



Citation for published version:

Yi, X, Chen, K, Xie, M, Zhao, P, Song, W & Wang, X 2023, 'A novel fouling control strategy for forward osmosis membrane during sludge thickening via self-forming protective layer', *Separation and Purification Technology*, vol. 319, 124069. <https://doi.org/10.1016/j.seppur.2023.124069>

DOI:

[10.1016/j.seppur.2023.124069](https://doi.org/10.1016/j.seppur.2023.124069)

Publication date:

2023

Document Version

Peer reviewed version

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1 **A novel fouling control strategy for forward osmosis membrane during sludge thickening via**
2 **self-forming protective layer**

3

4 Xiawen Yi^a, Kang Chen^b, Ming Xie^c, Pin Zhao^a, Weilong Song^a, Xinhua Wang^{a,d,*}

5

6 ^a Jiangsu Key Laboratory of Anaerobic Biotechnology, School of Environmental and Civil
7 Engineering, Jiangnan University, Wuxi 214122, PR China

8

9 ^b Jiangsu Nollet Intelligent Water Equipment Co. Ltd, Nantong 226331, PR China

10

11 ^c Department of Chemical Engineering, University of Bath, Bath, BA2 7AY, UK

12

13 ^d Jiangsu Collaborative Innovation Center of Technology and Material of Water Treatment, Suzhou
14 University of Science and Technology, Suzhou 215009, PR China

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19 * Corresponding author: Tel: +86-510-85910765, E-mail: xhwang@jiangnan.edu.cn (X. Wang).

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21

22

23 **Abstract**

24 Severe forward osmosis (FO) membrane fouling significantly limited the application of FO
25 membrane for sludge thickening. Here, a novel antifouling strategy by loading a self-forming
26 protective layer on FO membrane surface was proposed in this study. The protective layer was
27 coated on the FO membrane surface not with any chemicals but via a short-term operation of FO
28 process with activated sludge as feed solution. Results indicated that the self-forming protective
29 layer had no negative effect on membrane intrinsic properties, whereas the presence of protective
30 layer made the FO membrane surface more hydrophilic and more negatively charged. Compared
31 with the control FO (C-FO) membrane, the modified FO (M-FO) membrane with a protective layer
32 showed a better sludge thickening efficiency and a lower flux decline during sludge thickening. In
33 addition, less deposited foulants and a better fouling reversibility were observed for the M-FO
34 membrane after two cycles of sludge thickening, implying that the protective layer effectively
35 mitigated the FO membrane fouling during sludge thickening. This phenomenon could be explained
36 from two aspects. Firstly, the protective layer ~~apparently could~~ improved the hydrophilicity and
37 negative charge of the membrane surface, which effectively ~~slowed down~~prevent the deposition of
38 subsequent organic matter and microorganisms. In addition, the loose protective layer had an
39 excellent ~~support barrier~~ effect, which not only avoided the mutual extrusion of subsequent foulants
40 but also alleviated the deposition of irreversible foulants within membrane matrix. -Our finding ~~is~~
41 ~~helpful for better shed light on~~ understanding FO membrane fouling and developing novel
42 mitigation strategies via a fouling protective layer at an excessive high fouling propensity matrix
43 with high sludge concentration, ~~and for developing the fouling strategy via a fouling protective layer.~~

44 **Keywords:** forward osmosis; membrane fouling; fouling control; protective layer; sludge treatment

45

46 1. Introduction

47 As an economical and efficient process, activated sludge process (ASP) has been widely
48 applied in wastewater treatment plants (WWTPs) [1,2]. However, waste activated sludge (WAS), a
49 by-product of the ASP, is becoming one ~~of the inevitable grand~~ challenges to the WWTPs ~~and local~~
50 ~~government~~ with ~~the~~ rapid industrialization and urbanization [3]. ~~For example, A~~according to the
51 Statistical Yearbook of Urban and Rural Construction in China, the annual WAS production had
52 risen to 13.3 million tons dry solids in 2020 [4]. If not being appropriately managed, such a large
53 amount of WAS would cause serious secondary pollution in the ~~worldwide~~ environment [5]. Except
54 for a large production of WAS, its treatment cost was so high that equivalent to wastewater treatment
55 [6-8]. Based on the large output and high treatment cost of WAS, the treatment and disposal of WAS
56 have aroused increasingly interests.

57 Sludge thickening is a vital step of sludge treatment and disposal, which can effectively
58 minimize the volume of WAS, cut down the treatment cost of subsequent transportation and disposal,
59 and lighten the environmental burden [9,10]. Currently, membrane separation technology including
60 microfiltration (MF), ultrafiltration (UF) and forward osmosis (FO) has emerged as an effective
61 technology for thickening WAS owing to a less footprint, a higher thickening efficiency and a better
62 effluent water quality [6,7,11-19]. Compared to the MF and UF membrane, FO membrane is more
63 suitable for thickening WAS due to its higher rejection capability, superior water flux stability
64 against fouling and less energy consumption [20-24]. Although obvious advantages have shown in
65 using FO membrane for sludge thickening (FST), there are still some challenges including salinity
66 build-up and membrane fouling limiting sludge thickening efficiency. In order to mitigate salinity

