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Citation for published version:

Welderufael, B, houaga, I, Gaynor, C, Gorjanc, G & Hickey, J 2024 'Accurate determination of breed origin of alleles in a simulated smallholder crossbred dairy cattle population' bioRxiv. https://doi.org/10.1101/2024.04.12.589204

Digital Object Identifier (DOI): 10.1101/2024.04.12.589204

Link:

Link to publication record in Edinburgh Research Explorer

**Document Version:** Publisher's PDF, also known as Version of record

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# 1 Accurate determination of breed origin of alleles in a

# 2 simulated smallholder crossbred dairy cattle 3 population

- 4
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# 25 Abstract

## 26 Background

27 Accurate assignment of breed origin of alleles at a heterozygote locus may help to 28 introduce a resilient or adaptive haplotype in crossbreeding. In this study, we 29 developed and tested a method to assign breed of origin for individual alleles in 30 crossbred dairy cattle. After generations of mating within and between local breeds as 31 well as the importation of exotic bulls, five rounds of selected crossbred cows were 32 simulated to mimic a dairy breeding programme in the low- and middle-income 33 countries (LMICs). In each round of selection, the alleles of those crossbred animals 34 were phased and assigned to their breed of origin (being either local or exotic).

#### 35 **Results**

36 Across all core lengths and modes of phasing (with offset or no), the average 37 percentage of alleles correctly assigned a breed origin was 95.76%, with only 1.39% 38 incorrectly assigned and 2.85% missing or unassigned. On consensus, the average 39 percentage of alleles correctly assigned a breed origin was 93.21%, with only 0.46% 40 incorrectly assigned and 6.33% missing or unassigned. This high proportion of alleles 41 correctly assigned a breed origin resulted in a high core-based mean accuracy of 0.99 42 and a very high consensus-based mean accuracy of 1.00. The algorithm's assignment 43 yield and accuracy were affected by the choice of threshold levels for the best match 44 of assignments. The threshold level had the opposite effect on assignment yield and 45 assignment accuracy. A less stringent threshold generated higher assignment yields 46 and lower assignment accuracy.

#### 47 **Conclusions**

48 We developed an algorithm that accurately assigns a breed origin to alleles of 49 crossbred animals designed to represent breeding programmes in the LMICs. The

- developed algorithm is straightforward in its application and does not require prior
  knowledge of pedigree, which makes it more relevant and applicable in LMICs
  breeding programmes.

## 54 Background

55 Dairy cattle production in low- and middle-income countries (LMICs) is characterised 56 by low-input and low-output production systems. To increase the milk productivity of 57 dairy cattle, crossbreeding between the high-producing breeds of developed countries 58 and the low-producing, but resilient breeds of LMICs has been practised for decades. 59 Crossbreeding, either via the importation of semen from elite bulls or the use of 60 imported bulls, has substantially increased milk production and farmers' income [1]. 61 However, this genetic gain has not always been observed, and overreliance on import 62 without judicious use of best alleles is not expected to deliver the best possible 63 genetic gains.

64

65 In many LMICs, including those in Eastern Africa, efforts are being undertaken to 66 establish sustainable breeding programmes for long-term genetic gains with a focus 67 on smallholder farmers [2]. In partnership with government and non-government 68 like organizations, projects the African Dairy Genetic Gains (ADGG, 69 https://africadgg.wordpress.com) have been able to import and provide improved 70 dairy genetics to smallholder farmers in the Eastern Africa. However, because of the 71 differences in environmental factors and breeding infrastructure, the importation and 72 provision of improved genetics have not yet been sustainable and successful [2]. 73 Instead, such crossbreeding practices have led to haphazardly admixed cattle 74 populations with no or poor pedigree records [2].

