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# Ice sheet-free West Antarctica during peak early Oligocene glaciation

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**One of Earth's most fundamental climate shifts – the greenhouse-icehouse transition 34 Ma ago – initiated Antarctic ice-sheet build-up, influencing global climate until today. However, the extent of the ice sheet during the Early Oligocene Glacial Maximum (~33.7–33.2 Ma) that immediately followed this transition, a critical knowledge gap for assessing feedbacks between permanently glaciated areas and early Cenozoic global climate reorganization, is uncertain. Here, we present shallow-marine drilling data constraining earliest Oligocene environmental conditions on West Antarctica's Pacific margin – a key region for understanding Antarctic ice sheet-evolution. These data indicate a cool-temperate environment, with mild ocean and air temperatures preventing West Antarctic Ice Sheet formation. Climate-ice sheet modeling corroborates a highly asymmetric Antarctic ice sheet, thereby revealing its differential regional response to past and future climatic change.**

Plausible projections of future transitions into very different climate states require a profound understanding of the dynamics of drastic climate changes in Earth's past. One such fundamental change – i.e., from early Cenozoic greenhouse to late Cenozoic icehouse conditions – occurred across the Eocene-Oligocene Transition (EOT) and is evident from a sharp, two-step increase of deep ocean oxygen isotope ( $\delta^{18}\text{O}$ ) values reflecting a combination of both decreasing but regionally highly variable ocean temperatures and major ice sheet build-up (1–4). The first  $\delta^{18}\text{O}$  step occurred during the EOT (~34.4–33.7 Ma) (1–3), thought to be initiated by low summer insolation (3), while the second, more prominent step – known as the 'Early Oligocene oxygen Isotope Step' (EOIS) (4) and commonly referred to as 'Oi-1' (1, 3) – marks the end of the EOT and the start of the Early Oligocene Glacial Maximum (EOGM; ~33.7–33.2 Ma) (1, 5). Constraints on the size, location, and timing of growth of early Antarctic ice sheets, however, remain sparse (6–8), preventing us from assessing their impact on past global climate dynamics, including ice-

ocean-atmosphere interactions during phases of substantial change (2).

In the Northern Hemisphere, previous studies inferred patches of marine-terminating land-based ice on Greenland already during the mid-late Eocene from the deposition of ice-rafted debris (IRD) in the Norwegian-Greenland Sea (9), but without firm constraints on ice-mass size and location. In contrast, East Antarctic land-based ice likely grew stepwise throughout the EOT – starting from late Eocene ephemeral alpine glaciation to glacial advance toward outer shelf regions in Prydz Bay (10), with further evidence for contemporary iceberg rafting in both the adjacent deep sea (11) and the Weddell Sea region (11, 12). In the Ross Sea sector, presence of grounded ice directly at the coast has not been documented before ~33 Ma (13). For West Antarctica, it is debated whether there was major ice sheet build-up during the EOT-EOGM (6, 14, 15) or later during the late Oligocene-early Miocene interval (16). Numerical models suggest that the prominent EOIS at ~33.7 Ma (4) is best explained by Antarctic

glaciation alone, likely with a partial (17) or full (7) West Antarctic contribution. So far, however, reliable records proximal to West Antarctica that could document the presence or absence of a West Antarctic Ice Sheet (WAIS) during the EOGM are missing.

Here we report on drill core records from the West Antarctic margin that reveal paleoenvironmental conditions during the EOGM. Site PS104\_21 (73.31°S, 107.11°W; 882 m water depth; Fig. 1) was drilled during RV *Polarstern* expedition PS104 in Pine Island Trough on the Amundsen Sea Embayment (ASE) shelf (18), with two holes (21-2 and 21-3) ~86 m apart. Seaward-dipping strata beneath the mid-shelf part of the trough are truncated near the seafloor by an amalgamated unconformity caused by repeated Miocene–Pleistocene cross-shelf ice advances (19–21) (Fig. 1B). These sedimentary strata were shown to be of mid-Cretaceous age at the inner–mid shelf transition (20) and become successively younger in a seaward direction (18). However, the semi-lithified character of the dipping sequences previously prevented their recovery with conventional coring methods. This hurdle was overcome by deploying the MARUM-MeBo70 seafloor drill rig (22), which allowed drilling ~10 m into the seabed and sampling of the dipping, semi-lithified strata (18) (Fig. 1 and Fig. 2).

