

Characterizing and Predicting nvPM Size Distributions for Aviation Emission Inventories and Environmental Impact

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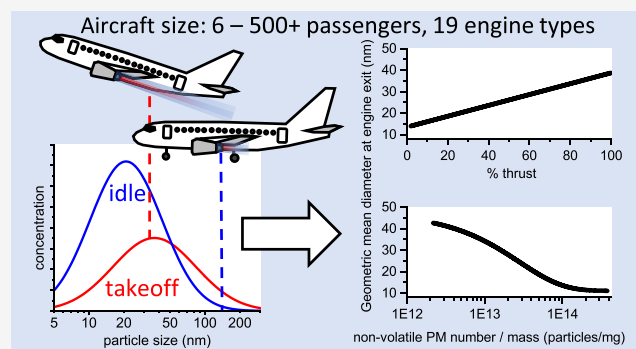
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ABSTRACT: Concerns about civil aviation's air quality and environmental impacts have led to recent regulations on non-volatile particulate matter (nvPM) mass and number emissions. Although these regulations do not mandate measuring particle size distribution (PSD), understanding PSDs is vital for assessing the environmental impacts of aviation nvPM. This study introduces a comprehensive data set detailing PSD characteristics of 42 engines across 19 turbofan types, ranging from unregulated small business jets to regulated large commercial aircraft. Emission tests were independently performed by using the European and Swiss reference nvPM sampling and measurement systems with parallel PSD measurements. The geometric mean diameter (GMD) at the engine exit strongly correlated with the nvPM number-to-mass ratio (N/M) and thrust, varying from 7 to 52 nm. The study proposes empirical correlations to predict GMD from N/M data of emissions-certified engines. These predictions are expected to be effective for conventional rich-burn engines and might be extended to novel combustor technologies if additional data become available. The findings support the refinement of emission models and help in assessing the aviation non-CO₂ climate and air quality impacts.

KEYWORDS: aviation, non-CO₂ emissions, air pollution, particulate matter, nvPM, particle size distribution



geometric standard deviation ranged from 1.7 to 2.5 (mean of 2.05). The study proposes empirical correlations to predict GMD from N/M data of emissions-certified engines. These predictions are expected to be effective for conventional rich-burn engines and might be extended to novel combustor technologies if additional data become available. The findings support the refinement of emission models and help in assessing the aviation non-CO₂ climate and air quality impacts.

sampling lines, face significant particle losses and alterations to the PSD between the engine exit plane (EEP) and the instrument.¹⁰ Such complexities, along with the size-dependent nature of particle loss, underscore the importance of accurate PSD measurements for environmental and health risk assessments.

Studies utilizing various sampling system designs and mobility-based sizing instruments have consistently found the nonvolatile aerosol fraction in rich-burn aircraft engine exhaust to be typically log-normally distributed, with geometric mean diameters (GMDs) ranging from 15 to 50 nm and geometric standard deviations (GSDs) from 1.5 to 2.3.^{11–23} These parameters, critical for predicting nvPM impacts, are either direct instrument readings or inconsistently corrected for particle losses to the EEP, highlighting the challenges in obtaining accurate PSD data representative of the engine exit.

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INTRODUCTION

The rapid expansion of global aviation has brought about significant technological advancements but also raised concerns about climate impacts and local air quality. Central to these concerns is the issue of nonvolatile particulate matter (nvPM) emissions from aircraft engines, which impact atmospheric chemistry, radiative forcing, and human health.^{1–3} A key aspect of understanding nvPM's environmental impact is its particle size distribution (PSD). The PSD is critical because it determines the particles' residence time in the atmosphere, their health effects, their interaction with solar radiation, and their potential to form contrail and cloud condensation nuclei, one of the most uncertain aspects of aviation's climate impacts.^{4,5}

Historically, PSD measurements in aircraft engine exhaust were used to estimate nvPM emission indices (EI, amount of pollutant per kg fuel burned) at ground level and cruising altitudes.^{6–8} Electrical mobility-based sizing instruments have reported high number concentrations of ultrafine particles in exhaust plumes, challenging the adequacy of the traditional smoke number (SN) standard, which focused primarily on visibility impacts.⁹ These instruments, operating within complex sampling and measurement systems with long

PSD measurements have provided mounting evidence of high number concentrations of nanoparticles in aircraft engine exhaust, which has precipitated the development and introduction of global nvPM emission standards. The International Civil Aviation Organization (ICAO) nvPM standards apply to all civil turbofan and turbojet engines with a rated thrust >26.7 kN (6000 lb). Specifically, the CAEP/10 (10th cycle of the Committee on Aviation Environmental Protection) nvPM mass concentration standard, introduced in 2020, directly replaces SN by addressing the exhaust nonvisibility criterion. Following this, the CAEP/11 nvPM Landing and Takeoff (LTO) mass and number standard, introduced in 2023, regulates the nvPM mass and number emissions from the reference LTO cycle, intended to represent peak traffic operations below 3000 ft, where pollutants can detrimentally impact local air quality.^{9,24–26} The certified nvPM mass and number LTO emissions are reported in the ICAO Aircraft Engine Emissions Databank (EEDB).²⁷ However, current regulations do not mandate PSD measurements for nvPM certification due to uncertainties and challenges in defining and traceably measuring particle sizes in the ultrafine range.

