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3 1 The optical method based on gas injection overestimates leaf
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5 2 vulnerability to xylem embolism in three woody species
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8 4 Francesco Petruzzellis¹, Azzurra Di Bonaventura², Enrico Tordoni³, Martina Tomasella¹, Sara
9 5 Natale^{1,4}, Patrizia Trifilò⁵, Giuliana Tromba⁶, Francesca Di Lillo⁶, Lorenzo D'Amico^{6,7},
10 6 Giovanni Bacaro¹, Andrea Nardini¹
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14
15 8 ¹University of Trieste, Department of Life Sciences, Via L. Giorgieri 10, 34127, Trieste, Italy

16 9 ²University of Udine, Department of Agricultural, Food, Environmental and Animal Sciences,
17 10 Viale delle Scienze 206, 33100, Udine, Italy

18 11 ³University of Tartu, Institute of Ecology and Earth Sciences, Department of Botany, J. Liivi
19 12 2, 50409 Tartu, Estonia

20 13 ⁴University of Padova, Department of Biology, Via U. Bassi 58/B, 35121, Padova, Italy

21 14 ⁵University of Messina, Department of Chemical, Biological, Pharmaceutical and
22 15 Environmental Sciences, Viale Ferdinando Stagno d'Alcontres 31, 98166 Messina, Italy

23 16 ⁶Elettra Sincrotrone Trieste, Area Science Park, 34149 Basovizza, Trieste, Italy

24 17 ⁷University of Trieste, Department of Physics, Via A. Valerio 2, 34127, Trieste, Italy
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34 19 Keywords:

35 20 Gas-injection; leaf hydraulics; micro-CT; optical method; xylem embolism.
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61 22 Running head:

62 23 Gas injection overestimates optical vulnerability

24 ABSTRACT

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26 Plant hydraulic traits related to leaf drought tolerance like the water potential at turgor loss
27 point (TLP) and the water potential inducing 50% loss of hydraulic conductance (P_{50}), are
28 extremely useful to predict potential impacts of drought on plants. While novel techniques
29 allowed the inclusion of TLP in studies targeting a large group of species, fast and reliable
30 protocols to measure leaf P_{50} are still lacking. Recently, the optical method coupled with the
31 gas-injection (GI) technique has been proposed as a possibility to speed up P_{50} estimation.
32 Here, we present a comparison of leaf optical vulnerability curves (OVC) measured in three
33 woody species, namely *Acer campestre* (Ac), *Ostrya carpinifolia* (Oc) and *Populus nigra* (Pn),
34 based on bench dehydration (BD) or gas-injection (GI) of detached branches. For Pn, we also
35 compared optical data with direct micro-CT imaging in both intact saplings and cut shoots
36 subjected to BD. Based on the BD procedure, Ac, Oc and Pn had P_{50} values of -2.87, -2.47
37 and -2.11 MPa, respectively, while the GI procedure overestimated leaf vulnerability (2.68,
38 2.04 and 1.54 MPa for Ac, Oc and Pn, respectively). The overestimation was higher for Oc
39 and Pn than for Ac, likely reflecting the species-specific vessel lengths. According to micro-
40 CT observations performed on Pn, the leaf midrib showed none or very few embolized
41 conduits at -1.2 MPa, consistent with the OVC obtained with the BD procedure but at odds
42 with that derived on the basis of GI. Overall, our data suggest that coupling the optical method
43 with GI might not be a reliable technique to quantify leaf hydraulic vulnerability, since it could
44 be affected by the 'open-vessel' artefact. Accurate detection of xylem embolism in the leaf
45 vein network should be based on BD, preferably of intact up-rooted plants.

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47 INTRODUCTION

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49 Climate change is posing new challenges to both cultivated and wild plants, threatening crop
50 productivity and ecosystem stability in different biomes (Lobell and Gourdjji 2012, Forzieri et
51 al. 2022). In particular, increased frequency and duration of drought events is concerning,
52 considering that water availability constrains plant growth, reproduction and survival (Gardner
53 1965). In this view, plant functional traits related to drought tolerance have proved extremely
54 useful to predict potential impacts of drought on plants (Cosme et al. 2017, Tordoni et al.
55 2022) and to assist breeding programs aimed at developing crop genotypes more adapted to
56 harsher climatic conditions (Nardini et al. 2014, Sun et al. 2021).

57 Among the set of hydraulic traits relevant to assess plant performance under water limitation,
58 two in particular stand out as reliable indicators of drought tolerance proving also to be
59 suitable for modelling current and future plant distribution as a function of water availability.
60 One of these is the turgor loss point (TLP) i.e. the leaf water potential corresponding to loss
61 of cell turgor pressure (Tyree and Hammel 1972, Lenz et al. 2006). TLP sets the point at
62 which stomata should close to prevent the risk of plasmolysis and cell damage, thus limiting
63 the operating range of water potential for positive carbon gain (Rodriguez-Dominguez et al.
64 2016, Blackman 2018). The recent development of fast and reliable techniques for TLP
65 estimation (Bartlett et al. 2012, Petruzzellis et al. 2019) has fostered the introduction of this
66 parameter in ecological studies targeting large species' assemblages (Maréchaux et al. 2015,
67 Tordoni et al. 2019, Petruzzellis et al. 2021), allowing prediction of the impact of drought
68 stress on plant performance (Zhu et al. 2018, Alvarez-Cansino et al. 2022, Petruzzellis et al.
69 2022) and modelling of current and future distribution of both woody and herbaceous species
70 (Kunert et al. 2021, Tordoni et al. 2022).

71 The other trait strongly correlated with plant performance under drought is the vulnerability to
72 xylem embolism (Tyree and Sperry 1989). Plants facing water shortage undergo a
73 progressive drop of water potential and xylem pressure, which can be slowed down by
74 stomatal closure but not completely prevented due to residual water loss at leaf and bark level

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3 75 (Wolfe 2020, Slot et al. 2021). When xylem pressure drops below species-specific critical
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5 76 values, a gas phase can be aspirated into water-filled conduits through inter-vessel pit
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7 77 membranes, leading to disruption of the continuity of water columns in the xylem network and
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9 78 hydraulic failure, potentially causing plant death (Nardini et al. 2013, McDowell et al. 2022).
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11 79 Plant vulnerability to xylem embolism is generally quantified in terms of P_{50} , i.e. the xylem
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13 80 pressure inducing 50% loss of xylem hydraulic conductivity (Venturas et al. 2017). In classical
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15 81 hydraulic studies, vulnerability to xylem embolism has been quantified at stem (Maherali and
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17 82 DeLucia 2000, Pockman and Sperry 2000) or leaf level (Neufeld et al. 1992, Nardini et al.
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19 83 2001), and more rarely in roots (Sperry and Ikeda 1997, Kavanagh et al. 1999). The interest
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21 84 in measuring the vulnerability of leaf xylem steadily increased in recent years (Sack and
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23 85 Holbrook 2006, Nardini and Luglio 2014, Yan et al. 2020), considering that leaves are the
24
25 86 terminal portion of the soil-to-atmosphere hydraulic pathway and are thus exposed to more
26
27 87 severe water stress compared to stems and roots (Tyree and Ewers 1991). Moreover, leaves
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29 88 are the major photosynthetic organs in most plants, and thus any interruption of water delivery
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31 89 from leaf veins to mesophyll cells is expected to translate into an immediate reduction of
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33 90 photosynthetic rate and plant performance (Nardini et al. 2003, Hernandez-Santana et al.
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35 91 2016, Bucci et al. 2019).
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37 92 The validity of P_{50} as a proxy of plant performance under drought has been confirmed by
38
39 93 several studies (Nardini et al. 2013, Oliveira et al. 2019, Petruzzellis et al. 2022), but its large-
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41 94 scale applicability is curbed by the time-consuming nature of classical hydraulic techniques,
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43 95 coupled with concerns for possible artefacts arising from such measurements (Wheeler et al.
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45 96 2013, Trifilò et al. 2014). The introduction of low-cost optical techniques (Brodrribb et al. 2016)
46
47 97 has allowed direct observation of embolism formation and progression in the leaf xylem
48
49 98 (Cardoso et al. 2022), providing an apparently artefact-free method for quantification of P_{50} .
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51 99 Still, a major disadvantage of optical measurements is that they rely on bench-dehydration of
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53 100 intact plants or excised branches to induce a progressive drop of leaf water potential and
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55 101 xylem pressure, leading to embolism formation in the leaf veins (Brodrribb et al. 2016). This
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57 102 experimental procedure allows to measure leaf vulnerability curves, but it takes from a few
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3 103 hours for some very vulnerable species, to several days for leaves of drought-tolerant plants
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5 104 (Skelton et al. 2018, Blackman et al. 2019), thus preventing a widespread use of this
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7 105 technique.

