

Wave propagation and scattering in reinforced concrete beams

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1 Steel reinforcement bars (rebars) are vital to the strength of reinforced concrete (RC)
2 structures, but can become damaged due to corrosion. Such damage is generally
3 invisible and non-destructive testing methods are needed to assess their integrity.
4 Guided wave methods are popular because they are capable of detecting damage
5 using sensors placed remotely from the damage site, which is often unknown. This
6 paper predicts free wave propagation in RC beams from which the concept of a guided
7 wave based damage detection method emerges. The wave solutions are obtained
8 using the wave finite element (WFE) framework where a short section of the beams
9 cross section is modelled in conventional FE and periodic boundary conditions are
10 subsequently applied. Reinforcement elements are used in the FE model of the cross
11 section as a neat and efficient means of coupling the concrete to the rebars and
12 imposing prestress. The results show that prestress, important for static behaviour,
13 has a negligible effect on wave dispersion. An RC beam with a damaged section is
14 modelled by coupling three waveguides, the centre waveguide being identical to the
15 outer ones except for a thickness loss in one rebar. Only small differences in cut-on
16 frequencies are observed between the damaged and undamaged sections. However,
17 these small differences give rise to strong reflection of some waves at frequencies
18 close to cut-on. Below cut-on, most incident power is transmitted but experiences
19 wave mode conversion whereas above cut-on most power is transmitted to the same
20 wave type. These observations form the basis for ongoing work to develop a damage
21 detection technique premised on wave reflection near cut-on.

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22 I. INTRODUCTION

23 Reliable, cost effective and more widespread nondestructive inspection of concrete struc-
24 tures is needed to reduce the occurrence of catastrophic failures. Vibration based methods
25 have proved popular, particularly since they are potentially global, i.e. the effects of damage
26 on vibration can be observed away from the damage site. A key limitation is the need to
27 have either an accurate physical model of the undamaged structure or a reference set of
28 measurements in the undamaged state. A comprehensive review by (Wang *et al.*, 2010)
29 covered modal based approaches (natural frequency, mode shapes, modal strain energy and
30 dynamic flexibility considerations) as well as non-physical models comprising Artificial Neu-
31 ral Networks (ANN) and time domain analysis of actual structural response measurements.
32 Guided wave based methods, by contrast, do not typically require a reference state and are
33 also independent of the often unknown boundary conditions. Modelling is, however, still
34 essential to develop or tailor guided wave techniques for particular applications. Since RC
35 beams are composite structures, analytical wave solutions do not exist and a numerical ap-
36 proach is needed. The Wave Finite Element method (WFE) is well suited to this scenario,
37 whereby a short segment of the beam is initially modelled via FE. By applying periodicity,
38 its free and forced wave propagation solutions can be obtained.

39 (Duhamel *et al.*, 2003) developed the WFE method for simple homogeneous one-
40 dimensional waveguides including flexure of beams. This formulation involved the derivation
41 of a Dynamic Stiffness Matrix for one segment of the waveguide that is then used in the
42 derivation for the transfer function of the variables comprising the nodal displacements and

43 forces across the segment, which in principle can be obtained from either an analytical
44 model or a FE model realization. Subsequently, (Mace *et al.*, 2005) presented the flexural
45 wavenumbers, energy and wave group velocity for structures comprising a beam, a simply
46 supported plate strip and a viscoelastic laminate. Issues related to waves containing coupled
47 displacements in more than one component direction were obtained as well as numerical ill
48 conditioning issues were addressed. For each wave type, there is a corresponding displace-
49 ment field or wave mode over the cross section. This can be used to discriminate between
50 which free wave is propagating and its corresponding wavenumber at each frequency.

51 WFE is not restricted to structures composed of one material, as demonstrated by (Men-
52 cik and Ichchou, 2007) who formulated and solved wave propagation in guided elastodynamic
53 structures filled with acoustic fluid. Free and forced frequency responses of the waveguide
54 were presented, and comparisons between the proposed method and classical theories were
55 formulated showing that this method is not limited to low frequencies. In a later application,
56 (Waki *et al.*, 2009b) expressed free and forced vibrations and experimental validation for a
57 tyre using WFE. Numerical issues were considered (Waki *et al.*, 2009a), and a robust nu-
58 merical solution procedure was proposed which is used herein. It showed that it was possible
59 when formulating the Dynamic Stiffness Matrix to use dynamic condensation, not Guyan
60 Reduction, of the internal degrees of freedom for the modelled segment without introducing
61 significant errors and so keeping the numerical size of the model determined by the number
62 of degrees of freedom on the cross section of the segment.

63 In practice, continuous waveguides comprise only part of a complex structure and there is
64 a need to model typically joints, attachments, interfaces, etc., which can result in scattering

65 as well as being necessary for any finite structural frequency response calculation. For
66 coupling of waveguides, a WFE-FE-WFE coupling approach was developed ([Ichchou *et al.*,
67 2009](#)). For a damage scenario, a diffusion matrix prediction model (DMM) was used to couple
68 damaged and undamaged waveguides, where higher modes showed greater sensitivity to
69 damage modelled as a through thickness notch in the section modelled by FE and coupled to
70 the waveguides. In this application, when the wave modes across the section which propagate
71 and incident onto the notch possess a characteristic length scale across the thickness similar
72 to the notch depth then significant reflection can occur, whilst lower frequency wave modes
73 pass across the notch section with small reflection. Therefore, the identification by reflected
74 waves will be depth of notch and selected wave mode dependent. ([Zhou and Ichchou, 2010b](#))
75 subsequently extended the work to plates and expressed wave excitation and scattering
76 using the WFE eigensolutions of the coupled structures as well as time domain predictions
77 simulating scattered effects and subsequent spectrogram illustrating the significant reflection
78 of incident A0 mode Lamb waves.

79 Another method, applicable for certain types of damage located in one section of a waveguide
80 which still exhibits wave guide behaviour, is to model each waveguide via WFE. The
81 approach is then to couple damaged and undamaged sections by coupling of the different
82 waves, i.e. a WFE-WFE-WFE coupling model methodology. For instance, ([Harland *et al.*,
83 2001](#)) elegantly presented the reflection and transmission coefficients of wave modes comprising
84 both displacement and force vector descriptions passing through two different beam
85 waveguides. By considering the continuity and equilibrium equations at the junctions, the
86 incident, reflected and transmitted waves can be related through WFE solutions in each

87 waveguide. Finite ends and boundary conditions were also formulated, but no finite lengths
88 were considered. The later study by (Lee *et al.*, 2007), which is applicable as background
89 for this current paper, is the introduction of both the reflection and transmission calculation
90 through a finite length connector separating two beams. An example considered the effect of
91 a tapered length joining two rectangular cross section beams of different constant thickness
92 producing results that are in good agreement to an exact solution. The wave propagation
93 in the intermediate section involves both reflection and transmission matrices at the two
94 interfaces in addition to propagation matrices for the wave amplitudes along its length. The
95 concise formulation retains the physics with efficient and accurate computational solutions,
96 involving wave conversion as well as power balance. This last papers focus was primarily
97 introducing the methodology and wave conversion. It did not attempt to unravel the cut-on
98 effect that could exist within the intermediate section, and what happens for waves already
99 cut on in the first section that are then incident upon the intermediate section.

