molecular weight of water vapor to dry air (M= 0.622).

293

### 294 **2.1.3 Soil Evaporation**

295 The Priestley and Taylor (1972) equation for potential ET is used to calculate soil

296 evaporation [Fisher et al., 2008] in the RS-PMPT model and is constrained by soil moisture

297 limitation ( $f_{SM}$ ) on soil evaporation that is used to reduce potential ET to actual ET:

$$\lambda E_s = [f_{wet} + f_{SM} \times (1 - f_{wet})] \times \alpha \frac{s}{s + \gamma} (R_{ns} - G) \quad 18$$

298 Where  $\alpha = 1.26$  is Priestley and Taylor coefficient,  $R_{ns}$  is net radiation to the soil, and G is

299 ground heat flux. Soil moisture constraint is calculated following Verstraeten et al. [2006]:

$$f_{SM} = \left( \frac{ATI - ATI_{min}}{ATI_{max} - ATI_{min}} \right) \quad 19$$

300 Where ATI is the apparent thermal inertia index [Garcia et al. 2013] and calculated as:

$$ATI = C \frac{1 - a}{T_{Smax} - T_{Smin}} \quad 20$$

$$C = \sin \alpha \sin \delta \times (1 - \tan^2 \alpha \times \tan^2 \delta) + \cos \alpha \times \cos \delta \times \arccos(-\tan \alpha \times \tan \delta) \quad 21$$

301 Where  $T_{Smax}$  is maximum daytime  $T_s$ ,  $T_{Smin}$  is minimum nighttime  $T_s$ ,  $a$  is MODIS albedo,  $\alpha$

302 is latitude and  $\delta$  is solar declination estimated used the method of Iqbal [1983], and  $ATI_{min}$

303 and  $ATI_{max}$  are the seasonal minimum ATI and maximum ATI, respectively. We noted that

304 maximum  $T_s$  was calculated as the mean of daytime MODIS LST Terra and Aqua satellites

305 data, whereas minimum  $T_s$  is calculated as the mean of nighttime MODIS LST Terra and

306 Aqua satellite data.

307 Ground heat flux is calculated as a function of LAI and  $R_n$  following Kustas et al., [1993] as:

$$G = 0.4 \exp(-0.5 \times LAI) \times R_n \quad 22$$

308 The above equation estimates  $G = 0.1R_n$  for  $LAI = 2.8$  and  $G = 0.4R_n$  for  $LAI = 0$ . Net  
309 radiation to the soil was partitioned from  $R_n$  using MODIS  $f_{par}$  (see equation 3).

#### 310 **2.1.4 Total Daily ET**

311 The daytime total ET is the sum of the canopy transpiration and evaporation from

312 intercepted water if the canopy is considered wet based on RH and soil evaporation. Total ET  
313 is calculated as:

$$ET \left( \frac{mm}{day} \right) = \left( \frac{\lambda E_c}{\lambda} + \frac{\lambda E_{c_{wet}}}{\lambda} + \frac{\lambda E_s}{\lambda} \right) \times dl \quad 23$$

314 Where  $dl$  is day length. Daytime length ( $dl$ ) is estimated based on Hunt et al. [1996]:

$$dl \text{ (sec)} = 480 \times \cos^{-1}(-\tan\theta \times \tan d_s) \quad 24$$

315 Where  $\theta$  is the latitude in degrees, and  $d_s$  is the sun declination in degrees.

316 The approaches used in the RS-PMPT model to estimate stomatal conductance and surface

317 wetness have been tested and applied to different vegetation types, and climate resulting in

318 accurate ET estimation when compared to site observations [Fisher et al., 2008; Gerosa et al.,

319 2013; Jarvis, 1976; Muo et al., 2011; Stewart, 1988; Stewart and Gay, 1989; Zhang et al.,

320 2010]. The scientific basis for these approaches was introduced first by Jarvis [1976] by

321 measuring the response of stomatal conductance against environmental data, modified by

322 Stewart [1988] and have been discussed in the literature cited above. Estimating ET using

323 only satellite data required the use of approaches that could be modified to run with remote

324 sensing data and eliminated the use of local or derived meteorological data. For instance, to

325 estimate VPD using remote sensing data the approach of Hashimoto et al. [2008] was used  
326 (Table 1).

## 327 **2.2 Data**

### 328 **2.2.1 Flux Tower Data**

329 We calibrated and validated the model across a wide range of ecosystem types and climate at  
330 20 AmeriFlux flux sites for years 2003-2012 (Table 2). Flux data sets provide several  
331 environmental and ecosystem functions variables [Baldocchi et al., 2001] and were used for  
332 the calibration and validation of the model. We acquired gap-filled flux data  
333 (FLUXNET2015) from the AmeriFlux website (<https://ameriflux.lbl.gov/>). Marginal  
334 distribution sampling method was used to gap-fill the flux data  
335 [<http://fluxnet.fluxdata.org/data/fluxnet2015-dataset/>]. To calculate daily daytime  $LE_d$  ( $Jm^{-2}$ )  
336 from half-hourly data, we defined day length as the period with photosynthetically active  
337 radiation (PAR) greater than  $15 \mu molm^{-2}s^{-1}$ . Then, daily daytime tower  $LE_d$  ( $Jm^{-2}$ ) was  
338 calculated as the sum of the day length half hourly LE data as  $LE_d = (\sum_i^n LE) \times 60 \times 30$ .  
339 The tower measured daily daytime ET is calculated from daily daytime  $LE_d$  as:

$$ET_d = \frac{LE_d}{\lambda} \quad 25$$

340 where d is total observation of each day, and  $\lambda$  is the latent heat of vaporization ( $Jkg^{-1}$ ).  $\lambda$  is  
341 calculated based on Maidment [1993] equation:

$$\lambda (JKg^{-1}) = (2.501 - 2.36 \times 10^{-3} \times T_S) \times \quad 26$$

**$10^6$**

342 Furthermore, 8-day mean ET (Tower ET) is calculated as the average of 8-day ET for the  
343 days that were considered cloud free (days with average PAR values greater than 400  
344  $\mu molm^{-2}s^{-1}$ ). We did not calculate the 8-day ET average if three or more days were missing



345 data. The 8-day average for ET was computed to match the temporal resolution of MODIS  
346 evapotranspiration product.

### 347 **2.2.2 Satellite data**

348 “Detailed information about satellite data version, layers, and layers names are provided

349 in Table 1”. Leaf area Index [Myneni et al., 2015], fraction of photosynthetically active

350 radiation [Myneni et al., 2015], MODIS land surface temperature LST [Wan et al., 2015],

351 and calculated albedo (MCD43A) [Schaaf and Wang, 2015] were obtained from the  $7 \times 7$  km

352 subsets of MODIS products (1 km spatial resolution; “version 005) using the MODIS Web

353 Service Tool [ORNL DAAC, 2008] ([https://modis.ornl.gov/data/modis\\_webservice.html](https://modis.ornl.gov/data/modis_webservice.html))”.

354 Although the flux tower footprint is about  $1 \text{ km}^2$  [Schmid, 2002], exactly locating the pixel

355 where the flux tower footprint falls within can be a difficult task. Therefore, we extracted the

356 central  $3 \times 3$  km area within the  $7 \times 7$  km subsets. Above-mentioned data came from the

357 Terra and Aqua satellites and the average of Terra and Aqua data was used to run the RS-

358 PMPT model. “We used data from either Terra or Aqua for days when Terra or Aqua data

359 were missing due to quality control. Albedo was calculated as the average of the shortwave

360 black sky albedo and shortwave white sky albedo”. Soil moisture data (25 km spatial

361 resolution) were downloaded from European space agency website ([http://www.esa-](http://www.esa-soilmoisture-cci.org/node/215)

362 [soilmoisture-cci.org/node/215](http://www.esa-soilmoisture-cci.org/node/215)). Soil moisture data (CCI SM v03.2) is available daily and

363 produced from the fusion of multiple sensors [Dorriego et al., 2017]. Satellite daily solar

364 radiation data ( $1^\circ$  spatial resolution) were downloaded from NASA “Cloud and the Earth’s

365 Radiant Energy System (CERES)” website

366 (<https://ceres.larc.nasa.gov/products.php?product=SYN1deg>) [Smith et al., 2011; Wielicki et

367 al., 1996]. CERES (SYN1deg-Day, edition 3) provides computed fluxes for incoming

368 shortwave and longwave radiations ( $1^\circ$  spatial resolution) and outgoing shortwave and

369 longwave radiation (1° spatial resolution) and has been extensively evaluated [Doelling et al.,  
370 2013]. CERES data were used to calculate  $R_n$  as the difference between the incoming and the  
371 outgoing radiation. MODIS evapotranspiration (MOD16A2; variable name: ET\_1km)  
372 [Running et al., 2017] data were obtained from the 3 × 3 km subsets of MODIS product  
373 “(version 005) using the MODIS Web Service Tool [ORNL DAAC, 2008]  
374 ([https://modis.ornl.gov/data/modis\\_webservice.html](https://modis.ornl.gov/data/modis_webservice.html))”. Periods with missing data were not  
375 filled. Only data with high quality control for LAI and *fpar* were used. LAI and *fpar* high  
376 quality control (000 and 001; see MODIS Collection 5: LAI/fPAR Product User’s Guide:  
377 “[https://lpdaac.usgs.gov/sites/default/files/public/modis/docs/MODIS-LAI-FPAR-User-](https://lpdaac.usgs.gov/sites/default/files/public/modis/docs/MODIS-LAI-FPAR-User-Guide.pdf)  
378 [Guide.pdf](https://lpdaac.usgs.gov/sites/default/files/public/modis/docs/MODIS-LAI-FPAR-User-Guide.pdf)”) indicated that the main radiation transfer (RT) algorithm was used. LAI and *fpar*  
379 data quality control allowed for the identification of LAI and *fpar* values produced with the  
380 backup algorithm that are considered the least reliable [Yang et al., 2006] and these LAI and  
381 *fpar* values were replaced with values generated from linear interpolation. For days where  
382 linear interpolation could not be used because of multiple consecutive missing 8-day data, the  
383 day was dismissed from the analysis and we could not compute the RS-PMPT ET for that 8-  
384 day period. In general, less than 9% of the LAI and *fpar* data for some sites (e.g. Duke  
385 Forest) required linear interpolation due to low quality data. We used LAI and *fpar* data from  
386 either Terra or Aqua when data from one of these satellites were missing. In case no LAI or  
387 *fpar* data were available from MODIS, gap-filling was not used for that day because usually  
388 other MODIS data were missing such as, albedo and LST.