67 build-up, Yi et al. (2021) utilized MF membrane to discharge soluble salt in the FST process, which
68 successfully alleviated the salinity build-up and notably enhanced the sludge thickening efficiency
69 [7]. In this case, membrane fouling resulting in an obvious flux decline of FO membrane becomes
70 a major bottleneck of the FST process. According to previous literature on the FST process [6,7,
71 25,26], sludge concentration had a significant impact on FO membrane fouling, and MLSS
72 concentration of 30 g/L was a turning point of FO membrane fouling, i.e., the membrane fouling
73 became dramatical severe when MLSS concentration was more than 30 g/L. Besides that, organic
74 fouling and biofouling were the main type of FO membrane fouling, and aromatic and hydrophilic
75 organic matters controlled the fouling behavior during sludge thickening. Furthermore, according
76 to Yi et al. (2022), the development of FO membrane fouling during sludge deep thickening could
77 be divided into three stages, i.e., sand-like fouling stage, loose fouling layer stage and dense fouling
78 layer stage [26]. Except for analyzing fouling behaviors of FO membrane, only a few researchers
79 have proposed some methods to alleviate FO membrane fouling during sludge thickening. For
80 instance, Sun et al. (2019) proposed adding poly-aluminum chloride into WAS to alleviate FO
81 membrane fouling by changing the properties of WAS [27], and Ng et al. (2019) prepared a novel
82 three-layer FO membrane to alleviate membrane fouling by improving the anti-fouling performance
83 of FO membrane [28]. Nevertheless, there is no doubt that adding chemicals increase the treatment
84 cost and membrane modification is a complex and expensive process. Therefore, it is essential to
85 explore a novel fouling control method for FO membrane during sludge thickening.

86 Currently, FO membrane fouling is mitigated by three pathways including regulating the
87 properties of feed solution, optimizing operating conditions, and modifying the surface of membrane
88 [29]. Compared to the other ones, membrane surface modification is a fundamental but most

89 effective method. Whereas, most of the modifications are difficult and complicated, and chemical
90 modification will consume a lot of chemicals and even cause the release of hazardous waste [30].
91 In this case, a novel membrane fouling strategy via coating a protective layer on the membrane
92 surface has been proposed in recent years [30-34]. Specifically, the protective layer composed of
93 chemical substance is coated on the membrane surface through electrostatic adsorption, hydrogen
94 bonding, covalent bonds and other interactions, and the protective layer can be decoated by chemical
95 agents after membrane fouling, meaning that the fouling layer deposited on the protective layer is
96 removed at the same time. After that, a new protective layer is coated on the membrane surface
97 again with the same coating method. Although the coating-decoating-recoating strategy had a good
98 effect on mitigating membrane fouling, the process needs chemical reagents for coating and
99 decoating protective layer, resulting in an increase in the cost and potential risk of environment [30].

100 Inspiring by the successful concept of building a protective layer for mitigating membrane
101 fouling, a novel self-forming protective layer for mitigating FO membrane fouling during sludge
102 thickening was prepared in this study. Compared with previous literature applying chemical reagents
103 for coating and decoating protective layer, the self-forming protective layer was coated on the FO
104 membrane surface via activated sludge and was removed by physical cleaning, implying a more
105 easy and environmental-friendly process. So far, studies on using a self-forming protective layer for
106 mitigating membrane fouling have not been found in current literature. Thus, the aims of current
107 study are to investigate the feasibility of using the self-forming protective layer to mitigate
108 membrane fouling, and to evaluate the long-term performance of FO membrane with a self-forming
109 protective layer during sludge thickening.

110

111 **2. Materials and methods**

112 *2.1 Reactor and sludge characteristics*

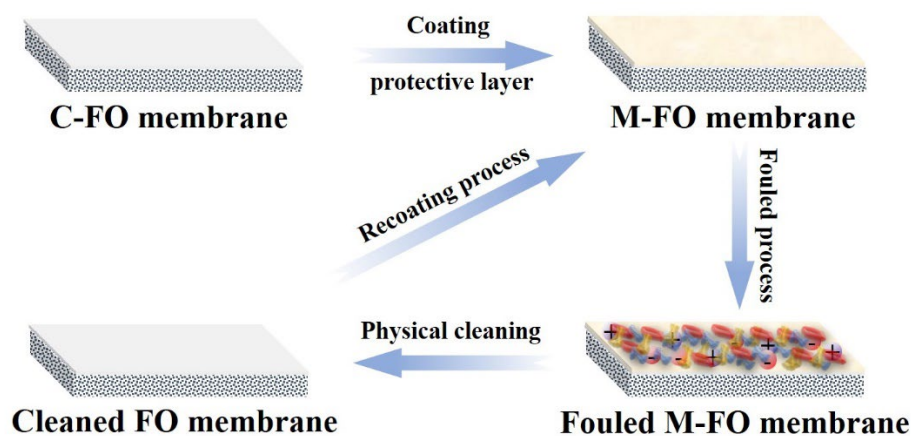
113 Two identical laboratory-scale reactors with an effective volume of 3.8 L were operated in
114 parallel for thickening WAS (as illustrated in Fig. S1). The WAS was directly collected from a local
115 wastewater treatment plant (Wuxi Xincheng WWTP), and its characteristics were summarized in
116 Table S1. Details of the reactor have been reported in our previous literature [7,26]. Briefly, the
117 reactor consisted of an MF and an FO membrane module with an effective area of 0.032 m² and
118 0.024 m², respectively. The MF membrane (made of polyvinylidene fluoride (PVDF), supplied by
119 Zizheng Environment Inc., China) with a nominal pore size of 0.20 μm was continuously operated
120 under the mode of stable flux, and its water flux was maintained at approximately 2.3 LMH by a
121 peristaltic pump. The FO membrane was made of cellulose triacetate (CTA) (Fluid Technology
122 Solutions Inc., United States) and its active layer faced with the WAS (AL-FS mode). The driving
123 force of FO membrane was supplied by the draw solution of 1 M NaCl solution, and a conductivity
124 control system was applied for maintaining a stable draw solution concentration. In order to mitigate
125 membrane fouling and maintain the dissolved oxygen concentration in the mixed liquors, aeration
126 was introduced with an aeration rate of 200 L/min. During the whole experiment, the two reactors
127 were operated at the temperature of 25 ± 2 °C.

