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Abstract: This paper presents an energy performance evaluation of two low-GWP refrigerants, R1234yf and R1234ze(E), as drop-in replacements for R134a. Tests are carried out in a monitored vapour compression system combining different values of evaporation and condensation temperature, and without/with the adoption of an internal heat exchanger. The parameters analysed are volumetric efficiency, cooling capacity and COP and they are presented taking R134a as baseline. Results show that without IHX the average volumetric efficiency for R1234yf and R1234ze is 4% and 5% lower compared with R134a. The cooling capacity obtained with R1234yf and R1234ze is reduced, with an average difference of 9% and 30% without IHX, respectively. Also, COP values are about 7% lower for R1234yf and 6% lower for R1234ze than those obtained using R134a. Finally, the use of an internal heat exchanger reduces the COP differences for both replacements.

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Dear D.A. Reay,

Please find enclosed the manuscript entitled: 'Drop-in energy performance evaluation of R1234yf and R1234ze(E) in a vapour compression system as R134a replacements'. As corresponding author, I would like to have this manuscript reviewed for its publication in Applied Thermal Engineering.

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## Drop-in energy performance evaluation of R1234yf and R1234ze(E) in a vapour compression system as R134a replacements

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### Abstract

This paper presents an energy performance evaluation of two low-GWP refrigerants, R1234yf and R1234ze(E), as drop-in replacements for R134a. Tests are carried out in a monitored vapour compression system combining different values of evaporation and condensation temperature, and without/with the adoption of an internal heat exchanger. The parameters analysed are volumetric efficiency, cooling capacity and COP and they are presented taking R134a as baseline. Results show that without IHX the average volumetric efficiency for R1234yf and R1234ze is 4% and 5% lower compared with R134a. The cooling capacity obtained with R1234yf and R1234ze is reduced, with an average difference of 9% and 30% without IHX, respectively. Also, COP values are about 7% lower for R1234yf and 6% lower for R1234ze than those obtained using R134a. Finally, the use of an internal heat exchanger reduces the COP differences for both replacements.

**Keywords:** Drop-in, energy performance, R1234yf, R1234ze(E), R134a, vapour compression system.

### Nomenclature

$COP$	coefficient of performance
$h$	enthalpy ( $\text{kJ kg}^{-1}$ )
$\dot{m}_{ref}$	refrigerant mass flow rate ( $\text{kg s}^{-1}$ )
$N$	compressor rotation speed (rpm)
$P$	pressure (MPa)
$\dot{Q}$	cooling capacity (kW)
$T$	temperature ( $^{\circ}\text{C}$ )

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$V_G$  compressor geometric volume ( $\text{m}^3$ )

$\dot{W}_c$  compressor power consumption (kW)

*Greek symbols*

$\eta_{vol}$  volumetric efficiency

$\rho_{suc}$  density at compressor suction ( $\text{kg m}^{-3}$ )

*Subscripts*

*in* inlet

*k* condenser

*o* evaporator

*out* outlet

*suc* suction

## 1. Introduction

Following the conclusions achieved on the Montreal Protocol, most of the substances used in refrigeration systems had been regulated due to its Ozone Depletion Potential (ODP). Therefore, chlorofluorocarbons (CFCs) have been phased out by 2010 and hydrochlorofluorocarbons (HCFCs) is going to phase out by 2040 [1]. Consequently, HFCs were proposed as replacement for CFC and HCFC. Later they were pointed out by the Kyoto Protocol [2] because of their contribution to the Global Warming. Hydrofluoroolefins (HFOs), natural refrigerants and low GWP HFCs has been proposed as alternatives [3].

One of the HFC most extended in medium evaporation temperatures is R134a, with a 100-year Global Warming Potential (GWP) of 1430 [4]. Focusing on HFO, R1234yf and R1234ze(E) (henceforth it will be referred simply as R1234ze) prevails as the most acceptable alternatives for R134a [5]. Both refrigerants are low-flammable, with no ODP and with very low GWP, 4 and 6, respectively. Thermophysical properties of these HFOs have been studied as well as equations of state have been developed and improved in the recent years [6-10]. Should be noted also that studies of binary mixtures of R1234ze and R1234ze with R32, R134a, R125 or ammonia had been done in order to increase energy performance or get better properties [11-15].

The main disadvantage of these refrigerants is their flammability. Kondo et al. [16] investigated the flammability limits of various refrigerants including R1234yf and R1234ze and they found a great dependence with the humidity of air; in fact R1234ze become flammable if the humidity is larger than 10% corrected for 23 °C.

R1234yf has been accepted to replace R134a in Mobile Air Conditioning (MAC) applications [17] because it shows good drop-in performance. Lee and Jung [18] obtained that the Coefficient of Performance (COP) and cooling capacity of R1234yf is up to 2.7% and 4.0% lower when compared to R134a. Zilio et al. [19] concluded that the R1234yf cooling capacity and COP in a MAC are considerably lower than those obtained with R134a, and they suggest some hardware modifications in order to reduce the different between both refrigerants.

Alternatively, this substitution has been proposed in refrigeration applications. For example, Jarall [20] reported that cooling capacity and COP for R1234yf are lower than using R134a. Navarro-Esbrí et al. [21] studied R1234yf performance in a vapour compression system varying a wide range of condition, concluding that the cooling capacity and COP for R1234yf are about 9% and 19% lower than those obtained using R134a, respectively. Navarro-Esbrí et al. [22] compared the influence of an IHX in R134a and R1234yf on the energy performance and found better results using R1234yf for cooling capacity and COP.

