Identifying Fine-Scale Archaeological Features Using KH-9 HEXAGON Mapping and Panoramic Camera Images: Evidence from Liangzhu Ancient City

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Abstract

Historical fine-scale information of archaeological landscapes, such as geometry and spatial patterns, is crucial in archaeological investigations. However, documenting such information using satellite sensor data prior to 2000 remains a daunting challenge. Images from the declassified archives of KH-9 HEXAGON (KH-9) cameras, including the panoramic camera system (PCS) and mapping camera system (MCS), offer fine-scale information about archaeological sites. However, noise, contrast distortion and the availability of only a single panchromatic band can limit their potential, particularly for identifying features in subtropical climates within heterogeneous landscape types. This paper focuses on developing a novel multifaceted analytical framework with two components: image pre-processing and feature identification. The image pre-processing component is divided into two steps. First, a trained stationary wavelet transform (SWT) based on the normalised sill (NS) is developed to not only de-noises the image, but also preserve its original image characteristics. Then, the contrast of the de-noised images is optimised by the multi-resolution Top-hat (MTH) using multi-scale information. In the feature identification component, the MCS image is analysed using spatial colour composite write function memory (SCCWFM) and spatial novelty detection (SND). An ultra-fine spatial three-dimensional colour composite (UFSTCC) image and ultra-fine spatial digital surface model (UFSDSM) are produced to aid interpretation of the KH-9 PCS images. The proposed processing pipelines were tested on KH-9 MCS and PCS images of the World Heritage site at Liangzhu Ancient City (LAC) in China, which is characterised by a subtropical climate and a heterogeneous landscape types. The proposed pre-processing pipeline improved considerably the appearance of these images across the LAC archaeological landscape types while maintaining the original image information. The developed digital analytical approaches for KH-9 PCS and MCS images facilitated straightforward identification of archaeological features in the LAC. The multifaceted analytical framework proposed has the potential to increase exploitation of the available KH-9 images in archaeological and cultural heritage applications.

Keywords: KH-9 HEXAGON, Stationary Wavelet Transform, Novelty Detection, Stereo-pairs, Digital Surface Model, Liangzhu Ancient City

1. Introduction

Archives of declassified film-based images of the United States of America (USA) Keyhole (KH) program have been utilized extensively in archaeological research. Such images offer potential advantages: captured four to five decades ago (Casana 2020), fine spatial resolution (Ur 2003), large geographic footprint and stereo-view (Galiatsatos, Donoghue, and Philip 2008). Reviews of applications of KH images in archaeological research were provided by Fowler (2013), Lasaponara and Masini (2011), Lasaponara et al. (2018) and Luo et al. (2019).

Declassified images from the archives of KH-9 HEXAGON (KH-9), one of the satellite missions of the KH program, offer a unique and irreplaceable source of legacy data for archaeological investigations (Fowler 2016, 2022; Hammer, FitzPatrick, and Ur 2022). The KH-9 mission included two camera systems: the mapping camera system (MCS) and the panoramic camera system (PCS) (Hammer, FitzPatrick, and Ur 2022). The MCS, which had a medium spatial resolution (6 to 9 m), captured almost all of the globe (except Greenland, Australia and Antarctica) (Surazakov and Aizen 2010). Despite their medium spatial resolution, MCS images can reveal details of archaeological remains (Fowler 2016). MCS images overlap spatially such that they can be used for reconstructing historical digital elevation models (DEMs)(Surazakov and Aizen 2010; Maurer and Rupper 2015; Dehecq et al. 2020). Images from KH-9 MCS were released in 2002, and can be

downloaded via Earth Explorer as 'Declass 2' (<u>https://earthexplorer.usgs.gov</u>). The PCS is composed of ultra fine spatial resolution stereoscopic camera systems (0.6 to 1.2 m) which were integrated in every mission (Marzolff et al. 2022). PCS images have great potential for identifying archaeological features with respect to their (i) ultra-fine spatial resolution, (ii) three-dimensional view and (iii) historical digital surface models (DSM) (Hammer, FitzPatrick, and Ur 2022). KH-9 PCS images were made available to the public in 2011, and added to Earth Explorer in 2020, as 'Declass3' (<u>https://earthexplorer.usgs.gov</u>).

Several studies have exploited the advantages of KH-9 PCS (Fowler 2022) and MCS (Scardozzi 2010) for archaeological research of Near Eastern Regions using visual interpretation. A comprehensive review of these valuable references can be found in Hammer, FitzPatrick, and Ur (2022). Conversely, in other regions the use of KH-9 panchromatic images may be challenging, particularly under a subtropical climate with heterogeneous landscape types (e.g., East Asia)(Watanabe et al. 2017). Arguably, there are three major obstacles: (1) noise, (2) contrast distortions and (3) the single panchromatic band. Noise and contrast distortions impede accuracy of both visual interpretation and digital image processing (Shahtahmassebi et al. 2023). Particularly, the heterogeneity of landscapes can be increased by noise and contrast distortions in the image. Moreover, identifying archaeological features and their potential surface marks (e.g., soils and crops) based on a single panchromatic band is a non-trivial task (Fowler and Fowler 2005). For example, surfaces such as vegetation covers, moist soils, water bodies and archaeological features values in panchromatic imagery, leading to potential misinterpretation or misclassification.

Moreover, limited technical documentation, parameters specifications and research outputs can impede evaluating contemporary pre-processing and feature identification techniques or proposing new frameworks (Surazakov and Aizen 2010; Maurer and Rupper 2015; Dehecq et al. 2020; Marzolff et al. 2022).

Existing state-of-the-art approaches in digital image pre-processing (e.g., wavelet transform and mathematical morphology) (e.g., Soille 2003; Galiatsatos 2004; Bai, Zhou, and Xue 2012) and processing (image matching, structure from motion (SfM)) (e.g., Nita et al. 2018; Dehecq et al. 2020) rely heavily on fine-scale characteristics of the image such as texture, spatial distributions, spatial context and geometry. In this sense, both KH-9 MCS and PCS images offer suitable characteristics to support application of these methods.

Given the merits and demerits associated with the obsolete film-based panchromatic KH-9 MCS and PCS imagery, five crucial questions arise:

- (1) How can appropriate de-noising and contrast enhancement techniques be developed for KH-9 imagery with the least image distortion?
- (2) Using KH-9 MCS, how can we effectively establish a spatial colour composite image (for MCS) by leveraging its fine-scale characteristics?
- (3) What types of continuous mapping technique are appropriate for KH-9 MCS?
- (4) What type of three-dimensional colour composite approach is effective for KH-9 PCS with respect to its ultra-fine spatial detail and lack of technical parameters?
- (5) How can we establish an ultra-fine scale historical DSM with minimum technical information?

The overarching objective of this research was, thus, to develop a multifaceted analytical framework to identify and map archaeological features in an area characterised by a subtropical climate and a highly heterogeneous landscape, comprising a mixture of archaeological remains, moist soil, vegetation covers, water bodies (such as rivers and ponds) and rural regions, through simultaneously analysing both a pair of KH-9 PCS images in 1975 and a single KH-9 MCS image in 1975. The specific contributions of this research are: (1) a two-step pre-processing pipeline that is designed to both de-noising and

optimising the contrast of the images while preserving and enhancing the salient properties of image objects from KH-9 PCS and MCS, (2) a spatial colour composite write function memory (SCCWFM) that is developed to facilitate identifying features in KH-9 MCS, (3) a spatial novelty detection (SND) method that is designed to map the spatial distribution of features in KH-9 MCS image, (4) a fully automatic ultra-fine spatial three-dimensional colour composite (UFSTCC) approach based on structure from motion (SfM) algorithm that is proposed to create three dimensional colour composite image using KH-9 PCS, and (5) a fully automatic ultra-fine spatial digital surface model (UFSDSM) via SfM that is implemented to establish historical an ultra-fine scale DSM. It is noteworthy that contribution 4 does not need any technical parameters of KH-9 PCS while contribution 5 relies on minimum technical parameters (i.e., scanning resolution and focal length).

To assess systematically and comprehensively the outcome of the proposed multifaceted analytical approach, we acquired three images from the archives of KH-9. These images were selected because they covered a heterogeneous archaeological region in a wet climate. Moreover, sufficient information was available with which to conduct the experiments and support our findings.

2. Study area and datasets

2.1.Study area

The study area is Liangzhu Ancient City (LAC) which is located approximately 30 km northwest of the centre of Hangzhou city, Zhejiang Province, China (Figure.1). The study area is classified as a humid climate (subtropical monsoon), with an annual rainfall of 1150 to 1550 mm and an average annual temperature of 16 °C (Watanabe et al. 2017). Therefore, this region is highly heterogeneous, comprising the juxtaposition of a mixture of archaeological remains, moist soil, vegetation covers, water bodies (such as rivers and ponds) and rural land. Ultimately, such surfaces lead to mixed pixels among different land cover types in a single panchromatic band. For example, surfaces such as water, moist soil, archaeological remains and vegetation covers may exhibit very similar values in panchromatic imagery, resulting in potential errors in visual interpretation and digital image classification.

