

Article

# Integrated Carbonate-Based CO<sub>2</sub> Capture—Biofixation through Cyanobacteria

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**Abstract:** Microalgae, renowned for their high photosynthetic efficiency and minimal competition with land-based crops, hold great promise in the biofixation of CO<sub>2</sub> from waste sources, making them valuable for diverse applications, including biofuels, food production, and biomaterials. An innovative technology, the integrated carbonate-based carbon capture and algae biofixation system is emerging as an alternative to traditional carbon capture and sequestration (CCS) methods. This closed-loop system utilizes bicarbonates as inorganic carbon sources, which can directly enter microalgae photosynthesis, subsequently regenerating carbonates for another cycle of carbon capture. This system offers significant advantages, including cost savings in carbon supply, simplified photobioreactor development, and reduced labor and energy requirements. Nevertheless, further research is essential to evaluate the suitability of various microorganisms and search for optimal growth conditions. In this study, we assessed the performance of two strains of *Spirulina* within the integrated system. Employing a Design of Experiments approach, we simultaneously varied temperature, bicarbonate concentration, and light irradiation while operating within a lab-scale photobioreactor. We achieved remarkable results, with a biomass productivity of 875 mg/L·d and an impressive CO<sub>2</sub> utilization efficiency of 58%. These findings indicate a genuine opportunity for further exploration and scaling of this approach in industrial settings.

**Keywords:** microalgae; cyanobacteria; CO<sub>2</sub> biofixation; carbonate CCS; photobioreactor

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## 1. Introduction

Since mankind transitioned from wood to fossil fuels as their primary energy source, global energy demand has continued to rise exponentially. This shift has resulted in a heavy reliance on the combustion of gas, coal, or oil, accounting for approximately 79% of energy production in 2019 [1]. However, the use of fossil fuels has been linked to detrimental effects on the environment due to the significant amounts of pollutants and greenhouse gases released into the atmosphere. For instance, in 2019 alone, anthropogenic activities generated a staggering 40 gigatons of CO<sub>2</sub>, according to the International Energy Agency [2].

The accumulation of greenhouse gases in the atmosphere acts as a thick blanket, effectively trapping infrared radiation and preventing it from escaping into outer space. This phenomenon triggers a steady rise in temperatures of the Earth's atmosphere and surface, commonly referred to as global warming, along with several related consequences, such as an enhanced frequency and intensity of extreme weather events, prolonged droughts, and heatwaves [3,4].

In order to partly compensate for anthropogenic CO<sub>2</sub> emissions, one promising solution is the implementation of carbon capture and sequestration (CCS) systems. These systems typically involve capturing CO<sub>2</sub> from low-concentration gaseous sources (including the atmosphere itself, industrial flue gases, or output from anaerobic digesters) using solid or liquid alkaline absorbents, such as alkali (hydr)oxides or organic amines. These absorbents can be regenerated through thermal treatment, allowing for the subsequent utilization of the released CO<sub>2</sub> [5]. Carbonates have been widely used as CO<sub>2</sub> absorbents, rapidly forming bicarbonates as indicated by Equation (1). Upon heating above around 80 °C (depending on the counter ion), these bicarbonates can regenerate carbonates (reverse arrow). The use of a liquid CO<sub>2</sub> carrier offers several advantages in various applications, primarily due to its ease of handling, such as pumping, in comparison to solid carriers. Therefore, it is often preferable to use an aqueous solution of a soluble carbonate (often sodium, potassium, or ammonium), prepared either before or after transporting the carrier.

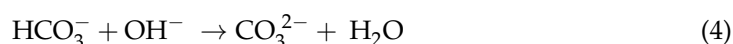
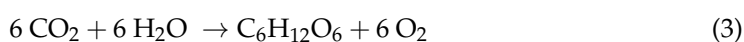


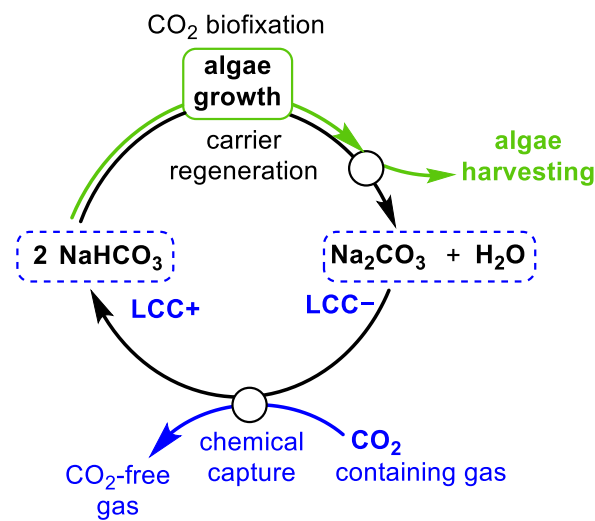
Several avenues exist for the application of captured CO<sub>2</sub>. A prevalent option is the chemical reduction of CO<sub>2</sub> into simple organic molecules, such as CO or methane, which can serve as a valuable fuel source [6]. Despite its clear concept, CCS methods present significant challenges due to the overall expenses of CO<sub>2</sub> management, including the energy requirements for absorbent thermal regeneration, CO<sub>2</sub> compression, and distribution. For example, Kadam et al. estimated that the capital and operating costs for compressing, drying, and transporting CO<sub>2</sub> through a pipeline over a distance of 100 km would amount to approximately EUR 11.3 per metric ton [7]. These costs can be even higher in the absence of existing infrastructure.

An integrated carbon capture and utilization (ICCU) system, which combines CO<sub>2</sub> capture with its direct utilization, offers several advantages in comparison to systems featuring separate stages [8]. This results not only in reduced CO<sub>2</sub> management costs but also leads to process intensification [9], wherein multiple unit operations can be incorporated in simpler machinery. This can lead to synergist simplification.

The integration of a carbonate-based CCS system with microalgal cultivation, referred to as “Bicarbonate-based Integrated Carbon Capture and Algae Production System” (BICCAPS) or “CO<sub>2</sub> Absorption and Microalgae Conversion” (CAMC) [9], serves as an attractive example. This integration is enabled by microalgae’s capacity to directly uptake bicarbonate, facilitated by the “CO<sub>2</sub> concentrating mechanism”, which involves membrane-bound and intracellular carbonic anhydrases [10,11]. Figure 1 illustrates the concept of the system, using sodium salts as an example. Initially, the CO<sub>2</sub>-rich flue gas is chemically fixed in an aqueous carbonate solution (pH~12, Liquid Carbon Carrier in its “discharged” form, LCC–), resulting in the formation of a bicarbonate solution (Equation (1)) with double the concentration (pH~8.0, Liquid Carbon Carrier in its “charged” form, LCC+).