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9 106 A recent study has proposed a modification of the original optical technique, based on
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11 107 induction of leaf xylem embolism via injection of gas at progressively increasing pressure from
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13 108 the cut base of the petiole (Hochberg et al. 2019), allowing generation of a complete optical
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15 109 vulnerability curve within only 1 h. This experimental approach is based on the air-seeding
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17 110 hypothesis, stating that the gas phase leading to embolism enters a functional water-filled
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19 111 xylem conduit through inter-vessel pits, when the pressure difference between the liquid
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21 112 phase inside the conduits and a gas phase in an adjacent compartment surpasses a critical
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23 113 threshold (Tyree and Sperry 1989), likely set by the dimensions and the tortuosity of the pit
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25 114 membrane pores (Choat et al. 2003, Levionnois et al. 2022).

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28 115 Experiments comparing embolism level in stems caused by dehydration-induced low xylem
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30 116 water pressure versus application of high gas-pressure from the outside (Cochard et al.
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32 117 1992), have tested the validity of the air-seeding hypothesis but also paved the way for a new
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34 118 method for fast generation of hydraulic vulnerability curves (Salleo et al. 1992). Adapting this
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36 119 technique to the optical method for generation of leaf vulnerability curves would certainly
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38 120 boost the adoption of leaf P_{50} in ecosystem-scale studies (Skelton et al. 2019), provided this
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40 121 approach can generate reliable values. The use of positive pressures to quantify stem
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42 122 vulnerability to xylem embolism has been shown to produce substantial artefacts and over-
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44 123 estimation of P_{50} (i.e. values less negative than expected) in several species (Ennajeh et al.
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46 124 2011a, Torres-Ruiz et al. 2014, Chen et al. 2021), claiming for further cautions in its
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48 125 application (Ennajeh et al. 2011b, Martin-StPaul et al. 2014). Hochberg et al. (2019) tested
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50 126 this method on leaves of two species (*Quercus rubra* L. and *Vitis vinifera* L.), comparing
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52 127 optical vulnerability curves generated via the classical bench dehydration and the gas-
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54 128 injection technique. While for *Q. rubra* the two methods yielded comparable results, in
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56 129 grapevine the gas-injection method over-estimated leaf hydraulic vulnerability by about 0.4
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3 130 MPa, highlighting the need for more empirical tests on different species before adopting the
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5 131 new method as a standard for fast generation of leaf optical vulnerability curves.

6
7 132 In this study, we present a comparison of leaf optical vulnerability curves generated for three
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9 133 woody species (*Acer campestre* L., *Ostrya carpinifolia* Scop., *Populus nigra* L.), based on
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11 134 bench dehydration or gas-injection of detached branches. For one species (*P. nigra*), we
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13 135 further compared optical data with direct micro-CT imaging of the functional status of leaf
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15 136 xylem conduits in both intact saplings and cut shoots subjected to bench dehydration. We
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17 137 specifically aimed at testing the general validity of the gas-injection technique for fast
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19 138 generation of leaf optical vulnerability curves, or highlighting possible pitfalls preventing
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21 139 recommendation of the technique for large-scale ecological studies.
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27 28 142 MATERIALS AND METHODS

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31 32 144 *Plant material and experimental procedure*

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34 145 Experiments were performed in summer 2020 on three woody species: *A. campestre* (Ac),
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36 146 *O. carpinifolia* (Oc) and *P. nigra* (Pn). Plant material was harvested from adult trees (one tree
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38 147 per species) growing in the Botanical Garden of the University of Trieste (Italy). Branches
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40 148 were detached from the main trunk and the cut section was immediately put under water.
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42 149 Additional cuts were made underwater to remove any eventual emboli induced by the initial
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44 150 cut. Branches were > 1 m long to minimize the risk of experimental artefacts due to spurious
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46 151 embolism formation in open vessels (Torres-Ruiz et al. 2014, Petruzzellis et al. 2020), as
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48 152 mean vessel length in the studied species is 3, 5, and 20 cm for Ac, Oc and Pn, respectively
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50 153 (Nardini et al. 2012, Petruzzellis et al. 2020). Branches were then transferred to the
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52 154 laboratory, covered with a black plastic bag and rehydrated overnight. Optical vulnerability
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54 155 curves (OVc, Brodribb et al. 2016) were measured in each species following two different
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56 156 dehydration procedures, i.e bench dehydration (BD) and gas injection (GI). For the bench
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58 157 dehydration procedure, branches were dehydrated under laboratory conditions and allowed
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3 158 to reach different leaf water potential (Ψ_{leaf}) to induce the formation of different levels of
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5 159 embolism in the leaf vein xylem. In contrast, gas-injection was applied to fully hydrated
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7 160 branches by forcing gas entry in the leaf xylem under known pressure values. The basic
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9 161 principle of this technique is that the positive pressure needed to force a gas phase inside a
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11 162 water-filled conduit equals the xylem tension needed to aspire the gas phase under water
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13 163 stress, except for the sign which is opposite (Cochard et al. 1992, Martin-StPaul et al. 2014).
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15 164 Thanks to the OV method, embolism formation can be detected as a localized colour change,
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17 165 which corresponds to a change in light transmission through the xylem.
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21 167 *Bench dehydration (BD) procedure*