128 *2.2 Building a protective layer on FO membrane*

129 The FO membrane with a self-forming protective layer was prepared by an FO reactor (as
130 illustrated in Fig. S2). Compared with the FST reactor mentioned above, the FO reactor had the
131 same feed solution system, draw solution system except for only putting FO membrane module in
132 the reactor. Whereas, the operating process of the FO reactor was aimed to coat a protective layer

133 on FO membrane, which was significantly different with the FST reactor for sludge thickening.
 134 During the building protective layer process, WAS was firstly pumped into the FO reactor to make
 135 the reactor full of the sludge, and then the FO membrane module was continuously operated for 4
 136 days with the draw solution of 0.5 M NaCl solution, and in the meanwhile the sludge concentration
 137 of the reactor was kept at 3-4 g/L by circulating WAS in the feed tank and the reactor. After that, a
 138 protective layer would be coated on the surface of FO membrane. In order to distinguish the FO
 139 membrane before and after building a protective layer, they were denoted as a control FO (C-FO)
 140 membrane without a protective layer and a modified FO (M-FO) membrane with a protective layer,
 141 respectively.

142 The using procedure of the M-FO membrane is summarized in Fig. 1. After coating a protective
 143 layer, the M-FO membrane can be directly used in the FST reactor for sludge thickening. When it
 144 was fouled in one cycle of FST reactor, the deposited foulants and the coated protective layer were
 145 removed by in-situ physical cleaning, and then the cleaned membrane was re-coated a protective
 146 layer via the same building method. After that, the M-FO membrane was reused in another cycle of
 147 the FST reactor.



148
 149 Fig. 1 The coating, fouled, physical cleaning and recoating process of FO membrane.

150 2.3 Characterization of FO membranes

151 An FO-Cell system was used to evaluate the water flux (J_w , LMH) and reverse salt flux (J_s ,
152 g/(m²·h)) of both C-FO and M-FO membrane, which were calculated according to Eq. (1) and Eq.
153 (2), respectively. The structure and operating conditions of FO-Cell system have been reported in
154 previous literature [35,36].

$$155 \quad J_w = \frac{\Delta V}{A_m \Delta t} \quad (1)$$

$$156 \quad J_s = \frac{C_t V_t - C_0 V_0}{A_m \Delta t} \quad (2)$$

157 where ΔV is the permeate volume from the feed solution to the draw solution (L); A_m is the active
158 membrane area (m²); Δt is the operation time (h); C_0 (g/L) and V_0 (L) are the initial concentration
159 and initial volume of the FS, respectively; and C_t (g/L) and V_t (L) are the solute concentration and
160 volume of the FS measured at time t , respectively.

161 The morphology of both FO membrane surfaces was observed by a Field Emission Scanning
162 Electron Microscope (FE-SEM, Evo18, Carl Zeiss, Germany), and a Solid Surface Zeta Potential
163 Analyzer (SurPASS 3, Anton Paar, Austria) was carried out to assess the changes of membrane
164 surface. The membrane surface hydrophilicity was characterized by the initial contact angle of water
165 using Contact Angle Goniometer (JY-PHb, China). The C-FO and M-FO membrane were tested by
166 an Attenuated Total Reflectance Fourier Transform-infrared Spectrometer (ATR-FTIR, Nicoletis10,
167 Thermo Fisher, USA) in the range of 4000-400 cm⁻¹. In order to analyze the membrane surface
168 topography and roughness, Atomic Force Microscopy (AFM, Multimode-8, Bruker, USA) was
169 performed at room temperature and the scan area of membrane was set at 5 μm × 5 μm. In addition,
170 Confocal Laser Scanning Microscopy (CLSM, LSM 710, Carl Zeiss, Germany) was used to observe
171 the surfaces of the M-FO membrane to investigate the substance composition and distribution of the
172 protective layer, including α-D-glucopyranose and β-D-glucopyranose polysaccharides, proteins

173 and microorganisms. The three-dimensional CLSM images were obtained via the Image J software,
174 and the biovolume of the substances was calculated by a software of Auto PHLIP-ML (version 1.0).
175 The specific staining method can be found in our previous studies [35,36].

176 *2.4 Performance of FO membranes*

177 The performance of C-FO and M-FO membrane was determined and compared via two
178 identical laboratory-scale FST reactors for thickening WAS. The FST reactors and their operating
179 conditions were described in Section 2.1. In the formal sludge deep thickening process, the MLSS
180 concentration of 50 g/L was regarded as the terminal point. Two consecutive cycles of sludge deep
181 thickening were conducted to compare the performance of both FO membranes. After the MLSS
182 concentration reached 50 g/L for the first time, the thickened sludge was discharged from the reactor
183 and the FO membranes were taken out for physical cleaning. As for the C-FO membrane after
184 physical cleaning, it was put into the FST reactor for the second cycle of thickening WAS. Whereas,
185 the protective layer on the M-FO membrane was firstly removed from FO membrane surface via
186 physical cleaning, and then the M-FO membrane was re-coating a new protective layer with the
187 same procedure described in section 2.2. After that, the M-FO membrane was put into the FST
188 reactor for the second cycle of thickening WAS. It should be pointed out that the physical cleaning
189 of both FO membranes was conducted via osmosis backwashing in the FST reactors, i.e., the feed
190 solution and draw solution were changed to 1.0 M NaCl solution and deionized (DI) water,
191 respectively, and the water flux of both membranes after physical cleaning was determined to
192 evaluate the cleaning efficiency using DI water and 1.0 M NaCl solution as feed solution and draw
193 solution, respectively.