### Lithology and stratigraphy

Beneath a thin layer of late Quaternary sediments, laminated semi-lithified muds and mudstones, interrupted by three intervals of no recovery (Fig. 2), extend to the bottom of the ~10 m deep hole 21-3. These strata contain both terrestrial and marine microfossils but lack any gravel-sized grains and bear only traces of terrigenous sand (Fig. 2). A ~4 m thick sequence of identical semi-lithified muds and mudstones was retrieved in hole 21-2, which we correlated to hole 21-3 using lithological features and magnetic susceptibility data (fig. S1). The presence of distinct, mostly horizontally-oriented trace fossils without cross-cutting relationships and absence of mottling throughout hole 21-3 indicate abundant epi- and shallow endobenthic life while excluding significant vertical bioturbation of the sedimentary record by infauna (movie S1). Thin sections were prepared from two carbonate-cemented mudstone beds at ~8.78–8.74 m below seafloor (mbsf) and ~3.33–3.23 mbsf (Fig. 2), which contain rare calcareous microfossils, such as benthic foraminifera, and indistinct microscopic fragments of terrestrial organic matter. Five samples taken within the laminated mudstone interval provided sufficient biogenic carbonate for radiogenic strontium (Sr) isotope stratigraphic dating (Fig. 2 and table S1), consistently returning latest Eocene to earliest Oligocene ages between  $34.59 \pm 0.2$  Ma and  $33.09 \pm 0.2$  Ma, with a mean age of  $33.82 \pm 0.18$  Ma (fig. S2). Likely due to minor diagenetic alteration and/or syndepositional reworking from shallower seabed,

these  $^{87}\text{Sr}/^{86}\text{Sr}$  dates show no clear stratigraphic order but most of them overlap within error. Based on the tight cluster of the  $^{87}\text{Sr}/^{86}\text{Sr}$  ages from both above and below a magnetic reversal recorded at the top of core 3R (Fig. 2), this transition from reversed to normal polarity is correlated to the boundary between magnetic polarity chrons C13r and C13n at 33.73 Ma (23), which corresponds to the EOIS (4, 24) (fig. S2). This correlation to the geomagnetic polarity timescale is also supported by present *Bolboforma* species *B. indistincta* and *B. irregularis*, which have last and first occurrences within calcareous nannofossil zone NP21 that spans the EOT and EOGM (25).

### Paleoenvironment and climate

Sediment cores 5R to 1R from hole PS104\_21-3 (Fig. 2) and cores 3R and 2R from hole 21-2 (fig. S1) (26, 27) record a marine environment evident from rare but continuous occurrences of both marine microfossils (i.e., foraminifera, dinoflagellate cysts, calcareous nannofossils, and *Bolboforma*; table S2 and fig. S3) and trace fossils (e.g., *Zoophycos*; movie S1). Dominance of benthic foraminifera, with only one planktic specimen found (table S2), designate a relatively shallow (50–200 m) mid- to outer-shelf environment (28), consistent with the presence of *Bolboforma* – an extinct group of calcareous microfossils – previously also described from water depths as shallow as 30–50 m (29). Similar benthic foraminiferal and *Bolboforma* assemblages have so far only been reported from the early Oligocene of the Victoria Land Basin (28) and the late Eocene/Oligocene from the eastern Australian margin (25), respectively. The dominance of epifaunal to shallow-infaunal benthic foraminifera species and trace fossils (table S2 and movie S1) indicates well-ventilated bottom waters but reduced oxygen penetration into the seabed, likely due to the extremely fine grain size of the sediments (Fig. 2). The benthic foraminiferal assemblage additionally points to pulses of phytodetritus and frequent influx of freshwater (28). This is also supported by elevated ratios of terrestrial versus aquatic-sourced short-chain hydrocarbons (30) ( $\text{TAR}_{\text{HC}}$ ; Fig. 2), suggesting increased influx of terrestrial organic matter (TOM) from vegetated land, as does the presence of the trace fossil *Phycosiphon incertum* (31). Comparatively high Branched and Isoprenoid Tetraether (BIT) index values of  $>0.5$  (Fig. 2) indicate that TOM influx has mainly been facilitated by rivers and creeks (32) (Fig. 1). A close proximity to land is further indicated by the regular occurrence of pollen and spores of higher land plants (Fig. 2 and table S3). Low alkane  $n\text{-C}_{31}/(n\text{-C}_{27}+n\text{-C}_{29}+n\text{-C}_{31})$  ratios point to prevalent deciduous trees (30) (fig. S4).

The fossil pollen assemblage in our record (fig. S11 and table S3) closely resembles modern pollen rain spectra from the South American subantarctic deciduous forest biome (33), characterized by very high percentages ( $>80\text{--}90\%$ ; table