75

For a sustainable breed improvement through genetic intervention and for the appropriate design of breeding programmes, accurate breed identification, on both the level of the individual and of the individual genetic variant, is important. In livestock

79 populations with little or no pedigree records, the use of genomic data could be 80 transformational in determining breed composition and establishment of breeding 81 programmes [2]. Estimates of breed composition and the breed origin of alleles from 82 genomic data is superior to estimates from pedigree data due to invariably missing or 83 inaccurate records and deviations from expected compositions due to Mendelian 84 sampling [3,4]. Especially in populations with complex ancestries like the dairy cattle 85 in the Eastern Africa, genomic data and knowledge of breed composition is essential 86 to evaluate the performance and adaptability of the crossbreds [4], and to predict the 87 effectiveness of any foreign germplasm in the production systems.

88

89 Selection, genetic discovery and management decisions can be aided by determining 90 the breed origin of alleles, particularly for genetic variants that only occur in one of 91 the constituent populations of crossbred animals [5]. Unlike determining the average 92 breed composition of an individual, determining the breed origin of an individual's 93 haplotypes and associated alleles can allow breed-specific genetic evaluations to be 94 conducted, which can increase the accuracy of genetic selection, particularly when the 95 linkage disequilibrium pattern is different in the two breeds [6]. Thus, recent studies 96 in admixed cattle populations have shown that the Breed Origin of Allele (BOA) 97 method has increased the accuracy of genomic prediction [7,8].

98

99 Using only genomic data and no pedigree data, Vandenplas et al. [5] developed an 100 approach that traces haplotypes of crossbred animals and assigns each allele of the 101 haplotypes to their breed of origin. To develop the algorithm that assigns alleles of 102 crossbreds a breed origin, they simulated a three-way pig-crossbreeding programme 103 with five generations of random selection. They evaluated the accuracy of the

104 algorithm and reported that more than 90% of alleles of crossbred animals were 105 correctly assigned a breed origin. Thus, for up to 10% of all alleles of crossbred 106 animals, they could not assign a breed origin. However, accurate determination of the 107 breed origin of alleles of crossbred populations is very important to estimate breed-108 specific effects of alleles when performing genomic evaluations [9]. If we could 109 accurately assign breed origin for alleles at heterozygote loci of crossbred animals, we 110 may be able to detect which haplotypes should be promoted to genetically improve 111 dairy cows in the LMICs.

112

113 In the current study, we developed an algorithm to assign a breed of origin for alleles 114 in crossbred dairy cattle and tested it on a simulated smallholder dairy cattle 115 population dataset. To resolve the breed origin of alleles, the algorithm aligns the 116 haplotypes of crossbred dairy cows to the haplotypes of likely constituent breeds, i.e., 117 imported (exotic) and/or local breeds and assigns the breed of origin based on the best 118 match. We then evaluated the algorithm's accuracy using a simulated crossbreeding 119 programme designed to mimic the ADGG smallholder genotype data. The average 120 percentage of alleles correctly assigned a breed origin was 95.76%, resulting in a high 121 core-based mean accuracy of 0.99 and a very high consensus-based mean accuracy of 122 1.00. The developed algorithm does not require prior pedigree knowledge and is, 123 hence, straightforward to apply in LMIC breeding programmes.

## 125 Methods

126 The design of the breeding programme and development of the allele assignment127 algorithm involved two steps.

- 128 1. We designed a breeding programme and simulated genotype data on which we 129 tested the algorithm's performance. The simulated genotype data had an ancient 130 cattle founder that is assumed to have split into African (local) and European 131 (exotic) cattle populations. After generations of mating within and between 132 local breeds and the importation of exotic bulls, crossbred dairy cows were 133 created to mimic the dairy cows kept by smallholders in the LMICs.
- We developed an allele assignment algorithm that traces haplotypes and
  assigns a breed origin for each allele of the crossbred cows. The haplotypes are
  phased and defined for different core lengths to improve the accuracy and
  efficiency of the allele assignment algorithm.

The following subsections describe the details for simulating and phasinggenotypes and developing the allele assignment algorithm.