### 389 **2.3 Statistical analysis**

390 Two levels of error analysis for the proposed model outputs were computed. First, the  
391 model derived ET was validated with ET obtained from eddy flux tower measurements and  
392 MOD16. Coefficient of determination ( $r^2$ ), root mean square error (RMSE), mean absolute

393 error (MAE) were used to validate the RS-PMPT ET results. Second, Willmott's index of  
 394 agreement ( $d$ ) was used to quantify the model results. In this paper, RMSE is defined as the  
 395 difference between two data sets for all samples and it is given by:

$$RMSE = \frac{1}{n} \sum_{i=1}^n (X_i - Y_i)^2 \quad 27$$

396 where  $X_i$  is the observed value and  $Y_i$  is the estimated value. Mean absolute error (MAE) is  
 397 defined as the absolute difference between the two data sets for all samples and it is given by:

$$MAE = \frac{1}{n} \sum_{i=1}^n abs(X_i - Y_i) \quad 28$$

398 Willmott's index of agreement ( $d$ ) [1981, 1982, 2011] is defined as:

$$d = 1 - \frac{\sum_{i=1}^n (X_i - Y_i)^2}{\sum_{i=1}^n (|X_i - \bar{X}| + |Y_i - \bar{X}|)^2} \quad 29$$

399 where  $\bar{X}$  is the mean of the observed value. Willmott's index varies between -1 and 1, a value  
 400 of 1 means that the two data sets are in perfect agreement and a  $d$  of -1 indicates either lack  
 401 of agreement between the model and observation or insufficient variation in observations to  
 402 adequately test the model. The ability of Willmott's index of agreement to measure the  
 403 model errors makes it use appropriate for model validation. Willmott's index of agreement  
 404 can measure two sources of errors: systematic and unsystematic errors. Unsystematic errors  
 405 quantify model precision, while systematic error refers to the linear bias produced by the  
 406 model. Applying and building the appropriate regression functions can reduce the systematic  
 407 error.

408 Willmott's defined the systematic mean square error ( $MSE_s$ ) as:

$$MSE_s = \frac{1}{n} \sum_i^n (X_i - \hat{Y}_i)^2 \quad 30$$

409 where  $X_i$  is the observed value,  $\hat{Y}$  is the predicted  $Y$  obtained from the regression equation  
410 model  $\hat{Y} = a + bX$ . The unsystematic mean square error ( $MSE_{us}$ ) is defined as:

$$MSE_{us} = \frac{1}{n} \sum_i^n (Y_i - \hat{Y}_i)^2 \quad 31$$

411 where  $Y_i$  is the estimated value. The proportion of the systematic error and unsystematic  
412 errors to the total errors was derived from  $MSE_s / MSE$  and  $MSE_{us} / MSE$ , respectively.  $MSE$   
413 is the sum of  $MSE_s$  and  $MSE_{us}$ .

### 414 **3 Results**

#### 415 **3.1 Model results for the calibration sites**

416 The RS-PMPT estimates were compared to the tower ET. To test the overall seasonal  
417 prediction of the RS-PMPT model, an 8-day growing season mean for the study sites were  
418 generated. We used either Terra or Aqua data for days with data available only from one of  
419 these two sensors; otherwise data from both sensors were averaged and used for the model  
420 inputs. For the deciduous sites, the RS-PMPT model could track successfully the seasonal  
421 variation of the tower ET (Fig. 2). The RS-PMPT model underestimated the peak tower ET  
422 for MMSF (except for years 2004 and 2008). The underestimation could be due to errors in  
423 the model satellite inputs or model parameters ( $VPD_{open}$ ,  $VPD_{close}$ , etc.) that were used to  
424 estimate  $r_c$ .

425 For the evergreen sites, the RS-PMPT model was in good agreement with the tower ET  
426 (Fig. 3). The RS-PMPT overestimated tower ET for the subtropical evergreen forest (Austin  
427 Cary) before Julian day 120, but was able to track the seasonality of tower ET for the rest of  
428 the year (Fig. 3). Comparison of site measured LAI and MODIS LAI revealed that the later  
429 overestimate the former by about  $1m^2m^{-2}$  (data not shown) for Austin Cary before Julian day  
430 120. Thus, errors in MODIS LAI have contributed to the observed ET overestimation by RS-  
431 PMPT.

432 The RS-PMPT model was able to track the seasonal variability in the tower ET for the  
433 grassland sites and the woody savanna site (Figs. 4 & 5). The RS-PMPT model  
434 underestimated the peak ET for year 2004 for the Lethbridge site and overestimated the end  
435 of the growing season ET for Vaira Ranch (Fig. 4). The model performed poorly for the  
436 shrubland site, which can be attributed to low MODIS LAI ( $< 1 \text{ m}^2/\text{m}^2$ ), but it was able to  
437 track the seasonal variability in the tower ET for the savanna site (Fig. 5).

438 Regression analysis was performed by averaging the 8-day means of tower ET for each of  
439 the study sites. The results showed strong and significant correlation between the RS-PMPT  
440 model and tower for all the calibration sites (Fig. 6). The  $r^2$  ranged from 0.38-0.97, with the  
441 lowest  $r^2$  for Sky Oaks ( $r^2 = 0.38$ ) site mainly due to the underestimation discussed above  
442 “and in the discussion section”. Whereas, MOD16  $r^2$  ranged from 0.06-0.96 with an average  
443  $r^2$  of 0.72 compared with an average  $r^2$  of 0.79 for the RS-PMPT (Table 3). The regression  
444 analysis results for most of the calibration sites were scattered around the 1:1 line. Low  
445 systematic errors (high accuracy) were represented by the plots for the study sites that had  
446 estimates close to the 1:1 line and had low  $\%MSE_s/MSE$  values, such as US-MMS and  
447 Harvard sites (Fig. 6, and Table 3). The proportion of errors for majority of the calibration  
448 sites was mainly dominated by unsystematic error, suggesting that the results were unbiased.  
449 The proportion of error for Howland forest, Austin Cary, and Lethbridge sites was dominated  
450 by systematic error, suggesting that the results may have been biased as the RS-PMT model  
451 overestimated or underestimated the peak observed ET for these sites (Table 3). The MAE  
452 and RMSE for the RS-PMPT model were much smaller than MOD16 for all the sites, except  
453 for the Vaira Ranch site and “ranged for MAE from 0.15 mm/day to 0.57 mm/day” (Table 3).  
454 For all the calibration sites, the average MAE for the RS-PMPT and MOD16 was 0.3

455 mm/day and 0.6 mm/day, respectively; and the average RMSE was 0.42 mm/day and 0.74  
456 mm/day, respectively (Table 3). The high value of  $d$  for the RS-PMPT model is an indication  
457 of the good agreement between the modeled ET and the tower ET (Table 3). The  $d$  values for  
458 the RS-PMPT model were much closer to one than MOD16, except for the Vaira Ranch site  
459 (Table 3).

### 460 **3.2 Model results for the validation sites**

461 Validation of the model was performed for four deciduous sites, two evergreen sites, and 6  
462 grassland sites. The RS-PMPT model estimates were evaluated and compared with site flux  
463 tower ET. RS-PMPT estimates were able to track the seasonal variability in the deciduous,  
464 evergreen, and grasslands sites, suggesting that the RS-PMPT model can be applied  
465 successfully to other sites (Figs 7-9). This was also supported by the high  $d$  values (Table 3).  
466 In general, the intra and interannual variability in the tower ET was detected by the RS-  
467 PMPT model.

468 For the deciduous sites and evergreen sites (Figs.7-8), the RS-PMPT was able to track  
469 accurately the interannual the seasonality in the observed ET. The ET underestimation for  
470 **US-DK3** site could be related to the use of maximum stomatal conductance that is not  
471 representative of this site leading to overestimation of surface resistance (Fig 8.). **For the US-**  
472 **Bkg** grassland site, the model underestimated flux tower ET (Fig.9). It is important to note  
473 that **US-Bkg** is a managed grazed pasture site and management practices probably  
474 contributed to the mismatch between RS-PMPT estimated and flux tower ET. For **the US-**  
475 **IB2** grassland site, underestimation of the flux tower ET is also observed (Fig. 9).

