

## The present and future of QCD

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## ABSTRACT

This White Paper presents an overview of the current status and future perspective of QCD research, based on the community inputs and scientific conclusions from the 2022 Hot and Cold QCD Town Meeting. We present the progress made in the last decade toward a deep understanding of both the fundamental structure of the sub-atomic matter of nucleon and nucleus in cold QCD, and the hot QCD matter in heavy ion collisions. We identify key questions of QCD research and plausible paths to obtaining answers to those questions in the near future, hence defining priorities of our research over the coming decades.

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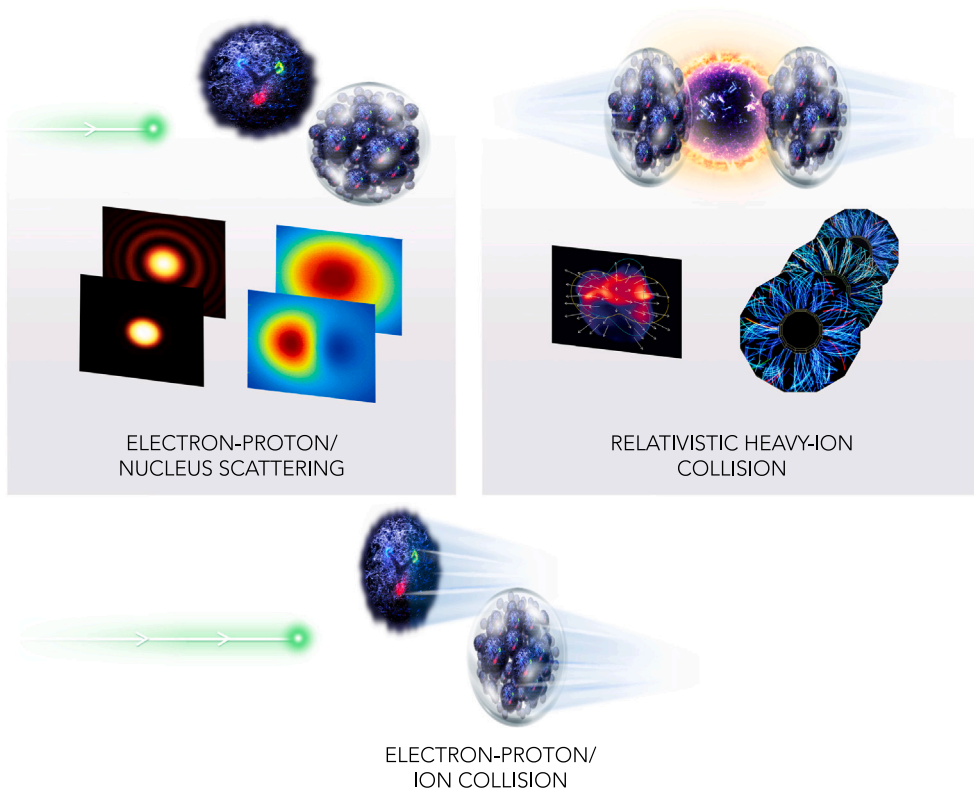


Fig. 1. Experimental methods to study Cold and Hot QCD using electron-nucleon (nucleus) scattering (top left) and heavy-ion collisions (top right), respectively. The Electron-Ion Collider (bottom), to be realized within the next LRP period, will bring new, exciting experimental programs to QCD research. (For interpretation of the colors in the figure(s), the reader is referred to the web version of this article.)

## 1. Executive summary

It is currently understood that there are four fundamental forces in nature: gravitational, electromagnetic, weak and strong forces. The strong force governs the interactions between quarks and gluons, elementary particles whose interactions give rise to the vast majority of visible mass in the universe. The mathematical description of the strong force is provided by the non-Abelian gauge theory Quantum Chromodynamics (QCD). While QCD is an exquisite theory, constructing the nucleons and nuclei from quarks, and furthermore explaining the behavior of quarks and gluons at all energies, remain to be complex and challenging problems. Such challenges, along with the desire to understand all visible matter at the most fundamental level, position the study of QCD as a central thrust of research in nuclear science. Experimental insight into the strong force can be gained using large particle accelerator facilities, which are necessary to probe the very short distance scales over which quarks and gluons interact. The Long Range Plans (LRPs) exercise of 1989 and 1996 led directly to the construction of two world-class facilities: the Continuous Electron Beam Accelerator Facility (CEBAF) at Jefferson Lab (JLab) that is focused on studying how the structure of hadrons emerges from QCD (cold QCD research), and the Relativistic Heavy Ion Collider (RHIC) at Brookhaven National Lab (BNL) that aims at the discovery and study of a new state of matter, the quark-gluon plasma (QGP), at extremely high temperatures (hot QCD research). The different collision systems used to access the incredibly rich field of hot and cold QCD in the laboratory are illustrated in Fig. 1.

These past investments have produced major advances. Nucleons and nuclei are being studied with increasing precision with a unified description of the partonic structure utilizing multi-dimensional imaging. Significant progress has been made, paving the way towards a complete picture of how quarks and gluons give rise to the mass, spin, and momentum of the nucleon. In hot QCD, the QGP is created in the collisions of nuclei at RHIC and the Large Hadron Collider (LHC) and is observed to behave like a fluid with very low specific shear viscosity; the current goals are to understand how the fluid behavior emerges from QCD and to characterize the temperature (and chemical potential) dependence of the properties of the QGP. As this White Paper is written, current experimental programs at CEBAF, RHIC and the LHC continue to provide exciting near term opportunities to capitalize on the investments in experimental equipment and accelerator operations. Most importantly, the QCD community looks forward to the construction of the Electron Ion Collider (EIC) as a major new facility to push forward QCD research in the next decades, with significant focus on exploring the properties of gluons, the mediators of the strong force.

This White Paper presents the community inputs and scientific conclusions from the Hot and Cold QCD Town Meeting that took place September 23-25, 2022 at MIT [1], as part of the U.S. Nuclear Science Advisory Committee (NSAC) 2023 Long Range Planning

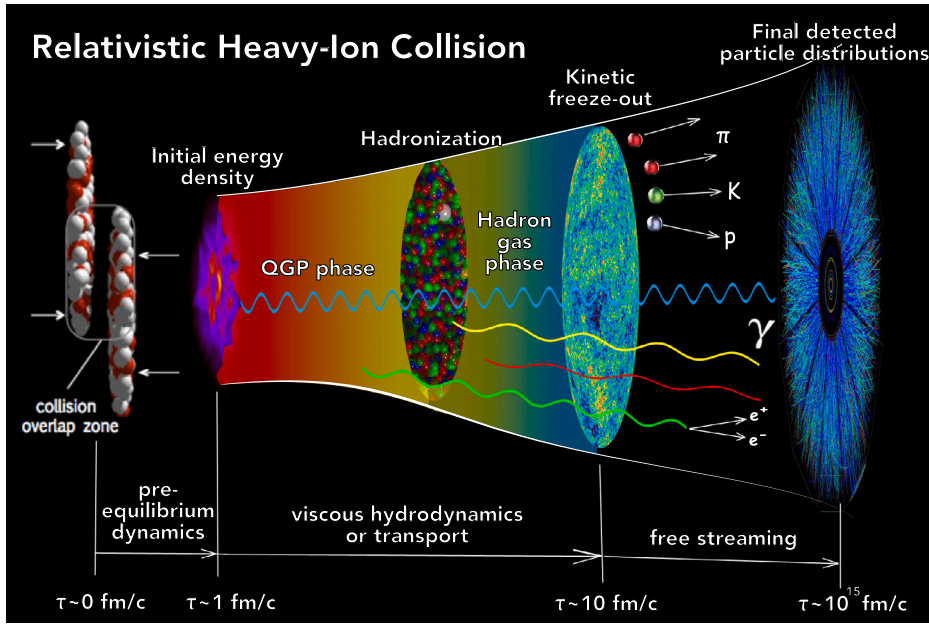


Fig. 2. The stages of a relativistic heavy ion collision. Figure adapted from [3,4].

process. The meeting highlighted progress in QCD nuclear physics since the 2015 LRP (LRP15) [2] and identified key questions and plausible paths to obtaining answers to those questions, defining priorities for our research over the coming decade.

We would like to note in preparation for this white paper, numerous excellent white papers were prepared by members of our community. We drew upon these documents wherever appropriate. This White Paper is organized as follows: Section 2 highlights major progress and accomplishments of the past seven years. It is followed, in Section 3, by an overview of the physics opportunities for the immediate future, and in relation with the next QCD frontier: the EIC. Section 4 provides an overview of the physics motivations and goals associated with the EIC. This is followed by dedicated sections on connection to other sub-field of nuclear physics in Section 5 and on computing in Section 6. Section 7 describes the national need for nuclear data science and the relevance to QCD research.

## 2. Progress since 2015

### 2.1. Progress in hot QCD

Over the last several years major advances have been made through the experimental programs at RHIC and the LHC. At RHIC, this includes the successful data taking within the Beam Energy Scan II (BES-II) program, gathering unprecedented statistics on Au+Au collisions probing the QCD phase diagram from moderate to high net baryon number densities, as well as the collection and analysis of data from the isobar program, which used Ru+Ru and Zr+Zr collisions to search for the chiral magnetic effect (CME). At the LHC, the Run 2 heavy-ion program provided more than an order of magnitude increase in luminosity for Pb+Pb collisions (compared with Run 1) and explored the first experiments at LHC energies utilizing nuclei other than lead with Xe+Xe collisions. Additionally, there has been a lot of progress in the relevant theory and computation, including Bayesian analyses providing improved extraction of the QGP transport properties. This section highlights some of the major advances in this area as well as connections between studies of the QGP and other areas of physics.

#### 2.1.1. Macroscopic QGP properties

A major goal of the study of heavy-ion collisions is to determine the properties of the hot and dense matter created. This includes the QCD equation of state (see Sec. 2.1.6) and the transport properties of the QGP, including its shear and bulk viscosities and the partonic transport coefficient  $\hat{q}$ . While first-principles calculations using e.g. lattice QCD or effective models can provide results for these properties, these methods are either extremely difficult (in case of lattice QCD, from which so far only the equation of state at zero baryon chemical potential, and the heavy quark diffusion coefficient have been reliably determined, see Sec. 3.1.2) or only approximate QCD (see Sec. 3.1.3), such that comparison of phenomenological models to experimental measurements provides the most fruitful approach to determine QGP properties. A representation of the evolution of a heavy ion collision is shown in Fig. 2, indicating the different stages and time scales, and showing the various final state particles that carry all accessible information. In the following we will discuss the most important observables that carry information on the macroscopic QGP properties and then move to discussing advances in the phenomenological modeling and data-theory comparisons.

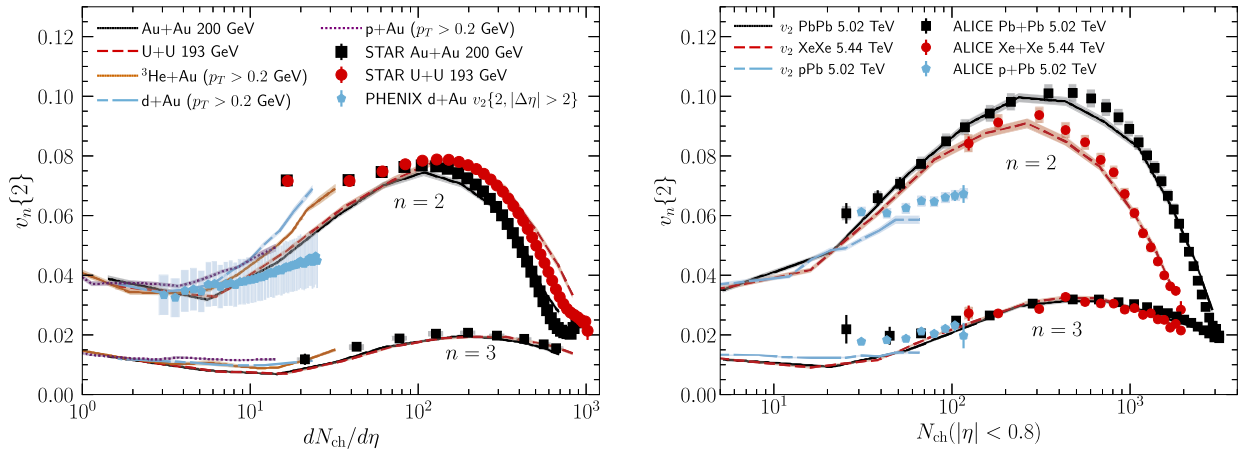


Fig. 3. Experimental data on  $v_2\{2\}$  and  $v_3\{2\}$  from the STAR [12,22], PHENIX [42], and ALICE [25] Collaborations, with theory results from the IPGlasma+MU-SIC+UrQMD model. Figure adapted from [43].

**Experimental observables** Over past years, experimental measurements to quantify bulk and collective properties of the QGP have achieved a new level of precision for a wide variety of differential observables. Azimuthal anisotropies of the transverse momentum distribution of produced particles, elliptic ( $v_2$ ) and higher-order ( $v_n$ ) flow coefficients that are particularly sensitive to the QGP's shear viscosity and equation of state, have been measured to unprecedented precision over a wide range of initial system size, collision geometry, and phase space [5–14]. Fig. 3 shows  $v_2$  and  $v_3$  results over a wide multiplicity (or, equivalently, centrality) range in Au+Au, U+U, and d+Au collisions at RHIC (left panel) as well as in Pb+Pb, Xe+Xe, and p+Pb collisions at the LHC (right panel), indicating collective flow of the medium, originally not expected in case of small systems (see Sec. 2.1.5). For central collisions of large systems, the  $v_2$  and  $v_3$  data follow precisely the trend expected by the initial geometry and its fluctuations. Extending to very peripheral regions where the system size is diminishing, the finite system size effect and viscous corrections become significant. The observed trends are captured by state-of-the-art hybrid hydrodynamic calculations from the most central events down to  $dN/d\eta \sim 10$ –20.

Additionally, new experimental techniques are expected to increase the precision of the QGP transport property extraction in the near future. The  $v_n$  of identified particles, especially those which contain strange quarks, can test the expected mass dependence of hydrodynamic flow and can be used to constrain the impact of the hadronic rescattering phase on the measured anisotropies [15–17] (the extension of these measurements to hadrons containing heavy quarks is discussed in Sec. 2.1.3). Event-by-event fluctuations of the  $v_2$  flow coefficient have been measured using multiparticle cumulants; these are used to extract moments of the  $v_n$  distributions [18–26]. Also, symmetric cumulants measure the correlated fluctuations of different orders of flow coefficients [27–29]. Mixed-higher-order flow harmonics measured up to 7th order can uniquely probe linear and nonlinear hydrodynamic responses [30,31]. The  $v_n$ - $\langle p_T \rangle$  correlation, with unique sensitivity to the correlation between the system size and shape, has already been used to constrain the shape of the xenon nucleus using LHC Xe+Xe data [32,33]; it also has the potential to disentangle different origins of momentum anisotropy [34,35]. Further, femtoscopic observables are sensitive to the system size and provide additional information to disentangle medium and initial state properties [36–41]. More observables, such as electromagnetic probes, hard probes including jets and heavy flavors, as well as measurements at varying collision energy and for different system sizes can aid in the determination of QGP properties. We will discuss each of them below.

**Phenomenological modeling of heavy ion collisions** There has been significant progress over the last several years in the modeling of heavy ion collisions over a wide range of energies and collision systems. In particular, there has been progress in modeling the initial state (see Sec. 2.1.4), with three-dimensional dynamic initial states, that progressively deposit energy into the hydrodynamic medium [44], with initial conditions for fluctuating conserved charges [45], as well as extensions to three dimensions in the color glass condensate (CGC) based models [46,47]. Smoothly connecting the initial state to hydrodynamics has been significantly improved by means of QCD effective kinetic theory [48].

Extending the applicability of fluid dynamics can also be achieved with anisotropic fluid dynamics, which allows for larger differences between the longitudinal and transverse pressure in the system and therefore applies at earlier times than second-order viscous hydrodynamics [49,50]. Further extensions of fluid dynamics include spin [51] and chiral currents [52,53], triggered by interest in chiral magnetic effect and polarization observables (see Sec. 2.1.7).

Equations of state were constructed with input from lattice QCD, in the space of temperature and chemical potentials of the conserved charges [54–57], with some of them including a critical point (see Sec. 2.1.6 and [58] for a review). These equations of state require extrapolations, for example into the region of high baryon chemical potential where lattice QCD cannot directly provide results. Constraints in that region can be obtained from thermal perturbation theory, effective models of QCD (see Sec. 3.1.3), or calculations of strongly-coupled gauge theories that have known holographic duals, and are similar to QCD [59].

Progress has also been made in describing the evolution of hydrodynamic and critical fluctuations by solving stochastic differential equations [60,61] or employing the hydro-kinetic formalism [62–69], as well as the conversion from fluids to particles that respects



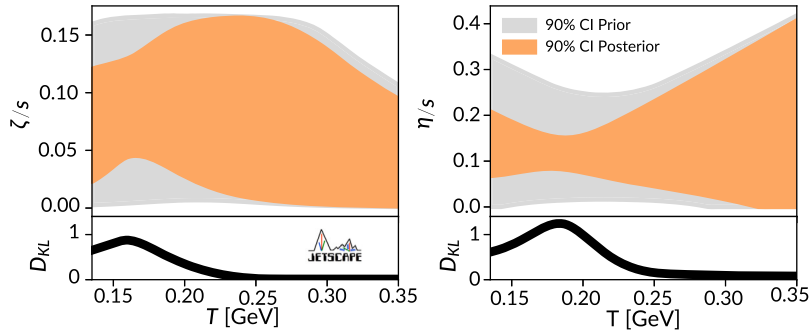


Fig. 4. Constraints on  $\eta/s$  and  $\zeta/s$  from Ref. [94], as represented by the 90% credible intervals for the posterior and the prior, along with their corresponding information gain (Kullback-Leibler divergence  $D_{KL}$ ).

local conservation laws [70,71]. Both developments are particularly relevant for including effects related to the existence of the critical point. Core-corona models, in which regions of high energy density are described using hydrodynamics, while low energy density matter is described using particle degrees of freedom throughout the evolution, have also been advanced significantly in the past years. Such models allow for a unified description across systems sizes and produced particle transverse momenta [72].

Purely hadronic transport simulations are essential for constraining the dense nuclear matter equation of state (EOS) and interpreting experimental results from collisions at very low to intermediate beam energies,  $\sqrt{s_{NN}} \approx 1.9$  to  $\sqrt{s_{NN}} \approx 8.0$  GeV, where equilibrium is not typically expected to be reached, and, therefore, a hydrodynamic description is not possible. Comparisons of hadronic transport simulations with experimental data can reveal not only the EOS of symmetric nuclear matter [73–76], but can also help constrain the isospin-dependence of the EOS (e.g., by using meson yields [77–82], proton and neutron flow [77,83–88], or pion flow [89]) and help understand strange interactions (e.g., by using strange particle flow [90–92]). The influence of the possible QCD critical point on the hadronic evolution, either within purely hadronic transport simulations or in afterburner calculations, can also be explored using hadronic potentials, enabling description of non-trivial features at high baryon densities [93]. Significant theoretical, conceptual, and modeling work remains, however, to ensure valid conclusions can be discerned from the heavy-ion data.

**Extracting QGP properties using Bayesian inference** An important tool that has helped precision extraction of information is Bayesian inference, which has been increasingly used over the last few years to constrain the temperature dependence of  $\eta/s$  and  $\zeta/s$ , as well as other quantities such as  $\hat{q}$ . Bayesian inference determines the probability that certain values of shear and bulk viscosity, and any other model parameter, are consistent with a set of measurements and their uncertainties. The resulting posterior probability distribution, which has the dimension of the number of parameters, can be projected to lower dimensions by marginalizing over all but one or two parameters, or by calculating credible intervals, providing interpretable constraints on the model parameters.

A number of different constraints on the QGP shear and bulk viscosities have been obtained over the past decade [94–106]. The analyses differ because of the uncertainties in (i) modelling the pre-equilibrium stage, (ii) the equation of state, (iii) the assumed functional form of shear and bulk viscosity, (iv) the higher-order transport coefficients, and (v) the conversion from fluids to particles. An additional source of uncertainty is emulation, often used to circumvent the otherwise prohibitive computational requirements of running the model. Critically, the set of measurements used to calibrate the model vary considerably between the different analyses.

Reference [101] marked a step forward by studying simultaneously the temperature dependence of the shear and bulk viscosities with flexible parametrizations and using a state-of-the-art model. The employed software is publicly available and formed the basis of almost all Bayesian inference studies that followed. Reference [100] and later Refs. [103,104] added data from small system ( $p$ +Pb) collisions, with the later papers also including a larger set of measurements in Pb+Pb collisions, a different pre-hydrodynamic phase, and a study of second order transport coefficients. As an example, we show results for the posterior distributions of temperature dependent bulk and shear viscosities (compared to the assumed priors) from Refs. [94,102] in Fig. 4. These studies combined RHIC and LHC measurements, and included particlization uncertainties for the first time. The difference between the prior and the posterior, quantified by the Kullback-Leibler divergence  $D_{KL}$  in the bottom panel of Fig. 4, highlights that most information is gained at temperatures below  $T = 200$  MeV. How to improve constraints at higher temperature is one of the major questions going forward. Additional advances in the past years include (i) the use of closure tests [102,107] as validation of Bayesian analysis and as a method to estimate the impact of future measurements [105], (ii) non-parametric methods [108,109] to reduce bias when constraining model parameter that are functions rather than scalar values, and (iii) increasing attention to correlations between measurements and their uncertainties [101,102,110–112].

### 2.1.2. Accessing QGP evolution and chiral symmetry breaking using electromagnetic probes

By virtue of their negligible interaction with the quark-gluon plasma, electroweak probes provide invaluable information on the physics of heavy-ion collisions. Low-energy photons and low-mass dileptons are radiated directly by the hot and dense plasma produced in the collisions but then do not interact further with the QGP, providing a window into the thermal properties of the plasma. Additionally, high-energy photons, dileptons and weak bosons are mainly produced when the nuclei initially collide, and can provide important information regarding the initial properties of the collisions [113].

Removing the contribution from photons emerging from hadronic decays leads to a “direct” photon signal. Measurements of the low-energy direct photon spectra and azimuthal anisotropies,  $v_n^{\gamma}$ , have been released by ALICE, PHENIX and STAR. At RHIC, there is tension between the STAR [114] and PHENIX results [115–117] for the photon spectra. Measurements are also available from ALICE at 2.76 TeV [118], which show an enhancement over expectations based on perturbative QCD in the transverse momentum region of 2–5 GeV which is consistent with the thermal radiation from the QGP. Values of  $v_n^{\gamma}$  have been measured by both ALICE [119] and PHENIX [120]. The results are found to be compatible with each other but the measured values are systematically larger than the model results [121]. The source of this large  $v_n^{\gamma}$  in the data is not understood.

Inclusive dileptons that include hadronic decays have been measured in ALICE [122] and found to be consistent in the low-mass limit with inclusive real photon measurements. Measurements of dileptons for collision energies between 19.6–200 GeV are also available [123–126]. Models that include an in-medium broadening of the  $\rho$ -meson spectral function consistently describe the observed excess over the hadronic decay contributions [127].

Significant advances have been made in the theoretical description of photon and dilepton production in heavy-ion collisions. Calculations of the thermal production of photons [121,128–135] and dileptons [136–138] in viscous hydrodynamic backgrounds have been improved by including the effects of shear and bulk viscosity on the emission rates [121,128,137,139,140], and electromagnetic emission channels have been included in the hadronic transport stage [141,142]. This brings the sophistication of thermal photon and dilepton calculations on par with those of soft hadrons. Various new calculations of photon emission rates have emerged [129,139,142–148], including from lattice QCD [149–153]. Results from Refs. [154–156] using anisotropic hydrodynamics and electromagnetic emission rates from a momentum-anisotropic quark-gluon plasma further contribute to better understanding non-equilibrium effects. Works on other topics include predictions for the direct photon Hanbury Brown Twiss (HBT) interferometry [131], studying photons that originate from the hadronization of intermediate energy hadrons [157], additional photon production mechanisms [158,159], and relativistic transport studies of electromagnetic probes [160].

Invariant mass spectra of dileptons also provide a unique opportunity to study the effects of chiral symmetry restoration on hadrons, such as the  $\rho$  meson and its chiral partner, the  $a_1$ . Vector meson spectral functions in the medium can be computed in a variety of frameworks, including lattice QCD, massive Yang Mills, and hadronic many-body theory, or the analytically-continued functional renormalization group (FRG) method [113]. Theoretical calculations predict melting of the  $\rho$  meson in the medium, indicating a transition from hadronic degrees of freedom to a quark-antiquark continuum that is consistent with chiral symmetry restoration. This picture is consistent [127] with dilepton data from NA60 [161] and STAR [162]. Furthermore, chiral partners become degenerate at the ground state mass in a way that the chiral mass splitting disappears but the ground-state mass remains [113,163].

### 2.1.3. QGP tomography with hard probes

The goal of using hard probes to study the QGP is to understand the emergent phenomena which give rise to the nearly perfect liquid QGP that has been described in previous sections. Hard probes, such as jets, open heavy flavor and quarkonia, probe the QGP on varying short distance scales, as with a microscope. Because the QGP is short-lived, the probes are generated in the same nuclear collisions which create the QGP itself. The three Upsilon states and jets are examples of important probes. The  $\Upsilon(1S)$ ,  $\Upsilon(2S)$ , and  $\Upsilon(3S)$  states each characterize the QGP on a separate length scale that depends on its binding energy. Jets probe the QGP on a variety of length scales depending on their energy and the characteristics of the jet structure. Ref. [2] discussed the importance of measurements of these observables at both RHIC and LHC in order to understand the temperature dependence of QGP properties. Over the last several years there have been new measurements from LHC experiments and the existing RHIC detectors. Crucially, SPHENIX is about to begin its physics program, which focuses on jets and Upsilon's.

**Jets** QCD jets arise from the hard scattering of quarks and gluons (collectively, *partons*) in hadronic and nuclear collisions. This is a process that can be well described by perturbative QCD (pQCD) calculations [164,165]. Jets are measured as a collimated spray of particles carrying approximately the energy of the scattered parton. These particles and/or their energies are clustered together to form measured jets. Some of the earliest measurements at RHIC and LHC in heavy-ion collisions were about the reduction in the rate of these jets in heavy-ion collisions compared to expectations from  $p+p$  collisions [166–170]. This phenomenon is called *jet quenching*. Our understanding of how jets are quenched in heavy-ion collisions has evolved dramatically in the last several years driven by increasingly precise and differential measurements from the LHC and RHIC and improvements in theory. Additionally, the techniques used to measure jet substructure have advanced and the number of jet substructure measurements available has increased dramatically. The current focus is on understanding how jet quenching depends on the structure of the parton shower and the length of the QGP the jet travels through. In addition to the modification and quenching of the jet itself it is of great interest to study how the QGP responds to the passage of the jet through it. Some of the highlights are listed here.

The population of jets in heavy-ion collisions has been measured to have different internal structure [171–174] and substructure [175,176] than jets in  $p+p$  collisions. The distribution of jets as a function of the angle between the two hardest subjects in the event,  $\theta_g$  (or  $r_g \equiv R\theta_g$ , where  $R$  is the jet cone size) has been measured and is shown in Fig. 5. These studies have shown that wider fragmenting jets are suppressed more by the QGP than narrower fragmenting jets, providing possible new connections to the color coherence length scale of the QGP [177–180]: Only structures in the parton shower that are larger than the coherence scale are seen by the QGP as separate color charges and thus quenched separately.

Measurements have been made of the interjet angular correlations in Pb+Pb collisions [168,181–183]. Recent measurements have been inspired by calculations of potential quasiparticles in the QGP [184–188]. No evidence of this has been found to date.

In addition to measuring the jet substructure directly, it is possible to change the quark and gluon fractions in a particular jet sample with respect to the inclusive sample by looking at jets balanced by a photon or Z-boson rather than another jet. At leading

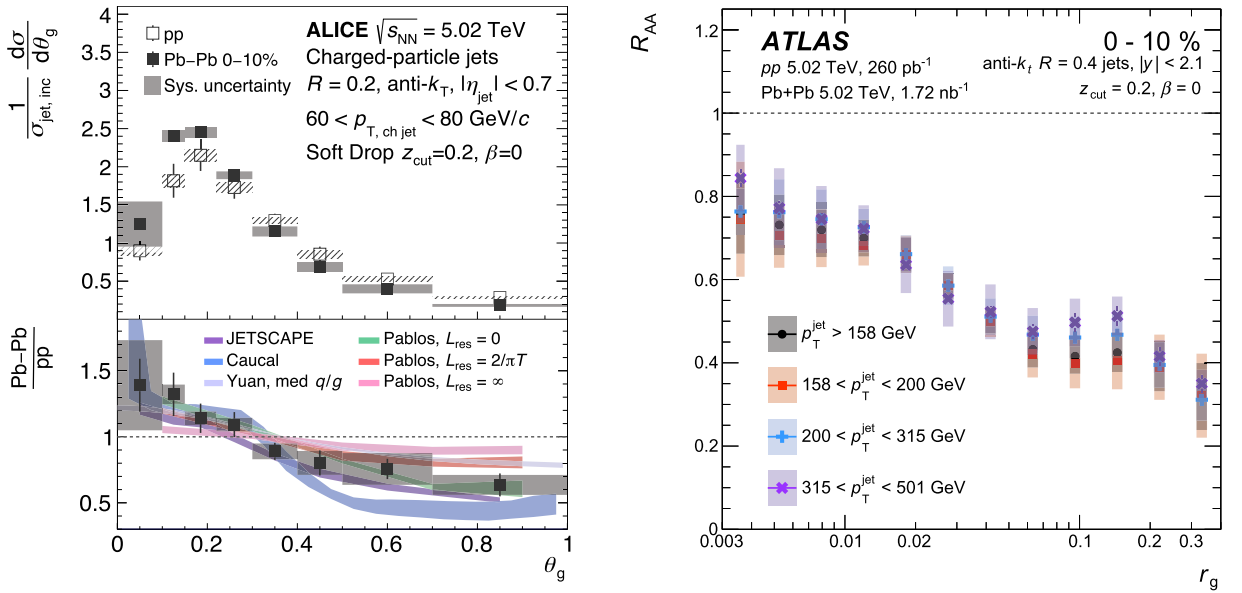


Fig. 5. Left: The distribution of jets as a function of  $\theta_g$  in 0-10% central Pb+Pb collisions and  $p+p$  collisions (top) and the ratio of the distributions in Pb+Pb collisions compared to  $p+p$  collisions (bottom) compared to various theoretical calculations. Figure from Ref. [176]. Theory results shown include JETSCAPE [195], JEWEL [196,197], Caual et al. [178,198], Chien et al. [199], Qin et al. [200], and Pablos et al. [177,179,201]. Right: The nuclear suppression factor  $R_{AA}$  as a function of  $r_g$  for 0-10% central Pb+Pb collisions for four selections on  $p_T^{\text{jet}}$ . Figure from Ref. [202].

order, the dominant process for photon-jet production is  $q + g \rightarrow q + \gamma$ , which selects on quark jets. Additionally, it is possible to select on  $b$ -jets [189,190], which also provides an enhanced quark sample of jets. Due to the larger color charge of the gluon compared to the quark, gluon jets are broader and expected to lose more energy on average than quark jets. Several measurements of  $b$ -jets found the suppression to be consistent with inclusive jets [189,191], while recent results for samples of jets with increased quark fractions are found to have reduced jet quenching compared to inclusive jets [190,191]. We note that recent theoretical developments point to possible future methods for data-driven extraction of quark and gluon jet modification in heavy ion collisions [192,193]. Additional insights into the mechanisms of energy loss were also obtained from studying the semi-inclusive distribution of jets recoiling from a high- $p_T$  trigger hadron [183,194].

The response of the QGP to the passage of a jet is characterized by an increased amount of low momentum particles within and around the jet [169,173,203-206]. Reference [173] found an excess of particles in Pb+Pb collisions relative to  $p+p$  collisions below 4 GeV inside the jet cone (of size  $R = 0.4$ ). The size of this excess was largely independent of the jet transverse momentum, suggesting that its properties were characteristic of the QGP and not of the jet itself (see Fig. 6). The  $p_T > 4$  GeV component of the fragmentation function was found to be qualitatively similar to that for jets in  $p+p$  collisions [207]. Measurements of the angular distribution of the low momentum particles near the jet have shown that they have a wider distribution than those from the jet itself [205,206]. This suggests that measuring jets with increasingly large radii might allow recovery of the energy lost by the jet and incorporated into the QGP. Measurements of the jet cone size dependence of the jet yields in heavy-ion collisions have been made at RHIC [208] and the LHC [170,209-211]. Jets with a large cone,  $R = 1$ , were measured for the first time in Pb+Pb collisions [211] (see Fig. 6). This measurement is sensitive to the interplay between the angular dependence of the energy lost by the jet and the energy incorporated into the medium as *medium response*. The current measurement does not show a strong cone size dependence to the jet quenching, in contrast to many, but not all, theoretical models. Tagged (e.g. with Z-bosons) jets can provide further information on the parton medium interactions [212].

The azimuthal anisotropy,  $v_n$  of jets [217,218] and hadrons from jets [6] has been measured to be non-zero in Pb+Pb collisions. The values of  $v_2$  are measured to be significantly larger than zero over a wide range of centrality and to have a centrality dependence that is similar to that seen from hydrodynamic flow for lower momentum particles. This is expected if the amount of jet quenching depends on the path length of the jet through the QGP. In [219]  $v_3$  was found to be consistent with zero, while a non-zero  $v_3$  of jets was observed in Ref. [218]. The  $v_3$  component can be explained by sensitivity to the geometrical fluctuations in the initial state of the collision. The values of  $v_4$  are consistent with zero over all measured centralities [218,219].

Over the last several years, there have been significant advances in the extraction of the parameter controlling the strength of jet quenching in the QGP,  $\hat{q}$ . Extractions from a number of groups use hydrodynamical models of the QGP combined with state-of-the-art jet quenching calculations to extract  $\hat{q}$  from experimental data on the  $R_{AA}$  of jets and hadrons at the LHC and RHIC [108,109,111, 215] using Bayesian techniques. Improvements in the models and the experimental data have contributed to stronger constraints on the temperature dependence of  $\hat{q}$ . Fig. 7 shows the  $\hat{q}/T^3$  extractions from four models [108,111,215,216]. Reference [216] was published in 2013 and has large uncertainties and a small temperature range. The more recent calculations [108,111,215] provide much more information, however there is still tension between the three model results.

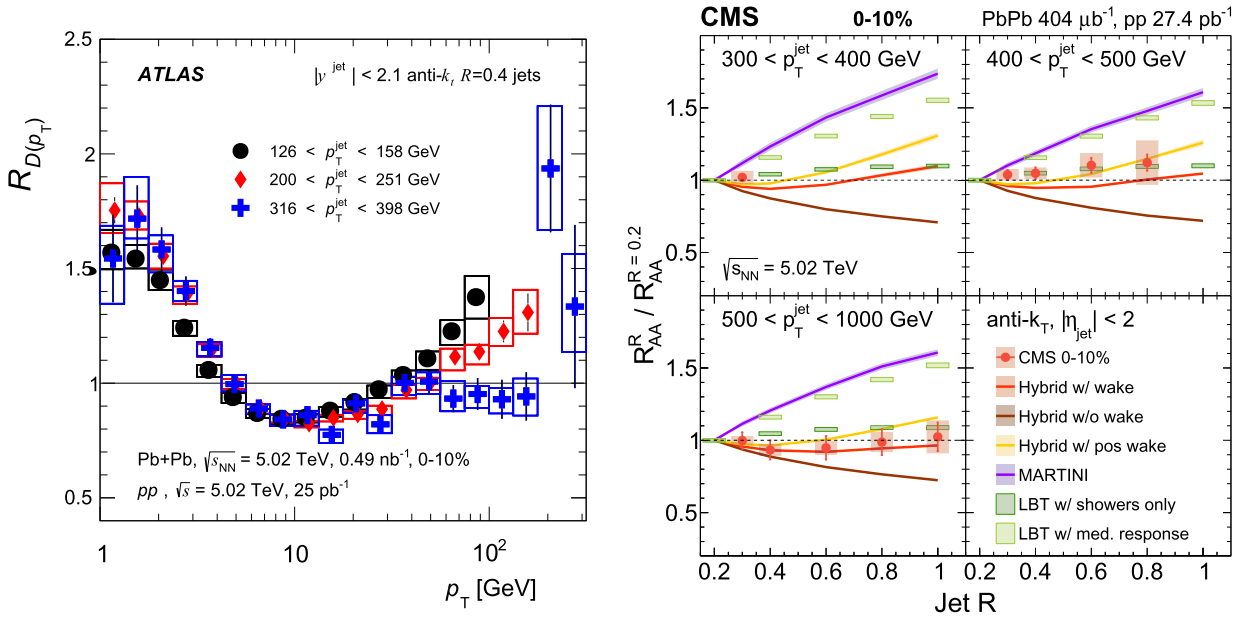


Fig. 6. Left: Ratio of the jet fragmentation functions in 0–10% central Pb+Pb collisions to those in  $p+p$  collisions for three jet  $p_T^{\text{jet}}$  as a function of the  $p_T$  of the particles in the jet. From Ref. [173]. Right: Ratio of the jet  $R_{AA}$  in 0–10% central Pb+Pb collisions for jets of radius  $R$  to the  $R_{AA}$  of  $R = 0.2$  jets as a function of  $R$  for three  $p_T^{\text{jet}}$ . Comparisons to a variety of theoretical calculations are shown. Figure from Ref. [211]. Theory results from three models [177,213,214].

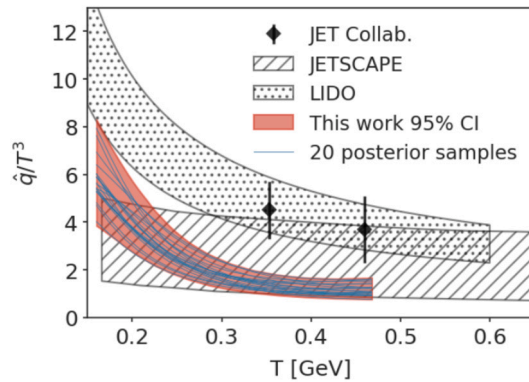


Fig. 7. Four extractions of the strength of the jet quenching parameter  $\hat{q}/T^3$  as a function of the temperature  $T$  of the QGP. The figure is from Ref. [108] and the calculations are from Refs. [108,111,215,216].

**Open heavy flavor and quarkonia** Heavy-flavor particles, charm and bottom quarks and the hadrons they constitute, are versatile probes of the QCD medium formed in nuclear collisions [220,221]. The heavy quark mass,  $m_Q$ , provides a large scale relative to typical temperatures in nuclear collisions, providing unique opportunities to investigate the short distance scale behavior of the QGP [2,222]. Suppression of quarkonia, heavy quark-antiquark bound states, is sensitive to the temperature of the medium: different states dissociate at different temperatures, depending on the size of the bound state [223]. Quarkonia may also be (re-)generated by uncorrelated  $Q$  and  $\bar{Q}$  coalescence when multiple  $Q\bar{Q}$  pairs are produced in a heavy-ion collision.

Calculational advances in the description of quarkonium and open heavy flavor production have been made in a number of directions, including transport calculations, effective field theory approaches, and lattice QCD calculations. The understanding of quarkonium dynamics inside the QGP was greatly advanced since 2015 by the application of the open quantum system framework (recent reviews can be found in Refs. [224–227]). Very recently, the first  $1/m_Q$ -correction to the heavy quark diffusion coefficient has been worked out [228]. Significant noise reduction was obtained in quenched QCD using gradient flow [229,230]. Heavy quark diffusion has been implemented in different transport approaches and used to constrain the QGP transport coefficients [231,232].

Measurements of the  $\Lambda_c/D^0$  ratio in heavy-ion collisions at RHIC [233] and LHC [234–236] have provided new constraints on models of hadron formation. Two coalescence models [237,238], have been compared to data from both RHIC and LHC [233–235], see Fig. 8. Other models have been compared to one of the data sets [239–242]. More precise data, necessary to fully constrain heavy-flavor hadron formation in the QGP, will be available in the future, see Sec. 3.2.3. In addition, recent data on the ratios  $D_s^+/D^0$  at both RHIC and LHC [243,244] show significant enhancement at intermediate  $p_T$  relative to more elementary collisions,

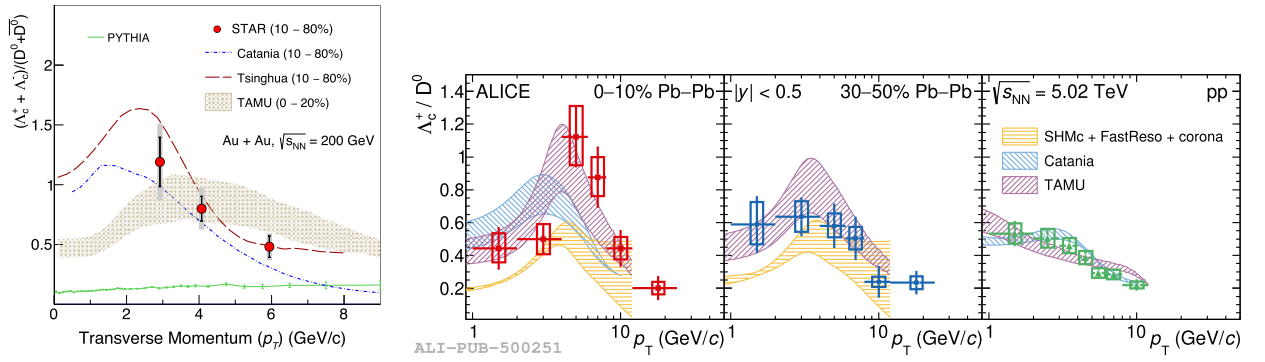


Fig. 8.  $\Lambda_c/D^0$  ratios in Au+Au [233] collisions (left) and Pb+Pb [235] collisions (right) as a function of transverse momentum compared to several models as discussed in the text.

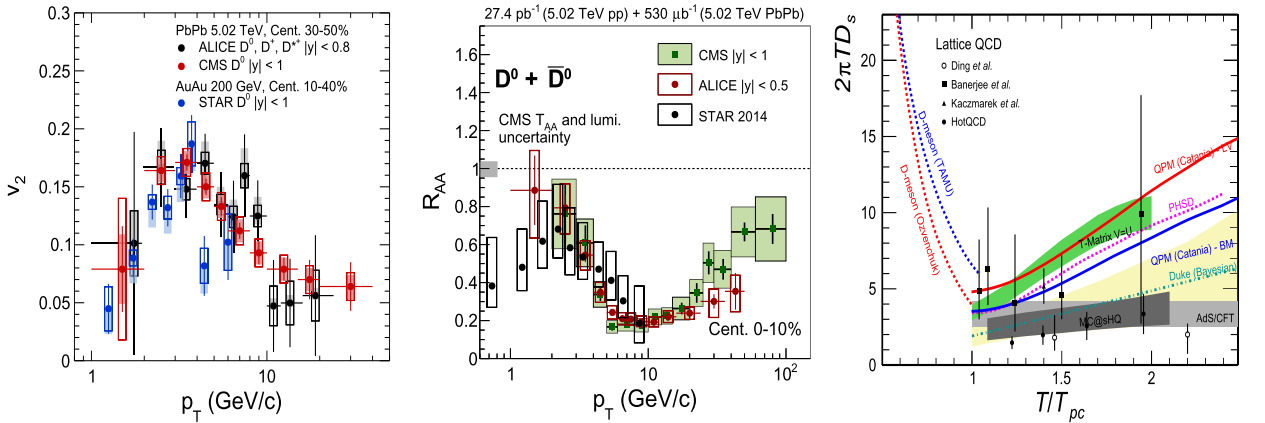


Fig. 9. Left:  $D$  meson  $v_2$  for Pb+Pb [263,264] and Au+Au [261] collisions. Center: The nuclear modification factor of  $D$ -mesons for Pb+Pb [254,263] Au+Au [262] collisions. Right: The temperature dependence of the charm quark spatial diffusion coefficient,  $2\pi TD_s$  [220].

suggesting that hadronization proceeds via coalescence in heavy-ion collisions. Measurements of  $B_s^0/B^+$  in Pb+Pb collisions are also available which hint at an enhancement of this ratio in Pb+Pb collisions compared to  $p+p$  collisions [245].

Effective theories for charm and bottom quark jets in QCD matter have been developed [246,247] and used to improve the description of heavy flavor parton showers and advanced the understanding of heavy flavor jet propagation in medium. At high  $p_T$ , QCD predicts an energy loss hierarchy:  $\Delta E_b < \Delta E_c < \Delta E_q < \Delta E_g$  [248]. Heavy quark jet substructure can provide clean information on the “dead-cone” effect [249]. Following upon lower energy results by CMS [189], ATLAS made the first observation of a larger  $R_{AA}$  for  $b$ -quark initiated jets than light quark jets [190]. The  $R_{AA}$  of  $D$  mesons at RHIC and the LHC shows a suppression pattern similar to that of light hadrons while  $R_{AA}$  data from  $B$  decays show less suppression than charm, revealing the anticipated mass hierarchy of parton energy loss [250–256]. Recent calculations have predicted that, for  $p_T < 30$  GeV, the QGP-induced modification is largest for bottom quark jets [257]. This inversion of the mass hierarchy of jet quenching relative to QCD expectations [249] can be explored by sPHENIX.

Lattice QCD-based studies determine the in-medium properties of hadrons and their dissolution through correlation functions, the Laplace transform of the spectral function. The main challenge of reconstructing the spectral functions is the limited Euclidean time direction extent. Lattice calculations of heavy flavor probes have matured significantly since 2015. For example, lattice calculations with  $N_\tau = 12$  [258] determined that the real part of the potential is not screened and is, instead, about the same as that in vacuum [258]. The imaginary part of the potential, on the other hand, is quite sizable and increases with both temperature and the quark-antiquark separation [258]. Current lattice data on charm fluctuations and charm baryon number correlations hint at the existence of charm mesons and baryons above the crossover temperature [259], and bottomonium spatial correlation functions provide constraints on the melting temperature of different bottomonium states [260].

