

1 **Performance and fatigue analysis of an integrated floating wind-current energy system**
2 **considering the aero-hydro-servo-elastic coupling effects**

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17
18 **Abstract:** Integration of multiple offshore renewable energy converters holds immense
19 promise for achieving cost-effective utilization of marine energy. Integrated Floating Wind-
20 Current Energy Systems (IFESs) have garnered considerable attention as a means to harness
21 the abundant wind and marine resources in deep-sea areas using a single device. However, the
22 dynamic responses of IFESs are significantly influenced by the coupling of aerodynamic and
23 hydrodynamic loads. To assess the performance of a 10MW+ Spar-type IFES under wind-
24 wave-current loadings, this study develops an aero-servo-elastic model within the
25 hydrodynamic analysis tool AQWA. By utilizing the fully coupled model, this study
26 investigates the platform motions, tower loads, and power production of the IFES under various
27 environmental conditions. A comparative analysis is conducted by comparing the results with
28 those obtained for a floating offshore wind turbine (FOWT). Furthermore, fatigue damage at
29 the tower base of both the IFES and FOWT is evaluated. It is found that the presence of current
30 turbines leads to improved platform stability, significant increases in total power production,
31 and reduced fatigue damage at the tower base. These novel findings corroborate the potential
32 and advantages of IFES concepts in enhancing the stability and energy harvest efficiency of
33 floating marine energy converters.

34 **Key words:** Integrated floating wind-current energy system; Floating offshore wind turbine;
35 Aero-hydro-servo-elastic coupling; Fatigue damage; Dynamic analysis.

36 **1 Introduction**

37 The energy demand is gradually increasing with the rapid development of the worldwide
38 economy. The shortage of the traditional fossil energy resources leads to the acceleration of the
39 pace in exploring and utilizing renewable energy [1-2]. Offshore renewable energy resources,
40 especially the wind energy, have become a major contribution to the promotion of green and
41 low-carbon energy transition because of the abundant resources and the mature technology.
42 The newly-installed global wind power capacity was 94GW in 2021 as reported by the Global
43 Wind Energy Council (GWEC). The accumulated offshore wind capacity is expected to
44 achieve 361GW in 2030, including 6% of floating wind capacity in the deep-sea areas where
45 also contain huge wave and current energy resources [3].

46 Numerous studies related to floating offshore wind turbines (FOWTs) have recently been
47 carried out. Chen *et al.* [4] utilized a dynamic and sliding mesh coupling technique to study the
48 unsteady aerodynamic properties of a FOWT subjected to single (surge or pitch) and combined
49 motions. It was found that higher amplitudes and frequencies of motion led to increased
50 fluctuations in the overall aerodynamic performance of the turbine. Moreover, the complex
51 platform motions resulted in a negative impact on power generation in FOWT. Cheng *et al.* [5]
52 used the open-source tool OpenFOAM to establish a fully-coupled aero-hydrodynamic model
53 for conducting numerical simulation of FOWTs. The model employed the three-dimensional
54 Reynolds-Averaged Navier-Stokes (RANS) equations and the Pressure-Implicit with Splitting
55 of Operations (PISO) algorithm to solve the pressure-velocity coupling equations. The
56 coupling effects between the semi-submersible platform and the NREL 5MW baseline wind
57 turbine were investigated. Huang *et al.* [6] proposed a novel type of negative stiffness tuned
58 mass damper (TMD-NS) for the stability control of FOWTs. The fixed-point theory was used
59 to obtain the dimensionless optimal parameters of the TMD-NS for achieving a reduction in
60 amplitude ratio and increase in the tuning bandwidth. The TMD-NS was found to be capable

61 of reducing the nacelle displacement and velocity up to 55.87% and 48.18%, respectively.
62 Chuang *et al.* [7] conducted both numerical simulations and scaled experimental tests for a
63 barge 5MW FOWT. The numerical analysis was performed using ANSYS AQWA, while the
64 experimental tests were conducted for a 1:64 scaled-down model. The hydrodynamic model
65 was calibrated based on the results of free-decay tests, and regular and irregular wave model
66 tests were conducted. The results of the tests showed that the fluid sloshing in the damping
67 pool generated an oscillating force reducing the platform motion. Fang *et al.* [8] conducted
68 numerical simulations of a 5MW wind turbine rotor using the improved delayed detached eddy
69 simulation (IDDES) computational fluid dynamics (CFD) method after redesigning the rotor.
70 It revealed that the aerodynamic performance of the FOWT was significantly affected by pitch
71 motion parameters. Specifically, the amplitudes of rotor thrust and torque decreased with the
72 increase of the pitch period, while a larger pitch amplitude could cause the stall phenomenon
73 resulting in larger rotor thrust and torque. Chen *et al.* [9] compared the dynamic responses of
74 a Spar and a semi-submersible scaled FOWT under different operating conditions. It was found
75 that the Spar FOWT was more sensitive to the aerodynamic loads, while the surge and sway
76 motion trajectories were more regular compared to those of the semi-submersible FOWT. Zhou
77 *et al.* [10] examined the impacts of wave type and steepness on the hydrodynamics-
78 aerodynamics responses of a 5MW semi-submersible FOWT. The dynamic response and power
79 output of the FOWT were analyzed using a high-fidelity aero-hydro-mooring CFD solver. The
80 results showed that there were significant differences in the floater motion response prediction
81 between a focused wave and an irregular wave for the same spectrum. The reconstructed
82 focused wave could be used as an alternative for extreme wave studies. *Abdelbaky et al.* [11]
83 introduced a novel controller that utilizes a partial offline quasi-min-max fuzzy model-
84 predictive control approach to analyze and enhance the performance of variable-speed wind
85 turbines. Fleming *et al.* [12] improved the controller of the WindFloat by adding several control

86 modules to the baseline controller. The results of the tests indicated that the use of a coupled
87 linear model significantly improved the overall performance of performance and reduced the
88 bending loads at the tower-base. Kong *et al.* [13-14] proposed an efficient distributed economic
89 model predictive control strategy to enhance load-following capability. The result shows that
90 the control strategy successfully tracks the reference power provided by the transmission
91 system operator. Furthermore, simulation results demonstrate the control strategy's ability to
92 effectively mitigate power pulsations, even in the presence of unbalanced grid voltage
93 conditions.

94 The above studies mainly focused on the FOWTs with a capacity of up to 5MW. It is noted
95 that adopting 10+MW FOWTs is an effective solution to reducing the levelized cost of
96 electricity. Xue. [15] proposed a Spar-type platform for the application of 10 MW wind turbines
97 in the deep-sea areas. A catenary mooring system was used for station-keeping of the platform.
98 The reliability of the platform heave and pitch were verified by numerical simulations and
99 model tests. Al *et al.* [16] developed a controller for the DTU 10 MW wind turbine supported
100 by a Triple Spar platform to mitigate the rotor speed caused by wave loadings. The mitigation
101 effects under wind and waves condition were examined using a high-fidelity numerical tool. It
102 was found that the novel feedforward controller was capable of narrowing the rotor speed
103 variation range. Ahn *et al.* [17] conducted a scaled model test to verify the performance of a
104 scaled up 10MW FOWT based on the OC4 semisubmersible platform. The test results indicated
105 that the wind turbine exhibited a good performance in terms of the response amplitude and
106 natural period. Zhao *et al.* [18] proposed a conceptual 10MW semi-submersible platform and
107 compared it with the OO-Star platform to validate the numerical model. The dynamic responses
108 of the conceptual FOWT under various fault conditions were examined to confirm the stability
109 of the proposed FOWT concept. In particular, the most significant impact on its heave dynamic
110 behavior was observed under shutdown fault conditions. Xing *et al.* [19] conducted a study on

111 the extreme dynamic responses of a 10MW semi-submersible type FOWT. The average
112 conditional exceedance rate (ACER) and Gumbel methods were used to accurately quantify
113 the FOWT system's extreme dynamic responses and to calculate the ultimate limit state loads.

114 The studies related to tidal/current energy is being carried out in parallel with the
115 investigations on floating wind technology. Wang *et al.* [20] developed a 2-D vortex panel
116 model based on the potential theory for unsteady hydrodynamics of a tidal turbine. The
117 predicted transient forces on the blades and rotor wake were in good agreement with the test
118 data. Roc *et al.* [21] proposed a new representation of tidal turbine based on an existing
119 momentum and turbulence transport equations, which provided a basis for the development of
120 an array layout optimization tool due to the short computational time. The experimental flume
121 tests showed that the method could accurately predict the momentum and turbulent wake
122 interactions. Badoe *et al.* [22] further employed the generalized actuator disk (GAD) approach
123 to model the fluid structure interactions between multiple tidal energy converters. The physical
124 tests were conducted to validate the numerical simulation results. The results showed that GAD
125 method could effectively evaluate the influence of turbine spacing and arrangement.

126 Integration of multiple offshore renewable energy convertors is expected to further reduce
127 the energy cost by sharing the floating platform and its seakeeping system [23-24]. Derakhshan
128 *et al.* [25] proposed a method for the design of integrated wind-wave energy system. A case
129 study was conducted for the UK and Syrian sea areas by analyzing the power performance of
130 an integrated wind-wave energy system consisting of a 4.2 MW wind turbine and several wave
131 energy convertors. It was shown that the wave energy devices increased the annual power
132 generation by around 2%. Wan *et al.* [26] proposed the Spar Torus Combination concept
133 composed of a Spar-type FOWT and a circular-shaped wave energy converter (WEC). The
134 positive synergy between the FOWT and the WEC was demonstrated through experimental
135 tests and numerical simulations. Mohanty *et al.* [27] developed a reactive power management

136 method based on Flexible AC Transmission System (FACTS) devices to adjust the power
137 management and stability of an offshore wind-tidal turbine power generation system. The
138 effect of reactive power compensation and its impact on the dynamic stability of an isolated
139 offshore wind and tidal current hybrid system were investigated and validated. Michele *et al.*
140 [28] developed a mathematical model to analyze the hydrodynamic characteristics of an
141 integrated wind-wave energy system under regular and irregular wave conditions. Collazo *et*
142 *al.* [29-30] experimentally studied the coupling effects between the wave and the pendulous
143 WEC integrated into a Spar FOWT. Lee *et al.* [31] investigated the hydrodynamic loads of a
144 floating wind-wave energy system. A numerical study was conducted to multi-body
145 hydrodynamic interaction between the floating platform and a multi-wavelength energy
146 converter in the frequency domain based on the boundary element method. The analysis
147 revealed that notable variations were observed in the dynamic responses of the WECs if the
148 multi-body hydrodynamic interaction was taken into account.

149 Li *et al.* [32] developed an unsteady aerodynamic load prediction model within the
150 dynamic analysis tool WEC-Sim for WECs. The coupled effects between the aerodynamic and
151 hydrodynamic loads of the floating wind-wave-current energy system in were investigated. The
152 results indicated that the platform motion response was reduced and the power output was
153 increased compared to the conventional wind turbines. In addition, Li *et al.* [33-34] examined
154 the short-term and long-term responses of the wind-wave-current system under extreme
155 conditions. The findings indicated that the WEC enlarged the fatigue load in mooring lines.
156 However, the interactions of the aerodynamic loads on the wind turbine and the hydrodynamic
157 loads on the tidal turbine were not considered. In addition, the torque-pitch control was ignored
158 for the tidal turbine. Chen *et al.* [35] introduced a new and innovative integrated floating wind-
159 wave generation platform (FWWP), which includes a DeepCwind semi-submersible FOWT
160 and a point absorber WEC. In order to investigate the dynamic responses and power generation

161 capabilities of the FWWP under different operational sea-states, fully coupled analyses were
162 carried out based on the F2A tool. It was found that the incorporation of WECs resulted in
163 increased total power generation when compared to a standalone FOWT. Tian *et al.* [36]
164 conducted research on a 5MW unsupported semi-submersible FOWT and various
165 configurations of annular WECs. They compared the impact of different numbers of WECs on
166 the hydrodynamic performance of the wind turbine. Comparison and discussion of the response
167 amplitude operators (RAOs) and generated power of the studied combination structures in the
168 time domain showed that the combination structure using three WECs has the highest power
169 generation capacity. Yang *et al.* [37] developed a fully coupled model based on FASTv7 and
170 AQWA for floating wind-current energy systems. It was found that the integrated floating wind-
171 current energy system improved the platform motion stability and increased the power
172 production when comparing to the FOWT.

173 Nonetheless, the interactions between the wind and current energy converters under
174 complexly environmental conditions have not been sufficiently investigated. **The major
175 difficulties and challenges in the field of integrated floating energy systems mainly include: i)
176 the need for a numerical simulation model that considers the coupled effects between wind and
177 current energy converters; ii) the development of a pitch-torque control of tidal turbines under
178 dynamic inflow conditions when integrated into a FOWT; iii) the quantitative analysis of the
179 impact of tidal turbines on the dynamic responses of a FOWT under wind-wave-current
180 loadings.** Furthermore, a comprehensive evaluation of the fatigue performance of the tower in
181 the presence of current turbines is required.

182 In order to address these research needs, this paper aims to quantitatively assess the fatigue
183 performance of a 10MW+ Spar-type IFES, considering the effects of aero-hydro-servo-elastic
184 coupling as a continuation of the previous study [37]. **In this study, a fully Coupled Analysis
185 Tool for Integrated Floating Energy Systems (CATIFES) was developed to consider the**

186 interactions between the FOWT and tidal turbines under different environmental conditions.
187 The proposed case study involves a 10MW Spar-type FOWT integrated with two 550kW tidal
188 turbines. This analysis of a10MW+ IFES is anticipated to provides valuable insights into the
189 interactions of multiple energy converters within the integrated system.

190 Furthermore, the research evaluates the fatigue damage at the tower-base of the IFES
191 throughout its design service life. This evaluation quantitatively assesses the impact of tidal
192 turbines on extending the tower operational lifespan. These findings obtained from this study
193 are expected to contribute to advancing knowledge in the field and highlight the potential
194 benefits of integrating tidal turbines into FOWT systems.

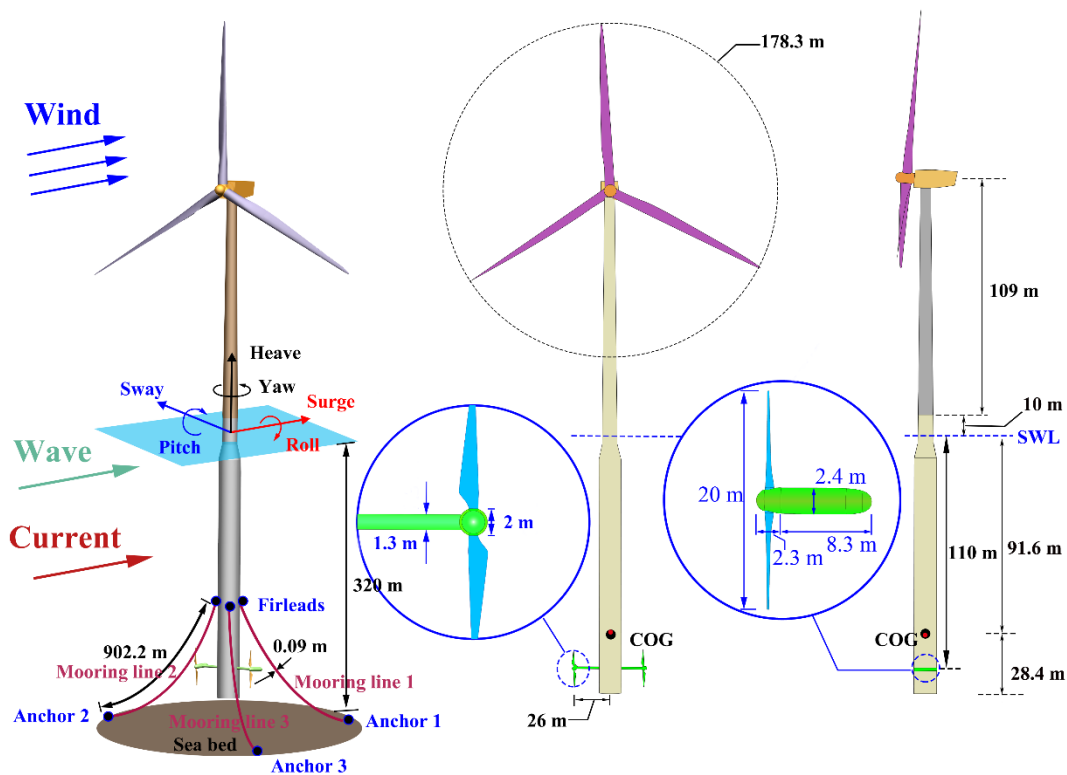
195 This paper makes two significant contributions. First, a novel and fully coupled analysis
196 tool (CATIFES) is developed to accurately predict the dynamic responses of an integrated
197 floating wind-current energy system under wind-wave-current loadings. This addresses a
198 significant research gap in the field of coupled analysis for floating wind-wave energy systems.
199 By integrating the aerodynamic and hydrodynamic loads, the CATIFES provides a
200 comprehensive framework for evaluating the performance of these complex systems. Secondly,
201 this study quantitatively evaluates the effect of tidal turbines on the power production, platform
202 motion, and tower fatigue damage of a 10 MW Spar-type FOWT. By comparing the responses
203 of the integrated floating wind-current energy system with those of a standalone FOWT, strong
204 evidence is provided to confirm the benefits derived from integrating multiple types of energy
205 converters on a single floating platform. The evaluation not only highlights the increased power
206 production resulting from the presence of tidal turbines but also demonstrates improved
207 platform stability and reduced fatigue damage at the tower base.

208 This paper is organized as follows: Section 2 describes the IFES used in this study. The
209 mathematical model of the CATIFES and the validations are presented in Section 3. Section 4
210 describes the load cases and presents the results and discussions of the IFES under combined

211 wind-wave-current loads. Finally, the conclusions are presented subsequently in Section 5.

212 2 Introduction of wind-current energy system model

213 The IFES model proposed in this paper is composed of the DTU 10MW wind turbine,
214 Spar platform up-scaled from the Hywind concept, the mooring system, and two 550kW tidal
215 turbines designed by the Sandia National Laboratory. A preliminary analysis is performed to
216 confirm the best installation position of the tidal turbines. The platform pitch and the overall
217 power production of the IFES are balanced for the best while the tidal turbines are installed at
218 110m below the sea level. The schematic diagram of the IFES model is presented in Fig. 1.



219

220

Fig. 1: The schematic diagram of the IFES model

221

222 2.1 Introduction to the 10MW wind turbine

223 The DTU 10MW reference wind turbine is jointly designed by the Technical University

224 of Denmark and Vestas. [38] The full design details in terms of aerodynamic and structural
 225 parameters of the wind turbine are released to the public for world-wide researchers to improve
 226 offshore wind technology. The rotor diameter is 178.3m and the hub height is 119m. Table 1
 227 presents the main design specifications of the wind turbine.

228 Table 1: Main design specifications of the DTU 10 MW wind turbine model

Property/Unit	Value	Property/Unit	Value
Rated power/MW	10	Rotor diameter/m	178.3
Rated wind speed/(m·s ⁻¹)	11.4	Hub diameter/m	5.6
Cut-in wind speed/(m·s ⁻¹)	4	Hub height/m	119
Cut-out wind speed/(m·s ⁻¹)	25	Tower height/m	115.63
Cut-in rotor speed/rpm	6	Rotor mass/kg	227962
Rated rotor speed/rpm	9.6	Nacelle mass/kg	446036

229

230 *2.2 The Spar platform and mooring system*

231 The Spar platform used in this study is up-scaled from the Hywind Spar 5MW model by
 232 Shin [39] for supporting the 10MW wind turbine. The draft of the Spar platform is 120m for
 233 the application in 320m water depth areas. The platform mass including the ballast is 1.2×10^7 kg.
 234 The mooring system is composed of three suspended chain lines with a length of 902.2m and
 235 an equivalent diameter of 0.09m. The properties of the Spar platform and the mooring system
 236 are shown in Table 2 and Table 3, respectively.

237 Table 2: Main properties of Spar platform

Platform property	Value/Unit
Water depth	320/m
Hull thickness	0.06/m
Platform mass including ballast	1.21×10^7 /kg
Platform length	130/m
Platform diameter above taper	8.3/m
Platform diameter below taper	12/m
Center of mass	-91.96/m
Draft	120/m
Roll inertia	1.273×10^{11} /(kg· m ²)
Pitch inertia	1.273×10^{11} /(kg· m ²)
Yaw inertia	6.056×10^{10} /(kg· m ²)

238

239

Table 3: Main properties of mooring system

Mooring property	Value/Unit
Number of mooring lines	3/-
Angle between adjacent lines	120/deg
Fairlead depth	70/m
Anchor depth	320/m
Unstretched length	902.2/m
Equivalent diameter	0.09/m
Equivalent axial stiffness	384.24/MN
Equivalent mass density in air	233.12/(kg/m)

240

241 **2.3 Introduction of the tidal turbine**

242 The tidal turbine is a 550kW two-blade model designed by the Sandia National Laboratory
 243 [40]. The mass of each tidal turbine including the nacelle and connecting beam is 6.13×10^4 kg.
 244 The rotor and hub diameters are 20m and 2m, respectively. The distance between the platform
 245 centerline and hub of the tidal turbine is 26m. The blade shape is optimized by the HARP_Opt
 246 tool. The main design parameters are shown in Table 4. The parameters of blade sectional
 247 airfoil, twist angle, and relative thickness are shown in Table 5.

248

Table 4: Main design properties of tidal turbine

Property	Value/Unit
Rated power	550/kW
Cut-in, cut-out current speed	0.5,3.0/(m/s)
Minimum and rated rotor speed	3.0,11.5/rpm
Diameter of the rotor	20.0/m
Diameter of the hub	2.0/m
Rotor mass	1200/kg
Nacelle mass	40100/kg
Cross-beam mass	20000/kg
Drivetrain inertia moment	4.44×10^6 /(kg·m ²)
Depth to hub below MSL	46.5/m

249

250

Table 5: The blade cross-section properties of the tidal turbine

Local radius/m	Aerofoil-	Twist/deg	Chord/m	Relative thickness/%
-----------------------	------------------	------------------	----------------	-----------------------------

1	Cylinder	0.8	12.86	100
1.89	Interpolated	1.243	12.86	53.3
2.7	Interpolated	1.702	12.79	27.55
3.55	NACA 63-424	1.577	9.5	24
4.23	NACA 63-424	1.481	7.85	24
5.01	NACA 63-424	1.371	6.51	24
5.84	NACA 63-424	1.251	5.47	24
6.62	NACA 63-424	1.138	4.71	24
7.23	NACA 63-424	1.046	4.2	24
7.89	NACA 63-424	0.945	3.69	24
8.45	NACA 63-424	0.856	3.28	24
8.92	NACA 63-424	0.781	2.92	24
9.24	NACA 63-424	0.728	2.68	24
9.64	NACA 63-424	0.661	2.35	24
10	NACA 63-424	0.6	2.1	24

251

252 **3 Fully coupled modeling of the IFES**

253 To consider the coupling effect of the wind turbine and tidal turbines, the aero-servo-
254 elastic simulation capability of OpenFAST for wind turbines is implemented through the
255 external dynamic link library (user_force64.dll) of AQWA, which will be invoked for each
256 determination of the platform responses. In addition, the prediction model of the hydrodynamic
257 loads acting on the tidal turbines is developed based on the blade element momentum theory
258 considering the cavitation. The Coupled Analysis Tool for Integrated Floating Energy Systems
259 (CATIFES) is then developed by integrating the above two models.

