



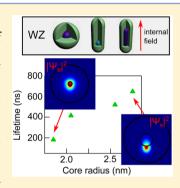
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Piezoelectric Control of the Exciton Wave Function in Colloidal CdSe/ **CdS Nanocrystals**

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Supporting Information

ABSTRACT: Using multiband $k \cdot p$ calculations, we show that strain-engineered piezoelectricity is a powerful tool to modulate the electron-hole spatial separation in a wide class of wurtzite CdSe/CdS nanocrystals. The inherent anisotropy of the hexagonal crystal structure leads to anisotropic strain and, consequently, to a pronounced piezoelectric field along the caxis, which can be amplified or quenched through a proper design of the core-shell structure. The use of large cores and thick shells promotes a gradual departure from quantum confined nanocrystals to a regime dominated by piezoelectric confinement. This allows excitons to evolve from the usual type-I and quasi-type-II behavior to a type-II behavior in dot-in-dots, dot-in-rods, rod-in-rods, and dot-in-plates. Piezoelectric fields explain experimental observations for giant-shell nanocrystals, whose time-resolved photoluminescence reveals long exciton lifetimes for large cores, contrary to the expectations of standard quantum confinement models. They also explain the large differences in exciton lifetimes reported for different classes of CdSe/CdS nanocrystals.



he spatial separation between electrons and holes in colloidal semiconductor nanocrystals (NCs) is a critical parameter that impacts the exciton emission lifetime, Auger recombination rate, 2-4 electron-hole exchange interaction, 5 charge separation time,⁶ and other properties of interest for optoelectronic devices such as LEDs, lasers, photovoltaic cells, or photocatalysts. Control of the electron-hole separation is usually achieved by means of band gap engineering in core/ shell hetero-NCs. An additional control mechanism was proposed for CdTe/ZnSe NCs, which exploited the epitaxial strain arising from the large lattice mismatch between the two materials (13.4%).8 The growth of a thick compressive shell around the core shifts the core band edges via the deformation potential, leading to a gradual transition from (unstrained) type-I band alignment to a (fully strained) type-II one. Subsequently, the influence of strain on the band structure and electron-hole wave functions was investigated in other core/shell structures including CdSe/CdTe (6.7% lattice mismatch), ^{6,9} ZnSe/ZnTe (7%), ^{10,11} and CdS/ZnS (7%). ^{12–14}

In materials with a smaller lattice mismatch, strain-driven localization of carriers is less efficient, as the band edges are shifted only moderately. This is unfortunate because weakly strained NCs are less prone to interfacial defects and, hence, are preferred for their higher photoluminescence quantum yields. 15 This is the case of CdSe/CdS NCs, 16 (4.4% for zinc-blende (ZB), 4% and 3.8% along the a and c axes in wurtzite (WZ)), which are structures of particular interest owing to their monodispersity, reduced blinking, narrow emission line width and high quantum yield. 17,18 Several types of core-shell CdSe/ CdS heterostructures have been synthesized in the past decade: dot-in-dots (DiDs), $^{2,15,17-21}$ dot-in-rods (DiRs), $^{22-25}$ dot-in-plates (DiPs), 26 rod-in-rods (RiRs), 27,28 tetrapods, 29,30 and octapods.³¹ Carrier localization in these systems is generally assumed to be set by quantum confinement. The smaller gap of CdSe favors localization of both electron and hole inside the core (type-I exciton), but as the core size decreases, the electron kinetic energy allows it to overcome the CB offset barrier, delocalizing over both core and shell (quasi-type-II exciton). 2,5,24,32 Note, however, that the spatial separation between electron and hole is typically restricted to small core systems and is ultimately limited by Coulomb interaction, which binds the electron to the vicinity of the hole. 32,33

