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Hydrological Partitioning in the Critical Zone: Recent Advances and Opportunities for Developing Transferable Understanding of Water Cycle Dynamics

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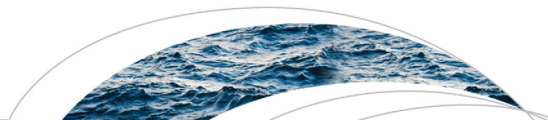
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Key Points:

- The disparate nature of hydrologic research hinders theoretical advancement
- Coordinated research on subsurface hydrologic partitioning to ET or streamflow can address this gap
- Multiple areas of research identify extensive groundwater interaction with streamflow and atmosphere

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Hydrological partitioning in the critical zone: Recent advances and opportunities for developing transferable understanding of water cycle dynamics

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Abstract Hydrology is an integrative discipline linking the broad array of water-related research with physical, ecological, and social sciences. The increasing breadth of hydrological research, often where sub-disciplines of hydrology partner with related sciences, reflects the central importance of water to environmental science, while highlighting the fractured nature of the discipline itself. This lack of coordination among hydrologic subdisciplines has hindered the development of hydrologic theory and integrated models capable of predicting hydrologic partitioning across time and space. The recent development of the concept of the critical zone (CZ), an open system extending from the top of the canopy to the base of groundwater, brings together multiple hydrological subdisciplines with related physical and ecological sciences. Observations obtained by CZ researchers provide a diverse range of complementary process and structural data to evaluate both conceptual and numerical models. Consequently, a cross-site focus on “critical zone hydrology” has potential to advance the discipline of hydrology and to facilitate the transition of CZ observatories into a research network with immediate societal relevance. Here we review recent work in catchment hydrology and hydrochemistry, hydrogeology, and ecohydrology that highlights a common knowledge gap in how precipitation is partitioned in the critical zone: “how is the amount, routing, and residence time of water in the subsurface related to the biogeophysical structure of the CZ?” Addressing this question will require coordination among hydrologic subdisciplines and interfacing sciences, and catalyze rapid progress in understanding current CZ structure and predicting how climate and land cover changes will affect hydrologic partitioning.

1. Introduction

There is a dynamic tension in water resources research where hydrology is both an applied discipline with tremendous practical relevance to society, while also a vibrant area of basic research that integrates biogeophysical processes from pore to global scales. Ensuring reliable and consistent supplies of fresh water, along with protecting infrastructure and lives from floods, is critical for sustainable development and economic growth [NRC-NAS, 2012]. This immediate relevance of hydrology to society led to the well-documented fracturing of the discipline across a gradient of applied to basic research [Burgess, 1990]. Arguably, these divisions within hydrology have hindered the theoretical advancement of the discipline [e.g., Penman, 1961; Klemes, 1986; Kirchner, 2006; Thompson et al., 2011c]. Over the last several decades, however, hydrology has begun to expand from relatively narrow or applied foci to become an integrative discipline linking civil and environmental engineering, ecohydrology, physiological ecology, biogeochemistry, geology, soil science, atmospheric science, and climatology. This integration has been driven by the growing appreciation that the availability, cycling, and quality of water are intimately linked to most if not all biophysical processes [NRC-NAS, 2012] and to the observation that rapid changes in climate and land cover require both new fundamental understanding of coupled biophysical processes and new approaches to predict resource availability [Milly et al., 2008].

Integration among various subdisciplines is leading hydrologists to reevaluate the stakeholders for their research. It is straightforward to identify stakeholders for applied research addressing readily defined societal solutions (e.g., flood control, agricultural water supply, or groundwater drawdown). However, increasing demand for water combined with rapidly changing land cover and climate requires integrated observation and modeling approaches [NRC-NAS, 2012; Montanari and Koutsoyiannis, 2012]. As the degree of integration increases, the diversity of stakeholders with questions and interests in various aspects of the water cycle also expands, requiring both new types of observations that provide insight into water stores and fluxes [e.g., Verwee et al., 2015], and importantly, consistent representations of water cycling across scales. The development of long-term, experimental catchment study sites provides examples where the integration of physical hydrology, hydrochemistry, hydrometeorology, and biogeochemistry has spurred advances in both conceptual and quantitative models of precipitation routing and streamflow generation [e.g., Lins, 1994; Elliot and Vose, 2011; Neal et al., 2013; Lutz et al., 2012; Hooper, 2001; Peters et al., 2011; Lohse et al., 2009]. These catchment efforts echo early work by Horton [1933] by taking a systems approach linking diverse observations and conceptual models to advance trans-disciplinary and transferable research [Blöschl and Sivapalan, 1995; McDonnell et al., 2007; Sivapalan et al., 2003; Wagener et al., 2007; Troch et al., 2009]. To the extent that these place-based efforts bring diverse groups of researchers together, they continue to be successful in generating new questions, defining hypotheses, and advancing conceptual and numerical representation of water cycling.