260 **3.1 Introduction to OpenFAST**

261 OpenFAST was developed by the National Renewable Energy Laboratory (NREL) to
262 simulate coupled dynamics of horizontal axis wind turbines. OpenFAST is designed to provide
263 a robust software engineering framework for FAST development. The software is not only
264 certified by Germanischer Lloyd but also has the open-source feature [41], therefore it is widely
265 used in the academic research. OpenFAST mainly consists of several modules to consider the
266 interaction effects between loads, control and structural dynamics. **OpenFAST is significantly**
267 **better at predicting the unsteady aerodynamic loadings compared to its previous version (FAST**

268 v7). This is why OpenFAST is used instead of FAST v7 to develop the CATIFES model.

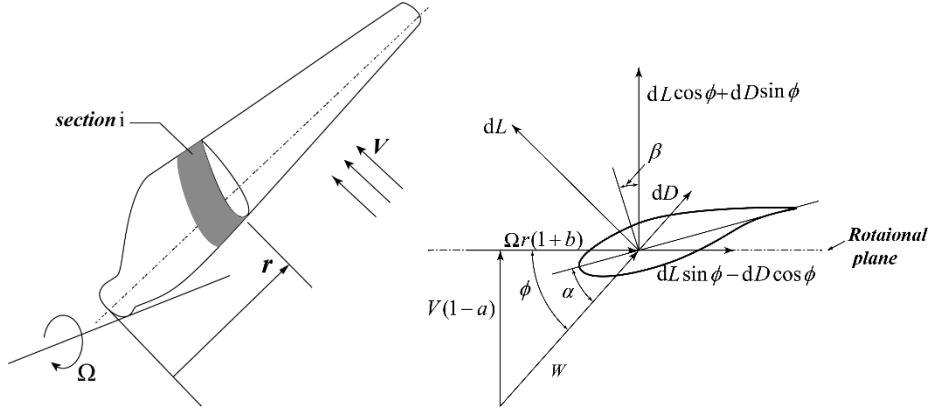
269 The AeroDyn module is responsible for the prediction of aerodynamic loads on the rotor
270 and tower. ElastoDyn is used to determine of structural dynamics of most components
271 including the drivetrain and tower. This paper employs the modal method to examine the tower
272 dynamics, assuming that the tower vibration is linearly represented by several bending modes
273 and neglecting torsional modes. The Spar-type platform used in this paper experiences
274 relatively small yaw moments, resulting in minimal torsional moments on the tower. Therefore,
275 the actual torsional deformation of the tower is considered negligible compared to the variation
276 of inflow wind direction. The assumed modal method has been applied in numerous studies
277 examining the tower dynamics [42-43]. The impact of this assumption on simulation results is
278 anticipated to be insignificant. The control scheme is conducted in the ServoDyn module for
279 the regulation of blade pitch and generator torque. The CATIFES model developed in this study
280 employs these three modules to obtain the aero-servo-elastic responses of the IFES.
281 Specifically, these three modules are compiled as a user defined DLL that can be invoked by
282 AQWA for external force prediction.

283 *3.2 Blade element momentum theory for a tidal turbine*

284 AeroDyn is an open-source tool supported and maintained by NREL [44] for the
285 aerodynamic load prediction of horizontal axis turbine blades. This study employs the Aerodyn
286 v15.04 that is capable of checking the cavitation problem to predict the hydrodynamic loads
287 acting on tidal turbines under unsteady current conditions. The Generalized Dynamic Wake
288 (GDW) model and Blade Element Momentum Theory (BEMT) are used in Aerodyn v15.04.
289 The GDW model is used to calculate the axial induction velocity over the rotor plane under
290 dynamic inflow condition [45]. The tangential induction velocity of each blade section is
291 predicted using the BEMT as the rotation wake is not examined in the GDW model.

292 The BEM theory is combined by the blade element theory and the momentum theory. The

293 wind turbine blade is treated as finite sections. The lift and drag coefficients of the blade
 294 sectional airfoil are used to calculate the aerodynamic force acting on each blade element. Fig.
 295 2 presents the velocity triangle and force acting on an airfoil.



296
 297 Fig. 2: Illustration of the velocity triangle and force analysis for a blade element
 298

299 where Ω is the rotational speed of the rotor, r is the local radius of the blade element, V is the
 300 inflow velocity, and W denotes the relative inflow speed; a and b are the axial and tangential
 301 induction factors; α and β , respectively, the effective angle of attack, twist angle, and inflow
 302 angle of the blade element, ϕ is the relative inflow angle of the local element; L and D are,
 303 respectively, the lift and drag forces generated by the blade element.

304 The BEM theory is subsequently applied to compute the loads acting on each blade
 305 element, based on the lift and drag coefficients of the local sectional airfoil as represented in
 306 Eq. (1) and Eq. (2) [46].

$$307 \quad dT = \frac{1}{2} \rho W^2 c (C_l \cos \phi + C_d \sin \phi) dr \quad (1)$$

$$308 \quad dM = \frac{1}{2} \rho W^2 c (C_l \sin \phi - C_d \cos \phi) r dr \quad (2)$$

309 where dT and dM are, respectively, the thrust and moment of the local blade element; ρ is
 310 the density of the inflow fluid; C_l and C_d are, respectively, the lift and drag coefficients of
 311 the sectional airfoil; The Beddoes-Leishman dynamic stall model is used to correct the

312 aerodynamic coefficients under unsteady conditions; c is the chord length of the blade element;
313 dr is the length of the blade element.

314 In the analysis, the GDW model first solves the dynamic induction velocity distribution
315 over the rotor. The angle of attack at each blade section is then calculated to call the lift and
316 drag coefficients for the prediction of aerodynamic loads on the blades using Eq. (1) and Eq.
317 (2). It is noted that the floating platform motions will be used to correct the current inflow
318 speed V , which will be further described in the subsequent section. **In addition, this study**
319 **assumes the absence of cavitation when predicting hydrodynamic loads on the tidal turbines.**
320 **The rated current speed is 2.0 m/s, and the low rated rotor speed of 11.5 rpm corresponds to a**
321 **blade tip speed of 12.03m/s, making cavitation unlikely [47-49].**

322 This study assumes that the BEM method remains valid for load prediction of the tidal
323 turbines when installed on the platform. The BEM method is commonly employed for
324 calculating the hydrodynamic performance of an individual tidal turbine. In this paper, the tidal
325 turbines are installed on a floating platform. The distance between the blade tip and platform
326 is not substantial, but a 50% blade tip clearance is maintained relative to the rotor diameter.
327 Recent studies suggested that a tip clearance of 10% of the rotor diameter has no significant
328 impacts on the blade's aerodynamic performance of wind turbines, and this conclusion can be
329 extrapolated to tidal turbines [50-52]. Thus, this assumption is not expected to significantly
330 influence on the results.

331 ***3.3 Introduction to AQWA and integration of the sub-models***

332 The CATIFES model is developed within the hydrodynamic analysis software package,
333 namely AQWA. AQWA that is a commonly-used tool for hydrodynamic analysis of marine and
334 offshore structures [53]. The potential theory is employed by AQWA to solve the radiation and
335 diffraction problems of a large size floater for obtaining the added mass, radiation damping,
336 and wave excitation forces in frequency domain analysis. **Potential flow assumption neglects**

337 the viscous effects of sea water when calculating the hydrodynamic loads on the platform.
 338 However, it is a widely accepted method in the field of marine engineering [54-55]. The
 339 influence of this assumption on the final results is relatively minor since an additional damping
 340 is introduced to account for the viscous effects.

341 Based on the frequency domain solutions, the platform responses can be calculated using
 342 a prediction-correction time-marching method in the AQWA solver, while mooring restoring
 343 forces and external loads calculated by the user defined DLL (user_force64.dll).

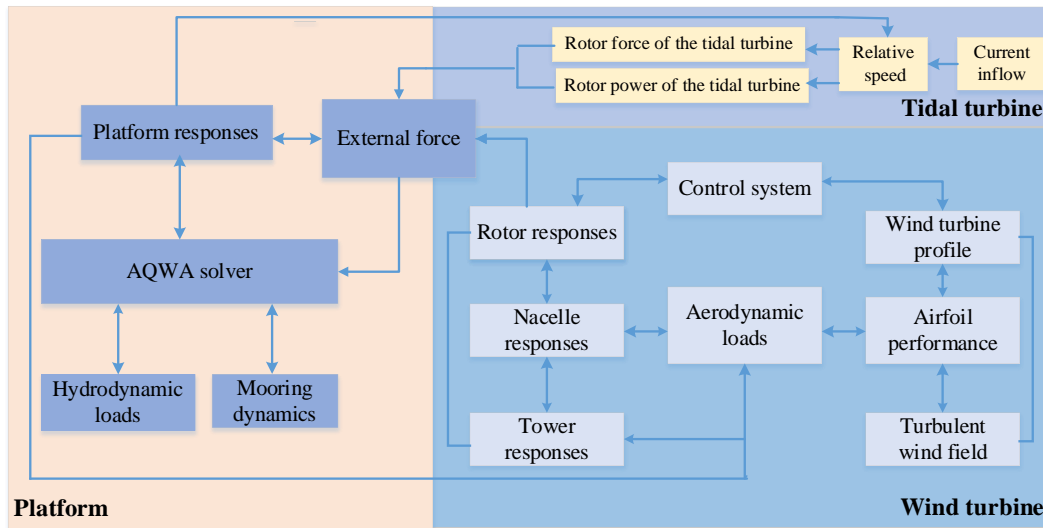
344 This paper assumes that the platform acts as a rigid body with six degrees of freedom
 345 since the restoring stiffness provided by the mooring system and ballast is significantly smaller
 346 than the structural bending stiffness. The participation rate of the platform bending modes is
 347 relatively minor compared to the translational and rotational modes. This aligns with the typical
 348 modelling approach for dynamic analysis of Spar-type platforms. The assumption is expected
 349 to have a minimal impact on the results [56-58]. The governing equation of motion of the
 350 platform is given as:

$$351 \quad (m + A_{ww})\ddot{X}(t) + C\dot{X}(t) + KX(t) + \int_0^t h(t-\tau)\ddot{X}(\tau)d\tau = F_h(t) + F_t(t) + F_e(t) \quad (3)$$

352 where m is the platform inertial mass, A_{ww} is the added mass; K and C are, respectively,
 353 the total stiffness and damping matrices; $X(t)$, $\dot{X}(t)$, and $\ddot{X}(t)$ are, respectively, the
 354 displacement, velocity, and acceleration vectors of the platform; $h(t)$ is the acceleration
 355 impulse function used to consider the radiation memory effect; $F_h(t)$ is the hydrodynamic
 356 load and $F_t(t)$ is the mooring restoring force; $F_e(t)$ denotes the external force including the
 357 aerodynamic load of the wind turbine and the hydrodynamic load of the tidal turbines. It should
 358 be noted that the external forces acting on the platform comprise not only the aerodynamic
 359 forces of the wind turbine but also the hydrodynamic forces generated by the tidal turbines.

360 Fig. 3 presents the logical flow of CATIFES. As can be observed from Fig. 3, the dynamic

361 responses of the wind turbine and the tidal turbine are solved by the external force DLL
 362 (user_force64.dll), while AQWA solves the platform responses based on the hydrodynamic
 363 loads and the external force on the platform. The user_force64.dll is invoked by AQWA at each
 364 time step to calculate the aerodynamic loads on the wind turbine and hydrodynamic loads on
 365 the tidal turbines. The platform displacement, velocity, and acceleration will be transferred to
 366 the DLL and used to for the calculation of the dynamic response of tower, nacelle, and blade
 367 structure and aerodynamic load. The shear force and bending moment at the tower-base are fed
 368 back to the DLL for the solution of platform motions.



369 Fig. 3: Schematic of the coupling logic of CATIFES modules
 370

371
 372 Similarly, the hydrodynamic forces on the tidal turbines are calculated using the method
 373 presented in Section 3.2, which takes into account the contribution of platform motions to the
 374 current inflow speed $U_{curr,rel}$ using Eq. (4). The hydrodynamic load of the tidal turbines will
 375 be transferred back into AQWA acting as an external force for the prediction of platform
 376 motions. It is apparently that the aerodynamic load of the wind turbine or the hydrodynamic
 377 load of the tidal turbine is affected by the platform response, and vice versa.

378
$$U_{curr,rel} = U_{curr} - U_{ptfm,surge} - (Z_{tidal} - Z_{ptfm})U_{ptfm,pitch} + (Y_{tidal} - Y_{ptfm})U_{ptfm,yaw} \quad (4)$$

379 where U_{curr} is the defined inflow current speed at the tidal hub depth. $U_{ptfm,surge}$, $U_{ptfm,pitch}$

380 and $U_{ptfm,yaw}$ are the surge, pitch and yaw velocities of the platform, respectively. Z_{tidal} and
 381 Z_{ptfm} are the vertical coordinates of the CMs of the tidal turbine and platform, respectively.
 382 Y_{tidal} and Y_{ptfm} are the lateral coordinates of the CMs of the tidal turbine and platform,
 383 respectively.

384 Since the AQWA solver only accepts the external force applying at the mass center of the
 385 platform, transformations must be made to the aerodynamic loads calculated in the DLL.
 386 Taking the coupling between the platform and the wind turbine as the example, the platform
 387 motions generated by the AQWA solver is the response at the mass center of the platform, while
 388 the platform motion accepted by the DLL for updating the kinematics of the wind turbine is at
 389 a specific reference point that is usually the tower-base. Therefore, the Euler angle
 390 transformation matrix given below is used for the data transfer between the AQWA solver and
 391 DLL [59].

$$392 \quad \mathbf{E} = \begin{bmatrix} \cos \theta_2 \cos \theta_3 & \sin \theta_1 \sin \theta_2 \cos \theta_3 - \cos \theta_2 \sin \theta_3 & \cos \theta_1 \sin \theta_2 \cos \theta_3 + \sin \theta_1 \sin \theta_3 \\ \cos \theta_2 \sin \theta_3 & \sin \theta_1 \sin \theta_2 \cos \theta_3 + \cos \theta_2 \sin \theta_3 & \cos \theta_1 \sin \theta_2 \cos \theta_3 - \sin \theta_1 \sin \theta_3 \\ -\sin \theta_2 & \sin \theta_1 \cos \theta_2 & \cos \theta_1 \cos \theta_2 \end{bmatrix} \quad (5)$$

393 where θ_1 , θ_2 , and θ_3 are, respectively, the roll, pitch, and yaw angles of the platform.

394 The platform motion output from AQWA is transformed as follows:

$$395 \quad \mathbf{D}_{DLL} = \mathbf{D}_{AQWA} - \mathbf{E} \cdot \mathbf{P} \quad (6)$$

396 where \mathbf{P} is the position vector from the platform reference point to the mass center of
 397 platform, \mathbf{D}_{AQWA} and \mathbf{D}_{DLL} are, respectively, the platform displacement vectors obtained at
 398 AQWA and the incoming DLL.

399 The velocity of the platform is transformed as follows:

$$400 \quad \mathbf{U}_{DLL} = \mathbf{U}_{AQWA} - \mathbf{E} \cdot \mathbf{P} \times \boldsymbol{\omega} \quad (7)$$

401 where \mathbf{U}_{AQWA} and \mathbf{U}_{DLL} are the platform velocity vectors obtained in AQWA and the one
 402 used in the DLL, respectively; $\boldsymbol{\omega}$ is the rotational velocity vector of the platform obtained in

403 AQWA.

404 The platform acceleration is not available for transfer between the solver and the DLL.
405 Therefore, the first-order forward difference of the velocity is used to denote the acceleration
406 as follows:

$$407 \quad \mathbf{a}_{DLL} = \frac{\mathbf{U}_{DLL} - \mathbf{U}'_{DLL}}{\Delta t} \quad (8)$$

408 where \mathbf{a}_{DLL} is the platform acceleration and \mathbf{U}'_{DLL} is the platform velocity at the last time
409 step, Δt is the time step of the simulation.

410 The tower-base loads calculated in the DLL will be transferred to the AQWA solver as a
411 external force for the prediction of the platform motion. It is noted the tower-base loads are
412 referred to the local platform coordinate system, however, the external force applying at the
413 mass center of platform is referred to the inertial coordinate system. The loads are corrected as
414 follows:

$$415 \quad \mathbf{F}_{AQWA} = \mathbf{E}^{-1} \cdot \mathbf{F}_{DLL} \quad (9)$$

$$416 \quad \mathbf{M}_{AQWA} = \mathbf{E}^{-1} \cdot (\mathbf{M}_{DLL} - \mathbf{P} \times \mathbf{F}_{DLL}) \quad (10)$$

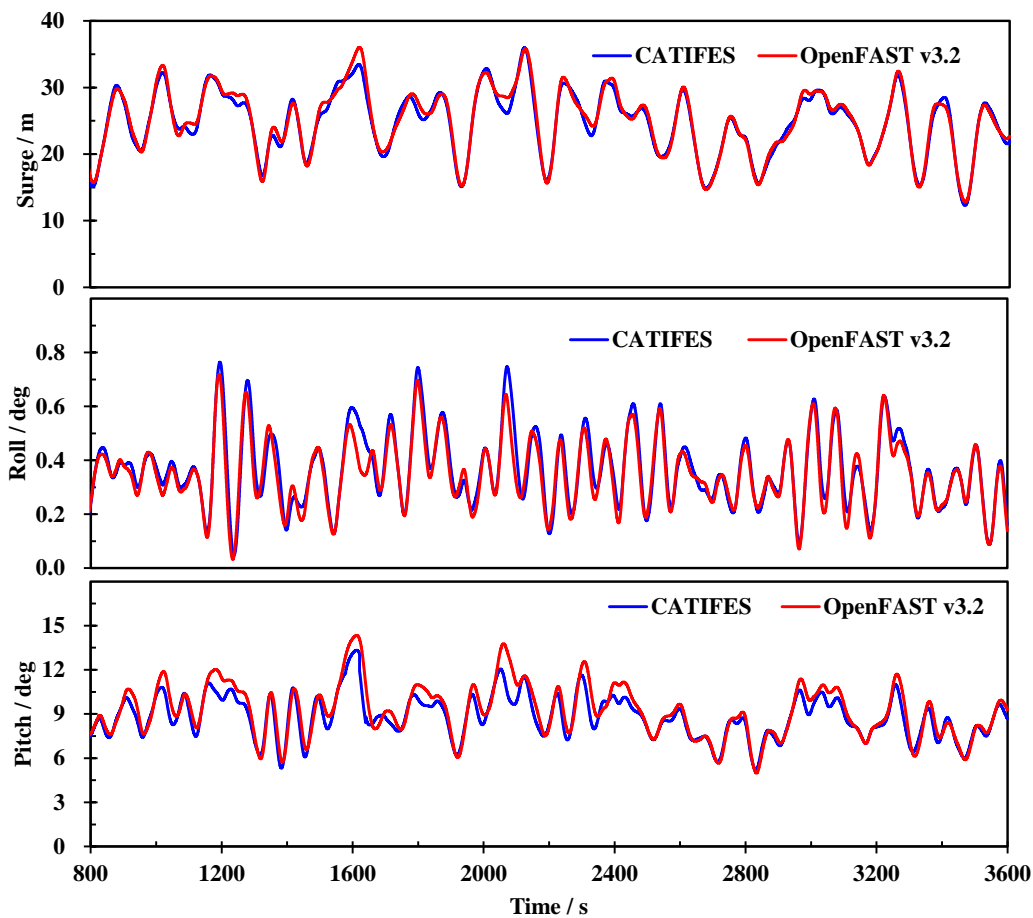
417 where \mathbf{F}_{AQWA} and \mathbf{F}_{DLL} are the translational force vectors fed back into in AQWA and
418 calculated in the DLL, respectively; \mathbf{E}^{-1} is the inverse of the transformation matrix \mathbf{E} ;
419 \mathbf{M}_{AQWA} is the moment vector applying at the mass center of the platform referred to the inertial
420 coordinate system; \mathbf{M}_{DLL} is the moment vector at the tower-base referred to the local platform
421 coordinate system.

422 **3.4 Validation of the CATIFES**

423 Since there is no published experimental or numerical simulation data for the wind-current
424 type IFES, the validation of the CATIFES model is examined by verifying its capability in
425 performing coupled analysis of a FOWT and in predicting performance of tidal turbines,
426 respectively.

427 The dynamic responses of the DTU 10MW wind turbine supported by the Spar platform

428 under 9m/s turbulent wind condition are calculated using CATIFES and OpenFAST v3.2,
 429 respectively. The results during 800s to 3600s is selected for the comparison to avoid the
 430 influence of the transient behavior. Fig. 4 shows the comparison of the platform motions. It can
 431 be observed that the results calculated by CATIFES and OpenFAST agree very well in trends
 432 and magnitudes. More specifically, the mean values of the pitch predicted by OpenFAST v3.2
 433 and CAT4IFES are respectively 8.3 degrees and 8.5 degrees, meaning the difference is 2.4%.
 434 The difference between the maximum pitch predicted by the present model and OpenFAST is
 435 0.7 degrees, equivalent to a relative error of 4.8%. The platform surge motions obtained by
 436 CATIFES and OpenFAST are almost identical in the domain variations. The comparison of the
 437 platform motions indicates that CATIFES could produce acceptable dynamic responses of a
 438 FOWT under turbulent wind conditions.



439

440 Fig. 4: Comparison between the platform motions predicted by the present CATIFES model

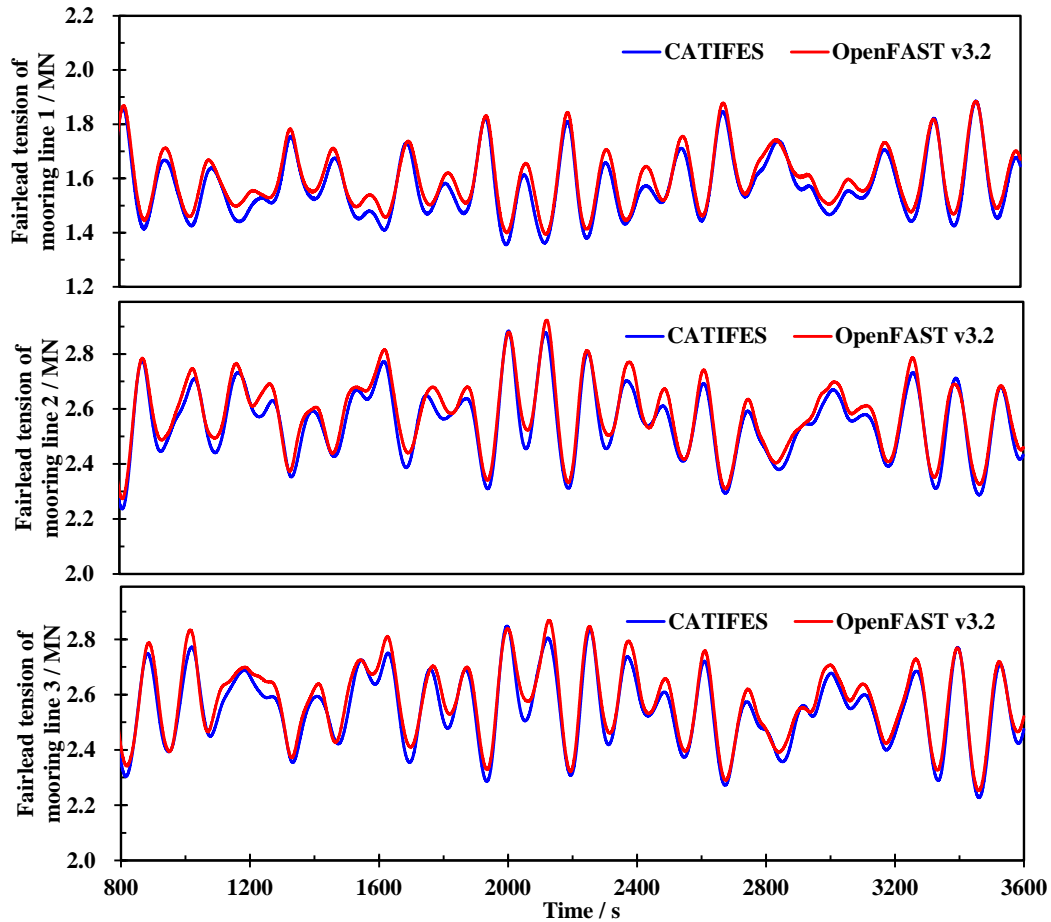
and OpenFAST

441

442

443 Fig. 5 presents the fairlead tension in the mooring lines. Good agreements between the
444 fairlead tensions in each mooring line predicted by CATIFES and OepnFAST are observed.
445 There is only a small difference for the maximum values. More specifically, the mean value
446 and standard deviation of the fairlead tension in mooring line #2 predicted by CATIFES are
447 2.55MN and 0.12MN, while the corresponding results obtained using OpenFAST are 2.58MN
448 and 0.13MN. The maximum tensions in mooring line #2 calculated by CATIFES and
449 OpenFAST are 2.90MN and 2.92MN, respectively. The relative error is only 0.68%.