It is noteworthy that LAC was for the first time discovered by Shi Xingeng in 1936, and has been studied continuously since that time (Watanabe et al. 2017). LAC is represented by advanced civil engineering systems (such as dams, agricultural systems, palaces and waterways), precisely engineered jade ornaments, and a strong hierarchical social structure during the Liangzhu Culture period (ca. 3300–2500 cal. BC2)(Watanabe et al. 2017). LAC was placed on the United Nations Education, Scientific, and Cultural Organisation's (UNESCO) world heritage list as a cultural site on July 6, 2019 (Wang et al. 2022). Detailed historical descriptions of the LAC are provided by (Watanabe et al. 2017; Yu et al. 2018; Wang et al. 2022).

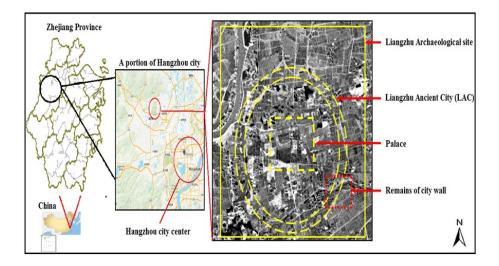


Figure 1. Location of the Liangzhu Ancient City (LAC) in Hangzhou city, Zhejiang Province, China. The coordinate centre of the image is 119°59'2.346" E, 30°23'35.988" N. Our investigation is situated within the large yellow rectangle.

2.2. Datasets and Methodology

Three KH-9 images covering the study area were downloaded via EarthExplorer (<u>http://earthexplorer.usgs.gov</u>) (Table 1).

| Camera | Date | Frame | mission | tile | Spatial resolution(m) | Entity ID |
|--------|------------------|-------|---------|------|--------------------------|-----------------------|
| MCS | December 18,1975 | 7 | 1211-5 | а | 6-9m | DZB1211-500049L007001 |
| PCS | August 29,1975 | 11 | 1210-3 | b | 0.6-1.2m | D3C1210-300523F011 |
| PCS | August 29,1975 | 12 | 1210-3 | i | 0.6-1.2m | D3C1210-300523A012 |

Table 1. Summary of basic properties of the KH-9 imagery used

The original images were scanned by the U.S. Geological Survey (USGS) EROS archive (<u>www.usgs.gov</u>) using high performance photogrammetric film scanners at 7-micron (3,600 dpi). It is noteworthy that the locations of samples in the LAC were obtained from previous research in the region (Watanabe et al. 2017; Yu et al. 2018).

The overall methodology is divided into three parts: pre-processing, KH-9 MCS analytical framework and KH-9 PCS analytical framework (Figure. 2). The pre-processing included de-noising and contrast enhancement. Both steps were applied to both the KH-9 MCS and PCS images. The KH-9 MCS image was analysed by proposing spatial colour composite write function memory (SCCWFM) and spatial novelty detection (SND). An ultra-fine spatial three-dimensional colour composite (UFSTCC) image and ultra-fine spatial digital surface model (UFSDSM) were devised for interpreting the KH-9 PCS images. These steps were applied to the whole study area. Moreover, we designed the approaches with two aims: reduced image distortion and a semi- or fully- automatic procedures. For calibration purposes, the KH-9 MCS images were subjected initially to the proposed and benchmark pre-processing pipelines. Then, the KH-9 MCS and PCS images were pre-processed by the selected pre-processing technique.

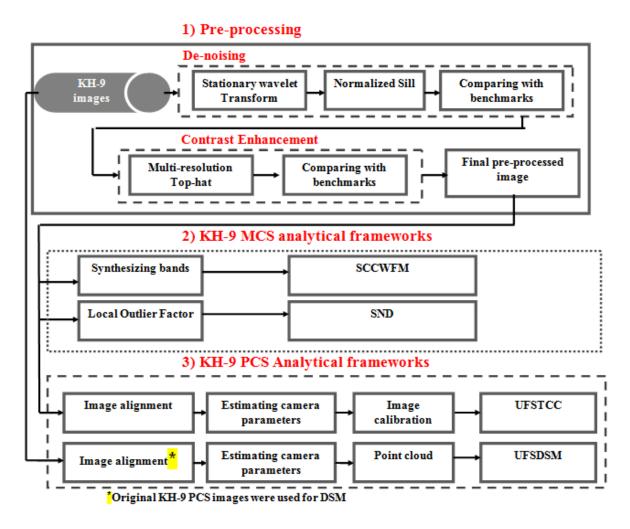


Figure 2. Research workflow. The KH-9 MCS image was analysed using spatial colour composite write function memory (SCCWFM) and spatial novelty detection (SND). Ultra-fine spatial three-dimensional colour composite (UFSTCC) image and ultra-fine spatial digital surface model (UFSDSM) were selected for interpreting the KH-9 PCS images.

2.3. Image pre-processing

To minimize the effects of noise and contrast degradation, this research adopted the de-noising and contrast enhancement pipeline for KH-9 images proposed by Shahtahmassebi et al. (2023). In this pipeline, image de-noising was undertaken using the stationary wavelet transform (SWT) while the multi-resolution Top-hat (MTH) was used to optimize the contrast of the image. Besides evaluating the performance of this pipeline for using KH-9 images in archaeological investigations, we improved this pipeline in four ways, as follows:

(1) Selecting the SWT directional coefficients using a geostatistical approach

One of the major concerns in the SWT de-noising procedure is selecting appropriate wavelet directional coefficients to avoid over-smoothing or loss of contrast. Conventional image quality assessment approaches, such as the Peak Signal-to-Noise Ratio (PSNR), may not be appropriate as they are not sufficiently sensitive to local spatial variation in the image brightness values. However, geostatitical techniques such as the semivariogram are based on local spatial information which can capture precisely the change in local spatial variation in the image.

Semivariogram models commonly consist of several parameters, such as the sill and range, which generate interpretable information about the local spatial structure within the

image. Of these parameters, the sill in semivariogram analysis is a valuable tool to quantify impacts of wavelet directional coefficients on the image and, thus, assess the performance of de-noising. This is because the sill represents the magnitude of the structured component of the variance. We, therefore, assumed that any degradation in local spatial variation of brightness values due to selecting inappropriate wavelet directional coefficients is reflected in the sill of semivariogram. Accordingly, distortions in spatial variation of brightness values can be quantified by the difference between the sill of the original image and the sill of the de-noised image, called the normalized sill (NS):

Normalized Sill = $\frac{Sill_{De-noised}-Sill_{Original image}}{Sill_{De-noised}+Sill_{Original image}}$

(1)

The SWT de-noising steps were as follows:

- (i) Decomposition: The Haar mother wavelet was selected to decompose the image into the vertical, horizontal and diagonal directions. The Haar wavelet was computed empirically at three levels.
- (ii) De-noising: A range of directional coefficient values with a 10-unit increment were selected empirically. This range was divided into seven groups with values from four (less image distortion and more noise) to 58 (high degree of over-smoothing and less noise). The coefficients, along with soft thresholding techniques, were used to de-noise the images (seven images).
- (iii) Normalized sill (NS): Semivariogram analysis was applied to all de-noised images and the original image. In order to apply the semivarogram to the image (a regular matrix), we used the global spatial statistics function in RSI ENVI 5.1 (Harris Geospatial SolutionsTM). The semivariogram was calculated with a maximum lag of 21 pixels and using the Queen's case as the neighbourhood rule (considering all eight neighbouring pixels). However, this function does not estimate the semivariogram parameters. Hence, the computed semivariograms in ENVI were imported to the "geostat library" of the R-language to fit the semivariogram parameters. The obtained sills were then subjected to the NS procedure (equation 1).

Considering that the de-noising procedure needs to adjust some parameters, this procedure was conducted semi-automatically. The proposed framework integrates the Stationary Wavelet Transform (SWT) and normalized sill. Hence, this framework is abbreviated to SWTNS.

(2) Benchmark de-noising techniques

To examine the effectiveness of the proposed de-noising step, we used four advanced benchmark methods (Dictionary learning, Total Variation, Shift invariant wavelet and Non-local means filtering (NLMF)) based on local spatial information. Dictionary learning was established using orthogonal matching pursuit (OMP), two non-zero coefficients and a patch size of 7×7 pixels. The random noise function was employed to generate a distorted image for the training procedure.

For the Shift invariant wavelet, the cycle spinning technique was designed through three steps: circularly, de-noising and inverse shift. We tested a range of maximum shifts (max_shifts), consisting of 1,2,3,4 and 5. A 'max_shifts' of 5 was found empirically to be appropriate for de-noising. With respect to the Total Variation, we examined a range of de-noising weights, including 0.1, 0.2, 0.3, 0.4 and 0.5, and 0.2 was found to be optimal for removing the noise with the least image degradation.

Non-local means filtering (NLMF) was implemented to remove the effects of noise. In this research, we found that the pre-defined parameters of this filter to be optimal for de-noising with least image distortion. NLMF was implemented in Matlab® (MathWorks Inc., Natrick, MA, USA. Release:2018b) while the remaining benchmark techniques were computed in Python. These benchmarks were conducted fully automatically.