S4) of *Nothofagidites* pollen (Nearest Living Relative; NLR: *Nothofagus*; see table S5) and regular occurrences of conifer pollen (NLR: *Podocarpus*, *Microcachryidites antarcticus*, *Aracariaceae*) and *Stereosporites* (NLR: *Sphagnum* mosses). Today, such southern beech-rich forests are abundant in Patagonia, where they thrive in a cool-temperate climate with mean annual air temperatures of  $\sim 6.0\text{--}8.5^\circ\text{C}$ , mean summer temperatures of  $\sim 9.8\text{--}13.8^\circ\text{C}$ , and a mean annual precipitation of  $>1,500$  mm (33). These vegetation-derived terrestrial temperatures are in close accord with our  $\text{TEX}^{\text{L}_{86}}$  sub-surface ocean temperatures (SOT) of the archipelago, which range from  $\sim 6.2^\circ\text{C}$  to  $\sim 10.5^\circ\text{C}$  ( $\pm 2.8^\circ\text{C}$  calibration error; Fig. 2 and Fig. 3). Comparatively high BIT and  $\Delta\text{RI}$  values may additionally bias  $\text{TEX}^{\text{L}_{86}}$ -based temperature reconstructions, i.e.,  $\pm 2.9^\circ\text{C}$  in sediments receiving higher amounts of TOM (see materials and methods in the supplementary materials for applying the  $\text{TEX}^{\text{L}_{86}}$  calibration despite high BIT values). The occasional dissolution of resistant *Bolboforma* specimens (fig. S3, D to F) indicates that seawater temperatures may have periodically dropped to  $5^\circ\text{C}$  or less throughout the year (34). In general, those temperatures would have been too high to allow any presence of permanent marine-terminating ice. Moreover, the predominance of subangular to rounded quartz grains in the sand fraction throughout the PS104\_21 record, including grains with shiny surfaces and medium to high sphericities (Fig. 2), argues against their glacial erosion and subglacial transport. Instead, these grain characteristics are more indicative of fluvial transport (35) on nearby land and thus corroborating our interpretation of the foraminiferal assemblage and BIT index. Furthermore, the lack of clastic grains  $>2$  mm, commonly used as IRD proxy (36), in the fine-grained sediments at this location (Fig. 2) indicates that land-based ice was absent from the coastal region near the site. Additionally, very low contents of kaolinite ( $\sim 5\%$ ; Fig. 2) may further support a WAIS-free scenario since subglacial erosion in the Byrd Subglacial Basin is considered to be the main source for kaolinite in modern and late Quaternary ASE sediments (37).

The PS104\_21 record clearly documents a marine depositional setting, hence validating the minimum Antarctic bed topography reconstruction for 34 Ma proposed by (38) for this part of West Antarctica as opposed to their medium and maximum scenarios, in which the entire area around Site PS104\_21 is land (38). Our findings suggest that parts of West Antarctica must have already been situated below sea level during the EOGM, potentially allowing the existence of open trans-Antarctic seaways (Fig. 1). This interpretation is supported by recent findings of reworked Paleogene open ocean microfossils in modern subglacial sediments from the Siple and Gould coasts in the Ross Sea sector (39). Overall, we infer that during peak early Oligocene glaciation the coasts of West Antarctica's Amundsen Sea sector were still covered by cool-

temperate forests without evidence for marine-terminating ice. Hence, any large permanent West Antarctic ice mass must have been very distant or did not exist until after the EOGM, implying that deep-sea cooling may have had a larger impact on the EOGM  $\delta^{18}\text{O}$  signature in benthic foraminifera than previously thought.

### Paleoenvironmental modeling

Our data constraints contradict results of previous model simulations that included a fully-developed, marine-terminating WAIS during the EOGM ( $\sim 2\times$  PI  $\text{CO}_2$ ) (3, 7) (Fig. 3) and support model outputs implying limited West Antarctic ice coverage (40). However, available models differ considerably in simulating the amount of ice (7, 17, 40, 41), mainly because of the scarcity of field data and associated uncertainties in bed topography reconstructions (38). Here, we use the state-of-the-art Alfred Wegener Institute-Earth System Model (AWI-ESM-2.1-LR) asynchronously coupled to the Parallel Ice Sheet Model (PISM) (materials and methods in the supplementary materials) to further explore the consequences of a vegetated and ice sheet-free West Antarctica we reconstructed for the EOGM. AWI-ESM-2.1-LR consists of fully coupled atmosphere, ocean, and sea ice components (42), supplemented by the land-surface and vegetation module JSBACH. The asynchronously coupled experiment was run into quasi-equilibrium state (1,500 climate years and 200,000 ice years; fig. S5, E to G). For our simulation, we used the reconstructed minimum bed topography (38) as a boundary condition (fig. S5A) because only this reconstruction is consistent with our multi-proxy record from West Antarctica's Pacific margin.