476 Regression analysis was performed by averaging the 8-day means of tower ET for each of  
477 the validation sites. The results showed strong and significant correlations between the RS-

478 PMPT model and tower for all the validation sites (Fig. 10). The  $r^2$  ranged from 0.78-0.96,  
479 with the lowest  $r^2$  for the **US-Bkg** ( $r^2 = 0.76$ ) site, while MOD16  $r^2$  ranged from 0.43-0.97  
480 with an average  $r^2$  for all the validation site of 0.81 compared to an average of  $r^2$  of 0.9 for  
481 the RS-PMPT. Low systematic error ( $MSE_s$ ) was observed for most of the sites (**except US-**  
482 **DK3 and US-Kon** sites) when compared to MOD16 (Table 3), indicating the high accuracy  
483 in RS-PMPT model estimation. The proportion of errors for five of the validation sites was  
484 mainly dominated by unsystematic error, suggesting that the results are unbiased. This  
485 indicate that our method was able to reduce the biases with observations when compared to  
486 MOD16 proportion of error that is mainly dominated by systematic error (Table 3). The  
487 MAE and RMSE for the RS-PMPT model were much smaller than MOD16 “(MAE ranges  
488 from 0.28 mm/day to 0.81 mm/day; RMSE ranges from 0.4 mm/day to 1.13 mm/day)” for all  
489 the sites, except for the **CA-Man, US-Bkg, US-IB2, US-Kon and US-DK3** sites “and ranged  
490 from 0.17 mm/day to 0.94 mm/day and from 0.21 mm/day to 1.44 mm/day for MAE and  
491 RMSE, respectively” (Table 3). MOD16 lower MAE for these sites was due to better  
492 estimating the observed ET than the RS-PMPT for certain years (Fig. 8-9). For example,  
493 MOD16 was able to replicate the peak of the observed ET for year 2005 for the **US-Bkg** site,  
494 resulting in lower MAE and RMSE than the RS-PMPT (Fig. 9). For the wetter grassland sites  
495 (**US-Bkg, US-IB2, US-Kon**) MOD16 had lower errors than our model, but performed poorly  
496 for the semiarid grassland sites (**US-Wkg, US-Seg, and US-FPe**). Possibly, MOD16  
497 parameters were more representative for the wet grassland sites, whereas the surface wetness  
498 model and the use of ATI in determining the soil moisture limitation in the soil evaporation  
499 model resulted in more accurate RS-PMPT ET estimates for the semiarid grassland sites (Fig.  
500 9). The high value of  $d$  for the RS-PMPT model is an indication of the good agreement

501 between the modeled ET and the tower ET (Table 3). The  $d$  values for the RS-PMPT model  
502 were much closer to one than MOD16, except for US-DK3, US-Bkg, and US-IB2 sites  
503 (Table 3). For all the validation sites, the average MAE for the RS-PMPT and MOD16 was  
504 0.36 mm/day and 0.47 mm/day, respectively, and the average RMSE was 0.51 mm/day and  
505 0.61 mm/day, respectively (Table 3). The errors and correlation coefficients of the RS-PMPT  
506 are very good at the different biome types, indicating that our approach worked well.

#### 507 **4 Discussion**

508 “Overall, the” RS-PMPT model appeared to be robust and applicable for our study sites.  
509 This is illustrated in the RS-PMPT ability to track the seasonal variability in the flux tower  
510 ET measurements. Hence, its simple parameterization produced results “with RMSE ranging  
511 from 0.19 to 0.61 mm/day” similar to the other remote sensing P-M based models [Cleugh et  
512 al., 2007; Mu et al., 2007, 2011; Leuning et al., 2008]. The correlation coefficient between  
513 the RS-PMPT and observations (Table 3) was very similar to the correlation coefficient of  
514 0.67 and 0.96 for the study sites [Lu et al., 2010] and to the correlation coefficient between  
515 MOD16 estimates and observations (Table 3). Our methodology demonstrated that the P-M  
516 equation could be derived by remotely sensed data for ET estimates at 8-days and annual  
517 “timescales and has the potential for regional and global applications”.

518 The RS-PMPT model underestimation of the peak tower ET for some of the sites can be  
519 related to errors in the estimated VPD. Analysis of the VPD model for all the 20 sites showed  
520 that it tended to overestimate the tower VPD with a MAE of 0.46 kPa with the highest  
521 overestimation detected for sites with temperature higher than 40°C (data not shown). High  
522 VPD will result in an increase in the modeled surface resistance and thus the RS-PMPT  
523 model will underestimate the observed ET estimates. The differences in the RS-PMPT ET



524 estimates across sites were related to variability in soil moisture, environmental constraint,  
525 and LAI. For the deciduous calibration sites with similar LAI, RS-PMPT model performed  
526 better for the **US-Ha1** site than the more humid **US-MMS** site mostly due to underestimating  
527 canopy transpiration because of possible overestimation of canopy surface wetness ( $f_{\text{wet}}$ ) at  
528 the **US-MMS** site. It is expected that the model will exhibit strong temperature constraints for  
529 sites with temperature reaching more than 35<sup>0</sup>C due to the parameters of the  $f(T_s)$  function.

530 The RS-PMPT modeled ET was able to capture successfully the seasonality of the  
531 observed ET for the semiarid sites (**US-Seg** and **US-Wkg** sites) dominated by short grasses,  
532 but failed for the shrubland site (Sky Oaks). In the chaparral vegetation at Sky Oaks, LAI  
533 changes drastically in relation to water availability [Sims et al., 2006] and might be adapted  
534 to higher  $T_{\text{opt}}$  than the  $T_{\text{opt}}$  used in our model. Consequently, a generalized  $T_{\text{opt}}$  would not be  
535 expected to apply to all sites and conditions especially for the drought sites as  $T_{\text{opt}}$  could be  
536 driven by drought effects than temperature [Sims et al., 2008].

537 “Analyzing the results for the **Vaira Ranch** site, we noticed that **RS-PMPT** was mostly  
538 dominated by soil evaporation during the overestimation period (Fig. 4).  $f_{\text{SM}}$  is assumed to  
539 represent both canopy and soil water content if  $T_s$  includes both vegetation and soil  
540 components, which is the case for MODIS LST [Vertraeten et al., 2006]. It can be assumed  
541 that  $f_{\text{SM}}$  might have overestimated soil moisture content, causing the RS-PMPT model to  
542 overestimate tower ET at the end of the growing season for **Vaira Ranch** site (Fig. 4).  
543 Whereas, for the **US-IB2** grassland site,  $f_{\text{SM}}$  is limiting soil evaporation due to errors in the  
544 ATI retrieval from satellite land surface temperature causing the model to underestimate flux  
545 tower ET. In addition, MODIS pixel for the **US-IB2** site included parcels of adjacent crop  
546 and grasslands [Wagle et al., 2017] that would impact the MODIS data and the modeled ET

547 estimates for this site. The influence of the adjacent crop that has on observed ET for the US-  
548 IB2 site is beyond the scope of this study, but it possibly explains the high observed ET  
549 values for this site.”

550 The RS-PMPT model “improved the ET estimates at most of the study sites” compared to  
551 MOD16 (Table 3). Furthermore, the RS-PMPT produced accurate 8-day ET “estimates by  
552 reducing MAE and RMSE for 14 of the 20 flux tower sites and” with average  $r^2$  of 0.84 and  
553 MAE of 0.33 W/m<sup>2</sup>. More importantly, the RS-PMPT bias for all the study sites was on  
554 average 36% lower than the MOD16 (MSE<sub>s</sub>/MSE, Table 3). Considering that our ET results  
555 showed low biases (lower MAE and RMSE), higher  $d$ , and high  $r^2$  for the validation sites, the  
556 RS-PMPT model was able to capture successfully the observed seasonal and interannual  
557 variability and the site to site differences in ET. The biases that existed between RS-PMPT  
558 model and the flux tower ET observations probably were influenced by:

559 1) Missing flux data and energy balance closure: The tower flux latent heat data is  
560 usually available for every hour or half an hour interval. Some of the daily  
561 observations for the flux towers used were missing due to system errors. In addition,  
562 many days were missing several hourly or half an hour observations. The use of fewer  
563 flux observations to estimate daily averages of ET can lead to errors in the model error  
564 analysis [Desai et al., 2005; Dragoni et al., 2007; Hollinger and Richardson, 2005]. In  
565 addition, energy closure issue in the flux measurements is an important factor that can  
566 cause the difference between the model estimates and flux estimates and can introduce  
567 discrepancy with the observed ET [Franssen et al., 2010; Leuning et al., 2012; Stokli  
568 et al., 2008]. For instance, Wilson et al., [2002] showed that for 22 flux observed  
569 sensible and latent heat underestimated available energy by 20%. Thus, systematic

570 underestimation by the Eddy covariance measurements due to for example, vegetation  
571 heat storage and missing advection of heat and water vapor, could explain the  
572 overestimation in the RS-PMPT estimates for some of the sites.