To address medium thermalization and extract the heavy quark diffusion coefficient, high precision data on the collective behavior of open heavy flavor hadrons, especially at low  $p_T$ , are needed. At the time around 2015, little was known about charm quark diffusion due to the lack of experimental data so that the value of the charm quark scaled diffusion coefficient,  $2\pi TD_s$ , extracted from various models, varied widely [222]. Recent data provide precision measurements of  $D$ -meson  $R_{AA}$  and  $v_2$  over a wide  $p_T$  region at both RHIC and LHC (left and center panels of Fig. 9) [254,261–265]. The right-hand side of Fig. 9 shows the temperature dependence of  $2\pi TD_s$  [220], constrained to be  $\sim 2$ – $5$  near  $T_c$ . The spatial diffusion coefficient is proportional to the charm relaxation

time, which can give a hint as to why charm participates in the flow of the system, as it was extracted to be smaller than the system lifetime from  $D^0$  measurements [14,266].

Quarkonium measurements have been carried out at RHIC and the LHC in a variety of small and large systems. At  $\sqrt{s_{NN}} = 200$  GeV, the  $J/\psi$  is suppressed in the most central collisions by a factor of 4-5 at both mid and forward rapidity [267]. Precise  $J/\psi$  data from d+Au [268] collisions at  $\sqrt{s_{NN}} = 200$  GeV showed a  $\sim 60\%$  suppression at forward rapidity, making it clear that cold nuclear matter (CNM) effects are important [269]. Incorporating these CNM effects, the RHIC quarkonium data are well described by transport calculations including dissociation in the medium as well as production by coalescence. Transport models also provided successful predictions for the energy dependence of  $J/\psi$  production at RHIC [270,271] and LHC [272], with coalescence playing an important role at the highest energies. Coalescence may also be responsible for  $J/\psi$   $v_2$ , small at RHIC [273] but significant at the LHC [274]. The RHIC  $J/\psi$   $v_2$  measurements in Au+Au collisions will still improve through analysis of the final PHENIX data and data from future STAR runs.

Comparative studies of quarkonia production in small systems at RHIC [275–277] and at the LHC [278–282] found a factor of two greater suppression of the  $\psi(2S)$  compared to the  $J/\psi$  at backward rapidity (where the final-state multiplicity is highest) while the modifications are similar at forward rapidity. The strong  $\psi(2S)$  suppression at backward rapidity may be due to the formation of small QGP droplets in  $p + A$  collisions. Similarly, CMS has found a sequential suppression pattern of  $\psi$  states in  $p+Pb$  collisions [283]. Measurements in large systems [253,284] and comparisons with calculations of transport and statistical models provide insight into the existence and properties of charmonium states in the QGP at the LHC.

Detailed studies of the modifications of the three  $\Upsilon$  states at the energy densities produced at RHIC and LHC can provide strong constraints on models. At the LHC, CMS can fully resolve the three  $\Upsilon$  states and has measured their modification in Pb+Pb collisions [285]. The existing  $\Upsilon$  data from RHIC [286–288] are more limited due to a combination of smaller production rates, acceptance, and mass resolution.

#### 2.1.4. Initial state and small- $x$

The description of the initial state in heavy ion collisions has improved due to the use of high precision measurements of new observables and theoretical advances, for example the development of sophisticated three dimensional and dynamical initial state models, and extensive Bayesian analyses.

Nucleon substructure has been found to play a crucial role, in particular in small collision systems, where its inclusion is required to produce sufficient fluctuations to reproduce the anisotropy coefficients [100,289]. Constraints on the subnucleon size scales have been obtained from both diffractive vector meson production at the Hadron-Electron Ring Accelerator (HERA) [290] as well as studies of  $p + A$  collisions [100]. At small  $x$ , within the CGC framework, direct constraints on the gluon distributions can be obtained from a variety of processes, including diffractive dijet and vector meson production, deeply virtual Compton scattering, or inclusive dijet production, and certain angular dependencies in all cases [291–294]. Once constrained by measurements of these processes in ultraperipheral heavy ion collisions or future measurements at the EIC, the gluon distributions (Wilson lines) can be directly used in the same framework to describe the initial state in heavy ion collisions [295–299]. This includes the transverse spatial distribution as well as longitudinal dependence obtained from small- $x$  evolution [46,47].

In addition to the initialization of the energy momentum tensor, sophisticated calculations require an initial condition for the ideally three dimensional distribution of conserved charges, including net-baryon, isospin, and strangeness densities (see e.g. [45]). Fluctuating initial net baryon distributions are particularly important when exploring net-proton fluctuations in the search for the QCD critical point [58]. We will discuss other theoretical aspects, including pre-equilibrium evolution and the transition to hydrodynamics, in Sec. 3.1.3.

It is also desirable to identify experimental information that isolates the impact of the initial conditions in order to determine initial conditions and transport properties of the evolving matter individually. For example, correlations of flow harmonics with the mean transverse momentum fluctuations have proven to be sensitive to initial state properties like the nucleon size and nuclear deformation, while being mostly insensitive to the transport properties of the medium [300,301]. The nucleon size, or more precisely the hot spot size in the initial energy density, has also been better constrained by using experimental information on the nuclear cross section in Bayesian analyses [302,303].

A powerful method to extract initial state properties is to consider collisions of systems with similar mass but different structural properties and compute the ratio of a given observable  $\mathcal{O}$  in collisions of isobars  $X$  and  $Y$ . Such a study was performed already using  $^{96}\text{Ru} + ^{96}\text{Ru}$  and  $^{96}\text{Zr} + ^{96}\text{Zr}$  collisions at RHIC [304]. Ratios of more than ten observables have been measured, all displaying distinct and centrality-dependent deviations of up to 8% from unity, two of which are reported in the right panel of Fig. 10 [304]. The ratios in central collisions are mostly impacted by deformation, while in mid-central collisions they are impacted by the nuclear radius and the surface diffuseness [305–308]. The behavior of  $v_2$  and  $v_3$  suggests a large octupole deformation in Zr,  $\beta_{3,Zr}$ , not predicted by mean field structure models [309]. Such rich and versatile information provides a new type of constraint on the initial conditions.

Another promising experimental tool to reveal the initial state of heavy nuclei is through photon-induced interactions, commonly known as *ultra-peripheral collision* (UPCs), for which the impact parameter  $b$  between the two colliding nuclei is greater than the sum of their radii  $-2R_A$ . Here, one or multiple photons emitted from one nucleus, interact with the other nucleus. Due to the large mass of the heavy nucleus, the emitted photons have very small virtualities or transverse momenta. There are generally three types of UPC physics processes studied: i) inclusive production; ii) semi-inclusive and/or jet production; iii) exclusive production. In the past decade, most of the UPC measurements focused on exclusive production, dominated by diffractive vector meson production. However, since 2015, there has been an increasing number of studies on jet and inclusive particle photoproduction. For reviews of UPCs, see Refs. [310–315].

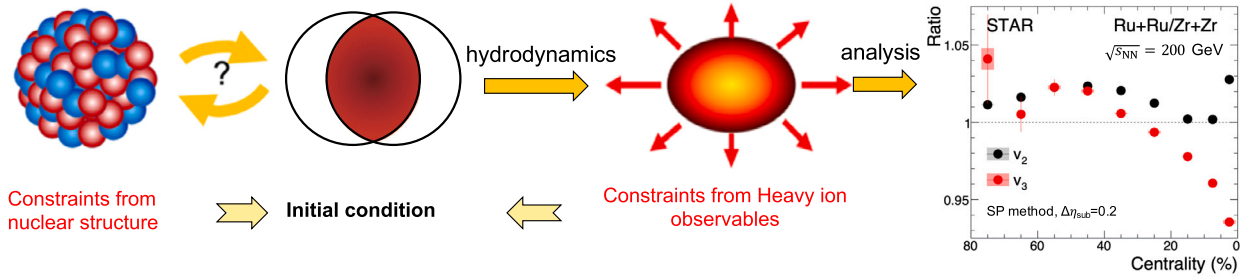


Fig. 10. Impact of isobar-like collisions on the initial condition of QGP. Better control on the initial condition can be achieved by exploiting the constraints from both the ratios of final-state observables ( $v_2$  and  $v_3$  on the right side [304]) and the nuclear structure knowledge (left side).

Exclusive vector meson (VM) photoproduction at high energy can be described as a quasi-real photon fluctuating into a quark-antiquark pair, which scatters off the target nucleus via a color neutral two-gluon exchange and then forms a VM. The sensitivity of this process to the spatial shape of the target makes it particularly valuable for constraining the initial conditions of nuclear collisions. At leading order, the cross section of this interaction scales as the square of the gluon density, which makes it a sensitive probe of the nuclear parton distribution functions (nPDFs). However, in a recent next-to-leading order (NLO) study [316], the dependence on the gluon density is found to be different. Complementary studies of e.g. photoproduction of dijets or open heavy flavor proceed via only a single gluon exchange, making them less sensitive to such theoretical uncertainties. Here, the  $Q^2$  is set by the pair or dijet invariant mass, making it possible to probe parton distributions over a wide range of  $Q^2$  with a single process.

Exclusive  $\rho^0$  and  $J/\psi$  production in UPCs have been systematically measured at RHIC and LHC [317–329]. Although the systematic uncertainty related to the incoherent background is large, Ref. [320] provided a first measurement of parton distributions inside a heavy nucleus by Fourier transforming the  $\rho^0$   $|r|$  distribution to impact parameter space. A recent STAR measurement [330] of azimuthal correlations of  $\rho^0$  decays, has captured the nuclear geometry of an Au nucleus via quantum interference, linking UPC physics to quantum information science, and providing the first measurement of neutron skin from UPCs.

Measurements at the LHC [321–325,327–329] have shown a significant suppression of exclusive  $J/\psi$  photoproduction in heavy nuclei over a wide range of rapidity, with respect to a free nucleon. This observation is qualitatively consistent with both the nuclear shadowing model in the leading twist approximation and gluon saturation models. Besides UPC VM in heavy nuclei, new experimental measurements of exclusive VM photoproduction in non-UPC heavy-ion collisions [331–333] can provide insight into the dynamics of photoproduction and nuclear reactions. Measurements in asymmetric collision systems [334] can probe the structure of the smaller nucleus at small  $x$ .

Exclusive dijets in Pb+Pb UPCs have recently been measured by CMS [335]. The dijet system can be used to reconstruct the initial scattering kinematics, and study the nPDFs, providing early access to some of the important physics goals of the EIC. Dijet events have also been observed by ATLAS in events with no activity in either Zero Degree Calorimeter (ZDC) ( $0n0n$ ), and the distributions have been found to resemble expectations from diffractive dijet production [336]. Diffractive dijet production is sensitive to the gluon distributions in nuclei, as well as their polarization, which is expected to lead to distinctive angular correlations [292,337]. CMS measured angular correlations between two jets in events with rapidity gaps in both directions [335]. Model comparisons [338–340] indicate that more work is needed to fully capture the interesting underlying physics.

### 2.1.5. Small size limit of the QGP

The discovery of flow-like signatures in  $p+p$  and  $p+Pb$  collisions at the LHC [341–344] opened up a new field of study of the small size limit of QGP formation (for recent reviews see Refs. [345,346]). Studies revealed a striking collective behavior of the measured  $v_n$  for particles emitted in  $p+p$  and  $p+Pb$  collisions [17,23,28,347–353]. A stringent control experiment was performed at RHIC, using three small collision systems:  $p+Au$ ,  $d+Au$ , and  $^3He+Au$ . The observed  $v_2$  and  $v_3$  results were found to agree with calculations in which the  $v_n$  values have their origin in the hydrodynamic evolution of the initial collision geometry in the three systems [9], confirming expectations that the geometry of the initial collision drives the observed  $v_n$  values in small systems. The measurements in the three collision systems, shown in Fig. 11, agree well with two hydrodynamic calculations [354,355] and disagree with a calculation based on a picture where the observed anisotropies have non-hydrodynamic origin [356]. However, the  $v_n$  signal is found to be sensitive to the choices of  $\eta$  range used in the two-particle correlation method [357], which suggests either a significant longitudinal decorrelation effect or a possible role of subnucleonic fluctuations (also see [357]). Measurements of the production of strange hadrons in high multiplicity  $p+p$  collisions smoothly connect to what is seen in  $p+Pb$  collisions [358], with this trend continuing towards the largest systems. Additionally,  $v_2$  and  $v_3$  have been shown to be finite in high multiplicity photo-nuclear Pb+Pb collisions [359] (but consistent with zero in  $e^+ + e^-$  [360],  $e + p$  [361,362], and  $\gamma + p$  [363] collisions). In high multiplicity photo-nuclear Pb+Pb collisions, the dominant processes are those in which the photon fluctuates into a vector meson such as the  $\rho$  or  $\omega$  [310], which then interacts with the lead nucleus in much the same way a proton would. First hydrodynamic calculations applied to this system show behavior consistent with experimental results [364]. The signatures of collectivity in small systems have expanded the range of systems in which the QGP is studied and hydrodynamic models are challenged to be reliable in these smaller, more intense, shorter lived systems. The theoretical challenges are discussed in more detail in Sec. 3.1.3.

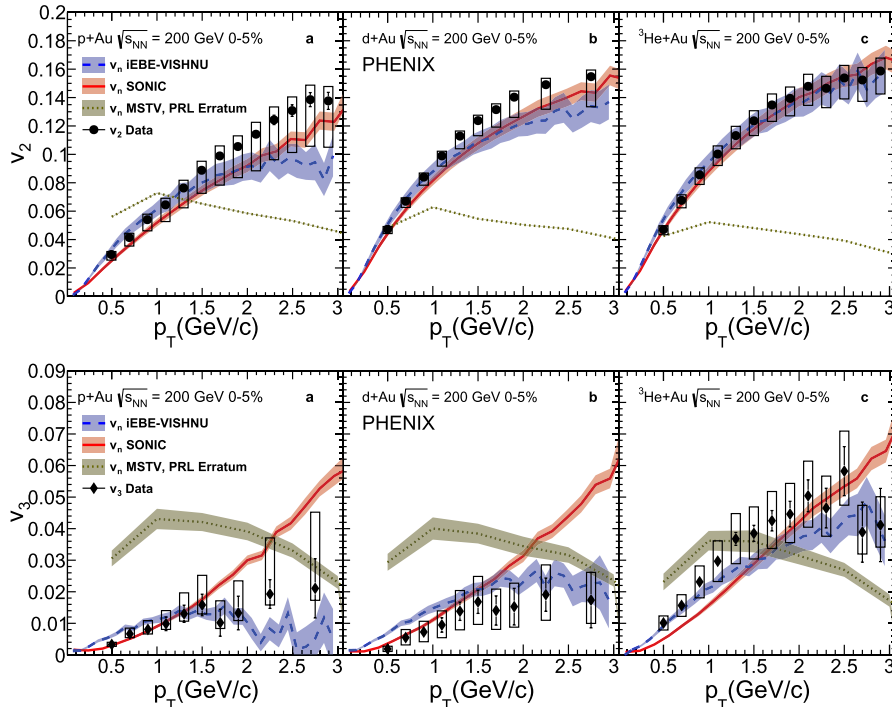


Fig. 11. Measurement of  $v_2$  (top) and  $v_3$  (bottom) for charged particles in  $p+Au$ ,  $d+Au$ , and  $^3He+Au$  collisions as a function of  $p_T$ . Calculations from two hydrodynamic models [354,355] and a CGC based model [356] are shown. Figure from Ref. [9].

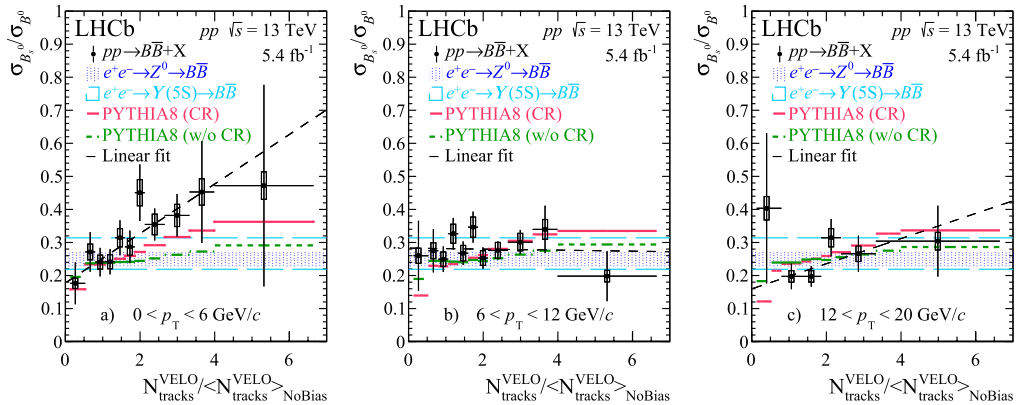


Fig. 12. Ratio of  $B_s^0/B^0$  in  $p+p$  collisions as a function of the charged particle multiplicity scaled by the average multiplicity in  $p+p$  collisions for three meson  $p_T$  selections: 0–6 GeV (left), 6–12 GeV (middle), 12–20 GeV (right). Expectations for production using the PYTHIA generator (with and without color reconnections) are also shown, along with the ranges measured previously in  $e^+e^-$  collisions. Figure from Ref. [365].

In addition to hydrodynamic signatures, hints at a modification of the hadron formation process for mesons containing heavy quarks have been observed in small collision systems relative to expectations from  $e^+e^-$  collisions. These measurements are related to similar measurements in heavy ion collisions discussed in Sec. 2.1.3. LHCb has measured the charged particle multiplicity dependence of the  $B_s^0/B^0$  ratio as a function of the multiplicity of charged particles in  $p+p$  collisions [365]. They have found that the ratio shows little multiplicity dependence for high transverse momentum mesons, but has a clear increase with the number of charged particles for mesons with  $p_T < 6$  GeV, see Fig. 12.

QGP formation is typically accompanied by evidence of jet quenching. However, no direct evidence of jet quenching has been found in small collision systems [366,367]. The nuclear modification factors for jets [368–371] and hadrons [366,372–374] show no significant suppression at mid-rapidity, and measurements of the semi-inclusive distribution of charged jets recoiling from a high  $p_T$  hadron trigger indicate little to no energy loss in small systems [375]. One indirect suggestion of jet quenching is the observation of a non-zero  $v_2$  for charged particles in  $p+Pb$  collisions at high  $p_T$  [376]. In  $Pb+Pb$  collisions, the non-zero  $v_2$  is typically attributed to the path length dependence of jet quenching within the QGP. However, in  $p+Pb$  collisions, these charged particles are not significantly suppressed and no existing model has been able to explain both the overall rate and the  $v_2$  of these high  $p_T$  charged particles in



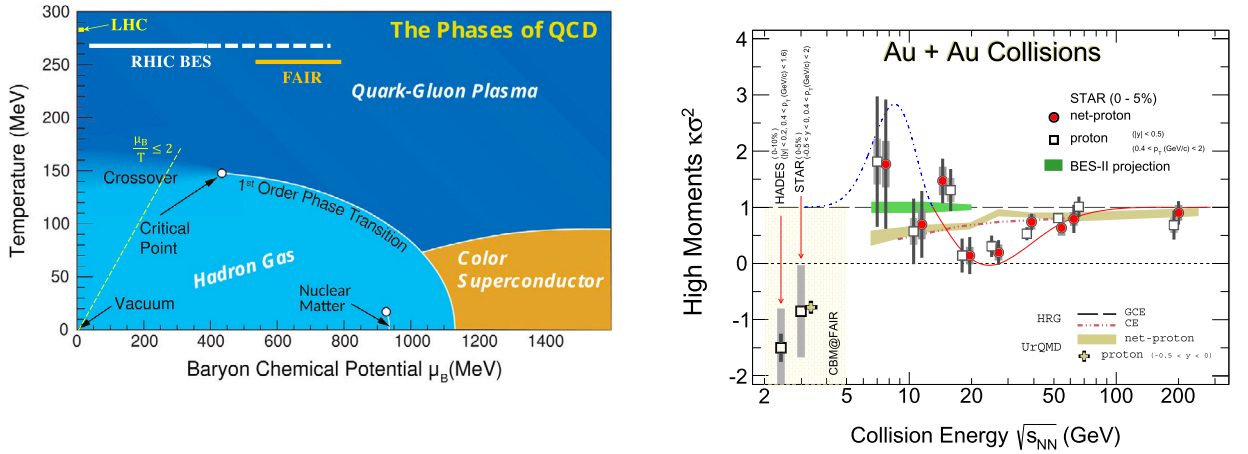


Fig. 13. Left: Sketch of the QCD phase diagram, incorporating a conjectured critical end point and first order transition. The yellow line indicates the region of the phase diagram where lattice QCD can reliably predict the smooth crossover region of the hadron-QGP transition, up to  $\mu_B/T \leq 2$ . Figure adapted from [2]. Right: Energy dependence of the net-proton (filled circles) and proton (open squares) high moments from Au+Au collisions [388–390]. Model results from Hadron-Resonance Gas (HRG) model [391], and UrQMD [392,393] are shown. The thin red and blue dot-dashed lines are qualitative predictions [394] in the presence of a critical point. Adapted from Ref. [390].

$p$ +Pb collisions. The origin of this effect in  $p$ +Pb collisions is not known. Observation of heavy flavor hadron  $v_2$  in  $p$ + $p$  and  $p$ +Pb also provides indirect evidence for interactions of hard probes with a QGP medium in small systems [377–381].

The most direct way to understand this potential tension is to measure small, symmetric collisions at RHIC and the LHC. O+O collisions have been run at RHIC and proposed for the LHC in Run 3. This system avoids the large theoretical and experimental uncertainties associated with jet quenching measurements in peripheral collisions and provides a system size, in terms of the number of participating nucleons, very similar to peripheral Pb+Pb collisions. This will provide a benchmark for how much jet quenching (if any) is present in such small collision systems, and provide the crucial link between understanding the QGP in large, symmetric collision systems and small asymmetric collision systems.

### 2.1.6. Mapping the QCD phase diagram

Based on lattice QCD calculations, the QGP-hadron gas transition at vanishing net-baryon density is understood to be a smooth crossover with the transition temperature  $T_c = 156 \pm 1.5$  MeV [382]. Model studies indicate a first-order phase boundary at large net-baryon density (baryon chemical potential  $\mu_B$ ) [383]. If there is a crossover and a first order transition line, they will be joined at the QCD critical point [384–386]. State-of-the-art lattice calculations further predicted that the chiral crossover region extends into the finite chemical potential region  $\mu_B/T \leq 2$  [387], see Fig. 13. Precise calculations in the higher  $\mu_B$  region become more difficult and experimental measurements are essential to determine if a QCD critical point exists.

The BES program at RHIC, colliding heavy nuclei in the center of mass energy range  $\sqrt{s_{NN}} = 3$ –200 GeV, was initiated in 2008 in order to search for the QCD critical point and study the nuclear matter EoS in the high baryon density region [2,222]. The BES phase-I (BES-I) program was conducted during 2010–2014, covering the collision energies between 7.7 and 200 GeV (solid white line in Fig. 13 (left) indicating the  $\mu_B$  range). BES-II took place during 2019–2021, focusing on the center of mass energy range  $\sqrt{s_{NN}} = 3$ –19.6 GeV (dashed white line in Fig. 13). While data from the energy range 7.7 – 19.6 GeV were collected in collider mode, data from fixed-target mode was also collected in the range 3 – 13.1 GeV (see e.g. [395]). In the overlapping energy range, the event statistics from BES-II were improved by a factor of 20 to 40 compared to that of BES-I. In order to reach the desired luminosity, RHIC underwent an electron cooling upgrade, Low Energy RHIC electron Cooling (LEReC), which began operation during the BES-II RHIC Runs in 2019–2021. To maximize the physics output, the STAR detector has implemented a series of key subsystem upgrades: the inner Time Projection Chamber (iTPC), the Event Plane Detector (EPD) and the endcap Time-of-Flight (eTOF) Detector to enhance particle identification capabilities and extend kinematic coverages.

All of the BES-I data have been analysed and most of the results are published. Evidence for the dominance of the QGP phase or the hadronic phase at different collision energies have been demonstrated in three key observations. (i) High- $p_T$  Parton Energy Loss: the strong suppression in the leading hadron  $R_{AA}$  at  $p_T \geq 5$  GeV/c, a signature of the formation of QGP, in central Au+Au collisions at  $\sqrt{s_{NN}} = 200$  GeV was found to gradually disappear and  $R_{AA}$  became even larger than unity in central Au+Au collisions for energies lower than 19.6 GeV [396]. (ii) Partonic Collectivity: Quark number scaling, found in the  $v_2$  for all hadrons, an indication of QGP formation, has been found to persist down to 7.7 GeV Au+Au collisions [397]. This implies that the partonic degrees of freedom remain dominant in these collisions. (iii) Critical Fluctuation: Moments (and their ratios) of net-baryon fluctuations are expected to be sensitive to the existence of critical point and phase boundary. High moments of net-protons (a proxy for net-baryons) from central 200 GeV in Au+Au collisions,  $C_4/C_2$ ,  $C_5/C_1$ , and  $C_6/C_2$ , are all found to be consistent with lattice QCD predictions of a smooth crossover chiral transition [382,398–400]. Hydrodynamic calculations of non-critical contributions to proton number cumulants indicate that the Au+Au data are consistent with non-critical physics at center of mass energies above 20 GeV [401]. In Au+Au collisions at 3 GeV, on the other hand, hadronic interactions are evident from the measurements of moments of proton

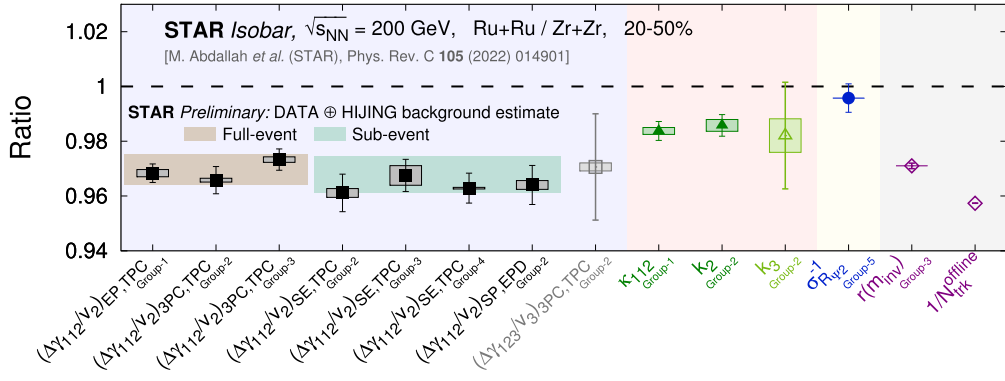


Fig. 14. Ratios of observables in Ru+Ru over Zr+Zr collisions from the STAR isobar blind analysis [304]. The ratios of the CME-sensitive observables (solid markers) are found to be below unity and close to the ratio of inverse multiplicities ( $N_{\text{trk}}^{\text{offline}}$ ). The tan and aqua bands show background estimates calculated using data and the HIJING model [411]. No significant CME signal difference between the two isobars is observed.

distributions, collective flow and strangeness production [390,402,403]. These results imply that the QCD critical point, if it exists, should be accessible in collisions with center of mass energies between 3 and 20 GeV.

Fig. 13 (right) shows recent results on the fourth-order net-proton and proton high moments in central Au+Au collisions measured in BES-I [389,390,398] compared to models. The thin red and blue dot-dashed lines are expected from a qualitative prediction [394] due to critical phenomena. The hadronic transport model Ultrarelativistic Quantum Molecular Dynamics (UrQMD) [392, 393] and a thermal model with a canonical ensemble [391] represent non-critical baselines. Current error bars do not allow for a clear conclusion, but RHIC BES-II results will provide significantly improved statistical precision (and likely reduced systematic uncertainties), as indicated by the green band in the figure. The extended acceptance and particle identification in a larger rapidity region (from  $|y| < 0.5$  to  $|y| < 0.8$ ) will allow more systematic investigation into the nature of these fluctuations. Much progress has also been made by the BEST Collaboration [58] and others towards establishing a framework for calculations of observables sensitive to the critical point and a first order phase transition. This includes lattice QCD and effective field theory calculations, further discussed in Sec. 3.1.3, as well as improvements to the initial state and hydrodynamic description, especially the inclusion of propagation of stochastic and critical fluctuations, discussed in Sec. 2.1.1.

### 2.1.7. Chirality and vorticity in QCD

**Searches for the chiral magnetic effect** The creation of electric current in the direction of a magnetic field due to the imbalance of chirality is called the chiral magnetic effect (CME). A decisive experimental test of this phenomenon in a QCD medium has been among the major scientific goals of the RHIC and LHC heavy ion programs. The existence of the CME in the QCD medium formed in relativistic collisions would establish the existence of chiral fermions over sufficient timescales and therefore the restoration of chiral symmetry of QCD in these collisions. It would also indicate that such collisions form regions of space where the left-right symmetry ( $U_A(1)$ ) is broken by local P and CP symmetry breaking in the strong interaction. Finally, it would also prove that ultra-strong electromagnetic fields are created in such collisions [404,405]. Other observables potentially sensitive to the creation of a strong magnetic field were also discussed in the literature [406–410].

In heavy-ion experiments, a signal of the CME is the separation of charge across the reaction plane, oriented perpendicularly to the magnetic field direction in non-central collisions [412]. Evidence of such charge separation was first reported by the STAR collaboration in Au+Au and Cu+Cu collisions [413]. However, backgrounds driven by flow, coupled with local charge conservation [414–418] and non-flow effects, dominate the measurements [265,413,419–432]. A similar charge separation observed in small colliding systems, where there is no correlation between the magnetic field direction and reaction plane, at both the LHC [425] and RHIC [428] confirmed the dominance of the background. Subsequent measurements used novel approaches to reduce or eliminate background contributions to the CME. Using event-shape engineering techniques, the CMS and ALICE collaborations found an upper limits of 7% and 26%, respectively, for the CME contribution to the measured signal at 95% confidence level in Pb+Pb collisions at the LHC [426,427]. Studying charge separation as a function of pair invariant mass, the STAR collaboration found an upper limit of 15% CME contribution to the measured signal at the 95% confidence level in Au+Au collisions at RHIC [429]. Using the spectator and participant planes STAR measurements indicate a possible 10% CME signal at a significance on the order of 2 standard deviations in Au+Au collisions at 200 GeV [430].

By far the most controlled and precise CME search was performed by the STAR collaboration using the collisions of isobars Ru+Ru and Zr+Zr at RHIC [304]. Ru+Ru collisions are expected to produce an about 5–9% larger  $B$  field than Zr+Zr, hence a 10–18% larger CME signal because of its  $B^2$  dependence. The RHIC running conditions for Zr+Zr and Ru+Ru collisions provided stringent controls on the systematic uncertainties. The STAR collaboration performed a blinded analysis. The results are shown in Fig. 14. The ratio of CME-sensitive observables in Ru+Ru over Zr+Zr is found to be below unity with a precision down to 0.4% indicating no pre-defined signature of CME is observed. Estimates of background using data and HIJING, shown by bands on Fig. 14, indicate no significant CME signal difference between the two isobars; a quantitative determination of an upper limit is underway [411].

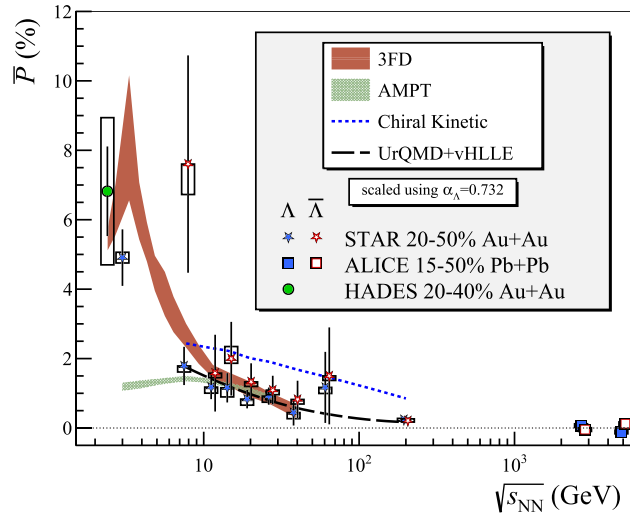


Fig. 15. Adapted from [438]. World dataset [435,438–442] of global polarization of  $\Lambda$  and  $\bar{\Lambda}$  from midcentral heavy ion collisions vs.  $\sqrt{s_{NN}}$ . Statistical uncertainties are represented with lines while systematic uncertainties are represented with boxes. All results are scaled [443] using the decay parameter  $\alpha_{\Lambda} = 0.732$  [444]. Curves are calculations with a hybrid hydrodynamic model [445], chiral-kinetic transport [446], a Monte Carlo transport model AMPT [447], and a three-fluid hydrodynamic calculation [448].

**Vorticity** Despite early predictions [433,434] of hadronic polarization resulting from a rotating QGP, the first observation [435] of the phenomenon was a nonvanishing  $\Lambda/\bar{\Lambda}$  polarization at midrapidity along the direction of the global angular momentum  $\vec{J}$ , in semi-peripheral collisions at RHIC BES energies. Many viscous hydrodynamic calculations were able to reproduce the observations without special “tuning,” using a generalized Cooper-Frye formula [436] to connect the fluid to particle degrees of freedom; in this freezeout scenario, fluid vorticity is essentially a spin chemical potential. This achievement alone is a nontrivial confirmation of the validity of the hydrodynamic, local-equilibrium paradigm underlying our understanding of the bulk system created in heavy ion collisions. This connection was strengthened by subsequent observation [437] of  $\Xi$  and  $\Omega$  global polarization at RHIC.

Despite the fact that higher  $\sqrt{s_{NN}}$  implies larger system angular momentum overall, most models reproduce the observed trend of increasing polarization with decreasing collision energy. Recent measurements (see Fig. 15) by the STAR [438] and HADES [442] collaborations show maximum polarization near the threshold energy for  $\Lambda$  production. It is surprising that a hydrodynamic description [448] seems to hold at such low energies.

A “splitting” between the global polarization of  $\Lambda$  and  $\bar{\Lambda}$  may be used to estimate [436] the magnetic field at freezeout, input highly relevant for studies of the CME (see Sec. 2.1.7). The slight but statistically insignificant tendency for  $P_{\bar{\Lambda}} > P_{\Lambda}$  in the early data prompted measurements at  $\sqrt{s_{NN}} \approx 20$  GeV by STAR with much higher statistics and an upgraded event-plane detector [449]. The resulting null splitting yields a much tighter conservative upper bound (95% confidence level) of  $B \leq 3 \times 10^{13}$  T, ruling out several theoretical estimates of the  $B$  field. At  $\sqrt{s_{NN}} = 200$  GeV, the bound is even tighter,  $B \leq 3 \times 10^{12}$  T [450].

In non-central heavy ion collisions, anisotropic transverse flow necessarily generates vorticity in a fluid picture, leading to predictions [451,452] of polarization along the beam direction  $P_z$ , oscillating as a function of azimuthal angle. This expectation has been borne out by the observation of longitudinal polarization relative to the second- and third-order event planes at RHIC [453] and LHC [454]. Surprisingly, however, the observed oscillation of  $P_z$  was  $180^\circ$  out of phase with predictions. This has led to a realization of overlooked shear terms in the hydrodynamic equations that contribute to polarization; different treatments [455–458] of these terms have been proposed and there is not yet consensus on the correct formulation.

## 2.2. Progress in cold QCD

Hadrons, with protons and neutrons (the nucleons) the most ubiquitous, make up the majority of the visible matter in the universe. Thus, understanding their structure is of fundamental importance. The nucleon forms a frontier of subatomic physics and has been under intensive study for the last several decades. Tremendous progress has been made in mapping out the one-dimension momentum distribution of the nucleon constituents, in the form of the Feynman parton distribution functions (PDFs). These investigations not only unveil the partonic structure of the nucleon, but also provide an important opportunity to study the strong interaction. Still, essential questions remain to be answered. How do the spin and orbital degrees of freedom of quarks and gluons within the nucleon combine to make up its total spin? What is the origin of the mass of the nucleon and other hadrons? Do gravitational form factors inform us about the origin of mass and can they be extracted from measurements? Where are the quarks and gluons located within the nucleon? How does the quark-gluon structure of the nucleon change when it is bound in the nucleus? What is the spectrum and structure of conventional and exotic hadrons? All these questions have stimulated further theoretical and experimental investigations in hadronic physics and major facilities have been and will be built to explore them.

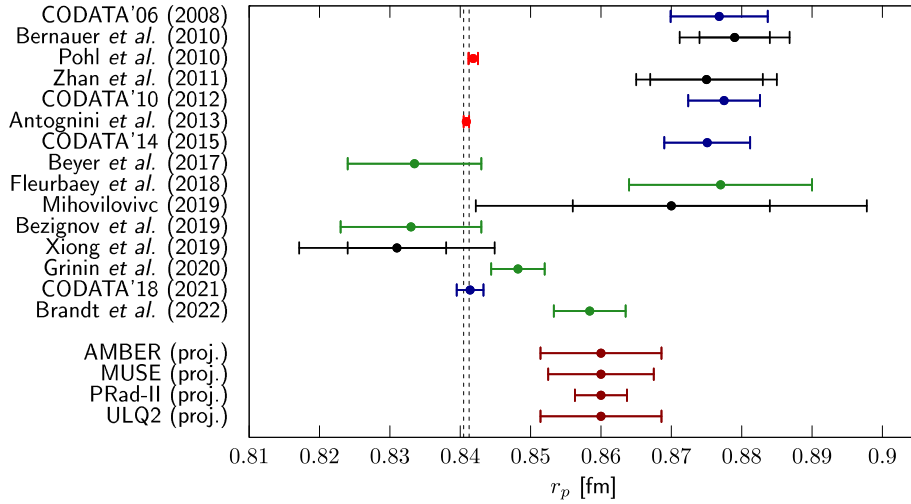


Fig. 16. The PRad  $r_p$  result shown along with the projected result for PRad-II and other measurements.

Since 2015, there has been significant progress in cold QCD research in the US and abroad. First, the CEBAF 12 GeV upgrade has been completed and the experimental program is in full swing. Second, fruitful new and exciting results have been obtained from various hadron physics facilities, including CEBAF at JLab, RHIC at BNL, and the LHC at CERN. These advances covered static properties and partonic structure of hadrons, nuclear modifications of the structure functions and nucleon many body physics in nuclear structure, and dense medium effects in cold nuclei. These new results test the fundamental properties of QCD such as its chiral structure and predictions for new hadron states, preview the tomography imaging of the nucleon that will help to unveil the origin of the mass and spin, and deepen our understanding of nucleon-nucleon interactions to form atomic nuclei and the partonic structure of a dense cold medium. More importantly, these advances pave the way for answering the aforementioned fundamental questions. Meanwhile, all this progress has strong overlap with hot QCD, nuclear structure and fundamental symmetry physics. Along with the experiment achievements, theoretical developments have played a significant role, not only in the interpretation of experimental data, but also in stimulating the programs at these facilities. In the following, we will highlight these advances.

### 2.2.1. Properties of hadrons

We start with long-range nucleon structure. This includes the proton (electric) charge radius, generalized polarizabilities and electromagnetic form factors of the nucleon. An additional relevant topic is the neutral pion lifetime measurement that tests the chiral anomaly of QCD.

**Proton charge radius** For nearly half a century the root-mean-square charge radius ( $r_p$ ) of the proton had been obtained from measurements of transitions between atomic hydrogen energy levels and by scattering electrons from hydrogen atoms. Until recently, the proton charge radius obtained from these two methods agreed with one another within experimental uncertainties. In 2010, the proton charge radius was obtained for the first time by precisely measuring the Lamb shift of muonic hydrogen [459]. The charge radius of the proton obtained from muonic hydrogen was found to be significantly smaller than that obtained from ordinary hydrogen. This was called the proton charge radius puzzle and led to a rush of experimental and theoretical efforts to understand the difference in the proton size between ordinary hydrogen and muonic hydrogen. The Proton Charge Radius (PRad) experiment at JLab was one such new effort which utilized several innovations and studied electron scattering from ordinary hydrogen atoms to high precision. It was the only lepton scattering experiment to use an electromagnetic calorimeter and a windowless hydrogen gas flow target, allowing robust extraction of the proton charge radius from form factors measured in a very low  $Q^2$  range of  $2 \times 10^{-4}$  to  $6 \times 10^{-2} \text{ GeV}^2$ . The PRad result, shown in Fig. 16, was found to be in agreement with the small radius measured in muonic hydrogen spectroscopy experiments as well as some of the recent ordinary hydrogen spectroscopy measurements [460]. The PRad result provided critical input to the recent revision of the Committee on Data of the International Science Council (CODATA) recommendation for the proton charge radius and the Rydberg constant as noted in the most recent review [461]. A followup experiment, PRad-II, is being planned to reach an even smaller uncertainty, see Section 3.3. In addition, other measurements, such as the US-led Muon Scattering Experiment (MUSE), will address the puzzle by measuring elastic muon scattering on the proton, see Section 3.4.

**Nucleon form factors at high- $Q^2$  and two-photon exchange physics** Apart from the charge radius determination from the low  $Q^2$  measurement, nucleon form factors provide information on the fundamental constituent structure of the nucleon, and at times reveal our lack of understanding in related topics. Specifically, the proton electric-to-magnetic form factor ratio determined from the polarization transfer method had revolutionized the basic understanding of the constituent structure of the proton [466,467]. The discrepancy observed between these measurements and those from the (traditional) Rosenbluth separation method, see left panel of Fig. 17, has stimulated theoretical investigations into the two-photon exchange (TPE) contribution, currently considered as the leading explanation. While a number of recent measurements have shown evidence for sizable TPE in several different observables,

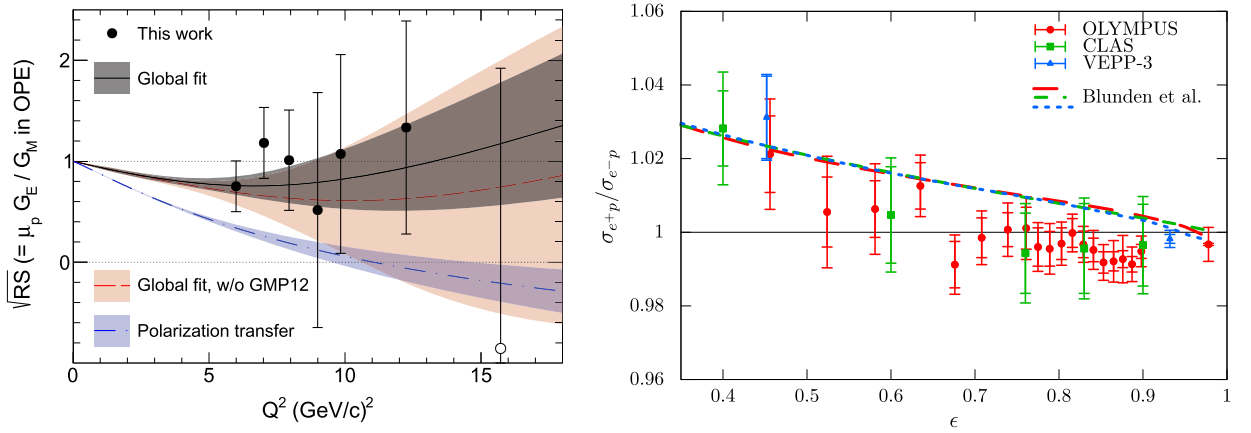


Fig. 17. (Left) Direct Rosenbluth separation results for  $\sqrt{RS}$  ( $= \mu_p G_E / G_M$  in the one-photon exchange approximation). The black solid (red dashed) curve shows the results of the fit to the cross section data with (without) the new GMP12 data (“This work”) [462]. The blue dot-dashed curve shows  $\mu_p G_E / G_M$  from a fit to the polarization data. (Right) The ratio of positron-proton to electron-proton elastic cross sections as a function of  $\epsilon$ , as measured by OLYMPUS [463], CLAS [464], and at VEPP-3 [465]. The data are generally closer to unity than the expectation if the difference between the Rosenbluth separation and polarization method is fully attributed to two-photon exchange effects.

the situation is far from resolved. For example, several recent experiments were carried out to directly measure TPE by looking for a difference in the unpolarized positron-proton and electron-proton elastic cross sections, including the OLYMPUS experiment at DESY [463], and those utilizing CLAS at JLab [464,468] and the VEPP-3 storage ring at Novosibirsk [465]. The results of these experiments are shown in the right panel of Fig. 17. The data favor a non-zero slope as a function of the virtual photon polarization parameter,  $\epsilon$ , which is a sign of TPE. However, these data are limited to the low  $Q^2$  region, and are closer to unity than needed to fully explain the proton form factor discrepancy. New measurements with greater kinematic reach are needed to fully explain the proton form factor discrepancy and to guide theoretical efforts. This is one of the major motivation for the proposed positron beam program at JLab, see Section 3.3.9, as well as for the proposed TPE Experiment (TPEX) at DESY.

**Nucleon polarizabilities and generalized polarizabilities** Nucleon polarizabilities and generalized polarizabilities describe how the charged internal constituents of the nucleon react to external electromagnetic fields and precisely determine the mean-square electromagnetic polarizability radii of the proton. Extracting them from the real Compton scattering (RCS), virtual Compton scattering (VCS) and double virtual Compton scattering (VVCS) processes provides stringent tests of Chiral Effective Field Theory ( $\chi$ EFT) [469–471] and lattice QCD computations [472]. They are also essential to extract the hyperfine splitting of muonic hydrogen [473]. Since the 2015, substantial progress has been made in determining both scalar and spin-dependent static and dynamical polarizabilities of the proton and neutron [474–477], with strong international efforts and synergistic advancements in experiment and theory [472]. For the proton, key achievements are the first extraction of proton spin polarizabilities from the measurements of double polarization observables by the A2 Collaboration at the Mainz microtron (MAMI) [478,479], and new high precision data for unpolarized cross sections and photon beam asymmetry from both MAMI [480] and the High Intensity Gamma-ray Source (HIGS) [481]. At HIGS, expertise and techniques have been developed that produce the requisite high-precision RCS cross section measurements on light nuclei [482,483], which can be used to determine the neutron polarizabilities.