Very recently, a study on CdSe/CdS RiRs with giant core and shell reported extremely long exciton lifetimes (up to 4400 ns).²⁸ This is 1 order of magnitude longer than any reported values for giant-shell CdSe/CdS DiDs^{5,18} and two longer than those of DiR or core-only NCs, 24,28 which reflects a truly type-II behavior with well separated electrons and holes. It was shown that such exotic properties followed from the straininduced piezoelectric (PZ) charges arising at the CdSe/CdS interfaces along the WZ c axis. Strain-induced PZ fields have been shown to be important in several epitaxial structures, including CdSe/CdS superlattices and III–V quantum dots. This raises the question of how disruptive they can be in colloidal structures, if they are only important in large RiRs due to the anisotropic shape and weak longitudinal

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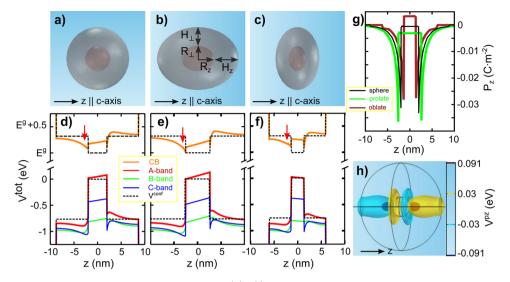


Figure 1. (a)—(c) schematic of spherical, prolate, and oblate DiDs. (d)—(f) CB and VB confining and total potential for a spherical DiD with core radius R = 2 nm and shell thickness H = 7 nm (d), prolate DiD with aspect ratio 1.3:1 ($R_z = 1.3R_{\perp}$ and $H_z = 1.3H_{\perp}$) (e) and oblate DiD with aspect ratio 0.7:1 (f). (g) strain-induced polarization along the c-axis for the three kinds of DiD. (h) isosurface representation of the piezoelectric potential for the spherical DiD. In (d)—(f), E^g is the bulk band gap.

confinement or if it is possible to use them as an efficient charge separation mechanism in other kinds of WZ NCs, with smaller core dimensions and different shapes. Potential signatures of PZ fields have been observed in CdSe/CdS DiDs^{37,38} and DiRs,³⁷ but it has been mostly overlooked because calculations for a few particular structures deemed it a minor effect. ^{16,37,39} In this Letter, we show that with appropiate structural design, piezoelectricity indeed becomes a major factor determining the electron—hole separation in most kinds of WZ CdSe/CdS NCs.

We consider excitons in CdSe/CdS NCs where both core and shell present WZ structure. A few theoretical considerations are useful for the discussion of the results. The excitonic electron and hole Hamiltonians read

$$H_j = H_j^{\text{kin}} + V_j^{\text{conf}} + V_j^{\text{str}} + V_j^{\text{pz}} + V_j^{\text{e-h}}$$
 (1)

where j = e or h stands for electron or hole, $H_j^{\rm kin}$ is the kinetic energy term, $V_j^{\rm conf}$ the confining potential defined by the band offsets between bulk CdSe and CdS, $V_j^{\rm str}$ the strain induced deformation potential, $V_j^{\rm pz}$ the strain induced PZ potential and $V_j^{\rm e-h}$ is Coulomb attraction exerted upon carrier j by the other carrier. Special attention will be paid to the PZ potential term. In WZ, strain shifts the atomic nuclei inducing a PZ polarization vector

$$\mathbf{P} = \begin{pmatrix} e_{15} \epsilon_{xz} \\ e_{15} \epsilon_{yz} \\ e_{31} (\epsilon_{xx} + \epsilon_{yy}) + e_{33} \epsilon_{zz} \end{pmatrix}$$
(2)

where e_{rs} are PZ coefficients and ϵ_{ij} strain tensor components. Notice that the polarization along the c-axis, P_z is particularly important because it involves diagonal strain components, which are larger than the off-diagonal (shear) ones. Because $e_{33} \approx -2e_{31}$, sizable polarization P_z is expected when strain is anisotropic, that is, $(\epsilon_{xx} + \epsilon_{yy})/2 \neq \epsilon_{zz}$. The polarization is different in core and shell materials, as they experience different strain forces and have different piezoelectric coefficients. As a consequence, PZ charges $\rho(\mathbf{r}) = -\nabla \mathbf{P}$ arise near the interface. Again, these are especially important along the c axis. The PZ