## 140 Simulation of genotype data

141 Genotype and haplotype data for an ancient cattle breed were simulated using the 142 AlphaSimR package [10], designed for stochastic simulations of breeding 143 programmes. A total of 2500 individual animals with a genome structure of 1000 144 SNPs in one autosomal chromosome were simulated. The ancient cattle breed split 145 into two, each representing an exotic breed and an indigenous breed. The indigenous 146 breed further split into four more closely related local founder populations. Variation 147 in the demographic history of these founder populations were accounted for in the 148 simulated biallelic haplotypes of the breeds using the Markovian Coalescent 149 Simulator (MaCS) software [11] embedded in the AlphaSimR package [10]- [See 150 Additional file 1, Script S1] for details. As described in the AlphaSimR, the 151 genotypes and haplotypes of the descendants, i.e., the crossbred animals, were then 152 derived from these haplotypes using simulated mating between the exotic and local 153 breeds. After within and between breed random mating of indigenous animals for 10 154 generations, the 1000 best females were selected on genetic merit of a single 155 hypothetical trait with a small amount of dominance (mean dominance degree of 0.1 156 and variance of dominance degree of 0.1) and heritability of  $h^2=0.3$ . The 1000 157 selected local cows were then mated to 25 imported Holstein bulls to produce the first 158 crossbred animals (crossbred1). The local cows were allowed to calve twice 159 producing a total of 2000 offsprings with the assumption of 1000 female and 1000 160 male calves. The breeding programme continued by using all the 1000 female calves 161 (crossbred1) as replacement heifers and mating these to 25 newly imported Holstein 162 bulls to produce the next crossbred cows (crossbred2), while both exotic and local 163 populations were kept as purebred and source of purebred animals. This importation 164 of exotic bulls and mating to the crossbred cows was repeated for up to five rounds of 165 selections, hereafter referred as generations (Fig. 1). Simulated genotype and 166 haplotype data were generated in 10 replicates.



168 Figure 1 Schematic representation of the simulated breeding programme. A

169 founder population on the top of the figure is split into exotic and local breeds.

## 170 Genetic structure of the simulated SNP genotype data

To assess the genetic similarity between the founders and developed crossbred animals, we performed principal component analysis (PCA) of SNP genotypes on the simulated data. The PCA was performed using the prcomp command of the R statistical software [12].

#### 175 **Phasing of simulated genotype data**

176 True simulated genotype and haplotype data enabled us to calculate the phasing yield 177 and allele assignment accuracy. From the genotype data, haplotypes were 178 reconstructed and compared with the simulated haplotypes. The reconstruction of 179 possible haplotypes from the genotype data via phasing was performed using the 180 software AlphaPhase [13]. Different core and tail lengths govern the length of desired 181 haplotype segments used to phase the alleles in the genotype data. As illustrated in 182 Fig. 2, a core is a string of consecutive SNP loci used to phase a given genome region 183 [13].

184 Phasing of the simulated genotype data was performed using a wide range of core and 185 tail lengths. Preliminarily analyses suggested that core lengths of 100 to 280 SNPs 186 would yield optimum allele assignments. Therefore, for the final analyses, we defined 187 10 different core lengths centred around 280 SNPs (Table 1) and phasing was 188 performed for each core length both in the offset and no-offset modes of the 189 AlphaPhase [13]. We moved 50% of the core length forward to define Offset. In total, 190 there were 2000 scenarios: 10 (replicates) x 10 (core lengths) x 10 (thresholds) x 2 191 (offset or no offset modes).



192

Figure 2 Illustration of a core and offset. Phasing was performed in two modes:
either using the whole length of a core or by moving it forward 50% of the core length
(offset) to define the begging of a given core.

#### 197 Table 1 Core lengths (in terms of numbers of SNPs) used to phase the genotype

198 data

Core length (SNPs) 100 120 140 160 180 200 220 240 260 280	Core	1	2	3	4	5	6	7	8	9	10
$-\mathbf{A}$ (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)	Core length (SNPs)	100	120	140	160	180	200	220	240	260	280

#### **Development of allele assignment algorithm**

To develop the allele assignment algorithm, we defined 10 different core lengths (Table 1). The alleles of crossbred animals were assigned a breed origin for each core length, and we call this core-based allele assignment. In the core-based assignment each allele could be assigned a breed origin as many as the different core lengths defined. If breed origin assignments of an allele were not the same across the different cores the most frequent breed assignment was considered as a consensus breed origin of an allele.

