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Minimally Invasive Medial Patellofemoral Ligament Reconstruction For Patellar Instability Using An Artificial Ligament: A 2 Year Follow-Up

Running Title

Minimally Invasive MPFL Reconstruction

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Conflict of Interest Statement

- None

Ethical Review Committee Statement

- 014171S Ethical Reference Number University of Notre Dame, Australia (Letter Separate)

Statement of Location

- The study was undertaken at Associate Professors M. Al Muderis's clinics, situated at Norwest Private Hospital

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Key Words

- Medial patellofemoral ligament; Reconstruction; Artificial Ligament; No Bracing

Abstract

Introduction

Recurrence of acute patellar dislocation affects approximately 30% of individuals, and up to 75% of those with grade IV instability. The medial patellofemoral ligament (MPFL) is considered to be critical for patella stabilisation. MPFL reconstruction with allografts has been proposed to reduce risk of recurrence, but there is limited evidence about the safety and effectiveness of techniques using synthetic allografts.

Method

We present a retrospective case series of 29 individuals who underwent a MPFL reconstruction between 2009 and 2012, using an artificial ligament for patellar

instability by a single surgeon. Clinical, radiological and functional outcomes were measured at a minimum of 24 months.

Results

31 knees (29 individuals) were followed up for a median of 43 (range: 24 – 68) months. Using the Crosby and Insall grading system, 21 (68%) were graded as excellent, 9 (29%) were good, 1 (3%) as fair and none as worse at 24 months. The mean improvement in Lysholm knee score for knee instability was 68 points (standard deviation 10). Ligamentous laxity was seen in 17 (55 %) of individuals. In this subset, 12 were graded as excellent, 4 as good and 1 as fair. The mean improvement in patellar height was 11 % at 3 months follow-up. All knees had a stable graft fixation with one re-dislocation following trauma.

Conclusions

We propose a minimally invasive technique to reconstruct the MPFL using an artificial ligament allowing early mobilization without bracing. This study indicates the procedure is safe, with a low risk of re-dislocation in all grades of instability.

Word Count – 249

Keywords

Patella; Medial patellofemoral ligament; Reconstruction; Artificial Ligament; No Bracing

1. Introduction

Acute patellar dislocation frequently leads to recurrence. A systematic review of trials of reconstruction techniques versus conservative rehabilitation reported the rate of re-dislocation after a conservatively managed primary patellar dislocation ranged from 19 - 54 % (5 trials, 339 patients) [1]. This risk is higher in patients with ligamentous laxity, with one retrospective single centre series of 104 individuals treated for patellar dislocation reporting an overall recurrence after an acute dislocation of 30 %, and 75 % in the subgroup (n = 66) who had ligamentous laxity and abnormal patella position [2].

Various surgical methods have been described in the literature to treat lateral patella dislocation [3-8]. Surgical procedures used in Europe have been founded on strict radiographic guidelines, that is, “le menu a `la carte”, where all the instability factors are individually corrected [9]. However, the importance of correcting each of these instability factors, alone or in combination is uncertain [9]. There is also uncertainty about the safety and effectiveness of current standard procedures. The above mentioned systematic review comparing surgical repair with conservative rehabilitation in a total of 339 patients with dislocation found no robust evidence of improved clinical (pain, range of motion) or functional (Kujala scores) outcomes in individuals managed with surgical repair [1]. Apart from recurrent dislocation, common post-operative complications reported in the literature are persistent patellofemoral instability, patellofemoral osteoarthritis, loss of flexion, medial subluxation, stiffness and chronic knee pain [1, 3, 7, 8, 10].

The importance of the medial patellofemoral ligament (MPFL) was first described in the late 1950's [11]. A cadaveric study on 25 specimens determined that, biomechanically the MPFL provides 53 % of the lateral stabilizing force [12]. It is consequently the most important medial soft-tissue restraint and has been shown to be consistently injured after a patellar dislocation [4]. Brückner was the first to present a technique of transferring the medial part of the patellar ligament to the medial epicondyle to stabilize the patella [13]. But only recently with the evolution of shoulder surgery there has been an increased focus on reconstruction of the MPFL.

34 Several techniques have been described to reduce the high incidence of recurrent
35 dislocation with encouraging clinical results [8].