Bicarbonate can enter metabolic pathways of microalgae, where it is converted into CO<sub>2</sub> for fixation by rubisco inside the pyrenoid [12,13]. Hydroxyl ions are also co-produced (Equation (2)). The released CO<sub>2</sub> can then be fixed as reduced carbon compounds, leading to biomass growth (Equation (3)), which exemplifies the case of bioreduction to glucose). However, the interaction of hydroxyl ions with bicarbonate causes carbonate to progressively become the dominant inorganic carbon species in solution (Equation (4)), a form that microalgae cannot metabolize. Consequently, the solution remaining after biomass harvesting can be directly recycled as LCC– and can further be used to chemically adsorb more CO<sub>2</sub> (Equation (1)).





**Figure 1.** Schematic representation of the integrated carbonate-based CCS biofixation system, where the blue line refers to the capture of CO<sub>2</sub> from the flue gas, the black lines refer to the carbonate-bicarbonate cycle, and the green line refers to microalgae growth and harvesting.

A number of advantages are offered by this biotechnological process, such as:

- Energy efficiency. The integrated system eliminates the need for thermal desorption of CO<sub>2</sub>, compression, and distribution, thereby reducing the CO<sub>2</sub> management costs. Biofixation occurs at near room temperature, making it more energy efficient than conventional chemical processing at higher temperatures.
- Process intensification. The process features fewer components, resulting in a reduction in capital expenditures (CapEx), labor required for operation, and maintenance costs.
- Resource recycling. Operating as a closed-loop system, it allows for the reuse of the liquid carbonate carrier in multiple carbon capture cycles, reducing the need for continuous input of alkaline species and water. Additionally, a portion of the required nutrients can often be recycled.
- Flue gas purification. Many attempts to directly use flue gas as an economical source of CO<sub>2</sub> gas come with several critical issues, including low biofixation efficiency due to low CO<sub>2</sub> water solubility, and a potential hindrance to algal growth caused by toxic substances such as SO<sub>x</sub>, NO<sub>x</sub>, and heavy metals. Using a carbonate carrier enables the selective fixation of CO<sub>2</sub>, resulting in purification from harmful species present in flue gas, such as SO<sub>x</sub>, NO<sub>x</sub>, and heavy metals.
- Limitation of CO<sub>2</sub> outgassing. At pH values lower than around 8.0, part of the CO<sub>2</sub> is present as dissolved gas [14], which has very low solubility in water (approximately  $1.25 \times 10^{-5}$  mol/L in standard conditions), resulting in rapid CO<sub>2</sub> loss to the atmosphere. Working at pH values higher than 8.0 converts CO<sub>2</sub> into stable dissolved inorganic carbon (bicarbonate and carbonate).
- Biomass production. The produced microalgae biomass has various potential applications, depending on the specific strain, including biofuels, food production, biochemicals, and biomaterials.

However, some limitations and challenges also exist:

- Algae suitability. The effective operation of the system depends on the identification of suitable microalgae strains capable of ensuring consistent performance. Specifically, the microalgae strain must thrive in highly alkaline environments, corresponding to the complete shift in pH from bicarbonate (pH = 8) to carbonate (pH = 12). It is worth noting that the pH buffering ability of the HCO<sub>3</sub><sup>-</sup>/CO<sub>3</sub><sup>2-</sup> couple could aid in adapting the culture. In addition, the algae strain must tolerate a high ionic strength [15] as this will define the maximum tolerated bicarbonate concentration, and consequently, the maximum carbon density (minimum volume) of the carrier. The

solubility of  $\text{NaHCO}_3$  in water (96 g/L at 20 °C) exceeds the saline tolerance limit of known algae cultures.

- Biofixation rate and efficiency. For an optimal operation, both the  $\text{CO}_2$  absorption rate (R) and  $\text{CO}_2$  utilization efficiency (U) are essential. As the rate-determining step, this defines the overall processing speed of the integrated system (Figure 1). The operativity of the carbon capture step must be modulated based on the biofixation speed to avoid the need for storing large volumes of LCC+ (awaiting biofixation). Factors such as temperature, pH, light intensity, and nutrient availability need to be carefully controlled and optimized. Cultivation is often harvested near the end of the exponential growth phase, where the increased turbidity of the cell suspension begins to limit the amount of diffused light, affecting the photosynthetic process [16].
- Harvesting easiness. The system requires an efficient harvesting method to separate the microalgae biomass from the cultivation medium, which can be challenging and costly [17].
- Economic viability. The system involves important CapEx, which includes the purchase and set-up of equipment for algae cultivation and for the carbon capture stage. The system also requires a significant amount of energy, water, and materials (e.g., nutrients) [11]. The higher the carrier concentration tolerated by the culture, the lower the volume, together with lower capital and operational expenses. The value of the produced biomass can improve the overall economic balance.
- Carrier recyclability. Aqueous carbonates and bicarbonates are not degraded during the cycling, but the accumulation of certain metabolites may require periodic purification.
- Scaling up issues. Maintaining system efficiency and productivity on a larger scale requires significant engineering and logistical considerations, especially regarding light irradiation efficiency. Moreover, for consistent performance at scale, it is necessary to develop strategies to prevent and control microalgae contamination. Alkaline pH should limit some kind of contamination.
- Closed loop vs. open loop plant design. Each biofixed  $\text{CO}_2$  molecule is accompanied by the production of one molecule of oxygen (Equation (3)). It is known that an increase in dissolved oxygen can lead to microalgae growth inhibition due to photorespiration [18], a condition where  $\text{O}_2$  competes with  $\text{CO}_2$  in the interaction with the rubisco enzyme [19]. Increased oxygen levels in closed-loop systems can also pose plant safety issues. Open-loop systems appear to be a simpler solution, but the outgassing of some  $\text{CO}_2$ , especially during the initial phases of operation when the pH is lower, must be evaluated.

From the above considerations, it can be deduced that the development of an efficient microalgae-based ICCU system strongly relies on identifying an alkaline-tolerant, saline-tolerant strain capable of high growth rates. However, isolating industrially useful alkaliphilic green algae can be a complex undertaking. While prokaryotic cyanobacteria can thrive under high pH conditions, they may not be as versatile or suitable for broader industrial applications as eukaryotic green algae. Although obtaining ideal green algae from extreme environments such as Soda Lake is a possibility, implementing alkaline cultivation as a universal microalgae culture method remains challenging due to the unique requirements and limitations associated with such microorganisms. Therefore, further research should focus on evaluating microorganisms and identifying optimal growth conditions. This is essential to enable the overall techno-economic assessment of a microalgae-based ICCU system, even before considering scaling up.