573 2) Scaling from flux to MODIS: The flux tower footprint is about 1 km<sup>2</sup> around the tower  
574 and its direction is influenced by local environmental conditions such as wind speed  
575 and direction [Schmid, 2002]. Comparison of the flux observed ET with RS-PMPT  
576 estimates estimated from the 3 × 3 1-km<sup>2</sup> averaged MODIS data could have  
577 introduced uncertainties due to the difference in the pixel size, flux footprint, and the  
578 varying environmental conditions in each site.

579 3) Algorithm limitations: The following limitations in our model perhaps contributed to  
580 the difference between the model estimate and the flux observations: (1) Our  
581 simplified model was developed using generalized relationships to estimate surface  
582 conductance and aerodynamic resistance using universal parameters instead of biome  
583 specific parameters. However, these parameters do differ for different biome types; (2)  
584 Empirical relationships were used to estimate certain variables and parameters that can  
585 introduce biases to our model. For instance, VPD was able to explain 85% of the  
586 variability in the corresponding tower measurements with a MAE of 0.35 kPa (data no  
587 shown). The unexplained variability could have introduced errors to our estimates. As  
588 mentioned previously, overestimating tower VPD can lead to underestimation of  
589 measured ET; (3) Uncertainties in the mechanism controlling soil heat flux. As a  
590 result, we might have overestimated soil heat flux for the dry sites and underestimated  
591 ET. In addition, the RS-PMPT might not include all the parameters that could

592 influence ET (e.g. topography and its effect on available soil moisture) and  
593 incorporating rooting zone soil moisture need to be explored in the future.

594 “Finally, in implementing our approach, several assumptions were made to achieve  
595 simplicity and applicability of RS-PMPT model. For instance, the physiological variables  
596 such as  $r_a$  and  $r_c$  were estimated using minimum number of parameters, resulting in  
597 minimizing the number of model parameters needed to run the RS-PMPT model. The  
598 tradeoff between the use of our canopy resistance model compared to previous studies might  
599 have a minimal effect on our results without influencing the overall model accuracy (Fig. 2-  
600 10). In addition, parameters of the the  $r_a$  and  $r_s$  models have been estimated in this study  
601 without relying on flux tower-based data such as wind speed and humidity. Moreover, our  
602 canopy resistance values ranged from 100 to 1000  $\text{sm}^{-1}$  similar to the values reported in the  
603 literature for deciduous forests [Li et al., 2009].”

## 604 **5 Conclusion**

605 Evapotranspiration is one of the most important parameters of the water cycle and  
606 accurate estimation of ET dynamic is essential for better understanding of the changes in the  
607 hydrological cycle. Here, we presented a different operational approach to derive the P-M  
608 equation solely by remotely sensing data. The RS-PMPT model was developed and validated  
609 at 20 flux tower sites representative of North America major ecosystem types. The results  
610 revealed that the RS-PMPT model matched the magnitude and seasonal variation of the  
611 measured ET. In addition, the RS-PMPT model performance was very similar and in some  
612 cases even better than the MOD16. The daily MAE and RMSE was reduced from 0.54  
613 mm/day and 0.68 mm/day from the MOD16 to 0.33 mm/day and 0.46 mm/day with our RS-  
614 PMPT mode, respectively. The significant relationship between RS-PMPT estimates and the

615 tower ET observations implied that the RS-PMPT model has the potential to be applied to  
616 different ecosystems and can be implemented at different spatial scales.

617 Because of the application of the RS-PMPT model, we have demonstrated that our  
618 approach can operate without the need for site-based meteorological or climate reanalysis  
619 data and permits the RS-PMPT model application to areas lacking surface measurements.  
620 Secondly, the algorithm can incorporate data from several satellite sensors. Sources of errors  
621 in the model can be improved by reducing the errors in the estimated VPD and by including  
622 root zone soil moisture. The RS-PMPT precision is dependent in satellite data and any  
623 improvements in remote sensing data accuracy will enhance the RS-PMPT ET estimates.

624 We have learned from this experiment that capturing the peak of the observed ET in a  
625 yearly basis is a challenge to the modeling community. Local site conditions such as, soil  
626 type and species composition, might play an important role in determining the peak observed  
627 ET. Our next step is to include leaf wetness to improve the canopy resistance model and to  
628 experiment with the use of canopy cover fraction from the soon to be launched Global  
629 Ecosystem Dynamics Investigation (GEDI) to enhance model energy partitioning between  
630 the vegetation and the soil.

631

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633

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960 **Figure Captions**

961

962 Figure 1. Flowchart of the RS\_ET model. LAI: Leaf Area Index;  $T_s$ : MODIS LST;  $f_{par}$ : Fraction  
963 of photosynthetic active radiation;  $T_a$ : Air temperature;  $e_s$ = saturated vapor pressure; VPD:  
964 Vapor pressure deficit;  $R_l$ : net long wave radiation;  $R_s$ : net shortwave radiation;  $R_n$ : net radiation;  
965  $G$ : Ground heat flux;  $R_{soil}$ : Net radiation to the soil.

966

967 Figure 2. Seasonal time series of daily ET for the calibration deciduous sites either at eddy flux  
968 tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
969 lines).

970

971 Figure 3. Seasonal time series of daily ET for the calibration evergreen forest sites either at eddy  
972 flux tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
973 lines).

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975 Figure 4. Seasonal time series of daily ET for the calibration grassland sites either at eddy flux  
976 tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
977 lines).

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979 Figure 5. Seasonal time series of daily ET for the shrubland and savanna site either at eddy flux  
980 tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
981 lines).

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983 Figure 6. Average 8-day of the RS-PMPT ET estimates compared with average 8-day eddy flux  
984 tower ET for the calibration sites. The dashed line is the regression line and the black solid line is  
985 the 1:1 line. The dashed line is the regression line and the black solid line is the 1:1 line. The data  
986 for each site represent the average 8-day data for the years included in the study (see Table 2)

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988 Figure 7. Seasonal time series of daily ET for the validation deciduous sites either at eddy flux  
989 tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
990 lines).

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992 Figure 8. Seasonal time series of daily ET for the validation evergreen forest sites either at eddy  
993 flux tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
994 lines).

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996 Figure 9. Seasonal time series of daily ET for the validation grassland sites either at eddy flux  
997 tower (open circle) or predicted by the RS-PMPT model (black line), or MODIS ET (dashed  
998 lines).

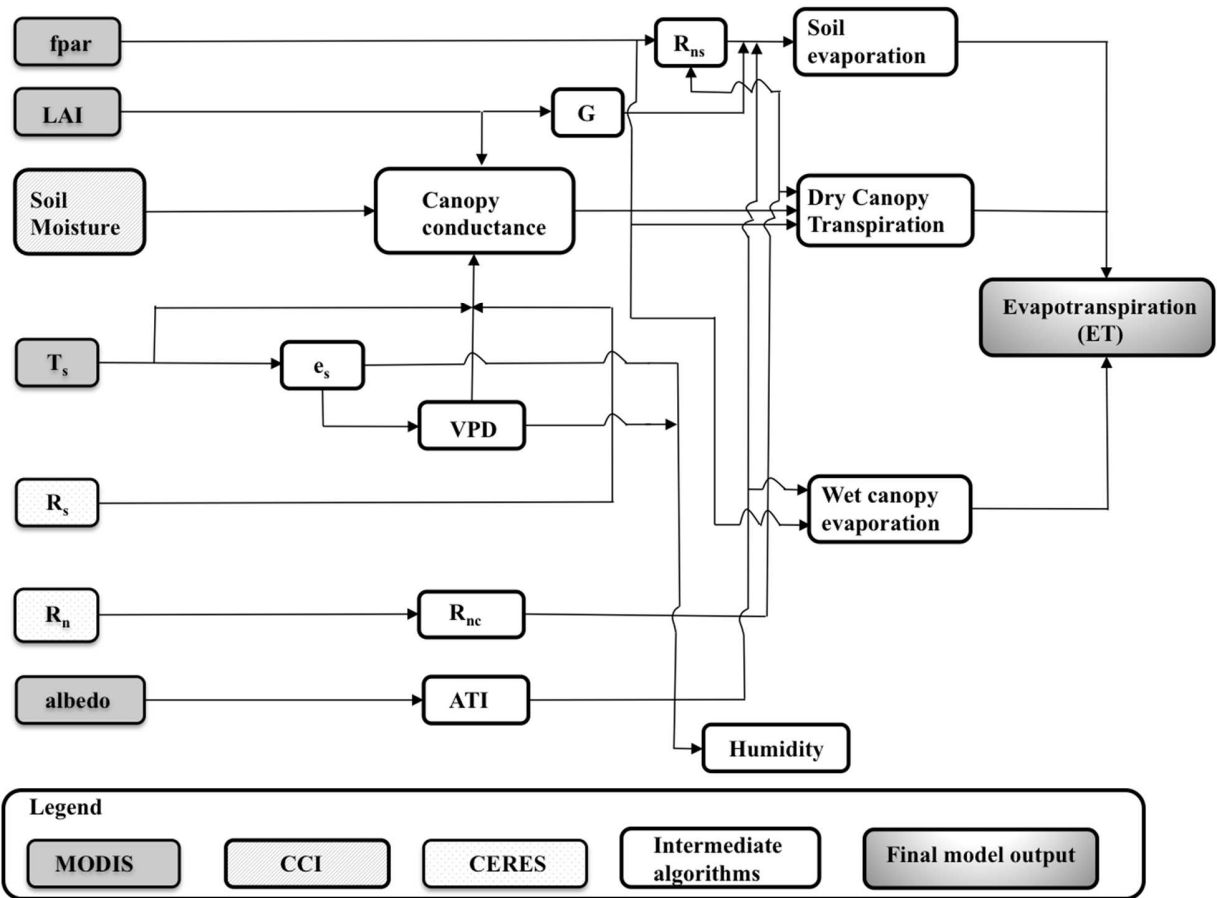
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1000 Figure 10. Average 8-day of the RS-PMPT ET estimates compared with average 8-day eddy flux  
1001 tower ET for the validation sites. The dashed line is the regression line and the black solid line is  
1002 the 1:1 line. The data for each site represent the average 8-day data for the years included in the  
1003 study (see Table 2)