Karber et al. [23] compared R134a and its alternatives in a test based on AHAM standard HRF-1-2008 using two refrigerators technologies. Leighton et al. [24] developed and validated a theoretical model for the steady-state analysis of a domestic refrigerator-freezer. They calculated that R1234yf and R1234ze showed lower COP and cooling capacity.

Yana Motta et al. [25] concluded that R1234yf and R1234ze have comparable performance to R134a in a vending system, without making significant hardware modification. Ansari et al. [26] applied an exergy method to compare theoretically R1234yf and R1234ze with R134a. They obtained that resulting performance parameters for R1234yf are lower (although the difference is small) than that of R134a and for R1234ze are almost similar, so both can replace R134a (for R1234ze is recommended a slight modification in the design).

It has to be mentioned that R1234ze is also considered in heat pump installations. Fukuda et al. [27] concluded that R1234ze can be a potential refrigerant in high-temperature heat pump systems for industrial purposes, rather than typical air conditioners or refrigeration systems. Toyama et al. [28] carried out drop-in experiments with R410A, R1234yf and R1234yf/R32 mixture. They proved that the heating effect and COP of R1234ze can be improved noticeably by adding R32.

Lastly, in the case of the two-phase heat transfer, great similarities were found between R134a and R1234yf, in a review made by Wang [29]. The greatest differences took place for in-tube condensation, being heat transfer coefficient of R1234yf lower to those of R134a. Grauso et al. [30] found that local heat transfer coefficients of R1234ze and R134a during flow boiling were very similar in a circular smooth tube of 6.00 mm of inner diameter.

This paper extends the studies found in literature about R1234yf and R1234ze as R134a alternatives, presenting an experimental drop-in performance comparison in a wide range of operating conditions (evaporation and condensation temperature) in a fully monitored vapour compression plant. The effect in energy performance of an internal heat exchanger is also extensively analysed.

The rest of this work is composed of the following sections: In section 2, the experimental apparatus is presented. In Section 3, the test conditions and data validation are exposed. In Section 4, experimental results are shown and discussed. Finally, in Section 5, the main conclusions of the paper are summarized.

## 2. Experimental apparatus

Experimental tests are carried out in a vapour compression plant that it is represented in Fig. 1. Test bench is composed of the refrigeration circuit and two secondary circuits, the load simulation circuit and heat removal circuit.

Fig. 1. Schematic representation of the test bench.

The refrigeration circuit is made up of a reciprocating open-type compressor, driven by a variable-speed 5 kW electric motor; a shell-and-tube condenser (1-2), with the refrigerant flowing along the shell and water as cooling fluid flowing inside the tubes; a thermostatic expansion valve; a shell-and tube evaporator (1-2), where the refrigerant flows inside the tubes and a water-propylene glycol brine (65/35% by volume) is used as secondary fluid flowing along the shell; and a tube-in-tube internal heat exchanger (IHX). For all tests POE oil is used.

The secondary circuits are used to achieve desired evaporation and condensation conditions. For the load simulation circuit, the water-propylene glycol brine is heated by a set of electrical resistances which are controlled by a PID system. The brine mass flow rate can be adjusted using a variable-speed pump. For the heat removal circuit, an auxiliary chiller and fan coil is used.

The thermodynamic states of refrigerants studied are based on data from REFPROP v. 8 [31]. In order to obtain those values, calibrated pressure gauges and thermocouples are located in the refrigeration circuit, whose location can be seen in Fig.1. Refrigerant mass flow rate and compressor consumption are also measured. Besides, in the secondary circuits, temperature and volumetric flow rate of the fluids are measured. The IHX pressure drops are recorded using two differential pressure transducers. Table 1 shows the characteristics of the equipment used in measurement. All data measured are gathered with a data acquisition system and monitored and stored through a PC.

Table 1. Measured parameters and equipment uncertainty.

## 3. Experimental procedure

### 3.1 Working fluids

In this work, energy performance of R134a is compared with R1234yf and R1234ze(E). These refrigerants are selected because they have low-GWP, zero ODP, mid-low flammability, thermal stability and similar R134a working conditions. In Table 2 are summarized main characteristics of refrigerants.



Table 2. Characteristics of refrigerants selected [6-10].

### 3.2 Experimental steady-state tests

In order to realise a complete evaluation of the energy performance, a total of 54 steady-state tests (18 with each refrigerant) are carried out in a vapour compression system varying a wide range of operating conditions (Fig. 2):

- Condensation temperature ( $T_k$ ): 260, 270 or 280 K.
- Evaporation temperature ( $T_o$ ): 310, 320 or 330 K.
- IHX off/on.

Fig. 2. Range of pressures tested.

Furthermore, the superheating degree is fixed in 7K by a thermostatic expansion valve. The amount of refrigerant is the same for all fluids (previously tested for optimum performance).

More detailed information about test methodology can be found in Navarro et al.[21].

### 3.3 Equations

The equations of calculated parameters, volumetric efficiency, cooling capacity and Coefficient of Performance (COP), are expressed in this section.