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24 168 Before starting measurements, Ψ_{leaf} was measured on one leaf for each branch using a
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26 169 Scholander pressure chamber (1505D, PMS Instrument Company, Albany, USA). Branches
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28 170 with $\Psi_{\text{leaf}} \geq -0.2$ MPa were considered as fully hydrated and suitable for OV measurements,
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30 171 carried out following the method described in Petruzzellis et al. (2020) with some
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32 172 modifications. According to vessel length, twigs of 10-15 cm were used for Ac and Oc
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34 173 (maximum vessel length of terminal twigs was 3 and 5 cm, respectively), while twigs of 30 cm
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36 174 were used for Pn (vessel length of terminal twigs was 20 cm). Then, one leaf without any
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38 175 damage symptoms was selected from each twig and was tightly attached with transparent
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40 176 tape to a cardboard mask, used to highlight a selected leaf area and prevent any potential
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42 177 light disturbance caused by the LED strip (1200 lumen). The leaf was secured to a Plexiglas
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44 178 panel with the adaxial surface facing the portion of the panel with a rectangular hole. This set
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46 179 up allowed to reduce sample's movement and shrinking during dehydration, while ensuring
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48 180 leaf-to-air gas exchange through the rectangular hole. A piece of grid paper was added on
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50 181 the Plexiglas panel to set the scale for the following image analysis. To avoid light scattering,
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52 182 the Plexiglas was placed towards the LED strip and the abaxial leaf surface towards the
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54 183 smartphone camera (models used: Asus Zenfone 4 Max and Nokia Lumia 1320). Twigs were
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56 184 let dehydrating in the laboratory at a room temperature and relative humidity of 25°C and
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58 185 40%, respectively, for different time intervals, from a minimum of 30 min to a maximum of 24
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3 186 h, and Ψ_{leaf} was measured on each scanned leaf at the end of each dehydration time. A total
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5 187 of 16, 19 and 27 leaves were measured for Ac, Oc and Pn respectively.
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9 189 *Gas-injection (GI) procedure*

11 190 Before starting measurements, Ψ_{leaf} was measured as described for the BD procedure. For
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13 191 each species, twigs with similar length of those used for BD procedure were detached from
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15 192 the stem and inserted in a series of gaskets: 1) PP cap; 2) sealing sleeve; 3) one 4 mm size
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17 193 and two 2 mm inner diameter rubber O-rings (Cole-Parmer Instrument Company). Then, they
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19 194 were firmly screwed on a PTFE cone (Cole-Parmer Instrument Company) connected to the
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21 195 Scholander pressure chamber through a high-pressure capillary tube. The Scholander
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23 196 pressure was connected to a tank filled with dry N_2 . For each twig, one leaf without any
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25 197 damage symptom was mounted on the OV-set up as described for the BD procedure. Each
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27 198 twig was then subjected to a gradual pressure (P_{inj}) increase. Starting from a value of 0.5
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29 199 MPa, pressure was increased by 0.3/0.5 MPa every 3 min, up to a maximum of ~5.0 MPa. In
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31 200 total, 9, 16 and 28 leaves were scanned for Ac, Pn and Oc, respectively.
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36 202 *Image capture and analysis*

38 203 Each smartphone was connected to a personal computer and the screen was mirrored using
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40 204 the app Vysor (v 2.2.2) for Android OS and ProjectMyScreenApp (v 1.2) for Microsoft OS
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42 205 (Petruzzellis et al. 2020). By using AutoIT software (v 3.3.14.3), the mouse was set to
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44 206 automatically click on the “take pictures” command of the camera (Petruzzellis et al., 2020).
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46 207 To obtain higher quality images, manual mode of the camera was used to manipulate some
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48 208 settings like camera light sensitivity (ISO), white balance (WB) and exposure value (EV). For
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50 209 Android OS, the following options were applied: ISO=400, WB=2500 and EV=+0.3 for warm
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52 210 light LED; ISO= 800, WB=4000 and EV=0 for cold light LED. For Microsoft OS: ISO=400,
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54 211 WB=4000 and EV=0 for cold light LED. Images were taken every 30 and 90 seconds for the
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56 212 gas-injection and bench dehydration procedures respectively, and image sequences were
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58 213 processed in ImageJ (Schneider et al. 2012) with the “OSOV toolbox” plugin following the
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3 214 procedure described in <http://www.opensourceov.org>. To estimate the embolized vein length
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5 215 per unit area (VLA_{emb}), the cumulative length of embolized veins and leaf total area were
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7 216 measured, and VLA_{emb} was measured as:

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$$11 \quad 218 \quad VLA_{emb} = \text{Cumulative length of embolized veins} / \text{Leaf area [mm mm}^{-2}] \quad (1)$$

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15 220 For BD, one VLA_{emb} value was calculated for each scanned leaf and OV curves were
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18 221 calculated coupling VLA_{emb} and Ψ_{leaf} values measured at the end of the experiment.
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20 222 Conversely, for the gas-injection procedure, VLA_{emb} values were calculated for each value of
21
22 223 applied pressure, and a complete OV curve was generated for each sample.

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25 26 225 *Statistical analysis*

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28 226 P_{12} , P_{50} and P_{88} values and associated 95% confidence intervals (CIs) were calculated for
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30 227 each dehydration procedure (i.e. BD and GI) and for each species using the *fitplc* R package
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32 228 (Duursma and Choat 2017) and R 4.2.1 (R Core Team, 2020). Specifically, OV curves were
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34 229 fitted using a Weibull model (Ogle et al. 2009), following Duursma and Choat (2017), and
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36 230 95% CIs were calculated through the bootstrap procedure ($n = 1000$). Moreover, for the GI
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38 231 dehydration procedure, sample ID was set as a random effect in the Weibull model. For sake
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40 232 of comparison, we reported P_{12} , P_{50} and P_{88} derived from both BD and GI OV curves as
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42 233 negative values. Differences between P_{12} , P_{50} and P_{88} values calculated using BD and GI OV
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44 234 curves were considered statistically different when 95% CIs did not overlap.

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46 235 Previous studies quantified optical embolism by calculating the ratio of the of embolized area
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48 236 at any Ψ_{leaf} and the total embolized xylem area after complete dehydration (as % of xylem
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50 237 embolized area; Brodribb et al. 2016; Cardoso et al. 2020; Avila et al. 2021). These studies
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52 238 measured Ψ_{leaf} continuously using a leaf psychrometer, but on a different leaf than the one
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54 239 attached to the “optical apparatus”, assuming water potential equilibrium and similar
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56 240 embolization pattern in adjacent leaves. For BD, we measured Ψ_{leaf} using a pressure chamber
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58 241 on the same leaf used for optical embolism detection. Consequently, for each sample, we

242 stopped the image acquisition at different times, detached the leaf from the “optical
 243 apparatus” and measured Ψ_{leaf} , preventing us to calculate the total embolized xylem area for
 244 each sample. For this reason, we calculated VLA_{emb} following Petruzzellis et al. (2020). On
 245 the other hand, the GI procedure allowed us to measure both Ψ_{leaf} and the applied pressure
 246 on the same leaf. In this light, for the GI procedure we also calculated the optical embolism
 247 as the % of embolized xylem area and compared P_{12} , P_{50} and P_{88} values with those obtained
 248 using VLA_{emb} . Differences between P_{12} , P_{50} and P_{88} values calculated using VLA_{emb} and the
 249 % of xylem embolized area approaches were considered statistically different when 95% CIs
 250 did not overlap.

251 Additionally, for each species we calculated the difference between P_{50} (obtained using
 252 VLA_{emb} as optical embolism measurement) values obtained through BD and GI procedure as
 253 follow:

$$254 \Delta P_{50} = |BD P_{50}| - |GI P_{50}| \quad (2)$$

255
 256 where $|BD P_{50}|$ and $|GI P_{50}|$ correspond to P_{50} absolute values obtained through the BD and
 257 GI procedures, respectively.