Cross-site comparisons build upon this place-based research by quantifying and modeling behaviors that are generalizable across space and time. These efforts are critical for the advancement of transferrable understanding of hydrological processes and development of hydrological theory [Beven, 2006; Bejan, 2007]. Comparative hydrological research has identified mechanisms by which catchments store and release water [Kirchner, 2006; McNamara et al., 2011], partition precipitation [Huxman et al., 2005; Troch et al., 2009], and attenuate nutrients [Peterson et al., 2001]. As consistent hydrochemical data sets are developed across multiple locations, the concurrent application of hydrometric, hydrochemical, and isotopic data holds great promise for identifying predictive models that “get the right answer for the right reasons” [Kirchner, 2006]. For example, detailed surface water hydrochemical studies indicate tremendous heterogeneity in water residence time in the subsurface [Neal et al., 2013; Kirchner, 2003; Bishop et al., 2004] often with much longer residence times and greater groundwater contributions than predicted by operational models of river discharge [Frisbee et al., 2012]. Similarly, plant physiological ecology and ecohydrology observations suggest that subsurface water stores are highly heterogeneous in both space and time [Dawson and Ehleringer, 1991; McDonnell et al., 2003; Brooks et al., 2010; Hu et al., 2010; Thompson et al., 2011c] and provide fertile conceptual ground for integrating catchment and land surface approaches to water balance. Whether through ecohydrological or hydrochemical observations, challenging models with diverse data maximize the use of models as learning tools. Expanding upon the concept that “all models are wrong, but some are useful” [Box and Draper, 1987], a powerful focus in comparative work is on breaking the model by explicitly finding its limitations rather than validating the model for a particular application.

Both hydrochemical and ecohydrological observations suggest that the residence time and routing of water in the subsurface is highly heterogeneous in space and time, underscoring the need to explicitly include geological, geomorphological, and pedological understanding into hydrological partitioning [McDonnell, 2003]. The development of critical zone science [NRC-NAS, 2001; Amundson et al., 2007; Brantley et al., 2007, 2011] has catalyzed the establishment of a network of terrestrial environmental observatories that has the potential to meet this need. The critical zone is the outer surface of the terrestrial earth where “rock meets life” and where water cycle dynamics connect the subsurface to the atmosphere and climate. The CZ explicitly includes the vertical domain from the base of active groundwater circulation through the top of vegetation canopies and horizontally encompasses nested catchments associated with surface and subsurface structure that develops on geological times scales (Figure 1). Notably different from previous catchment studies is the broad range of relevant time scales, encompassing microbial through pedological, geomorphological, and geological processes in the CZ [Brantley et al., 2011; Chorover et al., 2011; Rasmussen et al., 2011]. The explicit focus on CZ structure (geologic, topographic, pedologic, and ecologic) and how it develops across time and space scales represents an opportunity to advance hydrologic research, and its interface with allied earth science disciplines, in both process representation and similarity analyses.

Here we briefly review research from three active, but largely independent, subdisciplines of hydrology (catchment hydrology and hydrochemistry, hydrogeology, and ecohydrology) that converge on a common

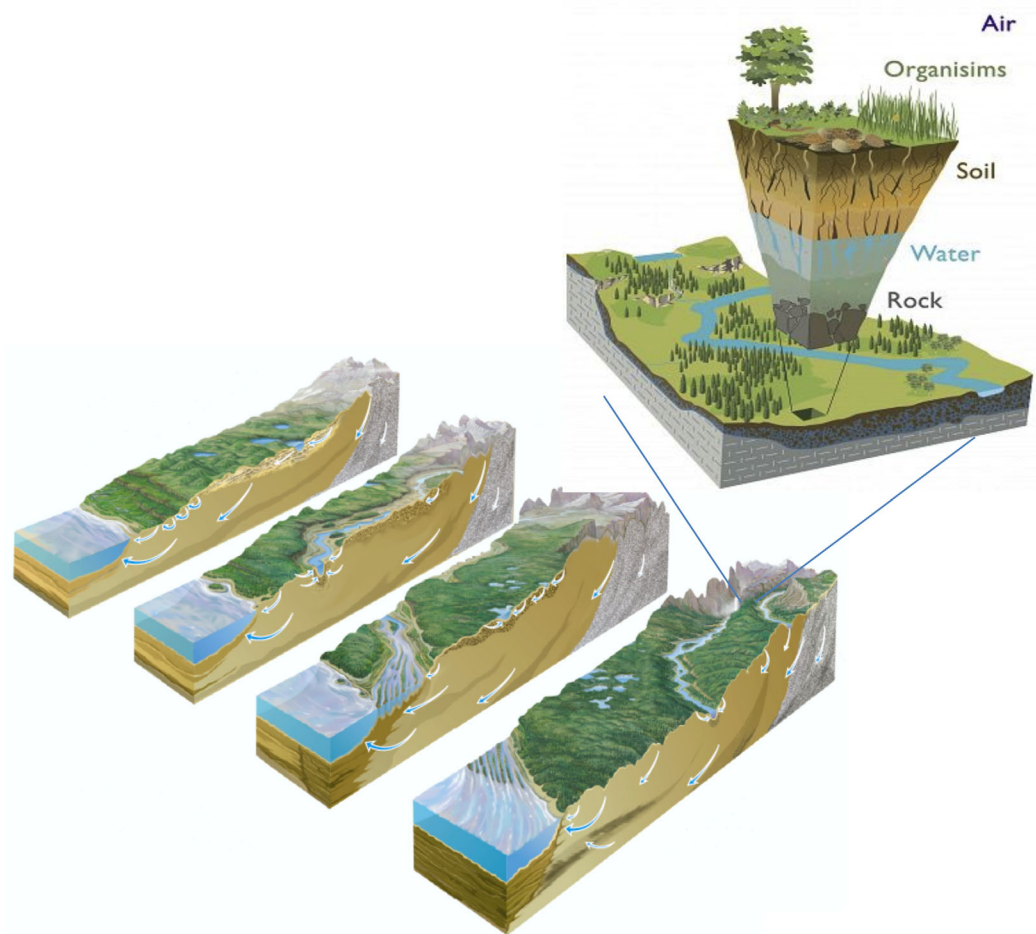


Figure 1. The critical zone (CZ) is the near-surface environment where rock, soil, water, air, and life interact. The exploded view on the inset top right represents both the vertically deeper and longer time scale foci of CZ science relative to most hydrological or ecological research. The four transects from mountains to sea illustrates the multiscale nature of CZ processes [Winter et al., 1998].