First, the volumetric efficiency is obtained as the ratio between measured and theoretical mass flow rate, Eq. (1).

$$\eta_v = \frac{\dot{m}_{ref,measured}}{\dot{m}_{ref,theoretical}} \quad (1)$$

Being theoretical refrigerant mass flow rate calculated in Eq. (2).

$$\dot{m}_{ref} = \rho_{suc} V_G N / 60 \quad (2)$$

Where  $V_G = 681 \cdot 10^{-6} \text{ m}^3$ .

The compression ratio is calculated dividing the condensation pressure ( $P_k$ ) between the evaporation pressure ( $P_o$ ), Eq (3).

$$compression\ ratio = \frac{P_k}{P_o} \quad (3)$$

The cooling capacity ( $\dot{Q}_o$ ) is obtained as the product of the refrigerant mass flow rate ( $\dot{m}_{ref}$ ) and the enthalpy increase at the evaporator, Eq. (4).

$$\dot{Q}_o = \dot{m}_{ref} (h_{out} - h_{in})_o \quad (4)$$

Finally, the COP is calculated dividing the cooling capacity and the compressor power consumption ( $\dot{W}_C$ ), Eq. (5).

$$COP = \frac{\dot{Q}_o}{\dot{W}_C} \quad (5)$$

#### 4. Results and discussion

This section presents and discusses the experimental results obtained in the tests carried out with R134a, R1234yf and R1234ze as working fluids. The parameters analysed are volumetric efficiency and two energy performance parameters: cooling capacity and COP. The uncertainty calculated for volumetric efficiency, cooling capacity and COP using the RSS method (Taylor, 1997), is 1.01%, 0.60%, 0.74%, respectively.

Table 3, summarizes the results for cooling capacity and COP presented in the figures of this section. This table shows the relative differences taking R134a as reference, Eq. (6) and (7).

$$\% \dot{Q}_o = \left( \frac{\dot{Q}_{o \text{ alternative fluid}} - \dot{Q}_{o R134a}}{\dot{Q}_{o R134a}} \right) \cdot 100 \quad (6)$$

$$\% COP = \left( \frac{COP_{\text{ alternative fluid}} - COP_{R134a}}{COP_{R134a}} \right) \cdot 100 \quad (7)$$

Table 3. Experimental variation for cooling capacity and COP taking R134a as baseline.

##### 4.1. Volumetric efficiency

Fig. 3 shows the volumetric efficiency regarding the compression ratio with each refrigerant. When a high compression ratio is taken into account (value of 8), the volumetric efficiency of R134a is a 5% and a 6% higher than R1234yf and R1234ze, respectively. On the other hand, at low compression ratio (value of 2.5), volumetric efficiency of R134a is a 3% and a 5% higher than R1234yf and R1234ze, respectively. Considering these values, it can be seen that the volumetric efficiency falls further within R1234yf when the compression ratio is increased.

Fig. 3. Volumetric efficiency versus compression ratio.

##### 4.2. Cooling capacity

Fig. 4 shows the variation of cooling capacity at different evaporation temperatures when IHX is deactivated. Both replacements have lower cooling capacity values than

R134a, being the differences more significant with R1234ze. When higher condensation temperatures are considered, the difference between cooling capacities of R1234yf and R134a is increased (for R1234ze seems that it does not affect that parameter). For R1234yf and 260K as evaporation temperature the  $Q_o$  relative difference goes from 7% to 14%. When the evaporation temperature rises to 280K, the difference is lower, being the values of R1234yf closer to those determined for R134a (3% to 12%). As mentioned above, for R1234ze, the cooling capacity values are much smaller than those for R134a. The difference between both refrigerants decreases between 4% and 6% when evaporation temperature rises.

Fig. 4. Cooling capacity regarding evaporation temperature without IHX.

Cooling capacity difference between baseline and both alternatives diminish when the IHX is used, Fig. 5. Considering R1234yf, the difference between cooling capacity values obtained using is reduced significantly. At  $T_o$  of 260K the values are reduced about 4%, and at  $T_o$  of 280K about 3%. It can be seen as the influence of IHX on the cooling capacity is weaker for R1234ze, so the values of cooling capacity diminishes at most about 2%, only when high compression ratio is considered.

Fig. 5. Cooling capacity versus evaporation temperature with IHX.

#### 4.3. Coefficient of performance

Fig. 6 presents COP values resulting from tests without IHX. The COP obtained with R134a was higher than the resulting from the alternatives. For high evaporation temperatures (280K) the COP obtained with R1234ze is higher than those obtained with R1234yf. Contrary to this, at low temperatures (260K) R1234yf performs better than R1234ze. As COP values increase in a greater way in R134a with the augmentation of evaporation temperature, the difference with replacements is also increased. R1234yf and R1234ze differences at low evaporation temperature are between 6%-8% and 7%-8% respectively, and at high evaporation temperature are between 6%-11% and 4%-6%, respectively.

Fig. 6. COP versus evaporation temperature without IHX.

Results when IHX is activated are shown in Fig. 7. Conclusions achieved in the tests without IHX can be applied also in this case. The COP values in tests with R1234yf and R1234ze are increased. However for R134a remain similar than those obtained without IHX. The differences between alternatives and baseline are reduced, especially at low evaporation and condensation temperatures (Fig. 8). R1234yf and R1234ze differences at low evaporation temperature are between 4%-6% and 5%-7% respectively, and at a high evaporation temperature are between 3%-8% and 2%-5%, respectively.

Fig. 7. COP versus evaporation temperature with IHX.

Fig. 8. COP versus evaporation temperature. R134a without IHX and R1234yf and R1234ze with IHX.