charges give rise to a PZ field according to the Poisson equation, $\nabla \epsilon(\mathbf{r}) \nabla \phi^{\mathrm{pz}}(\mathbf{r}) = -4\pi \rho(\mathbf{r})$, and the PZ potential is finally obtained as $V_j^{\mathrm{pz}} = \pm q \phi^{\mathrm{pz}}$, where plus and minus sign apply to j = e and j = h, respectively, and q is the electron charge.

We start by investigating spheroidal DiDs like those illustrated in Figure 1a-c. Consider first a fully spherical DiD. Figure 1(d) shows the CB and VB potential profiles. Dashed lines represent the confinement potential V^{conf} , whereas solid lines represent the total single-particle potential, including strain-induced deformation potential and PZ terms, $V^{\text{tot}} = V^{\text{con}}$ + V^{str} + V^{pz} . By inspecting the CB potential, orange line, one can see that the inclusion of $V^{\text{str}} + V^{\text{pz}}$ has three important effects. First, the core potential is shallower. This is a consequence of $V^{\rm str}$ (see Figure S1 in the Supporting Information (SI) or ref 16). Second, the core bottom develops a built-in PZ field of 15 mV/nm. This is a consequence of the PZ term, V^{pz} (see Figure S1 in SI or ref 16). Third, and most important, because the CB potential is shallow, the positive PZ charges accumulating at the bottom CdSe/CdS interface form a potential well in the shell where electrons can be trapped (red arrow in Figure 1d).

For holes the situation is different. We have three subbands: A-, B- and C-band. All three subbands are shifted upward by $V^{\rm str}$. Contrary to electrons, this now results in a slightly deeper confinement. The effect of $V^{\rm pz}$ in the core is the same as for electrons, but owing to the different charge sign, holes will be pushed to the upper CdSe/CdS interface, thus favoring electron—hole separation. On the other hand, because the A-band confinement potential $V^{\rm conf}$ is very deep (see dashed lines), the negative PZ charges accumulating at the top CdSe/CdS interface do not suffice to localize the hole ground state outside the core.

Considering a prolate DiD instead of spherical, the PZ field increases (up to 23 mV/nm in Figure 1e). Conversely, for an oblate DiD the field is reduced and eventually the sign is even reversed, see Figure 1f.

The presence of a significant PZ field in the spherically symmetric DiD implies that even for structures with isotropic confinement, the inherent anisotropy of the WZ lattice leads The Journal of Physical Chemistry Letters

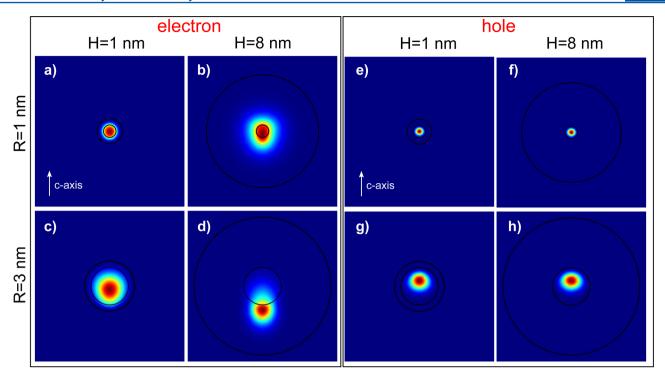


Figure 2. (a)—(d) Excitonic electron charge density in spherical DiDs with different core radius R and shell thickness H. (e—h) Same for excitonic hole. The electron moves into the shell for large cores and thick shells, panel d.