450 The main reason producing the difference between the simulation results of the present
451 model and OpenFAST is that there is a minor difference between the mooring modeling
452 theories of OpenFAST and AQWA. AQWA uses the finite element method to consider the
453 dynamic mooring effects and calculates the hydrodynamic loads acting on the mooring based
454 on the wave velocity at the current position of the mooring. OpenFAST, on the other hand,
455 considers the dynamic effects of the mooring using the lumped mass approach, and the
456 hydrodynamic loads applied to the mooring are based on the wave motion at the initial position
457 of the platform. Although a minor difference between the results is observed, the overall
458 agreement is good enough, indicating that the CAT4IFES model can consider the coupling
459 effect between the aero-elasticity and hydrodynamics of the FOWT.



460

461

Fig. 5: Fairlead tension of CATIFES and OpenFAST v3.2

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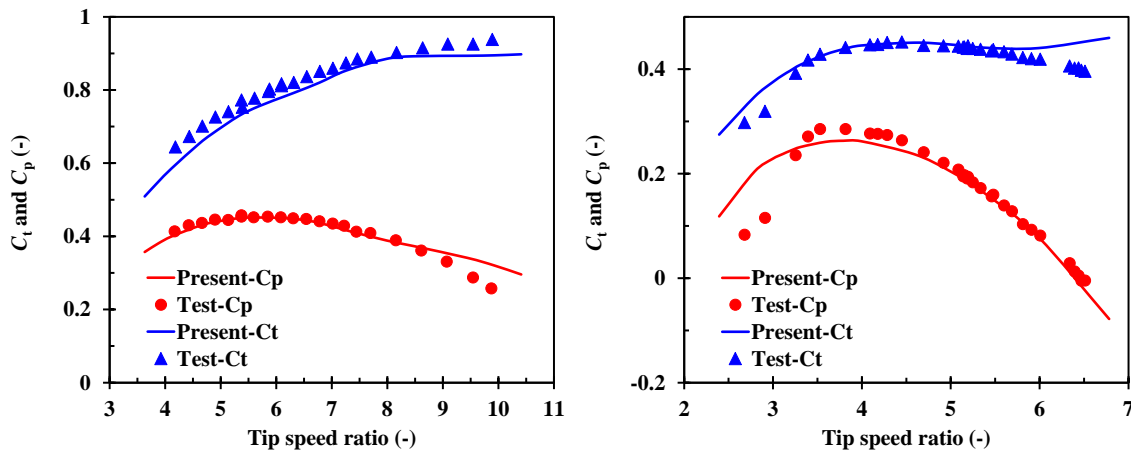
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472

The experimental data from the model test conducted by Bahaj et al [47]. and Doman et al [48]. is used to validate CATIFES for predicting the hydrodynamic performance of a tidal turbine. The test data and numerical simulation results are presented in Fig. 6. In model test 1, the numerical simulation predicted power and thrust coefficients that are consistent with the trends in the test data, although the power coefficient is slightly overestimated for high tip-speed ratios (TSR). In model test 2, the numerical results at low TSR are slightly higher than the test data due to the cavitation effect. However, within the common operating range of TSR 4-6, the power and thrust coefficients predicted by the present CATIFES agree well with the test results. Overall, the consistency between the numerical simulation results and the model tests is good, confirming the accuracy of the numerical model in predicting the response of

473 tidal turbines is acceptable.



474

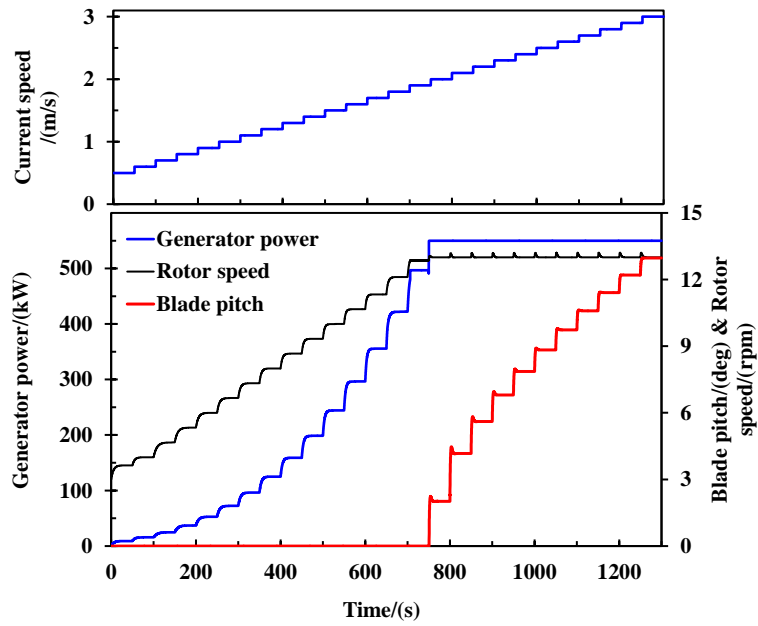
475 (a) Model test 1

475 (b) Model test 2

476 Fig. 6: Comparison between tidal turbine responses obtained from the present numerical
477 simulations and model tests; (a) model test 1 conducted by Bahaj *et al.* [47] for a 0.8 m
478 diameter rotor, (b) model test 2 conducted by Doman *et al.* [48] for a 0.762 m diameter rotor.

479

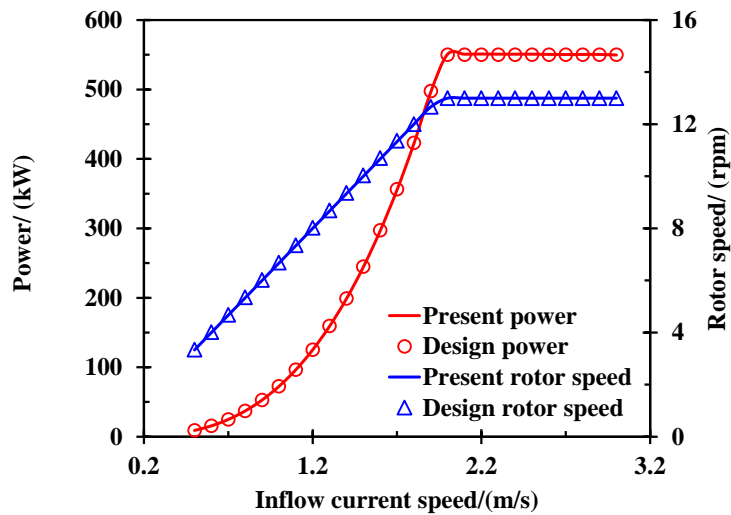
480 A pitch-torque controller is developed to adjust the power production of the tidal turbine.
481 In order to validated the controller, the simulation of the tidal turbine suffering a step current
482 speed condition is conducted. The duration of each step speed is 50s. Fig. 7 presents the
483 generator power, rotor speed and blade pitch angle of the tidal turbine under the step current
484 speed condition. It is observed that a steady state is quickly achieved after a quite short transient
485 period between each two speeds. The power and rotor speed in the steady states are compared
486 with the design parameters as presented in Fig. 8. It can be observed that the numerical results
487 are identical to the design parameters for each inflow current speed. The comparison indicates
488 that the controller implemented in this study is efficient in adjusting rotor speed and blade pitch
489 to achieve a target power.



490

491

Fig. 7: Controller performance under an unsteady inflow condition



492

493

Fig. 8: Comparisons of power and rotor speed under steady conditions

494

495 **4 Results and discussions**

496 **4.1 Definition of load cases**

497

498

499

Table 6 presents the definitions of the environmental conditions of the load cases examined in this study. The wind speed gradually increases from 3m/s to 25m/s. The three-dimensional wind field of each load case is generated using TurbSim based on the Kaimal

500 spectrum. The significant wave height and spectral peak period corresponding to each wind
 501 speed are defined according to the met-ocean data measured from an Eastern coastal site of the
 502 USA [53]. The JONSWAP wave spectrum with a peak shape parameter of 3.3 is applied for the
 503 irregular waves.

504 **Table 6:** Load cases for different environmental conditions

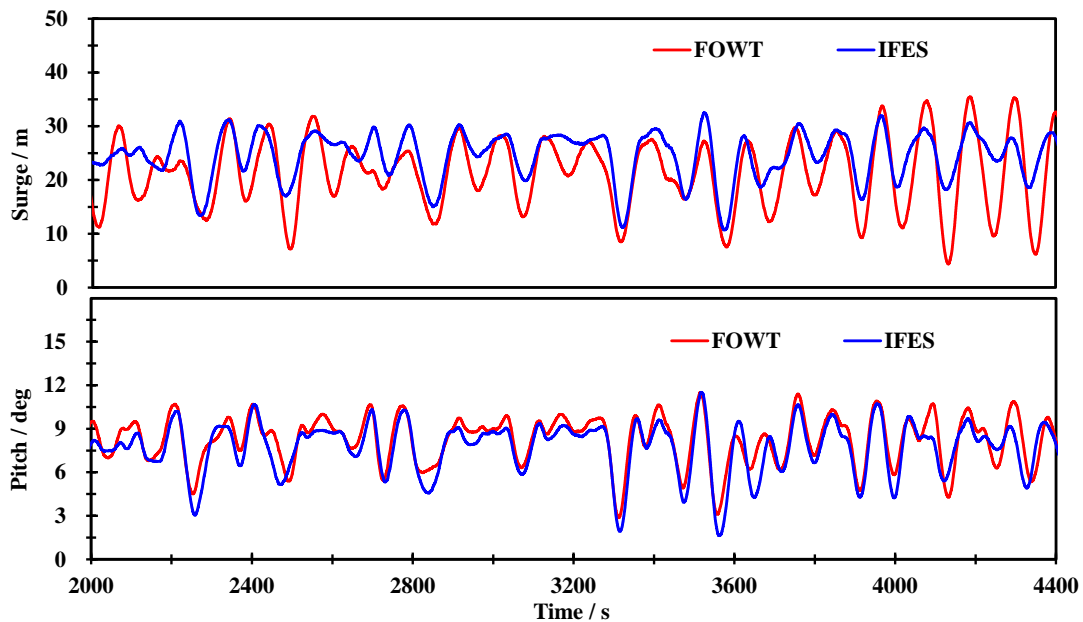
	Wind speed/(m/s)	Significant Wave Height/m	Peak Spectral Period/s	Current speed at MSL/(m/s)	Probability
LC1	3	1.089	8.569	0.61	2.34%
LC2	4	1.108	8.496	0.65	3.57%
LC3	5	1.146	8.392	0.68	4.13%
LC4	6	1.198	8.264	0.73	5.56%
LC5	7	1.269	8.103	0.92	6.98%
LC6	8	1.359	7.923	1.06	7.78%
LC7	9	1.478	7.724	1.22	8.24%
LC8	10	1.617	7.569	1.31	7.66%
LC9	11	1.779	7.451	1.46	7.00%
LC10	12	1.954	7.443	1.52	6.77%
LC11	13	2.144	7.457	1.66	6.32%
LC12	14	2.350	7.508	1.70	5.99%
LC13	15	2.573	7.629	1.81	5.24%
LC14	16	2.808	7.810	2.01	4.70%
LC15	17	3.062	8.047	2.12	4.17%
LC16	18	3.361	8.294	2.23	3.24%
LC17	19	3.645	8.549	2.42	2.89%
LC18	20	3.860	8.796	2.51	2.13%
LC19	21	4.081	9.042	2.66	1.83%
LC20	22	4.335	9.288	2.71	1.15%
LC21	23	4.610	9.534	2.81	1.00%
LC22	24	4.905	9.779	2.86	0.72%
LC23	25	5.216	10.025	2.98	0.66%

505
 506 The dynamic responses of the IFES with two tidal turbines installed at 110m below the
 507 sea level calculated using CAT4IFES and compared with those of the FOWT for the load cases
 508 presented in **Table 6**.

509 The simulation duration of each load case is set to 4400s and time step is 0.005s. To avoid
 510 the influence of transient response, the statistical analysis is performed for the responses in
 511 2000s to 4400s.

512 **4.2 Time-varying responses in the rated condition**

513 In order to obtain a preliminary understanding of the dynamic behavior of the IFES and
514 the efficacy of integrating tidal turbines within the FOWT system, the dynamic responses of
515 the IFES under a specific load case are compared with those of the FOWT. Fig. 9 presents the
516 platform motions of the IFES and the FOWT under LC9 in which the wind speed is 11m/s and
517 the current speed is 1.46m/s. Due to the presence of the tidal turbines, the average platform
518 surge of the IFES is larger than that of the FOWT, while the maximum value decreases. More
519 specifically, the maximum platform surge motions of the IFES and the FOWT are respectively
520 32.51m and 35.50m, implying a reduction of 8.42% is obtained. Moreover, the fluctuation in
521 the surge motion is alleviated. The standard deviation of the platform surge corresponding to
522 the IFES is 4.38m, while the value of the FOWT is 6.56m. The reason is that the hydrodynamic
523 thrust on the tidal turbines prevents the platform from excessively moving back against the
524 wind when the aerodynamic damping is decreased due to the increase of blade pitch angle.



525

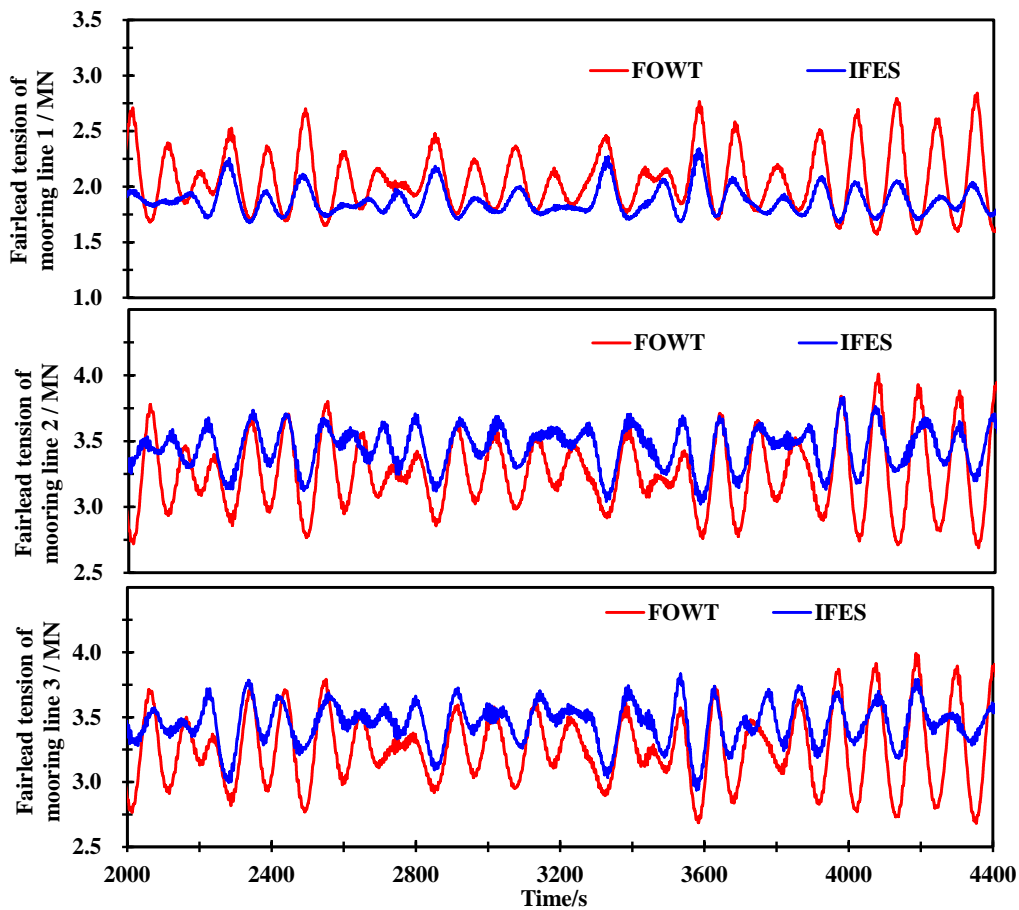
526 **Fig. 9:** Platform motion of the IFES and FOWT under LC9

527

528 As aforementioned in this paper, the tidal turbines are installed at 110m below the mean

529 sea level which is 18.4m lower than the mass center of the platform. The hydrodynamic thrust
 530 of the tidal turbines produces a bending moment reverse to that generated by the wind turbine.
 531 Therefore, the IFES has a relatively smaller platform pitch than the FOWT as observed from
 532 Fig. 9 (b). The average platform pitch of the IFES is reduced by 6.42% compared to that of the
 533 FOWT, from 8.25 degrees to 7.72 degrees.

534 Fig. 10 presents the mooring tension of the FOWT and IFES. The mooring line #1
 535 (windward) of the IFES experiences higher tension due to the more stretched state caused by
 536 the relatively larger horizontal thrust. As the platform approaches the leeward mooring, the
 537 mooring line #2 and #3 become loose and therefore experience a relatively smaller tension.



538

539 Fig. 10: Fairlead tensions of the mooring line under LC9

540

541 Table 7 presents the statistical values of tensions in the three mooring lines of the IFES

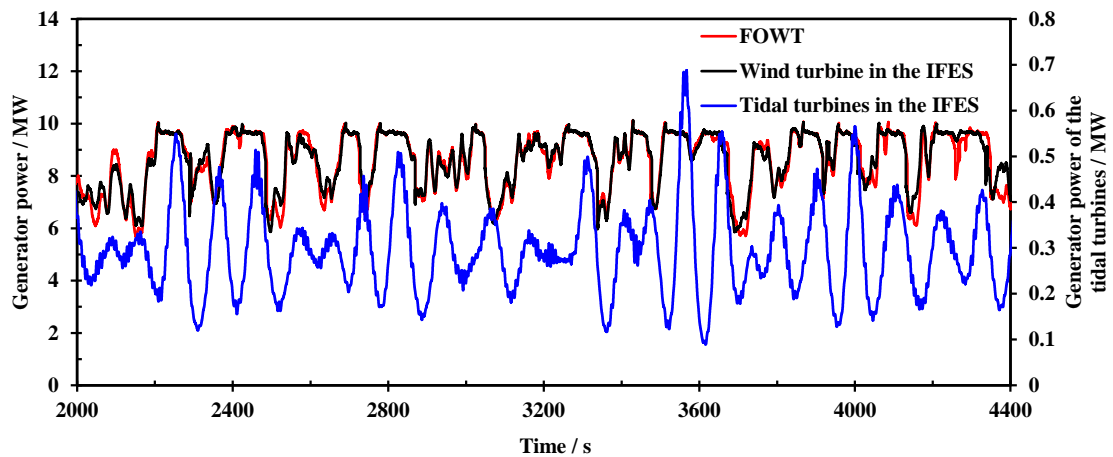
542 and FOWT. Error means the difference between the results of the IFES and FOWT. It shows
 543 that the maximum tension of each mooring line of the IFES is smaller than that of the FOWT,
 544 especially for mooring line #1 placed in the downwind direction. The maximum tension is
 545 reduced by 17.61%. The average tension in mooring lines #2 and #3 of the IFES is 5.83%
 546 relatively larger than that of the FOWT. Nonetheless, the standard deviation of the tension in
 547 each mooring line is significantly reduced. The reductions in mooring line #1, #2 and #3 are
 548 respectively 55.56%, 44.44% and 40.74%

549 **Table 7:** Statistical values of mooring tensions / MN

		FOWT	IFES	Error/%
Mooring line #1	Max	2.84	2.34	-17.61
	Average	2.06	1.87	-9.22
	Std.dev	0.27	0.12	-55.56
Mooring line #2	Max	4.01	3.83	-4.49
	Average	3.26	3.45	5.83
	Std.dev	0.27	0.15	-44.44
Mooring line #3	Max	3.99	3.83	-4.01
	Average	3.26	3.45	5.83
	Std.dev	0.27	0.16	-40.74

550

551 **Fig. 11** presents the output power of the IFES and FOWT. The average power generated
 552 by the wind turbine of the IFES is 8.63MW and the FOWT produces a mean power of 8.59MW.
 553 In addition, the generator power of the wind turbine in the IFES is smoother compared to the
 554 FOWT due to the more stable platform motions. As a result, the average output power increases
 555 by 0.47% and the corresponding standard deviation decreases by 6.82%. Moreover, the two
 556 tidal turbines produce an average power of 0.30MW that is slightly lower than the expectation
 557 due to the influence of the platform motions. The total power of the IFES is 8.93MW that is
 558 3.96% higher than the FOWT. The above results indicate that the integration of wind and
 559 current energy devices not only increases the total power of the whole system, but also
 560 improves the wind turbine's power performance.