36

37 Numerous sources have been used to reconstruct the MPFL including
38 semitendinosus, semimembranosus, gracilis, quadriceps, vastus medialis
39 retinaculum, or artificial tendons [3, 8, 14-16]. In 1992, Ellera was the first to describe
40 MPFL reconstruction with an artificial polyester ligament in 30 patients fixed by tunnel
41 fixation on the patella and sub-fascially to the medial femoral condyle [17]. At a
42 minimum of 24 month follow-up, 25 (83%) patients showed improvement with a
43 Crosby and Insall grade of good-excellent [17]. The use of synthetic material is
44 appealing to avoid the morbidity associated with other allograft choices [16].
45 However, there have been very few other articles describing techniques using
46 synthetic allografts. Nomura et al (2000) have recently reported a 5 year follow-up
47 study of 27 patients treated with MPFL reconstruction with an artificial polyester
48 ligament with staple fixation at the femoral condyle, with 26 (96 %) reporting good to
49 excellent outcomes using the Crosby and Insall grading system[5]. But other cohort
50 studies reporting on the use of the artificial ligament question its safety in view of late
51 graft failure, risk of late infection, stiffness, inflammation and cost effectiveness
52 subsequent to use of synthetic allografts [15, 16].

53

54 The purpose of our study is:

- 55 1. To describe a minimally invasive arthroscopically assisted technique to
56 reconstruct the MPFL using a synthetic allograft.
- 57 2. To describe our post-operative rehabilitation protocol.
- 58 3. To present data on safety and benefits of the surgical procedure in patellar
59 instability especially in patients with predisposing factors.

60 **2. Patient & Methods**

61

62 **2.1 Study Design & Setting**

63

64 We retrospectively reviewed all individuals who underwent a MPFL reconstruction
65 using an artificial ligament (LARS Ligament, CORIN Ltd, Mersilene Tape MT, or
66 AchilloCord^{PLUS} Ligament, Neoligaments Ltd) for patellar instability by a single

67 surgeon between 2009 and 2012 who had completed 24-month follow-up. Each case
68 was treated at a specialized orthopaedic knee clinic run by the investigators. The
69 University Human Research Ethics Committee and hospitals where the study was
70 conducted approved the study.

71
72 All individuals underwent a screening interview and examination to determine their
73 eligibility using the criteria listed in Table 1. Pre-operative assessment included a
74 thorough history, physical examination and radiological evaluation. Patients were
75 assessed for passive patellar hypermobility, mal-tracking, apprehension, knee range
76 of motion and a Clarke test as a part of the physical examination [18]. Generalized
77 ligamentous laxity was scored using the Wynn Davies criteria [19] and classified
78 using the method established by Runow et al [2]. The Lysholm knee scoring scale
79 was administered to assess the functional impairment due to clinical instability and
80 evaluate the outcomes of knee ligament surgery [20, 21]. Plain x-ray (antero-
81 posterior, lateral & skyline view) examinations and Magnetic Resonant Imaging (MRI)
82 scans were performed to assess the integrity of the MPFL, chondral damage, internal
83 derangement and the position of the tibial tuberosity. The procedure was
84 recommended for individuals with a torn/attenuated MPFL who had symptoms such
85 as giving way, instability, & mal-tracking that did not ameliorate after 3 months of
86 conservative therapy including quadriceps muscle strengthening (Table 1).

87

88

Table 1 – Inclusion / Exclusion Criteria

Inclusion Criteria	Exclusion Criteria
Torn/Attenuated Medial Patello-femoral Ligament	Intact Medial Patello-femoral Ligament
Recurrent Patellar Dislocation Refractory to Conservative Treatment > 3 months	Instability in Presence of Moderate-Severe Patello-femoral Arthritis
Pathological Ligamentous Laxity	History of Previous Surgery

89

90 **2.2 Outcome Measures**

91

92 Clinical outcomes included pain level, knee range of motion, passive patellar
93 hypermobility, mal-tracking & apprehension at follow up [3]. Plain x-rays were used to
94 measure the sulcus angles & the patellar height (Insall-Salvati index) at baseline & 3-
95 month follow up [3]. X-Rays were also performed at 6, 12 months and yearly follow-
96 up to assess the integrity of the fixation (alignment, positioning) and other

97 complications (arthritis, fracture). Adverse events including re-dislocation,
98 prominence of the graft, and knee stiffness were monitored. All outcomes were
99 measured by a single investigator and confirmed by a senior surgeon.

