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TAK1 Mediates TGF beta-1 Responses in Gingival Fibroblasts

(Thesis format: Monograph)

by

Hanna <u>Kuk</u>

Graduate Program in Physiology and Pharmacology

A thesis submitted in partial fulfillment of the requirements for the degree of Master of Science

The School of Graduate and Postdoctoral Studies The University of Western Ontario London, Ontario, Canada

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ABSTRACT

In fibroblasts, transforming growth factor β -1 (TGF β 1) signals *via* canonical and noncanonical pathways to promote wound healing or hyper-contractile responses resulting in scars. The oral cavity however does not scar and fibrotic responses such as gingival hyperplasia are characterized by a hyper-proliferative response. The involvement of noncanonical, TGF β -activated kinase-1 (TAK1) - mediated TGF β 1 signaling in gingival fibroblasts has not been previously examined. Here I show that TAK1 selective inhibitor (5Z)-7-Oxozeaenol blocks TGF β 1-induced expression of wound healing and fibrotic marker CCN2 (connective tissue growth factor) in gingival fibroblasts. Genome-wide expression profiling revealed that essentially all TGF β 1 induced genes were in fact sensitive to TAK1 inhibition in gingival fibroblasts (139/147) including those involved in proliferative and wound healing responses. This data was confirmed using RT-qPCR to detect mRNA expression as well as functional cell proliferation assay. (5Z)-7-Oxozeaenol might be investigated in the treatment of gingival hyperplasia in the future.

KEYWORDS: TGFβ1; TAK1; (5Z)-7-Oxozeanol; fibrosis; wound healing; CCN2; gingival fibroblasts; proliferation

CO-AUTHORSHIP STATEMENT

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Hanna Kuk performed all the experiments except:

Gene Expression Profiling which was conducted independently by David Carter,

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LIST OF ABBREVIATIONS

Abbreviation	Full Name
ANOVA	Analysis of Variance
BCA	Bicinchoninic Acid Assay
BrdU	5-Bromo-2'-Deoxyuridine
CCN2	Cysteine-rich 61 (Cyr61), Connective Tissue Growth Factor (CTGF), Nephroblastoma over-expressed (Nov) 2
DAPI	4',6-Diamidino-2-Phenylindole
DMSO	Dimethyl Sulfoxide
DPBS	Dulbecco's Phosphate Buffered Saline
EDN1	Endothelin 1
EDTA	Ethylenediaminetetraacetic Acid
FBS	Fetal Bovine Serum
HPK1	Hematopoietic progenitor kinase-1
HRP	Horseradish Peroxidase
IGFBP3	Insulin-Like Growth Factor Binding Protein 3
IL11	Interleukin 11
JUNB	Transcription Factor Jun-B
NP40	Nonyl phenoxypolyethoxylethanol
PBS	Phosphate Buffered Saline

PBST	Phosphate Buffered Saline and Tween
PDGFA	Platelet-Derived Growth Factor Alpha Chain Gene
RIPA Buffer	Radioimmunoprecipitation Assay Buffer
RMA Algorithm	Robust Multi-Array Average Algorithm
RT-qPCR	Reverse Transcription Real Time Polymerase Chain Reaction
SDS	Sodium Dodecyl Sulfate
SEM	Standard Error of the Mean
TAK1	Transforming Growth Factor β-Activated Kinase 1
TAB1	TAK1-binding protein-1
TAB2	TAK1-binding protein-2
TBST	Tris-Buffered Saline and Tween
TGFβ1	Transforming Growth Factor Beta 1
α-SMA	α-Smooth Muscle Actin

1. Introduction

1.1 Overview of physiological wound healing

Disruption or damage of the anatomical structure and function of a tissue or organ is characterized as a wound (Lazarus et al., 1994; Robson et al., 2001). Wound healing is an orderly physiological process in all tissues and organs of the body in response to injury, with the goal of anatomical and functional restoration of that tissue or organ (Guo and DiPietro, 2010; Velnar et al., 2009). Aside from the liver, eye and skeletal tissues, this process of repair is generally conserved across various tissues and organs and has been described as having four phases: hemostasis/coagulation, inflammation, proliferation and resolution/remodeling (Guo and DiPietro, 2010; Hunt et al., 2000; Robson et al., 2001; Velnar et al., 2009; Wynn 2008). It has been hypothesized that failure to terminate the repair program leads to excessive deposition of connective tissue in place of the normal parenchyma resulting in the development of fibrotic scars (Guo and DiPietro, 2010; Leask 2008; Wynn 2008). Interestingly, in contrast to every other adult tissue, the oral cavity does not scar in response to injury (Egusa et al., 2010; Guo et al., 2011a, 2011b; Schor et al., 1996; Wikesjo et al., 1992). Understanding the differences in wound healing responses among different tissue types is of importance for future clinical benefit (Ebisawa et al., 2011; Eslami et al., 2009; Guo et al., 2011a, 2011b; Schor et al., 1996). At present however, relatively little is known about the fundamental mechanisms underlying scarless repair in the gingiva, thus the discussion of wound healing and fibrotic mechanisms in the gingiva are best considered in the context of dermal repair. What is currently known about cutaneous and gingival tissue repair, fibrosis and structural anatomy are thus compared and discussed below.

1.2 Comparative anatomy: structure of skin and gingiva

1.2.1 Structural anatomy of skin

Skin, the largest organ of the body is comprised of three layers: the epidermis, dermis and hypodermis (Wolff et al., 2007) (**Figure. 1.1**). The epidermis, composed of keratinized stratified squamous epithelium is of ectodermal origin (Wolff et al., 2007). Depending on the thickness of the skin, the epidermis consists of five (thick skin: palms, soles) or four (thin skin) outermost to innermost layers: stratum corneum, stratum lucidum (in thick skin only), stratum granulosum, stratum spinosum and stratum basale (Vi 2010; Wolff et al., 2007). Analogous to the skin, the superficial layer of the gingiva is comprised primarily of keratinocytes with occasional melanocytes, Langerhans' cells (antigen presenting cells) and neurosensory cells. It is further classified into four sub-layers similarly to skin: stratum corneum, stratum granulosum, stratum spinosum and stratum basale (Nanci, 2013; Squier and Kremer, 2001). Differentiation of keratinocytes as they move from the deep (stratum basale) to superficial (stratum granulosum) layers constitutes the squamous appearance of the layer, resulting in keratin squames which are shed in the stratum corneum (Nanci, 2013; Squier and Kremer, 2001; Thomson, 2012).

Dermis, mesodermal in origin, is a strong and flexible connective tissue layer below the epidermis and above the hypodermis which is composed of loose connective and fatty tissues (Gosain et al., 2004; Harper and Grove, 1979; Montagna and Parakkal, 1974; Wolff et al., 2007). Fibroblasts, macrophages, mast cells, extracellular matrix (ECM) (types I and III collagen, elastin and fibronectin, proteoglycans), glycoproteins, nerve fibers and blood vessels are found within the dermis (Gosain et al., 2004; Palaiologou et al., 2001). The dermis is further subdivided into papillary and reticular



Figure 1.1. Comparative anatomy: Structure of (A) skin and (B) gingival masticatory mucosa in cross section. Epithelium layer is the outermost layer of the gingival masticatory mucosa while the outermost layer in the skin is known as the epidermis. The dermis (skin) or lamina propria (gingiva) are the connective tissue layers below the outermost layer. (A) Reprinted from: Kendall AC, Nicolaou A. 2013. Bioactive lipid mediators in skin inflammation and immunity. Progress in Lipid Research. Volume 52, Issue 1, 141-164 with permission from Elsevier. pages http://dx.doi.org/10.1016/j.plipres.2012.10.003

sub-layers in the superficial to deep order. The former forms ridges at the point of contact with the epidermis and contains thin, poorly organized collagen fibers and higher collagen type III to type I ratio as well as many more fibroblasts, which show higher proliferation and enzymatic activity (Gosain et al., 2004; Harper and Grove, 1979; Montagna and Parakkal, 1974; Vi 2010; Weber et al., 1984). The reticular region, located above the hypodermis, is characterized by a decreased collagen type III to type I ratio, thick and organized collagen fibers and fibroblasts that show reduced proliferation capacity yet increased contractile responses (Harper and Grove, 1979; Vi 2010; Weber et al., 1984). Hair follicles as well as sweat and sebaceous glands are also found within the dermis.

1.2.2 Structural anatomy of gingiva

Gingival tissue is classified as the oral mucosa surrounding the tooth which acts as a protective barrier (mechanical damage and environmental factors) for the underlying tissues (Nanci, 2013; Seoane Leston et al., 2002; Squier and Kremer, 2001). Gingival mucosa which faces the oral cavity is further known as masticatory mucosa. The epithelial and connective tissue layers of gingiva are organized in a similar fashion to that of the skin. Masticatory mucosa is comprised of the superficial keratinized stratified squamous epithelium layer which is supported by the underlying lamina propria layer, analogous to the dermis layer of the skin (Nanci, 2013; Squier and Kremer, 2001; Thomson, 2012). The basal lamina further forms the interface between the two layers (Nanci, 2013; Squier and Kremer, 2001; Thomson, 2012) (**Figure 1.1**). Located below the lamina propria, the submucosal region in the gingiva is analogous to the hypodermis layer of the skin (Nanci, 2013; Squier and Kremer, 2001; Thomson, 2012). The lamina propria is organized in a similar fashion to the dermis. Conversely, unlike skin, gingival masticatory mucosa lacks hair follicles as well as sweat and sebaceous glands (Seoane Leston et al., 2002).

1.3 Pathological wound healing: overview of fibrosis

Wound healing can be affected by multiple factors, generally characterized as local (pertaining to the wound itself) or systemic (pertaining to the overall health of the organism) (Guo and DiPietro, 2010). Simultaneous processes of inflammation, tissue remodeling and regeneration serve as indicators of fibrotic disease, loosely defined by a time-dependent increase of total collagen deposition which exceeds the rate of collagen degradation (Wynn, 2008). The excessive production, remodeling and contraction of the ECM further leads to excessive scarring, the hallmark feature of fibrotic diseases (Krieg et al., 2007; Leask 2008). The resulting fibrotic scars exhibit a reduction in original elasticity of the tissue, are fairly acellular and replace normal tissue architecture while diminishing its function (Singer and Clark, 1999; Toriseva et al., 2007). It is estimated that close to 45% of deaths in developed countries are attributed to some form of the disease condition which affects the function of multiple organs (Pinzani 2008; Wynn 2008). Fibrotic diseases are also the largest group of diseases to which there is no established therapy (Leask 2008; Pinzani 2008; Wynn 2008). Diabetic nephropathy, pulmonary fibrosis, liver cirrhosis, atherosclerosis, systemic sclerosis/scleroderma, gingival hyperplasia and even hypertension are some of the numerous examples of fibrotic diseases affecting individual organs as well as the entire organism (Leask 2008, 2011; Pinzani 2008).

Although fibrosis is a complex disease involving many mediators, it is thought that myofibroblasts, fibroblast-like contractile cells, as well as three main extracellular factors TGF β 1, endothelin-1 and CCN2 (connective tissue growth factor) represent a common mechanism underlying the onset and progression of fibrosis (Krieg, et al., 2007).

1.3.1 Fibrotic lesions contain abundant myofibroblasts

The cell type responsible for persistent fibrosis is the myofibroblast, a contractile and secretory cell which is characterized by the increased intracellular staining for α -Smooth Muscle Actin (α -SMA), incorporated into stress fibers that span the length of the cell (Gabbiani 2003). α -SMA is a contractile protein which attaches to the ECM through fibronexus/focal adhesion structures (the *in vivo* and *in vitro* junction respectively) on the cell surface and promotes the excessive adhesion and mechanical force generation at the site of wounding, thus promoting wound closure and scar formation (Hinz, 2006, 2007, 2010; Hinz and Gabbiani, 2003; Hinz et al., 2007; Krieg et al., 2007; Shi-wen et al., 2012). Focal adhesions, composed of actin filaments, act as a link between the contractile α-SMA protein inside the cell and the ECM outside (Hinz and Gabbiani, 2003; Liu et al., 2009; Parsons, 2003, Tomasek, et al., 2002). They contain two other important components: integrins (the cell surface receptors for ECM) and focal adhesion kinase which promotes adhesive signaling responses and subsequent fibrosis (Chen et al., 2005; Hinz and Gabbiani, 2003; Liu et al., 2009; Mimura et al., 2005; Parsons 2003; Tomasek, et al., 2002). Essentially, the myofibroblast phenotype in vitro and in vivo is characterized by the appearance of a contractile cytoskeleton accompanied by the *de novo* expression of α-SMA positive stress fibers, integrin attachments to the ECM, replacement of N-

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cadherin adhesions with the formation of bigger OB-cadherin (cadherin-11)-type cell-cell adhesions as well as the formation of large, so–called "supermature" focal adhesions (**Figure 1.2**) (Hinz 2007, 2010; Hinz et al., 2004).

Increased myofibroblast numbers in the dermis correlate with the excessive production of collagen and other ECM components, contraction of ECM and granulation tissue formation (Hinz and Gabbiani, 2003; Hinz et al., 2007; Krieg et al., 2007; Tomasek, et al., 2002). Increased myofibroblast numbers in the skin of systemic sclerosis patients also directly correlates with the extent of local collagen deposition and the Rodnan skin score — which measures the extent of scarring and disease progression (Hinz et al., 2012; Kissin, et al., 2006; Krieg et al., 2007).

In physiological wound healing, myofibroblasts begin their regression and undergo apoptosis with the onset of wound re-epithelialization. In contrast, during fibrosis myofibroblasts persist, resulting in the excessive production and remodeling of the ECM (Desmoulière et al., 1995; Hinz et al., 2012; Krieg et al., 2007).

1.3.2 Origin of myofibroblasts

Several theories have been proposed relating to the origin of myofibroblasts, possibly the earliest point of control in fibrotic disease progression and most accurate indication of the onset of fibrosis (**Figure 1.2**) (Hinz et al., 2007; 2012; Krieg et al., 2007). For example, the dermal myofibroblasts that are detected in response to wound healing and/or scleroderma have been purported to arise as a result of: 1) differentiation of resident dermal fibroblasts *via* signaling in response to growth factors such as TGF β 1; 2) recruitment of circulating fibrocytes, bone marrow (BM)-derived circulating cells or



MYOFIBROBLAST PROGENITORS

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Figure 1.2. Myofibroblast characterization and possible origins. Although several theories have been proposed relating to possible myofibroblast progenitors, fibroblast to myofibroblast differentiation (driven by TGF β 1) is considered to be a predominant source of myofibroblasts in wound healing and fibrosis. Expression of adherens junctions, super-mature focal adhesions and α -SMA incorporation into stress fibers are indicative of myofibroblast phenotype. (Adapted with permission from *Figure 2. One cell, multiple origins*. Hinz B, Phan SH, Thannickal VJ, Galli A, Bochaton-Piallat ML, Gabbiani G. 2007. The myofibroblast: one function, multiple origins. Am J Pathol. 2007 Jun;170(6):1807-16. DOI: 10.2353/ajpath.2007.070112; http://www.elsevier.com)

resting mesenchymal precursor cells to the specific tissue site and subsequent differentiation into myofibroblasts *via* induction by cell-ECM contacts or cytokines; 3) activation of vascular pericytes and smooth muscle cells concomitant with the repair of damaged vasculature in response to injury or 4) clonal selection of resting myofibroblasts (Abe et al., 2001; Direkze et al., 2003; Hinz et al., 2001, 2007; Krieg et al., 2007; Rajkumar et al., 2005).