Meanwhile, four high-precision experiments at JLab mapped the very low  $Q^2$  behavior of the VVCS generalized forward spin polarizability  $\gamma_0(Q^2)$  [501,508,509], and of the generalized longitudinal-transverse spin polarizability  $\delta_{LT}^n(Q^2)$  [501,510], for both proton and neutron. A fifth experiment measured the VCS generalized polarizabilities  $\alpha_E(Q^2)$  and  $\beta_M(Q^2)$  for the proton [490] at intermediate  $Q^2$ . While some of the results agree with the latest  $\chi$ EFT calculations, no single calculation describes all of the data well. For example, the observed behavior of  $\alpha_E(Q^2)$  (left panel of Fig. 18) is in sharp contrast with the current theoretical understanding that suggests a monotonic decrease with increasing  $Q^2$ . Similarly, data on the neutron VVCS spin-dependent generalized polarizability  $\delta_{LT}^n(Q^2)$  [501] (right panel of Fig. 18) indicate a small, or even negative, value at very low  $Q^2$  and a positive slope, in contrast with predictions from  $\chi$ EFT [503–506] and the phenomenological MAID model [507]. These new data pose a challenge to  $\chi$ EFT and serve as high-precision benchmark data for future non-perturbative QCD calculations.

**Precision measurement of the neutral pion lifetime** Two fundamental symmetries in QCD are directly involved in both the existence and the lifetime ( $\tau$ ) of the neutral pion ( $\pi^0$ ): the left-right chiral and axial symmetries. The explicit axial symmetry breaking, due to quantum fluctuations, gives rise to one of the most interesting effects in nature, the chiral (or axial) anomaly. This process is purely responsible for the neutral pion decay into two photons ( $\pi^0 \rightarrow \gamma\gamma$ ), defining its unusually short lifetime. The PrimEx collaboration measured the neutral pion decay width  $\Gamma(\pi^0 \rightarrow \gamma\gamma)$  in JLab Hall B with an unprecedented precision [511]. With its 1.50% total uncertainty,  $\tau = 8.337 \pm 0.056(\text{stat.}) \pm 0.112(\text{syst.}) \times 10^{-17}$  s, this is the most precise measurement of this critically important quantity, and firmly confirms the prediction of the chiral anomaly in QCD at the percent level. It also played a critical role in the normalization of the neutral pion transition form factor to constrain the hadronic light-by-light scattering contributions to the well-known muon ( $g-2$ ) anomaly in search of new physics.

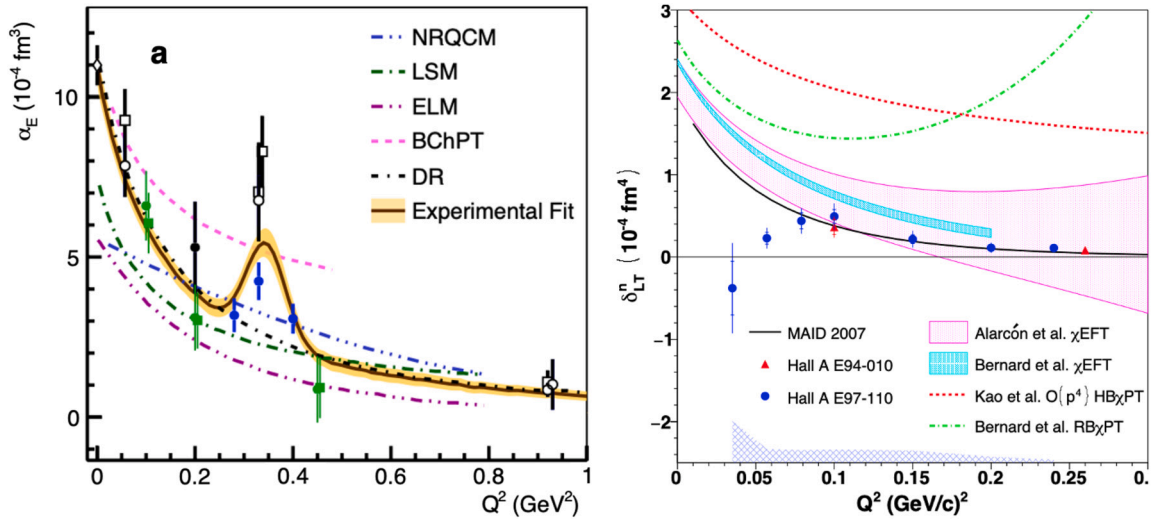


Fig. 18. Left: world data [480,484–494] for the proton electric  $\alpha_E(Q^2)$  VCS generalized polarizability. The filled symbols mark the recent experiments from MAMI [486–488] (green and black solid circles) and from JLab [490] (blue solid circles). The dispersion-relations (DR) and low-energy-expansion analysis results are shown with open circles and square symbols, respectively. The theoretical calculations of BChPT [495], NRQCM [496], LSM [497], ELM [498] and DR [469,499,500], as well the experimental fit of the electric generalized polarizability that includes all the world data are also shown. Right: The VVCS generalized longitudinal-transverse spin polarizability  $\delta_{LT}^n(Q^2)$  for the neutron measured recently at JLab (blue circles [501]) and compared with a previous JLab measurement (red triangles [502]), early  $\chi$ EFT calculations (green [503] and red lines [504]), state-of-the-art  $\chi$ EFT calculations (blue [505] and pink [506] bands), and the phenomenological MAID [507] model.

### 2.2.2. One-dimensional momentum distributions of the nucleon

**Parton distribution functions** Understanding the proton’s composition from its underlying constituent quarks is one of the primary goals of all electron-proton scattering experiments. Of particular value is the method of deep inelastic lepton-nucleon scattering (DIS), for which data are typically interpreted in terms of the PDFs that describe the momentum distributions of partons in the (one-dimensional) direction parallel to the nucleon momentum. Tremendous progress in our understanding of the PDFs has been made, most notably in the global effort to determine both quark and gluon PDFs from various high energy experiments, see e.g. [512–515]. Furthermore, the desire to understand PDFs at a more fundamental level is driving experimental programs at both low and high energy facilities.

The asymptotic behavior of the ratio of PDFs in the deep valence quark region  $x \rightarrow 1$  can test a variety of theoretical predictions. One such ratio is the  $d$  over  $u$  quark distributions. As featured in [2], experiments in Halls A and B at JLab are accessing this ratio with very different approaches. The first of these experiments, MARATHON, measured the  $^3\text{H}/^3\text{He}$  DIS cross sections with the expectation that the effects of nuclear corrections largely cancel between the two “mirror” nuclei. The experiment has been successfully completed and first results on  $F_2^n/F_2^p$  have been published [516]. These data allow for more precise extractions of the underlying  $d/u$  ratio [519,520], while also placing constraints on the isospin-dependence of the nuclear effects [515]. The model dependence of the PDF extraction can be cross checked with the BONuS12 experiment [521], while an extraction of  $d/u$ , free from the use of any nuclear model, will be made by the Parity-Violating DIS (PVDIS) program of SoLID in JLab Hall A, see Section 3.3.1.

Meanwhile, the SeaQuest experiment carried out at the Fermilab fixed-target facility has unveiled interesting features of the sea quark distributions [517]. Naively, one expects that the anti-up  $\bar{u}$  and anti-down  $\bar{d}$  quarks should be the same if they both come from the gluon splitting contribution. However, an asymmetry between the two was observed at low  $x$  using the Drell-Yan process in the NuSea experiment [518]. The recent SeaQuest experiment extended the measurement beyond  $x = 0.3$  and found that the asymmetry persisted, see the right panel of Fig. 19. Complementary information on  $\bar{d}(x)/\bar{u}(x)$  has also been studied at RHIC from the cross section ratios of  $W^-$  and  $Z$ -bosons at mid-rapidity [522].

**Quark and gluon polarizations inside the nucleon** DIS measurements with polarized beams and targets and polarized proton-proton collisions probe the polarized (helicity) quark/gluon distribution and the origin of the proton spin. Significant progress has been made in assessing the fraction of the proton spin from parton polarizations, see, recent global analyses [523–525].

The impact from the RHIC spin program with polarized proton-proton collisions has been highlighted in [2]. Recent STAR results on double-spin asymmetries of inclusive jet and dijet production at center-of-mass energies of 200 GeV and 510 GeV complement and improve the precision of previous measurements [526,529–532], imposing further constraints on the gluon polarization, see the left panel of Fig. 20. Meanwhile, the production of  $W$ -bosons in longitudinally polarized proton-proton collisions serves as a powerful and elegant tool to access valence and sea quark helicity distributions at a high scale,  $Q^2 \sim M_W^2$ , where  $M_W$  the  $W$ -boson mass. The STAR and PHENIX Collaborations have concluded the measurements of the parity-violating longitudinal single-spin asymmetry in the production of weak bosons and improved the constraints on  $\bar{u}$  and  $\bar{d}$  polarization [528,533,534]. The sea quark  $\bar{u}$  helicity,  $\Delta\bar{u}$ , is now known to be positive and  $\Delta\bar{d}$  is negative. The opposite sign of the polarized sea-quark distribution with respect to the  $\bar{d}/\bar{u}$  flavor asymmetry in the unpolarized sea (right panel of Fig. 19) is of special interest due to the different predictions in various

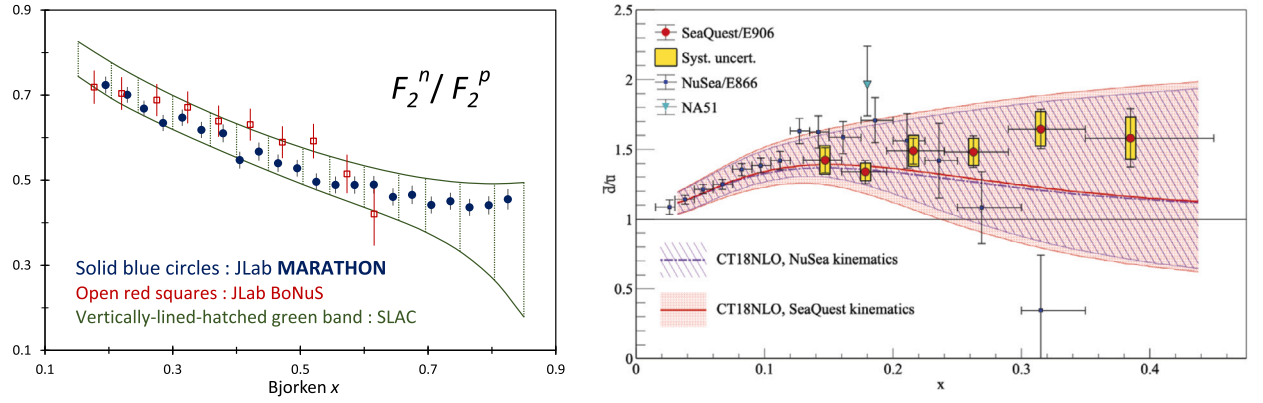


Fig. 19. Left: The  $F_2^n/F_2^p$  ratio plotted versus the Bjorken  $x$  from the JLab MARATHON experiment [516]. Right: A plot of  $\bar{d}(x)/\bar{u}(x)$  extracted from the measured SeaQuest  $\sigma^{pd}(x)/2\sigma^{pp}(x)$  cross section ratio [517], compared with data from E866/NuSea [518] and CT18NLO parton distributions.

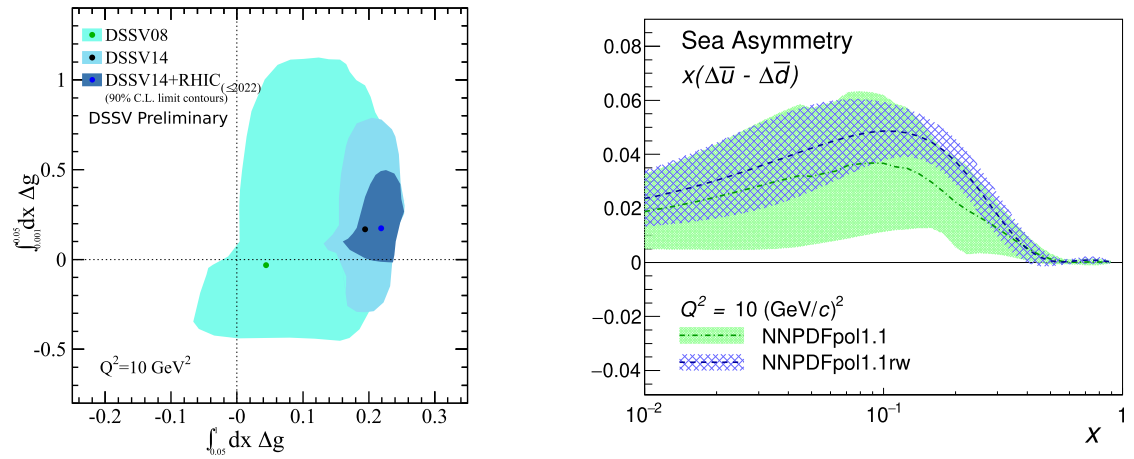


Fig. 20. Left: The impact of RHIC data on the truncated moment of the gluon helicity from the new DSSV evaluations [526] at  $Q^2 = 10(\text{GeV}/c)^2$  integrated with the range of  $x \in (0.001, 0.05)$  versus the range of  $x \in (0.05, 1)$ . The green dot represents the best fit to the data from the DSSV08 evaluations, the black dot shows the DSSV14 results [527], and the new preliminary fit including the new RHIC data sets is marked with the blue dot. Blue areas represent the 90% C.L. limit contours. Right: The difference of  $\bar{u}$  and  $\bar{d}$  polarizations as a function of  $x$  at a scale of  $Q^2 = 10 \text{ GeV}^2$  before and after NNPDFpol1.1 [524] reweighting with STAR 2013  $W A_L$  [528]. The green band shows the NNPDFpol1.1 results [524] and the blue hatched band shows the corresponding distribution after the STAR 2013  $W$  data are included by reweighting.

models of nucleon structure. The overall impacts of recent jet and dijet, pion, and  $W$  data on the quark/gluon helicity distribution based on the global fits are shown in Fig. 20 for  $\Delta g$  (left) and  $\Delta \bar{u} - \Delta \bar{d}$  (right), respectively.

Besides determining the origin of the proton spin, these data crucially test theories of the strong interaction. Notably, high  $Q^2$  studies of the Bjorken sum rule [535], defined using the integral of the polarized structure functions of the proton and the neutron  $g_1^{p,n}$ :  $\int_0^1 dx g_1^p(x) - g_1^n(x) = \frac{g_A}{6} + (\text{pQCD corrections})$  where  $g_A$  is the nucleon axial coupling, were the first to show that QCD can describe the strong interaction even when spin degrees of freedom are explicit [536]. Similarly, low  $Q^2$  Bjorken sum data precisely test effective theories that describe the strong interaction at long distances [536,537]. The Bjorken sum rule is also used to extract the QCD coupling  $\alpha_s(Q^2)$  [538], where the high- $Q^2$  extractions [539] are presently only just competitive with high-energy collider extractions of  $\alpha_s$  [540]. However, they should become more impactful with the EIC, which should provide an accuracy of  $\sim 1.5\text{--}2\%$  on  $\alpha_s$  (just from the Bjorken sum rule).

Additionally, quark and gluon polarizations in the nucleon, when measured in specific kinematic regions such as the  $x \rightarrow 1$  limit, also provide valuable tests of predictions from various quark models, perturbative QCD, and non-perturbative methods. The JLab 6 GeV results [541,542] showed that the ratio of the polarized to unpolarized PDF for the down quark,  $\Delta d/d$  is negative up to  $x = 0.61$ . That is, the valence down quark spins in the opposite direction of the proton spin. In 2020, the 12 GeV extension of the measurement of the neutron spin was successfully completed in Hall C at JLab, and complementary measurements of the proton and the deuteron are presently underway in Hall B at JLab using CLAS12. A combined analysis of the data from all three targets can assess whether  $\Delta d/d$  remains negative up to  $x = 0.8$ , or turns sharply positive at even higher  $x$  as predicted by pQCD models [543].

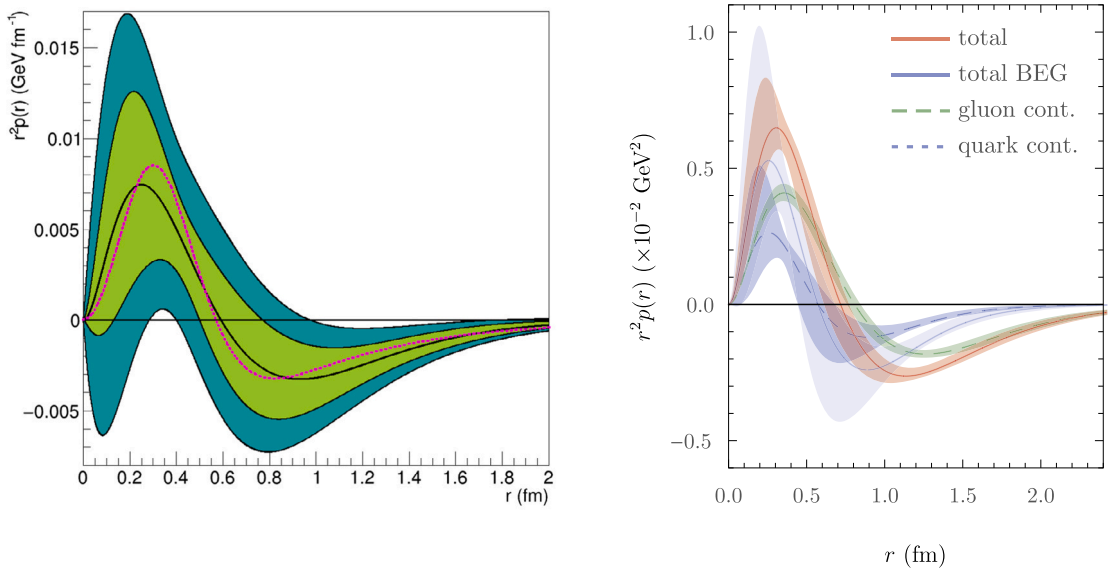


Fig. 21. Pressure distribution in the proton. Left: estimates of the quark contribution from the DVCS measurements [544]; Right: Lattice QCD calculations of the same quantity, including the gluon contribution [545]. These results show that the pressure is positive at small distances and negative at large distances.

### 2.2.3. Three-dimensional tomography of the nucleon

To completely understand the proton spin decomposition in terms of quark/gluon spins and their orbital angular momentum contributions, observables and methods that go beyond the one-dimensional PDF discussed above becomes necessary. A new direction that emerged around 2015 is to pursue three-dimensional (3D) tomography of the nucleon. The first set of tools are focused on the transverse motion of partons: if the nucleon is assumed to move in the  $\hat{z}$ -direction, its structure in the transverse direction can be either analysed in coordinate space using generalized parton distributions (GPDs) or in momentum space using transverse momentum dependent parton distributions (TMDs).

**GPDs and gravitational form factors** Deeply Virtual Compton scattering (DVCS) has been identified as a clean process to experimentally access GPDs [546] and Compton form factors (CFF) to probe the 3D structure of nucleons and nuclei. Data previously collected at various experiments have been used to generate some of the first 3D images of the proton [547]. GPDs can also be used to determine mechanical properties of particles through the gravitational form factors (GFFs) [548,549]. Using two sets of measurements by CLAS with a 6 GeV polarized electron beam, the beam-spin asymmetry [550] and the differential cross sections [551], the first data-based estimate has been made on one of the GFFs, the so-called  $D(t)$  term [544]. A spherical Bessel function is then employed to Fourier-transform  $D(t)$  to the Breit frame and the results are displayed in Fig. 21. Meanwhile, the gluon contributions to the distributions of pressure and shear forces inside the proton were computed using lattice QCD, allowing the first model-independent determination of these fundamental aspects of proton structure [552]. Combined with the experimental measurements of the quark contribution, this enabled the first complete determination of the pressure and shear distributions of the proton [545]. More precise determinations of these quantities are a focus of future experiments at JLab and at the EIC.

**Time-like Compton scattering** The time-reversal reaction to DVCS, time-like Compton scattering (TCS), offers unique ways to probe nucleon structure and GPDs. In this case, a quasi-real photon is absorbed by the nucleon which produces a high invariant-mass lepton pair in the final state. While theoretically as clean as DVCS, the experimental measurement of TCS is more challenging due to potential background channels, making the reaction harder to identify. The first measurement of the TCS process was recently performed by the JLab CLAS Collaboration [553]. Phenomenological models that reproduce worldwide data on DVCS satisfactorily describe the photon polarization asymmetry and the forward-backward (FB) asymmetry of TCS, see Fig. 22. This finding supports the universality of GPDs in describing hard exclusive processes. In addition, TCS is particularly sensitive to the real part of the Compton amplitude and thus to the  $D(t)$  term, which can be related to the energy-momentum tensor and pressure distribution inside the nucleon as described above.

**3D momentum tomography of hadrons** The TMDs provide not only an intuitive illustration of nucleon tomography, but also the important opportunities to investigate the specific nontrivial QCD dynamics associated with their physics: QCD factorization, universality of the parton distributions and fragmentation functions, and their scale evolutions. In particular, the quark Sivers functions for semi-inclusive hadron production in DIS (SIDIS) and Drell-Yan lepton pair production differ in sign because of the difference between initial- and final-state interactions. This leads to a sign change between the transverse single spin asymmetries (SSAs) in SIDIS and Drell-Yan: Sivers  $SSA|_{DY} = -\text{Sivers } SSA|_{DIS}$ . It is important to test this nontrivial QCD prediction by comparing the SSAs in these two processes. The Sivers SSA in SIDIS have been observed by the HERMES, COMPASS, and JLab experiments.



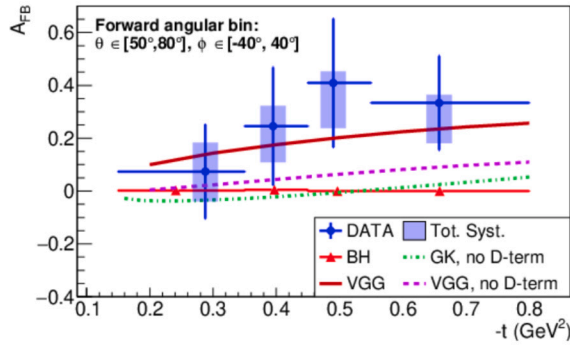


Fig. 22. Forward-backward asymmetry as a function of the momentum transfer  $t$  to the proton. The solid line shows the prediction of a GPD model that describes worldwide DVCS data, including the  $D$ -term contribution. The red triangles show the asymmetry computed for simulated Bethe-Heitler (BH) events. The dashed and dash-dotted lines are predictions of models without the contribution of the  $D$ -term. Note that the  $D$ -term contributes more than 50% to the asymmetry.

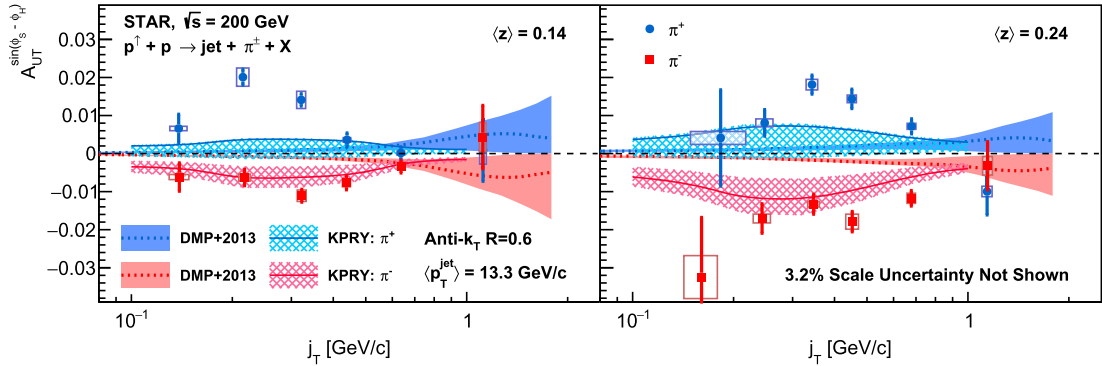


Fig. 23. Collins asymmetry plotted for identified  $\pi^+$  (blue) and  $\pi^-$  (red) particles as a function of  $j_T$  for two different hadron  $z$  bins, in jets with  $p_T > 9.9$  GeV/ $c$  and  $0 < \eta < 0.9$  in  $p + p$  collisions at 200 GeV [568]. Theoretical evaluations from [569] and [570] are also shown.

There have been significant efforts to measure the Drell-Yan Siverts asymmetry at COMPASS [554] and that of  $W^\pm$  production at RHIC [555]. The analyses of these data provided an indication of a sign change [556–561]. More precise measurements are needed to confirm this crucial property.

Precision SIDIS studies in multidimensional space can systematically investigate the production mechanisms and validate the theory assumptions in phenomenological TMD studies. Recent JLab experiments have studied the contributions from the longitudinally polarized quarks in unpolarized nucleons which are critical for a rigorous TMD interpretation in SIDIS [562–567]. The invariant mass dependence of the asymmetries have been observed in two hadron system, indicating an important role of vector meson decay contributions [565,566]. Finally, the  $Q^2$  evolution of the SIDIS structure functions measured at JLab and COMPASS are greatly needed for validation of the current formalism in phenomenology.

RHIC experiments have demonstrated the unique ways in which TMDs can be studied at hadron colliders. Azimuthal distributions of identified hadrons in high-energy jets measured at STAR directly probe the *collinear* quark transversity in the proton coupled to the transverse momentum dependent Collins fragmentation function [569–573]. Fig. 23 shows the STAR measurements of Collins asymmetries as functions of  $z$ , the fraction of jet momentum carried by the hadron, and  $j_T$ , the momentum of the pion transverse to the jet axis, in  $p + p$  collisions at 200 GeV [568]. The  $j_T$  dependence appears to vary with  $z$ , contrary to the assumptions of most current phenomenological models [569,572,573]. STAR has also measured quark transversity through dihadron interference fragmentation functions in 200 and 500 GeV  $p+p$  collisions [574,575].

Moreover, STAR measurements have shown the persistence of sizeable transverse single-spin asymmetries  $A_N$  for forward  $\pi^0$  production at RHIC energies up to 510 GeV with a weak energy dependence. STAR has explored the SSA in forward electromagnetic jet production as well [576]. In addition, by utilizing  $pA$  collisions at RHIC, both STAR and PHENIX collaborations studied the nuclear modifications of the forward hadron SSAs [577,578]. Neither the origin of the nuclear dependence nor the difference between the PHENIX and STAR results is well understood at this time.

#### 2.2.4. Origin of the nucleon mass

The origin of the proton mass is one of the central questions in contemporary hadronic physics. The topic, highlighted in [2] and the 2018 National Academy of Sciences (NAS) assessment of the EIC, has seen many prominent experimental and theoretical developments in recent years. A promising channel to study the emergence of proton mass is quarkonium production near threshold, which is uniquely sensitive to the non-perturbative gluonic structure of the proton. In 2019, the GlueX collaboration published the

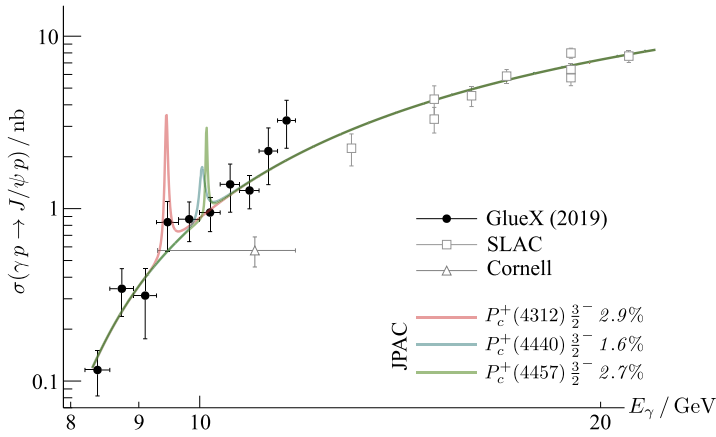


Fig. 24. Results on  $J/\psi$  photoproduction cross section as a function of beam energy from the JLab GlueX experiment [579], compared to the JPAC model [602] including LHCb-motivated pentaquark resonances with hypothetical  $J/\psi p$  branching ratios in italics.

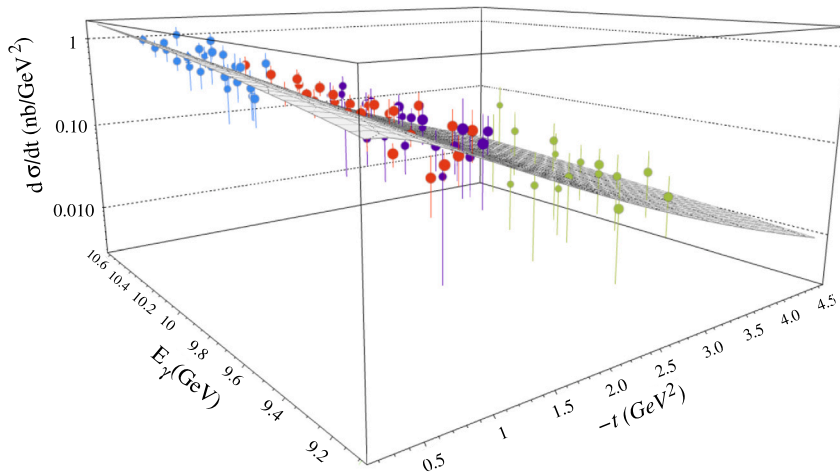


Fig. 25. Two-dimensional  $J/\psi$  photoproduction cross section from the  $J/\psi$ -007 experiment, as a function of Mandelstam  $|t|$  and photon energy  $E_\gamma$  [601]. The grey surface is the result from a fit following a holographic QCD approach.

first measurement of  $J/\psi$  photoproduction in the near-threshold region [579], see Fig. 24 and the latest result from GlueX [580]. Their one-dimensional cross section results trend significantly higher than those previously extrapolated based on older measurements. These results spurred many new theoretical investigations of the gluonic structure of the proton, the origin of its mass, and the associated production mechanism [552,581–600]. In 2022, the JLab Hall C  $J/\psi$ -007 experiment released the first two-dimensional photoproduction measurement near threshold [601], shown in Fig. 25. These two-dimensional results provide a new window into the gluonic gravitational form factors of the proton. The new data indicate that the proton has a dense, energetic core that contains most of its mass. In order to further our understanding of the origin of the proton mass, precision multidimensional measurements of near-threshold quarkonium production are needed, in particular at high momentum transfer. The timely completion of the planned experimental program at JLab, including  $J/\psi$  production studies with SoLID, will be crucial. More data that may hold the key to a universal understanding of the origin of the proton mass are expected from the EIC, see discussions in Sec. 4.1.

### 2.2.5. Hadron spectroscopy

**Lightest exotic hybrid meson** The suggestion that hybrid mesons, arising from excitations of gluon fields, could exist is as old as QCD. An important signature for such a state would be  $J^{PC}$  quantum numbers outside the set allowed for a quark-antiquark pair, with  $1^{-+}$  suspected to be the lightest. Experimental data from several facilities suggested *two* low-lying isovector  $1^{-+}$  states, a  $\pi_1(1400)$  observed in  $\eta\pi$ , and a  $\pi_1(1600)$  observed in  $\eta'\pi$  and other channels [603]. These were in stark contrast to the results of lattice QCD computations [604] which indicated there should be just a single low-lying state with these quantum numbers. Analyzing recent data from COMPASS on the  $\eta\pi$  and  $\eta'\pi$  final states [605], the JPAC collaboration utilized a unitary description of coupled-channel amplitudes to show that the enhancements observed could be explained by just *one* resonance, rigorously described by a single pole singularity. This pole was found deep in the complex energy plane, indicating a broad resonance [606]. A subsequent lattice QCD calculation [607] using heavier-than-physical quark masses considered the relevant scattering process in which this state appears,

finding a single resonance pole in the coupled-channel amplitudes. Upon extrapolation to physical kinematics, relatively small partial widths were found for decay into the observed channels  $\eta\pi$  and  $\eta'\pi$ , but a large coupling to  $b_1\pi$  generated a large total width, in agreement with the JPAC data analysis. GlueX is currently examining the  $\eta\pi$  and  $\eta'\pi$  final states in photoproduction, and this lattice calculation adds further motivation to the already underway examination of higher multiplicity final states. It is also interesting to note that the BESIII has observed the  $\eta_1(1855)$  state [608], the first isoscalar state with identical  $J^{PC}$  quantum numbers as the two  $\pi_1$  states.

**Heavy quark exotics** While the vast majority of observed hadron states are understood to be composed of three quarks (baryons) or quark-antiquark pairs (mesons), QCD allows for other configurations, including four and five quark states known as tetraquarks and pentaquarks. Recent observations at several experimental facilities have revealed many candidates for these unconventional states in the charm and bottom sectors [609–613]. Theoretical models accommodate individual measurements as tightly-bound multi-quark states or as hadronic molecules, but no picture can describe all the new observations. Complicating matters further is the fact that many of the states are observed in non-trivial production or decay processes where three-body rescattering effects of essentially kinematic origin could mimic a resonance signature. Directly producing such states in simple two-particle scattering can eliminate non-resonant interpretations. Utilizing the kinematic reach provided by the 12 GeV CEBAF, near-threshold  $J/\psi$  photoproduction was studied for the first time at GlueX [579] and in Hall C [601] to search for direct production of the hidden-charm  $P_c^+$  pentaquarks observed by LHCb [614,615]. While no resonance signals were observed, as shown in Fig. 24, model-dependent upper limits on the branching ratios provide new constraints on the interpretation of these exotic candidates. Higher energy photo- and electro-production experiments, such as the EIC and an energy-upgraded CEBAF, can provide new opportunities to directly produce other exotic charmonium-like states and shed light on their nature.

**Exotic hadrons in heavy ion collisions** As described in Sec. 2.1, the QGP created in heavy ion collisions is an abundant source of deconfined quarks, which can form hadrons by coalescence as the plasma expands and freezes out. Thus measurements of exotic states in heavy ion collisions provide new tests of production and transport models [616,617] and are potentially sensitive to the structure of the exotic states themselves [618]. The heavy quark exotic state, X(3872), has recently been measured in  $p+p$  (as a function of multiplicity),  $p+\text{Pb}$  and  $\text{Pb}+\text{Pb}$  collisions [619,620]. While the X(3872) to  $\psi(2S)$  cross section ratio drops with multiplicity in  $p+p$  collisions, there is indication of a rise with multiplicity in the larger  $p+\text{Pb}$  and  $\text{Pb}+\text{Pb}$  collision systems. This varying behavior may indicate that a range of suppression and enhancement effects are coming into play. Currently these measurements are statistics limited and additional studies with higher statistics data are required to clarify the situation.

In response to these recent measurements, several new theoretical developments have emerged. Comover models have described the multiplicity dependence in  $p+p$  in terms of X(3872) breakup via interactions with other particles produced in the event. The results have been interpreted as providing evidence for the X(3872) as both a compact tetraquark [621] and a hadronic molecule [622]. Calculations based on quark coalescence at freeze-out using the AMPT model show that, if the X(3872) is a molecular state, it should be greatly enhanced in central  $A+A$  collisions relative to a compact tetraquark [618]. A recent transport calculation comes to the opposite conclusion [616]. While all these models have successfully explained conventional charmonium behavior in both small systems and the QGP, their application to exotic states has provided new challenges.

### 2.2.6. QCD in nuclei

**Short range correlated nucleon pairs (SRCs)** SRC pairs are temporal fluctuations of two strongly-interacting nucleons in close proximity. They are characterized by large relative momentum ( $p_{\text{rel}} > k_F \approx 250$  MeV) and smaller total momentum ( $p_{\text{tot}} \lesssim k_F$ ) [623–628]. At the time around 2015, it was known that the very high momentum nucleons were almost entirely associated with SRCs, and were strongly dominated by  $np$ -SRCs for a wide range of nuclei. Since then, there have been significant advances in various aspects of SRCs and their relation to the EMC effect, made possible through extensive investigations of hard exclusive scattering reactions at JLab [629].

First, several new measurements have provided additional confirmation of the universality of the isospin and momentum structure of SRCs. Almost all high momentum nucleons in nuclei belong to an SRC pair [632]. At intermediate relative momenta ( $300 \leq p_{\text{rel}} \leq 600$  MeV), these SRC pairs are predominantly  $np$  pairs, due to the tensor part of the nucleon-nucleon ( $NN$ ) interaction [631,633–635]. This  $np$  dominance can cause momentum inversion, where the minority nucleons (e.g., protons in neutron-rich nuclei) have higher average momentum than the majority nucleons [634].

Second, recent measurements demonstrated that the near total  $np$ -dominance established above the nuclei Fermi-momentum, where the tensor force dominates, can be modified in special circumstances. As the momenta probed increases to  $700 \leq p_{\text{rel}} \leq 1000$  MeV, central correlations become more important and the relative numbers of  $pp$  and  $np$  pairs follow simple spin-state pair counting [630,632], thus observing a new scalar-interaction dominated regime at very short distance scales, see Fig. 26 (left panel). In addition, recent  $^3\text{H}/^3\text{He}$  inclusive cross section ratio measurements in the SRC region give a ratio of  $0.854 \pm 0.010$  [631], well below the expected ratio of unity expected from complete  $np$ -SRC dominance. This ratio, as well as the ratio for ( $e, e'p$ ) measurements [636], can be used to extract the underlying  $np$ -SRC/ $pp$ -SRC ratio based on a plane-wave impulse approximation picture [631], see right panel of Fig. 26. Meanwhile, both data are well described [630,632,637] by Generalized Contact Formalism (GCF) [638–640] calculations using realistic  $NN$  interaction models with  $np$ -dominated SRC contact terms [641], and for the inclusive data also by interactions with no tensor-force [637]. This shows remarkable progress in our understanding of  $np$ -dominance dynamics and short-distance two-nucleon interactions in all measured nuclei, building connection between scattering data and nuclear theory [630,632,637,642–644].

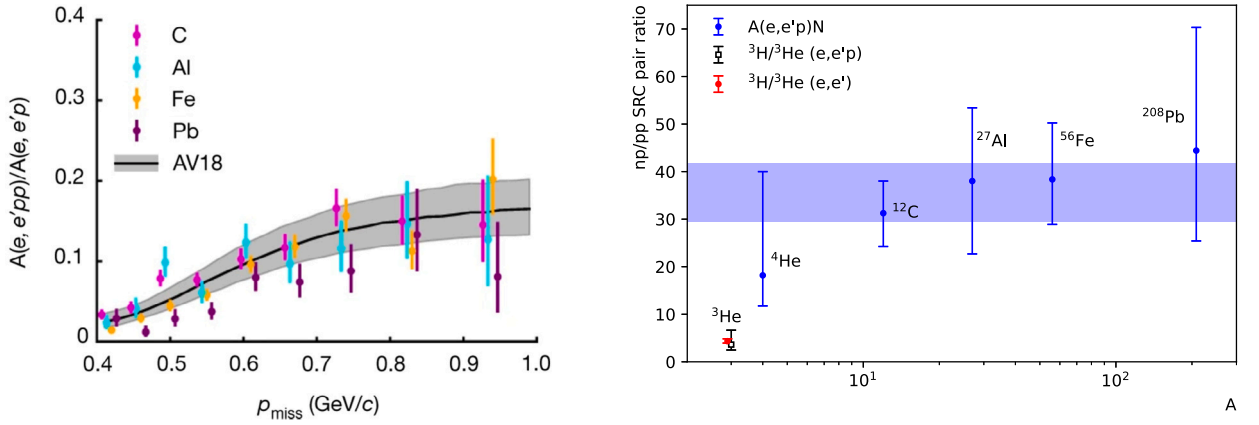


Fig. 26. Left: The ratio of (e,e'pp) to (e,e'p) cross sections for different nuclei as a function of the missing momentum of the first proton [630]. The gray band shows a factorized calculation for carbon (C) using the Generalized Contact Formalism (GCF) and the AV18 potential. Right: Extracted  $np$ -SRC/ $pp$ -SRC ratio from recent comparisons of  ${}^3\text{H}$  and  ${}^3\text{He}$  scattering along with previous world's extractions [631].

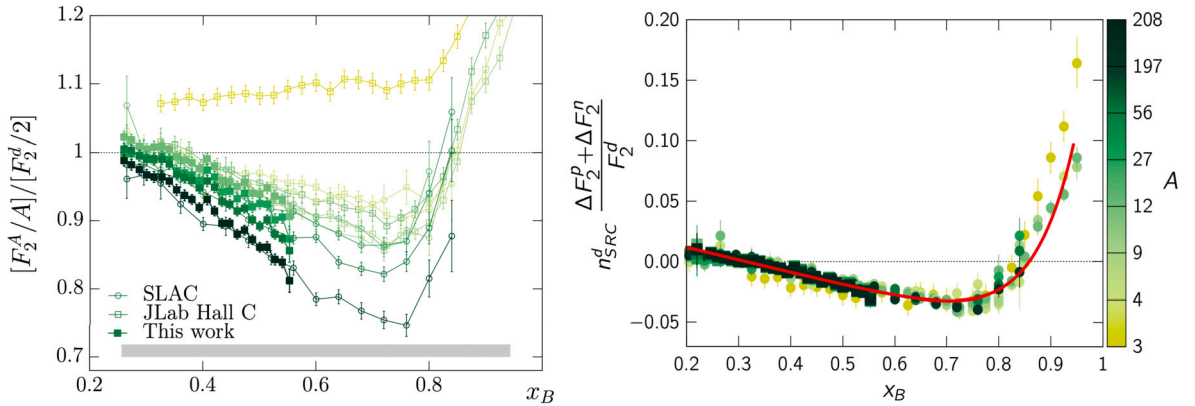


Fig. 27. Left: Measured structure function ratio for different nuclei relative to deuterium [648]. Right: The extracted universal modification function of nucleons in SRC pairs from a global data analysis [651].

The momentum distribution of SRC pairs has also been probed in light nuclei using hard proton knockout from the deuteron [645] and  ${}^3\text{H}$  and  ${}^3\text{He}$  [636,646]. The measured and ab-initio calculated cross-sections show good agreement up to very high momenta. These measurements therefore provide new insight to the very high-momentum tails of nucleon distributions in light nuclei, short-distance interactions, and few-nucleon dynamics. Investigations are currently underway as part of the JLab 12 GeV program to probe beyond the 2N-SRC region and look for 3N-SRCs in nuclei, and test predictions for the universal contribution of 3-body structures at high-momenta.

**Nuclear EMC Effects and SRCs** As highlighted in [2], the strength of the EMC effect in nuclei, i.e., the nuclear modification of the valance structure functions measured in DIS, is linearly correlated with the abundance of SRC pairs [627,647]. This indicates that the short-distance  $NN$  interaction could modify nucleon structure. The measured data could be explained by a universal modification of the structure of nucleons in SRC pairs [648–650], providing empirical corrections of nuclear effects in the extraction of the free-neutron structure function [651] (see Fig. 27).

At the meantime, results from recent measurements continue to provide insights on the EMC effect in both light and heavy nuclei [648,652,653]. In particular, preliminary results from JLab have shown that the size of the EMC effect is nearly constant for  $A = 4$  and  $A = 9$  to 12, and there is a clear correlation of the EMC effect with the local nuclear density [653]. More measurements are being carried out to study both the EMC effect and SRCs for all available light nuclei, to study the connection to the detailed nuclear environment, and for heavier nuclei over a range on  $N/Z$  to separate  $A$ -dependence from isospin effects.

In addition, recent and planned measurements of novel observables such as tagged-DIS (TDIS) will probe the structure function of bound nucleons in specific nuclear states and will provide guidance for constraining off-shell nucleon-modification models that are currently largely unconstrained [654]. Measurements of the spin structure function EMC effect will also test a complementary set of EMC models [655–657] where the bound nucleon modification is driven by the mean-field nuclear potential [658]. The combination of all these measurements, including those discussed above and in Sec. 3.3.6, will provide an unprecedented understanding of the impact of the strong nuclear interaction on the internal structure of bound nucleons and thereby the parton structure of nuclei.

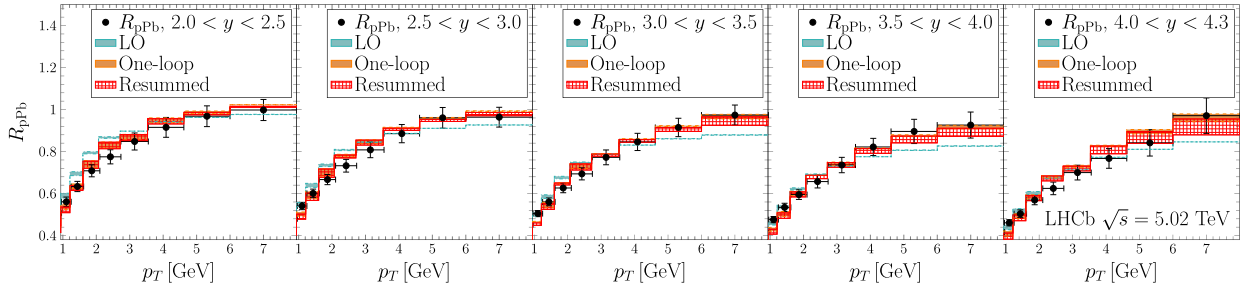


Fig. 28. LHCb Collaboration measurement of the nuclear modification factor of charge particles as a function of  $p_T$  in different rapidity intervals for the forward region in  $p + \text{Pb}$  collisions at  $\sqrt{s_{NN}} = 5 \text{ TeV}$  at the LHC [673], compared to state of the art CGC calculations [674].