194 During operating two cycles of both FST reactors, ammonia nitrogen ($\text{NH}_4^+\text{-N}$), nitrate

195 nitrogen ($\text{NO}_3\text{-N}$), total phosphorus (TP), total solid (TS), volatile solid (VS), MLSS and mixed
196 liquor volatile suspended solids (MLVSS) were measured according to the Standard methods [37],
197 and total organic carbon (TOC) concentration was determined by a TOC analyzer (TOC-V_{CPH},
198 Shimadzu, Japan). In addition, the fouled FO membranes were analyzed by the in-situ instruments
199 of FE-SEM and CLSM according to the methods described in section 2.3. Furthermore, the foulants
200 on both C-FO and M-FO membrane surface after two consecutive cycles of sludge deep thickening
201 were extracted and divided into reversible and irreversible foulants. The reversible foulants were
202 collected via flushing the surface of FO membranes using the DI water, and then the irreversible
203 foulants were obtained by sonication treatment (50 Hz, 220 V) for the fouled FO membranes for 30
204 min. After that, the extracted reversible and irreversible foulants were diluted with DI water to
205 volume of 100 mL. Subsequently, their total solid (TS) and volatile solid (VS) concentrations were
206 measured.

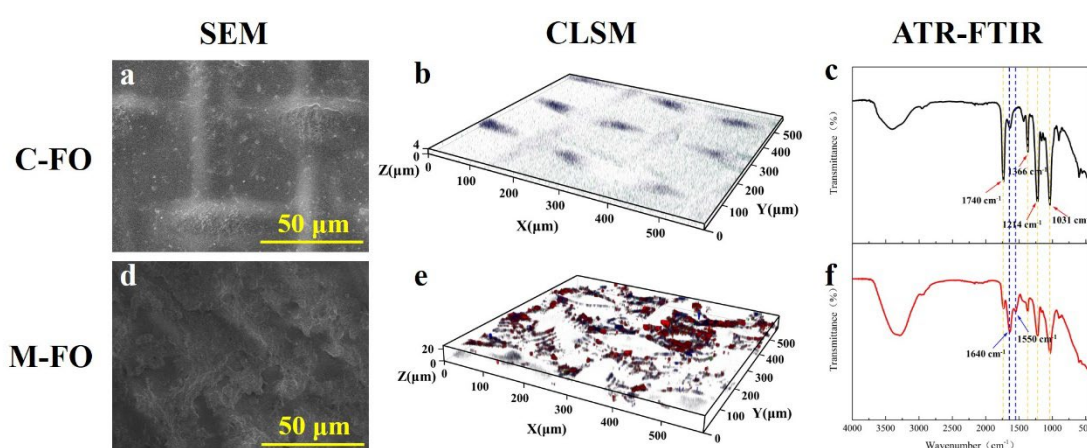
207

208 **3. Results and discussion**

209 *3.1 Characteristics of FO membrane with protective layer*

210 The surface morphologies of both C-FO and M-FO membranes visualized by SEM, CLSM and
211 FT-IR are illustrated in Fig. 2. The C-FO membrane presented an appearance of a “grid” structure
212 (Fig. 2(a)), while the grid structure was almost disappeared in the M-FO membrane (Fig. 2(d)). The
213 different surface morphology indicated that a protective layer was completely covered on the M-FO
214 membrane surface. From CLSM images (Fig. 2(b) and (e)), the mean thickness of the C-FO and M-
215 FO membrane was 4.0 and 24.5 μm , respectively, which means that the protective layer on the M-
216 FO membrane surface was with an average thickness of 20.5 μm . In addition, the color of cyan,

217 blue, green and red represented α -D-glucopyranose and β -D-glucopyranose polysaccharides,
 218 proteins and microorganisms in CLSM images, respectively. It could be seen from Fig. 2(b) that
 219 there was nothing of these four substances on the C-FO membrane surface, whereas the presence of
 220 these substances was clearly observed on the M-FO membrane surface and β -D-glucopyranose
 221 polysaccharides and microorganisms were the main substances according to their biovolume (see
 222 Table S2). The ATR-FTIR (Fig. 1(c)) indicated that the C-FO membrane was characterized by
 223 typical absorbance peaks at wavenumber of 1740 cm^{-1} (ester C=O stretching in cellulose triacetate),
 224 and 1366 , 1214 , and 1031 cm^{-1} (C–O stretching in hydroxyl functional group) [38,39]. However, in
 225 the spectrum of M-FO membrane, the intensities of these typical absorbance peaks of the C-FO
 226 membrane decreased dramatically, and the stronger peak at 1640 cm^{-1} for C=C stretch and a new
 227 peak at 1550 cm^{-1} for C-H stretch were found owing to the organic substances in the protective layer
 228 (Fig. 2(f)). The above results exactly indicated that a protective layer was successfully built on the
 229 M-FO membrane surface, and the polysaccharides and microorganisms were the main composition
 230 of the protective layer.