100 Mode conversion, providing subsequent reflection and transmission coefficients have also
101 been used to localize damaged portions in a curved beam (Zhou and Ichchou, 2010a) and
102 again this considered a notch type form of damage as considered by (Ichchou *et al.*, 2009).
103 (Kharrat *et al.*, 2011)) also proposed the identification and sizing of defects in pipelines by
104 WFE, using torsional guided waves with reflections proposed as a methodology to identify
105 cracks represented by rectangular defects through the thickness and over a rectangular area
106 and represented by a cylindrical section using an FE model. It again was a WFE-FE-
107 WFE model, but the dynamic condensation for the FE modelled length was by Component
108 Mode Synthesis (CMS) rather than condensation of the dynamic stiffness matrix, say, which

109 would need to be performed at every frequency. Later work ([Kharrat *et al.*, 2014](#)) used
110 WFE to extend the torsional wave model to construct a numerical database of reflection
111 coefficients by varying the dimensions of the pipeline damage. This work also included
112 experimental validation, showing the additional complication of attenuation in a complex
113 pipework system. The experimental results for the reflection coefficient for the lowest order
114 torsional mode on a single pipe are in good agreement with the WFE predictions over a range
115 of frequencies. However, on a complex pipework system, with results only at three particular
116 frequencies and no clear attempt to interpret what wave types were involved, it offers limited
117 validation for a practical solution. ([Renno and Mace, 2013](#)) subsequently calculated the
118 reflection and transmission coefficients for very general joints with multiple connected one-
119 dimensional wave guide systems at one point using a hybrid FE/WFE approach, where
120 again the joint was modelled via FE and a small portion of each waveguide is described via
121 a corresponding WFE model. Comparison against analytical simplified models and full solid
122 element FE models highlighted the need for a sufficiently accurately refined FE mesh using
123 solid elements, which has been adopted herein.

124 A number of experimental studies in the literature use guided waves to detect damage
125 in RC beams, e.g. ([Amjad *et al.*, 2015](#)). However, numerical characterization of free wave
126 propagation in RC beams is limited. ([Zima, 2019](#)) contrasted the dispersion curves of a single
127 rebar in vacuo with one embedded in a square concrete section, using the semi-analytical
128 finite element (SAFE) method. ([Yamakawa and Murakami, 1997](#)) predicted the dispersion
129 curves and wave mode shapes of a cylindrical concrete column with longitudinal rebars
130 by applying Floquet boundary conditions to a unit cell modelled in finite elements. Tie

131 bars were also included but found to be negligible. However, to the authors knowledge no
132 wave based models have been developed that account for both the composite and preloaded
133 nature of RC beams. The WFE methodology is directly applicable, but an accurate FE
134 model of the section is first required. Modelling is complicated by pretension in the steel
135 reinforcement bars (rebars) that prestress the concrete and by periodically placed vertical
136 rebars (stirrups). In commercial software, such as ANSYS, concrete is typically modelled
137 using solid (SOLID65) elements and the steel reinforcements represented via link (LINK8 or
138 LINK180) elements (Badiger and Malipatil, 2014) (Jnaid and Aboutaha, 2015). Coupling
139 is required between the solid and link elements to transfer prestress. (Li and Zhang, 2011)
140 validated this approach through measured natural frequencies published in (Saiidi *et al.*,
141 1994). An alternative modelling approach has recently been implemented by (El Masri,
142 2018) using 3D discrete reinforcing elements, REINF264 (ANSYS, 2013). This obviates the
143 need for coupling elements, reduces the number of degrees of freedom (DOFs) and produces
144 comparable results to those published by (Li and Zhang, 2011).

145 In this paper, free wave propagation is studied in uniform and non-uniform prestressed
146 reinforced concrete (RC) beams using the WFE method. Sec. (II) provides a brief synopsis
147 of the WFE method and describes its implementation for RC beams. Dispersion curves
148 are presented, which are subsequently validated experimentally in Sec. (III). Sec. (IV) de-
149 scribes how three waveguides obtained by WFE can be coupled to model a piecewise uniform
150 waveguide where the centre section may, for example, represent damage. It is verified that,
151 for the case when loss of thickness is applied to one rebar, the reflection coefficients are
152 identical to those obtained by the established approach of coupling in an FE model of the

153 discontinuity. However, the former approach is more conducive to physical interpretation of
154 the wave behaviour. In Sec. (V), the model is used to study wave scattering in the case of
155 an RC beam with a local loss of thickness in one rebar. Some wave modes exhibit strong
156 reflection in a narrow frequency band where a wave starts to propagate in the undamaged
157 section but is still evanescent in the damaged section. Conclusions are drawn in Sec. (VI)
158 which are related to ongoing research activities to develop a damage detection method for
159 RC beams based upon this behaviour.

160 II. WFE MODELLING OF RC BEAMS

161 The WFE method is an established method for computing free wave propagation in
162 uniform or periodic waveguides which can be represented by a chain of identical cells, as
163 shown in Fig. 19. An FE model is created of one cell such that the nodes and their associated
164 DOFs are ordered identically on the left and right sides. In the case of a uniform waveguide
165 the cell is typically just one element long. Nodal forces and displacements on one side of
166 the cell are related to those on the other side by a transfer matrix that is a function of
167 frequency and the global FE mass and stiffness matrices. The propagation of a wave of
168 wavenumber k along a cell invokes a phase shift of $k\Delta$ between the left and right nodal
169 forces and displacements. An eigenvalue problem is obtained for each frequency, where
170 the eigenvalues relate to wavenumber solutions of right and left propagating waves and the
171 eigenvectors are the associated force and displacement wave mode shapes. An outline of the
172 method is given in an appendix, and the interested reader is referred to (Duhamel *et al.*,
173 2006) for further details.

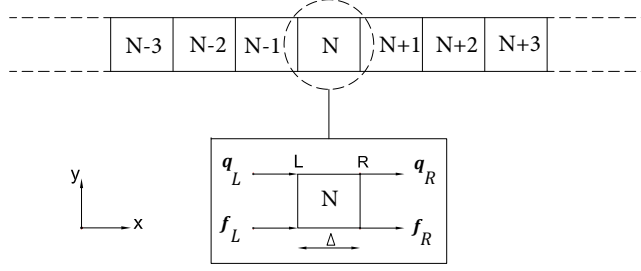


FIG. 1. Structure with periodic elements: the cell N with segment length Δ is shown with the vectors for the internal forces and displacements.

175 The evolution with frequency of individual waves can be tracked, and hence dispersion
 176 curves drawn, by pairing similar wave mode shapes between one frequency and the next.
 177 In this paper similarity is quantified using the Wave Assurance Criterion ([Houillon *et al.*,
 178 2005](#)):

$$\text{WAC}(u, v) = \frac{({}^t u \cdot \bar{v})({}^t v \cdot \bar{u})}{({}^t u \cdot \bar{u})({}^t v \cdot \bar{v})} \quad (1)$$

179 where two complex eigenvectors u and v are associated with two distinct eigenvalues,
 180 and t is the transpose formation. If the WAC number is close to the unity, then the two
 181 eigenvectors u and v at two consecutive steps correspond to the same eigenvalue.

182 The WFE methodology is used here to compute the dispersion curves and associated
 183 wave mode shapes of a uniform deep RC beam, as shown in Fig. 2, with and without
 184 prestress. The reinforced concrete section is modelled using 16 SOLID65 elements, as shown
 185 in Fig. 3(a), using the properties listed in Table I. The horizontal rebars are modelled via
 186 the embedded approach using REINF264 elements. The vertical stirrups are neglected. The
 187 length Δ of the segment is set equal to 0.01 m, the total number of DOFs n , is 150, and

188 a hysteretic damping value η of 0.004 is chosen. A second damaged model was created in
 189 which the bottom right rebar was reduced in thickness by 36%, as shown in Fig. 3(b).