COP differences are slightly minor between R1234yf and R134a. Therefore, it can be deduced that compressor consumption will be minor using R1234yf at the same cooling capacity expected. For R1234ze the same conclusion can be reached.

Finally, another important parameter to analyze in drop-in comparisons is the discharge temperature resulting. In all tests highest the discharge temperatures are obtained with R134a, followed by R1234ze. R1234yf is the lowest measured. Discharge temperatures of R1234yf and R1234ze in the worst conditions (and IHX on) are about 14K and 10K lower than R134a, respectively (Table 4). Thus when IHX is used, working compressor temperatures are low enough to operate without worry when replacements are used.

Table 4. Maximum discharge temperatures obtained (when  $T_o=260\text{K}$  and  $T_k=330\text{K}$ ).

## 5. Conclusions

In this work is presented a drop-in performance study comparing R134a and two low-GWP refrigerants, R1234yf and R1234ze(E). A total of 54 tests have been carried out in a vapour compression test bench, varying evaporation and condensation temperature, and using or not an IHX. A comparison in terms of cooling capacity and COP is made from an experimental point of view, taking R134a as baseline. Three parameters have been analyzed: volumetric efficiency, cooling capacity and COP.

Volumetric efficiency decreases for R134a between 3% and 5%, and for R1234ze decreases between 5% and 6% in the range tested, respectively. The average cooling capacity reduction using R1234yf and R1234ze is 9% and 30% comparing with R134a. The difference between R1234yf and R134a decreases when the condensation temperature increases. For R1234ze, cooling capacity difference with R134a becomes lower when evaporation temperature grows.

The COP difference obtained using R1234yf are between 3% and 11% lower than those obtained with R134a. In the case of R1234ze these values are between 2% and 8%. Here, it is observed that when the evaporation temperature raises COP difference increases for R1234yf and diminishes for R1234ze, particularly when IHX is activated.

Finally, focusing to benefit of using an IHX (effectiveness of 30%), it can be concluded that this component produce a positive effect in R1234yf and R1234ze (around 1% of increase in COP difference). For R134a the increment is minimal, augmenting in a similar proportion the cooling capacity and the compressor consumption. The adoption of an IHX can reduce the differences and approach the alternatives performance to that obtained using R134a without IHX. When the IHX is operative, the discharge

temperature of R1234yf and R1234ze remain in a safe range, even being below of that got by R134a without IHX.

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## **FIGURE CAPTIONS**

Fig. 1. Schematic representation of the test bench.

Fig. 2. Range of pressures tested.

Fig. 3. Volumetric efficiency versus compression ratio.

Fig. 4. Cooling capacity versus evaporation temperature without IHX.

Fig. 5. Cooling capacity versus evaporation temperature with IHX.

Fig. 6. COP versus evaporation temperature without IHX.

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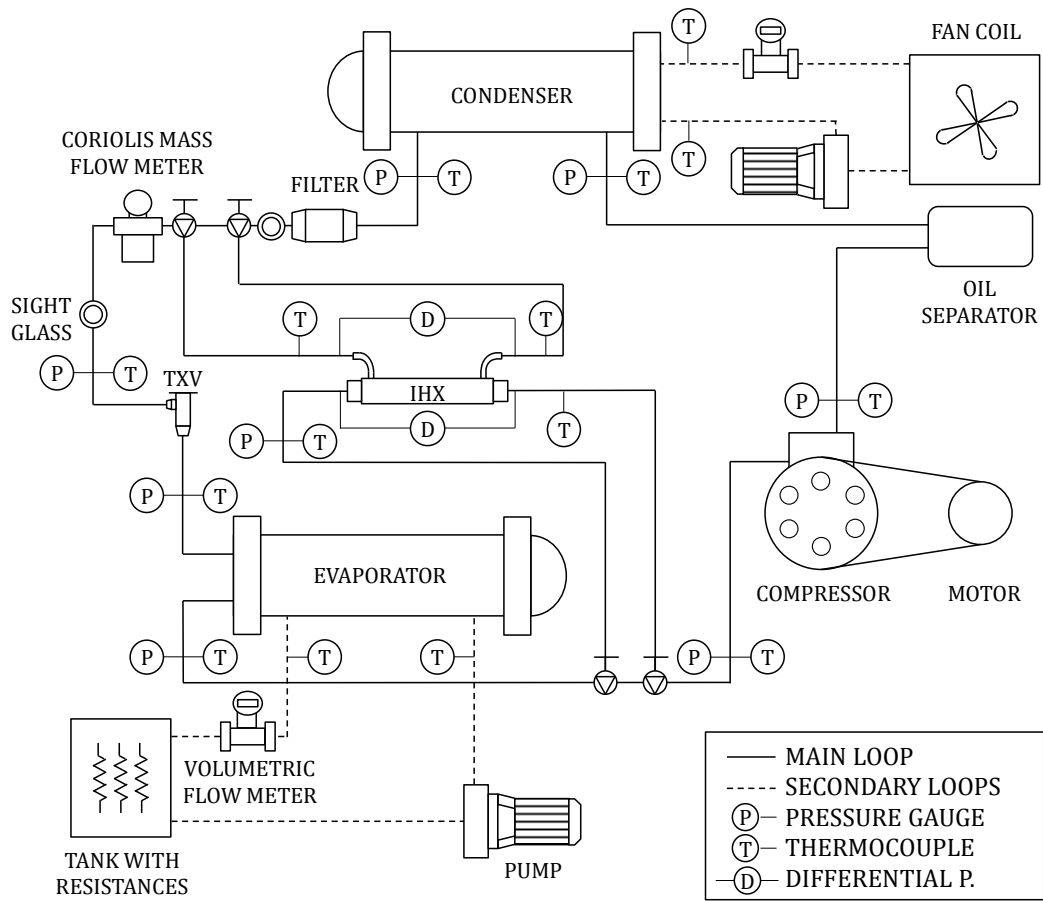


Fig. 1. Schematic representation of the test bench.