259 *Measurements of Leaf Vein Length per Unit Area*

260 To compare VLA_{emb} to the total VLA, VLA of major (VLA_{maj}) and minor (VLA_{min}) veins were
 261 measured on 5 leaves for each species as:

$$262 VLA = \text{Vein Length} / \text{Leaf sample area [mm mm}^{-2}] \quad (2)$$

263
 264 For major vein analysis, leaves were scanned with a desktop scanner and VLA_{maj} was
 265 measured using PhenoVein software (Bühler et al. 2015). VLA_{min} was measured following
 266 Petruzzellis et al. (2021). Specifically, small leaf portions (~2 cm²) were cut from 5 leaves per
 267 species and treated in 1 M NaOH solution for 72 h. Samples were further bleached with a
 268 NaClO 5% solution, dehydrated in a sequence of ethanol solutions at increasing
 269 concentrations (25%, 50%, 75% and 100%) and immersed in an ethanol solution of toluidine

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3 270 blue (2%) overnight. Samples were then treated in a series of ethanol solutions at decreasing
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5 271 concentrations. Before preparing microscopic slides of the samples, leaf hairs were carefully
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7 272 removed with a small brush. Images were then captured using the Zeiss AxioPlan
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9 273 fluorescence microscope equipped with a digital camera (model: CS505CU – Kiralux 5.0 MP
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11 274 Color CMOS Camera, Thorlabs) and VLA_{\min} was measured with PhenoVein software.

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16 276 *Phase contrast micro-CT observations*

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18 277 Despite the advantages of measuring OVc using the GI procedure (e.g. low number of
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20 278 samples needed, fast measurements), some studies have reported that GI could produce
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22 279 very high-threshold xylem pressure for embolism (i.e., low P_{50} values) compared with what is
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24 280 expected by using the standard BD procedure (Martin-StPaul et al. 2014). However, even the
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26 281 BD procedure, when applied to detached twigs, could be affected by an “open vessel artefact”
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28 282 (Lamarque et al. 2018). In this light, we performed micro-CT scans on Pn leaves at the
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30 283 SYRMEP beamline of the Elettra Synchrotron light source (Trieste, Italy) to validate the
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32 284 results obtained through the OVc. Specifically, micro-CT observations were performed using
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34 285 the propagation-based phase contrast modality (Fitzgerald 2000) on leaves attached to both
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36 286 intact individuals and cut shoots from 2-years-old Pn plants (saplings provided by a Regional
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38 287 Forest Service nursery). For each sample, Ψ_{leaf} was measured on one leaf using a Scholander
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40 288 pressure chamber (1505D, PMS Instrument Company, Albany, USA). As for the other
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42 289 procedures previously described, samples with a $\Psi_{\text{leaf}} \geq -0.2$ MPa were considered as fully
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44 290 hydrated and suitable for micro-CT measurements. To visualize the degree of embolization
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46 291 of the xylem vessels of the midrib at different Ψ_{leaf} , cut branches or entire plants were left
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48 292 dehydrating for different time intervals. Then, the shoot/plant was tightly attached to a wooden
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50 293 support to prevent any movement during sample rotation. For each shoot/plant, one leaf still
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52 294 connected to the stem and without any damage symptoms was selected, sealed in
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54 295 transparent tape and attached to the wood support with parafilm. The wooden support was
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56 296 placed within the sample holder and the scanning portion (~ 5 mm) of the midrib was aligned
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58 297 with the beam. Two filters (1 mm of aluminium and 1 mm of silicon) were used to obtain an

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3 298 average X-ray source energy of 22 keV. Exposure time was set at 100 ms, at an angular step
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5 299 of 0.5° s^{-1} , and the adopted sample-to-detector distance was 12 cm. During the 180° rotation
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7 300 of the sample, a total of 1800 projections were acquired. In total, 2048 slices per sample with
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9 301 a pixel resolution of $1 \mu\text{m}$, were reconstructed using the SYRMEP TomoProject software
10
11 302 (Brun et al. 2015). Ψ_{leaf} was measured immediately after image acquisition as described
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13 303 above. Images were acquired at Ψ_{leaf} ranges of -0.90/-0.95, -1.10/-1.20 and -1.60/-1.70 MPa
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15 304 ($n = 2$ for each Ψ range and group). These values were in the range of Ψ_{leaf} inducing either
16
17 305 no embolism (-0.90/-0.95 MPa) or some initial embolism in the BD procedure (-1.10/-1.20
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19 306 MPa) and leading to 50% embolism in the GI procedure (-1.60/-1.70 MPa).
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26 309 RESULTS

31 311 *OV curves*

32 312 In the BD procedure, leaves were dehydrated over different time intervals to reach a final Ψ_{leaf}
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34 313 of about -4 MPa in Oc and -5 MPa in Ac and Pn. During dehydration, the first embolism events
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36 314 were detected in the major veins at -1, -1.5 and -1.8 MPa in Pn, Ac and Oc, respectively (Fig.
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38 315 1). These events occurred in the midrib and propagated in minor veins as Ψ_{leaf} progressively
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40 316 became more negative (Fig. 1). In the GI procedure, gas was injected in leaf samples at a
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42 317 maximum P_{inj} of about 4 MPa in Ac e Pn and 5 MPa in Oc. The spatial pattern of gas
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44 318 propagation was similar to that observed in the BD procedure (Fig. 1), with embolism events
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46 319 initially occurring in the major veins, in particular in the midrib, and then propagating to the
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48 320 minor veins. However, the first embolism events generally occurred at relatively lower P_{inj}
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50 321 values (0.5 and 1.3 MPa, Fig. 1), compared with the BD procedure.
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52

53 322 P_{12} , P_{50} and P_{88} values and associated 95% C.I.s are reported in Tab.1. P_{12} values calculated
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55 323 with BD and GI procedures differed in Oc and Pn, as the 95% CIs did not overlap in these
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57 324 species (Tab. 1). Based on the BD procedure, Ac, Oc and Pn had P_{50} values corresponding
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59 325 to -2.87, -2.47 and -2.11 MPa, respectively. On the other hand, using the GI procedure, P_{50}

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3 326 values were -2.68, -2.04 and -1.54 MPa in Ac, Oc and Pn, respectively. P_{50} values measured
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5 327 with the two dehydration procedures did not differ in Ac and Oc, as indicated by overlapping
6
7 328 95% CIs (Tab.1 and Fig. 2). On the contrary, P_{50} measured using the GI procedure was
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9 329 significantly lower than in BD for Pn (1.54 vs -2.11 MPa, Tab. 1 and Fig. 2). P_{88} values
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11 330 obtained with the BD procedure did not differ from those obtained with GI, as suggested by
12
13 331 the non-overlapping 95% CIs (Tab. 1). A complete summary of the Weibull models run to
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15 332 calculate P_{12} , P_{50} and P_{88} values is available in Tab. S1. In general, P_{12} and P_{50} values
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17 333 calculated using the GI procedure tended to be higher than those calculated with BD.
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19 334 Considering P_{50} , this discrepancy was higher in Pn ($\Delta P_{50} = 0.57$ MPa) and lower in Ac (ΔP_{50}
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21 335 = 0.19 MPa) and Oc ($\Delta P_{50} = 0.43$ MPa), reflecting species-specific vessel lengths (Fig. 3).