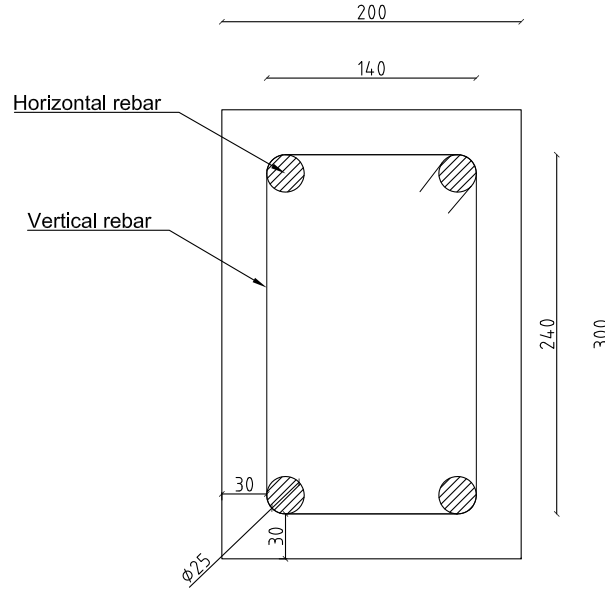


FIG. 2. Cross section details of the RC beam reinforcement.

TABLE I. Material properties for concrete and steel.

| Material properties | Concrete | Steel |
|-------------------------------------|------------------|-------------------|
| Young Modulus E (Pa) | 40×10^9 | 200×10^9 |
| Poisson ratio ν | 0.18 | 0.3 |
| Density ρ (kg/m ³) | 2400 | 7850 |

190 Prestress is modelled via an initial strain in the rebars in a preliminary load stage. The
 191 initial static strain value is then calculated based on the steel reinforcement material prop-
 192 erties and the prestress force applied. It is assumed that the tensile prestress force of the

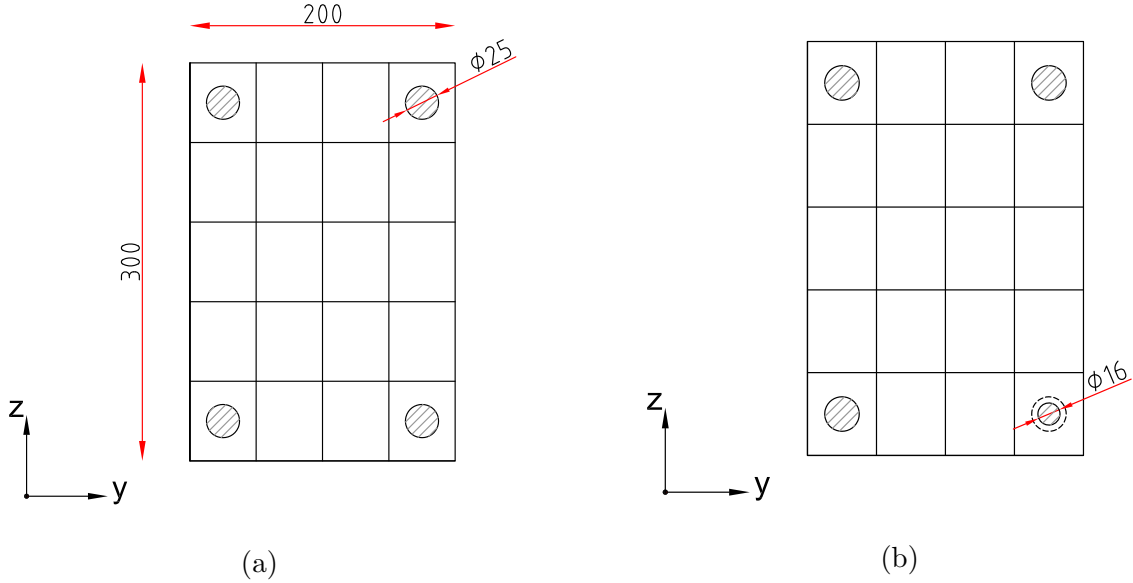


FIG. 3. FE mesh of RC sections (a) undamaged and (b) damaged with a rebar diameter reduction of 36%. Dimensions in mm.

193 steel reinforcement is equal to 70 percent of its ultimate tensile strength (0.4×10^9 Pa).
 194 This force is used to prestress both the damaged and undamaged rebars. Thus the stress
 195 value used to calculate the initial strain for the damaged rebar is higher than that for the
 196 undamaged one, since the cross sectional area is smaller for the same amount of prestress
 197 force. Subsequently, $\varepsilon_1 = 0.0014$ and $\varepsilon_2 = 0.0036$ are the initial longitudinal strain values
 198 for the original and reduced diameter rebars respectively.

199 The dispersion curves for an undamaged RC beam with and without prestress are shown
 200 in Fig. 4. The effect of prestress is to shift the curves slightly to the right owing to a small
 201 increase in stiffness. Hereafter, prestress is omitted from the model.

202 The dispersion curves for the RC beam with and without the rebar loss of thickness are
 203 shown in Fig. 5 (fundamental modes 1 to 4) and Fig. 6 (cut-on waves, denoted here by their
 204 cut-on frequency prefixed by E). Only slight changes are apparent between the behaviour

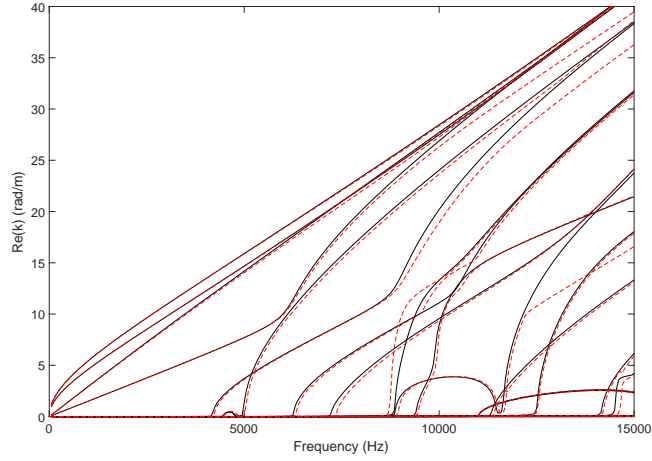


FIG. 4. Dispersion curves for the real part of the wavenumbers. RC section (—), prestress RC section (---).

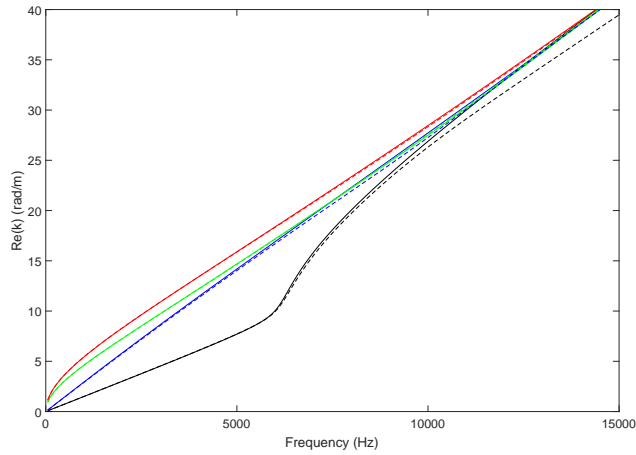


FIG. 5. Dispersion curves for the real part of the wavenumbers for propagating wave modes in an RC beam. Original RC section (—), reduced rebar section (- - -): mode 1 axial (-), mode 2 torsional (-), mode 3 bending (-) and mode 4 transverse bending (-).

205 of damaged and undamaged RC beams, from which it is concluded that dispersion curve
 206 measurement is not a suitable basis for damage detection. The cut-on frequencies are shifted

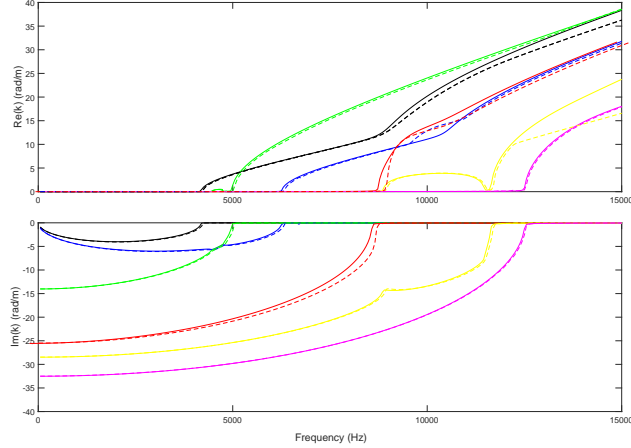


FIG. 6. Dispersion curves for the evanescent wave modes of an RC beam. Undamaged section (—), damaged section (- - -): E4200 (-), E5000 (-), E6300 (-), E8700 (-), E11700 (-), E12500 (-). E denotes an evanescent wave with its associated cut-on frequency in Hz.