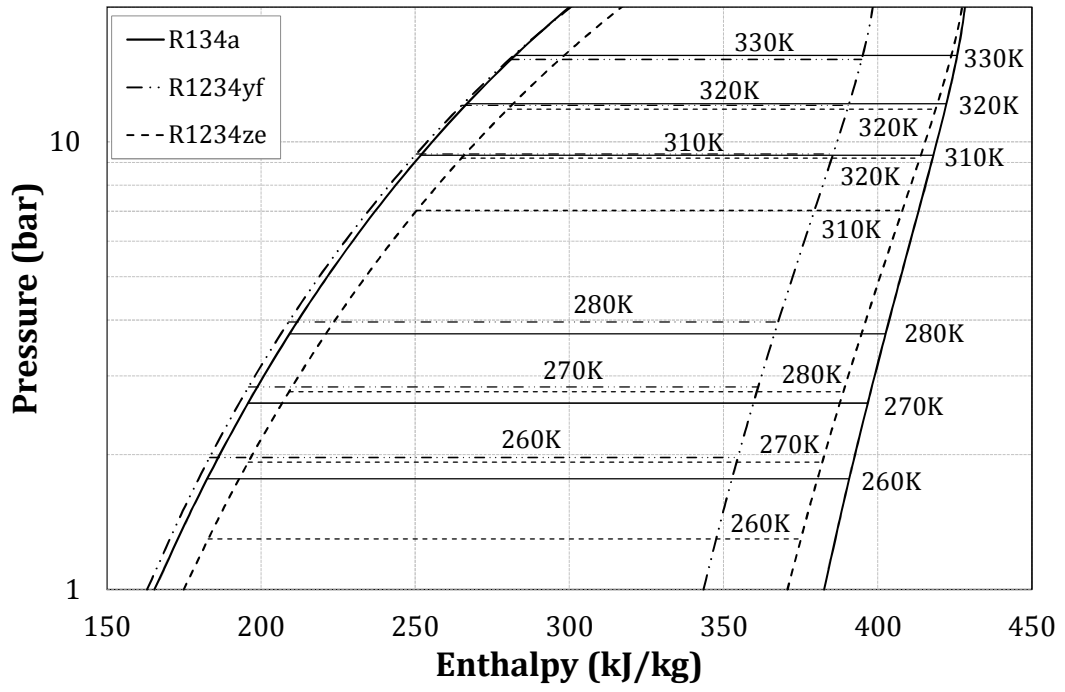


Fig. 2. Range of pressures tested.

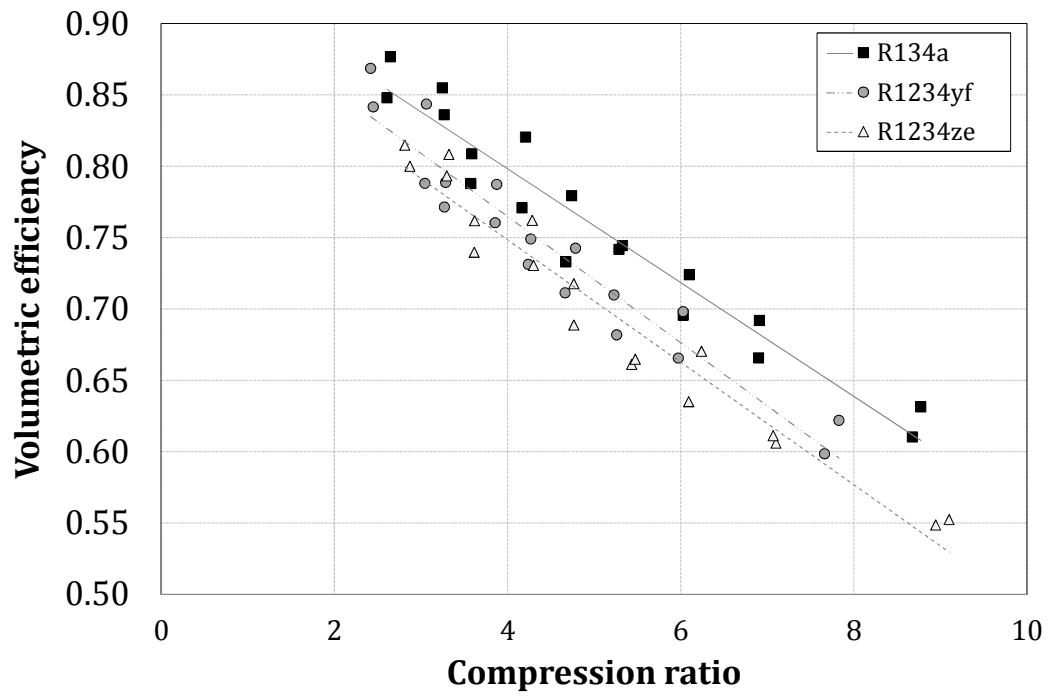


Fig. 3. Volumetric efficiency versus compression ratio.