207

#### 208 Core-based allele assignment

209 Haplotype libraries were simulated based on the phased purebred individuals in each 210 population. The assignment algorithm takes phased genotypes for individuals in the 211 crossbred population as inputs, along with haplotype libraries for the indigenous and 212 exotic populations (Fig. 3). To perform allele assignment, we determined whether the 213 exotic or local haplotype contained the best matching haplotype, i.e. the haplotype 214 with the fewest number of markers than the target haplotype. The haplotype is then 215 assigned as originating from that haplotype library. If both haplotype libraries contain 216 an equally good match, then the haplotype is set to missing. For example, in Fig. 3, 217 the haplotype with a core length of 10 SNPs of the individual animal should be

- assigned to the local haplotype as it displays the least error matches with the last core
- in the local haplotype library.



Figure 3 Haplotype libraries based on a core length of ten SNPs. To assign origin to the haplotype of an individual (bottom genotype sequence), the algorithm searches for the best match in each position in the exotic (top left genotype sequence) and local (top right genotype sequence) haplotypes. In this case, the individual's haplotype should be assigned as a local haplotype because the local haplotype library contains the haplotype with the fewest number of errors, i.e., mismatches (red).

#### 227 Consensus allele assignment

228 Allele assignment was compared in each phased genotype and each scenario. Phasing 229 of simulated genotype data was performed in two modes: either using the whole 230 length of a core or by moving it forward 50% of the core length (offset) to define the 231 beginning of a given core (see next section). Assignment was performed across 232 multiple core lengths and two modes of phasing (no offset and offset). Assignment 233 results of each core and mode of phasing were compared and merged across cores to 234 calculate consensus-based assignment. Merging was done by taking a consensus 235 estimate of the breed of origin across multiple cores. The most frequently observed assignment across all the replicates, core lengths, and phasing modes was then takenas the consensus-based assignment.

238 To optimise and fine-tune the algorithm's sensitivity, we applied 10 different 239 thresholds for best SNP count of match of haplotypes (Table 2). When the threshold 240 was 0.9, this meant that the breed assignment for the allele needed to be consistent 241 across 90% of the cores, otherwise the assignment was set to missing. To elaborate a 242 threshold of 50%, an allele would have been assigned a breed origin of "A" if the 243 allele had been assigned to breed "A" in more than 50% times of the assignments 244 across all the different core lengths and phasing modes. In every generation, every 245 allele of the crossbred animals was assigned a breed origin in at least 2000 scenarios 246 and results were merged to calculate consensus assignment.

247

**Table 2** The different thresholds used for the best count of match of haplotypes

-	2	3	4	2	6	/	8	9	
0.50	0.55	0.60	0.65	0.70	0.75	0.80	0.85	0.90	0
	0.50	0.50 0.55	0.50 0.55 0.60	0.50 0.55 0.60 0.65	0.50 0.55 0.60 0.65 0.70	0.50 0.55 0.60 0.65 0.70 0.75	0.50 0.55 0.60 0.65 0.70 0.75 0.80	0.50 0.55 0.60 0.65 0.70 0.75 0.80 0.85	0.50 0.55 0.60 0.65 0.70 0.75 0.80 0.85 0.90

<sup>249</sup> 

#### 250 **Performance of the allele assignment algorithm**

To evaluate the performance of the allele assignment algorithm, assignment yield andassignment accuracy were assessed in the following ways:

253 1. %Correct: the percentage of correctly assigned alleles was computed by
254 comparing the algorithm-derived breed origin with the true breed origin of
255 alleles traced with the "pullIbdHaplo()" function of the AlphaSimR [10].