207 slightly to the right due to reduction of rebar thickness, the loss of steel mass being more
 208 influential since the overall stiffness is dominated by the concrete.

209 In-plane modal displacements corresponding to the fundamental modes at 1kHz are shown
 210 in Fig. 7, and evanescent waves at their respective cut-on frequencies are shown in In-plane
 211 modal displacements corresponding to the fundamental modes at 1kHz are shown in Fig. 8.
 212 Mode 1 is associated with axial motion, mode 2 with torsional displacements around the
 213 x-axis, and modes 3 and 4 with bending in the vertical and transverse directions. Initially
 214 evanescent modes E5000, E8700, E11700 and E 12500 feature deformation in the plane of
 215 the cross section whereas modes E4200 and E6300 are predominantly axial owing to the
 216 small value of Poisson ratio used for concrete.

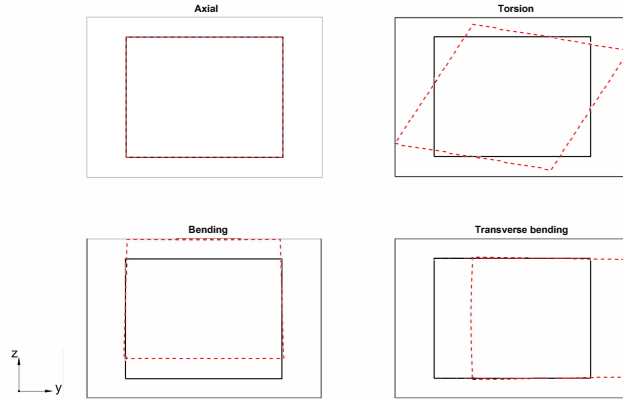


FIG. 7. Nodal displacements in the plane of the cross section (Y and Z directions) for selected propagating wave modes in an undamaged RC section. Undeformed section (—), deformed section (---) at 1000 Hz.

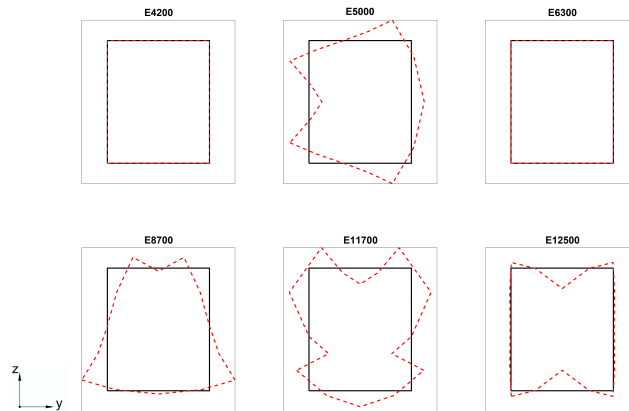


FIG. 8. Nodal displacements in the plane of the cross section (Y and Z directions) for selected evanescent wave modes in an undamaged RC section. Undeformed section (—), deformed section (---). E denotes an evanescent with its associated cut-on frequency.

217 **III. EXPERIMENTAL VALIDATION OF WAVES IN RC BEAMS**

218 The experimental validation comprised testing three RC beams of dimensions $0.2\text{m} \times 0.3\text{m} \times 2\text{m}$;
 219 one had intact reinforcements and the other two had a 200 mm long section where the di-
 220 ameter of one rebar had been reduced. Grade 60 steel reinforcements were used for each
 221 beam, which were separated into horizontal and vertical (stirrup) rebars as illustrated in
 222 Fig. 8. The undamaged horizontal rebars are uniform and 25 mm in diameter whilst the
 223 damaged ones show a reduction to 16 mm and 10 mm respectively, as shown in Fig. 9. The
 224 horizontal and vertical reinforcements were tied together using steel fibres.

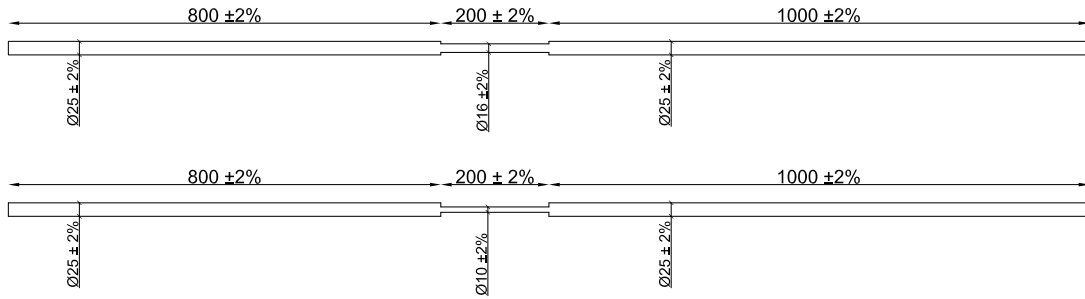


FIG. 9. Details of the damaged (reduced rebar) steel reinforcements.

225 After forming and curing, cylindrical concrete samples were crushed to identify the con-
 226 crete's compressive strength f'_c . The average strength was found to be 70 MPa. One can
 227 relate the concrete's compressive strength f'_c to its Young's Modulus E_c in MPa using (ACI,
 228 1995)

$$E_c = 4700\sqrt{f'_c}. \quad (2)$$

229 The associated Young's Modulus of concrete at 28 days was found to be approximately 38.9
 230 GPa.

231 Roller boundary conditions were realized at both ends of the RC beams, see Fig. 10. An
 232 instrumented force hammer (PCB 086C03) was used to excite the structure in the vertical
 233 plane of symmetry, at a point 0.3 m from the left hand end. A hard tip was chosen to
 234 maximize bandwidth of the input. A roving miniature ICP accelerometer (PCB 352C22) was
 235 used to measure the vertical transient response at 20 positions from 0.5 to 1.5 m (including
 236 the damaged region), and transfer accelerances were computed.

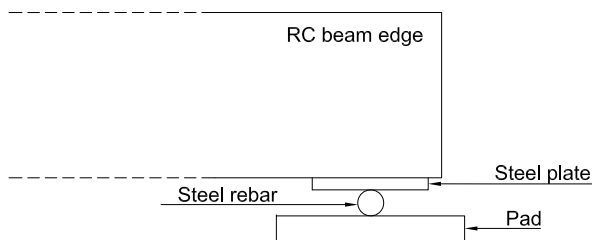


FIG. 10. RC beam roller boundary details.

237 Assuming a single wave type to be dominant at any one frequency, then an approximately
 238 harmonic spatial variation in response is expected along the beam. Its correlation to a
 239 sinusoid of trial wavenumber k_t can be estimated by (Ferguson *et al.*, 2002),

$$\hat{W}(k_x, \omega) \approx \sum_{i=1}^N w(x_i, \omega) e^{-ik_x x_i}. \quad (3)$$

240 where $\hat{W}(k_{tx}, \omega)$ is the frequency response at frequency ω and position x_i . The value
 241 of trial wavenumber that gives the highest correlation coefficient is selected as a point in the
 242 wavenumber-frequency plane. The trial wavenumber was selected within the range of 0 to
 243 50 rad/m with a step size of 0.2 rad/m.