After conducting an initial screening of available strains, including intentionally acquired strains such as *Euhalotheca* ZM001 [15], it was found that the “regular” *Spirulina* species exhibits high potential for the intended application [20–22]. *Spirulina* sp. boasts the highest worldwide production volume of microalgal biomass and is renowned for its alkaliphilic nature, alongside its substantial protein content and a variety of other valuable byproducts [23].

The industrial readiness of these strains prompted us to conduct a performance assessment of two *Spirulina* strains, a type of blue-green algae cyanobacteria, within a laboratory-scale integrated photobioreactor. Employing a Design of Experiments (DoE) statistical approach, the simultaneous variation of three independent growth parameters such as temperature, light intensity, and bicarbonate concentration was studied. While conducting the evaluation, we also considered the outgassing of CO<sub>2</sub> into the atmosphere, which led us to explore the implementation of a closed-loop design. This design modification resulted in significantly improved CO<sub>2</sub> utilization efficiency.

## 2. Materials and Methods

### 2.1. General Information

Reagents and solvents were commercial grade and used as received. The micronutrient solution was prepared as described by the Culture Collection of Algae at the University of Göttingen [24]. One liter of the modified *Spirulina* medium was prepared according to reference 25 [25]. The following compounds were first dissolved, under stirring, in bidistilled water (Merck Millipore, ~100 mL): NaHCO<sub>3</sub> (7.56 g), KH<sub>2</sub>PO<sub>4</sub> (0.5 g), NaNO<sub>3</sub> (2.5 g), K<sub>2</sub>SO<sub>4</sub> (1.0 g), NaCl (1.0 g), MgSO<sub>4</sub>·7H<sub>2</sub>O (0.2 g), CaCl<sub>2</sub>·2H<sub>2</sub>O (0.04 g), FeSO<sub>4</sub>·7H<sub>2</sub>O (0.01 g), and Na<sub>2</sub>EDTA (0.08 g). The described micronutrient solution (5.0 mL) was added to this solution, then the volume was brought to 1000 mL with bidistilled water.

The Optical Density at  $\lambda = 680$  nm (OD<sub>680</sub>) of the culture samples was measured using a Biochrom Ultrospec<sup>®</sup> III UV/Visible spectrophotometer. The chosen wavelength (680 nm) corresponds to a typical absorbance peak of Chlorophyll *a* [26], which provides a reading proportional to the concentration of suspended cells.

### 2.2. Microalgae Strains

The cyanobacterium Spr01 was a *Spirulina* strain donated by a company from Bergamo (Italy). The strain *Spirulina platensis* Spr02 was kindly donated by Algae S.p.A., a company devoted to the development and supply of industrial photobioreactors. Both strains were maintained with the described *modified Spirulina* medium in a 100 mL inoculum inside a 250 mL Erlenmeyer flask. The inoculums were kept at room temperature ( $25 \pm 2$  °C) under a cool white LED lamp and were stirred with an orbital agitator at 80 rpm. The inoculums were made fresh every 14 to 21 days.

Elemental analysis of a number of Spr01 samples allowed us to define its mean composition, that is,  $44 \pm 1$  wt% in carbon, and  $10 \pm 1$  wt% in nitrogen. Considering the mean nitrogen-to-protein conversion factor (=6.25) [27], the protein content is estimated to be 63 wt%. Analysis of Spr02 samples gave the following values:  $48 \pm 1$  wt% in carbon and  $9 \pm 2$  wt% in nitrogen. The estimated protein content is 56 wt%. These values are in good agreement with the average carbon content of microalgal biomass, which typically ranges from 37% to 52% [28], and with the expected protein content of blue-green cyanobacteria [29]. Representative elemental analyses of the samples are collected in Table S1.

Cell morphology was observed on a temporary slide at  $\times 400$  and  $\times 1000$  magnification by means of a light microscope with differential interference (Leica Leitz BMRD, equipped with an Amscope MU1803 camera). Representative images are shown in Figure S1. The temporary slide was prepared as follows: 0.5 mL of culture solution was centrifuged and the obtained biomass pellets were diluted with 0.5 mL of distilled water, then a single droplet was placed on the slide. The slide was then dried at 37 °C and a 30 wt% aqueous solution of glycerin was added to suspend the biomass. Spr01 is constituted of round cells with a diameter ranging from 2 to 2.5  $\mu\text{m}$ , which forms small clusters in solution. On the other hand, Spr02 is composed of cells with a diameter between 2.5 and 4  $\mu\text{m}$ , forming straight filaments measuring 300–900  $\mu\text{m}$  in length. This significant difference in morphology is consistent with the macroscopic appearance of respective aqueous suspensions. Spr01 forms fine and uniform systems that require a long time to settle, while Spr02 suspensions



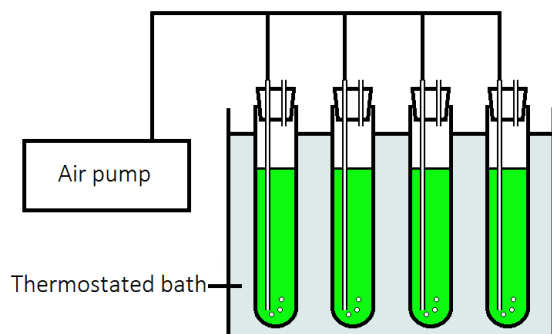
appear cloudy and settle quickly when mixing is stopped. These features also explain the different harvesting methods employed for the two strains.

### 2.3. PBR Design and Operation

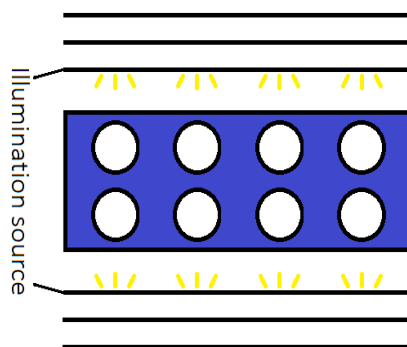
The photobioreactor (PBR) employed for this study was custom-built and consisted of a thermostatic recirculated water glass tank, constructed by bonding together five glass plates using silicon glue. Within the tank, eight biological glass tubes were positioned as culture slots, with each tube having an inner diameter of 3.0 cm, a height of 20 cm, and a working volume of approximately 70 mL. These culture tubes were arranged in two rows, and placed in the two longer sides of the tank. For illumination purposes, two LED panels are employed, with one panel positioned on each side of the PBR. Each LED panel comprised four LED strips, and each strip was aligned with the corresponding culture tube. The LED elements offer a lighting capacity of 18 W/m, producing 6300 lumens of cold white light with a color temperature of 4000 K. Power to the LED is supplied by a 24 V DC power supply unit.