2562. % Incorrect: the percentage of alleles across all scenarios that were incorrectlyassigned and was computed by comparing the algorithm-derived breed origin

- with the true breed origin of alleles traced with the "pullIbdHaplo()" function
  of the AlphaSimR [10].
- 3. %Unassigned: the percentage of alleles that were not assigned, including
  missing or unknown breed origin; and
- 4. Accuracy: the accuracy of assigned alleles, calculated as the ratio of correctly
  and incorrectly assigned alleles. We used the proportion of correctly assigned
  alleles as an allele assignment accuracy metric for each core and tail lengths.

# 266 **Results**

#### 267 Genetic structure of the simulated SNP genotype data

268 Principal component analysis (PCA) of the simulated SNP genotype data separated 269 the crossbreds from the founder breeds (local and exotic breeds). As shown in the 270 PCA plot (Fig. 4a), the first generation of crossbred animals (crossbred1) were 271 positioned in between the founder populations (exotic and local). The PCA plot 272 further revealed the genetic sub-structure from the crossbreeding programme. As we 273 continued the crossbreeding and increased the proportion of exotic genotypes, the 274 crossbreds and the exotic breed were observed to converge into a single cluster (Fig. 275 4b).



Figure 4 Plot of principal component analysis of SNP genotypes (PC1 vs. PC2 and PC1 vs. PC3). Showing the genetic data structure of the founders and the first crossbred cows (a) and of all animals across generations (b).

## 281 Allele assignment yield and accuracy

## 282 Allele assignment for each core

The average number of alleles in the crossbred animals assigned a breed origin is given in Table 3. The highest average number of unassigned alleles (29 out of 1000 SNPs) was observed in the first generation of the crossbred animals (crossbred1). The number of unassigned alleles decreased as the crossbreeding continued and the distance between the local founders and the crossbreds decreased. For example, in crossbred5, where the germplasm is upgraded to almost the exotic breed, 23 out of 1000 SNPs were unassigned (Table 3).

290

## 291 Table 3 Assignment yield and average number of alleles in crossbred cows

Crossbred	Local	Exotic	Unassigned	Assignment Yield
Crossbred1	486	486	29	0.95
Crossbred2	246	730	24	0.95
Crossbred3	123	853	24	0.96
Crossbred4	61	916	24	0.96
Crossbred5	29	947	23	0.97
Mean	189	786	25	0.96

assigned to local, exotic or not assigned at all

293

The genetic distance and core lengths had a clear effect on the phasing and assignment yield. For longer core lengths (core length of 220-280 SNPs), we observed a more concise and higher phasing yield (Fig. 5a). A core length of 200 SNPs was observed to be optimal for allele assignment yield (Fig. 5b). The overall average allele assignment accuracy was 0.99 (Table 4). On average, more than 95% of the assigned alleles in the crossbred animals were correctly assigned, with only less than 2% of incorrectly assigned alleles (Table 4). Both, the incorrectly assigned and unassigned proportion of alleles, either because of missing or ambiguity, were lessthan 5% (Table 4).



Figure 5 Effect of core length on assignment yield. Phasing yield (a) was very high
for all core lengths but more concise for longer core lengths (core length of 220-280
SNPs). The assignment yield (b) was optimal for a core length of 200 SNPs.

307

303

**Table 4 Percentages of alleles correctly assigned a breed origin (%Correct),** 

309 incorrectly assigned (%Incorrect), missing or unassigned (%Unassigned), and

Core	%Correct	%Incorrect	%Unassigned	Accuracy
100	94.70	1.35	3.95	0.99
120	94.89	1.12	3.99	0.99
140	96.14	1.11	2.75	0.99
160	96.40	1.16	2.44	0.99
180	97.39	1.25	1.35	0.99
200	98.35	1.36	0.29	0.99
220	97.27	1.46	1.27	0.99
240	94.22	1.54	4.24	0.98
260	92.32	1.69	5.98	0.98
280	95.93	1.84	2.23	0.98
Mean	95.76	1.39	2.85	0.99

310	accuracy of	f assignment	(Accuracy)	for each	core-length (	(Core)
			· · · · · · · · · · · · · · · · · · ·			· · · · · · · · · · · · · · · · · · ·

#### 312 **Consensus allele assignment across all cores**

On consensus, the average percentage of incorrectly assigned alleles was nearly zero
(Table 5). The overall mean consensus-based assignment accuracy (accuracy = 1,
Table 5) was higher than the overall mean core-based assignment accuracy (accuracy
= 0.99, Table 4).