Of interest still is the traditional view of fibroblast to myofibroblast differentiation, likely to be the predominant process in physiological wound healing and repair. This connective tissue repair process is primarily driven by fibroblasts which are resident connective tissue cells. These cells differentiate into a contractile myofibroblast phenotype in response to mechanical tension and growth factors such as TGF β 1 (Hinz 2007, 2010; Krieg et al., 2007; Leask 2008).

1.4 Fibroblast cells

Fibroblasts are connective tissue cells of mesodermal origin, loosely defined as cells of "spindle shape" capable of forming an extracellular matrix rich in type I and/or type III collagen, adhering to ECM and lacking markers for other cell lineages (Alberts et al., 1994; Chang, et al., 2002; Ebisawa et al., 2011). They are the most versatile connective tissue cell type which can be classified as Loose (in adipose tissue), Fibrous (in tendons, ligaments and cartilage) and Specialized (in bone and blood) that together create a structural framework of body architecture (Alberts et al., 1994). Certain so-called "immature" fibroblasts (sometimes referred to as mesenchymal cells) from various body regions are capable of differentiating into other connective tissue cell types, often co-

existing in a mixed population with the mature fibroblasts (Alberts et al., 1994; Egusa et al., 2010).

Fibroblasts are considered essential for the wound healing process (Abe et al., 2001). Deficiency in fibroblast proliferation and wound closure leads to ulceration. Conversely, abnormal proliferation and/or differentiation into myofibroblasts lead to the excessive production of collagen and other ECM proteins as well as contraction of ECM resulting in fibrosis (Krieg et al., 2007; Leask, 2008).

1.4.1 Fibroblast to myofibroblast differentiation

Growth factors such as TGF β 1, biomechanical tension and matrix signaling are three known factors required for fibroblast to myofibroblast differentiation (Gabbiani 2003; Lagares et al., 2012; Tomasek et al., 2002). Importantly, the disruption of the architecture and composition of ECM, which normally acts as a stress shield for the resident fibroblasts, leads to fibroblast activation and migration into the wound site (Hinz 2007; Hinz et al., 2007; Tomasek et al., 2002; Werner and Grose, 2003).

1.5 The role of TGFβ1 in wound healing and fibrosis

TGF β 1 was first identified in 1983 and has been characterized as a member of TGF β superfamily which also includes Nodals, Inhibins, Activins, Bone Morphogenetic Protein (BMP), Growth and Differentiation Factor (GDF), Mullerian inhibitory substance (MIF) as well as the two remaining TGF β isoforms: TGF β 2 and TGF β 3 (Assoian et al., 1983; Barrientos et al., 2008; Kingsley 1994; Rahimi and Leof 2007). The three TGF β isoforms are predominantly expressed by macrophages, endothelial, fibroblasts and epithelial cells at the site of the injured skin (Barrientos et al., 2008; Rahimi and Leof, 2007). Both covalent and non-covalent interactions of mature TGF β polypeptide with the

latency associated peptide (LAP) or other latent TGF β -binding proteins renders it inactive (Leask 2008; Munger et al., 1997; Rahimi and Leof, 2007). Upon cellular secretion, these latent TGF β -binding proteins and/or LAP are removed by proteolysis, allowing for the formation of an active 25kDa TGF β dimer which initiates signaling responses by interacting with the type II/type I (activin receptor-like kinase, ALK)/TGF β heteromeric receptor complex comprised of one of the five and seven distinct receptor types respectively (Leask 2008; Rahimi and Leof, 2007). Type III (betaglycan) and type V (IGFBP-3R/LRP-1) receptors may be further utilized in conjunction to the type I and type II receptor activation (Blobe et al., 2001; Eickelberg et al., 2002; Huang et al., 2003; Leal et al., 1997, 1999; Liu et al., 1994, 1997; O'Grady et al., 1991, 1992). ALK5 however is the most frequently utilized TGF β type I receptor in fibroblast cells (Leask, 2008; Rahimi and Leof, 2007).

TGF β 1 participates in all stages of the physiological wound healing with increases in its expression shortly after the onset of injury (Barrientos et al., 2008). During the remodeling phase of wound healing, increases in the expression of tissue inhibitor of metalloproteinases (TIMPs), collagen type I and III as well as decreases in the expression of matrix metalloproteinases (MMP) MMP-1, MMP-3, and MMP-9 are mediated by TGF β 1 signaling (Barrientos et al., 2008). Additional responses include facilitation of wound contraction and closure by myofibroblasts, up-regulation of fibronectin, collagen, fibronectin and integrin receptors and protease inhibitors as well as recruitment of inflammatory cells to the site of injury during the earlier stages of wound healing (Barrientos et al., 2008; Clark 1996; Mauviel et al., 1996; Meckmongkol et al., 2007; Papakonstantinou et al., 2003; Zeng et al., 1996). Fibroblast activation in response to TGFβ1 involves two separate, Smaddependent and Smad-independent pathways, also known as the canonical and noncanonical pathways, respectively (Bhattacharyya et al., 2008; Leask 2006, 2008; Leask and Abraham, 2004; Massague 1998; Mehra and Wrana, 2002; Rahimi and Leof, 2007) (**Figure 1.3**).

1.5.1 Canonical TGFβ1 signaling in fibroblasts

Canonical, Smad-dependent TGF β 1 signaling in cells such as fibroblasts involves binding of TGF^{β1} ligand to the type II/type I TGF^β receptor heteromer and activation of downstream Smad complexes, transcription factors which mediate the expression of collagen and other pro-fibrotic genes (Leask 2008; Massague 1998; Rahimi and Leof, 2007) (Figure 1.3). The Smad family of transcriptional co-regulators includes eight different Smad isoforms distributed among three sub-classes and utilized by different members of the TGF β family (Rahimi and Leof 2007). Smad 2 and 3 generally are activated by TGF^β, Activin and Nodal members of the TGF^β superfamily; Smad 1, 5 and 8 are activated by MIS, BMP and GDF whereas the inhibitory Smads; Smad 6 and 7 act as negative regulators of BMP and TGF β /Activin/BMP pathways, respectively (Goumans et al., 2002; Rahimi and Leof 2007; Trojanowska, 2009). Interestingly, the negative regulators Smad 6 and Smad 7 are similarly transcribed via SBE activity upon TGFB stimulation, thus regulating its own expression and TGFB signaling in a negative feedback loop (Leask, 2008; Lin et al., 2003). Finally, in scleroderma fibroblasts, Smad 1 is involved in TGF\beta-mediated signaling where the presence of both TGFβ and CCN2 drive Smad 1 phosphorylation and the subsequent increase in CCN2 production in a positive feedback loop (Pannu et al., 2007; Trojanowska, 2009).



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Figure 1.3. TGF β **1 signaling in fibroblasts**. Canonical and non-canonical signaling pathways are outlined (General and Gene-Specific pathways respectively). TGF β **1** initiates signaling responses by interacting with the type II/type I TGF β heteromeric receptor complex, resulting in Smad3/Smad4 activation, translocation to the nucleus and transcription of target genes by binding to the CAGA consensus sequence of Smadbinding DNA elements (SBE). Alternatively, TGF β **1** signals *via* integrin/syndecan4 receptors synergistically with CCN2 for additional, tissue and context specific regulation. (Adapted with permission from *Figure 2*. Leask A. 2006. Scar wars: is TGF β the phantom menace in scleroderma? Arthritis Res Ther. 2006; 8(4): 213. Doi: 10.1186/ar1976. BioMed Central is the original publisher).

Considering the canonical signaling pathway, TGFβ1 binds to the type II TGFβ receptor leading to the recruitment of type I (ALK5) TGFβ1 receptor. ALK5 becomes phosphorylated at its glycine-serine rich domain, and phosphorylates Smad 2/3 complex at the C terminal Ser-Ser-X-Ser (SSXS) motif leading to dissociation of Smad 2/3 complex from the receptor and its subsequent association with the common mediator Smad 4 (Derynck and Zhang, 2003; Leask 2008, Massague et al., 2005; Rahimi and Leof, 2007; Sporn and Jakowlew, 2010). Additional adaptor proteins including SARA (Smad anchor for receptor activation), HRS/HGS (hepatocyte growth factor-regulated tyrosine kinase substrate) and cPML may be recruited to facilitate ALK5-dependent phosphorylation of Smads (Lin et al., 2004; Miura et al., 2000; Rahimi and Leof 2007; Tsukazaki et al., 1998). p300/CBP co-activators may further assist in the Smad-dependent gene activation and Fast-1 nuclear DNA binding protein is necessary for Smad2 DNA interaction (Ghosh and Varga 2007; Leask, 2008; Liu et al., 1999).

Nuclear translocation of Smad 2/3-Smad 4 trimer complex and direct interaction of Smad 3/4 with the GC rich sequences of certain promoters as well as the GTCT/CAGA consensus sequence of Smad-binding DNA elements (SBE) leads to expression of collagen alpha-2(I) chain and other profibrotic genes (Bhattacharyya et al., 2008; Derynck and Zhang, 2003; Massague et al., 2005; Rahimi and Leof, 2007)

1.5.2 Targeting canonical TGFβ1 signaling in fibrosis disease treatment

TGFβ1 signaling in fibroblasts induces both fibroblast proliferation and differentiation into myofibroblast as well as ECM synthesis, connective tissue deposition and contraction (Grotendorst et al., 2004; Guo et al., 2012; Leask 2008; Rahimi and Leof,

2007). However, signaling via members of the TGFβ superfamily is known to be involved with multiple physiological and cellular processes including immunosuppression, cell differentiation, proliferation (mesenchymal cells), migration, growth inhibition (epithelial, endothelial, hematopoietic and neuronal cells, murine embryonic fibroblasts) or apoptosis (Figure 1.4). (Datto et al., 1999; Grotendorst et al., 2004; Guo et al., 2012; Leask 2008; Rahimi and Leof, 2007; Roberts et al., 1986). Interestingly, a great number of these distinct cellular responses are mediated *via* the canonical signaling pathway, often involving identical TGF β -Smad family signaling participants (Rahimi and Leof, 2007). However, the canonical TGF^β1 signaling pathway does not mediate CCN2/CTGF or α -SMA expression in scleroderma fibroblasts (Chen et al., 2006; Holmes et al, 2001). Aside from fibroblasts, TGFB1 is also produced and locally secreted by macrophages and other cell types and exhibits a profibrotic or suppressive role depending on the cell type (Rahimi and Leof, 2007; Wynn 2008).

The fibrogenic phenotype arising from fibroblast exposure to TGF β 1 is nonheritable and persists only in the presence of TGF β 1 polypeptides, suggesting the removal of "excess" TGF β 1 is sufficient to revert cells to their previous physiologic state (Leask, 2008; McWhirte et al., 1994). TGF β -deficient mouse models exhibit systemic tissue necrosis, organ failure and death (Kulkarni et al., 1993). In contrast, murine models with *TGFBR1* (ALK5) deletion die in utero, probably as a result of vascular defects incompatible with life (Carvalho et al., 2007; Larsson et al., 2001). Similarly, Smad3 knock-out murine models develop emphysema, chronic inflammation and colorectal adenocarcinomas among other morbidities (Bonniaud et al., 2004a; Datto et al., 1999; Yang et al., 1999; Zhu et al., 1988).

MACROPHAGE



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Figure 1.4. The diverse, multifunctional, and pleiotropic effects of TGF β . Signaling *via* TGF β affects multiple cell types and cellular processes making it difficult to target TGF β 1 directly as a potential fibrotic disease treatment (Reproduced with permission of Oxford University Press from *Figure 2*. Bujak M, Frangogiannis NG. 2007. The role of TGF-beta signaling in myocardial infarction and cardiac remodeling. Cardiovasc Res. May 1;74(2):184-95. Epub 2006 Oct 7; Doi: 10.1016/j.cardiores.2006.10.002)

Not surprisingly, studies that manipulate canonical TGF β 1 signaling pathways do not provide a specific point of interference which can be applied in future therapy of fibrotic diseases (Bonniaud et al., 2004; Denton et al., 2007; Evans, et al., 2003; Hu et al., 2003; Kulkarni et al., 1993; Leask et at., 2008; Shull et al., 1992). Further, the use of a recombinant human anti-TGF β 1 antibody (CAT-192) in a clinical trial for the treatment of early-stage diffuse cutaneous systemic sclerosis resulted in adverse side-effects including death without evidence of efficacy (Denton et al., 2007). Collectively, these observations suggest that examining non-canonical TGF β signaling pathways, which may mediate specific cellular responses to TGF β 1, might be more target specific for the treatment of fibrosis.

1.5.3 Non-canonical TGFβ1 signaling in fibroblasts

Several Smad-independent, non-canonical TGFβ1 signaling pathways have been previously identified in fibroblasts (Leask 2008; Massague 1998; Rahimi and Leof, 2007; Verrecchia and Mauviel, 2007; Wynn 2008; Zhang 2009). These pathways cooperate with canonical TGFβ1 signaling, and tend to be gene and tissue specific (**Figure 1.3**). Non-canonical TGFβ1 signaling can involve the MEK/ERK, p38 or JNK MAP kinase (MAPK) pathways. For example, TGFβ-dependent activation of Ras-MEK1-ERK1/2-Elk-1 occurs *via* heparan sulfate-containing proteoglycan (HSPG) syndecan 4 as a coreceptor (Barrientos et al., 2008; Chen et al., 2005; Massague et al., 2005; Verrecchia and Mauviel, 2007; Zhang 2009). Additional non-canonical signaling may involve Rho-like GTPase signaling pathways as well as phosphatidylinositol-3-kinase (PI3K)/AKT pathways (Zhang 2009). One example of the latter includes TGFβ-mediated activation of p21 activated kinase 2 (PAK2) and Akt *via* PI3K intermediate (also an independent regulator) in fibroblasts (Rahimi and Leof, 2007; Wilkes et al., 2003; 2005).

1.6 TAK1

TGF- β -activated kinase 1 (TAK1) mediates the ability of TGF β 1 to activate the p38 and JNK MAP kinase cascades (Guo et al., 2012; Shim et al., 2005, Shi-Wen et al., 2009; Yamaguchi et al., 1995; Yamashita et al., 2008). This protein belongs to the mitogen-activated protein kinase kinase kinase (MAPKKK) family and was first identified in 1995 based on a screen of a murine cell line cDNA expression library (Shim et al., 2005; Yamaguchi et al., 1995). Activation of ectopic TAK1 in the MC3T3-E1 cell line following TGF β treatment implicated this kinase in TGF β 1-mediated MAPK signaling, and established its name as TGF β -activated kinase 1 (Yamaguchi et al., 1995).

In humans, the 606 amino acid TAK1 protein sequence contains a unique 300 amino acid C terminal region, as well as a putative N terminal domain resembling that of Raf-1 and MEKK kinases (30% identity) (Wu et al., 2013; Yamaguchi et al., 1995). An ATP-driven protein kinase catalytic region lies at the junction between the two domains (Wu et al., 2013).