561

562

Fig. 11: Generator power of the IFES and FOWT under LC9

563

4.3 Statistical values of the results

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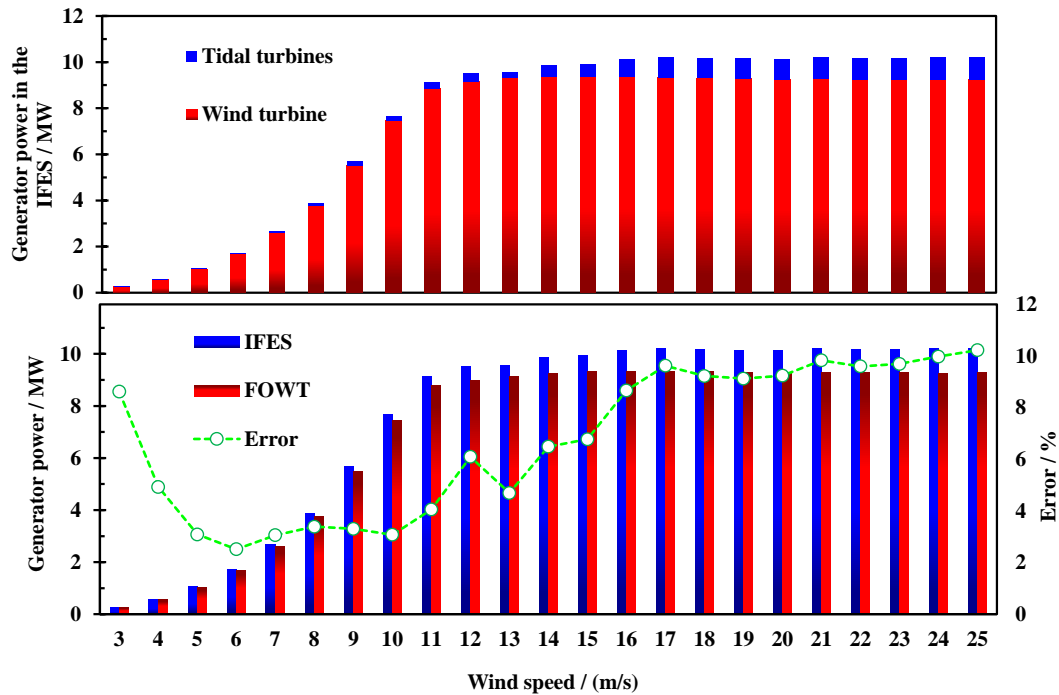
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575

Fig. 12 presents the average output power of the FOWT and IFES under various environmental conditions. The IFES shows higher power output compared to the FOWT for all load cases due to the contribution of the tidal turbines. When wind speed below 11m/s, the corresponding current speeds are smaller than 1.46m/s, resulting in an increase rate of approximately 3% of the total power due to tidal turbines. For load cases with a current speed higher than 2.01m/s, the two tidal turbines produce about 0.9MW power, which increases the total power by around 10% compared to the FOWT. Notably, the tidal turbines do not negatively affect the power performance of the wind turbine in the IFES. The average power output of the wind turbine in the IFES is almost the same as that of the FOWT in all load cases, and even slightly higher than that of the FOWT for wind speeds below 18m/s. This is mainly due to the fact that tidal turbines mitigate the fluctuation of the platform motions, thereby improving the performance of the wind turbine.



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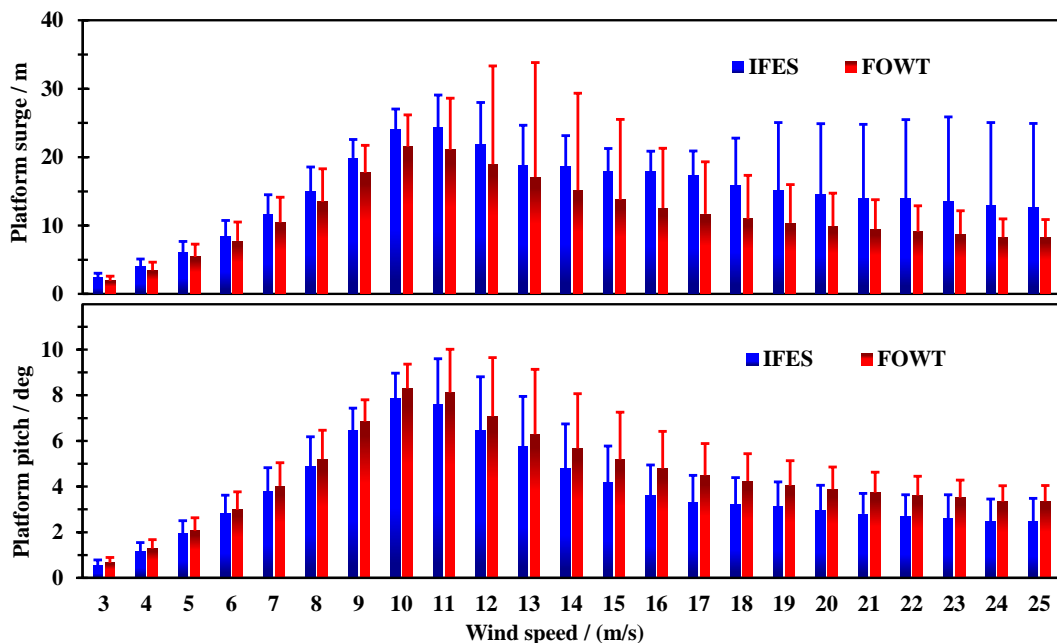
577 **Fig. 12:** The average output power of the IFES and FOWT under all load cases

578

579 The average and standard deviation of the surge and pitch motions of the FOWT and the
 580 IFES under all the load cases are presented in Fig. 13. The pitch motion of the IFES is smaller
 581 than that of the FOWT for each of the examined load cases. It is noteworthy that when the
 582 speed exceeds 16m/s, the pitch reduction ratio is more than 20%. This reduction is particularly
 583 evident at a wind speed of 25m/s, where the pitch motion decrease from 3.36 degrees to 2.45
 584 degrees, resulting in a reduction proportion of up to 27.08%. This is mainly because the tidal
 585 turbine is located below the mass center of the platform, which produces a bending moment on
 586 the platform inverse to the bending moment generated by the wind turbine.

587 For the same reason, the horizontal force acting on the platform is increased by the tidal
 588 turbines, leading to a larger surge motion of the platform as observed from Fig. 13(a). In addition,
 589 the standard deviation of the surge motion of the IFES is much smaller in the rated-around
 590 wind speed conditions. The standard deviation of the surge motion of the FOWT under LC10
 591 and LC11 are 14.39m and 16.76m, respectively. The corresponding values of the IFES are

592 respectively reduced to 6.17m and 5.90m. The wind speed in these two is over rated wind speed.
 593 The pitch control activated to reduce the aerodynamic efficiency for the regulation of generator
 594 torque. As a result, the fluctuation in the aerodynamic thrust is triggered, resulting a large
 595 standard deviation of surge motion. While the tidal turbines provide a hydrodynamic thrust that
 596 counteracts a certain of the fluctuations of the aerodynamic thrust. Therefore, the variation of
 597 the surge motion in these conditions is much smoother as evidenced by the significantly smaller
 598 standard deviation. In the LC14~LC23, the tidal turbines operate in the rated-above conditions.
 599 The pitch control is activated to maintain the generator power, resulting in a notable fluctuation
 600 in the hydrodynamic loads due to platform motions. Meanwhile, the aerodynamic thrust
 601 provided by the wind turbine is relatively small. The fluctuation in the hydrodynamic thrust of
 602 the tidal turbines significantly affects the platform surge motion. This implies that the coupling
 603 between the tidal turbines and the wind turbine must be considered for the control of the IFES,
 604 for improving the stability and safety of the system.

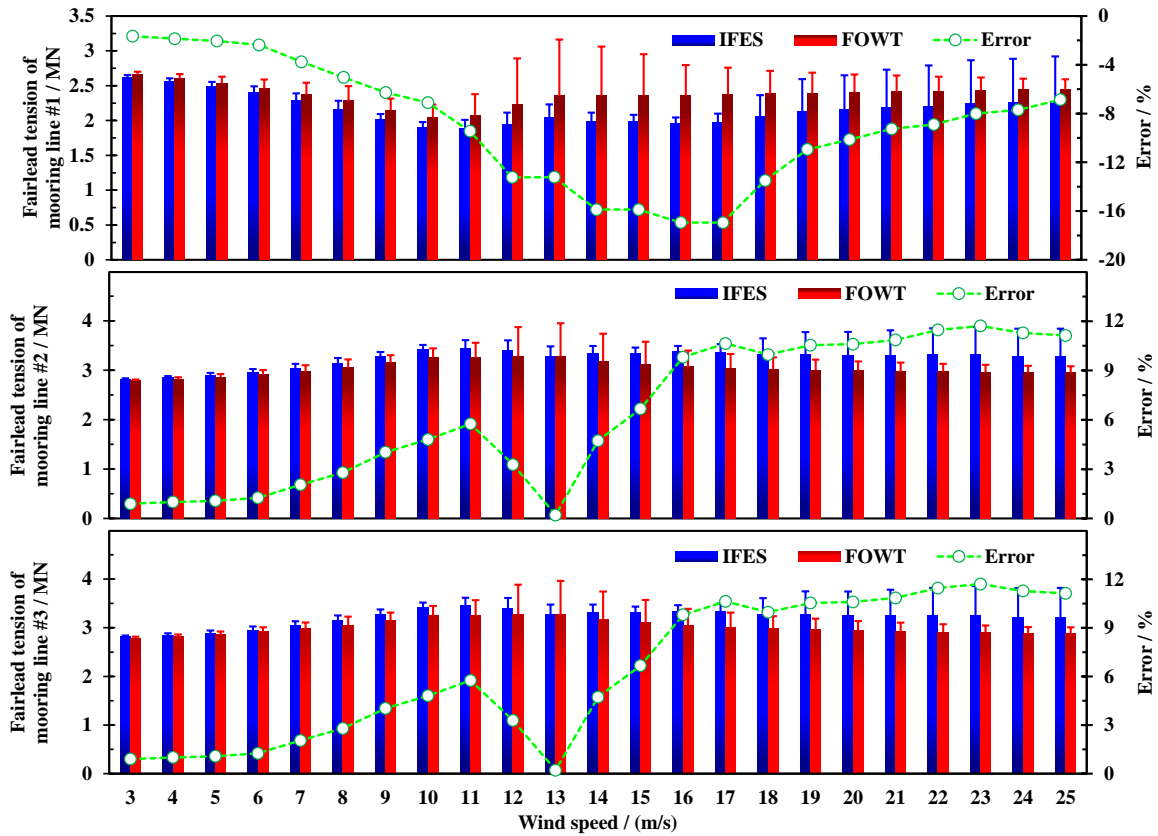


605
 606 **Fig. 13:** Platform motion of the IFES and FPWT under load cases

607
 608 **Fig. 14** presents the average mooring tensions of the IFES and FOWT under all load cases.

609 Mooring line #1 is located in the downwind position, while moorings line #2 and #3 are situated
610 in the windward position as shown in Fig. 1. In the IFES, the horizontal thrust generated by the
611 tidal turbine causes a more significant longitudinal movement displacement of the platform,
612 leading to a more significant stretching of the windward mooring. Thus, the tension in this
613 mooring becomes notably high. When the wind speed is 17m/s, the corresponding current
614 speeds are 2.12m/s. This leads to a decrease in the tension of mooring line #1 from 2.37MN to
615 1.97MN, resulting in a reduction rate of up to 16.88%. The reduction in tension of mooring
616 line #1 in the IFES is greater than 10% compared to the average value in the FOWT under
617 LC10 to LC18.

618 Furthermore, as the platform approaches the downwind mooring line anchor point,
619 mooring #1 experiencing a relaxed state consequently has a less tension. It is worth noting that
620 the reduction in tension of mooring #1 of the IFES is more substantial than that of the FOWT
621 due to the presence of the tidal turbines. The results suggest that the installation of tidal turbines
622 can result in significant differences in the mooring tension distribution, particularly in the
623 windward moorings. Under LC10 and LC11, the mean value of mooring line #2 increased from
624 3.29MN and 3.27MN for the FOWT to 3.39MN and 3.28MN for the IFES, respectively.
625 However, the increase ratios were only 3.04% and 0.31%, respectively. On the other hand, the
626 mooring line #1 decreased significantly from 2.23MN and 2.35MN for the FOWT to 1.94MN
627 and 2.04MN for the IFES, resulting in decrease proportions of 13.00% and 13.19%,
628 respectively.



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630

Fig. 14: Average of fairlead tension in the mooring lines under load cases

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632 4.4 Tower fatigue damage

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In this paper, the fatigue assessment is performed in the domain using the rainflow counting method for cycles. To ensure that the tower remains free from fatigue damage during its design service life, the estimation of the tower fatigue damage is required [60]. According to the Palmgren-Miner theory, individual stresses under cyclic loading are independent of each other, implying that the fatigue damage can accumulate linearly. Once the accumulated damage reaches a specific threshold value, fatigue damage occurs in the member [61]. The total fatigue damage is calculated by summing up the damage caused by each design sea state as given in Eq. (11). The damage for each sea state is computed by adding the damage for each stress or tension level using the rainflow counting method.

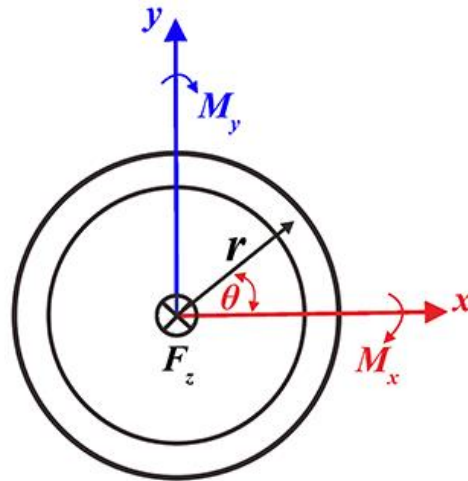
642
$$D = \sum_j^{N_{total}} \frac{n_j}{N_j} \quad (11)$$

643 where n_j is the number of cycles in the j^{th} stress range in the time history and N_j is the
 644 number of cycles to failure in the corresponding stress range according to the design S-N curve.

645 The fatigue damage at the tower base is evaluated. The stress at the tower base is converted
 646 from the bending moment and axial force as follows.

647
$$\sigma = \frac{M_y}{I_y} r \cdot \cos \theta - \frac{F_z}{A} - \frac{M_x}{I_x} r \cdot \sin \theta \quad (12)$$

648 where F_z is the axial force, M_x and M_y are the bending moments about the x-axis and y-
 649 axis, θ is the angle of the fatigue analysis point. A is the cross-section area. The coordinate
 650 system of the tower-base loads is presented in Fig. 15.



651 Fig. 15: Tower-base coordinate system

652
 653
 654 The S-N curve suggested in the DNV standard [62] for fatigue assessment of offshore
 655 steel structure is selected. The number of cycles to failure N is calculated using Eq. (13).

656
$$\log N = \log a - m \log \left(\Delta \sigma \left(\frac{t}{t_{ref}} \right)^k \right) \quad (13)$$

657 where $\Delta \sigma$ represents the stress range, and t is the thickness at the tower-base. Table 8 gives
 658 the values of other parameters for the fatigue assessment.

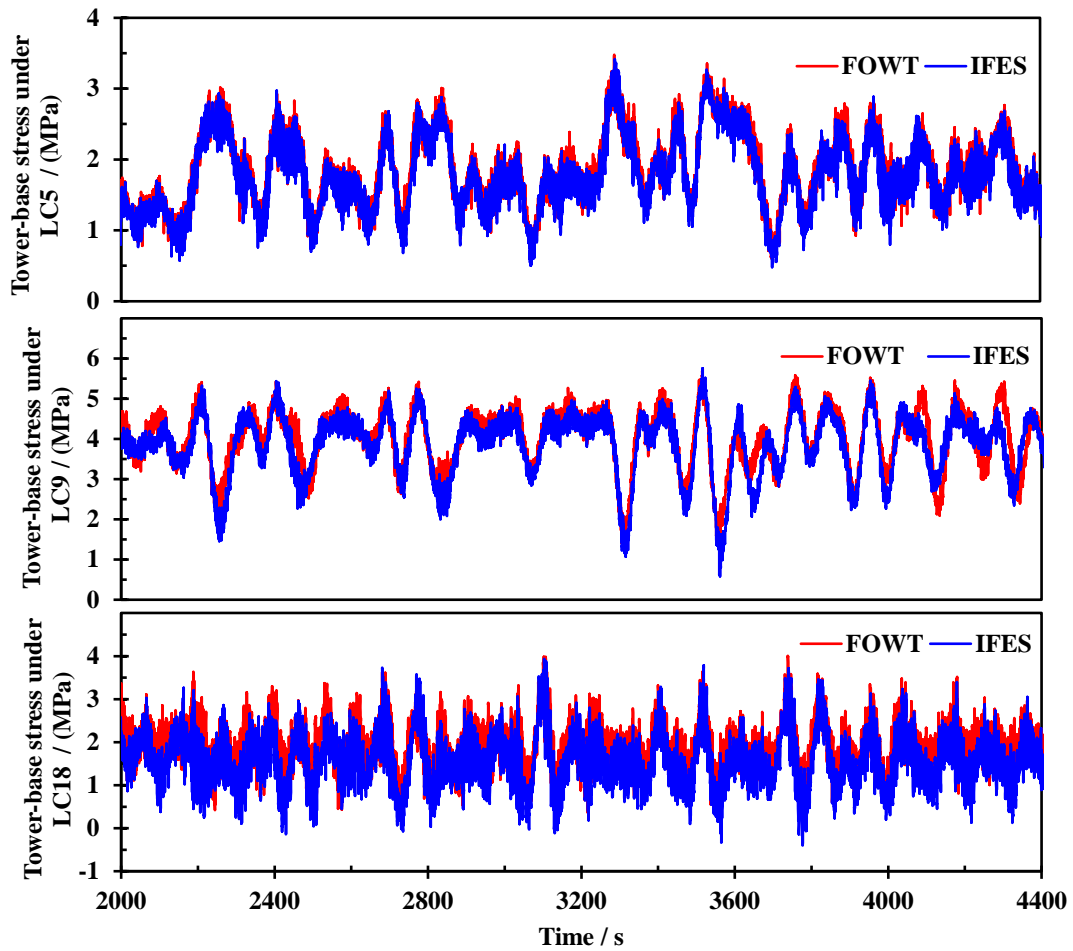
659 Table 8: S-N curve parameter for tower base

$N \leq 10^7$ cycles		$N > 10^7$ cycles		Fatigue time at 10^7 cycles [MPa]	k	t_{ref} [mm]
m	$\log a$	m	$\log a$			
3	12.164	5	15.606	52.63	0.2	25

660

661 As revealed in the above sections, the average value and standard deviation of the platform
662 pitch motion are reduced by the tidal turbines. The installation of the tidal turbines is expected
663 to reduce the loads at the tower-base, potentially decrease the fatigue damage. In order to
664 quantitatively evaluate the effect of the tidal turbines on the tower fatigue damage, the
665 equivalent stress of the tower is obtained using Eq. (13) for a specific orientation angle based
666 on the bending moments and axial force eight orientation angles.

667 The equivalent tower-base stress at the 0° orientation (see Fig. 15) of IFES and FOWT
668 under LC5, LC9 and LC18 are presented in Fig. 16. It is found that the mean stress of the IFES
669 is lower than that of the FOWT for each of the load cases. At a wind speed of 7m/s, The
670 maximum stress values of the FOWT and IFES under LC5 are respectively 3.48MPa and
671 3.42MPa. This indicates a stress reduction of 1.72% with the IFES model. Furthermore, the
672 average stress value is reduced from 1.86MPa to 1.78MPa in the IFES model, meaning that a
673 reduction of 4.30% is obtained. This stress reduction is attributed to the tidal turbines that
674 alleviate the impact force of the current on the tower.

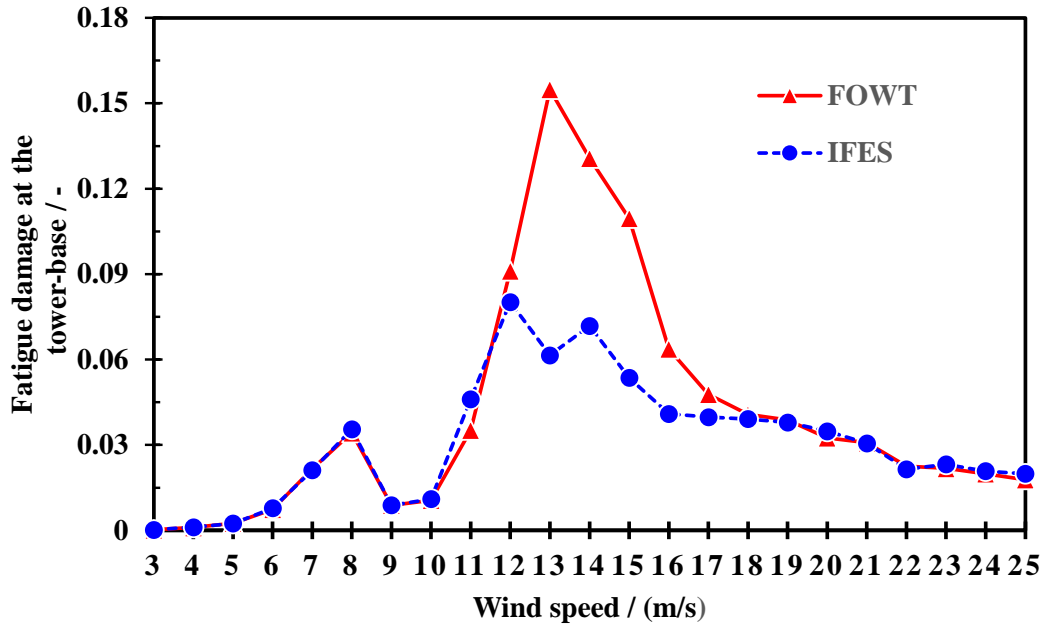


675

676 Fig 16: Tower-base stress at the 0° orientation under LC5, LC9 and LC18, respectively

677

678 Considering the occurrence probability, the weighting fatigue damage at the tower-base
 679 of the IFES and FOWT contributed by each of the load case is presented in Fig. 17. It is evident
 680 that the FOWT model experiences higher fatigue damage when the wind speed ranges between
 681 12m/s and 15m/s. The IFES model exhibits significant reduction in the fatigue damage value.
 682 Notably, the fatigue damage decreases from 0.1447 to 0.0729 in IFES under the condition with
 683 a wind speed of 13m/s, denoting a remarkable reduction of 49.62%.



684

685 Fig. 17: Fatigue damage at the tower-base of the IFES and FOWT under all the load cases

686

687 The fatigue damage induced by each load case at the critical location is evaluated first and
 688 subsequently cumulated to obtain the total fatigue damage at the tower-base.

689 Fig. 18 presents the fatigue damage at the tower-base of the IFES and FOWT. It is evident
 690 that the FOWT experiences the highest fatigue damage at 0° and 180° orientations of the tower-
 691 base section with a value of 0.9345 and 0.9288, respectively. However, the introduction of two
 692 tidal turbines has led to a significant reduction in the corresponding damage for the IFES. The
 693 fatigue damage for IFES reduced by 13.91% and 14.14% at 0° and 180° orientations. Moreover,
 694 the IFES is successful in reducing the fatigue damage in other orientations at the tower-base
 695 section compared to the FOWT.

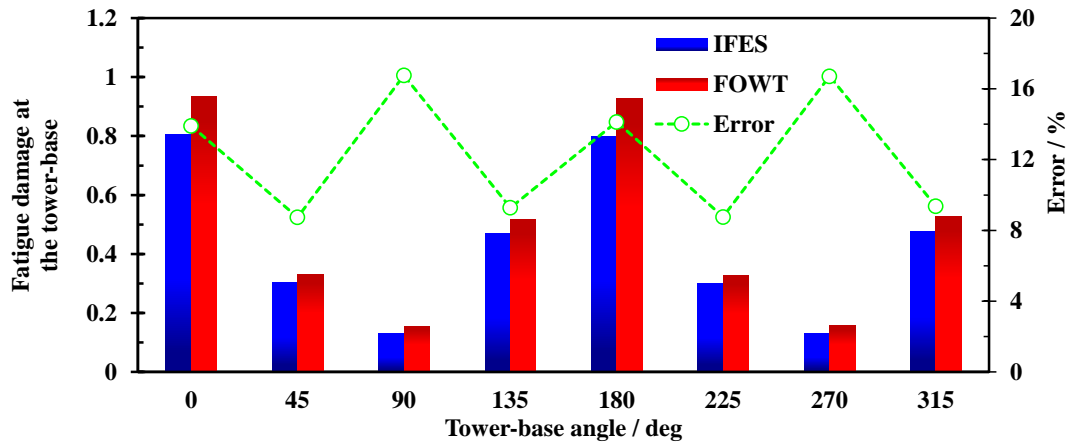


Fig. 18: Fatigue damage at the tower-base of the IFES and FOWT

5 Conclusions

This study investigates the performance and fatigue damage of an IFES consisting of a 10MW wind turbine and two 550kW tidal turbines. The validation against the OpenFAST and model test data confirms the suitability of CATIFES for multi-physics field coupled simulations of IFES. Integrating tidal turbines with a FOWT is able to improve the platform stability by introducing an additional reverse overturning bending moment. Consequently, the generator power of the wind turbine is improved in magnitude and smoothness.