To ensure stability and proper alignment of the system, two 3D-printed holders in PET polymer were designed: one external and one internal. The internal support comprises two parts: an upper component with eight holes and a bottom component with eight concave sockets, perfectly aligned with four M4 stainless steel threaded bars. These components work together to securely hold the culture tubes in place. Additionally, a central hole on the top part is included to accommodate a thermometer. On the other hand, the external support is designed with a central zone to hold the glass tank and three slots on each side to accommodate the LED panels. Using these three slots, the LED panel can be positioned at various distances from the tank, enabling the adjustment of illuminance levels.

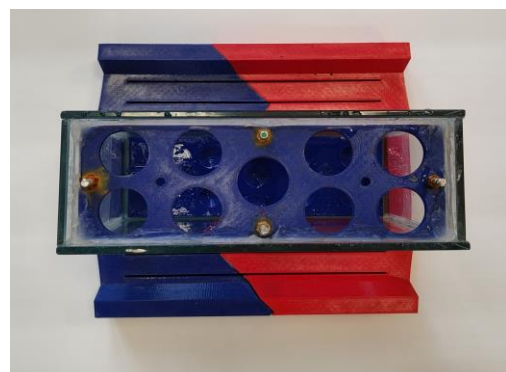
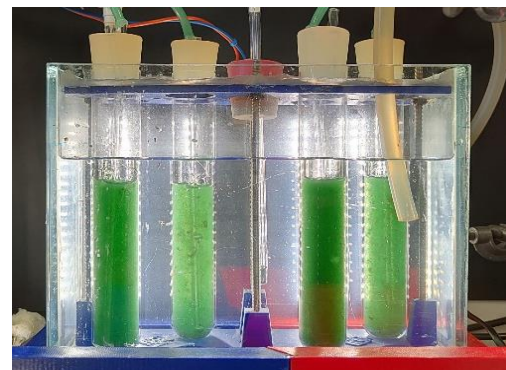
The PBR is illustrated in Figure 2, which includes both a schematic representation and a photograph of the system.



(a)



(b)



**Figure 2.** Scheme and real prototype of the custom-built PBR: (a) side view, (b) top view.

Lux measurements were taken using an M1 professional portable lux-meter from OxyTech, adhering to reference standards EN 13032, with a photocell measuring  $34 \times 21$  mm in dimensions. The recorded lux values for the three distances were as follows:  $14,000 \pm 600$  lux for the farthest slot,  $18,000 \pm 800$  lux for the middle slot, and  $27,000 \pm 1000$  lux for the slot nearest to the tank. These measurements were conducted in the air, with the lux-meter placed at the same distances from the LED panels as the outer surface of the culture tubes. The values, averaging five measurements, were gathered at different heights of the LED strips, demonstrating excellent illumination uniformity. Photosynthetic Photon Flux Density (PPFD) values were derived through numerical conversion, accounting for the emission spectra of the light source [30]. The resulting PPFD values were as follows:  $200 \pm 9 \mu\text{mol}/\text{s}\cdot\text{m}^2$  for the farthest slot,  $260 \pm 11 \mu\text{mol}/\text{s}\cdot\text{m}^2$  for the middle slot, and  $390 \pm 14 \mu\text{mol}/\text{s}\cdot\text{m}^2$  for the slot closest to the tank.

Temperature control was achieved by utilizing an external thermostatic fluid circulator using a mixture of water and propylene glycol. The local temperature inside the glass tank was monitored using a standard mercury thermometer. To ensure gentle mixing of the cultures, an air flux was introduced through 2 mm inner diameter glass tubes, one tube inserted in each culture tube. The air was supplied at a controlled rate of  $0.8 \text{ cm}^3/\text{s}$  by a pump, which has proven to ensure proper mixing, even in the later stages of growth where the highest viscosity occurs.

Before entering the culture tubes, the air passed through a 2 M NaOH aqueous solution. This step serves two purposes: first, to remove naturally occurring  $\text{CO}_2$ , and second, to achieve proper humidification.

#### 2.4. Design of Experiments (DoE)

Two sets of microalgal growth experiments, one for each strain, were designed and the relative output data were statistically analyzed by means of Design Expert<sup>®</sup> v.12 software (Stat-Ease Inc., 1300 Godward Street NE, Suite 6400, Minneapolis, MN 55413, USA). A two-level, three-factor full factorial design with three replicates of the central point was implemented. The investigated independent parameters were temperature, ranging from 29 to 35 °C, light intensity, ranging from 260 to 390  $\mu\text{mol}/\text{s}\cdot\text{m}^2$ , and bicarbonate concentration, ranging from 0.09 to 0.36 M. Light intensity value on the middle point was set at 260  $\mu\text{mol}/\text{s}\cdot\text{m}^2$  since it was not possible to modulate light intensity in a continuous way due to the PBR setup. The output parameter used for the analysis was biomass productivity (P), measured in  $\text{mg}_{\text{biomass}}/\text{L}\cdot\text{d}$ . Figure S2 shows a schematic representation of the DoE domain. All the experiments were conducted by the same operator to minimize systematic errors, while the order of experiments was randomized.

#### 2.5. Growth Experiments, Biomass Quantification, and Characterization

Each biomass growth test was conducted in duplicate, with each test using a single cultivation tube of the PBR (Figure 2) and was followed for 72 h. Initially, each cultivation had a working volume of 65 mL and an initial OD680 of approximately 0.25. Every 24 h, a small sample of suspension (1.5 mL) was taken from the culture to monitor microalgal growth via OD680. At the end of the 72 h experiment, Spr01 was harvested through two centrifugation cycles at 8000 rpm, with an intermediate washing step using distilled water (35 mL). In the case of Spr02, its morphology (Figure S1b) allowed for a simple harvesting method: filtration on a polyamide membrane (Sartolon<sup>®</sup>, 0.45  $\mu\text{m}$  pore size) and washing of the residue with distilled water (35 mL).

The biomass obtained from strains was dried at 65 °C for 24 h and weighed to determine its dry mass. The dry biomass was characterized through elemental analysis to determine its carbon, nitrogen, and hydrogen content (see Section 2.2).