244 Fig. 11 shows the dispersion curves estimated from measurements of both the undamaged
 245 and damaged beams. The inclusion of some transducer measurements in the damaged region
 246 of two of the beams has not adversely affected estimation of the dispersion curves. The
 247 correlation technique successfully extracts multiple branches which are in close agreement
 248 with WFE predictions, also shown. Axial, torsional, transverse bending, and some higher
 249 order modes are not observed given the positions and orientations of the input and response
 250 sensors.

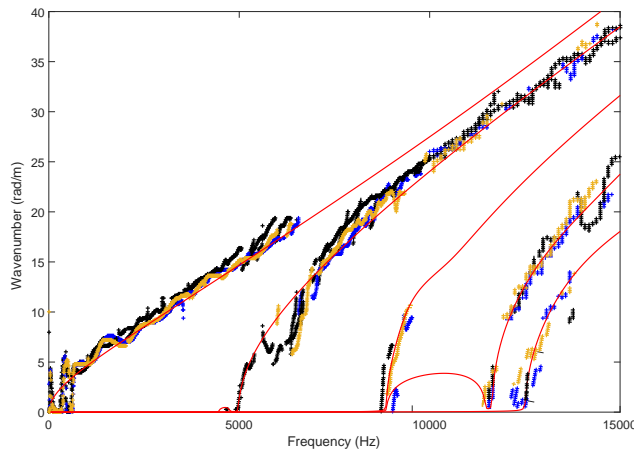


FIG. 11. Predicted and estimated wavenumbers for the damaged and undamaged RC beams after 28 days . WFE predicted wavenumbers (-), undamaged original beam (+), reduced rebar with 36% diameter reduction (+) and 60% diameter reduction (+).

251 Accelerance measurements were also taken at five points across the top and five points
 252 down one side of the cross section of the undamaged RC beam at a single position along
 253 its length. The operating deflection shapes at the cut-on frequencies of three of the wave
 254 modes are illustrated in Fig. 12 and Fig. 13. Good agreement is seen between these and the
 255 predicted wave mode shapes shown in Fig. 8.

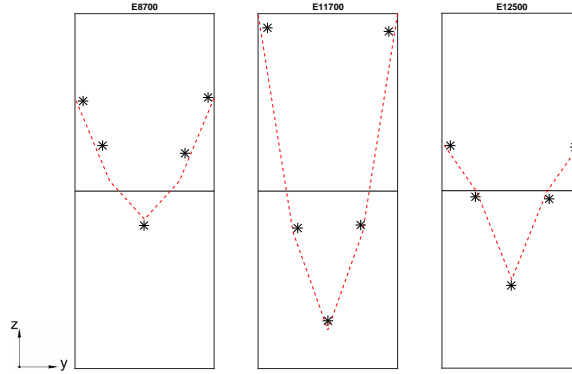


FIG. 12. Nodal displacements on the top surface in the Z direction for selected evanescent wave modes at cut-on frequencies of undamaged RC beam. Undeformed section (—), WFE deformed section (— — —), experimental deformed section (*). E denotes evanescent with its associated cut-on frequency.

256 IV. COUPLING OF WAVEGUIDES

257 The WFE method has been used previously to model semi-infinite waveguides joined by
 258 a discontinuity which is modelled in FE, referred to here as the WFE-FE-WFE method.
 259 The system is then coupled using continuity and equilibrium conditions (Ichchou *et al.*,
 260 2009). The advantage of this approach is that discontinuities of arbitrary geometry can be
 261 accommodated. However, when the discontinuity can itself be approximately represented as
 262 a uniform waveguide then it is more computationally efficient and physically insightful to
 263 couple three waveguides which are all similarly modelled in WFE, denoted WFE-WFE-WFE
 264 here, as shown in Fig. 14. Analysis for this original approach is derived as follows.

266 In Fig. 14, waveguides 1 and 3 represent identical beams whereas waveguide 2 is of
 267 finite length and different materially or geometrically due to damage, for example. Each is

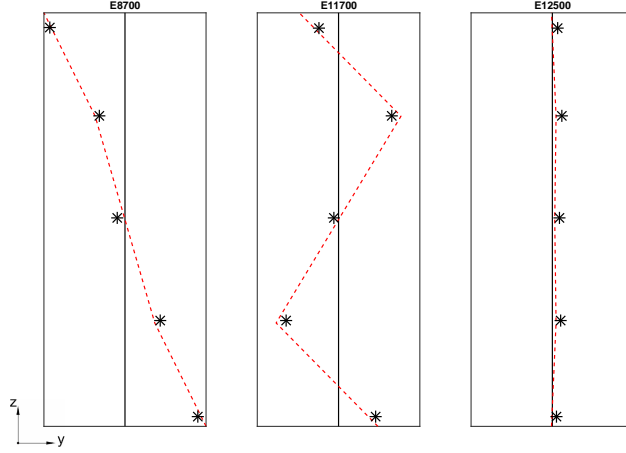


FIG. 13. Nodal displacements in the Y direction of selected evanescent wave modes at cut-on frequencies on the side surface of undamaged RC beam. Undeformed section (—), WFE deformed section (---), experimental deformed section (*). E denotes evanescent with its associated cut-on frequency.

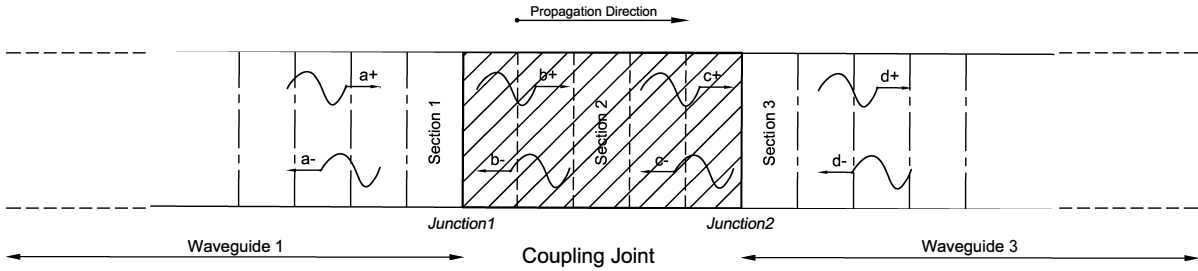


FIG. 14. The interface between wave finite elements waveguides: Sections 1, 2 and 3.

268 modelled in WFE using a segment of length Δ_i). In order to obtain the scattering matrix
 269 due to the finite section, one should first obtain the scattering matrices for the two junctions.

270 At junction 1, define \mathbf{a}^- and \mathbf{b}^+ as the amplitudes of the wave modes scattered by the
 271 coupling element interface. \mathbf{a}^+ and \mathbf{b}^- are the amplitudes of the wave modes incident onto
 272 the coupling element interface. Furthermore, Φ^+ and Φ^- are matrices of right eigenvec-

273 tors, where each wavemode is divided into displacement \mathbf{q} and force \mathbf{f} sub-vectors. The
 274 displacements and forces in waveguides 1 and 2 are given by

$$\mathbf{q}_1 = \Phi_{q_1}^+ \mathbf{a}^+ + \Phi_{q_1}^- \mathbf{a}^- ; \mathbf{f}_1 = \Phi_{f_1}^+ \mathbf{a}^+ + \Phi_{f_1}^- \mathbf{a}^- . \quad (4)$$

275

$$\mathbf{q}_2 = \Phi_{q_2}^+ \mathbf{b}^+ + \Phi_{q_2}^- \mathbf{b}^- ; \mathbf{f}_2 = \Phi_{f_2}^+ \mathbf{b}^+ + \Phi_{f_2}^- \mathbf{b}^- . \quad (5)$$

276 where Φ^+ and Φ^- are matrices of right and left propagating waves, and are partitioned into
 277 displacements and forces as eigenvectors pertaining to Eq. (A.8).