knowledge gap critical for developing transferable understanding of how precipitation is partitioned to surface water discharge or vapor flux. Specifically, all converge on the need for improved understanding of the amount and residence time of subsurface water, including both soil and groundwater. Individually, each subdiscipline brings complementary perceptual and conceptual models, spatial and temporal scales of interest, observational techniques, and numerical models. Together, these observations and models provide complementary “windows” into subsurface water storage and movement that exert strong controls on hydrologic partitioning in the CZ. We suggest that “critical zone hydrology” can serve as a catalyst for new theory, observational techniques, and closure schemes that cross time and space scales [Beven, 2006] by integrating these approaches from what largely have been disparate subdisciplines of hydrology. We further suggest the following as an integrating question of common interest to guide critical zone hydrology: “how is the amount, routing, and residence time of water in the subsurface related to the biogeophysical structure of the CZ?” The wide range of CZ disciplines utilizes an equally broad range of metrics to quantify CZ structure. Consequently, we use the structure broadly to include any quantifiable biophysical characteristic of the critical zone (e.g., lithology, topography, soils, and vegetation) that is either directly involved in, or can provide indirect insight into, water cycling.

2. Catchment Hydrology and Hydrochemistry

The common observation that surface water chemistry more closely resembles soil or groundwater than precipitation has been used to draw inferences about the magnitude and mobility of stored subsurface

water. Water chemistry evolves while in contact with host rock and soil allowing simultaneous measurements of stored water quantity (e.g., water level in a well and soil moisture) and quality (e.g., major and trace ions and isotopes) to provide complementary insights into the physical, chemical, and biological processes otherwise hidden from our eyes [Kirchner, 2009]. Consequently, stream chemistry provides a powerful, integrative tool to evaluate inferences on subsurface (geological and soil) structure and water residence time drawn from physical hydrological models and observations. Mixing models [e.g., Christophersen *et al.*, 1990; Liu *et al.*, 2008] used by catchment hydrologists and hyporheic exchange models used by stream ecologists [e.g., Gooseff and McGlynn, 2005] utilize this approach to understand coupled hydrological and biogeochemical processes in catchment ecosystems [e.g., Mulholland and Hill, 1997; Brooks and Lemon, 2007].

It is not difficult to conceptualize a slow release of stored water modulating surface water chemistry during base flow. However, the widespread ability of catchments to release large amounts of stored water and solutes during high flows has been termed a double paradox in catchment hydrology [Kirchner, 2003]. Efforts to resolve the double paradox rely on groundwater flow through various subsurface reservoirs [Bishop *et al.*, 2004], and emphasize the importance of variable subsurface (soil and groundwater) stores and fluxes through both macropore flow [e.g., Beven and Germann, 2013] and transmissivity feedbacks through a soil profile [Bishop *et al.*, 2004]. Even during peak flows at small spatial scales, hydrochemistry indicates that stream water has had considerable contact with the subsurface [e.g., Williams and Melack, 1991; Frisbee *et al.*, 2012]. Because water stored in the subsurface potentially is subject both to evapotranspiration and to discharge to surface water, these observations highlight that a critical challenge in predicting how precipitation is partitioned involves quantifying the spatial and temporal heterogeneity in subsurface water storage and movement.

Patterns of catchment-scale concentration-discharge (C-Q) relations across different tracers and catchment types reflect (bio)geochemical reactions occurring during advective water transport and, hence, how water and solutes move through the catchment. Some solute concentrations remain relatively stable even as discharge varies widely (Figure 2) [Godsey *et al.*, 2009; Basu *et al.*, 2010], whereas other solute concentrations vary with discharge or other controls [e.g., Ågren *et al.*, 2010; Guan *et al.*, 2011; Shanley *et al.*, 2011]. Furthermore, C-Q patterns for a given solute vary among sites, even during the rising versus falling limbs of the hydrograph, reflecting structural and kinetic controls. In all cases, observed concentration-discharge patterns must reflect both the timing and location of subsurface water movement, and reaction rates within both the subsurface and the stream channel [Creed *et al.*, 2015]. Perhaps the most surprising aspect of cross-site analysis is that concentrations of many weathering and/or slow reacting solutes vary minimally as discharge varies by several orders of magnitude. These “chemostatic” C-Q relationships (Figure 2) [Godsey *et al.*, 2009] again highlight the importance of large subsurface water or solute stores that can be mobilized rapidly in response to precipitation events but also contributions from significantly slower, by several orders of magnitude, flow paths [Kirchner *et al.*, 2000]. Recent advances in descriptions of the timing of water movement suggest dynamic travel time distributions with long tails best describe water movement [e.g., Harman, 2015], and they also indicate strong effects of evapotranspiration fluxes and initial conditions [e.g., Heimbüchel *et al.*, 2012; van der Velde *et al.*, 2014]. Further work to link these travel time distributions to solute patterns in effluent stream waters is warranted. Working at different scales including subcatchment observations, such as those evaluating groundwater, soil water, and wetland concentration-discharge patterns [Brooks *et al.*, 2005; Kim *et al.*, 2012], may reveal more process-based information about the temporal and spatial distribution of reactions and fluxes through the unsaturated and saturated zones across the landscapes (Figure 3). By extension, these advances in understanding where and how long water resides in the subsurface will inform both hydrogeology and ecohydrological research.