Furthermore, the integration of tidal turbines into the FOWT significantly mitigates the tension fluctuation in the mooring lines by over 40.74%, primarily due to the narrower surge motion range. Compared to the FOWT, the maximum tension in each mooring line of the IFES is relatively smaller. Moreover, the fatigue damage at the tower-base of the IFES is significantly reduced compared to the FOWT. Specifically, the fatigue damage in the longitudinal points at the tower-base section decreased by around 14% due to the reverse bending moment produced by the tidal turbines.

It should be noted that the variable-speed-variable-pitch control of the wind and tidal turbines are examined separately, since developing a synergistic control strategy between the

715 wind and tidal turbines is beyond the scope of this study. Future research can focus on
716 developing a synergic control algorithm to improve the power production and motion
717 performance of the whole system by incorporating additional control objectives into the
718 conventional pitch-torque controllers. Another limitation of this paper is the omission of the
719 structural flexibility of the tidal turbine's blades. Future studies can address this limitation by
720 developing a fully coupled hydro-servo-elastic model to more accurately analyze the dynamic
721 responses of the IFES.

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1 **Performance and fatigue analysis of an integrated floating wind-current energy system**
2 **considering the aero-hydro-servo-elastic coupling effects**

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17

18 **Abstract:** Integration of multiple offshore renewable energy converters holds immense
19 promise for achieving cost-effective utilization of marine energy. Integrated Floating Wind-
20 Current Energy Systems (IFESs) have garnered considerable attention as a means to harness
21 the abundant wind and marine resources in deep-sea areas using a single device. However, the
22 dynamic responses of IFESs are significantly influenced by the coupling of aerodynamic and
23 hydrodynamic loads. To assess the performance of a 10MW+ Spar-type IFES under wind-
24 wave-current loadings, this study develops an aero-servo-elastic model within the
25 hydrodynamic analysis tool AQWA. By utilizing the fully coupled model, this study
26 investigates the platform motions, tower loads, and power production of the IFES under various
27 environmental conditions. A comparative analysis is conducted by comparing the results with
28 those obtained for a floating offshore wind turbine (FOWT). Furthermore, fatigue damage at
29 the tower base of both the IFES and FOWT is evaluated. It is found that the presence of current
30 turbines leads to improved platform stability, significant increases in total power production,
31 and reduced fatigue damage at the tower base. These novel findings corroborate the potential
32 and advantages of IFES concepts in enhancing the stability and energy harvest efficiency of
33 floating marine energy converters.

34 **Key words:** Integrated floating wind-current energy system; Floating offshore wind turbine;
35 Aero-hydro-servo-elastic coupling; Fatigue damage; Dynamic analysis.

36 **1 Introduction**

37 The energy demand is gradually increasing with the rapid development of the worldwide
38 economy. The shortage of the traditional fossil energy resources leads to the acceleration of the
39 pace in exploring and utilizing renewable energy [1-2]. Offshore renewable energy resources,
40 especially the wind energy, have become a major contribution to the promotion of green and
41 low-carbon energy transition because of the abundant resources and the mature technology.
42 The newly-installed global wind power capacity was 94GW in 2021 as reported by the Global
43 Wind Energy Council (GWEC). The accumulated offshore wind capacity is expected to
44 achieve 361GW in 2030, including 6% of floating wind capacity in the deep-sea areas where
45 also contain huge wave and current energy resources [3].

46 Numerous studies related to floating offshore wind turbines (FOWTs) have recently been
47 carried out. Chen *et al.* [4] utilized a dynamic and sliding mesh coupling technique to study the
48 unsteady aerodynamic properties of a FOWT subjected to single (surge or pitch) and combined
49 motions. It was found that higher amplitudes and frequencies of motion led to increased
50 fluctuations in the overall aerodynamic performance of the turbine. Moreover, the complex
51 platform motions resulted in a negative impact on power generation in FOWT. Cheng *et al.* [5]
52 used the open-source tool OpenFOAM to establish a fully-coupled aero-hydrodynamic model
53 for conducting numerical simulation of FOWTs. The model employed the three-dimensional
54 Reynolds-Averaged Navier-Stokes (RANS) equations and the Pressure-Implicit with Splitting
55 of Operations (PISO) algorithm to solve the pressure-velocity coupling equations. The
56 coupling effects between the semi-submersible platform and the NREL 5MW baseline wind
57 turbine were investigated. Huang *et al.* [6] proposed a novel type of negative stiffness tuned
58 mass damper (TMD-NS) for the stability control of FOWTs. The fixed-point theory was used
59 to obtain the dimensionless optimal parameters of the TMD-NS for achieving a reduction in
60 amplitude ratio and increase in the tuning bandwidth. The TMD-NS was found to be capable

61 of reducing the nacelle displacement and velocity up to 55.87% and 48.18%, respectively.
62 Chuang *et al.* [7] conducted both numerical simulations and scaled experimental tests for a
63 barge 5MW FOWT. The numerical analysis was performed using ANSYS AQWA, while the
64 experimental tests were conducted for a 1:64 scaled-down model. The hydrodynamic model
65 was calibrated based on the results of free-decay tests, and regular and irregular wave model
66 tests were conducted. The results of the tests showed that the fluid sloshing in the damping
67 pool generated an oscillating force reducing the platform motion. Fang *et al.* [8] conducted
68 numerical simulations of a 5MW wind turbine rotor using the improved delayed detached eddy
69 simulation (IDDES) computational fluid dynamics (CFD) method after redesigning the rotor.
70 It revealed that the aerodynamic performance of the FOWT was significantly affected by pitch
71 motion parameters. Specifically, the amplitudes of rotor thrust and torque decreased with the
72 increase of the pitch period, while a larger pitch amplitude could cause the stall phenomenon
73 resulting in larger rotor thrust and torque. Chen *et al.* [9] compared the dynamic responses of
74 a Spar and a semi-submersible scaled FOWT under different operating conditions. It was found
75 that the Spar FOWT was more sensitive to the aerodynamic loads, while the surge and sway
76 motion trajectories were more regular compared to those of the semi-submersible FOWT. Zhou
77 *et al.* [10] examined the impacts of wave type and steepness on the hydrodynamics-
78 aerodynamics responses of a 5MW semi-submersible FOWT. The dynamic response and power
79 output of the FOWT were analyzed using a high-fidelity aero-hydro-mooring CFD solver. The
80 results showed that there were significant differences in the floater motion response prediction
81 between a focused wave and an irregular wave for the same spectrum. The reconstructed
82 focused wave could be used as an alternative for extreme wave studies. Abdelbaky *et al.* [11]
83 introduced a novel controller that utilizes a partial offline quasi-min-max fuzzy model-
84 predictive control approach to analyze and enhance the performance of variable-speed wind
85 turbines. Fleming *et al.* [12] improved the controller of the WindFloat by adding several control

86 modules to the baseline controller. The results of the tests indicated that the use of a coupled
87 linear model significantly improved the overall performance of performance and reduced the
88 bending loads at the tower-base. Kong *et al.* [13-14] proposed an efficient distributed economic
89 model predictive control strategy to enhance load-following capability. The result shows that
90 the control strategy successfully tracks the reference power provided by the transmission
91 system operator. Furthermore, simulation results demonstrate the control strategy's ability to
92 effectively mitigate power pulsations, even in the presence of unbalanced grid voltage
93 conditions.

94 The above studies mainly focused on the FOWTs with a capacity of up to 5MW. It is noted
95 that adopting 10+MW FOWTs is an effective solution to reducing the levelized cost of
96 electricity. Xue. [15] proposed a Spar-type platform for the application of 10 MW wind turbines
97 in the deep-sea areas. A catenary mooring system was used for station-keeping of the platform.
98 The reliability of the platform heave and pitch were verified by numerical simulations and
99 model tests. Al *et al.* [16] developed a controller for the DTU 10 MW wind turbine supported
100 by a Triple Spar platform to mitigate the rotor speed caused by wave loadings. The mitigation
101 effects under wind and waves condition were examined using a high-fidelity numerical tool. It
102 was found that the novel feedforward controller was capable of narrowing the rotor speed
103 variation range. Ahn *et al.* [17] conducted a scaled model test to verify the performance of a
104 scaled up 10MW FOWT based on the OC4 semisubmersible platform. The test results indicated
105 that the wind turbine exhibited a good performance in terms of the response amplitude and
106 natural period. Zhao *et al.* [18] proposed a conceptual 10MW semi-submersible platform and
107 compared it with the OO-Star platform to validate the numerical model. The dynamic responses
108 of the conceptual FOWT under various fault conditions were examined to confirm the stability
109 of the proposed FOWT concept. In particular, the most significant impact on its heave dynamic
110 behavior was observed under shutdown fault conditions. Xing *et al.* [19] conducted a study on

111 the extreme dynamic responses of a 10MW semi-submersible type FOWT. The average
112 conditional exceedance rate (ACER) and Gumbel methods were used to accurately quantify
113 the FOWT system's extreme dynamic responses and to calculate the ultimate limit state loads.

114 The studies related to tidal/current energy is being carried out in parallel with the
115 investigations on floating wind technology. Wang *et al.* [20] developed a 2-D vortex panel
116 model based on the potential theory for unsteady hydrodynamics of a tidal turbine. The
117 predicted transient forces on the blades and rotor wake were in good agreement with the test
118 data. Roc *et al.* [21] proposed a new representation of tidal turbine based on an existing
119 momentum and turbulence transport equations, which provided a basis for the development of
120 an array layout optimization tool due to the short computational time. The experimental flume
121 tests showed that the method could accurately predict the momentum and turbulent wake
122 interactions. Badoe *et al.* [22] further employed the generalized actuator disk (GAD) approach
123 to model the fluid structure interactions between multiple tidal energy converters. The physical
124 tests were conducted to validate the numerical simulation results. The results showed that GAD
125 method could effectively evaluate the influence of turbine spacing and arrangement.

126 Integration of multiple offshore renewable energy convertors is expected to further reduce
127 the energy cost by sharing the floating platform and its seakeeping system [23-24]. Derakhshan
128 *et al.* [25] proposed a method for the design of integrated wind-wave energy system. A case
129 study was conducted for the UK and Syrian sea areas by analyzing the power performance of
130 an integrated wind-wave energy system consisting of a 4.2 MW wind turbine and several wave
131 energy convertors. It was shown that the wave energy devices increased the annual power
132 generation by around 2%. Wan *et al.* [26] proposed the Spar Torus Combination concept
133 composed of a Spar-type FOWT and a circular-shaped wave energy converter (WEC). The
134 positive synergy between the FOWT and the WEC was demonstrated through experimental
135 tests and numerical simulations. Mohanty *et al.* [27] developed a reactive power management

136 method based on Flexible AC Transmission System (FACTS) devices to adjust the power
137 management and stability of an offshore wind-tidal turbine power generation system. The
138 effect of reactive power compensation and its impact on the dynamic stability of an isolated
139 offshore wind and tidal current hybrid system were investigated and validated. Michele *et al.*
140 [28] developed a mathematical model to analyze the hydrodynamic characteristics of an
141 integrated wind-wave energy system under regular and irregular wave conditions. Collazo *et*
142 *al.* [29-30] experimentally studied the coupling effects between the wave and the pendulous
143 WEC integrated into a Spar FOWT. Lee *et al.* [31] investigated the hydrodynamic loads of a
144 floating wind-wave energy system. A numerical study was conducted to multi-body
145 hydrodynamic interaction between the floating platform and a multi-wavelength energy
146 converter in the frequency domain based on the boundary element method. The analysis
147 revealed that notable variations were observed in the dynamic responses of the WECs if the
148 multi-body hydrodynamic interaction was taken into account.

149 Li *et al.* [32] developed an unsteady aerodynamic load prediction model within the
150 dynamic analysis tool WEC-Sim for WECs. The coupled effects between the aerodynamic and
151 hydrodynamic loads of the floating wind-wave-current energy system in were investigated. The
152 results indicated that the platform motion response was reduced and the power output was
153 increased compared to the conventional wind turbines. In addition, Li *et al.* [33-34] examined
154 the short-term and long-term responses of the wind-wave-current system under extreme
155 conditions. The findings indicated that the WEC enlarged the fatigue load in mooring lines.
156 However, the interactions of the aerodynamic loads on the wind turbine and the hydrodynamic
157 loads on the tidal turbine were not considered. In addition, the torque-pitch control was ignored
158 for the tidal turbine. Chen *et al.* [35] introduced a new and innovative integrated floating wind-
159 wave generation platform (FWWP), which includes a DeepCwind semi-submersible FOWT
160 and a point absorber WEC. In order to investigate the dynamic responses and power generation

161 capabilities of the FWWP under different operational sea-states, fully coupled analyses were
162 carried out based on the F2A tool. It was found that the incorporation of WECs resulted in
163 increased total power generation when compared to a standalone FOWT. Tian *et al.* [36]
164 conducted research on a 5MW unsupported semi-submersible FOWT and various
165 configurations of annular WECs. They compared the impact of different numbers of WECs on
166 the hydrodynamic performance of the wind turbine. Comparison and discussion of the response
167 amplitude operators (RAOs) and generated power of the studied combination structures in the
168 time domain showed that the combination structure using three WECs has the highest power
169 generation capacity. Yang *et al.* [37] developed a fully coupled model based on FASTv7 and
170 AQWA for floating wind-current energy systems. It was found that the integrated floating wind-
171 current energy system improved the platform motion stability and increased the power
172 production when comparing to the FOWT.

173 Nonetheless, the interactions between the wind and current energy converters under
174 complexly environmental conditions have not been sufficiently investigated. The major
175 difficulties and challenges in the field of integrated floating energy systems mainly include: i)
176 the need for a numerical simulation model that considers the coupled effects between wind and
177 current energy converters; ii) the development of a pitch-torque control of tidal turbines under
178 dynamic inflow conditions when integrated into a FOWT; iii) the quantitative analysis of the
179 impact of tidal turbines on the dynamic responses of a FOWT under wind-wave-current
180 loadings. Furthermore, a comprehensive evaluation of the fatigue performance of the tower in
181 the presence of current turbines is required.

182 In order to address these research needs, this paper aims to quantitatively assess the fatigue
183 performance of a 10MW+ Spar-type IFES, considering the effects of aero-hydro-servo-elastic
184 coupling as a continuation of the previous study [37]. In this study, a fully Coupled Analysis
185 Tool for Integrated Floating Energy Systems (CATIFES) was developed to consider the

186 interactions between the FOWT and tidal turbines under different environmental conditions.
187 The proposed case study involves a 10MW Spar-type FOWT integrated with two 550kW tidal
188 turbines. This analysis of a10MW+ IFES is anticipated to provides valuable insights into the
189 interactions of multiple energy converters within the integrated system.

190 Furthermore, the research evaluates the fatigue damage at the tower-base of the IFES
191 throughout its design service life. This evaluation quantitatively assesses the impact of tidal
192 turbines on extending the tower operational lifespan. These findings obtained from this study
193 are expected to contribute to advancing knowledge in the field and highlight the potential
194 benefits of integrating tidal turbines into FOWT systems.

195 This paper makes two significant contributions. First, a novel and fully coupled analysis
196 tool (CATIFES) is developed to accurately predict the dynamic responses of an integrated
197 floating wind-current energy system under wind-wave-current loadings. This addresses a
198 significant research gap in the field of coupled analysis for floating wind-wave energy systems.
199 By integrating the aerodynamic and hydrodynamic loads, the CATIFES provides a
200 comprehensive framework for evaluating the performance of these complex systems. Secondly,
201 this study quantitatively evaluates the effect of tidal turbines on the power production, platform
202 motion, and tower fatigue damage of a 10 MW Spar-type FOWT. By comparing the responses
203 of the integrated floating wind-current energy system with those of a standalone FOWT, strong
204 evidence is provided to confirm the benefits derived from integrating multiple types of energy
205 converters on a single floating platform. The evaluation not only highlights the increased power
206 production resulting from the presence of tidal turbines but also demonstrates improved
207 platform stability and reduced fatigue damage at the tower base.

208 This paper is organized as follows: Section 2 describes the IFES used in this study. The
209 mathematical model of the CATIFES and the validations are presented in Section 3. Section 4
210 describes the load cases and presents the results and discussions of the IFES under combined

224 of Denmark and Vestas. [38] The full design details in terms of aerodynamic and structural
 225 parameters of the wind turbine are released to the public for world-wide researchers to improve
 226 offshore wind technology. The rotor diameter is 178.3m and the hub height is 119m. Table 1
 227 presents the main design specifications of the wind turbine.

228 Table 1: Main design specifications of the DTU 10 MW wind turbine model

Property/Unit	Value	Property/Unit	Value
Rated power/MW	10	Rotor diameter/m	178.3
Rated wind speed/(m·s ⁻¹)	11.4	Hub diameter/m	5.6
Cut-in wind speed/(m·s ⁻¹)	4	Hub height/m	119
Cut-out wind speed/(m·s ⁻¹)	25	Tower height/m	115.63
Cut-in rotor speed/rpm	6	Rotor mass/kg	227962
Rated rotor speed/rpm	9.6	Nacelle mass/kg	446036

229

230 2.2 The Spar platform and mooring system

231 The Spar platform used in this study is up-scaled from the Hywind Spar 5MW model by
 232 Shin [39] for supporting the 10MW wind turbine. The draft of the Spar platform is 120m for
 233 the application in 320m water depth areas. The platform mass including the ballast is 1.2×10^7 kg.
 234 The mooring system is composed of three suspended chain lines with a length of 902.2m and
 235 an equivalent diameter of 0.09m. The properties of the Spar platform and the mooring system
 236 are shown in Table 2 and Table 3, respectively.

237 Table 2: Main properties of Spar platform

Platform property	Value/Unit
Water depth	320/m
Hull thickness	0.06/m
Platform mass including ballast	1.21×10^7 /kg
Platform length	130/m
Platform diameter above taper	8.3/m
Platform diameter below taper	12/m
Center of mass	-91.96/m
Draft	120/m
Roll inertia	1.273×10^{11} /(kg· m ²)
Pitch inertia	1.273×10^{11} /(kg· m ²)
Yaw inertia	6.056×10^{10} /(kg· m ²)

238

239

Table 3: Main properties of mooring system

Mooring property	Value/Unit
Number of mooring lines	3/-
Angle between adjacent lines	120/deg
Fairlead depth	70/m
Anchor depth	320/m
Unstretched length	902.2/m
Equivalent diameter	0.09/m
Equivalent axial stiffness	384.24/MN
Equivalent mass density in air	233.12/(kg/m)

240

241 **2.3 Introduction of the tidal turbine**

242 The tidal turbine is a 550kW two-blade model designed by the Sandia National Laboratory
 243 [40]. The mass of each tidal turbine including the nacelle and connecting beam is 6.13×10^4 kg.
 244 The rotor and hub diameters are 20m and 2m, respectively. The distance between the platform
 245 centerline and hub of the tidal turbine is 26m. The blade shape is optimized by the HARP_Opt
 246 tool. The main design parameters are shown in Table 4. The parameters of blade sectional
 247 airfoil, twist angle, and relative thickness are shown in Table 5.

248

Table 4: Main design properties of tidal turbine

Property	Value/Unit
Rated power	550/kW
Cut-in, cut-out current speed	0.5,3.0/(m/s)
Minimum and rated rotor speed	3.0,11.5/rpm
Diameter of the rotor	20.0/m
Diameter of the hub	2.0/m
Rotor mass	1200/kg
Nacelle mass	40100/kg
Cross-beam mass	20000/kg
Drivetrain inertia moment	4.44×10^6 /(kg·m ²)
Depth to hub below MSL	46.5/m

249

250

Table 5: The blade cross-section properties of the tidal turbine

Local radius/m	Aerofoil-	Twist/deg	Chord/m	Relative thickness/%
-----------------------	------------------	------------------	----------------	-----------------------------

1	Cylinder	0.8	12.86	100
1.89	Interpolated	1.243	12.86	53.3
2.7	Interpolated	1.702	12.79	27.55
3.55	NACA 63-424	1.577	9.5	24
4.23	NACA 63-424	1.481	7.85	24
5.01	NACA 63-424	1.371	6.51	24
5.84	NACA 63-424	1.251	5.47	24
6.62	NACA 63-424	1.138	4.71	24
7.23	NACA 63-424	1.046	4.2	24
7.89	NACA 63-424	0.945	3.69	24
8.45	NACA 63-424	0.856	3.28	24
8.92	NACA 63-424	0.781	2.92	24
9.24	NACA 63-424	0.728	2.68	24
9.64	NACA 63-424	0.661	2.35	24
10	NACA 63-424	0.6	2.1	24

251

252 **3 Fully coupled modeling of the IFES**

253 To consider the coupling effect of the wind turbine and tidal turbines, the aero-servo-
254 elastic simulation capability of OpenFAST for wind turbines is implemented through the
255 external dynamic link library (user_force64.dll) of AQWA, which will be invoked for each
256 determination of the platform responses. In addition, the prediction model of the hydrodynamic
257 loads acting on the tidal turbines is developed based on the blade element momentum theory
258 considering the cavitation. The Coupled Analysis Tool for Integrated Floating Energy Systems
259 (CATIFES) is then developed by integrating the above two models.

260 **3.1 Introduction to OpenFAST**

261 OpenFAST was developed by the National Renewable Energy Laboratory (NREL) to
262 simulate coupled dynamics of horizontal axis wind turbines. OpenFAST is designed to provide
263 a robust software engineering framework for FAST development. The software is not only
264 certified by Germanischer Lloyd but also has the open-source feature [41], therefore it is widely
265 used in the academic research. OpenFAST mainly consists of several modules to consider the
266 interaction effects between loads, control and structural dynamics. OpenFAST is significantly
267 better at predicting the unsteady aerodynamic loadings compared to its previous version (FAST

268 v7). This is why OpenFAST is used instead of FAST v7 to develop the CATIFES model.

