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Estimates of energy fluxes associated with sprites in the mesosphere

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Abstract:	<p>We present calibrated estimates of photon flux, lightning peak Poynting flux and Joule heating associated with the brightest region of sprites observed in the mesosphere over South Africa. The sprites' photon fluxes were estimated using 28 sprites events (observed during the 2019 sprites campaign) calibrated by stars in the sprite's image background. The lightning driven background electric field associated with the brightest region of sprites were found to vary from 0.1 to 6.7 Ek (local air breakdown field). The lightning return stroke at lower frequencies (4 Hz - 2 kHz) has more influence on carrot sprites than on column sprites formation processes. The lightning-induced peak Poynting flux and Joule heating were estimated from calibrated electromagnetic field measurements made in parallel with eight sprites events (observed during the 2020 sprites campaign). The photon flux, peak Poynting flux, and peak Joule heating associated with the brightest region of sprites were found to be 1.3×10^{-8} W/m², 12.7 W/m², and 4.7×10^{-3} W/m² on average, respectively. The altitude/distance-normalised lightning peak Poynting flux decreases with increasing atmospheric altitude. The photon flux from column sprites decreased with increased altitude of the brightest region. Column sprites have a shorter time delay (<30 ms) from their parent lightning strokes than carrot sprites (up to 145 ms).</p>
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Response to Reviewers:	

1 **Estimates of energy fluxes associated with sprites in the mesosphere**

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10 **Abstract**

11 We present calibrated estimates of photon flux, lightning peak Poynting flux and Joule
12 heating associated with the brightest region of sprites observed in the mesosphere over South
13 Africa. The sprites' photon fluxes were estimated using 28 sprites events (observed during the
14 2019 sprites campaign) calibrated by stars in the sprite's image background. The lightning
15 driven background electric field associated with the brightest region of sprites were found to
16 vary from 0.1 to 6.7 E_k (local air breakdown field). The lightning return stroke at lower
17 frequencies (4 Hz - 2 kHz) has more influence in carrot sprites than in column sprites
18 formation processes. The lightning peak Poynting flux and Joule heating were estimated from
19 calibrated electromagnetic field measurements made in parallel with eight sprites events
20 (observed during the 2020 sprites campaign). The photon flux, peak Poynting flux, and peak
21 Joule heating associated with the brightest region of sprites were found to be 1.1×10^{-7} W/m²,
22 12.7 W/m², and 4.7×10^{-3} W/m² on average, respectively. The altitude/distance-normalised
23 lightning peak Poynting flux decreases with increasing atmospheric altitude. The photon flux
24 from column sprites decreased with increased altitude of the brightest region. Column sprites
25 have a shorter time delay (<30 ms) from their parent lightning strokes than carrot sprites (up
26 to 145 ms).

27

28 **Keywords:** Sprite's Joule heating, sprite's Poynting flux, sprite's photon flux, lightning
29 return stroke.

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32

33 **1. Introduction**

34 The energy from the quasi-static electric field generated mainly by large positive cloud to
35 ground (CG) lightning discharges of Mesoscale Convective Systems (MCS) initiates
36 collisions between electrons, neutral atoms and molecules, resulting in photon emissions
37 forming the Transient Luminous Events (TLEs) called sprites in the middle atmosphere
38 (Franz et al., 1990; Liu et al., 2015; Pasko, 2010; Surkov and Hayakawa, 2020). The sprites
39 initiation process involves the downward and upward movement of streamers (Bór, 2013;
40 Luque and Ebert, 2009). Collisions between electrons, neutral atoms and molecules lead to
41 the emission of photons from transitions of excited states of neutral nitrogen (N_2) and ionised
42 nitrogen (N_2^+) predominantly at an altitude above and below 50 km, respectively (Sentman et
43 al., 1995; Heavner et al., 2010; Surkov and Hayakawa, 2012; Nnadih et al., 2021). The
44 emissions of N_2 and N_2^+ occur as a result of excitation of the 1P and $1NG$ states, respectively,
45 in the ~300-1000 nm visible band (Mende et al., 1995; Hampton et al., 1996; Heavner et al.,
46 2010). $N_2(^1P)$ has a band emission around 640 nm (~550-1070 nm wavelength range
47 (Shibusawa and Funatsu, 2019)), with a lifetime of about 100 ms. $N_2^+(1NG)$ has a band
48 emission around 427.8 nm (~300-460 nm wavelength range (Heavner et al., 2010)), with a
49 lifetime of about 5 ms (Armstrong et al., 1998; Suszcynsky et al., 1998; Heavner et al., 2010;
50 Nnadih et al., 2021). Quenching accounts for the short lifetime of the N_2^+ emission, whereas
51 Rayleigh scattering accounts for its low intensity (Heavner et al., 2010; Nnadih et al., 2021).
52 The emissions of $N_2(^1P)$ and $N_2^+(1NG)$ have lifetimes of about 6 μ s and 70 ns, and quenching
53 altitudes of about 53 km and 48 km, respectively (Heavner et al., 2010).

54

55 The altitude of the brightest region of sprites varies from 50 to 84.1 km (Füllekrug et al.,
56 2019; Luque et al., 2016; Mashao et al., 2021; Malagón- Romero et al., 2020; Sentman et
57 al., 1995; Stenbaek-Nielsen et al., 2010; Wescott et al., 1998; Wescott et al., 2001). The
58 lightning electric field accelerates the electrons, and the conversion of electrons to negative
59 ions intensifies the local electric field. The brightest region of the sprite is a region with
60 relatively low mesospheric conductivity, enhanced electric field and electrons are converted
61 to negative ions, which results in maximum photon production (Malagón- Romero et al.,
62 2020; Mashao et al., 2021).

63 Sprites result from Joule heating of the mesosphere associated with lightning electric field
64 (Füllekrug, 2006). Füllekrug et al. (2006) reported on stratospheric Joule heating determined

65 from the lightning continuing current measurement. They found that the Joule heating varied
66 from $1 \times 10^{-4} \text{ J/m}^3$ to $1 \times 10^{-8} \text{ J/m}^3$ for altitudes ranging from 25 to 45 km, respectively
67 (Füllekrug et al., 2006). Gordillo-Vázquez et al. (2018) investigated, by spectroscopic means,
68 the temperature of mesospheric regions between 65 km and 76 km and found no measurable
69 heating associated with sprites. We are not aware of any reported estimates in the literature of
70 lightning Joule heating and Poynting flux in the mesosphere in relation to sprites.

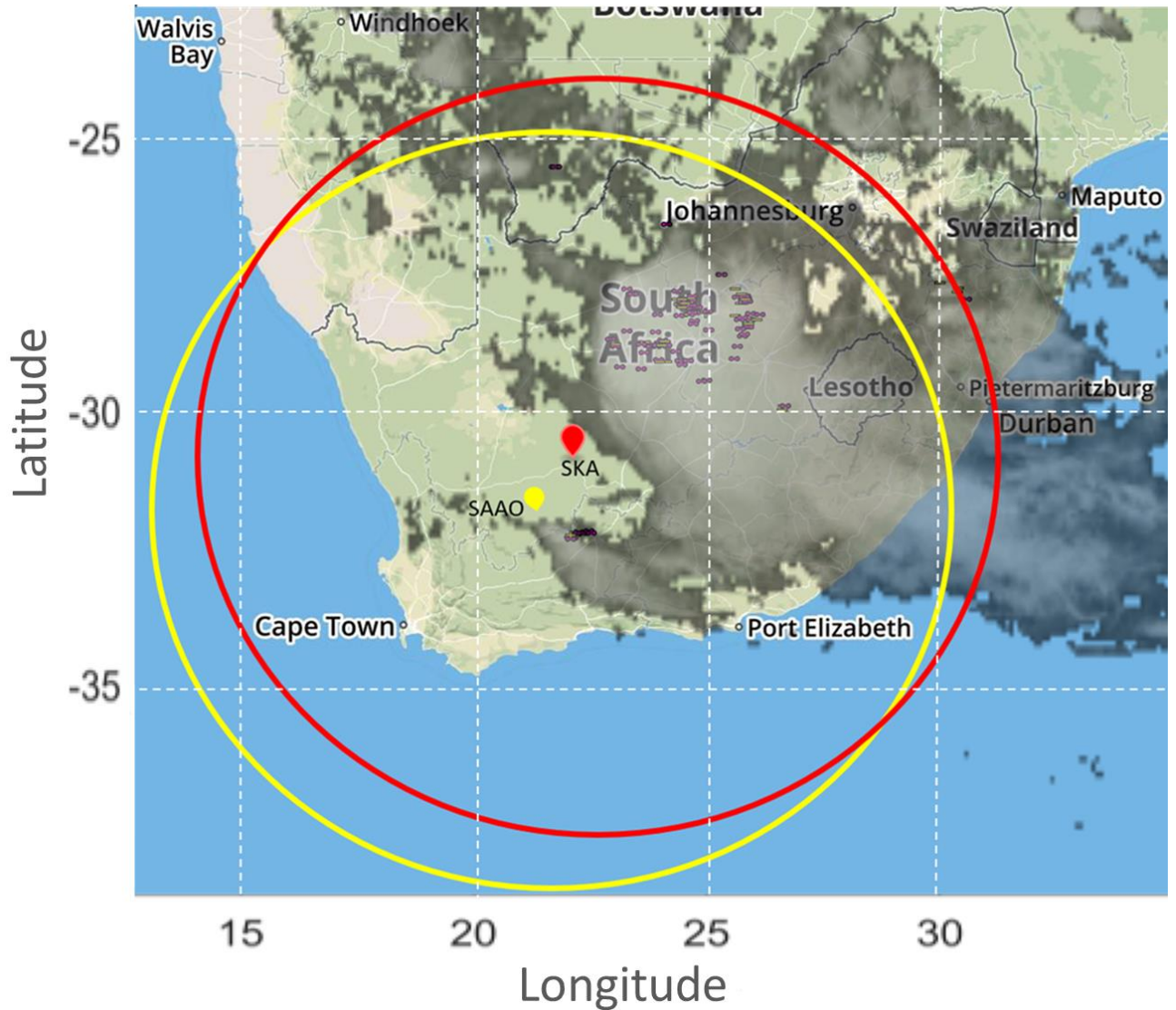
71 For non-delayed sprites to occur the lightning driven electric field must exceed the local air
72 breakdown field (120 Townsend (Td)) (Pasko et al. 2013; Surkov and Hayakawa, 2020).
73 However, the lightning driven electric field lower than the local air breakdown field can lead
74 to delayed sprites due to associative detachment (Luque and Gordillo- Vázquez, 2012).

75 We evaluate lightning initial apparent peak Poynting flux and Joule heating projected to the
76 altitude of the brightest regions of sprites in the mesosphere for the first time. We also
77 compare photon flux at the altitude of the brightest region of the optical observations column
78 and carrot sprites using a camera with a red (N_2) emission filter. The lightning-driven electric
79 field associated with the altitude of the brightest regions of sprites were determined and
80 compared with the published literature.

81 **2. Optical and radio recordings**

82 The reported sprites were recorded during the 2019 and 2020 sprites campaigns from the
83 Square Kilometre Array (SKA) (30.97° S , 21.98° E) and the South African Astronomical
84 Observatory (SAAO) (32.38° S , 20.81° E), Northern Cape, South Africa. The sprites were
85 observed on the following nights: 01 February 2019 from SKA and 24 January 2020 from
86 SKA and SAAO. Figure 1 shows the map of South Africa, the MCS associated with some of
87 the observed sprites, and the SKA and SAAO sites marked with red and yellow dots,
88 respectively. The +CG, -CG, and IC lightning discharges are marked with plus, negative, and
89 dash-like symbols within the thundercloud, respectively. Lightning electric field observations
90 were made in 2019 and 2020. Lightning magnetic field observations were made in 2020 only.
91 Eight sprites events with no calibrated stars present were recorded during the 2020 sprites
92 campaign and are used for estimating the lightning Poynting flux and Joule heating
93 associated with the altitude of the brightest regions of sprites only. The 2020 sprites
94 campaign data comprised of column, carrot, wishbone, jellyfish, tree, angel, and sprites with
95 halo. From the 2019 sprites campaign, we selected column and carrot sprites that occurred
96 individually or in a group with calibrated stars of known photon flux. We found 14 column

97 and 14 carrot sprites red emission optical data with calibrated stars and used them for
98 estimating the photon flux as well as Joule heating (Alekseeva et al., 1996; Bór, 2013).



99
100 Figure 1. Map of South Africa captured on 1 February 2019 at 22:41:00 UTC. The SKA/
101 SAAO sites are marked with red/yellow dots, and the MCS associated with some observed
102 sprites is shown in grey. The circles mark the 900 km radius, which is the maximum distance
103 our cameras can observe from SKA and SAAO, respectively (Earthnetworks.com, 2020).

104 2.1 Optical recording

105 Watec 910Hx and Allied Vision Pike night vision cameras, mounted on the same tripod, were
106 used to record the sprites from SKA/SAAO (Mashao et al., 2021; Nnadih et al., 2018, Nnadih
107 et al., 2021). The stars in our field of view (FOV) were utilised to co-align the cameras. The
108 cameras' viewing directions were North, East, and South of SKA and SAAO.

109 The Watec 910Hx cameras, with an 8.0 mm f/1.4 C-mount lens, operated with 0.45 gamma
110 factor and 8-bit intensity resolution. The Watec 910Hx cameras recorded 25 video frames per
111 second (fps) with a 40 ms frame period. A Global Positioning System (GPS) video timer
112 provided time with millisecond timing to the camera system. The Watec 910Hx cameras had
113 a 29°/46.2° FOV Vertical/Horizontal (V/H), 0.061°/0.072° V/H angular resolution per pixel,
114 and 640×480 (H×V) pixels.

115 The Allied Vision Pike camera, coupled to a Ceramic xx1332 Mullard image intensifier with
116 Xenon F0.95 50 mm C-mount TV lens, captured images at 25 fps and a 40 ms frame period,
117 640×480 video image size, 15°/21° FOV V/H, and 14-bit intensity resolution. **The Ceramic**
118 **xx1332 Mullard image intensifier has a wavelength range of about 360 nm to 850 nm** (Allied
119 Vision, 2022; Worthpoint, 2022). A red N₂ **longpass cut off** filter with a 640-650 nm cutoff
120 was used to record the N₂(¹P) emission of sprites. A Network Timing Protocol server
121 provided timing accuracy of about 1 ms for the Pike camera system at SKA.

122 **2.2 Electromagnetic recording**

123 The lightning electric (2019 and 2020 campaigns) and magnetic (2020 campaign only) fields
124 were recorded in parallel with sprites optical recordings at SKA. A wideband digital
125 ELF/VLF/LF radio receiver that detected the lightning vertical electric field strength
126 observed with about 4 Hz to 400 kHz frequency range, with a sampling frequency of 1 MHz,
127 and timing accuracy of 20 ns (Füllekrug, 2010; Füllekrug et al., 2019). The lightning
128 magnitude values were converted to Td by using the modelled N₂ densities associated with
129 the targeted sprites altitude. We then obtain the ratio between the local lightning electric field
130 (E_s) and local air breakdown field (E_k), see Tables 1 to 4. All N₂ densities are obtained from
131 the NRLMSISE profile (<https://ccmc.gsfc.nasa.gov/modelweb/models/nrlmsise00.php>). Two
132 orthogonal induction coils are used to record the horizontal magnetic field in the frequency
133 range of ~4 Hz to ~60 kHz with a sampling frequency of 500 kHz and a timing accuracy of
134 ~20 ns. Lightning magnetic field observations were conducted during the 2020 sprites
135 campaign only from SKA.

136 The Earth Network Total Lightning Network (ENTLN) and South African Lightning
137 Detection Network (SALDN) provided lightning data (time, position, peak current, type of
138 discharge, and polarity) related to the observed sprites. ENTLN and SALDN have position
139 accuracy of about 0.2 km and 0.5 km, respectively (Gijben, 2012; Bui et al., 2015; Zhu et al.,

140 2017). All CG lightning events associated with the sprites reported here have positive
141 polarities. The peak lightning current varied from 28 to 142 kA, see Tables 1 to 4.

142 **3. Data analyses**

143 **3.1 Sprite altitude estimation**

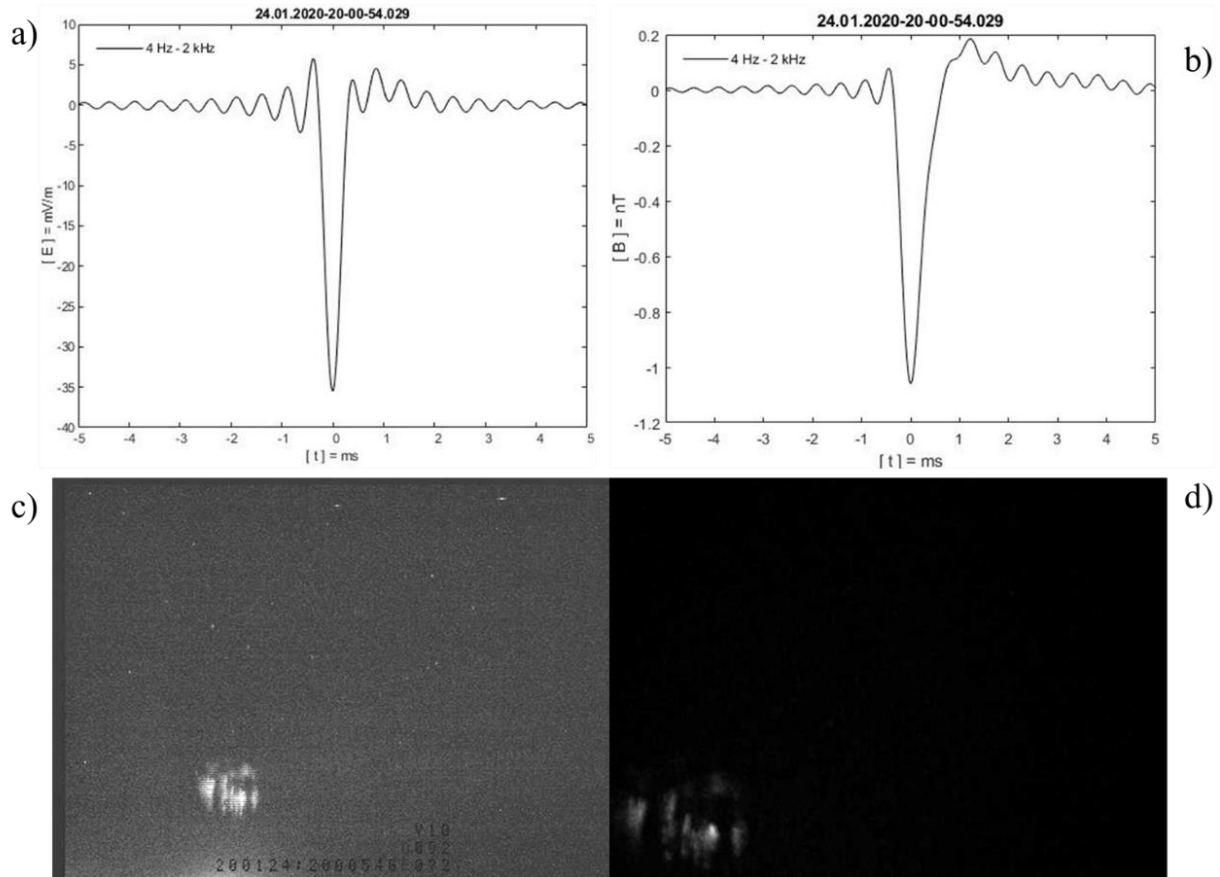
144 We estimate the lightning peak Joule heating and apparent Poynting flux, and average photon
145 flux associated with the sprites brightest regions using optical data as well as lightning
146 electric and magnetic field data. The right ascension and declination of stars on the sprites
147 image background and the geographic position of the camera were used to determine the
148 azimuth angle, elevation angle, and field of view of the cameras. The azimuth and elevation
149 angles of stars were computed and used to fit onto the real stars in the background image of
150 sprites. The altitude of the sprite's brightest region was obtained by employing spherical and
151 planar trigonometry in the horizontal and vertical planes, respectively (Mashao et al., 2021;
152 2022a; 2022b), assuming that the sprites events occurred directly above their parent lightning
153 locations. The latter assumption is commonly used in sprites optical research (Füllekrug et
154 al., 2019; Li et al., 2008; Luque et al., 2016; Mashao et al., 2021; 2022a; 2022b; McHarg et
155 al., 2007; Stenbaek-Nielsen and McHarg, 2008). The uncertainty in altitude of sprites
156 computed from the angular resolution of the camera spanned from ± 0.33 to 0.47 km. The
157 uncertainty in the altitude of sprites varies with slant distance from the location of camera to
158 the sprites.

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174 3.2 Poynting flux estimation



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176 Figure 2. The lightning electric (a) and magnetic (b) waveforms associated with the sprite
 177 event observed on 24 January 2020 at 20:00:54.072 UTC from SKA recorded by
 178 monochrome camera without a filter (c) and with a red N₂ filter (d). The correct sprite event
 179 time stamp is displayed on the image with no filter.

180 Figure 2 shows the lightning electric (a) and magnetic (b) field waveforms associated with
 181 the sprites event recorded simultaneously by a monochrome camera without a filter (c) and
 182 with the red N₂ filter (d) from SKA. We used the simultaneous lightning peak electric and
 183 magnetic field strengths associated with the optical sprites to determine the maximum
 184 apparent Poynting flux associated with the eight sprites analysed in the frequency range of 4
 185 Hz to 2 kHz. The Poynting flux (**S**) is given by (Farrell et al., 2006):

$$186 \quad \mathbf{S} = \frac{(\mathbf{E} \times \mathbf{B})}{\mu} \quad (1)$$

187 where (\mathbf{E}) is the lightning electric field, (\mathbf{B}) is the lightning magnetic field, and (μ) is the
 188 permeability of the medium. The free space wave impedance is modified near a conductor, in
 189 this case the Earth, which is studied in the field of magnetotellurics in detail (Bór et al. 2022).
 190 Here we assume a vacuum. To compare the lightning electric and magnetic field strengths,
 191 we filter the data to obtain the lightning return stroke at lower frequencies information (4 Hz -
 192 2 kHz), see Figure2 (a) and (b) (Constable, 2016; Cummer et al., 2013; Fraser-Smith and
 193 Bowen, 1992; Füllekrug, 2010). ELF/VLF/LF lightning radio receivers with lower minimum
 194 frequency (<4 Hz) are required to measure lightning continuing current. These data are not
 195 available to us. Lightning continuing current plays an essential role in initiating delayed
 196 sprites, sustaining the quasi-static electric field in the mesosphere and the brightness of
 197 sprites longer than the local relaxation time (Gomez Kui et al., 2021; Kitagawa et al., 1962;
 198 Ren et al., 2021; Tomicic et al., 2021).

199 Due to a technical problem, we only recorded the dominant horizontal component of the
 200 magnetic field aligned in the North-South geographic direction. Since the lightning occurred
 201 between 209.5 and 562.3 km away from the receiver, we used Equation (2) to estimate the
 202 total horizontal magnetic field (\mathbf{B}_T):

$$203 \quad \mathbf{B}_T = \mathbf{B} / \sin(AZ) \quad (2)$$

204 where AZ is the azimuth angle between the lightning position and the North-South coil
 205 orientation.

206 The radiation field terms decrease as $1/d$, $1/d^2$, and $1/d^3$, depending on the horizontal distance
 207 between the lightning event and the receiver, where d is the distance from the lightning
 208 location to the receiver location (Cooray and Lobato, 2020). At short distances, radiation field
 209 terms decrease as $1/d$, and $1/d^2$.