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23 336 Regarding possible differences arising from different optical embolism quantification, we
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25 337 detected similar P_{12} and P_{50} values obtained using VLA_{emb} and the % of embolized xylem
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27 338 area, except for P_{50} , which was lower using the VLA_{emb} approach in Pn (Tab. S2). On the
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29 339 contrary, significant lower P_{88} values were obtained using the VLA_{emb} approach in all the three
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31 340 species (Tab. S2). A complete summary of the Weibull models run for this analysis is available
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33 341 in Tab. S3

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35 342 At the end of BD, total VLA_{emb} was about 0.4 mm mm⁻² for all the species. For Oc, VLA_{emb}
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37 343 was similar to VLA_{maj} (Table 2), whereas for Ac and Pn it was higher (Tab. 2), since embolism
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39 344 events were detected also in minor veins. At the end of the GI experiment, VLA_{emb} was about
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41 345 0.4, 0.6, and 0.8 mm mm⁻² for Oc, Ac and Pn respectively. For Ac and Pn, higher VLA_{emb}
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43 346 values were detected in the GI vs BD procedure at corresponding absolute pressure values.

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46 348 *Micro-CT observations*

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48 349 High-resolution images were obtained with micro-CT to visualize embolized vessels in the
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50 350 midrib of Pn leaves (Fig. 4). Leaves attached to cut shoots and entire 2-years old plants
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52 351 showed none or very low embolism levels at the -1.10/-1.20 MPa Ψ range, consistent with
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54 352 the BD-based OVC. The entire xylem area in the midrib of both leaves attached to cut shoots
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56 353 and entire plants was embolized at -1.60/-1.70 MPa Ψ values, i.e. within P_{50} CIs in the GI

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3 354 procedure (Tab. 1) and corresponding to Ψ values triggering the propagation of emboli in the
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5 355 BD procedure (Fig. 1).

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11 358 DISCUSSION

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15 360 Both BD and GI procedures induced embolism formation in the leaf vein network of the three
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17 361 studied species, allowing us to construct optical vulnerability curves for calculation of species-
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19 362 and method-specific P_{50} values. In both methods, the pattern of embolism formation was
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21 363 similar with early events detected in the major veins. Higher order veins were found to
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23 364 embolize at progressively higher tension (for BD) or gas pressure (for GI). This observation
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25 365 is consistent with several other experiments on leaves of different species based on the
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27 366 optical technique (Brodribb et al. 2016, Hochberg et al. 2019), but at odds with early attempts
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29 367 to visualize the spatial pattern of embolism formation in leaves based on injection of dyes
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31 368 (Salleo et al. 2001, Trifilò et al. 2003). These early studies suggested that embolism was
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33 369 initiated in the minor veins, revealing a possible artefact of such staining techniques related
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35 370 to reduced dye flow to terminal veins following an initial reduction of conductive capacity of
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37 371 major veins, or caused by minor veins collapse and occlusion before embolism occurrence
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39 372 (Zhang et al. 2016). On the other hand, it should be noted that the total VLA_{emb} measured in
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41 373 our study was about 0.5 mm mm^{-2} for the three species, a value higher than VLA_{maj} but
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43 374 significantly lower than VLA_{min} , indicating that any eventual embolism event in the very minor
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45 375 veins could not be detected. Indeed, a direct comparison of total VLA_{emb} with both VLA_{maj} and
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47 376 VLA_{min} is generally not reported in studies using the optical technique, and a visual analysis
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49 377 of published images suggests that in most cases only veins up to 3rd or 4th order can be clearly
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51 378 visualized as embolized (e.g. Brodribb et al. 2016, Creek et al. 2020, Cardoso et al. 2020).
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53 379 This might suggest that minor veins are highly resistant toward embolism formation, or that
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55 380 the optical technique is not always adequate to capture embolism events occurring in the
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57 381 highest order veins.

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3 382 Despite similar patterns of embolism propagation, the two procedures produced different
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5 383 estimates of leaf vulnerability to xylem embolism. During GI, embolism events were observed
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7 384 at pressures below 1 MPa, in contrast with BD where xylem tension of at least -1 MPa were
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9 385 necessary to induce embolism in Pn, and no embolism event was detected down to -2 MPa
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11 386 in Ac and Oc. As a result. P_{12} values obtained with the GI procedure were significantly higher
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13 387 than those obtained with BD in Oc and Pn (Tab. 1). It should be further noted that GI
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15 388 measurements likely over-estimated the pressure threshold inducing embolism. In fact, the
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17 389 applied pressure was measured at the injection point, but it is likely that resistances
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19 390 interposed between the cut section of the twig and the leaf veins induced a progressive
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21 391 pressure drop (Cochard et al. 2004), making difficult to determine exactly the pressure to
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23 392 which each vein order was actually exposed to during GI. This situation contrasts with the BD
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25 393 procedure, where embolism events generally occur after complete stomatal closure (Creek
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27 394 et al. 2020), so that water potential is expected to substantially equilibrate across the whole
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29 395 leaf and xylem tension is expected to reach the same value in all vein orders during
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31 396 progressive dehydration (Teare and Kanemasu 1972).

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34 397 The optical vulnerability curves based on BD or GI allowed us to calculate 'optical' P_{12} , P_{50}
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36 398 and P_{88} values. It should be noted that the functional meaning of these metrics remains still
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38 399 debated, because this is essentially based on the measurement of the amount of embolized
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40 400 pixels, which does not necessarily translate into an equivalent reduction of vein water
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42 401 transport capacity (Venturas et al. 2019), due to the typical redundancy of the vein network
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44 402 (Scoffoni and Jansen 2016) and complexity of the water transport pathways in the leaf (Salleo
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46 403 et al. 2003). On the other hand, other studies found good agreement between optical and
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48 404 hydraulic P_{50} values, both at stem (Gauthey et al. 2020; Avila et al. 2023) and leaf (Brodrigg
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50 405 et al. 2016) level. Regardless of its exact functional meaning, P_{12} and P_{50} of our study species
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52 406 were significantly different when estimated on the basis of BD or GI. Considering P_{50} , the
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54 407 vulnerability to xylem embolism was overestimated by only 0.19 MPa in Ac, but by as much
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56 408 as 0.43 and 0.57 MPa in Oc and Pn. This trend apparently reflects the species-specific vessel
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58 409 lengths, which were lowest in Ac and progressively higher in Oc and Pn. Similarly, Hochberg

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3 410 et al. (2019) reported higher P_{50} values obtained with GI vs BD procedures in *V. vinifera* (ΔP_{50}
4 = 0.40 MPa), which has a vessel length close to Pn (Zimmermann and Jeje, 1981; Venturas
5 411 = 0.40 MPa), which has a vessel length close to Pn (Zimmermann and Jeje, 1981; Venturas
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7 412 et al. 2016).

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9 413 In our analysis, VLA_{emb} was used as a measurement of optical embolism, as suggested in
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11 414 Petruzzellis et al. (2020), while previous studies quantified optical embolism by calculating
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13 415 the percentage of embolized xylem area (Brodribb et al. 2016; Cardoso et al. 2020; Avila et
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15 416 al. 2021). As mentioned above, measuring VLA_{emb} allowed us to measure OV curves and
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17 417 Ψ_{leaf} on the same leaves, while the above studies used adjacent leaves, assuming that they
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19 418 have the same embolization pattern during leaf dehydration. Despite reducing the variability
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21 419 due to the choice of different leaves, the VLA_{emb} approach could overweight the embolism
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23 420 events occurring in minor order veins, which contribute minimally to the leaf xylem hydraulic
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25 421 conductance. This could potentially lead to artificially low 'optical' P_{12} , P_{50} and P_{88} values. Our
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27 422 analysis suggests that VLA_{emb} underestimate P_{88} values (Tab. S2) if compared to the % of
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29 423 embolized area (Tab. S2), while similar values were obtained for P_{12} and P_{50} in two out of
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31 424 three species (except for Pn) using the two different approaches. These results overall
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33 425 support the hypothesis that the GI method applied to leaf optical vulnerability curves could be
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35 426 affected by the 'open-vessel' artefact, which is well known as a source of error in other
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37 427 hydraulic and imaging techniques aimed at quantifying xylem vulnerability to embolism
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39 428 formation (Martin-StPaul et al. 2014, Torres-Ruiz et al. 2014; Guan et al. 2021).