TAK1-mediated signaling is activated in a number of cellular responses, including inflammation, apoptosis as well as certain cancers (Sakurai, 2012; Shim et al., 2005; Yamashita et al., 2008). Aside from TGF β signaling, tumor necrosis factor- α (TNF- α), interleukin 1- β (IL-1 β) and Toll-Like receptor ligands use TAK1 as an intermediate in the activation of JNK/p38 and I-kappa B kinases (IKK), as well as downstream transcription factors including Activator protein 1 (AP-1) and NF-kappaB (Guo et al., 2012; Shim et al., 2005; Shi-wen et al., 2009; Wang et al., 1997,2001; Yamashita et al., 2008). TAK1 is therefore an important mediator of inflammatory responses (*via* NF-kappaB and AP-1 activation) (Shim et al., 2005; Shi-wen et al., 2009; Yamashita, et al., 2008).

TAK1 has organ-specific effects. For example, TAK1 is essential for hepatic homeostasis. Loss of TAK1 from the liver using hepatocyte-specific *Tak1*-deficient (Tak1 Δ HEP) mice resulted in inflammation, spontaneous hepatocyte death and perisinusoidal fibrosis developing at one month of age and eventually resulting in cancer (Inokuchi et al., 2010). Interestingly, tamoxifen-driven, global TAK1 deletion in mice conferred resistance to the development of renal fibrosis upon unilateral ureteric obstruction, resulting in reduced myofibroblast counts, collagen and pro-fibrotic gene expression due to abolished IL-1, TNF- α -induced JNK, p38, and NF- κ B signaling attributed to TAK1 loss (Ma et al., 2011).

1.6.1 TAK1- mediated non-canonical TGF^β1 signaling in fibroblasts

In human and mouse fibroblasts, TGF β 1 activation of TAK1 results in the phosphorylation of stress-activated MAP kinase members JNK (*via* MKK4 intermediate) and Stress Activated Kinase p38 (*via* MKK6 or MKK3 intermediates) (Guo et al., 2012; Liu et al., 2007; Lovgren et al., 2011; Rahimi and Leof, 2007; Shibuya, et al., 1996; Thannickal et al., 2003; Wang et al., 1997, 2001; Yamaguchi et al., 1995; Yamashita et al., 2008). TGF β receptor-dependent phosphorylation of TAK1 is also known to involve HPK1 and/or TAB1/TAB2 adaptor proteins (Rahimi and Leof 2007; Shibuya et al., 1996; Wang, et al., 1997, 2001; Yamashita et al., 2008). Interestingly, TAB2 was found to be dispensable in regards to TGF β induced gene expression and TAK1-mediated JNK signaling (Shim, et al., 2005). A study by Yamashita and colleagues in 2008 also

identified TNF-receptor-associated factor 6 (TRAF6) ubiquitin ligase as a direct link between the TGF β receptor complex and TAK1, where the activated receptor complex leads to K63 polyubiquitination of TRAF6 which then mediates TAK1 activation by a yet unknown mechanism, possibly including TAK1/TAB2 complex formation and/or activation (Shim et al., 2005; Wang, et al., 2001; Yamashita et al., 2008). Activation of TAK1 through TGF β 1 receptor signaling is further thought to involve focal adhesion kinase: phosphorylation of TAK1 upon TGF β 1 stimulation was reduced either by pretreatment of wild-type fibroblasts with the FAK/Src inhibitor PP2, or in fibroblasts with FAK deletion (Shi-wen et al., 2009). Canonical, Smad-mediated signaling was unaltered upon TAK1 deletion, consistent with the idea that TAK1 is a mediator of non-canonical TGF β 1 signaling (Shi-wen et al., 2009; Shim et al., 2005; Yamashita et al., 2008).

1.6.2 Role of TAK1 in dermal homeostasis and wound repair

The TAK1-JNK/p38 pathway has been previously shown to play an important role in adhesive, migratory, proliferative and contractile responses of dermal fibroblasts, as well as in fibroblast to myofibroblast differentiation in response to TGFβ1 (Guo et al., 2012). Much of what is known about the role of TAK1 in fibrosis comes from studies of dermal fibroblasts and cutaneous disease. Fibroblasts isolated from the skin of scleroderma patients exhibited elevated levels of constitutively active TAK1 protein (Shiwen et al., 2009). Decreased expression of collagen and other pro-fibrotic genes in the skin was observed in the fibroblast-specific TAK1 knock-out (TAK1-KO) mouse model, which also showed reduced skin thickness, delayed wound closure and decreased collagen deposition (Guo et al., 2012). TAK1-KO dermal fibroblasts exhibited reduced levels of proliferation (Guo et al., 2012). TAK1-KO dermal fibroblasts exhibited reduced levels of proliferation (Guo et al., 2012).

using (5Z)-7-Oxozeaenol, a TAK1 selective inhibitor, also led to reduced expression of ~ 66% of pro-fibrotic genes following *in vitro* treatment with TGF β 1 (Guo et at., 2012; Ninomiya-Tsuji et al., 2003). Thus, previous studies suggest that TAK1 plays an important role in maintaining dermal homeostasis as well as in mediating some of the pathways expected to play a role in fibrotic disease onset and progression.

1.6.3 (5Z)-7-Oxozeaenol: a TAK1 selective inhibitor

(5Z)-7-Oxozeaenol (also known as C 292, F 152, FR148083, L 783279, LL-Z1640-2) is a natural resorcyclic lactone first isolated in 1978 from an unidentified fungus and later in 2003 from the f6024 fungal strain culture broth in a quest for identifying an inhibitor of TAK1 to combat pro-inflammatory signaling (Ellestad et al., 1978; Murray, 2009; Ninomiya-Tsuji et al., 2003). (5Z)-7-Oxozeaenol acts at the level of the ATP binding site of TAK1 to irreversibly inhibit TAK1 catalytic activity by forming a covalent bond with the C8' of the cis-enone and Cys174 residue of TAK1 (Ninomiya-Tsuji et al., 2003; Wu et al., 2013). A TAK1-specific IC50 of 8 nM and 65 nM was previously reported based on the *in vitro* and *in vivo* kinase assays respectively (Ninomiya-Tsuji et al., 2003). In comparison, the *in vitro* IC50 for MEKK1 was 268 nM and the activities of MEKK4 (another MAPKKK family representative) and ERK were not inhibited at 500 nM (5Z)-7-Oxozeaenol (Ninomiya-Tsuji et al., 2003). Downstream targets of TAK1 such as JNK and p38 were also not inhibited by (5Z)-7-Oxozeaenol (Ninomiya-Tsuji et al., 2003).

1.7 TGFβ1 signaling: role of CCN2

In dermal and gingival fibroblasts, TGF β 1 potently induces the expression of the pro-fibrotic marker and mediator CCN2 (connective tissue growth factor), a member of

the CCN family of matricellular proteins (named for the first three members: cyr61, ctgf and nov) (Black and Trackman 2008; Brigstock 2010; Leask 2008; Leask et al, 2009; Thompson et al., 2010). Matricellular proteins are known for their regulatory, as opposed to structural roles in modifying responses to growth factors and the microenvironment (Leask 2013a, 2013b.) Aside from CCN2, the CCN family in humans is comprised of 5 other members classified together based on a predicted four-modular structure and presence of N-terminal secretory signal: cysteine-rich 61 (Cyr61/CCN1), nephroblastoma over-expressed (Nov/CCN3), and the Wnt-induced secreted proteins (WISP-1, CCN4), -2 (WISP-2, CCN5) and 3 (WISP-3, CCN6) (Brigstock, 2003). CCN2 was identified over 20 years ago by Bradham and others as a 38-kDa, cysteine-rich protein secreted in endothelial cell culture capable of inducing fibroblast chemotaxis and cell division (Bradham et al., 1991; Leask 2013a, 2013b). The mature CCN2 protein is comprised of four modular domains: an insulin-like growth factor binding protein (IGFBP) domain (module I), a Von Willebrand factor domain (module II), a thrombospondin-homology domain (module III), cysteine knot heparin-binding domain (module IV) and possibly an un-cleaved signal peptide sequence. A "hinge region" between modules II and III allows for differential cleavage and fragmentation of the CCN2 protein which may have an effect on CCN2 function (Figure 1.5) (Bork 1993; Brigstock, 2003; Leask 2013a, 2013b; Perbal 2004).

1.7.1 CCN2 mediates wound healing and fibrosis

As observed with TGF β 1 expression, the levels of CCN2 increase rapidly following injury, and both the proliferative and remodeling phases of wound healing are



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Figure 1.5. CCN2 protein structure (signal peptide and Modules I-IV) and signaling in fibroblasts. CCN2 binds integrins and heparan sulfate proteoglycan (HSPG) receptors on fibroblast surface. Binding of fibronectin and synergistic binding of TGFβ1 by CCN2 transduces the signals from the ECM inside the fibroblast. (Adapted from: *Figure 2*. Leask A, Abraham DJ. All in the CCN family: essential matricellular signaling modulators emerge from the bunker. J Cell Sci. 2006 Dec 1;119 (Pt 23):4803-10. Doi: 10.1242/jcs.03270; jcs.biologists.org)

regulated by CCN2-mediated response (Barrientos, et al., 2008). CCN2 expression is elevated in fibrotic conditions affecting all organs including atherosclerosis, scleroderma, keloids, hypertrophic scars, gingival hyperplasia, muscular dystrophy, Dupuytren's disease, idiopathic pulmonary fibrosis as well as diabetic retinopathy and nephropathy (Barrientos et al., 2008; Holmes et al., 2001; Kantarci et al., 2006; Leask 2013a, 2013b; Leask et al., 2009; Ponticos et al., 2009; Sonnylal et al., 2010; Thompson et al., 2010; Uzel et al., 2001). Elevated levels of CCN2 expression also serve as indicators of the severity of systemic fibrosis and correlate well with the presence of myofibroblasts and elevated ECM production by fibroblasts (Leask and Abraham, 2006; Ponticos et al., 2009). Loss of CCN2 expression in fibroblasts results in resistance to skin fibrosis, suggestive of the requirement of CCN2 for the development of skin fibrosis in vivo (Liu et al., 2011). CCN2 expression is induced by TGF β 1, yet it also behaves as a co-factor promoting TGF^{β1}-mediated ECM adhesion and fibrogenesis (Mori et al., 1998; Shi-wen et al., 2006; Wang et al., 2011) (Figure 1.5). CCN2 promotes fibroblast adhesion via association with HSPG and integrins, the specific identity of which tend to be cell type specific (Leask and Abraham, 2006). CCN2 potentiates other responses including: fibroblast growth, proliferation and chemotaxis, increase expression and deposition of collagen, fibronectin and other matrix proteins, up-regulation of integrin receptors, angiogenesis, endothelial migration, adhesion and proliferation (Barrientos et al., 2008; Holmes et al., 2001; Krieg et al., 2007; Leask 2008, 2013a, 2013b; Ponticos et al., 2009). The heparin binding domain also mediates the ability of CCN2 to bind low-density lipoprotein receptor-related protein 1 (LRP1) (Leask and Abraham, 2006; Segarini et al.,
2001). Thus, understanding how CCN2 is regulated in fibroblasts is likely to yield valuable insights into the treatment of fibroproliferative disorders.

1.8 Gingival hyperplasia

In contrast to every other adult tissue, the oral cavity does not scar in response to injury (Egusa et al., 2010; Guo et al., 2011a, 2011b; Schor et al., 1996, Sciubba et al., 1978). Unlike skin wounds, gingival wounds heal faster and without scarring, although the stages of the healing process and their sequence remain similar between the two tissue types (Egusa et al., 2010; Guo et al., 2011a, 2011b; Schor et al., 1996, Sciubba et al., 1978; Wikesjo et al., 1992). One example of a fibrotic condition in the oral cavity is gingival hyperplasia, characterized by an excessive gingival overgrowth in susceptible patients as a side effect to antiepileptic medications, calcium channel blockers and immunosuppressant drugs or as a result of other factors including disease and genetic predisposition (Clocheret et al., 2003; Nakib and Ashrafi, 2011) (Figure 1.6). In contrast to the typical, hyper-contractile response observed in fibrotic diseases, gingival hyperplasia is characterized by a hyper-proliferative response, likely due to the inherent tissue-specific differences in resident fibroblast cells (Guo et al., 2011a, 2011b). In vivo, fibrotic responses in the oral cavity are not generally characterized by the presence of myofibroblasts but instead are characterized by hyper-proliferation of fibroblast cells (Bitu et al., 2006; Damasceno et al., 2012; Sobral et al., 2010). This feature could arise due to a reduced or altered sensitivity of gingival fibroblasts, relative to dermal fibroblasts to mechanical strain, TGFB1 or the use of alternative TGFB1 signaling pathways (Guo et al., 2011a,b).



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Figure 1.6. Hereditary gingival overgrowth. Unlike skin, gingiva does not scar. In contrast to the hyper-contractile fibrotic disease presentation associated with myofibroblast differentiation, gingival hyperplasia is characterized by a fibroblast proliferation and relative lack of myofibroblasts in the oral cavity. Taken directly from: Fig. 1b. Orthodontic records after periodontal surgery. Clocheret K1, Dekeyser C, Carels C, Willems G., 'Idiopathic gingival hyperplasia and orthodontic treatment: a case report.', Journal of Orthodontics, 2003 Mar;30(1):13-9. http://jorthod.maneyjournals.org/

Studies analyzing transcriptional and functional differences among fibroblasts resident in various tissue niches are gaining importance with increasing evidence of intertissue fibroblast heterogeneity (Chang et al., 2002; Chipev et al., 2002; Ebisawa et al., 2011; Guo et al., 2011a, 2011b; Yasuda et al., 2006). Although dermal and gingival fibroblasts appear to be morphologically identical in culture, differences at the level of gene expression have been previously reported (Ebisawa et al., 2011; Guo et al., 2011a, 2011b). A study by Ebisawa and colleagues (2011) recently investigated differential gene expression in primary cultures (passages 4-5) of human gingival and dermal fibroblasts using DNA microarray, to gain a better insight into the existing functional differences of the two cell types (Ebisawa et al., 2011). From the 5284 analyzed genes, the expression of 5% (278) of genes was found to be significantly different; 114 genes were only expressed in dermal fibroblasts whereas 164 genes were only expression in gingival fibroblasts (Ebisawa et al., 2011). Differences in the extracellular matrix-related genes, oxidoreductase activity-related genes, cytokine activity-related genes and growth factorrelated genes were prominent between the two cell types (Ebisawa et al., 2011). A similar study using DNA microarray gene expression profiling identified close to 800 genes which were found to be enriched in the human dermal fibroblast cell line as compared to human gingival fibroblasts (Guo et al., 2011b). These included the pro-adhesive genes such as paxillin, integrins $\alpha 2$ and $\alpha 4$ and FAK (Guo, et al., 2011b). In a different study, expression of integrins β 3, a11, α 2 and α 5 exhibited 15-, 10-, 5- and 5- fold increased expression, respectively, in human dermal fibroblasts as compared to human gingival fibroblasts (Palaiologou et al., 2001). In contrast, expression of integrin subunit $\alpha 3$, $\alpha 8$

and β 6 was found to be increased in gingival fibroblasts as compared to dermal fibroblasts by 50-, 15- and 5- fold, respectively (Palaiologou et al., 2001). Interestingly, no correlation was found between the expression pattern of heterodimeric integrin receptors and the fibroblast attachment profile to ECM (Palaiologou, et al., 2001).