Biomass Productivity (P) was calculated from the final mass ( $m_{t_1}$ ) with respect to the starting mass ( $m_{t_0}$ ), which was obtained by the OD680 ratio (initial value divided by

final value), according to Equation (5).  $t_0$  and  $t_1$  are the initial (0 h) and final (72 h) times, respectively.  $V$  is the working volume, expressed in liters.

$$P\left(\frac{\text{mg biomass}}{\text{L}\cdot\text{d}}\right) = \frac{m_{t_1} - m_{t_0}}{V\cdot(t_1 - t_0)} = \frac{m_{t_1} - m_{t_0} \cdot \frac{OD_{680t_0}}{OD_{680t_1}}}{V\cdot(t_1 - t_0)} \quad (5)$$

The  $\text{CO}_2$  absorption rate ( $R$ ) is proportional to  $P$ , and expressed as depicted in Equation (6), where  $C_C$  is carbon wt% of the biomass dry weight. The equation accounts for the molecular weight ratio of  $\text{CO}_2$  and the atomic weight of the carbon element ( $= 44/12 = 3.67$ ).

$$R\left(\frac{\text{mg CO}_2}{\text{L}\cdot\text{d}}\right) = P \cdot C_C \cdot \frac{MW(\text{CO}_2)}{MW(\text{C})} \quad (6)$$

Finally, the  $\text{CO}_2$  utilization efficiency ( $U$ , Equation (7)) was obtained from the moles of biofixed carbon ( $n_{OC}$ ) and the moles of maximum theoretical biofixable carbon ( $n_{OC(100)}$ ), that is, the moles of  $\text{CO}_2$  carried by the carbonate and equal to half of the moles of the bicarbonate (see Figure 1).

$$U = \frac{n_{OC}}{n_{OC(100)}} \cdot 100 = \frac{n_{OC}}{n_{\text{HCO}_3^-}/2} \cdot 100 \quad (7)$$

### 3. Results

Some preliminary growth tests were first conducted in the PBR (Figure 2) with both Spr01 and Spr02 strains to define the most significant operating variables and their ranges. Three independent parameters were chosen: culture temperature, light PPFD, and bicarbonate concentration. Biomass culture temperature is one of the most significant operating parameters [15] as variations can lead to significant shifts in metabolic pathways. Additionally, certain microalgae or cyanobacterium strains may have an optimal growth temperature [31]. The operating temperature is also linked to the energy input of the process. Light intensity strongly affects the growth and metabolism of photosynthetic organisms, including different *Spirulina* species [32,33]. Increased light irradiation can result in enhanced growth rates [34]. Moreover, bicarbonate concentration is a crucial parameter, not as a growth enhancer, but because it is linked to the inorganic carbon density in the solution. Working at high bicarbonate concentration means having a lower chemofixation volume for  $\text{CO}_2$ , with substantial advantages in both PBR dimensions and the  $\text{CO}_2$  adsorption column. These parameters were included in a multivariate statistical DoE evaluation using the following ranges: from 29 to 35 °C for cultivation temperature, from 260 to 390  $\mu\text{mol/s}\cdot\text{m}^2$  for light PPFD, and from 0.09 to 0.36 mol/L (7.6–30.2 g/L) for bicarbonate concentration. As suggested by the DoE full factorial model, eleven experiments for each strain were performed, and the obtained outputs, in terms of  $P$  (Equation (5)) and  $R$  (Equation (6)), are reported in Table 1.

A significant productivity difference was observed between the two strains: while Spr01 reaches a productivity of 249 mg/L·d at its best (n. 3, Table 1), Spr02 achieves the excellent value of 760 mg/L·d (n. 5). Big differences between the two strains can also be observed in the corresponding daily growth rates (Figure 3). The lag, exponential, and stationary growth phases of Spr01 are difficult to define (Figure 3a), while Spr02 clearly shows a 36 h long lag phase, followed by a steeper exponential phase and then a short stationary phase (Figure 3b). OD680 values higher than 2.0 were never observed in the case of the Spr01 strain, while values exceeding 4.0 were observed in some instances of the Spr02 strain.

Accurate pH measurements of the best experiment (n. 5, Table 1), verified by titration with HCl 0.1 M, allowed us to assess a pH shift from 8.1 (at the start) to 12.2 (at the end), corresponding to the complete conversion of bicarbonate (LCC+) to carbonate (LCC−, Figure 1). This also indicates that, after 72 h of growth, no more biofixable carbon is present

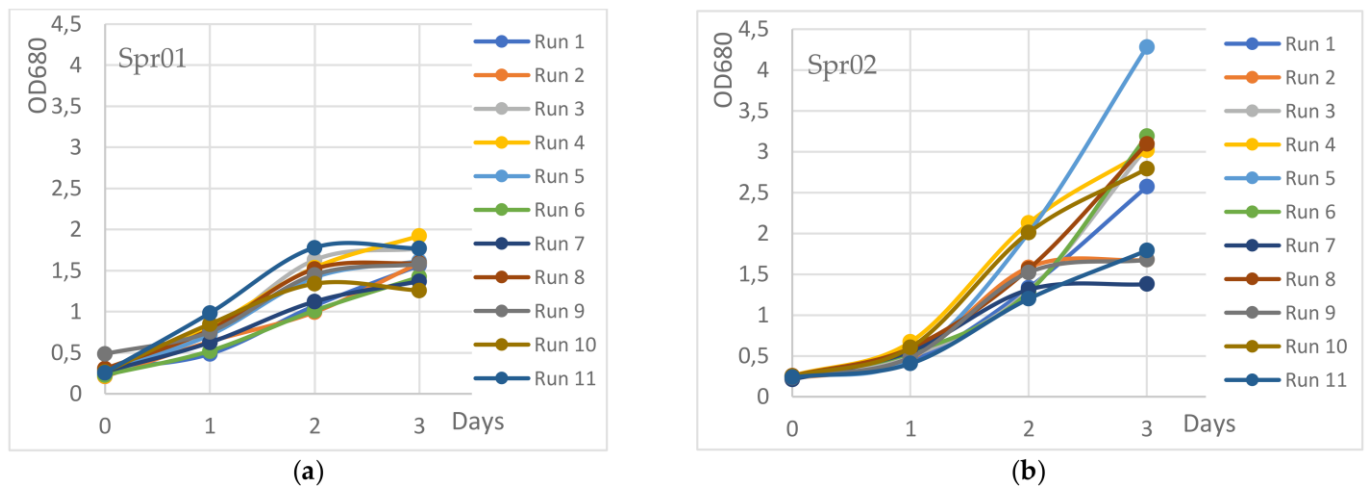


for the Spr02 strain. Therefore, extending the growth experiments beyond 72 h was judged to be of little interest.