(3) Benchmark contrast enhancement techniques

The proposed multi-resolution Top-hat (MTH) uses local spatial and neighbourhood information to optimize the contrast of the image. To understand comprehensively and systematically the performance of this technique, we adopted Fast Local Laplacian filtering (FLLF), which employs local spatial information. FLLF was computed in Matlab® (MathWorks Inc., Natrick, MA, USA. Release:2018b). Further details of the MTH and setting its parameters for KH-9 images can be found in Shahtahmassebi et al. (2023).

(4) Image quality assessment strategy

To assess the effects of pre-processing on KH-9 images, Gray Level Co-occurrence Matrix (GLCM) measures were employed as they are sensitive to the spatial structure of image brightness values (Hall-Beyer 2017). Four gray-level co-occurrence measures were employed: contrast (indicator of local variations), variance (measure of spatial variation), entropy (indicator of the disorder) and homogeneity (measure of distribution in the pixel pairs population). Then the standard deviation was computed for each texture.

Two experimental images were generated separately by adding noise (15%) and blurriness (using Gaussian low pass filter with window size 13×13 pixels) to analyse the de-noising performance. For contrast enhancement assessment, two additional experimental images were also synthesized: low contrast image using linear enhancement (brightness values between 21 and 242) and high contrast using a Gaussian function (brightness values from 16 to 242).

3.2.KH-9 MCS analytical frameworks

3.2.1. Spatial colour composite write function memory (SCCWFM)

A simple yet efficient approach for visual interpretation is write function memory (WFM) (Mather and Koch 2004; Jensen 2005). In effect, each band (or processed product) from multi-spectral remotely sensed data may be placed into each of the three WFM bands (Red-Green-Blue (RGB)) to generate a colour composite image. However, WFM may not be applicable for panchromatic imagery due to its single spectral band. Considering that the boundaries and details of archaeological remains have a key role in their identification, we assume that generating new bands sensitive to spatial information and placing them in WFM may facilitate the identification of archaeological features from KH-9 MCS images.

In this research, a WFM image was constructed using a directional filter, GLCM-mean measure and a Sobel edge detector filter. The directional filter is a first derivative edge enhancement filter that selectively enhances image features with specific directional components (gradients) and window size. The directional filter was calculated at an angle of 45° and window size of 3×3 pixels. A Sobel edge detector is a non-linear edge enhancement which detects edges of image objects. The GLCM-mean measure is the average gray-level in the local window with respect to the neighbourhoods. This measure was calculated using a window size of 3×3 pixels. This was performed to further reduce the effects of potential unnecessary brightness anomalies while detecting sharp spatial variation in the image occurring in the boundaries of human-made features and non-human-made features. Finally, the directional filter, GLCM-mean and Sobel filter were placed into the as Red, Green and Blue bands in WFM, respectively.

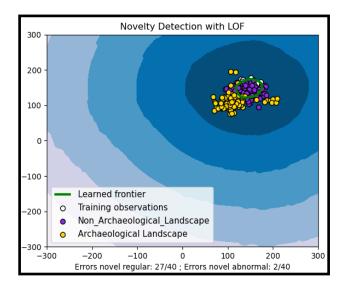
3.2.1. Spatial novelty detection (SND)

To produce a spatial map of potential archaeological remains, we utilized a novelty detection approach in this research. Novelty detection techniques reveal new outlier/anomaly patterns in unseen datasets. This research assumed that change in brightness values of non-archaeological surfaces could be different from archaeological

landscapes. Hence, if training samples collected from non-archaeological regions, with subtle and gradual changes in contrast, are then compared to those of an unknown site (supposed archaeological sites), new or novel patterns can be revealed in the unknown region. To this end, the Local Outlier Factor (LOF) algorithm, which is an unsupervised novelty detection method, was adopted for this research (Breunig et al. 2000). The strength of the LOF algorithm is that it takes both local and global properties of datasets into consideration. This advantage is explained by the local aspect of LOF, meaning that it only compares the score of abnormality of one sample with the scores of its neighbours (Pedregosa et al. 2011).

In this research, the LOF procedure was applied in sequence as follows: sampling, training and modelling. Firstly, we collected several sample points from non-archaeological features which were located outside of the LAC region. All the training samples were characterized by gradual or subtle change in brightness values. The land use and land cover map of the region was also employed for identification; Secondly, the LOF model was trained using the collected training samples. Then the LAC image was subjected to the established LOF model. In LOF, the 'numbers of neighbours' and 'contamination' were defined as 25 and 0.5, respectively.

Prior to applying LOF to the whole study area, we built a LOF model using collected archaeological and non-archaeological samples. The results showed that although there was high pixel confusion between archaeological and non-archaeological samples in the KH-9 MCS image, LOF enabled us to identify pixels in archaeological samples from those in non-archaeological regions (Figure 3). Therefore, the proposed LOF model was applied to the whole study area.





3.3. KH-9 PCS analytical frameworks

3.3.1. Ultra-fine spatial three-dimensional colour composite (UFSTCC)

In general, creating accurate stereo-pairs relies on camera calibration parameters (e.g. RSI ENVI). For the KH-9 PCS camera, such parameters have yet to be released. Stereo pairs can be handled manually (without camera parameters) in some software (e.g., ERDAS Imagine). However, screen digitizing is time-consuming and complex. Thus, to generate accurate geo-rectified stereo-pairs, we employed the fully automatic stereo-pairs rectification algorithm for uncalibrated cameras. This algorithm included five major steps (Shahtahmassebi et al. 2023): (1) two images were aligned based on matching pixels between

two images. The alignment procedure used a Speeded-Up Robust Features (SURF) approach for matching pixels in two images. The extracted pixels were then compared using the sum of absolute differences indicator to identify strong matching pixels in both images; (2) the selected matching pixels were examined by random sample consensus (RANSAC) to satisfy the epipolar constraint; (3) the final pixels were used to estimate the fundamental matrix; (4) two images were rectified using the parameters in the fundamental matrix; and (5) the rectified images were placed into a stereo anaglyph system to create the stereo-pairs image. The image stereo-pairs were performed fully automatically in Matlab® (MathWorks Inc., Natrick, MA, USA. Release:2021b). The whole procedure took approximately 20 minutes.

3.3.2. Ultra-fine spatial digital surface model (UFSDSM)

Creating DSMs can be challenging using stereo-pairs from KH archives such as KH-9 and KH-4B (CORONA) due to unknown camera parameters, identifying accurate ground control points (GCP), the Earth's curvature, software problems (e.g., bowl effect), changes in the landscape, difficulty in acquiring a fine spatial resolution reference DSM, and errors in estimating the camera parameters (Watanabe et al. 2017). In addition, reconstructing a DSM over a planar surface (flat) using these data may not offer promising results (Maurer and Rupper 2015). Considering these problems, we aimed to evaluate whether establishing a DSM based on KH-9 PCS can identify any archaeological structures in LAC. To this end, we exploited the advantages of the automatic DSM procedures in Photoscan (Agisoft). In the first step, we used an aligning procedure to align two images from KH-9 PCS. The alignment was conducted using information from neighbouring pixels rather than geometric transformation. Second, tie points were extracted from the aligned images. Third, the extracted tie points were used to estimate the intrinsic camera parameters. Fourth, we computed a three-dimensional point cloud based on the derived tie points and estimated the camera parameters. Finally, the point cloud was used to create a DSM over the study area. We applied this framework to the original and pre-processed KH-9 PCS images yet, we did not observe any significant differences. Hence, the original images were used for the DSM procedure.

4. Results

4.1. Pre-processing

4.1.1. Calibration

To analyse the performance of the different pre-processing techniques, we firstly applied the pre-processing techniques to the KH-9 MCS image as it had high contrast distortions and noise compared to the PCS image. After exhaustive and rigorous assessment, the selected pre-processing methods were applied to both the MCS and PCS images.

4.1.2 Identifying appropriate wavelet de-noised image:

We found that increasing the wavelet directional coefficients led to increasing blurriness and loss of contrast as observed through changes in the shape of the semivariogram, sill and normalized sill (NS) (Figure 4 and Table 2). For example, applying the wavelet coefficient values in the Group_7 (highest values) led to blurriness (Figure. 4(c)). This phenomenon was reflected in sharp decreases in the sill and NS which implies a decrease in spatial heterogeneity and loss of contrast (Figure 4(a) and Table 2). The results of NS and semivariogram analysis along with exhaustive visual inspections demonstrated that the directional coefficients in Group 2 not only eliminated the noise, but also preserved the original image information (Figure. 4(b)). Therefore, the de-noised image based on the wavelet coefficients in Group_2 was selected for subsequent processing.

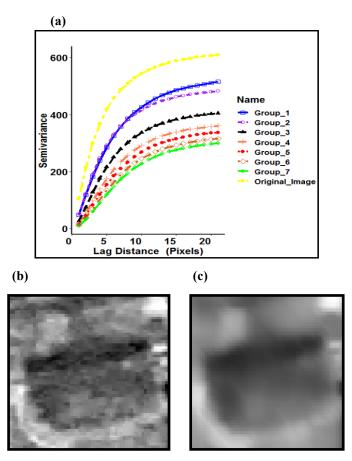


Figure 4. (a) Semivariogram of de-noising images; (b) and (c) show two examples of de-noised images based on wavelet coefficient values in Group_2 and Group_7, respectively. Semivariogram and wavelet were calculated based on the KH-9 MCS image in the calibration step. The coordinate centre of the image (b) and (c) is 30°23'45" N, 119°59'12" E. The approximate spatial resolution of these images 6-9 m.