pronounced polarization near the CdSe/CdS interface, see black line in Figure 1g and notice the different weight of ϵ_{zz} and $\epsilon_{\perp}=(\epsilon_{xx}+\epsilon_{yy})/2$ in Figure S2 of the SI. This leads to a mostly dipolar PZ potential, as shown in Figure 1h. The strain anisotropy can be conveniently manipulated by using anisotropic geometries. Prolate structures favor $|\epsilon_{zz}|>|\epsilon_{\perp}|$ in the core, whereas oblate ones do the opposite, see Figure S2 in SI. This translates into more negative (positive) P_z values, see green (brown) line in Figure 1g. We then conclude that the shape of core/shell structures can be used to enhance, cancel or reverse PZ fields.

The next question is whether the magnitude of the attainable PZ fields is enough to influence excitonic wave functions in realistic DiDs. In Figure 2, we compare the exciton electron (a–d) and hole (e–h) charge densities for spherical DiDs with different dimensions. For small cores and thin shells (R=1 nm, H=1 nm), both electron (Figure 2a) and hole (Figure 2e) are centered in the core. Growing a giant shell (H=8 nm) instead increases the core compression. As a result, $V^{\rm str}$ reduces the CB confinement barrier (recall Figure 1d) and the electron wave function starts leaking into the shell in spite of the Coulomb attraction, Figure 2b. The hole, by contrast, remains in the core because of the high confinement barrier, Figure 2f.

If the core is large enough (R = 3 nm) but the shell is thin (H = 1 nm), electron and hole are pushed toward opposite sides of the core along the c-axis by the PZ field, Figure 2c,g, but the resulting overlap is still substantial. The most remarkable effect of piezoelectricity takes place when a large core is surrounded by a giant shell. As can be seen in Figure 2d, in this case the electron escapes from the core and localizes in the shell near the CdSe/CdS interface. The electron is actually taking advantage of the potential minimum originated in the positive PZ interface charge, red arrow in Figure 1d. Because the hole remains in the core, Figure 2h, the spatial separation between the two carriers becomes large. In other words, by using large

CdSe cores and growing giant CdS shells around, a gradual transition from type-I to type-II exciton driven by PZ is feasible.

For a comprehensive view of the effect of PZ on the exciton wave function of DiDs, we compute the electron—hole overlap integral squared, $S_{\rm eh}^2 = \langle \Psi_{\rm e} | \Psi_{\rm h} \rangle^2$, which is directly proportional to the radiative exciton decay rate. In Figure 3, we compare the overlap (a) excluding and (b) including strain and PZ effects ($V^{\rm str}$ and $V^{\rm pz}$). The first case corresponds to DiDs governed by quantum confinement and Coulomb interactions only, which is the scenario assumed so far in the literature. The results are

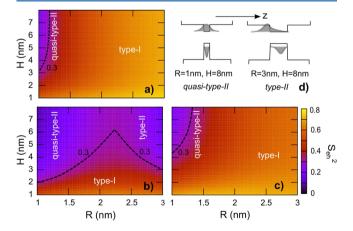


Figure 3. Electron—hole overlap squared for excitons confined in spherical WZ DiDs without (a) and with (b) strain and piezoelectric effects. The inclusion of strain and PZ reduces overlaps (compare the (dashed) isoline for $S_{ch}^2 = 0.3$ in the two panels) and introduces a type-II regime for large cores and thick shells. (c) Electron (top) and hole (bottom) charge densities along the c axis for two DiDs of panel (b), evidencing quasi-type-II (R = 1 nm) and type-II (R = 3 nm) exciton character. (d) Same as (a) and (b) but for strained ZB DiDs. The absence of PZ in this case translates into absence of type-II regime.

essentially the same as described, for example, in Figure 1f of ref 2. For core radii R = 1-1.5 nm, increasing the shell thickness H leads into a quasi-type-II regime, where the electron leaks into the shell due to the high kinetic energy in the core. For larger R, the electron stays inside the core no matter how thick the shell, and a type-I exciton is obtained with strong overlap values, similar to core-only samples.