100

101 Functional outcomes were assessed using the Lysholm knee scoring scale to
102 measure symptoms in the knee at baseline and yearly follow-up [20]. The Crosby
103 and Insall grading system was used to assess outcomes following ligament
104 reconstruction. Using this system, outcomes were classified into four categories
105 (Excellent, Good, Fair to Poor & Worse) [22].

106

107 **2.3 Surgical Technique**

108

109 A two-step surgical procedure was performed including a knee arthroscopy followed
110 by reconstruction of the MPFL using an artificial ligament.

111

112 Patients underwent general anaesthesia. Prophylactic intravenous antibiotics using 1
113 gram of Cephazolin was administered. Positioning and draping was similar to a
114 standard knee arthroscopy. The knee was first examined & the tightness of the
115 lateral structures was assessed. Following this a knee arthroscopy was performed
116 using standard antero-medial & antero-lateral portals to visualize the knee, remove
117 any loose bodies and deal with any other intra-articular pathology (e.g. chondroplasty
118 for chondral wear). The lateral retinaculum was released arthroscopically using
119 thermal ablation in all patients.

120

121 The Through Tunnel Technique was used to achieve fixation for the artificial ligament
122 [23]. A 2 - 3 cm vertical skin incision was made over the lateral upper half of the
123 patella. Under image intensifier a 3.2 mm tunnel was drilled over a guide wire
124 through the junction of the upper third and the lower two thirds of the patella (Figure
125 1). A wire was then passed through the patellar drill hole. A 1 cm incision was made
126 over the medial condyle at the natural attachment of the MPFL through which the
127 wire was pulled medially using long forceps in the middle layer of the soft tissues, just
128 superficial to the capsule. Through the same incision, a second 3.2 mm tunnel was
129 made at the isometric insertion site of the MPFL (1 mm anterior to the extension line
130 of the posterior cortex and just proximal and behind the attachment of the superficial

131 part of the medial collateral ligament), along the epicondylar axis of the femur [3, 24].
132 For skeletally immature patients, the tunnel was accurately positioned in the
133 epiphysis to avoid injury to the growth plate [3]. The artificial ligament was then
134 prepared by folding it over itself and passing an endobutton at one end to secure the
135 fixation at the lateral border of the patella. A wire passer was utilized to thread the
136 ligament through the patella and the femur. The ligament was now tensioned with the
137 leg in full extension. Subsequently, the knee was positioned in full flexion, without
138 engaging the ligament at the lateral femoral cortex. Femoral fixation was then
139 achieved using a 7 mm interference peek screw, which was inserted through the
140 lateral incision (Figure 2, 3). This avoided over loosening or over tightening of the
141 artificial ligament. The knee was then taken through a range of motion to check
142 tracking and patellar stability.

143

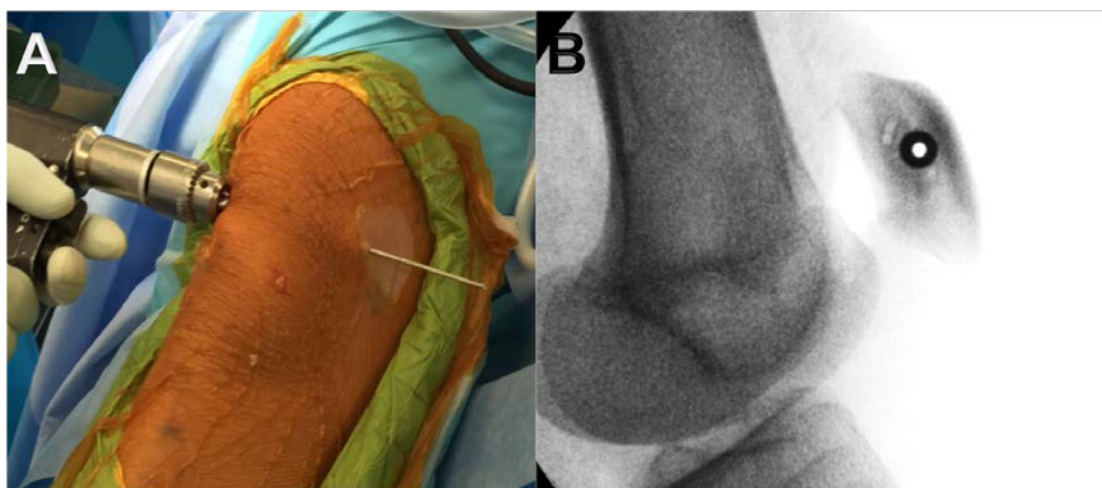
144 The tibial tuberosity – trochlear groove (TT-TG) distance was measured using
145 superimposition of axial slices on MRI [25] for all the patients and if the distance was
146 more than 15 mm and patella mal-tracking persisted after the MPFL reconstruction, a
147 medialization procedure for the tibial tuberosity was performed. This involved a
148 separate 2 – 3 cm vertical incision centering over the tibial tuberosity. Under image
149 intensifier guidance an osteotome was used to elevate the tibial tuberosity and
150 realign it. Fixation was attained by use of 2 standard AO screws under image
151 intensifier guidance. Final x-rays were then taken and the wound was closed in
152 layers. No knee immobilizer was used post-operatively.