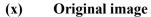
| De-noised Group | Model(s) | Nugget | Sill | NS | Range |
|------------------------|----------|--------|--------|-------|-------|
| Original _Image | EXP,MAT | 16.47 | 609.3 | | 5.11 |
| Group_1 | EXP,MAT | 27.85 | 515.25 | -0.08 | 6.81 |
| Group_2 | EXP,MAT | 0.0 | 495.5 | -0.10 | 5.68 |
| Group_3 | EXP,MAT | 0.0 | 415.3 | -0.18 | 6.24 |
| Group_4 | EXP,MAT | 0.0 | 379.99 | -0.23 | 6.81 |
| Group_5 | EXP,MAT | 0.0 | 364.61 | -0.25 | 7.38 |
| Group_6 | Cubic | 17.04 | 289.74 | -0.35 | 19.86 |
| Group_7 | Cubic | 16.15 | 274.53 | 37 | 19.3 |

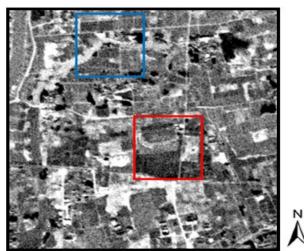
a) De-noising

Figure 6 presents two sample areas of archaeological remains (discovered in previous studies) (Watanabe et al. 2017; Yu et al. 2018) in the original KH-9 MCS image, and the result of de-noising using the proposed SWTNS and benchmark techniques. The original KH-9 MCS image was noisy and unclear (Figure 5(a) and Figure 6(I)). The result indicates that the effects of noise can be minimized by de-noising techniques (Figure 5 and Figure 6). In particular, image pre-processing techniques such as the proposed SWTNS, dictionary learning and total variation generated appropriate outcomes in comparison to the original image and NLMF, as evidenced in the image histogram (Figure 7).

The impact of de-noising on image quality and the spatial properties of the KH-9 MCS image were also assessed by GLCM measures (Table 3). For example, considering blurred and noisy (15%) images as benchmark levels, the variance, representing micro-scale variation of brightness values (BVs), decreased after applying the de-noising techniques. This illustrated that the noise (or potential error) in the original KH-9 image was reduced by applying the de-noising techniques (Table 3 and Figure 7). Similarly, the contrast, representing the spatial frequency of BVs, decreased slightly after de-noising. However, homogeneity (measure of smoothness) and entropy (indicator of roughness or disorderliness) increased sharply.

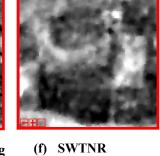
Regarding selecting the most appropriate methods, the results showed that NLMF led to an increase in the variance and contrast which could be due to existing potential noise in the de-noised image (Table 3 and Figure 7). In contrast, Total Variation, Shift invariant, Dictionary learning and the proposed SWTNS methods reduced noise in the KH-9 MCS image as shown by the decreasing contrast and variance (Table 3), and image histogram (Figure 7). However, the blurriness (smoothness) of the images produced by Total variation and Dictionary learning was large, which was reflected in a sharp decrease in the variance and contrast, and an increase in the entropy and homogeneity. SWTNR and Shift invariant preserved the spatial structure of the KH-9 image yet, Shift invariant generated lower entropy compared to the SWTNR, potentially due to the existence of noise (Table 3, Figures 5 and 6). Hence, we suggest that SWTNR may be an appropriate candidate for de-noising KH-9 images.





(c) Total Variation (a) Original image (b) NLMF

(e) Dictionary learning



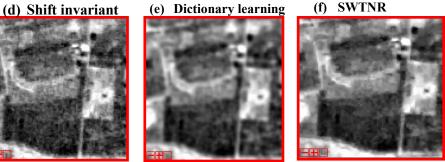


Figure 5. (x) location of two sample regions in the original image and (a-f) comparison between the original image and de-noised images using the first sample. The proposed and benchmark methods were applied initially to the KH-9 MCS image in the calibration step. The coordinate centre of the images within the red rectangles is 30°23'45" N, 119°59'12" E. The approximate spatial resolution of these images 6-9m.

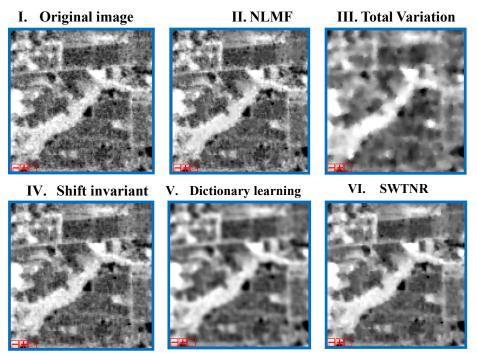


Figure 6. Comparison between the original image and de-noised images using the second sample from Figure 5(x). The proposed and benchmark methods were applied initially to the KH-9 MCS image in the calibration step. The coordinate centre of the images within the blue rectangles is $30^{\circ}24'05''$ N, $119^{\circ}59'04''$ E. The approximate spatial resolution of these images is 6-9m.

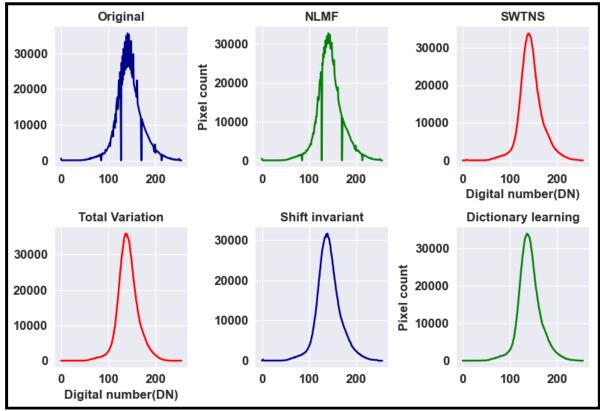


Figure 7. Comparison between image histograms of the de-noised and original images. The proposed and benchmark methods were applied initially to the KH-9 MCS image in the calibration step.

| De-nosing approac | ch Variance | Homogeneity | Contrast | Entropy |
|---------------------|-------------|-------------|------------|----------|
| Noisy (15%) | 46.517950 | 0.066027 | 106.510573 | 0.152998 |
| Gaussian_Blurred | 3.695500 | 0.251967 | 6.795495 | 0.464423 |
| Original | 11.218508 | 0.121125 | 19.848635 | 0.182292 |
| NLMF | 11.504236 | 0.164685 | 20.455831 | 0.246257 |
| Total Variation | 6.335427 | 0.216347 | 11.456247 | 0.387531 |
| Shift variance | 10.278104 | 0.152264 | 18.166018 | 0.231190 |
| SWTNS | 9.893998 | 0.202737 | 17.500872 | 0.354283 |
| Dictionary learning | 5.838528 | 0.228053 | 9.894329 | 0.378910 |

Table 3. Standard deviation of GLCM measures before and after de-nosing

b) Contrast enhancement

The proposed MTH and the FLLF techniques were applied separately to the SWTNS result. Qualitative assessment indicated that the contrast enhancement techniques enhanced the appearance of the original KH-9 image (Figure 8). For example, the edges of potential archaeological features (Figure 8 (b) and (c)) can be highlighted easily whereas these edges were not readily observable in the original image (Figure 8 (a)). This clearly indicates the need to optimize the contrast of the original image. Of the contrast enhancement approaches, MTH outperformed the FLLF technique for the original image, achieving reliable visual appearance (Figure 8(c)). The image produced by the MTH achieved an appropriate balance between bright and dark BVs. The image also presented archaeological features with the most well-defined details.

Table 4 shows that quantitative assessment supports the results of visual comparison. Considering the benchmark images, increasing brightness led to low variance, homogeneity, contrast and entropy while increasing darkness generated the opposite result. With these in mind, the MTH approach enhanced the brightness values of the original KH-9 image homogeneously whereas the FLFF algorithm led to a spatially imbalanced enhancement. This phenomenon is mirrored in the comparison between the GLCM measures (Table 4) and the corresponding image (Figure 8). For example, FLFF generated large brightness anomalies evidenced by an increase in variance and contrast, except for entropy. However, MTH optimized the brightness of the KH-9 MCS image, confirmed by a decline in those values and visual interpretation. Also, it increased the entropy which reflects an increase the amount of information. The imbalanced enhancement was observed for FLLF which could be partly due to the lack of semantic understanding of the scene (Aubry et al. 2014).

(I) SWTNR-MTH



(a) Original image

(b) SWTNR-FLLF

(c) SWTNR-MTH



Figure 8. Results of contrast enhancement; (I) a sample of SWTNR-MTH and (a-c) comparison between the original image and the contrast enhanced images. The proposed and benchmark methods were applied initially to the KH-9 MCS image in the calibration step. The coordinate centre of the images within the red rectangles is 30°23′45″ N, 119°59′12″ E. The approximate spatial resolution of these images is 6-9m.