317

318 Table 5 Consensus-based percentages of alleles correctly assigned (%Correct),

319 incorrectly assigned (%Incorrect), missing or unassigned (%Unassigned) a breed

320 origin, and accuracy of assignment (Accuracy) across all core-lengths and

321 generation for each threshold

Threshold	%Correct	%Incorrect	%Unassigned	Accuracy
0.50	98.40	1.60	0.00	0.98
0.55	98.16	0.78	1.06	0.99
0.60	97.84	0.66	1.50	0.99
0.65	96.26	0.42	3.32	1.00
0.70	95.79	0.36	3.86	1.00
0.75	93.56	0.25	6.19	1.00
0.80	92.94	0.20	6.86	1.00
0.85	89.10	0.12	10.78	1.00
0.90	88.41	0.10	11.48	1.00
0.95	81.67	0.08	18.26	1.00
Mean	93.21	0.46	6.33	1.00

322

# 323 Effect of admixture level and thresholds on assignment yield and

## 324 accuracy

The threshold level had the opposite effect on assignment yield and accuracy (Fig. 6). Increasing the threshold decreased the assignment yield and increased the accuracy, whereas a less stringent threshold generated higher assignment yields. Increasing the threshold stringency further reduced the assignment yield (Fig. 6a). On the contrary and as expected, the less stringent threshold reduced the accuracy (Fig. 6b).



330

331 Figure 6 Percentage of allele assignment yield (a) and accuracy (b) of
332 assignment. Using the consensus-based allele assignment algorithm as a function of
333 threshold level

The effect of admixture level on assignment yield and accuracy was not as clear as that of threshold level. However, the assignment yield appeared to increase from the first to the later generations of crossbreds (Fig. 7a). On the other hand, the higher threshold stringency decreased the assignment yield (Fig. 7b).



340 Figure 7 Percentage of allele assignment yield (a) and accuracy (b) of 341 assignment. Using the consensus-based allele assignment algorithm as a function of 342 crossbreeding (admixture) level.

# 343 **Discussion**

344 In low- and middle-income countries (LMICs), such as those in Eastern Africa, a 345 large proportion of dairy production is carried out by smallholders who keep fewer 346 than 10 cattle [14]. These cattle are mostly crosses between indigenous African breeds 347 and exotic dairy breeds, with little phenotypic or pedigree data available [14]. Despite 348 the need and efforts to increase the productivity of those dairy cattle, it has not been 349 possible to implement conventional breeding programmes in these populations. In 350 populations with no or poor pedigree and phenotype records, genomic selection and 351 other novel methods, such as an efficient algorithm to assign the breed origin of 352 alleles in those crossbred animals, are of interest. To evaluate the performance and 353 adaptability of the crossbreds in the LMICs, methods to accurately identify the breed 354 origin of alleles on both the individual level and the individual genetic variant are 355 important. Such methods could also provide ways to predict the effectiveness of 356 foreign germplasm in a low-input production system [4]. For the smallholder farmers 357 in Eastern Africa, providing methods to assign a breed origin of alleles would enable 358 better choice of exotic bulls to introduce and which local bulls to use to sustainably 359 harness the genetics of local adaptation traits of the indigenous breeds and the high 360 milk yield potential of exotic dairy breeds.

361

362 Different genomic tools and algorithms [5,9] have been developed to assign a breed363 origin to alleles in crossbred pig populations without needing pedigree records. Using

364 simulated genotype data, we have developed an algorithm to assign alleles a breed 365 origin in a dairy cattle breeding programme that would represent haphazardly 366 admixed local cows and imported exotic bulls as commonly practised in LMICs. As 367 shown in Fig. 1, we used the exotic bulls as a source of purebred genotype data to 368 cross with the admixed local cows for five subsequent generations. The simulated 369 genotypes of exotic purebred and local admixed breeds were phased and the origins of 370 haplotypes and associated alleles of the newly created crossbred cows were assigned a 371 breed origin. In agreement with earlier studies in crossbred pig populations [5,9], our 372 results demonstrated that alleles of admixed crossbred cattle populations could be 373 accurately assigned a breed origin without the need for pedigree records.