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43 429 Furthermore, the open-vessel artefact might also affect the OVC based on BD of cut branches,
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45 430 like actually done in our experiments. To check for this possible additional source of error, we
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47 431 performed micro-CT observations of both cut shoots and intact plants of Pn dehydrated to
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49 432 different target water potential values. In the case of cut shoots, the leaf midrib showed none
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51 433 or very few embolized conduits at a water potential of about -1.2 MPa, consistent with the
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53 434 OVC obtained with the BD procedure but again at odds with that derived on the basis of GI.
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55 435 Upon water potential drop to about -1.6 MPa, most xylem conduits appeared embolized in
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57 436 the midrib of leaves from both entire plants and cut shoots, in accordance to the OVC obtained
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59 437 with both procedures, which showed VLA_{emb} values close to 0.1 mm mm⁻² (ca. 30% of

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3 438 maximum VLA_{emb}) and 0.4 mm mm^{-2} (ca 50% of maximum VLA_{emb}) for BD and GI,
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5 439 respectively. These observations further confirm that the GI method largely overestimated the
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7 440 vulnerability to xylem embolism of the leaf vein network.

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9 441 It should be considered that our GI experiments were performed using dry compressed N_2 ,
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11 442 and it is possible that pit membrane dehydration caused by the treatment also contributed to
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13 443 increased vulnerability to embolism compared to bench dehydration. It would be interesting
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15 444 to test in future studies whether the use of humidified air might improve the reliability of OVC
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17 445 based on gas injection.

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19 446 Overall, our data indicate that GI is not a reliable technique for quantification of leaf hydraulic
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21 447 vulnerability, suggesting that accurate detection of xylem embolism in the leaf vein network
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23 448 should be preferably based on BD of intact up-rooted plants. Unfortunately, these findings
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25 449 also imply that we still do not have any 'fast' and reliable technique to quantify the P_{50} of
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27 450 leaves of different species, somehow limiting the possibilities to currently include this
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29 451 important functional trait in broad-scale studies or for agronomic purposes.

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34 453 CONFLIC OF INTEREST

35
36 454 None declared.

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41 456 DATA AVAILABILITY

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43 457 The data that support the findings of this study are available from the corresponding author,
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45 458 FP, upon reasonable request.

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48
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52
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4
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9 469 AUTHORS' CONTRIBUTION

10
11 470 FP, ADB, ET, GB and AN designed and planned the experiments; FP, ADB, ET, PT and AN

12
13 471 designed the experimental set up and performed the experimental measurements. FP, MT,

14
15 472 SN, GT, FDL, LA and AN performed micro-CT observation. FP, ET, GB and AN analysed

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17 473 data. FP and AN wrote the manuscript, with contribution from all co-authors.
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For Peer Review

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3 689 FIGURE LEGENDS
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7 691 Fig. 1. Spatial distribution of embolism propagation in leaves of *Acer campestre* (Ac), *Ostrya*
8 *carpinifolia* (Oc) and *Populus nigra* (Pn) based on bench dehydration (BD) or gas-injection
9 692 (GI) procedures. Embolism events are coloured according to the corresponding leaf water
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11 693 potential or injection pressure (MPa).
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18 696 Fig. 2. Optical vulnerability curves measured in *Acer campestre* (Ac), *Ostrya carpinifolia* (Oc)
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20 697 and *Populus nigra* (Pn) based on bench dehydration (BD) or gas injection (GI). The
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22 698 cumulative length of embolized veins per unit area (VLA_{emb}) is showed as a function of leaf
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24 699 water potential (Ψ) or injected pressure (P_{inj}) for BD and GI procedures, respectively. Dashed
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26 700 lines indicate leaf water potential and pressure injected inducing 50% of embolism (P_{50})
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28 701 (continuous line), while gray and green shaded areas represent 95% confidence intervals P_{50} ,
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30 702 as measured with bench dehydration (BD) and gas-injection (GI) procedures, respectively.
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34 704 Fig. 3. Vessel lengths (cyan colour) and discrepancy between P_{50} values calculated with BD
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36 705 and GI procedures (ΔP_{50} , red colour) in *Acer campestre* (Ac), *Ostrya carpinifolia* (Oc) and
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38 706 *Populus nigra* (Pn).
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43 708 Fig. 4. Representative transverse sections reconstructed from micro-CT scans, showing the
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45 709 midrib of *Populus nigra* (Pn) leaves attached to intact plants (first row) and cut shoots (second
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47 710 row) at three different Ψ ranges. Dark areas represent gas filled xylem conduits or air spaces.
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5 713
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7 714 Table 1. Mean values and associated 95% confidence intervals (CIs) of leaf water potential
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9 715 inducing 12%, 50% and 88% of embolism (P_{12} , P_{50} and P_{88}) measured in *Acer campestre*
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11 716 (*Ac*), *Ostrya carpinifolia* (*Oc*) and *Populus nigra* (*Pn*) with the optical technique, based on
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13 717 bench dehydration (BD) or gas-injection (GI).
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Species	Dehydration procedure	P_{12} (MPa)	CI 2.5%	CI 97.5%	P_{50} (MPa)	CI 2.5%	CI 97.5%	P_{88} (MPa)	CI 2.5%	CI 97.5%
Ac	BD	-1.73	-1.13	-2.22	-2.87	-2.43	-3.53	-5.74	-4.09	-11.23
	GI	-1.65	-1.40	-1.91	-2.68	-2.46	-2.90	-5.56	-4.12	-6.99
Oc	BD	-1.76	-1.46	-2.12	-2.47	-2.26	-2.67	-4.04	-3.32	-5.51
	GI	-1.10	-0.80	-1.23	-2.04	-1.80	-2.29	-3.90	-2.74	-5.07
Pn	BD	-1.66	-1.52	-1.96	-2.11	-1.98	-2.28	-3.11	-2.10	-4.04
	GI	-0.51	-0.38	-0.64	-1.54	-1.36	-1.72	-2.76	-1.85	-3.66