278 Continuity of displacements and equilibrium of forces at junction 1 can then be expressed
 279 by

$$\mathbf{q}_1 = \mathbf{q}_2 ; \mathbf{f}_1 = \mathbf{f}_2 . \quad (6)$$

280 The amplitudes of incident and scattered waves can be related by substituting Eq. (4) and
 281 Eq. (5) into Eq. (6) to give

$$\begin{bmatrix} -\Phi_{q_1}^- & \Phi_{q_2}^+ \\ -\Phi_{f_1}^- & \Phi_{f_2}^+ \end{bmatrix} \begin{Bmatrix} \mathbf{a}^- \\ \mathbf{b}^+ \end{Bmatrix} = \begin{bmatrix} \Phi_{q_1}^+ & -\Phi_{q_2}^- \\ \Phi_{f_1}^+ & -\Phi_{f_2}^- \end{bmatrix} \begin{Bmatrix} \mathbf{a}^+ \\ \mathbf{b}^- \end{Bmatrix} . \quad (7)$$

282 The scattering matrix \mathbf{S}_1 at Junction 1 is defined as

$$\begin{Bmatrix} \mathbf{a}^- \\ \mathbf{b}^+ \end{Bmatrix} = \mathbf{S}_1 \begin{Bmatrix} \mathbf{a}^+ \\ \mathbf{b}^- \end{Bmatrix} . \quad (8)$$

283 Then,

$$\mathbf{S}_1 = \begin{bmatrix} -\Phi_{q_1}^- & \Phi_{q_2}^+ \\ -\Phi_{f_1}^- & \Phi_{f_2}^+ \end{bmatrix}^{-1} \begin{bmatrix} \Phi_{q_1}^+ & -\Phi_{q_2}^- \\ \Phi_{f_1}^+ & -\Phi_{f_2}^- \end{bmatrix} . \quad (9)$$

284 The scattering matrix \mathbf{S}_1 is a block matrix where the diagonal matrices comprise the reflection
 285 coefficients, and the off-diagonal matrices contain the transmission coefficients. Subse-
 286 quently, the scattering matrix \mathbf{S}_1 is defined as

$$\mathbf{S}_1 = \begin{bmatrix} \mathbf{R}_{12} & \mathbf{TM}_{21} \\ \mathbf{TM}_{12} & \mathbf{R}_{21} \end{bmatrix}. \quad (10)$$

287 where \mathbf{R} and \mathbf{TM} are the reflection and transmission matrices at the junction. In addition,
 288 the subscripts 1 and 2 are used to indicate the reflection and transmission matrices when
 289 the wave is incident from waveguide 1 to 2 respectively. Using the same procedure and
 290 definitions, the scattering matrix \mathbf{S}_2 at Junction 2 is defined as

$$\mathbf{S}_2 = \begin{bmatrix} -\Phi_{q_2}^- & \Phi_{q_3}^+ \\ -\Phi_{f_2}^- & \Phi_{f_3}^+ \end{bmatrix}^{-1} \begin{bmatrix} \Phi_{q_2}^+ & -\Phi_{q_3}^- \\ \Phi_{f_2}^+ & -\Phi_{f_3}^- \end{bmatrix}. \quad (11)$$

291 with

$$\mathbf{S}_2 = \begin{bmatrix} \mathbf{R}_{23} & \mathbf{TM}_{32} \\ \mathbf{TM}_{23} & \mathbf{R}_{32} \end{bmatrix}. \quad (12)$$

292 After solving for the scattering matrices at each junction, the total scattering matrix due
 293 to the finite length coupling element is derived based on the reflection and transmission
 294 matrices of each junction and the coupling joint propagation matrix. It is assumed that \mathbf{d}^- ,
 295 the incident waves from the right, is zero since it is a semi-infinite beam (the Sommerfeld
 296 radiation condition). Using Eq. (8), and Eq. (10)

$$\mathbf{a}^- = \mathbf{R}_{12}\mathbf{a}^+ + \mathbf{TM}_{21}\mathbf{b}^-. \quad (13)$$

297 Let \mathbf{F} be the propagation matrix between the two edges of the coupling element of length
 298 h , and let k_i be the wavenumbers associated with it. Then,

$$\mathbf{F} = \begin{bmatrix} e^{-i(k_1^+)h} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & e^{-i(k_n^+)h} \end{bmatrix}. \quad (14)$$

299 Hence \mathbf{b}^- and \mathbf{b}^+ are related as follows,

$$\mathbf{b}^- = \mathbf{F}\mathbf{R}_{23}\mathbf{F}\mathbf{b}^+. \quad (15)$$

300 with

$$\mathbf{b}^+ = \mathbf{TM}_{12}\mathbf{a}^+ + \mathbf{R}_{21}\mathbf{b}^-. \quad (16)$$

301 Then,

$$\mathbf{b}^- = \mathbf{F}\mathbf{R}_{23}\mathbf{F}[\mathbf{I} - \mathbf{R}_{21}\mathbf{F}\mathbf{R}_{23}\mathbf{F}]\mathbf{TM}_{12}\mathbf{a}^+. \quad (17)$$

302 Substituting Eq. (17) into Eq. (13) gives

$$\mathbf{a}^- = \mathbf{R}_T\mathbf{a}^+ ; \quad \mathbf{R}_T = \mathbf{R}_{12} + \mathbf{TM}_{21}\mathbf{F}\mathbf{R}_{23}\mathbf{F}[\mathbf{I} - \mathbf{R}_{21}\mathbf{F}\mathbf{R}_{23}\mathbf{F}]\mathbf{TM}_{12}. \quad (18)$$

303 Subsequently, \mathbf{R}_T is the net reflection matrix due to the full finite length of the coupling
 304 element. Using Eq. (12), the net transmission matrix \mathbf{TM}_T can be similarly derived as

$$\mathbf{d}^+ = \mathbf{TM}_T\mathbf{a}^+ ; \quad \mathbf{TM}_T = \mathbf{TM}_{23}\mathbf{F}[\mathbf{I} - \mathbf{R}_{21}\mathbf{F}\mathbf{R}_{23}\mathbf{F}]\mathbf{TM}_{12}\mathbf{a}^+. \quad (19)$$

305 Subsequently, the full scattering matrix of the coupling element is given by

$$\begin{Bmatrix} \mathbf{a}^- \\ \mathbf{d}^+ \end{Bmatrix} = \begin{bmatrix} \mathbf{R}_T \\ \mathbf{TM}_T \end{bmatrix} \begin{Bmatrix} \mathbf{a}^+ \end{Bmatrix}. \quad (20)$$

306 The WFE-WFE-WFE approach is first verified against the WFE-FE-WFE method for
 307 the case of an RC beam with 36% loss of thickness in one rebar considered previously in
 308 Sec. (II) and Sec. (III). Fig. 15 shows the magnitudes of all diagonal elements of the reflection
 309 coefficient matrix, i.e. pertaining to no wave mode conversion.

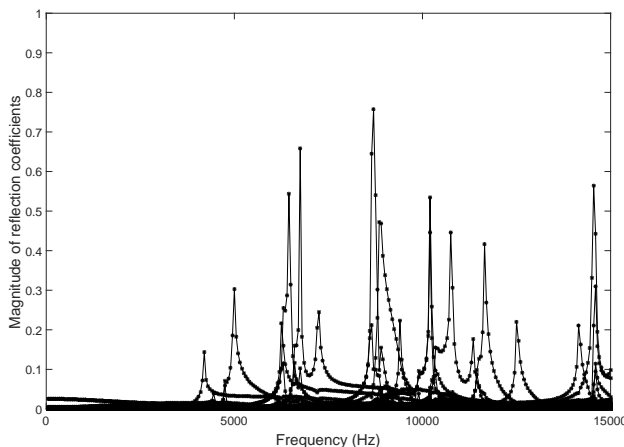


FIG. 15. Magnitude of the reflection coefficients due to simulated damage in RC beam. 36% reduction of one of steel diameter over a length $h = 0.2$ m and element size of 0.01 m. WFE-FE-WFE approach (—), WFE-WFE-WFE approach (*).