Concentration-discharge relationships using multiple tracers that differ in mobility and source also provide insight into how hydrological stores and fluxes are coupled to weathering processes and biogeochemical reactions. $\delta^{18}\text{O}$ and $\delta^2\text{H}$ or other conservative tracers reflect how water particles move whereas other solutes (e.g., Ca, Mg, Na, and Si in many systems) reflect weathering and transport processes. Metals, including trace elements, can reflect bioligand and reduction/oxidation controls on weathering processes [Vázquez-Ortega *et al.*, 2015]. Acquisition of continuous groundwater metal samples during and between events will provide new data [Kim *et al.*, 2012] to probe these redox controls. Furthermore, additional isotopic techniques, especially in concert with geophysical approaches, can reveal important subsurface heterogeneities [Druhan and Maher, 2014]. Use of multiple tracers within a network of CZ observatories during extreme

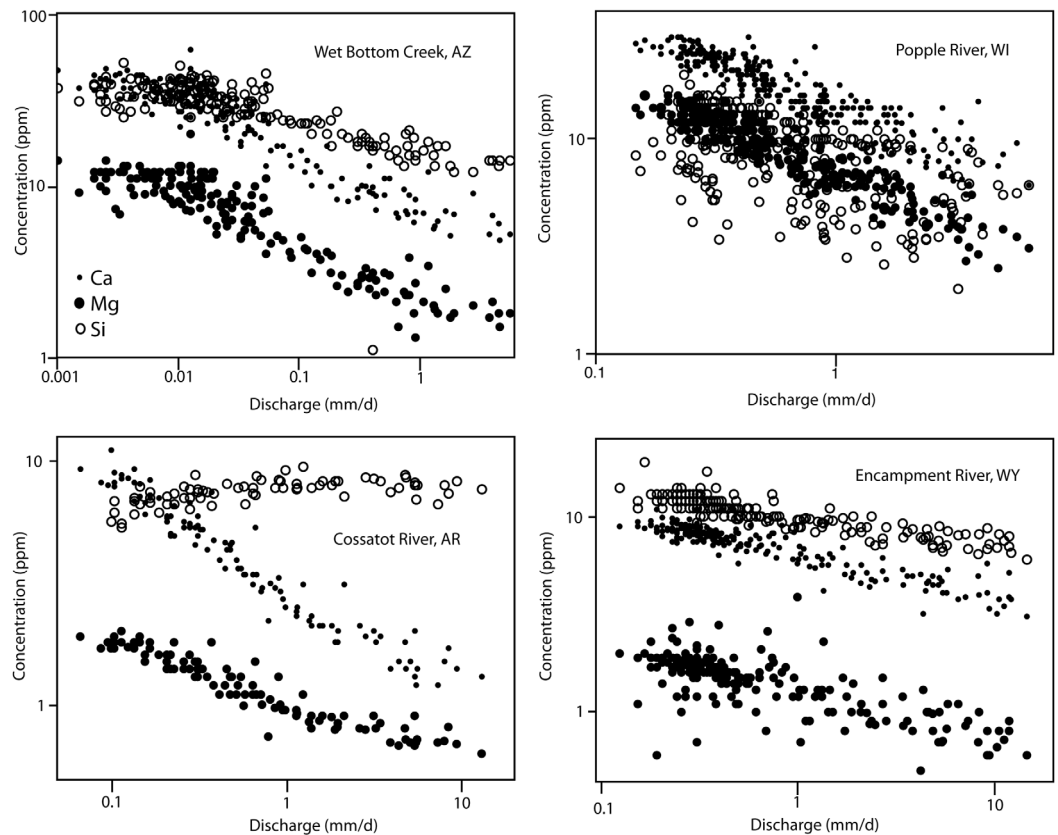


Figure 2. Concentration-discharge relationships for Si, Ca, and Na at four USGS Hydrologic Benchmark Network streams. Concentration-discharge relationships conform relatively closely to chemostatic behavior (log-log slope near zero) suggesting large pools of subsurface water and solutes.

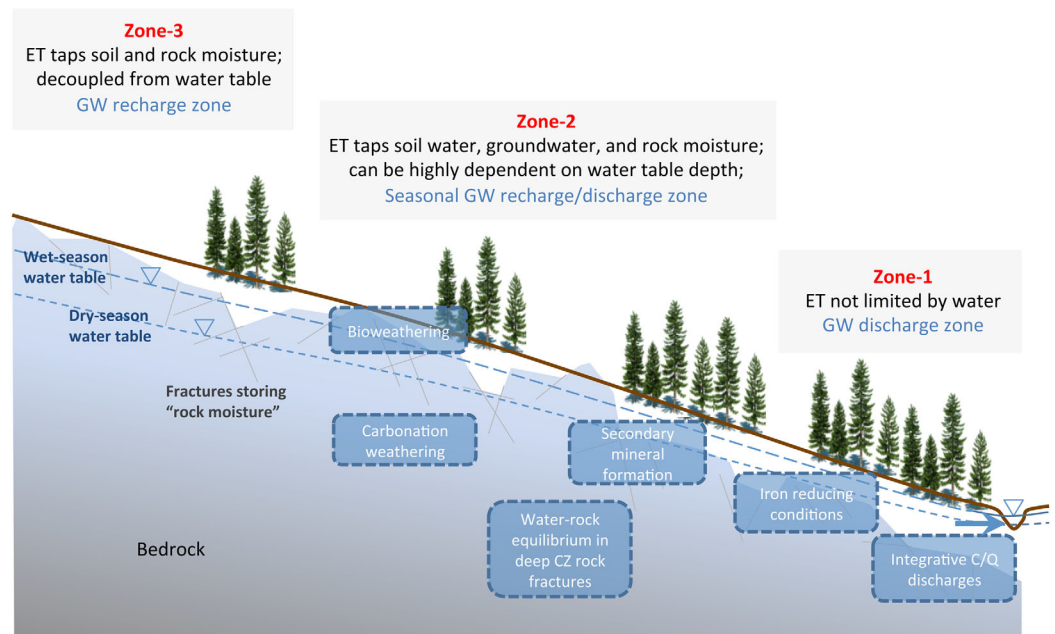


Figure 3. Conceptual model showing the relationships between three zones of groundwater depth, associated subsurface biogeochemical reactions and surface vegetation expression. The figure highlights that both streamflow and ET are dependent on how subsurface water is partitioned. Importantly, diagnostic structural elements of the CZ (regolith, vegetation, and hydrochemistry) can be used to draw complementary inferences on the partitioning of subsurface water storage.