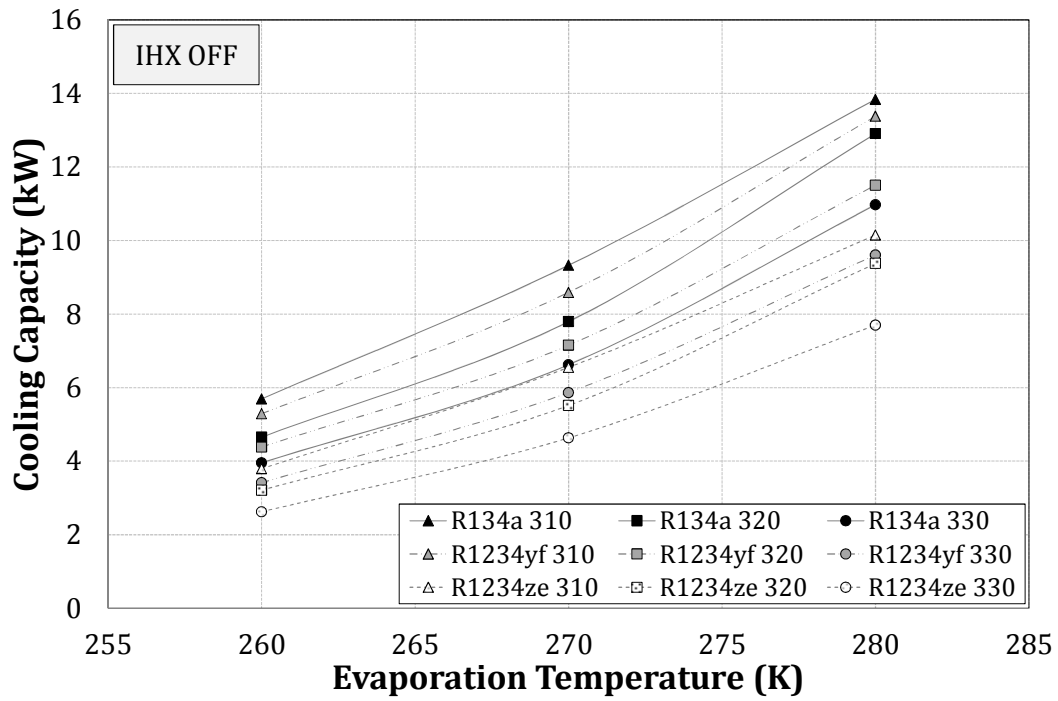


Fig. 4. Cooling capacity versus evaporation temperature without IHX.

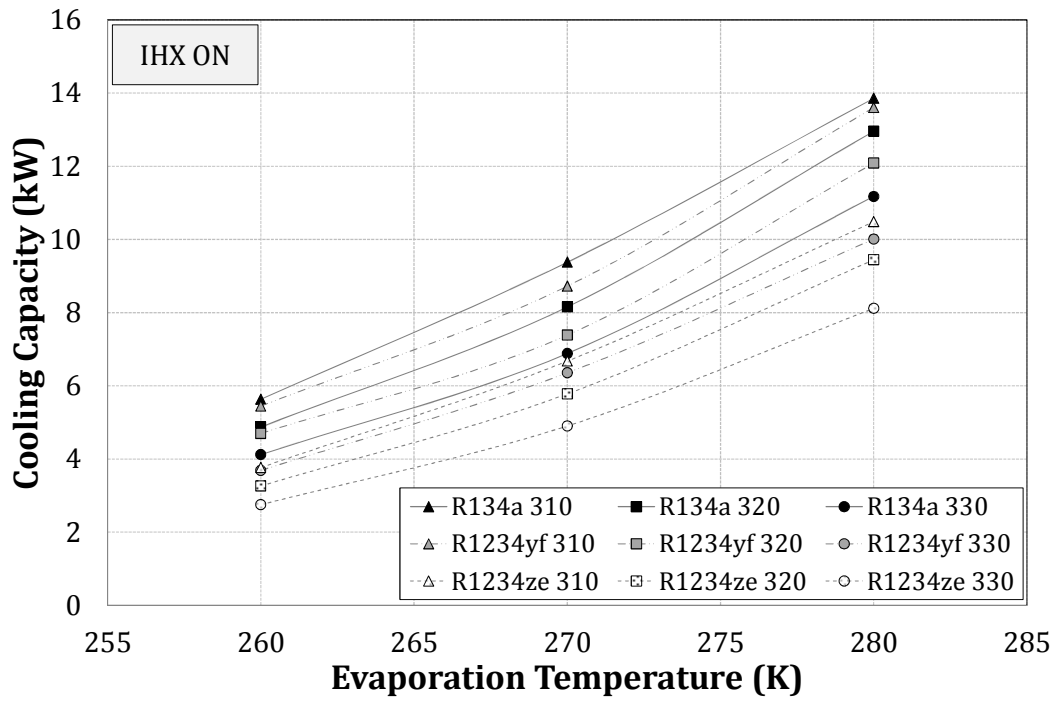


Fig. 5. Cooling capacity versus evaporation temperature with IHX.