1.9.1 A comparison between gingival and dermal fibroblasts: differences in function

The relative differences in wound healing and fibrotic responses between skin and gingiva may be further precipitated when fibroblasts are subjected to the wound healing/fibrogenic contexts and fibrogenic stimuli. Three dimensional fibrin lattices have been used to culture fibroblasts to mimic cellular environment during the early phases of wound healing, including inflammation and formation of fibrin clot (Bell et al., 1983; Lorimier et al., 1996, 1998; Niewiaro et al., 1972). Gingival fibroblasts seeded onto a fibrin lattice resulted in a rapid retraction and lysis of the lattice and complete disappearance after one week, suggesting a higher degree of fibrinolytic activity in gingival fibroblast as compared to dermal fibroblasts (Lorimier et al., 1998). Interestingly, the expression of matrix metalloproteinases (MMP2, MMP9) and tissue inhibitors of metalloproteinases (TIMP2) was more rapid and robust by the gingival fibroblast, suggesting a greater capacity of matrix remodeling by the gingival as compared to dermal fibroblasts (Chaussain Miller et al., 2002)

Further, the shielding properties normally exhibited by the ECM matrix as a protective barrier from the external environment and mechanical forces may be lost as a result of injury and are thus thought to expose the fibroblasts to the forces of mechanical tension, followed by the differential adaptation of the two cell types to the new, "stress unshielded" environment, likely promoting fibroblast to myofibroblast differentiation (Guo et al., 2011a; 2011b, Hinz and Gabbiani, 2003; Kessler et al., 2001). Mechanical strain induced a number of pro-proliferative genes (*CDC6, cdk6*) as well as *TGFβ1, ET-1* (albeit at relatively low levels) and *CCN2* gene expression in donor-derived human gingival fibroblasts whereas potent induction of collagen type I and α SMA did not occur (Guo et al., 2011a; 2011b). Further, the fibrotic gene cluster was not identified using microarray analysis of the gingival fibroblasts post 72 hour strain while genetic cluster involved in cellular proliferation (cdc6,cdk6) was induced in response to strain, consistent with the notion that gingiva possess a hyper-proliferative as opposed to hyper-contractile fibrotic response (Guo et al., 2011a; 2011b).

Similarly to strain, a six hour induction of human dermal fibroblasts with TGF β 1 ligand (4ng/ml) potently increased the levels of CCN2, collagen I and α -SMA mRNAs, whereas only CCN2 expression was induced in human gingival fibroblasts in response to TGF β 1 albeit to a lesser extent than in dermal fibroblasts (Guo et al 2011a). The effect was attributed to the fact that gingival fibroblasts possess reduced ET-1 mRNA and protein as compared to human dermal fibroblasts; the difference in the α SMA and collagen I induction between the two cell types was rescued upon co-incubation of gingival fibroblasts with both TGF β and ET-1 (Guo, et al., 2011a).

Further of interest is the observation that gingival wounds heal faster and with less scar formation as compared to skin wounds, although the stages of the healing process and their sequence remain similar between the two tissues (Egusa, et al., 2010; Guo et al., 2011a, 2011b; Schor, et al., 1996, Wikesjo, et al., 1992). Therefore it is possible that TGFβ1 signals through different pathways in dermal *vs* gingival fibroblasts. In this regard, the role of non-canonical TGF β 1 signaling in gingival fibroblast remains to be explored. (Guo et al, 2011a, 2011b).

1.10 Rationale, Objectives and Hypothesis

Canonical TGF β 1 signaling is involved in multiple intracellular signaling pathways and in a number of physiological and cellular processes (Datto et al., 1999; Grotendorst et al., 2004; Guo et al., 2012; Leask 2008; Mehra and Wrana 2002; Rahimi and Leof, 2007; Roberts et al., 1986; Yamashita et al., 2008). Thus, broad targeting of the TGF β 1- mediated pathways may lead to deleterious side effects; previous studies which tried to interfere with the downstream targets of the canonical TGF β 1 signaling yielded no promising results (Bonniaud et al., 2004a; Datto et al., 1999; Evans et al., 2003; Grotendorst, et al., 2004; Hu et al., 2003; Leask et at., 2008; Mehra and Wrana, 2002; Rahimi and Leof, 2007; Roberts et al., 1986; Wang, et al., 1997; Yamashita, et al., 2008). Indeed, the use of CAT-192, a recombinant human anti-TGF β 1 antibody, led to adverse side-effects including death and no evidence of efficacy in the clinical trials of early-stage diffuse cutaneous systemic sclerosis treatment (Denton et al., 2007). Previous studies have identified the non-canonical, TAK1/JNK mediated signaling to play a role in physiological and pathological fibroblast function in the skin (Guo et al., 2012). Targeting the non-canonical pathways may thus represent a new and promising field in the development of novel therapeutics for the fibrotic disease treatment.

The involvement of non-canonical, TAK1-mediated signaling in TGF β 1 driven, pro-fibrotic or hyper-proliferative responses in gingival fibroblasts has not been previously examined. Whether TAK1 mediates TGF β 1-driven fibro-proliferative responses in gingival fibroblasts is also unknown. In addition, the role of TAK1-mediated CCN2 expression in gingival fibroblasts has not been previously investigated, although the known involvement of CCN2 signaling in fibrotic conditions including gingival hyperplasia render CCN2 as a promising target for future anti-fibrotic therapies (Black and Trackman, 2008; Blom et al., 2002). Therefore, my overall objective was to use the TAK1 selective inhibitor (5*Z*)-7-Oxozeaenol to assess the role of TAK1 in human gingival fibroblast responses to TGF β 1. My hypothesis was that TAK1 mediates the ability of TGF β 1 to a) induce CCN2 expression and b) induce transcriptional responses in gingival fibroblasts. My thesis described data supporting the hypothesis that targeting the non-canonical TAK-mediated TGF β 1 signaling pathway may be useful to combat gingival hyperplasia in the future.

2. Materials and Methods

2.1 Human gingival fibroblast culture

Gingival fibroblasts from one healthy human donor were used for this study and are described elsewhere (Thompson et al., 2010). Cells were grown as monolayers in high glucose DMEM growth media (Gibco) supplemented with 10% FBS (Gibco) and 1% Antibiotic-Antimycotic mix (Gibco) at 37°C, 5% CO₂ (SANYO Scientific laboratory incubator). At 40 -60% confluence, cultures were serum starved (low glucose DMEM growth media supplemented with 0.5% FBS and 1% Antibiotic-Antimycotic antibiotic mix) overnight and pre-treated with DMSO (vehicle control; Sigma-Aldrich) or 400 nM (5*Z*)-7-Oxozeaenol (Tocris) for 45 minutes followed by treatment with or without recombinant human TGF β 1 (4 ng/ml; 90 pM; R&D systems) for 6, 24, 48 and 72 hours as indicated (Guo et al., 2012). Cultures of passages 8 through 10 were utilized for this study.

2.2 RNA extraction

Cell monolayers were subjected to the total RNA extraction adapting the Trizol method as described previously (Ambion, Life-Technologies; Chomczynski and Sacchi, 1987). Briefly, cell monolayers were lysed in TRIzol® (Ambion, Life Technologies) followed by the addition of 0.2 volumes chloroform (Sigma-Aldrich). The samples were centrifuged to allow for phase separation and ~70% of the supernatant was collected. Isopropanol (Sigma-Aldrich) was added in a 1:1 isopropanol to supernatant fraction volume ratio respectively and the samples were centrifuged to pellet the precipitated RNA. The latter was washed with 80% ethanol (GreenField Ethanol Inc), pelleted, air-dried and re-dissolved in nuclease-free water (Thermo Fisher Scientific). The concentration and integrity of the extracted RNA sample was measured using the Nucleic

Acid, Nanodrop program (Thermo) and RNA quality was assessed using an Agilent 2100 Bioanalyzer (Agilent Technologies Inc.) and RNA 6000 Nano kit (Caliper Life Sciences). The latter procedure was conducted at the London Regional Genomics Centre (David Carter, Robarts Research Institute, London, Ontario, Canada).

2.3 RT-qPCR analysis

Extracted RNA samples (50 ng) were reversed transcribed and amplified using TaqMan Human gene Expression assays (Applied Biosystems) in a 15- μ L reaction containing qScriptTM XLT One-Step RT-qPCR ToughMix (Quanta Biosciences), TaqMan Human gene specific target primers (Applied Biosystems) and FAM (6-carboxyfluroscein labeled) gene specific TaqMan MGB probes (Assays on demand; Applied Biosystems) as per previously established protocols (Thompson et al., 2010). ViiATM 7 Real-Time PCR System and ViiATM 7 Software were used for detection and analysis of the amplified signal according to manufacturer's instructions (Applied Biosystems). Relative gene expression was obtained for *CCN2*, *IL11*, *PDGFA*, *EDN1*, *JUNB*, *IGFBP3* and *SERPINE1* genes and standardized to the 18S expression as the internal control using the $\Delta\Delta$ Ct method (Livak et al., 2001). Triplicate samples were examined and the experiments were repeated on three independent occasions with averages +/- SEM (N=3) calculated. Two-Way ANOVA and Tukey's Post Hoc analysis were used for statistical analysis (GraphPad Prism software).

2.4 Genome-wide expression profiling

All sample labeling and GeneChip processing was conducted by the London Regional Genomics Centre (David Carter, Robarts Research Institute, London, Ontario, Canada) for two independent HGF treatment sets (DMSO, TGF^β1, (5Z)-7-Oxo as well as TGF β 1 + (5Z)-7-Oxo treated; Passages 8 and 9). Briefly, single stranded complementary DNA (sscDNA) was prepared from 200 ng of total RNA as per the Ambion WT Expression Kit for Affymetrix GeneChip Whole Transcript WT Expression Arrays and the Affymetrix GeneChip WT Terminal Labeling kit and Hybridization User Manual. Total RNA was first converted to cDNA, followed by *in vitro* transcription to make cRNA. Five and a half micrograms of single stranded cDNA was synthesized, end labeled and hybridized, for 16 hours at 45°C, to Human Gene 1.0 ST arrays (Affymetrix). All liquid handling steps were performed by a GeneChip Fluidics Station 450 and GeneChips were scanned with the GeneChip Scanner 3000 7G using Command Console v1.1 (Affymetrix). Probe level (.CEL file) data was generated using Affymetrix Command Console v1.1. Probes were summarized to gene level data in Partek Genomics Suite v6.6 (Partek) using the RMA algorithm (Irizarry et al., 2003). Partek was used to determine gene level ANOVA p-values and fold changes. Gene lists were created using a filter of 1.7 fold change and p-value of < 0.05. Genes showing 1.7 fold induction and 1.7 fold down regulation in the TGF β 1 + (5Z)-7-Oxozeaenol group as compared to the (5Z)-7-Oxozeaenol group alone were subtracted from the pool of TGF β 1 (1.7 fold) induced genes as compared to the DMSO control group. This resulting pool of (5Z)-7-Oxozeaenol-dependent mRNAs with over 1.7 fold induction in response to TGF β -1 treatment was subject to Functional Annotation clustering (DAVID Functional Annotation Software). The 1.7 fold cut-off value was selected based on the previous analysis of TGF^{β1} treated human dermal fibroblasts carried out in a separate study in our lab (Guo et al., 2012).

2.5 Western Blot analysis

Cells were serum starved overnight, pre-treated with (5Z)-7-Oxozeaenol or DMSO followed by the treatment with or without recombinant human TGF β 1 as described previously. Cell monolayers were lysed 24 hours later in RIPA buffer (100 mM Tris HCl, pH 7.4; 150 mM NaCl; 1% NP40; 0.1% SDS; 5 mM EDTA) supplemented with protease inhibitor mini complete tablet (Roche) and sonicated twice for 5 sec with concomitant 10 min incubation periods on ice. Samples were then centrifuged at 13,700 x g for 12 min at 4°C and the supernatant fraction collected. Total protein concentration of the lysates was established using the BCA assay microplate procedure (Pierce) based on the standard curve absorption values and the total protein content was equalized to 50 µg among all samples with Laemmli (63 mM Tris-Cl, pH 6.8; 10% glycerol; 5% βmercaptoethanol, 2% SDS, 0.01% bromophenol blue) and RIPA Lysis buffers as per manufacturer's instructions (Pierce). The lysates were then incubated at 99°C for 5 min and loaded onto the 10% SDS gel matrix subjected to 130 V for ~2 hours in the presence of 1X Running buffer (5 mM Tris; 40 mM Glycine; 0.02% SDS) required for protein separation. The 10% SDS gel was transferred onto nitrocellulose membrane using iBlot dry transfer kit and iBlot dry transfer machine (Invitrogen). The membranes were incubated for 1 hour in Blocking buffer solution (50 mM Tris, 150 mM NaCl; 0.05% Tween®-20; 5% skim milk) followed by the addition of CCN2 (L-20) goat polyclonal primary antibody (Santa Cruz Biotechnology; sc-14939) in a 1:250 dilution and subsequent overnight incubation at 4°C. The following day, membranes were washed three times in TBST buffer (50 mM Tris, 150 mM NaCl; 0.05% Tween®-20) at room temperature for 10 min. Specific anti-goat secondary HRP conjugate polyclonal antibody

(Jackson Immunoresearch, Cat. 705-036-147) was added to the membrane in a 1:2000 dilution in the blocking buffer and incubated for one hour at room temperature. Washing procedure was repeated and membranes imaged on X-ray film (Kodak) with the use of Chemiluminescent Substance (1:1 Lumino/Enhancer solution to Stable Peroxide Solution ratio; Thermo Scientific; Department of Dentistry, University of Western Ontario). Membranes were then washed in TBST and stripped with the Restore western blot stripping buffered (Thermo) for 20 min at room temperature, blocked and re-probed with β -actin mouse monoclonal primary antibody (Sigma, A1978-200UL; 1:8000 dilution) overnight at 4°C in TBST. Appropriate anti-mouse HRP conjugated antibody was chosen (Jackson Immunoresearch; 1:8000 dilution) to obtain β -actin signal intensity at the 1.5 sec exposure time period. Densitometry measures for CCN2 band intensities for TGF β 1 and TGF β 1 + (5*Z*)-7-Oxozeaenol treatment groups were obtained using ImageJ program (NIH) and standardized to the respective β -actin levels. Student's t-test was used for statistical analysis (GraphPad Prism software).

2.6 Indirect immunofluorescence procedure

Cells cultured on glass coverslips (VWR) were fixed in 4% paraformaldehyde in PBS (10 mM phosphate buffer, 2.7 mM KCl, 137 mM NaCl; pH 7.4), permeabilized with 0.2% TritonX100 (Sigma-Aldrich) in PBS and blocked with 5% Donkey serum in PBST (10 mM phosphate buffer, 2.7 mM KCl, 137 mM NaCl ,0.05% Tween® 20; pH 7.4) for 30 min at room temperature followed by the addition of CCN2 (L-20) goat polyclonal antibody (Santa Cruz Biotechnology; sc-14939) in 1:100 dilution. Following one hour incubation at room temperature, the samples were washed three times with PBS for 5 min and incubated with a DyLight 594 anti-goat IgG secondary antibody in a 1:1000 dilution

in 5% Donkey serum in PBST for 45 min at room temperature in the dark (Jackson ImmunoResearch Laboratories; Cat. 705-516-147). The coverslips were washed with PBS (three times for 5 min) and mounted on the slides using VECTASHIELD[®] Mounting Media (Vector Laboratories). Images were taken with a Zeiss Axiophot microscope using Northern Eclipse software. Total fluorescence intensity was obtained using the Northern Eclipse software for each individual image and divided by the representative cell number obtained by using DAPI stain as a guide for the number of cells captured in each individual image. Relative fluorescence intensity ratio was further obtained using DMSO treatment group as a standard. Two-Way ANOVA and Tukey's Post Hoc analysis were used for statistical analysis (GraphPad Prism software).

