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1 **Tree species and pruning regime affect crop yield on**
2 **bench terraces in SW Uganda**

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20 **Running title:** Effect of tree species and pruning regime

21

22

23 **Key words:** *Alnus acuminata*, beans, *Calliandra calothyrsus*, competition,
24 maize, *Sesbania sesban*

25 **Abstract**

26 Integration of trees on farms may exert complementary or competitive
27 effects on crop yield. This four year study examined novel systems in which
28 *Alnus acuminata* (alnus), *Calliandra calothyrsus* (calliandra), *Sesbania sesban*
29 (sesbania) or a mixture of all three were grown on the degraded upper part
30 of bench terraces in Uganda; beans or maize were grown on the more fertile
31 lower terrace during the short and long rains. Three pruning treatments
32 (shoot, root or shoot+root pruning) were applied to the tree rows adjacent to
33 the crops; shoot prunings were applied as green manure to the woodlot from
34 which they came. Pruning increased survival in calliandra and reduced
35 survival in sesbania; alnus was unaffected. Pruning reduced tree height and
36 stem diameter in alnus, but did not affect calliandra or sesbania. Maize yield
37 adjacent to unpruned calliandra, alnus and sesbania or a mixture of all three
38 was reduced by 48, 17, 6 and 24 % relative to sole maize. Shoot pruning
39 initially sustained crop performance but shoot+root pruning became
40 necessary when tree age exceeded two years; shoot+root pruning increased
41 maize yield by 88, 40, 11 and 31 % in the calliandra, alnus, sesbania and
42 tree mixture systems relative to unpruned trees. Bean yield adjacent to
43 unpruned calliandra, alnus, sesbania and the tree mixture was 44, 31, 33
44 and 22 % lower than in sole crops and pruning had no significant effect on
45 crop yield. The results suggest that sesbania fallows may be used on the
46 upper terrace without reducing crop yield on the lower terrace, whereas
47 pruning of alnus is needed to sustain yield. Calliandra woodlots appear to be
48 unsuitable as crop yield was reduced even after pruning.

49 **Introduction**

50 Increasing populations in the African highlands have caused traditional
51 shifting cultivation to be abandoned in favour of intensive farming (Ong et al.
52 2006, 2007). However, this process has not been accompanied by increased
53 mechanisation or fertiliser use (Swinkels et al. 1997), causing serious
54 degradation of natural resources and a decline in *per capita* food production
55 (Sanchez et al. 1997). As average land holdings decrease, farmers cannot
56 afford to allocate separate areas to grow crops and trees. In such cases,
57 agroforestry may provide a viable alternative to sustain productivity on
58 smallholder farms while supplying a range of tree products. This is
59 particularly important in south-western Uganda, where crop yield is <35 % of
60 potential production and there is an estimated 40 % shortfall in wood supply
61 (Siriri and Bekunda 2004); similar problems occur throughout the semi-arid
62 and sub-humid tropics. The present study examined novel systems in which
63 the degraded upper third of terraces on steep hillsides was planted with
64 trees, while the lower terrace was used for crop production.

65

66 Incorporation of trees on cropland may enhance productivity by increasing
67 nutrient input through nitrogen fixation (Sanginga et al. 1995; Sun et al.
68 2008), spatial and/or temporal complementarity in resource capture by trees
69 and crops (Ong et al. 2006, 2007), increased infiltration and storage of water
70 (Wallace 1996; Sun et al. 2008), maintenance of, or increases in, soil organic
71 matter (Schroeder 1995; Sun et al. 2008), reduced nutrient losses by erosion
72 and leaching (Sun et al. 2008) and improved soil physical properties and
73 biological activity (Yamoah et al. 1986). Agroforestry technologies promoted
74 in East Africa include improved fallows containing *Sesbania sesban* and
75 rotational woodlots of *Calliandra calothyrsus* or *Alnus acuminata* (Siriri and
76 Raussen 2003). These aim to improve soil fertility and provide valuable tree
77 products by planting trees on the upper section of bench terraces which have
78 become degraded following repeated scouring during heavy rain and regular
79 down-slope cultivation (Agus et al. 1997). Planting trees on the upper terrace
80 is a recommended rehabilitation practice (Raussen et al. 1999; Siriri and

81 Raussen 2003) which allows cropping to continue on the more fertile lower
82 terrace. Contour planting of trees has also proved successful in limiting
83 runoff and erosion and improving fertility on hillslopes under a wide range of
84 climatic conditions in China (Sun et al. 2008).

85

86 However, agroforestry does not always provide a solution, as negative
87 interactions may occur due to competition with adjacent crops (Ong et al.
88 2006, 2007; Sun et al. 2008). Some reports suggest there is little
89 competition on bench terraces due to spatial or temporal separation of the
90 trees and crops (Cooper et al. 1996), although farmers have reported that
91 trees may compete with adjacent crops (Wajja-Musukwe et al. 1997; Sun et
92 al. 2008). This is important as crop production on the lower terrace is vital
93 for food security during the first 2-3 years after planting while farmers await
94 the benefits of trees grown on the upper terrace. Effective strategies are
95 needed to minimise adverse tree-crop interactions on terraced land.

96

97 Schroth (1999) suggested two options to enhance complementarity: (i)
98 selection of trees with characteristics which minimise competition; and (ii)
99 management to limit their competitive impact. Characteristics which limit
100 competition do not always coincide with the intended use of trees by farmers,
101 for example, when timber production or revenue generation from the sale of
102 greenhouse gas credits (TIST 2008) are key objectives. When farmers'
103 needs and ecological compatibility conflict, understanding and appropriate
104 manipulation of the underlying processes are essential. Root and/or shoot
105 pruning may be used to control the competitive impact of trees (Ong et al.
106 2002, 2006, 2007; Bayala et al. 2008). In semi-arid Kenya, Jackson et al.
107 (2000) showed that severe shoot pruning reduced water use by trees,
108 improving recharge of the crop rooting zone, while Jones et al. (1998) found
109 that shoot pruning of *Prosopis juliflora* in semi-arid Nigeria reduced below-
110 ground competition with sorghum. Chandrashekara (2007) recommended
111 shoot pruning regimes and frequencies for 10 important tree species in
112 humid Kerala, India to limit competition with understorey crops. The present

113 study examined the role of root and/or shoot pruning as management tools
114 to reduce the competitiveness of trees on terraces in sub-humid Uganda.
115 The objectives were to determine (i) the impact and spatial extent of
116 competition between trees on the upper terrace and adjacent crops, and (ii)
117 the effectiveness of root and/or shoot pruning in controlling deleterious
118 effects on crop yield.