153

154

155

Figure 1 – Patellar Tunnel
a. Clinical Image b. X-ray Image

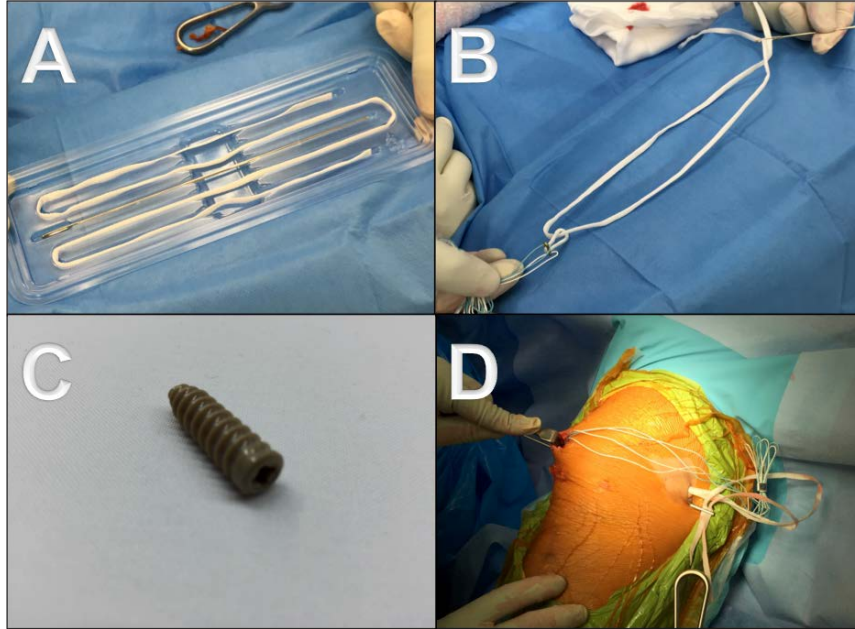


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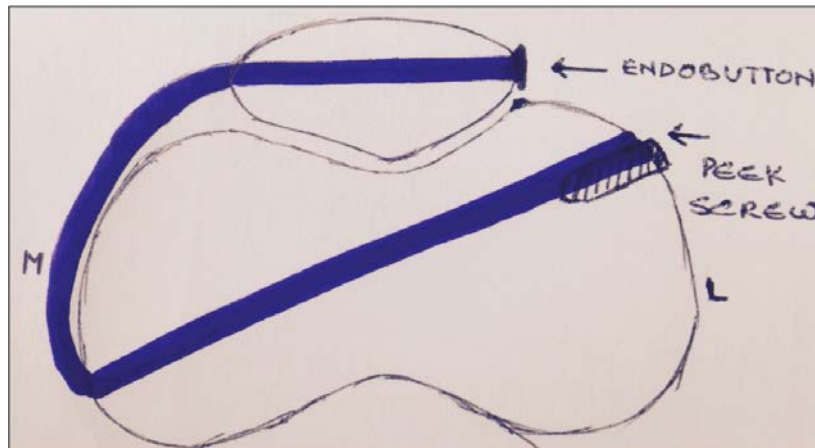
Figure 2 – Graft Construct

a. Artificial Ligament, b. Endobutton, c. Interference Screw, d. Through Tunnel Technique