2.7 Proliferation Assay

For the cell proliferation assay, cells were seeded in 96-well plates (Greiner Bio-One) at 500 cells per well and cultured for one day in 10% FBS, high glucose DMEM media as described above. A "no-cell control" group was also incorporated involving only the addition of cell culture media. Cultures were then serum starved (0.5% FBS, low glucose DMEM) overnight and were pre-treated with DMSO (vehicle control) or 400 nM (5*Z*)-7-Oxozeaenol for 45 minutes followed by subsequent treatment with or without recombinant human TGF β 1 (4 ng/m; 90 pM) in quadruplicates. BrdU reagent (1X; Cell Signalling) was also added to all treatment groups at this time. The latter incorporates into the newly synthesised DNA. Cultures were incubated for zero, 24, 48 and 72 hours and subject to the BrdU proliferation assay using a kit as per manufacturer's instructions (Cell Signalling). Briefly, cells were fixed and DNA denatured using a fixing/denaturing solution. Primary BrdU mouse mAb was added to bind the incorporated BrdU followed by the addition of an anti-mouse IgG, HRP-linked antibody to bind the primary antibody. HRP substrate TMB was added to develop color and the plate incubated for 30 min followed by the addition of Stop solution. Proliferation was then determined from the absorbance values reading obtained at 450 nm (iMark[™] microplate absorbance reader) and this corresponds to the quantity of BrdU incorporated into the cells. The raw data was processed for the detection and removal of outliers using the Interquartile Range calculation and was further noise adjusted by subtracting the average "no-cell control" reading from each individual well. Experiments were performed thrice.

2.8 Statistical Analysis

Student's t-test, two-way ANOVA and Tukey's Post Hoc analysis were used for statistical analysis (GraphPad Prism software) where applicable.

3. Results

3.1 TAK1 mediates TGFβ1- induced gingival fibroblast CCN2 expression

CCN2 is a matricellular protein of the CCN family implicated in fibroproliferative responses in fibroblasts (Chen and Lau, 2009; Leask and Abraham, 2006). CCN2 is up-regulated in response to TGF β 1 via canonical and non-canonical signaling pathways and acts to enhance TGFβ1-mediated fibroblast signaling responses (Grotendorst et al., 2006; Holmes et al., 2001; Mori et al., 1998; Thompson et al., 2010; Wang et al., 2011). Moreover, CCN2 is required for skin fibrosis in vivo (Liu et al., 2011). In dermal fibroblasts, TGF^{β1} induces CCN2 expression via TAK1 (Guo et al., 2012). I thus investigated whether TAK1 mediates TGF_β1-induced CCN2 mRNA and protein expression using Real Time PCR analysis as well as Western blot and indirect immunofluorescence analysis, respectively. At the transcriptional level, TGFB1 significantly up-regulated CCN2 expression in gingival fibroblasts resulting in 7- fold increase in CCN2 mRNA levels similar to previously published results (Figure 3.1) (Thompson et al., 2010). Pre-treatment of gingival fibroblasts with (5Z)-7-Oxozeaenol, a TAK1 selective inhibitor (Ninomiya-Tsuji et al., 2003) 45 minutes prior to the addition of TGFβ1 resulted in a significant reduction of TGFβ1-induced CCN2 mRNA expression (Figure 3.1). Similar results were seen when protein expression was examined. CCN2 protein levels were not readily detectable in unstimulated gingival fibroblasts using Western blot and indirect immunofluorescence analyses as anticipated from previously published data reporting low baseline CCN2 expression in gingival fibroblast cells (Thompson et al., 2010) (Figures 3.2 and 3.3).



Figure 3.1. TAK1 mediates TGFβ1 induced *CCN2* **gene expression**. Human gingival fibroblasts were serum starved overnight and pre-treated with (5Z)-7-oxozeaenol (400 nM) or DMSO for 45 min followed by treatment with TGFβ1 (4 ng/ml) ligand or left untreated. Total RNA was harvested six hours later and subjected to TaqMan RT-qPCR analysis using the indicated probe/primer set. 18S RNA was used as the internal control. Note: the relative gene expression between DMSO and (5Z)-7-oxozeaenol in the absence of TGFβ1 was not statistically significant. (N=3; averages+/-SEM are shown; *p<0.05, Two-Way ANOVA followed by Tukey's Post Hoc analysis).



(B)



Figure 3.2. TAK1 mediates TGF β 1 induced CCN2 protein expression: Western blot data. Human gingival fibroblasts were serum starved overnight and pre-treated with (5Z)-7-oxozeaenol (400 nM) or DMSO for 45 min followed by treatment with TGF β 1 (4ng/ml) or left untreated for 24 hours. Proteins were harvested and subject to Western blot analysis with anti-CCN2 and anti- β -actin antibodies, as indicated. Experiments were performed on 4 separate occasions. (A) Representative blot is shown. (B) Relative fold decrease in CCN2 expression in response to (5Z)-7-oxozeaenol pre-treatment was calculated using densitometry for TGF β 1 induced groups (N=4, averages +/ SEM are shown; * p<0.05; Student's t-test)





(5Z)-7-Oxozeaenol

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Figure 3.3. TAK1 mediates TGF β 1-induced CCN2 protein expression: indirect immunofluorescence analysis. Human gingival fibroblasts were serum starved overnight and pre-treated with (5Z)-7-oxozeaenol (400 nM) or DMSO for 45 min followed by treatment for 24 hours with or without TGF β 1 (4 n/gml). Cells were fixed and stained with an anti-CCN2 antibody and DyLight 594 conjugated secondary antibody. Cells were counterstained with DAPI to detect nuclei. Experiments were conducted four times. (A) Representative micrographs are shown. White bar represents 50 µm. (B) Total fluorescence intensity was obtained using the Northern Eclipse software for each individual image and divided by the representative cell number obtained by using DAPI stain as a guide for the number of cells captured in each individual image. Relative fluorescence intensity ratios were subsequently calculated using DMSO as a standard (N=4, averages +/ SEM are shown; * p<0.05, Two-Way ANOVA followed by Tukey's Post Hoc analysis).

Induction of cells with TGF β 1 increased CCN2 protein expression in both Western blot and immunofluorescence microscopy assays while the pre-treatment with (5*Z*)-7-Oxozeaenol resulted in a significant reduction of TGF β 1-induced CCN2 protein expression paralleling the results obtained by examining *CCN2* mRNA expression (**Figures 3.2** and **3.3**). Indirect immunofluorescence analysis further revealed that induction of gingival fibroblasts cells with TGF β 1 resulted in the accumulation of CCN2 in the cytoplasm, possibly Golgi apparatus as was previously reported for dermal fibroblasts (Chen et al., 2001); this accumulation was reduced in the presence of (5*Z*)-7-Oxozeaenol (**Figure 3.3**). Given that CCN2 expression is a potent marker of TGF β 's fibrogenic actions (Leask and Abraham, 2006) and that TGF β 1-induced CCN2 expression in a TAK1-dependent manner, I thought that TAK1 may participate in mediating TGF β 1 fibrogenic responses in gingival fibroblasts.

3.2 Inhibition of TAK1 blocks TGFβ1-induced mRNA expression in human gingival fibroblasts

In order to further investigate a role of TAK1 in TGF β 1 signaling in gingival fibroblasts, cells were treated with or without TGF β 1 in the presence or absence of (5*Z*)-7-Oxozeaenol inhibitor and subjected to gene expression profiling using GeneChip Human Gene 1.0 ST arrays. The use of gene expression profiling at the beginning of my study allowed me to (i) assess the extent of (5*Z*)-7- Oxozeaenol effect on the ability of TGF β 1 to induce genome-wide transcription and (ii) to identify functional categories of genes affected by TAK1 inhibition. Of the 28,869 genes provided on the Human GeneChip Gene 1.0 ST Arrays, 147 genes were up-regulated in response to TGF β 1 (1.7 fold induction compared to DMSO control group), 139 of which were found to be

sensitive to (5*Z*)-7-Oxozeaenol inhibition (**Figure 3.4**). These results suggested that TAK1 mediates the majority (95%) of TGF β 1 signaling responses in gingival fibroblast cells (Ninomiya-Tsuji et al., 2003). Functional annotation of the 139 genes included clusters for cell cycle/proliferation as well as wound healing (including migratory) responses which became the focus of my subsequent analysis (**Tables 3.1** and **3.2** respectively).

3.3 TAK1 mediates the induction of genes involved with proliferation

Based on the expression profiling data, a proliferation cluster consisting of eight genes was identified (Table 3.1). Endothelin 1 and the Jun-B proto-oncogene were chosen as representative genes from this cluster based on their known involvement in scleroderma disease and pro-fibrotic programming in response to TGF β respectively (Chung et al., 1996; Gervasi et al., 2012; Leask, 2008; Mauviel et al., 1993, Morelli et al, 1995; Vancheeswaran et al., 1994; Yamane et al, 1992; 1996). Endothelin 1 (END1) is a secreted protein and a downstream target of TGF β 1 with increased levels observed in patients with fibrosis (Abraham et al., 1997; Kawaguchi et al., 1994; Leask, 2008; Miyauchi et al., 1992; Morelli et al, 1995; Shi-Wen et al., 2006; Vancheeswaran et al., 1994; Yamane et al, 1992) while transcription factor Jun-B (JUNB) is induced by TGFβ1 signaling in fibroblasts, mesenchymal and epithelial cells and is an important positive mediator of epithelial mesenchymal transition (Chung et al., 1996; Gervasi et al., 2012; Mauviel et al., 1993, 1996). To confirm the microarray data and to establish whether the treatment of gingival fibroblasts with TAK1 inhibitor may hinder TGF β 1- induced proliferation at the transcriptional level, I cultured gingival fibroblasts in the presence or



Figure 3.4. (5Z)-7-oxozeaenol inhibits TGF β 1-induced mRNA expression in human gingival fibroblasts. Human gingival fibroblasts were serum starved overnight and pretreated with (5Z)-7-oxozeaenol (400 nM) or DMSO for 45 min followed by treatment with TGF β 1 (4 ng/ml) ligand or left untreated. Total RNA was harvested six hours later and subjected to gene expression profiling using GeneChip Human Gene 1.0 ST arrays (N=2). 147 genes were up-regulated in response to TGF β 1 (1.7 fold induction compared to DMSO control group) and 139 genes of the latter group were found to be sensitive to (5*Z*)-7-Oxozeaenol inhibition.

Table 3.1. Cluster analysis of mRNAs sensitive to TAK1 inhibition with over 1.7 fold induction in response to TGF β -1 treatment. (N=2; DAVID Functional Annotation Software). Cell cycle/Proliferation cluster

Affymetrix ID	GENBANK ACCESSION	Gene name		Fold Increase
8116921	AK291838	endothelin 1	EDN1	6.7818
8024485	AF078077	growth arrest and DNA-damage-inducible, beta	GADD45B	2.39958
8139207	AK290584	inhibin, beta A	INHBA	2.04306
8026047	BC004250	jun B proto-oncogene	JUNB	1.87672
7922976	AK292167	prostaglandin-endoperoxide synthase 2 (prostaglandin G/H synthase and cyclooxygenase)	PTGS2	1.92186
8147012	BC022265	protein kinase (cAMP-dependent, catalytic) inhibitor alpha	PKIA	2.41012
8040473	AF498971	ras homolog gene family, member B	RHOB	1.88318
8010061	AF200328	sphingosine kinase 1	SPHK1	1.72518

Table 3.2. Cluster analysis of mRNAs sensitive to TAK1 inhibition with over 1.7 fold induction in response to TGF β -1 treatment. (N=2; DAVID Functional Annotation Software).

Affymetrix	GENBANK	Gene name		Fold
ID	ACCESSION			Increase
7950933	AB041035	NADPH oxidase 4	NOX4	8.49418
8160637	AK297541	UDP-Gal:betaGlcNAc beta 1,4- galactosyltransferase, polypeptide 1	B4GALT1	2.12002
8072678	BC001491	heme oxygenase (decycling) 1	HMOX1	2.05866
8114572	BC033097	heparin-binding EGF-like growth factor	HBEGF	4.0607
8056184	AK290300	integrin, beta 6	ITGB6	2.41065
8039484	AK290572	interleukin 11	IL11	1.84543
8137670	AK292217	platelet-derived growth factor alpha polypeptide	PDGFA	2.07886
		serpin peptidase inhibitor, clade E (nexin,		
8135069	AK293248	plasminogen activator inhibitor type 1), member 1	SERPINE1	2.17387

(A) Wound Healing cluster

(B) Cell	motion,	migration	and	adhesion	cluster
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Affymetrix ID	GENBANK ACCESSION	Gene name		Fold Increase
8023220	AF010193	SMAD family member 7	SMAD7	2.841
8160637	AK297541	UDP-Gal:betaGlcNAc beta 1,4- galactosyltransferase, polypeptide 1	B4GALT1	2.12002
8116921	AK291838	endothelin 1	EDN1	6.7818
8072678	BC001491	heme oxygenase (decycling) 1	HMOX1	2.05866
8114572	BC033097	heparin-binding EGF-like growth factor	HBEGF	4.0607
8139488	AK298143	insulin-like growth factor binding protein 3	IGFBP3	1.88748
8137670	AK292217	platelet-derived growth factor alpha polypeptide	PDGFA	2.07886
8010061	AF200328	sphingosine kinase 1	SPHK1	1.72518
8148304	AF205437	tribbles homolog 1 (Drosophila)	TRIB1	2.96456
7962579	AY454159	adhesion molecule with Ig-like domain 2	AMIGO2	3.45511
8022674	BC036470	cadherin 2, type 1, N-cadherin (neuronal)	CDH2	2.04821
8035517	AK074508	cartilage oligomeric matrix protein	СОМР	3.02008
8121685	AJ420528	discoidin, CUB and LCCL domain containing 1	DCBLD1	1.85226
8056184	AK290300	integrin, beta 6	ITGB6	2.41065
8102232	AF198532	lymphoid enhancer-binding factor 1	LEF1	1.90975
8123936	AK292682	neural precursor cell expressed, developmentally down-regulated	NEDD9	2.93624
8047738	AL833606	neuropilin 2	NRP2	1.73487
8040473	AF498971	ras homolog gene family, member B	RHOB	1.88318

absence of (5Z)-7-Oxozeaenol and with and without TGF β 1 treatment. Six hours later RNAs were extracted and subjected to RT-qPCR analysis. Confirming the gene array data, both *EDN1* and *JUNB* mRNA were found to be significantly induced by TGF β 1 ligand and application of the TAK1 selective inhibitor (5*Z*)-7-Oxozeaenol inhibited this response (**Figure 3.5**). Indeed, in the presence of TGF β 1 and (5*Z*)-7-Oxozeaenol, *EDN1* and *JUNB* mRNA expression were reduced to basal levels seen in DMSO treated fibroblasts. Addition of (5*Z*)-7-Oxozeaenol did not have a significant effect on *EDN1* and *JUNB* mRNA expression (**Figure 3.5**).