Significant particle losses in the nvPM sampling systems, up to 90% for the smallest particles (around 10 nm), necessitate a system loss correction to estimate the emissions released into the environment accurately.^{10,28,29} The prescribed nvPM system loss correction methodology in the ICAO Annex 16 Vol. II uses standardized nvPM mass and number measurements and assumes a monomodal log-normal PSD at the EEP with a GSD of 1.8 and unit particle effective density (1 g/cm³).⁹

Assumptions about aircraft engine nvPM PSDs are also integral to models that convert mass-based emissions to number-based emissions for older engine types and small engines not certified for nvPM. For such engines, SN can be used for estimating nvPM mass and number EIs using methods like the First Order Approximation (FOA 4.0) or SCOPE11.^{30,31} Yet, these estimations again depend on assumed GMD and GSD values for the nvPM number EI at the EEP.

PSD properties are needed in models predicting engine emissions at cruising altitudes and contrail formation studies.^{32,33} The models use GMD, GSD, and density assumptions to convert nvPM mass to number EIs, which influence contrail properties and their projected climate impact.^{34,35} The nvPM GMD also plays a role in contrail microphysics through its influence on particle activation processes. The activation efficiency of soot particles increases with size because of the increased surface area, facilitating condensation and ice nucleation.^{36,37}

Despite extensive studies, a gap remains in using standardized nvPM sampling and measurement systems across different engine types and conditions, with parallel PSD measurement corrected for particle losses and representative of the EEP. Our research addresses this gap by compiling an extensive data set using European (EUR) and Swiss (CH) reference nvPM sampling and measurement systems during full-scale engine tests. The measured PSDs were corrected for size-dependent system loss to provide GMD and GSD characteristics representative of nvPM emitted into the atmosphere. This study correlates these PSD properties with regulatory nvPM number, mass emissions, and engine thrust. It also reports an average nvPM effective density derived using the PSD volume and measured nvPM mass. Our findings

provide PSD characteristics representative of in-service aircraft engines across LTO operations, offering insights for refinement of the conversion from mass-based to number-based emissions, and can inform local air quality studies and predictive models for nvPM emissions at cruising altitudes and contrail formation.

■ MATERIALS AND METHODS

Engine Emission Tests. Over 7 years, emission tests of 42 commercial turbofan engines across 19 distinct types and 9 manufacturers, covering thrust ratings from 15 to 350 kN, were conducted in static sea level test cells and during static tests with engines mounted on aircraft. Notably, these engines had various rich-burn combustion systems, and they did not include staged or premixed lean-burn combustion systems, as featured in some engine models by CFM International and General Electric.³⁸

Of the engines tested, 17 underwent dedicated emission tests, whereas the remaining 25 were evaluated for emissions during pass-off performance tests post repair or overhaul. The latter adhered to the engine service manuals, including typically five to seven thrust levels from idle to takeoff. The dedicated tests focused on the regulatory LTO cycle, and a test matrix typically consisted of 8–15 test points from idle to takeoff thrust. For the test cell measurements, net thrust was determined from a correlation between the combustor inlet temperature T3 and static thrust at standard sea level (15 °C, 101.325 kPa), in line with the ICAO emissions certification standard.⁹ Where engines of the same type had varied rated takeoff thrusts, they were normalized to the maximum rated thrust for the sake of consistency. For aircraft-mounted engines, thrust estimations were based on correlations with engine speed (N1, low-pressure shaft speed) at the standard sea level. All engines burned Jet A-1 fuel without synthetic blending components.

Exhaust Sampling and nvPM Measurement. Exhaust sampling employed either multihole or traversable single-hole probes at 0.1–1.7 m downstream of the EEP, following the SAE Aerospace Recommended Practice (ARP) 6320³⁹ and the ICAO Annex 16 standard⁹ sampling and measurement protocols. Detailed descriptions of the EUR and CH systems are available in the existing literature.^{14,17,18,25} Each system reports mass and number concentrations from nominally identical nvPM instruments: the AVL Micro Soot Sensor (MSS) for the nvPM mass and the AVL Advanced Particle Counter (APC) for the nvPM number concentration. The EUR and CH systems were compared in parallel on large turbofan engines during the nvPM standard development.¹⁴ Recent comparisons of these systems using a rich-burn/quick-quench/lean-burn (RQL) combustor rig with varied jet fuel blends highlighted excellent agreement of emission indices after joint calibration: less than 3% for nvPM mass and under 1% for nvPM number across all conditions and fuels.⁴⁰

Particle Size Distribution Measurement. The EUR system used a Cambustion DMS500 fast particle size spectrometer (10 Hz) for PSD measurements from 5 to 1000 nm processed using the monomodal aggregate inversion matrix generated using mini-CAST soot. In contrast, the CH system utilized a TSI Scanning Mobility Particle Sizer (SMPS) Model 3938 equipped with an electrostatic classifier Model 3082, a bipolar Kr-85 aerosol neutralizer Model 3077A, a long Differential Mobility Analyzer (DMA) Model 3081, and a condensation particle counter (CPC) Model 3776. This fast-