events may be particularly fruitful for revealing short time scale responses that may be disproportionately significant on landscape evolution time scales [e.g., *Burt et al.*, 2015]. Similarly, coupled hydrological and biogeochemical tracers can be used to infer subsurface biogeochemical redox state and reaction that develop over geological time scales [*Bates et al.*, 2011]. Finally, hysteresis in different tracers has previously been shown to result from multiple possible combinations of controls [*Chanat et al.*, 2002], but by using multiple tracers, the processes controlling hysteretic patterns may be able to be more clearly interpreted.

These feedbacks and relationships between hydrological and geochemical processes can elucidate long-term controls on landscape evolution and thus provide insight into how CZ structure develops. Because hydrologic fluxes may be important regulators of geologic-scale carbon fluxes and storage [*Maher and Chamberlain*, 2014], it is critical to understand the feedbacks between hydrologic flows and weathering fluxes across a range of climates and lithologies. Possible reduction/oxidation controls on those relationships [*Riebe and Brantley*, 2015; *Rempe and Dietrich*, 2014], set by steady or unsteady groundwater table elevations, both influence both the degree of weathering and the slope and dissection of the landscape. The time scales at which water interacts with mineral surfaces leading to observed concentration-discharge patterns are dynamic [*Maher*, 2011; *White and Blum*, 1995; *White and Brantley*, 2003]. This implies that a dynamic ratio of advection to reaction time scales—a dynamic Damkohler number—may also be required to understand temporal and spatial heterogeneities at some time scales. Modeling the weathering and hydrological processes controlling concentration-discharge relationships at a variety of spatial and temporal scales is often a data-limited problem. A CZ observatory network offers a suite of sites to test models where the critical data exist. For example, the time that water is in contact with mineral surfaces can be better constrained along with the surface area of those minerals and the assemblage of mineral types in the catchment. However, we also will need to improve our understanding of how preferential flow paths influence the contact time that similar age water has with mineral surfaces within the catchment.

One finding that remains clear, however, is that the majority of stream and surface water has had considerable interactions with, or residence time within, various soil and groundwater reservoirs (Figure 3). This observation seems to hold across a wide variety of catchments and flow regimes and highlights the need for improved understanding of subsurface (geological and soil) structure and how that influences residence time and routing. Key questions then for catchment hydrology and hydrochemistry research include Where, how much, and for how long is water stored in the subsurface? How do catchments release large amounts of this water quickly? How does CZ structure control and inform these hydrological characteristics? Addressing these questions is approached most efficiently in an observatory setting where chemical and isotopic tracers can help constrain and revise physical hydrological models, physical hydrological observations and models can constrain weathering processes, and ecohydrological observations and models can inform ET fluxes.

3. Hydrogeology

Concurrent with the growing recognition in catchment hydrology and hydrochemistry of the need to look more deeply into the subsurface, hydrogeologists, who historically focused on groundwater quantity and quality in aquifers, have directed more attention toward the role of shallow groundwater in regulating near-surface CZ processes. Shallow groundwater storage and lateral convergence from hills to valleys are key controls of base flow physics and chemistry, as discussed in the previous section, but here we will focus on another aspect of groundwater influence: its role in regulating vadose zone thickness and water storage available to plants and thus evapotranspiration fluxes. The central argument here is that shallow groundwater can directly regulate the “vertical” fluxes as well, i.e., the partition of subsurface water storage toward vapor fluxes into the atmosphere.

The water for plant transpiration comes from moisture stored in the unsaturated root-zone soil above the water table (except for wetland plants adapted to prolonged root anoxia below the water table). Soil moisture is recharged during rainfall infiltration and snowmelt events, and that portion in excess of field capacity can recharge groundwater [*Graham et al.*, 2010], raising the water table (Figure 3, the higher dashed-blue line). Groundwater not only sustains river base flow, but subsidizes soil moisture and plant available water

between precipitation events. In this way, water table depth influences root-zone soil moisture and plant uptake, and this groundwater subsidy becomes increasingly important as the surface soil dries.