119 **Materials and methods**

120

121 Kabale District, SW Uganda, experiences bimodal rainfall of *c.* 1000 mm yr⁻¹,
122 which is generally greater and more evenly distributed during the long
123 (September-February) than the short rains (April-June). Most land is steeply
124 terraced to control runoff and erosion; these are 15-20 m wide with a rise of
125 *c.* 1.5 m between terraces. Agriculture involves small-scale arable farming,
126 with sorghum, maize, beans, peas and sweet and Irish potatoes as the main
127 crops. This study took place at Kigezi High School (1° 15' S, 29° 55' E,
128 altitude 1850 m), where the mean slope of terraces is *c.* 8 %. The soils are
129 haplic ferralitic sandy clay loams developed from phyllite parent material.
130 Topsoil analysis (0-15 cm) showed that mean pH was 6.5 and clay content
131 decreased from 37.4 to 27.1 % between the upper and lower terrace
132 (*p*<0.05; Siriri and Raussen, 2003). Organic matter was very low but
133 increased from 1.11 to 1.31 g kg⁻¹ between upper and lower terrace,
134 suggesting that N supplies were limiting, though this was not specifically
135 determined. Bicarbonate EDTA extractable phosphorus and exchangeable
136 potassium concentrations were 27-36 mg kg⁻¹ and 0.48-0.54 mol_c kg⁻¹
137 respectively; P values decreased between the upper and lower terrace.

138

139 A split-plot design with three replicates was used (Fig. 1). Trees were
140 planted in three rows at a density equivalent to 10000 trees ha⁻¹ on the
141 upper third of the terrace (6 m wide). Treatments comprised four tree-based
142 systems (sole stands of *Alnus acuminata* Kunth (alnus), *Calliandra*
143 *calothyrsus* Meissner (calliandra), *Sesbania sesban* (L.) Merr. var. *sesban*
144 (sesbania) and a mixture of all three species) plus sole crop control plots.
145 These tree species were chosen due to their ability to produce 24-27 t ha⁻¹ of
146 fuelwood and *c.* 30 t ha⁻¹ of above-ground biomass under the prevailing
147 conditions and their N-fixing capability (Siriri and Raussen 2003). The
148 experimental design was unbalanced because the main plots containing sole
149 crop controls could only accommodate three of the four pruning sub-
150 treatments (Fig. 1), but was as nearly balanced as possible given the

151 prevailing site constraints. Main treatment plots (tree species) on the upper
152 terrace (6 m wide x 26 m long) were randomly allocated in each block. Sub-
153 treatments comprising four management regimes (no pruning, root pruning,
154 shoot pruning and root+shoot pruning) were imposed on the tree row
155 adjacent to the main cropping area on the lower terrace. The other tree rows
156 were not pruned to maximise woody biomass production and reflect the
157 objectives of subsistence farmers. Sub-treatment plots (6 m wide x 5 m long)
158 were randomly allocated in each main treatment. Sole crops were grown
159 continuously on the lower terrace (12 m wide).

160

161 *Alnus* and *Calliandra* were planted in September 2000 using potted seedlings
162 and *Sesbania* was planted in March 2001 using bare-rooted seedlings. The
163 phased planting ensured that all species could be harvested simultaneously as
164 *Sesbania*, a shrubby species, matures sooner than *Calliandra* and *Alnus*, which
165 are both trees. A single row of each species was planted in the tree mixture.
166 Based on previous studies (Siriri and Raussen 2003), the least competitive
167 species, *Sesbania*, was situated adjacent to the crops, *Calliandra* was planted in
168 the central row, and *Alnus*, believed to be the most competitive, was grown
169 furthest from the crops. Main and sub-plots were separated by 4 and 2 m
170 wide walkways to provide access and minimise interference (Fig. 1).

171

172 A relatively mild pruning regime was chosen as a compromise between
173 effective control of competition and maximum production of woody biomass
174 and green manure for soil improvement. Pruning was implemented
175 simultaneously for all tree species when *Calliandra* and *Alnus* were 12 months
176 old and *Sesbania* was six months old to avoid compromising the growth of
177 young trees. Shoot pruning involved removing all branches from the lower
178 third of the crown of trees adjacent to the cropping areas on the lower terrace
179 and the sole crop plots on the upper terrace, and was repeated before each
180 cropping season; prunings were returned to the plots from which they came.
181 Root pruning was carried out to a depth of 30 cm when the trees were young
182 and 50 cm when they were over three years old. The former represents a

183

184

185

186

187

188 Table 1 shows land-use systems on the upper and lower terrace for eight
189 cropping seasons between March 2000 and March 2004. In the first year,
190 crops were grown among the trees following traditional practice to maximise
191 output and shorten cropping time lost during tree fallows. As the tree
192 canopies began to close, cropping ceased among the trees but continued on
193 the lower terrace. Cropping followed the normal rotation in Kabale in which
194 beans (*Phaseolus vulgaris* cv. K132) and maize (*Zea mays* L. cv. H622) were
195 grown during the short and long cropping seasons. Beans and maize were
196 planted at spacings of 50 x 10 cm and 75 x 30 cm; yields were calculated on a
197 net plot area basis. No inorganic or organic fertilisers were applied.

198

199 Tree performance was assessed from observations of survival, height, basal
200 diameter and diameter at breast height (DBH) for all trees in each replicate of
201 all sub-treatments; these observations began in April 2001 and were
202 repeated 24 and 36 months after tree establishment. Crop performance on
203 the lower terrace was assessed in terms of oven-dry grain yield for material
204 harvested from a net plot area (3 x 6 m), leaving a 1 m guard area at the
205 boundary between adjoining pruning sub-treatment plots and at the interface
206 with the trees; row-by-row measurements examined the effect of distance
207 from the trees. Net plot area for sole crop plots on the upper terrace was 3 x
208 4 m. Freshly harvested grain was dried to constant weight at 80 °C.