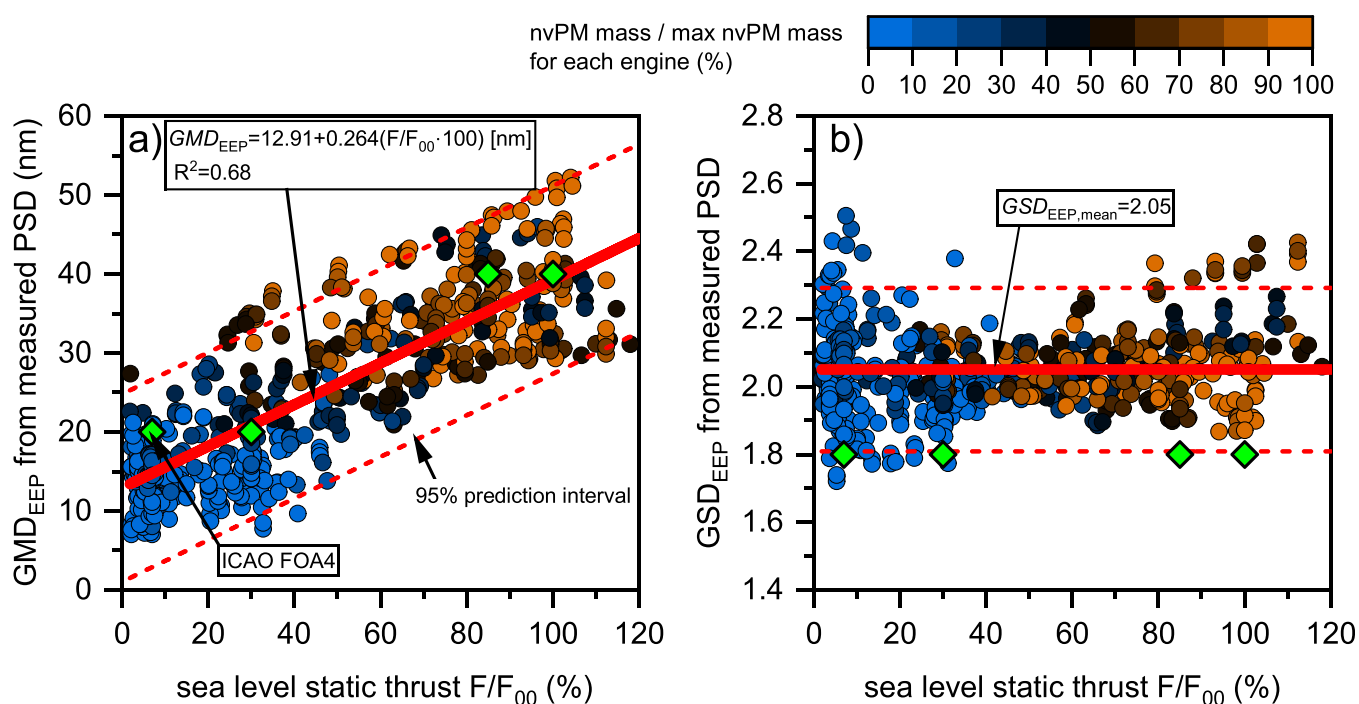


Figure 1. Log-normal engine exit plane nvPM GMD (GMD_{EPP} , a) and GSD (GSD_{EPP} , b) derived from PSD measurement as a function of engine thrust. Color mapping represents the dilution-corrected normalized nvPM mass concentration for each engine. The green diamonds correspond to the FOA4 assumptions.

scanning SMPS conducted scans in 18 and 30 s, capturing sizes from 7 to 240 nm. The scanning times were appropriate for the high-concentration polydisperse aerosol without any measurable effect on the sizing accuracy, GSD, and total concentration compared to 60s scans.⁴¹ Both instruments sampled diluted exhaust in parallel with nvPM mass and number instruments.^{17,18} Comparisons on the aforementioned combustor rig showed that both instruments agreed within a 5% margin for GMD and GSD.^{10,40} Notably, this study did not include a catalytic stripper (CS) for removing volatile compounds upstream of the size analyzers. The standardized nvPM sampling system with heated lines and rapid dilution suppresses the formation of volatile PM when the system is operated correctly and without any unburned fuel or oil contamination. This decision was validated in selected tests with and without a CS, showing consistent PSDs representative of nvPM (Section S1 of the Supporting Information).

GMD and GSD at the Engine Exit Plane. To accurately determine the GMD and GSD at the EEP, the measured PSDs were corrected for size-dependent particle losses in the sampling and measurement systems. This correction involved modeling penetration efficiencies following the methodology described in SAE ARP 6481²⁹ and further detailed in previous studies.^{21,42} The internal losses and charging efficiencies accounted for by the sizing instruments were used without any additional corrections.

In this work, we report the log-normal GMD and GSD at the EEP (GMD_{EPP} , GSD_{EPP})¹⁰ to align with log-normal PSD assumptions typically used in emission and local air quality modeling studies.^{30,32,33} Additionally, this assumption reduces the uncertainty that might arise from using different size measurement techniques. As the diluted exhaust plume cools down, volatile PM forms, but the nvPM PSD is conserved.⁴³ Therefore, the measured nvPM GMD_{EPP} and GSD_{EPP} are crucial for modeling contrail formation and assessing air quality

impacts. These parameters were derived by fitting/minimizing the product of a log-normal distribution and penetration efficiency between the EEP and the instrument against the measured PSD (Section S2 of the Supporting Information).

System Loss Correction for nvPM Mass and Number.

A significant fraction of the nvPM number in the exhaust sample is lost to the inner walls of the sampling and measurement system (total sample line length of up to 35 m), mostly due to diffusion and thermophoresis. The nvPM mass and number concentrations were corrected to the EEP using the regulatory system loss correction method.⁹ This method, which, as discussed previously, does not utilize PSD measurement, requires several assumptions (e.g., particle density, log-normality, GSD) in conjunction with the N/M ratio. Although this method has known uncertainties at low nvPM mass concentration ($<10 \mu\text{g}/\text{m}^3$ at the instrument) and $GMD < \sim 20 \text{ nm}$ ¹⁰, it was used to be consistent with the nvPM EIs reported by engine manufacturers in the ICAO EEDB. A comparison of the regulatory loss correction factors and correction factors based on measured PSD can be found in Section S3 of the Supporting Information.