**Table 1.** DoE conducted experiments and output data <sup>a</sup>.

n.	T (°C)	Light Intensity ( $\mu\text{mol/s}\cdot\text{m}^2$ )	$\text{NaHCO}_3$ (mol/L)	P Spr01 (mg/L·d)	R Spr01 (mgCO <sub>2</sub> /L·d)	P Spr02 (mg/L·d)	R Spr02 (mgCO <sub>2</sub> /L·d)
1	29	260	0.36	180 ± 16	300 ± 28	500 ± 17	870 ± 30
2	29	390	0.09	220 ± 20	360 ± 35	350 ± 12	610 ± 21
3	35	260	0.36	240 ± 21	390 ± 37	720 ± 25	1250 ± 43
4	32	260	0.23	220 ± 20	360 ± 35	580 ± 20	1010 ± 35
5	35	390	0.36	200 ± 17	310 ± 30	760 ± 26	1320 ± 45
6	29	390	0.36	140 ± 13	230 ± 22	600 ± 21	1050 ± 36
7	35	390	0.09	190 ± 17	300 ± 29	290 ± 10	500 ± 17
8	32	260	0.23	150 ± 13	240 ± 23	530 ± 18	920 ± 32
9	35	260	0.09	190 ± 17	310 ± 30	290 ± 10	500 ± 17
10	32	260	0.23	150 ± 13	240 ± 23	470 ± 16	820 ± 28
11	29	260	0.09	210 ± 19	340 ± 32	290 ± 10	500 ± 17

<sup>a</sup> Common conditions: 72 h, 0.09M NaHCO<sub>3</sub> inoculum. Mean values of two replicates.



**Figure 3.** Daily growth rates for Spr01 (a) or Spr02 (b).

From the statistical assessment of the correlation between (single or a combination of) independent parameters and the output variable, it came out that none of the studied parameters gave a significant correlation to P, in the case of the Spr01 strain (Figure S3a). On the other hand, the bicarbonate concentration and the interaction between bicarbonate concentration and temperature (AC, Figure S3b) give a significant correlation with productivity for the Spr02 strain.

Temperature significance, when considered alone, was uncertain, i.e., showing a borderline correlation with P, according to the statistical model. Based on these data and considering the easier separation due to favorable morphology (Figure S1b), our attention from hereon was focused on the Spr02 strain only. The strong dependence of P on bicarbonate concentration suggests a favorable match between carbon capture on carbonate and biofixation on Spirulina. Moreover, the statistical non-correlation between light intensity and productivity suggests that light saturation was reached. In this condition, the plastoquinone reduction rate exceeds the electron delivery rate, and an excess number of photons remains unused as all the charge carriers are busy [35]. Due to these results, in all the following experiments, the light PPFD was set at the lowest explored value of 260  $\mu\text{mol/s}\cdot\text{m}^2$ , with a benefit on the energy requirements and replication of the lab-scale performances during (future) scale-up. The influences of individual parameters on P for

the Spr02 strain are shown in Figure 4, from which it can be observed that there is a strong dependency on bicarbonate concentration and a slight dependence on temperature.

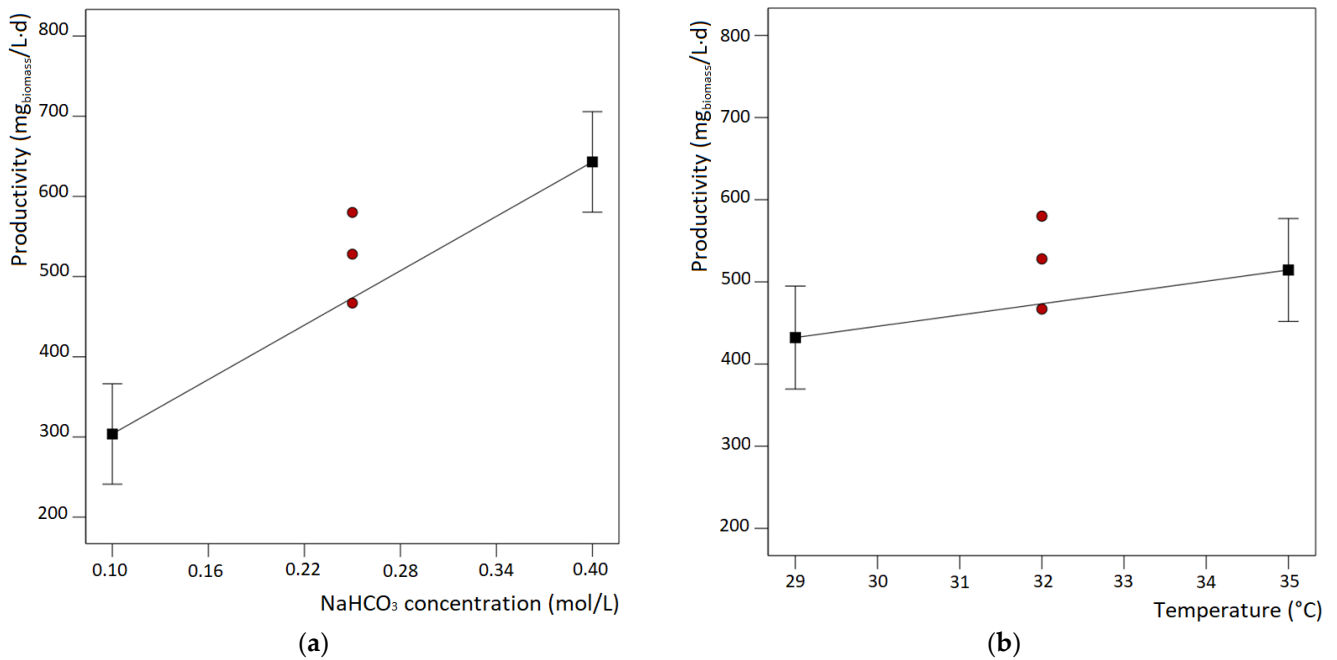


Figure 4. Influence of NaHCO<sub>3</sub> conc. (a) and temperature (b) on P for Spr02 strain.

The influence of simultaneous variation of significant parameters on P is shown in Figure 5 (see also Figure S4). A strong interaction between the two parameters can be detected (AC, Figure S3b). In fact, at low bicarbonate conc. values (0.09 M), the dependence of P on T is small, while at higher values (0.36 M), P depends strongly on temperature. In other words, a positive synergistic effect occurs between temperature and bicarbonate concentration.