Table 4. Standard deviation of GLCM measures before and after contrast enhancement

| Contrast enhancement approaches | Variance | Homogeneity | Contrast | Entropy |
|---------------------------------|-----------|-------------|-----------|----------|
| Low contrast | 10.566116 | 0.201060 | 18.344784 | 0.339922 |
| High contrast | 26.991171 | 0.184408 | 44.908942 | 0.300652 |
| Original | 11.218508 | 0.121125 | 19.848635 | 0.182292 |
| SWTNR-MTH | 7.474793 | 0.211266 | 13.405540 | 0.430503 |
| SWTNR -FLLF | 12.207025 | 0.162090 | 21.233692 | 0.263666 |

4.1.3. Pre-processing of KH-9 MCS and PCS using the proposed SWTNR-MTH pipeline The proposed SWT-MTH pipeline was applied to the KH-9 MCS and PCS images. Image comparison suggested that the proposed SWTNR-MTH pipeline enhanced the visual appearance of the KH-9 images substantially over the study area (Figure 9 and Figure 10). Importantly, the fine scale details of archaeological features (e.g., edges and geometry) can be identified readily (Figure 9 and Figure 10). For example, one can observe clearly the remains of LAC components such as the palace and wall in the result of the SWTNR-MTH pipeline. However, such information was not readily observable in the original images (Figure 9 and Figure 10). Moreover, the results indicated that stereo images based on the pre-processed KH-9 PCS images revealed greater information in comparison to those based on the original images (Figure 9). (a) Original KH-9 PCS, sample 1, 1975

(b) Pre-processed KH-9 PCS, sample 1, 1975

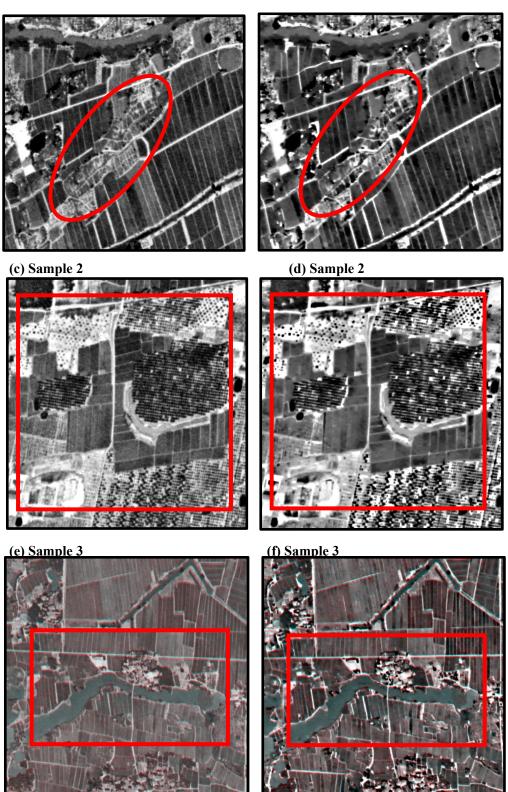


Figure 9. Comparison between archaeological landscapes revealed using the original and pre-processed KH-9 PCS images; (a-d) grey scale images and (e-f) stereo-pairs; Samples 1 and 3 represent the remains of a wall while sample 2 represents the remains of the main palace. The coordinate centre of samples 1, 2 and 3 are $30^{\circ}23'18''$ N, $119^{\circ}59'40''$ E; $30^{\circ}23'45''$ N, $119^{\circ}59'12''$ E; and $30^{\circ}24'05''$ N, $119^{\circ}59'04''$ E, respectively. The approximate spatial resolution of these images is 0.6-1.8 m.





(c) Sample 2





(e) Sample 3



(f) Sample 3

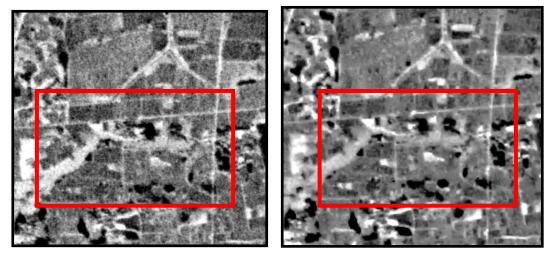


Figure 10. Comparison between archaeological landscapes revealed using the original and pre-processed KH-9 MCS images; Samples1 and 3 represent the remains of a wall while sample 2 represents the remains of the main palace. The coordinate centre of samples 1, 2 and 3 are 30°23'18" N, 119°59'40" E; 30°23'45" N, 119°59'12" E; and 30°24'05" N, 119°59'04" E, respectively. The approximate spatial resolution of these images is 6-9 m.

4.2. KH-9 MCS analytical approaches

SCCWFM illustrated the highly complex and heterogeneous landscape of LAC, comprising the juxtaposition of a mixture geometric pattern anomalies and natural surfaces (Figure 11). Most archaeological features in this region can be identified by great fluctuations in colour, pattern and shape (Figure 11). Abnormal changes in contrast were captured by means of SND (Figure 12). To facilitate investigation, we overlaid the novelty image on the original image. One can see that non-archaeological features were generally located in regions with constant brightness or subtle changes in brightness. However, archaeological features were captured in abnormal transitions of brightness, as evidenced in the result of SND (Figure 12). Moreover, the proposed SND provided outlier values in which lower values (less than -5) not only indicated sharp changes in brightness, but also represent high potential of existing archaeological features. It is noteworthy that SCCWFM and SND provided greater information in comparison to the original KH-9 MCS image (Figure 13).

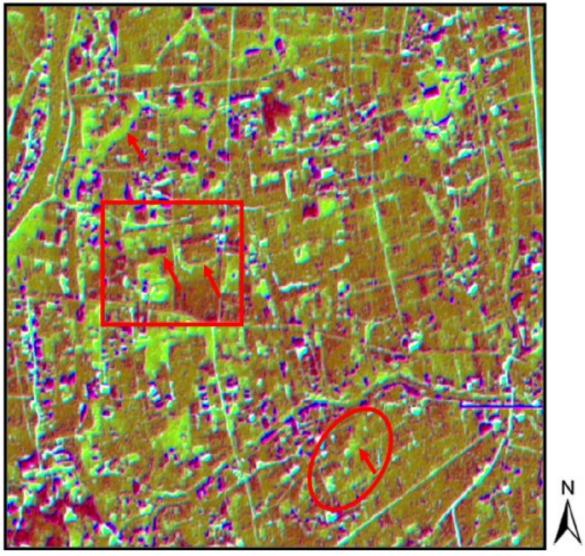


Figure 11. Generated SCCWFM colour composite system over LAC using a panchromatic KH-9 MCS image. The red square, ellipse and arrows show representative archaeological sites. The coordinate centre of the image is 30°23′45″ N, 119°59′12″ E. The approximate spatial resolution of this image is 6-9 m.

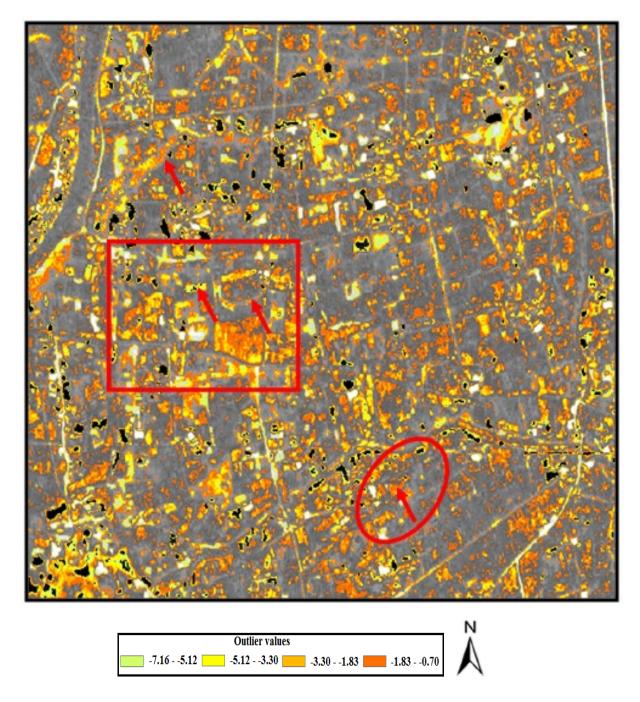


Figure 12. Generated SND based on the panchromatic KH-9 MCS image over LAC. The red square, ellipse and arrows show representative archaeological sites. The coordinate centre of the image is $30^{\circ}23'45''$ N, $119^{\circ}59'12''$ E. The approximate spatial resolution of this image is 6-9 m.

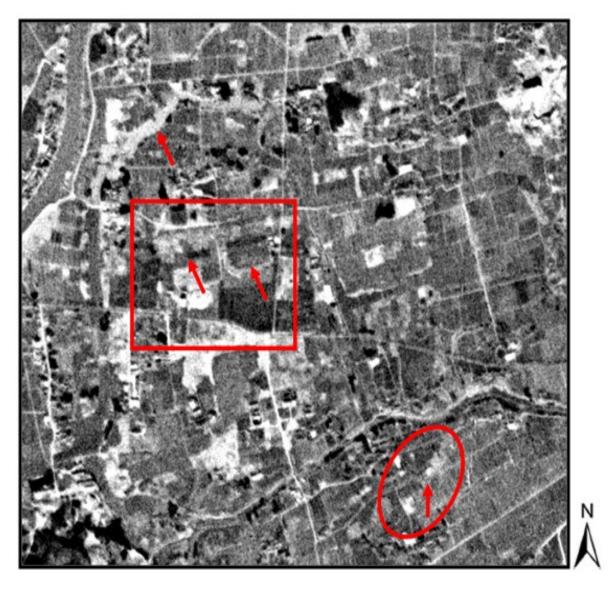


Figure 13. KH-9 MCS original image over LAC. The red square, ellipse and arrows show representative archaeological sites. The coordinate centre of the image is 30°23'45" N, 119°59'12" E. The approximate spatial resolution of this image is 6-9 m.