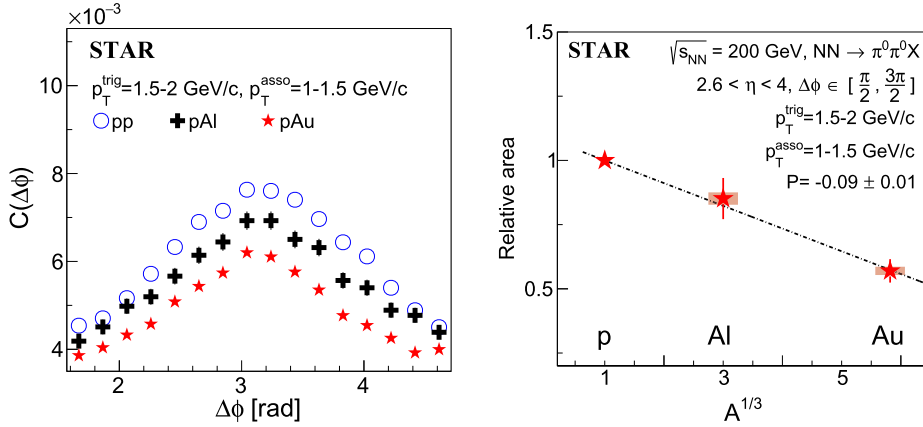


Fig. 29. Left: Comparison of correlations functions with azimuthal angle separation between forward ( $2.6 < \eta < 4.0$ )  $\pi^0$ s in  $p+p$ ,  $p+\text{Al}$ , and  $p+\text{Au}$  collisions at  $\sqrt{s_{NN}} = 200 \text{ GeV}$ . Right: Relative area of back-to-back di- $\pi^0$  correlations in  $p+\text{Au}$  and  $p+\text{Al}$  with respect to  $p+p$  collisions for  $p_T^{\text{trig}} = 1.5\text{--}2 \text{ GeV}/c$  and  $p_T^{\text{asso}} = 1\text{--}1.5 \text{ GeV}/c$ .

**Nuclear modification of the parton distributions** In addition to the EMC effects discussed above, the nPDFs in the full kinematics, from the shadowing effects at small  $x$  to the Fermi motion effects at large  $x$ , see, Fig. 50, provide a framework to study the cold nuclear matter effects. Previously, the nPDFs were extracted through global analysis of the experimental data from fixed-target DIS and Drell-Yan production in  $pA$  collisions. In the last few years, proton-lead collisions at the LHC offer a wealth of opportunities to study cold nuclear matter effects, especially by using electroweak bosons [659–665]. Combining the LHC data with previous fixed target DIS and Drell-Yan data, the precision of the extracted nPDFs has improved significantly, see, e.g., recent global analyses from several groups [666–669]. Furthermore, recent JLab CLAS data have provided a 3D extension of these studies with the measurement of incoherent and coherent DVCS giving access to both bound proton and nuclear GPDs, respectively [670–672].

### 2.2.7. Cold nuclear matter effects in hadron production

Apart from the nuclear modification of structure function in DIS (EMC effects) discussed above, cold nuclear matter effects can be studied with semi-inclusive hadron productions in  $e + A$  and  $p + A$  collisions. The QCD dynamics are much more involved in these processes and the underlying mechanism could come from the parton distribution modifications, hadron formation in a nuclear environment, and small- $x$  gluon saturation in extreme kinematics. Therefore, a comprehensive study of these phenomena requires both theoretical and experimental investigations. Since 2015, several notable developments have been achieved. Here, we highlight some new results from the LHC, RHIC, and JLab. Future developments are expected, in particular from the planned EIC, see Section 4.

**Nuclear modification of forward hadron yields in  $p + A$  collisions at LHC and RHIC** Forward hadron production in  $p + A$  collisions (or  $d + A$  deuteron-nucleus collisions at RHIC) have attracted a great deal of attention. Experimentally, the evolution of the nuclear modification factor  $R_{dAu}$  from mid-rapidity to forward-rapidity regions measured at RHIC is considered important evidence of the onset of gluon saturation [675,676]. Recently, the LHCb collaboration published the measurement of the charged particle spectra in  $p+\text{Pb}$  and  $p+p$  collisions at  $\sqrt{s_{NN}} = 5 \text{ TeV}$  at the LHC, covering a wide range of kinematics, especially the forward pseudorapidity range of  $1.6 < \eta < 4.3$  [673]. The latest gluon saturation interpretation of all these measurements can be found in [674], where the CGC calculations are performed at next-to-leading order and supplemented with threshold resummation effect. See Fig. 28.

**Di-hadron (dijet) correlations in  $p + A$  collisions** Understanding the nonlinear behavior of the gluon at small  $x$  is one of the most important physics goals for the RHIC/LHC cold QCD program and the future EIC. The back-to-back di-hadron azimuthal

angle correlation is one of the most sensitive direct probes the underlying gluon dynamics involved in hard scatterings [677,678]. Earlier measurements of di-hadron correlations in d+Au collisions have indicated gluon saturation at small- $x$  in large nuclei [679–682]. The STAR Collaboration performed the measurements of back-to-back azimuthal correlations of di- $\pi^0$ s produced at forward pseudorapidities ( $2.6 < \eta < 4.0$ ) in  $p + p$ ,  $p$ +Al, and  $p$ +Au collisions at  $\sqrt{s_{NN}} = 200$  GeV. The results have been published [683] recently, showing a clear suppression of the correlated yields of back-to-back  $\pi^0$  pairs in  $p$ +Al and  $p$ +Au collisions compared to the  $p + p$  data. The observed suppression is larger at smaller transverse momentum, which indicates lower  $x$  and  $Q^2$ . The larger suppression found in  $p$ +Au relative to  $p$ +Al collisions exhibits a dependence of the suppression on the mass number  $A$ . Higher-precision measurements will be performed with the STAR forward upgrade to further explore the nonlinear gluon dynamics. Those measurements will provide a baseline for searching for gluon saturation at the future EIC. Similarly, dijet correlations in  $p + A$  collisions from the LHC have also studied small  $x$  physics within a complementary kinematic coverage [684], where theoretical interpretation combines the gluon saturation and high order soft gluon radiation contributions [685]. See Fig. 29.

**Semi-inclusive hadron production in DIS with nuclear targets** Data from previous fixed target HERMES measurement have been applied to constrain the cold nuclear matter effects in hadron production in DIS processes. Several JLab SIDIS experiments on deuterium, carbon, iron, and lead targets have been carried out by the CLAS Collaboration. In the first experiment [686], the production of single charged pions in SIDIS was measured with first-ever full kinematic coverage. In the second, the azimuthal angle dependence of two pion suppression in nuclear targets was compared to that of nucleon targets [687], considerably extending the scope of previous studies with HERMES. The third studied  $\Lambda(uds)$  attenuation and transverse momentum broadening [688]. New data on multi-dimensional attenuation of neutral pions should be released soon. All these new studies will explore hadron formation in cold nuclear matter with DIS, helping benchmark future studies at JLab and the EIC.

**Color transparency** The search for the onset of color transparency (CT) is driven by the need to better understand the connection between the partonic and hadronic degrees of freedom in nuclei. Experimental measurements on this topic are not yet conclusive. A recent experiment from Hall C at JLab found no evidence of the onset of CT from proton knockout on a carbon nucleus up to  $Q^2 = 14.2$  (GeV/ $c$ )<sup>2</sup> [689], whereas previous measurements with exclusive meson electroproduction have observed the onset of CT at an order of magnitude lower  $Q^2$  [690–692]. This is a puzzling situation and future searches for CT at JLab will extend the  $Q^2$  range of measurements for pion and rho mesons [693,694], providing a direct comparison between protons and mesons.

### 3. Future opportunities

#### 3.1. QCD theory

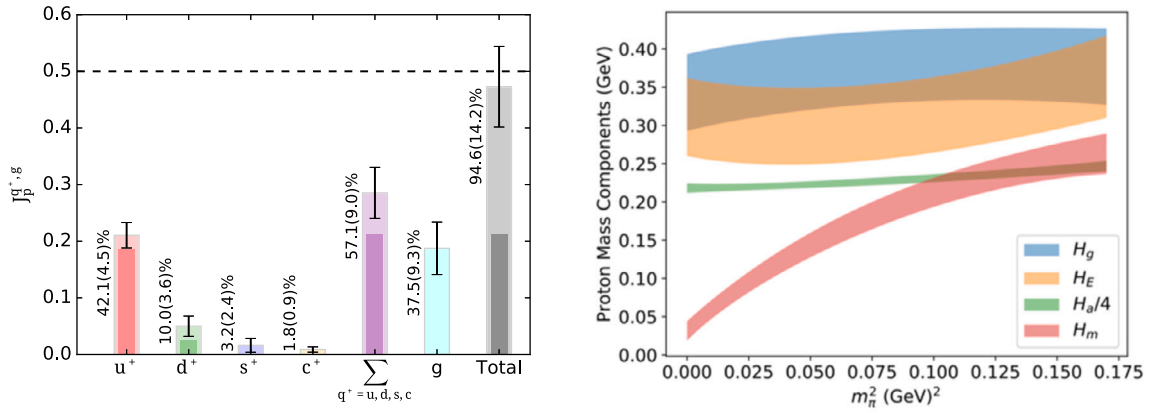
It is widely accepted that QCD is the right theory to describe the strong interaction, which governs all nuclear physics from quarks and gluons to nucleons and nuclei. Solving QCD in the non-perturbative region has been a great challenge of modern quantum field theory in the last half century and it will remain so in the foreseeable future. In this section, we will lay out the achievements in recent years and then focus on future perspectives related to the QCD theory. In particular, the challenges to confront the experimental data have motivated the theory community to form collaborative efforts for all research areas of QCD. These topical collaborations will play crucial roles for future theory developments. Note that this section is not comprehensive, as many aspects related to theory are discussed in the previous and following sections, along with experimental considerations. This section discusses more formal and purely theoretical aspects of QCD in detail.

##### 3.1.1. Lattice QCD

Soon after the formulation of QCD, the Euclidean space-time lattice regularization was introduced, paving the way for numerical studies of non-perturbative QCD [695]. Several decades of efforts have demonstrated that lattice QCD is an unmatched tool for understanding strong interaction physics ranging from the partonic structure of nucleons to the QCD phase diagram.

The structure of the nucleon has been a central component to the development of QCD. Due to their non-perturbative nature, the theoretical determination of many nucleon properties relies crucially on lattice calculations. Since 2015, there has been tremendous progress in lattice computations of hadron structure, including the axial, scalar and tensor charges and form factors of nucleons, spin and mass decomposition, and various parton distributions.

**Nucleon axial, scalar and tensor charges and form factors** The simplest nucleon matrix elements give the nucleon charges and form factors. This includes the axial, scalar, and tensor charges. The axial and tensor charges are related to the longitudinal and transverse spin dependent quark distributions which can be explored in high energy hadronic experiments, such as inclusive DIS and SIDIS. There has been significant progress in lattice calculations of these charges in recent years [696]. In particular, the nucleon axial charge  $g_A$  served as an important benchmark calculation for lattice QCD applications to nuclear physics. The first lattice QCD result that fully addressed all sources of systematic uncertainty appeared in 2016 [697] and results that were also in agreement with the Particle Data Group (PDG) value within one standard deviation appeared in 2017 and thereafter [698–707]. The precision achieved in the lattice QCD calculations of  $g_A$  opens the door for this quantity to be elevated from an important benchmark result to another key quantity needed for precision low-energy tests of the Standard Model. Meanwhile, at this level of precision, the radiative quantum electrodynamics (QED) corrections must be fully understood, see a recent example of  $\mathcal{O}(2\%)$  pion-induced radiative correction to  $g_A$  [708].



**Fig. 30.** State of art lattice QCD calculations of emerging properties of the nucleon: (left) spin decomposition in terms of the angular momentum  $J_q$  for the  $u, d$  and  $s$  quarks and the gluon angular momentum  $J_g$  in the  $n_f = 2 + 1 + 1$  calculation from the ETMC collaboration [734]; (right) mass decomposition in terms of  $\langle H_m \rangle$ ,  $\langle H_E \rangle(\mu)$ ,  $\langle H_g \rangle(\mu)$ , and  $\frac{1}{4}\langle H_a \rangle$  at  $\mu = 2$  GeV as functions of  $m_\pi^2$  from  $\chi$ -QCD collaboration [738].

The lattice calculations of the axial and tensor charges have provided benchmarks for phenomenological extraction of various parton distributions from experimental measurements of spin asymmetries, see, e.g., a combined analysis of nucleon tensor charge from lattice QCD and the quark transversity distributions from experiments [559,709]. Similarly, progress made in the lattice calculations of nucleon form factors [545,552,702,710,711] provides important constraints on the modeling of the quark/gluon GPDs, see e.g. [712]. The combination of lattice results with experimental measurements will continue to provide crucial information on nucleon tomography with upcoming programs at JLab and the EIC.

**Pion and kaon form factors** Pions and kaons are among the most prominent strongly interacting particles next to the nucleon, since they are the Goldstone bosons of QCD. Thus, it is important to study their internal structure and how it reflects their Goldstone boson nature; a question particularly relevant for understanding the origin of mass generation in QCD [713,714]. While measuring pion and kaon form factors is one of the goals of the experimental program at Jlab12 and the EIC [715,716], current experimental information on the pion and kaon form factors is limited [717], especially at large momentum transfer, making lattice QCD calculations more relevant. Recent lattice calculations of the pion form factor have been performed with two flavors ( $N_f = 2$ ) of dynamical quarks [718–722], with physical strange quark and two light quark flavors ( $N_f = 2 + 1$ ) [723–730], as well as with dynamical charm quark, strange quark and two flavors of the light quarks with nearly physical masses ( $N_f = 2 + 1 + 1$ ) [731]. Most of the lattice studies so far focused on the small  $Q^2$  behavior of the pion form factor, with the largest momentum transfer studied so far corresponding to  $Q^2 \simeq 1.4$  GeV<sup>2</sup> [730]. With advanced techniques, such as boosted sources [732] and increased computational resources it should be possible to extend the lattice form factor calculations to  $Q^2 \simeq 30$  GeV<sup>2</sup>, i.e., the region of interest for the EIC.

**Spin and mass decomposition of the nucleon** Lattice QCD has been extensively applied to understand the origin of proton spin and mass. Since 2015, there have been two complete lattice calculations of the nucleon spin decomposition with renormalization, mixing and normalization properly taken into account. In this decomposition, the proton spin is constructed from individual quark and gluon angular momentum contributions, and the quark orbital angular momentum (OAM) contribution can be further derived by subtracting the associated helicity contributions [733]. One calculation uses the twisted mass fermion on a  $N_f = 2 + 1 + 1$ -flavor lattice with lattice spacing of  $a = 0.08$  fm and pion mass of 139 MeV [734]. The results on the angular momentum fractions  $J$  for the  $u, d, s, c$  quarks and gluons are shown in the left panel of Fig. 30. The summed quark  $J_q$  is 57.1(9.0)% and  $J_g$  is 37.5(9.3)% of the total angular momentum. The quark helicity contribution is also calculated to be  $\frac{1}{2}\Delta\Sigma = 0.191(15)$ . This leaves the quark OAM with 18.8(10.2)(2)% of the total spin. Another calculation is based on the valence overlap fermion on a  $2 + 1$ -flavor domain wall fermion sea on a  $32^3 \times 64$  lattice with  $a = 1.43$  fm and pion mass of 171 MeV with a box size of 4.6 fm [735]. The results [735] for the percentage contributions are  $\Delta\Sigma$ ,  $J_g$  and  $L_q$  at 40(4)%, 46(5)% and 13(5)%, respectively. All these results are matched to the  $\overline{\text{MS}}$  scheme using the renormalization scale  $\mu = 2$  GeV. In addition, a complementary approach with direct access to quark OAM, based on Wigner functions, has also been pursued [736,737], yielding compatible results as above and providing further insight on different formalisms for the quark OAM.

The hadron mass and its decomposition can be obtained from the energy-momentum tensor (EMT). According to Ref. [739], the rest energy has the following expression:  $E_0 = \langle H_m \rangle + \langle H_E \rangle(\mu) + \langle H_g \rangle(\mu) + \frac{1}{4}\langle H_a \rangle$ , where  $\langle H_m \rangle$  is the quark condensate,  $\langle H_E \rangle(\mu)$  is the quark kinetic and potential energy, and  $\langle H_g \rangle(\mu)$  is the glue field energy. Both of them depend on the scale. Finally,  $\langle H_a \rangle$  is the trace anomaly and is renormalization group invariant. A lattice calculation of this decomposition was carried out by the  $\chi$ QCD Collaboration [738]. This calculation was done with the overlap fermion on four  $2+1$ -flavor domain-wall fermion configuration ensembles for 3 lattice spacings. The largest lattice is at the physical pion point and full non-perturbative renormalization and mixing are performed. The right panel of Fig. 30 shows the fractional decomposition of the rest energy in terms of  $\langle H_m \rangle$ ,  $\langle H_E \rangle(\mu)$ ,

$\langle H_g \rangle(\mu)$ , and  $\frac{1}{4}\langle H_a \rangle$  in the  $\overline{\text{MS}}$  scheme at  $\mu = 2$  GeV, as functions of  $m_\pi^2$ . Clearly, except for  $\langle H_m \rangle$ , the components are fairly independent of the quark mass up to  $m_\pi = 400$  MeV.

**Parton distributions** Calculating the partonic structure of bound states from first principles lattice QCD with controlled accuracy remains an important unsolved problem. Previous efforts have been focused on the moments of collinear PDFs, which provide momentum-space “global” information about partons. In recent years, new opportunities emerged for lattice QCD calculations to investigate the partonic structure of hadrons. Novel methods enabled the calculations of the  $x$  dependence of PDFs, GPDs, and TMDs, which was previously considered unattainable. The major complication is the inability to obtain light-cone quantities from the Euclidean formulation of lattice QCD. The realization that lattice matrix elements of non-local operators can be related to light-cone distributions has transformed the field of hadron structure [740–743], with the U.S. leading several aspects.

Various methods have been developed [744–756] and significant progress has been made using two major approaches: large momentum effective theory (LaMET) in which the parton  $x$ -dependence is calculated directly via a large momentum expansion [750,751], and short-distance factorization or operator product expansion, which comes under various names such as pseudo distributions [752] or “good lattice cross sections” [753–755], in which the  $x$ -dependencies are parametrized and fitted with the global analysis method. Precision calculations of isovector unpolarized, helicity, and transversity quark PDFs are ongoing, with simulations at the physical quark masses, and with controlled systematic uncertainties, including renormalization of linear divergences and continuum limit, two-loop matching and renormalization group resummation [757–765]. While the lattice QCD results for the sea quarks and gluon PDFs still have a long way to go before they reach good accuracy, the field is up-and-coming and more developments are expected to appear shortly [766–770].

These developments have also been applied to hadron tomography of GPDs and TMDs. They are computationally more expensive than the integrated PDFs due to being differential in additional kinematic variables, e.g., the momentum transfer between the initial and final hadronic states for the GPDs, and transverse-momentum degrees of freedom in TMD PDFs and wave functions. First exploratory results on the proton unpolarized and helicity GPDs were reported in Refs. [771–774] and more detailed investigations are ongoing. On the TMD side, substantial insight into TMD spin physics has been obtained through lattice calculations of TMD ratio observables [775–778]. Meanwhile, the theoretical developments in the last few years have paved a way to compute the TMDs from lattice based on the LaMET formalism [779–794]. The associated evolution kernel and soft factors have been computed [788–790, 795–798] and a preliminary result for the isovector quark TMDs has recently appeared [799].

**Hadron spectroscopy** Hadron spectroscopy as a field is undergoing a rapid development, where the emergent phenomenon of the hadron resonance spectrum as measured in experiments can be directly connected to non-perturbative QCD by means of rigorous amplitude analysis and lattice QCD computation. In the area of lattice computations of meson resonances, researchers in the US are world-leading, in particular the *hadspec* collaboration [[www.hadspec.org](http://www.hadspec.org)] has made pioneering contributions to the calculations.

The formalism that relates the discrete spectrum of states in a finite volume to elastic two-body scalar particle scattering amplitudes has been in place for over thirty years [800], but it was only recently that lattice QCD technology developed to the level where the calculations were practical. At the time around 2015, only a few elastic scattering systems, and a single coupled-channel system ( $\pi K, \eta K$ ) had been studied in explicit lattice QCD calculations, yielding QCD descriptions of the  $\rho$  and  $K^*$  resonances. In the years since, many more meson-meson and meson-baryon scattering sectors have been explored in lattice calculations with heavier-than-physical light quarks, exposing the variety of ways resonances can manifest themselves in scattering amplitudes [801–804] and providing a first-principles QCD approach to studying longstanding mysteries such as the nature of the light scalar mesons and the decays of the lightest exotic hybrid meson [607]. By coupling currents to scattering systems [805–808], the internal structure of unstable resonances can be explored in unprecedented ways.

Going beyond two-particle scattering, finite-volume formalisms to describe three-particle scattering have been derived [809,810] and these are currently being applied to explicit lattice QCD calculations in cases of maximal isospin, like  $\pi^+\pi^+\pi^+$ , where there are neither three-body resonances, nor any resonances in two-body sub-channels [811–814]. The demonstrated success in these trial channels motivates further ongoing studies of channels in which resonances appear, allowing a much larger fraction of the QCD spectrum to be investigated rigorously, including many exotic hadrons of contemporary interest.

**QCD phase diagram** The study of the QCD phase diagram with lattice simulations has experienced tremendous progress in the last few years. The phase transition line is typically determined by extrapolating chiral observables to finite chemical potential  $\mu_B$ , and finding the temperature at which the chiral condensate has an inflection point, or the chiral susceptibility has a peak. The transition temperature as a function of  $\mu_B$  can be written as  $T_c(\mu_B)/T_c(\mu_B=0) = 1 - \kappa_2 (\mu_B/T_c(\mu_B))^2 - \kappa_4 (\mu_B/T_c(\mu_B))^4 + \dots$ . A high-precision result for the crossover temperature  $T_c(\mu_B=0)$  has become available,  $T_c(\mu_B=0) = 158 \pm 0.6$  MeV [815], which is in agreement with the previously quoted value  $T_c(\mu_B=0) = 156.5 \pm 1.5$  MeV [816]. Current extrapolations to finite chemical potential reach out to  $\mu_B \approx 300$  MeV, through the precise knowledge of the coefficients  $\kappa_2 = 0.0153 \pm 0.0018$  and  $\kappa_4 = 0.00032 \pm 0.00067$  [815]. Similar coefficients for the extrapolation of the transition temperature to finite strangeness, electric charge and isospin chemical potentials were obtained in Ref. [816]. No sign of criticality is observed from lattice QCD simulations up to  $\mu_B \approx 300$  MeV [815,817]. Future challenges include the extrapolation of the phase transition line to larger values of chemical potential and more stringent constraints on the location of the critical point.

**QCD equation of state** The QCD EOS has been known at  $\mu_B = 0$  with high precision for several years [818–820]. The sign problem prevents direct simulations at finite chemical potentials. However, different extrapolation methods have been used to obtain the EOS at moderate values of  $\mu_B$ . Significant progress has been achieved through a Taylor expansion of the thermodynamic quantities



[821–823], currently limited to  $\mu_B/T < 3$ . A new expansion scheme has extended the range of the EOS to  $\mu_B/T < 3.5$  [824]. An alternative approach with a similar range in  $\mu_B/T$  has been developed in [825], where the equation of state has been constructed as a relativistic virial expansion in baryon number fugacity. All of these equations of state are two-dimensional: thermodynamic variables are calculated as functions of the temperature and the baryon chemical potential. However, in QCD there are other two conserved charges: electric charge  $Q$  and strangeness  $S$ . A choice needs to be made for the respective chemical potentials  $\mu_Q$  and  $\mu_S$ . Typical choices are  $\mu_Q = \mu_S = 0$  or  $\mu_Q = \mu_Q(T, \mu_B)$  and  $\mu_S = \mu_S(T, \mu_B)$  such that the phenomenological conditions  $n_Q = 0.4n_B$  and  $n_S = 0$  are satisfied, with  $n_i$  number density for charge  $i$ . These conditions reflect the overall strangeness and electric charge fraction in the colliding nuclei of a heavy-ion collision. An extension of the new expansion scheme to strangeness neutrality and beyond was presented in Ref. [826]. A full four-dimensional equation of state, expanded as Taylor series in  $\mu_B/T$ ,  $\mu_S/T$  and  $\mu_Q/T$ , is available in Refs. [55,56].

The BEST collaboration has built an equation of state which reproduces lattice QCD results up to  $\mathcal{O}((\mu_B/T)^4)$  and contains a critical point in the 3D-Ising model universality class [54,58,827]. This EOS can be used in hydrodynamic simulations of heavy-ion collisions to check the effect of changing the location and strength of the critical point. Future challenges, both for lattice QCD and the BEST collaboration equations of state, are to extend them to larger coverage of the QCD phase diagram.

**Heavy flavor probes of hot matter on the lattice** The heavy flavor diffusion coefficient characterizes the movement of a heavy quark with a momentum of at most the order of the temperature with respect to the medium rest frame. For this reason, it can contribute to our understanding of thermalization of heavy quarks in the QGP. Estimates of this quantity in the deconfined phase of QCD were presented in Refs. [828,829], albeit in the quenched approximation. This quantity was studied on the lattice by means of the gradient flow method [229,230,830]. A wide temperature range has been explored in Ref. [831], where the multilevel algorithm was used. The results from the gradient flow and multilevel algorithm methods are consistent with each other. Future challenges include the continuum extrapolation of this observable in full QCD with realistic simulation parameters. Calculations with physical quark masses will require exascale computing resources, allocated through the ALCC [832] and INCITE [833] programs. To take advantage of exascale resources, lattice QCD codes must be adapted to the computational hardware, requiring funding from programs like SciDAC [834]. Larger  $N_\tau$  lattice will not only address bottomonium properties at  $T \neq 0$ , the complex  $Q\bar{Q}$  potential, and the heavy quark diffusion coefficient but also, with minimal additional investment, improve studies of charm fluctuations and charm baryon number correlations. The lattice can also study a novel chromoelectric field correlator that describes in-medium dynamics of heavy quark-antiquark pairs [835,836], which has been shown to be different from the heavy quark diffusion coefficient [837,838].

### 3.1.2. Theory and phenomenology of cold QCD

Applying QCD theory to both hot and cold QCD physics is a great challenge, due to the nonperturbative nature of strong interaction phenomena. Therefore, approximations have to be made to confront the experimental measurements, either by using QCD factorization with proper power counting, or building a rigorous numerical framework.

In the QCD factorization formalism, the hadronic cross sections are factorized into the partonic hard scattering cross sections and the associated non-perturbative hadron structure. The central task for QCD theory is to provide precision computations of the various relevant partonic hard-scattering cross sections and splitting functions at high orders of perturbation theory. The past few years have seen tremendous progress in this area. For example, for the longitudinal momentum distribution functions (spin-dependent and spin-independent), the associated DGLAP evolution kernels are now fully known to next-to-next-to-leading order (NNLO) [839–841]. Salient examples of computations of partonic cross sections for, e.g.,  $e + p$  scattering at the EIC, at NNLO and even beyond, include work on inclusive DIS [842–844] and jet production in DIS [845–848]. Other developments include heavy quark and quarkonium production in various hard scattering processes [849–858] and the principle of maximum conformality arguments in perturbative calculations [859].

The theoretical framework for establishing the tomographic structure of hadrons, as encoded in GPDs, has been well established and higher order perturbative QCD corrections have been calculated. The first computation of NNLO corrections for DVCS has also been reported recently [860–862]. Progress has been made toward a global analysis of GPDs, including a wide range of experiments [712,863–872]. Meanwhile, recent global analyses have achieved high precision for the unpolarized TMD quark distribution and fragmentation functions from fits to data on semi-inclusive hard processes [557,558,873–876]. All these computations will impact the extraction of parton distributions and tomographic structure from future experiments, including JLab and EIC.

More generally, global analysis is a powerful tool that has recently been applied to extracting the unpolarized parton distribution functions [512,515,877–880], quark/gluon helicity distributions [523–525], TMDs and GPDs, as mentioned above. The key feature of these developments is to utilize the computational advances and apply theoretical constraints, including the lattice results. With future data from JLab and EIC on the horizon, the role of global analysis will become even more important.

An important thread of theory developments is the application of the effective degrees of freedom of QCD to derive an effective field theory (EFT). These developments have not only revealed emerging dynamics of strong interaction physics but also provided advanced techniques to apply perturbative methods to deal with complicated hadronic processes. Recent progress along this direction has made it possible to compute various observables in both hot and cold QCD. In the following, we will describe two examples that have significant impact.

**Soft-collinear effective theory** Soft-collinear effective theory (SCET) is an effective field theory which systematically describes the infrared QCD dynamics in hard collisions, including those associated with soft and collinear degrees of freedom [881–883]. It has been widely applied to a large variety of collider processes. This is partly because SCET provides a systematic and convenient method to perform high order perturbative calculations through the universal steps in deriving factorization in terms of independent

functions governing the hard, collinear and soft dynamics of a process. SCET is also transparent in carrying out higher order resummation of large logarithms. Moreover, it has the ability to generalize the factorization to more complicated processes and multiscale observables, and the capability to systematically study power corrections. SCET continues to have a significant impact on the field of high precision calculations for hard scattering processes at various colliders, including Higgs/ $Z/W$  boson production, and inclusive jet and multi-jet production at the LHC.

In connection to hadron physics, SCET played an important role to clarify the QCD factorization for various hard processes where one can extract nucleon structure, such as the TMDs, see, e.g. [792]. A key development in recent years is the analysis of power corrections to the factorization formalism [884], which will have potential impact on future phenomenological applications at JLab and the EIC.

**Color-glass condensate** There are compelling theoretical arguments and strong experimental hints that suggest that gluon distributions saturate at small Bjorken- $x$  [885–890]. Gluon saturation occurs when the nonlinear terms in the field strength tensor are of the same magnitude as the kinetic terms or, equivalently, when the occupancy of field modes is  $O(1/\alpha_s)$ . The CGC is a QCD EFT that describes the physics of small- $x$  modes in protons and large nuclei and the underlying dynamics of gluon saturation at collider energies. The evolution of the complex many-body dynamics of partons in this regime of QCD with energy is described in the CGC EFT by powerful renormalization group (RG) equations [891–896] that underlie the predictive power of this theoretical framework. The state-of-the art of these RG equations is at NLO accuracy with significant ongoing theoretical and computational work.

An attractive feature of the CGC EFT is that it can be employed to explore the dynamics of small- $x$  modes and gluon saturation across a wide range of high energy experiments, from electron-hadron DIS from HERA to the EIC, to hadron-hadron, hadron-nucleus and nucleus-nucleus collisions at RHIC and the LHC. In DIS, NLO calculations are emerging for an increasing number of processes in electron-nucleus collisions, while a parallel program of precision comparisons of theoretical predictions to data is underway for proton-nucleus and ultra-peripheral collisions at the LHC (see [897] for a review).

The CGC EFT also provides a compelling model of the initial conditions in heavy-ion collisions, as shown in Sec. 2.1.4. A significant body of research in this direction enables one to quantitatively assess the impact of this framework on the space-time evolution of matter in such collisions, and has played a key role in the quantitative extraction of transport coefficients of the quark-gluon plasma.

Similar data-theory comparisons at the EIC will help solidify and quantify these insights into the 3-D tomography of gluons [678, 898]. These will require a global analysis of data from hadron-nucleus and electron-nucleus collisions in analogy to successful global analysis studies in perturbative QCD discussed above. An important theoretical development is the emerging quantitative connections of the CGC EFT to the TMD and GPD frameworks in perturbative QCD. These studies can help refine and expand the predictive power of both frameworks. Another set of interesting questions is whether studies of overoccupied states in other systems in nature across wide energy scales can provide deeper insight into universal features of gluon saturation; a particularly promising approach is the perspective provided by quantum information science [899–904]. An intriguing possibility is that of designing cold atom analog quantum computers (discussed further later) to capture dynamical features of such systems [905,906].

**QCD-inspired models of hadron structure** Due to its non-perturbative nature, strong interaction physics has inspired a great deal of models, see textbooks [907,908] for a summary. In recent years, a number of models have helped to unveil the nontrivial feature of hadron structure and stimulated further theoretical developments. This includes the Schwinger-Dyson (Bethe-Salpeter) equations [909,910] and instanton liquid models [911] where a certain truncation is needed to apply these models; and the light-front holographic model [912]; the light-front Hamiltonian model [913–917]. All these models have captured certain features of non-perturbative QCD physics and have gained success to describe the hadron structure to some extent. However, because the connections between the model degrees of freedom and the fundamental ones are unknown, the uncertainties from these calculations may not be under control.

**Amplitude analysis to unveil the QCD hadron spectroscopy** In anticipation of CEBAF 12 GeV operations, in 2013 the Joint Physics Analysis Center (JPAC) was formed to develop the necessary theoretical, phenomenological and computational frameworks for analysis and interpretation of data. The quality and complexity of modern spectroscopy-relevant datasets is such that it is only by collaboration between experimentalists and theorists like those in JPAC that robust results on the hadron spectrum can be obtained. While the search for light exotic hadrons in experiments at Jefferson Lab continues to be one of the main efforts of JPAC, over time the reach of the center has expanded worldwide with its members now affiliated with experiments outside JLab, including BESIII, COMPASS, and LHCb.

The need for sophisticated amplitude analyses is pressing in view of the copiously produced  $XYZ$  states, where what is required is a systematic study of reaction mechanisms to isolate genuine resonances from other effects, e.g. kinematical singularities which may generate peaking structures without a resonance being present [918,919]. In this context direct production using photon beams would provide an independent validation of the resonance nature of the  $XYZ$ 's by virtue of the absence of the kinematic singularities present in the three-body production through  $b$ -hadron decays or  $e^+e^-$  annihilation. JPAC has studied both exclusive [920] and semi-inclusive [921] photo-production of the  $XYZ$  states, and made predictions for future measurements at EIC and an energy-upgraded CEBAF.

By providing a forum for close collaboration between theory and experiments, JPAC has been successful in effecting integration of theoretical developments into experimental analyses, and in educating a new generation of practitioners in the tools of amplitude analysis.

### 3.1.3. Theory and phenomenology of hot QCD

**Theory of jets in hot QCD matter** The discovery of jet quenching at RHIC in the early 2000s [166,922] and confirmation from the study of fully reconstructed jets at the LHC [209] has spurred much theoretical and experimental research activity in the past decade with the objective of using jets as a multi-dimensional tool to probe the properties of the quark gluon plasma at various length scales (see Sec. 2.1.3).

The current picture of parton energy loss is based on a medium induced gluon cascade that efficiently transports energy from fast color charges down to the plasma temperature scale where energy is dissipated [923–927]. A future prospect is to improve on the accuracy of such gluon cascades by systematically computing higher order corrections to medium-induced gluon splitting including full kinematics [928–932]. Another important direction of research is the study of the medium response to the passage of a jet which describes how the distributions of low momentum partons are affected [933–940].

Because jets are complex quantum systems, their energy loss in the QGP is sensitive to color decoherence, an emergent QCD phenomenon caused by rapid color precession of entangled color charges [941–944]. It was recently investigated in the leading logarithm approximation of the inclusive jet spectrum [945,946] and was shown to yield an excess of soft particles inside the jet in a study of the jet fragmentation function [198,947]. Extensive theoretical studies of jet substructure were carried out to diagnose energy loss mechanisms and color decoherence [178,948–953], and will play a crucial role in the future to fully exploit jet quenching observables to probe the resolution power of the hot QCD media.

Higher order corrections to jet observables in heavy ion collisions are paramount for precision tests of jet quenching and will certainly constitute a major focus of future theoretical approaches to jet quenching. As an example, it was recently shown that some corrections are enhanced by a large double logarithm in the medium size [924,954–958] which, when resummed to all orders, results in an anomalous scaling of transverse momentum broadening that reflects super diffusive behavior [959,960]. Higher order corrections to radiative energy loss were also investigated [930,955,961–963]. More progress is required, and will rely on help from high performance computational tools, such as Monte Carlo event generators and lattice techniques, in order to achieve precision tests of non-equilibrium QCD dynamics using jet observables.

**Effective theory approaches in hot and dense QCD** There are two main thrusts in using effective theories to compute properties of QCD at nonzero temperature and density. By asymptotic freedom, perturbation theory only allows calculations at very high temperature. Computations at temperatures  $\sim 300$  MeV require resummation of hard thermal loops [964–967], very technically challenging. At low temperatures hadronic models can be used. In between these two, in the region of greatest experimental interest, numerical simulations are the only method of first principles computation. However, as discussed in more detail above, these methods are limited by the existence of the sign problem at finite quark chemical potential, especially for  $\mu_q > T$ . Thus it is well worth developing effective models which can complement results from the lattice. Effective models can advance further into the  $T$  and  $\mu_q$  plane, particularly for  $\mu_q > T$ , to determine the transport coefficients as a function of  $T$  and  $\mu_q$  and explore phenomena such as the location of the critical endpoint, moat spectra and color superconductivity.

The Functional Renormalization Group [968] has been applied to QCD, including estimates of the critical endpoint, how trajectories flow in the plane of  $T$  and  $\mu_q$ , etc. Results for the shear viscosity have been obtained at both zero [969–972] and nonzero density [973,974]. Another approach is to use approximate solutions to the Schwinger-Dyson equations [975]. Dynamical transport [976,977] and quasiparticle models have been developed to compute transport properties at high [232,978–986] and intermediate [987] energies. These models, while approximate, have the real virtue of being able to compute at nonzero density with similar efficiency as at zero density. These models have also been used to compute jet transport coefficients [988]. While holography obtains results for the most supersymmetric  $SU(N)$  theory at large  $N$ , it is a useful approach [989–995]. Transport properties have also been obtained in holographic models [974,996–998]. Lastly, matrix models for the semi-QGP have been developed to describe the equilibrium properties of QCD at both zero [999–1003] and nonzero chemical potential [1002]. A preliminary attempt to compute the shear viscosity was made years ago [1004,1005], but needs to be improved by including a complete effective Lagrangian [1003].

Further development of these effective theory approaches, along with lattice QCD, will be important for understanding QCD and will be crucial for improving heavy ion phenomenology and the extraction of QGP properties in the coming years.

**Hydrodynamics and kinetic theory** Since 2015, new developments concerning the emergence of hydrodynamics under extreme conditions have shed light on the regime of applicability of hydrodynamics in heavy-ion collisions. Hydrodynamization, the process of approaching hydrodynamic behavior, was systematically investigated in a variety of systems at strong and weak coupling [48, 1006–1025]. Results demonstrated that the hydrodynamic gradient expansion in rapidly expanding plasmas can become divergent [1026–1029], which naturally led to question how one may systematically define hydrodynamics. The prevailing picture is that the onset of hydrodynamics in high-energy heavy-ion collisions may be identified by the presence of a hydrodynamic attractor [1030], which provides a key new element in the extension of hydrodynamics towards the far-from-equilibrium regime [1031–1035]. Furthermore, it was systematically investigated how one may resum not only gradients but also the viscous stresses themselves, through anisotropic hydrodynamics [49,50,1036–1038]. New causal and stable first-order theories of (general-)relativistic viscous hydrodynamics have been formulated [1039–1044], which opened up new opportunities to systematically investigate hydrodynamic phenomena without the need to evolve extra variables in addition to the standard hydrodynamic fields, as it occurs in 2<sup>nd</sup> order formulations [1045].

Many more theoretical developments are needed in order to fully determine the applicability of hydrodynamics in heavy-ion collisions. A systematic investigation of the nonlinear properties of 2<sup>nd</sup> order hydrodynamics, going beyond the first results of [1046] by including all the possible 2<sup>nd</sup> order terms as well as the effects of QCD conserved currents [1047,1048] and their initial state fluctuations [45,1049], is urgently needed, especially as one moves towards low beam energies. The question of causality

violation in current simulations [1050,1051] needs to be addressed to avoid instabilities and to better constrain the properties of the pre-hydrodynamic phase. Much progress on the latter has been achieved in recent years using QCD effective kinetic theory [48,1019,1052]. A better description of the hydrodynamization process in this context requires the inclusion of fermions [1053,1054] (allowing for the investigation of chemical equilibration), and the inclusion of non-conformal effects when matching to hydrodynamics [104,1055,1056].

The possibility of using different definitions of hydrodynamic variables (different hydrodynamic frames) opens up a number of questions in the formulation of hydrodynamics [1044]. Work is needed to systematically formulate 1<sup>st</sup> and 2<sup>nd</sup> order stochastic hydrodynamics in general hydrodynamic frames, going beyond existing results [64,1057–1059], considering also the effects from fluctuations due to a critical point [63,66] or a first order phase transition.

The question of how quantum mechanical effects related to spin degrees of freedom or Quantum Field Theory (QFT) anomalies become manifest in relativistic fluids has generated a lot of activity in the field throughout the last decade [1060,1061]. Chiral (or anomalous) relativistic hydrodynamics includes quantum effects driven by anomalies that manifest in the hydrodynamic regime [1062] and influence the dynamics of various systems from the QGP to Weyl semimetals [1060,1063,1064]. However, very little is known about the properties of the chiral hydrodynamic equations of motion and their solutions, especially in the nonlinear regime probed in hydrodynamic simulations of the QGP. Initial steps were taken in [1065] for ideal hydrodynamics. However, nothing is known about such properties when viscous effects are included in the nonlinear regime. Following the measurement of global  $\Lambda$  polarization by the STAR collaboration [435] (see Sec. 2.1.7), the development of consistent theories of spin hydrodynamics is underway [1066–1074]. There is currently no formulation of viscous spin hydrodynamics that is causal, stable, and well-posed, leaving this as an important task for the next decade.

Hadronic transport codes are necessary in their role as afterburners at high energies, and are currently the only means of describing the largely out-of-equilibrium evolution of heavy-ion collisions at low energies, such as those explored in the BES FXT program. By comparing simulations with experimental data, hadronic transport can be used to extract the EOS and in-medium properties of nuclear matter at finite  $T$  and large  $n_B$  [73,74,1075–1078], as well as constrain the isospin-dependence of the EOS [77–81,83–88], important for understanding the structure of neutron stars. Precision extractions require further improvements [1079], including using maximally flexible parametrizations of the density-, momentum-, and isospin-dependence of nucleon interactions [75,93], incorporation of the in-medium properties of nuclear matter as constrained by chiral effective field theory, description of light cluster production, and threshold effects [1079]. Progress can be made through systematic comparisons between various hadronic transport codes, and the Transport Model Evaluation Project (TMEP) Collaboration has provided several benchmark results and recommendations for improvements [987].

### 3.1.4. Quantum information science and QCD

A rapidly growing area of research within the U.S. Nuclear Physics (NP) research portfolio is the application of Quantum Information Science (QIS) in NP. In fact, while the topic was not discussed in [2], its rapid emergence in various disciplines within NP over the past five years promoted NSAC to form a sub-committee in 2019 to report on the opportunities and prospects of QIS in NP. The resulting report [1080] identified simulation and sensing as the two major research directions: first since many grand-challenge problems in NP require advanced, and potentially quantum-based, simulation and sensing techniques and technologies, and second since the expertise of nuclear physicists in these sub-areas could lead to transferring some of the current and future developments in NP to the QIS community. In cold and hot QCD research, in particular, simulation has proven the prime first-principles approach. In fact, lattice QCD methods, combined with state-of-the-art high-performance computing, are expected to continue to push the frontiers of accurate studies of nucleon structure, properties of light nuclei, and low-density QCD matter at finite temperatures [1081–1085]. However, it is conceivable that the range of studies facing a sign problem (or equivalently a signal-to-noise problem) remain infeasible with current techniques. Such studies include finite-density systems aimed at full exploration of the phase diagram of QCD, and of real-time dynamics of QCD processes such as those prevalent in heavy-ion collisions and in early universe, which are essential to understand equilibration, thermalization, hydrodynamization, fragmentation, and hadronization in QCD. Additionally, non-equal-time QCD correlation functions generally are not directly accessible, making it challenging to compute hadron and nuclear structure functions, dynamical response functions, transport coefficients, and more. See Fig. 31.

Quantum simulation is fundamentally different from classical simulation in that a vast Hilbert space of a quantum many-body system can be encoded exponentially more compactly into quantum units. These quantum units could be two-dimensional spins, or qubit, or higher-dimensional spins called qudits, or even bosons and fermions as in nature. Furthermore, the computations can be parallelized exponentially more efficiently using the principles of superposition and entanglement in quantum mechanics. The time evolution can be efficiently implemented but states need to be initiated and finally measured to access observables, for which many strategies are being developed [1088–1093]. In the QCD community, the progress has been significant, and while the earlier ground-breaking simulations focused on demonstrating access to non-perturbative real-time phenomena, such as pair production and vacuum fluctuations, in simple models such as the 1+1 dimensional QED [1094–1100], a plethora of works in recent years have provided algorithms and strategies for simulating both Abelian and non-Abelian lattice gauge theories in higher dimensions, including three-dimensional SU(3) lattice gauge theory, see e.g., Refs. [1086,1101,1102]. This research has shaped into interconnected theoretical, algorithmic, and hardware implementation and co-design directions: it aims to find the most efficient Hamiltonian formulations of gauge theories of interest in the Standard Model on and off the lattice [1103], match them to the near- and far-term algorithms that scale increasingly more efficiently with system size [1086,1101,1102,1104,1105], and perform small instances of those on current quantum-simulation hardware, in digital or analog modes, to show the potential. See Refs. [1106–1121] for select examples on recent progress on a range of QCD-inspired problems. This had led to the formation of successful co-design efforts among QCD

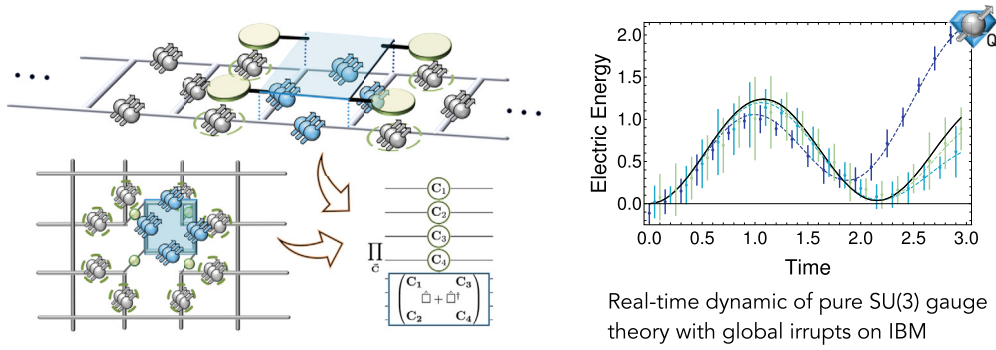


Fig. 31. The left side depicts the structure of the plaquette operator in the pure SU(3) lattice gauge theory upon integration of local quantum numbers for a one-dimensional string of plaquettes (top) and for a two-dimensional sheet of plaquettes (bottom). The blue squares indicate the active quantum registers while the green circles denote the neighboring controls. The right side shows a real-time simulation of the dynamics of the vacuum in terms of fluctuations in the electric energy of a two-plaquette system in the (truncated) global color parity basis, implemented on the IBM Athens quantum processor. The figure is adopted from Refs. [1086,1087].

physicists and hardware developers, which may be a critical component of a quantum-simulation program for QCD over the next decade [1103,1122]. The current resource estimates for solving QCD in regimes of interest to phenomenology are far beyond the capabilities of the current quantum hardware. Nonetheless, with the rapid progress in quantum technologies, and the ongoing race toward fault-tolerant quantum computing in academia, industry, and government sectors, it is important for QCD researchers to be ready to take advantage of the new technology, as it is being developed and into the future.