161
162

Figure 3 – Final Graft Position



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164

2.4 Post-operative Management

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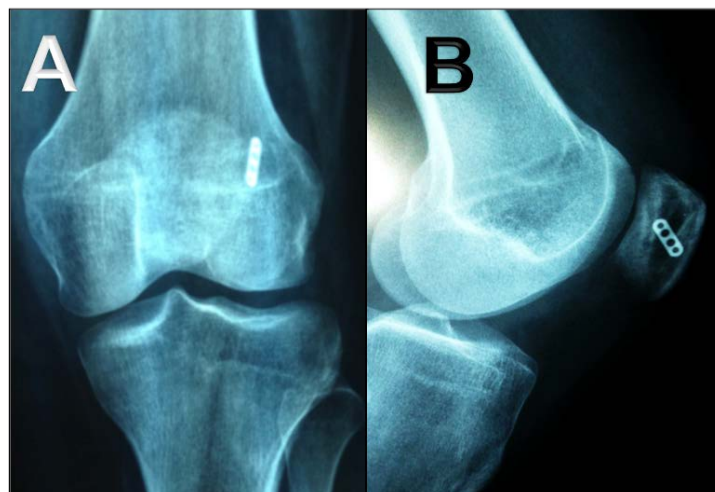
Immediately after surgery no brace was applied. Patients had an overnight hospital stay. The post-operative protocol is shown in Table 2. Quadriceps rehabilitation was started on the first post-operative day with the knee in extension and the patients were allowed to mobilize weight bearing as tolerated using crutches. They were discharged on oral analgesics. The passive knee range of motion was started in the 1st week by a physiotherapist. At one week, the wounds were checked and the

173 integrity of fixation was formally confirmed using plain x-rays (Figure 4). Jogging and
 174 non-impact sports activities were permitted at 8 weeks and full sports activities at 12
 175 weeks.

176

177 **Figure 4 – Plain X-rays a. Antero-posterior b. Lateral**

178



179

180

181

Table 2 – Post Operative Protocol

Timeline	Intervention
1st Day	No Brace/ Knee Immobilizer Used
	Quadriceps Sets, Calf raises initiated
	Mobilize weight bearing as tolerated with two crutches
1st Week	Passive knee range of motion initiated
	Continue Quadriceps Sets, Calf raises advanced
2nd Week	Progress to single crutch as tolerated
	Continue Quadriceps Sets, Calf raises
	Continue knee range of motion
3rd - 6th Week	Full knee range of motion
	Stationary bike with minimal resistance and then progress as tolerated
	Closed-chain double-leg strengthening exercises as tolerated
6th - 12th Week	Start running when capable
	May progress to closed-chain single-leg strengthening as tolerated
	May begin functional exercises as tolerated
12th - 16th Week	Gradual return to sport

182

183 **2.5 Statistical Analysis**

184

185 The frequency of clinical outcome events were summarised using percentages.

186 Functional outcomes were summarised by calculating the group mean and standard

187 deviation for baseline and follow-up measurements. The differences between follow-

188 up and baseline values for each knee were calculated in measurement units and the
 189 difference in patella height was calculated as a percentage of the baseline value. A
 190 2-tailed paired t test was used to test for a statistical difference in baseline versus
 191 follow-up measures. A p-value <0.05 was considered statistically significant.
 192 Analyses were conducted using SPSS statistical software.

193

194 3. Results

195

196 3.1 Participants

197

198 Our study reviewed 29 individuals (31 knees) with a median follow-up of 43 months
 199 (24 – 68 months). Patient characteristics and baseline clinical findings are
 200 summarised in Table 3. The mean patient age at the time of the procedure was 25
 201 (9 – 44) years. The average duration of instability before the procedure was 1 (0.25 –
 202 10) year. More than 50 % of the study population had an element of generalized
 203 ligamentous laxity. 52 % of the individuals had Grade IV Instability (Table 4). The age
 204 of onset of dislocation and the amount of trauma required to cause dislocation was
 205 found to be consistently lower in this subset of individuals (Table 4). Dysplastic
 206 changes were evident on x-ray and MRI examinations in more than half the study
 207 population. There was no evidence of patella-femoral arthritis in any of the
 208 individuals.