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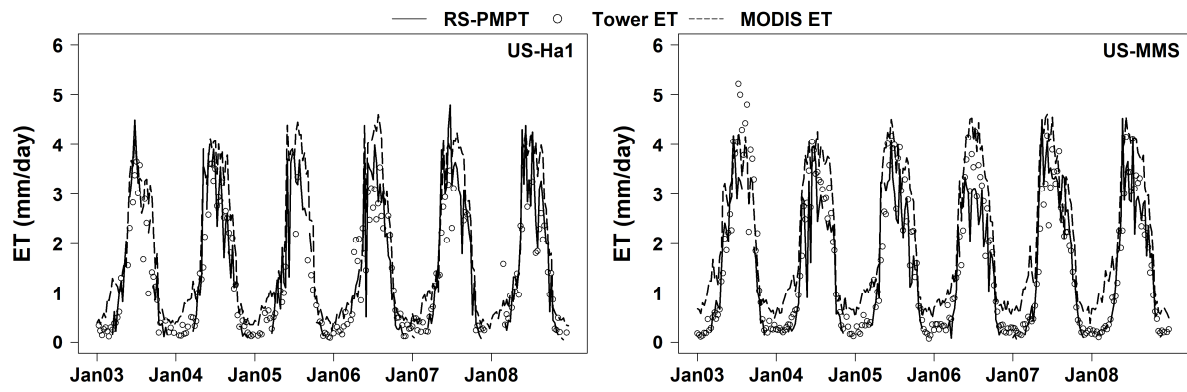
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3 Figure 1. Flowchart of the RS\_ET model. LAI: Leaf Area Index; Ts: MODIS LST; fpar: Fraction of  
4 photosynthetic active radiation; Ta: Air temperature; e<sub>s</sub>= saturated vapor pressure; VPD: Vapor pressure  
5 deficit; R<sub>s</sub>: net shortwave radiation; R<sub>n</sub>: net radiation; G: Ground heat flux; R<sub>ns</sub>: Net radiation to the soil.

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 2 Figure 2. Seasonal time series of daily ET for the calibration deciduous sites either at eddy flux tower  
 3 (open circle) or predicted by the RS-ET model (black line).  
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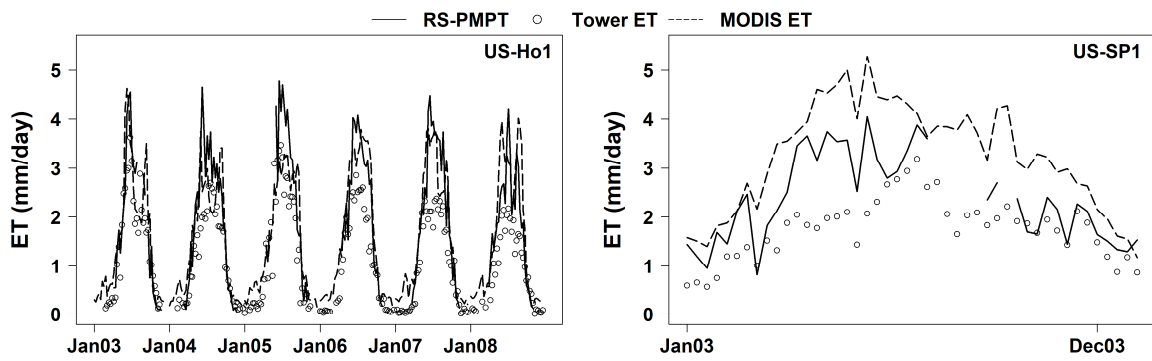
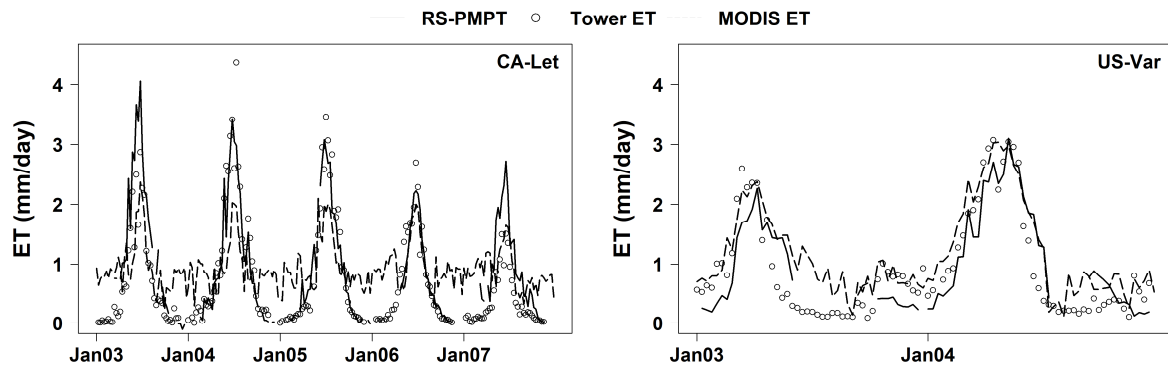
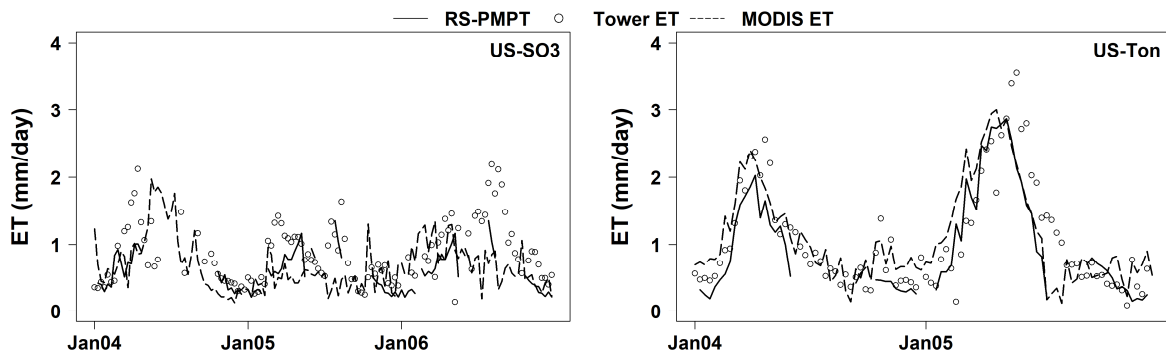


Figure 3. Seasonal time series of daily ET for the calibration evergreen forest sites either at eddy flux tower (open circle) or predicted by the MODIS (black line).

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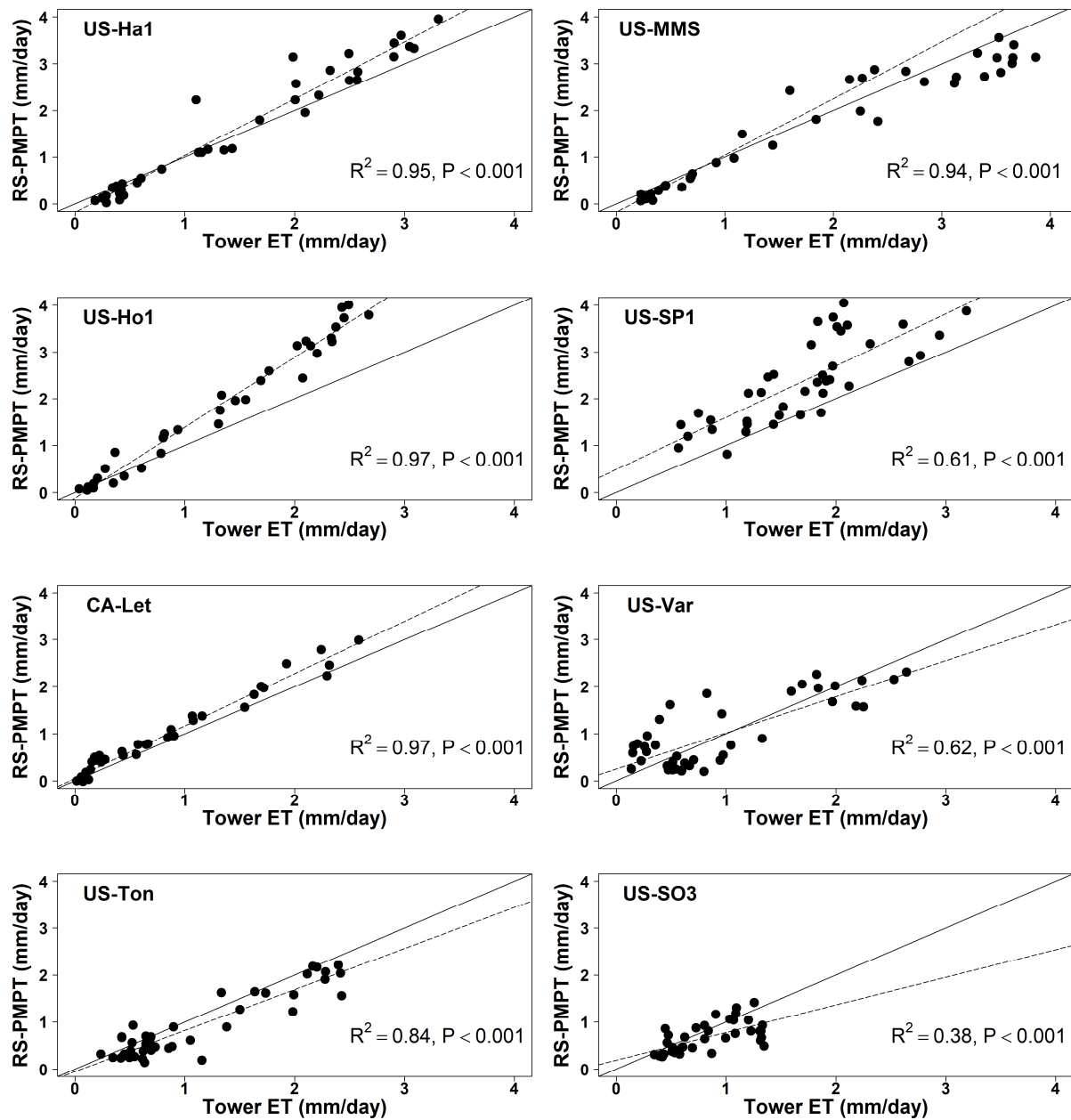