4.3.KH-9 PCS analytical frameworks

Figure14 shows a three-dimensional colour composite generated by UFSTCC over LAC. This image offers ultra-fine scale details of the LAC region. Accordingly, many archaeological features indicate a high degree of shape and colour variation while non-archaeological regions such as agricultural areas present more homogeneous surfaces. In addition, we created the DSM of LAC using the proposed UFSDSM (Figure 15). Indeed, the estimated DSM not only confirmed the existence of the archaeological remains, but also revealed their structures such as the main palace. Although the original image of KH-9 PCS can be useful (Figure 16), the results of UFSTCC and UFSDSM enhance substantially the likely identification of archaeological remains in the LAC.

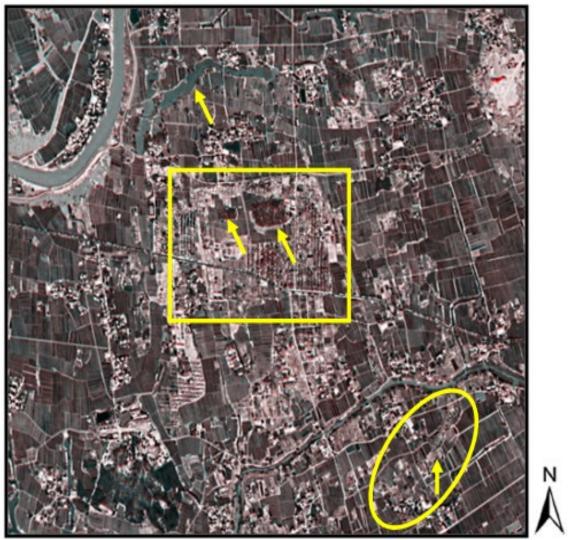


Figure 14. The outcomes of UFSTCC over LAC. The yellow square, ellipse and arrows show representative archaeological sites. The coordinate centre of the image is 30°23'45" N, 119°59'12" E. The approximate spatial resolution of this image is 0.6-1.8m.

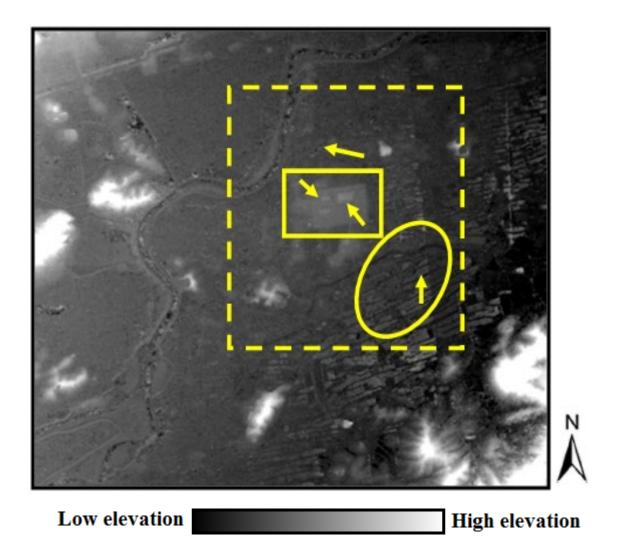


Figure 15. Estimated DSM imagery based UFSDSM over LAC. The yellow square, ellipse and arrows show representative archaeological sites. The square with yellow dash lines shows location of LAC. The coordinate centre of the image is 30°23'45" N, 119°59'12" E. The approximate spatial resolution of this image is 0.6-1.8 m.

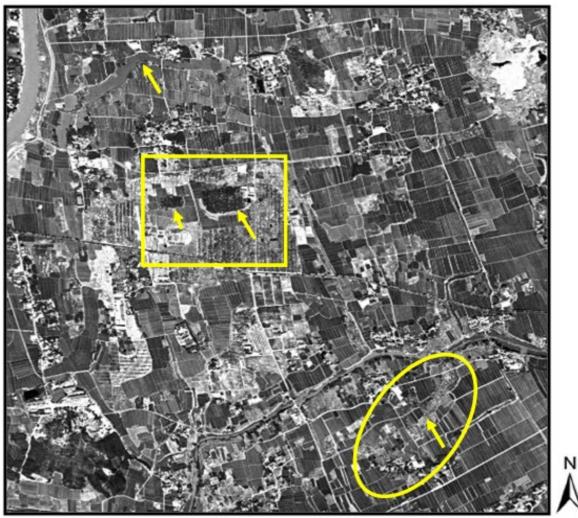


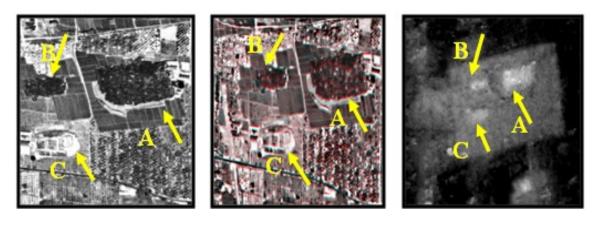
Figure 16. KH-9 PCS original image over LAC. The yellow square, ellipse and arrows show representative archaeological sites. The coordinate centre of the image is $30^{\circ}23'45''$ N, $119^{\circ}59'12''$ E. The approximate spatial resolution of this image is 0.6-1.8 m.

4.4. Simultaneous analysis of KH-9 PCS and MCS over LAC

We conducted a joint analysis of the results of the proposed workflows for KH-9 PCS and MCS. Although the KH-9 PCS image has a finer spatial resolution in comparison with KH-9 MCS, relying on a single panchromatic image (either fine or medium spatial resolution) may be insufficient for identifying archaeological features. The proposed workflows offered a useful and informative way to analyse such features. Interestingly, the obtained results by the proposed approach for KH-9 MCS (SCCWFM and SND) were supported by the findings of those for KH-9 PCS (stereo-pairs and DSM). For example, the SCCWFM image showed clearly the boundaries of the main palace compound and its regular shape (Figure 17(f): "A", "B" and "C") in the KH-9 MCS image. Abnormal variation in brightness values in this region was captured by SND (Figure 17 (e)). The shape and structures of this palace were depicted by UFSTCC (Figure 17(b)) and UFSDSM in the KH-9 PCS image (Figure 17(c)). In another example, the remains of a city wall can be identified easily after SCCWFM and SND (Figure 18(e) and (f)). However, UFSTCC and UFSDSM revealed the details and micro-topography of this wall in the KH-9 PCS image (Figure18 (b) and (c)).

(a) KH-9 PCS original (b) K

(c) KH-9 PCS, UFSDSM



(d) KH-9 MCS original

(e) KH-9 MCS, SND

(f) KH-9 MCS, SCCWFM

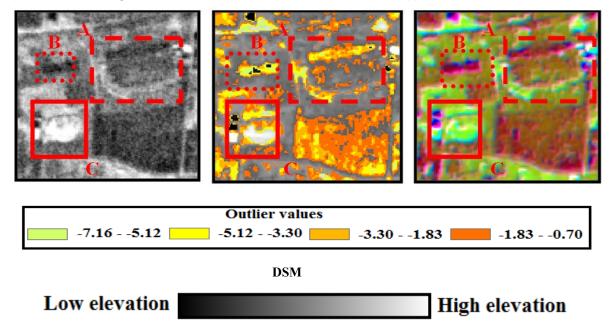


Figure 17. Joint analysis of KH-9 PCS and MCS products over the main palace compound in the LAC region. The coordinate centres of the images (a-c) and images (d-f) are $30^{\circ}23'45''$ N, $119^{\circ}59'12''$ E. The approximate spatial resolutions of images (a-c) and images (d-f) are 0.6-1.8 m and 6-9 m, respectively.

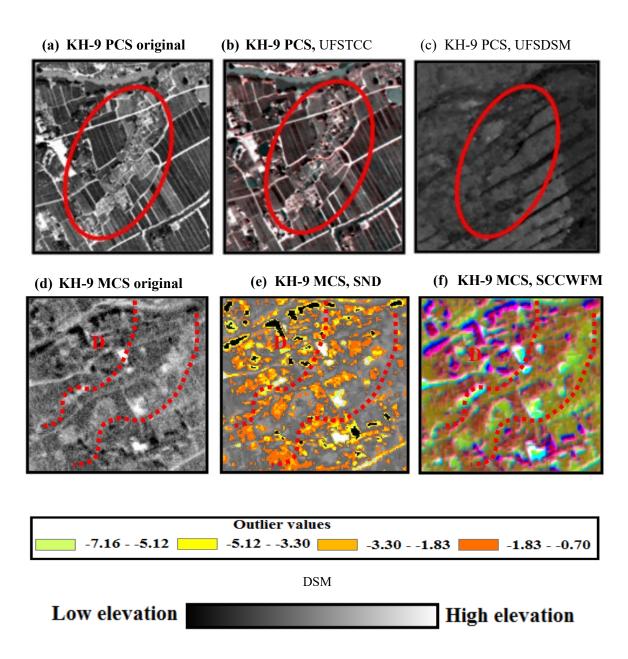


Figure 18. Joint analysis of KH-9 PCS and MCS products over the southern part of the wall in the LAC region. The coordinate centres of images (a-c) and images (d-f) are 30°23'18" N, 119°59'40" E. The approximate spatial resolutions of the images (a-c) and images (d-f) are 0.6-1.8 m and 6-9 m, respectively.