210 From the recorded data, we estimate the lightning electric and magnetic fields associated with
 211 the altitude of the brightest regions of sprites. The lightning electric and magnetic fields
 212 associated with the altitude of the brightest regions of sprites were normalised as follows: We
 213 project horizontally the lightning electric and magnetic radiated fields as $1/d^2$, to 1 km away
 214 from the lightning location (Cooray and Lobato, 2020; Taylor and Jean, 1959). This was done
 215 by multiplying the observed lightning electric and total magnetic field values by the distance
 216 squared in km. For our geometry, the parent lightning source of the fields is assumed to be a
 217 finite line current. It is known that the lightning quasi-static electric field strength, which may

218 initiate sprites, lessens with increasing altitude as $\frac{1}{a^3}$, where a is atmospheric altitude (Pasko
 219 et al., 2013). We assumed that the CG lightning charges, which initiated the sprites events,
 220 were removed at 10 km altitude (Asano et al., 2008; Pasko et al., 2013), and we then
 221 determined lightning electric (E_s) and magnetic field strength associated with the sprite's
 222 brightest region altitude as $\left(\frac{10}{a}\right)^3$, where a is the calculated altitude (km) of the sprite's
 223 brightest region (see example in the Appendix). Finally, we obtain the distance/altitude-
 224 normalised peak apparent Poynting flux associated with the sprite's brightest region altitude
 225 using Equations 1 and 2. The lightning peak Poynting flux associated with the altitude of the
 226 brightest regions of sprites estimates are presented in Table 1 (see Appendix). An example
 227 calculation of the lightning peak Poynting flux associated with the altitude of the brightest
 228 regions of sprites is presented in the Appendix.

229 **3.3 Joule heating estimation**

230 To determine the lightning Joule heating associated with the sprite's brightest region altitude,
 231 we used the lightning electric field strength (\mathbf{E}) and the atmospheric conductivity (σ) adopted
 232 from Liu et al. (2015), integrated over the observed vertical extent of the brightest region of
 233 the sprites. The vertical extent of the brightest region of sprites spanned from 1.2 to 2.4 km.
 234 Joule heating (\mathbf{J}_h) is given by (e.g. Kosch and Nielsen, 1995; Foster et al., 1998):

$$235 \quad \mathbf{J}_h = \sigma \mathbf{E}^2 \quad (3)$$

236 The same altitude/distance normalised lightning electric field data used to estimate the peak
 237 Poynting flux was used to determine the peak Joule heating (first-order approximation). The
 238 atmospheric conductivity corresponding to the vertical extent of the brightest region altitude
 239 of sprites was used to calculate the lightning peak Joule heating associated with the altitude
 240 of the brightest regions of sprites using Equation (3). Sprite streamer formation involves
 241 ionisation of the neutral atmosphere, which will modify the local conductivity and electric
 242 field within the sprites. Hence, we can only estimate the initial peak Joule heating before
 243 streamer ionisation takes over. The atmospheric conductivity was obtained from rocket
 244 measurements, which have been used in other TLE studies (Holzworth et al., 1985; Liu, 2012;
 245 Liu et al., 2015). For the 2019 sprites campaign, the height-integrated atmospheric
 246 conductivity varied from 1.2×10^{-6} to 2.1×10^{-4} S, for altitudes ranging from 61.5 to 75.3 km.
 247 For the 2020 sprites campaign, the height-integrated atmospheric conductivity corresponding
 248 to the column and carrot sprites' brightest region altitudes varied from 1.1×10^{-7} to 1.1×10^{-5} S,

249 for altitudes ranging from 53.1 km and 69 km. The values of conductivities used here are
250 lower limits.

251

252 Dowden et al. (2001) used measurements of VLF scattering in millisecond time scale,
253 through horizontal angles of up to 180° to demonstrate that the sprites plasma has high
254 conductivity. They set an approximate lower bound for conductivity of sprites plasma to
255 3×10^{-7} S/cm. Liu et al. (2009b) sprite simulations were extrapolated by Luque and Ebert
256 (2010) which showed conductivity of about 8×10^{-8} and 5×10^{-8} S/cm, respectively. The sprite
257 conductivity increases in time with respect to the background and the re-enhancement of the
258 electric field in the sprites' streamer wake results in further increase in conductivity (Gordillo-
259 Vázquez and Luque, 2010).

260 The lightning initial peak Joule heating is due to the lightning discharge within the
261 bandwidth of the measured electric field (4 Hz – 2 kHz), corresponding to the lightning return
262 stroke, and atmospheric conductance, corresponding to the vertical extent of the brightest
263 region altitude of sprites. The results of lightning peak Joule heating associated with the
264 altitude of the brightest regions of sprites estimate are shown in Tables 2, 3, and 4 (see
265 Appendix). An example calculation of the lightning peak Joule heating associated with the
266 altitude of the brightest regions of sprites is presented in the Appendix.

267 **3.4 Photon Flux**

268 To determine the sprite's photon flux out of the brightest region, we acquired sprites optical
269 data observed with red N_2 emission filter simultaneously with calibrated stars of known
270 photon flux (in W/m^2), as described in detail by Nnadih et al. (2021). The flux values of
271 selected stars can be found in the Pulkovo Spectrophotometry Bright Stars Catalogue
272 (Alekseeva et al., 1996). Only the sprites recorded during the 2019 sprites campaign from
273 SKA had images containing calibrated stars. Figure 3 shows an example of a recorded sprite
274 with calibrated star.



275
 276 Figure 3. Images recorded with no filter (left) and red N₂ (right) filter on 01 February 2019 at
 277 19:08:24.202 UTC from SKA. The images contain the same sprites event. The red circle in
 278 the image on the right denotes the calibrated star. The correct sprite event time stamp is
 279 displayed on the image with no filter.

280 We can estimate photon flux since we recorded the sprites events and the stars
 281 simultaneously with fixed camera gain setting and similar atmospheric losses over the same
 282 night. We averaged the sprites video clip frames over one second in order to determine the
 283 average intensity pixel value of the calibrated star (Str_{avg}). The sky background (Sky_{bkg})
 284 value was obtained by using a 7×7 median filter to remove the stars on the video frame
 285 prior to the frame which contained the sprites event. To determine the sprite's average
 286 brightness ($Sprs_{avg}$), we average the image pixel values over the sprite's brightest region
 287 altitude range. The average intensity pixel value (Str_{avg}) and known photon flux value of the
 288 calibrated star (Str_{flux} , integrated over the filter's longpass), sky background (Sky_{bkg}), and
 289 the average image intensity pixel value over the sprite's brightest region altitude range
 290 ($Sprs_{avg}$) were used to obtain the photon flux at the sprite's brightest region ($Sprs_{flux}$), by
 291 means of Equation (4) (Nnadih et al., 2021).

$$292 \quad Sprs_{flux} = \frac{Str_{flux}(Sprs_{avg} - Sky_{bkg})}{Str_{avg} - Sky_{bkg}} \text{ (W/m}^2\text{)} \quad (4)$$

293 An example of the photon flux calculation at the sprite's brightest region is presented in the
 294 Appendix. The estimated column and carrot sprites' photon flux are shown in Tables 3 and 4
 295 in the Appendix.

296

4. Results and discussion

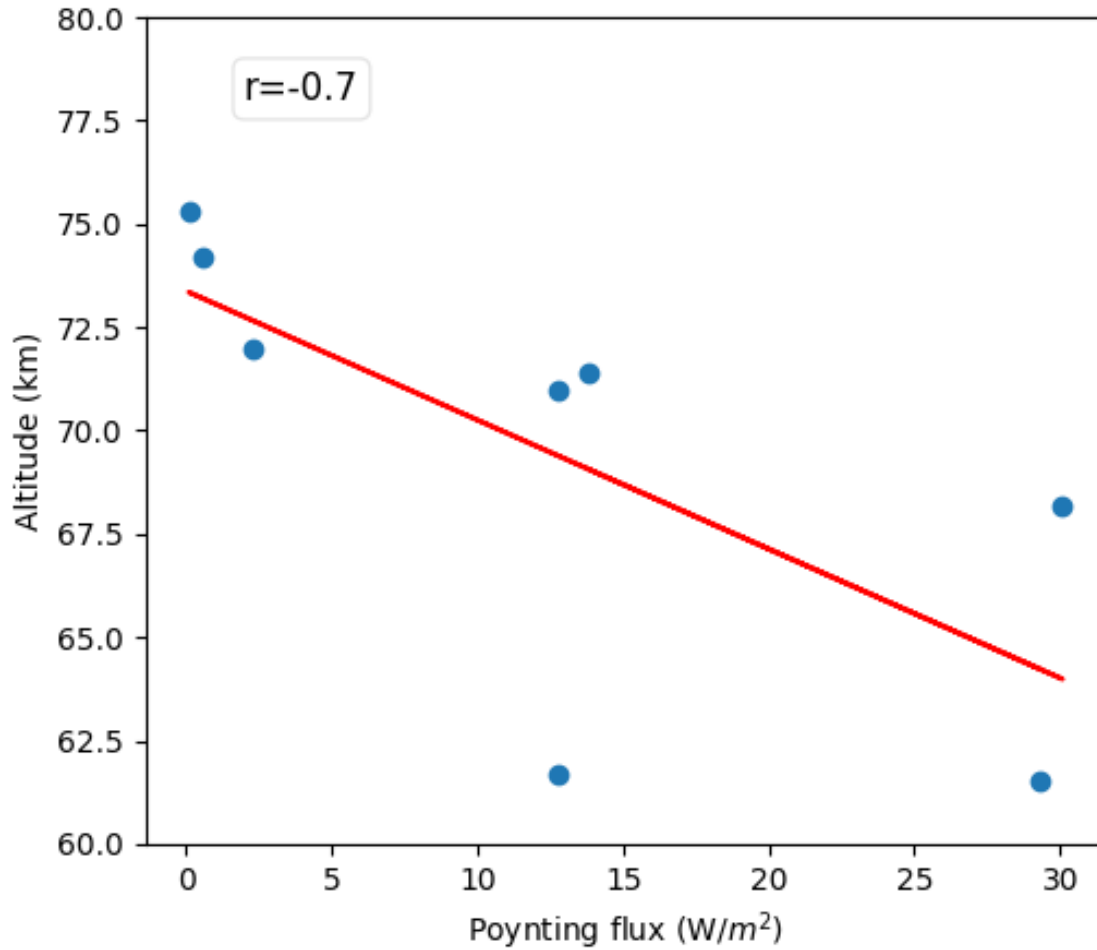
The radiated electric fields of lightning discharges associated with 8 sprites events for the 2020 campaign (Table 1) at the ELF/VLF/LF receiver, projected to 1 km from the lightning position at ground level, and projected to the sprites' brightest region altitude varied from 2.6×10^{-3} to 5.7×10^{-1} V/m, 454.1 to 25059.6 V/m, and 1.1 to 68.9 V/m, respectively. The radiated magnetic field of lightning discharges associated with 8 sprites events for the 2020 campaign (Table 1) at the receiver, projected to 1 km from the lightning position at ground level, and projected to the sprites' brightest region altitudes varied from 2.95×10^{-10} to 1.09×10^{-9} T, 5.26×10^{-5} to 6.33×10^{-4} T, and 1.23×10^{-7} to 2×10^{-6} T, respectively. The radiated electromagnetic fields values are associated with the lightning return stroke at lower frequencies (4 Hz - 2 kHz). The radiated lightning electric and magnetic fields uncertainties were about ± 0.05 V/m and $\pm 2.5 \times 10^{-8}$ T, respectively, at the sprites' brightest region altitudes. Uncertainties associated with the use of first-order distance projection estimates are not included. The estimated altitude of the brightest region of 8 sprites events ranged from 61.5 to 75.3 km. The altitude of the brightest region of sprites is within that previously reported (i.e. 50 - 84.1 km) (Füllekrug et al., 2019; Luque et al., 2016; Mashao et al., 2021; Malagón-Romero et al., 2020; Sentman et al., 1995; Stenbaek-Nielsen et al., 2010; Wescott et al., 1998).

The N_2 density at the altitude of the brightest region of sprites (61.5—75.3 km) varied from 6.85×10^{14} to 4.39×10^{15} cm^{-3} , see Tables 1 and 2. All N_2 densities are obtained from the NRLMSISE profile (<https://ccmc.gsfc.nasa.gov/modelweb/models/nrlmsise00.php>). The altitude/distance normalised lightning driven peak electric field (E_s) at the altitude of the brightest region of sprites spanned from 0.1 to $0.5 E_k$, associated with the lightning electric field in the frequency range 4 Hz to 400 kHz. The frequency range of 4 Hz to 400 kHz provides the lightning electric field information that the ELF/VLF/LF radio receiver can measure. These E_k values fall into the range reported in the literature (Gamerota et al., 2011; Hu et al., 2007; Kanmae et al., 2012; Li and Cummer, 2012). The E_s at the altitude of the brightest region of sprites associate with the lightning return stroke at lower frequencies (4 Hz - 2 kHz) varied from 0.01 to $0.4 E_k$. The reduction of the lightning driven electric field is due to the filtering of the lightning electric fields data to obtain the lightning return stroke fields at lower frequencies. Gamerota et al. (2011) model demonstrated that a lightning electric field of $0.08 E_k$ is not sufficient to produce sprites. However, Gamerota et al. (2011)

330 model doesn't consider the intracloud lightning electric field which enhances the electric field
331 energy at sprites altitudes (Füllekrug et al., 2019). The electric field energy might make it
332 possible for lightning discharges with $0.08 E_k$ or less to initiate sprites. The lower E_k may be
333 due to lower temporal resolution of the observations (Pasko et al., 2013). We note that some
334 of our analysed E_s values are greater than the previous reports (Gamerota et al., 2011; Hu et
335 al., 2007; Li and Cummer, 2012). However, the E_s values are within the same order (3-5
336 times the E_k) obtained by Kanmae et al. (2012) for sprites streamers peak electric field. Our
337 peak electric field values are the background estimated electric field associated with the
338 altitude of the brightest region of sprites. During sprites formation processes, conversion of
339 electrons to negative ions intensifies the electric field (Malagón- Romero et al., 2020;
340 Mashao et al., 2021). The electric field value of about $8 E_k$ has been associated with gigantic
341 jet discharge (Kuo et al., 2009; Pasko et al., 2013). None of our events are gigantic jets, as
342 confirmed by the cameras.

343

344 For the same 8 sprites, the lightning peak apparent Poynting flux (see Table 1) associated
345 with the sprite's brightest region, using distance/altitude normalised data, was found to span
346 from 0.6 to 30.1 W/m^2 (0.08 W/m^2), with an average of 12.7 W/m^2 . The value in parentheses
347 is the uncertainty. Figure 4 shows the peak Poynting flux associated with the brightest region
348 of sprites increased with decreasing atmospheric altitude. The linear correlation coefficient
349 between these variables is -0.7 . This outcome is expected as both the electric and magnetic
350 fields decrease as $\frac{1}{a^3}$ with increasing altitude.



351

352 Figure 4. The relationship between the distance/altitude normalised lightning peak apparent
 353 Poynting flux associated with the brightest region of sprites and atmospheric altitude. r shows
 354 the linear correlation coefficient.

355

356 For the same 8 sprites, for the estimates of lightning initial peak Joule heating (see Table 2)
 357 associated with the sprites' brightest region, we used the atmospheric conductivity values
 358 from Liu et al. (2015), integrated over the vertical extent of the brightest region of the sprites.
 359 The vertical extent of the brightest region of sprites varied from 1.2 to 2.4 km. The
 360 altitude/distance normalised initial peak Joule heating ranged from 2.6×10^{-5} to 1.4×10^{-2}
 361 W/m^2 ($\pm 2.4 \times 10^{-6} W/m^2$) over the same altitude range (61.5 – 75.3 km). The value in
 362 parentheses is the uncertainty. The average peak Joule heating was found to be 4.7×10^{-3}
 363 W/m^2 . The initial peak Joule heating associated with sprite's brightest region altitude
 364 decreases with an increase in atmospheric altitude, with a linear correlation coefficient of -0.4
 365 (not shown). This outcome is expected as the electric field decreases as $\frac{1}{a^3}$ with increasing

366 altitude. A linear correlation coefficient of -0.04 was found for the E_s/E_k and altitude of
367 brightest region of sprites (not shown).

368

369 From the 2019 campaign (see Tables 3 and 4), the N₂ red photon flux from the sprites (14
370 column and 14 carrot sprites) brightest region spanned from 2.5×10^{-8} to 2.7×10^{-7} W/m²
371 ($\pm 2.5 \times 10^{-9}$ W/m²) with an average value of about 1.1×10^{-7} W/m², for altitudes between 53 to
372 69 km. The value in parentheses is the uncertainty. There is no significant linear correlation
373 between the peak photon flux of sprites and atmospheric altitude, with a correlation
374 coefficient of -0.2 (not shown).

375

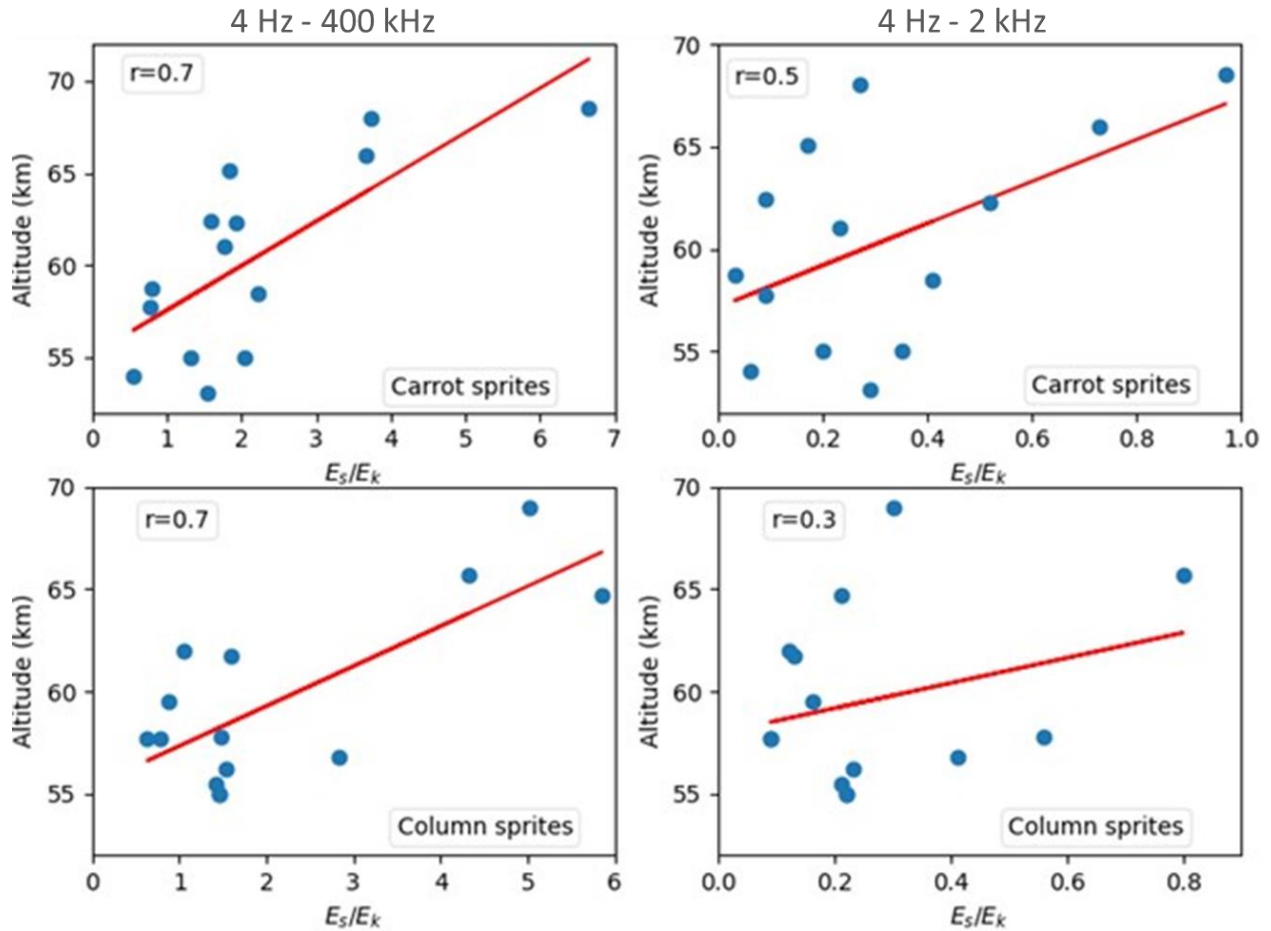
376 The apparent radiated lightning peak electric field at the column and carrot sprites' brightest
377 region altitudes ranged from 59.9 to 479.6 V/m and 22.3 to 438 V/m, respectively, for
378 altitudes between 53.1 and 69 km. **The average apparent radiated lightning electric field
379 within the column and carrot sprites were 193.3 and 190.4 V/m, respectively. The sprites
380 associated with radiated lightning electric field lower than the breakdown electric field (120
381 Td) may be generated due to associative detachment (Luque and Gordillo- Vázquez, 2012).**

382 The radiated electric field values are associated with the lightning return stroke at lower
383 frequencies (4 Hz - 2 kHz). For the lightning electric fields in the frequency range 4 Hz to
384 400 kHz, the apparent radiated lightning peak electric field at the column and carrot sprites'
385 brightest region altitudes varied from 537.4 to 2727.9 V/m and 596.9 to 2477.9 V/m,
386 respectively, for altitudes between 53.1 and 69 km. These values are consistent with the
387 expected quasi-electrostatic field produced by lightning in the mesosphere (Liu et al., 2009a).

388

389 The N₂ number density at the altitude of the brightest region of column and carrot sprites
390 (53.1—69 km) vary from 1.67×10^{15} to 1.27×10^{16} cm⁻³. For column sprites, the E_s at the
391 altitude of the brightest region ranged from 0.6 to 5.8 E_k (4 Hz to 400 kHz) and 0.1 to 0.8 E_k
392 (4 Hz - 2 kHz), respectively. For carrot sprites, the E_s at the altitude of the brightest region
393 varied from 0.6 to 6.6 E_k (4 Hz to 400 kHz) and 0.03 to 1 E_k (4 Hz - 2 kHz), respectively.
394 This is in good agreement with Gamerota et al. (2011), Hu et al. (2007), Kanmae et al.
395 (2012), Li and Cummer (2012), and Qin et al. (2013).

396



397

398 Figure 5. The relationship between the altitude/distance normalised lightning peak electric
 399 field over local air breakdown field (E_s/E_k) at the brightest region of column and carrot
 400 sprites versus atmospheric altitude. The lightning peak electric fields for the panels on the left panels
 401 were obtained in the frequency ranging from 4 Hz to 400 kHz, whereas the panels on the
 402 right were obtained in the frequency ranging from 4 Hz - 2 kHz.

403

404 Figure 5 shows the relationship between E_s/E_k at the brightest region of column and carrot
 405 sprites versus atmospheric altitude. A good linear correlation coefficient of 0.7 and 0.7 were
 406 found between E_s/E_k (4 Hz to 400 kHz) associated with column and carrot sprites' brightest
 407 region versus the brightest region altitude, respectively, see Figure 5 left panels. A good
 408 linear correlation coefficient of 0.5 was found between E_s/E_k (4 Hz - 2 kHz) associated with
 409 carrot sprites' brightest region versus the brightest region altitude, whereas a weak linear
 410 correlation coefficient of 0.3 was found for column sprites, see Figure 5 right panels. The
 411 weak correlation coefficient shows that the lightning return stroke at lower frequencies (4 Hz
 412 - 2 kHz) has less influence on the column sprites than on the carrot sprites formation
 413 processes.