209

210 Results were analysed using Genstat (Genstat 5 Release 6.1). As
211 conventional analysis of variance was inappropriate due to the unbalanced
212 experimental design and variability within blocks established by an initial
213 cover crop of beans, the residual maximum likelihood approach (REML) was
214 chosen as this provides reliable estimates of treatment effects in unbalanced

215 designs containing more than one source of error. In Genstat, REML uses
216 linear modelling to analyse variance components and predict means. REML
217 was used to test for significant differences ($p < 0.05$) in crop yield between
218 treatments. Standard errors of the difference between means (SED) and
219 standard errors of the mean (SEM) are presented.

220

221 Mean values for specific treatments provided by REML may vary depending
222 on how treatments are structured in the analysis, providing an explanation
223 for the differing mean crop yields shown in Tables 2 and 3. Table 2
224 compares crop yield adjacent to unpruned trees with sole crop plots; as only
225 the unpruned treatment of all tree-based systems was included in the
226 analysis, the main treatment had one level of sub-treatment. The treatment
227 structure (or fixed model) was covariate+main treatment, while the block
228 structure (or random model) was Block/treatment. Table 3 compares crop
229 yields for all pruning treatments and tree species. In this analysis, species
230 and pruning regime represented the main and sub-treatments. The
231 treatment structure (or fixed model) used was covariate+main treatment*
232 sub-treatment; in both cases, the covariate was yield from the cover crop.
233 When the influence of distance from the trees was examined, an additional
234 'distance' factor was incorporated, creating a split-split plot factor within the
235 analysis. Block structure was Block/species/distance while treatment
236 structure was species*distance.

237 **Results**

238

239 Mean daily maximum and minimum air temperatures during the study period
240 were 24.2 and 11.7 °C (Fig. 2); maximum values were higher and minimum
241 values lower during the dry seasons (March and July-August) than during the
242 rainy seasons (April-June and September-February). Daily saturation vapour
243 pressure deficit (SD) at 1500 h ranged between 0.76 and 1.79 kPa and was
244 generally greatest during the long dry season; SD at 0800 h was invariably
245 <0.2 kPa.

246

247 Tree survival for calliandra and alnus exceeded 90 % and was greater than
248 for the sesbania and mixed tree systems 24 and 36 months after planting
249 ($p < 0.001$; Fig. 3a). Survival of sesbania was 81 % at 24 months and 77 %
250 at 36 months; the mixed system was intermediate between the calliandra
251 and alnus systems and sole sesbania. Despite its poorer survival, tree height
252 was greatest in sesbania at 24 and 36 months and lowest in calliandra
253 ($p < 0.05$; Fig. 3b); values for alnus and the mixed tree system were
254 intermediate between these treatments.

255

256 Figure 4 shows tree height, diameter at breast height (DBH) and survival in
257 the unpruned and shoot+root pruned treatments for the tree row adjacent to
258 the cropping area in the alnus, sesbania and calliandra systems. Although
259 shoot+root pruning was expected to have the greatest impact on tree
260 performance as the most severe management regime, this treatment
261 increased survival in alnus and calliandra 24 months after planting ($p < 0.05$;
262 Fig. 4e) but had no effect on sesbania. After 36 months, survival was
263 unaffected by shoot+root pruning in alnus but was increased in calliandra
264 and decreased in sesbania relative to unpruned trees ($p < 0.01$; Fig. 4f).
265 Mean tree height at 24 months was greatest in sesbania ($p < 0.01$), but
266 decreased slightly between 24 and 36 months (Fig. 4a, b) due to dieback and
267 death of some trees, whereas height in alnus increased ($p < 0.001$); calliandra
268 was shortest at both sampling dates. Pruning reduced height in alnus at

269 both sampling dates ($p < 0.05$) but had no detectable effect on calliandra or
270 sesbania. Similarly, DBH did not differ significantly between species at 24
271 months (Fig. 4c) but was greater in unpruned than in shoot+ root pruned
272 alnus at 36 months ($p < 0.05$; Fig. 4d).

273

274 Crops grown among young trees on the upper terrace during the first two
275 seasons after planting the trees showed differing responses. Maize yield at
276 maturity during the 2000/1 long rains did not differ significantly between sole
277 crop and agroforestry systems, although values were invariably slightly lower
278 in the latter. However, the yield of sole beans during the 2001 short rains was
279 approximately twice that in the agroforestry systems even though planting
280 densities were identical ($p < 0.001$; results not shown).

281

282 Table 2 shows crop yields on the lower terrace adjacent to unpruned trees
283 grown on the upper terrace for six seasons excluding the 2003 short rains
284 when poor rains caused crop failure. Maize yield on the lower terrace was
285 not affected by the presence of trees during the 2000/1 long rains, whereas
286 bean yield was reduced by 39, 37, 24 and 18 % relative to the sole crop in
287 the sesbania, calliandra, alnus and mixed tree systems during the 2001 short
288 rains ($p < 0.05$). Maize yield during the 2001/2 long rains was reduced by
289 >50 % in the calliandra treatment, but by only 2 and 12 % in the sesbania
290 and alnus systems. Similar trends occurred in the 2002 short and 2002/3
291 long cropping seasons and yield losses increased with time in the calliandra
292 and alnus treatments. By contrast, maize yield was greatest in the sesbania
293 system during the 2002/3 and 2003/4 long rains, when the trees were over
294 two years old. The impact of the mixed tree system was comparable to alnus
295 in all seasons.

296

297 Row-by-row analysis of crop yield was used to assess spatial variation in crop
298 performance on the lower terrace adjacent to unpruned trees at distances up
299 to 6 m for maize and 4 m for beans (Fig. 5). Sampling distances differed
300 because waterlogging of the lower terrace associated with its concave profile

301 adversely affected the growth of beans, but not maize. Yield increased with
302 distance from the trees in all treatments and seasons ($p < 0.001$) and sole
303 crop yield also generally increased between the upper and lower terrace.
304 Crop yield was reduced within 3 m of alnus and calliandra in all seasons
305 ($p < 0.001$) but was similar to or exceeded that of sole crops at all distances
306 from sesbania during the 2002/3 and 2003/4 long rains (Fig. 5d, e). The
307 tree species*distance interaction was significant during the first 18 months
308 after tree establishment (2001 short and 2001/2 long rains) but not during
309 the 2002 short and 2002/3 long rains as the trees grew larger, but again
310 became significant during the 2003/4 long rains, when the trees were three
311 years old.