3.4 TAK1 inhibition blocks TGFβ1-induced proliferation in gingival fibroblasts.

To further elucidate gingival fibroblast proliferation at the functional level, I cultured gingival fibroblasts under the four treatment conditions described previously in the presence of BrdU, a synthetic thymidine analogue which is incorporated into the newly-synthesized DNA (**Figure 3.6**). Presence of incorporated BrdU was assessed quantitatively by absorbance readings at zero, 24, 48 and 72 hours. Addition of TGF β 1 significantly increased human gingival fibroblast proliferation at 48 and 72 hours as compared to DMSO treated cells. Addition of (5*Z*)-7-Oxozeaenol resulted in a significant reduction in cell proliferation responses measured at 72 hours to the levels below the DMSO control group in the presence of added TGF β 1 (**Figure 3.6**). Consistent with the gene cluster analysis, TAK1 inhibition blocked the ability of TGF β 1 to induce proliferation in human gingival fibroblasts.



Figure 3.5. Inhibition of TAK1 reduces TGF β 1-induced *Endothelin 1* and *Jun B* mRNA expression. Human gingival fibroblasts were serum starved overnight and pretreated with (5Z)-7-oxozeaenol (400 nM) or DMSO for 45 min followed by treatment with TGF β 1 (4 ng/ml) ligand or left untreated. Total RNA was harvested six hours later and subjected to TaqMan RT-qPCR analysis using the indicated probe/primer sets. 18S RNA was used as the internal control. Note: the relative gene expression between DMSO and (5Z)-7-oxozeaenol in the absence of TGF β 1 was not statistically significant. (N=3; averages+/-SEM are shown. * p<0.05; Two-Way ANOVA followed by Tukey's Post Hoc analysis).



Figure 3.6. TAK1 mediates TGFβ1 induced gingival fibroblast proliferation. Human gingival fibroblasts were serum starved overnight and pre-treated with (5Z)-7-oxozeaenol ((5Z)-7-oxo; 400 nM) or DMSO for 45 min followed by treatment with TGFβ1 (4 ng/ml) ligand or left untreated. Cultures were grown in the presence of BrdU for up to 72 hours as described in the methods. One of three representative experiments is shown; (N=4; averages+/-SEM are shown * p<0.05 for: DMSO *vs* TGFβ1; (5Z)-7-oxo *vs* TGFβ1, TGFβ1 *vs* (5Z)-7-oxo+TGFβ1; ** p<0.05 for: DMSO *vs* TGFβ1; (5Z)-7-oxo *vs* TGFβ1, TGFβ1 *vs* (5Z)-7-oxo+TGFβ1. Two-Way ANOVA followed by Tukey's Post Hoc analysis.

3.5 TAK1 mediates TGFβ1- induced expression of wound healing cluster mRNAs in gingival fibroblasts

Based on the expression profiling data, a wound healing cluster of eight genes as well as a migration cluster consisting of eighteen genes were also identified (Table 3.2). I decided to use RT-qPCR analysis to validate these observations and to further investigate the role of TAK1 inhibition in the fibrotic component of the TGF β 1-dependent fibroproliferative responses of fibroblast cells. Based on their known involvement with fibrogenic responses, insulin-like growth factor binding protein 3 (IGFBP3) was chosen from the migration/adhesion cluster group and platelet-derived growth factor-apolypeptide (PDGFA), Interleukin-11 and SERPINE-1 genes were chosen from the wound healing cluster group for the subsequent RT-qPCR analysis (Figure 3.7). IL11 was previously implicated as an anti-apoptotic mediator in lung fibroblasts derived from Idiopathic Pulmonary Fibrosis patients and increased murine pulmonary myofibroblast counts upon IL11 overexpression were also reported (Moodley et al., 2003). PDGFA is a secreted protein and mitogen belonging to the Platelet-derived growth factor family found to be overexpressed in a number of fibrotic conditions including pulmonary fibrosis and scleroderma at the level of fibroblasts/myofibroblasts (Trojanowska 2008). IGFBP3 is another secreted protein associated with increased ECM production and ECM binding in a TGF β -dependent manner. Increased expression of *IGFBP3* was observed in dermal fibroblasts from scleroderma patients and lung fibroblasts from idiopathic pulmonary fibrosis patients (Pilewski et al., 2005). Finally, elevated levels of SERPINE1, a pro-fibrogenic factor, were observed in the dermal fibroblasts isolated from the lesions of scleroderma patients (Dong et al., 2002; Ghosh



Figure 3.7. Inhibition of TAK1 reduces the expression of TGF β 1 induced wound healing genes. Human gingival fibroblasts were serum starved overnight and pre-treated with (5Z)-7-oxozeaenol (400 nM) or DMSO for 45 min followed by treatment with TGF β 1 (4 ng/ml) ligand or left untreated. Total RNA was harvested six hours later and subjected to TaqMan RT-qPCR analysis using the indicated probe/primer sets. 18S RNA was used as the internal control. Note: the relative gene expression between DMSO and (5Z)-7-oxozeaenol in the absence of TGF β 1 was not statistically significant. (N=3; averages+/-SEM are shown. * p <0.05, Two-Way ANOVA followed by Tukey's Post Hoc analysis).

and Vaughan, 2012). RT-qPCR analysis confirmed the gene array data, showing that *IL11, PDGFA, IGFBP3* and *SERPINE1* mRNAs were significantly induced by TGF β 1 in a fashion that was sensitive to TAK1 inhibition (**Figure 3.7**). Baseline expression of all of the chosen genes was not significantly affected with the addition of (5*Z*)-7-Oxozeaenol.

Collectively, these data suggest that TAK1 mediates TGFβ1 responses in gingival fibroblasts including proliferation, wound healing as well as CCN2 expression.

4. Discussion

4.1 Summary of results

Although previous studies have shown that the non-canonical, TAK1-mediated signaling pathway plays a role in dermal fibroblasts and in physiological wound healing in the skin, the involvement of non-canonical TAK1 signaling in TGFB1 driven, profibrotic or hyper-proliferative responses in gingival fibroblasts has not been previously examined (Guo et al., 2012). I thus thought that, as in dermal fibroblasts, TAK1 may mediate wound healing and proliferative responses in gingival fibroblasts in response to TGFβ1. Throughout my studies I have used a TAK1 selective inhibitor (5Z)-7-Oxozeanol to test this hypothesis in the context of TGF^β1 signaling in gingival fibroblasts using concentrations and treatment conditions previously established for fibroblasts in culture (Guo et al., 2012; Renzoni et al., 2004). As an initial screen, I asked if (5Z)-7-Oxozeanol could block TGFβ1- induced CCN2 expression, given that CCN2 is a known marker and mediator of fibrosis (Brigstock 2010; Chen and Lau, 2009; Leask, 2008, 2009; 2011; 2013a, 2013b, Thompson et al., 2010). Indeed, (5Z)-7-Oxozeanol significantly reduced TGF_β1-induced CCN2 expression at both transcriptional and translational levels as evidenced by the RT-qPCR and indirect immunofluorescence microscopy/Western blot respectively (Figures 3.1, 3.2 and 3.3). Genome wide expression profiling allowed me to further identify functional clusters of genes grouped based on their involvement in a given functional outcome. I have identified a number of TGFβ1-induced genes sensitive to TAK1 inhibition from the proliferative and wound healing (including migratory) clusters (**Tables 3.1** and **3.2**, respectively). Moreover, the majority of the genes induced with TGF^β1 were in fact sensitive to TAK1 inhibition, suggesting that the non-canonical TAK1 pathway is of critical importance in the gingival fibroblasts in response to TGF β 1. I have also confirmed this pattern of regulation for a number of representative genes from each functional cluster at the transcriptional level using RT-qPCR (Figures 3.5 and 3.7). Of interest also was the observation that unlike skin wounds, gingival wounds heal faster and without scarring, possibly due to the fact that unlike fibroblasts from the dermis layer of the skin, gingival fibroblasts are also less able to differentiate into α -SMA-expressing myofibroblasts *in vitro* and *in vivo*: gingival hyperplasia overgrowths are not populated by myofibroblast and with respect to fibrotic conditions, such as gingival hyperplasia, a hyperproliferative phenotype is observed (Egusa et al., 2010; Guo et al., 2011a, 2011b; Schor et al., 1996, Wikesjo et al., 1992). I thus became interested whether the TGF β 1-induced proliferation in gingival fibroblasts may be mediated by TAK1 and whether TAK1 inhibition using an exogenously administered inhibitor could in theory be applied to alleviate the fibro-proliferative responses in gingival hyperplasia in the future. Indeed, increased proliferative responses of gingival fibroblasts upon TGF β 1 treatment were reduced to baseline levels with the application of TAK1 selective inhibitor (5Z)-7-Oxozeanol (Figure 3.6). Collectively, my work suggests that TAK1 mediates proliferation and wound healing responses to TGF^β1 in gingival fibroblasts. The results are also consistent with my long-term hypothesis that TAK1 inhibition might be used to treat gingival hyperplasia and that further investigation of TAK1-mediated TGF β 1 signaling in gingival fibroblast cells may lead to a better understanding of a) the basis of gingival scarless repair and b) the role of the noncanonical, TAK1- mediated TGF β 1 signaling as it pertains to the hyper-proliferative response associated with this fibroblast cell type.
4.2 Research significance

My results are consistent with the overall hypothesis that TAK1 inhibitors such as (5Z)-7-Oxozeanol may be considered in the future for gingival hyperplasia treatment. Unlike other areas of the body which scar in response to injury, gingival wounds heal faster and without scarring, although the stages of the healing process and their sequence remain similar between the two tissue types (Egusa et al., 2010; Guo et al., 2011a, 2011b; Schor et al., 1996, Wikesjo et al., 1992). One of the possible explanations for this differential phenotype is that fibrotic responses in the gingiva are hyper-proliferative as opposed to hypercontractile (Bitu et al., 2006; Damasceno et al., 2012; Sobral et al., 2010). The basis of this differential phenotype could be that gingival fibroblasts differ from dermal fibroblasts in their response to endogenous and/or exogenous stimuli, resulting in gingival overgrowth and hyperplasia (Guo et al., 2011a, 2011b). Gingival hyperplasia commonly arises as a side-effect to a number of drugs including antiepileptic medications, immunosuppressant drugs and as well as calcium channel blockers (Clocheret et al., 2003; Nakib and Ashrafi, 2011). Prevalence of gingival hyperplasia associated with Diltiazem (calcium channel blocker) reaches 20% while Cyclosporine (immunosuppressant) or Phenytoin (antiepileptic treatment) are associated with 30% and 40-50% prevalence rate respectively (Boltchi et al., 1999; Casetta et al., 1997; Ciancio et al., 1991; Dahlof et al., 1993; Fu et al., 1998; Myers and Newton, 1991; Steinberg 1981). As of 1995, over 20 prescription medications were associated with gingival enlargement in the United States (Rees et al., 1995). Withdrawal from drug therapy or substitution for a different drug serves as the most effective treatment for gingival hyperplasia however debridement) gingivectomy (surgical may be necessary in where cases

withdrawal/substitution are not possible or in all other cases of gingival hyperplasia (including inflammation, idiopathic gingival hyperplasia, gingivitis, genetic predisposition) (Khocht et al., 1997; Marshall et al., 1999; Somacarrera et al., 1997).

TGF β 1, a member of TGF β superfamily, promotes wound healing and fibrotic responses both in vitro and in vivo (Leask 2008). Fibroblasts are the cells present in the connective tissue that mediate tissue repair and fibrosis. Fibroblast activation in response to TGF^{β1} involves both canonical Smad-dependent and non-canonical Smad-independent pathways (Leask 2006, 2008; Leask and Abraham, 2004; Massague 1998; Rahimi and Leof, 2007). At the level of transcriptome, close to 95% of genes were up-regulated in response to TGF β 1 in gingival fibroblasts were found to be sensitive to TAK1 inhibition. In contrast, only 67% of genes were not induced by TGF β 1 in the presence of (5Z)-7-Oxozeaenol in dermal fibroblasts in a study using comparable fold cut-off value (1.7 fold) and treatment conditions (400 nM (5Z)-7-Oxozeaenol; 4 ng/ml TGFβ1) (Guo et at., 2012). Thus, compared to dermal fibroblasts, the vast majority of TGF^β1 signaling responses in gingival fibroblasts are mediated *via* the non-canonical TAK1 pathways. In dermal fibroblasts, wound healing and ECM gene clusters were identified for the TGF^{β1} induced genes sensitive to TAK1 inhibition (Guo et at., 2012). Similarly, the wound healing cluster under TAK1 regulation was also identified in gingival fibroblasts in the current study, as well as a cluster cell proliferation.

CCN2, a member of the CCN family of matricellular proteins is both a marker and a mediator of fibrosis (Blom et al., 2002; Brigstock 2010; Chen and Lau, 2009; Leask, 2008, 2009; 2011; 2013a, 2013b, Thompson et al., 2010). CCN2 expression is also known to be induced by TGF β 1 in gingival and dermal fibroblasts and expression of this protein correlates well with tissue fibrosis and fibrotic cells (Brigstock, 2010; Chen and Lau, 2009; Guo et al., 2011a, 2011b; Leask, 2008, 2011). In gingival and dermal fibroblasts, TGF β 1-induced CCN2 expression is known to involve canonical signaling pathways (Guo et al., 2011a; Holmes et al., 2001; Thompson et al., 2010). Previously, mRNA and protein expression of CCN2 in dermal fibroblasts were found to be reduced with the (5Z)-7-Oxozeanol, a selective inhibitor of the non-canonical TAK1 signaling in response to TGF β 1 treatment. Here I show for the first time that (5Z)-7-Oxozeanol suppresses the ability of TGF β 1 to induce *CCN2* mRNA as well as protein expression in gingival fibroblasts. CCN2 expression in response to TGF β 1 may thus be subject (at least in part) to the non-canonical regulation in both dermal and gingival fibroblasts.

Previous studies demonstrated that CCN2 showed increased expression in fibrotic tissues where it promoted fibrosis by activating adhesive signaling (Brigstock, 2010; Chen and Lau, 2009; Leask, 2008, 2011). Adhesive properties of gingival and dermal fibroblasts were also previously assessed using dermal or gingival fibroblast monolayers cultured on collagen type I and III, fibronectin and vitronectin substrates. Dermal fibroblasts were more spread out and displayed increased number of focal adhesions and actin stress fibers as compared to gingival fibroblasts up to 24 hours post adhesion on either collagen type I or fibronectin substrates (Guo et al., 2011a; 2011b). Adhesion *via* FAK is essential for TGF β 1-induced human fetal lung myofibroblast differentiation (Thannickal et al., 2003). FAK is further involved in driving myofibroblast differentiation *via* MEKK1 (Shi-wen et al., 2009). FAK forms a complex with integrin receptors is and activated upon integrin mediated fibroblast attachment to the substrate (Lagares et al.,

2012; Michael et al., 2009; Shi-wen at al., 2012). Addition of FAK inhibitor or deletion of FAK in fibroblasts decreased the expression of fibrogenic genes and blocked fibrosis *in vivo* (Lagares et al., 2012; Shi-wen et al., 2012). Inhibitors of FAK and integrins (β 1) have been proposed as potential therapeutic agents in alleviating the symptoms of scleroderma disease (Shi-wen et al., 2012). Interestingly, the endogenous levels of FAK protein are also reduced in gingival fibroblasts as compared to dermal fibroblasts (Guo et al., 2011a, 2011b) perhaps accounting (at least in part) for the impaired myofibroblast differentiation potential and impaired adhesion kinetics of gingival fibroblasts as compared to dermal fibroblasts. Induction of TAK1 upon TGFB stimulation was further reduced upon pre-treatment of wild type mouse embryonic fibroblasts with the FAK/Src inhibitor PP2, or with the use of FAK -/- fibroblasts, suggestive of FAK-dependent induction of TAK1 in TGF β signaling (Shi-wen et al., 2009). As TAK1-dependent TGFβ1 signaling operates in both gingival and dermal fibroblasts, additional signaling pathways must be responsible for the fibro-contractile phenotype observed in fibrotic tissue in other parts of the body. Indeed, Chen and others report $TGF\beta1$ -induced contraction of dermal fibroblasts to be syndecan 4 and MEK/ERK dependent; notably, ERK was required for assembly of α -SMA stress fibers and hence ECM contraction (Chen et al., 2005). Alternatively, TGF β 1 signaling may be subject to epigenetic regulation in gingival versus dermal fibroblasts, accounting for scarless repair; indeed, recent results from our laboratory suggest that expression of microRNA MIR218 is altered between gingival and dermal fibroblasts (Guo et al., 2014).