414

415 From the 2020 data, the sprites events which occurred at 19:55:41.681 and 21:41:29.422
416 UTC had halos with diameters of about 65 and 61 km, respectively, see Tables 1 and 2. The
417 E_s at the altitude of the brightest region of these events were 0.2 and 0.5 E_k (4 Hz to 400
418 kHz), and 0.1 and 0.4 E_k (4 Hz - 2 kHz), respectively. From the 2019 data, the sprites event
419 which occurred at 19:41:09.728 UTC had a halo with diameter of about 57 km, see Table 3.
420 The E_s at the altitude of the brightest region of this event were 1.5 E_k (4 Hz to 400 kHz) and
421 0.6 E_k (4 Hz - 2 kHz), respectively. An electric field value of greater than about 0.5 E_k has
422 been associated with sprites halo discharge (Qin et al., 2013; Pasko et al., 2013). Note that
423 halos were not seen by the camera with the red N_2 filter.

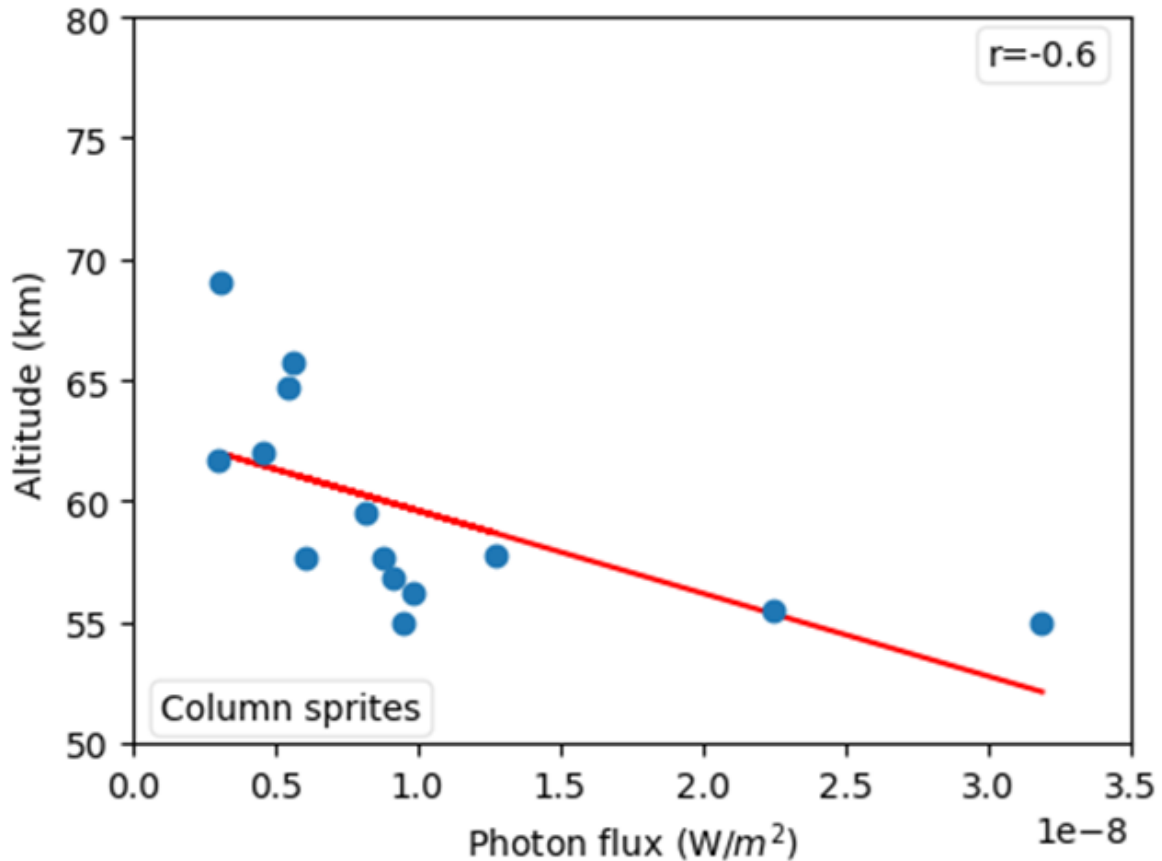
424

425 The photon flux and lightning initial peak Joule heating associated with the brightest region
426 altitude of 14 column sprites were found to vary from 2.5×10^{-8} to 2.6×10^{-7} W/m^2 ($\pm 2.4 \times 10^{-9}$
427 W/m^2) and 7.2×10^{-4} to 6.7×10^{-1} W/m^2 ($\pm 6.2 \times 10^{-7}$ W/m^2), with an average value of
428 approximately 8.4×10^{-8} W/m^2 and 7.5×10^{-2} W/m^2 , respectively, for altitudes from 55 to 69
429 km. The photon flux and lightning peak Joule heating associated with 14 carrot sprites at the
430 brightest region altitude ranged from 4.8×10^{-8} to 2.2×10^{-7} W/m^2 ($\pm 2.6 \times 10^{-9}$ W/m^2) and
431 5.3×10^{-5} to 6.8×10^{-1} W/m^2 ($\pm 4.3 \times 10^{-9}$ W/m^2) with an average value of approximately
432 1.3×10^{-7} W/m^2 and 9.4×10^{-2} W/m^2 , respectively, for altitudes between 53 to 68.5 km. The
433 value in parentheses is the uncertainty. The vertical extent of the brightest region for column
434 and carrot sprites ranged from 0.6 to 2.2 km and 0.6 to 1.4 km, respectively.

435

436 To within the timing uncertainty of the video frame (± 20 ms), we found that column and
437 carrot sprites have a time delay that varied from about 18 to 27 ms and 30 to 145 ms from
438 their parent lightning strokes, respectively. The time delay between the parent lightning
439 strokes and column (< 30 ms) and carrot sprites (up to 145 ms) agrees with van der Velde et
440 al. (2006). The sprite delay depends on the charge moment change in milliseconds (Cummer
441 and Stanley, 1999).

442



443

444 Figure 6. The relationship between the column sprite's photon flux versus sprite's brightest
 445 region altitude, respectively. r shows the linear correlation coefficient.

446

447 Figure 6 shows the column sprites' photon flux versus the sprites' brightest region altitude. r
 448 denotes the linear correlation coefficient. The photon flux out of column sprites' brightest
 449 region decreased with an increase in sprites' brightest altitude, with a linear correlation
 450 coefficient of -0.6. The photon flux from carrot sprites' brightest region versus the brightest
 451 region altitude showed a weak positive correlation of 0.2 (not shown). A weak-moderate
 452 linear correlation coefficient of 0.4 and 0.3 was found between the altitude/distance
 453 normalised lightning initial peak Joule heating associated with column and carrot sprites'
 454 brightest region versus the brightest region altitude, respectively (not shown).

455

456 5. Conclusions

457 We have estimated the lightning peak apparent Poynting flux and peak initial Joule heating
 458 associated with the altitude of the brightest regions of sprites only. We investigated the
 459 lightning electric fields in frequencies ranging from 4 Hz to 400 kHz and lightning return
 460 stroke at lower frequencies (4 Hz - 2 kHz). The E_s/E_k values (0.1 to 6.6) are comparable with

461 the sprites values from the published literature (Gamerota et al.,2011; Hu et al., 2007;
462 Kanmae et al., 2012; Li and Cummer, 2012; Qin et al, 2013). The lightning discharges have
463 an influence on carrot and column sprites formation processes. The lightning return stroke at
464 lower frequencies (4 Hz - 2 kHz) has more influence on carrot sprites than on column sprites
465 brightest region altitude.

466

467 From 2019, the averaged photon flux and lightning initial peak Joule heating associated with
468 the brightest region of column sprites were found to be approximately $8.4 \times 10^{-8} \text{ W/m}^2$ and 7.5
469 $\times 10^{-2} \text{ W/m}^2$, respectively, for altitudes from 55 to 69 km. The averaged photon flux and
470 lightning initial peak Joule heating associated with the brightest region of carrot sprites were
471 found to be approximately $1.3 \times 10^{-7} \text{ W/m}^2$ and $9.4 \times 10^{-2} \text{ W/m}^2$, respectively, for altitudes
472 between 53 to 68.5 km. The column sprites' brightest region altitude had a linear correlation
473 coefficient of -0.6 against the photon flux of the brightest region.

474

475 From 2020, the investigation of the altitude/distance normalised lightning peak apparent
476 Poynting flux and initial peak Joule heating associated with the brightest region of sprites
477 yield averaged energy fluxes of 12.7 W/m^2 and $4.7 \times 10^{-3} \text{ W/m}^2$, respectively. A linear
478 correlation coefficient of -0.7 was found between the peak apparent Poynting flux associated
479 with the brightest region of sprites and atmospheric altitude, whereas a correlation coefficient
480 of -0.4 was obtained between the initial peak Joule heating associated with the sprite's
481 brightest region and atmospheric altitude. Our study shows for the first time that the apparent
482 Poynting flux emanating from the sprite dominates over the Joule heating and photon flux by
483 several orders of magnitude.

484

485 Our photon flux values are less than Armstrong et al. (1998) by up to 2 orders of magnitude.
486 However, Armstrong et al. (1998) photon fluxes are also less than our Poynting flux and
487 Joule heating of sprites and so consistent with our conclusion. Factors such as local clouds,
488 viewing direction, spatial resolution, atmospheric scattering, light pollution, camera type and
489 setting, distance to sprite, technical approach of measurements and humidity affect camera
490 sensitivity, therefore sprites visibility (Mashao et al., 2022a; Mlynarczyk et al., 2015; Pasko
491 et al., 2013).

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498

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Appendix

Table 1: Summary of estimations of lightning peak apparent Poynting flux at the brightest region altitude of sprites observed from SKA and SAAO sites on 24 January 2020. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest region altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Sprite's brightest region altitude (km)	Azimuth angle from the magnetic coil to the lightning stroke (°)	Adjusted Magnetic field at receiver (T)	Magnetic field at 1 km from lightning location (T)	Electric field at 1 km from lightning location (V/m)	N ₂ density at sprite's brightest region altitude (cm ⁻³)	Electric field at sprite's brightest region altitude (E_s) (V/m)	Magnetic field at sprite's brightest region altitude (T)	Lightning peak apparent Poynting flux at sprite's brightest region altitude (W/m ²)	E_s/E_k 4 Hz to 400 kHz	E_s/E_k 4 Hz - 2 kHz
19:55:41.682 (SKA)	142	389.9	61.5	99.1	1.8E-09	2.8E-04	7.1E+03	4.4E+15	3.1E+01	1.2E-06	29.3	0.2	0.1
20:00:54.029 (SKA)	101	390.1	61.7	101.1	1.1E-09	1.6E-04	5.4E+03	4.4E+15	2.3E+01	7.0E-07	12.8	0.2	0.04
20:03:13.971 (SKA)	74	431.9	74.2	93.8	5.6E-10	1.0E-04	1.1E+03	8.1E+14	2.8E+00	2.6E-07	0.6	0.1	0.03
20:03:14.307 (SKA)	62	417.9	75.3	101.7	3.0E-10	5.3E-05	4.5E+02	6.9E+14	1.1E+00	1.2E-07	0.1	0.1	0.01
20:07:29.608 (SKA)	92	403.0	72.0	98.9	8.2E-10	1.3E-04	3.0E+03	1.1E+15	8.1E+00	3.6E-07	2.3	0.3	0.1
20:07:29.248 (SKA)	127	449.6	71.0	108.6	1.2E-09	2.3E-04	8.9E+03	1.3E+15	2.5E+01	6.5E-07	12.8	0.5	0.2
20:23:43.573 (SAAO)	66	562.3	68.2	30.9	2.0E-09	6.3E-04	6.0E+03	1.9E+15	1.9E+01	2.0E-06	30.1	0.3	0.1
21:41:29.422 (SKA)	133	209.5	71.4	159.1	2.1E-09	9.2E-05	2.5E+04	1.3E+15	6.9E+01	2.5E-07	13.8	0.5	0.4

Table 2: Summary of estimations of lightning peak initial Joule heating associated with the brightest region altitude of sprites observed from SKA and SAAO sites on 24 January 2020. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest region altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Electric field at 1 km from lightning location (V/m)	Sprite's brightest region altitude (km)	Atmospheric conductivity (S/m)	Sprites brightest region vertical extent (km)	Electric field at sprite's brightest region altitude (E_s) (V/m)	Lightning peak initial Joule heating at the sprite's brightest region altitude (W/m^2)
19:55:41.682 (SKA)	142	389.9	7.1E+03	61.5	8.0E-09	1.3	3.1E+01	9.6E-03
20:00:54.029 (SKA)	101	390.1	5.4E+03	61.7	8.0E-09	1.3	2.3E+01	5.6E-03
20:03:13.971 (SKA)	74	431.9	1.1E+03	74.2	1.0E-08	1.5	2.8E+00	1.1E-04
20:03:14.307 (SKA)	62	417.9	4.5E+02	75.3	1.0E-08	2.3	1.1E+00	2.6E-05
20:07:29.608 (SKA)	92	403.0	3.0E+03	72.0	9.8E-08	2.2	8.1E+00	1.4E-02
20:07:29.248 (SKA)	127	449.6	8.9E+03	71.0	1.0E-09	2.4	2.5E+01	1.5E-03
20:23:43.573 (SAAO)	66	562.3	6.0E+03	68.2	3.0E-09	1.4	1.9E+01	1.5E-03
21:41:29.422 (SKA)	133	209.5	2.5E+04	71.4	1.0E-09	1.2	6.9E+01	5.8E-03

Table 3: Summary of estimations of photon flux and lightning peak initial Joule heating at the brightest region of column sprites observed from the SKA site on 01 February 2019. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Sprites photon fluxes (W/m ²)	Sprite's brightest region altitude (km)	Sprite's brightest region vertical extent (km)	N ₂ density at sprite's brightest region altitude (cm ⁻³)	Electric field at sprite's bright region altitude (ELF/VLF/LF radio receiver) (E_s) (V/m)	Lightning peak initial Joule heating at the sprite's brightest region altitude (W/m ²)	E_s/E_k 4 Hz to 400 kHz	E_s/E_k 4 Hz - 2 kHz
19:05:21.584	50	357.3	2.7E-07	55.0	1.1	1E+16	263.5	1.6E-02	1.4	0.2
19:05:21.584	50	357.3	1.9E-07	55.5	1.1	1E+16	256.4	1.5E-02	1.4	0.2
19:05:21.584	50	357.3	8.0E-08	55.0	1.1	1E+16	263.5	1.6E-02	1.4	0.2
19:05:21.584	50	357.3	8.3E-08	56.2	1.1	9E+15	246.9	1.4E-02	1.5	0.2
19:06:49.098	107	360.4	7.7E-08	56.8	0.6	8E+15	399.0	1.9E-02	2.8	0.4
19:35:00.707	80	334.4	4.7E-08	65.7	2.2	2.6E+15	248.1	6.7E-01	0.8	4.3
19:43:09.728	103	333.6	1.1E-07	57.8	1.1	7.1E+15	479.6	2.5E-02	1.5	0.6
19:47:59.520	64	332.1	6.9E-08	59.5	1.1	6.3E+15	124.6	1.5E-01	0.9	0.2
19:56:54.499	28	345	5.1E-08	57.7	1.1	7.1E+15	80.3	7.2E-04	0.8	0.1
19:56:54.499	28	345	7.4E-08	57.7	1.1	7.1E+15	80.3	7.2E-04	0.6	0.1
19:56:54.499	28	345	3.9E-08	62.0	1.1	4.4E+15	64.7	3.7E-02	1.0	0.1
19:56:54.499	28	345	2.5E-08	61.7	1.1	4.4E+15	65.7	3.8E-02	1.6	0.1
20:12:08.503	41	436.9	2.6E-08	69.0	1.4	1.7E+15	59.9	1E-02	5.0	0.3
20:12:08.503	41	436.9	4.6E-08	64.7	1.4	2.9E+15	72.9	3.7E-02	5.8	0.2

Table 4: Summary of estimations of photon flux and lightning peak initial Joule heating at the brightest region of carrot sprites observed from the SKA site on 01 February 2019. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest region altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Sprites photon fluxes (W/m ²)	Sprite's brightest region altitude (km)	Sprites brightest region vertical extent (km)	N ₂ density at sprite's brightest region altitude (cm ⁻³)	Electric field at sprites bright region altitude (ELF/VLF/LF radioreceiver) (E_s) (V/m)	Lightning peak initial Joule heating at the sprite's brightest region altitude (W/m ²)	E_s/E_k 4 Hz to 400 kHz	E_s/E_k 4 Hz - 2 kHz
19:06:49.098	107	360.4	1.7E-07	68.5	0.6	2E+15	227.5	9.4E-02	6.7	1
19:08:24.111	92	309.1	1.5E-07	66.0	0.7	2.6E+15	225.2	1.7E-01	3.7	0.7
19:12:42.451	62	351.3	8.6E-08	62.3	1.1	4.4E+15	273.7	6.8E-01	1.9	0.5
19:26:48.376	42	333.1	2.0E-07	65.1	1.1	3E+15	60.7	2.0E-02	1.8	0.2
19:35:00.707	80	334.4	2.2E-07	58.5	1.1	7.1E+15	351.5	1.3E-02	2.2	0.4
19:36:23.568	42	409.4	1.2E-07	55.0	0.9	1E+16	238.1	9.9E-03	1.3	0.2
19:44:48.189	31	338.9	6.9E-08	54.0	0.7	1.1E+16	76.8	8.5E-04	0.6	0.1
19:49:30.929	49	345.9	1.5E-07	61.0	1.1	4.9E+15	136.5	1.7E-01	1.8	0.2
19:51:17.824	29	332	1.3E-07	58.7	1.1	6.3E+15	22.3	5.3E-05	0.8	0.03
19:53:59.597	48	413.9	7.3E-08	62.4	1.3	4.4E+15	46.4	2.3E-02	1.6	0.1
19:56:54.499	28	345	1.5E-07	57.7	1.1	7.1E+15	80.3	7.2E-04	0.8	0.1
19:57:34.604	92	352.4	2.0E-07	55.0	1.1	1E+16	425.7	4.1E-02	2	0.4
20:12:08.503	41	436.9	1.0E-07	68.0	1.4	1.9E+15	62.6	1.7E-02	3.7	0.3
20:38:25.718	68	431.6	4.8E-08	53.0	1.4	1.3E+16	438	8.0E-02	1.5	0.3

Poynting flux estimation calculation

The lightning peak apparent Poynting flux associated with the sprite's brightest region altitude calculation is demonstrated below using the sprites event in Figure 2. The lightning electric field (\mathbf{E}) and magnetic field at the receivers' location were observed to be 3.6×10^{-2} V/m and 1.06×10^{-9} T, respectively (see Figure 2). The lightning discharge which initiates the sprites event was located 390.1 km away from the receivers' location. The sprite's brightest region altitude was 61.7 km. We only recorded the dominant horizontal component of the magnetic field due to technical issues with the second orthogonal induction coil. We first determine the azimuth angle from the magnetic coil to the lightning stroke in order to calculate the total horizontal magnetic field (\mathbf{B}_T) using Equation (A1) below:

$$\mathbf{B}_T = \mathbf{B} / \sin(AZ) \quad (1A)$$

where AZ is the azimuth angle between the lightning position and the North-South coil orientation. AZ was found to be 101.1° . By using Equation (A1), we obtain $\mathbf{B}_T = 1.08 \times 10^{-9}$ T.

To normalise \mathbf{E} and \mathbf{B}_T values to 1 km from the lightning location, we then multiply \mathbf{E} and \mathbf{B}_T values by the distance (390.1 km) squared in km. We found \mathbf{E} and \mathbf{B}_T at 1 km from the lightning location to be:

$$\mathbf{E} = 5.4 \times 10^3 \text{ V/m}$$

$$\mathbf{B}_T = 1.6 \times 10^{-4} \text{ T}$$

Assuming that the CG lightning charges, which initiated the sprites events, were removed at 10 km altitude, we then project \mathbf{E} and \mathbf{B}_T at 1 km from the lightning location to the sprite's brightest region altitude (61.7 km) by multiplying \mathbf{E} and \mathbf{B}_T at 1 km from the lightning location by $(10/r)^3$, where r is the altitude (km) of the sprite's brightest region ($r = 61.7$). We obtained \mathbf{E} and \mathbf{B}_T at the sprite's brightest region altitude to be:

$$\mathbf{E} = 2.3 \times 10^1 \text{ V/m}$$

$$\mathbf{B}_T = 7.0 \times 10^{-7} \text{ T}$$

Finally, we estimated the lightning peak apparent Poynting flux (\mathbf{S}) associated with the sprite's brightest region altitude using Equation (A2) below:

$$\mathbf{S} = \frac{(\mathbf{E} \times \mathbf{B})}{\mu} \quad (\text{A2})$$

where μ is the permeability of the medium (assumed vacuum). For the event in Figure 2, we found that $\mathbf{S} = 12.8 \text{ W/m}^2$. This calculation is summarised in Table 1 (row 3).

Joule heating estimation calculation

The lightning peak initial Joule heating associated with the sprite's brightest region altitude calculation is demonstrated below using the sprites event in Figure 2. The lightning electric field (\mathbf{E}) at the receiver's location was obtained to be $3.6 \times 10^{-2} \text{ V/m}$ (see Figure 2a). The lightning discharge which initiates the sprites event was located 390.1 km away from the receiver's location. The sprite's brightest region altitude was 61.7 km.

To normalise the \mathbf{E} value to 1 km from the lightning location, we then multiply the \mathbf{E} value by the distance (390.1 km) squared in km. We found \mathbf{E} at 1 km from the lightning location to be:

$$\mathbf{E} = 5.4 \times 10^3 \text{ V/m}$$

Assuming that the CG lightning charges, which initiated the sprites events, were removed at 10 km altitude, we then project \mathbf{E} at 1 km from the lightning location to the sprite's brightest region altitude (61.7 km) by multiplying \mathbf{E} at 1 km from the lightning location by $(10/r)^3$, where r is the altitude (km) of the sprite's brightest region ($r = 61.7$). We obtained \mathbf{E} at the sprite's brightest region altitude to be:

$$\mathbf{E} = 2.3 \times 10^1 \text{ V/m}$$

We adopted the atmospheric conductivity (σ) from Lui et al. (2015), integrated over the vertical extent of the brightest region altitude of the sprite. The vertical extent of the brightest region altitude of sprite was found to be 1.3 km, and the corresponding σ was found to be:

$$\sigma = 8 \times 10^{-9} \text{ S/m}$$

To determine the lightning peak initial Joule heating (\mathbf{J}_h) associated with the sprite's brightest region altitude, we used Equation (A3) below:

$$\mathbf{J}_h = \sigma \mathbf{E}^2 \quad (\text{A3})$$

For the event in Figure 2, we found that $\mathbf{J}_h = 5.6 \times 10^{-3} \text{ W/m}^2$. This calculation is summarised in Table 2 (row 3).