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 2 Figure 4. Seasonal time series of daily ET for the calibration grassland sites either at eddy flux tower  
 3 (open circle) or predicted by the RS-ET model (black lines).  
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Figure 5. Seasonal time series of daily ET for the savanna and shrubland sites either at eddy flux tower (open circle) or predicted by the MODIS (black lines). “Missing RS-PMPT data for Sky Oaks are the result of missing satellite soil moisture data.”



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2 Figure 6. Average 8-day of the RS-PMPT ET estimates compared with average 8-day eddy flux tower ET  
3 for the calibration sites. The dashed line is the regression line and the black solid line is the 1:1 line. The  
4 data for each site represent the average 8-day data for the years included in the study (see Table 2)

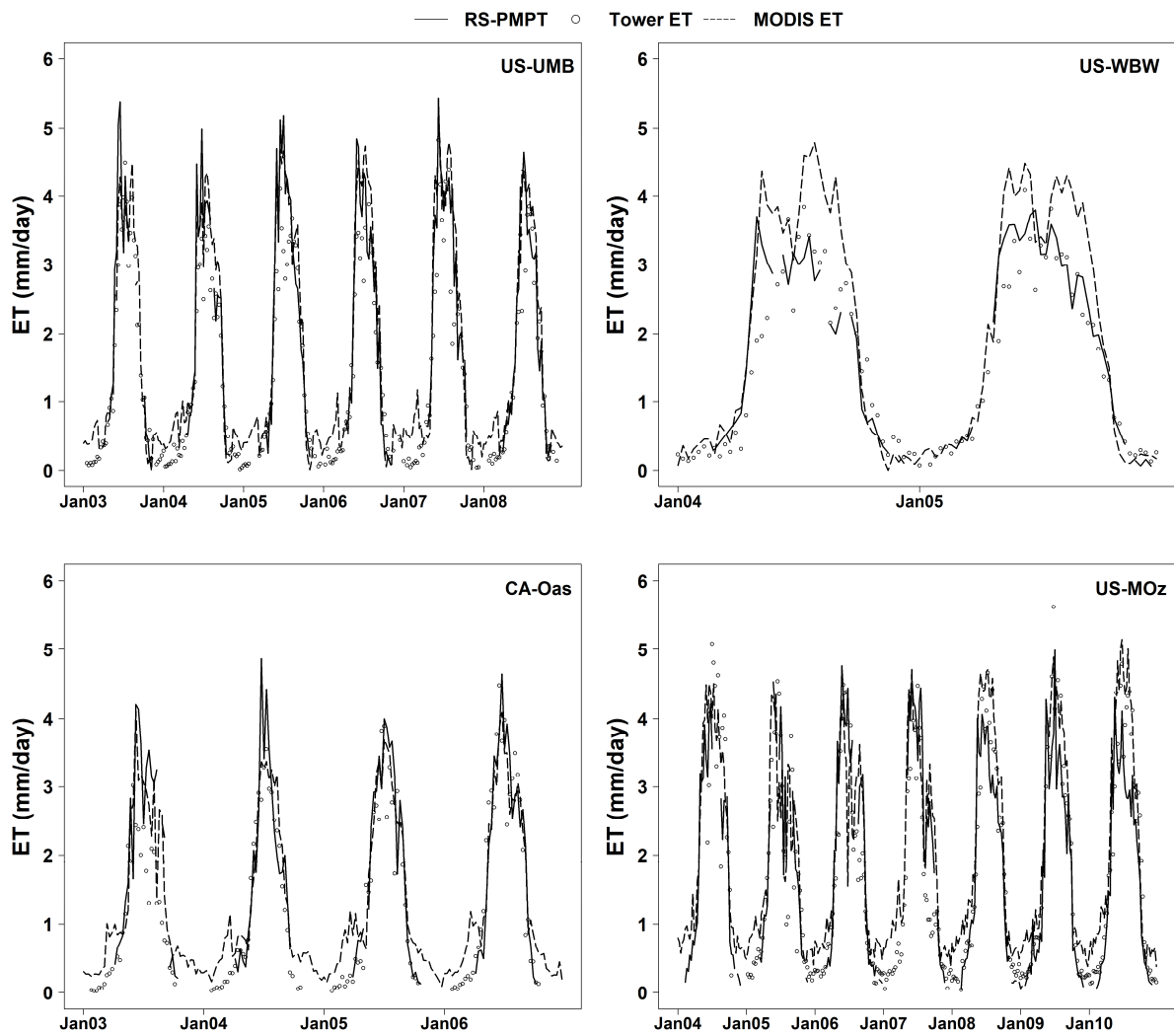
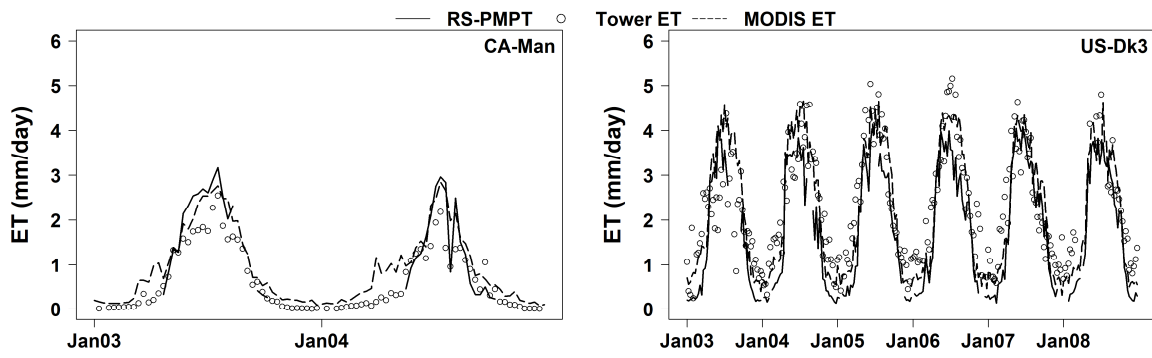
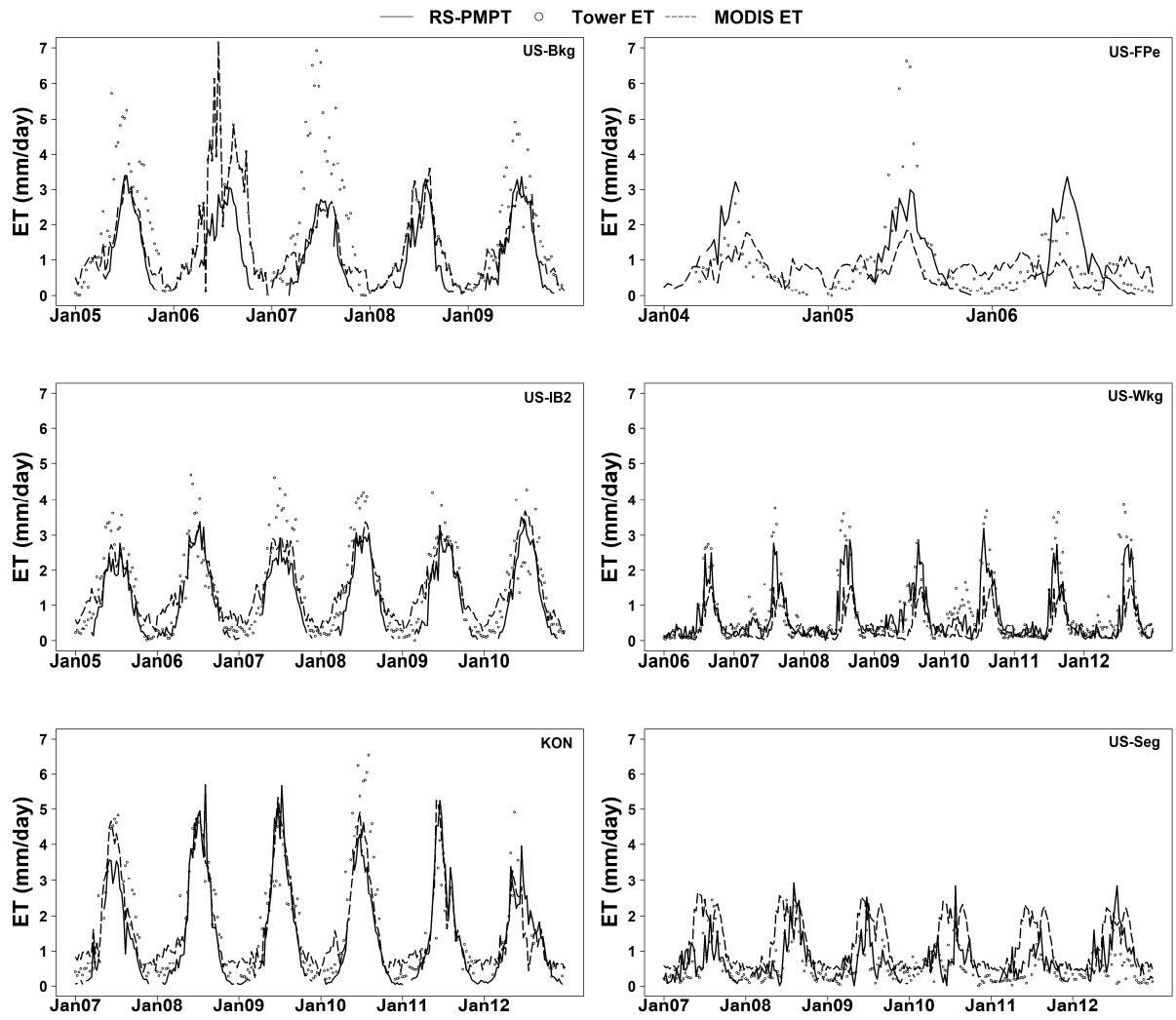