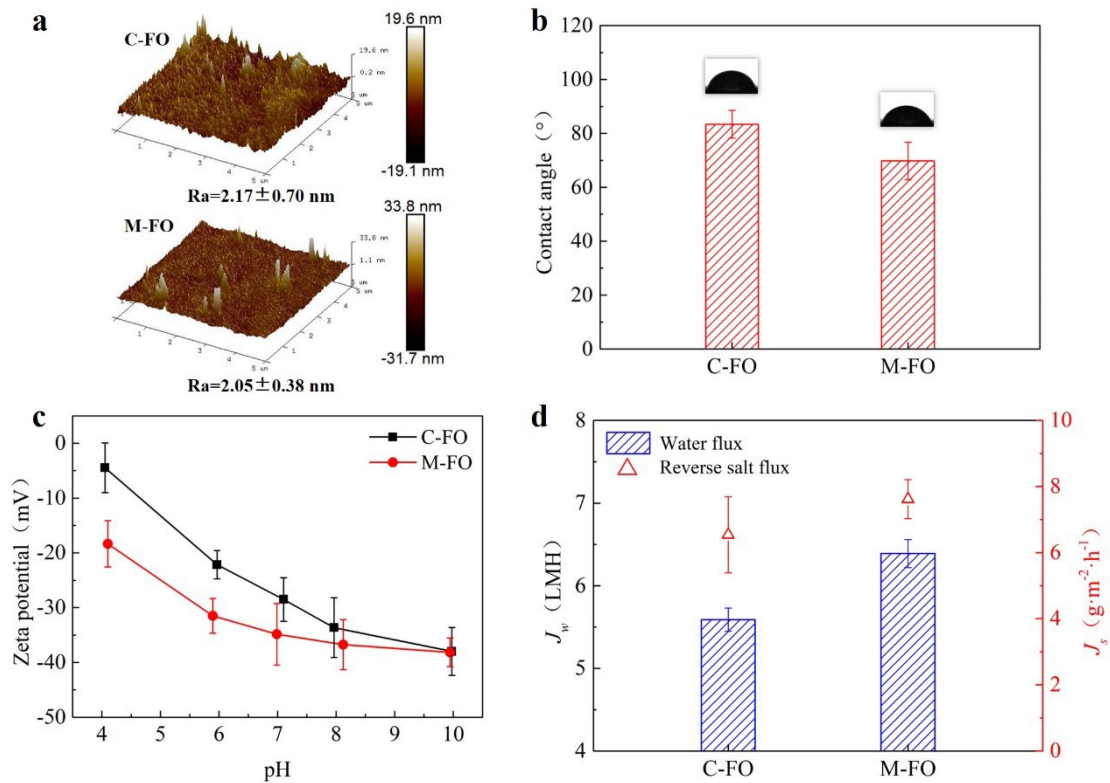


231
 232 Fig. 2. SEM images (a, d), CLSM images (b, e) and ATR-FTIR spectra (c, f) of both C-FO and M-
 233 FO membranes.

234 The roughness of both C-FO and M-FO membranes was characterized, and their three-

235 dimensional AFM images and the values of average roughness (Ra) are shown in Fig. 3(a). The Ra
236 value of both C-FO and M-FO membranes was 2.17 ± 0.70 and 2.05 ± 0.38 nm, respectively,
237 implying that the protective layer has little effect on the surface roughness of the FO membrane. In
238 addition, the hydrophilicity of both membranes was characterized by contact angle (CA). As shown
239 in Fig. 3(b), the M-FO membrane had a lower CA value (69.8°) than the C-FO membrane (83.4°),
240 indicating the M-FO membrane was indeed more hydrophilic. It is well known that a membrane
241 with smoother and more hydrophilic surface has a higher water flux and a less tendency of fouling.
242 This phenomenon could be due to the presence of some hydrophilic substances in the protective
243 layer [40]. The existence of polysaccharides, a hydrophilic substance [41], in the protective layer
244 has been examined by the results of CLSM (see Fig. 2). Furthermore, the zeta potential values of
245 both membranes at pH values from 4 to 10 were determined, and the results indicated that both
246 membranes had a negative surface charge (Fig. 3(c)). Compared with the C-FO membrane, the M-
247 FO membrane had a higher density of negative charges at pH 4-10. It might be attributed to the
248 presence of polysaccharides in the protective layer, which is a negatively charged organic matter
249 [42,43]. It should be pointed out that the negative charge density of M-FO membrane was obviously
250 higher than that of C-FO membrane at acidic conditions, while the negative charge densities of both
251 membranes became similar when the pH value was larger than 8. It could be attributed to the
252 protective layer fell of the membrane surface at the alkaline condition based on the fact that the
253 alkaline solution is usually used to clean the organic and biological foulants. Since the organic
254 foulants and microorganisms are generally negatively charged at pH value of 7 [41], the M-FO
255 membrane with stronger negative charge on the surface can provide more electrostatic repulsion to
256 improve the antifouling performance of the membrane.

257 The pure water flux and the reverse salt flux of both membranes are presented in Fig. 3(d). It
 258 could be seen that the M-FO membrane had higher water and salt flux compared with the C-FO
 259 membrane, and the two membranes had a similar ratio of water flux to reverse salt flux (the J_w/J_s
 260 value of both C-FO and M-FO membranes was 0.84 and 0.83, respectively), implying an increase
 261 of permeability of FO membrane after coating a protective layer. It might be attributed to the fact
 262 that the M-FO membrane was more hydrophilic and had a stronger negative charge surface
 263 compared with the C-FO membrane.



264

265 Fig. 3. Properties of both C-FO and M-FO membranes: (a) three-dimensional AFM images; (b)

266 contact angle; (c) zeta potential at pH 4 to 10; (d) pure water flux and reverse salt flux.

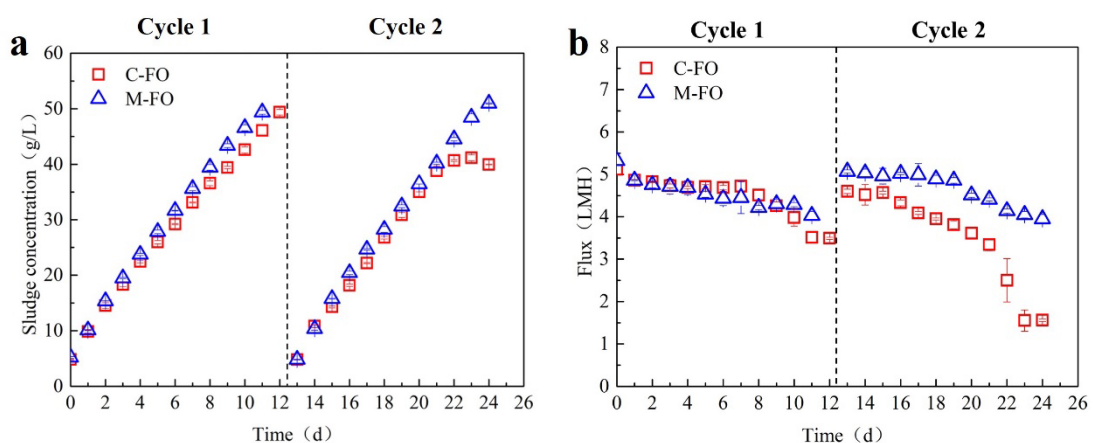
267 *3.2 Performance of FO membrane with protective layer during sludge thickening*