310
 311

312 Whilst the two methods give apparently identical results, different numerical issues may
 313 arise.

- 314 1. The WFE-FE-WFE approach requires dynamic condensation to eliminate internal
 315 nodes which can cause ill-conditioning errors as the number of degrees of freedom
 316 increases, although conditioning can be improved by exploiting orthogonality of the
 317 left and right eigenvectors (Renno and Mace, 2013). The WFE-WFE-WFE approach,
 318 by contrast, does not require condensation since there are no internal nodes for a cell
 319 that is one element in length.

320 2. The WFE-WFE-WFE approach requires selection of an appropriate segment length,
321 which is not to be too small with respect to the shortest wavelength to avoid round-off
322 errors, nor too large to reduce discretization errors.

323 The WFE-WFE-WFE approach is chosen here for convenience since the length of the inter-
324 mediate section can be changed without remodelling it in FE analysis.

325 V. WAVE SCATTERING DUE TO SIMULATED DAMAGE IN RC BEAMS

326 In this section, wave scattering is considered in detail for an RC beam with loss of rebar
327 thickness over a finite length. Both undamaged and damaged RC sections are modelled
328 and coupled together as described in Sec. (III) and Sec. (IV). Two lengths of damaged
329 section were considered, $h = 0.05$ m and 0.2 m, for rebar diameter reductions of 36% and
330 60%. Fig. 16(a) to (d) show the magnitude of the reflection coefficients for all four damage
331 permutations. The multiple curves in each subfigure correspond to different waves that cut-
332 on below 15kHz. For each wave, reflection is negligible except at a narrow frequency band
333 around cut-on. This is due to the wave propagating in the undamaged section but being
334 evanescent in the damaged section. The peak reflection coefficient is wave dependent and is
335 as high as 0.5 for the least damaged case Fig. 16(a) and 0.9 for the most severe damage in
336 Fig. 16(d).

338 Of the waves whose mode shapes are shown in Fig. 8, E5000, E8700 and E11700 feature
339 the most cross sectional deformation and exhibit prominent peaks in reflection coefficients
340 in Fig. 16(d). By comparison, Fig. 17 shows the magnitude of the reflection coefficients for
341 the fundamental wave modes (axial, torsional, bending and transverse bending) for the most

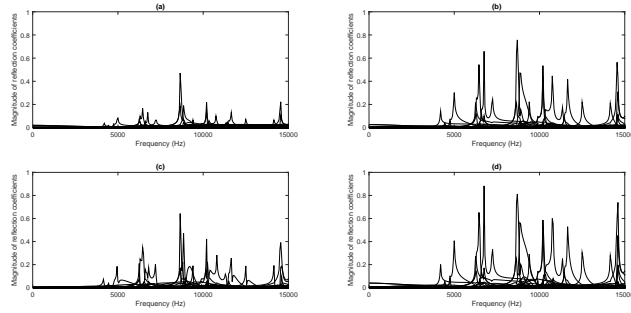


FIG. 16. Magnitude of the reflection coefficients due to simulated damage in RC beam.(a),(b) 36% reduction of one of steel diameter over a length $h = 0.05$ and 0.2 m, respectively; (c),(d) 60% reduction of one of steel diameter over a length $h = 0.05$ and 0.2 m, respectively.

342 severe damage case. The coefficients are more than an order of magnitude smaller for these
 343 waves since they do not exhibit cut-on.

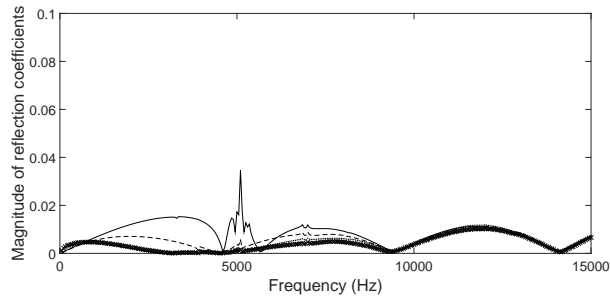


FIG. 17. Magnitude of the reflection coefficients of fundamental wave modes in an RC section with a 60% single rebar diameter reduction damage over a length $h = 0.2$ m. Axial (—), torsional (- - -), bending(. . .), bending transversal (x.).

344 Power flow calculations were performed, using the analysis reported in (Mitrou *et al.*,
 345 2017), to ascertain the extent of wave mode conversion both at and either side of wave
 346 cut-on.

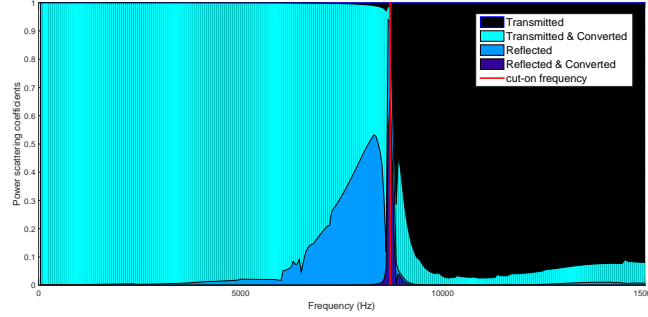


FIG. 18. Area plot of power scattering coefficients for incident wave E8700.

347 Fig. 18 shows an area plot of the power scattering coefficients for an incident wave E8700,
 348 which is typical of other wave types that cut-on in the frequency range of interest. The
 349 powers are partitioned as follows:

- 350 – Transmitted power to E8700
- 351 – Transmitted power converted to all other modes
- 352 – Reflected power to E8700
- 353 – Reflected power converted to all other modes

354 At cut-on, about 60% of the power is reflected into the same wave mode, which is poten-
 355 tially useful for damage detection purposes. Below cut-on, most power is transmitted but
 356 converted to other wave modes. Above cut-on, power is predominantly transmitted into the
 357 same wave mode, i.e. the rebar damage is reasonably transparent.

358 VI. CONCLUSIONS

359 Guided waves have proven an effective basis for long range detection of defects in many
 360 types of structure. This paper is motivated specifically by corrosion detection of rebars in

361 reinforced concrete beams using guided waves, for which a physical understanding of the
362 waves borne by the composite structure is essential. The WFE method has been used to
363 model free wave propagation in RC beams. The FE model of the cross section, which forms
364 the basis of the WFE model, uses embedded reinforcing elements to couple the concrete
365 and rebar elements by which prestress is transferred to the concrete. Dispersion curves
366 and associated wave mode shapes have been computed and successfully validated through
367 measurements on laboratory samples. The effect of prestress on wave dispersion is shown
368 to be negligible.

369 A new formulation has been presented to couple three waveguides which have been mod-
370 elled using WFE. The analysis was used to predict wave scattering due to a uniform but
371 damaged section of beam joined at both ends by undamaged sections. Damage was simu-
372 lated by a loss of thickness of one of the rebars. It was found that, whilst the dispersion
373 curves of the damaged and undamaged lengths are very similar, the slight difference in cut-
374 on frequencies give rise to significant reflection of some waves which is potentially useful for
375 the purpose of damage detection. The reflection occurs when a wave is able to propagate in
376 the undamaged section of the beam but is evanescent in the damaged section, or vice versa.
377 Powerflow analysis reveals that wave mode conversion is significant only below wave cut-on.
378 Above cut-on, waves are unimpeded by the damage scenarios considered.

379 Ongoing work is focusing on methods to quantify wave reflection in the vicinity of wave
380 cut-on but without any prior knowledge of the cut-on frequencies. An important practical
381 constraint is that both actuation and sensing of guided waves must be performed on the
382 accessible surfaces of the beam, .e.g. by means of an instrumented force hammer and

383 accelerometer(s). An understanding of the wave mode shapes is useful for informing the
 384 placement and orientation of transducers, as well as identifying the particular wave modes
 385 of interest.