Figure 7. Seasonal time series of daily ET for the validation deciduous sites either at eddy flux tower (open circle) or predicted by the RS-ET model (black line).

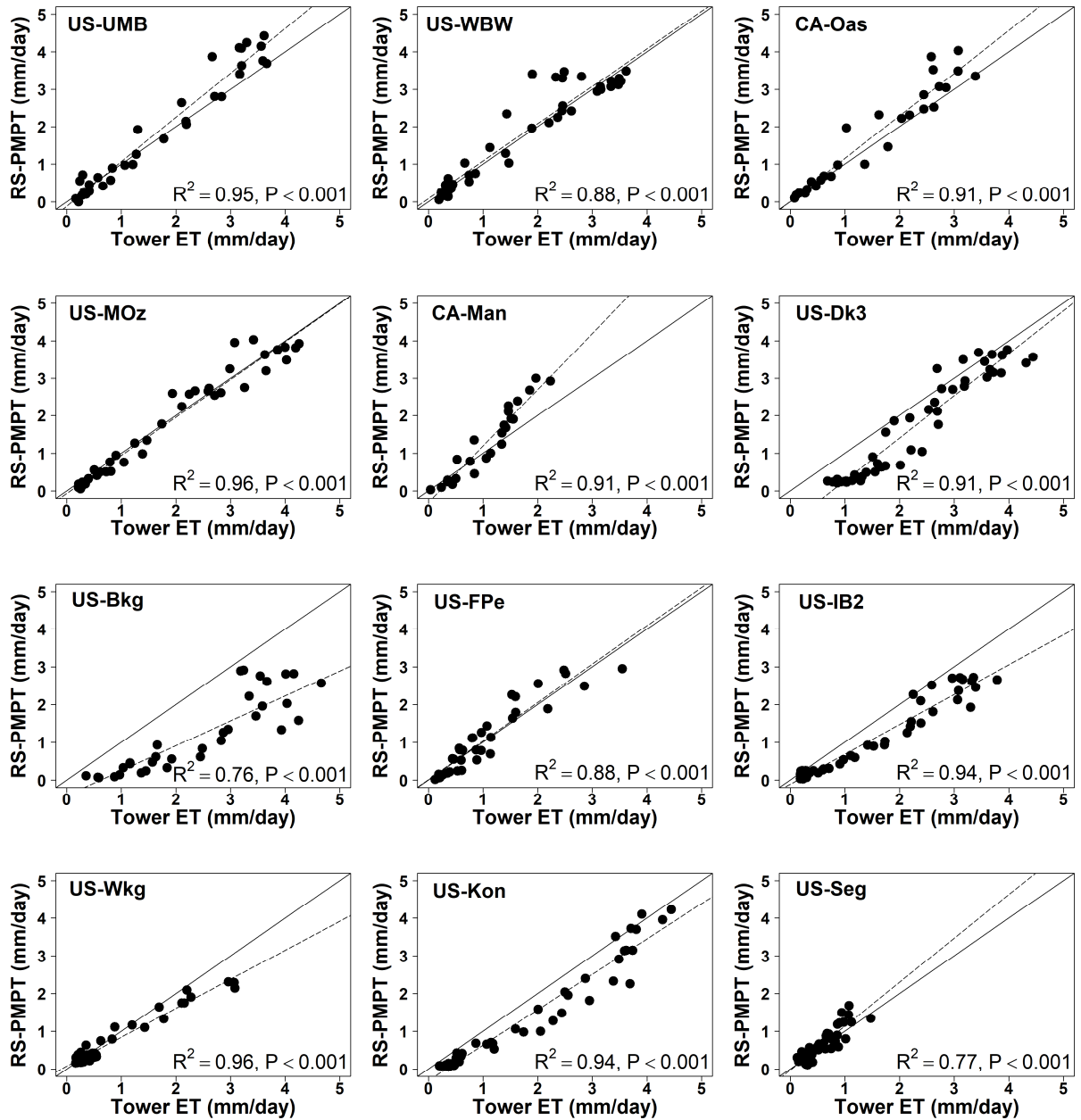
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 2 Figure 8. Seasonal time series of daily ET for the validation evergreen sites either at eddy flux tower  
 3 (open circle) or predicted by the RS-ET model (black line).  
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2 Figure 9. Seasonal time series of daily ET for the validation grassland sites either at eddy flux tower  
3 (open circle) or predicted by the RS-ET model (black line).  
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2 Figure 10. Average 8-day for the RS-PMPT ET estimates as compared to average 8-day eddy flux tower  
3 ET for the validation sites. The dashed line is the regression line and the black solid line is the 1:1 line.  
4 The data for each site represent the average 8-day data for the years included in the study (see Table 2)  
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1 Table 1. Model parameters, environmental constraints, and inputs for the RS-PMPT model.

Parameters	Description:	Calibrated	Inputs	Reference
$R_{nc}, R_{ns}$ ( $W/m^2$ )	Net canopy radiation; net soil radiation	-	MODIS fpar version 005 (MOD 15A2 and MYD 15A2 layer name: Fpar_1km), CERES derived $R_n$ (layer names: sfc_comp_sw- down_all_daily; sfc_comp_lw- down_all_daily; sfc_comp_sw- up_all_daily; sfc_comp_lw- up_all_daily)	Mu et al., 2011
$f_{wet}$	Wet surface fraction	-	MODIS LST version 005 (MOD11A2 and MYD11A2; layer names: LST_Day_1km and LST_Night_1km)	Fisher et al., 2008; Mu et al., 2011.
$f(T_s)$	Plant temperature constraint	Yes	MODIS LST	Gerosa et al., 2012
$f(VPD)$	Plant vapor pressure deficit constraint	Yes	MODIS LST	Mu et al., 2007; Hashimoto et al., 2008
$f(R_s)$	Plant solar radiation constraint	-	CERES derived $R_n$	Stewart, 1988; Dingman, 2002

f( $\theta$ )	Plant water constraint	-	CCI soil moisture (CCI SM v03.2, variable name: sm)	Stewart, 1988; Dingman, 2002
f <sub>SM</sub>	Soil moisture constraint	-	MODIS albedo version 005 (MCD43A; variable names: shortwave_black and shortwave_white), MODIS LST	Garcia et al., 2013; Verstraeten et al., 2006
G (W/m <sup>2</sup> )	Soil heat flux	-	MODIS LAI version 005 (MOD15A2 and MYD15A2; variable name: LAI_1km), CERES derived R <sub>n</sub>	Kustas et al., 2003

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1 Table 2. Ameriflux sites used for calibration and validation of the RS-PMPT model in this study.