Seasonal variability in water table depths results from the partitioning of groundwater to either lateral drainage that sustains stream and river base flow or upward discharge into unsaturated soils that sustains evapotranspiration. A water table decline below the regolith on the upper slopes (Zone-3, Figure 3) increases the importance of “rock moisture” [Salve *et al.*, 2012], or water stored in the rock fractures/fissures as a critical water source for trees with roots penetrating deeply into the fractures of crystalline rocks [Hellmers *et al.*, 1955; Berndt and Gibbons, 1958; Dell *et al.*, 1983; Jones and Graham, 1993; Rose *et al.*, 2003], basalts [Bishop, 1962], carbonate rocks [Berndt and Gibbons, 1958; Jackson *et al.*, 1999; Querejeta *et al.*, 2007; Bleby *et al.*, 2010; Schwinning, 2010] and metamorphic and sedimentary rocks [Berndt and Gibbons, 1958; Kerfoot, 1963; Lewis and Burgy, 1964; Zwieniecki and Newton, 1995, 1996; Drexhage and Bruber, 1998; Nijland *et al.*, 2010; Raz-Yaseef *et al.*, 2013]. In sedimentary environments with deep bedrock, this framework simplifies so that a laterally continuous saturated zone underlies the entire toposequence. In this setting, plant-groundwater interactions can be conceptualized as a sequence of three zones along the water table gradient (Figure 3) [Kollet and Maxwell, 2008; Maxwell and Kollet, 2008; Ferguson and Maxwell, 2010; Rihani *et al.*, 2010; Soylyu *et al.*, 2011; Condon *et al.*, 2013; Shi *et al.*, 2013]. In Zone 1, located at the bottom of the hillslope or river channel, the water table may interact directly with surface water, although the degree of interaction may be spatially and temporally variable. Importantly for partitioning, however, the water table is close enough to the land surface that latent heat flux is not moisture limited for large portions of the year. On the other end of the water table gradient, in Zone 3, the water table is too deep to influence land surface processes, and plants here entirely rely on the amount and frequency of precipitation. In between these extremes is Zone 2, where the water table depth is in a critical “transition zone” such that there is a tight, nonlinear relationship between latent heat flux and water table depth [Kollet and Maxwell, 2008; Maxwell and Kollet, 2008]. In terms of partitioning of groundwater storage, under Zone 1, the flux is downward to recharge the groundwater, under Zone 2, the flux can be either direction depending on water status, and under Zone 3, the flux is primarily upward (groundwater discharge zone). Thus, the partitioning of groundwater stores into lateral versus vertical fluxes will depend on its accessibility to plant roots, highlighting the importance of water-plant interactions discussed in the next section.

This three-zone model can be expanded to regional and global scales to obtain a sense of the significance of the transition zone [Condon *et al.*, 2013]. A simple extrapolation based on the model of Fan *et al.* [2013] suggests that ~24% of the global land area may fall into this critical transition zone where the depth to groundwater may regulate ET fluxes and land-atmosphere interactions. Field observations in Nebraska [Szilagyi *et al.*, 2013], where the soils are relatively homogeneous and the water table gradient is largely controlled by topography, support the three-zone model. In contrast, the Valley and Ridge system in Pennsylvania [Shi *et al.*, 2013] suggests that smaller-scale geologic complexity may play an important role in controlling water table depths. Rihani *et al.* [2010] investigated the effects of subsurface heterogeneity at the hillslope scale (e.g., bedrock depth, terrain shape, layered heterogeneity, and climate) and Condon *et al.* [2013] examined multiple types of geologic heterogeneity at large scales. Both studies found that the conceptual model of three-zone groundwater-land surface interaction holds, but the location and relative extent of the three zones are more nuanced. Targeted research across a range of CZ observatories to explore these nuances will improve our conceptual and numerical models of these regional-scale to local-scale connections through groundwater.

Because the amount of water stored in the subsurface acts as a buffer for climate variability, cross-site comparisons among water storage, geological structure, and climate in CZ sites will allow for improved predictions of locations most at risk from extended drought under future climate scenarios or buffered against atmospheric deposition. Moving forward, key questions are When and where does groundwater reside in the subsurface? and How are its dynamics related to other aspects of CZ structure? A major hurdle in addressing these questions is the opaqueness of the subsurface. It is difficult to make direct and comprehensive observations so that even the most basic information is missing, such as the transitions from soil to saprolite and competent bedrock, the structure and scales of heterogeneity (macropores and fractures), and the plant rooting depths. Applications of conventional and new geophysical tools offer unprecedented means to image the structures of the shallow subsurface [Parsekian *et al.*, 2015], and a geophysical characterization of the subsurface should be an essential ingredient of CZ observations. Accelerated subsurface

imaging [Holbrook *et al.*, 2014; Parsekian *et al.*, 2015] combined with models of the development of the critical zone into distinct material properties [Lebedeva *et al.*, 2007; Lebedeva and Brantley, 2013; Remppe and Dietrich, 2014, Slim *et al.*, 2014] are critical steps toward understanding the partitioning of water in the subsurface. Finally, because vegetation is opportunistic in accessing subsurface water, vegetation patterns discussed below provide an additional window into subsurface structure.

4. Ecohydrological Partitioning at the Land Surface

A critical need for ecohydrology is quantifying plant water availability, often conceptualized as soil moisture but with a growing emphasis on the importance of groundwater and “rock moisture” as discussed above. In many landscapes, the majority of precipitation (P) is partitioned either to evaporation (E) or transpiration (T) rather than streamflow [Horton, 1933; Troch *et al.*, 2009; Jasechko *et al.*, 2013]. The extent to which these vapor fluxes represent plant water use (T) largely constrains what vegetation is present on the landscape, how productive the vegetation is in assimilating carbon, and how resilient the vegetation is to changing climate [Webb *et al.*, 1978; Knapp and Smith, 2001; Hicke *et al.*, 2002; Huxman *et al.*, 2004]. Geophysical properties (CZ structure) are important controls on plant water use and productivity, particularly in water limited environments [Rodriguez-Iturbe, 2000; Newman *et al.*, 2006; Asbjornsen *et al.*, 2011] leading to a growing focus on understanding where in the subsurface plants obtain water. Both observational and modeling studies reveal that plant water supply is much more variable, and often much larger than estimated from near-surface soil moisture [Dawson and Ehleringer, 1991; Lee *et al.*, 2005; Hu *et al.*, 2010; Thompson *et al.*, 2011c].