Average nvPM Density Calculation. The average particle effective density was determined by dividing the measured nvPM mass by the volume derived from the PSD measurement at standard temperature and pressure (STP, 0 °C, 101.325 kPa). The volume was calculated by converting the number-weighted PSD into volume-space assuming sphericity and then fitting a log-normal distribution onto the volume-weighted PSD only using measured data $<300 \text{ nm}$ to prevent DMS500 noise from impacting the results.^{10,44} The total volume was derived by integrating the fitted log-normal distributions $<1000 \text{ nm}$ (size cutoff for the cyclone in nvPM systems). Additionally, the number concentration reported by the sizing instruments was normalized to the concentration reported by the AVL APC (corrected for additional losses in

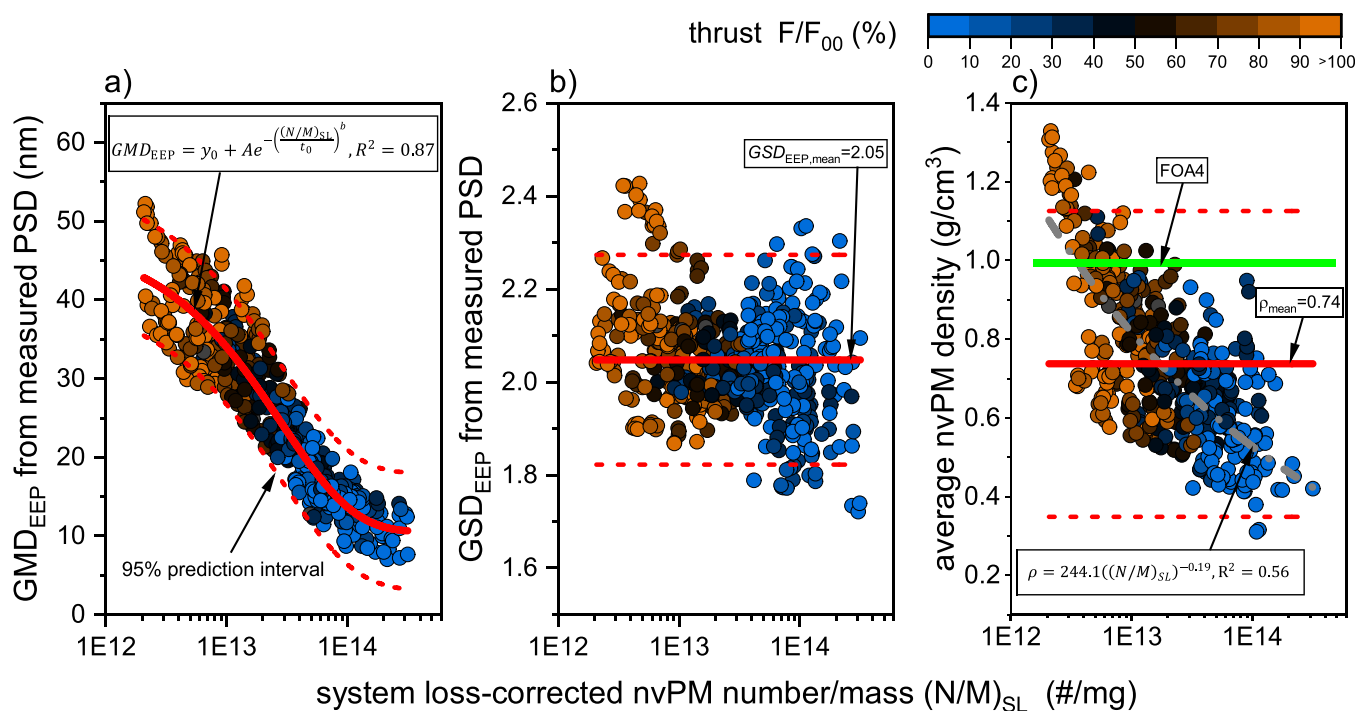


Figure 2. Engine exit plane nvPM GMD (GMD_{EEP} , a), GSD (GSD_{EEP} , b), and average nvPM density (c) as a function of the ratio of regulatory system loss (SL) corrected nvPM number to mass (N/M). The average density is plotted only for nvPM mass concentrations $>5 \mu\text{g}/\text{m}^3$ at the instrument due to high measurement uncertainties at low mass concentrations.

the APC). Although recent comparisons on an RQL rig have shown excellent agreement (slopes within 5% of the 1:1 line) of the number concentrations reported by the AVL APCs and the sizing instruments in the EUR and CHF systems,⁴⁰ the agreement during the engine tests over the years varied with slopes within 25% of the 1:1 line. Details of the density calculation and comparison with the values obtained with the number concentration reported by the sizing instruments can be found in Section S4 of the Supporting Information.

Data Averaging and Cleaning. The data were averaged over stable test periods between 30 and 60 s. Data points were excluded when the determined GMD_{EEP} was <7 nm (high measurement and loss correction uncertainty) and when the nvPM mass concentration measured was affected by shedding of large particles re-entrained from the nvPM system cyclone separator¹⁰ (i.e., the nvPM mass measured included excess nvPM not originating from the engine). In addition to cleanliness checks performed during the tests (system background measurement with pure diluent gas), various metrics were employed in diagnosing cleanliness issues in the data collected based on operational experience. Cleanliness issues during nvPM testing are coincided by an unreasonably high average nvPM effective density, above the inherent material density of soot of $\sim 1.8 \text{ g}/\text{cm}^3$,⁴⁵ and GMD_{EEP} predicted by the regulatory systems loss correction method notably larger than the one derived from PSD measurements.¹⁰ The cleanliness issues are exacerbated by the high measurement uncertainty of the nvPM mass close to the limit of detection ($1 \mu\text{g}/\text{m}^3$). The measurement uncertainties estimated for all characteristics derived from measured nvPM mass, nvPM number, and PSD are provided in Section S5 of the Supporting Information.

RESULTS AND DISCUSSION

GMD_{EEP} and GSD_{EEP} as a Function of Engine Thrust.