Site Name	Vegetation Type	Climate	Longitude	Latitude	Year	Reference
<b>Calibration Sites</b>						
<b>Harvard Forest (US-Ha1)</b>	Deciduous forest	Cold winter	72.17 W	42.54 N	2003-08	Goulden et al. (1996) <a href="http://dx.doi.org/10.17190/AMF/1246059">http://dx.doi.org/10.17190/AMF/1246059</a>
<b>Morgan Monroe State Forest (US-MMS)</b>	Deciduous forest	High summer rainfall	86.41 W	39.32 N	2003-08	Schmid et al. (2000) <a href="http://dx.doi.org/10.17190/AMF/1246080">http://dx.doi.org/10.17190/AMF/1246080</a>
<b>Howland Forest (US-Ho1)</b>	Evergreen forest	Cold winter	68.74 W	45.20 N	2003-08	Hollinger et al. (2005) <a href="http://dx.doi.org/10.17190/AMF/1246061">http://dx.doi.org/10.17190/AMF/1246061</a>
<b>Austin Cary (US-SPI)</b>	Evergreen forest	Hot summer	82.21 W	29.73 N	2003	Powell et al. (2008) <a href="http://dx.doi.org/10.17190/AMF/1246100">http://dx.doi.org/10.17190/AMF/1246100</a>
<b>Tonzi Ranch (US-Ton)</b>	Woody Savanna	Dry hot summer	120.96	38.43 N	2004-05	Xu and Baldocchi (2004) <a href="http://dx.doi.org/10.17190/AMF/1245971">http://dx.doi.org/10.17190/AMF/1245971</a>
<b>Lethbridge (CA-Let)</b>	Grassland	Warm summer	112.94 W	49.7 N	2003-07	Flanagan and Adkinson (2011) <a href="http://dx.doi.org/10.17190/AMF/1436318">http://dx.doi.org/10.17190/AMF/1436318</a>
<b>Vaira Ranch (US-Var)</b>	Grassland	Dry hot summer	120.95	38.40 N	2004-05	Xu and Baldocchi (2004) <a href="http://dx.doi.org/10.17190/AMF/1245984">http://dx.doi.org/10.17190/AMF/1245984</a>
<b>Sky Oaks (US-SO3)</b>	Shrubland	Dry hot summer	116.62 W	33.37 N	2004-06	Sims et al. (2006) <a href="http://dx.doi.org/10.17190/AMF/1246098">http://dx.doi.org/10.17190/AMF/1246098</a>
<b>Validation Sites</b>						
<b>Michigan Biological Station (US-UMB)</b>	Deciduous forest	Cold winter	84.71 W	45.56 N	2003-08	Schmid et al. (2003) <a href="http://dx.doi.org/10.17190/AMF/1246107">http://dx.doi.org/10.17190/AMF/1246107</a>
<b>Walker Branch (US-WBW)</b>	Deciduous forest	Hot summer	84.28 W	35.96 N	2004-05	Baldocchi (1997) <a href="http://dx.doi.org/10.17190/AMF/1246109">http://dx.doi.org/10.17190/AMF/1246109</a>
<b>Southern Old Aspen (CA-Oas)</b>	Boreal deciduous	Cool summer	106.19 W	53.63 N	2003-06	Barr et al. (2007) <a href="http://dx.doi.org/10.17190/AMF/1375197">http://dx.doi.org/10.17190/AMF/1375197</a>
<b>Missouri Ozark (US-MOz)</b>	Deciduous	Hot summer	92.2 W	38.74 N	2004-10	Gu et al. (2007) <a href="http://dx.doi.org/10.17190/AMF/1246081">http://dx.doi.org/10.17190/AMF/1246081</a>

<b>Northern Old Black Spruce (CA-Man)</b>	Boreal Evergreen	Cool summer	98.48 W	55.88 N	2003-04	Griffis et al., 2003 <a href="http://dx.doi.org/10.17190/AMF/1245997">http://dx.doi.org/10.17190/AMF/1245997</a>
<b>Duke Loblolly Pine (US-Dk3)</b>	Evergreen	Hot summer	79.09 W	35.97 N	2003-08	Katul et al. (1999) <a href="http://dx.doi.org/10.17190/AMF/1246048">http://dx.doi.org/10.17190/AMF/1246048</a>
<b>Brookings (US-Bkg)</b>	Grassland	Hot summer	96.83 W	44.34 N	2005-09	Meyers T.P <a href="http://dx.doi.org/10.17190/AMF/1246040">http://dx.doi.org/10.17190/AMF/1246040</a>
<b>Fort Peck (US-FPe)</b>	Grassland	Cold winter	105.1 W	48.3 N	2004-06	Meyers T.P <a href="http://dx.doi.org/10.17190/AMF/1246053">http://dx.doi.org/10.17190/AMF/1246053</a>
<b>US-IB2</b>	Grassland	Hot summer	88.24 W	41.84 N	2005-10	Matamala et al. (2008) <a href="http://dx.doi.org/10.17190/AMF/1246066">http://dx.doi.org/10.17190/AMF/1246066</a>
<b>Walnut Gulch Kendall (US-Wkg)</b>	Grassland	Dry cold steppe	109.94 W	31.73 N	2006-12	Scott (2010) <a href="http://dx.doi.org/10.17190/AMF/1246112">http://dx.doi.org/10.17190/AMF/1246112</a>
<b>Konza Prairie (US-Kon)</b>	Grassland	Hot summer	96.56 W	39.08 N	2007-12	Wilson et al. (2001) <a href="http://dx.doi.org/10.17190/AMF/1246068">http://dx.doi.org/10.17190/AMF/1246068</a>
<b>Sevilleta (US-Seg)</b>	Grassland	Dry cold steppe	106.7 W	34.36 N	2007-12	Anderson-Teixeira et al. (2011) <a href="http://dx.doi.org/10.17190/AMF/1246124">http://dx.doi.org/10.17190/AMF/1246124</a>

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1 Table 3. Summary of the agreement analysis of RS-PMPT ET, MODIS ET, and tower ET.

		$r^2$	$d$	MAE (mm/d)	RMSE (mm/d)	MSE <sub>s</sub> /MSE (%)	MSE <sub>us</sub> /MSE (%)
<b>Calibration Sites</b>							
<b>US-Ha1</b>	RS-PMPT	0.95	0.90	0.18	0.34	26	74
	MODIS ET	0.92	0.55	0.84	1.21	79	21
<b>US-MMS</b>	RS-PMPT	0.94	0.89	0.27	0.36	38	62
	MODIS ET	0.96	0.77	0.56	0.62	82	18
<b>US-Ho1</b>	RS-PMPT	0.97	0.77	0.38	0.56	90	10
	MODIS ET	0.91	0.70	0.50	0.66	72	28
<b>US-SP1</b>	RS-PMPT	0.62	0.42	0.57	0.82	60	40
	MODIS ET	0.62	-0.34	1.50	1.69	84	16
<b>US-Ton</b>	RS-PMPT	0.84	0.80	0.24	0.34	37	63
	MODIS ET	0.72	0.75	0.30	0.37	13	87
<b>CA-Let</b>	RS-PMPT	0.97	0.88	0.15	0.21	59	41
	MODIS ET	0.66	0.58	0.49	0.58	83	17
<b>US-Var</b>	RS-PMPT	0.62	0.70	0.40	0.45	14	86
	MODIS ET	0.87	0.74	0.32	0.39	59	41
<b>US-SO3</b>	RS-PMPT	0.38	0.67	0.20	0.31	47	53
	MODIS ET	0.06	0.48	0.32	0.43	84	16
<b>Validation Sites</b>							
<b>US-UMB</b>	RS-PMPT	0.95	0.91	0.24	0.39	30	70
	MODIS ET	0.97	0.83	0.40	0.46	68	32
<b>US-WBW</b>	RS-PMPT	0.88	0.89	0.25	0.40	11	89
	MODIS ET	0.93	0.76	0.54	0.73	66	34
<b>CA-Oas</b>	RS-PMPT	0.92	0.90	0.22	0.37	24	72
	MODIS ET	0.94	0.83	0.28	0.42	71	29
<b>US-MOz</b>	RS-PMPT	0.96	0.91	0.21	0.30	11	89
	MODIS ET	0.96	0.84	0.39	0.45	62	38
<b>CA-Man</b>	RS-PMPT	0.91	0.83	0.36	0.46	67	33
	MODIS ET	0.93	0.73	0.31	0.40	70	30
<b>US-Dk3</b>	RS-PMPT	0.90	0.66	0.66	0.73	73	27

	MODIS ET	0.91	0.80	0.40	0.47	25	75
<b>US-Bkg</b>	RS-PMPT	0.76	0.65	0.94	1.44	90	10
	MODIS ET	0.72	0.69	0.81	1.13	86	14
<b>US-FPe</b>	RS-PMPT	0.88	0.85	0.21	0.41	61	39
	MODIS ET	0.43	0.58	0.60	0.79	94	6
<b>US-IB2</b>	RS-PMPT	0.95	0.80	0.44	0.55	84	16
	MODIS ET	0.91	0.82	0.39	0.46	67	33
<b>US-Wkg</b>	RS-PMPT	0.96	0.87	0.17	0.26	73	27
	MODIS ET	0.57	0.65	0.46	0.71	85	15
<b>US-Kon</b>	RS-PMPT	0.95	0.83	0.44	0.54	70	30
	MODIS ET	0.88	0.83	0.43	0.50	39	61
<b>US-Seg</b>	RS-PMPT	0.78	0.68	0.17	0.21	16	84
	MODIS ET	0.59	-0.08	0.59	0.76	66	34

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