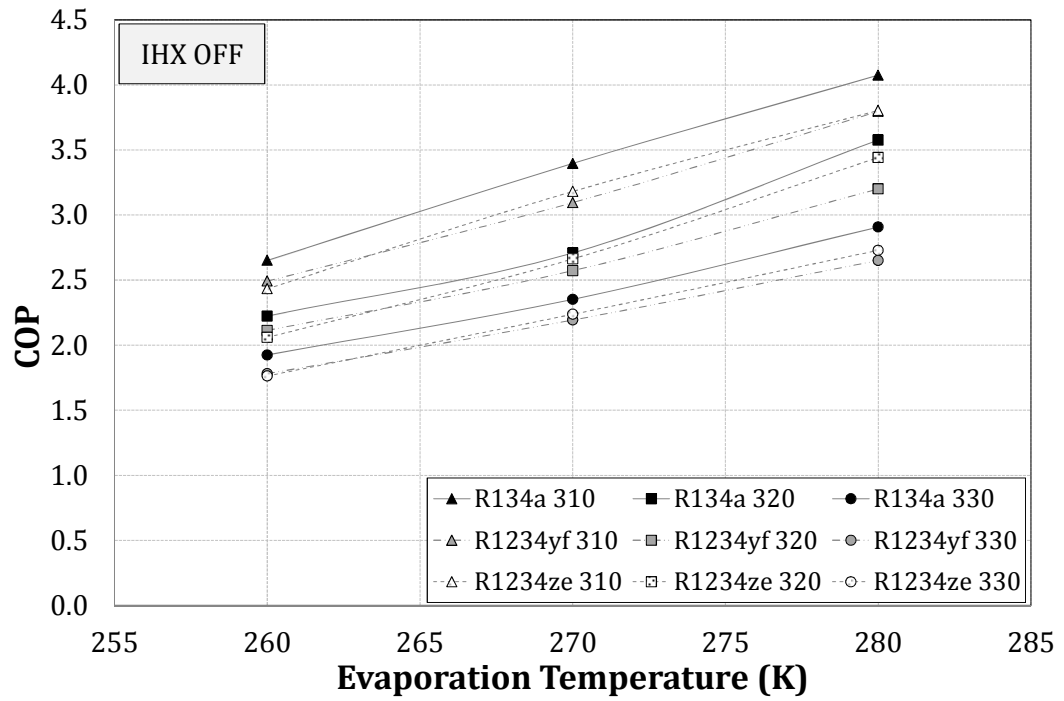


Fig. 6. COP versus evaporation temperature without IHX.

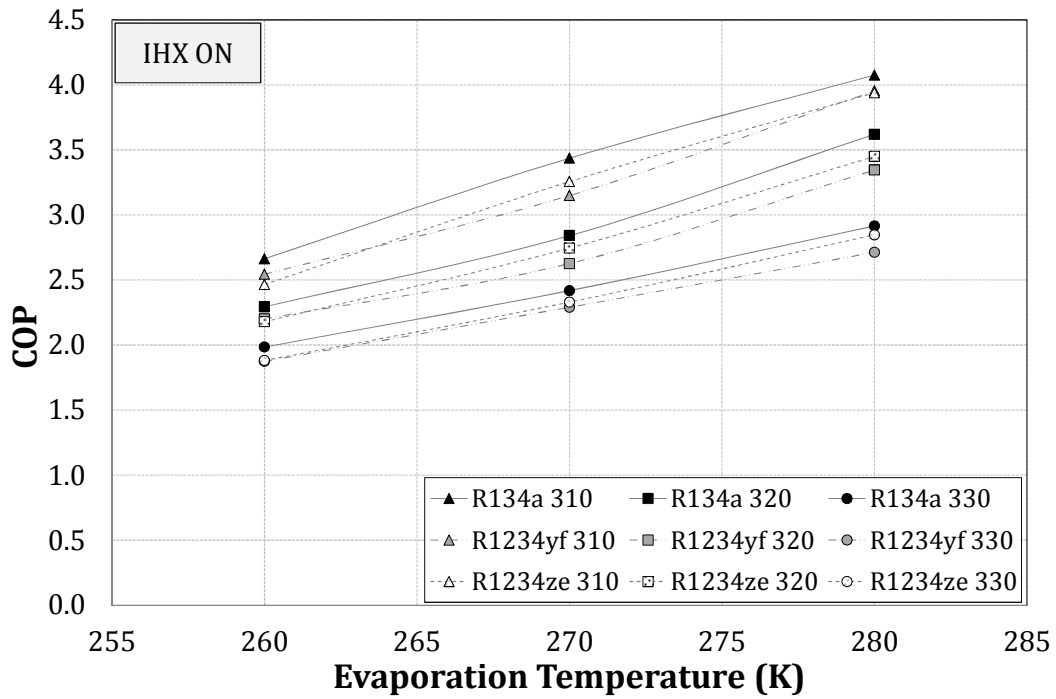


Fig. 7. COP versus evaporation temperature with IHX.