The geometric mean diameter at the engine exit plane consistently increased with thrust across all of the tested engines (Figure 1a). However, the GMD_{EEP} values and thrust dependence varied significantly between different engine types (Section S6 of the Supporting Information). For the combined data set, a linear regression without weighting was fitted to allow GMD_{EEP} predictions as a function of engine thrust (eq 1, $R^2=0.68$):

$$GMD_{EEP} = 12.91 + 0.264 \left(\frac{F}{F_{00}} 100 \right) [\text{nm}] \quad (1)$$

where F/F_{00} is the thrust setting relative to the rated takeoff thrust F_{00} . According to this linear model, the predicted GMD_{EEP} at the regulatory LTO thrust levels is 15 nm at idle (7% thrust), 21 nm at approach (30% thrust), 35 nm at climb (85% thrust), and 39 nm at takeoff (100% thrust). These predicted GMD_{EEP} values are within 5 nm of the assumptions used in the FOA4 method, as outlined in the ICAO Airport Air Quality Manual,³¹ for estimating nvPM number EIs from certified SN data (Figure 1a, green diamonds). The assumed GMD is a major source of uncertainty in the FOA4 method. For instance, using a 15 nm GMD at idle instead of the currently prescribed 20 nm results in an nvPM number EI that is ~ 2.5 times higher. Similarly, using 35 nm GMD for climb instead of the FOA4-prescribed 40 nm results in an $\sim 50\%$ higher nvPM number EI. The demonstrated linear relationship between GMD_{EEP} and thrust not only aids in refining the FOA4 assumptions for the LTO cycle but also has the potential to improve the accuracy of airport emission inventories beyond these four reference points.

Although the tested engines generated the largest GMD_{EEP} at maximum thrust, they exhibited maximum nvPM mass concentrations over a wide range of thrust levels. The color mapping in Figure 1 illustrates that peak nvPM mass concentrations were found at thrust levels between 30% and maximum. This finding contrasts with the parametrization in the SCOPE11 method, which correlates GMD_{EEP} with nvPM mass concentration at the combustor exit.³⁰ When an engine generates maximum nvPM mass at a low thrust level, the SCOPE11 method predicts the largest GMD_{EEP} at this condition, which contrasts with our findings in Figure 1. It should be noted that the GMD_{EEP} in SCOPE11 is not based on PSD measurements but derived from measured nvPM mass and number, assuming a log-normal distribution.

In contrast to GMD_{EEP} , the GSD_{EEP} of the combined data set did not display consistent thrust dependence (Figure 1b). The GSD_{EEP} increased with thrust for some engine types, similar to previous studies of a limited number of in-service turbofan engine types.^{11,16} However, some engine types demonstrated an opposite trend or more complex thrust dependence that had not been reported previously (Section S6 of the Supporting Information). For the combined data set, the GSD_{EEP} remained nearly constant across all thrust levels and GMD_{EEP} , with an average value of 2.05. This figure is higher than the 1.8 assumed in FOA4 and the SAE ARP6481 loss correction methodology.

The observed range of GMD_{EEP} and its dependence on thrust are consistent with previous studies that report GMD_{EEP} derived from PSD measurements using DMS500 and SMPS behind large turbofan engines with rich-burn combustors.^{8,11,16,18} However, the linear scaling of GMD_{EEP} with thrust may not be applicable for engines with staged or premixed lean-burn combustion systems (double annular combustor (DAC) and twin annular premixed swirler (TAPS) technology from General Electric).³⁸ Given the lack of published data on the emission characteristics of these systems on the ground and in flight, further research is required to determine appropriate predictive correlations, especially considering their increasing numbers in service.

GMD_{EEP} , GSD_{EEP} , and Average nvPM Density as a Function of $(N/M)_{SL}$. Figure 2 examines the relationships of GMD_{EEP} , GSD_{EEP} , and average nvPM density with the ratio of nvPM number to mass, corrected for sampling system losses using the regulatory loss correction method, $(N/M)_{SL}$. $(N/M)_{SL}$ was selected as it is available in the ICAO EEDB and facilitates GMD_{EEP} derivation under the assumption of log-normal distribution with a fixed GSD_{EEP} and average particle density (see eq 2).

The GMD_{EEP} negatively correlated with $(N/M)_{SL}$ (Figure 2a), with the data best fitted using a stretched exponential function with GMD_{EEP} bounds between 10.5 and 48 nm and an R^2 value of 0.87 (detailed fit parameters are provided in Table 1), even though GMD_{EEP} of individual engines ranged from 7 to 52 nm. This strong GMD_{EEP} correlation with $(N/M)_{SL}$ is applicable across rich-burn engines included in this study despite their varied nvPM emission characteristics. Additionally, the color map in Figure 2a demonstrates a thrust dependency of $(N/M)_{SL}$, with a decrease in $(N/M)_{SL}$ corresponding with increases in thrust and GMD_{EEP} . The variance observed around the trendline can be attributed to differences in particle morphology due to engine technology, measurement uncertainties (encompassing nvPM mass,

Table 1. Parameters Used in the Predictive Models of GMD_{EEP}

model	parameter	value
log-normal model 1	GSD	1.8
	ρ	1.0
log-normal model 2	GSD	2.05
	ρ	0.74
exponential fit	y_0	10.52
	A	37.5
	t_0	2.86e13
	b	0.724

number, and PSD), and uncertainties in the regulatory system loss correction method.