209

210 **Table 3 – Patient Characteristics, Baseline Clinical Findings, & Surgical Details**

Characteristic	N (%)	Mean (SD)
Patient Characteristics, 29 patients		
Gender		-
male	11 (38)	
female	18 (62)	
Age (years)	-	25 (9)
Side		-
left	16 (55)	
right	15 (52)	
bilateral	2 (7)	
Baseline Clinical and Imaging Findings, 31 knees		
Maltracking	31 (100)	-
Apprehension	29 (94)	-

Ligamentous Laxity	17 (55)	-
Wynn Davies Scale		3 (1)
Duration of Symptoms (Months)	-	13 (14)
Surgical Technique, 31 knees		
Procedure		-
MPFL	29 (94)	
MPFL + Tibial Tubercle Osteotomy	2 (6)	-
Ligament		
LARS	5 (16)	
Merselene Tape	17 (55)	
Neoligament	9 (29)	-

211 Abbreviations: SD = Standard Deviation ; LARS = Ligament Augment Reconstruction System ; MPFL = Medial Patello-Femoral
212 Ligament

213

214

215 **Table 4 Baseline Classification of Patellar Instability, N=31 knees**

Instability Grade	Joint Laxity	Insall-Salvati Index > 1.3	n (%)	Age at Onset, years Mean (range)	Bilateral Dislocations n	Moderate Trauma ¹ n (%)
I	-	-	7 (23)	26 (18 - 44)	0	6 (86)
II	+	-	1 (3)	11 (7 - 16)	0	0 (0)
III	-	+	7 (23)	25 (15 - 42)	0	3 (43)
IV	+	+	16 (52)	14 (8 - 25)	2	6 (38)

216 1. Moderate: Direct force against the patella or indirect forces associated with athletics

217

218 Surgical details are summarised in Table 3. The LARS Ligament was used in the first
219 5 knees. We shifted to use of Mersilene Tape (17 knees) and subsequently to use
220 AchilloCord^{PLUS} Ligament. A tibial tubercle osteotomy to medialize the tibial tuberosity
221 was performed in 2 cases (TT-TG distance > 15 mm with persistent maltracking after
222 MPFL reconstruction).

223

224 3.2 Clinical Outcomes

225

226 Using the Crosby and Insall grading [22], 21 knees (68%) were graded as excellent,
227 9 knees (29%) were good, 1 knee (3%) as fair to poor and none as worse at the last
228 follow-up assessment. At 1 week, all patients had started knee bending and could
229 achieve 70 – 90 ° of flexion. 30 knees had full range of motion of more than 150 °
230 while 1 knee had a slight loss of flexion 20 ° at their last follow up. Passive patellar
231 hypermobility with the knee extended and flexed at 20 ° and mal-tracking was

232 present in all knees pre-operatively. At follow-up, 2 knees were judged to have mild
 233 hypermobility and none had severe hypermobility or mal-tracking. The apprehension
 234 test was positive in 96 % of the knees pre-operatively (Table 3) and was found
 235 positive at the follow-up only in 1 knee (2%). Generalized ligamentous laxity was
 236 seen in 15 patients (17 knees – 55 %) with a mean score of 3 (3 – 5) (Table 3). In
 237 this subset 12 knees were graded as excellent, 4 knees as good and 1 knee as fair to
 238 poor according to the grading by Crosby and Insall.

239

240 Graft fixation was stable in all patients at 12-month follow up. One patient had a re-
 241 dislocation 9 months after surgery. Four patients had prominence of the ligament
 242 over the medial femoral condyle. Three patients presented with anterior knee pain at
 243 their 24-month follow up. No other major complications were reported. The sulcus
 244 angle was 137 (range 128 – 159) ° pre-operatively. The mean patellar height was 1.5
 245 (s.d. 0.4) pre-operatively, and showed a statistically significant improvement to 1.4
 246 (s.d. 0.3) at follow-up ($p < 0.001$) (Table 5).

247 **3.3 Functional Outcomes**

248

249 All patients improved at their 24 month follow-up for the Lysholm knee score (score
 250 mean improvement 67, s.d. 10, range 18 – 87, p -value < 0.001 , paired t test) (Table
 251 5). These outcomes remained consistent at their final follow up.