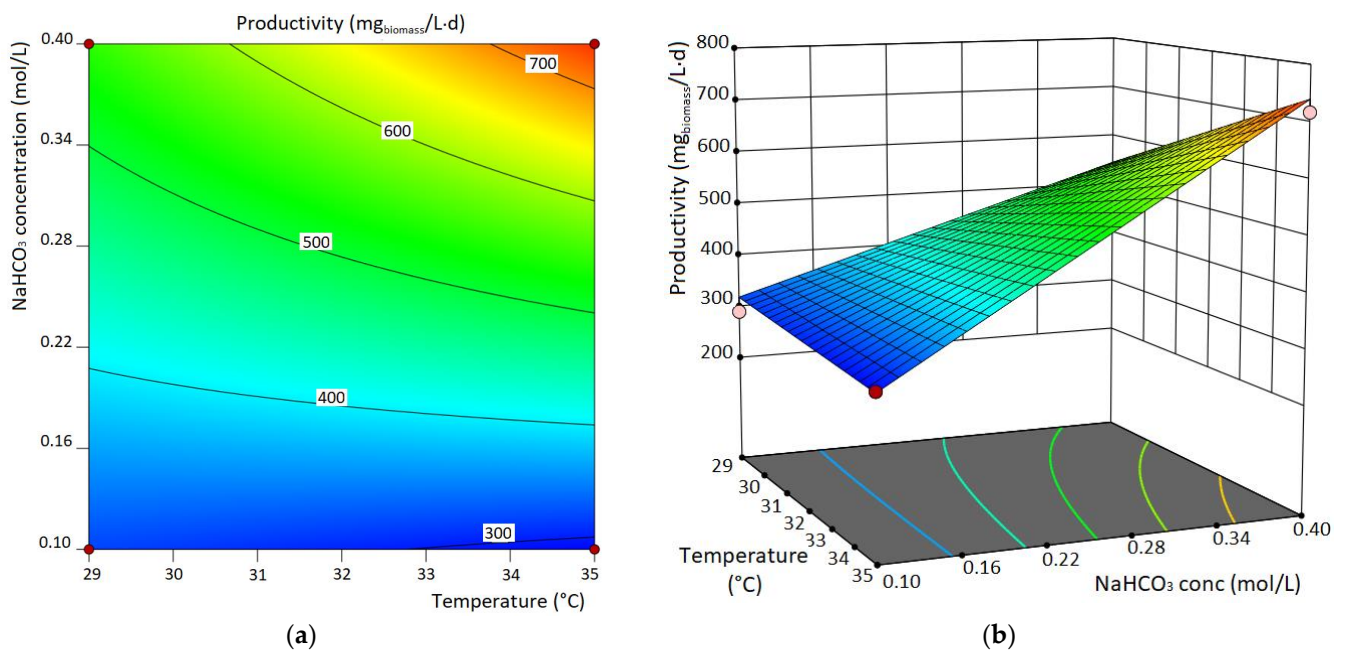


Figure 5. Contour plot (a) and surface plot (b) of the dependence of P from NaHCO<sub>3</sub> concentration and T for Spr02 strain.

The best productivity values were obtained at the highest values of both  $\text{NaHCO}_3$  (0.36 M) and T (35 °C). This could mean that an increased P value could correspond to ranges external to the investigated domain, an eventuality also suggested by growth rates reported in Figure 3b. Anyway, as the final pH value of 12.2 suggests, the maximum seemed very near. While exploring temperatures greater than 35 °C was judged of little interest due to the energy requirement in the case of further scale-up of the integrated CCS-biofixation system, using higher bicarbonate concentrations is desirable, as it is linked to the reduction in operating volumes required to transport the same amount of  $\text{CO}_2$ .

#### 4. Discussion

Based on the previous considerations, further biofixation experiments with constant light PPFD (260  $\mu\text{mol}/\text{s}\cdot\text{m}^2$ ) and higher bicarbonate concentrations (0.45 M and 0.56 M) were set up. Unfortunately, these tests revealed a decreased productivity (n. 1–4, Table 2). This decline can be attributed to abiotic stresses due to high ionic strength, a known growth inhibitor for most microalgal strains [36].

**Table 2.** Higher bicarbonate concentration experiments <sup>a</sup>.

n.	T (°C)	$\text{NaHCO}_3$ (mol/L)	$\text{KHCO}_3$ (mol/L)	P ( $\text{mg}_{\text{biomass}}/\text{L}\cdot\text{d}$ )	R ( $\text{mg}_{\text{CO}_2}/\text{L}\cdot\text{d}$ )	U (mol%)
1	29	0.45	0	52 ± 2	83 ± 3	2
2	29	0.54	0	-	-	-
3	35	0.36	0	760 ± 26	1323 ± 45	46
4	35	0.45	0	550 ± 19	958 ± 33	29
5	35	0.54	0	350 ± 12	610 ± 21	15
6	35	0.27	0.18	600 ± 21	1045 ± 36	32
7	35	0.36	0.09	590 ± 20	1027 ± 36	31
8 <sup>b</sup>	35	0.36	0	620 ± 19	1110 ± 36	40

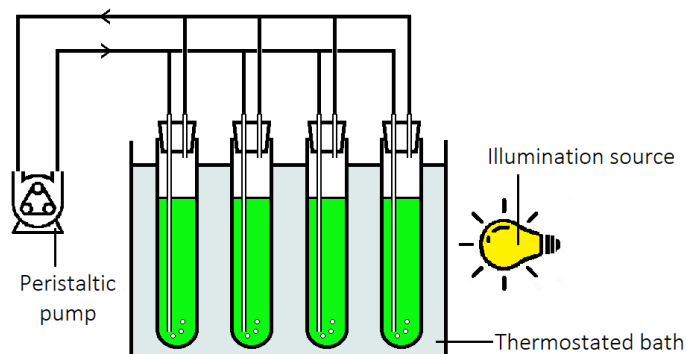
<sup>a</sup> PPFD = 260  $\mu\text{mol}/\text{s}\cdot\text{m}^2$ , T = 35 °C, t = 72 h; 0.09 M  $\text{NaHCO}_3$  inoculum; <sup>b</sup> PPFD = 260  $\mu\text{mol}/\text{s}\cdot\text{m}^2$ , T = 35 °C, t = 72 h; 0.36 M  $\text{NaHCO}_3$  inoculum.