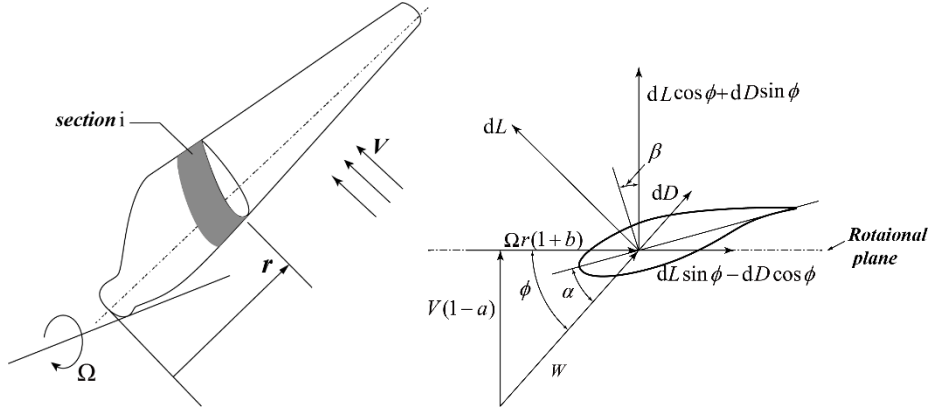
269 The AeroDyn module is responsible for the prediction of aerodynamic loads on the rotor
270 and tower. ElastoDyn is used to determine of structural dynamics of most components
271 including the drivetrain and tower. This paper employs the modal method to examine the tower
272 dynamics, assuming that the tower vibration is linearly represented by several bending modes
273 and neglecting torsional modes. The Spar-type platform used in this paper experiences
274 relatively small yaw moments, resulting in minimal torsional moments on the tower. Therefore,
275 the actual torsional deformation of the tower is considered negligible compared to the variation
276 of inflow wind direction. The assumed modal method has been applied in numerous studies
277 examining the tower dynamics [42-43]. The impact of this assumption on simulation results is
278 anticipated to be insignificant. The control scheme is conducted in the ServoDyn module for
279 the regulation of blade pitch and generator torque. The CATIFES model developed in this study
280 employs these three modules to obtain the aero-servo-elastic responses of the IFES.
281 Specifically, these three modules are compiled as a user defined DLL that can be invoked by
282 AQWA for external force prediction.

283 ***3.2 Blade element momentum theory for a tidal turbine***

284 AeroDyn is an open-source tool supported and maintained by NREL [44] for the
285 aerodynamic load prediction of horizontal axis turbine blades. This study employs the Aerodyn
286 v15.04 that is capable of checking the cavitation problem to predict the hydrodynamic loads
287 acting on tidal turbines under unsteady current conditions. The Generalized Dynamic Wake
288 (GDW) model and Blade Element Momentum Theory (BEMT) are used in Aerodyn v15.04.
289 The GDW model is used to calculate the axial induction velocity over the rotor plane under
290 dynamic inflow condition [45]. The tangential induction velocity of each blade section is
291 predicted using the BEMT as the rotation wake is not examined in the GDW model.

292 The BEM theory is combined by the blade element theory and the momentum theory. The

293 wind turbine blade is treated as finite sections. The lift and drag coefficients of the blade
 294 sectional airfoil are used to calculate the aerodynamic force acting on each blade element. Fig.
 295 2 presents the velocity triangle and force acting on an airfoil.



296
 297 Fig. 2: Illustration of the velocity triangle and force analysis for a blade element
 298

299 where Ω is the rotational speed of the rotor, r is the local radius of the blade element, V is the
 300 inflow velocity, and W denotes the relative inflow speed; a and b are the axial and tangential
 301 induction factors; α and β , respectively, the effective angle of attack, twist angle, and inflow
 302 angle of the blade element, ϕ is the relative inflow angle of the local element; L and D are,
 303 respectively, the lift and drag forces generated by the blade element.

304 The BEM theory is subsequently applied to compute the loads acting on each blade
 305 element, based on the lift and drag coefficients of the local sectional airfoil as represented in
 306 Eq. (1) and Eq. (2) [46].

$$307 \quad dT = \frac{1}{2} \rho W^2 c (C_l \cos \phi + C_d \sin \phi) dr \quad (1)$$

$$308 \quad dM = \frac{1}{2} \rho W^2 c (C_l \sin \phi - C_d \cos \phi) r dr \quad (2)$$

309 where dT and dM are, respectively, the thrust and moment of the local blade element; ρ is
 310 the density of the inflow fluid; C_l and C_d are, respectively, the lift and drag coefficients of
 311 the sectional airfoil; The Beddoes-Leishman dynamic stall model is used to correct the

312 aerodynamic coefficients under unsteady conditions; c is the chord length of the blade element;
313 dr is the length of the blade element.

314 In the analysis, the GDW model first solves the dynamic induction velocity distribution
315 over the rotor. The angle of attack at each blade section is then calculated to call the lift and
316 drag coefficients for the prediction of aerodynamic loads on the blades using Eq. (1) and Eq.
317 (2). It is noted that the floating platform motions will be used to correct the current inflow
318 speed V , which will be further described in the subsequent section. In addition, this study
319 assumes the absence of cavitation when predicting hydrodynamic loads on the tidal turbines.
320 The rated current speed is 2.0 m/s, and the low rated rotor speed of 11.5 rpm corresponds to a
321 blade tip speed of 12.03m/s, making cavitation unlikely [47-49].

322 This study assumes that the BEM method remains valid for load prediction of the tidal
323 turbines when installed on the platform. The BEM method is commonly employed for
324 calculating the hydrodynamic performance of an individual tidal turbine. In this paper, the tidal
325 turbines are installed on a floating platform. The distance between the blade tip and platform
326 is not substantial, but a 50% blade tip clearance is maintained relative to the rotor diameter.
327 Recent studies suggested that a tip clearance of 10% of the rotor diameter has no significant
328 impacts on the blade's aerodynamic performance of wind turbines, and this conclusion can be
329 extrapolated to tidal turbines [50-52]. Thus, this assumption is not expected to significantly
330 influence on the results.

331 ***3.3 Introduction to AQWA and integration of the sub-models***

332 The CATIFES model is developed within the hydrodynamic analysis software package,
333 namely AQWA. AQWA that is a commonly-used tool for hydrodynamic analysis of marine and
334 offshore structures [53]. The potential theory is employed by AQWA to solve the radiation and
335 diffraction problems of a large size floater for obtaining the added mass, radiation damping,
336 and wave excitation forces in frequency domain analysis. Potential flow assumption neglects

337 the viscous effects of sea water when calculating the hydrodynamic loads on the platform.
 338 However, it is a widely accepted method in the field of marine engineering [54-55]. The
 339 influence of this assumption on the final results is relatively minor since an additional damping
 340 is introduced to account for the viscous effects.

341 Based on the frequency domain solutions, the platform responses can be calculated using
 342 a prediction-correction time-marching method in the AQWA solver, while mooring restoring
 343 forces and external loads calculated by the user defined DLL (user_force64.dll).

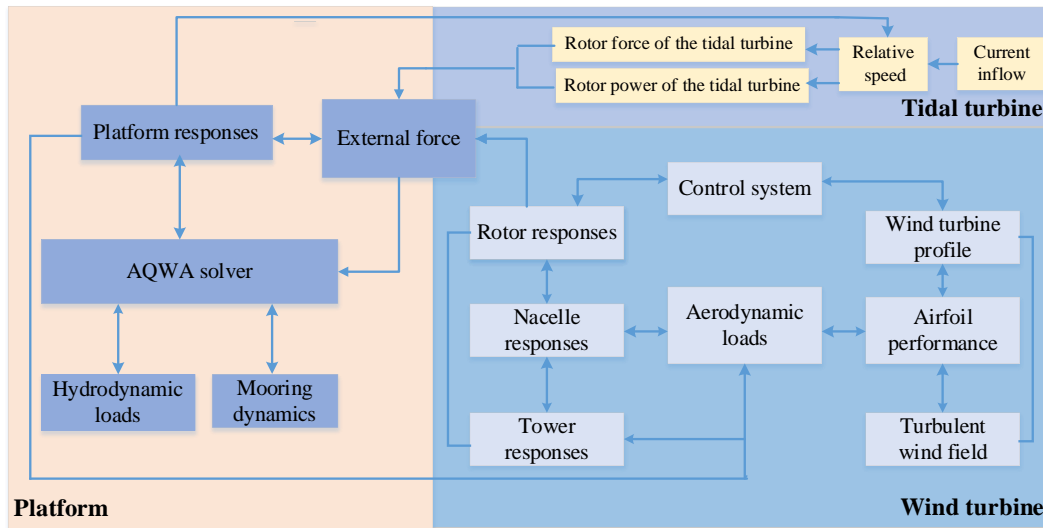
344 This paper assumes that the platform acts as a rigid body with six degrees of freedom
 345 since the restoring stiffness provided by the mooring system and ballast is significantly smaller
 346 than the structural bending stiffness. The participation rate of the platform bending modes is
 347 relatively minor compared to the translational and rotational modes. This aligns with the typical
 348 modelling approach for dynamic analysis of Spar-type platforms. The assumption is expected
 349 to have a minimal impact on the results [56-58]. The governing equation of motion of the
 350 platform is given as:

$$351 \quad (m + A_{wv})\ddot{X}(t) + C\dot{X}(t) + KX(t) + \int_0^t h(t-\tau)\ddot{X}(\tau)d\tau = F_h(t) + F_t(t) + F_e(t) \quad (3)$$

352 where m is the platform inertial mass, A_{wv} is the added mass; K and C are, respectively,
 353 the total stiffness and damping matrices; $X(t)$, $\dot{X}(t)$, and $\ddot{X}(t)$ are, respectively, the
 354 displacement, velocity, and acceleration vectors of the platform; $h(t)$ is the acceleration
 355 impulse function used to consider the radiation memory effect; $F_h(t)$ is the hydrodynamic
 356 load and $F_t(t)$ is the mooring restoring force; $F_e(t)$ denotes the external force including the
 357 aerodynamic load of the wind turbine and the hydrodynamic load of the tidal turbines. It should
 358 be noted that the external forces acting on the platform comprise not only the aerodynamic
 359 forces of the wind turbine but also the hydrodynamic forces generated by the tidal turbines.

360 Fig. 3 presents the logical flow of CATIFES. As can be observed from Fig. 3, the dynamic

361 responses of the wind turbine and the tidal turbine are solved by the external force DLL
 362 (user_force64.dll), while AQWA solves the platform responses based on the hydrodynamic
 363 loads and the external force on the platform. The user_force64.dll is invoked by AQWA at each
 364 time step to calculate the aerodynamic loads on the wind turbine and hydrodynamic loads on
 365 the tidal turbines. The platform displacement, velocity, and acceleration will be transferred to
 366 the DLL and used to for the calculation of the dynamic response of tower, nacelle, and blade
 367 structure and aerodynamic load. The shear force and bending moment at the tower-base are fed
 368 back to the DLL for the solution of platform motions.



369
 370 Fig. 3: Schematic of the coupling logic of CATIFES modules

371
 372 Similarly, the hydrodynamic forces on the tidal turbines are calculated using the method
 373 presented in Section 3.2, which takes into account the contribution of platform motions to the
 374 current inflow speed $U_{curr,rel}$ using Eq. (4). The hydrodynamic load of the tidal turbines will
 375 be transferred back into AQWA acting as an external force for the prediction of platform
 376 motions. It is apparently that the aerodynamic load of the wind turbine or the hydrodynamic
 377 load of the tidal turbine is affected by the platform response, and vice versa.

378
$$U_{curr,rel} = U_{curr} - U_{ptfm,surge} - (Z_{tidal} - Z_{ptfm})U_{ptfm,pitch} + (Y_{tidal} - Y_{ptfm})U_{ptfm,yaw} \quad (4)$$

379 where U_{curr} is the defined inflow current speed at the tidal hub depth. $U_{ptfm,surge}$, $U_{ptfm,pitch}$

380 and $U_{ptfm,yaw}$ are the surge, pitch and yaw velocities of the platform, respectively. Z_{tidal} and
 381 Z_{ptfm} are the vertical coordinates of the CMs of the tidal turbine and platform, respectively.
 382 Y_{tidal} and Y_{ptfm} are the lateral coordinates of the CMs of the tidal turbine and platform,
 383 respectively.

384 Since the AQWA solver only accepts the external force applying at the mass center of the
 385 platform, transformations must be made to the aerodynamic loads calculated in the DLL.
 386 Taking the coupling between the platform and the wind turbine as the example, the platform
 387 motions generated by the AQWA solver is the response at the mass center of the platform, while
 388 the platform motion accepted by the DLL for updating the kinematics of the wind turbine is at
 389 a specific reference point that is usually the tower-base. Therefore, the Euler angle
 390 transformation matrix given below is used for the data transfer between the AQWA solver and
 391 DLL [59].

$$392 \quad \mathbf{E} = \begin{bmatrix} \cos \theta_2 \cos \theta_3 & \sin \theta_1 \sin \theta_2 \cos \theta_3 - \cos \theta_2 \sin \theta_3 & \cos \theta_1 \sin \theta_2 \cos \theta_3 + \sin \theta_1 \sin \theta_3 \\ \cos \theta_2 \sin \theta_3 & \sin \theta_1 \sin \theta_2 \cos \theta_3 + \cos \theta_2 \sin \theta_3 & \cos \theta_1 \sin \theta_2 \cos \theta_3 - \sin \theta_1 \sin \theta_3 \\ -\sin \theta_2 & \sin \theta_1 \cos \theta_2 & \cos \theta_1 \cos \theta_2 \end{bmatrix} \quad (5)$$

393 where θ_1 , θ_2 , and θ_3 are, respectively, the roll, pitch, and yaw angles of the platform.

394 The platform motion output from AQWA is transformed as follows:

$$395 \quad \mathbf{D}_{DLL} = \mathbf{D}_{AQWA} - \mathbf{E} \cdot \mathbf{P} \quad (6)$$

396 where \mathbf{P} is the position vector from the platform reference point to the mass center of
 397 platform, \mathbf{D}_{AQWA} and \mathbf{D}_{DLL} are, respectively, the platform displacement vectors obtained at
 398 AQWA and the incoming DLL.

399 The velocity of the platform is transformed as follows:

$$400 \quad \mathbf{U}_{DLL} = \mathbf{U}_{AQWA} - \mathbf{E} \cdot \mathbf{P} \times \boldsymbol{\omega} \quad (7)$$

401 where \mathbf{U}_{AQWA} and \mathbf{U}_{DLL} are the platform velocity vectors obtained in AQWA and the one
 402 used in the DLL, respectively; $\boldsymbol{\omega}$ is the rotational velocity vector of the platform obtained in

403 AQWA.

404 The platform acceleration is not available for transfer between the solver and the DLL.
405 Therefore, the first-order forward difference of the velocity is used to denote the acceleration
406 as follows:

$$407 \quad \mathbf{a}_{DLL} = \frac{\mathbf{U}_{DLL} - \mathbf{U}'_{DLL}}{\Delta t} \quad (8)$$

408 where \mathbf{a}_{DLL} is the platform acceleration and \mathbf{U}'_{DLL} is the platform velocity at the last time
409 step, Δt is the time step of the simulation.

410 The tower-base loads calculated in the DLL will be transferred to the AQWA solver as a
411 external force for the prediction of the platform motion. It is noted the tower-base loads are
412 referred to the local platform coordinate system, however, the external force applying at the
413 mass center of platform is referred to the inertial coordinate system. The loads are corrected as
414 follows:

$$415 \quad \mathbf{F}_{AQWA} = \mathbf{E}^{-1} \cdot \mathbf{F}_{DLL} \quad (9)$$

$$416 \quad \mathbf{M}_{AQWA} = \mathbf{E}^{-1} \cdot (\mathbf{M}_{DLL} - \mathbf{P} \times \mathbf{F}_{DLL}) \quad (10)$$

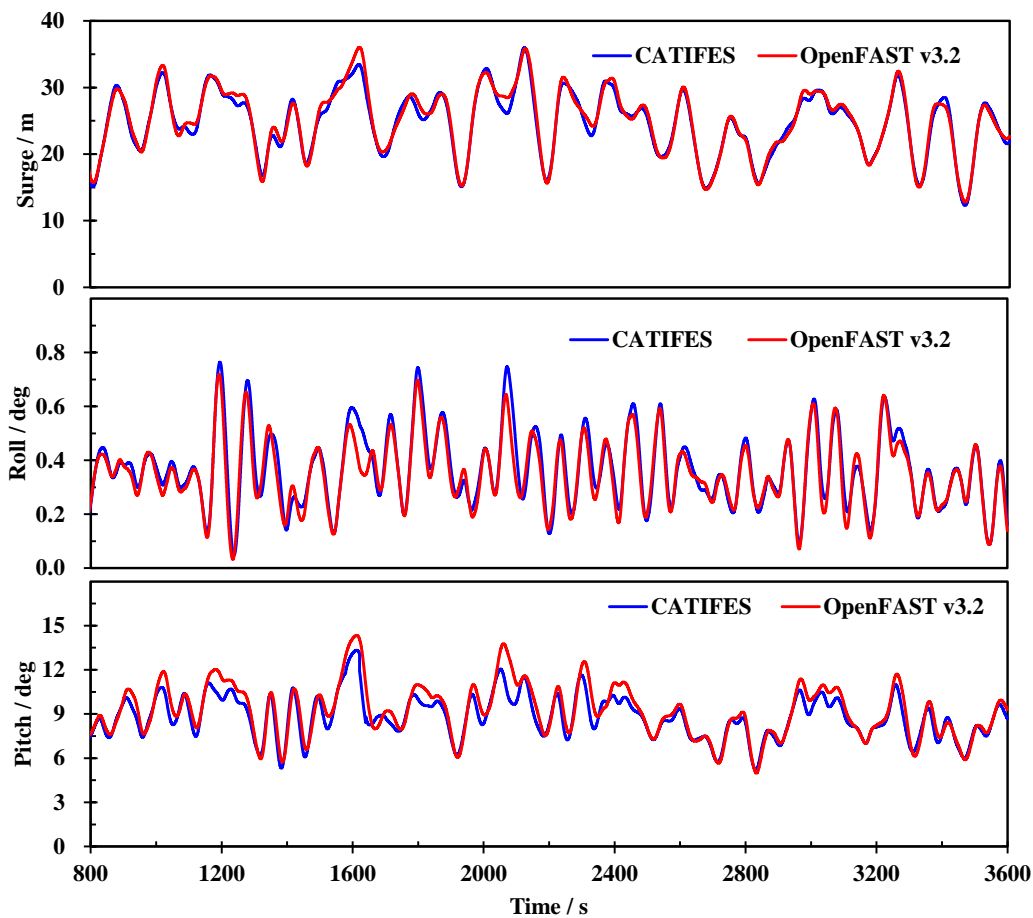
417 where \mathbf{F}_{AQWA} and \mathbf{F}_{DLL} are the translational force vectors fed back into in AQWA and
418 calculated in the DLL, respectively; \mathbf{E}^{-1} is the inverse of the transformation matrix \mathbf{E} ;
419 \mathbf{M}_{AQWA} is the moment vector applying at the mass center of the platform referred to the inertial
420 coordinate system; \mathbf{M}_{DLL} is the moment vector at the tower-base referred to the local platform
421 coordinate system.

422 **3.4 Validation of the CATIFES**

423 Since there is no published experimental or numerical simulation data for the wind-current
424 type IFES, the validation of the CATIFES model is examined by verifying its capability in
425 performing coupled analysis of a FOWT and in predicting performance of tidal turbines,
426 respectively.

427 The dynamic responses of the DTU 10MW wind turbine supported by the Spar platform

428 under 9m/s turbulent wind condition are calculated using CATIFES and OpenFAST v3.2,
 429 respectively. The results during 800s to 3600s is selected for the comparison to avoid the
 430 influence of the transient behavior. Fig. 4 shows the comparison of the platform motions. It can
 431 be observed that the results calculated by CATIFES and OpenFAST agree very well in trends
 432 and magnitudes. More specifically, the mean values of the pitch predicted by OpenFAST v3.2
 433 and CAT4IFES are respectively 8.3 degrees and 8.5 degrees, meaning the difference is 2.4%.
 434 The difference between the maximum pitch predicted by the present model and OpenFAST is
 435 0.7 degrees, equivalent to a relative error of 4.8%. The platform surge motions obtained by
 436 CATIFES and OpenFAST are almost identical in the domain variations. The comparison of the
 437 platform motions indicates that CATIFES could produce acceptable dynamic responses of a
 438 FOWT under turbulent wind conditions.



439

440 Fig. 4: Comparison between the platform motions predicted by the present CATIFES model

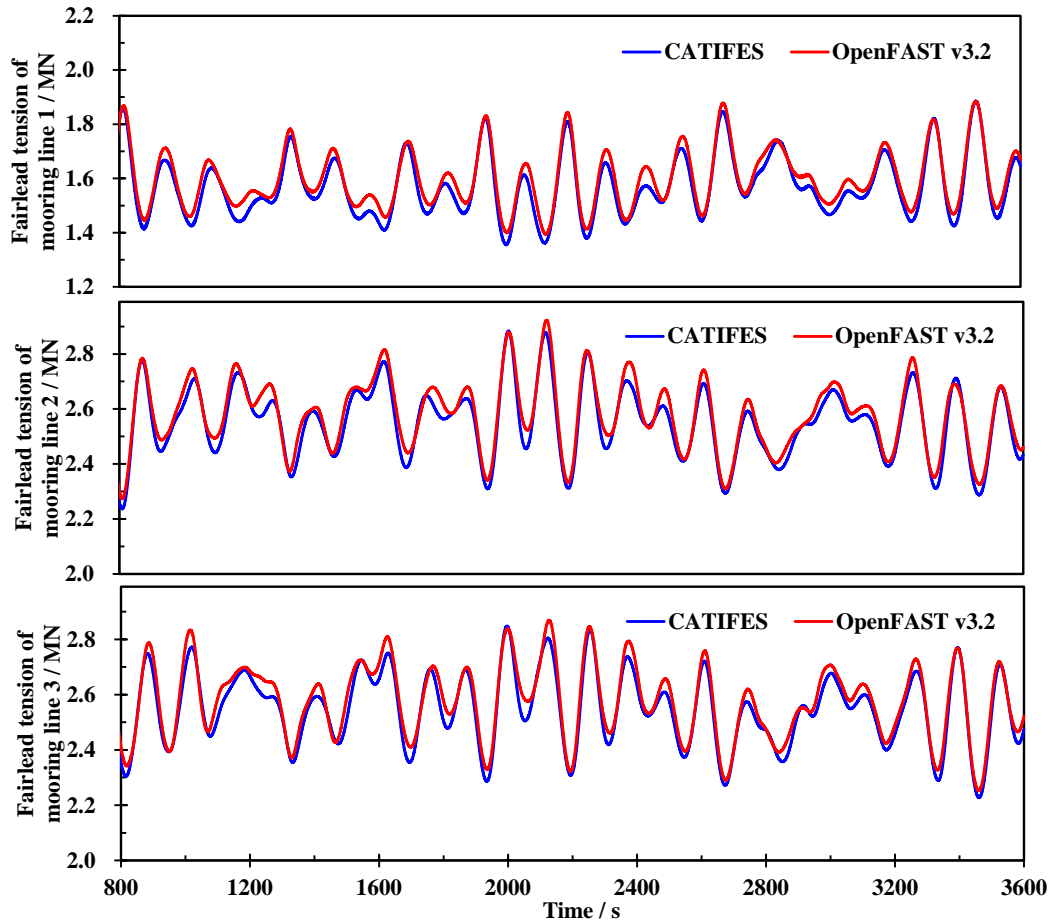
and OpenFAST

441

442

443 Fig. 5 presents the fairlead tension in the mooring lines. Good agreements between the
444 fairlead tensions in each mooring line predicted by CATIFES and OepnFAST are observed.
445 There is only a small difference for the maximum values. More specifically, the mean value
446 and standard deviation of the fairlead tension in mooring line #2 predicted by CATIFES are
447 2.55MN and 0.12MN, while the corresponding results obtained using OpenFAST are 2.58MN
448 and 0.13MN. The maximum tensions in mooring line #2 calculated by CATIFES and
449 OpenFAST are 2.90MN and 2.92MN, respectively. The relative error is only 0.68%.