Endothelin-1 was also chosen as a representative gene from the proliferative cluster showing decreased TGF β 1-mediated mRNA expression levels with (5*Z*)-7-

Oxozeaenol pre-treatment. ET-1 belongs to a family of peptides originally recognized for their role as vasoconstrictors and section from vascular endothelial cells (Horstmeyer et al., 2005; Kawaguchi et al., 1994; Shi-wen et al., 2006). Levels of ET-1 expression were found to be increased in a number of fibrotic disease conditions including drug induced gingival overgrowth and gingival fibrosis (Abraham et al., 1997; Kawaguchi et al., 1994; Leask, 2008; Miyauchi et al., 1992; Morelli et al, 1995; Shi-Wen et al., 2006; Subramani et al., 2013; Tamilselvan et al., 2007; Vancheeswaran et al., 1994; Yamane et al, 1992).

ET-1 is a 21-amino acid ligand produced by fibroblasts and other cell types (epithelial and endothelial cells, cardiomyocytes, smooth muscle and immune cells) in a form of an inactive prepro-ET1 which is further processed by endopeptidase and endothelinconverting enzyme (ECE) into a proET-1 and active ET-1 respectively (Horstmeyer et al., 2005; Kawaguchi et al., 1994; Leask 2008; Shi-wen et al., 2001, 2006; Tamilselvan et al., 2007). ET-1 acts synergistically with TGF β to promote differentiation of fibroblasts into myofibroblast and is also induced by, and mediates downstream signaling in response to TGFβ (Galie et al., 2004; Horstmeyer et al., 2005; Krieg et al., 2007; Rahimi and Leof, 2007; Rodriguez et al., 2003; Shephard et al., 2004). Stimulation of dermal fibroblasts with ET-1 alone did not lead to sufficient up-regulation of α -SMA, a marker of myofibroblast differentiation while a synergistic increase in α -SMA expression was observed upon co-stimulation with ET-1 and TGF β 1(Shephard et al., 2004). Similarly, inhibition of endogenous TGF β 1 activity using a neutralizing antibody as well as coinhibition of ET-1 activity were required to completely prevent α -SMA expression in keratinocyte-fibroblast co-cultures (Shephard et al., 2004). Interestingly, gingival fibroblasts possess reduced EDN1 mRNA and ET-1 protein expression as compared to

human dermal fibroblasts. Subjecting gingival fibroblasts to mechanical strain or a six hour induction with TGF β 1 (4ng/ml) did not result in up-regulation of collagen type I or α SMA, as was the case with dermal fibroblasts under the same culture conditions (Guo et al., 2011a,b). These differences in the α SMA and collagen I induction between the two cell types were rescued upon co-incubation of gingival fibroblasts with both TGF β and ET-1. That myofibroblast differentiation is relatively absent in fibrotic conditions such as gingival hyperplasia may thus be partially explained by the reduced gingival fibroblast responsiveness (α SMA and collagen expression) to mechanical strain and TGF β 1 induction as a result of a reduced production of ET-1, as compared to dermal fibroblast cells (Bitu et al., 2006; Damasceno et al., 2012; Guo et al., 2011a,b; Sobral et al., 2010).

Aside from the active role in ECM remodeling and myofibroblast differentiation, ET-1 exhibits mitogenic properties as well as production of PDGF and TNF- α inflammatory cytokines and TGF β 1 (Chamnez et al., 1996; Gandhi et al., 2000; Horstmeyer et al., 2005; Nakamura et al., 1995; Shi-wen et al., 2001). Expression of ET-1 upon TGF β 1 treatment was found to be subject to canonical as well as non-canonical JNK regulation in human dermal and mouse embryonic fibroblasts (Lagares et al., 2010). Furthermore, ET-1 expression in response to TGF β 1 is Smad-independent in the pulmonary fibroblast cells subject to comparable TGF β 1 induction (4 ng/ml) and is instead mediated *via* the JNK/c-Jun/activator protein-1(AP-1) (Krieg at al., 2007; Shi-Wen et al., 2006). As these data suggest that TAK1 regulates JNK activation, the noncanonical TAK1 signaling pathways may mediate TGF β 1 induced ET-1 expression in lung fibroblasts (Rahimi and Leof, 2007). Based on the results I present here, TGF β 1induced *EDN1* mRNA expression was blocked by TAK1 selective inhibitor, suggesting that ET-1 may be regulated in a similar fashion in both pulmonary and gingival fibroblasts. Inhibition of TAK1 in gingival fibroblasts may lead to inhibition of the JNK/c-Jun/activator protein-1(AP-1) signaling similar to what was reported in pulmonary fibroblasts (Rahimi and Leof, 2007). In fibroblasts, TGF β 1 and ET-1 synergize to promote myofibroblast differentiation (Shephard et al., 2004). It also is interesting to note that basal ET-1 expression in human gingival fibroblasts is lower than that in dermal fibroblasts and this lower level of expression may explain why TGF β 1 is relatively unable to induce myofibroblast differentiation in gingival fibroblasts (Guo et al., 2011a, 2001b)

4.3 Limitations and future research

The results suggest that TAK1 pathway operates in gingival fibroblasts and mediates essentially all responses to TGF β 1, including proliferation and CCN2 induction. These results must nevertheless be interpreted with caution since the mRNA expression levels of individual genes should not be examined in the context of gene expression profiling alone, but needs independent verification through other procedures. In this thesis, the results obtained using gene array profiling were verified using real time PCR analysis and functional assay.

A confounding factor may be equally present among the various treatment conditions in this study, as I cultured gingival fibroblasts on rigid, stiff plastic tissue culture plates. Progressive increases in the matrix stiffness during normal wound healing is thought to correlate with fibroblast-myofibroblast differentiation as mechanical tension encourages the formation of focal adhesion and stress fibers (Hinz, 2010; Hinz et al., 2001; Balestrini et al., 2012). In theory, this finding is not surprising given the current knowledge of the role of ECM in sheltering the residing fibroblasts from the outside mechanical forces as well as the observation of a loss of fibroblast phenotype and emergence of myofibroblasts when the "stress-shielding" capacity of ECM is lost upon injury (Guo et al., 2011a, 2011b; Hinz and Gabbiani 2003). In addition, the later stages of wound healing response are characterized by the ECM remodeling and increases in the ECM stiffness. Compliant, two-dimensional matrices were therefore developed in the past with polyacrylamide, polyvinyl alcohol or silicone based elastomers (Hinz, 2010).

Although soft, two dimensional matrices are thought to serve as better models of true tissue compliance, the use of such compliant two dimensional matrices is also not without limitations. First, the true tissue compliance is complex and not homogenous (Hinz, 2010). Second, matrices of polyacrylamide or silicone cannot be remodeled by the attached fibroblast cells as these synthetic substances are not biologically degradable (Hinz, 2010). This creates several important limitations in terms of experimental design. Increases in the deposition of collagen and other factors by the cultured cells may overcome the effects of the underlying soft matrix, effectively increasing its stiffness (Hinz 2010). The degree of culture confluence may further overturn the effect of soft matrix when the increased formation of cell-cell contacts leads to increased mechanical tension exerted on the cell culture by cells (Hinz 2010). In contrast, the threedimensional matrices of collagen or fibronectin completely surround cells in culture and may better represent the microenvironment encountered by the fibroblast in the lamina propria layer (Grinnell, 2003). Three dimensional culture matrices have been also previously employed to better recreate the physiological conditions experienced by the fibroblasts in the dermis or lamina propria layers, including the presence of cell-cell and

cell-matrix adhesions, regulation of cellular proliferation and growth as well as *in vivo* wound healing and remodeling responses (Chaussain Miller et al., 2002).

Consequently, although it might have been interesting to compare the responses of gingival fibroblast cultured on more compliant and elastic substrates or in using three dimensional matrices, that TGF^{β1} still potently induced gene expression and proliferation of cells cultured on plastic suggests that alteration in fibroblast phenotype due to culturing cells on plastic is not sufficient to cause a complete differentiation of fibroblasts to myofibroblast. CCN2 is both a marker and a mediator of fibrosis, and expression of this protein correlates well with tissue fibrosis and fibrotic cells (Brigstock, 2010; Chen and Lau, 2009; (Guo et al., 2011a, 2011b; Leask, 2008, 2011). I did not detect basal CCN2 protein expression (DMSO treatment group) using two separate methods of analysis: western blot and immunofluorescence microscopy and should gingival fibroblast cells undergo this process of differentiation in response to the stiff, plastic substrate, high basal expression of CCN2 in cultured gingival fibroblasts would have been apparent. Further, induction of gingival fibroblast monolayers with TGF β 1 lead to robust responses not only in CCN2 expression (mRNA and protein) but also in the expression of wound healing and proliferative genes as well as measures of gingival fibroblast proliferation using a functional assay. Had gingival fibroblast cells undergone the process of differentiation in response to the stiff substrate, additional induction with TGF β 1 would likely have not caused significant effects. That fibrotic conditions such as gingival hyperplasia are characterized by increased proliferation of fibroblast cells, as opposed to differentiation into myofibroblasts, further supports the notion that gingival fibroblasts respond differently to the fibrogenic stimuli such as TGFB1 induction or

"stress-unshielded" environment provided by the rigid plastic culture vessel (Bitu et al., 2006; Damasceno et al., 2012; Sobral et al., 2010). Three-dimensional collagen or fibronectin lattices nevertheless may be utilized in the future studies of gingival fibroblast ECM remodeling in the presence and absence of (5Z)-7-oxozeaenol.

The use of an animal model to study the role of TAK1 in gingival fibroblasts should be considered for the future. Full body MAP3K7-KO (deletion of TAK1) mice die in utero during early embryogenesis (E10) likely due to impaired neural tube development (Shim et al., 2005). Thus, in order to study TAK1 in vivo, a number of mice strains were generated allowing for conditional MAP3K7 (TAK1) deletion from various cell types such as: mouse embryonic fibroblast (MEF) cells, myocardium, hepatocytes, intestinal epithelial cells, keratinocytes, cartilage and fibroblast cells (Inokuchi et al., 2010; Kajino-Sakamoto et al. 2008; Liu et al., 2006; Ma et al., 2011; Omori et al., 2006; Sato et al., 2005; Shim et al. 2009; Xie et al., 2006; Zhang et al., 2000; Zheng et al., 2002). In particular, a fibroblast-specific MAP3K7-KO mouse model may be considered for subsequent studies of TAK1-mediated signaling in gingival fibroblasts, wound healing functional assessment as well as nifedipine-induced gingival hyperplasia in mice as was previously reported using rat models (Kataoka et al., 2001). In the former, fibroblast explants from gingiva of control (C57/BL6) as well as fibroblast specific TAK1-KO mice may be studied in greater detail in response to TGF^{β1} induction or mechanical strain in culture, with subsequent analysis of the canonical and non-canonical signaling pathways. A similar study has been conducted using an established fibroblast specific MAP3K7-KO mouse model where the loss of TAK1 resulted in impaired proliferative, adhesive and migratory properties of cultured dermal fibroblasts (Guo et al.,

2012). Further, the phenotypic differences in gingival tissues of the control and fibroblast specific *MAP3K7*-KO mice may be monitored histologically in response to oral administration of nifedipine (Nalini et al., 1990) or in response to the administration of other drugs which are known to be associated with gingival hyperplasia induction.

Compared to studies relying on the genetic knock-out of MAP3K7, studies using a small molecule may be better suited for the translational, bench-to-bedside research approach. (5Z)-7-Oxozeaenol has been previously administered to mice and rats. A two week IP injection of (5Z)-7-Oxozeaenol into female nude mice at 15 mg/kg resulted in neuroblastoma sensitization to doxorubicin (Dox) etoposide (VP-16) and chemotherapeutic drugs using orthotopic neuroblastoma mouse model (Fan et al., 2013). Intracerebroventricular as well as IP injection of (5Z)-7-Oxozeaenol into male mice at various doses accounted for a promising neuroprotective therapeutic approach in stroke Further, adult male Sprague–Dawley rats injected treatment (White et al, 2012). intracerebroventricularly with (5Z)-7-oxozeaenol exhibited improved neurological function and neuronal survival after induced traumatic brain injury (Zhang et al., 2013). Unfortunately, studies primarily focusing on the safety of systemic (5Z)-7-oxozeaenol administration as well as pharmacokinetic and pharmacodynamic properties still remain to be conducted in the future, including pre-clinical trials and Phase I clinical trials in humans. Should local application of (5Z)-7-oxozeaenol be considered for gingival hyperplasia treatment, the overall effect of this ligand on the oral mucosa and underlining tissues as well as the effect on gastrointestinal tract must also be established.

A number of additional TAK1 inhibitors including Pyrazolo[4,3-c]isoquinolines and 5Z-7-oxozeaenol analogues have been recently identified and may be considered for treatment of gingival hyperplasia and/or study of TAK1-mediated signaling responses (Buglio et al., 2012, Lockman et al., 2011, and Mortier et al., 2010). Oral administration of TAK1 inhibitors may also be considered in the future where a study using orally administered LYTAK1, a small molecule inhibitor of TAK1, showed a reduction of TAK1-mediated resistance to chemotherapeutic drugs for pancreatic adenocarcinoma treatment in orthotopic nude mouse model (Melisi et al., 2011).

Collectively, my results suggest that TAK1 mediates the ability of TGF β 1 to induce CCN2 expression (mRNA and protein) and transcriptional responses in gingival fibroblasts. Notably, TAK1 inhibition blocks the ability of TGF β 1 to elicit proliferative responses as well as the expression of wound healing genes in gingival fibroblasts. My thesis described data supporting the long-term hypothesis that targeting the non-canonical TAK1-mediated TGF β 1 signaling pathway may be useful to combat gingival hyperplasia in the future.

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Appendix

Supplemental Table 3.3

Supplemental Table 3.4

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Curriculum Vitae

Supplemental Table 3.3. List of genes sensitive to TAK1 inhibition with over 1.7 fold induction in response to TGF β -1 treatment (N=2).