The behavior changes drastically when strain and PZ effects are taken into account, Figure 3b. For thick shells, the quasitype-II regime extends toward larger core radii ($R \lesssim 2$ nm). This is a consequence of strain making CB confinement shallower (see also S_{eh}^2 for $V^{\text{str}} \neq 0$ and $V^{\text{pz}} = 0$ in Figure.S4 of SI). Moreover, with further increasing core radius ($R \gtrsim 2.5$ nm), instead of retrieving a type-I behavior the overlap decreases again. This is a consequence of the formation of PZ induced type-II excitons, as shown in Figure 2d,h. We stress that the nature of the reduced $S_{\rm eh}$ values is different on both sides of the figure. For small R (quasi-type-II regime), it is driven by the strong core confinement. For large R (type-II regime), it is driven by strain-induced PZ. The different localization of electron and hole charge densities in each case is clearly seen in Figure 3c. For comparison, in Figure 3d we also represent S_{eh} for ZB core/ZB shell CdSe/CdS DiDs. Strain is still present in such structures, but the dipolar PZ potential is quenched because of the cubic lattice symmetry. The resulting behavior is similar to that of unstrained WZ NCs, Figure 3a, and it is qualitatively different from that of realistic WZ DiDs, Figure 3b. We shall see below that this difference between WZ and ZB DiDs is fully consistent with experimental data.

The PZ control of the electron—hole overlap of WZ DiDs we report in Figure 3b, which should have important consequences on exciton lifetimes, electron—hole exchange integrals, and so forth, is robust against deviations from sphericity (Figure S5 in SI). Since the actual value of the CB offset is often discussed, 32 we have also studied the effect of changing from 0.32 eV (the value used in Figure 3) to a lower estimate of 0.20 eV. The results are qualitatively unchanged, but the overlap values in the type-II regime become manifestly lower than those in the quasitype-II one, see Figure S6 in SI. It is also worth noting that although the PZ field is very efficient in separating carriers, its influence on the energy is modest. The exciton energy with and without strain differs at most in few tens of millielectronvolts (see Figure S7 in SI and ref 16).

To test the above predictions, we synthesized two series of giant-shell WZ DiDs with variable CdSe core radii and CdS shell thickness of about 20 and 15 ML, respectively (Figure 4a, see table S2 in SI for structural and optical properties). The resulting PL peak position varies between 648 and 663 nm and the time-resolved PL traces show a nonexponential decay (see Figure 4b,c for the 20 ML shell thickness series or Figure S10 in SI for the 15 ML one). Figure 4c shows that as the core size increases, we observe a slower decay. Note however that previous measurements¹⁸ suggested the opposite, and the series with 15 ML shell thickness does not reveal a clear trend; hence, further work remains needed to firmly establish this behavior. Corresponding lifetimes for both 20 and 15 ML shell DiDs are plotted in Figure 4d using solid and open symbols, respectively. The values are calculated either from the time when the PL signal has decayed to 1/e (dots) or $1/e^2$ (triangles) of its initial value, or from a multiexponential fit (diamonds) to the decay trace (see SI for the analysis and summary of all components). Regardless of the core size dependence, the long lifetimes, especially for the large-core samples, are in clear contrast to

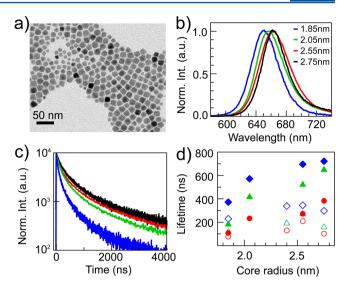


Figure 4. (a) Typical transmission electron microscope image of giant-shell DiDs (core radius 2.05 nm, 20 ML shell). (b) PL spectra for four different DiDs with 20 ML shell. (c) Corresponding PL decay traces. (d) Resulting effective lifetimes determined from the 1/e decay time (dots), $1/e^2$ decay time (triangles), and from a fit to the decay traces using a multiexponential function (diamonds, see SI for details). Solid (open) symbols are used for the 20 ML (15 ML) shell thickness series. The thinner shell gives shorter lifetimes. In general, lifetimes largely exceed those of giant-shell ZB DiDs.