The lower cutoff selection for the nvPM number measurement and standardized loss correction method could affect the $GMD_{EEP}-(N/M)_{SL}$ relationship reported here. The 10 nm cutoff was selected in the regulatory nvPM measurement and loss correction methods because of the high measurement uncertainties of sub-10 nm particles with penetration efficiencies <10%.¹⁰ Should future measurement systems achieve lower uncertainties, it would be feasible to decrease the cutoff. Applying a novel loss correction method with PSD measurement¹⁰ to our data set revealed that a 7 nm cutoff increases the $(N/M)_{SL}$. As expected, the effect is strongest at the smallest GMD_{EEP} . For example, at a GMD_{EEP} of 10 nm, the $(N/M)_{SL}$ is ~20% higher. However, this adjustment to the lower cutoff would have a negligible effect (<1 nm) on the predicted GMD_{EEP} as a function of $(N/M)_{SL}$.

In contrast, GSD_{EEP} , ranging from 1.7 to 2.5, showed no substantial trend with $(N/M)_{SL}$ or GMD_{EEP} (Figure 2b). A linear regression suggests a minor decrease in GSD_{EEP} with $(N/M)_{SL}$ from 2.1 to 2.00 within the studied $(N/M)_{SL}$ range.

The average nvPM density varied considerably, ranging from 0.30 to 1.35 g/cm³, and had a pronounced dependency on $(N/M)_{SL}$ and thrust (Figure 2c). Contrary to the assumption of unit particle effective density (1 g/cm³) in FOA4 and the regulatory system loss correction methodology, the average nvPM density for the combined data set was 0.74 g/cm³. Higher densities were typically observed at lower $(N/M)_{SL}$, which correspond to high thrust conditions, with variability thought to be influenced mainly by varying the soot morphology and primary particle size across different engine types and operating conditions. Notably, the size instruments did not exhibit bias in GMD_{EEP} , GSD_{EEP} , and calculated densities (Section S4 of the Supporting Information).

The decreasing average nvPM density with increasing $(N/M)_{SL}$ (i.e., decreasing thrust) contrasts with previous studies that calculated the average particle effective density using integrated PSD and size-dependent effective densities. Previous investigations of a large turbofan engine and a small turbojet reported average densities between 0.6 and 1.0 g/cm³, slightly decreasing with increasing thrust.^{46,47} The differences may lay in the inherently different definition of the average nvPM density used here (including the log-normality assumption). The average nvPM density used here depends on the optical properties of soot, which vary with the thrust. The nvPM mass in this study was measured as equivalent black carbon (eBC) calibrated to the elemental carbon (EC) content of soot, in line with regulations.⁴⁸ The EC fraction of aircraft engine soot has been found to increase with thrust, with a maximum value of ~90%,⁴⁹ which was also confirmed visually where particles

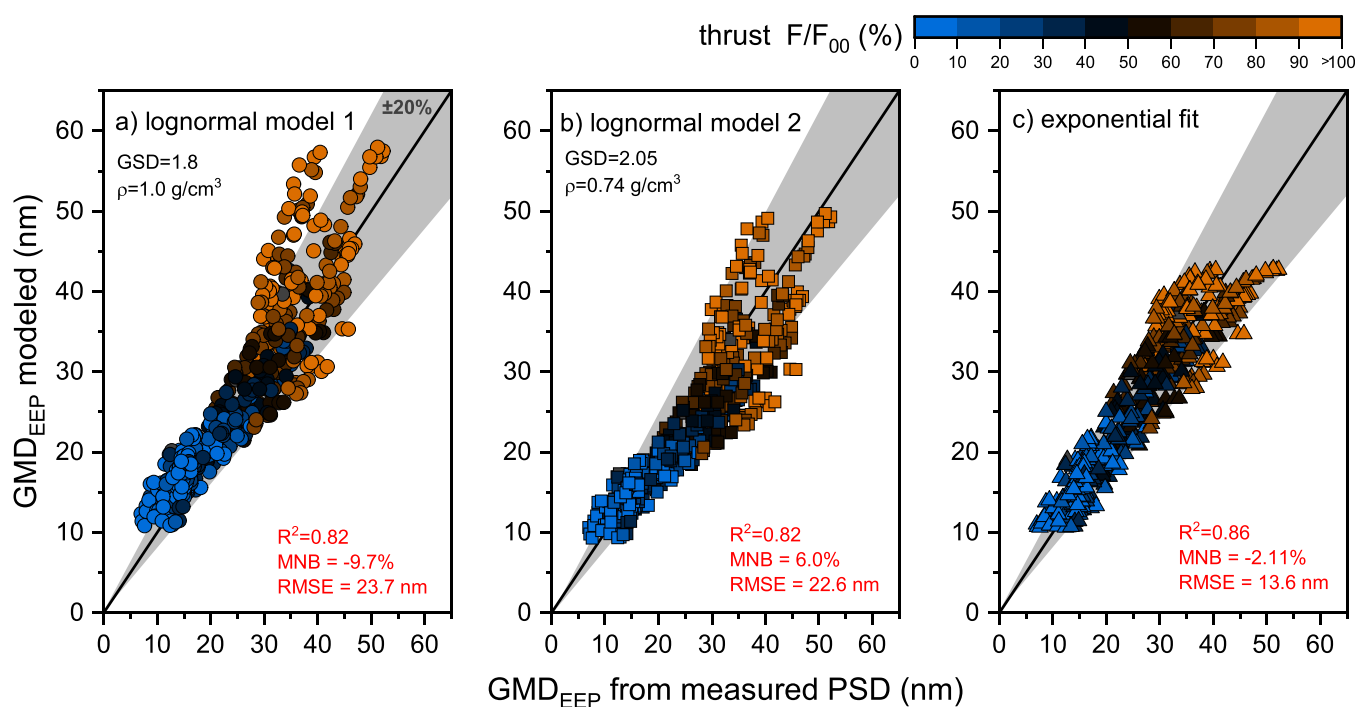


Figure 3. Parity plots between GMD_{EEP} predicted and derived from PSD measurement. Log-normal model 1 (a) assumes GSD = 1.8 and an average density of 1 g/cm³. Log-normal model 2 (b) utilizes the average GSD and density from Figure 2. The stretched exponential fit (c) represents the best fit of the data in this study.