5. Discussion

5.1. Methodological implications

5.1.1. Pre-processing framework

Our research suggested that the original panchromatic imagery from KH-9 MCS and PCS may limit the precision of identifying archaeological features due to noise and brightness anomalies. It was demonstrated through the experiments conducted in this research that implementing a de-noising and contrast enhancement framework such as that developed here can enhance the quality of the KH-9 PCS and MCS imagery substantially and enable the identification of archaeological features.

In terms of de-noising, although benchmark pre-processing algorithms based on local spatial information showed some improvements over the original panchromatic image, they

generated disappointing outcomes partly due to the lack of multi-resolution procedures and partly due to the lack of appropriate training. The proposed SWTNS approach not only enhanced the quality of the KH-9 PCS and MCS images across the targeted archaeological landscape, but also preserved the fine-scale details of image objects in comparison with the benchmark methods. The proposed SWTNS approach employed the normalized sill (NS) based on local spatial characteristics. The NS acted as a useful supervised guide to selecting the appropriate wavelet directional coefficients so as to simultaneously minimise the effects of noise, mitigate over- and under-smoothing and preserve the original image characteristics. Moreover, SWT benefited from a multi-resolution procedure which considered the spatial variation of the noise at multiple scales (Wang, Istepanian, and Yong Hua 2003).

With respect to the contrast enhancement step, the proposed MTH enhanced the appearance of the KH-9 MCS and PCS compared to the benchmarks. In fact, MTH used selective optimisation of local contrast using the relationship between each pixel and its surrounding neighbourhood through the shape and size of structuring elements (SEs) at multiple scales, thus, preserving the characteristics of image details (Soille 2003).

Assessing the performance of image pre-processing is an overriding concern in the remote sensing of archaeology, and it is necessary to gauge precisely any changes to spatial characteristics of brightness values within the pre-processed image. This is because archaeological remote sensing relies heavily on image contrast for identifying features and their surface marks (Lasaponara and Masini 2007). Thus, any alterations within the image may have a negative effect on subsequent procedures. In this view, conventional image quality assessment (e.g., structural similarity index (SSIM)), may not provide comprehensive and systematic information. Therefore, this research proposed a suite of image quality assessment metrics based on GLCM textures. Based on the spatial neighbourhood, GLCM measures present the response of the KH-9 PCS and MCS in terms of local variation (contrast measure), spatial variation (variance measure), disorder (entropy measure) and the distribution in the pixel pairs population (homogeneity measure), relative to alternative image pre-processing algorithms. Each measure of the GLCM provides comprehensive information about the degree to which the quality of an image improved or degraded (Hall-Beyer 2017).

5.1.2. KH-9 MCS- SCCWFM and SND

To analyse the archaeological landscape captured by KH-9 MCS image, we devised an approach with two components: qualitative and quantitative. The qualitative component composed of SCCWFM which employed textures, morphology, spatial information and the edges of image objects. The proposed SCCWFM not only offered a colour composite image, but also was sensitive to the spatial, edge and geometric characteristics of the image objects. Focusing on the quantitative step, we proposed a spatial novelty detection (SND) technique to map archaeological features. Abnormal variation in brightness values (BVs) in archaeological remote sensing is highly important for identifying archaeological marks and, thus, their corresponding archaeological features. The devised SND benefited from spatial neighbourhood and training procedure which helped to map the spatial distribution of abnormal BVs from the KH-9 MCS imagery. Both qualitative (SCCWFM) and quantitative (SND) components added intelligent information for analysing KH-9 MCS imagery against a single panchromatic band.

5.1.3. KH-9 PCS- UFSTCC and UFSDSM

Lack of technical parameters of KH-9 PCS cameras, more importantly Rational Polynomial Coefficient (RPC) coefficients, is one of the most important concerns for creating three-dimensional ultra-fine spatial colour composite (UFSTCC) images and reconstructing

ultra-fine spatial digital surface models (UFSDSMs). However, KH-9 PCS imagery offers ultra-fine scale textures in which state-of-the-art SfM approaches may benefit from this advantage. In terms of UFSTCC, the proposed three-dimensional technique matched two KH-9 PCS stereo images automatically using the Speeded-Up Robust Features (SURF) algorithm, based on local spatial information. Moreover, our approach estimated camera parameters and projection using the SfM algorithm in Matlab® (MathWorks Inc., Natrick, MA, USA. Release: 2022b). With respect to UFSDSM, we adopted the SfM algorithm in Photoscan (Agisoft) software. The focal length and scan resolution were the only parameters input to this algorithm. Moreover, the adopted algorithm employed advances in computer-vision for image matching techniques which depends on ultra-fine scale textures. Both UFSTCC and UFSDSM were conducted fully automatically. In contrast to the single panchromatic band of KH-9 PCS, our investigation showed that UFSTCC provided a colour composite image with ultra-fine scale details and a three-dimensional view while UFSDSM reconstructed a DSM of the study area at the very fine spatial resolution. Both UFSTCC and UFSDSM added a new dimension to our knowledge regarding the Liangzhu archaeological landscape prior to the current urbanisation in this region.

5.1.4. Software issues

One may ask " are archaeologists or cultural heritage experts able to develop the proposed multifaceted approach or similar techniques?" Indeed, our research exploited the advantages of contemporary spatial statistics and computer vision software. For example, Python offers a Scikit-learn package which includes a range of advanced machine learning techniques (Pedregosa et al. 2011). Of these, we adopted the SND technique for mapping brightness anomalies in the KH-9 MCS image. Additionally, Matlab® (MathWorks Inc., Natrick, MA, USA. Release: 2022b) consists of a fully automatic SfM algorithm to reconstruct a three-dimensional image (Anaglyph) from an uncalibrated image. Thus, it is important to realise that using this (or similar) software can make a considerable contribution to exploiting the archaeological information latent in both KH-9 MCS and PCS imagery.

5.1.5. Challenges and recommendations

Despite the above promising outcomes, this research faced some challenges which should be addressed in future investigations. These challenges and potential recommendations are as follows:

- (1) The wavelet transform depends only on three directions (i.e. vertical, horizontal and diagonal) which might not identify noise in every direction. The curvelet transform can be an alternative approach to tackle this problem as it takes into account every direction in the image. Moreover, the wavelet transform and benchmark techniques relied on the intervention of users for tuning the parameters. Hence, future research may consider automatic techniques such as deep learning for image de-noising.
- (2) Although the proposed approaches for KH-9 PCS and MCS images provided promising performances, it is important to examine the performance of alternative advanced methods in image processing such as deep learning for reconstructing a DSM, deep learning unsupervised classification, and the Gabor filter.
- (3) This research did not deal with geometric distortion in the KH-9 PCS and MCS images (Surazakov and Aizen 2010). This is because relaxing the geometric distortion could have a direct effect on the spatial structure and appearance of the image due to several procedures within geometric corrections such as resampling (Morgan, Gergel, and Coops 2010; Mather and Koch 2011). Therefore, the issue of geometric correction of these images for archaeological purposes should be addressed in future research.
- (4) Considering the availability of local reference information, we selected the LAC region

which is located in a subtropical climate and is highly heterogeneous, comprising the juxtaposition of a mixture of archaeological remains, moist soil, vegetation covers, water bodies (such as rivers and ponds) and rural land. Future investigation should assess the application of the proposed framework and KH-9 images in other landscapes, particularly in arid conditions.

- (5) The proposed analytical framework for KH-9 data enhanced, identified and mapped the pattern, spatial distribution and micro-topography of archaeological remains in the LAC this framework offers а non-invasive region. Ultimately, tool (i.e., а non-destructive/non-direct contact tool) for assessing past conditions of cultural heritage sites, which can increase our understanding of such sites and be integrated into designing policies for the protection of cultural heritage sites, thus, ensuring that LAC or other cultural heritage sites are preserved for future generations. This approach also respects access restrictions and can inform conservation efforts over time while adding the context of the surrounding landscape. However, relying only on KH-9 data could be insufficient for implementing such strategies. Hence, incorporating KH-9 data and the proposed framework with contemporary remotely sensed data can generate fine-scale spatio-temporal information which can be utilised for sustainable management of these invaluable cultural heritage sites.
- (6) Obtaining fine spatial resolution topographic data, conducting a field campaign and acquiring GPS data were problematic in the study area (Watanabe et al. 2017). Such data play an overarching role for determining the spatial distribution, pattern and height of archaeological features in the LAC region. To tackle such problems, this research utilised Google EarthTM images and cross-referencing. Future research may exploit the advantages of other open access data sources such as open street map, ASTER GDEM images and ALOS World 3D data.
- (7) To comprehensively evaluate the patterns of archaeological features from KH-9 images, we recommend integration of KH-9 images with other archaeological data sources, such as site plans, excavation reports, and other satellite or aerial sensor imagery. Such comparisons could also pave the way to implement appropriate archaeological and cultural protection policies.
- (8) This research utilised the Local Outlier Factor (LOF) algorithm which characterises novel patterns based on the analysing spatial relationships. Further research is needed to assess the performance of other geospatial analysis techniques (such as local Moran's I, local Geary's C and the Getis–Ord local Gi) using geographic information systems (GIS) to analyse the spatial relationships, patterns, and distributions amongst the identified archaeological features from the KH-9 images.