Photon flux estimation calculation

To determine the photon flux out of the sprite's brightest region, we acquired sprites optical data observed with a red N₂ emission filter (<https://www.schott.com/shop/advanced-optics/en/Matt-Filter-Plates/RG645/c/glass-RG645>) simultaneously with calibrated stars of known photon flux, as described in Nnadih et al. (2021). The flux values of selected stars can be found in the Pulkovo Spectrophotometry Bright Stars Catalogue (Alekseeva et al., 1996). We demonstrate how we determine the photon flux out of the sprite's brightest region using the sprites event in Figure 3. We averaged the sprites video clip frames over one second in order to determine the average intensity pixel value of the calibrated star (Str_{avg}). The sky background (Sky_{bkg}) value was obtained by using a 7×7 median filter to remove the stars on the video frame prior to the frame which contains the sprites event. The sky background (Sky_{bkg}) value was 3. The calibrated star in Figure 3 was recorded using cameras with red N₂ emission filter that has an absolute photon flux (Str_{flux}) of 0.32058 W/m^2 . The photon flux value of the calibrated star was integrated over the filter's longpass wavelength range, see Figure A1. The calibrated star (Str_{avg}) observed with red N₂ emission filter had an average intensity pixel value of 17.1. We average the image pixel values at the sprite's brightest region altitude in order to determine the sprite's average brightness ($Sprs_{avg}$). The $Sprs_{avg}$ in Figure 3 had a pixel intensity value of 65.

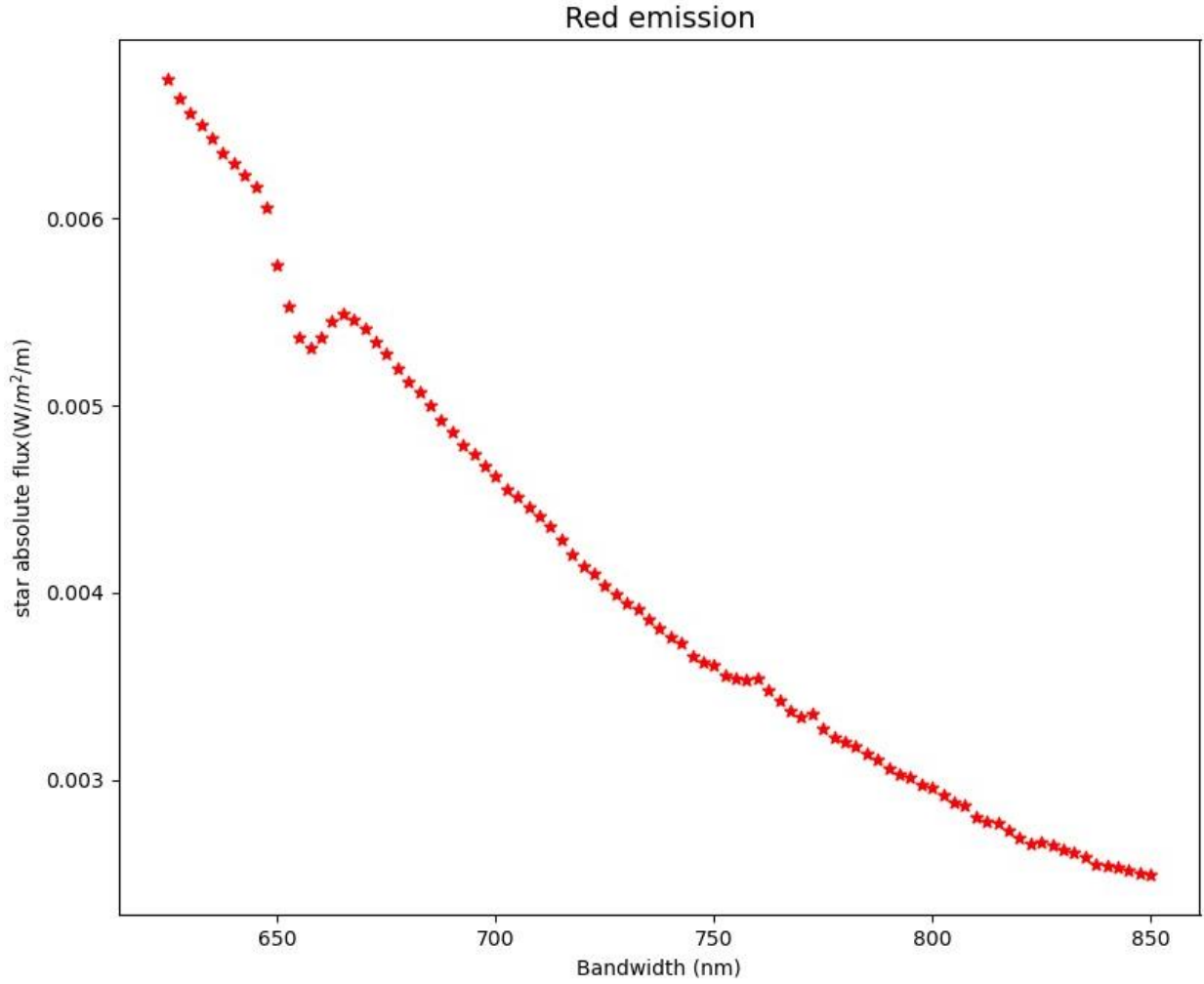


Figure A1. The calibrated star's absolute photon flux over the N₂¹P emission range (Alekseeva et al., 1996).

We used data from the camera with a red filter to obtain the photon flux at the sprite's brightest region ($S_{\text{pr}_{\text{flux}}} = 1.7 \times 10^{-7} \text{ W/m}^2$), by means of Equation (4) (Nnadih et al., 2021).

$$S_{\text{pr}_{\text{flux}}} = \frac{S_{\text{tr}_{\text{flux}}}(S_{\text{pr}_{\text{avg}}} - S_{\text{ky}_{\text{bkg}}})}{S_{\text{tr}_{\text{avg}}} - S_{\text{ky}_{\text{bkg}}}} \text{ (W/m}^2\text{)} \quad (\text{A4})$$

This calculation is summarised in Table 4 (row 3).

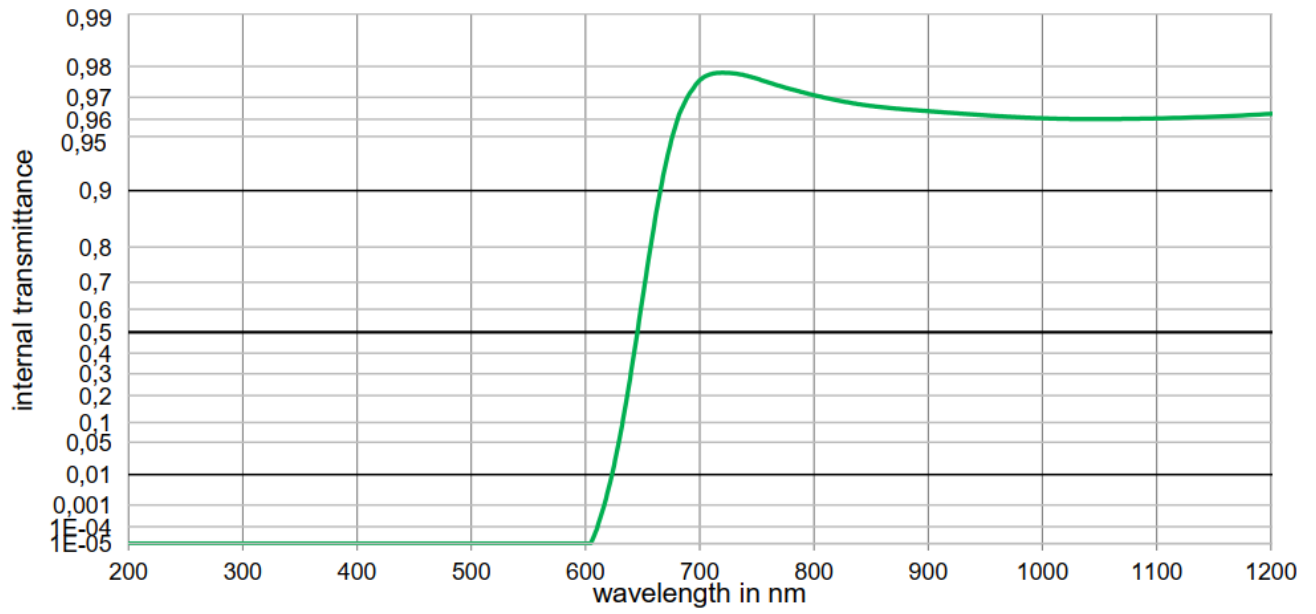


Figure A2. Internal transmittance of red N₂ filter with a 640-650 nm longpass (<https://www.schott.com/shop/advanced-optics/en/Matt-Filter-Plates/RG645/c/glass-RG645>).

To the Reviewers, Thank you so much for the fruitful response. We have revised the manuscript accordingly. Please see our comments and responses below in green. The major voluntary changes (new paragraphs and whole new sentences) are written in green text in the manuscript.

Comments to the Authors:

Review of **the revised** MS entitled “*Estimates of energy fluxes associated with sprites in the mesosphere*” by D. Mashao *et al.*, re-submitted for possible publication in Journal of Atmospheric and Solar-Terrestrial Physics.

Authors have only provide clarification to a number of the points I commented. There are still some points that regarding the mechanisms producing delayed sprites (the subject of this work) that are neither adequately answered nor properly cited. The worst is that authors write in their reply to my L 301-302 (of original paper): “*Thank you, we corrected the sentence and discussed as suggested in the revised manuscript*”, but they **did not**.

In addition, there is **an entire new paragraph** from **L 71 to L 87 of the revised manuscript** that is quite unprecised and makes no sense to me in the context of this work that ***explores delayed sprites***. The authors write:

“The lightning driven electric field must exceed the local air breakdown field in order for sprites to occur (Pasko et al. 2013; Surkov and Hayakawa, 2020). The lightning radiated electromagnetic fields and numerical models have been used to estimate lightning driven electric field at sprites altitudes (Gamerota et al., 2011; Hu et al., 2007). The local air breakdown field $E_k/N = 120$ Townsend (Td), where N is the air number density ($1 \text{ Td} = 1 \times 10^{-17} \text{ V cm}^2$) (Luque and Gordillo- Vázquez, 2012). Thus E_k is determined by the dissociative attachment and ionisation in air, which corresponds to the air density (Liu et al., 2009a). The lightning driven electric field needed to produce sprites reported by Hu et al. (2007) were about $0.2 E_k$, $0.3\text{—}0.5 E_k$, and greater than $0.5 E_k$ for dim, typical, and bright sprites, respectively, where E_k is the local air breakdown field. Gamerota et al. (2011) obtained the lightning driven electric field of about 0.1 to $5 E_k$. Li and Cummer (2012) reported that positive sprites streamers induced by positive CG lightning propagates downwards to regions corresponding to $0.05 E_k$. They found a normalised electric field ranging from 0.04 to $0.46 E_k$ for altitudes ranging from 42 to 74 km (Li and Cummer, 2012). Qin et al. (2018) reported carrot sprites occurred in the mesospheric region with electric field of about $0.8 E_k$. Kanmae

et al. (2012) estimated the peak electric field of sprites streamers and found that the peak electric field varied between 90 and 150 kV/cm, which is 3 to 5 times the E_k (30 kV/cm)."

Clearly, **for non-delayed** sprites the *"the lightning driven electric field must exceed the local airbreakdown field"*, **but this is not the case** in this work. However, it is written in a way that seems to be the case. The sprites investigated in this paper **are delayed sprites** for which **undervoltage electric fields** (those **below** conventional breakdown field $E_k = 120$ Td) can typically allow the occurrence of delayed sprites because of the action due to associative detachment (AD) as explained in Luque and Gordillo-Vázquez, Nature Geoscience 2012. However, the authors have chosen to wrongly put in context this 2012 paper and, consequently, they will confuse readers by writing **in L 71 -72** of the revised manuscript that *"the lightning driven electric field must exceed the local air breakdown field"*. The citation to Luque and Gordillo- Vázquez, 2012 in the above L71-L87 paragraph **is misleading**. Luque and Gordillo- Vázquez, 2012 does **not need** to be cited for such a known result, that is, that *"The local air breakdown field $E_k / N = 120$ Townsend (Td), where N is the air number density ($1 \text{ Td} = 1 \times 10^{-17} \text{ V cm}^2$)"*. Luque and Gordillo- Vázquez, 2012 main **contribution deals with the** result that undervoltage electric fields (**made possible by associative detachment** (see below)) **can lead to delayed sprites**, that is, that delayed sprites are possible in an scenario of undervoltage electric fields (below 120 Td), so that, in such scenario, the driving electric field is not due to dissociative attachment and electron impact ionization of air but with a situation in which **there is no apparent electron attachment** in air (see below). Authors should read Luque and Gordillo- Vázquez, 2012 and cite it appropriately.

We rewrote the paragraph, see lines 71-74 in the manuscript and the text below.

“For non-delayed sprites to occur the lightning driven electric field must exceed the local air breakdown field (120 Td) (Pasko et al. 2013; Surkov and Hayakawa, 2020). However, the lightning driven electric field lower than the local air breakdown field can lead to delayed sprites due to associative detachment (Luque and Gordillo- Vázquez, 2012).”

The above is completely connected to my comment to **L 301-303 (of the original manuscript)** where I wrote:

L301-303 (of original paper): "Authors claim that *"the average apparent radiated lightning electric field within the column and carrot sprites were 193.3 and 190.4 V/m, respectively. These values are consistent with the expected breakdown electric field for air in the mesosphere"*. I think this **is not so**. If you consider your 193 V/m = 1.93 V/cm and an average air density (between 53.1 km and 69 km) of, say, $4 \times 10^{15} \text{ cm}^{-3}$, we get $0.5 \times 10^{-15} \text{ V cm}^2 = 50 \text{ Td}$, that is, the measured average electric fields are clearly lower than the conventional breakdown field of 120 Td. Obtaining 50 Td *is fine* **as long as the author would have clearly stated that this undervoltage values are a consequence of the effect due**

to associative detachment (AD) of $\text{O} + \text{N}_2 \rightarrow \text{N}_2\text{O} + \text{e}$ (explained in Luque and Gordillo-Vazquez Nat. Geo 2012). This "AD breakdown" (as named in Luque and Gordillo-Vazquez Nat. Geo 2012) **is directly responsible** of the fact that delayed sprites can occur **within an undervoltage** environment, that is, when the **conventional breakdown field** ($E_k = 120 \text{ Td}$) is not reached. These details must be clearly stated and properly included (and adequately cited) in the discussion section of the paper **so that readers can have a fair view** of why you can have sprites in undervoltage scenarios." to which **they replied by saying:**

"Thank you, we corrected the sentence and discussed as suggested in the revised manuscript."

But they **did not correct anything**, and they did not indicate what I mentioned above.

As written in Luque and Gordillo-Vazquez Nat. Geo (2012), the conventional breakdown assumption that electrons are inert after they form O^- is valid only at high pressures, close to 1 atm, where three-body stabilization of O^- dominates. The relevance of associative detachment was known in the low-pressure plasma community already in the 1970s, when studies of low-pressure electric discharges showed that **there is no apparent electron attachment** in air below $\sim 0.1 \text{ atm}$ (corresponding to altitudes above $\sim 15 \text{ km}$ in the atmosphere).

We rewrote the sentence and included the suggested information, see lines 378-381 in the manuscript and the text below.

"The average apparent radiated lightning electric field within the column and carrot sprites were 193.3 and 190.4 V/m, respectively. The sprites associated with radiated lightning electric field lower than the breakdown electric field (120 Td) may be generated due to associative detachment (Luque and Gordillo- Vázquez, 2012)."

Recommendation:

The paper requires **major revision**. Authors need to **significantly improve the discussion including the mentioned points in this review**. In my view, the present manuscript could only

be published in JASTP if authors correct the paper along the directions given here including appropriate citation of previous results.

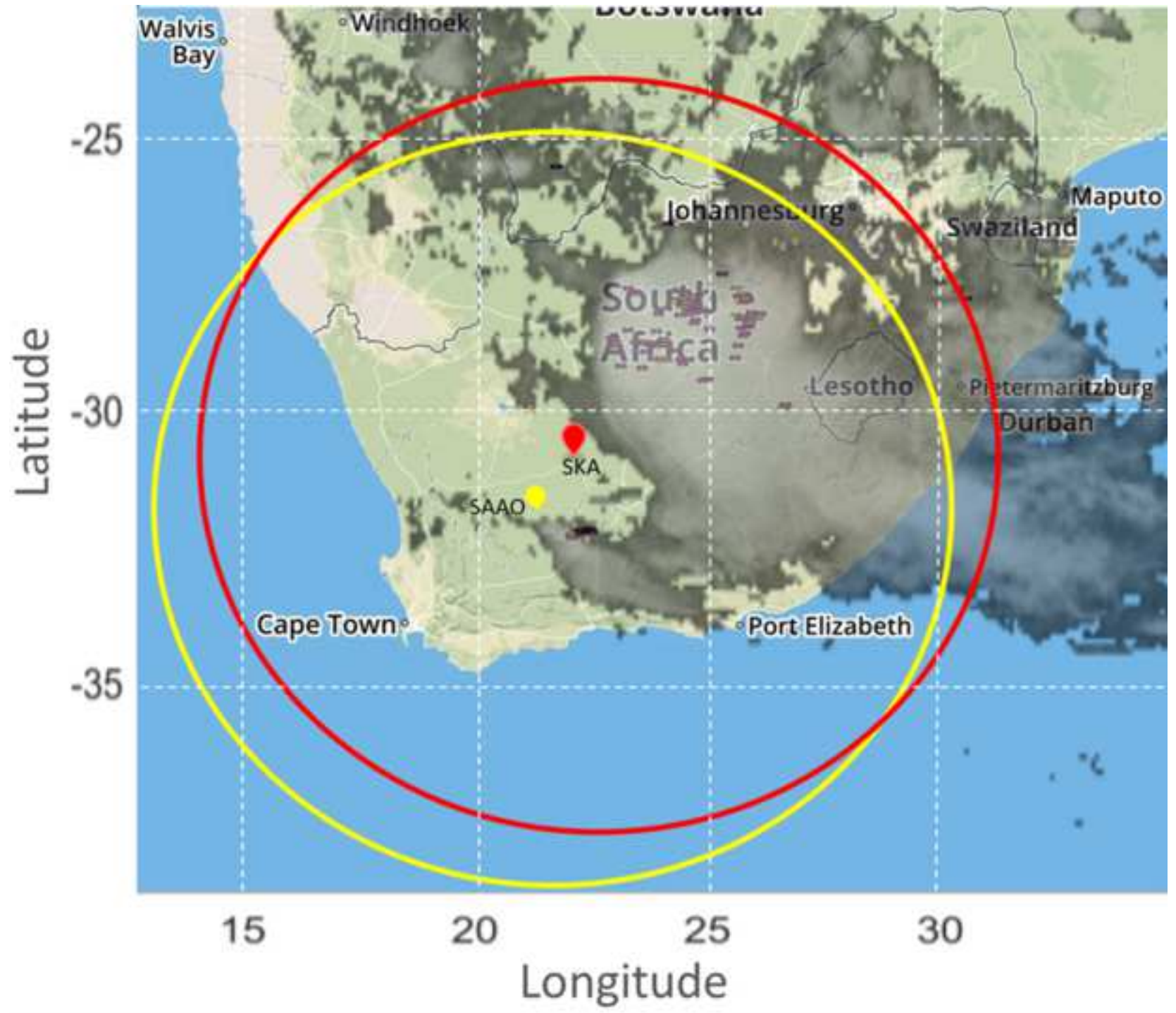
Thank you.

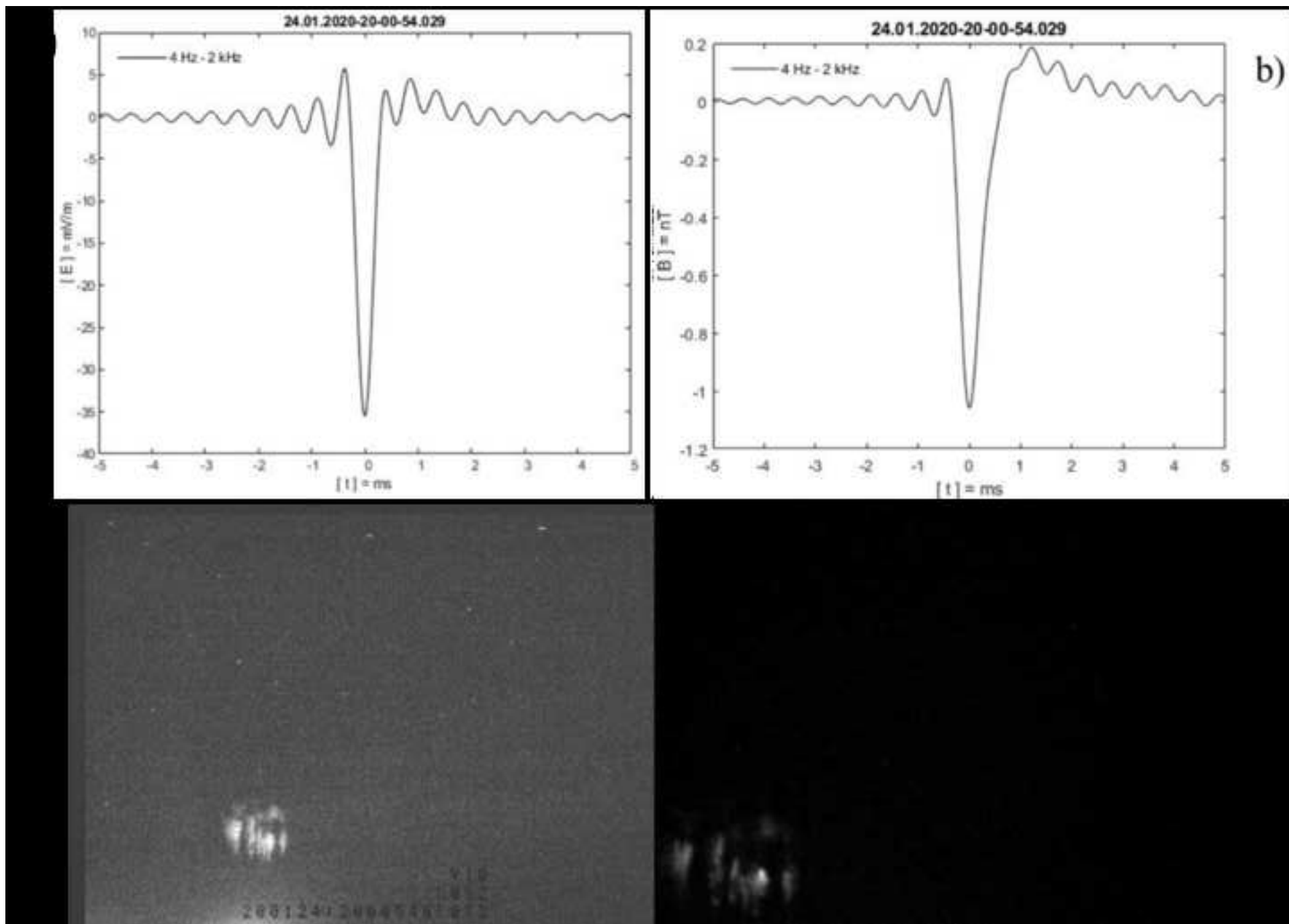
Highlights:

- The lightning return stroke is important for the maximum brightness of sprites.
- The apparent Poynting flux emanating from the sprite dominates over the Joule heating and photon flux.
- The lightning peak Poynting flux decreases with increasing atmospheric altitude.
- Column sprites have a shorter time delay than carrot sprites from their parent lightning strokes.
- The brightest region of carrot sprites is associated with greater averaged photon flux and lightning initial peak Joule heating.