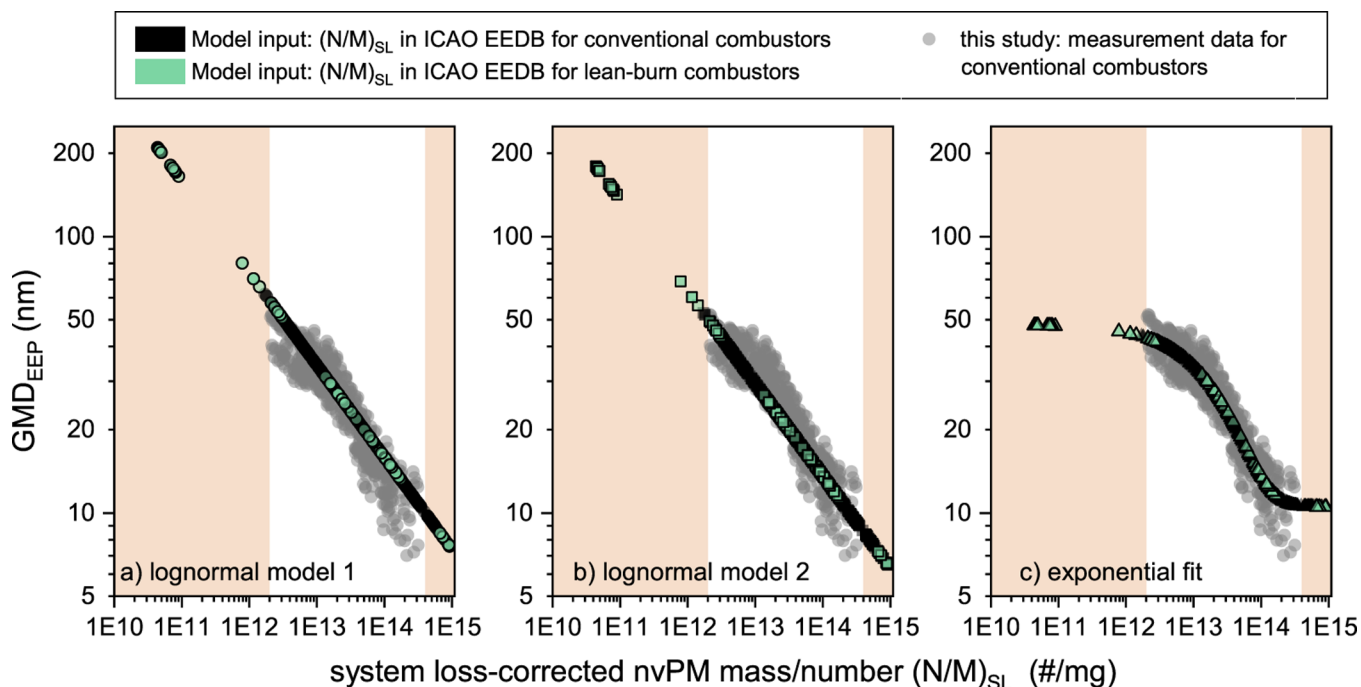


Figure 4. nvPM GMD_{EEP} predicted using log-normal model 1 (a), log-normal model 2 (b), and the exponential fit to the empirical data (c) as a function of regulatory system loss (SL) corrected N/M taken from the ICAO Engine Emissions Databank. The shaded areas indicate extrapolations beyond empirical data in this study.

collected at idle were brown whereas high-thrust particles were black.⁵⁰ Consequently, the optical nvPM mass measurement may significantly underreport the total PM mass obtained from integrated PSD and size-dependent density distributions, as reported by Giannelli et al.⁴⁷ Nevertheless, the methodology for determining the average nvPM density adopted in this study, along with the reported values, is appropriate in the

context of regulatory nvPM measurements and the relationship between the regulatory nvPM mass and number.

Comparison of GMD_{EEP} Predicted and Derived from PSD Measurement. Figure 3 compares the GMD_{EEP} derived from PSD measurements with that predicted by log-normal models from the literature³⁰ (eq 2) and the exponential fit proposed in Figure 2a (eq 3). The log-normal models utilize

$(N/M)_{SL}$ available in the ICAO EEDB, along with an assumed GSD and average particle effective density as input parameters.

$$\text{GMD}_{\text{EEP,lognormal}} = \left(\frac{6}{\left(\frac{N/M}{SL} \right) \pi \rho e^{4.5(\ln \text{GSD})^2}} \right)^{1/3} \quad (2)$$

As listed in Table 1, log-normal model 1 (Figure 3a) uses standard regulatory values for GSD and density, whereas log-normal model 2 (Figure 3b) incorporates the mean GMD_{EEP} and density derived from the PSD measurements (Figure 2a,b).

The stretched exponential function (best fit to the empirical data) shown in Figure 2a is parametrized as

$$\text{GMD}_{\text{EEP,exponential}} = y_0 + Ae \left(\frac{(N/M)_{SL}}{t_0} \right)^b \quad (3)$$

The fit parameters for this function are also listed in Table 1.