Our multi-proxy evidence closes a critical West Antarctic gap in paleoenvironmental data for the earliest Oligocene (Fig. 3). In order to upscale the regional signal, we further combined our results with environmental data from other circum-Antarctic records spanning the earliest Oligocene interval ( $\sim 33.9\text{--}31.0$  Ma) (Fig. 3 and table S6). With that, we obtain an earliest Oligocene continent-wide context for comparing our EOGM ice sheet and climate reconstruction from site PS104\_21 to climate model results. We specifically ran our simulation with the reconstructed atmospheric  $\text{CO}_2$  concentration of  $\sim 850$  ppmv, representing latest Eocene conditions just prior to the EOGM (43) ( $\sim 3\times$  pre-industrial [PI]  $\text{CO}_2$ ; Fig. 4). Reconstructed surface air and ocean temperatures for the EOGM reveal a clear pattern with warmer conditions in the southwestern Pacific and along the Wilkes Land margin (Fig. 3). Considering the uncertainties for both temperature reconstructions (including analytical and calibration errors) and Earth-system modeling (e.g., gateway bathymetry, orbital configuration, deep water formation) at high latitudes, our compiled proxy temperature data for the earliest Oligocene (Fig. 3 and table S6) best match simulated



temperatures that were initiated with 3x PI CO<sub>2</sub> conditions (~850 ppmv; Fig. 4, B and C, and fig. S5). This is consistent with recently revised CO<sub>2</sub> reconstructions from marine proxies across the EOT, which average 800–1000 ppmv in the late Eocene and decrease to ~600 ppmv following the EOT (43), although neither the exact timing nor the absolute CO<sub>2</sub> concentration of the earliest Oligocene minimum are well constrained. Under such climate conditions, our simulation is also consistent with earliest Oligocene data constraints on ice-sheet extent in Prydz Bay (10) and the western Ross Sea (13, 44) (Fig. 4A). However, importantly, our model simulates an ice sheet-free West Antarctica not only for 3x PI CO<sub>2</sub> but also for 2x PI CO<sub>2</sub> conditions (Fig. 4, A and E). Both simulated scenarios therefore agree with our data interpretation from Site PS104\_21 that West Antarctica remained ice sheet-free during the EOGM, and exhibit a West Antarctic biome (Fig. 4D and fig. S7) that matches well with our reconstructed vegetation (Fig. 2) and climate. Moreover, the results predict a potential ice-sheet nucleus close to the coast of northern Victoria Land (fig. S6D and materials and methods in the supplementary materials) – near a location in the Ross Sea where geological evidence documents the presence of grounded ice as early as 33.0 Ma and its proximity since at least 33.3 Ma (13, 44, 45). This nucleus – in contrast to, e.g., the Gamburtsev Mountains in the continent’s dry interior – was likely initiated by increased precipitation (fig. S7) from moist air masses originating from warm waters that reached the rapidly uplifting and already >1,500–2,000 m-high Transantarctic Mountains (46) (Fig. 3 and white arrows in fig. S5) and possibly flowed through an open, but yet shallow, Tasman Gateway (47). This led to increased precipitation and prominent ice-cap formation over northern Victoria Land.

Overall, our model results imply that growth and expansion of a marine-terminating ice sheet in West Antarctica occurred much later than in East Antarctica (10, 13). Ice advances reaching the West Antarctic coast initiated only at lower atmospheric CO<sub>2</sub> levels between ~560 ppmv and 280 ppmv (fig. S6, A and B) – values first recorded after ~26 Ma in the late Oligocene (43), when Antarctica’s thermal isolation had progressed further (47). For the EOGM, we calculate a total Antarctic ice volume between 1.66 and 1.45 × 10<sup>7</sup> km<sup>3</sup> (38.0–32.5 m sea level equivalent) (Fig. 4A and fig. S6B), which aligns with minimum volume estimates calculated from deep-sea foraminiferal δ<sup>18</sup>O and Mg/Ca data for the EOGM interval (5). Marine-terminating ice advance in the Amundsen Sea sector was likely delayed by subdued topography as well as prevailing mild air and surface ocean temperatures in this region (as shown by our PS104\_21 record) but was initiated earlier in the Ross Sea sector (fig. S6, A and B). In the ASE, warmer waters may have reached the low-lying archipelago from lower latitudes as a consequence of yet shallow configurations of both the Tasman Gateway and Drake

Passage (47), which affected this area for much longer than assumed until recently (48). Hence, our climate–ice sheet simulation is entirely consistent with our well-dated multi-proxy record, which indicated an ice sheet-free West Antarctica throughout the EOGM. Strongly reduced ice-associated climate feedbacks, such as albedo, likely further helped maintaining regional warmth and associated circulation patterns in the atmosphere and ocean (49).

We conclude that a large but not continent-wide ice sheet existed only in East Antarctica during the initial phase of the Cenozoic icehouse. Our results match previous EOGM ice sheet volume estimates (4, 5, 24) and suggest initial WAIS expansion into marine basins when atmospheric CO<sub>2</sub> concentrations began to fall below ~560 ppmv, i.e., at least ~7 Myr later in the late Oligocene (43). Hence, our combined multi-proxy data and climate–ice sheet simulation reveal a highly asymmetric behavior of the Antarctic ice sheet, providing essential insight for understanding its response during past and future climate reorganizations.