The tolerance of high salinity appears to be enhanced at higher temperatures (n. 4, 5 vs. 1, 2), a behavior also observed for *Spirulina platensis* [37]. Anyway, productivity was never higher than that obtained with 0.36 M aqueous bicarbonate (n. 5 of Table 1, reported as n. 3 in Table 2 for better comparison). Not much more was obtained at the concentration of 0.45 mol/L when part of the  $\text{NaHCO}_3$  was changed with the respective potassium salt (n. 6, 7 Table 2). This suggests that the limitations in strain proliferation depend on the overall ionic strength rather than a cation-specific one [38].

In order to favor strain adaptation and possibly reduce the initial lag phase observed for Spr02 (Figure 3b), a new inoculum featuring a higher  $\text{NaHCO}_3$  concentration (0.36 M instead of 0.09 M) was prepared and subjected to three consecutive renewals, one every 14 days. When employed to start a new Spr02 culture under the best-known conditions (n. 8, Table 2), a shorter lag time was observed. The OD680 of the biomass suspension reached 2.59 after 48 h, an improved value compared to before (Figure 3b). Unfortunately, the OD680 at 72 h stopped at 3.25, corresponding to a productivity limited to 620  $\text{mg}_{\text{biomass}}/\text{L}\cdot\text{d}$ .

Dealing now with the  $\text{CO}_2$  utilization efficiency (U, Equation (7)), its calculation reveals a maximum value of 46% (right column of Table 2). This means that, in the best-conditions (n. 3), more than half of the inorganic carbon moles were lost during the biofixation process. Moreover, the final pH value of 12.2 indicates that no residual bicarbonate was present. This is a clear confirmation of the occurrence of  $\text{CO}_2$  outgassing, which is probably more pronounced during the initial growth phase when the pH is lower. We thought that the continuous air bubbling used to keep the cultures in agitation may cause the removal of the dissolved  $\text{CO}_2$ , leading to a continuous equilibrium shift towards the loss of other inorganic carbon species.

A possible solution to this issue could involve recirculating the gas used for mixing the biomass culture, thereby achieving a sealed PBR design. In our small-scale setting, this was easily accomplished using a peristaltic pump (depicted in Figure 6), with the added simplification of removing the gas humidification stage (see Section 2.3).



**Figure 6.** Schematic of the PBR closed cycle design.

Spr02 growth experiments were then repeated in the closed cycle system using the standard 0.09 M NaHCO<sub>3</sub> inoculum (n. 2, Table 3). Oddly, an extended lag phase of 48 h was observed, compared to that of around 36 h of the reference experiment (n. 1, Table 3 or n. 5, Table 1). The following rapid exponential phase resulted in a productivity of 640 mg<sub>biomass</sub>/L·d, an adsorption rate of 1030 mg<sub>CO<sub>2</sub></sub>/L·d, and a 43% utilization efficiency. However, the pH value (11.7) after 72 h suggested incomplete carbon conversion, corresponding to a slower overall growth. This case likely benefits from additional time, an undesired feature that negatively affects the mean P and R. As previously seen, the use of a more concentrated inoculum offers an effective way to reduce adaptation times of Spr02, so a second experiment was conducted with the 0.36 M aqueous NaHCO<sub>3</sub> inoculum (n. 3, Table 3). This approach proved to be successful, attaining higher productivity and adsorption rate, as well as a remarkable CO<sub>2</sub> utilization efficiency. The final pH reading of 12.2 indicated that the carrier had undergone almost total conversion from the charged form (LCC+) to its discharged form (LCC−, Figure 1).

**Table 3.** Closed cycle growth experiments <sup>a</sup>.

n.	Inoculum NaHCO <sub>3</sub> (mol/L)	P (mg <sub>biomass</sub> /L·d)	R (mgCO <sub>2</sub> /L·d)	U (mol%)
1 <sup>b</sup>	0.09	760 ± 26	1320 ± 45	46
2	0.09	640 ± 23	1030 ± 39	43
3	0.36	875 ± 23	1410 ± 40	58

<sup>a</sup> 0.36 M NaHCO<sub>3</sub>, 260 μmol/s·m<sup>2</sup>, 35 °C, 72 h.; <sup>b</sup> open cycle reference (n. 5, Table 1).

The closed cycle configuration allowed the containment of the gaseous CO<sub>2</sub>, resulting in improved growth. As the same configuration also produces an unwanted increase in gaseous oxygen coming from photosynthetic activity (Equation (3)), it became evident that a favorable balance between the two phenomena was achieved.

## 5. Conclusions

One of the primary challenges in processes mediated by microorganisms is the requirement to operate in dilute solutions. This necessitates the construction of large-scale plants (incurring high CapEx) and significant energy consumption to manage the substantial liquid volumes.

Our work has provided robust support for the suitability of *Spirulina* strains in ICCU systems, as depicted in Figure 1. We achieved high growth rates (P = 0.875 g<sub>biomass</sub>/L·d, R = 1.41 gCO<sub>2</sub>/L·d), and a substantial CO<sub>2</sub> utilization efficiency (U of 58%), along with ease

of biomass harvesting. Replicating the performance observed in the laboratory-scale PBR, as shown in Figure 2, during scale-up can be challenging, especially for light irradiation. Fortunately, our data indicate that Spr02 can efficiently grow even under relatively low PPFD values.

Nevertheless, before contemplating scaling up to industrial settings, further research needs to be conducted to reduce process volumes and/or further increase growth rates. Several topics can be suggested as possible advancements:

- The employment of non-saline carriers in addition to carbonate should address the reduction in process volumes as not linked to an increase in ionic strength. For example, the use of organic amines compatible with algae cultivation can be considered [39].
- The implementation of an oxygen reduction/fixation method, even in the gas phase, could result in a growth boost [18], which would be especially useful in closed-loop systems.
- Our work has shown some advantages in the pre-adaptation of Spr02 strains to 0.36 M bicarbonate. A re-evaluation of the nutrient solution should be performed. For example, it was reported that the addition of small amounts of acetates could favorably improve the growth rate [20].
- Pulsed illumination should also be evaluated [35].

**Supplementary Materials:** The following supporting information can be downloaded at: <https://www.mdpi.com/article/10.3390/app131910779/s1>, Table S1: Representative elemental analysis of cyanobacteria strains; Figure S1: Optical microscope photographs of cell morphology for (a) Spr01, and (b) Spr02; Figure S2: Schematic representation of the DoE; Figure S3: Pareto charts relative to Spr01 (a) or Spr02 (b) growth experiments; Figure S4: Additional representations of the influence of simultaneous variation of NaHCO<sub>3</sub> concentration and T on P, for Spr02 strain.

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