Both log-normal models demonstrate a good correlation with the empirical data with an $R^2=0.82$ and accurately approximate GMD_{EEP} within the 20 to 40 nm range. This range is consistent with in-flight measurements behind a commercial turbofan engine burning fossil jet fuel and biofuel blends.²⁰ However, uncertainties increase for GMD_{EEP} outside this range, with many data points falling beyond the $\pm 20\%$ bands. Attempts to refine the model, such as incorporating a density function dependent on $(N/M)_{SL}$ (as shown in Figure 2c), adjusted the parity plot slope but did not reduce the data scatter. This scatter is mainly influenced by the uncertainties in the reported nvPM number and mass used in the GMD model and the variations in the particle morphology across different engine types and thrust settings.

The stretched exponential fit derived from PSD measurements offers the best overall agreement with our empirical data. As shown in Figure 3, this model has the highest R^2 (0.86) and the lowest mean normalized bias (MNB, -2.11%) and root-mean-square error (RMSE, 13.6 nm). However, it is important to note that this fit is constrained by the range of experimental data and engines used, limiting predicted GMD_{EEP} to a range of 10.5–48 nm, with a tendency to underpredict measured $\text{GMD}_{\text{EEP}} > 40$ nm. This range is representative of GMD_{EEP} typically produced by most commercial turbofan engines across all thrust settings burning conventional Jet A or Jet A-1 fuel.

Application of the GMD_{EEP} Models to the $(N/M)_{SL}$ Data in the ICAO EEDB. The three models were applied to system loss-corrected N/M data from the ICAO Engine Emissions Databank, version 29b, to predict GMD_{EEP} of certified engines (Figure 4).²⁷ The $(N/M)_{SL}$ was directly derived from the nvPM number and mass EIs reported in the EEDB corrected for system loss using the regulatory method with a 10 nm cutoff.²⁹ The models were applied to EEDB data for both conventional rich-burn and lean-burn combustor engines. However, it is important to note that our analysis with lean-burn engines primarily highlights potential limitations, as no experimental data were collected for these engines.

The empirical data in this study spanned $(N/M)_{SL}$ values from $2e12$ to $3e14$ particles/mg, covering most of the range for conventional combustors in the EEDB (black symbols in Figure 4), with the shaded areas in Figure 4 highlighting the range not covered experimentally. The highest $(N/M)_{SL}$ for

conventional combustors in the EEDB was $\sim 9e14$ particles/mg at 7% thrust of Pratt & Whitney engines equipped with the TALON X combustor.⁵¹ These entries, with a high nvPM number but low nvPM mass EIs, had the smallest predicted GMD_{EEP} . Log-normal model 2 estimated GMD_{EEP} as small as 6.5 nm, whereas the exponential fit estimated a more conservative lower limit of 10.5 nm. The lowest $(N/M)_{SL}$ reported was $\sim 2e12$ at the takeoff thrust of a Rolls-Royce Trent 1000 engine, for which the log-normal model 1 predicted GMD_{EEP} of ~ 60 nm. This value is larger than any GMD_{EEP} found in this study and it is likely an overestimation (Figure 3a). The exponential fit predicted a GMD_{EEP} of ~ 43 nm, in line with the empirical data.

For lean-burn engines with DAC and TAPS combustors, the $(N/M)_{SL}$ values and GMD_{EEP} predictions at 7% thrust were similar to conventional combustors since at low thrust, lean-burn engines operate with a rich-burn primary (pilot) zone.³⁸ The highest $(N/M)_{SL}$ was also $\sim 9e14$ with a predicted GMD_{EEP} as small as 6.5 nm (CFM LEAP-1B engine). However, at high thrust (85% and 100% thrust), the reported $(N/M)_{SL}$ for engines featuring the TAPS combustor decreases dramatically $< 3e12$, up to 3 orders of magnitude, as shown by the green symbols in the left shaded area in Figure 4. The low $(N/M)_{SL}$ for lean-burn engines at high thrust is driven by the nvPM mass concentrations being at ambient levels and below the limit of quantification of regulatory nvPM systems, which poses challenges for accurate modeling. For the lowest reported $(N/M)_{SL}$ values for these engines, $\sim 2e10$ particles/mg, the extrapolated log-normal models predicted implausibly large GMD_{EEP} of up to ~ 200 nm (GENx and CFM LEAP-1A engines), which, to the authors' knowledge, has never been witnessed in a gas turbine exhaust across any engine technology. The exponential fit predicted a GMD_{EEP} of ~ 47 nm, which is also likely an overestimation.

Previous studies of a DAC engine (TAPS predecessor) suggest that lean-burn engines might exhibit a different GMD_{EEP} versus $(N/M)_{SL}$ relationship across various operating regimes^{13,52}. At the ground, the GMD_{EEP} for these engines increases steeply from ~ 10 to ~ 30 nm when the thrust increases from idle to $\sim 30\%$. In this thrust range, only the rich-burn primary zone is active. When the thrust is increased further, the main lean-burn zone is activated, and nvPM mass and number drop by several orders of magnitude. The GMD_{EEP} drops to ~ 15 nm and remains constant with a further increase in the thrust.

Overall, the exponential model (Figure 4c) improves the prediction of PSD characteristics from preexisting ICAO certification data sets for conventional engines. Compared to the log-normal models, it also restricts the GMD_{EEP} to a realistic range aligned with experimental studies of gas turbine engine emissions. The applicability of this model can be further extended and validated to novel engine technologies and fuels when nvPM emissions data complemented by PSD measurements become available.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.4c02538>.

PSD measurements with and without a catalytic stripper (Section S1); determination of the GMD and GSD at the engine exit plane (Section S2); comparison of

system loss correction factors with and without PSD measurement (Section S3); average nvPM density calculation (Section S4); measurement uncertainty estimation (Section S5); : nvPM GMD_{EPP} and GSD_{EPP} as a function thrust and engine type (Section S6) (PDF)

Tables with data (XLSX)

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Notes

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