386 **ACKNOWLEDGMENTS**

387 The authors acknowledge the funding provided by the University of Southampton, the
 388 Lebanese Association of Scientific Research (LASeR) and Azm & Saade Association.

389 **APPENDIX: DERIVATION OF WAVE FINITE ELEMENT METHOD**

390 Consider a short length Δ of a uniform waveguide as shown schematically in Fig. 19 which
 391 is modelled using FE analysis such that the nodes and their associated DOFs are ordered
 392 identically on the left and right sides.

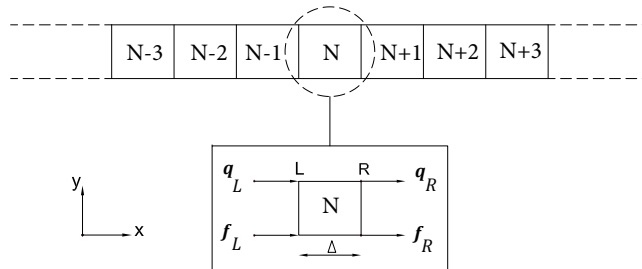


FIG. 19. Structure with periodic elements: the cell N with segment length Δ is shown with the vectors for the internal forces and displacements.

393 Time harmonic motion $e^{i\omega t}$ is implicit throughout this paper and suppressed for brevity.

394 The nodal displacements \mathbf{q} and forces \mathbf{f} are related as follows (Duhamel *et al.*, 2006)

$$\mathbf{D}\mathbf{q} = \mathbf{f}. \quad (\text{A.1})$$

395 Where the dynamic stiffness matrix

$$\mathbf{D} = \mathbf{K} - \omega^2\mathbf{M}. \quad (\text{A.2})$$

396 ω is circular frequency, and n is the number of DOFs on each side of the segment. Matrices

397 \mathbf{K} and ω is circular frequency, and n is the number of DOFs on each side of the segment.

398 Matrices \mathbf{K} and The periodic conditions for the displacements and the equilibrium condition

399 at the junction of two successive elements are are the stiffness and mass matrices of the

400 segment as obtained from the FE model. Hysteretic damping can be introduced through

401 complexity of the stiffness matrix. are the stiffness and mass matrices of the segment as

402 obtained from the FE model. Hysteretic damping can be introduced through complexity of

403 the stiffness matrix.

404 The dynamic stiffness matrix can be partitioned according to the left and right nodes of

405 the segment so that Eq. (A.1) can be expressed as

$$\begin{bmatrix} \mathbf{D}_{LL} & \mathbf{D}_{LR} \\ \mathbf{D}_{RL} & \mathbf{D}_{RR} \end{bmatrix} \begin{Bmatrix} \mathbf{q}_L \\ \mathbf{q}_R \end{Bmatrix} = \begin{Bmatrix} \mathbf{f}_L \\ \mathbf{f}_R \end{Bmatrix}. \quad (\text{A.3})$$

406 The subscripts L and R are designated for the left and right sides of the segment. Consider

407 a series of segments of the waveguide as shown in Fig. 19. Continuity of displacement and

408 force equilibrium of adjacent sections give

$$\begin{Bmatrix} \mathbf{q}_L^{N+1} \\ \mathbf{f}_L^{N+1} \end{Bmatrix} = \begin{Bmatrix} \mathbf{q}_R^N \\ -\mathbf{f}_R^N \end{Bmatrix}. \quad (\text{A.4})$$

409 For each segment, the transfer matrix \mathbf{T} can then be defined as

$$\mathbf{T} \begin{Bmatrix} \mathbf{q}_L^N \\ \mathbf{f}_L^N \end{Bmatrix} = \begin{Bmatrix} \mathbf{q}_L^{N+1} \\ \mathbf{f}_L^{N+1} \end{Bmatrix}. \quad (\text{A.5})$$

410 The periodic conditions for the displacements and the equilibrium condition at the junction
 411 of two successive elements are $\mathbf{q}_R = \lambda \mathbf{q}_L$ and $\mathbf{f}_R = -\lambda \mathbf{f}_L$ where the propagation constant
 412 λ where the propagation constant

$$\lambda = e^{-ik\Delta}. \quad (\text{A.6})$$

413 relates the right and left displacements and forces where k is the unknown wavenumber.

414 Eq. (A.3) can be rearranged in the form of an eigenvalue problem (Duhamel *et al.*, 2006)

$$\mathbf{T} \begin{Bmatrix} \mathbf{q}_L \\ \mathbf{f}_L \end{Bmatrix} = \lambda \begin{Bmatrix} \mathbf{q}_L \\ \mathbf{f}_L \end{Bmatrix}. \quad (\text{A.7})$$

415 The transfer matrix eigenvalue problem is solved at each frequency step to yield $2n$ solu-
 416 tions for the propagation constants and the corresponding wavenumbers as in Eq. (A.6). The
 417 wavenumber can be purely real, purely imaginary or complex, associated with a propagating,
 418 a nearfield (evanescent) or an oscillating decaying wave respectively.

419 (Zhong, 1995) has shown that that the eigenvalues of the transfer matrix occur in recip-
 420 rocal pairs as λ_j^+ and $\lambda_j^- = 1/\lambda_j^+$. The corresponding wavenumbers are k_j^+ and $k_j^- = -k_j^+$,
 421 representing the positive and negative going waves respectively. Furthermore, Φ_j^+ and Φ_j^-

422 are the associated right eigenvectors, where each wavemode is divided into displacement \mathbf{q}
 423 and force \mathbf{f} sub-vectors, i.e.

$$\Phi_j = \begin{Bmatrix} \Phi_q \\ \Phi_f \end{Bmatrix}_j. \quad (\text{A.8})$$

424 The positive-going waves are characterized by $|\lambda_j^+| < 1$ and the negative going waves
 425 by $|\lambda_j^+| > 1$. However, for $|\lambda_j^+| = 1$, the associated waves are considered positive-going if
 426 they fulfil the condition $Re\{\mathbf{f}_L^H \dot{\mathbf{q}}_L\} = Re\{i\omega \mathbf{f}_L^H \mathbf{q}_L\} < 0$ that determines the direction of
 427 powerflow. Evanescent waves contribute to the input response at discontinuities/boundaries
 428 but do not transfer energy (Mace, 1984).

429 Subsequently, the eigenvectors of the form of Eq. (A.8) are grouped into positive and
 430 negative going waves

$$\Phi^+ = [\Phi_1^+ \cdots \Phi_n^+]; \quad \Phi^- = [\Phi_1^- \cdots \Phi_n^-]; \quad \Phi = [\Phi^+ \quad \Phi^-]. \quad (\text{A.9})$$

431 The transformations between the physical domain, where the motion is described in
 432 terms of \mathbf{q} and \mathbf{f} , and the wave domain, where the motion is described in terms of the
 433 wave amplitudes \mathbf{a}^+ and \mathbf{a}^- travelling in the positive and negative directions respectively,
 434 are accomplished via

$$\begin{Bmatrix} \mathbf{q}_L \\ \mathbf{f}_L \end{Bmatrix} = \begin{bmatrix} \Phi_q^+ & \Phi_q^- \\ \Phi_f^+ & \Phi_f^- \end{bmatrix} \begin{Bmatrix} \mathbf{a}^+ \\ \mathbf{a}^- \end{Bmatrix}. \quad (\text{A.10})$$

435 Rapidly decaying wave modes are removed due to their negligible contributions to the
 436 far field response, which can otherwise cause ill-conditioning problems. Thus, only m pairs

437 of positive and negative going waves are retained based on a user-defined criterion. As a
438 result, the size of the model will be smaller and the calculation time reduced.

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