5.2. Interpreting the LAC landscape using the proposed approach

In the main, the most distinguishing objects of archaeological landscapes from remotely sensed data are crop marks and soil marks (Lasaponara and Masini 2007). Therefore, image object parameters such as tone, texture, shape, shadow, geometry and elevation can be used to identify such marks (Fowler and Fowler 2005). However, identifying these marks based on a single panchromatic band under a subtropical climate with heterogeneous landscape types is non-trivial task (Watanabe et al. 2017).

The use of the proposed multi-component processing enabled us to improve the identification of diverse buried archaeological features in LAC. The proposed SCCWFM and SND revealed that the LAC consisted of a complex and heterogeneous surface due to the presence of many geometric features. In this view, UFSTCC showed the details of LAC in which this site can be divided into two categories: (1) non-archaeological, such as farmlands represented by homogeneous surfaces and (2) archaeological represented by heterogeneous surfaces due to the presence of many geometric features. Moreover,

UFSDSM revealed the structure of archaeological remains in the LAC such as the main palace in the centre. Comparison between our results and previous research (Watanabe et al. 2017; Yu et al. 2018) suggested that the proposed methodology is capable to accurately confirm previous findings with fewer challenges, as mentioned in former studies. This is because the proposed feature identification framework offers two major advantages: (1) SCCWFM and SND are sensitive to feature properties (shape, geometry) and brightness anomalies, respectively; and (2) UFSTCC and UFSDSM pave the way to highlight quantitative details and structure of the archaeological features within a three-dimensional view of the LAC.

Along with previously discovered features (Watanabe et al. 2017; Yu et al. 2018), SCCWFM, SND, UFSTCC and UFSDSM revealed many unknown features in the LAC. These features formed discontinuous patches with curvilinear shapes which could suggest the presence of archaeological remains. This finding is concordant with the results of previous studies (e.g., Lasaponara et al. 2016; Lasaponara et al. 2018) which demonstrated that the existence of geometric features and spatial discontinuities due to pattern anomalies may be indicators of ancient human activities. Moreover, these characteristics could be supported by Landscape Mosaic Theory (LMT) which shows that spatial discontinuities across a landscape may reflect the remains of human activity patches as they have experienced rapid and abrupt changes in contrast to natural patches (Forman 1995). However, further archaeological investigation needs to be carried out to assess this finding.

It is noteworthy that a previous research on KH-9 PCS imagery suggested that creating a digital elevation model (DEM) or DSM based on this imagery could contribute to identifying archaeological features, and revealing their structures (Hammer, FitzPatrick, and Ur 2022). Indeed, our findings support this suggestion in which the DSM generated by the proposed method detected archaeological remains in LAC, and quantified their shape and structure. Also the proposed DSM was consistent with the reference DSM produced by aerial photography (Figure 19)

(Zhejiang Provincial Institute of Cultural Relics and Archaeology 2015). Hence, future archaeological research may exploit advantages of DEM/DSM based KH-9 PCS imagery.

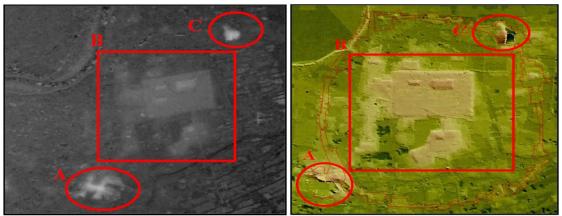


Figure 19. DSM over the LAC site. Comparison between (a) generated DSM using the proposed framework and (b) reference DSM produced from an aerial photograph

(edited from the DSM map of Zhejiang Provincial Institute of Cultural Relics and Archaeology (2015)) The coordinate centre of the images (a) and (b) is 30°23'45" N, 119°59'12" E. The approximate spatial resolution of the image (a) is 0.6-1.8m.

(b)

(a)

5.3. Capability of KH-9 satellite camera images for archaeological studies

We demonstrated that well pre-processed (i.e., de-noised and contrast enhanced) KH-9 images can offer archaeologists and other scientists a remote assessment tool from which to develop a baseline to analyze past conditions of archaeological landscapes. We also showed that developing an appropriate feature identification framework (i.e., SCCWFM, SND, UFSTCC and UFSDSM) based on the state-of-the-art in digital image processing can facilitate identification of archaeological features in both KH-9 PCS and MCS panchromatic images. This suggests that KH-9 imagery can be used widely in archaeological investigations and can reveal fine-scale details of archaeological remains.

Ultimately, investing in proposing analytical approaches (i.e., pre-processing and feature identification) for declassified KH-9 imagery may have great potential to support attainment of the United Nations Sustainable Development Goals (Luo et al., 2019), such as developing a remote sensing archaeological baseline. To achieve the 17 SDGs, the UN emphasizes that "...we call for increased support for strengthening data collection and capacity building in Member States, to develop national and global baselines where they do not yet exist...." (United_Nation 2015). Considering the availability of worldwide imagery at fine spatial scales, processed KH-9 imagery could also provide fundamental information for each archaeological site, and thus support archaeological protection projects such as the Endangered Archaeology in the Middle East and North Africa (EAMENA) project (https://eamena.org). To achieve such goals, future archaeological studies based on KH-9 images should pay more attention to applications of existing, or developing new, digital analytical pipelines, particularly for dealing with the large volumes of images necessary for studying larger archaeological regions.

6. Conclusion

This research highlighted the unique possibilities for the fine-scale analysis of archaeological landscapes offered by declassified KH-9 PCS and MCS panchromatic images, particularly when used in subtropical climate regions and in landscapes that are highly heterogeneous, comprising the juxtaposition of a mixture of archaeological remains, moist soil, vegetation covers, water bodies (such as rivers and ponds) and other land cover types. Such landscapes can lead to mixed pixels among different land cover types from a single panchromatic band. For example, surfaces such as water, moist soil, archaeological remains and vegetation covers may exhibit very similar values in panchromatic imagery, resulting in misinterpretation errors. In addition, existing noise and contrast distortions in KH-9 images impede the accuracy of both visual interpretation and digital image processing. Particularly, the heterogeneity of landscapes can be increased by noise and contrast distortions in the image.

We proposed a multi-stage pipeline to tackle above problems, which included two components: image pre-processing and feature identification. The efficiency of this approach was scrutinized using an ancient landscape, Liangzhu Ancient City (LAC), as representative of complex archaeological scenes with a subtropical climate and heterogeneous landscape. The pre-processing component converted the original scanned KH-9 MCS and PCS images into distortion-free (de-noised and optimized contrast) images by means of SWT and MTH. In terms of feature identification, the information provided by the proposed approach can inform investigators about past conditions of archaeological regions (e.g., the type of sites, their distribution, micro-topographic relief and their relationship with their surroundings) prior to more recent land use changes. Careful investigation based on the proposed techniques revealed many unknown features of LAC with some distinctive characteristics: (1) straight boundaries, (2) discontinuous patches, (3) micro-topographic relief and (4) curvilinear shapes. These characteristics could lead to greater clarity on past conditions of LAC.

Considering the availability of worldwide KH-9 images at fine spatial scales, investing in new analytical approaches (i.e., for pre-processing and feature identification) for declassified KH-9 imagery could support attainment of the United Nations Sustainable Development Goals (such as 17 SDGs), and help develop a spatial database using KH-9 images for protection and management of archaeological and cultural heritage sites, as with the Endangered Archaeology in the Middle East and North Africa (EAMENA) project (<u>https://eamena.org</u>). Therefore, we identify the following recommendations for future research:

- 1) Develop a KH-9 database, future research should assess other image pre-processing techniques (such as deep learning) and feature identification approaches, particularly those based on geospatial analysis using GIS, machine learning and artificial intelligence (AI).
- 2) Establish a multi-source database using contemporary remotely sensed data, field surveys, historical maps and KH-9 images to monitor comprehensively the conditions of archaeological and cultural sites (such as unchanged and damaged) with respect to urbanisation, agricultural development, looting, conflicts, natural disasters and other potential threats, thus, supporting the implementation of appropriate regulations.
- 3) Raise awareness of the capability of KH-9 images and provide training courses for utilising such images in archaeological contexts among archaeological researchers, practitioners and managers, as well as many disciplines that employ historical remotely sensed imagery in their research.

The KH-9 archive represents a unique repository for the remote sensing of archaeology. It is hoped that this research paves the way for more research on digital processing of the KH-9 archive and encourages its use in archaeological investigations.

Declaration of interests

No potential conflict of interest was reported by the authors.

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