312

313 Pruning alnus and calliandra generally increased maize yield ($p < 0.05-0.001$;
314 Table 3) although there was no consistent difference between pruning
315 treatments. Root+shoot pruning became increasingly effective as the trees
316 aged ($p < 0.05$). Maize benefitted more from root pruning than shoot pruning
317 of alnus at 18 months, but the reverse applied at 30 months. Pruning
318 sesbania did not improve crop yield except for maize in the root+shoot
319 pruning treatment of sole sesbania and the mixed tree system during the
320 2003/4 long rains. Pruning provided no significant benefit for beans in either
321 of the seasons examined.

322 **Discussion**

323

324 Monthly rainfall was greatest during the first half of the long rains
325 (September-November) in all four years (Fig. 2). Daily maximum SD did not
326 exceed 1.8 kPa, reflecting the humid environment of tropical highland areas
327 such as Kabale. Seasonal trends for daily maximum air temperature showed
328 less variation than those for minimum temperature; maximum values were
329 greatest and minimum values lowest during the dry seasons due to the
330 greater radiative exchange associated with limited cloud cover.

331

332 Tree survival was lower in sesbania than in alnus or calliandra 24 and 36
333 months after planting, but height was greatest in sesbania (Fig. 3). Unlike
334 alnus and calliandra, which are trees, sesbania is a short-lived deciduous
335 shrub (Katende et al. 1995). Although some reports suggest 12-18 months
336 is sufficient to reach maturity (Kwesiga and Coe 1994), there is no universal
337 recommendation for its optimal growth period as this depends on planting
338 pattern and density and farmers' objectives. The growth period used here
339 may have exceeded the optimum for sesbania in improved fallows, increasing
340 mortality. The increased survival of calliandra after pruning (Fig. 4) reflects
341 responses seen in previous studies in which pruning young trees enhanced
342 survival and biomass production, whereas older trees showed increased
343 mortality due to their lower re-growth capacity (ICRAF 1994). Although
344 shoot pruning of alnus has been linked to increases in stem diameter and
345 advocated as a strategy for improving timber production in Kabale (Sande
346 2002), root+shoot pruning reduced tree height and DBH in the present study
347 (Fig. 4), and hence woody biomass production. In humid Kerala,
348 Chandrashekara (2007) reported that shoot pruning may increase annual
349 branch and foliage production without affecting DBH, even under more
350 severe pruning regimes than applied here. This contrast may reflect
351 differences in tree age, soil depth and fertility and pruning frequency.

352

353 The absence of significant yield reductions when maize was intercropped with
354 trees on the upper terrace during the 2000/1 long rains suggests that crops
355 may be integrated with trees during establishment of agroforestry systems,
356 particularly when tall species such as maize, which compete effectively for
357 above-ground resources, are used. The observation that the more rapid
358 initial growth of alnus relative to calliandra tended to depress crop yield
359 ($p < 0.01$) contrasts with reports that alnus is less competitive than other tree
360 species (ICRAF 1995). Bean yield in the agroforestry systems was
361 approximately half that of sole crops ($p < 0.001$) during the 2001 short rains
362 when the tree canopies began to close, shading understorey crops. Crop
363 performance may also have been affected by competition for water (Lott et al.
364 2000) as rainfall was lower than in the 2000/1 long rains (Fig. 2).

365

366 Maize yield on the lower terrace was unaffected by unpruned trees on the
367 upper terrace during the 2000/1 long rains (Table 2) as the trees were still
368 too young (*c.* 6 months) to influence associated crops. Lott et al (2000)
369 reported a similar lack of effect during establishment of systems containing
370 *Grevillea robusta* and maize in semi-arid Kenya, although the competitive
371 influence of trees increased as they grew larger and was closely correlated
372 with rainfall. Sesbania was most competitive during the 2001 short rains
373 (Table 2) but subsequently lost leaves, reducing competition with associated
374 crops; maize yield in the sesbania system was similar to or greater than in
375 sole maize during the 2001/2, 2002/3 and 2003/4 seasons. Bean yield was
376 also greatest in the sesbania treatment during the 2002 short rains.

377

378 Seasonal variation in climatic conditions influenced the impact of trees,
379 particularly during the 2002 short rains, when crop yield was lower in all
380 tree-based systems than in sole crops ($p < 0.05$; Table 2). Siriri and Raussen
381 (2003) noted that the differing effects of various tree species on crop
382 performance was less obvious in low rainfall seasons, suggesting that water
383 use differs little between tree species when water supplies are limited as
384 their optimal requirements are not being met, whereas inter-specific

385 variation in the regulation of transpiration becomes important when water is
386 freely available.

387

388 The marked increase in crop yield with distance from unpruned trees in all
389 seasons (Fig. 5) illustrates their potentially detrimental impact, although it
390 should be noted that this trend resulted not only from the decreasing
391 competitive influence of the trees, but also from increasing fertility across the
392 terrace (Raussen et al. 1999; Siriri and Raussen 2003). The latter is evident
393 from the increase in sole crop yield with distance from the notional tree line
394 for all except the 2001 short rains. A possible explanation for the
395 observation that the tree species*distance interaction was significant during
396 the first 18 months after tree establishment (2001 short and 2001/2 long
397 rains), but disappeared during the 2002 short and 2002/3 long rains is that
398 the root systems of all tree species increased in size with time, extending
399 their influence over an increasing proportion of the lower terrace and
400 eliminating the species differences initially observed. The reappearance of a
401 significant species*distance interaction during the 2003/4 long rains, when
402 the trees were three years old, may reflect their contrasting growth
403 characteristics. While sesbania was shedding leaves and showed stem
404 dieback, unpruned calliandra and alnus trees were extending their canopies
405 and shading adjacent crops; the roots of unpruned trees may also have
406 extended further into cropping area, increasing the intensity of below-ground
407 competition.