268 To verify the feasibility of the fouling control strategy of FO membrane with a protective layer

269 during sludge thickening, the performance of both C-FO and M-FO membranes were investigated

270 in the FST reactors for thickening WAS. The water flux of both membranes and their sludge
271 thickening efficiency during two operating cycles are summarized in Fig. 4. The water flux of both
272 FO membranes was obviously declined in the two cycles (see Fig. 4(a)), which was consistent with
273 previous literature on FO membrane for sludge thickening [7,16,18,19]. Specifically, the water flux
274 of both membranes had a similar decreasing trend in the first cycle (from 5.12 and 5.32 LMH to
275 3.49 and 4.03 LMH, respectively), while there was an obvious difference of the flux decline between
276 the two FO membranes in the second cycle. Similar to the first cycle, the water flux of M-FO
277 membrane slowly dropped from 5.07 LMH to 3.95 LMH in the second cycle. In contrast, the water
278 flux of C-FO membrane decreased rapidly from 4.60 LMH to 1.56 LMH in the second cycle. These
279 flux performance results clearly indicated that the M-FO membrane mitigated the flux decline
280 during sludge thickening. It implied that the protective layer effectively alleviated the FO membrane
281 fouling during sludge thickening, which might be owing to the alleviation of irreversible fouling.
282 After Cycle 1, both membranes were physically cleaned to remove the reversible foulants on
283 membrane surfaces. However, the clean efficiency was different between the two FO membranes.
284 As for the C-FO membrane, the flux recovery rate was only 89.8% in Cycle 1 after physical cleaning,
285 indicating some irreversible foulants remaining on the C-FO membrane surface. In this case, more
286 foulants were directly deposited on the C-FO membrane surface and resulting in a significant flux
287 decline. With regard to the M-FO membrane, the protective layer was removed by the physical
288 cleaning after Cycle 1, and the flux recovery rate was almost 100% owing to the protective layer.
289 After that, the protective layer was reloaded on the M-FO membrane surface, and the new foulants
290 would directly interact with the reloaded protective layer instead of the FO membrane surface [44].
291 Based on the fact that the protective layer was more hydrophilic and more negative charge than the

292 FO membrane surface, the M-FO membrane was more resistant to foulants than the C-FO
 293 membrane, thus leading to alleviating membrane fouling and flux decline. Thanks to the protective
 294 layer, as shown in Fig. 4(a), the M-FO membrane successfully concentrated the WAS to 50 g/L in
 295 both cycles. However, the C-FO membrane could thicken the WAS to 50 g/L in Cycle 1 but failed
 296 in Cycle 2 due to a severe flux decline (see Fig. 4(b)). In addition, $\text{NH}_4^+\text{-N}$, $\text{NO}_3^-\text{-N}$, TP and TOC
 297 concentrations in both permeates of the membranes during two cycles of sludge thickening are listed
 298 in Table S3. It could be observed that both FO membranes have an excellent retention effect on
 299 organic compounds, nitrate and phosphate, and $\text{NH}_4^+\text{-N}$, $\text{NO}_3^-\text{-N}$, TP and TOC concentrations in the
 300 permeates were almost no difference between the C-FO and M-FO membrane, which are in
 301 accordance with previous reports on the effluent water quality of FO membrane during sludge
 302 thickening [7]. The comparison in performance of FST reactor between C-FO and M-FO membrane
 303 indicated that the presence of the protective layer on the FO membrane surface had no obvious effect
 304 on the retention performance of FO membrane but effectively alleviated flux decline and
 305 subsequently enhanced the sludge thickening efficiency.



306
 307 Fig. 4. Sludge thickening efficiency (a) and water flux (b) of both C-FO and M-FO membranes
 308 during thickening WAS.

309 *3.3 Fouling behaviors of FO membrane with protective layer during sludge thickening*

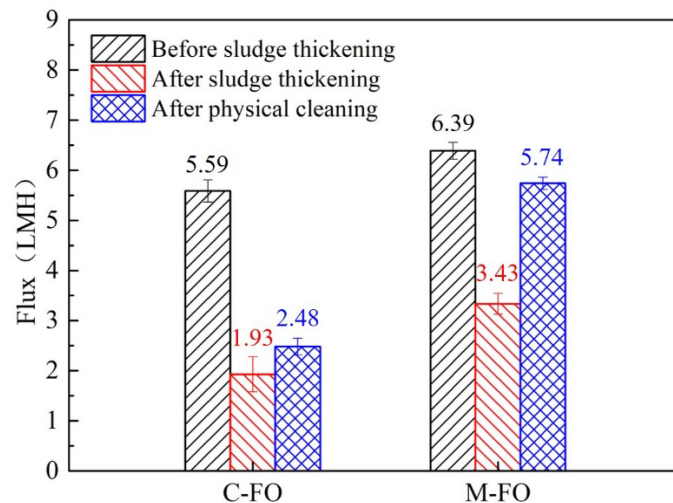
310 To further understand the role of protective layer in membrane fouling control, the fouling
311 behaviors of both C-FO and M-FO membranes during sludge thickening were comprehensively
312 analyzed. The composition of the foulants on both membrane surfaces after operating two cycles of
313 sludge thickening is listed in Table 1. The quantities of reversible and irreversible foulants of both
314 membranes were analyzed in the terms of TS and VS concentrations. It could be found from Table
315 1 that the amount of foulants in terms of TS and VS concentration on the M-FO membrane surface
316 was far less than that on the C-FO membrane surface, indicating the protective layer effectively
317 reducing the deposition of foulants on the membrane surface. In order to further evaluate the
318 mitigation of reversible fouling via the protective layer, the water flux of both C-FO and M-FO
319 membranes before and after physical cleaning were further measured. As shown in Fig. 5, the water
320 flux of both FO membranes decreased obviously after sludge thickening. Specifically, the flux
321 decline rate of both C-FO and M-FO membranes after sludge thickening was 65.5% and 46.3%,
322 respectively, indicating that the fouling of C-FO membrane was more severe than the M-FO
323 membrane, which was consistent with the foulants quantity on the membrane surface (see Table 1).
324 After physical cleaning, the water flux of both C-FO and M-FO membranes recovered by 15.0%
325 and 78.0%, respectively. It implied that the fouling of M-FO membrane was much more reversible
326 compared with the C-FO membrane. The improved reversibility of FO membrane fouling could be
327 attributed to the loading of protective layer. It was well known that membrane fouling caused by
328 direct interaction between the foulants and the membrane surface was more irreversible [44]. The
329 protective layer on the M-FO membrane prevented more foulants from directly interacting with the
330 membrane surface and slowed the accumulation of irreversible foulants on the membrane surface.
331 In addition, the protective layer deposited on the membrane surface would improve the antifouling