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
7918857	GU971730	TSPAN2	12.5003
8067233	AJ308020	PMEPA1	9.40699
7950933	AB041035	NOX4	8.49418
8116921	AK291838	EDN1	6.7818
8109159	ENST00000384967	MIR145	4.99812
8156919	AK300099	MURC	4.93433
8112045	AK300634	ESM1	4.31011
8131600	BC033863	TSPAN13	4.15714
7970793	AK093932	SLC46A3	4.09995
8114572	BC033097	HBEGF	4.0607
7922162	AB209540	SLC19A2	4.05461
7972750	BC151220	COL4A1	3.80166
8175261	ENST00000385270	MIR503	3.63312
7962579	AY454159	AMIGO2	3.45511
7933075	AF086500	FZD8	3.37736
8095043	AY839725	RASL11B	3.35287
7954511	AB023182	STK38L	3.3435
8008885	ENST00000362134	MIR21	3.25119
8035517	AK074508	COMP	3.02008
8088550	AK127113	PRICKLE2	3.00856
8148304	AF205437	TRIB1	2.96456
8123936	AK292682	NEDD9	2.93624
7922328	ENST00000385289	MIR199A2	2.91144
8023220	AF010193	SMAD7	2.841
8077490	AF216709	LMCD1	2.81825
7966026	BC152462	NUAK1	2.68415
7987315	BC009978	ACTC1	2.64134
7958352	AK091276	BTBD11	2.63841
7962689	AF026260	VDR	2.55848
8160439	ENST00000362307	MIR31	2.53933
8113504	ENST00000446294	NREP	2.53329
8115490	AF134707	ADAM19	2.44597
7900510	AK130549	CTPS1	2.44545
8161701	AF137030	TMEM2	2.43863
8023995	AK291958	FSTL3	2.43461
8056184	AK290300	ITGB6	2.41065
8147012	BC022265	ΡΚΙΑ	2.41012
8024485	AF078077	GADD45B	2.39958
7990873	AB095929	MEX3B	2.38217
7974335	ENST00000515947	RN5S385	2.38034

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
8159900	BC033899	GLIS3	2.37678
8160435	AY358570	IFNE	2.3663
8143154	AK091081	DGKI	2.34734
8109157	NR_029684	MIR143	2.33962
7958202	AB042326	CHST11	2.28914
8169240	AK297968	PRPS1	2.2807
8136341	BC017050	BPGM	2.27611
8151334	BC006313	MSC	2.26058
8121257	AF084199	PRDM1	2.25456
7950391	AB019210	PGM2L1	2.25386
7926545	AF378757	PLXDC2	2.23225
7933872	AF139463	EGR2	2.22617
7934434	AF240633	MYOZ1	2.2035
8135069	AK293248	SERPINE1	2.17387
7993588	AK094664	TMC7	2.17183
8112803	BC136335	LHFPL2	2.14818
7970033	ENST00000400163	COL4A2	2.14259
8057990	AK301209	ANKRD44	2.13067
7997642	AK027395	CRISPLD2	2.12702
7959361	BC151841	MLXIP	2.12286
8160637	AK297541	B4GALT1	2.12002
7972557	BC020752	GPR183	2.10273
8023415	AK299169	TCF4	2.10147
7923173	ENST00000385240	MIR181B1	2.09207
8137670	AK292217	PDGFA	2.07886
8041206	AF110224	LBH	2.07127
8072678	BC001491	HMOX1	2.05866
8109426	ENST00000524264	FLJ38109	2.05775
8022674	BC036470	CDH2	2.04821
8139207	AK290584	INHBA	2.04306
8114970	AY358656	C5orf46	2.04245
7950374	AK300598	P4HA3	1.99257
7905428	AK299925	TUFT1	1.98978
8116418	AB016789	GFPT2	1.98967
7906995	BT006860	UCK2	1.98699
8111430	EF560721	AMACR	1.97704
8123104	BC146783	FNDC1	1.9678
8156571	ENST00000385129	MIR27B	1.96393
7936322	AL833061	GPAM	1.95685
7979710	AF228603	PLEK2	1.95078
7922773	AF527950	NCF2	1.94535
8162940	AB055982	ABCA1	1.94403
7965094	BC017481	E2F7	1.93429

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
7991080	AK302992	BNC1	1.93384
7972239	BC101070	SLITRK6	1.93138
7922976	AK292167	PTGS2	1.92186
8063634	BC002831	MGC4294	1.91941
8021707	BC020082	SOCS6	1.91887
8094751	BC113549	CHRNA9	1.91164
8156573	AF480560	MIR24-1	1.91017
8102232	AF198532	LEF1	1.90975
7943803	AK055899	DIXDC1	1.90939
8035896	AK293267	TSHZ3	1.9092
7953981	AK289441	ETV6	1.90186
7934997	AK299392	PPP1R3C	1.89232
8139488	AK298143	IGFBP3	1.88748
7986789	BC038712	ATP10A	1.88652
8040473	AF498971	RHOB	1.88318
7944302	AB094090	PHLDB1	1.87993
8026047	BC004250	JUNB	1.87672
7974316	BC020521	FRMD6	1.8761
8154962	AF088982	DNAJB5	1.87319
7922889	ENST00000367498	IVNS1ABP	1.87315
7950555	BC070079	LRRC32	1.86387
8167013	ENST00000424392	PHF16	1.86357
8088776	AF146696	FOXP1	1.86169
8161945	ENST00000376447	RASEF	1.85336
8121685	AJ420528	DCBLD1	1.85226
8039484	AK290572	IL11	1.84543
8005661	AK304186	SPECC1	1.84376
8109407	AB078145	GALNT10	1.82627
8060850	ENST00000378827	BMP2	1.81767
8020455	U66075	GATA6	1.81052
7909494	BC130323	SYT14	1.80486
8103695	AK290041	MFAP3L	1.79877
8095767	AK074237	C4orf26	1.79371
8026198	ENST00000440752	ZSWIM4	1.79067
7965112	ENST00000550006	PAWR	1.78964
7922326	ENST00000385214	MIR3120	1.78538
8092836	BC031569	CPN2	1.77686
8054997	AK074577	MAP3K2	1.77627
8056257	U76833	FAP	1.77509
7999909	AF181862	GPRC5B	1.76782
7944722	AF425252	UBASH3B	1.75863
8157253	ENST00000374232	SNX30	1.75667
8072705	AF279143	RASD2	1.75216
Affymetrix	Genbank		Fold
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ID	Accession	Gene Name	Increase
8157802	ENST00000385004	MIR181B2	1.74598
8142415	AK299901	C7orf60	1.74074
8058477	AK297312	KLF7	1.73553
8047738	AL833606	NRP2	1.73487
8010061	AF200328	SPHK1	1.72518
8025402	AB056477	ANGPTL4	1.72498
8151816	BC022010	GEM	1.72429
7956271	AF016509	HSD17B6	1.7231
8104035	AK056628	SORBS2	1.72124
8155192	AK091288	GLIPR2	1.71552
7943998	BC000234	NNMT	1.711
7901993	AK299361	CACHD1	1.70334
8141241	ENST00000361125	SMURF1	1.70312

TGF β -1 treatment (N=2).

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
7918857	GU971730	TSPAN2	12.5003
8067233	AJ308020	PMEPA1	9.40699
7950933	AB041035	NOX4	8.49418
8116921	AK291838	EDN1	6.7818
7974689	AF251079	DACT1	5.38907
8109159	ENST00000384967	MIR145	4.99812
8156919	AK300099	MURC	4.93433
8112045	AK300634	ESM1	4.31011
8131600	BC033863	TSPAN13	4.15714
7970793	AK093932	SLC46A3	4.09995
8114572	BC033097	HBEGF	4.0607
7922162	AB209540	SLC19A2	4.05461
7972750	BC151220	COL4A1	3.80166
8175261	ENST00000385270	MIR503	3.63312
7962579	AY454159	AMIGO2	3.45511
7933075	AF086500	FZD8	3.37736
8095043	AY839725	RASL11B	3.35287
7954511	AB023182	STK38L	3.3435
8008885	ENST00000362134	MIR21	3.25119
8035517	AK074508	COMP	3.02008
8088550	AK127113	PRICKLE2	3.00856
8083876	AK300053	SKIL	2.96522
8148304	AF205437	TRIB1	2.96456
8123936	AK292682	NEDD9	2.93624
7922328	ENST00000385289	MIR199A2	2.91144
8023220	AF010193	SMAD7	2.841
8077490	AF216709	LMCD1	2.81825
7966026	BC152462	NUAK1	2.68415
7987315	BC009978	ACTC1	2.64134
7958352	AK091276	BTBD11	2.63841
8178435	AF083421	IER3	2.57544
8129562	AK290884	CTGF	2.55987
7962689	AF026260	VDR	2.55848
8160439	ENST00000362307	MIR31	2.53933
8077441	AB004066	BHLHE40	2.5374
8113504	ENST00000446294	NREP	2.53329
8124848	BC005080	IER3	2.51526
8179704	AF083421	IER3	2.51526

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
8115490	AF134707	ADAM19	2.44597
7900510	AK130549	CTPS1	2.44545
8161701	AF137030	TMEM2	2.43863
8023995	AK291958	FSTL3	2.43461
8056184	AK290300	ITGB6	2.41065
8147012	BC022265	PKIA	2.41012
8024485	AF078077	GADD45B	2.39958
7990873	AB095929	MEX3B	2.38217
7974335	ENST00000515947	RN5S385	2.38034
8159900	BC033899	GLIS3	2.37678
8160435	AY358570	IFNE	2.3663
8143154	AK091081	DGKI	2.34734
8109157	NR_029684	MIR143	2.33962
7958202	AB042326	CHST11	2.28914
8169240	AK297968	PRPS1	2.2807
8136341	BC017050	BPGM	2.27611
8151334	BC006313	MSC	2.26058
8121257	AF084199	PRDM1	2.25456
7950391	AB019210	PGM2L1	2.25386
7926545	AF378757	PLXDC2	2.23225
7933872	AF139463	EGR2	2.22617
7934434	AF240633	MYOZ1	2.2035
8152215	BT006634	KLF10	2.18971
8135069	AK293248	SERPINE1	2.17387
7993588	AK094664	TMC7	2.17183
8112803	BC136335	LHFPL2	2.14818
7970033	ENST00000400163	COL4A2	2.14259
8057990	AK301209	ANKRD44	2.13067
7997642	AK027395	CRISPLD2	2.12702
7959361	BC151841	MLXIP	2.12286
8160637	AK297541	B4GALT1	2.12002
7972557	BC020752	GPR183	2.10273
8023415	AK299169	TCF4	2.10147
7923173	ENST00000385240	MIR181B1	2.09207
8137670	AK292217	PDGFA	2.07886
8041206	AF110224	LBH	2.07127
8072678	BC001491	HMOX1	2.05866
8109426	ENST00000524264	FLJ38109	2.05775
8022674	BC036470	CDH2	2.04821
8139207	AK290584	INHBA	2.04306
8114970	AY358656	C5orf46	2.04245
7950374	AK300598	P4HA3	1.99257
7905428	AK299925	TUFT1	1.98978

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
8116418	AB016789	GFPT2	1.98967
7906995	BT006860	UCK2	1.98699
8111430	EF560721	AMACR	1.97704
8123104	BC146783	FNDC1	1.9678
8156571	ENST00000385129	MIR27B	1.96393
7936322	AL833061	GPAM	1.95685
7979710	AF228603	PLEK2	1.95078
7922773	AF527950	NCF2	1.94535
8162940	AB055982	ABCA1	1.94403
7965094	BC017481	E2F7	1.93429
7991080	AK302992	BNC1	1.93384
7972239	BC101070	SLITRK6	1.93138
7922976	AK292167	PTGS2	1.92186
8063634	BC002831	MGC4294	1.91941
8021707	BC020082	SOCS6	1.91887
8094751	BC113549	CHRNA9	1.91164
8156573	AF480560	MIR24-1	1.91017
8102232	AF198532	LEF1	1.90975
7943803	AK055899	DIXDC1	1.90939
8035896	AK293267	TSHZ3	1.9092
7953981	AK289441	ETV6	1.90186
7934997	AK299392	PPP1R3C	1.89232
8139488	AK298143	IGFBP3	1.88748
7986789	BC038712	ATP10A	1.88652
8040473	AF498971	RHOB	1.88318
7944302	AB094090	PHLDB1	1.87993
8026047	BC004250	JUNB	1.87672
7974316	BC020521	FRMD6	1.8761
8154962	AF088982	DNAJB5	1.87319
7922889	ENST00000367498	IVNS1ABP	1.87315
7950555	BC070079	LRRC32	1.86387
8167013	ENST00000424392	PHF16	1.86357
8088776	AF146696	FOXP1	1.86169
8161945	ENST00000376447	RASEF	1.85336
8121685	AJ420528	DCBLD1	1.85226
8039484	AK290572	IL11	1.84543
8005661	AK304186	SPECC1	1.84376
8109407	AB078145	GALNT10	1.82627
8060850	ENST00000378827	BMP2	1.81767
8020455	U66075	GATA6	1.81052
7909494	BC130323	SYT14	1.80486
8103695	AK290041	MFAP3L	1.79877

Affymetrix	Genbank		Fold
ID	Accession	Gene Name	Increase
8095767	AK074237	C4orf26	1.79371
8026198	ENST00000440752	ZSWIM4	1.79067
7965112	ENST00000550006	PAWR	1.78964
7922326	ENST00000385214	MIR3120	1.78538
8092836	BC031569	CPN2	1.77686
8054997	AK074577	MAP3K2	1.77627
8056257	U76833	FAP	1.77509
7999909	AF181862	GPRC5B	1.76782
7944722	AF425252	UBASH3B	1.75863
8157253	ENST00000374232	SNX30	1.75667
8072705	AF279143	RASD2	1.75216
8157802	ENST00000385004	MIR181B2	1.74598
8142415	AK299901	C7orf60	1.74074
8058477	AK297312	KLF7	1.73553
8047738	AL833606	NRP2	1.73487
8010061	AF200328	SPHK1	1.72518
8025402	AB056477	ANGPTL4	1.72498
8151816	BC022010	GEM	1.72429
7956271	AF016509	HSD17B6	1.7231
8104035	AK056628	SORBS2	1.72124
8155192	AK091288	GLIPR2	1.71552
7943998	BC000234	NNMT	1.711
7901993	AK299361	CACHD1	1.70334
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Post-secondary Education and Degrees:	University of Western Ontario London, Ontario, Canada 2008-2012 BMSc
	The University of Western Ontario London, Ontario, Canada 2012-2014 MSc
Honours and Awards:	Western Graduate Research Stipend – Physiology and Pharmacology 2012-2014
	Dean's Honour List 2008-2009, 2010-2011, 2011-2012
Related Work Experience:	Teaching Assistant The University of Western Ontario 2013-2014
Abstracts and Poster Presentations:	2013 Charles W. Gowdey Distinguished Lecture & Research Day November 4, 2013. The University of Western Ontairo
	London Health Research Day March 18, 2014 London, Ontario

Publications:

Kuk H. Carter D. Leask A.2014. 5Z-7-Oxozeanol inhibits the effects of TGFβ1 on human gingival fibroblasts. Wound Repair Regen. *Under Review*...

Relevant Technical Experience:

- Cell culture maintenance, amplification and cryo-preservation (Human Gingival Fibroblast; Human Foreskin Fibroblast cells)

- Isolation of total RNA from cell monolayers; phenol/chloroform extraction method
- TAQMan One-Step RT-qPCR
- Indirect Immunofluorescence procedure
- Western blot procedure
- Regular mice handling and breeding practices (C57BL/6J background)
- Genotyping (ear clip samples; Polymerase Chain Reaction)
- Intraperitoneal injections
- Euthanasia
- Harvest and fixation of lungs
- Tissue slides preparation for histological analysis