Figure 1

[Click here to access/download;Figure;Figure 1.png](#)







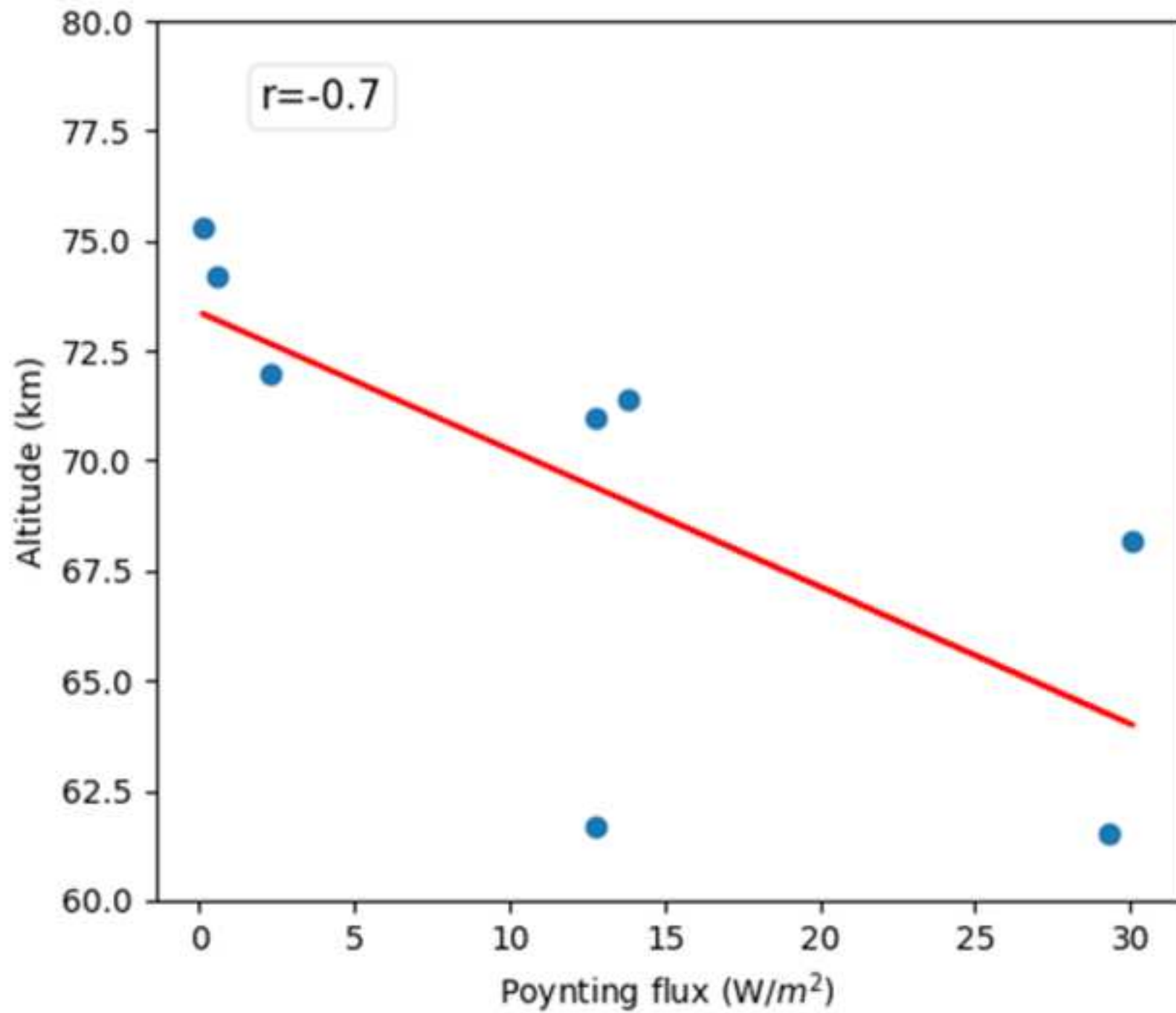
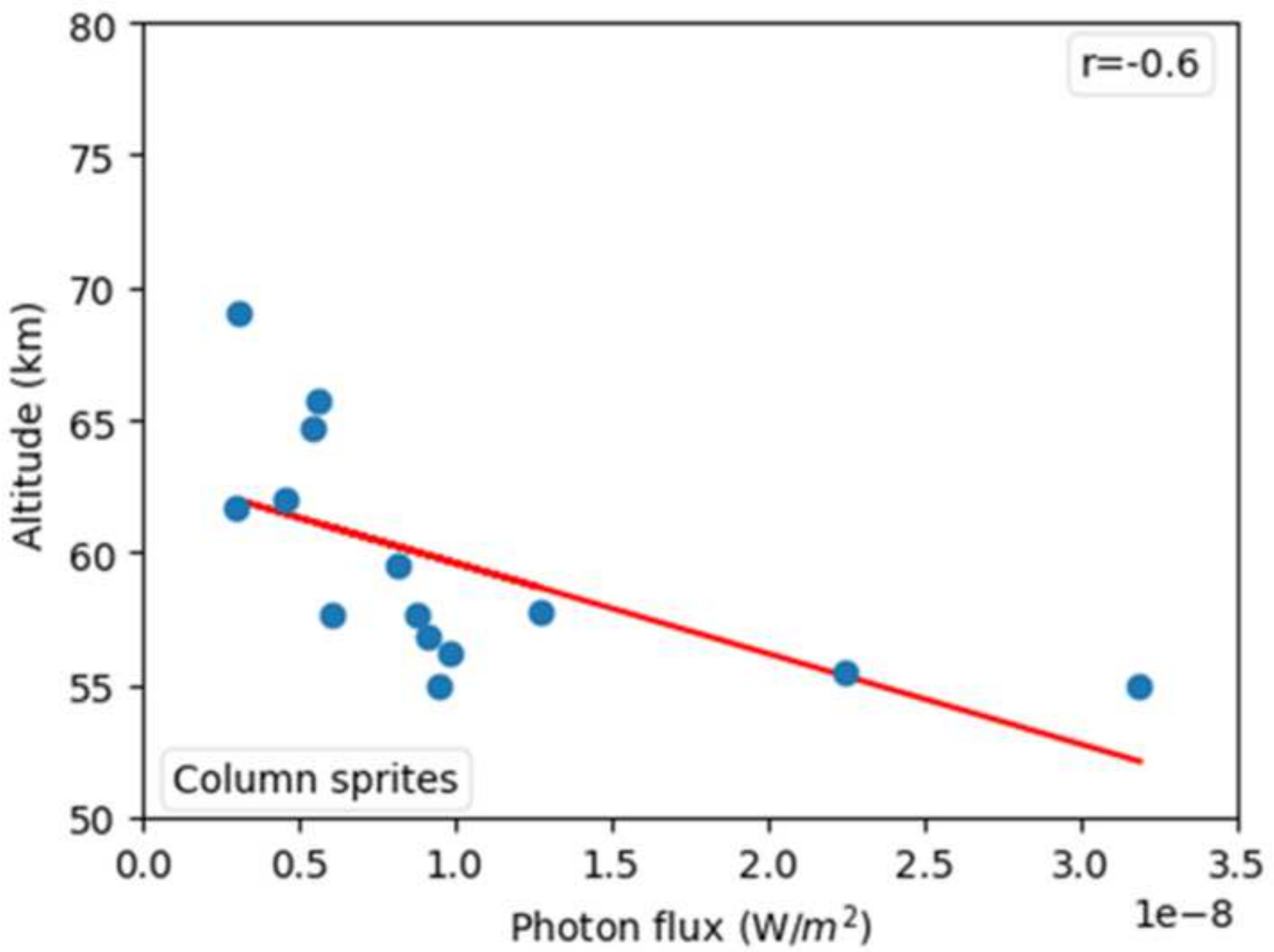
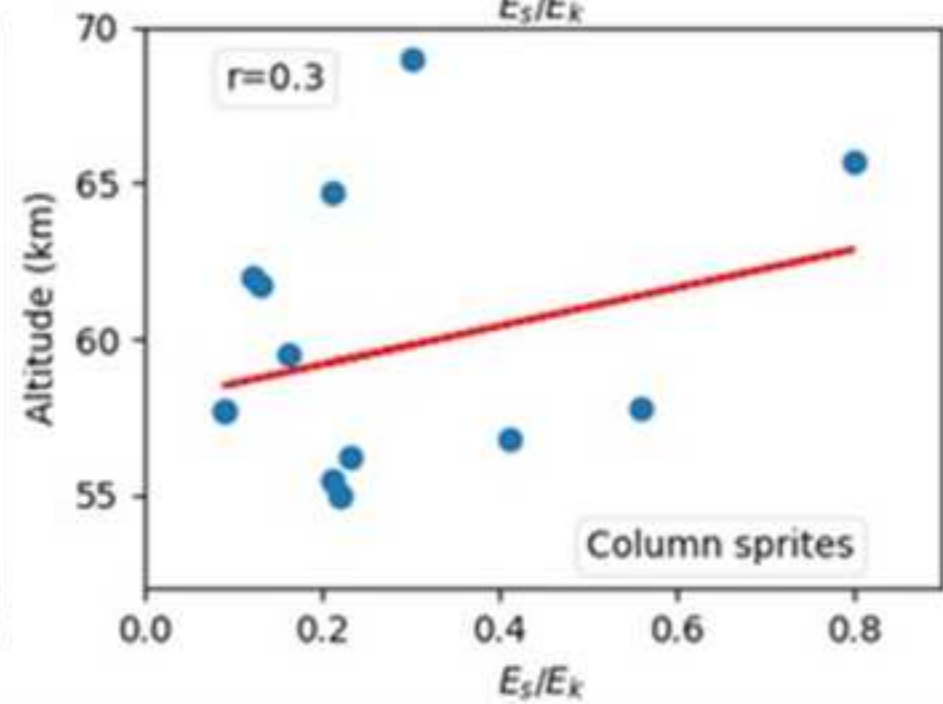
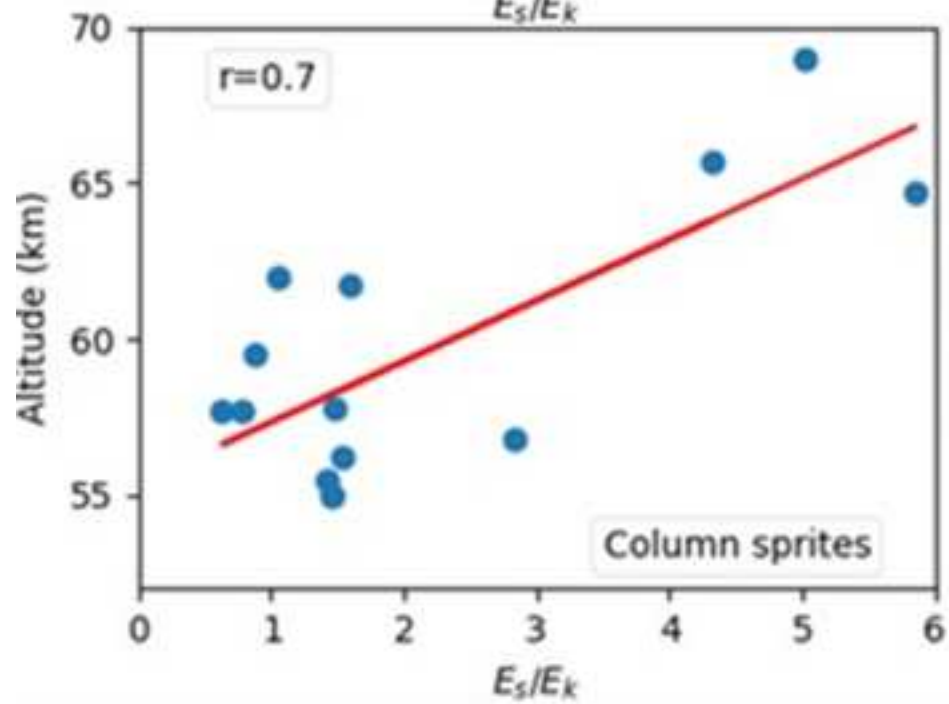
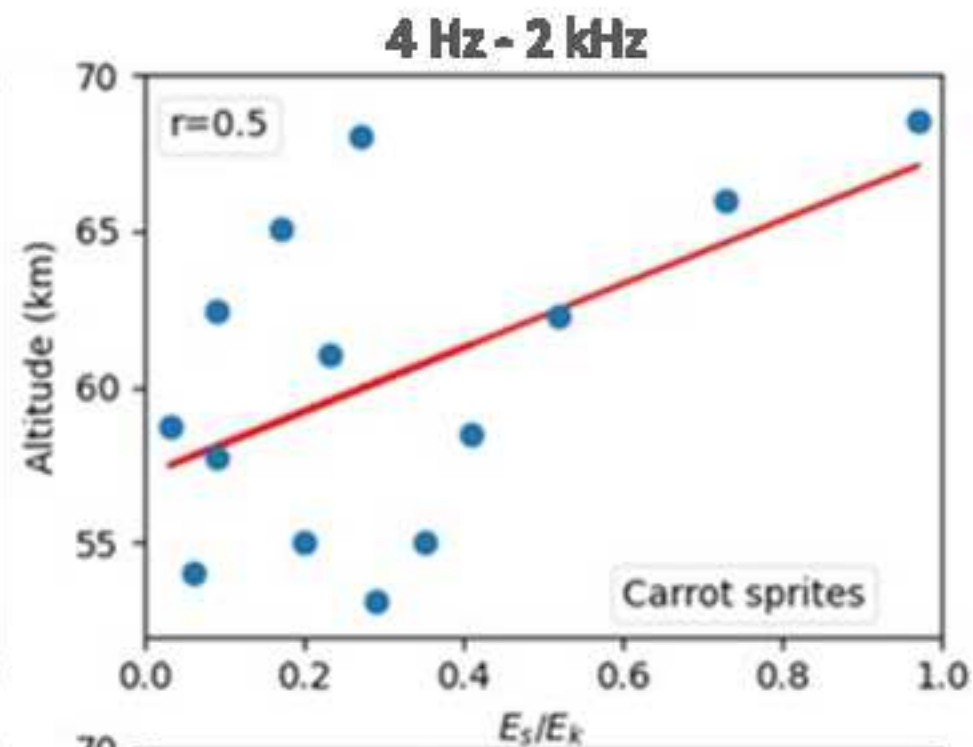
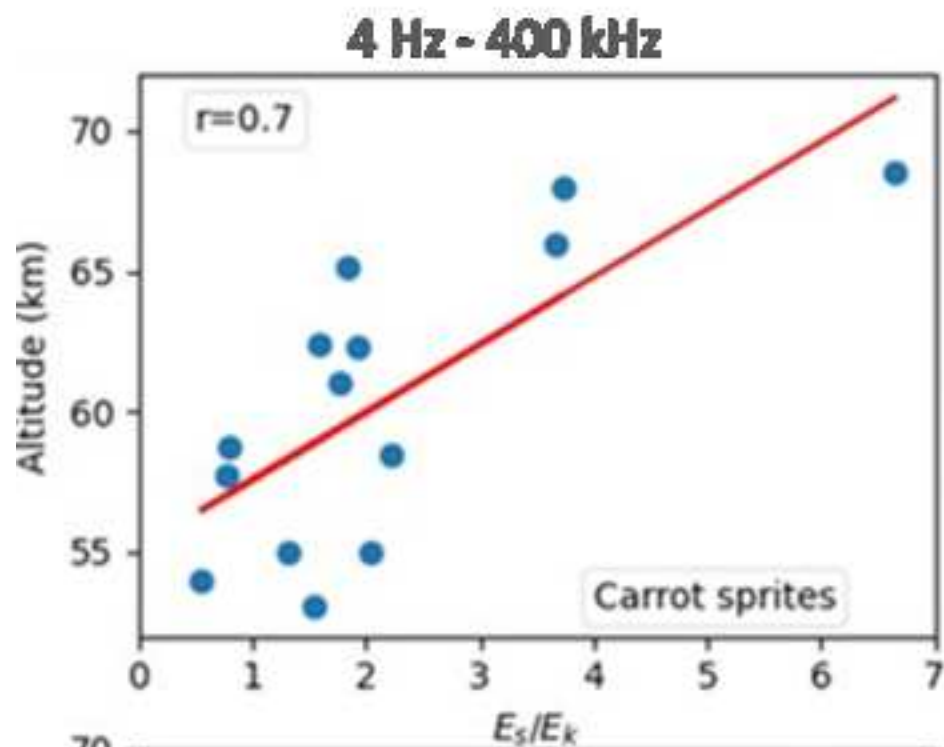


Figure 6





Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

1 **Estimates of energy fluxes associated with sprites in the mesosphere**

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10 **Abstract**

11 We present calibrated estimates of photon flux, lightning peak Poynting flux and Joule
12 heating associated with the brightest region of sprites observed in the mesosphere over South
13 Africa. The sprites' photon fluxes were estimated using 28 sprites events (observed during the
14 2019 sprites campaign) calibrated by stars in the sprite's image background. The lightning
15 driven background electric field associated with the brightest region of sprites were found to
16 vary from 0.1 to 6.7 E_k (local air breakdown field). The lightning return stroke at lower
17 frequencies (4 Hz - 2 kHz) has more influence in carrot sprites than in column sprites
18 formation processes. The lightning peak Poynting flux and Joule heating were estimated from
19 calibrated electromagnetic field measurements made in parallel with eight sprites events
20 (observed during the 2020 sprites campaign). The photon flux, peak Poynting flux, and peak
21 Joule heating associated with the brightest region of sprites were found to be 1.1×10^{-7} W/m²,
22 12.7 W/m², and 4.7×10^{-3} W/m² on average, respectively. The altitude/distance-normalised
23 lightning peak Poynting flux decreases with increasing atmospheric altitude. The photon flux
24 from column sprites decreased with increased altitude of the brightest region. Column sprites
25 have a shorter time delay (<30 ms) from their parent lightning strokes than carrot sprites (up
26 to 145 ms).

27

28 **Keywords:** Sprite's Joule heating, sprite's Poynting flux, sprite's photon flux, lightning
29 return stroke.

30

31

32

33 **1. Introduction**

34 The energy from the quasi-static electric field generated mainly by large positive cloud to
35 ground (CG) lightning discharges of Mesoscale Convective Systems (MCS) initiates
36 collisions between electrons, neutral atoms and molecules, resulting in photon emissions
37 forming the Transient Luminous Events (TLEs) called sprites in the middle atmosphere
38 (Franz et al., 1990; Liu et al., 2015; Pasko, 2010; Surkov and Hayakawa, 2020). The sprites
39 initiation process involves the downward and upward movement of streamers (Bór, 2013;
40 Luque and Ebert, 2009). Collisions between electrons, neutral atoms and molecules lead to
41 the emission of photons from transitions of excited states of neutral nitrogen (N_2) and ionised
42 nitrogen (N_2^+) predominantly at an altitude above and below 50 km, respectively (Sentman et
43 al., 1995; Heavner et al., 2010; Surkov and Hayakawa, 2012; Nnadih et al., 2021). The
44 emissions of N_2 and N_2^+ occur as a result of excitation of the 1P and $1NG$ states, respectively,
45 in the ~300-1000 nm visible band (Mende et al., 1995; Hampton et al., 1996; Heavner et al.,
46 2010). $N_2(^1P)$ has a band emission around 640 nm (~550-1070 nm wavelength range
47 (Shibusawa and Funatsu, 2019)), with a lifetime of about 100 ms. $N_2^+(1NG)$ has a band
48 emission around 427.8 nm (~300-460 nm wavelength range (Heavner et al., 2010)), with a
49 lifetime of about 5 ms (Armstrong et al., 1998; Suszcynsky et al., 1998; Heavner et al., 2010;
50 Nnadih et al., 2021). Quenching accounts for the short lifetime of the N_2^+ emission, whereas
51 Rayleigh scattering accounts for its low intensity (Heavner et al., 2010; Nnadih et al., 2021).
52 The emissions of $N_2(^1P)$ and $N_2^+(1NG)$ have lifetimes of about 6 μ s and 70 ns, and quenching
53 altitudes of about 53 km and 48 km, respectively (Heavner et al., 2010).

54

55 The altitude of the brightest region of sprites varies from 50 to 84.1 km (Füllekrug et al.,
56 2019; Luque et al., 2016; Mashao et al., 2021; Malagón- Romero et al., 2020; Sentman et
57 al., 1995; Stenbaek-Nielsen et al., 2010; Wescott et al., 1998; Wescott et al., 2001). The
58 lightning electric field accelerates the electrons, and the conversion of electrons to negative
59 ions intensifies the local electric field. The brightest region of the sprite is a region with
60 relatively low mesospheric conductivity, enhanced electric field and electrons are converted
61 to negative ions, which results in maximum photon production (Malagón- Romero et al.,
62 2020; Mashao et al., 2021).

63 Sprites result from Joule heating of the mesosphere associated with lightning electric field
64 (Füllekrug, 2006). Füllekrug et al. (2006) reported on stratospheric Joule heating determined

65 from the lightning continuing current measurement. They found that the Joule heating varied
66 from $1 \times 10^{-4} \text{ J/m}^3$ to $1 \times 10^{-8} \text{ J/m}^3$ for altitudes ranging from 25 to 45 km, respectively
67 (Füllekrug et al., 2006). Gordillo-Vázquez et al. (2018) investigated, by spectroscopic means,
68 the temperature of mesospheric regions between 65 km and 76 km and found no measurable
69 heating associated with sprites. We are not aware of any reported estimates in the literature of
70 lightning Joule heating and Poynting flux in the mesosphere in relation to sprites.

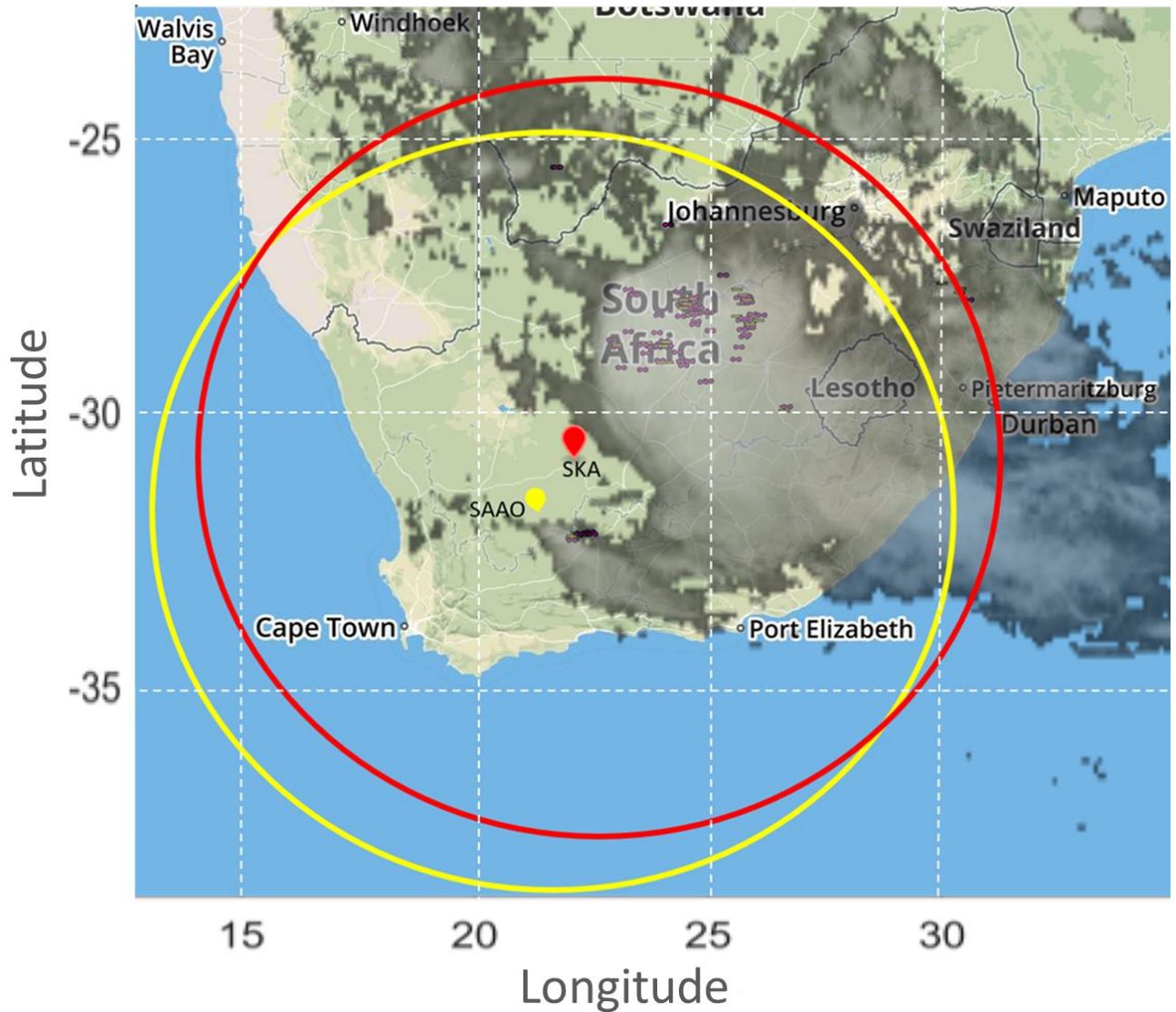
71 For non-delayed sprites to occur the lightning driven electric field must exceed the local air
72 breakdown field (120 Townsend (Td)) (Pasko et al. 2013; Surkov and Hayakawa, 2020).
73 However, the lightning driven electric field lower than the local air breakdown field can lead
74 to delayed sprites due to associative detachment (Luque and Gordillo- Vázquez, 2012).