332 performance of FO membrane due to the better hydrophilicity and stronger negative charge of the
 333 protective layer, which effectively reduced the deposition of foulants on the M-FO membrane
 334 surface (see Table 1). Therefore, the protective layer effectively alleviated the FO membrane fouling
 335 via reducing the deposition of foulants and improving the reversibility of membrane fouling.

336 Table 1 Analyses of the foulants on both C-FO and M-FO membrane surfaces after operating two
 337 cycles of sludge thickening ^a.

Membrane type	Foulants type	TS (g/m ²)	VS (g/m ²)	VS/TS
C-FO	Reversible foulants	252 ± 38.4	172 ± 35.2	0.68 ± 0.04
	Irreversible foulants	24.7 ± 5.26	6.56 ± 0.19	0.28 ± 0.07
M-FO	Reversible foulants	143 ± 2.48	94.9 ± 0.87	0.66 ± 0.01
	Irreversible foulants	13.4 ± 1.66	4.98 ± 0.23	0.38 ± 0.05

338 ^a Values are given as mean values ± standard deviation (number of measurements: n = 3).

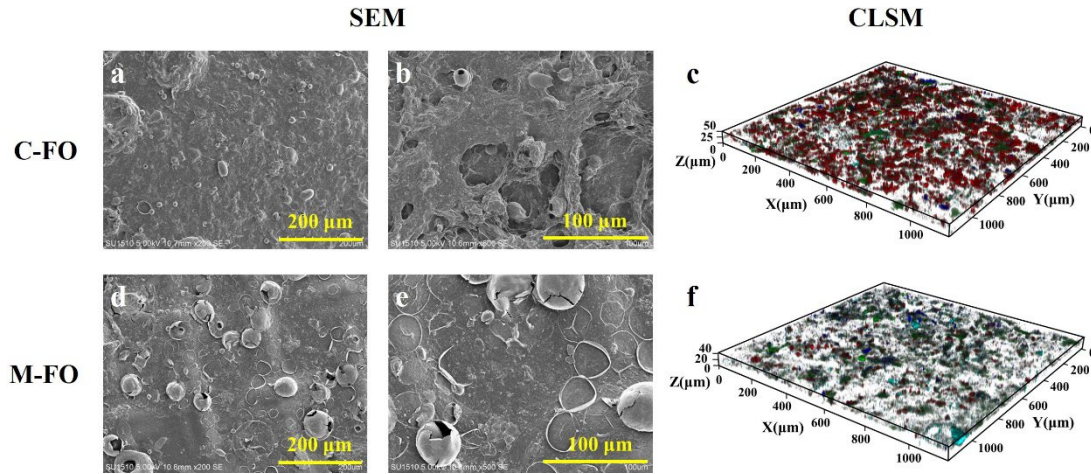


339
 340 Fig. 5. Water flux of both C-FO and M-FO membranes before and after sludge thickening and
 341 after physical cleaning.

342 In order to investigate the difference of fouling behaviors between the C-FO and M-FO

343 membrane, the surface morphology of two fouled FO membranes was observed by SEM. As shown
344 in Fig. 6, the C-FO membrane was completely covered by foulants (Fig. 6(a)), while the M-FO
345 membrane could vaguely identify the network structure of CTA-FO membrane (Fig. 6(d)). With the
346 increasing of magnification, flakes of foulants could be observed to gather together and adsorbed
347 on the C-FO membrane surface (Fig. 6(b)), but loose foulants aggregates were found on the surface
348 of M-FO membrane (Fig. 6(e)). Based on the fact that the foulants on both FO membrane surfaces
349 were mainly composed of organic matters and biofoulants (i.e., the VS/TS ratio of total foulants was
350 more than 60%), the typical organic foulants and biofoulants including proteins, polysaccharides
351 and microorganisms on both FO membranes were further investigated by the CLSM coupled with
352 multiple fluorescence labeling [35,36]. From Fig. 6(c) and (f), the thickness of fouling layer on both
353 C-FO and M-FO membrane surfaces was 48.0 and 40.5 μm , respectively. The thinner fouling layer
354 on the M-FO membrane surface was consistence of the presence of network structure shown in the
355 SEM image. In addition, the organic foulants and biofoulants on the C-FO membrane surface were
356 obviously denser than that on the C-FO membrane surface (see Fig. 6(c) and (f)). The thicker and
357 denser fouling layer on the membrane surface could be responsible for the severer flux drop of C-
358 FO membrane. For further analyzing the constituents and contents of organic foulants and
359 biofoulants on both FO membrane surfaces, their biovolume was calculated and the related results
360 are summarized in Table S4. From Table S4, the biovolume of all organic foulants and biofoulants
361 on the C-FO membrane was larger than that on the M-FO membrane. Besides, the biovolume of
362 microorganisms was the most widely distributed foulants on the C-FO membrane surface, while the
363 biovolume of microorganisms on the M-FO membrane surface was only 44.0% of that on the C-FO
364 membrane. This phenomenon could be attributed to the protective layer with a stronger negative

365 charge, which prevented microorganisms with negatively charged [41] from adsorbing on the
366 membrane surface.