374

375 The assignment of alleles to a breed origin was performed according to haplotypes 376 defined by different core lengths. In a simulation study, Vandenplas et al. [5] assessed 377 the impact of core length and observed higher assignment yield for haplotypes of 378 longer core lengths. While this appears to be supported in our results, a core- and tail-379 length of 200 SNPs was observed as the optimal length for maximum assignment 380 yield. Similarly, the impact of genetic relationship on assignment yield is comparable 381 to values reported in simulated and empirical studies. Using simulated data, 382 Vandenplas et al. [5], showed that a greater distance between breeds favourably 383 affected the percentage of allele assigned, which is consistent with the highest 384 percentage of allele assignment yield observed in crossbred5 (97%, Table 3) that are 385 relatively distant to the local pure breeds.

386

387 The accuracy of allele assignment, both in the core-based (0.99, Table 3) and 388 consensus-based (1.00, Table 4), across all scenarios was very high. This allele

389 assignment accuracy is better than the results obtained from simulated (0.98) and 390 empirical (88.57-92.45) data [9]. The performance of the current algorithm is better 391 than reported allele assignment accuracies of 96% using STRUCTURE 2.2 and 85% 392 using GENECLASS 2 reported by Negrini et al. [15]. The relative performance 393 improvement could be attributed to the optimization process of developing the current 394 allele assignment algorithm. For example, the breed origin of alleles in crossbred 395 animals was determined after an allele assignment was evaluated for every core and 396 haplotype library in different scenarios to reach a consensus assignment. The choice 397 of threshold for best SNP match in haplotypes can also affect the algorithm's 398 assignment yield and accuracy. Instead of using fixed allele frequency and best SNP 399 matches to assign a breed origin to alleles, the observed expected trade-offs between 400 assignment yield and accuracy (Fig. 6) have been optimized. When the best SNP 401 match counts in haplotypes are too low, there will be a high assignment yield but low 402 accuracy and vice versa. In the current simulated genotype data, the best SNP match 403 count threshold of 50-60% appeared optimal.

404

405 Despite some suggestions to use haplotype instead of allele to reduce the effects of 406 incorrect allelic assignments [5], the current algorithm was able to assign a breed 407 origin to alleles as accurate as the assignment of a breed origin to haplotypes. The 408 developed algorithm can be used to determine a breed origin of alleles in genomic 409 predictions with models where breed-specific effects are required [16,17]. The 410 developed algorithm can also be used in modelling breeding programmes of admixed 411 populations. Accurate breed identification, on both the level of the individual and of 412 the individual genetic variant is critical to achieving sustainable breed improvement. 413 In the current simulation study, we developed an algorithm, which assigns haplotypes

in crossbred dairy cows to the haplotypes of likely constituent breeds, i.e. either to
exotic or local breeds. With high accuracy of assigning the breed of origin to alleles,
we may be able to introduce a resilient or adaptive haplotype into the crossbred cows.
In livestock, we infer haplotypes from multigenerational pedigrees from which tracing
of breed origin of alleles can be challenging. With the developed algorithm, alleles in
crossbred animals could be accurately assigned a breed of origin without the need for
a multigenerational pedigree.

421

422 It's important to acknowledge that the African dairy cattle populations are 423 characterized by extensive crossbreeding involving many breeds of Taurine and 424 Indicine origin. This broad genetic diversity may challenge the accurate estimation of 425 SNP effects despite the accurate assignment of breed origin of alleles. While the BOA 426 method relies on the recent local ancestry for each SNP marker allele, it ignores 427 deeper ancestry, which is important for estimating SNP marker effects across many 428 breeds with different genomic histories. Furthermore, the BOA method does not take 429 full advantage of linkage information (correlation between nearby SNP markers) and 430 does not fully reflect the underlying genomic history of a study population [18]. 431 Future studies developing algorithms and methods that consider the BOA and the 432 genomic history of individuals and that would work for any level of crossbreeding 433 and admixture in a population will be needed.