expectations from the usual confinement picture for CdSe/ CdS, where electron and hole states are expected to become localized into the core, approaching lifetimes of core-only CdSe NCs (ca. 15-20 ns). Such long lifetimes are indicative of a type-II regime, and they support the theory of PZ fields as an efficient mechanism of charge separation. Our values also exceed measurements of fluorescence lifetimes in ZB CdSe/ CdS DiDs with moderately large cores ($R \approx 1.5 \text{ nm}$) and thick shells ($H \approx 5.5$ nm) which have yielded values of about 30 ns.²¹ This is again consistent with the theoretical predictions of Figure 3, which showed that PZ in thick-shell WZ DiDs leads to reduced electron-hole overlap as compared to ZB ones. Moreover, the strong differences in PL lifetime between the two samples series studied here highlight the sensitivity of the final lifetime to the shell thickness, even when it is grown to a regime where strong quantum confinement no longer influences the optical properties. This provides extra confirmation on PZ playing a significant role in giant-shell NCs with WZ crystal structure.

Having confirmed the influence of PZ fields in DiDs, we next probe other WZ CdSe/CdS structures such as DiRs, DiPs and RiRs, which we model as ellipsoids with different degrees of anisotropy and different orientation of the c axis. Let us consider first DiRs. Experiments available in the literature are generally consistent with a quantum confinement model, with a type-I band alignment and conduction band offsets between 0.1 and 0.3 eV. With decreasing core size, the excitons change from type-I to quasi-type-II behavior, $^{24,32}_{24,25,40}$ yielding room temperature lifetimes between 8 and 40 ns. $^{24,25,40}_{24,25,40}$ The absence of apparent PZ effects can be understood from two factors. First, typical cores for DiRs are small ($R \lesssim 2$ nm). The PZ dipole moment is then weak and, as noticed above (Figure 2 and Figure 3), $V^{\rm PZ}$ has a minor influence. Second, the shell surrounding the core is thin on the lateral sides (usually 1 nm or less). As compared to the giant shells of DiDs, the thin lateral shell of DiRs allows the

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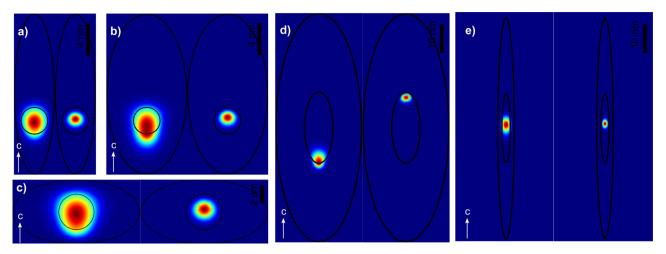


Figure 5. Excitonic charge density in different types of CdSe/CdS NCs. In each structure, the left (right) panel shows the electron (hole) density. (a) DiR with standard dimensions. (b) DiR with thicker lateral shell. The electron starts moving into the shell. (c) DiP with standard dimensions. (d) RiR with large core and giant shell. The charge separation is extremely efficient. (h) RiR with thinner core and shell. The charge separation is suppressed.

core to dilate, relaxing the strain in orthogonal directions. Consequently, the strain near the CdSe/CdS interfaces along the c axis, which is chiefly responsible for P_z , is reduced, see for example, Figure 4 in ref 41. The overall result is that PZ effects in DiRs are weak. This is precisely what we see in Figure 5a, where we plot the exciton electron and hole charge densities in a DiR: the carriers are well confined inside the core in spite of the relatively large size we have assumed (R = 2 nm). We note, however, that the picture would change if thicker shells could be grown, increasing the strain of the system. As shown in Figure 5b, keeping the same rod length as before but increasing H_{\perp} , the electron already starts moving toward the CdS shell, reducing the electron-hole overlap. We note that the enhancement of PZ fields with radial shell thickness explains recent experiments of Coropceanu et al.,42 where the exciton lifetime of DiRs steadily increased from 20 to 60 ns with increasing shell thickness. For very thick shells, we predict a complete migration of the electron into the shell (Figure S8a in SI), so even longer lifetimes should be expected.