### 3.2. Future opportunities in hot QCD

Hot QCD research is addressing questions of fundamental importance that can be summarized in the following main goals:

- *Determine the phase structure of nuclear matter* The phase diagram needs to be pinned down as a function of temperature and non-conserved charges, including the determination of a possible QCD critical point, which requires the experimental measurement and theoretical study of collisions with varying collision energy. We need to understand the deconfinement transition and chiral symmetry restoration, and determine the nuclear equation of state for which heavy ion collisions and neutron stars can provide complementary input.
- *Understand the mechanisms that lead to the emergence of the fluid behavior of hot and dense nuclear matter* This requires studying the QGP at short distance scales using hard probes, including jets, heavy flavor hadrons, and quarkonia. Further insight can be gained by pushing the boundaries to e.g. small collision systems and better constraining the initial state from theory and complementary experiments. Electromagnetic probes carry further information on the time evolution of the system.
- *Quantify the dynamic properties of the quark gluon plasma* Transport properties of the QGP, including its shear and bulk viscosity, as well as its interaction with heavy and high momentum probes, need to be determined as functions of temperature and densities of conserved charges, and understood within QCD or effective theories thereof. Further, probing the vortical structure of the fluid flow fields can access spin related transport properties.
- *Utilize the broad physics reach of heavy ion collisions* Heavy ion collisions provide an incredible amount of information, which, when carefully isolated, allows for physics studies far beyond the QGP and even QCD. Ultra-peripheral collisions can be employed to study photo-nuclear events probing very low  $x$ , as well as quantum electrodynamic phenomena with some processes sensitive to beyond the standard model physics. Heavy ion collisions also offer a unique opportunity to study quantum anomalies via the chiral magnetic effect. Furthermore, certain observables are highly sensitive to the detailed nuclear structure of the colliding nuclei, and far forward data from heavy ion collisions can provide important information for cosmic ray physics.

#### 3.2.1. Properties of the quark gluon plasma

In the coming years, phenomenological studies of hot many-body QCD systems will focus on obtaining robust constraints on thermodynamic and transport properties of the QGP, exploring the QCD phase structure at large baryon densities, and emerging collectivity in small systems.

**Transport properties from Bayesian inference and multi-observable studies** One major goal of studies of the QGP at RHIC and LHC is the determination of transport properties, such as the shear and bulk viscosity to entropy density ratios,  $\eta/s$  and  $\zeta/s$ , as well as relaxation times, electric and heat conductivities, the partonic momentum diffusion coefficient  $\hat{q}$ , and transport coefficients for single heavy quarks and heavy quark-antiquark pairs. The hot QCD community has moved toward determining the temperature dependence of these quantities, as well as their behavior at varying chemical potentials. Observables in heavy ion collisions exhibit varying and complex responses to these QGP properties. Consequently, systematic and robust phenomenological constraints are best derived from combining multiple measurements via the Bayesian Inference method. Bayesian Inference analyses for the RHIC BES program and including high-statistics observables pose serious numerical challenges to the field. Although using model emulators can effectively reduce the required computational resources, novel techniques are essential to further reduce the required volume

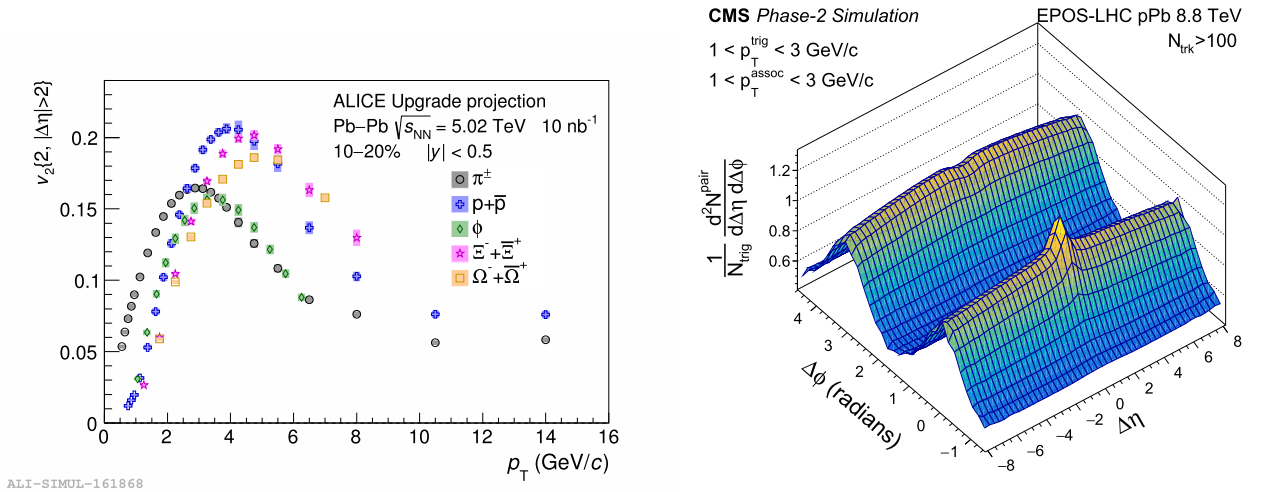


Fig. 32. Left: ALICE projections for PID  $v_2$  in 10–20% centrality PbPb collisions for an integrated luminosity of  $10 \text{ nb}^{-1}$  at HL-LHC [1128,1133]. Right: CMS projection of long-range two-particle correlations with  $\Delta\eta$  up to 8 units from Phase-2 upgrades for the HL-LHC [1130].

of training datasets while keeping good accuracy of the model emulators. To achieve more effective model training, techniques like transfer learning and multi-fidelity training [1123–1125] should be employed in full (3+1)D hybrid frameworks. In order to efficiently compute high-statistics observables, additional speed boosts from employing other machine learning tools such as deep neural networks, are needed. The Bayesian model averaging method is crucial for combining different models with their relative statistical weights to systematically fold in theoretical uncertainties. Sophisticated Bayesian Model Mixing techniques are presently being developed by the BAND Collaboration [1126].

A concerted effort will be needed to develop documented and accessible Bayesian inference software frameworks [1126]. Of equal importance will be accessibility to supercomputing infrastructure to perform the large-scale calculations required for Bayesian studies. Incorporating more hadronic observables into the Bayesian analyses should provide stronger constraints than are currently available. Some work in this direction has been done by including normalized symmetric cumulants into a Bayesian analysis and it was shown that these quantities are more sensitive to the temperature dependence of the transport coefficients than  $v_n$  [1127]. Additionally, the transport properties are particularly poorly constrained in the higher temperature range accessible only at the LHC (see Fig. 4). This could be improved upon for example by measuring the  $v_n$  of dileptons [136]. Generally, better constraints on the viscosities could be obtained by using as many observables as possible - combining the low-momentum hadronic observables used in current analyses with electromagnetic probes, as well as jets and heavy flavor probes.

To maximize information gain on initial state and QGP properties, one should explore new multi-particle correlation observables and their precise experimental measurement. This includes correlations of flow harmonics with mean transverse momentum fluctuations, and higher order versions of those, normalized symmetric cumulants, mixed harmonic cumulants from 4, 6, 8 or more particle correlations, higher order transverse momentum fluctuations, and non-linear flow mode coefficients. Studying these observables, and analyses of varying collision systems will aid in separating initial state properties from QGP properties and with the extraction of information using Bayesian analyses [105,106]. Including Hanbury-Brown-Twiss (HBT) observables [36–40] could provide additional constraints as they are more directly sensitive to the spatial size of the emission source. Measurements of identified particles, in particular the study of strangeness, can elucidate the mechanism of particle production and further constrain the properties of matter created and provide information on the effect of the hadronic phase in collisions of various size and at varying collision energies.

**Detector upgrades** New opportunities to constrain QGP properties in future experimental programs are enabled by not only significantly higher integrated luminosities, but also by detector upgrades. Fig. 32 (left) shows the projected performance of PID  $v_2$  measurements by ALICE for 10–20% centrality Pb+Pb collisions at the high-luminosity LHC (HL-LHC) with an integrated luminosity of  $13 \text{ nb}^{-1}$  [1128,1129]. A large variety of baryon and meson  $v_2$  (and also higher order  $v_n$ ) including hadrons containing multiple strange quarks will be measured over a wide kinematic range with unprecedented precision, which will impose strong constraints on QGP properties starting from the initial condition, hydrodynamic evolution, to the final hadronization stage. With the Phase-2 upgrades of the CMS and ATLAS experiments, long-range particle correlations and collective behavior of the QGP will be explored over 8 units of pseudorapidity  $\eta$ , as shown Fig. 32 (right) for CMS [1130]. Moreover, the wide acceptance time-of-flight detector upgrade planned at CMS will bring unique opportunities to study the QGP medium with identified hadron production and correlations over unprecedented phase space coverage [1130–1132]. LHCb upgrades will increase the centrality range accessible at far forward rapidity and allow new measurements of identified particle and heavy quark collectivity in a unique region of phase space.

**Equation of state** It has been proposed that key thermodynamic properties of the QGP can be extracted by the multiplicity dependence of mean  $p_T$  in ultra-central heavy ion collisions to directly constrain the speed of sound in QGP, and thus the QCD equation of state at high temperatures [105,1134]. The beam-energy dependence of the slope of the directed flow and the elliptic flow have likewise been shown to be highly sensitive to the EOS [73,74,1075–1078,1135–1141]. Extraction of speed of sound via baryon num-

ber cumulants has also been proposed in collisions at low energies which probe high baryon densities [1142]. These measurements can be explored at both RHIC and the LHC over a wide energy range to obtain key information on the QCD phase diagram. Connections between the grand-canonical susceptibilities of (multiple) QCD conserved charges with the corresponding measurements of (cross-)cumulants in heavy-ion collisions derived in Refs. [1143,1144] can be utilized to obtain further information about the EOS.

Heavy-ion collisions with  $\sqrt{s_{NN}} \sim 10$  GeV from the current RHIC BES program and future experiments including CBM at the Facility for Antiproton and Ion Research (FAIR) offer a unique opportunity to quantify the QCD phase structure at large baryon densities [1145]. Our phenomenological tools need substantial developments to explore this region of the phase diagram, which is currently inaccessible to first-principles lattice calculations. As the two incoming nuclei pass through each other, it is crucial to model their interactions dynamically to obtain non-trivial event-by-event distributions of energy density, baryon and electric charge densities for the following macroscopic hydrodynamic evolution. Colliding heavy ions with different electric charge to baryon ratios, like isobar pairs, is important to explore the full 4D nature of the QCD phase diagram in  $(T, \mu_B, \mu_S, \mu_q)$  [57]. Developments of the parametrized 4D equation of state and the propagation of multiple conserved charge currents and their diffusion in relativistic hydrodynamic frameworks are essential to model the macroscopic evolution of these collision systems and determine how this flavor information leaves its imprint on identified particle production. Constraining the 4D QCD phase diagram in  $(T, \mu_B, \mu_S, \mu_q)$  will also make connections with nuclear astrophysics, which focuses on studying the nuclear matter properties in a dense and neutron-rich environment (see sec. 5.2) [1146]. In heavy-ion collisions, the out-of-equilibrium propagation of multi-point correlations is crucial to trace the signatures of the QCD critical point and first-order phase transitions. Implementation of dynamical descriptions of the relevant multi-particle correlations in frameworks like hydrodynamics will be an important step in the search for the QCD critical point [58]. Another crucial requirement is that descriptions of particlization that retain information on fluctuations and correlations [71], are advanced to a level where they can be employed in large scale phenomenological simulations.

Studies utilizing hadronic transport simulations have also been remarkably successful in understanding the dynamics of heavy-ion collisions at low energies from  $\sqrt{s_{NN}} \approx 1.9$  to  $\sqrt{s_{NN}} \approx 8.0$  GeV [1079]. In particular, hadronic transport with mean-field potentials naturally describes the initial state of the collision as well as the interaction between the expanding collision region and the spectators, necessary for understanding the origin of the flow observables including, e.g., the rapidity dependence of the directed flow or the origin of “squeeze-out” in the elliptic flow at low energies. Currently there are still significant differences between symmetric nuclear matter EOSs extracted from different theoretical fits to heavy-ion collision data [73–76,1147]. Some of these differences can be assigned to differences in modeling framework. Systematic comparisons between different hadronic transport codes, such as those done within the Transport Model Evaluation Project Collaboration [987], can provide a common baseline for code development and lead to code and modeling improvements. Making precise quantitative statements about the properties of dense nuclear matter, including the density-, isospin-, and momentum-dependence of the single-nucleon mean-field potential, will require developing maximally flexible parametrizations of nucleon interactions over large ranges of density and temperature probed in heavy-ion collisions. With advances in modeling, the extracted information will achieve unprecedented precision given the forthcoming data, see Sec. 3.2.6.

**Further directions for constraining QGP properties** Electromagnetic probes provide complementary information about the medium properties relative to the hadronic observables, as they provide increased sensitivity to the early stages of the collision. More discussion on the prospects for electromagnetic probes can be found in Sec. 3.2.2. Polarized  $\Lambda$  hyperons can probe the vortical structure of the fluid flow fields in heavy-ion collisions. The extension and phenomenological applications of recently developed spin-hydrodynamic theories are important to probe the spin related transport properties of the QGP, see Sec. 3.2.7.

### 3.2.2. Hot QCD studies with electromagnetic probes

The production of soft photons and dileptons in the little understood early stages of heavy-ion collisions, namely their “pre-equilibrium emission” [121,140,154,1148–1159], represents one of the most important areas of study for electromagnetic probes. Photons and dileptons can provide critical information on the dynamical properties of the early stages, including chemical equilibration. Photons and dileptons will also play a vital role in studying the formation of quark-gluon plasma in collisions of smaller systems, such as proton-gold collisions. Calculations predict a measurable thermal photon signal in collisions of small systems [121,355,1160], and pre-equilibrium photons would likely add to this signal.

Dilepton measurements have already proven valuable in studying lower energy collisions of nuclei [1161–1163], providing estimates of the medium temperature [1164,1165]. The analysis of high statistics measurements by the STAR BES II program [222] will provide important new low- and intermediate-mass dilepton measurements that can be used to study the phase diagram of QCD at lower temperatures and higher baryon densities [1166], as well as chiral symmetry restoration. The future experiments NA60+ [1167] and CBM [1145,1168] will provide high-precision measurements with new detector capabilities. ALICE will measure thermal dileptons in Runs 3 and 4, which can give access to the system’s average temperature. The future experiment ALICE3 [1169] will measure low- and intermediate-mass dileptons at higher energies with unprecedented precision to address chiral symmetry restoration through  $\rho - a_1$  mixing and to improve the measurement of the plasma temperature (and its time evolution). The novel detector capabilities will also enable differential  $v_n$  measurements in various mass ranges, which will provide stringent constraints on medium properties such as shear and bulk viscosity and pre-equilibrium dynamics. The ALICE 3 experiment also aims to study ultrasoft photon emission and the corresponding predictions from Low’s theorem [1170].

Ultimately, the simultaneous systematic study of soft photons and dileptons, along with soft hadrons and other observables, will provide unparalleled constraints on the properties of deconfined nuclear matter.

### 3.2.3. QGP tomography with hard probes

The 2015 LRP [2] cited the importance of measurements of jets at both RHIC and the LHC in order to understand the temperature dependence of QGP properties. In the following, new opportunities with jet and heavy flavor probes are discussed.

**Jets** Some major open questions in jet physics are listed below. These questions are not independent of each other. Due to the connection between the jet observables and the QGP itself, theoretical models which incorporate information about the soft physics of the QGP, the jet-QGP interactions and the hadronization process are necessary to compare experimental measurements to theory. Much recent work in this direction has been done but more is needed to increase the variety of measurements and theory compared. Further advances in jet substructure measurements will provide further constraints. Additionally, the upcoming high-luminosity data from sPHENIX and STAR is necessary to constrain how the jet-QGP interactions depend on the temperature of the QGP.

- **How does the QGP resolve the color configuration of the parton shower?** The parton shower develops from the original hard-scattered parton to the final observed hadrons in the jet. The structure of the shower varies jet-by-jet with the average properties dependent on the energy, color-charge and mass of the parton. This developing shower interacts with the QGP. The question of how the QGP resolves the parton shower is key to understanding how jets are quenched. Measurements which vary the average jet properties (e.g. photon-tagged jets to enhance the fraction of quark-jets relative to an inclusive jet sample) and measurements which select jet-by-jet on the jet substructure are key to answering this question.
  - How does the QGP resolve the structure of the parton shower?

As discussed previously 2.1.3, jet quenching has been shown to depend on the structure of the jet itself. It is key to understand this quantitatively in terms of whether there is a coherence length in the QGP, below which two separate color charges can not be resolved within the QGP [943]. In order to answer this question measurements of jet quenching as a function of jet substructure and theoretical models that depend on the coherence length are needed at both RHIC and the LHC over a wide kinematic range. Applying ML to design new jet observables directly from the data will be helpful in this study as well [1171].

- **What is the temperature dependence to the QGP opacity?** Measurements at sPHENIX, along with improved theoretical models, will provide key constraints on the opacity.
- **Is there emergent intermediate scale structure in the QGP?** Measurements of modifications to the back-to-back jet (hadron) distributions and/or modification of the distance between subjets inside a jet will provide crucial information on this.
- **How does jet quenching depend on the spacetime evolution of the QGP it travels through? Is there a minimum time/length of QGP that the jet must interact with to experience jet quenching?** Current measurements of the  $v_2$  and  $v_3$  of jet/high- $p_T$  hadrons show that jet quenching is sensitive to the average path length of a class of jets through the QGP. However, a non-zero value of  $v_2$  is also observed for high- $p_T$  hadrons in  $p$ +Pb collisions [376]. Is this  $v_2$  due to some other source? The most direct way to test this is through measurements of jet quenching in small symmetric collision systems such as O+O [1172] where the system size is near that of central  $p$ +A collisions, but the geometry is more similar to Pb+Pb collisions. Measurements here might suggest that there is a minimum time/length scale needed for appreciable quenching to occur. Additionally, since quenching depends on the structure of the developing parton shower, the path-length dependence of jet quenching could depend on the structure of the parton shower. Identifying such a dependence requires a huge sample of jets to isolate both the geometry of the jet trajectory and the structure of the jet. Jets at RHIC and the LHC also evolve starting at very different virtuality scales that influence how they interact with the QGP. This is a key question for both RHIC and the LHC over the next several years.
- **What are the non-equilibrium processes governing the energy flow from the jet to the QGP over three orders of magnitude?** How does the energy lost by the jet become part of the QGP itself? Are there turbulent processes? How does the medium respond to the energy deposition from the jet? How does this process affect the formation of the observed final state hadrons? Is there an observable vorticity around the jet [1173]. Experimentally isolating the response of the medium from the quenched jet itself is experimentally challenging. Recently, it has been proposed that the particle species mix, the *hadrochemistry*, might be a key signature of the medium response [1174]. Jet fragmentation dominantly produces mesons over baryons. However, the enhanced baryon-meson ratio that is characteristic of coalescence in the soft-sector of the QGP, could be also seen in medium response.

Due to the need to measure both the jet structure and geometry dependence of jet quenching, huge samples of jets are needed at both RHIC and the LHC to answer the science questions outlined above. sPHENIX is specifically optimized to measure jets and will provide unbiased samples of jets over nearly the entire allowed kinematic range at RHIC, as shown in Fig. 33. Crucially, this will allow measurement of jets at the same  $p_T$  at both RHIC and the LHC. STAR and ALICE can also contribute to such comparisons, for example with semi-inclusive gamma+jet and  $h$ +jet measurements. Additionally, along with direct photon data from STAR, sPHENIX will provide a sample of direct photon data sufficient to tag photon-jet pairs for photons with more than  $p_T > 30$  GeV. At the LHC, the large luminosity Pb+Pb sample planned for Runs 3 and 4 will provide much more differential jet measurements than are currently available [1131,1133].

There is also interest in running smaller collision systems at both the LHC and RHIC. The LHC is currently planning on a short O+O run in 2024. Those data will be important for understanding the lack of evidence for jet quenching in  $p$ +A collisions. RHIC has run O+O collisions for STAR (though the results are not yet publicly available). The sPHENIX Collaboration would like to take data with both O+O and Ar+Ar collisions if the opportunity to run beyond the nominal sPHENIX run plan arises [1175].



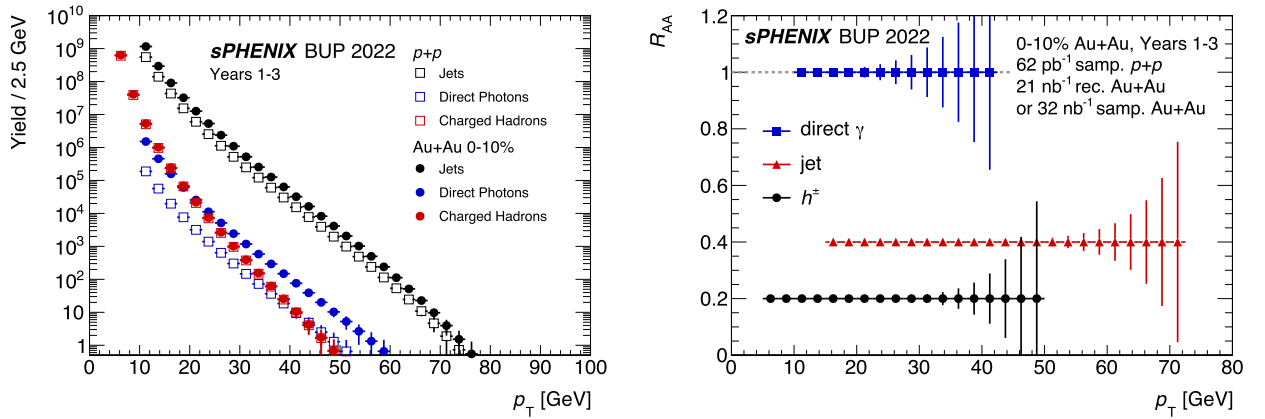


Fig. 33. Left: Counts of jets, hadrons and direct photons projected from sPHENIX operation in  $p+p$  and 0–10% central Au+Au collisions. Right: The nuclear modification factor  $R_{AA}$  as a function of  $p_T$  for 0–10% central Au+Au collisions expected from sPHENIX operation. The error bars show the statistical uncertainties only. Both plots are from Ref. [1175].

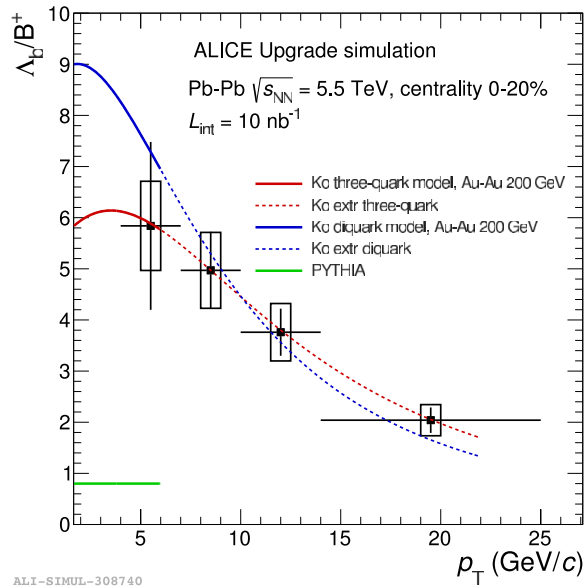


Fig. 34. Projection for LHC Run 3 and 4 for  $\Lambda_b/B^+$  (right) as a function of transverse momentum from ALICE. Fig. is from Ref. [1133].

**Heavy flavor** Progress in understanding heavy flavor hadronization requires: an improved space-time picture of coalescence and better constraints on the Wigner functions [1176]; a more precise determination of the heavy quark and gluon fragmentation functions [1177,1178]; and understanding of meson production, absorption and/or dissociation in matter. Here we discuss how the interplay of theoretical developments and new experimental measurements at RHIC and LHC can advance our understanding of heavy flavor. Higher statistics  $R_{AA}$ ,  $v_2$ , and  $v_3$  data will significantly reduce the uncertainties on the heavy quark transport coefficients and provide better insights into the initial production, hadronization and evolution of heavy flavor hadrons. New data expected in LHC Runs 3 and 4 should provide much more precise constraints than currently available [1133,1179,1180]. Fig. 34 shows the projections from ALICE for  $\Lambda_b/B^+$ , which has not yet been measured in heavy-ion collisions. sPHENIX also expects to make very precise measurements of the  $\Lambda_c/D^0$  in Au+Au collisions [1175]. In the further future, ALICE3 [1169], the LHCb Upgrade II [1181] and the CMS timing detector upgrade [1182] would provide even further improved precision for these observables.

Detector upgrades, together with improved luminosities at both RHIC and LHC, will enable measurements of unprecedented precision of various heavy flavor observables. One targeted measurement is precision  $R_{AA}$  and  $v_2$  for open bottom hadrons (or their decay daughters) and jets over a broad  $p_T$  region. At high  $p_T$ , combining charm, bottom and light flavor data would allow a systematic investigation of the relative contributions of collisional and radiative energy loss and the transition between them. At low  $p_T$ , the open bottom  $v_2$  (together with charm  $v_2$ ) will address the temperature dependence of the heavy-flavor diffusion coefficient in QCD matter at higher precision. Concurrently, the hadro-chemistry of heavy-flavor hadrons, including charm and bottom baryons, will provide a deeper understanding of the coalescence mechanism and may provide insight into color confinement.

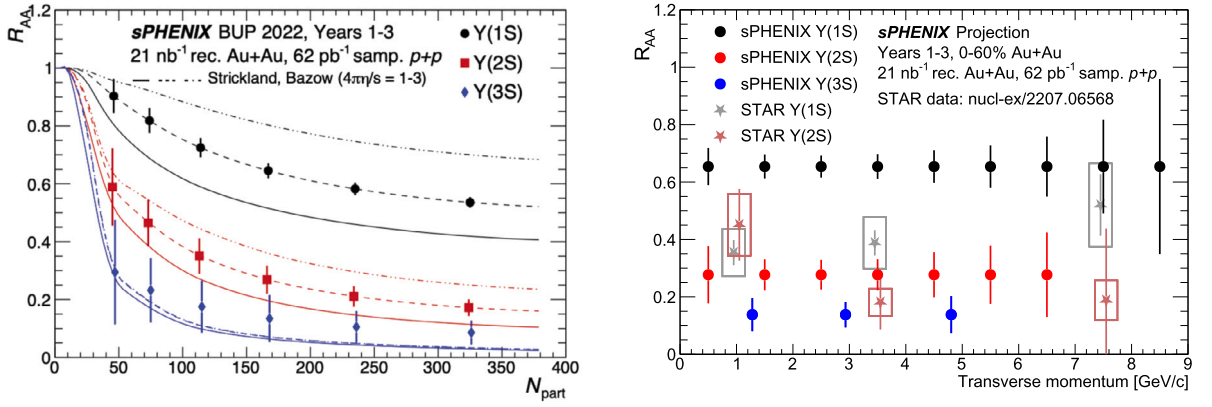


Fig. 35. (Left) Simulated precision of the centrality dependence of the Upsilon modification in Au+Au collisions. (Right) The  $p_T$  dependence of the Upsilon  $R_{AA}$  for the separated 1S, 2S and 3S states for 0-60% central collisions, compared with the STAR measurement for the 1S separated 2S states [288]. Both figures are from Ref. [1175].

Resummation of in-medium branching processes is necessary to improve predictions of heavy quark tagged jets and their substructure for sPHENIX. Machine learning techniques [951] can be implemented to analyze high- $p_T$  heavy flavor data from  $A + A$  collisions. The transition from the diffusive elastic to radiative energy loss regimes can be studied theoretically by combining lattice QCD-constrained interactions with effective theories of gluon emission. Comparison of heavy flavor observables can identify the relevant momentum scales. Finally, the commonalities between heavy flavor production in  $A + A$  and  $e + A$  collisions [1183,1184] should be explored.

**Quarkonia** The sPHENIX experiment is optimized to measure the nuclear modification of separated Upsilon states to high precision in Au+Au collisions. The design mass resolution of sPHENIX at  $10 \text{ GeV}/c^2$  is  $100 \text{ MeV}/c^2$ , sufficient to resolve the three states, and Fig. 35 shows the estimated sPHENIX performance for the Y measurement over the full three year program [1175]. The Y(3S) was recently observed in Pb+Pb collisions for the first time by CMS [1185] at the LHC. In these sPHENIX projections, the modification of the Y(3S) state was assumed to be the same as that observed by CMS; it will be interesting to see what the behavior of the Y(3S) is at RHIC. Also shown is the STAR Y(2S) measurement [288]. The sPHENIX Y measurements, combined with the LHC data, are expected to provide much stronger constraints on bottomonium suppression models in heavy ion collisions. Furthermore, the sPHENIX measurements will provide a unique opportunity to probe the frequency dependence of the chromoelectric field correlator describing quarkonium in-medium dynamics [836,1186].

**Exotic hadrons** Several key detector upgrades are also currently underway that will directly improve measurements of exotic hadrons in heavy ion collisions. The entire LHCb tracking system has been replaced with detectors of higher granularity, which will enable measurements in Pb+Pb collisions up to  $\sim 30\%$  centrality [1179]. An upgrade to the fixed target system at LHCb will enable high-statistics  $p + A$  data sets to be collected at lower center of mass energies where coalescence effects are expected to be small [1187]. The CMS experiment is pursuing the addition of particle ID detectors which will greatly aid in rejecting combinatorial background when reconstructing hadronic decays of exotic hadrons, allowing access to states at lower  $p_T$  than currently possible at CMS [1188]. In the farther future, for Run-5, LHCb will be further upgraded to remove all centrality limitations [1181], and the ALICE3 detector, with full particle ID and a fast DAQ, will be well suited to measurements of exotics in heavy ion collisions [1169].

**Intrinsic charm** The existence of intrinsic (non-perturbative) charm in the proton has long been postulated [1189–1191] to arise from configurations of the proton such as  $|uudc\bar{c}\rangle$  and manifest at large  $x$  when a proton in this state interacts [1189,1190,1192]. Experimental measurements [1193–1195] have provided tantalizing hints of intrinsic charm but no firm evidence. LHCb recently measured  $Z + \text{charm}$  jets at large  $Z$  rapidity and showed it to be consistent with a 1% intrinsic charm component [1196]. In addition,  $J/\psi$  distributions from intrinsic charm have been calculated and compared favorably to  $p + A$  data [1197–1199]. The NNPDF Collaboration found evidence for the existence of intrinsic charm to a  $3\sigma$  level [1200], while other global fits [1201–1204] find no such evidence. Several experiments, either currently taking data or planned, could help resolve the question of intrinsic charm in the next few years [1199] including the current System for Measuring Overlap with Gas (SMOG) fixed-target mode at LHCb [1205], at energies of  $\sqrt{s_{NN}} \leq 110 \text{ GeV}$ , and future fixed target programs such as NA60+, proposed for the CERN Super Proton Synchrotron (SPS) [1206]. Intrinsic charm could also be observed at the EIC, particularly in measurements in the proton-going direction. These empirical measurements may also shed light on the necessary theoretical developments to map formulations of nonperturbative charm at the level of the nucleon wave function to QCD factorization-based approaches.

### 3.2.4. Initial state and small- $x$

As details of the initial state become more and more relevant with the increasing experimental precision, all features of the incoming nuclei will have to be considered carefully. This includes nuclear deformation, short-range correlations, alpha-clustering, etc. Close collaboration with nuclear structure experts and research into connecting low and high energy collisions will be important. Furthermore, subnucleonic structure, as measured in electron-ion collisions and quantified with GPDs or even Wigner distributions

or generalized transverse momentum dependent parton distribution functions (GTMDs) will play an increasingly important role in the description of  $p + p$  and heavy ion collisions. Important measurements will be for example diffractive dijet and vector meson production in UPCs and at the future EIC, which will also require further theory progress, including on fundamental questions concerning the definition of coherent processes [1207].

All this information can provide input for a variety of initial state models, of which two major types can be distinguished. On the one hand there will be those models appropriate for low energy collisions, which ideally include some dynamics and are interweaved with the early time evolution. On the other hand, there are more *ab-initio* models valid in the high energy limit, which should be systematically improved, for example by including quark degrees of freedom and non-conformality, as well as a fully three dimensional spatial distribution. Connecting either model to hydrodynamic simulations might demand an intermediate stage of pre-equilibrium evolution, as further discussed in Sec. 3.1.3. Besides the fluctuating spatial distribution of the energy momentum tensor and the conserved charges, computing some observables, such as those sensitive to the chiral magnetic effect, also requires models for the initial electromagnetic fields, which require refinement.

**The case for varying collision systems** A way to disentangle initial state from final state properties is to study a wide range of collision systems. The nuclear structure and produced initial condition vary in a non-monotonic fashion with  $N$  and  $Z$ , whereas the hydrodynamic response varies smoothly and slowly with the mass number,  $N + Z$ . Hence, isobar or isobar-like systems with nearly identical hydrodynamic response but large structure differences can be used to separate initial from final state properties and constrain initial state models [301].

Models can be constrained using collisions of nuclei with well known properties, such as the doubly-magic  $^{208}\text{Pb}$  or  $^{132}\text{Sn}$ . Then, predictions can be made for other species and consistency with low-energy nuclear structure knowledge checked. Medium to small systems can expose the role of sub-nucleon fluctuations, initial momentum anisotropy, and the hydrodynamization process. In particular the exploration of isobar or isobar-like collisions in the region from  $^{12}\text{C}$  to  $^{48}\text{Ca}$  with different structures, which are nowadays accessible to cutting-edge *ab initio* calculations, will improve our understanding of the emergence of collectivity. Exploiting isobar ratios for bulk observables as a function of rapidity and  $\sqrt{s_{NN}}$  may further provide access to the  $x$ -dependence of nPDFs and gluon saturation, complementing the science goals of the EIC.

The initial conditions for hard probes are typically modeled by convoluting information from the Glauber model with the nPDF, which contributes to a large uncertainty in the relevant transport properties [108,1208,1209]. By constructing ratios (between collision systems) of selected high- $p_T$  observables at a fixed centrality, jet quenching effects are expected to cancel and deviation of ratios from unity provide access to flavor-dependent nPDFs [1210–1212]. Such measurements would require high-luminosity runs in both collision systems. Projections of the feasibility of these measurements have not yet been carried out.

**The role of ultraperipheral collisions** UPCs connect heavy-ion collisions to both cold QCD physics and the EIC. In terms of vector meson (VM) and jet photoproduction, other observables, e.g., single jet or high- $p_T$  particle production, association of forward neutron production from QED, and light (e.g.,  $\phi$ ) and heavy (e.g.,  $\Upsilon$ ) VM threshold production, are of great interest. *Species dependence*, approximately the same as the dipole size dependence and scale dependence, can provide unique insights into the nuclear modification mechanism of parton densities. *Energy dependence*, enabled by experiments at both RHIC and the LHC, e.g., the STAR forward detector and the ALICE's FoCal [1213], will provide widest kinematic phase space coverage, which will be complementary to that at the EIC. *New observables*, e.g., combining VMs and jets together in both protons and heavy nuclei, could provide one of the most rigorous experimental tests to nuclear shadowing and gluon saturation models. This is similar to one of the “day-one” measurements at the EIC. Looking further ahead, by the mid/late 2030s, the proposed ALICE 3 detector [1169] will have acceptance for both charged and neutral particles, over a very wide solid angle, with coverage expected for pseudorapidity. ATLAS and CMS will also cover  $|\eta| < 4$  by Run 4. This will offer a very large increase in acceptance for more complex UPC final states.

Furthermore, significant progress has been made in the physics of photon interactions. Experimental measurements and theoretical descriptions have been progressing from the initial observations toward quantitative and precise comparisons. For example, polarized photons have been used and proposed as a tool to test and define the photon Wigner function [1214–1218], to probe the properties of the QGP [58,126,1219–1222], to measure nuclear charge and mass radii [330,1223,1224], to study gluon structure inside nuclei [340,1225,1226] and to investigate new quantum effects [330,1225,1227–1230].

In addition, not only exclusive observables will be measured in the future, recent studies have also indicated important physics implication of inclusive particle photoproduction. The ATLAS measurement of second-order Fourier harmonics of charged particles in  $\gamma + \text{Pb}$  has provided an important experimental input to the origin of collectivity in heavy-ion collisions, a long-standing question to be solved in the next decade. Also, searching for the baryon junction, a fundamental nonperturbative structure connected to color confinement in QCD, has been extensively studied in hadronic collision. Recently, a new idea of searching for baryon junctions has been proposed in  $\gamma + \text{Au}$  UPC events [1231]. The physics of baryon stopping is also intimately related to backward photoproduction of mesons [1232], accessible at the EIC [1233].

### 3.2.5. Small size limit of the QGP

There is much work to be done in understanding the small size limit of the QGP. Past measurements have focused on  $p + p$  and  $p + \text{A}$  collisions, particularly at the LHC. The large acceptance and high rate of sPHENIX will allow for more detailed measurements in  $p + \text{Au}$  collisions at RHIC including multi-particle cumulants, open heavy flavor mesons and measurements of charged particle and jet  $v_n$  at high transverse momentum. Additionally, there is great interest in collecting data with systems which are of similar size to  $p + \text{A}$  collisions but which are symmetric, such as O+O [1172,1234] (see Sect 3.2.1 and Sec. 3.2.3) in order to smoothly map the evolution of small systems to larger ones. The d+Au and O+O data taken by STAR and future p+Au data during the sPHENIX running will

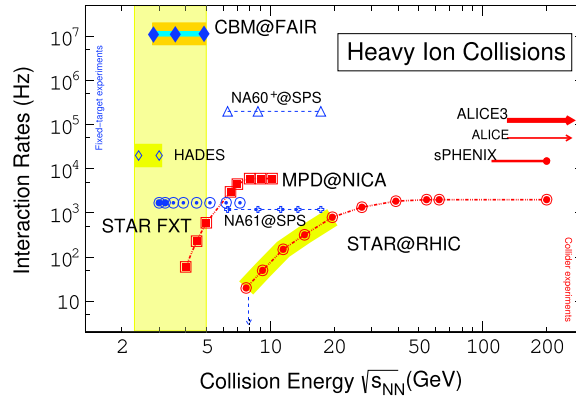


Fig. 36. Collision rates as a function of  $\sqrt{s_{NN}}$  for collider experiments in red, and fixed-target (FXT) experiments in blue. Comparing to the collider experiments, more than four orders of magnitude improvement in collision rates can be achieved with the future CBM experiment at FAIR [1243,1244].

enable such mapping, and in particular pin down the role of longitudinal decorrelations and subnucleonic fluctuations. For all small systems, theoretical modeling has to be improved, in particular the initial states and earliest stages of the collision, which are far from equilibrium.

The observation of evidence for collective flow in  $\gamma+A$  collisions (see Sec. 2.1.5) has challenged theoretical modeling of these systems. Recent work [1235] suggests that full (3+1)D hydrodynamical modeling is necessary to characterize  $\gamma+A$  collisions. More theoretical modeling and measurements are needed to see to what extent this conclusion applies to other asymmetric collision systems. These can even be applied to high multiplicity events in future electron-ion collisions at the EIC. Additionally, there is much work to be done to determine if there are other observables, besides the  $v_n$  of charged particles, to further characterize the nature of these collisions. The next generation of theoretical models needs to include nucleon configurations from *ab initio* nuclear structure physics. Furthermore, integrating with high energy small- $x$  evolution would enable theoretical models to systematically include sub-nucleonic fluctuations and how they evolve with collision energy.

A major outstanding question in small collision systems is the absence of a conclusive observation of jet quenching in any  $p+A$  or  $p+p$  measurement. Experimentally, more precise measurements in  $p+A$  collisions are necessary to see a potentially small signal. In order to estimate the size of any jet quenching expectations, light ion collisions are essential. This would provide a clean way to bridge between  $p+A$  and  $A+A$  collisions because measurements of jet quenching in peripheral Pb+Pb and Au+Au collisions suffer from large uncertainties. Finally, realistic theoretical modeling of jet quenching expectations in  $p+A$  collisions is important to further constrain the size of any potential effect. All of these pieces are necessary to develop a coherent understanding of the how the QGP works in the small size limit. Additionally, the modification of heavy flavor production is important for jet quenching in small systems [1236–1238] because the system size changes the relative significance of radiative and collisional energy loss.

### 3.2.6. Mapping the QCD phase diagram

The goals of the RHIC BES program are to (i) study the QCD phase structure with high-energy nuclear collisions (The BES program covers the widest range in terms of baryon chemical potential, 20 - 780 MeV), and (ii) search for the phase boundary and possible QCD critical point. The nuclear matter EOS in the high  $\mu_B$  region requires detailed investigations. Baryonic interactions including nucleon-nucleon, hyperon-nucleon and hyperon-hyperon interactions are fundamental ingredients to understand QCD and the EOS that governs the properties of nuclear matter and astrophysical objects such as neutron stars [1239]. Precise measurements for a range of observables and collision energies are necessary to understand this physics [1240].

The NA61/SHINE experiment is an ongoing experiment at the CERN SPS, studying the properties of the production of hadrons in collisions of beam particles (pions, and protons, beryllium, argon and xenon) with a variety of fixed nuclear targets. The current program will continue until the end of 2024 and a program beyond that is under discussion. The NA60<sup>+</sup> experiment [1167] is planned as an upgrade to NA60 at the CERN SPS to study dilepton and heavy-quark production in nucleus-nucleus and proton-nucleus collisions with center of mass energies of 6–17.3 GeV. NA60<sup>+</sup> is currently expected to start taking data around 2029.

The CBM experiment at FAIR [1168], will have a uniquely large interaction rate, see Fig. 36. It will determine the EOS (check to be sure that EOS or EoS is used consistently throughout) of QCD matter in the range  $\sqrt{s_{NN}} = 2.9\text{--}4.9$  GeV. FAIR is one of the top-priority facilities for nuclear physics in Europe, according to NuPECC [1241,1242]. The CBM physics program includes net-proton fluctuations, dileptons, multi-strange hyperons and hypernuclei, polarization and spin alignment. These measurements will probe the first-order phase boundary, the QCD critical point, and hypernuclear interactions pertinent also to the inner structure of compact stars. The physics program is currently planned to start later this decade.

To maintain US leadership in the exploration of the QCD phase diagram at high baryon density after the completion of the RHIC BES-II program, opportunities for targeted US participation in international facilities are important to explore. A top priority is to complete the RHIC BES-II data analysis, which will help assess which international experiments present the highest physics potential. One area of interest is the CBM Experiment at FAIR [1145].

### 3.2.7. Chirality and vorticity in QCD

**Chiral magnetic effect** The precision measurements from the RHIC isobar collisions largely constrain the observability of the CME in heavy ion collisions and narrow down future CME searches [1245]. A number of possible directions have been identified for the CME in the post-isobar era [1246]. One possible direction is to study lower energy collisions in which the prerequisites of the CME phenomenon are expected to be different due to the enhancement of topological fluctuations [1247] (also see [1248] for other effects). The recent measurement from the STAR collaboration using the event plane detectors capable of measuring the spectator proton-rich plane has put constraints on the observability of CME in  $\sqrt{s_{NN}} = 27$  GeV Au+Au collisions [1249]. This work paves the way to future CME searches with the high statistics data from the RHIC BES-II using novel techniques such as event-shape engineering [1250]. Another avenue is to revisit the analysis of Au+Au collisions  $\sqrt{s_{NN}} = 200$  GeV in which signal/background ratio is expected to be larger than that of isobar collisions [1251]. Estimates from the STAR collaboration indicate that a  $5\sigma$  significance on the possible CME signal fraction can be achieved if 20 billion Au+Au events are collected during the remaining RHIC running [1246]. Besides the CME search, a number of other measurements related to chiral effects will be investigated by the STAR collaboration in the coming years [1252,1253].

**Vorticity** There remain several additional open questions on vorticity in heavy-ion collisions. One is the distribution of vorticity in rapidity. Most models that reproduce the falling energy dependence of global polarization at midrapidity predict a rising polarization at forward rapidity, as the fluid vorticity migrates towards the spectator region [1254–1259]; others [1260–1262] predict *smaller* polarization at forward rapidity. So far, the data show a rapidity-independent polarization, though the rapidity coverage is quite limited. Measurements of global polarization at forward rapidity may discriminate between different physics scenarios that produce similar results at midrapidity.

There are new vorticity signatures yet to be explored experimentally. At forward rapidity, hydrodynamic and transport simulations at all energies predict [1256,1263–1265] a circular vorticity pattern superimposed on the global vorticity, due to the interplay between transverse and longitudinal gradients in temperature and flow. The transfer of energy and momentum from a jet to the surrounding medium should produce a toroidal vorticity structure centered about the jet direction [1173,1266,1267], which may generate percent-level polarizations observable through  $\Lambda$ -jet correlations sensitive to the quenching and fluid viscosity [1173]. Spin alignment of vector mesons is another promising observable sensitive to the large angular momentum of the system and indicative of quark polarization, and can provide new insight into the nature of the vector meson fields [1268,1269]. Additionally, it has been suggested [452,1270] that in  $p$ +A collisions, a toroidal “vortex tube” may be created at midrapidity, generating something akin to smoke rings centered on the beam direction. This measurement has been proposed by the STAR Collaboration [1271].