408

409 Figure 5 suggests that calliandra requires careful management as almost
410 complete crop failure occurred within 4 m of the trees during the 2002/3 and
411 2003/4 long rains. Crop yield adjacent to unpruned trees generally
412 decreased with time, probably due to increased competition and declining soil
413 fertility caused by continuous cropping on the lower terrace without addition
414 of inorganic fertiliser or green manure, supporting previous reports of the
415 unsustainability of traditional continuous cropping systems (Siriri and
416 Raussen 2003). However, it should be noted that suitably managed

417 rotational woodlots on the degraded upper terrace benches may provide
418 valuable services for subsistence farmers, including provision of timber,
419 poles, fuelwood, fodder and mulch without seriously compromising food
420 production, as the upper terrace provides only 5-10 % of total yield when the
421 entire terrace is planted with maize or beans. When woodlots on the upper
422 terrace are harvested, cropping may resume until improvements in soil
423 conditions produced by the trees are exhausted, when the cycle
424 recommences (Siriri and Raussen 2003).

425

426 Root, shoot or root+shoot pruning of alnus and calliandra generally increased
427 crop yield on the lower terrace relative to unpruned treatments for maize but
428 not for beans (Table 3); the beneficial influence of pruning generally ranked
429 in the order alnus>calliandra>tree mixture>sesbania. The yield advantage
430 of pruning calliandra and alnus increased as the trees grew larger and
431 competition increased. The results suggest that shoot pruning provides an
432 effective management strategy to limit the competitive impact of alnus on
433 associated crops but root+shoot pruning is required for calliandra. The
434 limited yield improvement provided by pruning sesbania is unlikely to be
435 attractive as the labour input required would negate any economic benefit.

436

437 The modest crop yield responses observed may reflect the conservative tree
438 shoot pruning regime adopted relative to those advocated by
439 Chandrashekara (2007) in Kerala, i.e. removal of 50-90 % of the canopy; the
440 present pruning regimes were designed to minimise labour requirements and
441 avoid compromising production of fuelwood and green manure for soil
442 improvement. As only the lower third of the canopy was removed from the
443 tree row adjacent to sole crops, this may have been insufficient to eliminate
444 competition for light. Jackson et al. (2000) noted that a similar pruning
445 regime produced no significant improvement in maize yield in systems
446 containing *Grevillea robusta* in Western Kenya. Moreover, the trees were
447 pruned prior to the cropping season, compared to four times annually
448 recommended for systems containing *Senna spectabilis* and maize in Eastern

449 Kenya (Namirembe *et al.*, 2009); nevertheless, the results show that
450 relatively mild shoot pruning of alnus and calliandra may increase maize
451 yield, while root pruning induced significant responses even when a shallow
452 pruning depth was used to ensure this could be achieved using the hoes
453 readily available to subsistence farmers for land preparation and
454 maintenance.

455

456 Interactions between tree species, pruning regimes and effects on associated
457 crops have been reported previously in the semi-arid and sub-humid tropics.
458 Thus, Jones *et al.* (1998) found that removal of half of the crown of *Prosopis*
459 *juliflora* trees grown at 5 m spacings in semi-arid Nigeria reduced their
460 competitive impact on sorghum and increased grain yield at all distances
461 from the trees, whereas pruning of *Acacia nilotica* had little effect; crown
462 pruning not only decreased competition for above-ground resources, but also
463 reduced root length density in *P. juliflora* and competition for below-ground
464 resources. The reductions in root length density in *P. juliflora* were
465 accompanied by corresponding increases in sorghum, tipping the balance of
466 below-ground competition in favour of the crop component. Root pruning of
467 *G. robusta* and *A. acuminata* in semi-arid Kenya to a depth of 0.6 m at a
468 distance of 0.5 m from the tree rows decreased rooting density in the surface
469 soil horizons and greatly reduced water use for nine months after pruning
470 (Ong *et al.* 2007). The reduction in sap flow was most pronounced when
471 transpiration was greatest, especially in the more rapidly transpiring
472 grevillea; daily transpiration rates nine months after pruning were reduced
473 by 25-35 % in root-pruned trees of both species. However, Wajja-Muskwe
474 *et al.* (2008) reported that root pruning five years after planting various tree
475 species, including *A. acuminata*, on deep soils in humid Uganda improved
476 crop yield by 10 % within 0-7 m of the tree rows but reduced yield on the
477 unpruned side of the tree rows, with the result that there was no overall
478 benefit. Thus, whilst root pruning at the interface between trees and crops
479 on terraces was effective in the present study, the application of one-sided
480 pruning in other systems may simply redirect competitive interactions.

481 **Conclusions**

482 Previous research suggests that shoot pruning reduces above-ground
483 competition and may limit competition by inducing root mortality and
484 redirecting the partitioning of assimilates in favour of shoot regrowth during
485 crop establishment. The present study shows that short-lived sesbania
486 fallows may be grown on the upper section of terraces with little impact on
487 crop yield on the lower terrace, although pruning of alnus and calliandra was
488 essential to sustain crop yield. Root+shoot pruning was generally effective in
489 controlling competition, whereas the relatively light shoot pruning imposed
490 was ineffective for calliandra. As expected, the tree mixture had
491 intermediate effects on crop yield. The relatively mild pruning regimes used
492 did not entirely eliminate competition between trees and crops, and beans
493 were more sensitive than maize. The contrasting responses of these species
494 may reflect differing growth conditions during the short and long rains as the
495 lower rainfall and its poorer distribution in the former may have restricted
496 the ability of beans to respond to reduced competition induced by pruning.
497 As the impact of pruning on tree/crop interactions differs between species,
498 careful selection and management are vital to determine the success of
499 agroforestry systems, particularly when water supplies are limiting.

500

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615 **Table 1.** Land-use systems used on the upper and lower terrace sections during eight
 616 consecutive cropping seasons at Kabale, Uganda.

617

Terrace position	Land use system and cropping season							
	2000 short rains	2000/1 long rains	2001 short rains	2001/2 long rains	2002 short rains	2002/3 long rains	2003 short rains	2003/4 long rains
Upper	*Beans	Trees+maize	Trees+beans	Trees	Trees	Trees	Trees	Trees
Lower	*Beans	Maize	Beans	Maize	Beans	Maize	Beans (failed)	Maize

618
 619
 620 ↑ ↑
 621 Alnus & calliandra Sesbania planted
 planted

622 *Initial crop to characterise site variability; results were used as a covariate for statistical
 623 analysis of data for all subsequent seasons

624 **Table 2.** Impact of unpruned trees grown on the degraded upper terrace bench on crop
 625 yield at maturity on the more fertile lower terrace at Kabale, Uganda.