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3 721 Table 2. Mean values and associated standard deviation of major and minor vein length per
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5 722 unit area (VLA_{maj} and VLA_{min} , respectively) measured in *Acer campestre* (Ac), *Ostrya*
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7 723 *carpinifolia* (Oc) and *Populus nigra* (Pn).
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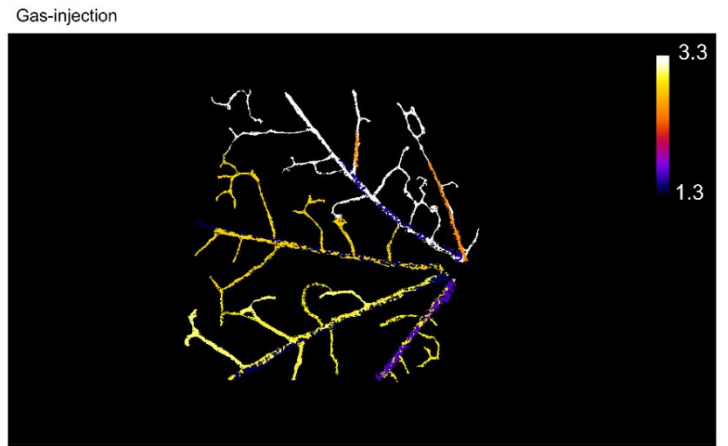
Species	VLA_{maj} (mm mm ⁻²)	VLA_{min} (mm mm ⁻²)
Ac	0.25 ± 0.01	9.27 ± 0.60
Oc	0.36 ± 0.03	8.30 ± 0.45
Pn	0.17 ± 0.02	11.93 ± 1.64

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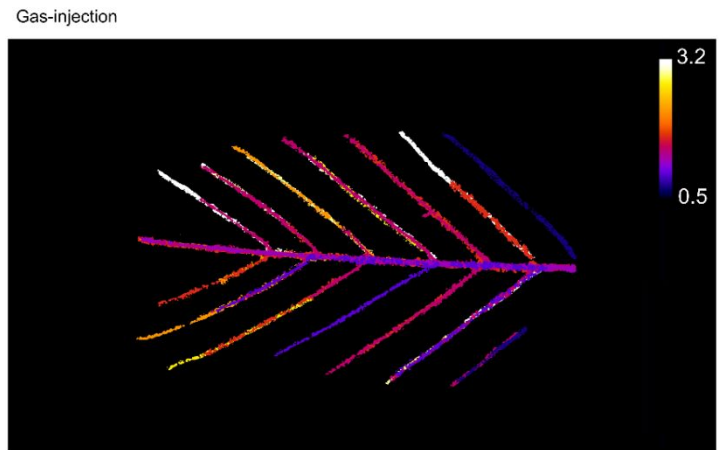
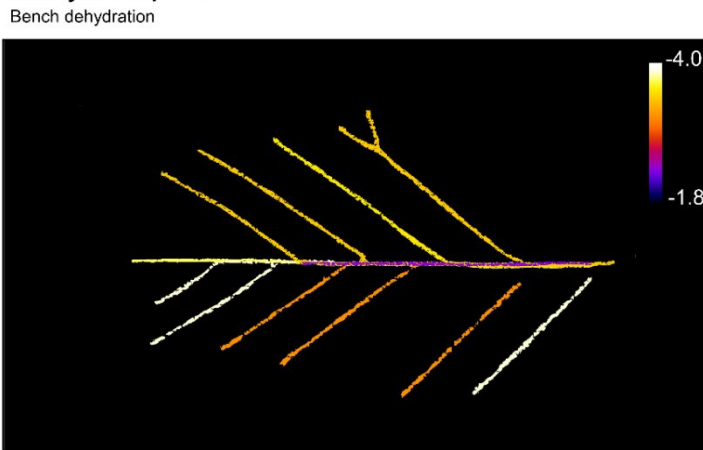
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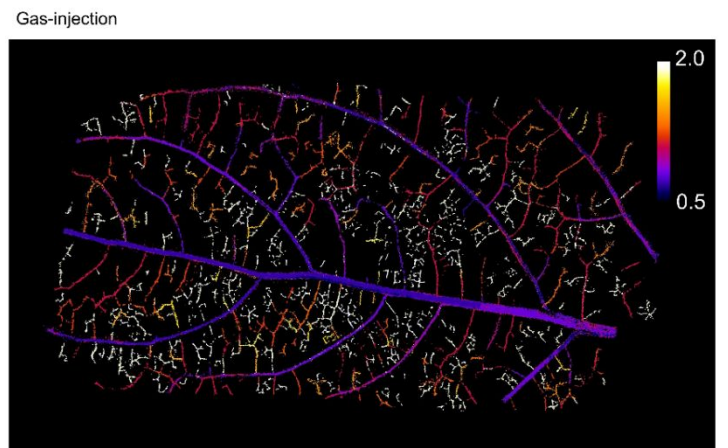
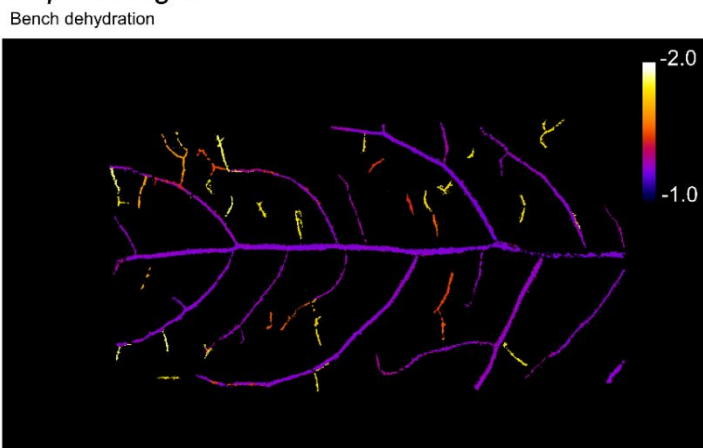
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Acer campestre