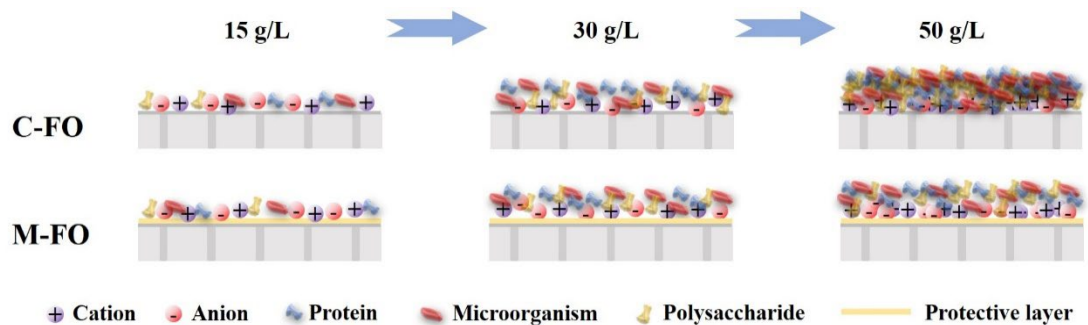


367
368 Fig. 6. SEM (a, b, d, e) and CLSM (c, f) images of both C-FO and M-FO membranes after
369 operating two cycles of sludge thickening.

370 3.4 Implication

371 According to previous literature [26], the development of FO membrane fouling during sludge
372 thickening could be divided into three stages, i.e., sand-like fouling stage, loose fouling layer stage
373 and dense fouling layer stage. Firstly, inorganic foulants were more likely to deposit on the FO
374 membrane surface and formed a sand-like fouling layer. Secondly, more organic foulants and
375 microorganisms absorbed on the FO membrane surface and thus gradually forming a loose fouling
376 layer. Finally, a large number of foulants deposited and squeezed on the FO membrane surface,
377 which resulted in the formation of a dense fouling layer. Owing to the slight fouling at both Stage 1
378 and Stage 2, there was no obvious flux decline, whereas the flux of FO membrane decreased
379 significantly at Stage 3 owing to subsequent foulants squeezing the former foulants already on the
380 membrane surface. In this study, the FO membrane with a protective layer had a thinner fouling
381 layer and less foulants after two cycles of sludge thickening, indicating that the protective layer

382 played a positive role in the third stage of membrane fouling (see Fig. 7). It could be attributed to
 383 the fact that the protective layer apparently improved the hydrophilicity and negative charge of the
 384 membrane surface, which effectively slowed down the deposition of subsequent organic matter and
 385 microorganisms. In addition, the loose protective layer had an excellent support effect, which not
 386 only avoided the mutual extrusion of subsequent foulants but also alleviated the deposition of
 387 irreversible foulants. Compared with the coating layer prepared by chemical method [30], no
 388 chemicals were consumed in the preparation process of the protective layer and physical cleaning
 389 was enough for decoating the protective layer in our study. The coating and decoating process of
 390 the protective layer reported in this study is economical, environment-friendly and potential. It
 391 should be pointed out that the thickness and properties of protective layer can influence the effect
 392 of mitigating membrane fouling, which need to be further studied in order to perfect the control
 393 method on FO membrane fouling via a protective layer.



394

395 Fig. 7 Schematic diagram of fouling mechanisms of both C-FO and M-FO membranes.

396

397 4. Conclusions

398 In this study, a novel strategy of a self-forming protective layer was performed to mitigate FO
 399 membrane fouling during sludge thickening. The protective layer was coated on the FO membrane
 400 surface via a short-term operation of FO process with activated sludge as feed solution. The

401 protective layer had no negative effect on the permeability of membrane, and the presence of
402 protective layer made the FO membrane more hydrophilic and more negatively charged. Compared
403 with the C-FO membrane, the M-FO membrane with a protective layer had a better sludge
404 thickening efficiency and a lower flux declining rate during sludge thickening. After two cycle of
405 sludge thickening, owing to the presence of protective layer, there were less foulants, especially
406 biofoulants, deposited on the M-FO membrane, and the fouling reversibility of FO membrane was
407 obviously improved. The alleviation of FO membrane fouling via the protective layer was attributed
408 to slow the accumulation of irreversible foulants on the membrane surface and improve the
409 antifouling performance of membrane surface through enhancing the hydrophilicity and negative
410 charge.

411 **CRedit author statement**

412 Xiawen Yi: Data curation, Formal analysis, Investigation, Writing – original draft. Kang
413 Chen: Supervision, Writing – original draft. Ming Xie: Conceptualization, Writing – review &
414 editing. Pin Zhao: Investigation, Writing – original draft. Weilong Song: Methodology,
415 Supervision. Xinhua Wang: Conceptualization, Supervision, Writing – review & editing, Funding
416 acquisition.

417 **Declaration of competing interest**

418 The authors declare that they have no known competing financial interests or personal
419 relationships that could have appeared to influence the work reported in this paper.

420 **Acknowledgements**

421 This work was supported by the National Natural Science Foundation of China [grant number
422 51978312]; the Postgraduate Research & Practice Innovation Program of Jiangsu Province [grant

423 number KYCX22_2383]; and the Program to Cultivate Middle-aged and Young Science Leaders of
424 Colleges and Universities of Jiangsu Province.

425 **Appendix A. Supplementary data**

426 Supplementary data to this article can be found online.

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