626

Treatment	Cropping season					
	2000/1	2001	2001/2	2002	2002/3	2003/4
	long rains	short rains	long rains	short rains	long rains	long rains
	Maize yield [kg ha ⁻¹]	Bean yield [kg ha ⁻¹]	Maize yield [kg ha ⁻¹]	Bean yield [kg ha ⁻¹]	Maize yield [kg ha ⁻¹]	Maize yield [kg ha ⁻¹]
Alnus	3029	947	2178	308	866	1570
Calliandra	2926	781	1202	239	199	455
Sesbania	ND ^a	757	2418	453	1359	2717
Tree mixture	3131	1015	1978	399	876	1081
Sole crop	3369	1238	2468	579	1300	2105
SED ^b	400 ^{ns}	140 ^{***}	347 ^{***}	128 [*]	378 ^{**}	760 ^{**}

627 ^aND - No data available as Sesbania was planted in March 2001 (*cf.* Materials and Methods).

628 ^bSED - standard error of the difference for comparing treatment means; *, ** and *** denote
 629 significance at p<0.05, 0.01 and 0.001 respectively; ns, not significant).

630 **Table 3.** Effect of root, shoot or root+shoot pruning of trees grown on the degraded
 631 upper terrace bench on the yield of maize and bean crops grown on the more fertile
 632 lower terrace during five cropping seasons at Kabale, Uganda.

Tree management	Cropping season				
	2001	2001/2	2002	2002/3	2003/4
	short rains	long rains	short rains	long rains	long rains
	Bean yield [kg ha ⁻¹]	Maize yield [kg ha ⁻¹]	Bean yield [kg ha ⁻¹]	Maize yield [kg ha ⁻¹]	Maize yield [kg ha ⁻¹]
<i>Alnus acuminata</i>					
Unpruned	984	2191	301	738	1237
Root pruned	812	2246	382	780	1354
Shoot pruned	941	1652	468	1524	1740
Root+shoot pruned	1045	2789	560	1030	2013
SED ^a	124 ^{ns}	510*	157 ^{ns}	416*	302*
<i>Calliandra calothyrsus</i>					
Unpruned	791	1502	296	123	739
Root pruned	832	2699	239	460	620
Shoot pruned	900	1662	360	418	318
Root+shoot pruned	763	2497	346	868	1078
SED ^a	94 ^{ns}	535 ^{***}	62 ^{ns}	225 ^{**}	192 ^{***}
<i>Sesbania sesban</i>					
Unpruned	704	2206	404	1220	2773
Root pruned	783	1862	515	1279	2068
Shoot pruned	849	2039	491	1178	2929
Root+shoot pruned	809	2233	560	1349	3313
SED ^a	158 ^{ns}	299 ^{ns}	97 ^{ns}	231 ^{ns}	458*
<i>Tree mixture</i>					
Unpruned	981	1553	347	841	1039
Root pruned	935	1936	476	1231	2033
Shoot pruned	1034	1970	342	644	1047
Root+shoot pruned	1039	1717	482	668	2110
SED ^a	91 ^{ns}	628 ^{ns}	152 ^{ns}	203 ^{ns}	481*

633 ^aSED - standard error of the difference for comparing treatment means; *, ** and *** indicate
 634 significance at p<0.05, 0.01 and 0.01 respectively; ns, not significant.

635 **List of legends**

636

637 **Fig 1** Experimental design: main treatments on upper terrace were sole
638 stands of alnus (Al), calliandra (Call), sesbania (Ss), a mixture of all three tree
639 species and a sole crop control treatment (C). Sub-treatments were shoot
640 pruning (s), root pruning (r), root+shoot pruning (rs) or no pruning (np).
641 Unshaded areas show sole crop control plots (C)

642

643 **Fig 2** Saturation vapour pressure deficit (SD) at 0800 and 1500 h, maximum
644 and minimum air temperatures and total monthly rainfall during the study
645 period at Kabale, Uganda. Data provided by the Meteorological Department,
646 Kabale District Government

647

648 **Fig 3** Timecourses of (a) mean tree survival and (b) mean tree height for all
649 trees within the main treatment plots at Kabale, Uganda. Double standard
650 errors of the mean are shown

651

652 **Fig 4** Effect of root+shoot pruning on mean tree height (a & b), stem diameter
653 at breast height (DBH, c & d) and survival (e & f) for the tree row closest to
654 the cropping area at 24 (a, c, e) and 36 months (b, d, f) after planting at
655 Kabale, Uganda. Single standard errors of the mean are shown

656

657 **Fig 5** Influence of unpruned trees on yield at maturity of maize and beans at
658 various distances from the trees during the 2001 and 2002 short rains
659 (beans) and 2002/2, 2002/3 and 2003/4 long rains (maize) at Kabale,
660 Uganda. SED denotes standard error of the difference for the
661 species*distance from tree interaction for crop yield