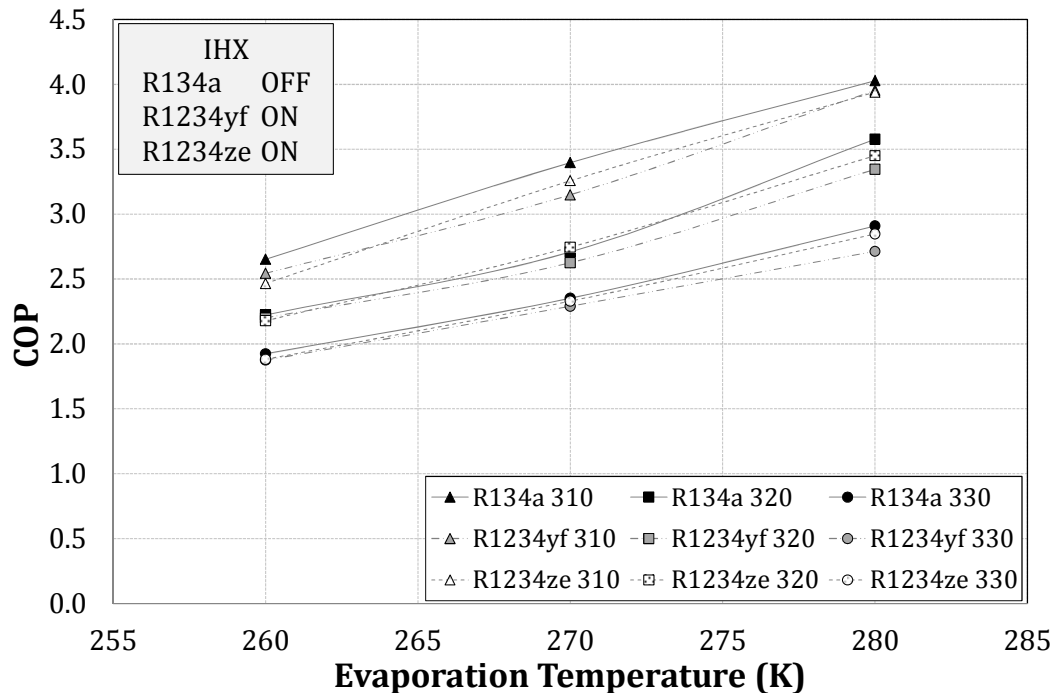


Fig. 8. COP versus evaporation temperature. R134a without IHX and R1234yf and R1234ze with IHX.



Table 1. Measured parameters and equipment uncertainty.

<b>Measured parameters</b>	<b>Sensor</b>	<b>Uncertainty</b>
<b>Temperatures</b>	K-type thermocouples	$\pm 0.3$ K
<b>Pressures</b>	Piezoelectric pressure transducers	$\pm 7$ kPa
<b>Mass flow rate</b>	Coriolis mass flow meter	$\pm 0.22\%$
<b>Compressor power consumption</b>	Digital wattmeter	$\pm 0.152\%$
<b>IHX pressure drops</b>	Differential pressure transducers	$\pm 0.01$ kPa

Table 2. Characteristics of selected refrigerants [6-10].

	<b>R134a</b>	<b>R1234yf</b>	<b>R1234ze(E)</b>
<b>Molecular weight (kg/kmol)</b>	102	114	114
<b>ASHRAE safety classification</b>	A1	A2L	A2L
<b>ODP</b>	0	0	0
<b>100-year GWP</b>	1430	4	6
<b>Critical Temperature (°C)</b>	101	95	109
<b>Critical Pressure (MPa)</b>	4.059	3.382	3.636
<b>NBP (°C)</b>	-26	-29	-19

Table 3. Experimental variation for cooling capacity and COP taking R134a as baseline.

$T_o$ (K)	$T_k$ (K)	$\% \dot{Q}_o$		$\% COP$	
		R1234yf	R1234ze	R1234yf	R1234ze
<i>WITHOUT IHX</i>					
<b>260</b>	<b>310</b>	7.09%	33.32%	6.04%	8.25%
<b>260</b>	<b>320</b>	5.78%	30.97%	4.96%	7.41%
<b>260</b>	<b>330</b>	13.71%	33.68%	7.49%	8.40%
<b>270</b>	<b>310</b>	7.93%	29.78%	8.86%	6.33%
<b>270</b>	<b>320</b>	8.25%	29.26%	5.05%	1.70%
<b>270</b>	<b>330</b>	11.42%	30.04%	6.77%	4.89%
<b>280</b>	<b>310</b>	3.34%	26.67%	5.76%	5.56%
<b>280</b>	<b>320</b>	10.89%	27.36%	10.50%	3.76%
<b>280</b>	<b>330</b>	12.44%	29.84%	8.83%	6.14%
<i>WITH IHX</i>					
<b>260</b>	<b>310</b>	3.33%	33.10%	4.48%	7.44%
<b>260</b>	<b>320</b>	3.65%	33.08%	4.08%	5.06%
<b>260</b>	<b>330</b>	10.46%	33.24%	5.54%	5.21%
<b>270</b>	<b>310</b>	7.00%	28.83%	8.36%	5.23%
<b>270</b>	<b>320</b>	9.45%	29.15%	7.57%	3.36%
<b>270</b>	<b>330</b>	7.64%	28.76%	5.28%	3.67%
<b>280</b>	<b>310</b>	1.83%	24.34%	2.98%	3.32%
<b>280</b>	<b>320</b>	6.71%	27.11%	7.58%	4.68%
<b>280</b>	<b>330</b>	10.42%	27.37%	6.94%	2.34%

Table 4. Maximum discharge temperatures obtained (when  $T_o=260\text{K}$  and  $T_k=330\text{K}$ ).

$T_{discharge} (K)$	<b>R134a</b>	<b>R1234yf</b>	<b>R1234ze</b>
<b>Without IHX</b>	357.9	344.7	349.7
<b>With IHX</b>	368.9	354.8	359.0