434

# 435 Conclusions

The developed algorithm assigns a breed origin to alleles with an accuracy of 99% in
admixed animals from a crossbreeding programme designed to mimic breeding
programmes in the LMICs. The algorithm is straightforward in its application and

does not require prior knowledge of pedigree and relationships between crossbred and purebred animals, making it relevant and applicable in breeding programmes practised in LMICs. However, it should be noted that the algorithm was developed and tested on simulated data. Further studies are required to test and apply the algorithm on real data.

#### 444 List of abbreviations

- 445 ADGG: African Dairy Genetic Gains
- 446 BOA: Breed Origin of Allele
- 447 CTLGH: Centre for Tropical Livestock Genetics and Health
- 448 LMICs: Low- and middle-income countries
- 449 MaCS : Markovian Coalescent Simulator
- 450 SNP: Single Nucleotide Polymorphism

# 451 **Declarations**

- 452 Ethics approval and consent to participate
- 453 Not applicable

## 454 **Consent for publication**

455 Not applicable

#### 456 Availability of data and materials

- 457 The scripts for data simulation and algorithm development are available [See
- 458 Additional file 2, Script S2], [See Additional file 3, Script S3] and [See Additional
- 459 file 4, Script S4].

# 460 **Competing interests**

461 RCG and JMH are now employed by Bayer Crop Science.

#### 462 **Funding**

463 This research was funded in part by the Bill & Melinda Gates Foundation and with 464 UK aid from the UK Government's Department for International Development (Grant 465 Agreement OPP1127286) under the auspices of the Centre for Tropical Livestock 466 Genetics and Health (CTLGH), established jointly by the University of Edinburgh, 467 SRUC (Scotland's Rural College), and the International Livestock Research Institute. 468 The authors also acknowledge funding from BBSRC (grants BBS/E/D/30002275, 469 BBS/E/RL/230001A, and BBS/E/RL/230001C, BB/L020467/1). The findings and 470 conclusions are those of the authors and do not necessarily reflect the positions or 471 policies of the Bill & Melinda Gates Foundation or the UK Government. This work 472 has used the resources provided by the Edinburgh Compute and Data Facility (ECDF) 473 (http://www.ecdf.ed.ac.uk).

# 474 Authors' contributions

475 BW, RCG, IH and JMH designed the study. BW performed the analyses and drafted 476 the manuscript. IH has substantively revised the manuscript, addressed all the 477 comments from co-authors and submitted the manuscript. GG and JMH supervised 478 the study and contributed to the manuscript. All authors read and approved the final 479 manuscript.

## 480 Acknowledgements

We would like to thank Andrew Whalen, Appolinaire Djikeng, Joram M. Mwacharo
and Jaap B. Buntjer for their supports during the study. Their fruitful discussions and
advice led to the completion of the study.

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# 550 Additional files

- 551 Additional file 1 Script S1
- 552 File format: .txt
- 553 Title: R Scripts to simulate the genotypes.
- 554 Description: The Additional file 1 describes the scripts to simulate genotypes of the
- 555 crossbred cattle.
- 556
- 557 Additional file 2 Script S2
- 558 Title: R scripts to phase the simulated genotypes
- 559 File Format: .txt
- 560 Description: Additional file 2 describes the scripts to phase the simulated genotypes.
- 561
- 562 Additional file 3 Script S3
- 563 Title: R scripts to assign a breed of origin to alleles of crossbred cattle
- 564 File Format: .txt
- 565 Description: Additional file 3 describes the scripts to assign a breed of origin to
- alleles of crossbred cattle
- 567
- 568 Additional file 4 Script S4
- 569 Title: R scripts for consensus allele assignment
- 570 File Format: .txt
- 571 Description: Additional file 4 describes the Scripts for consensus allele assignment.