252

253

Table 5 – Functional outcomes at 24 months

	Baseline	Follow Up	Mean difference between baseline and follow up	
	Mean (SD)	Mean (SD)		P - Value
Lysholm Knee Score	20 (19)	87 (9)	67 (10)	< 0.001
Insall-Salvati Index	1.5 (0.4)	1.4 (0.3)	0.1 (0.1)	< 0.001

254 SD=standard deviation

255 **4. Discussion**

256

257 Over the last two decades, the MPFL has been accepted as the primary restraint
 258 amongst the structures stabilizing the patella from cadaveric and biomechanical
 259 studies [24, 26]. Our study makes an important contribution to the evidence about the
 260 safety and effectiveness of a minimally invasive technique to reconstruct the MPFL

261 using an artificial ligament. We report on the surgical and rehabilitation protocol and
262 provide descriptive information about the clinical benefits and safety in a broad
263 population of patients with all grades of patellar instability, including those with
264 ligamentous laxity and patella alta. This is the first published report of an MPFL
265 reconstruction procedure we are aware of that does not use a knee immobilizer post
266 operatively.

267

268 The ideal characteristics directing graft choice for ligament reconstruction have been
269 previously described as: similarity to the natural ligament structure and
270 biomechanics, allowing secure fixation, speedy integration, and reduced donor site
271 morbidity [27]. Artificial ligaments have been widely used in cruciate ligament surgery
272 from the 1980's secondary to their easy availability and reduced donor site morbidity
273 [28]. Our findings contribute to the growing body of evidence that their use for MPFL
274 reconstruction substantially reduces the risk of patella re-dislocation. Overall, we
275 observed excellent to good clinical outcomes (Crosby and Insall grading system),
276 statistically significant improvement in functional (Lysholm score) and radiological
277 (Insall – Salvati Index) outcomes in 98 % of our study population.

278

279 Our positive findings are consistent with the favourable results reported for artificial
280 ligaments in extra-articular ligament reconstruction (Medial Collateral Ligament,
281 Postero-lateral Corner and MPFL) by others [5, 17, 29-31]. Most recently, a three
282 year follow-up study of 18 knees by Berutto et al (2014) presenting with objective
283 patellofemoral instability that underwent a MPFL reconstruction with a bioactive
284 artificial ligament, reported an overall satisfaction rate (88.8%), improvement in
285 Kujala score (57 - 84.3) and IKDC scores (42.4 - 70.1), with one patient requiring
286 revision surgery [30]. Studies of MPFL reconstruction using allografts like the gracilis
287 or the semitendinosus have reported similar functional outcomes. However, one
288 recent study has reported a higher incidence of revisions at 6-49 months follow-up
289 (n=8 of 87 patients, 90 reconstructions) due to stiffness or re-dislocation in addition to
290 donor site morbidity [32].

291

292 The operative technique in our study involved arthroscopy and minimal incisions,
293 thereby not violating the extensor mechanism compared to standard open surgical
294 techniques that have been previously assessed, which involve extensive surgery [8].

295 The potential advantages for patients include reduced postoperative swelling,
296 reduced pain, reduced risk of complications, and improved recovery times.
297 Importantly in this study, we demonstrate that our approach also allowed post-
298 operative rehabilitation without a knee immobilizer. Various techniques including
299 patellar drill holes, sutures, suture anchors and interference screws at the femoral
300 condyle have been used for graft fixation during MPFL reconstruction. Mounthey et al
301 replicated MPFL reconstructions techniques using sutures +/- bone anchors, blind
302 tunnel tendon graft and a through tunnel tendon graft in a cadaveric study and
303 suggested that the latter technique provided comparable strength to the native
304 ligament (195 N) [33]. A recent systematic review on the safety of MPFL
305 reconstruction techniques (25 studies) reported 164 complications in 629 knees [34].
306 The findings suggest a trend of higher overall complications with tunnel techniques
307 (29.8%, including redislocation 3.3 %, patellar fractures 2.4 %) compared to suture
308 techniques (21.6%, 4.8 % re-dislocation, patellar fracture 0 %) [34]. Anatomical
309 replication of the native MPFL in our study using an artificial ligament with no
310 complications associated with the tunnel technique suggests good safety.