Beginning with vegetation and working from the “top down,” these studies draw similar inferences to the hydrogeology work described above. Specifically, ecohydrologic partitioning of available water into E, T, recharge, and streamflow is intimately connected both to land surface complexity and subsurface structure [e.g., Hinckley *et al.*, 2014; Maxwell *et al.*, 2007] (Figure 3), which coevolve in response to long-term interaction of energy, water, and terrain [Rasmussen *et al.*, 2011]. For example, aspect and elevation-related variability in CZ structural elements are dominant controls on water flux and storage across depth [Tesfa *et al.*, 2009; Smith *et al.*, 2011; Rasmussen *et al.*, 2011], soil texture [Geroy *et al.*, 2011], carbon and nitrogen fluxes and stocks [Kunkel *et al.*, 2011; Perdrial *et al.*, 2014], and the amount and diversity of biomass [Smith *et al.*, 2011]. Until recently, however, there was minimal integration between hydrogeology and ecohydrologists as the different spatial (both X–Y and depth) and temporal scales of interest rarely resulted in collocated observations needed to evaluate coupled models of subsurface hydrology and land surface water fluxes [Brooks and Vivoni, 2008].

The mechanisms whereby, and the conditions under which, plants access these diverse water sources remain a key challenge in ecohydrology [McDonnell, 2014]. Quantifying the role of soil physical properties in controlling plant available water remains a challenge [Vereecken *et al.*, 2015], but recent work has highlighted the importance of terrain and deeper subsurface geophysical structure in controlling plant water availability [Hu *et al.*, 2010]. Dominant controls on soil moisture patterns often show substantial spatial and temporal variability [Western and Blöschl, 1999; Penna *et al.*, 2009] with spatial patterns of soil moisture controlled by lateral subsurface flow patterns that followed subsurface geologic features [Kampf *et al.*, 2014]. Similarly, other studies [e.g., Tromp-van Meerveld and McDonnell, 2006] indicate that hillslope-scale transpiration is more strongly related to subsurface storage than surface supply, highlighting the need for a deeper and larger scale focus on CZ structure to predict multiple sources of plant available water (Figure 3). Analysis of water isotopes has been instrumental in gaining insight into where in the subsurface plants obtain water [Dawson and Ehleringer, 1991; Hu *et al.*, 2010; Brooks *et al.*, 2010]. The signature message from this work has been that plants are extremely opportunistic in accessing water from both deep and shallow sources. The interactions between aspect-mediated microclimate and subsurface-mediated water availability are reflected in airborne LiDAR-derived vegetation structure in Gordon Gulch in the Boulder Creek CZO (Figure 4; data from <http://czo.colorado.edu/geGIS/>). Located at 2600 m in Colorado, Gordon Gulch is at the boundary of energy limitation at higher elevations and water limitation at lower elevations and differences in vegetation type and size are associated with aspect, topographic convergence, and bedrock topography [Adams *et al.*, 2014]. Further work is needed to quantify these patterns at other locations and to identify the underlying processes resulting vegetation structure.

Nonlocal sources of laterally redistributed soil moisture are important for both local and regional-scale water balances [Thompson *et al.*, 2011b; Goulden *et al.*, 2012]. Notably, this lateral subsidy can occur on

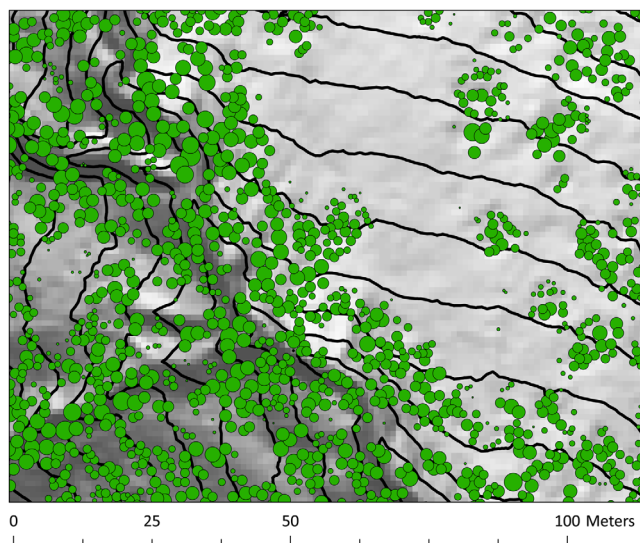


Figure 4. Vegetation structure in Gordon Gulch catchment of the BC CZO in Colorado. Circles show individual tree canopies (to scale) across a catena from N and NE facing slopes (bottom left) and S and SW facing slopes (top right). Contour intervals are 2 m, shading represents annual solar loading computer in arc GIS using 1 m LiDAR topography. More and larger trees are found on N facing slopes reflecting lower atmospheric demand for precipitation. Higher vegetation in convergent zones and stands of large trees on the S facing slope represent subsurface controls on vegetation access to GW.

scales from hillslope to catchment, regional, and continental (Figure 1). For example, watershed scale evapotranspiration was 15% greater for a Sierra watershed if lateral redistribution of water was accounted for [Tague and Peng, 2013]. Similarly, rooting zone storage or lateral subsidy can result in watershed scale ET fluxes that are 20% or greater than expected based on local precipitation and standard soil water holding capacity estimates derived from Ameriflux tower evapotranspiration data [Thompson et al., 2011a]. At hillslope scales, emergent patterns of vegetation can be indicators of upslope redistribution and water subsidy [Hwang et al., 2012]. At catchment to regional scales, landscape indices of evapotranspiration to subsurface water storage are strongly and significantly related to remotely sensed vegetation productivity [Brooks et al., 2011; Voepel et al., 2011]. However, where this water is stored in the

subsurface, and where ET fluxes occur on the landscape remain unknown highlighting the need for coupled ecohydrological and hydrogeological research.