75 We evaluate lightning initial apparent peak Poynting flux and Joule heating projected to the
76 altitude of the brightest regions of sprites in the mesosphere for the first time. We also
77 compare photon flux at the altitude of the brightest region of the optical observations column
78 and carrot sprites using a camera with a red (N_2) emission filter. The lightning-driven electric
79 field associated with the altitude of the brightest regions of sprites were determined and
80 compared with the published literature.

81 **2. Optical and radio recordings**

82 The reported sprites were recorded during the 2019 and 2020 sprites campaigns from the
83 Square Kilometre Array (SKA) (30.97° S, 21.98° E) and the South African Astronomical
84 Observatory (SAAO) (32.38° S, 20.81° E), Northern Cape, South Africa. The sprites were
85 observed on the following nights: 01 February 2019 from SKA and 24 January 2020 from
86 SKA and SAAO. Figure 1 shows the map of South Africa, the MCS associated with some of
87 the observed sprites, and the SKA and SAAO sites marked with red and yellow dots,
88 respectively. The +CG, -CG, and IC lightning discharges are marked with plus, negative, and
89 dash-like symbols within the thundercloud, respectively. Lightning electric field observations
90 were made in 2019 and 2020. Lightning magnetic field observations were made in 2020 only.
91 Eight sprites events with no calibrated stars present were recorded during the 2020 sprites
92 campaign and are used for estimating the lightning Poynting flux and Joule heating
93 associated with the altitude of the brightest regions of sprites only. The 2020 sprites
94 campaign data comprised of column, carrot, wishbone, jellyfish, tree, angel, and sprites with
95 halo. From the 2019 sprites campaign, we selected column and carrot sprites that occurred
96 individually or in a group with calibrated stars of known photon flux. We found 14 column

97 and 14 carrot sprites red emission optical data with calibrated stars and used them for
98 estimating the photon flux as well as Joule heating (Alekseeva et al., 1996; Bór, 2013).



99
100 Figure 1. Map of South Africa captured on 1 February 2019 at 22:41:00 UTC. The SKA/
101 SAAO sites are marked with red/yellow dots, and the MCS associated with some observed
102 sprites is shown in grey. The circles mark the 900 km radius, which is the maximum distance
103 our cameras can observe from SKA and SAAO, respectively (Earthnetworks.com, 2020).

104 2.1 Optical recording

105 Watec 910Hx and Allied Vision Pike night vision cameras, mounted on the same tripod, were
106 used to record the sprites from SKA/SAAO (Mashao et al., 2021; Nnadih et al., 2018, Nnadih
107 et al., 2021). The stars in our field of view (FOV) were utilised to co-align the cameras. The
108 cameras' viewing directions were North, East, and South of SKA and SAAO.

109 The Watec 910Hx cameras, with an 8.0 mm f/1.4 C-mount lens, operated with 0.45 gamma
110 factor and 8-bit intensity resolution. The Watec 910Hx cameras recorded 25 video frames per
111 second (fps) with a 40 ms frame period. A Global Positioning System (GPS) video timer
112 provided time with millisecond timing to the camera system. The Watec 910Hx cameras had
113 a 29°/46.2° FOV Vertical/Horizontal (V/H), 0.061°/0.072° V/H angular resolution per pixel,
114 and 640×480 (H×V) pixels.

115 The Allied Vision Pike camera, coupled to a Ceramic xx1332 Mullard image intensifier with
116 Xenon F0.95 50 mm C-mount TV lens, captured images at 25 fps and a 40 ms frame period,
117 640×480 video image size, 15°/21° FOV V/H, and 14-bit intensity resolution. The Ceramic
118 xx1332 Mullard image intensifier has a wavelength range of about 360 nm to 850 nm (Allied
119 Vision, 2022; Worthpoint, 2022). A red N₂ longpass cut off filter with a 640-650 nm cutoff
120 was used to record the N₂(¹P) emission of sprites. A Network Timing Protocol server
121 provided timing accuracy of about 1 ms for the Pike camera system at SKA.

122 **2.2 Electromagnetic recording**

123 The lightning electric (2019 and 2020 campaigns) and magnetic (2020 campaign only) fields
124 were recorded in parallel with sprites optical recordings at SKA. A wideband digital
125 ELF/VLF/LF radio receiver that detected the lightning vertical electric field strength
126 observed with about 4 Hz to 400 kHz frequency range, with a sampling frequency of 1 MHz,
127 and timing accuracy of 20 ns (Füllekrug, 2010; Füllekrug et al., 2019). The lightning
128 magnitude values were converted to Td by using the modelled N₂ densities associated with
129 the targeted sprites altitude. We then obtain the ratio between the local lightning electric field
130 (E_s) and local air breakdown field (E_k), see Tables 1 to 4. All N₂ densities are obtained from
131 the NRLMSISE profile (<https://ccmc.gsfc.nasa.gov/modelweb/models/nrlmsise00.php>). Two
132 orthogonal induction coils are used to record the horizontal magnetic field in the frequency
133 range of ~4 Hz to ~60 kHz with a sampling frequency of 500 kHz and a timing accuracy of
134 ~20 ns. Lightning magnetic field observations were conducted during the 2020 sprites
135 campaign only from SKA.

136 The Earth Network Total Lightning Network (ENTLN) and South African Lightning
137 Detection Network (SALDN) provided lightning data (time, position, peak current, type of
138 discharge, and polarity) related to the observed sprites. ENTLN and SALDN have position
139 accuracy of about 0.2 km and 0.5 km, respectively (Gijben, 2012; Bui et al., 2015; Zhu et al.,

140 2017). All CG lightning events associated with the sprites reported here have positive
141 polarities. The peak lightning current varied from 28 to 142 kA, see Tables 1 to 4.

142 **3. Data analyses**

143 **3.1 Sprite altitude estimation**

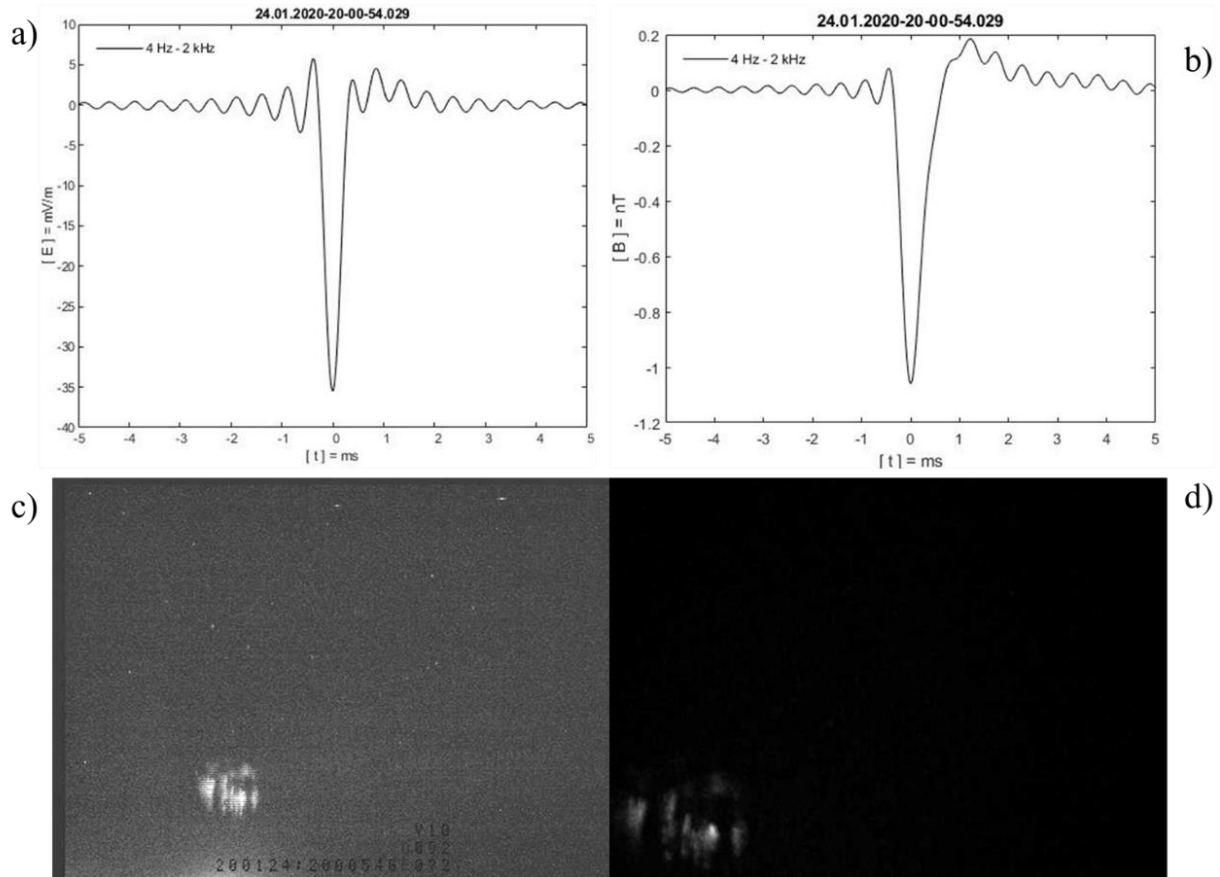
144 We estimate the lightning peak Joule heating and apparent Poynting flux, and average photon
145 flux associated with the sprites brightest regions using optical data as well as lightning
146 electric and magnetic field data. The right ascension and declination of stars on the sprites
147 image background and the geographic position of the camera were used to determine the
148 azimuth angle, elevation angle, and field of view of the cameras. The azimuth and elevation
149 angles of stars were computed and used to fit onto the real stars in the background image of
150 sprites. The altitude of the sprite's brightest region was obtained by employing spherical and
151 planar trigonometry in the horizontal and vertical planes, respectively (Mashao et al., 2021;
152 2022a; 2022b), assuming that the sprites events occurred directly above their parent lightning
153 locations. The latter assumption is commonly used in sprites optical research (Füllekrug et
154 al., 2019; Li et al., 2008; Luque et al., 2016; Mashao et al., 2021; 2022a; 2022b; McHarg et
155 al., 2007; Stenbaek-Nielsen and McHarg, 2008). The uncertainty in altitude of sprites
156 computed from the angular resolution of the camera spanned from ± 0.33 to 0.47 km. The
157 uncertainty in the altitude of sprites varies with slant distance from the location of camera to
158 the sprites.

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174 3.2 Poynting flux estimation



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176 Figure 2. The lightning electric (a) and magnetic (b) waveforms associated with the sprite
 177 event observed on 24 January 2020 at 20:00:54.072 UTC from SKA recorded by
 178 monochrome camera without a filter (c) and with a red N₂ filter (d). The correct sprite event
 179 time stamp is displayed on the image with no filter.

180 Figure 2 shows the lightning electric (a) and magnetic (b) field waveforms associated with
 181 the sprites event recorded simultaneously by a monochrome camera without a filter (c) and
 182 with the red N₂ filter (d) from SKA. We used the simultaneous lightning peak electric and
 183 magnetic field strengths associated with the optical sprites to determine the maximum
 184 apparent Poynting flux associated with the eight sprites analysed in the frequency range of 4
 185 Hz to 2 kHz. The Poynting flux (**S**) is given by (Farrell et al., 2006):

$$186 \quad \mathbf{S} = \frac{(\mathbf{E} \times \mathbf{B})}{\mu} \quad (1)$$

187 where (\mathbf{E}) is the lightning electric field, (\mathbf{B}) is the lightning magnetic field, and (μ) is the
188 permeability of the medium. The free space wave impedance is modified near a conductor, in
189 this case the Earth, which is studied in the field of magnetotellurics in detail (Bór et al. 2022).
190 Here we assume a vacuum. To compare the lightning electric and magnetic field strengths,
191 we filter the data to obtain the lightning return stroke at lower frequencies information (4 Hz -
192 2 kHz), see Figure2 (a) and (b) (Constable, 2016; Cummer et al., 2013; Fraser-Smith and
193 Bowen, 1992; Füllekrug, 2010). ELF/VLF/LF lightning radio receivers with lower minimum
194 frequency (<4 Hz) are required to measure lightning continuing current. These data are not
195 available to us. Lightning continuing current plays an essential role in initiating delayed
196 sprites, sustaining the quasi-static electric field in the mesosphere and the brightness of
197 sprites longer than the local relaxation time (Gomez Kui et al., 2021; Kitagawa et al., 1962;
198 Ren et al., 2021; Tomicic et al., 2021).

199 Due to a technical problem, we only recorded the dominant horizontal component of the
200 magnetic field aligned in the North-South geographic direction. Since the lightning occurred
201 between 209.5 and 562.3 km away from the receiver, we used Equation (2) to estimate the
202 total horizontal magnetic field (\mathbf{B}_T):

$$203 \quad \mathbf{B}_T = \mathbf{B} / \sin(AZ) \quad (2)$$

204 where AZ is the azimuth angle between the lightning position and the North-South coil
205 orientation.

206 The radiation field terms decrease as $1/d$, $1/d^2$, and $1/d^3$, depending on the horizontal distance
207 between the lightning event and the receiver, where d is the distance from the lightning
208 location to the receiver location (Cooray and Lobato, 2020). At short distances, radiation field
209 terms decrease as $1/d$, and $1/d^2$.

210 From the recorded data, we estimate the lightning electric and magnetic fields associated with
211 the altitude of the brightest regions of sprites. The lightning electric and magnetic fields
212 associated with the altitude of the brightest regions of sprites were normalised as follows: We
213 project horizontally the lightning electric and magnetic radiated fields as $1/d^2$, to 1 km away
214 from the lightning location (Cooray and Lobato, 2020; Taylor and Jean, 1959). This was done
215 by multiplying the observed lightning electric and total magnetic field values by the distance
216 squared in km. For our geometry, the parent lightning source of the fields is assumed to be a
217 finite line current. It is known that the lightning quasi-static electric field strength, which may

218 initiate sprites, lessens with increasing altitude as $\frac{1}{a^3}$, where a is atmospheric altitude (Pasko
 219 et al., 2013). We assumed that the CG lightning charges, which initiated the sprites events,
 220 were removed at 10 km altitude (Asano et al., 2008; Pasko et al., 2013), and we then
 221 determined lightning electric (E_s) and magnetic field strength associated with the sprite's
 222 brightest region altitude as $\left(\frac{10}{a}\right)^3$, where a is the calculated altitude (km) of the sprite's
 223 brightest region (see example in the Appendix). Finally, we obtain the distance/altitude-
 224 normalised peak apparent Poynting flux associated with the sprite's brightest region altitude
 225 using Equations 1 and 2. The lightning peak Poynting flux associated with the altitude of the
 226 brightest regions of sprites estimates are presented in Table 1 (see Appendix). An example
 227 calculation of the lightning peak Poynting flux associated with the altitude of the brightest
 228 regions of sprites is presented in the Appendix.

229 **3.3 Joule heating estimation**

230 To determine the lightning Joule heating associated with the sprite's brightest region altitude,
 231 we used the lightning electric field strength (\mathbf{E}) and the atmospheric conductivity (σ) adopted
 232 from Liu et al. (2015), integrated over the observed vertical extent of the brightest region of
 233 the sprites. The vertical extent of the brightest region of sprites spanned from 1.2 to 2.4 km.
 234 Joule heating (\mathbf{J}_h) is given by (e.g. Kosch and Nielsen, 1995; Foster et al., 1998):

$$235 \quad \mathbf{J}_h = \sigma \mathbf{E}^2 \quad (3)$$

236 The same altitude/distance normalised lightning electric field data used to estimate the peak
 237 Poynting flux was used to determine the peak Joule heating (first-order approximation). The
 238 atmospheric conductivity corresponding to the vertical extent of the brightest region altitude
 239 of sprites was used to calculate the lightning peak Joule heating associated with the altitude
 240 of the brightest regions of sprites using Equation (3). Sprite streamer formation involves
 241 ionisation of the neutral atmosphere, which will modify the local conductivity and electric
 242 field within the sprites. Hence, we can only estimate the initial peak Joule heating before
 243 streamer ionisation takes over. The atmospheric conductivity was obtained from rocket
 244 measurements, which have been used in other TLE studies (Holzworth et al., 1985; Liu, 2012;
 245 Liu et al., 2015). For the 2019 sprites campaign, the height-integrated atmospheric
 246 conductivity varied from 1.2×10^{-6} to 2.1×10^{-4} S, for altitudes ranging from 61.5 to 75.3 km.
 247 For the 2020 sprites campaign, the height-integrated atmospheric conductivity corresponding
 248 to the column and carrot sprites' brightest region altitudes varied from 1.1×10^{-7} to 1.1×10^{-5} S,

249 for altitudes ranging from 53.1 km and 69 km. The values of conductivities used here are
250 lower limits.

251

252 Dowden et al. (2001) used measurements of VLF scattering in millisecond time scale,
253 through horizontal angles of up to 180° to demonstrate that the sprites plasma has high
254 conductivity. They set an approximate lower bound for conductivity of sprites plasma to
255 3×10^{-7} S/cm. Liu et al. (2009b) sprite simulations were extrapolated by Luque and Ebert
256 (2010) which showed conductivity of about 8×10^{-8} and 5×10^{-8} S/cm, respectively. The sprite
257 conductivity increases in time with respect to the background and the re-enhancement of the
258 electric field in the sprites' streamer wake results in further increase in conductivity (Gordillo-
259 Vázquez and Luque, 2010).

260 The lightning initial peak Joule heating is due to the lightning discharge within the
261 bandwidth of the measured electric field (4 Hz – 2 kHz), corresponding to the lightning return
262 stroke, and atmospheric conductance, corresponding to the vertical extent of the brightest
263 region altitude of sprites. The results of lightning peak Joule heating associated with the
264 altitude of the brightest regions of sprites estimate are shown in Tables 2, 3, and 4 (see
265 Appendix). An example calculation of the lightning peak Joule heating associated with the
266 altitude of the brightest regions of sprites is presented in the Appendix.

267 **3.4 Photon Flux**

268 To determine the sprite's photon flux out of the brightest region, we acquired sprites optical
269 data observed with red N_2 emission filter simultaneously with calibrated stars of known
270 photon flux (in W/m^2), as described in detail by Nnadih et al. (2021). The flux values of
271 selected stars can be found in the Pulkovo Spectrophotometry Bright Stars Catalogue
272 (Alekseeva et al., 1996). Only the sprites recorded during the 2019 sprites campaign from
273 SKA had images containing calibrated stars. Figure 3 shows an example of a recorded sprite
274 with calibrated star.



275
 276 Figure 3. Images recorded with no filter (left) and red N₂ (right) filter on 01 February 2019 at
 277 19:08:24.202 UTC from SKA. The images contain the same sprites event. The red circle in
 278 the image on the right denotes the calibrated star. The correct sprite event time stamp is
 279 displayed on the image with no filter.

280 We can estimate photon flux since we recorded the sprites events and the stars
 281 simultaneously with fixed camera gain setting and similar atmospheric losses over the same
 282 night. We averaged the sprites video clip frames over one second in order to determine the
 283 average intensity pixel value of the calibrated star (Str_{avg}). The sky background (Sky_{bkg})
 284 value was obtained by using a 7×7 median filter to remove the stars on the video frame
 285 prior to the frame which contained the sprites event. To determine the sprite's average
 286 brightness ($Sprs_{avg}$), we average the image pixel values over the sprite's brightest region
 287 altitude range. The average intensity pixel value (Str_{avg}) and known photon flux value of the
 288 calibrated star (Str_{flux} , integrated over the filter's longpass), sky background (Sky_{bkg}), and
 289 the average image intensity pixel value over the sprite's brightest region altitude range
 290 ($Sprs_{avg}$) were used to obtain the photon flux at the sprite's brightest region ($Sprs_{flux}$), by
 291 means of Equation (4) (Nnadih et al., 2021).

$$292 \quad Sprs_{flux} = \frac{Str_{flux}(Sprs_{avg} - Sky_{bkg})}{Str_{avg} - Sky_{bkg}} \text{ (W/m}^2\text{)} \quad (4)$$

293 An example of the photon flux calculation at the sprite's brightest region is presented in the
 294 Appendix. The estimated column and carrot sprites' photon flux are shown in Tables 3 and 4
 295 in the Appendix.