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**Data and materials availability:** All data are available online in the Data Base for Earth and Environmental Science (PANGAEA) (26, 27). The source codes of the Alfred Wegener Institute-Earth System Model 2.1 (AWI-ESM 2.1) and the Parallel Ice Sheet Model (PISM) are available online in the Database Zenodo (50).

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## SUPPLEMENTARY MATERIALS

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Materials and Methods

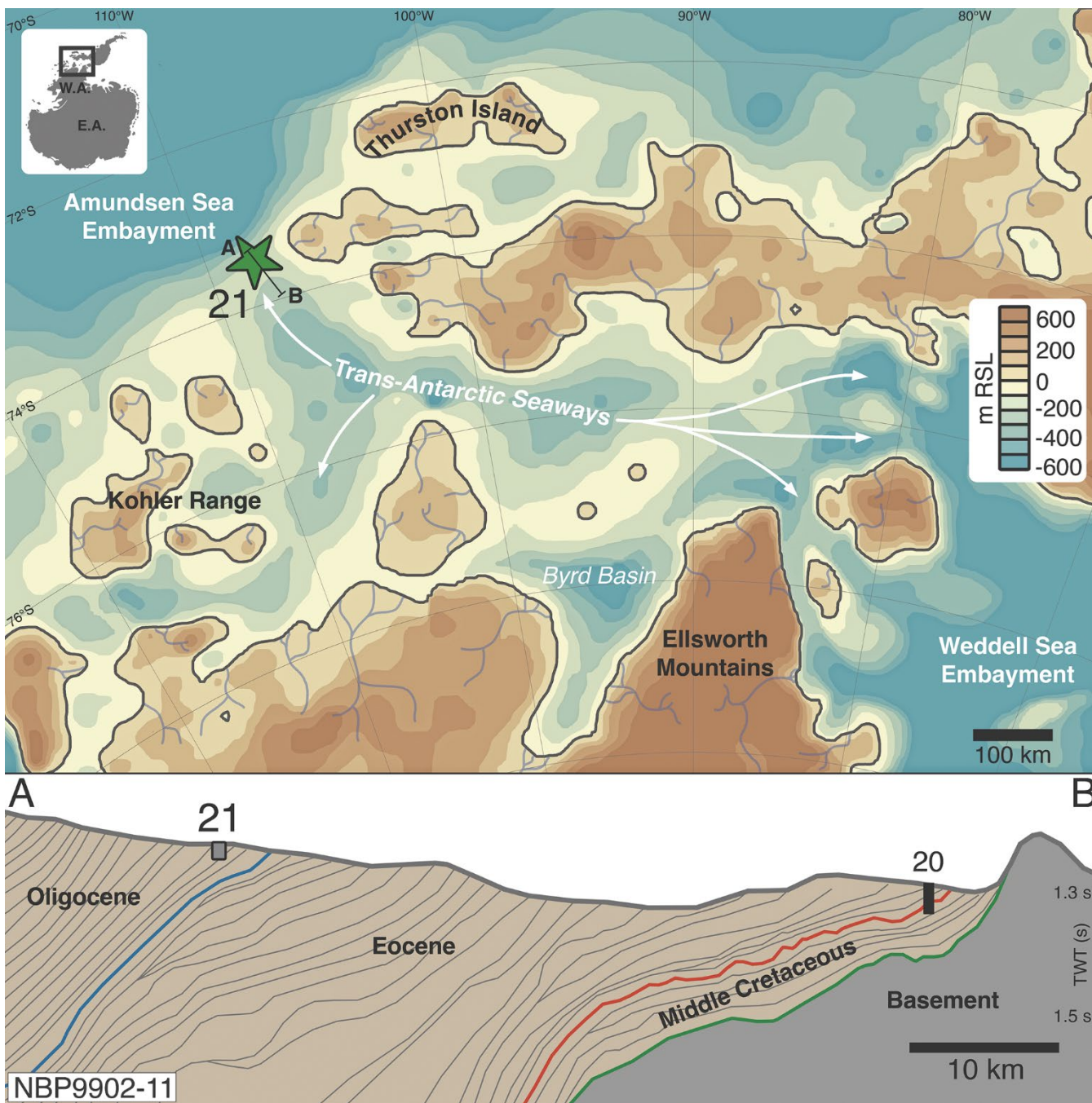
Figs. S1 to S11

Tables S1 to S6

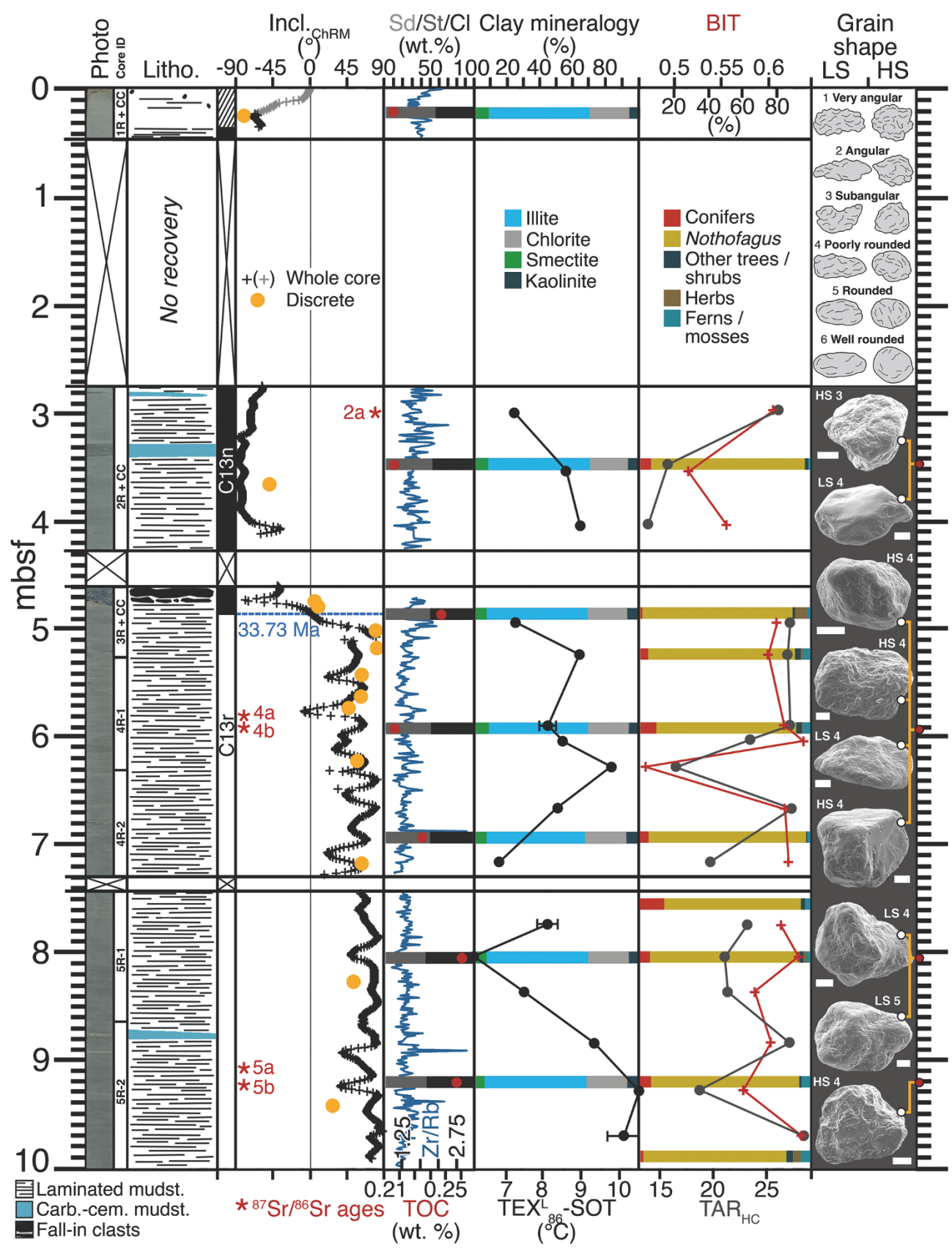
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Movie S1