450 The main reason producing the difference between the simulation results of the present
451 model and OpenFAST is that there is a minor difference between the mooring modeling
452 theories of OpenFAST and AQWA. AQWA uses the finite element method to consider the
453 dynamic mooring effects and calculates the hydrodynamic loads acting on the mooring based
454 on the wave velocity at the current position of the mooring. OpenFAST, on the other hand,
455 considers the dynamic effects of the mooring using the lumped mass approach, and the
456 hydrodynamic loads applied to the mooring are based on the wave motion at the initial position
457 of the platform. Although a minor difference between the results is observed, the overall
458 agreement is good enough, indicating that the CAT4IFES model can consider the coupling
459 effect between the aero-elasticity and hydrodynamics of the FOWT.



460

461

Fig. 5: Fairlead tension of CATIFES and OpenFAST v3.2

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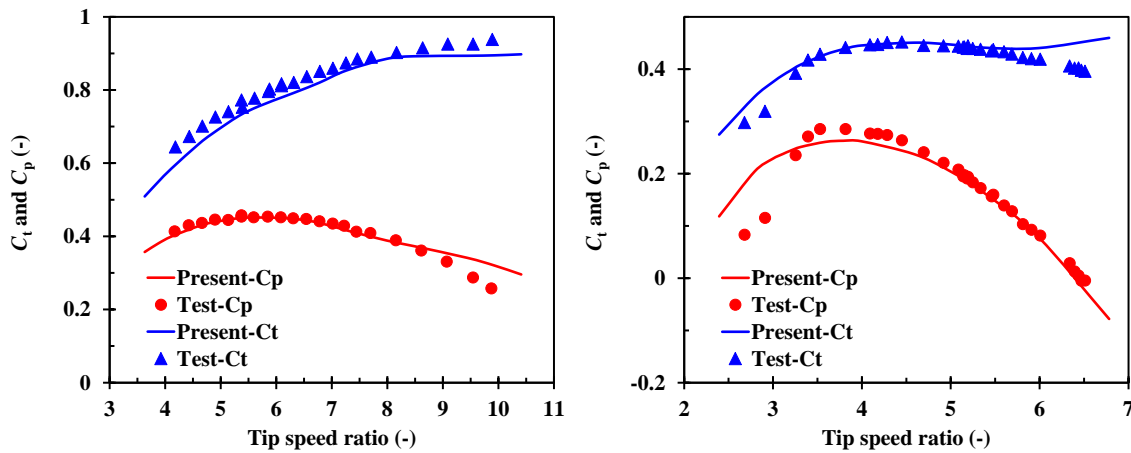
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472

The experimental data from the model test conducted by Bahaj et al [47]. and Doman et al [48]. is used to validate CATIFES for predicting the hydrodynamic performance of a tidal turbine. The test data and numerical simulation results are presented in Fig. 6. In model test 1, the numerical simulation predicted power and thrust coefficients that are consistent with the trends in the test data, although the power coefficient is slightly overestimated for high tip-speed ratios (TSR). In model test 2, the numerical results at low TSR are slightly higher than the test data due to the cavitation effect. However, within the common operating range of TSR 4-6, the power and thrust coefficients predicted by the present CATIFES agree well with the test results. Overall, the consistency between the numerical simulation results and the model tests is good, confirming the accuracy of the numerical model in predicting the response of

473 tidal turbines is acceptable.



474

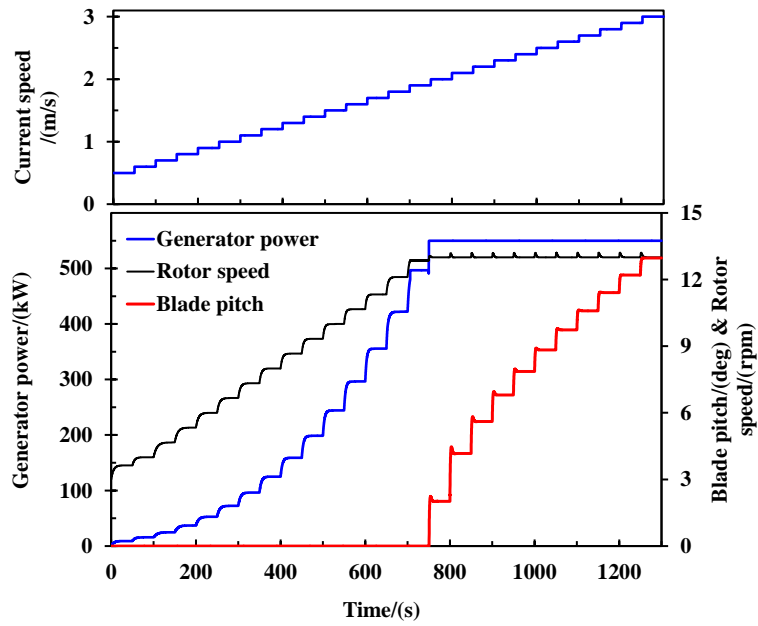
475 (a) Model test 1

475 (b) Model test 2

476 Fig. 6: Comparison between tidal turbine responses obtained from the present numerical
477 simulations and model tests; (a) model test 1 conducted by Bahaj *et al.* [47] for a 0.8 m
478 diameter rotor, (b) model test 2 conducted by Doman *et al.* [48] for a 0.762 m diameter rotor.

479

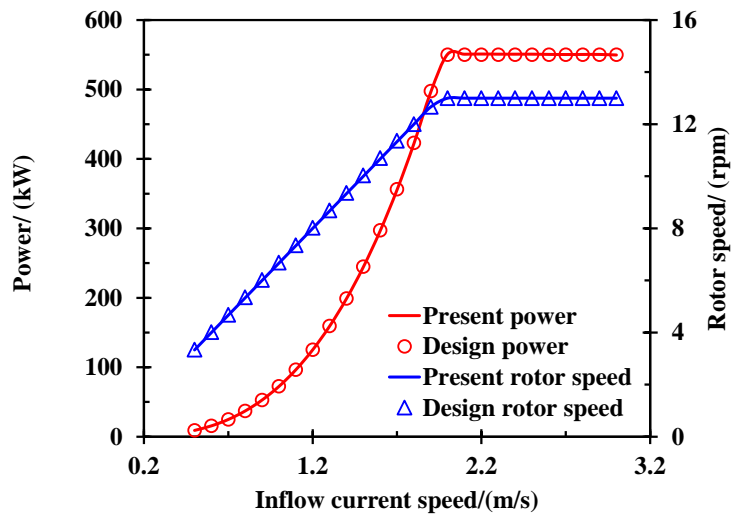
480 A pitch-torque controller is developed to adjust the power production of the tidal turbine.
481 In order to validated the controller, the simulation of the tidal turbine suffering a step current
482 speed condition is conducted. The duration of each step speed is 50s. Fig. 7 presents the
483 generator power, rotor speed and blade pitch angle of the tidal turbine under the step current
484 speed condition. It is observed that a steady state is quickly achieved after a quite short transient
485 period between each two speeds. The power and rotor speed in the steady states are compared
486 with the design parameters as presented in Fig. 8. It can be observed that the numerical results
487 are identical to the design parameters for each inflow current speed. The comparison indicates
488 that the controller implemented in this study is efficient in adjusting rotor speed and blade pitch
489 to achieve a target power.



490

491

Fig. 7: Controller performance under an unsteady inflow condition



492

493

Fig. 8: Comparisons of power and rotor speed under steady conditions

494

495 **4 Results and discussions**

496 *4.1 Definition of load cases*

497

498

499

Table 6 presents the definitions of the environmental conditions of the load cases examined in this study. The wind speed gradually increases from 3m/s to 25m/s. The three-dimensional wind field of each load case is generated using TurbSim based on the Kaimal

500 spectrum. The significant wave height and spectral peak period corresponding to each wind
 501 speed are defined according to the met-ocean data measured from an Eastern coastal site of the
 502 USA [53]. The JONSWAP wave spectrum with a peak shape parameter of 3.3 is applied for the
 503 irregular waves.

504 Table 6: Load cases for different environmental conditions

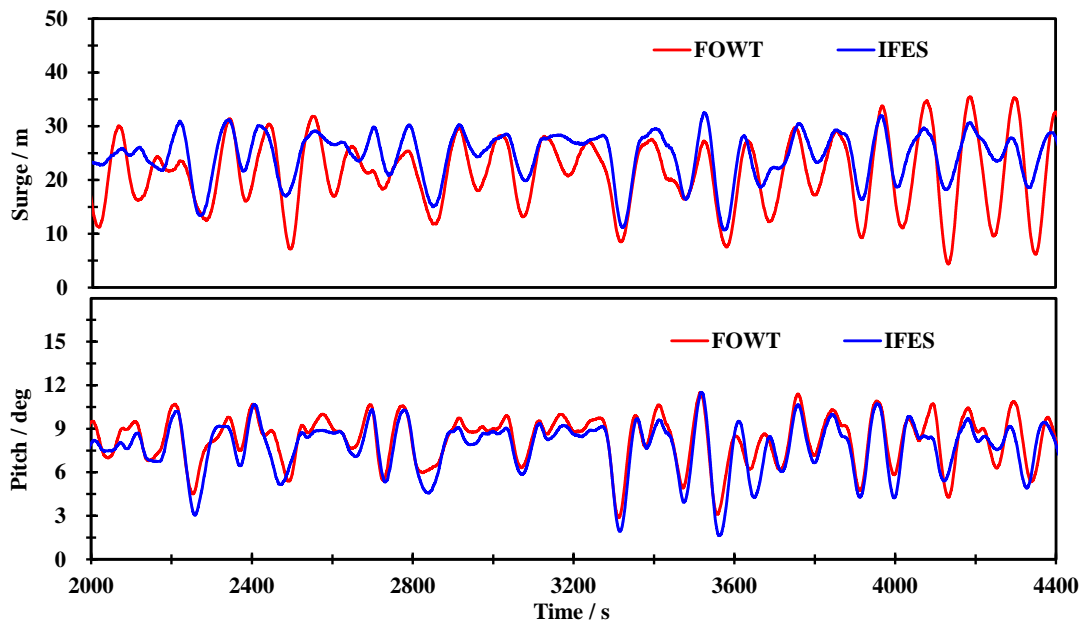
	Wind speed/(m/s)	Significant Wave Height/m	Peak Spectral Period/s	Current speed at MSL/(m/s)	Probability
LC1	3	1.089	8.569	0.61	2.34%
LC2	4	1.108	8.496	0.65	3.57%
LC3	5	1.146	8.392	0.68	4.13%
LC4	6	1.198	8.264	0.73	5.56%
LC5	7	1.269	8.103	0.92	6.98%
LC6	8	1.359	7.923	1.06	7.78%
LC7	9	1.478	7.724	1.22	8.24%
LC8	10	1.617	7.569	1.31	7.66%
LC9	11	1.779	7.451	1.46	7.00%
LC10	12	1.954	7.443	1.52	6.77%
LC11	13	2.144	7.457	1.66	6.32%
LC12	14	2.350	7.508	1.70	5.99%
LC13	15	2.573	7.629	1.81	5.24%
LC14	16	2.808	7.810	2.01	4.70%
LC15	17	3.062	8.047	2.12	4.17%
LC16	18	3.361	8.294	2.23	3.24%
LC17	19	3.645	8.549	2.42	2.89%
LC18	20	3.860	8.796	2.51	2.13%
LC19	21	4.081	9.042	2.66	1.83%
LC20	22	4.335	9.288	2.71	1.15%
LC21	23	4.610	9.534	2.81	1.00%
LC22	24	4.905	9.779	2.86	0.72%
LC23	25	5.216	10.025	2.98	0.66%

505
 506 The dynamic responses of the IFES with two tidal turbines installed at 110m below the
 507 sea level calculated using CAT4IFES and compared with those of the FOWT for the load cases
 508 presented in Table 6.

509 The simulation duration of each load case is set to 4400s and time step is 0.005s. To avoid
 510 the influence of transient response, the statistical analysis is performed for the responses in
 511 2000s to 4400s.

512 **4.2 Time-varying responses in the rated condition**

513 In order to obtain a preliminary understanding of the dynamic behavior of the IFES and
514 the efficacy of integrating tidal turbines within the FOWT system, the dynamic responses of
515 the IFES under a specific load case are compared with those of the FOWT. Fig. 9 presents the
516 platform motions of the IFES and the FOWT under LC9 in which the wind speed is 11m/s and
517 the current speed is 1.46m/s. Due to the presence of the tidal turbines, the average platform
518 surge of the IFES is larger than that of the FOWT, while the maximum value decreases. More
519 specifically, the maximum platform surge motions of the IFES and the FOWT are respectively
520 32.51m and 35.50m, implying a reduction of 8.42% is obtained. Moreover, the fluctuation in
521 the surge motion is alleviated. The standard deviation of the platform surge corresponding to
522 the IFES is 4.38m, while the value of the FOWT is 6.56m. The reason is that the hydrodynamic
523 thrust on the tidal turbines prevents the platform from excessively moving back against the
524 wind when the aerodynamic damping is decreased due to the increase of blade pitch angle.



525

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Fig. 9: Platform motion of the IFES and FOWT under LC9

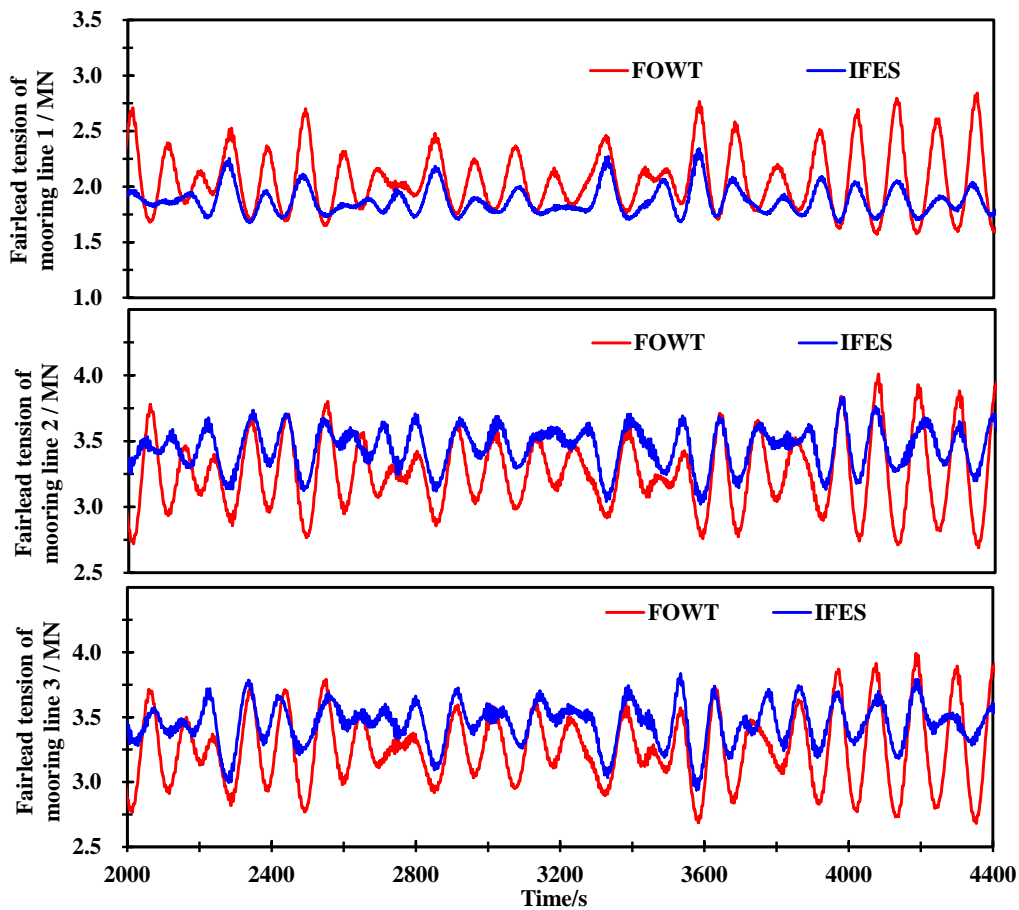
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As aforementioned in this paper, the tidal turbines are installed at 110m below the mean

529 sea level which is 18.4m lower than the mass center of the platform. The hydrodynamic thrust
 530 of the tidal turbines produces a bending moment reverse to that generated by the wind turbine.
 531 Therefore, the IFES has a relatively smaller platform pitch than the FOWT as observed from
 532 Fig. 9 (b). The average platform pitch of the IFES is reduced by 6.42% compared to that of the
 533 FOWT, from 8.25 degrees to 7.72 degrees.

534 Fig. 10 presents the mooring tension of the FOWT and IFES. The mooring line #1
 535 (windward) of the IFES experiences higher tension due to the more stretched state caused by
 536 the relatively larger horizontal thrust. As the platform approaches the leeward mooring, the
 537 mooring line #2 and #3 become loose and therefore experience a relatively smaller tension.



538

539 Fig. 10: Fairlead tensions of the mooring line under LC9

540

541 Table 7 presents the statistical values of tensions in the three mooring lines of the IFES

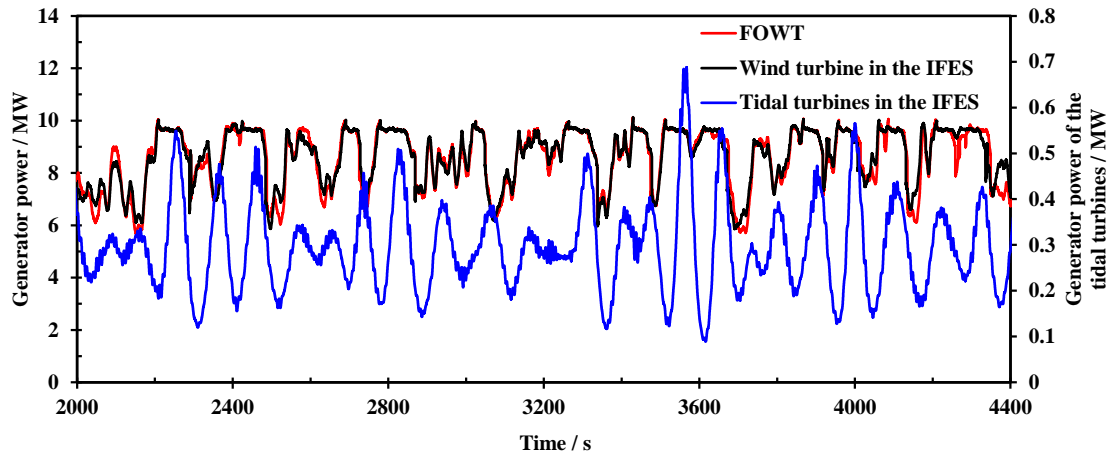
542 and FOWT. Error means the difference between the results of the IFES and FOWT. It shows
 543 that the maximum tension of each mooring line of the IFES is smaller than that of the FOWT,
 544 especially for mooring line #1 placed in the downwind direction. The maximum tension is
 545 reduced by 17.61%. The average tension in mooring lines #2 and #3 of the IFES is 5.83%
 546 relatively larger than that of the FOWT. Nonetheless, the standard deviation of the tension in
 547 each mooring line is significantly reduced. The reductions in mooring line #1, #2 and #3 are
 548 respectively 55.56%, 44.44% and 40.74%

549 Table 7: Statistical values of mooring tensions / MN

		FOWT	IFES	Error/%
Mooring line #1	Max	2.84	2.34	-17.61
	Average	2.06	1.87	-9.22
	Std.dev	0.27	0.12	-55.56
Mooring line #2	Max	4.01	3.83	-4.49
	Average	3.26	3.45	5.83
	Std.dev	0.27	0.15	-44.44
Mooring line #3	Max	3.99	3.83	-4.01
	Average	3.26	3.45	5.83
	Std.dev	0.27	0.16	-40.74

550

551 Fig. 11 presents the output power of the IFES and FOWT. The average power generated
 552 by the wind turbine of the IFES is 8.63MW and the FOWT produces a mean power of 8.59MW.
 553 In addition, the generator power of the wind turbine in the IFES is smoother compared to the
 554 FOWT due to the more stable platform motions. As a result, the average output power increases
 555 by 0.47% and the corresponding standard deviation decreases by 6.82%. Moreover, the two
 556 tidal turbines produce an average power of 0.30MW that is slightly lower than the expectation
 557 due to the influence of the platform motions. The total power of the IFES is 8.93MW that is
 558 3.96% higher than the FOWT. The above results indicate that the integration of wind and
 559 current energy devices not only increases the total power of the whole system, but also
 560 improves the wind turbine's power performance.



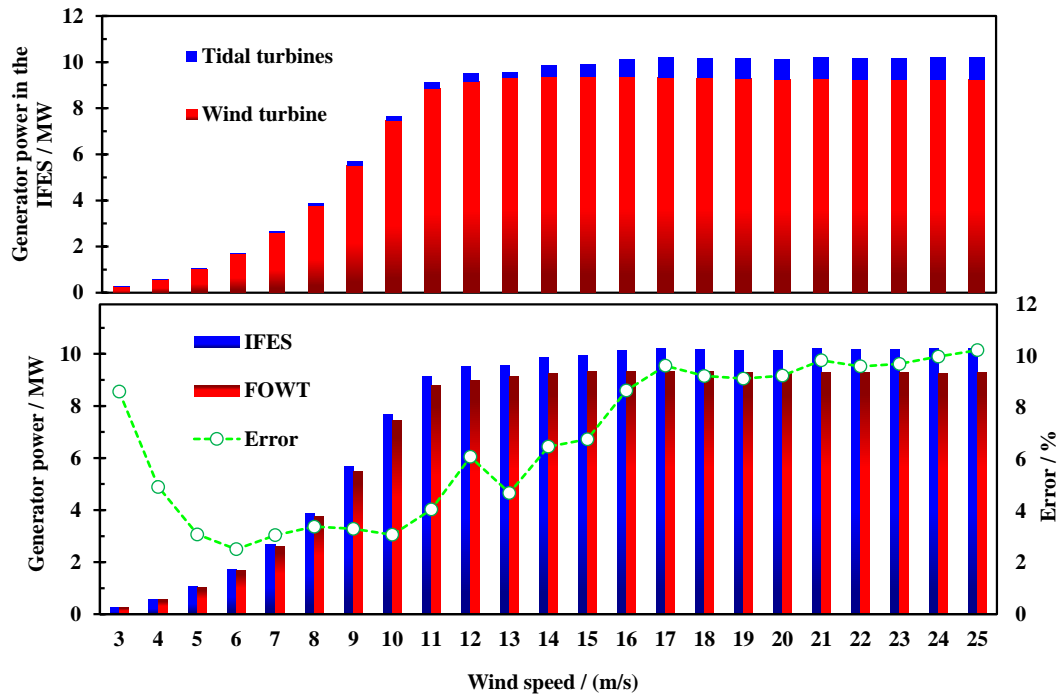
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Fig. 11: Generator power of the IFES and FOWT under LC9

562

563 4.3 Statistical values of the results

564 Fig. 12 presents the average output power of the FOWT and IFES under various
 565 environmental conditions. The IFES shows higher power output compared to the FOWT for
 566 all load cases due to the contribution of the tidal turbines. When wind speed below 11m/s, the
 567 corresponding current speeds are smaller than 1.46m/s, resulting in an increase rate of
 568 approximately 3% of the total power due to tidal turbines. For load cases with a current speed
 569 higher than 2.01m/s, the two tidal turbines produce about 0.9MW power, which increases the
 570 total power by around 10% compared to the FOWT. Notably, the tidal turbines do not
 571 negatively affect the power performance of the wind turbine in the IFES. The average power
 572 output of the wind turbine in the IFES is almost the same as that of the FOWT in all load cases,
 573 and even slightly higher than that of the FOWT for wind speeds below 18m/s. This is mainly
 574 due to the fact that tidal turbines mitigate the fluctuation of the platform motions, thereby
 575 improving the performance of the wind turbine.