296

4. Results and discussion

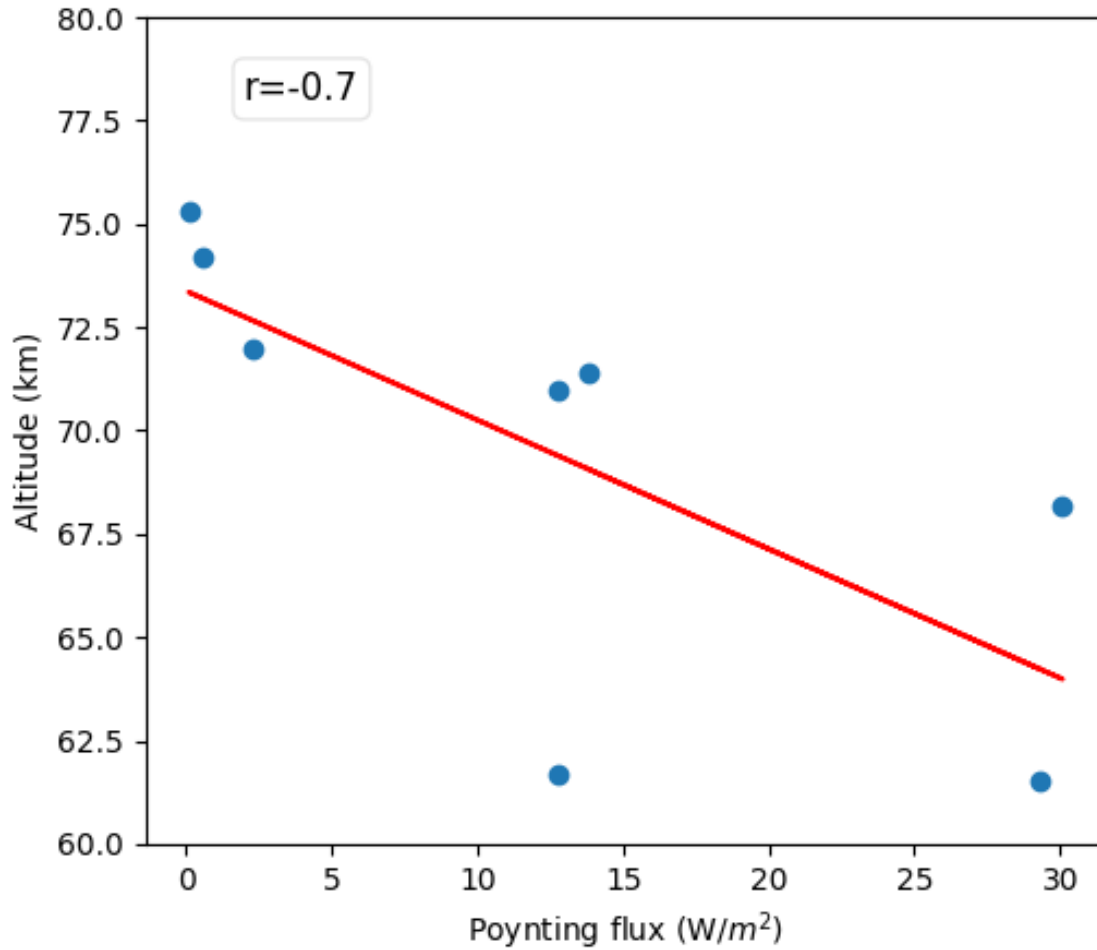
297
298 The radiated electric fields of lightning discharges associated with 8 sprites events for the
299 2020 campaign (Table 1) at the ELF/VLF/LF receiver, projected to 1 km from the lightning
300 position at ground level, and projected to the sprites' brightest region altitude varied from
301 2.6×10^{-3} to 5.7×10^{-1} V/m, 454.1 to 25059.6 V/m, and 1.1 to 68.9 V/m, respectively. The
302 radiated magnetic field of lightning discharges associated with 8 sprites events for the 2020
303 campaign (Table 1) at the receiver, projected to 1 km from the lightning position at ground
304 level, and projected to the sprites' brightest region altitudes varied from 2.95×10^{-10} to
305 1.09×10^{-9} T, 5.26×10^{-5} to 6.33×10^{-4} T, and 1.23×10^{-7} to 2×10^{-6} T, respectively. The radiated
306 electromagnetic fields values are associated with the lightning return stroke at lower
307 frequencies (4 Hz - 2 kHz). The radiated lightning electric and magnetic fields uncertainties
308 were about ± 0.05 V/m and $\pm 2.5 \times 10^{-8}$ T, respectively, at the sprites' brightest region
309 altitudes. Uncertainties associated with the use of first-order distance projection estimates are
310 not included. The estimated altitude of the brightest region of 8 sprites events ranged from
311 61.5 to 75.3 km. The altitude of the brightest region of sprites is within that previously
312 reported (i.e. 50 - 84.1 km) (Füllekrug et al., 2019; Luque et al., 2016; Mashao et al., 2021;
313 Malagón- Romero et al., 2020; Sentman et al., 1995; Stenbaek-Nielsen et al., 2010; Wescott
314 et al., 1998).

315
316 The N_2 density at the altitude of the brightest region of sprites (61.5—75.3 km) varied from
317 6.85×10^{14} to 4.39×10^{15} cm^{-3} , see Tables 1 and 2. All N_2 densities are obtained from the
318 NRLMSISE profile (<https://ccmc.gsfc.nasa.gov/modelweb/models/nrlmsise00.php>). The
319 altitude/distance normalised lightning driven peak electric field (E_s) at the altitude of the
320 brightest region of sprites spanned from 0.1 to 0.5 E_k , associated with the lightning electric
321 field in the frequency range 4 Hz to 400 kHz. The frequency range of 4 Hz to 400 kHz
322 provides the lightning electric field information that the ELF/VLF/LF radio receiver can
323 measure. These E_k values fall into the range reported in the literature (Gamerota et al., 2011;
324 Hu et al., 2007; Kanmae et al., 2012; Li and Cummer, 2012). The E_s at the altitude of the
325 brightest region of sprites associate with the lightning return stroke at lower frequencies (4
326 Hz - 2 kHz) varied from 0.01 to 0.4 E_k . The reduction of the lightning driven electric field is
327 due to the filtering of the lightning electric fields data to obtain the lightning return stroke
328 fields at lower frequencies. Gamerota et al. (2011) model demonstrated that a lightning
329 electric field of 0.08 E_k is not sufficient to produce sprites. However, Gamerota et al. (2011)

330 model doesn't consider the intracloud lightning electric field which enhances the electric field
331 energy at sprites altitudes (Füllekrug et al., 2019). The electric field energy might make it
332 possible for lightning discharges with $0.08 E_k$ or less to initiate sprites. The lower E_k may be
333 due to lower temporal resolution of the observations (Pasko et al., 2013). We note that some
334 of our analysed E_s values are greater than the previous reports (Gamerota et al., 2011; Hu et
335 al., 2007; Li and Cummer, 2012). However, the E_s values are within the same order (3-5
336 times the E_k) obtained by Kanmae et al. (2012) for sprites streamers peak electric field. Our
337 peak electric field values are the background estimated electric field associated with the
338 altitude of the brightest region of sprites. During sprites formation processes, conversion of
339 electrons to negative ions intensifies the electric field (Malagón- Romero et al., 2020;
340 Mashao et al., 2021). The electric field value of about $8 E_k$ has been associated with gigantic
341 jet discharge (Kuo et al., 2009; Pasko et al., 2013). None of our events are gigantic jets, as
342 confirmed by the cameras.

343

344 For the same 8 sprites, the lightning peak apparent Poynting flux (see Table 1) associated
345 with the sprite's brightest region, using distance/altitude normalised data, was found to span
346 from 0.6 to 30.1 W/m^2 (0.08 W/m^2), with an average of 12.7 W/m^2 . The value in parentheses
347 is the uncertainty. Figure 4 shows the peak Poynting flux associated with the brightest region
348 of sprites increased with decreasing atmospheric altitude. The linear correlation coefficient
349 between these variables is -0.7 . This outcome is expected as both the electric and magnetic
350 fields decrease as $\frac{1}{a^3}$ with increasing altitude.



351

352 Figure 4. The relationship between the distance/altitude normalised lightning peak apparent
 353 Poynting flux associated with the brightest region of sprites and atmospheric altitude. r shows
 354 the linear correlation coefficient.

355

356 For the same 8 sprites, for the estimates of lightning initial peak Joule heating (see Table 2)
 357 associated with the sprites' brightest region, we used the atmospheric conductivity values
 358 from Liu et al. (2015), integrated over the vertical extent of the brightest region of the sprites.
 359 The vertical extent of the brightest region of sprites varied from 1.2 to 2.4 km. The
 360 altitude/distance normalised initial peak Joule heating ranged from 2.6×10^{-5} to 1.4×10^{-2}
 361 W/m^2 ($\pm 2.4 \times 10^{-6} W/m^2$) over the same altitude range (61.5 – 75.3 km). The value in
 362 parentheses is the uncertainty. The average peak Joule heating was found to be 4.7×10^{-3}
 363 W/m^2 . The initial peak Joule heating associated with sprite's brightest region altitude
 364 decreases with an increase in atmospheric altitude, with a linear correlation coefficient of -0.4
 365 (not shown). This outcome is expected as the electric field decreases as $\frac{1}{a^3}$ with increasing

366 altitude. A linear correlation coefficient of -0.04 was found for the E_s/E_k and altitude of
367 brightest region of sprites (not shown).

368

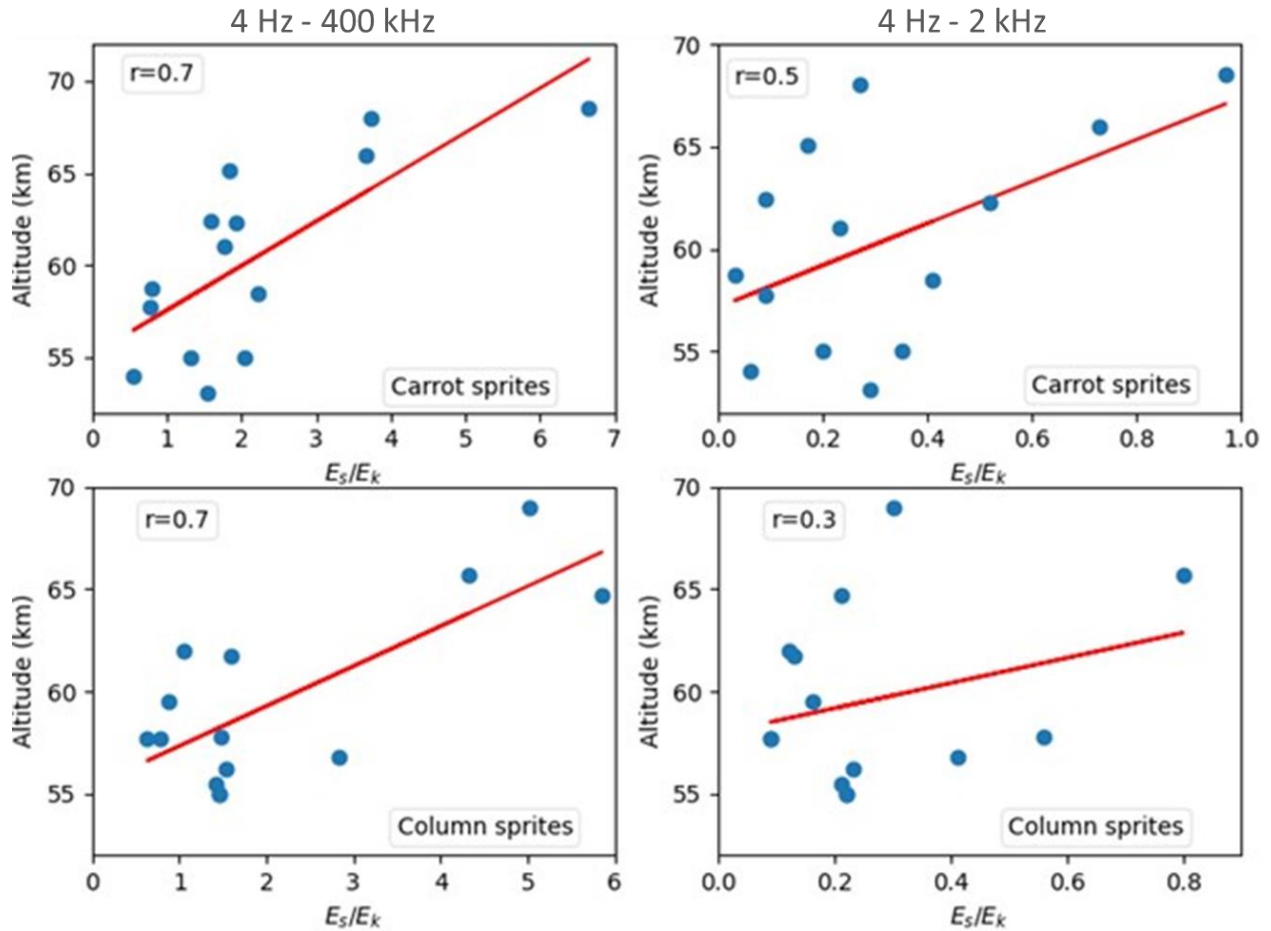
369 From the 2019 campaign (see Tables 3 and 4), the N₂ red photon flux from the sprites (14
370 column and 14 carrot sprites) brightest region spanned from 2.5×10^{-8} to 2.7×10^{-7} W/m²
371 ($\pm 2.5 \times 10^{-9}$ W/m²) with an average value of about 1.1×10^{-7} W/m², for altitudes between 53 to
372 69 km. The value in parentheses is the uncertainty. There is no significant linear correlation
373 between the peak photon flux of sprites and atmospheric altitude, with a correlation
374 coefficient of -0.2 (not shown).

375

376 The apparent radiated lightning peak electric field at the column and carrot sprites' brightest
377 region altitudes ranged from 59.9 to 479.6 V/m and 22.3 to 438 V/m, respectively, for
378 altitudes between 53.1 and 69 km. The average apparent radiated lightning electric field
379 within the column and carrot sprites were 193.3 and 190.4 V/m, respectively. The sprites
380 associated with radiated lightning electric field lower than the breakdown electric field (120
381 Td) may be generated due to associative detachment (Luque and Gordillo- Vázquez, 2012).
382 The radiated electric field values are associated with the lightning return stroke at lower
383 frequencies (4 Hz - 2 kHz). For the lightning electric fields in the frequency range 4 Hz to
384 400 kHz, the apparent radiated lightning peak electric field at the column and carrot sprites'
385 brightest region altitudes varied from 537.4 to 2727.9 V/m and 596.9 to 2477.9 V/m,
386 respectively, for altitudes between 53.1 and 69 km. These values are consistent with the
387 expected quasi-electrostatic field produced by lightning in the mesosphere (Liu et al., 2009a).
388

389 The N₂ number density at the altitude of the brightest region of column and carrot sprites
390 (53.1—69 km) vary from 1.67×10^{15} to 1.27×10^{16} cm⁻³. For column sprites, the E_s at the
391 altitude of the brightest region ranged from 0.6 to 5.8 E_k (4 Hz to 400 kHz) and 0.1 to 0.8 E_k
392 (4 Hz - 2 kHz), respectively. For carrot sprites, the E_s at the altitude of the brightest region
393 varied from 0.6 to 6.6 E_k (4 Hz to 400 kHz) and 0.03 to 1 E_k (4 Hz - 2 kHz), respectively.
394 This is in good agreement with Gamerota et al. (2011), Hu et al. (2007), Kanmae et al.
395 (2012), Li and Cummer (2012), and Qin et al. (2013).

396



397

398 Figure 5. The relationship between the altitude/distance normalised lightning peak electric
 399 field over local air breakdown field (E_s/E_k) at the brightest region of column and carrot sprites
 400 versus atmospheric altitude. The lightning peak electric fields for the panels on the left panels
 401 were obtained in the frequency ranging from 4 Hz to 400 kHz, whereas the panels on the
 402 right were obtained in the frequency ranging from 4 Hz - 2 kHz.

403

404 Figure 5 shows the relationship between E_s/E_k at the brightest region of column and carrot
 405 sprites versus atmospheric altitude. A good linear correlation coefficient of 0.7 and 0.7 were
 406 found between E_s/E_k (4 Hz to 400 kHz) associated with column and carrot sprites' brightest
 407 region versus the brightest region altitude, respectively, see Figure 5 left panels. A good
 408 linear correlation coefficient of 0.5 was found between E_s/E_k (4 Hz - 2 kHz) associated with
 409 carrot sprites' brightest region versus the brightest region altitude, whereas a weak linear
 410 correlation coefficient of 0.3 was found for column sprites, see Figure 5 right panels. The
 411 weak correlation coefficient shows that the lightning return stroke at lower frequencies (4 Hz
 412 - 2 kHz) has less influence on the column sprites than on the carrot sprites formation
 413 processes.

414

415 From the 2020 data, the sprites events which occurred at 19:55:41.681 and 21:41:29.422
416 UTC had halos with diameters of about 65 and 61 km, respectively, see Tables 1 and 2. The
417 E_s at the altitude of the brightest region of these events were 0.2 and 0.5 E_k (4 Hz to 400
418 kHz), and 0.1 and 0.4 E_k (4 Hz - 2 kHz), respectively. From the 2019 data, the sprites event
419 which occurred at 19:41:09.728 UTC had a halo with diameter of about 57 km, see Table 3.
420 The E_s at the altitude of the brightest region of this event were 1.5 E_k (4 Hz to 400 kHz) and
421 0.6 E_k (4 Hz - 2 kHz), respectively. An electric field value of greater than about 0.5 E_k has
422 been associated with sprites halo discharge (Qin et al., 2013; Pasko et al., 2013). Note that
423 halos were not seen by the camera with the red N_2 filter.

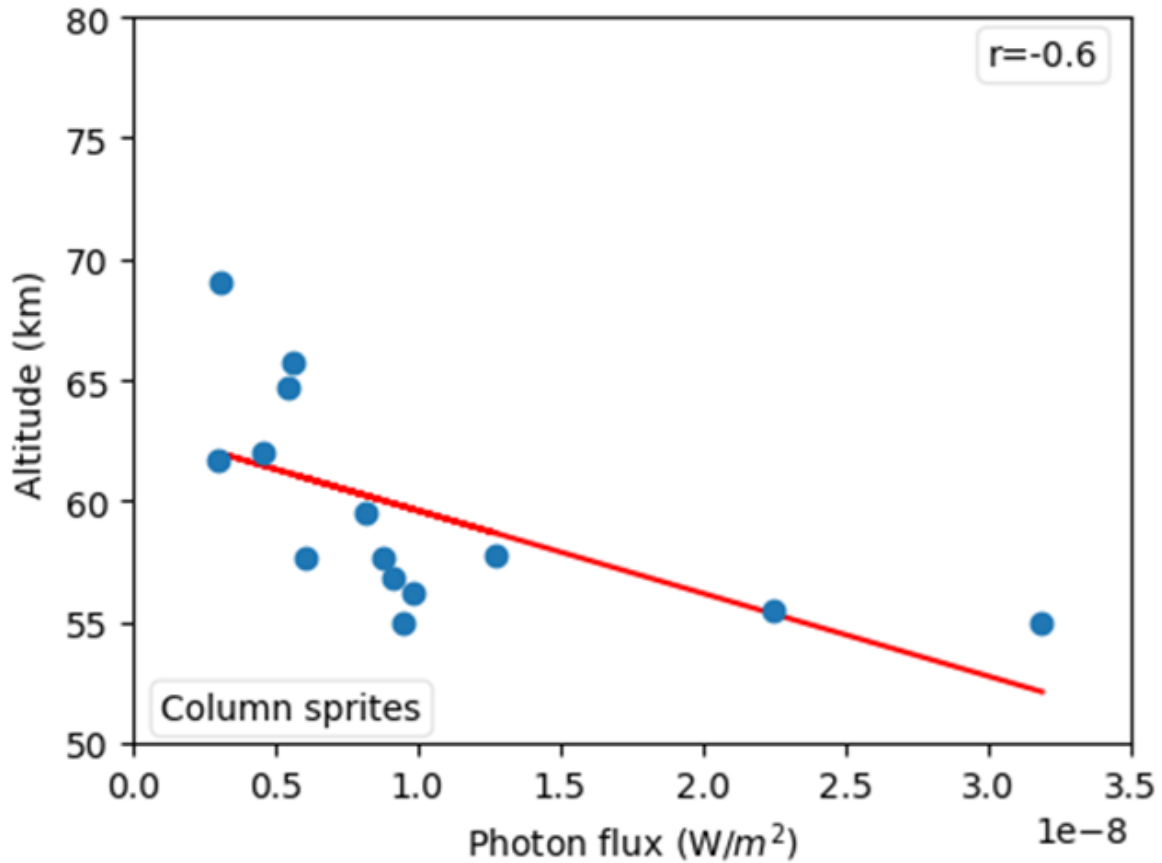
424

425 The photon flux and lightning initial peak Joule heating associated with the brightest region
426 altitude of 14 column sprites were found to vary from 2.5×10^{-8} to 2.6×10^{-7} W/m^2 ($\pm 2.4 \times 10^{-9}$
427 W/m^2) and 7.2×10^{-4} to 6.7×10^{-1} W/m^2 ($\pm 6.2 \times 10^{-7}$ W/m^2), with an average value of
428 approximately 8.4×10^{-8} W/m^2 and 7.5×10^{-2} W/m^2 , respectively, for altitudes from 55 to 69
429 km. The photon flux and lightning peak Joule heating associated with 14 carrot sprites at the
430 brightest region altitude ranged from 4.8×10^{-8} to 2.2×10^{-7} W/m^2 ($\pm 2.6 \times 10^{-9}$ W/m^2) and
431 5.3×10^{-5} to 6.8×10^{-1} W/m^2 ($\pm 4.3 \times 10^{-9}$ W/m^2) with an average value of approximately
432 1.3×10^{-7} W/m^2 and 9.4×10^{-2} W/m^2 , respectively, for altitudes between 53 to 68.5 km. The
433 value in parentheses is the uncertainty. The vertical extent of the brightest region for column
434 and carrot sprites ranged from 0.6 to 2.2 km and 0.6 to 1.4 km, respectively.

435

436 To within the timing uncertainty of the video frame (± 20 ms), we found that column and
437 carrot sprites have a time delay that varied from about 18 to 27 ms and 30 to 145 ms from
438 their parent lightning strokes, respectively. The time delay between the parent lightning
439 strokes and column (< 30 ms) and carrot sprites (up to 145 ms) agrees with van der Velde et
440 al. (2006). The sprite delay depends on the charge moment change in milliseconds (Cummer
441 and Stanley, 1999).

442



443

444 Figure 6. The relationship between the column sprite's photon flux versus sprite's brightest
 445 region altitude, respectively. r shows the linear correlation coefficient.

446

447 Figure 6 shows the column sprites' photon flux versus the sprites' brightest region altitude. r
 448 denotes the linear correlation coefficient. The photon flux out of column sprites' brightest
 449 region decreased with an increase in sprites' brightest altitude, with a linear correlation
 450 coefficient of -0.6. The photon flux from carrot sprites' brightest region versus the brightest
 451 region altitude showed a weak positive correlation of 0.2 (not shown). A weak-moderate
 452 linear correlation coefficient of 0.4 and 0.3 was found between the altitude/distance
 453 normalised lightning initial peak Joule heating associated with column and carrot sprites'
 454 brightest region versus the brightest region altitude, respectively (not shown).

455

456 5. Conclusions

457 We have estimated the lightning peak apparent Poynting flux and peak initial Joule heating
 458 associated with the altitude of the brightest regions of sprites only. We investigated the
 459 lightning electric fields in frequencies ranging from 4 Hz to 400 kHz and lightning return
 460 stroke at lower frequencies (4 Hz - 2 kHz). The E_s/E_k values (0.1 to 6.6) are comparable with

461 the sprites values from the published literature (Gamerota et al.,2011; Hu et al., 2007;
462 Kanmae et al., 2012; Li and Cummer, 2012; Qin et al, 2013). The lightning discharges have
463 an influence on carrot and column sprites formation processes. The lightning return stroke at
464 lower frequencies (4 Hz - 2 kHz) has more influence on carrot sprites than on column sprites
465 brightest region altitude.

466

467 From 2019, the averaged photon flux and lightning initial peak Joule heating associated with
468 the brightest region of column sprites were found to be approximately $8.4 \times 10^{-8} \text{ W/m}^2$ and 7.5
469 $\times 10^{-2} \text{ W/m}^2$, respectively, for altitudes from 55 to 69 km. The averaged photon flux and
470 lightning initial peak Joule heating associated with the brightest region of carrot sprites were
471 found to be approximately $1.3 \times 10^{-7} \text{ W/m}^2$ and $9.4 \times 10^{-2} \text{ W/m}^2$, respectively, for altitudes
472 between 53 to 68.5 km. The column sprites' brightest region altitude had a linear correlation
473 coefficient of -0.6 against the photon flux of the brightest region.