311

312 Safety concerns for artificial ligaments include rupture, synovitis, chronic effusions,
313 cross-infections, and osteolysis [35]. A case series of 126 patients using polyethylene
314 ligaments with a long term follow up of 19 years showed re-ruptures (27.5%) and
315 osteoarthritis (100 %) as complications in addition to functional impairment (29.4 %) [35].
316 Shah et al in their systematic review concluded that MPFL reconstruction is a
317 successful procedure, however, the complication rate of 26.1% associated with this
318 procedure was not inconsequential [34]. At a median follow-up of over 2 years, we
319 observed no serious adverse events in any individuals receiving this technique at our
320 centre and graft fixation was stable for all but one individual. The re-dislocation
321 occurred in one knee with grade IV instability secondary to a significant fall 9 months
322 after surgery. The MPFL was revised with a tibial tuberosity osteotomy within a week
323 after the fall and the graft was intact intra-operatively. This knee was graded as fair to
324 poor at follow up as per the Insall & Crosby Grading System [22]. The other adverse
325 events included prominence of the ligament over the medial condyle and anterior
326 knee pain in 4 and 3 knees respectively. The prominence was noted in the first few
327 knees (n = 2), attributed to the bulkiness of the LARS, hence we discontinued its use
328 but managed these knees conservatively. Mersiline tape was used as an alternative

329 to LARS. In 17 patients, 2 suffered from a similar complication as seen with the
330 LARS. This was attributed to the rough texture of the tape. Therefore we shifted to
331 AchilloCord^{PLUS} Ligament, which is a densely woven polymer and smooth in texture.
332 An MRI was repeated for patients with anterior knee pain and they were
333 subsequently treated with another arthroscopy and chondroplasty (n = 3). Together
334 with data reported on artificial ligament use by Nomura et al (n = 27) and Berruto et al
335 (n = 18), there are now more than 75 cases of isolated MPFL reconstruction for
336 patella-femoral instability reported [29, 30]. The complication rate including the re-
337 dislocation rate has been extremely low (n = 1), with low persistence of apprehension
338 (n = 4) in our study. Altogether, we believe our favourable results and acceptable
339 incidence of minor complications can be attributed to careful patient selection, the
340 minimally invasive technique, anatomical placement of the femoral insertion,
341 accurate tunnel placement, absence of morbidity associated with hamstring or
342 quadriceps allografts and a strong post operative rehabilitation regime.

343

344 An important feature of our study is that the majority of our study population had
345 ligamentous laxity with 55 % (n = 17) of the individuals classified as grade IV
346 instability (Table 4). Similar to the findings of Runow et al (1983) [2], we observed
347 that this subgroup appeared to have a lower age of onset and a history of minimal
348 trauma. In the past, severe instability has been treated with a combination of soft
349 tissue and bony realignment procedures [3, 6, 36]. There has been insufficient
350 evidence to date to demonstrate the role of only MPFL reconstruction with or without
351 lateral release in this subpopulation. A subgroup analysis conducted in patients with
352 severe instability in our study showed improvement in clinical and functional
353 outcomes for all 17 knees (16 (99%) - Excellent & Good, and mean increase in
354 Lysholm score by 68 points). These favourable results indicate the procedure is
355 suitable for individuals with ligamentous laxity and patella alta.

356

357 As a case series, the main weakness of our study is that it does not allow a direct
358 comparison with conservative treatment or other reconstruction techniques to
359 estimate the magnitude of the clinical benefits using our approach. Nevertheless,
360 based on historical comparison with the outcomes of conservative treatment reported
361 in the literature, in particular for re-dislocation rates, we believe the highly favourable
362 outcomes we observed indicate true benefits for the use of a minimally invasive

363 technique and artificial MPFL graft, and are unlikely to be due to chance or the
364 favourable selection of patients alone.

365
366 Another limitation of our study is the lack of long-term follow-up. Given the good
367 outcomes, achieving on going follow-up of this patient group will be challenging, but
368 essential to report long-term results. There is a need for other centres to report their
369 results to build the evidence base on long-term benefits and harms, and to define
370 which patient groups with acute patellar dislocation are most likely to benefit versus
371 conservative management. If other centres report similar findings to the present
372 study and clinical equipoise is lost, it may not be feasible to recruit patients to a
373 rigorous randomised controlled trial to compare this approach versus conservative
374 treatment. However, trials comparing this procedure with alternative allograft
375 techniques are warranted.

376 **5. Conclusion**

377
378 These mid-term results demonstrate the clinical and functional benefits of this
379 minimally invasive surgical technique using an artificial ligament, and suggest these
380 benefits are achieved with a low risk of complications, with a minimal damage to the
381 extensor mechanism, including in those with severe instability.

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Legends

Tables

1. Inclusion / Exclusion Criteria
2. Post-operative Protocol
3. Characteristics, Clinical Findings, & Surgical Details
4. Classification of Patellar Instability
5. Results

Figures

- 1 – Patellar Tunnel
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- 4 – Plain X-rays
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