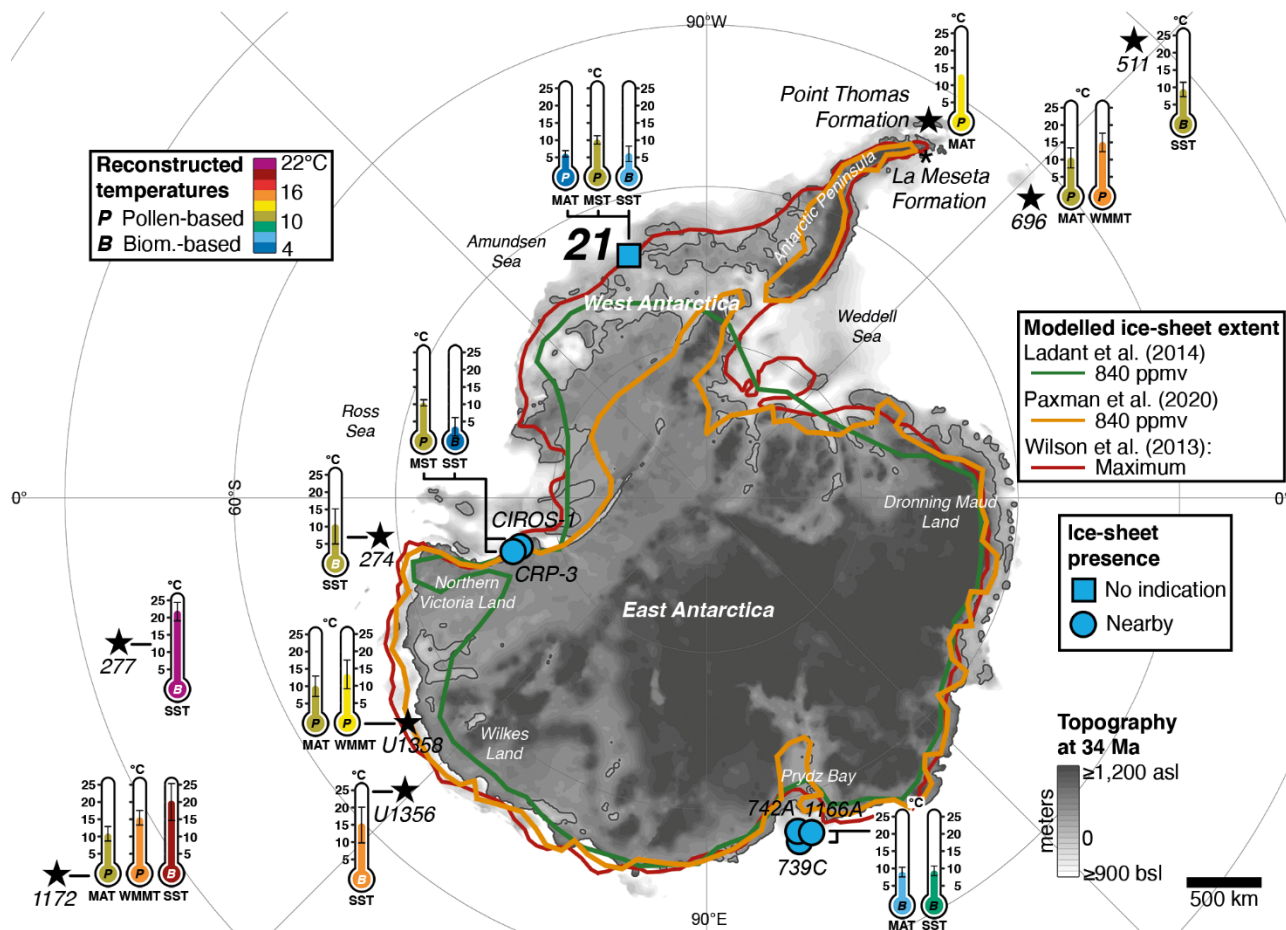


**Fig. 1. West Antarctic topographic setting 34 Ma ago and stratigraphic context of the drill site.** Upper panel: Map of the West Antarctic archipelago between the Amundsen Sea Embayment and Weddell Sea at the time of the Early Oligocene Glacial Maximum (EOGM) with MeBo drill site PS104\_21 (green star) based on the reconstructed 34 Ma minimum bed topography from (38). Locations of MeBo drill site PS104\_21 (green star) and the seismic profile NBP9902-11 (line A–B) presented in the lower panel are also shown. Positions of rivers are hypothetical and based on our interpretation of the paleoenvironmental conditions for the deposition of the PS104\_21 sediments, inferred from micropaleontological and biomarker analyses (see text). m RSL: Relative sea level (in meters). The inset in upper left corner indicates locations of West Antarctica (W.A.), East Antarctica (E.A.), and the study area. Lower panel: Interpretation of seismic profile NBP9902-11 (19, 21) with projected position (grey) of MeBo site PS104\_21 and position of MeBo site PS104\_20 (20), which is included for further context. TWT (s): Two-way-travel time (in seconds).