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577

Fig. 12: The average output power of the IFES and FOWT under all load cases

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The average and standard deviation of the surge and pitch motions of the FOWT and the IFES under all the load cases are presented in Fig. 13. The pitch motion of the IFES is smaller than that of the FOWT for each of the examined load cases. It is noteworthy that when the speed exceeds 16m/s, the pitch reduction ratio is more than 20%. This reduction is particularly evident at a wind speed of 25m/s, where the pitch motion decrease from 3.36 degrees to 2.45 degrees, resulting in a reduction proportion of up to 27.08%. This is mainly because the tidal turbine is located below the mass center of the platform, which produces a bending moment on the platform inverse to the bending moment generated by the wind turbine.

587

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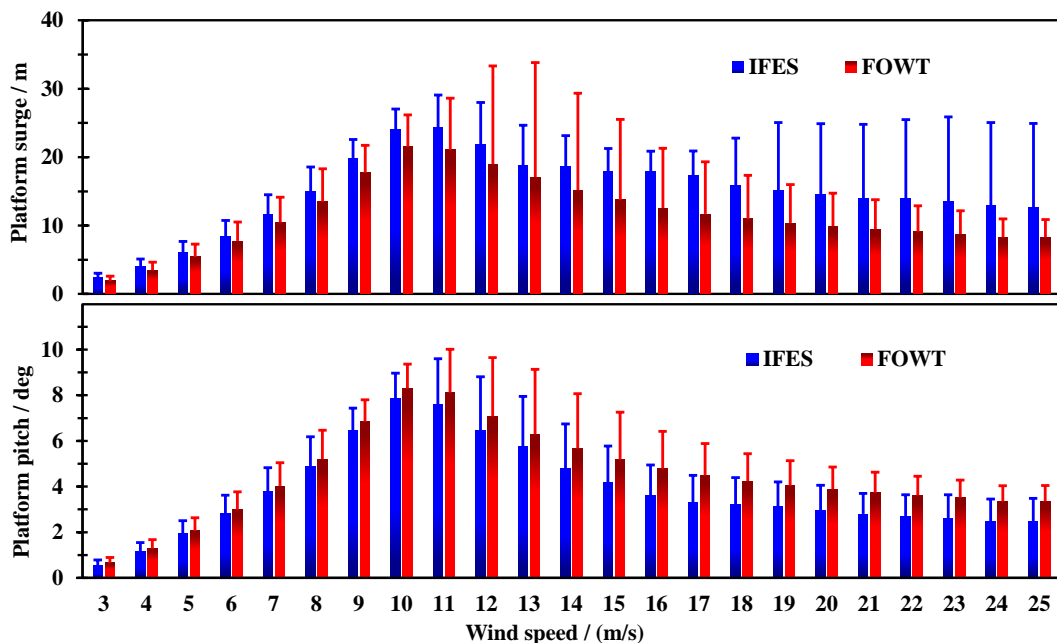
589

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591

For the same reason, the horizontal force acting on the platform is increased by the tidal turbines, leading to a larger surge motion of the platform as observed from Fig. 13(a). In addition, the standard deviation of the surge motion of the IFES is much smaller in the rated-around wind speed conditions. The standard deviation of the surge motion of the FOWT under LC10 and LC11 are 14.39m and 16.76m, respectively. The corresponding values of the IFES are

592 respectively reduced to 6.17m and 5.90m. The wind speed in these two is over rated wind speed.
 593 The pitch control activated to reduce the aerodynamic efficiency for the regulation of generator
 594 torque. As a result, the fluctuation in the aerodynamic thrust is triggered, resulting a large
 595 standard deviation of surge motion. While the tidal turbines provide a hydrodynamic thrust that
 596 counteracts a certain of the fluctuations of the aerodynamic thrust. Therefore, the variation of
 597 the surge motion in these conditions is much smoother as evidenced by the significantly smaller
 598 standard deviation. In the LC14~LC23, the tidal turbines operate in the rated-above conditions.
 599 The pitch control is activated to maintain the generator power, resulting in a notable fluctuation
 600 in the hydrodynamic loads due to platform motions. Meanwhile, the aerodynamic thrust
 601 provided by the wind turbine is relatively small. The fluctuation in the hydrodynamic thrust of
 602 the tidal turbines significantly affects the platform surge motion. This implies that the coupling
 603 between the tidal turbines and the wind turbine must be considered for the control of the IFES,
 604 for improving the stability and safety of the system.

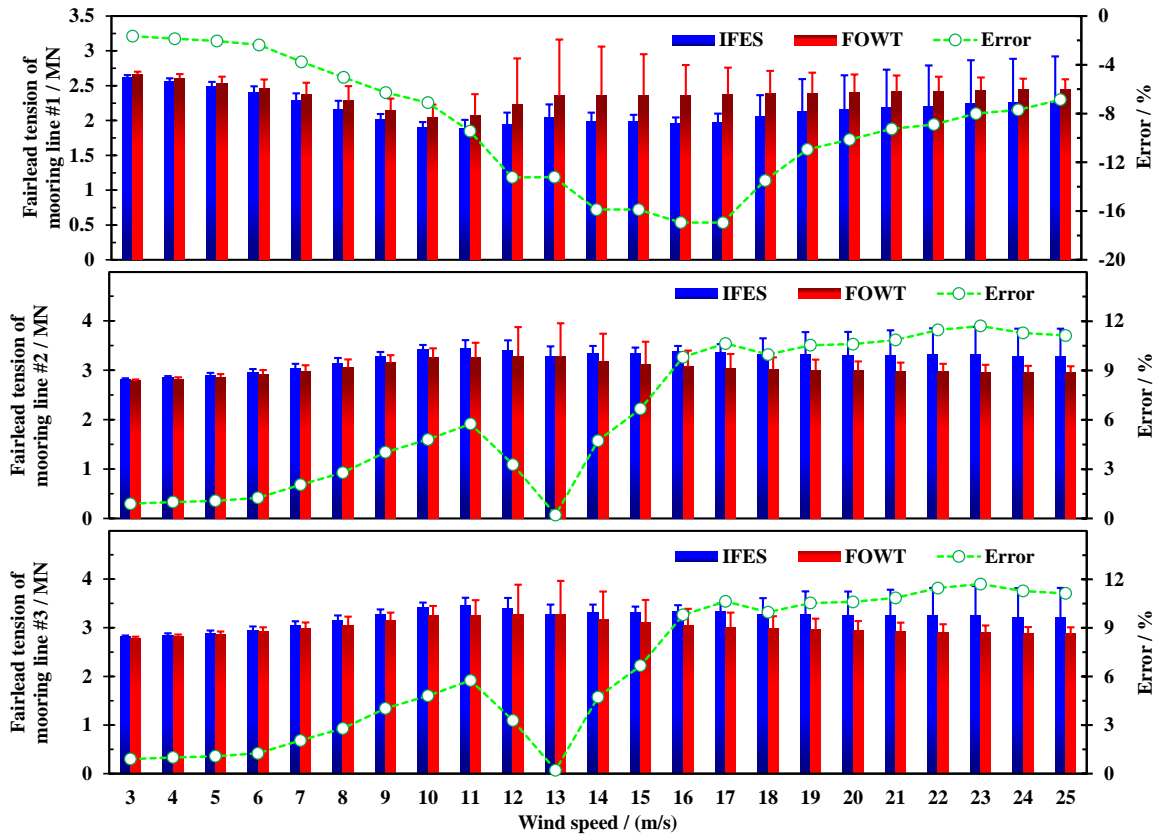


605
 606 Fig. 13: Platform motion of the IFES and FPWT under load cases

607
 608 Fig. 14 presents the average mooring tensions of the IFES and FOWT under all load cases.

609 Mooring line #1 is located in the downwind position, while moorings line #2 and #3 are situated
610 in the windward position as shown in Fig. 1. In the IFES, the horizontal thrust generated by the
611 tidal turbine causes a more significant longitudinal movement displacement of the platform,
612 leading to a more significant stretching of the windward mooring. Thus, the tension in this
613 mooring becomes notably high. When the wind speed is 17m/s, the corresponding current
614 speeds are 2.12m/s. This leads to a decrease in the tension of mooring line #1 from 2.37MN to
615 1.97MN, resulting in a reduction rate of up to 16.88%. The reduction in tension of mooring
616 line #1 in the IFES is greater than 10% compared to the average value in the FOWT under
617 LC10 to LC18.

618 Furthermore, as the platform approaches the downwind mooring line anchor point,
619 mooring #1 experiencing a relaxed state consequently has a less tension. It is worth noting that
620 the reduction in tension of mooring #1 of the IFES is more substantial than that of the FOWT
621 due to the presence of the tidal turbines. The results suggest that the installation of tidal turbines
622 can result in significant differences in the mooring tension distribution, particularly in the
623 windward moorings. Under LC10 and LC11, the mean value of mooring line #2 increased from
624 3.29MN and 3.27MN for the FOWT to 3.39MN and 3.28MN for the IFES, respectively.
625 However, the increase ratios were only 3.04% and 0.31%, respectively. On the other hand, the
626 mooring line #1 decreased significantly from 2.23MN and 2.35MN for the FOWT to 1.94MN
627 and 2.04MN for the IFES, resulting in decrease proportions of 13.00% and 13.19%,
628 respectively.



629

630

Fig. 14: Average of fairlead tension in the mooring lines under load cases

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632 4.4 Tower fatigue damage

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In this paper, the fatigue assessment is performed in the domain using the rainflow counting method for cycles. To ensure that the tower remains free from fatigue damage during its design service life, the estimation of the tower fatigue damage is required [60]. According to the Palmgren-Miner theory, individual stresses under cyclic loading are independent of each other, implying that the fatigue damage can accumulate linearly. Once the accumulated damage reaches a specific threshold value, fatigue damage occurs in the member [61]. The total fatigue damage is calculated by summing up the damage caused by each design sea state as given in Eq. (11). The damage for each sea state is computed by adding the damage for each stress or tension level using the rainflow counting method.

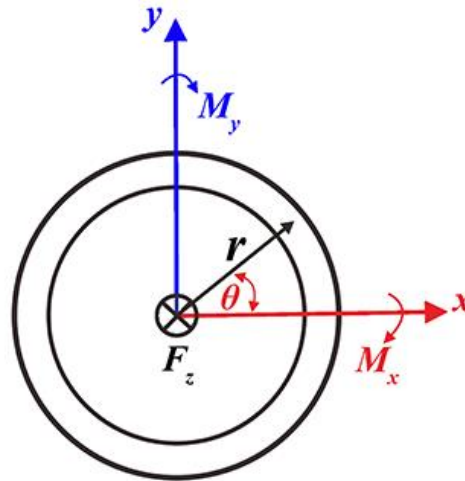
642
$$D = \sum_j^{N_{total}} \frac{n_j}{N_j} \quad (11)$$

643 where n_j is the number of cycles in the j^{th} stress range in the time history and N_j is the
 644 number of cycles to failure in the corresponding stress range according to the design S-N curve.

645 The fatigue damage at the tower base is evaluated. The stress at the tower base is converted
 646 from the bending moment and axial force as follows.

647
$$\sigma = \frac{M_y}{I_y} r \cdot \cos \theta - \frac{F_z}{A} - \frac{M_x}{I_x} r \cdot \sin \theta \quad (12)$$

648 where F_z is the axial force, M_x and M_y are the bending moments about the x-axis and y-
 649 axis, θ is the angle of the fatigue analysis point. A is the cross-section area. The coordinate
 650 system of the tower-base loads is presented in Fig. 15.



651 Fig. 15: Tower-base coordinate system
 652

653
 654 The S-N curve suggested in the DNV standard [62] for fatigue assessment of offshore
 655 steel structure is selected. The number of cycles to failure N is calculated using Eq. (13).

656
$$\log N = \log a - m \log \left(\Delta \sigma \left(\frac{t}{t_{ref}} \right)^k \right) \quad (13)$$

657 where $\Delta \sigma$ represents the stress range, and t is the thickness at the tower-base. Table 8 gives
 658 the values of other parameters for the fatigue assessment.

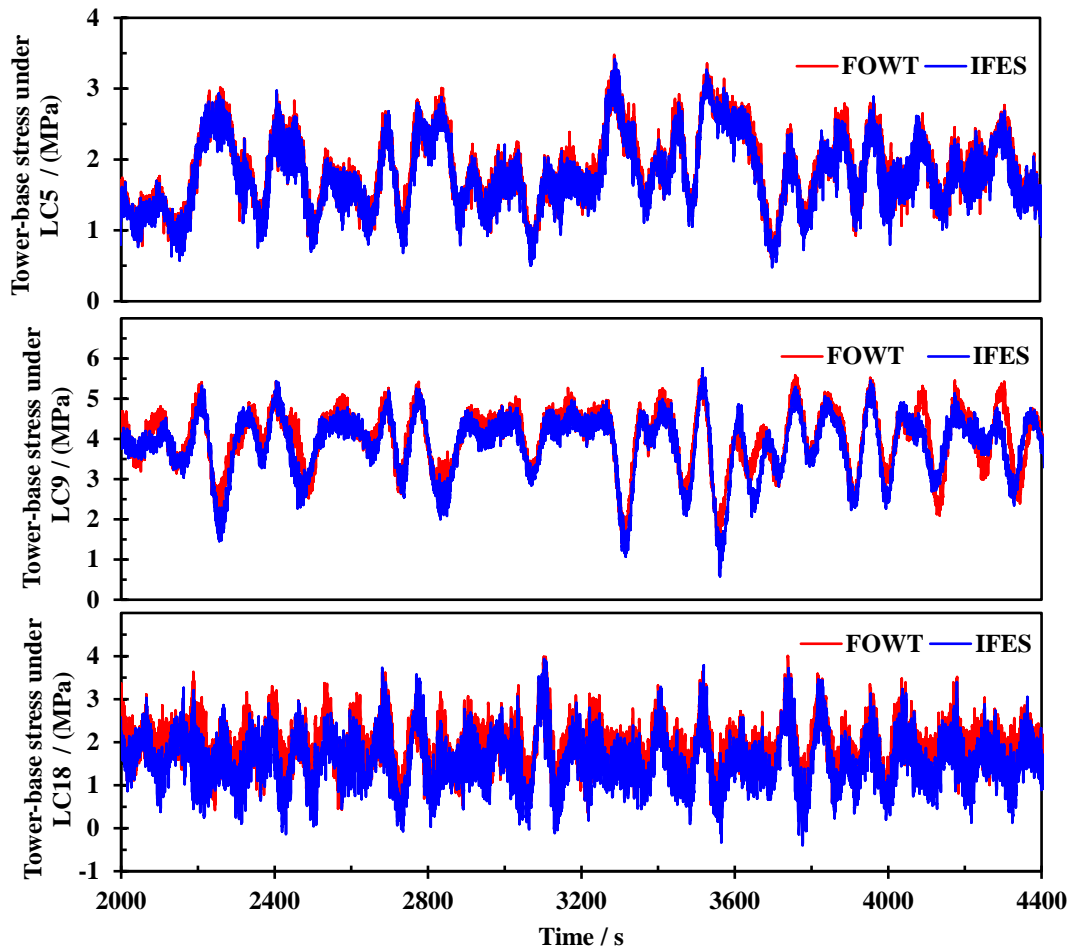
659 Table 8: S-N curve parameter for tower base

$N \leq 10^7$ cycles		$N > 10^7$ cycles		Fatigue time at 10^7 cycles [MPa]	k	t_{ref} [mm]
m	$\log a$	m	$\log a$			
3	12.164	5	15.606	52.63	0.2	25

660

661 As revealed in the above sections, the average value and standard deviation of the platform
662 pitch motion are reduced by the tidal turbines. The installation of the tidal turbines is expected
663 to reduce the loads at the tower-base, potentially decrease the fatigue damage. In order to
664 quantitatively evaluate the effect of the tidal turbines on the tower fatigue damage, the
665 equivalent stress of the tower is obtained using Eq. (13) for a specific orientation angle based
666 on the bending moments and axial force eight orientation angles.

667 The equivalent tower-base stress at the 0° orientation (see Fig. 15) of IFES and FOWT
668 under LC5, LC9 and LC18 are presented in Fig. 16. It is found that the mean stress of the IFES
669 is lower than that of the FOWT for each of the load cases. At a wind speed of 7m/s, The
670 maximum stress values of the FOWT and IFES under LC5 are respectively 3.48MPa and
671 3.42MPa. This indicates a stress reduction of 1.72% with the IFES model. Furthermore, the
672 average stress value is reduced from 1.86MPa to 1.78MPa in the IFES model, meaning that a
673 reduction of 4.30% is obtained. This stress reduction is attributed to the tidal turbines that
674 alleviate the impact force of the current on the tower.

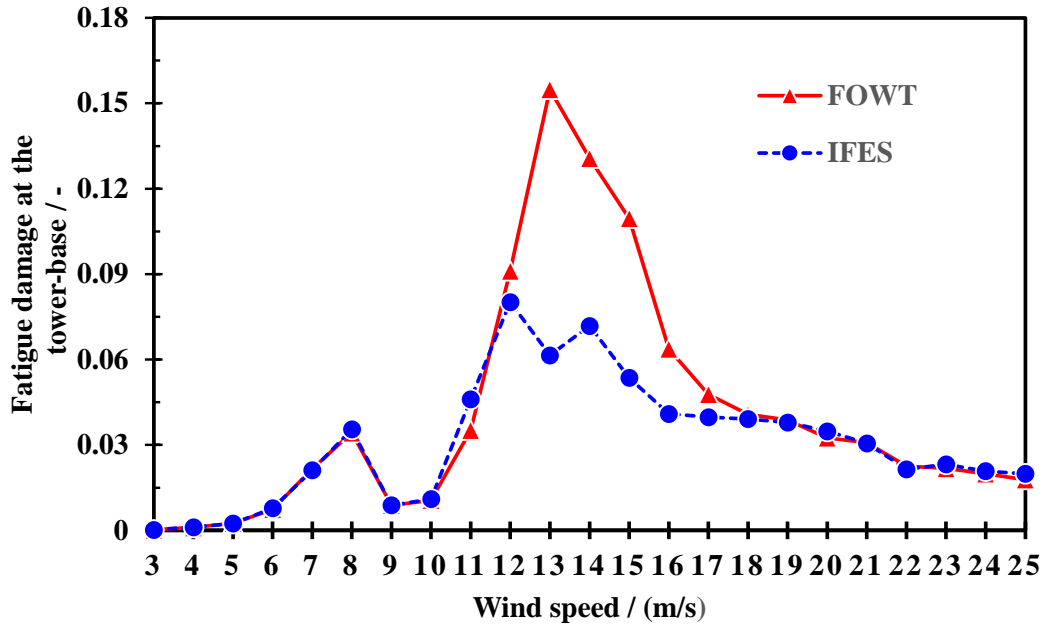


675

676 Fig 16: Tower-base stress at the 0° orientation under LC5, LC9 and LC18, respectively

677

678 Considering the occurrence probability, the weighting fatigue damage at the tower-base
 679 of the IFES and FOWT contributed by each of the load case is presented in Fig. 17. It is evident
 680 that the FOWT model experiences higher fatigue damage when the wind speed ranges between
 681 12m/s and 15m/s. The IFES model exhibits significant reduction in the fatigue damage value.
 682 Notably, the fatigue damage decreases from 0.1447 to 0.0729 in IFES under the condition with
 683 a wind speed of 13m/s, denoting a remarkable reduction of 49.62%.



684

685 Fig. 17: Fatigue damage at the tower-base of the IFES and FOWT under all the load cases

686

687 The fatigue damage induced by each load case at the critical location is evaluated first and
 688 subsequently cumulated to obtain the total fatigue damage at the tower-base.

689 Fig. 18 presents the fatigue damage at the tower-base of the IFES and FOWT. It is evident
 690 that the FOWT experiences the highest fatigue damage at 0° and 180° orientations of the tower-
 691 base section with a value of 0.9345 and 0.9288, respectively. However, the introduction of two
 692 tidal turbines has led to a significant reduction in the corresponding damage for the IFES. The
 693 fatigue damage for IFES reduced by 13.91% and 14.14% at 0° and 180° orientations. Moreover,
 694 the IFES is successful in reducing the fatigue damage in other orientations at the tower-base
 695 section compared to the FOWT.

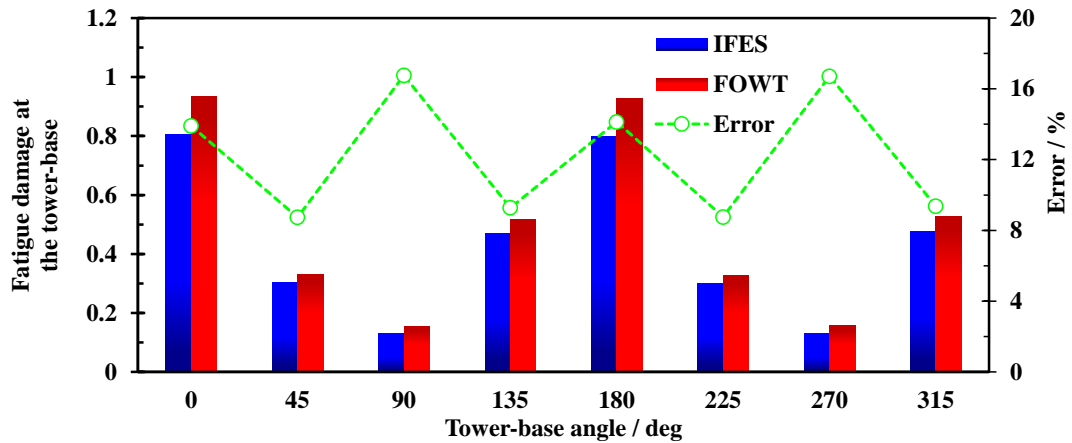


Fig. 18: Fatigue damage at the tower-base of the IFES and FOWT

5 Conclusions

This study investigates the performance and fatigue damage of an IFES consisting of a 10MW wind turbine and two 550kW tidal turbines. The validation against the OpenFAST and model test data confirms the suitability of CATIFES for multi-physics field coupled simulations of IFES. Integrating tidal turbines with a FOWT is able to improve the platform stability by introducing an additional reverse overturning bending moment. Consequently, the generator power of the wind turbine is improved in magnitude and smoothness.

Furthermore, the integration of tidal turbines into the FOWT significantly mitigates the tension fluctuation in the mooring lines by over 40.74%, primarily due to the narrower surge motion range. Compared to the FOWT, the maximum tension in each mooring line of the IFES is relatively smaller. Moreover, the fatigue damage at the tower-base of the IFES is significantly reduced compared to the FOWT. Specifically, the fatigue damage in the longitudinal points at the tower-base section decreased by around 14% due to the reverse bending moment produced by the tidal turbines.

It should be noted that the variable-speed-variable-pitch control of the wind and tidal turbines are examined separately, since developing a synergistic control strategy between the

715 wind and tidal turbines is beyond the scope of this study. Future research can focus on
716 developing a synergic control algorithm to improve the power production and motion
717 performance of the whole system by incorporating additional control objectives into the
718 conventional pitch-torque controllers. Another limitation of this paper is the omission of the
719 structural flexibility of the tidal turbine's blades. Future studies can address this limitation by
720 developing a fully coupled hydro-servo-elastic model to more accurately analyze the dynamic
721 responses of the IFES.

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