### 3.2.8. Future facilities for hot QCD

**Hot QCD at RHIC** The RHIC facility began operation in 2000 and over the past two decades has collided nuclei from protons to uranium at a wide range of collision energies spanning two orders of magnitude. The remaining data to be taken at RHIC to complete its science mission is the sPHENIX program [1175]. This program has three essential components: successfully commissioning the sPHENIX detector (the first new collider detector to be commissioned in over a decade); collecting high luminosity  $p + p$  and  $p$ +Au data for nucleon structure studies and as a heavy ion reference system; and taking high luminosity Au+Au data. The total recorded Au+Au data is expected to be at least  $21 \text{ nb}^{-1}$ . The  $p$ + $p$  luminosity requirement is  $62 \text{ pb}^{-1}$  and is driven by the need to have adequate statistics to use as an Au+Au reference measurement. These numbers are used in all the projections in this document. This data is anticipated to be collected in three years of RHIC running.

Given the versatility of RHIC and the variety of science questions it can address, the RHIC science potential is in no way exhausted. While the sPHENIX science program is the “highest priority for the current RHIC program” [1272], it is clear there are additional high priority scientific opportunities available at RHIC. While the currently scheduled RHIC program consists of  $p$ + $p$ ,  $p$ +Au, and Au+Au collisions, there are other unique opportunities that may become available including: running O+O collisions to understand the system size dependence of QGP properties, additional  $p$ +A running for vorticity measurements, nuclei scans to measure the initial state, and many others. Following the completion of the RHIC science program, the RHIC infrastructure is scheduled to become the basis of the EIC.

**LHC** Participation in the heavy-ion program at the LHC is a key component of the US heavy-ion program. All four LHC detectors have significant heavy-ion programs and the LHC heavy-ion program is already planned to go through the end of Run 4 (currently expected in 2032). LHC Runs 3 and 4 over the next decade are expected to provide more than approximately  $10 \text{ nb}^{-1}$  of Pb+Pb data [1133]. This is approximately five times the maximum luminosity delivered to the experiments to date. Additionally,  $p$ + $p$  running at the Pb+Pb center of mass energy is an essential reference, and  $p$ +Pb runs are also planned.

In addition, a short run of O+O and  $p$ +O collisions is planned for 2024. O+O collisions would provide key new data on both soft and hard probes in a system with approximately the same number of participants as  $p$ +Pb collisions but which is, in contrast, symmetric, bridging the gap between peripheral Pb+Pb collisions and  $p$ +Pb collisions. There is significant interest in this from the community [1172]. It is possible that a successful O+O run would motivate further LHC running with light ions. In addition to the desire to study system size dependence, the total nucleon-nucleon luminosity can be increased in heavy-ion runs by switching from large nuclei (such as lead) to small nuclei (such as oxygen or argon) [1133].

All experiments have significantly upgraded their detectors since the LHC turn on. With the start of LHC Run 3 in 2022, ALICE began taking data with the “ALICE2” upgrades [1273] which upgraded the data-taking rate, allowing readout at 50 kHz, and a new Inner Tracking System (ITS) which will improve the resolution on the distance of closest approach to the primary vertex by a factor of three. Together, these upgrades will dramatically improve the physics reach of the ALICE detector, especially for rare

probes involving heavy-flavor and other identified particles. Also prior to Run 3, the LHCb collaboration has completed the first of a series of detector upgrades, Upgrade 1 [1274]. The entire LHCb tracking system was replaced with higher-granularity detectors, which can reconstruct PbPb collisions up to 30% centrality (previously the limit was 60%). All hardware triggers were removed in favor of an advanced streaming readout system that will sample the full luminosity delivered by the LHC [1275]. In addition, a dedicated storage cell was installed for the gaseous fixed-target which will greatly increase the rate of beam+gas collisions [1187]. This upgraded SMOG2 system is expected to operate concurrently with the collider for all beam species, providing large fixed-target data samples with multiple beam and target species at center of mass energies near 100 GeV.

For Run 4, both ATLAS and CMS are planning major upgrades with direct benefit to the heavy-ion physics program. Both ATLAS and CMS will have upgraded trackers which can measure charged particles in  $|\eta| < 4$ , compared to  $|\eta| < 2.5$  with the current detectors. This increased acceptance will, among other things, allow for jet structure and substructure measurements over a wider rapidity range. At fixed  $p_T$ , there is a higher probability for quark jets than gluon jets at forward rapidity, providing a new means of understanding how parton showers develop in the QGP. Additionally, CMS is planning a new timing detector [1182] which can make additional measurements with identified hadrons. Prior to Run 4 LHCb will implement Upgrade 1b, which will include new tracking detectors placed inside the LHCb dipole magnet and a new silicon detector near the beampipe. The magnet station trackers will allow tracks from soft particles which terminate in the magnet walls to be reconstructed, giving new access to very low  $p_T$  open heavy flavor and exotic states. The new silicon detector will provide additional tracking points that will further increase the centrality range accessible by LHCb. On the same timescale, ALICE is planning to install a forward calorimeter upgrade, the FOCAL [1169,1276].

**LHC upgrades for Run 5 and beyond** For LHC Run 5 (currently planned for 2035-38), ALICE is planning an entirely new detector, “ALICE3” to further improve the most difficult measurements in heavy-ion collisions [1169]. In the current LHC projections, over Runs 5 and 6, ALICE3 would take 20 times more data than ALICE in Runs 3 and 4 [1277]. This extremely large data sample would include very detailed identified particle measurements, making qualitative improvements on answers to the questions outlined in the previous section. Additionally, the very low mass silicon tracking is expected to make precise dilepton measurements, impossible with any of the current LHC detectors. On the same timescale LHCb is planning Upgrade II [1181,1278]. This upgrade would allow LHCb to make measurements over the full centrality range of heavy-ion collisions for the first time, providing very precise forward measurements, including access to quarkonia and open heavy flavors at very low  $p_T$  and forward rapidity in  $p$ +A and A+A collisions.

The physics case for all of these upgrades will continue to develop with the new measurements coming out of the LHC and RHIC. In order to meet the Run 5 timeline, funding for R&D for these projects should begin soon.

It is possible to increase the nucleon-nucleon luminosity by running with smaller collision systems. This is offset by the expectation that QGP effects will be largest in the largest collision systems. Experience with smaller collision systems in the near future will inform whether there is a more optimal collision system to run at the LHC than Pb+Pb [1169].

### 3.3. Cold QCD in the next decade

As outlined and described in Sec. 2.2, the hadron physics community has made tremendous progress in answering the fundamental questions concerning the building blocks of our universe, such as the mass and spin origins of the nucleon, the tomographic imaging of partons inside the hadrons, and nucleon many body interactions encoded in partonic structures in the nucleus. The progress made in these directions since 2015 has demonstrated the powerful reach of hadron physics facilities to unveil the underlying QCD dynamics and the associated non-perturbative structure of nucleons and nuclei. We will continue to deepen our understanding of these questions, focusing on the following aspects:

- Nucleon properties including the proton charge radius and (generalized) polarizabilities of the nucleon;
- Precision measurements of the polarized and unpolarized quark distributions in the large- $x$  region, in particular when  $x \rightarrow 1$ ;
- Unprecedented mapping of the 3D tomography of quark distributions inside nucleons;
- Unveiling the spin and mass origins of the nucleon, especially for the quark orbital angular momentum contribution to the proton spin and the trace anomaly contribution to the proton mass;
- Nucleon-nucleon short range correlations in nuclei and the nuclear modification of the parton distributions in the valence region;
- Precision meson and baryon spectroscopy to unravel the spectrum and structure of conventional and exotic hadrons;
- Parity-violation measurements and connections to other fields.

As this document is written, RHIC will be transitioning to EIC construction within the next 5 years, while CEBAF will continue to operate with fully scheduled programs for at least another decade. We describe below cold QCD research expected from both facilities.

#### 3.3.1. Cold QCD with CEBAF and the SoLID physics program

CEBAF was originally designed to conduct coincidence experiments, but its physics program as well as experimental halls have evolved to meet the ever-changing development and needs of hadronic physics studies over the years. Most notably, CEBAF was successfully upgraded to double its energy to 12 GeV since 2015. The higher beam energy, along with the addition of experimental Hall D and upgrades of detectors in other halls, allowed our studies of hadronic physics to expand into new kinematic regions and to search for and study exotic hybrid mesons. Moving forward, CEBAF will remain in high demand as a QCD facility because of its high luminosity and the mid-scale, high intensity SoLID program, see Fig. 37.

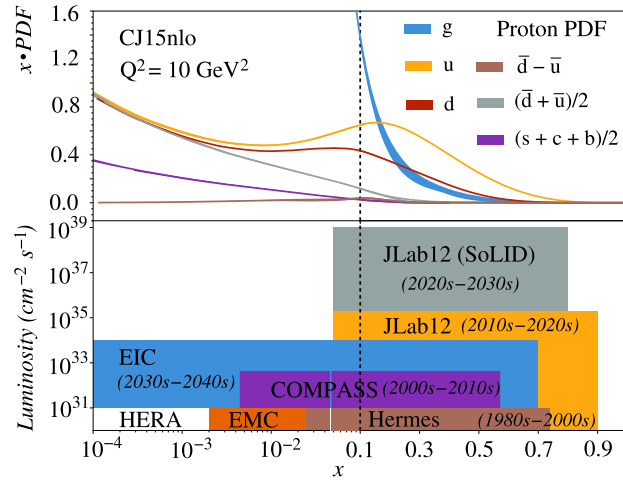


Fig. 37. Landscape of the cold QCD program at the DIS facilities. SoLID expands the luminosity frontier in the large  $x$  region whereas the EIC does the same for low  $x$ . Together, JLab+SoLID and the EIC will, over the next several decades, cover a broad and largely complementary kinematic range, with SoLID probing key physics and providing precision data primarily in the high- $x$  region. Figure from [1279,1280].

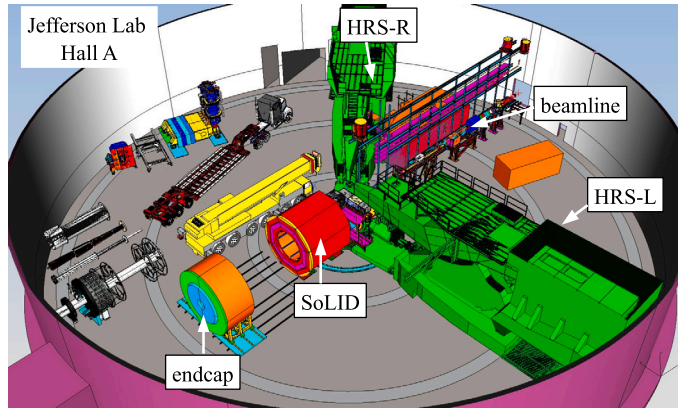


Fig. 38. Schematic layout of SoLID in Hall A of JLab, with the endcap pulled downstream to allow detector installation and reconfiguration. The two high resolution spectrometers (HRS-L and HRS-R, not in use) are parked at backward angles.

Meanwhile, smaller-scale spectrometers and specialized detectors continue to be built, such as the Super BigBite Spectrometer (SBS), Neutral Particle Spectrometer (NPS), Low Energy Recoil Tracker (ALERT), to name a few. The remainder of this section presents an overview of upcoming programs at CEBAF. Some of the topics presented could persist into the EIC era.

**The SoLID physics program** With the study of nucleon structure evolving from single- to multi-dimensional measurements that utilize exclusive processes, the quest for understanding the origin of the proton mass based on studies of near-threshold meson production, frontier cold QCD research requires, first and foremost, higher statistics. Similarly, Parity Violating Electron Scattering (PVES) that requires increasing statistical precision to test the Standard Model at low- to medium-energies. Such emerging needs from both QCD and fundamental symmetries call for a truly large acceptance, high-intensity device, to fully capitalize on the high-luminosity beam of CEBAF. The Solenoidal Large Intensity Device (SoLID), planned for JLab as an integral part of the CEBAF 12 GeV program, was designed to meet such needs. SoLID will utilize the CLEO-II 1.4-T solenoid magnet and a large-acceptance detector system covering  $2\pi$  in azimuth and will be able to operate at luminosities up to  $10^{39} \text{ cm}^{-2} \text{ s}^{-1}$ . The realization of SoLID in JLab Hall A is shown in Fig. 38.

As a multi-purpose device, SoLID currently has seven experimental proposals and several run-group proposals approved by the JLab Program Advisory Committee. Three SIDIS experiments, with transversely and longitudinally polarized  $^3\text{He}$  and transversely polarized protons, will precisely extract TMDs in the valance quark region and determine the  $u$  and  $d$ -quark tensor charge, see Fig. 39 left. An experiment studying electro- and photo-production of  $J/\psi$  near threshold probes the gluonic field and its contribution to the proton mass, see Section 3.3.3. A parity-violating DIS experiment will determine the effective electron-quark couplings of the Standard Model, pushing the limits in phase space to search for new physics (Section 5.4.2), and will provide the PDF ratio  $d/u$  at high  $x$ , see Fig. 39 right. The two most recently approved experiments include a measurement of TPE with beam SSA in DIS, and a PVES measurement to study isospin dependence of the EMC effect. The run-group experiments include SIDIS with kaon and

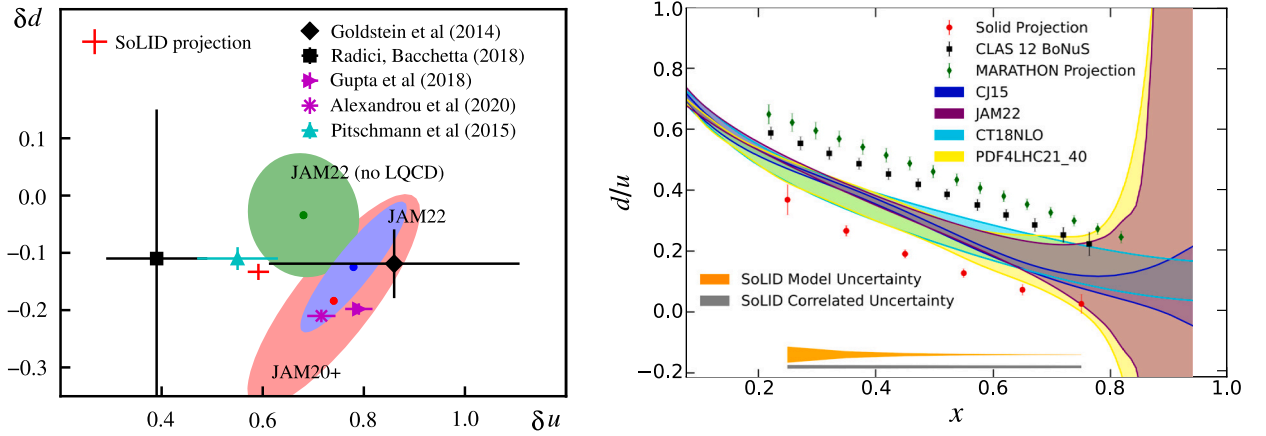


Fig. 39. Projected impact of the SoLID program on: (left) the  $d$  vs.  $u$  tensor charge from SIDIS measurements; and (right) the PDF ratio  $d/du$  from PVDIS proton measurement. See [1280] for details.

di-hadron production, transverse inclusive spin structure functions, and exploration of GPDs with deep-exclusive reactions to study the 3D structure of the nucleon in coordinate space.

### 3.3.2. Properties of the nucleon

**PRad-II** The PRad experiment (see Section 2.2.1), using innovative methods, provided data on the proton charge radius with high precision, but is in direct conflict with all modern electron scattering experiments. The newly approved PRad-II experiment will address this discrepancy with a projected total uncertainty of 0.43%, a factor of 3.8 smaller than that of the PRad result, and better than the most precise result from ordinary hydrogen spectroscopy measurements [1281]. This level of precision has the potential to inform whether there is any difference between  $e + p$  scattering and muonic hydrogen results, as well as to evaluate the consistency of systematic uncertainties of muonic hydrogen measurements.

**Future polarizability and generalized polarizability measurements** In the next seven years, the complementarity of the MAMI and HIGS facilities will be leveraged to access a wide variety of energies and observables for the nucleon polarizability measurements [472], with strong collaboration between experimental and theoretical efforts, see, e.g., [1282]. Exploring a variety of few-nucleon targets is essential for high-accuracy extractions of the neutron polarizabilities and validation of the subtraction of nuclear binding effects. The first values of the neutron spin polarizabilities and improved determinations for the proton will provide insights into the low-energy spin structure of the nucleon, enhancing our understanding of the mechanisms that generate them and complementing the nucleon-structure experiments at JLab, RHIC, and the EIC.

Plans for future measurements of the proton generalized polarizabilities at JLab are currently underway. One major goal is to determine the shape of  $\alpha_E(Q^2)$  to high precision. This will serve as valuable theoretical input for determining the mechanism responsible for the effect. Another goal is to accurately describe  $\beta_M(Q^2)$  at low  $Q^2$  which is currently not well understood due to large uncertainties on the existing data, in particularly at  $Q^2 = 0$  where recent results are in conflict [480,481]. A positron beam, proposed to be developed at JLab, can provide an independent cross-check in a different reaction channel [1283], particularly in light of the recently reported puzzling results for the proton  $\alpha_E(Q^2)$  [490].

**Two photon exchange measurements** As mentioned in Section 2.2.1, a full understanding of TPE in  $e + p$  elastic scattering is critical for correctly interpreting proton form factor measurements. The luminosity and quality of a positron beam would provide dramatically improved direct measurements of TPE in elastic scattering, in particular in the large-angle region where TPE is most important. In addition, several new TPE observables can be measured for the first time. As an example, the asymmetry of electron scattering when only the beam or only the hadron (target) spin is polarized normal to the scattering plane is related to the imaginary part of the TPE amplitude. Such SSAs have been measured in PVES experiments [1284–1289] with a polarized beam for elastic scattering. While SSAs on lighter nuclei have confirmed theoretical predictions, the SSA on lead is unexplainably small. Similar SSAs were measured at HERMES and JLab Hall A with polarized targets [1290–1292]. Each of these observables provide independent constraints on the TPE amplitude, and are valuable for making theoretical progress on the problem of so-called box diagrams which include TPE as well as the  $\gamma$ Z-box correction relevant to PVES, and the  $\gamma$ W-box contributing to  $\beta$ -decay. An experiment was recently approved to access TPE by measuring transversely-polarized beam SSA in DIS using SoLID, adding a new observable to the TPE study.

**Quark distributions and polarizations at  $x \rightarrow 1$**  As part of a complete three-dimensional mapping of the parton (quark and gluon) distributions in the nucleon, the longitudinal momentum and spin carried by valence quarks at very high Bjorken- $x$  is still of great theoretical and experimental interest. At the same time, quark distributions at large  $x$  are also needed as input for cross section calculations at colliders such as the LHC or the Tevatron (see for example the recent results on the  $W$  mass [1293]). The current and future JLab program studies the large  $x$  quark distributions and polarizations in three different experiments. The first experiment,



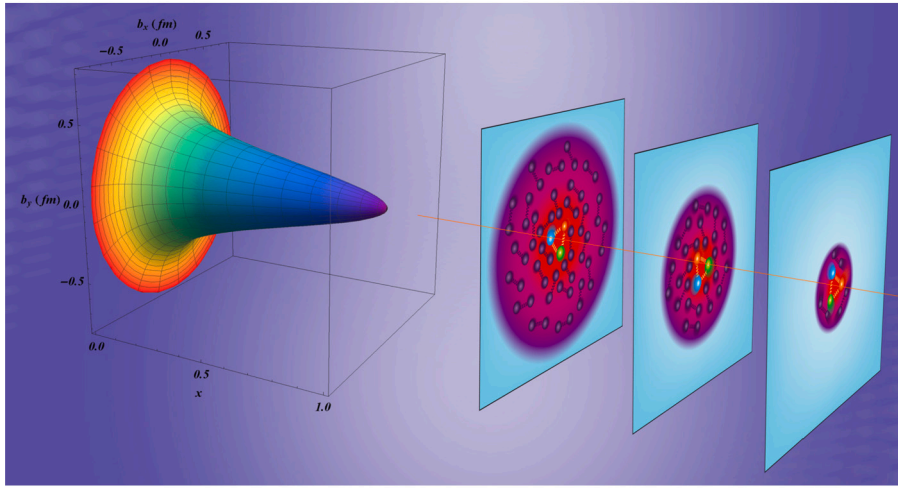


Fig. 40. Left: 3-dimensional representation of the  $x$ -dependence of the proton transverse charge radius. Right: artistic illustration of the corresponding rising quark density and transverse extent as a function of  $x$ .

MARATHON, was highlighted in Section 2.2.2 and provided precision data on  $F_2^n/F_2^p$ . Data have been collected by the second such experiment, BONuS12 [521], and results are expected soon. The PVDIS proton program of SoLID will provide  $d/u$  at high  $x$  without the use of nuclear models, as shown in the right panel of Fig. 39. Additionally, data have been collected on double-polarization asymmetries of both the proton and  $^3\text{He}$  and results on the down quark polarization  $\Delta d/d$  are expected to be available soon.

### 3.3.3. Nucleon femtography

As described in previous sections, the study of the nucleon structure is evolving from 1D structure functions connected to collinear PDFs to also include multi-dimensional tomography in terms of parton GPDs and TMDs. The ultimate goal is to experimentally determine the quantum mechanical Wigner distribution [1294] in phase space. Semi-inclusive measurements, including spin polarization observables, were provided by the pioneering measurements at HERMES, COMPASS, and the JLab 6 GeV program, among others. Results on GPDs and TMDs are now published over limited ranges of the relevant kinematic variables. The upgraded detectors and CEBAF beam energy and intensity, as well as the potential for polarized positron beams, promise to provide a more detailed three-dimensional spatial mapping of the nucleon. Indeed, this is a major thrust of the JLab 12 GeV facility. Mapping the (2+1)D mixed spatial-momentum images of the nucleon in terms of GPDs has been one of the important goals. On the other hand, 3D images in pure momentum space can be made with other generalized distributions: the TMDs. These femto-scale images (or femtography) will provide, among other insights, an intuitive understanding of how the fundamental properties of the nucleon, such as its mass and spin, arise from the underlying quark and gluon degrees of freedom.

**3D tomography from GPD measurements** From the analysis of the DVCS data from HERA and HERMES at DESY, as well as the results of new dedicated experiments at JLab, and at COMPASS at CERN, the experimental constraints on the CFFs and the associated GPDs have been obtained from global extraction fits [865,1295]. These data have also been used to generate some of the first 3D images of the proton [547], shown in Fig. 40. However, data covering a sufficiently large kinematic range, and the many different polarization observables, have not been systematically available. Moreover, meson production at JLab 6 GeV has not yet shown parton dominance in scattering. The 12 GeV program at JLab will provide comprehensive information on these hard diffractive processes, entering the precision era for GPD studies. Extracting a complete set of CFFs independently in fixed kinematics requires a complete set of experiments. In addition, one needs to explore processes that will give both  $x$  and skewness parameter  $\xi$  information, such as Double DVCS (DDVCS) or similar processes.

The extensive GPD program from the JLab experiments will provide unprecedented kinematic coverage and precision. Among the approved experiments, Hall A proposed a precision measurement of the helicity dependent and helicity independent *cross sections* for the  $ep \rightarrow e\gamma p$  reaction in DVCS kinematics. This is a follow up to the successful Hall A DVCS run at 5.75 GeV [1296]. There are two important DVCS experiments in Hall B using CLAS12 at 11 GeV and at lower energies of 6.6 and 8.8 GeV. These measurements cover a large kinematic range, allowing a more comprehensive study of GPDs. In addition, to perform the flavor separation of GPDs, the proposed experiment in Hall B will measure the beam spin asymmetry for incoherent DVCS scattering on the deuteron, detecting the recoil neutron. Similar measurements will be made with spectator proton detection in the BONUS and ALERT experiments. An experiment has been proposed to measure the target single spin asymmetry on transversely polarized protons. The asymmetry has particular sensitivity to the GPD  $E(x, \xi)$  which is related to the spin flip nucleon matrix element and hence carries important information on the up and down quark OAM. For nuclear targets, the ALERT detector in tandem with CLAS12 will measure DVCS and deeply virtual meson production (DVMP) off deuterium and  $^4\text{He}$  targets to explore nuclear effects on bound nucleon GPDs.

Two other Compton-like processes, TCS and DDVCS, as well as hard exclusive meson production, are accessible with JLab 12 GeV and have much to offer. As described in Sec. 2.2, the preliminary result on the TCS has demonstrated a unique perspective to

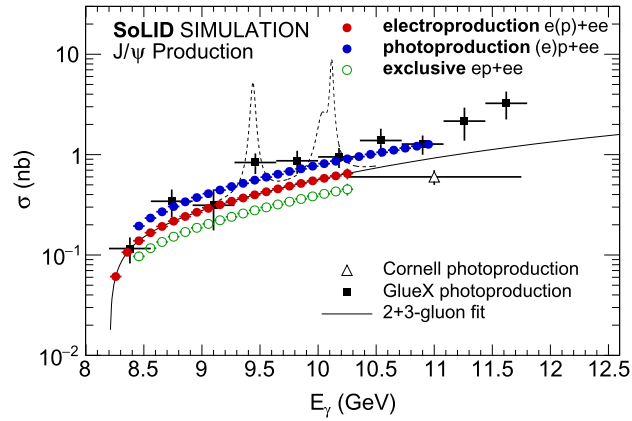


Fig. 41. Projected 1D  $J/\psi$  cross sections as a function of photon energy  $E_\gamma$ , compared with the available world data. The blue disks show the photoproduction results, while the red disks show the electroproduction results, and the green circles show the results for exclusive electroproduction measurement. Each of the measurements in this figure has a corresponding high-precision measurement of the  $t$ -dependent differential cross section. Figure from [1280].

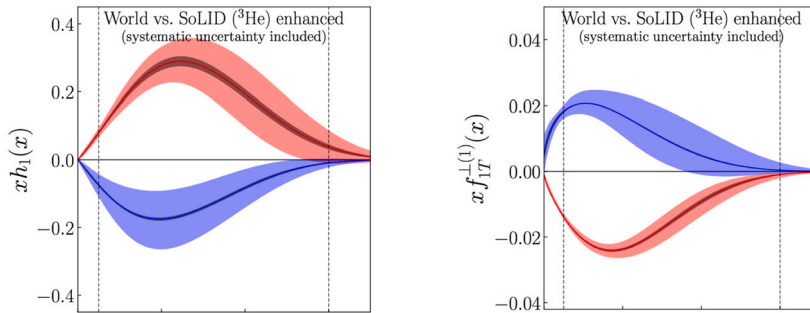


Fig. 42. The impact of the SoLID SIDIS program on the  $u$  and  $d$  quark transversity (left) and Siverson distribution (right). The wide uncertainty bands show the current results based on a global analysis of world data while the narrower, darker bands show the SoLID projections. Figure from [1280].

constrain the quark GPDs. Future experiments of TCS and DDVCS in JLab Halls B and C and SoLID in Hall A will continue to play important roles in comprehensive GPD studies. In addition, experimental data from the 11 GeV beam will provide an important test of the DVMP mechanism. Experiments have been proposed for  $\pi^0$  and  $\eta$  production with CLAS12 running contemporaneously with the DVCS experiment, together with 8 GeV beam experiments to separate  $\sigma_L$  and  $\sigma_T$ . Measurement of the  $\phi$  meson will provide information on the gluon GPDs as well as study intrinsic strangeness.

**Threshold  $J/\psi$  production and proton mass** Near threshold  $J/\psi$  production can provide unique access to the gluon GPD and the form factors of the gluon EMT, providing important information on the mass structure of the nucleon. At JLab 12 GeV, the  $J/\psi$  can be produced by photon and electron beams on the proton and nuclear targets near threshold. Recent experimental results from JLab Halls C and D have been summarized in Sec. 2.2. There are ongoing experiments in Hall B to measure TCS and  $J/\psi$  in photo-production on a hydrogen target, with  $\sim 10$  K events expected after the luminosity upgrade. Similar statistics are expected for a deuterium target. Hall A has an approved experiment using SoLID and can obtain at least another order of magnitude more events ( $\sim 800$  K in photoproduction and  $\sim 20$  K in electroproduction), see Fig. 41. With this large number of threshold events, one can fit the cross section as a function of  $W$  and  $t$  to obtain all three gluon EMT form factors, and hence could shed light on the origin of the nucleon mass.

**Momentum tomography of the nucleon** One of the most important questions about the 3D structure of the nucleon is the transverse momentum dependence of parton distributions and fragmentation functions. The TMDs, especially those depending on the correlations between the transverse momentum and the polarizations of the partons and hadrons, provide a unique perspective on 3D nucleon tomography. At JLab, Halls A, B, and C are all involved in 3D structure studies through measurements of azimuthal modulations in SIDIS for different hadron types, targets, and polarizations over a broad kinematic range. The most celebrated SIDIS measurements on TMDs are the surprising non-zero results of the Siverson asymmetries and the Collins asymmetries [1297–1299]. These initial explorations established the significance of the SIDIS-TMD experiments and attracted increased efforts in both experimental and theoretical studies of TMDs. The planned SoLID experiments with transversely polarized proton and  $^3\text{He}$  (neutron) targets will provide the most precise measurements of Siverson and Collins asymmetries of charged pion and kaon production in the valence quark (large- $x$ ) region in 4-dimensions ( $x$ ,  $Q^2$ ,  $z$  and  $p_T$ ). Fig. 42 shows the projected precision of the extracted transversity  $h_1(x)$  and Siverson function  $f_{1T}^{\perp(1)}(x)$  from the SoLID enhanced configuration for both  $u$  and  $d$  quarks compared to current results obtained

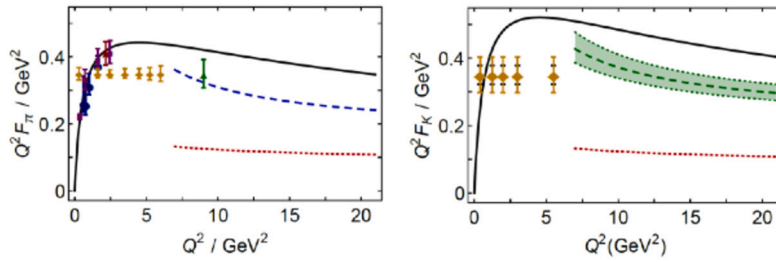


Fig. 43. The left (right) panels show calculations, measurements, and projected uncertainties of recent measurements of the pion (kaon) form factors.

from a global analysis of world data [1300]. The impact on the nucleon tensor charge from these measurements was highlighted in Sec. 3.3.1.

### 3.3.4. Meson structure

Several experiments at JLab and planned for the EIC will validate the framework of meson femtography, deepening the understanding of pions and kaons through studies of their form factors, structure functions and masses [1301,1302]. Extracting precise meson form factor data requires  $L/T$  separated cross sections and control over systematic uncertainties. Over the last decade, JLab measurements have established confidence in the reliability of deep exclusive meson production for probing internal meson structure [1303–1309].

The Super High Momentum Spectrometer (SHMS) in JLab Hall C is a unique facility for making precision 12 GeV measurements [1310], able to access meson form factors at high momentum transfer and small spatial resolution. Two experiments, studying exclusive pion and kaon electroproduction respectively, made precision separations of the  $L$  and  $T$  cross sections over the last three years. The projected uncertainties for these experiments are shown in Fig. 43. In addition, the quark and gluon distributions are expected to differ substantially in pions, kaons and nucleons. Planned measurements at JLab using the TDIS technique will provide data that have the potential to settle the issues of quark distributions in the pions at high- $x$  and provide the first data on kaons.

### 3.3.5. Hadron spectroscopy

The energies and quantum numbers of the excited state of any physical system provide important clues to the underlying dynamics and relevant degrees of freedom. This is especially true in the case of hadrons, where the spectrum of meson and baryon excitations established the quark model and QCD [1311,1312] and continues to provide unique information on strong interaction dynamics [1313,1314]. The experimental results from the JLab 6 GeV program on the nucleon resonance electroexcitation amplitudes provided unique information on the structure of the excited states of the nucleon and their evolution with photon virtuality  $Q$  [1313,1315,1316]. Extension of these efforts towards high  $Q^2$  at JLab 12 GeV will explore the transition from the strongly coupled to perturbative QCD regimes is anticipated.

However, the full picture has not been experimentally verified. It is generally argued that the best discovery path is through searching for so-called “exotic” meson states, which have quantum numbers that cannot be obtained with only quark–antiquark degrees of freedom. QCD and the quark model also predict a number of baryon excitations that have yet to be observed experimentally. A new program at JLab will focus on mapping the spectrum of baryons with strangeness. Excited states in this sector should be less numerous and more narrow than for the nonstrange baryons, which will ameliorate the difficulties associated with overlapping resonances. There have been a number of narrow charmonium states discovered in recent years [610], which defy description in terms of the quark model. Their existence points to dynamics of multi-quark states that should in principle be predicted by QCD.

The experimental program at JLab is aggressively pursuing the current spectroscopic understanding of QCD dynamics. This includes photoproduction of meson and baryon states in GlueX and CLAS12. It also includes new advances in lattice QCD to clarify hadron spectroscopy, in concert with experimental measurements, and to quantify the photoproduction cross sections of hadronic excited states, see Sec. 3.1.1.

### 3.3.6. QCD studies of nuclei

It has been nearly four decades since the European Muon Collaboration (EMC) published an astonishing finding on how the nucleon PDFs are strongly modified in iron nuclei [1317]. Although some recent studies suggest a connection to SRCs in nuclei, a full understanding of this phenomenon is still desired. Indeed, there are several ways in which QCD manifests itself in complex nuclei. CEBAF has contributed to this area of study, see Sec. 2.2.6, and will continue to provide new experimental data.

Electron scattering gives access to a range of unique aspects of nuclear structure, providing important data relevant to nuclear interactions at short distances, modifications of nucleon substructure in the nuclear medium, and quark/hadron interactions in cold QCD matter. Precision measurements of nuclear elastic, quasielastic, and inelastic scattering, especially those associated with the high-momentum part of the nucleon distributions, provide critical nuclear structure information needed in a range of other areas of nuclear and high-energy physics. Such data are needed as inputs to analyses of neutrino-nucleus scattering, nuclear astrophysics, lepton-nucleus scattering, and heavy-ion collisions, as well as providing important constraints on models of neutron stars. Studies of the partonic structure of nuclei provide insights into the impact of the dense nuclear medium on the structure of protons and neutrons and will image the nuclear gluon distribution for the first time. In addition, measurements at higher energy will study

hadron formation over a wide range of kinematics, as well as quark and hadron interactions with cold, dense nuclear matter, including color transparency, attempting to isolate interactions of small-sized “pre-hadronic” quark configurations.

Key future programs include measurements which probe nuclear structure at extremes of nucleon momentum, studies of the impact of the dense nuclear environment on the structure of the nucleon, and finally the use of the nucleus to study the interaction of quarks and how they form hadrons in cold nuclear matter. Among these, flavor dependence in the EMC effect should manifest in a number of experiments, e.g., by contrasting structure function measurements in  $^{40}\text{Ca}$  and  $^{48}\text{Ca}$ . A novel method to measure the isovector EMC effect is via PVDIS to obtain the  $\gamma$ - $Z$  interference structure function  $F_2^{\gamma Z}(x)$  and contrast this with the usual DIS structure function to separate the  $u$  and  $d$  quark PDFs in the same nuclear target. A proposal to perform this experiment on  $^{48}\text{Ca}$  using SoLID was approved for the JLab 12 GeV program. Interesting opportunities also exist in the comparison of SIDIS on  $^3\text{H}$  and  $^3\text{He}$  with either  $\pi^+$  or  $\pi^-$  detected in the final state. In addition, as discussed in Sec. 2.2.6, the spin structure function EMC effect will provide complementary information on the EMC physics and a first measurement of the polarized EMC ratio in  $^7\text{Li}$  is planned to run at JLab using the CLAS12 spectrometer.

Last, a growing program of spectator tagging measurements has been recently developed, accessing both free and bound nucleon structure, the latter by mapping out the impacts of the nuclear medium and strong nuclear interactions. First measurements were recently made by the BONUS and BAND experiments, probing the free neutron and deeply-bound proton respectively. The Hall B ALERT and Hall C Large Angle Detector (LAD) experiments are approved to extend these studies as part of the JLab 12 GeV program and anticipated to continue at the EIC using its far-forward fragment spectrometer.

### 3.3.7. Cold QCD program at RHIC

As the realization of the EIC draws nearer, there is a growing scientific imperative to complete a set of “must-do” measurements in  $p + p$  and  $p + A$  collisions in the remaining RHIC runs. The ongoing RHIC cold QCD program of both STAR and the new sPHENIX will build on RHIC’s unique ability to collide a variety of ion beams in addition to polarized protons [526]. The STAR forward upgrades, including forward tracking capabilities, will make charged hadron identification and full jet reconstruction possible for the first time in the forward direction. The sPHENIX detector is optimized for full jet reconstruction at mid-rapidity and heavy-flavor measurements [1318].

The new detectors will allow RHIC to extend the full complement of the existing transverse spin program into new kinematic regimes of both lower and higher  $x$  domains. This includes measurements of forward single spin asymmetries  $A_N$  for charged hadrons  $h^{+/-}$ , isolated  $\pi^0$  and full jet. The high statistical precision of recently collected data at 510 GeV (Run 17 and Run 22) and at 200 GeV at the upcoming Run 24 will enable detailed multi-dimensional binning for the Collins asymmetry measurements for  $h^{+/-}$  and  $\pi^0$ . STAR will extend the Collins effect measurements to nuclei and investigate the universality of the Collins effect in hadron production and the spin dependence of the hadronization process in cold nuclear matter. Moreover, the recently collected and future STAR data will further reduce the uncertainties on the single-spin asymmetry of dijet opening angle sensitive to the Sivers TMD parton distribution. This will provide a detailed mapping vs  $x$  for comparison to results for Sivers functions extracted from SIDIS, Drell-Yan, and vector boson production.

In addition, RHIC will further explore exciting new signatures of gluon saturation and non-linear gluon dynamics. The ratios of forward Drell-Yan and photon-jet yields in  $p + p$  and  $p + A/A + A$  collisions are clean probes of nuclear modifications to initial state parton distributions as well as gluon saturation effects. All of these measurements rely critically on the successful completion of scheduled RHIC operations. Overall, all data will provide valuable information about evolution effects and, with the projected statistical precision, will establish the most precise benchmark for future comparisons with the  $ep$  data from the EIC.

### 3.3.8. Cold QCD program at LHC

The LHC experiments have significantly impacted our understanding of the PDFs in the nucleon and nucleus from various hard scattering processes, including high energy jet and electroweak boson production in  $p + p$  and  $p + A$  collisions, see recent global analyses of the proton and nuclear PDFs [512,515,666–669,877,878]. These impacts will likely continue with ongoing experimental programs at the LHC with luminosity upgrades. In addition, future measurements at the LHC will impact cold QCD physics in several different ways. Here we highlight two examples.

The unique fixed-target SMOG program at LHCb [1319] took  $p+\text{He}$ ,  $p+\text{Ne}$ ,  $p+\text{Ar}$ , and  $\text{Pb}+\text{Ar}$  data at  $\sqrt{s_{NN}} = 69\text{--}110$  GeV. These measurements [1205,1320–1322] can constrain nPDFs over a range of nuclei, and in a different kinematic region than that accessible to other experiments, and provide insights into the charmonium production mechanism. The recently installed SMOG II upgrade will allow orders of magnitude higher luminosities and a wider range of possible targets [1323]. The LHCSpin project [1324,1325] would replace SMOG II with a transversely polarized target during the LHC Long Shutdown 3 and start taking data in 2029. The project has the support of the LHCb Collaboration and the LHC machine, and R&D is ongoing at LHC Interaction Region 3. A polarized gas target cell similar to the HERMES polarized target at HERA as well as an alternative jet target are under consideration. The project would provide singly polarized proton-proton collisions at  $\sqrt{s} \approx 115$  GeV, and  $p^\uparrow + A$  collisions with a nuclear beam would also be possible.

The ALICE Collaboration at the LHC is proposing an upgrade for LHC Run 4 (2029-32) of a very forward calorimeter, called FoCal, to study the small- $x$  gluon dynamics of hadrons and nuclei [1213]. The FoCal consists of a highly-granular Si+W electromagnetic calorimeter followed by a conventional sampling hadronic calorimeter, covering the pseudo-rapidity interval  $3.4 < \eta < 5.5$  over the full azimuth. The FoCal design is optimized for the measurement of isolated photons at forward rapidity for  $p_T > 2$  GeV/c. The FoCal will measure a suite of theoretically well-motivated observables in  $p + p$  and  $p+\text{Pb}$  collisions that probe the gluon distribution at small- $x$  (down to approximately  $10^{-6}$ ) and low to moderate  $Q^2$ . These observables include isolated photon, neutral meson, and

jet inclusive production and correlations in hadronic collisions, and the measurement of vector meson photoproduction in ultra-peripheral collisions. The FoCal scientific program will explore gluon dynamics and non-linear QCD evolution at the lowest values of Bjorken- $x$  that are accessible at any current or planned facility world-wide. FoCal measurements, combined with the comprehensive experimental program at the EIC and other forward measurements at RHIC and the LHC, will enable incisive tests of the universality of linear and non-linear QCD evolution in hadronic matter over an unprecedented kinematic range.

### 3.3.9. CEBAF upgrade initiatives for cold QCD

With the physics program at CEBAF for the next decade outlined as above, one could envision the possibility of CEBAF continuing to operate with a fixed target program at the “luminosity frontier”, up to  $10^{39} \text{ cm}^{-2}\text{s}^{-1}$  and with large acceptance detection systems, presenting complementary capabilities in the era of EIC operations. One such example is the 3D imaging of the nucleon structure through DDVCS. With a cross section a factor 100 lower than DVCS, DDVCS is not viable at EIC and must be studied at JLab. Possible additions and upgrades to the CEBAF facility will further enhance such complementarity. In the following, we present two initiatives that were discussed in the QCD Town Meeting.

**Future opportunities with positron beams** Development in many hadronic physics topics calls for additional tools to probe the nucleon structure. In this aspect, the addition of an anti-lepton beam will greatly expand our arsenal of experimental probes and provide data and information that are otherwise unattainable with a lepton beam alone. Most prominently, experimental results on the proton form factors and a full mapping of the generalized parton distributions of the nucleon pointed towards the importance of positron beams for the experimental determination of these fundamental quantities of the nucleon structure. Further ideas emerged about testing the electroweak Standard Model and exploring the dark matter sector. A comprehensive research effort was then started both in the physics and the technical areas to assess the potential of an experimental program and to address the possible technological issues of high duty cycle positron beams [1279], as described below.

**Two-Photon Exchange Physics with Positrons** Our interpretation of data on the proton electromagnetic form factor ratio  $G_E^p/G_M^p$  is still clouded by the lack of understanding of TPE effects. Investigations of TPE with other observables have produced new questions: First, the GEp- $2\gamma$  experiment at JLab looked for evidence of TPE in the  $\epsilon$ -dependence of polarization transfer. While no dependence was found in the form factor ratio, an unexplainably large and  $\epsilon$ -dependent enhancement was found in the longitudinal polarization component [1326]. Second, while observables such as SSA (see Section 3.3) provide information on the imaginary part of TPE, they do not directly address the proton form factor discrepancy. A highly desired and probably the most efficient way to study TPE towards a better understanding of the nucleon structure is yet to be provided by high-precision measurement of the lepton-charge difference in  $e + p$  elastic scattering. Such experiments have been carried out at other facilities than CEBAF, but the beam and detectors (VEPP-2, OLYMPUS) suffered from uncorrelated systematic uncertainties in the relative  $e^+/e^-$  intensity calibration, either due to lower beam energies or smaller acceptances. To this end, the addition of a positron beam to CEBAF, along with its unique large-acceptance detectors already available or under development, will measure the lepton charge difference for all  $\epsilon$  points at once and at high  $Q^2$  where TPE is expected to be large, and will likely provide an unambiguous explanation of the proton form factor discrepancy.

**Nucleon Femtography with Positrons** An exciting scientific frontier is the 3D exploration of nucleon (and nuclear) structure, i.e., nucleon femtography. The cleanest reaction to access GPDs is DVCS:  $\gamma^*p \rightarrow \gamma p$ . However, DVCS interferes with the Bethe-Heitler (BH) process, where the lepton scatters elastically off the nucleon and emits a high energy photon before or after the interaction. The cleanest way to separate the DVCS and BH amplitudes is to compare electron and positron scattering, as the BH amplitude is lepton-charge even while the DVCS amplitude is lepton-charge odd. This method will isolate not only the DVCS amplitude contribution to the cross section, but also the interference term between DVCS and BH amplitudes, with the latter providing direct linear access to DVCS at the amplitude level. In short, the use of both positron and electron beams provides direct access to the nucleon structure carried in the DVCS amplitude and indisputable access to the square of the DVCS amplitude, representing a true qualitative shift in the 3D imaging of nucleons and nuclei.

**Positron beams at JLab** The challenging creation of positrons with a high degree of polarization at JLab relies on its unique source of polarized  $\gamma$  rays produced by Bremsstrahlung radiation from CEBAF’s polarized electron beams [1327]. Within this framework, a polarized-electron driven positron injector is currently being designed and evaluated [1328]. The polarized electron source needed for such approach is in the range of  $> 1$  mA. While not routine, such capacity has been demonstrated [1329] and is assumed. Additionally, the approach now focuses on utilizing the Low Energy Research Facility (LERF, formerly known as the Free-Electron Laser or FEL) as the site for the new positron beam source. The LERF includes significant existing facilities (cryogenics, low conductivity water, shielding, electronics bays, radio-frequency penetrations, control room) and can provide up to 3 superconducting radio-frequency (SRF) cryomodules to support the  $e^-$  drive beam and  $e^+$  acceleration. The selected positron bunch train passes a momentum selection chicane prior to entering a SRF cryomodule for acceleration up to 123 MeV and a bunch compression chicane to match CEBAF acceptance. Once the 123 MeV  $e^+$  beam is produced, it is then a matter of transporting it to CEBAF for acceleration. This can be achieved by a new connector tunnel from the LERF exit to the lower elevation of the CEBAF enclosure, which will allow the 123 MeV  $e^+$  beam to be injected at the usual point in front of the north linac for multi-pass acceleration and beam extraction to any of the four Halls at any of the passes, see Fig. 44. Additionally, the intention is for all of the CEBAF electro-magnets to have a capability for polarity reversal on the scale of a day, for experiments which required both  $e^+$  and  $e^-$  pair-created beams from the LERF source. Given the promise of this approach, JLab is continuing expanded follow-on studies of a future positron beam source and its acceleration for CEBAF.