Ostrya carpinifolia

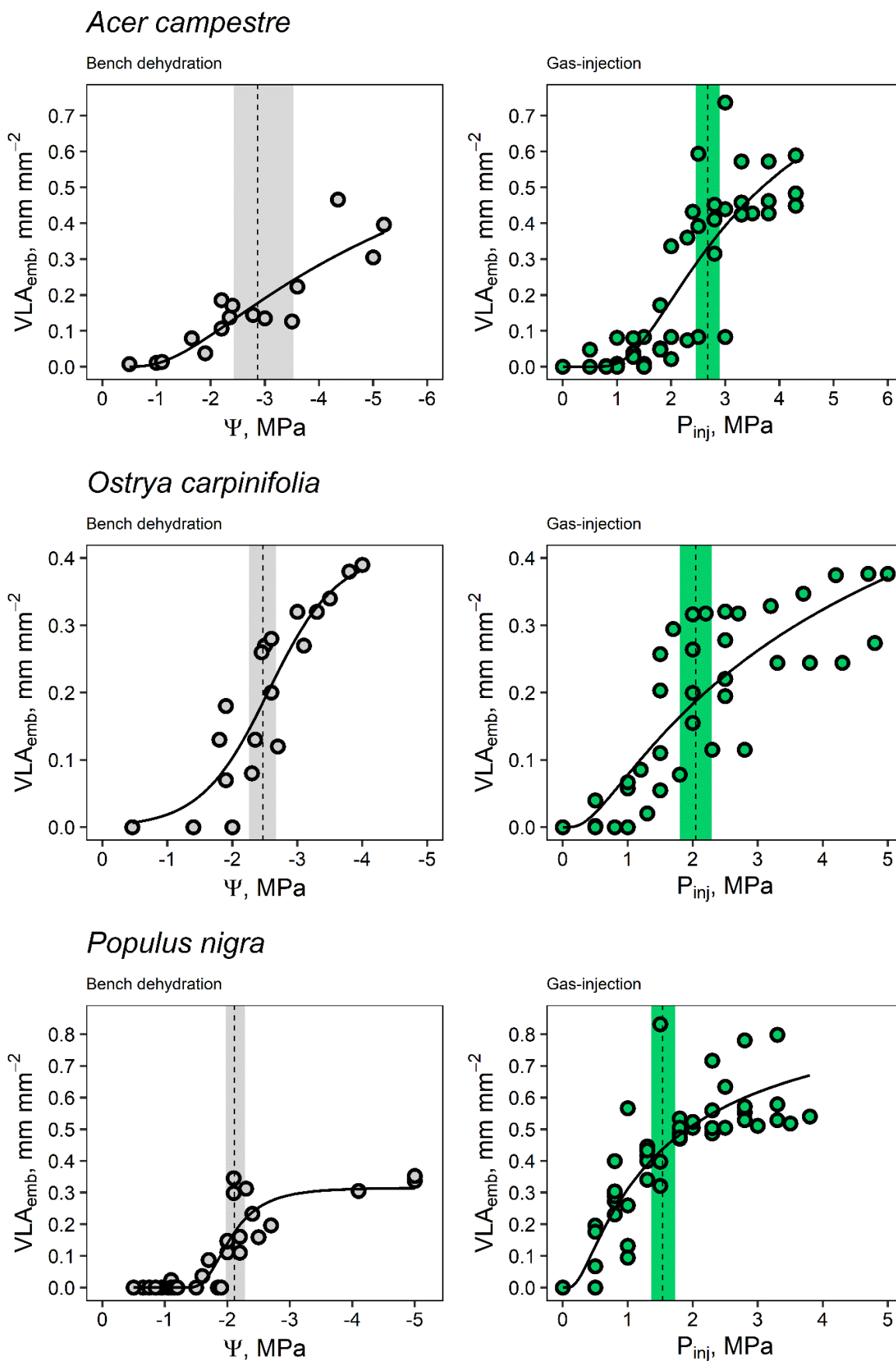


Populus nigra



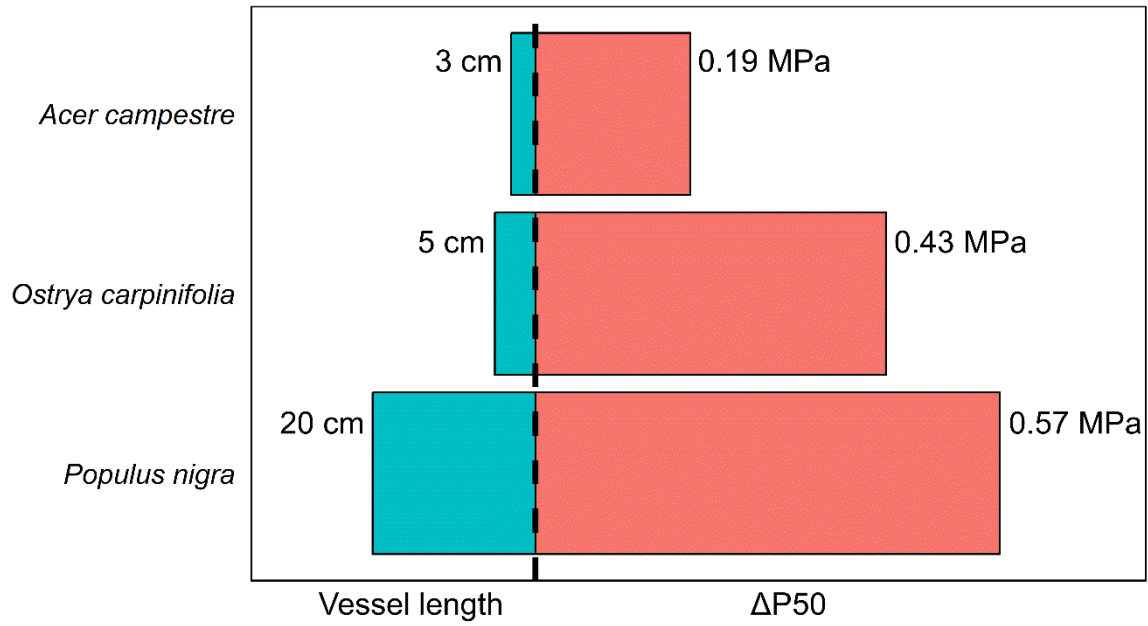
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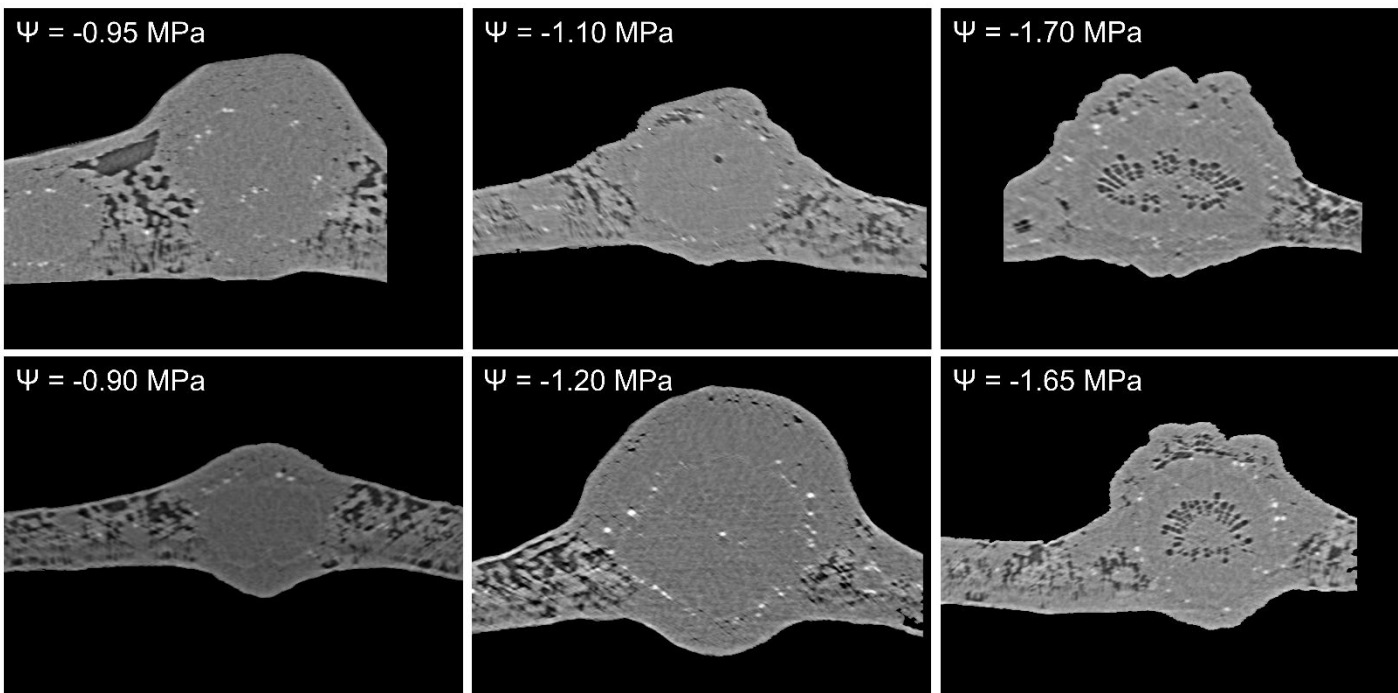
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Figure 3.

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742 Figure 4.

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