474

475 From 2020, the investigation of the altitude/distance normalised lightning peak apparent
476 Poynting flux and initial peak Joule heating associated with the brightest region of sprites
477 yield averaged energy fluxes of 12.7 W/m^2 and $4.7 \times 10^{-3} \text{ W/m}^2$, respectively. A linear
478 correlation coefficient of -0.7 was found between the peak apparent Poynting flux associated
479 with the brightest region of sprites and atmospheric altitude, whereas a correlation coefficient
480 of -0.4 was obtained between the initial peak Joule heating associated with the sprite's
481 brightest region and atmospheric altitude. Our study shows for the first time that the apparent
482 Poynting flux emanating from the sprite dominates over the Joule heating and photon flux by
483 several orders of magnitude.

484

485 Our photon flux values are less than Armstrong et al. (1998) by up to 2 orders of magnitude.
486 However, Armstrong et al. (1998) photon fluxes are also less than our Poynting flux and
487 Joule heating of sprites and so consistent with our conclusion. Factors such as local clouds,
488 viewing direction, spatial resolution, atmospheric scattering, light pollution, camera type and
489 setting, distance to sprite, technical approach of measurements and humidity affect camera
490 sensitivity, therefore sprites visibility (Mashao et al., 2022a; Mlynarczyk et al., 2015; Pasko
491 et al., 2013).

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498

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Appendix

Table 1: Summary of estimations of lightning peak apparent Poynting flux at the brightest region altitude of sprites observed from SKA and SAAO sites on 24 January 2020. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest region altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Sprite's brightest region altitude (km)	Azimuth angle from the magnetic coil to the lightning stroke (°)	Adjusted Magnetic field at receiver (T)	Magnetic field at 1 km from lightning location (T)	Electric field at 1 km from lightning location (V/m)	N ₂ density at sprite's brightest region altitude (cm ⁻³)	Electric field at sprite's brightest region altitude (E_s) (V/m)	Magnetic field at sprite's brightest region altitude (T)	Lightning peak apparent Poynting flux at sprite's brightest region altitude (W/m ²)	E_s/E_k 4 Hz to 400 kHz	E_s/E_k 4 Hz - 2 kHz
19:55:41.682 (SKA)	142	389.9	61.5	99.1	1.8E-09	2.8E-04	7.1E+03	4.4E+15	3.1E+01	1.2E-06	29.3	0.2	0.1
20:00:54.029 (SKA)	101	390.1	61.7	101.1	1.1E-09	1.6E-04	5.4E+03	4.4E+15	2.3E+01	7.0E-07	12.8	0.2	0.04
20:03:13.971 (SKA)	74	431.9	74.2	93.8	5.6E-10	1.0E-04	1.1E+03	8.1E+14	2.8E+00	2.6E-07	0.6	0.1	0.03
20:03:14.307 (SKA)	62	417.9	75.3	101.7	3.0E-10	5.3E-05	4.5E+02	6.9E+14	1.1E+00	1.2E-07	0.1	0.1	0.01
20:07:29.608 (SKA)	92	403.0	72.0	98.9	8.2E-10	1.3E-04	3.0E+03	1.1E+15	8.1E+00	3.6E-07	2.3	0.3	0.1
20:07:29.248 (SKA)	127	449.6	71.0	108.6	1.2E-09	2.3E-04	8.9E+03	1.3E+15	2.5E+01	6.5E-07	12.8	0.5	0.2
20:23:43.573 (SAAO)	66	562.3	68.2	30.9	2.0E-09	6.3E-04	6.0E+03	1.9E+15	1.9E+01	2.0E-06	30.1	0.3	0.1
21:41:29.422 (SKA)	133	209.5	71.4	159.1	2.1E-09	9.2E-05	2.5E+04	1.3E+15	6.9E+01	2.5E-07	13.8	0.5	0.4

Table 2: Summary of estimations of lightning peak initial Joule heating associated with the brightest region altitude of sprites observed from SKA and SAAO sites on 24 January 2020. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest region altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Electric field at 1 km from lightning location (V/m)	Sprite's brightest region altitude (km)	Atmospheric conductivity (S/m)	Sprites brightest region vertical extent (km)	Electric field at sprite's brightest region altitude (E_s) (V/m)	Lightning peak initial Joule heating at the sprite's brightest region altitude (W/m^2)
19:55:41.682 (SKA)	142	389.9	7.1E+03	61.5	8.0E-09	1.3	3.1E+01	9.6E-03
20:00:54.029 (SKA)	101	390.1	5.4E+03	61.7	8.0E-09	1.3	2.3E+01	5.6E-03
20:03:13.971 (SKA)	74	431.9	1.1E+03	74.2	1.0E-08	1.5	2.8E+00	1.1E-04
20:03:14.307 (SKA)	62	417.9	4.5E+02	75.3	1.0E-08	2.3	1.1E+00	2.6E-05
20:07:29.608 (SKA)	92	403.0	3.0E+03	72.0	9.8E-08	2.2	8.1E+00	1.4E-02
20:07:29.248 (SKA)	127	449.6	8.9E+03	71.0	1.0E-09	2.4	2.5E+01	1.5E-03
20:23:43.573 (SAAO)	66	562.3	6.0E+03	68.2	3.0E-09	1.4	1.9E+01	1.5E-03
21:41:29.422 (SKA)	133	209.5	2.5E+04	71.4	1.0E-09	1.2	6.9E+01	5.8E-03

Table 3: Summary of estimations of photon flux and lightning peak initial Joule heating at the brightest region of column sprites observed from the SKA site on 01 February 2019. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Sprites photon fluxes (W/m^2)	Sprite's brightest region altitude (km)	Sprite's brightest region vertical extent (km)	N_2 density at sprite's brightest region altitude (cm^{-3})	Electric field at sprite's bright region altitude (ELF/VLF/LF radio receiver) (E_s) (V/m)	Lightning peak initial Joule heating at the sprite's brightest region altitude (W/m^2)	E_s/E_k 4 Hz to 400 kHz	E_s/E_k 4 Hz - 2 kHz
19:05:21.584	50	357.3	2.7E-07	55.0	1.1	1E+16	263.5	1.6E-02	1.4	0.2
19:05:21.584	50	357.3	1.9E-07	55.5	1.1	1E+16	256.4	1.5E-02	1.4	0.2
19:05:21.584	50	357.3	8.0E-08	55.0	1.1	1E+16	263.5	1.6E-02	1.4	0.2
19:05:21.584	50	357.3	8.3E-08	56.2	1.1	9E+15	246.9	1.4E-02	1.5	0.2
19:06:49.098	107	360.4	7.7E-08	56.8	0.6	8E+15	399.0	1.9E-02	2.8	0.4
19:35:00.707	80	334.4	4.7E-08	65.7	2.2	2.6E+15	248.1	6.7E-01	0.8	4.3
19:43:09.728	103	333.6	1.1E-07	57.8	1.1	7.1E+15	479.6	2.5E-02	1.5	0.6
19:47:59.520	64	332.1	6.9E-08	59.5	1.1	6.3E+15	124.6	1.5E-01	0.9	0.2
19:56:54.499	28	345	5.1E-08	57.7	1.1	7.1E+15	80.3	7.2E-04	0.8	0.1
19:56:54.499	28	345	7.4E-08	57.7	1.1	7.1E+15	80.3	7.2E-04	0.6	0.1
19:56:54.499	28	345	3.9E-08	62.0	1.1	4.4E+15	64.7	3.7E-02	1.0	0.1
19:56:54.499	28	345	2.5E-08	61.7	1.1	4.4E+15	65.7	3.8E-02	1.6	0.1
20:12:08.503	41	436.9	2.6E-08	69.0	1.4	1.7E+15	59.9	1E-02	5.0	0.3
20:12:08.503	41	436.9	4.6E-08	64.7	1.4	2.9E+15	72.9	3.7E-02	5.8	0.2

Table 4: Summary of estimations of photon flux and lightning peak initial Joule heating at the brightest region of carrot sprites observed from the SKA site on 01 February 2019. All CG lightning events are of positive polarity. E_s is the electric field at sprite's brightest region altitude.

Time (UT)	Lightning peak current (kA)	Distance from the receiver to the lightning stroke (km)	Sprites photon fluxes (W/m ²)	Sprite's brightest region altitude (km)	Sprites brightest region vertical extent (km)	N ₂ density at sprite's brightest region altitude (cm ⁻³)	Electric field at sprites bright region altitude (ELF/VLF/LF radioreceiver) (E_s) (V/m)	Lightning peak initial Joule heating at the sprite's brightest region altitude (W/m ²)	E_s/E_k 4 Hz to 400 kHz	E_s/E_k 4 Hz - 2 kHz
19:06:49.098	107	360.4	1.7E-07	68.5	0.6	2E+15	227.5	9.4E-02	6.7	1
19:08:24.111	92	309.1	1.5E-07	66.0	0.7	2.6E+15	225.2	1.7E-01	3.7	0.7
19:12:42.451	62	351.3	8.6E-08	62.3	1.1	4.4E+15	273.7	6.8E-01	1.9	0.5
19:26:48.376	42	333.1	2.0E-07	65.1	1.1	3E+15	60.7	2.0E-02	1.8	0.2
19:35:00.707	80	334.4	2.2E-07	58.5	1.1	7.1E+15	351.5	1.3E-02	2.2	0.4
19:36:23.568	42	409.4	1.2E-07	55.0	0.9	1E+16	238.1	9.9E-03	1.3	0.2
19:44:48.189	31	338.9	6.9E-08	54.0	0.7	1.1E+16	76.8	8.5E-04	0.6	0.1
19:49:30.929	49	345.9	1.5E-07	61.0	1.1	4.9E+15	136.5	1.7E-01	1.8	0.2
19:51:17.824	29	332	1.3E-07	58.7	1.1	6.3E+15	22.3	5.3E-05	0.8	0.03
19:53:59.597	48	413.9	7.3E-08	62.4	1.3	4.4E+15	46.4	2.3E-02	1.6	0.1
19:56:54.499	28	345	1.5E-07	57.7	1.1	7.1E+15	80.3	7.2E-04	0.8	0.1
19:57:34.604	92	352.4	2.0E-07	55.0	1.1	1E+16	425.7	4.1E-02	2	0.4
20:12:08.503	41	436.9	1.0E-07	68.0	1.4	1.9E+15	62.6	1.7E-02	3.7	0.3
20:38:25.718	68	431.6	4.8E-08	53.0	1.4	1.3E+16	438	8.0E-02	1.5	0.3

Poynting flux estimation calculation

The lightning peak apparent Poynting flux associated with the sprite's brightest region altitude calculation is demonstrated below using the sprites event in Figure 2. The lightning electric field (\mathbf{E}) and magnetic field at the receivers' location were observed to be 3.6×10^{-2} V/m and 1.06×10^{-9} T, respectively (see Figure 2). The lightning discharge which initiates the sprites event was located 390.1 km away from the receivers' location. The sprite's brightest region altitude was 61.7 km. We only recorded the dominant horizontal component of the magnetic field due to technical issues with the second orthogonal induction coil. We first determine the azimuth angle from the magnetic coil to the lightning stroke in order to calculate the total horizontal magnetic field (\mathbf{B}_T) using Equation (A1) below:

$$\mathbf{B}_T = \mathbf{B} / \sin(AZ) \quad (1A)$$

where AZ is the azimuth angle between the lightning position and the North-South coil orientation. AZ was found to be 101.1° . By using Equation (A1), we obtain $\mathbf{B}_T = 1.08 \times 10^{-9}$ T.

To normalise \mathbf{E} and \mathbf{B}_T values to 1 km from the lightning location, we then multiply \mathbf{E} and \mathbf{B}_T values by the distance (390.1 km) squared in km. We found \mathbf{E} and \mathbf{B}_T at 1 km from the lightning location to be:

$$\mathbf{E} = 5.4 \times 10^3 \text{ V/m}$$

$$\mathbf{B}_T = 1.6 \times 10^{-4} \text{ T}$$

Assuming that the CG lightning charges, which initiated the sprites events, were removed at 10 km altitude, we then project \mathbf{E} and \mathbf{B}_T at 1 km from the lightning location to the sprite's brightest region altitude (61.7 km) by multiplying \mathbf{E} and \mathbf{B}_T at 1 km from the lightning location by $(10/r)^3$, where r is the altitude (km) of the sprite's brightest region ($r = 61.7$). We obtained \mathbf{E} and \mathbf{B}_T at the sprite's brightest region altitude to be:

$$\mathbf{E} = 2.3 \times 10^1 \text{ V/m}$$

$$\mathbf{B}_T = 7.0 \times 10^{-7} \text{ T}$$

Finally, we estimated the lightning peak apparent Poynting flux (\mathbf{S}) associated with the sprite's brightest region altitude using Equation (A2) below:

$$\mathbf{S} = \frac{(\mathbf{E} \times \mathbf{B})}{\mu} \quad (\text{A2})$$

where μ is the permeability of the medium (assumed vacuum). For the event in Figure 2, we found that $\mathbf{S} = 12.8 \text{ W/m}^2$. This calculation is summarised in Table 1 (row 3).

Joule heating estimation calculation

The lightning peak initial Joule heating associated with the sprite's brightest region altitude calculation is demonstrated below using the sprites event in Figure 2. The lightning electric field (\mathbf{E}) at the receiver's location was obtained to be $3.6 \times 10^{-2} \text{ V/m}$ (see Figure 2a). The lightning discharge which initiates the sprites event was located 390.1 km away from the receiver's location. The sprite's brightest region altitude was 61.7 km.

To normalise the \mathbf{E} value to 1 km from the lightning location, we then multiply the \mathbf{E} value by the distance (390.1 km) squared in km. We found \mathbf{E} at 1 km from the lightning location to be:

$$\mathbf{E} = 5.4 \times 10^3 \text{ V/m}$$

Assuming that the CG lightning charges, which initiated the sprites events, were removed at 10 km altitude, we then project \mathbf{E} at 1 km from the lightning location to the sprite's brightest region altitude (61.7 km) by multiplying \mathbf{E} at 1 km from the lightning location by $(10/r)^3$, where r is the altitude (km) of the sprite's brightest region ($r = 61.7$). We obtained \mathbf{E} at the sprite's brightest region altitude to be:

$$\mathbf{E} = 2.3 \times 10^1 \text{ V/m}$$

We adopted the atmospheric conductivity (σ) from Lui et al. (2015), integrated over the vertical extent of the brightest region altitude of the sprite. The vertical extent of the brightest region altitude of sprite was found to be 1.3 km, and the corresponding σ was found to be:

$$\sigma = 8 \times 10^{-9} \text{ S/m}$$

To determine the lightning peak initial Joule heating (\mathbf{J}_h) associated with the sprite's brightest region altitude, we used Equation (A3) below:

$$\mathbf{J}_h = \sigma \mathbf{E}^2 \quad (\text{A3})$$

For the event in Figure 2, we found that $\mathbf{J}_h = 5.6 \times 10^{-3} \text{ W/m}^2$. This calculation is summarised in Table 2 (row 3).

Photon flux estimation calculation

To determine the photon flux out of the sprite's brightest region, we acquired sprites optical data observed with a red N₂ emission filter (<https://www.schott.com/shop/advanced-optics/en/Matt-Filter-Plates/RG645/c/glass-RG645>) simultaneously with calibrated stars of known photon flux, as described in Nnadih et al. (2021). The flux values of selected stars can be found in the Pulkovo Spectrophotometry Bright Stars Catalogue (Alekseeva et al., 1996). We demonstrate how we determine the photon flux out of the sprite's brightest region using the sprites event in Figure 3. We averaged the sprites video clip frames over one second in order to determine the average intensity pixel value of the calibrated star (Str_{avg}). The sky background (Sky_{bkg}) value was obtained by using a 7×7 median filter to remove the stars on the video frame prior to the frame which contains the sprites event. The sky background (Sky_{bkg}) value was 3. The calibrated star in Figure 3 was recorded using cameras with red N₂ emission filter that has an absolute photon flux (Str_{flux}) of 0.32058 W/m^2 . The photon flux value of the calibrated star was integrated over the filter's longpass wavelength range, see Figure A1. The calibrated star (Str_{avg}) observed with red N₂ emission filter had an average intensity pixel value of 17.1. We average the image pixel values at the sprite's brightest region altitude in order to determine the sprite's average brightness ($Sprs_{avg}$). The $Sprs_{avg}$ in Figure 3 had a pixel intensity value of 65.

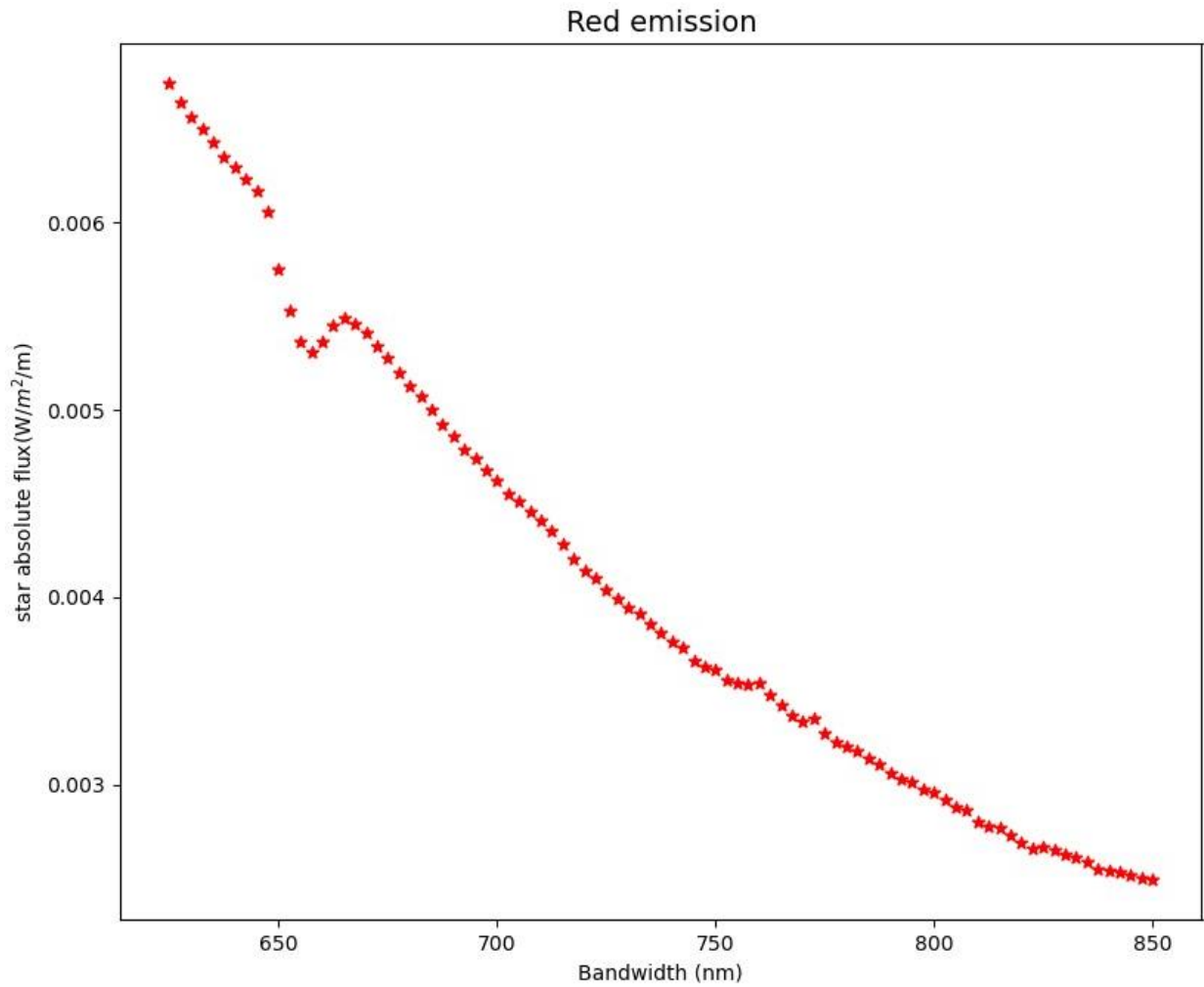


Figure A1. The calibrated star's absolute photon flux over the N₂¹P emission range (Alekseeva et al., 1996).

We used data from the camera with a red filter to obtain the photon flux at the sprite's brightest region ($S_{\text{pr}_{\text{flux}}} = 1.7 \times 10^{-7} \text{ W/m}^2$), by means of Equation (4) (Nnadih et al., 2021).

$$S_{\text{pr}_{\text{flux}}} = \frac{S_{\text{tr}_{\text{flux}}}(S_{\text{pr}_{\text{avg}}} - S_{\text{ky}_{\text{bkg}}})}{S_{\text{tr}_{\text{avg}}} - S_{\text{ky}_{\text{bkg}}}} \text{ (W/m}^2\text{)} \quad (\text{A4})$$

This calculation is summarised in Table 4 (row 3).

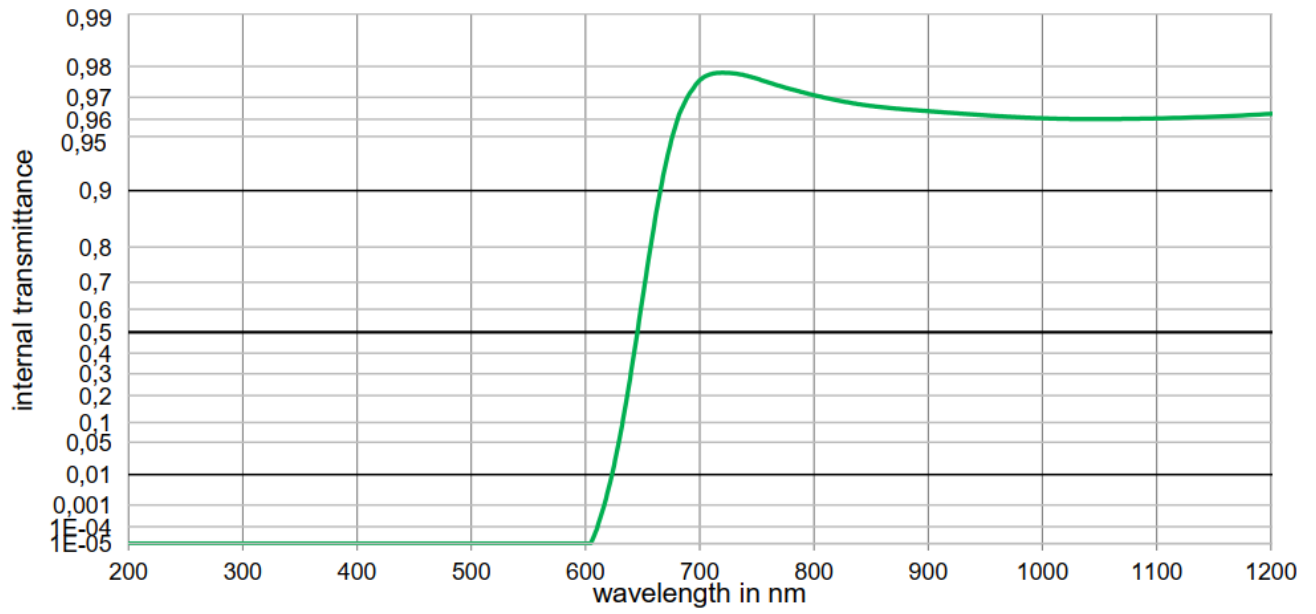


Figure A2. Internal transmittance of red N₂ filter with a 640-650 nm longpass (<https://www.schott.com/shop/advanced-optics/en/Matt-Filter-Plates/RG645/c/glass-RG645>).