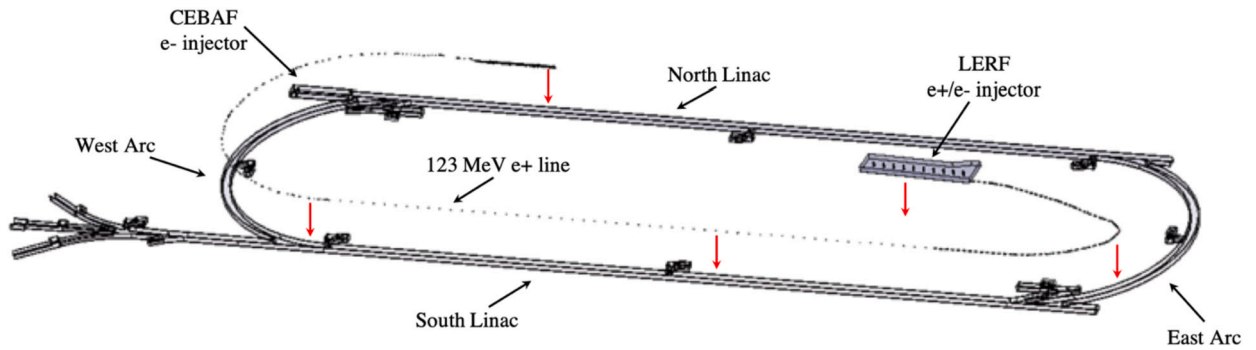


Fig. 44. A new tunnel and beam line (shown raised) connects the LERF to CEBAF and transports the 123 MeV  $e^+$  beam for injection and acceleration into CEBAF 12 GeV.

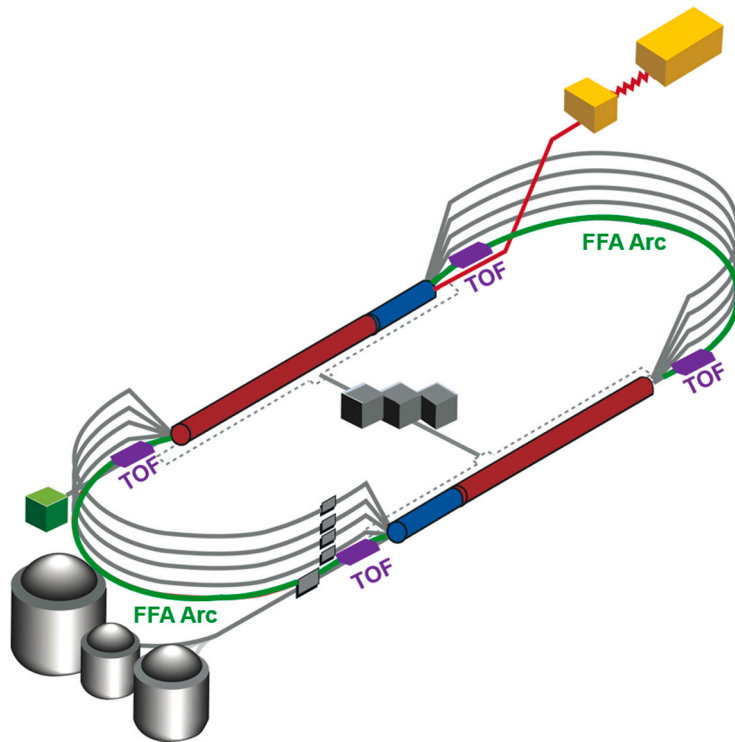


Fig. 45. Sketch of the CEBAF accelerator with the two highest energy arcs, Arc 9 and Arc A, replaced with a pair of FFA arcs (green). Figure from [1279].

**Future opportunities with energy doubling of CEBAF** Recently, the Cornell Brookhaven Electron Test Accelerator (CBETA) facility has demonstrated eight-pass recirculation of an electron beam with energy recovery [1330]. All eight beams – four accelerating passes and four decelerating passes – are recirculated by single arcs of fixed field alternating gradient (FFA) magnets. This exciting new technology carries the potential to enable a cost-effective method to double the energy of CEBAF, allowing wider kinematic reach for nucleon femtography studies. Furthermore, it will enable new scientific opportunities that include: (1) first-time production of various  $X$  and  $Z$  states in photon(lepton)-hadron collisions; (2) precision studies of near-threshold production of higher mass charmonium states  $\chi_c$  and  $\psi'$ ; (3) precision measurements of the radiative decay width and transition form factor of  $\pi^0$  off an electron for the first time, offering a stringent test of low-energy QCD. Ongoing, further investigations and simulations will strengthen the science opportunities introduced here. Meanwhile, technical studies of the implementation of FFA technology at CEBAF are in progress and are described in more detail below.

**CEBAF Energy “Doubling” – Accelerator Concept** The recent success of the CBETA project demonstrated the possibility to extend the energy reach of CEBAF up to 22 GeV within the existing tunnel footprint. Such an increase can be achieved by increasing the number of recirculations through the accelerating cavities, and by replacing the highest-energy arcs with FFA arcs, see Fig. 45. The new pair of arcs configured with an FFA lattice would support simultaneous transport of 6 beam passes with energies spanning a factor of two, each beam pass with very small transverse orbit offsets due to the small dispersion function.

Transporting high energy beams (10-22 GeV) while staying within the CEBAF footprint calls for special mitigation of synchrotron radiation effects. One of them is to increase the bend radius at the arc dipoles to suppress adverse effects of the synchrotron radiation on beam quality, including dilution of the transverse and longitudinal emittance due to quantum excitations. Further recirculation beyond 22 GeV is limited by large energy loss due to synchrotron radiation, which depends on energy to the fourth power. Therefore, using FFA to double CEBAF energy will finally be pushing its energy to a limit set by its footprint.

**Connection to accelerator physics** Both positron beams and energy doubling will contribute to accelerator physics development, and connect to possible future needs of the EIC and other high energy physics (HEP) and NP facilities which will rely on beam recirculation (e.g. LHeC) or FFA technology. Developments of these two CEBAF upgrades will help to maintain and enhance US leadership in accelerator science and technology.

### 3.4. Future QCD studies at other facilities

**Drell-Yan at Fermilab fixed target** The SpinQuest experiment will investigate whether the sea quarks are orbiting around the center of the nucleon by measuring the Sivers asymmetry with the use of a solid-state target of polarized protons and deuterons. This measurement provides information on the correlation between the angular distribution of the dimuons in the Drell-Yan process and the nucleon spin at high  $x_B$  with a virtuality of  $Q^2 \sim 10 \text{ GeV}^2$ . The observation of a nonzero Sivers Asymmetry would be a strong indication of non-zero sea quark orbital angular momentum. The SpinQuest experiment can also probe the sea quark transversity distribution. Additionally, a proposal has been submitted to upgrade SpinQuest with a specialized RF-modulated target that can be used to separate tensor from vector polarized observables of the deuteron, providing access to additional sea quark and gluon transversity TMDs. The gluon transversity TMD only exists for targets of spin greater or equal to 1 and does not mix with quark distributions at leading twist, thereby providing a particularly clean probe of gluonic degrees of freedom.

**HIGS** The High Intensity Gamma-Ray Source (HIGS), operated by the Triangle Universities Nuclear Laboratory, is capable of providing nearly mono-energetic, polarized gamma-ray beams with energies ranging from 1 to 120 MeV. HIGS is the highest flux Compton gamma-ray source ever built and operated. The gamma-ray beam flux delivered to experiments at 100 MeV is approximately  $1 \times 10^7 \gamma/s$ . The Compton-scattering program at HIGS, which is carried out by research groups from 13 institutions, is mapping out the energy dependence of the dynamical scalar electromagnetic polarizabilities of the neutron over photon beam energies from 60 to 120 MeV and extending proton measurements from about 100 to 120 MeV. Free-Electron Laser cavity mirror R&D is underway to increase the maximum gamma-ray beam energy at HIGS from 120 to 150 MeV to enable measurements up to the pion production threshold where the electric dipole polarizability of the nucleon is largest. The work by the HIGS Compton Scattering Collaboration will illuminate differences in the neutron and proton scalar polarizabilities, providing stringent tests of chiral effective theories and a new prediction of the electromagnetic contribution of the proton-neutron mass difference [1331]. A program to develop cryogenic polarized target capability at HIGS will enable measurements of spin-dependent nucleon polarizabilities at photon beam energies below the photo-pion production threshold. The Compton-scattering data from HIGS are complementary in both energy and technique to the data measured by the A2 Collaboration at MAMI.

**MUSE** The Muon Scattering Experiment (MUSE) at the PiM1 beam line of the Paul Scherrer Institute (PSI) measures scattering of a mixed beam of electrons, muons, and pions from a liquid hydrogen cryotarget [1332,1333]. The experiment was initially motivated by the proton radius puzzle, and PSI was chosen for its unique capability to provide simultaneous low energy electron and muon beams. MUSE features a large-solid-angle, non-magnetic detector and cryogenic target system, and will test lepton universality through the comparison of cross sections, form factors, and proton radii extracted from electron and muon scattering. Beams of both positive and negative polarity leptons will determine two-photon exchange corrections, testing predictions. A forward-angle calorimeter tests initial-state radiative corrections. The background pions in the beam allow determination of  $\pi$ - $N$  cross sections of interest to low-energy effective field theories used in the strong QCD regime. The simultaneous measurement of electron and muon scattering, and the measurement of both charge states in the same experiment suppresses many systematic uncertainties. MUSE will be the first experiment to provide elastic muon scattering of sufficient precision to address the puzzle.

**MESA** The University of Mainz is currently constructing a new electron accelerator (MESA). In 2024, an electron beam is expected to be generated with MESA for the first time. It will offer ideal conditions in which scientists will be able to explore the limits of Standard Model physics. Several key experiments are currently under development. Among them, MAGIX is a multi-purpose spectrometer which allows the precise measurement of proton form factors at the lowest impulse transfer rates. This will contribute decisively to the clarification of the existing contradictions in the experimental determination of the proton radius (the so-called proton radius puzzle) and to dark matter searches.

**ULQ2** is a new high-resolution spectrometer facility for low-energy electron scattering at the Research Center for Electron Photon Science (ELPH) at Tohoku University in Sendai, Japan. The facility has been constructed and commissioned from 2017-2022 and will provide precision measurements of the proton elastic form factors at very low momentum transfer, the proton charge, magnetic, and Zemach radii, and of low-energy nuclear structure.

**J-PARC** The Japan Proton Accelerator Research Complex, J-PARC, is Japan's leading accelerator facility, which has cascaded proton accelerators including the 400-MeV linear accelerator, the 3-GeV rapid cycling synchrotron (RCS) and the main ring operated at 30 GeV. There are experimental facilities such as the Materials and Life Science Facility at RCS, and the Neutrino Facility and the

Hadron Experimental Facility both at the main ring. In addition to applied physics research, there are two major basic research activities: (1) **Neutrino Facility** Neutrino as well as anti-neutrino beams produced at J-PARC are sent to the Super-Kamiokande located about 295 km west of J-PARC. The research topics at the Neutrino Facility include QCD-related physics such as neutrino-nucleus interactions. (2) The **Hadron Experimental Facility** is a unique experimental complex which utilizes the secondary beams to perform precision measurements on hyper-nuclear spectroscopy, hyperon-nucleon scattering, and kaonic nuclei, to name a few. Major physics interests of these programs are hadron interactions, including hyperon-nucleon interactions, hyperon-hyperon interactions, and kaon-nucleon interactions. Upgrades to this experiment, which could measure proton generalized parton distributions and pion distribution amplitudes, are also being discussed.

**FAIR FAIR** is a European flagship facility [1241,1242]. This worldwide unique accelerator and experimental facility will conduct unprecedented forefront research in physics and applied sciences on both a microscopic and a cosmic scale. While the center of mass energies of heavy-ion beams ( $\sqrt{s_{NN}} = 2.9 - 4.9$  GeV) are designed for the CBM experiment, the 1.5 – 15 GeV/c momentum beam of anti-protons will be generated and collected in the high energy storage ring before being sent to the experiment PANDA. There are three major experiments in FAIR designed for fundamental research: (1) The **NUSTAR** experiment is designed together with the Super-FRS and storage cooler rings, and will allow discovery measurements in nuclear structure and nuclear astrophysics; (2) The **CBM** experiment is a high-energy nuclear collision experiment with high rate capabilities for determining the location of the QCD critical point, the first-order phase boundary, the equation of state of nuclear matter at high baryon density and the hypernuclear interactions pertinent to the inner structure of compact stars; (3) The **PANDA** experiment, designed at the antiproton storage cooler ring HESR, will provide a unique research environment for an extensive program in hadron spectroscopy, hadron structure and hadronic interactions. In particular, the studies of hadron structure in the relatively large- $x$  region complement the structure measurement at small- $x$  at the EIC in the coming decades.

**BELLE II** High luminosity  $e^+e^-$  experiments always played an important and complementary role in the study of QCD, alongside nucleon scattering experiments. While in the latter a spacelike gauge boson is exchanged and the nucleon is used as a QCD laboratory, at  $e^+e^-$  machines, the complementary timelike process can be used to study quarks traversing the vacuum and their subsequent hadronization with a precision that cannot be reached in hadronic scattering experiments. Belle II [1334] is taking data at SuperKEKB, a second generation  $B$ -factory delivering world record luminosities. Over the next decade, Belle II plans to collect 50  $\text{ab}^{-1}$  integrated luminosity, about a factor 50 more than Belle. The large Belle II dataset will enable the precise determination of complex correlations in the hadronization process, which are necessary for a detailed mapping of the QCD dynamics at play. Therefore, support for a robust QCD program at Belle II is essential to make progress in our description of hadronization and precision tests of QCD in hadronization at the pace necessary to analyze data from current and future SIDIS and hadronic scattering programs at JLab, the EIC, and the LHC. Recently, the community formulated a broad and widely supported program which is documented in a recent whitepaper [1335]. Relevant topics include the spin-orbit correlation in hadronization, measurement of polarized and unpolarized fragmentation functions, hadronization effects in jets, precision tests of perturbative QCD calculations in jet and event shape measurements, and constraining the value of  $\alpha_s$ . A focus of the program is on the modeling of hadronization in (polarized) Monte Carlo event generators. Belle II data in conjunction with LEP data will provide the necessary information to test the energy dependence of these models needed for the EIC or the LHC.

**AMBER** In the context of the physics-beyond-colliders (PBC) initiative at CERN, the COMPASS++/AMBER (proto-) collaboration proposes to establish a “New QCD facility at the M2 beam line of the CERN SPS” [1336]. The proposed measurements cover a wide range of  $Q^2$ : from lowest values of  $Q^2$ , where it is planned to measure the proton charge radius by elastic muon-proton scattering, to intermediate  $Q^2$  to study the spectroscopy of mesons and baryons by using dedicated meson beams, to high  $Q^2$  to study the structure of mesons and baryons via the Drell-Yan process. The whole project is intended to run over the next 10 to 15 years. **AMBER** will play a crucial role as it can uniquely provide pion (kaon) Drell-Yan measurements in the center-of-mass energy region 10 – 20 GeV. These measurements are essential for a global effort aimed at pion structure function measurements (also providing a handle on determination of the so-called “pion flux” for EIC Sullivan process measurements) and kaon structure function data map.

#### 4. Electron-ion collider

The scientific foundation for the EIC has been built for over two decades. Throughout, the EIC initiative was driven by maintaining U.S. leadership in both nuclear science and accelerator physics. These dual goals were clear from the outset, starting with the 2002 NSAC LRP [1337] where “*R&D over the next three years to address EIC design issues*” was placed at high priority. Support from the community continued with the 2007 LRP [1338], which recommended “*the allocation of resources to develop accelerator and detector technology necessary to lay the foundation for a polarized Electron-Ion Collider*” and culminated in the 2015 plan, where the EIC was identified as the “*highest priority for new facility construction following the completion of FRIB*” [2].

During this period the science case underpinning these recommendations was continually developed and documented by the growing EIC community, as illustrated in Fig. 46. A series of workshops hosted by the Institute for Nuclear Theory laid the foundation for a White Paper titled “*Understanding the glue that binds us all*” [1341]. The studies developed for the EIC White Paper [1341], combined with continued progress in accelerator  $R&D$ , served as input to a critical review in 2018 by the NAS. Their final report, *An Assessment of the U.S. Based Electron-Ion Collider Science*, concluded that “*the EIC science is compelling, fundamental, and timely.*” [1342].

Below we will summarize the flagship components of the EIC science case, consisting of understanding the origin of the proton spin and mass, proton tomography, gluon saturation, cold nuclear phenomena, and fundamental symmetries. We will also briefly



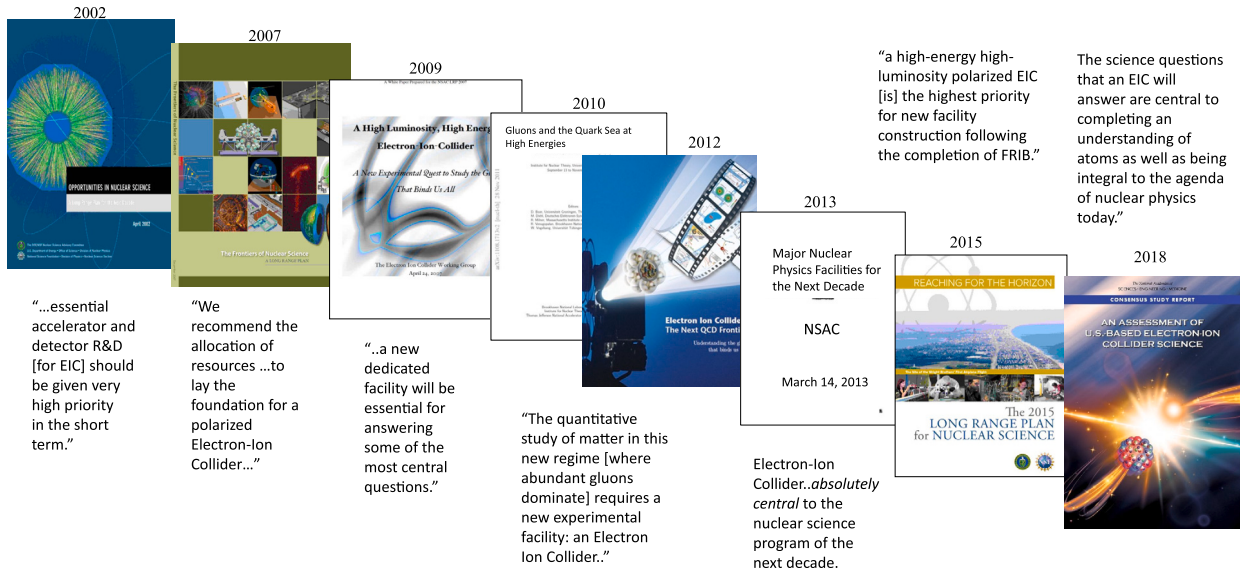


Fig. 46. A chronological display of the publications that document the development of the EIC science case. From left to right: The 2002 [1337] and 2007 [1338] LRPs, a 2009 report of the EIC Working Group [1339], a report on the joint 2010 BNL/INT/JLab program on EIC [1340], the 2012 EIC White Paper [1341], the 2013 NSAC Subcommittee Report on Scientific Facilities, the 2015 LRP [2], and the NAS report [1342]. Figure from [1343].

discuss the EIC project detector that will be built at the 6 o'clock interaction region by the ePIC collaboration, along with the plans for a second, complementary detector to be constructed at the 8 o'clock region.

#### 4.1. The EIC science

Decades of scattering experiments and their theoretical interpretation have produced an intriguing picture of the proton and neutron. These particles are held inside the atomic nucleus by the strong force, the same force that generates the dynamic landscape of quarks and gluons that form the substructure of the nucleon. Some quantum numbers of the nucleon, like its electric charge, are easily reproduced by simply summing the properties of the three valence quarks. Yet, the quarks contribute only a third of the total nucleon spin and an even smaller fraction of the total mass. Clearly, many of the fundamental properties of the nucleon must emerge from the gluons, the carriers of the strong force that confine the quarks inside the nucleon, and from the copious  $q\bar{q}$  pairs that form the quark sea. Our interest goes beyond reconstructing the fundamental properties of the parent nucleon: our ultimate goal is understanding the dynamics of the dense partonic environment found in nucleons and nuclei. The EIC will be an amazingly versatile machine that will allow experiments to map out the spatial and momentum distributions for quarks and gluons, study how the gluon density evolves with the resolution of the electron probe and with the momentum fraction  $x$  carried by the interacting gluon, and observe how transitions from partonic to hadronic degrees of freedom are modified in increasingly dense nuclear matter. These key science questions (and more!) can be summarized by the following lines of inquiry:

- How do the nucleonic properties such as mass and spin emerge from partons and their underlying interactions?
- How are partons inside the nucleon distributed in both momentum and position space?
- What happens to the gluon density in nucleons and nuclei at small  $x$ ? Does it saturate at high energy, giving rise to gluonic matter with universal properties in all nuclei (and perhaps even in nucleons)?
- How do color-charged quarks and gluons, and jets, interact with a nuclear medium? How do confined hadronic states emerge from these quarks and gluons? How do the quark-gluon interactions generate nuclear binding?
- Do signals from beyond-the-standard-model physics manifest in electron-proton/ion collisions? If so, what can we learn about the nature of these new particles and forces?

In the following, we will highlight the impacts that the EIC will bring to the above fundamental questions of hadron structure and strong interaction physics.

**Proton spin** Nucleon spin has played a central role in driving hadron physics for over three decades. The EIC will have unprecedented impact on our understanding of this physics. With the unique coverage in both  $x$  and  $Q^2$  (for polarized DIS), along with very high luminosity, the EIC will provide the most powerful constraints on the quark and gluon helicity contributions to the proton spin yet. The left panel of Fig. 47 depicts the contributions of the large- $x$  ( $x \in [10^{-3}, 1]$ ) quark and gluon helicities (subtracted out of the proton spin of  $1/2$ ) on the horizontal axis and of the small- $x$  ( $x \in [10^{-6}, 10^{-3}]$ ) quark and gluon helicities on the vertical axis, along with the possible lines corresponding to different values of the OAM ( $L$ ) carried by the partons. The EIC data will significantly shrink

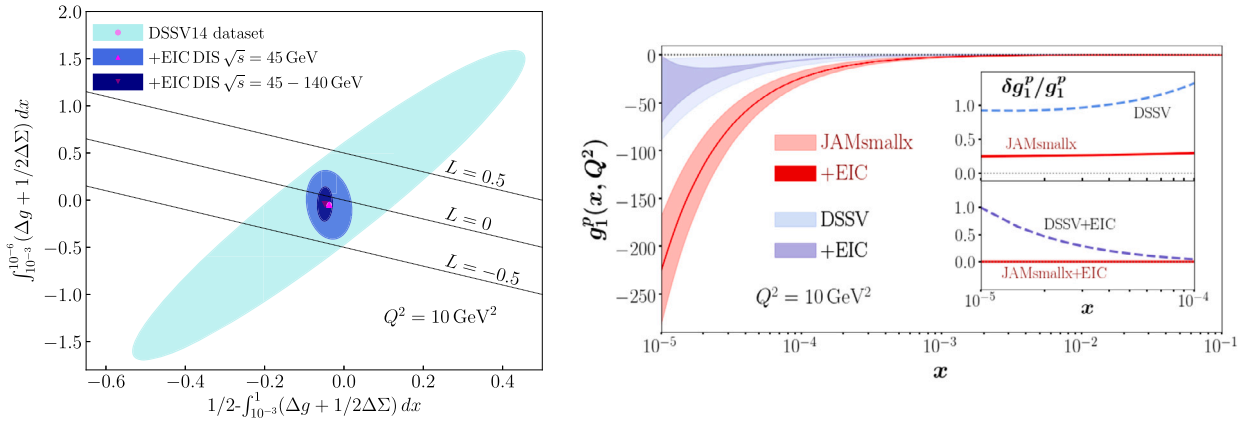


Fig. 47. Left: Impact of the projected EIC pseudodata on the spin decomposition of the proton based on the most recent version of the DSSV14 parametrization [523, 527,1344]; Right: EIC impact on the  $g_1$  structure function based on parameterizations with or without the theory-inspired small- $x$  extrapolation [1345] (see text).

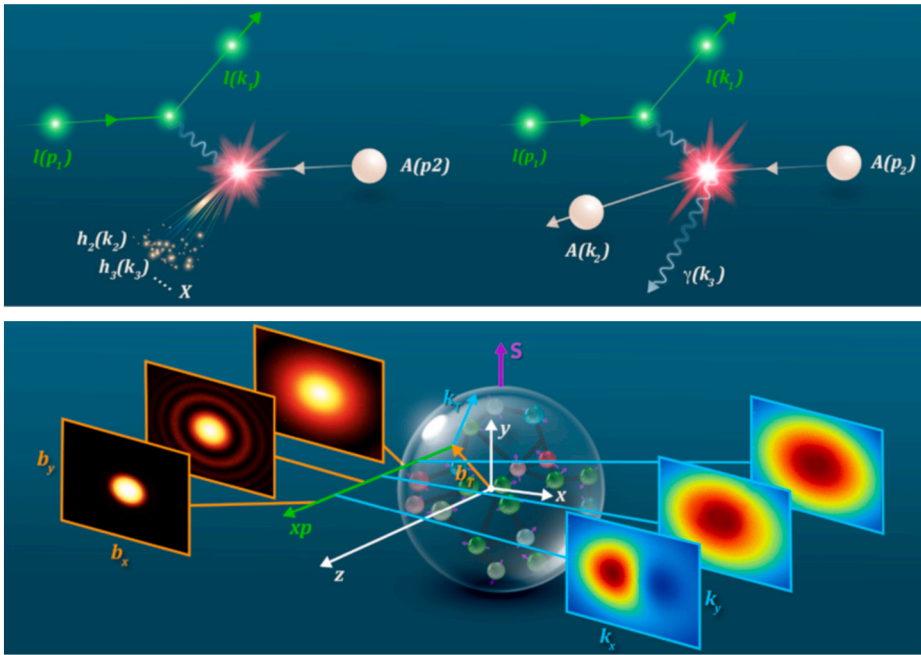


Fig. 48. Upper panel: Illustration of the two types of processes that occur in lepton-nucleus collisions: a semi-inclusive process where a hadron, hadron pair, jet or dijet is measured and the remnant nucleus is destroyed (left) and an exclusive process where the nucleus remains intact (right). Lower panel: Tomographic images in slices of  $x$  for the quarks and gluons in a nucleus: (transverse) spatial tomography in  $b_T$ -space provided by exclusive processes (left); (transverse) momentum tomography in  $k_T$ -space provided by semi-inclusive processes (right). Figure from [1343].

the error bars of the quark and gluon helicities. The precision of the polarized structure functions (and parton helicity distributions) may be further improved by implementing the theoretical predictions for their behavior at small- $x$  [1346–1351], as shown in the right panel of Fig. 47 which compares the (more conventional) DGLAP-based predictions for the proton  $g_1$  structure function [1344] (in blue) to those based on the small- $x$  evolution [1345] (in red). Apart from the total quark helicity contribution to the proton spin, the sea quark polarization will be determined to higher precision through semi-inclusive hadron production in DIS. In addition, a systematic investigation of various hard exclusive processes will provide information on the partonic orbital angular momentum contributions to the proton spin [1352,1353].

**Nucleon tomography and the origin of mass** The EIC will significantly extend our knowledge of the distribution of quarks and gluons in nucleons and nuclei, both in position and momentum space. Examples of processes that can provide information beyond the original 1D Feynman parton picture are illustrated in Fig. 48. On one hand, in elastic processes (see the right side of the upper panel in Fig. 48), detecting the full final state of the proton beam provides information about the transverse position of the partons – quarks and gluons – that reside inside nucleons and nuclei. On the other hand, using a related class of inelastic observables gathered

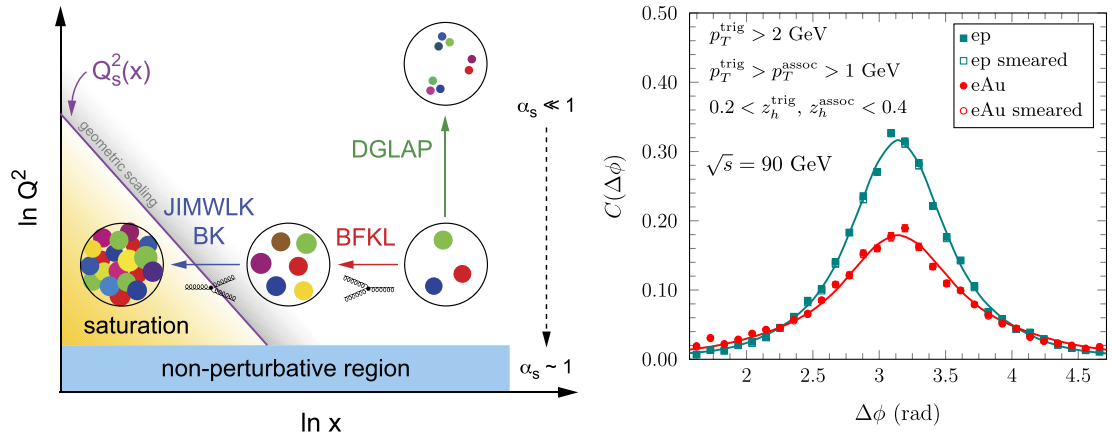


Fig. 49. Left: Schematic illustration of the probe resolution,  $Q^2$ , versus  $x$ , indicating regions of non-perturbative (band at the bottom) and perturbative QCD (everything above the non-perturbative region), including in the latter, low to high saturated parton density, and the transition region between them [1341]. The saturation region is shown in yellow. Right: A saturation model prediction of the hadron-hadron correlation function  $C(\Delta\phi)$  to be measured in  $e+p$  and  $e+A$  collisions at EIC plotted as a function of the azimuthal angle  $\Delta\phi$ : the away side peak at  $\Delta\phi = \pi$  decreases as one goes from  $e+p$  to  $e+A$  due to the increase in the saturation scale with  $A$ . The ranges of transverse momenta ( $p_T$ ) and longitudinal momentum fractions ( $z_h$ ) of the trigger and associated hadrons are specified on the plot. Figure from [1343].

from data where the scattered electron is measured in tandem with an electro-produced hadron, or a jet, or a pair of hadrons (see the left side of the upper panel in Fig. 48), the EIC will also measure the transverse motion of partons. These measurements will enable parton tomography, a series of 2D images of the nucleon, both in transverse position and momentum space. This is illustrated in the lower panel of Fig. 48, with such snapshots stacked along the Bjorken- $x$  direction. Starting at large  $x$ , in the domain of valence quarks, and proceeding toward lower  $x$ , the regime of sea quarks and gluons, these images will reveal where quarks and gluons are located and how their momenta are distributed in the transverse plane. The full richness of transverse momentum information is explored when transverse polarization (with the proton spin direction orthogonal to the direction of motion) is added. In this case, orbital motion leads to correlations between spin and transverse momentum, generating an asymmetric transverse momentum distribution, such that the parton tomography provides a series of images of transverse momentum distributions that are fully 2+1-dimensional.

The tomographic techniques will provide insight into the origin of the proton mass. Studying the processes of elastic  $J/\psi$  and  $\Upsilon$  production near threshold at the EIC, we will be able to extract the gravitational form factors which shed light on the amount of the proton mass carried by the QCD trace anomaly contribution. The EIC will provide a unique opportunity to better measure the gravitational form factors by providing a lever arm in  $Q^2$  for  $J/\psi$  or (heavier)  $\Upsilon$  elastic production processes. Understanding the origin of the proton mass is an important and fundamental question, related to our understanding of the origin of mass in the visible universe.

**Gluon dynamics in a dense medium** The gluon and sea quark PDFs in the proton grow rapidly with decreasing Bjorken- $x$ . The dynamical mechanism responsible for this growth is the splitting of gluons into pairs of gluons or quark antiquark pairs and the splitting of quarks into quarks and gluons [1354–1358]. The large number of gluons and sea quarks at low  $x$  confined to the transverse area of the proton results in a high parton density. But will high density keep increasing as we probe lower and lower values of  $x$ ? Would the physics change in the high density regime? As was originally conjectured in [885], the growth of the gluon density should *saturate* at some small value of  $x$ , leading to the novel regime of *gluon saturation* (see [889,890,897,1359–1362] for reviews). The new dynamics in the saturation regime are due to gluon mergers: the mergers compensate for the splittings, leading to saturation of the gluon density. The transition from the splittings-dominated regime to the saturation regime is described by the nonlinear small- $x$  evolution equations [891,893,895,896,1363–1368], which are a manifestation of the nonlinear nature of QCD.

A key feature of gluon saturation is the emergence of a momentum scale  $Q_s$ , known as the saturation scale. The scale is predicted by the nonlinear evolution equations [891,893,895,896,1363–1368] and designates a transition from the low-density regime ( $Q > Q_s$ ) to the high-density saturated regime ( $Q < Q_s$ ) as indicated in the left panel of Fig. 49. The saturation scale grows with decreasing  $x$ ,  $Q_s^2 \sim 1/x^{0.3}$ . When this scale significantly exceeds the QCD confinement scale  $\Lambda_{\text{QCD}}$ , the dynamics of strongly correlated gluons can be described by weak coupling QCD methods. The framework that enables such computations is the CGC effective field theory [889,890,897,1359–1362], see, Sec. 3.1.2. It is expected that the saturation phenomenon grows with the nuclear mass number  $A$ ,  $Q_s^2 \propto A^{1/3}$  [887,888,1369,1370]; thus, the novel domain of saturated gluon fields can be accessed especially well in large nuclei. Unambiguously establishing this novel domain of QCD and its detailed study is one of the most critical goals of the EIC.

Multiple experimental signatures of saturation have been discussed in the literature [1341]. The EIC program follows a multi-pronged approach taking advantage of the versatility of the EIC facility. Day-one measurements include the proton and nuclear structure functions  $F_2$  and  $F_L$ , which are sensitive to saturation physics. One of the other key signatures concerns the suppression of di-hadron angular correlations in the process  $e + \text{Au} \rightarrow e' + h_1 + h_2 + X$ . The angle between the two hadrons  $h_1$  and  $h_2$  in the azimuthal plane,  $\Delta\phi$ , is sensitive to the transverse momentum of gluons and their self-interaction: the mechanism that leads to saturation. The experimental signature of saturation is a progressive suppression of the away-side ( $\Delta\phi = \pi$ ) correlations of hadrons with increasing

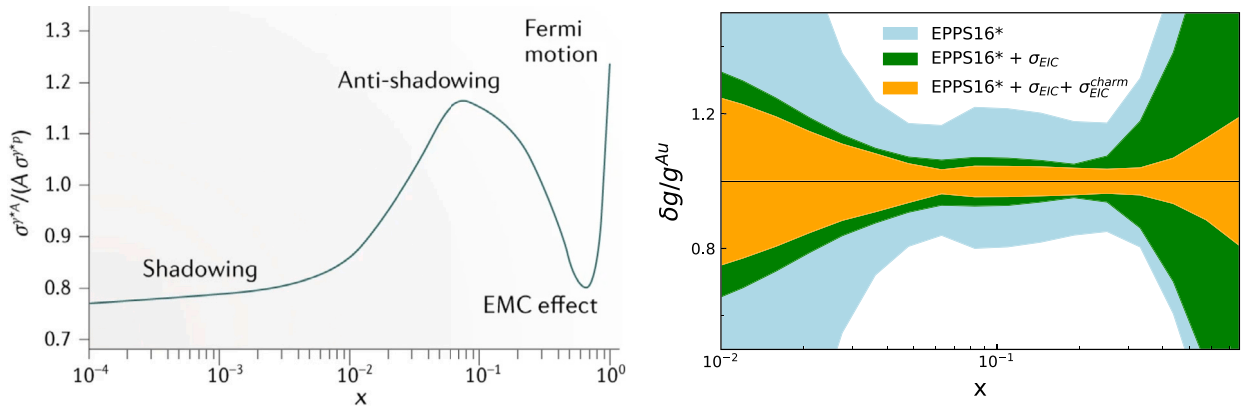


Fig. 50. Left: The cross section ratio  $\sigma^{r^A}/(A \sigma^{r^p})$  measures the nuclear modification to the parton distribution functions (figure from [314]). Right: Relative uncertainty bands for the gluon distributions in gold nuclei at  $Q^2 = 1.69 \text{ GeV}^2$ . The blue band is the original EPPS16\* fit, the green band incorporates inclusive cross section pseudodata and the orange band also adds the charm cross section  $\sigma^{charm}$  (figure from [1343]).

atomic number  $A$  at a fixed value of  $x$ , as demonstrated in the right panel of Fig. 49. Diffraction and diffractive particle production in  $e+A$  scattering is another promising avenue to establish the existence of saturation and to study the underlying dynamics. Diffraction entails the exchange of a color-neutral object between the virtual photon and the proton remnant. As a consequence, there is a rapidity gap between the scattered target and the diffractively produced system. At HERA, these types of diffractive events made up a large fraction of the total  $e+p$  cross section (10–15%). Saturation models predict that at the EIC, more than 20% of the cross section will be diffractive. In simplified terms (and at leading order), since diffractive cross sections are proportional to the square of the nuclear gluon distribution,  $\sigma \propto [g(x, Q^2)]^2$ , they are very sensitive to the onset of non-linear dynamics in QCD. An early measurement of coherent diffraction in  $e+A$  collisions at the EIC would provide the first unambiguous evidence of gluon saturation. Further studies at small  $x$  that can provide insight into the spatial and momentum distribution of gluons include coherent and incoherent diffractive vector meson production, deeply virtual Compton scattering (and their dependence on azimuthal angle between the produced particle and the electron plane), as well as inclusive and exclusive dijet production [897]. In particular, access to the gluon Wigner distribution is possible using diffractive dijets [335,898].

**Nuclear modifications of parton distributions** High energy electron-nucleus collisions at the EIC will enable measurements of nuclear modification of the parton distribution functions over a broad and continuous range in  $x$  and  $Q^2$ . This will lead to the study of the PDF differences between the bound and unbound nucleons with unprecedented precision. These differences are often quantified via the ratios of the nuclear PDF to the proton PDF divided by the nuclear mass number  $A$ . Nuclear modifications described by such ratio range from suppression (below unity) in the so-called “shadowing” domain of small- $x$  to enhancement in the “anti-shadowing” (moderate- $x$ ) region and again to suppression in the “EMC” (large- $x$ ) regime, as illustrated in the left panel of Fig. 50. For the most part, these modifications are only phenomenologically modeled.

Measurements of nuclear structure functions elucidate to what extent a nucleus could be described by a collection of independent nucleons – a fundamental question about nuclear properties in QCD. The effect of the EIC data on our knowledge of the nuclear gluon distribution function is shown in the right panel of Fig. 50, where the relative uncertainties clearly shrink as one includes EIC pseudo-data. As can be seen, the EIC provides broad kinematic coverage, mapping the shadowing and anti-shadowing regimes, as well as part of the EMC regime.

The EIC will also provide novel insights into the physics of SRC in nuclei [1371] and how they relate to the mechanism by which QCD generates the nuclear force [1372], as well as into their possible connections to the nPDF EMC effect. Using far-forward tagging techniques, the EIC will probe the structure of nucleons in varying nuclear states, thereby disentangling the impact of the strong nuclear interaction on the bound nucleon structure. Such ‘spectator tagging’ techniques can be applied in conjunction with all reactions that are sensitive to nucleon structure, from inclusive DIS, to SIDIS to DVCS/DVMP, and can thus provide unprecedented insight into the impact of strong nuclear interactions and the dense nucleon medium on the structure of bound nucleons. This has been demonstrated in numeric simulations for the deuteron [1372,1373] and  $^3\text{He}$  [1374]. This extension of the free-nucleon structure program to bound nucleons via spectator tagging techniques is a novel frontier. It requires performing high-precision measurements over a wide kinematic phase space [1375–1379].

**Hard probes in cold nuclei** The EIC will make substantial progress in our understanding of hadron formation, including inside nuclear matter. Especially, studying hadronization for light and heavy quarks in cold nuclear matter can unravel some of the mysteries surrounding energy loss in a quark-gluon plasma [1184]. At the EIC, the large  $Q^2$  range will permit measurements in the perturbative regime with enough leverage to determine nuclear modifications of the fragmentation functions. The high luminosity will permit the multidimensional binning necessary for separating the many competing mechanisms. The large photon energy (in the nucleon rest frame),  $\nu \approx 10 - 1000 \text{ GeV}$ , will isolate in-medium parton propagation effects (large  $\nu$ ), and to cleanly extract color neutralization and hadron formation times (small  $\nu$ ). Studies of particle production for identified hadron species are required to accomplish these

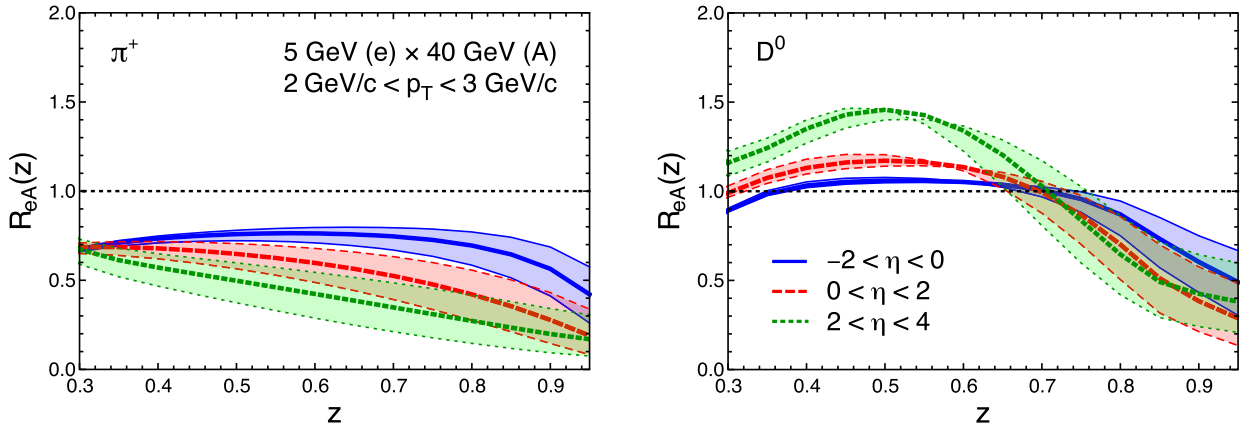


Fig. 51. Ratio of relative particle production ( $N_h/N_{\text{incl}}$ ) in  $e+A$  over that in  $e+p$  as a function of  $z$ , the momentum fraction of the parton carried by the respective hadron. Light pions (left) show the largest nuclear suppression at the EIC. However, heavy flavor meson ratios (right) have to be measured to differentiate models of hadronization since they show a substantially different modification in  $e+A$ . (Figure is from [1380].)

goals (see Fig. 51). The present phenomenological description of in-medium fragmentation describes the observed attenuation of light hadron production through modification of splitting functions in the presence of nuclear matter. Jet substructure studies at the EIC will provide direct experimental input for constraining the evolution of splitting functions in nuclear matter.

**Fundamental symmetry physics** The high luminosity, polarized lepton and polarized hadron beams, and kinematic coverage provided by the ePIC detector afford unique opportunities for a variety of electroweak (EW) and beyond-the-standard model (BSM) physics topics. Among them, precision measurements of parity violating asymmetries over a wide range of  $x$  and  $Q^2$ , when combined with knowledge of the PDF, can determine the value of the weak mixing angle  $\sin^2 \theta_W$  at an energy scale between fixed-target and high-energy collider facilities, and help narrow down the mass range of possible dark  $Z$  bosons ( $Z_d$ ). Additionally, such PVES asymmetries provide nearly orthogonal constraints to Drell-Yan processes measured at the LHC, on new contact interactions when analyzed in the framework of the Standard Model Effective Field Theory (SMEFT). The availability of polarized hadron beams at the EIC will measure new electroweak structure functions, the  $g_{1,5}^{\gamma Z}$ , for the first time. Second, lepton flavor violation observed in neutrino oscillations implies a similar violation in the charged lepton sector, charged lepton flavor violation (CLFV). While CLFV due to Standard Model processes are too suppressed to be observed by current or planned experiments, many BSM scenarios predict much higher rates that could be detected by the EIC. In particular, electron-to-tau conversion ( $e + p \rightarrow \tau + X$ ), mediated by leptoquarks, is one of the most promising CLFV channels to be studied at the EIC because of its higher luminosity and the exquisite vertex resolution provided by the ePIC detector. Such limits would potentially surpass limits set by the HERA experiments and would be sensitive to the difference between scalar and vector leptoquarks. Another opportunity in  $e - \tau$  CLFV is via a possible  $e + A \rightarrow \tau + A + a$  process, where  $A$  is a high- $Z$  ion and  $a$  is an Axion-Like Particle (ALP) [1381]. The polarized beams at the EIC will provide a unique sensitivity to parity violating ALPs. Lastly, by measuring the charge-current DIS cross section at different electron beam polarizations, it is possible to set constraints on right-handed  $W$  bosons and thus test the chiral structure of the Standard Model.

#### 4.2. The EIC facility

The EIC will be a new, innovative, large-scale particle accelerator facility capable of colliding high energy beams of polarized electrons with heavy ions and polarized protons and light ions. It is a joint endeavor between BNL and JLab that will be built on the current site of RHIC. In December 2019, the EIC was launched as an official project of the US government when it was granted Critical Decision Zero (CD-0). Soon after, in June of 2021, the project was awarded CD1 status. Beam operation is currently expected to commence in the early 2030s.

In order to address the crucial scientific questions discussed in the previous sections, the EIC must provide:

- Highly polarized electron ( $\sim 70\%$ ) and proton ( $\sim 70\%$ ) beams;
- Ion beams from deuterons to heavy nuclei such as gold, lead, or uranium;
- Variable  $e+p$  center-of-mass energies from 28–100 GeV, upgradable to 28–140 GeV;
- High collision electron-nucleon luminosity  $10^{33} - 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ;
- The possibility of more than one interaction region.