662 Siriri et al Figure 1

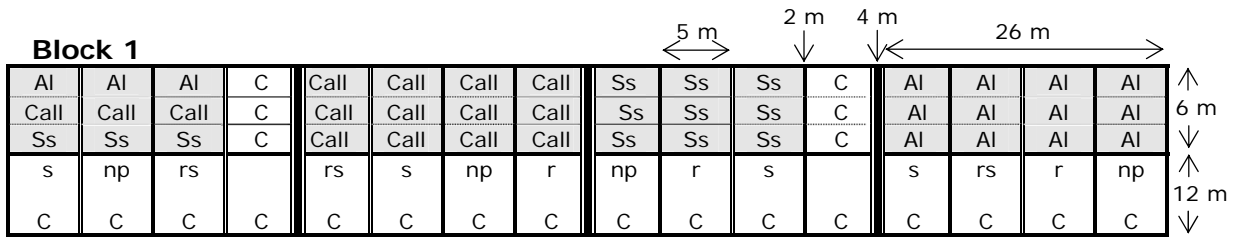
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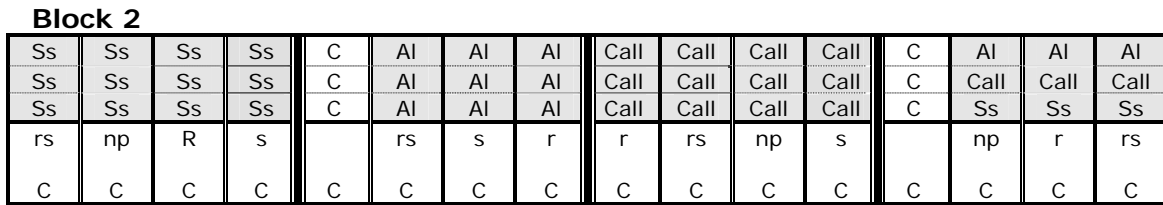
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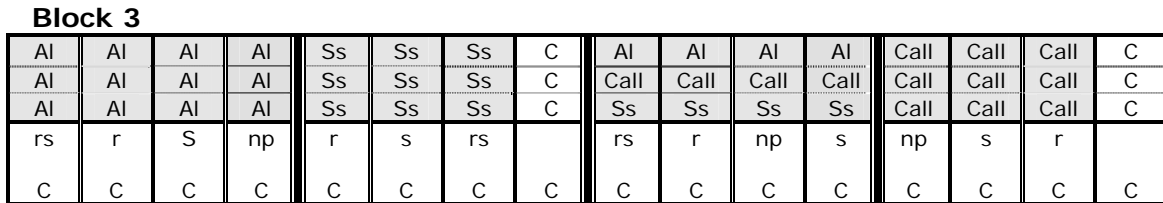
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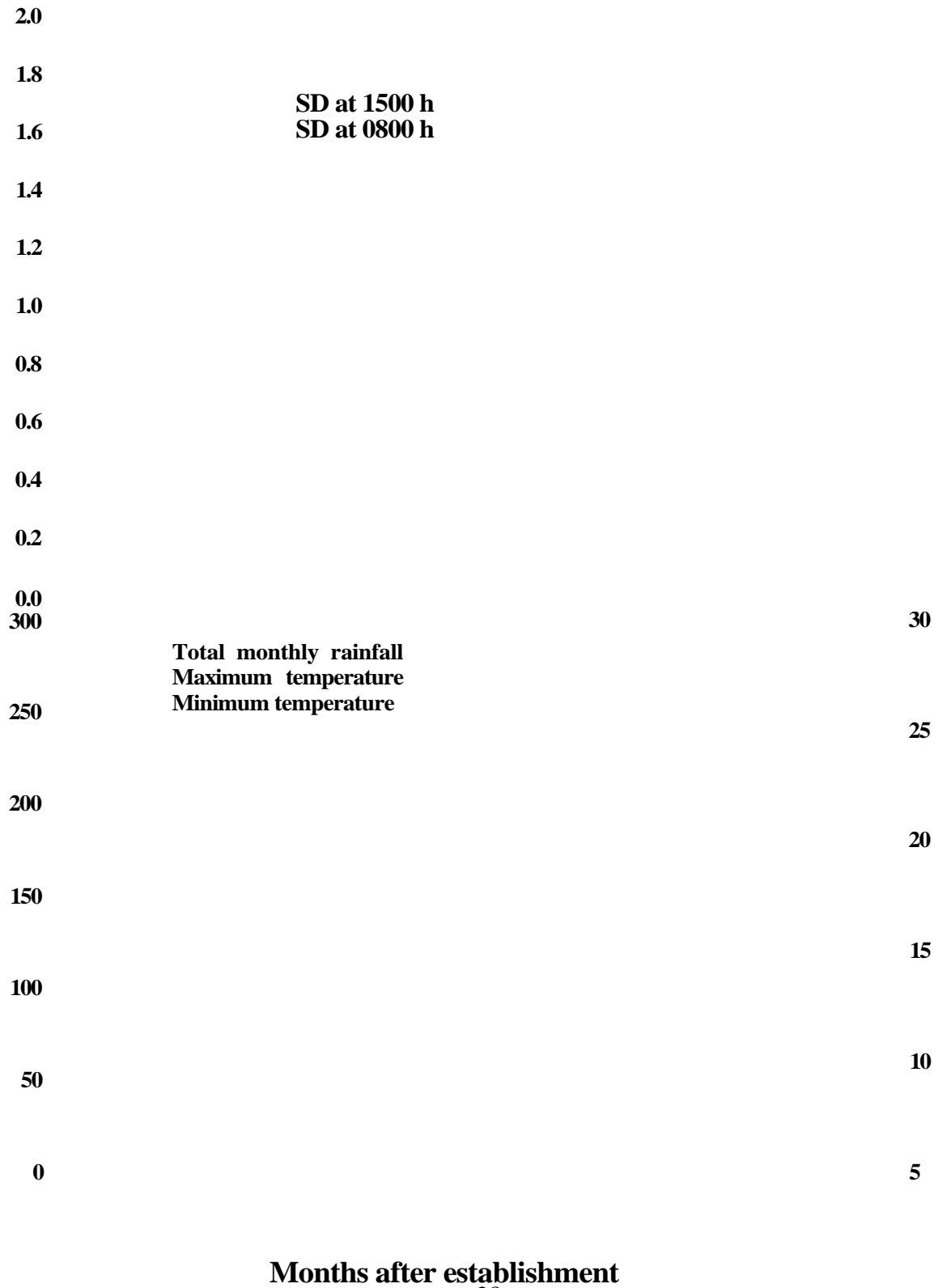
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Siriri et al Figure 2