DiPs differ from DiRs mainly in that the shell is nearly two-dimensional, with the *c* axis pointing along the strong confinement direction. ²⁶ Yet, their behavior in terms of PZ-induced electron delocalization (Figure 5c) is partly analogous to that of DiR: the thin shells along the *c* axis weaken the strain. This in turn leads to weak PZ effects and carriers localized inside the core. As in the case of DiRs, electron—hole distance could be readily increased if thicker shells were grown (Figure S8b).

The most favorable condition to maximize PZ effects is however achieved in recently synthesized RiRs.²⁸ The giant shell grants strong strain. The prolate shape makes strain highly anisotropic. The long core allows for huge dipole moments, and longitudinal quantum confinement is too weak to compete. The result, as plotted in Figure 5d, is that electron and hole are separated toward opposite CdSe/CdS interfaces along the *c* axis, which explains the record exciton lifetimes measured in these structures.²⁸ It is worth stressing that the giant shell plays a decisive role in RiRs too. RiRs with smaller core and shell width were previously synthesized by Sitt and co-workers, but long exciton lifetimes were not reported in such a case.²⁷ We simulate RiRs with similar dimensions to theirs in Figure 5e. As

can be seen, in spite of the high aspect ratio and the weak longitudinal confinement, charge separation is completely suppressed, with both electron and hole localizing inside the core. The underlying reason is again the weaker strain of the system, which results in a PZ field unable to compete against electron—hole Coulomb interaction.

An important conclusion from Figure 5 is that PZ successfully rationalizes the very different exciton lifetimes reported for different kinds of WZ CdSe/CdS NCs. In RiRs, ²⁸ lifetimes can be 1 order of magnitude longer than those in giant-shell DiDs (ref 18 and Figure 4), and these in turn are about 1 order of magnitude longer than those in DiRs. ²⁴ This result cannot be interpreted in terms of quantum confinement because all structures have voluminous shells, but it is perfectly consistent with the different degrees of PZ-induced electronhole separation we calculate.

To summarize, we have elucidated the conditions where strain-induced piezoelectricity becomes a practical mechanism for electron—hole spatial separation in several kinds of WZ CdSe/CdS NCs. The PZ field requires anisotropic strain, which is present even in spherical heterostructures due to the anistropy of the WZ lattice. PZ charges thus accumulate on the CdSe/CdS interfaces, forming a dipole and a sizable built-in field along the c axis, which enables directional charge separation. The magnitude of the PZ field can be enhanced using thick shells all around the core, which increase the strain in and around it, and using prolate cores, which reinforce the inherent strain anisotropy. The influence of PZ potential on the exciton wave function scales with the core size, as so does the PZ dipole moment, and on the shell thickness, as it provides space for the electron to escape from the core.

We have then shown that significant PZ effects are present not only in RiRs, as recently reported in ref 28 but also in giant-shell DiDs and, to a lesser extent, in DiRs. In fact, the different strength of PZ fields in each kind of structure interprets the large variations of radiative lifetime reported in the literature. The present results show that, with appropiate design, band alignment can be engineered all the way from type-I or quasitype-II to a fully type-II one in any kind of CdSe/CdS NC, thus providing an efficient tool for tailoring electron—hole separation.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpclett.6b00622.

Details of methods, supporting calculations of strain maps, band-edge structure, wave functions, electron—hole overlap under different conditions, a list of the material parameters employed and further experimental data. (PDF)

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Notes

The authors declare no competing financial interest.

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