Shown schematically in Fig. 52, the EIC will collide bright, intense counter-circulating beams of electrons and ions at two possible interactions regions, the Interaction Point (IP) 6 and IP8, at 6 and 8 o'clock position in Fig. 52, respectively. The DOE has committed to building a general-purpose, large acceptance detector that is capable of addressing the science case outlined in the NAS report [1342]. In 2020 the EIC Users Group launched a year-long effort to explore possible detector technologies and codify the detector requirements needed to address the NAS science case. The results of this study have been collected and published as the EIC

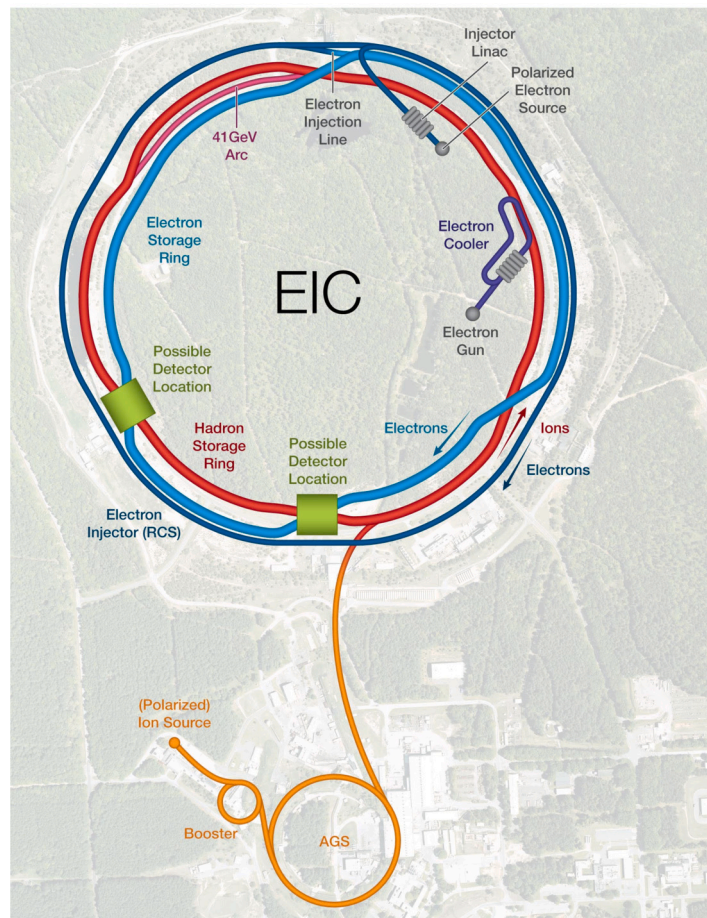


Fig. 52. Planned EIC accelerator. Figure from [1343].

Yellow Report [716]. With the detector requirements defined, BNL and JLab extended a call to the community in March of 2021 for Collaboration Proposals for the reference detector. A Detector Proposal Advisory Panel (DPAP), an international committee of detector experts and theorists, was assembled to review the proposals submitted by the ATHENA, CORE and ECCE proto-collaborations. The outcome of that competitive review process is the ePIC collaboration, which is in the process of finalizing the technology choices and detector designs for the detector at IP6, starting from and extending the ECCE proposal as its reference design. Details about the current ePIC detector design and plans for the second detector at IP8 will be discussed in Sections 4.3 and 4.4, respectively.

The EIC is being designed to cover a center-of-mass energy range for  $e+p$  collisions of  $28 \text{ GeV} \leq \sqrt{s} \leq 140 \text{ GeV}$ , which in turn allows for a broad kinematic reach in  $x$  and  $Q^2$  as shown in Fig. 53. The diagonal lines in each plot represent lines of constant inelasticity  $y$ , which is the ratio of the virtual photon energy to the electron energy in the target rest frame. The quantities  $x$ ,  $y$ , and  $Q^2$  are obtained from measurements of energies and angles of final state objects, i.e., the scattered electron, the hadronic final state or a combination of both. The left panel in Fig. 53 shows the kinematic coverage for  $e+p$  collisions while the right panel shows the coverage for  $e+A$  collisions. The EIC will open doors for precision measurement with polarized beams to entirely new regions in both  $x$  and  $Q^2$  while providing critical overlap with present and past experiments. Access to the low- $x$  region is particularly important as this is the gluon-dominated regime where saturation effects are expected to emerge.

**The accelerator** The EIC must collide electrons with protons and other atomic nuclei (ions) over a range of energies. There must be enough collisions for the experiment to gather adequate data to elucidate or settle the known physics questions, and other questions that may emerge, in a reasonable time. A collider's ability to squeeze many particles of two beams into a tiny volume where they collide defines its luminosity. The luminosity ultimately required of the EIC is comparable to those of the highest performing colliders built to date, such as the LHC at CERN and the  $B$ -meson factories at SLAC and KEK. Furthermore, given the crucial role of spin, there must be the capability to polarize both the electron and the proton, neutron or light beams. That is to say, the spins of the individual particles in each beam must be made to line up with each other, overcoming their natural tendency toward randomization.

To achieve these goals, a host of techniques in accelerator physics and technology must be brought to bear. Only a few are mentioned here. State-of-the-art SRF cavities will accelerate high-intensity beams efficiently. Further specialized RF "crab" cavities will rotate the beams as they collide to optimize their overlap. Elaborate interaction region designs must squeeze two very different

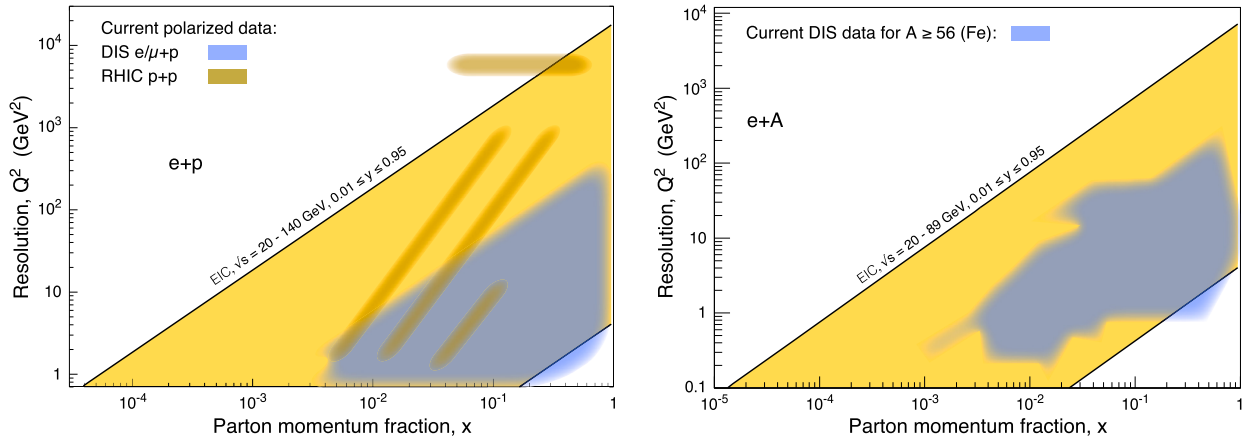


Fig. 53. Left: The  $x$ - $Q^2$  range covered by the EIC (yellow) in comparison with past and existing polarized  $e/\mu+p$  experiments at CERN, DESY, JLab and SLAC, and  $p+p$  experiments at RHIC. Right: The  $x$ - $Q^2$  range for  $e+A$  collisions for ions heavier than iron (yellow) compared to existing world data. Figure from [1343].

beams simultaneously into tiny spot sizes using advanced superconducting magnet designs. The hadron beams must be compressed in volume by sophisticated new “beam cooling” techniques that involve subtle interactions with ancillary electron beams. Polarized beams require polarized particle sources, special magnets, and a further level of mastery of beam physics to preserve the polarization through the acceleration process to the collisions. Polarized colliding stored beams have been achieved before only at HERA (polarized positrons or electrons on unpolarized protons) and at RHIC (both colliding proton beams polarized).

EIC accelerator requirements push the current technology and their realization requires significant research and development. Indeed, an important element of the scientific justification for a U.S. electron-ion facility is that it drives advances in accelerator science and technology, which in turn will benefit other fields of accelerator-based science and society. The accelerator physics and technology advances required for an EIC will, importantly, have the potential to extend the capabilities of many particle accelerators built for other purposes, from medicine through materials science to elementary particle physics. Construction and future operations of an EIC including an appropriate program of dedicated accelerator test experiments would sustain and develop this precious national asset and help the United States to maintain a leading role in international accelerator-based science.

#### 4.3. The ePIC detector

The ePIC detector is a state-of-the-art experimental instrument currently being designed and constructed by a multi-institutional international collaboration including over 600 scientists. To enable the full EIC physics program the ePIC detector needs to offer complete kinematic coverage for the detection of particles emitted in central ( $|\eta| \lesssim 3.7$ ), far-forward ( $\eta \gtrsim 3.7$ ) and far-backward ( $\eta \lesssim -3.7$ ) directions, where backward and forward refers to the electron and hadron beam directions respectively and the forward acceptance is required to extend down to  $10\sigma$  of the beam width away from its central line. The detected particles should be identified and their momentum measured with high precision, over an extensive energy range,  $\sim 0.1 - 50$  GeV [716]. These requirements will ensure all major physics processes: neutral-current and charged-current DIS, SIDIS, and exclusive processes, can be detected, including associated spectator nuclear fragments where relevant. Special attention was also given to evaluating detector requirements for measurements of processes involving jets, jet substructure, and heavy-flavor hadrons, such as precise vertex resolution, combined precision timing and position measurement, and precision calorimetry.

Meeting these stringent requirements is a formidable task that is further challenged by the asymmetric nature of EIC collisions and the need to have a non-zero crossing angle between the electron and hadron beams. Therefore, the ePIC detector requires complete and detailed integration with the EIC interaction point and accelerator beams, a major technical challenge that has been successfully addressed by the EIC community over the last several years.

The current layout of the ePIC central detector is shown in Fig. 54. The central detector is based around a 1.7 T superconducting solenoid with the same dimensions as the BaBar solenoid used by the sPHENIX experiment. It is divided into a barrel region ( $|\eta| \lesssim 1.5$ ) and forward and backward endcaps ( $1.5 \lesssim |\eta| \lesssim 3.7$ ). All central detector regions follow an overall similar particle detection concept, starting from high-precision vertexing and tracking measurements, continuing with Cherenkov and TOF based PID measurements, followed by electromagnetic and, finally, hadronic calorimetry. The tracking system will be based on a set of silicon detectors, using the ITS-2, ITS-3, and AC-LGAD technologies, supplemented by large radii MPPD detectors using  $\mu$ RWELL and  $\mu$ Megas technologies. The Cherenkov-based PID uses a high-performance detector of internally reflected Cherenkov light (DIRC) in the barrel, and a dual gas-aerogel RICH and an aerogel-based RICH in the forward and backward endcaps respectively. TOF information for low-momentum PID will be provided by AC-LGAD detectors in the barrel and forward endcap, providing both high-precision tracking and timing information, and in the backward endcap by the LAPPD sensors that will be used to read out the RICH detector. EM calorimetry will be based on  $\text{PbWO}_4$  crystals in the backward endcap, tungsten SciFi in the forward endcap, and either scintillating-glass crystals or an imaging calorimeter in the barrel. Hadronic calorimetry in the barrel will be done by reusing the iron scintillator calorimeter recently built for the sPHENIX experiment, and using a longitudinally segmented iron scintillator and tungsten scintillator sandwich

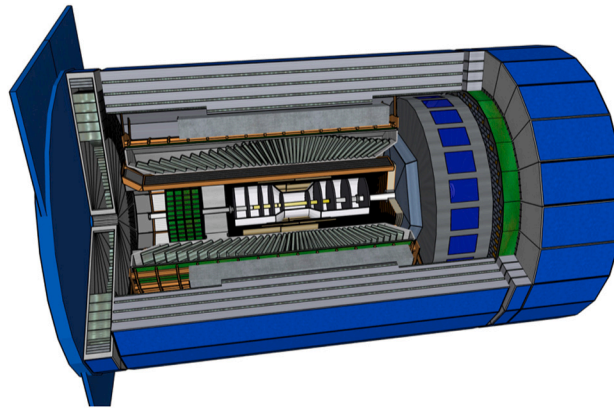


Fig. 54. Schematic drawing of the ePIC central detector showcasing its high-precision vertexing and tracking detectors, Cerenkov and TOF based PID detectors and electromagnetic and hadronic calorimeters. Figure from [1343].

in the forward endcap. The need for a hadronic calorimeter in the backward region is still being investigated and at present an un-instrumented iron scintillator sandwich calorimeter is planned to be placed to return the field and allow for a future addition of backward hadron calorimetry.

In addition to the detector suite for the primary interaction region, the ePIC detector design also includes the far-forward and the far-backward spectrometers that provide beam monitoring, among other functions, that ensure the EIC scientific program can be realized. The far-backward region includes a precision luminosity monitor and two stations of low- $Q^2$  taggers, while the far-forward region includes a ZDC, Roman pots, off-momentum trackers, and a B0 tracker. These detectors use the beam steering magnets themselves as the spectrometer magnet, making their design extremely complex and requiring close integration with the accelerator design.

In addition to the various detector components, a central and novel feature of ePIC is its ‘triggerless’ readout, enabling continuously recording (streaming) and storing all interaction data for off-line analysis. The development of such cutting edge readout system poses a significant challenge that requires the development of advanced zero-suppression and fast hardware-enabled AI algorithms for effective background filtering.

#### 4.4. Detector II

A key deliverable of the EIC Project is an accelerator design that can accommodate a second interaction region and detector. The scope of the EIC project includes one detector (the ePIC detector). At the same time, it is recognized by all stakeholders that a second, complementary, detector is essential to fully exploit the science potential of the EIC. Historically, projects of similar scientific impact and scope were designed to include two or more complementary detectors and the importance of this model has been demonstrated time and again. Multiple detectors will expand scientific opportunities, draw a more vivid and complete picture of the science, provide independent confirmation for discovery measurements and mitigate potential risks when entering uncharted territory, especially for systematics-limited measurements as the EIC expects to perform. Two detectors will expand the opportunities for a new generation of scientists and encourage technological development and innovation by fostering a natural and healthy competition between the two collaborations.

The timeline for establishing a second experiment at the EIC is crucial. The two experiments should be separated by no more than a few years for scientific validation to be productive. In turn, this delayed time frame can be used to explore new and complementary detector technologies that may not have been utilized by the ePIC detector. The EIC community has emphasized the need for at least two detectors for many years and the Detector Proposal Advisory Panel (DPAP) echoed this support stating in their report that “A strong case for two complementary general-purpose detectors has been made during the panel review” and that “There is significant support in the community and from the panel for a second general-purpose detector system to be installed in IR8 when resources are available.” The DPAP also concluded that “it is essential to have two detectors with a sufficient degree of complementarity in layout and detector technologies.” In particular, the panel made a convincing case for the significant gain in physics reach achievable with a secondary focus:

- increased acceptance in the invariant momentum transfer  $t$  of the scattered proton in  $e+p$  collisions, which directly translates into an increased resolution power for imaging partons in the transverse plane;
- significantly improved abilities to detect nuclear breakup in exclusive and diffractive scattering on light and heavy nuclei. The distinction between coherent and incoherent scattering is essential for the physics interpretation of these processes;
- prospects for a program of low-background  $\gamma$  gamma spectroscopy with rare isotopes in the beam fragments.

The panel further pointed out that “the additional R&D required for a second detector will bring additional benefits in developing technologies and in training the associated workforce.” The DOE Office of Nuclear Physics has followed up on this and restarted a generic



EIC-related detector R&D program. The EIC Users Group is in the process of refining the science case for a second detector and is actively working to engage additional national and international resources for this effort.

## 5. Connections to other sub-fields of nuclear physics

All QCD facilities, including CEBAF, RHIC, and the LHC, cover physics programs beyond the subjects of cold and hot QCD. The strong overlap between QCD physics and other nuclear science disciplines has always been a unique feature at these facilities. In previous sections, we have discussed, for example, the nuclear EMC effects and its close relation to nucleon-nucleon short range correlations which play an important role in the nuclear structure studies and are a crucial part of the physics program at FRIB. In the following, we highlight additional connections between QCD studies and other nuclear science fields and beyond.

### 5.1. Probing novel regimes of QED in ultra-peripheral heavy-ion collisions

The lowest order QED calculation [311,1220,1382–1384] of lepton pair production via photon-photon fusion in the equivalent photon approximation [311,1220,1383,1384] as input for the photon flux can be used to describe the unpolarized cross section in UPCs measured by RHIC and LHC [122,126,1216,1219,1385–1392]. This is true also when making selections on various topologies of forward neutron production using ZDCs, which are well-known to select on the internuclear impact parameter [315,1393–1395]. Coherent photons are highly linearly polarized with the polarization vector aligned along its transverse momentum direction. A sizable  $\cos 4\phi$  azimuthal asymmetry induced by linearly polarized coherent photons was observed in a STAR measurement [1387] in agreement with theoretical predictions [1396,1397]. With future high statistics data with larger acceptance in UPCs at RHIC and LHC, the phase space of photon collisions in transverse momentum, rapidity and momentum-space-spin correlations can be explored in extreme regions of QED fields [1215,1396,1398]. More importantly, these measurements provide a precision calibration necessary for photons as sources of the photonuclear processes [330,1399] (see Sec. 2.1.4) and the initial electromagnetic field, necessary for studies of emerging QCD phenomena (see Sec. 2.1.7).

### 5.2. Connection to nuclear astrophysics

Astrophysical observations have entered a new era with measurements of neutron star radii and tidal deformabilities that can be used to infer the neutron star equation of state at large baryon densities and vanishing temperature. In 2017 the first gravitational waves from merging binary neutron stars were measured as well as the electromagnetic component of the merger [1400]. Since then other potential mergers of neutron stars (either with other neutron stars or black holes) have been detected. The first radius measurement of a two-solar-mass neutron star [1401–1403] was done in NASA’s NICER mission. Both hot and cold QCD programs cover physics research that are closely related to the EOS of dense QCD matter. The upcoming years are expected to produce many other observations, providing unprecedented constraints on the dense matter equation of state.

#### 5.2.1. Heavy ion collisions to explore the QCD EOS

Low-energy heavy-ion collisions probe densities similar to neutron stars, albeit at much higher temperatures. However, significant theoretical development is needed to reliably make direct connections between neutron stars and heavy-ion collisions (see e.g. [58,1079,1146]), including further development of heavy-ion collisions simulations. One must also keep in mind that heavy-ion collision and neutron stars probe different regions of the phase diagram: while heavy-ion collisions are governed by the EOS of nearly symmetric nuclear matter, neutron stars are extremely neutron rich environments with very few charged hadrons. Thus, one must have a strong understanding of how properties of QCD are affected when comparing symmetric to asymmetric matter. Fortunately, measurements of mirror nuclei from the future CBM experiment at FAIR or FRIB [1404] could provide crucial insight into subtle differences between heavy-ion collisions and neutron stars.

The description of neutron star mergers can also benefit greatly from theoretical advances in relativistic viscous hydrodynamics, which were driven mostly by applications in heavy ion collisions. In such mergers, rapid changes in  $T$  and  $\mu_B$  [1405,1406] can drive fluid elements out of chemical equilibrium, and weakly interacting processes will relax them back to equilibrium. If the corresponding timescale is of the order of milliseconds [1405,1407], this may influence the hydrodynamic evolution and leave imprints in the post-merger gravitational wave emission [1408–1410]. In this case, the detection of post-merger gravitational waves (using upcoming 3G detectors) could provide information not only about the dense matter EOS but also about its novel transport properties. Furthermore, under certain conditions [1411,1412], the chemical imbalance associated with neutrino processes admits a viscous hydrodynamic description in terms of equations of motion similar to those investigated in heavy-ion collisions [1045,1413] (though now the transport coefficients are determined by weak-interaction processes). This synergy can foster new collaborations between heavy-ion physicists, nuclear astrophysicists, gravitation wave scientists, and numerical relativity experts (see, e.g., [1408,1410]).

#### 5.2.2. Neutron skin thickness in heavy nuclei and connection to neutron stars

Nuclei are known to be very dense with nucleons packed against each other. They could in fact be considered a terrestrial laboratory to study the behavior of extremely dense nuclear matter contained within celestial objects of the same nature, e.g., neutron stars. In neutron-rich nuclei, neutrons are expected to be distributed over a larger volume than protons, forming a neutron “skin” around the nucleus. The thickness of this skin is sensitive to the equation of state for nuclear matter, and specifically to the density dependence of the symmetry energy near saturation density. A direct measure of the neutron skin thickness has long been an

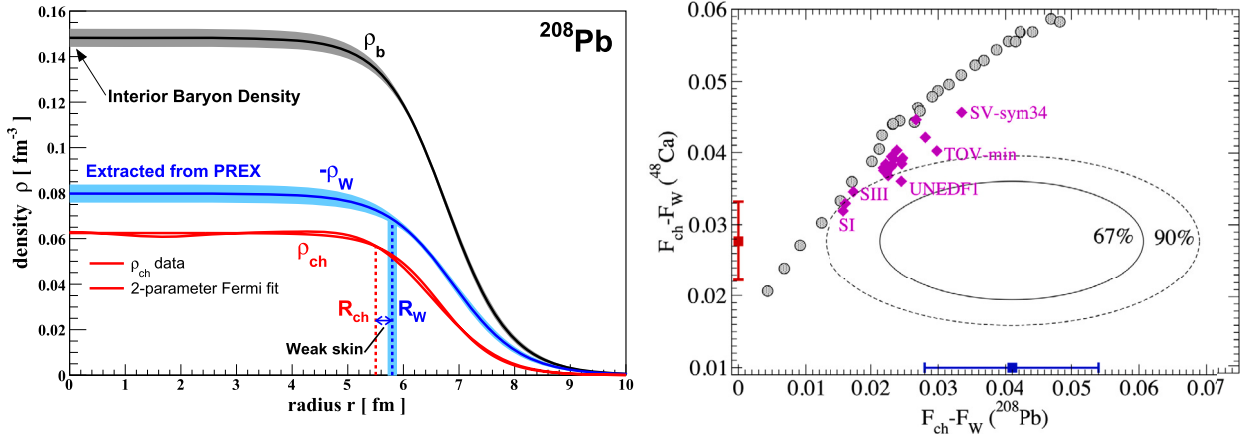


Fig. 55. Left: <sup>208</sup>Pb weak and baryon densities from the combined PREX datasets, with uncertainties shaded. The charge density is also shown [1414]. Right: Difference between the charge and weak form factors of <sup>48</sup>Ca (CREX) versus that of <sup>208</sup>Pb (PREX-2) at their respective momentum transfers. The blue (red) data point shows the PREX-2 (CREX) measurements. The ellipses are joint PREX-2 and CREX 67% and 90% probability contours. The gray circles (magenta diamonds) are a range of relativistic (non-relativistic) density functional models [1415].

elusive goal. The situation drastically changed with the use of observables involving more than electromagnetic interactions. Because the weak charge of the neutron is much larger than that of the proton, PVES provides a highly interpretable, model-independent probe of neutron densities.

Results from two such high-precision measurements at JLab have become available very recently: the PREX-2 experiment on <sup>208</sup>Pb found its neutron skin thickness to be  $0.28 \pm 0.07$  fm [1414], while the CREX experiment found the neutron skin of <sup>48</sup>Ca to be  $0.121 \pm 0.036$  fm [1415]. Here, the large <sup>208</sup>Pb nucleus provides a close approximation to uniform nuclear matter and the data imply an interior nuclear baryon density of  $0.148 \pm 0.038$  fm<sup>-3</sup>, while the <sup>48</sup>Ca system is more sensitive to details of nuclear structure and therefore presents additional tests of models. Both PREX-2 and CREX provide a direct, model independent measurement of the difference between the weak and electromagnetic form factors. The difference found by PREX-2 is relatively large, in contrast with the CREX result that shows smaller-than-expected differences. Nuclear model predictions tend to correlate between the two systems, thus the differing results present an important empirical challenge to precise modeling of nuclear structure. An experiment called MREX is being planned to measure the <sup>208</sup>Pb neutron radius to 0.03 fm precision at the new MESA facility in Mainz, Germany, which will help clarify the intriguing observation from PREX-2 of a thick neutron skin. See Fig. 55.

### 5.2.3. Cosmic-rays and nuclear physics

QCD studies have played an important role in one of the most compelling mysteries in astrophysics: the nature (nuclear composition) and origin of ultra-high energy cosmic rays (UHECR). Incident UHECR produce energetic air showers that develop as they propagate through the atmosphere. The relationship between observables, such as the size of the electromagnetic shower reaching the ground and the number of muons and the energy and species of the incident particle depends critically on the hadronic physics that is used to model the air shower; different simulation codes predict rather different results [1416]. An improved understanding of air shower development is critical in view of several outstanding mysteries in the field: the long-standing unresolved tension between Southern hemisphere observations by the Auger observatory [1417] and Northern hemisphere measurements by the Telescope Array (TA) [1418], and the apparent excess of muons in high-energy air showers seen by multiple experiments [1419–1421].

Although fixed target RHIC and LHC data have been helpful in tuning Monte Carlo models, there are still significant uncertainties [1422], and predictions are sensitive to parton behavior at low- $x$  [1423]. Better LHC data is needed in the far-forward region [1213,1424,1425], which is most important for determining the particle fluxes reaching the ground. This data will also be helpful in better estimating the atmospheric neutrino flux, including the prompt flux, where there are still significant uncertainties [1426]. Meanwhile, cosmic-rays offer us the opportunity to make nuclear-physics measurements that are not possible with current or planned accelerators [1427,1428]. Future radio-detection experiments should extend the cross-section measurements to energies above  $10^{19}$  eV, and thereby probe parton distributions at  $x$  values that are lower than are accessible at the LHC [1429], extending searches for saturation into a new regime.

### 5.3. Electron-nucleus experiments and connections to neutrino oscillation measurements

The precision of neutrino oscillation experiments depends on the ability to reconstruct the incident neutrino flux as a function of their energy at the detector position. As neutrinos are detected following their interaction with atomic nuclei in the detector, this extraction strongly relies on the precise understanding of neutrino-nucleus interaction cross sections. Current oscillation experiments report significant systematic uncertainties due to these interaction models [1430–1433] and simulations show that energy reconstruction errors can lead to significant biases in extracting the CP violating phase in neutrino oscillations at DUNE [1434].

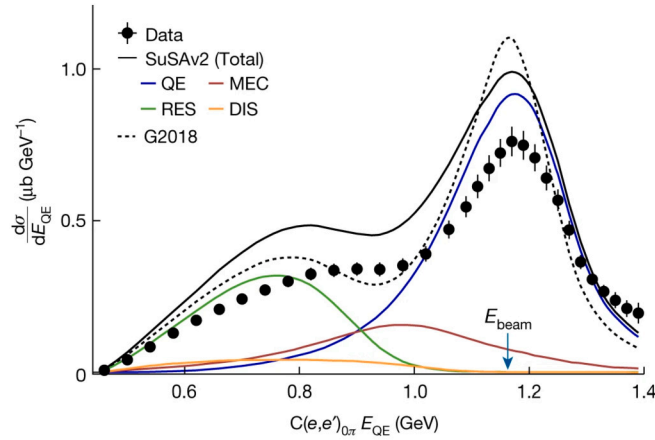


Fig. 56. Quasi-elastic reconstructed energy. The 1.159 GeV  $C(e, e')_{0x}$  cross section plotted as a function of the reconstructed energy  $E_{QE}$  for data (black points), and widely used interaction models such as GENIE SuSAv2 (solid black curve) and GENIE G2018 (dotted black curve). The colored lines show the contributions of different processes to the GENIE SuSAv2 cross section: quasi-elastic (QE), Meson Exchange Current (MEC), resonances (RES) and DIS. It can be seen that the reconstructed energy distributions based on these models agree only qualitatively with data and the difference can be up to 25%. Figure from [1435].

The  $e4\nu$  Collaboration exploits the similarity between electron- and neutrino-nucleus interactions to test and constrain these models. Utilizing the well known energy of the JLab beam and the large acceptance of the CLAS detector,  $e4\nu$  performed wide phase-space scattering measurements on relevant nuclear targets and used their data to test energy reconstruction methods and constrain the interaction models for neutrino experiments. In a recent publication [1435] they showed a quantitative disagreement (see Fig. 56) between electron scattering data and interaction models utilizing quasi-elastic-like topology, which is considered to be the simplest interaction one can measure and is used in many oscillations analyses. This disagreement grows with energy and nuclear mass number, as well as at large transverse momentum. Complementary measurements were also done by the JLab E12-14-012 experiment [1436–1441] to improve our understanding of the spectral function of Argon, the target nucleus used by most neutrino detectors in DUNE Refs. [1442–1445].

The  $e4\nu$  collaboration recently collected data with the CLAS12 detector at various energies and on different targets including argon. The collaboration expects to analyze various interaction channels and use its results to obtain an electron-tuned set of energy-reconstruction models for use by the neutrino oscillations community. In parallel, work is underway to unify the neutrino and electron modes in the widely applied GENIE event generators [1446] to consistently analyze electron and neutrino data for reliable tests of the standard model in long baseline neutrino oscillation measurements.

#### 5.4. Connections to physics beyond the standard model searches

##### 5.4.1. Searches for BSM physics in ultra-peripheral heavy-ion collisions

Ultra-peripheral heavy ion collisions provide a unique environment to look for BSM physics in regions of phase space not easily accessible to  $p+p$  collisions [1230,1447,1448]. As discussed in Sec. 5.1, in these collisions the nuclei do not get closer than twice the nuclear radius to each other and interact only via QED. This interaction is very strong (for QED) because the nuclei have both been stripped of their electrons. The two photons can interact via *light-by-light scattering* [1449], a process which was first measured in these collisions at the LHC [1450,1451]. The two-photon final-state in these collisions could be increased by BSM physics. Measurements of the cross section for these collisions [1451,1452] are consistent with expectations from the Standard Model. The limits on new physics from this process, such as the existence of ALPs, are expected to become stronger with the increased LHC luminosity in Runs 3 and 4 [1133,1447]. The anomalous magnetic moment of the  $\tau$  lepton ( $g_{\tau}-2$ ) is also sensitive to new physics beyond the Standard Model [1453] (as for  $g_{\mu}-2$  [1454]) and can be extracted from the  $\gamma\gamma \rightarrow \tau^+\tau^-$  process in UPCs. Early measurements from ATLAS and CMS [1391,1455], along with feasibility studies from ALICE and LHCb [1456], have already demonstrated a sensitivity competitive with that from previous LEP measurements [1457].

##### 5.4.2. Parity-violating electron scattering and EW/BSM physics

While CEBAF is considered primarily a QCD facility, the development of high-precision PVES has enabled it to make significant impact on low- and medium-energy tests of the neutral-current (NC) EW sector of the Standard Model and BSM physics. We describe a variety of such PVES measurements below.

**The proton weak charge** While electric charges of the proton and the neutron are well known static properties of the nucleon, their counterpart the weak charge, predicted by the theory of electroweak unification, is not as well constrained. The recent QWeak experiment [1458,1459] at JLab measured the proton weak charge  $Q_W^p$  for the first time using the parity-violating asymmetry between right- and left-handed electron elastic scattering off the proton. It was determined to be  $Q_W^p = 0.0719 \pm 0.0045$ , which leads to a determination of the weak mixing angle  $\sin^2 \theta_W = 0.2383 \pm 0.0011$ , both in good agreement with the Standard Model.

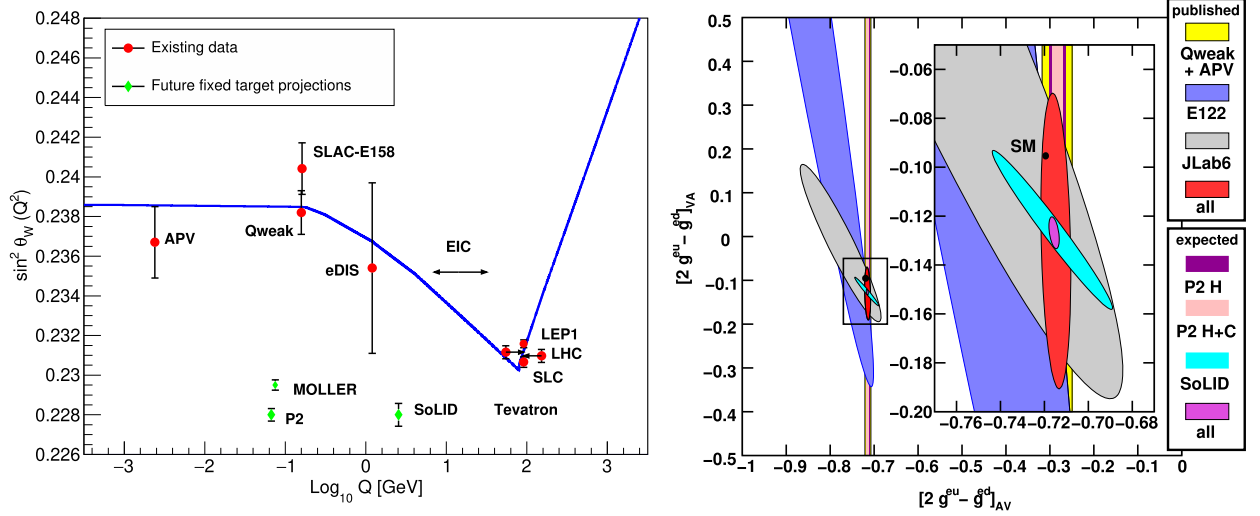


Fig. 57. Left: experimental determination of the weak mixing angle  $\sin^2 \theta_W$  including expected results from MOLLER and SoLID PVDIS. Data points for the Tevatron and the LHC are shifted horizontally for clarity. Right: Current experimental knowledge of the couplings  $g_{VA}^{eq}$  (vertical axis), with the projected SoLID result shown by the cyan ellipse. Also shown are expected results from P2 at Mainz (purple and pink vertical bands) and the combined projection using SoLID, P2, and all existing world data (magenta ellipse), centered at the current best fit values. See [1280] for details.

When combined with atomic parity violation experiments [1460–1462], the Qweak experiment provides the best constraint on the NC electron-quark coupling  $g_{AV}^{eq}$  to date. The P2 experiment planned at Mainz will improve the uncertainty over Qweak and will determine  $Q_W^p$  to  $\pm 1.83\%$  and  $\sin^2 \theta_W$  to  $\pm 0.00033$  [1463]. The chiral counterpart of  $g_{AV}^{eq}$ , the electron-quark vector-axial coupling  $g_{VA}^{eq}$ , was measured by the JLab 6 GeV PVDIS experiment [1464,1465] and is one central focus of the planned SoLID program at JLab, see next paragraph.

**Parity violation DIS and effective electron-quark couplings** The aforementioned Qweak experiment provided the first result on the proton weak charge [1459], and the 6 GeV PVDIS experiment provided the first evidence that the electron-quark vector-axial effective coupling  $g_{VA}^{eq}$  is non-zero at the  $2\sigma$  level [1464,1465]. The future of JLab Hall A will be comprised of two experiments that push the EW/BSM physics further, see Fig. 57. The first is the MOLLER experiment, that will measure the electron weak charge and determine the weak mixing angle with a precision comparable to high energy collider experiments. The second is the SoLID PVDIS experiment with a deuterium target, which is the only planned experiment that will improve the precision on  $g_{VA}^{eq}$  by an order of magnitude over the 6 GeV JLab result. A new Beam Dump Experiment (BDX) is planned that would run parasitically with MOLLER (or other high luminosity experiments), which will search for dark sector particles produced in the JLab Hall A beam dump.

## 6. Computing infrastructure

### 6.1. Software and algorithm development, including AI/ML

Modern NP includes a broad research program at a varied range of collaborative scales, from a few collaborators up to large experiments at scales comparable to those typical of HEP research [1466]. Consequently, there is a wide range of scales in the accompanying software efforts, from small pragmatic approaches to substantial organized software and computing activities. The trend for increased software and computational needs is well established [2] and will continue [1467,1468]. The NP community is conscientiously moving towards the next generation of data processing and analysis workflows that will maximize the science output [1469]. Programs at NP facilities that include JLab, BNL, and the EIC in particular [716,1341,1342], will continue to drive computational advances.

AI and ML have become important tools in NP theory, simulation, and data analysis [1470]. It is anticipated that their role will continue to grow over the coming years. Organizational efforts are being made to develop best practices and common toolkits for AI/ML technology, including for the EIC [1471]. Large focused efforts such as SciDAC [834] and CSSI [1472], as well as the establishment of AI Institutes [1473], should receive continued support. Integrating the technologies and techniques developed within these efforts into larger software ecosystems will require some effort. Particularly integration into mixed heterogeneous computing environments will be a particular challenge, requiring support for career paths with multi-domain expertise over the coming decades. In the following, we provide two detailed examples where AI/ML has already made an impact on NP research as well as a glimpse into its possible future development.

#### 6.1.1. AI/ML in data analysis and experimental design

AI/ML has shown great promise, relative to traditional approaches, in the analysis of the large complex data sets provided by NP experiments. For example: analysis of charged particle tracks [1474], reconstruction and identification of electromagnetic showers

in calorimeters [1475], jet tagging [1476,1477], particle identification [1478], and event-level reconstruction of kinematic observables [1466]. ML has been used recently in the unfolding of H1 data [1479,1480] as well as for fast reconstruction algorithms [1481]. Simulation has also benefited through the use of generative models [1482]. Finally, uncertainty quantification [1483,1484], robustness and explainability [1485] are of particular importance to experimental NP, with unique requirements not being addressed by industry.

AI/ML is also being used to assist in experimental design [1486,1487]. This can help to improve the efficiency of experiments, and has the potential to reduce the cost and time required to carry out the experiment. Modern electronics in streaming readout DAQ systems [1488,1489] makes it possible to incorporate high-level AI algorithms directly in the DAQ-analysis pipeline. This will lead to better data quality control and shorter analysis cycles. Autonomous control in detectors [1490] will lead to faster calibration and alignment of detectors which will eventually realize self-driven experiments.

### 6.1.2. AI/ML application in accelerator science

In addition to NP and QCD research, AI/ML has wide application in other closely related areas. In only the last five years, the application of AI/ML to accelerator facilities has grown exponentially. A representative sampling of the research is given in Refs. [1491–1505]. Applications include improved optimization for beam tuning, surrogate models to reduce simulation run times, novel anomaly detection schemes, prognostics, and automation. However, we are still far from realizing the full potential of AI/ML. Present conventional instrumentation, computing architectures and control systems were not designed to support collection of “ML-ready” data from thousands of instruments in km-scale accelerators. For instance, the increasing need to move large amounts of data around quickly may stress current networks. A recent solicitation for SBIR proposals describes the current situation [1506]. Next-generation facilities will require the entire data flow cycle – including infrastructure, data taking, handling, storage and access – to be revisited.

## 6.2. High-performance and high-throughput computing and high-capacity data systems

The NP experimental program will see increasing detector complexity as well as experiments with higher interaction rates than are common today. During the next two decades, two activities will be drivers for computing requirements: simulation and data processing. Simulation is necessary for hardware systems, such as accelerators and detectors, as well as science data. Data processing includes data acquisition, calibration, reconstruction, and analysis activities.

Simulation is well suited to be distributed as workflows across computational facilities, particularly High-Throughput Computing (HTC) facilities. Integration of heterogeneous hardware and AI/ML into simulation frameworks such as GEANT4 is already underway. For example, AI/ML has been successfully used to guide EIC detector design [1487]. These are activities where investment should continue.

The large data sets expected for NP research will require high-capacity data systems and data management tools. Despite large projected data rates and detector complexity, it is anticipated that providing the compute cycles to process data from the experimental program will not be significantly more challenging than it is today. Processor performance per dollar is expected to increase, as is the use of technologies such as heterogeneous hardware and AI/ML. Continued investment in R&D and deployment of advanced scientific computing technologies will help contain computing cost even as data set sizes grow. Instead, the main challenges for distribution of large data sets across facilities are data transport, workflow management, and data management. The FAIR Data Principles – Findable, Accessible, Interoperable, and Reusable – must be followed to ensure that the data are in place when the computing resources are available. Increased investment in accessibility is needed. Additional challenges exist in cyber-security policies, which at present are not aligned, and federated access for login or service access is a patchwork. DOE is currently considering the development of an Integrated Research Infrastructure with the goal of eliminating many of these challenges. Participation by the NP community will ensure that its needs are considered.

## 7. Nuclear data

Nuclear data are required for detector development and simulations of detector performance. One of the most crucial aspects of the design of physics experiments as well as in accelerator development and medical applications is the transport and interactions of particles in a material, be it a detector for physics applications or the human body for medical applications. The design of any experiment relies on factors such as material budget, how much material is required for each detector component; energy loss (stopping power), how far a particle will travel before it is stopped in a given material; energy and position resolution; and radiation tolerances. Once a detector is built and being placed in operation, further simulations are necessary to understand the systematic uncertainties on the data including effects on particle tracking such as multiple scattering in the material, affecting the momentum resolution, energy loss, and particle conversion. These transport models are also needed to determine the detector efficiency.

In high energy experiments, the code packages most commonly used are Geant4 [1507] and FLUKA [1508]. For example, the data used in Geant4 for photon evaporation, radioactive decay, and nuclide properties are taken directly from the Evaluated Nuclear Structure Data File (ENSDF) [1509], maintained at the National Nuclear Data Center at BNL [1510]. Neutron cross sections and final states are based on nuclear data libraries such as JEFF-3.3 [1511] and ENDF/B-VII.1 [1512] while the TENDL library [1513] is used for interactions of incident protons with matter. The SAID database is used for proton, neutron and pion inelastic, elastic and charge exchange reaction cross sections for interactions with nucleons below 3 GeV [1514]. Nuclear shell effects are based on the liquid drop model of the nucleus, including ground state deformations. Nuclear data are also required for the nuclear density profiles, photoelectric interactions, impact ionization, and optical reflectance, see Ref. [1507] for more references and details.

Nuclear data have played a direct role in data analysis by the ALICE Collaboration [1515]. The collaboration was able to make the first determination of the  ${}^3\bar{\text{He}}$  (anti  ${}^3\text{He}$ ) absorption cross section in matter by its interactions in different components of the detector made up of different materials with different average nuclear mass values. This result has cosmological implications for  ${}^3\text{He}$  production in the galaxy by cosmic ray interactions and dark matter annihilation [1515].

Recently, it has been suggested that a scan of collision species at colliders could complement low energy nuclear structure studies [301]. In particular, the ground state deformations of nuclei play an important role in the initial conditions of the quark-gluon plasma, leading to very different predictions of the transverse flow patterns for collisions of nuclei with the same mass number but different nuclear shapes, as was shown for collisions of cylindrically-shaped  ${}^{96}\text{Ru} + {}^{96}\text{Ru}$  compared to those of more irregularly-shaped  ${}^{96}\text{Zr} + {}^{96}\text{Zr}$  [301]. This is similar to the motivation for the earlier U + U run at RHIC: collisions of strongly deformed  ${}^{238}\text{U}$  nuclei could lead to very different initial densities and temperatures depending on whether the collisions were tip-to-tip or side-on-side [1516].

Nuclear data also play an important role in applications. Space exploration is one such application where high energy nuclear data in particular are critical, primarily due to the harmful effects of the space radiation environment. The wide range of energies, up to the TeV scale, and species,  $1 < Z < 28$ , of galactic cosmic rays (GCRs) [1517] make it challenging to determine all their potential effects on spacecraft and astronauts. While the Earth's atmosphere has a protective effect, cosmic ray showers reach the ground all over the Earth and, in fact, have been studied using collider detectors. In particular, muons from cosmic rays pass all the way through these detectors, producing tracks perpendicular to those from beam-beam collisions and are present even when the beam is not on, see Refs. [1518–1521]. The ALICE detector at the LHC includes the dedicated cosmic ray detector ACORDE [1522], used in analysis of Ref. [1521]. Collisions of GCRs with nuclei in the Earth's atmosphere or a spacecraft in orbit can generate showers of particles, including pions, muons, neutrinos, electrons, and photons as well as protons and neutrons.

The penetrating power of the initial GCRs and the secondaries generated by their interaction with matter, can have a serious impact on the safety and viability of space exploration. The 1% of GCR primaries heavier than He nuclei can be especially serious because the damage they inflict scales as  $Z^2$ . The secondary particles generated from GCR interactions with spacecraft material [1523] such as aluminum, polyethylene, and composites can harm astronauts and disrupt or disable electronic systems. The spacecraft shielding designed to reduce the GCR flux is also a target that can increase the secondary flux. Because of the wide variety of possible shielding materials and thicknesses, modeling is essential to determine the sensitivity of the secondaries (both in flux and composition) to different shielding configurations, as well as to determine the subsequent harmful impact of those secondaries on electronic systems [1524] and humans [1525].

Understanding the effects of the highest energy cosmic rays requires high energy (GeV range) nuclear data and modeling. However, there are no measurements for incident projectile energies greater than 3 GeV/nucleon. There is a possibility to fill part of these critical gaps in nuclear data employing fixed-target collisions at RHIC. A proposal [1526] was recently made to bombard C, Al, and Fe targets with C, Al, and Fe ions at energies from 5 to 50 GeV, and measure the produced secondaries using the STAR detector. This measurement, however, would have to be completed before RHIC is shut down and EIC construction has begun.

Due to the lack of data at the appropriate energies, simulations of space radiation effects have large uncertainties. The space research community has generally relied on phenomenological nuclear reaction models such as the Double Differential Fragmentation model (DDFRG) [1527]. Many of the models rely on abrasion-ablation models [1528,1529] or semi-empirical parameterizations, see Ref. [1530]. Researchers modeling these interactions could benefit from codes developed to study data from RHIC. The use of hadronic cascade models such as the UrQMD code [392], which was shown to be able to predict proton and deuteron yields from the BNL Alternating Gradient Synchrotron studies of 15 GeV protons on Be and Au targets [1531,1532], could significantly advance simulations of collisions relevant for space exploration. For further information about nuclear data needs for space applications, see Refs. [1533,1534].

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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