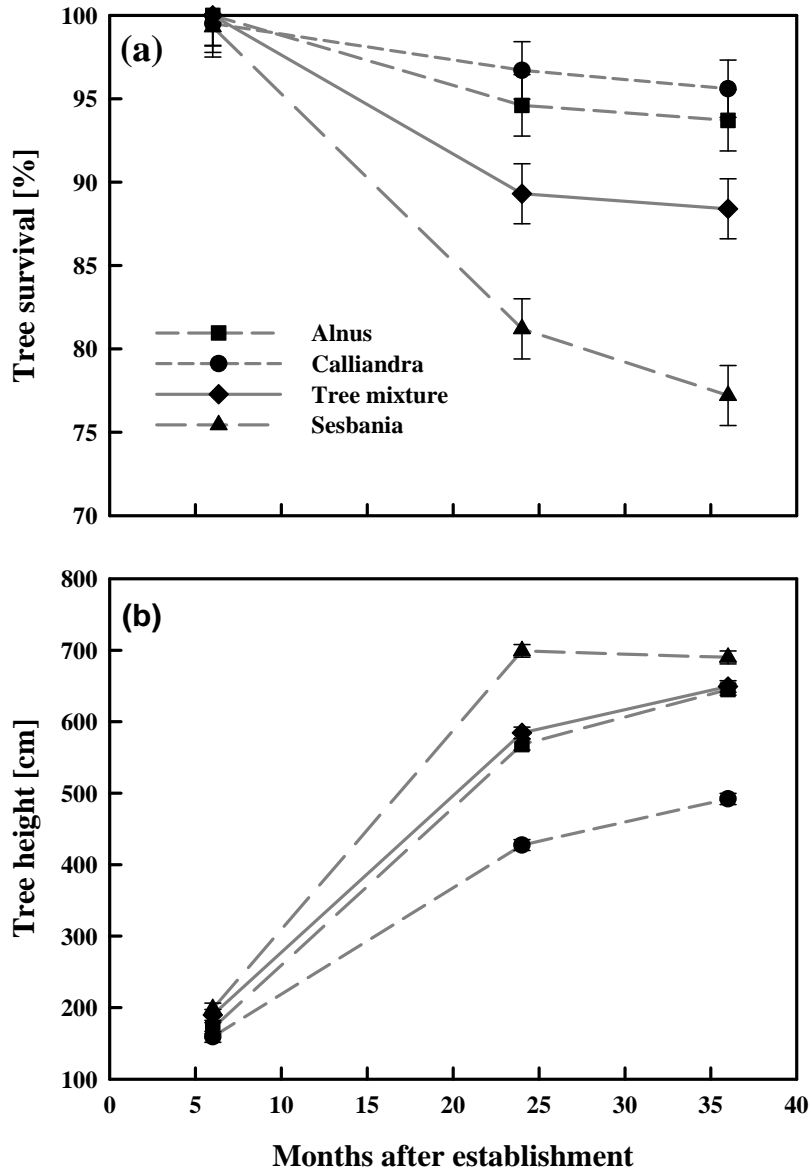
673 Siriri et al Figure 3

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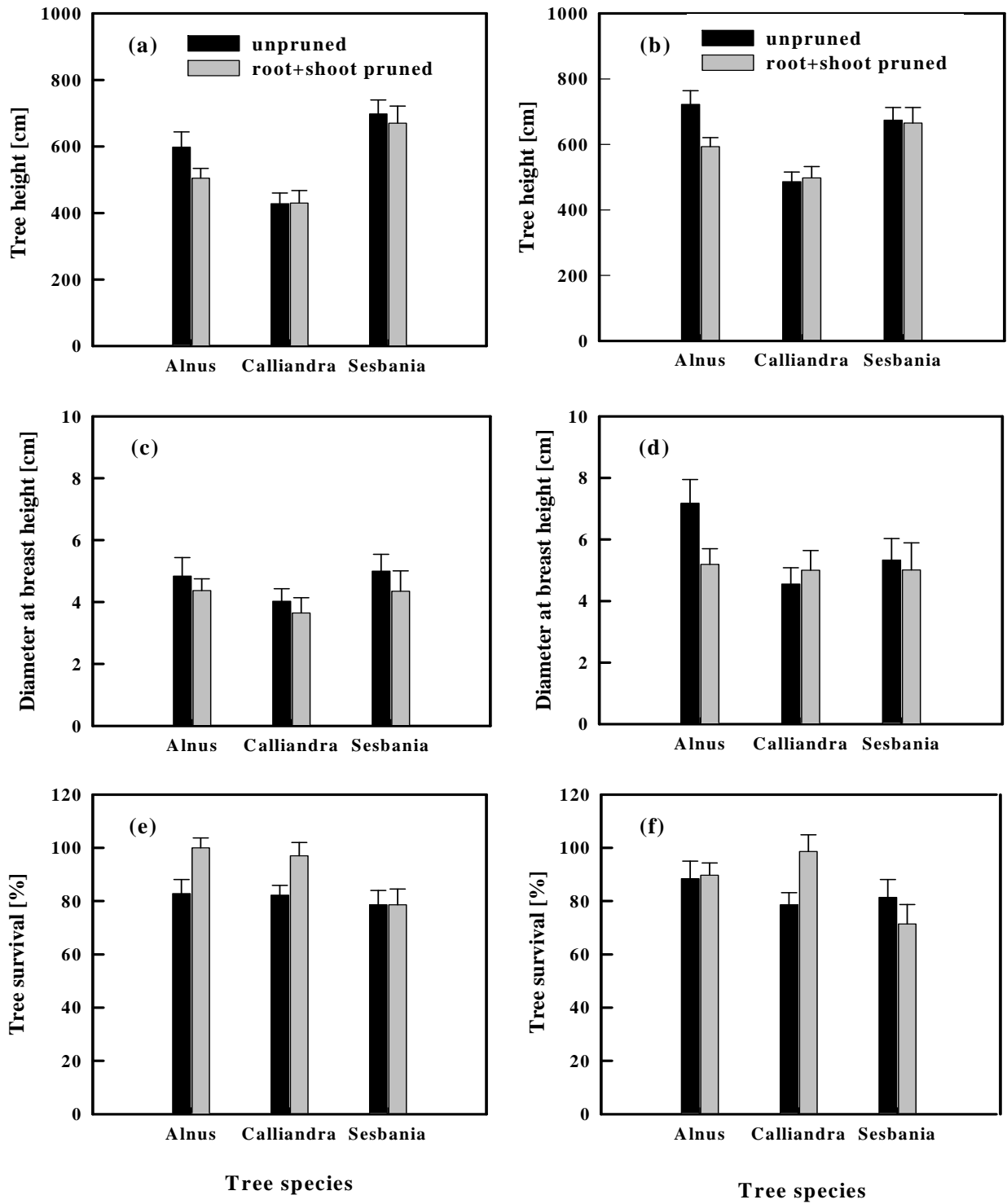
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Siriri et al Figure 4



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