Beyond influencing subsurface water supply, surface CZ structure (e.g., aspect, topography, and vegetation structure) profoundly affects hydrologic partitioning by modifying microclimate and land surface atmosphere energy exchanges [Hinckley et al., 2014; Rasmussen et al., 2015]. Because seasonal snow cover in many locations persists on the land surface for an extended period of time, patterns in snow accumulation and ablation have been widely employed to provide insight into how the structure of the land surface (topography and vegetation) influences hydrologic partitioning through influences on microclimate [Marks et al., 1999; Link and Marks, 1999; Winstral et al., 2002; Erickson et al., 2005] independently of the more well-studied effects of on vegetation. Relationships among elevation, temperature, and precipitation are widely appreciated [e.g., Aishlin and McNamara, 2011; Anderson et al., 2014], but slope and aspect exhibit significant controls on solar radiation, wind sheltering, and thereby snow accumulation and melt [Winstral et al., 2002; Erickson et al., 2005; Rinehart et al., 2008; Anderson et al., 2014], producing spatially and temporally variable water inputs to soil [Kormos et al., 2014; Harpold et al., 2014; Molotch et al., 2009; Bales et al., 2011]. Vegetation further complicates the impacts of aspect on hydrological partitioning of both snow and rain through interception, shading from solar radiation, generating longwave radiation, and reducing wind speeds that drive turbulent energy fluxes [Link and Marks, 1999; Veatch et al., 2009; Musselman et al., 2008; Gustafson et al., 2010; Rinehart et al., 2008; Molotch et al., 2009; Lundquist et al., 2013; Broxton et al., 2014; Harpold et al., 2014]. These spatial patterns in microclimatic interactions between vegetation and terrain may be mimicked following rain during the growing season [Royer et al., 2010], yet are rarely included in models.

Because of its central role in hydrologic partitioning, vegetation structure is widely used to provide insight into both subsurface hydrogeology [e.g., Cowardin et al., 1979; Brooks, 1991; Rango et al., 2006] and terrain-mediated energy balance and water demand [e.g., Rodriguez-Iturbe, 2000; Ivanov et al., 2008a, 2008b]. To date, however, analyses of vegetation amount, composition, and activity have not yet been used to their full potential to advance understanding of hydrological partitioning. For example, Figure 4 not only highlights both the differences in vegetation associated with surface energy balance on north versus south facing slopes but also reflects stands of vegetation on south facing slopes that have greater water availability based on subsurface geological structure. Key questions remain therefore, including Where do plants get

their water? Where on the landscape is evapotranspiration supply versus demand limited? How are these characteristics related to CZ structure? Addressing these questions will allow CZ ecohydrology to move beyond one-dimensional models of plant water use, by employing spatially explicit hydrological, microclimate, and vegetation structure. Remotely sensed vegetation structure, including high-resolution LiDAR data, can be used to infer subsurface CZ structure and water availability as well as evaluate models of groundwater flow and routing. Further, water isotopes and geochemical tracers in vegetation hold potential to fingerprint water sources and constrain water availability in space.

5. Summary

A consistent theme that emerges from the brief reviews of catchment hydrology and hydrochemistry, hydrogeology, and ecohydrology above is the importance of a potentially large and spatially variable pool of stored subsurface water that may contribute to both ET and streamflow. When combined with the ubiquitous hydrochemical observations that most stream flow has interacted extensively with subsurface stored water, the critical knowledge gaps in hydrologic partitioning in the critical zone converge on the need to quantify the size and accessibility of this reservoir to resolve interactions with both atmospheric and surface water fluxes. These knowledge gaps highlight the need for understanding spatial variability in the three-dimensional “plumbing” connecting groundwater both to surface water or the atmosphere [NRC-NAS, 2012]. Growing lines of evidence indicate that surface and subsurface CZ structure is strongly related to this plumbing, and in turn the structure of the CZ develops in response to these interactions among microclimate, water, and vegetation productivity [Rasmussen *et al.*, 2011]. Spatially variable and temporally dynamic subsurface water supply is rarely incorporated into land surface, ecohydrological, or streamflow models, representing a major gap if predictive models are going to get “the right answers for the right reasons” [Kirchner, 2006]. Ongoing changes in climate and land cover however highlight the need to improve current operational models in locations where intensive observations across CZ disciplines are not available [Milly *et al.*, 2008]. The characterization and classification of cross-site hydrological response-based analyses of geological and geomorphological characteristics may aid in efforts toward hydrological predictions on both gauged and ungauged catchments [Sivapalan *et al.*, 2003; Wagener *et al.*, 2007].

Within this framework, we pose four challenges for the CZ hydrological community geared both toward improving process understanding of hydrologic partitioning and developing operational hydrologic models:

(1) Identify the interactions among terrain, lithology, vegetation, and water that control subsurface weathering and allow prediction of subsurface structure. This represents an ongoing, multidisciplinary effort to understand how and why structure develops. (2) Quantify the amount, residence time, and movement of subsurface water to better predict plant available water and stream flow generation. This work will utilize the growing knowledge on how CZ subsurface structure develops to reconcile ongoing disciplinary questions including partitioning of plant water sources and the rapid release of stored water. (3) Evaluate the role of terrain complexity in modifying microclimatic influences on water demand. Combined with improved understanding of where plants obtain water, this work will address when and where partitioning to vapor flux is under primary control of subsurface supply versus climatic demand. (4) Develop focused or targeted observations across a larger range of spatial scales to place site-specific work in regional context. These efforts will use the patterns associated with the rapidly increasing spatial and temporal data on CZ structure to predict dominant processes/controls and thereby sensitivity to change in the vast majority of locations that are not extensively instrumented and studies.

To address these challenges, hydrologists must view colleagues in related fields as stakeholders who help define the spatial and temporal scales of research, which often may be outside those typically used in disciplinary research. In this way, the CZ community can advance basic hydrological theory and provide consistent and widely transferrable information to societal stakeholders charged with decision-making in a rapidly changing world.

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