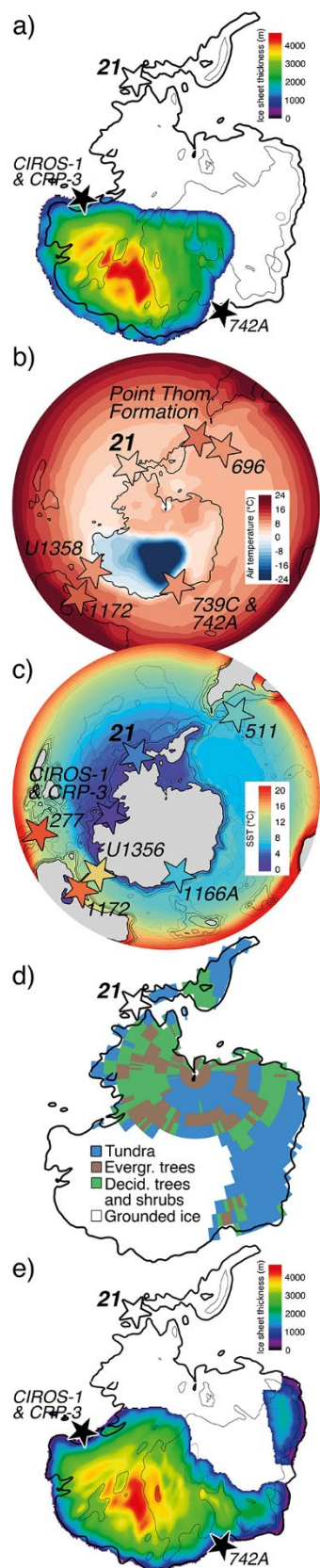


**Fig. 2. Multi-proxy results from MeBo drill site 21-3.** Core photos, lithology, magnetic inclination data (measured on whole cores and discrete samples), various environmental proxies, and Scanning Electron Microscope (SEM) images of sand-sized quartz grains (sample depths indicated by yellow brackets) from cores 1R to 5R of hole PS104\_21-3 (27). The MARUM-MeBo70 sea floor drill rig penetrated 10 m into the seabed and recovered 7.34 m of core (73.4%). A ~4 cm thin drape of Holocene unconsolidated sandy mud was recovered just beneath the seafloor, while a ~1.1 m long sequence of bioturbated to laminated mud and sandy mud overlying structureless diamicton – probably reflecting deposition since the Last Glacial Maximum (LGM) – was retrieved in hole PS104\_21-2 and gravity core PS104\_21-1 from the same location. The sediments below the Holocene sandy mud in hole PS104\_21-3 consist of ~7.30 m of laminated semi-lithified mud and mudstone of earliest Oligocene age. Two carbonate-cemented mudstone beds occur at ~8.78–8.74 mbsf and ~3.33–3.23 mbsf. Sampling depths and associated sample numbers for strontium isotope ( $^{87}\text{Sr}/^{86}\text{Sr}$ ) dating are indicated by red stars with results given in table S1 and fig. S2. C13r and C13n: Magnetic polarity chrons; Incl.<sub>ChRM</sub>: Inclination values of characteristic remanent magnetization (materials and methods in the supplementary materials); Sd/St/Cl: Sand/Silt/Clay; Zr/Rb: Zirconium–Rubidium ratio [= grain-size proxy]; TOC: Total Organic Carbon; clay mineral assemblages; TEX<sub>86</sub><sup>L</sup>-SOT: TEX<sub>86</sub><sup>L</sup> Sub-Surface Ocean Temperatures (error bars indicate analytical error for replicated samples); palynomorph percentages; BIT: Branched and Isoprenoid Tetraether index; TAR<sub>HC</sub>: Ratio of Terrestrial and Aquatic-sourced hydrocarbons; LS: Low sphericity; HS: High sphericity; mbsf: meters below sea floor.





**Fig. 3. Circum-Antarctic paleo-environmental data evidence and previous modeling scenarios for earliest Oligocene Antarctic ice sheet extent.** Existing earliest Oligocene (33.9–31 Ma) data records around Antarctica for terrestrial and sea-surface temperatures, and ice presence (Point Thomas and La Meseta formations are exposed on land). Available pollen- (*P*) or biomarker-based (*B*) temperature proxy results are shown separately for each site (MAT: Mean annual temperature; MST: Mean summer temperature; WMMT: Warmest mean month temperature; SST: Sea-surface temperature) and indicate coldest values including analytical uncertainties in the 33.9–31 Ma period. Sites with available data for ice presence/absence are marked by the blue icons referenced within the figure, while all other sites are indicated by black stars. Only the La Meseta Formation is indicated by an asterisk since ice-sheet presence is under debate (14, 15). The green, red, and orange outlines refer to different modeled ice-sheet extents at the EOGM (3, 7, 40). All data visualized here are listed in more detail and referenced in table S6. The minimum topography reconstruction from (38) was used (asl: above sea level; bsl: below sea level).



**Fig. 4. Results of ice sheet and Earth System modeling compared to paleo-data records.** Simulated Antarctic environment for 840 ppmv (3x PI [= pre-industrial]) atmospheric carbon dioxide (CO<sub>2</sub>) forcing compared to available data. Ice-sheet configuration 200,000 years after ice-free conditions (panel a) with the corresponding EOGM climate showing air temperatures at 2 m height (panel b), sea-surface temperature (panel c), and vegetation cover (panel d; Evergr.: evergreen; Decid.: deciduous). Panel e shows ice-sheet configuration under 2x PI CO<sub>2</sub> conditions (cf. fig. S6). Sites indicating ice presence in panel a) are highlighted by filled black stars, whereas white star with a black outline marks Site PS104\_21 without indication for glacial ice. Stars in panels b) and c) indicate the locations of drill sites providing surface air (MATs) and sea-surface temperatures (SSTs), respectively, with their color fill indicating the reconstructed temperature (Fig. 3 and table S6). Colors in panel a) refer to ice thickness above bedrock and black isolines indicate the minimum 34 Ma bed topography reconstruction (38).