

1 **Exploring definitions and predictors of severe asthma clinical remission post-biologic in**
2 **adults**

3 Luis Perez-De-Llano,¹ Ghislaine Scelo,^{2,3} Trung N. Tran,⁴ Tham T. Le,⁴ Malin Faregås,⁵ Borja G.
4 Cosio,⁶ Matthew Peters,⁷ Paul E. Pfeffer,⁸ Mona Al-Ahmad,⁹ Riyad O. Al-Lehebi,^{10,11} Alan
5 Altraja,¹² Celine Bergeron,¹³ Leif H. Bjermer,¹⁴ Anne S. Bjerrum,¹⁵ Lakmini Bulathsinhala,^{2,3}
6 John Busby,¹⁶ Diana J. Cano Rosales,¹⁷ Giorgio W. Canonica,^{18,19} Victoria A. Carter,^{2,3} Jeremy
7 Charriot,²⁰ George. C. Christoff,²¹ Eve J. Denton,^{22,23} Delbert R. Dorscheid,²⁴ Maria J. Fernandez
8 Sanchez,^{25,26} João A. Fonseca,²⁷ Peter G. Gibson,²⁸ Celine Y.Y. Goh,^{2,3} Liam G. Heaney,²⁹ Enrico
9 Heffler,^{18,19} Mark Hew,³⁰ Takashi Iwanaga,³¹ Rohit Katial,³² Mariko S. Koh,³³ Piotr Kuna,³⁴
10 Désirée E. S. Larenas-Linnemann,³⁵ Lauri Lehtimäki,^{36,37} Bassam Mahboub,³⁸ Neil Martin,³⁹
11 Hisako Matsumoto,⁴⁰ Andrew N. Menzies-Gow,³⁹ Nikolaos G. Papadopoulos,^{41,42} Todor A.
12 Popov,⁴³ Celeste M. Porsbjerg,⁴⁴ Pujan Patel,⁴⁵ Chin K. Rhee,⁴⁶ Mohsen Sadatsafavi,⁴⁷ Camille
13 Taillé,⁴⁸ Carlos. A. Torres-Duque,⁴⁹ Ming-Ju Tsai,^{50,51} Charlotte S. Ulrik,⁵² John W. Upham,⁵³
14 Anna, von Bülow,⁵⁴ Eileen Wang,⁵⁵ Michael E. Wechsler,⁵⁶ David B. Price^{2,3,57}

15 ¹Pneumology Service. Lucus Augusti University Hospital. EOXI Lugo, Monforte - Cervo (Spain),
16 ²Observational and Pragmatic Research Institute, (Singapore), ³Optimum Patient Care Global
17 - Cambridge (UK), ⁴BioPharmaceuticals Medical, AstraZeneca - Gaithersburg, MD (USA),
18 ⁵BioPharmaceuticals Medical, AstraZeneca - Gothenburg (Sweden), ⁶Son Espases University
19 Hospital-IdISBa-Ciberes - Mallorca (Spain), ⁷Department of Thoracic Medicine, Concord
20 Hospital - Sydney (Australia), ⁸Department of Respiratory Medicine, Barts Health NHS Trust;
21 Barts and The London School of Medicine and Dentistry, Queen Mary University of London -
22 London (UK), ⁹Microbiology Department, College of Medicine, Kuwait University; Al-Rashed
23 Allergy Center, Ministry of Health - Kuwait (Kuwait), ¹⁰Department of Pulmonology, King Fahad
24 Medical City; Alfaisal University - Riyadh (Saudi Arabia), ¹¹College of Medicine, Alfaisal

25 University, Riyadh, (Saudi Arabia), ¹²Department of Pulmonology, University of Tartu and Lung
26 Clinic, Tartu University Hospital, Tartu (Estonia), ¹³Centre for Lung Health, Vancouver General
27 Hospital and University of British Columbia, - Vancouver (Canada), ¹⁴Respiratory Medicine and
28 Allergology, Department of Clinical Sciences, Skåne University Hospital, Lund University, Lund,
29 (Sweden), ¹⁵Department of Respiratory Diseases and Allergy, Aarhus University Hospital
30 (Denmark), ¹⁶Centre for Public Health, School of Medicine, Dentistry and Biomedical Sciences,
31 Queen's University - Belfast (UK), ¹⁷Instituto Neumológico del Oriente, Bucaramanga,
32 Santander(Colombia), ¹⁸Personalized Medicine, Asthma and Allergy, IRCSS Humanitas
33 Research Hospital, Rozzano (Italy), ¹⁹ Department of Biomedical Sciences, Humanitas
34 University, Pieve Emanuele, Milan (Italy), ²⁰PhyMedExp, Univ Montpellier, CNRS, INSERM,
35 CHU Montpellier, Montpellier (France), ²¹Medical University - Sofia (Bulgaria), ²²Allergy,
36 Asthma & Clinical Immunology Service, Alfred Health, Melbourne (Australia), ²³Department of
37 Medicine, Monash University (Australia), ²⁴Center for Heart Lung Innovation, University of
38 British Columbia(Canada), ²⁵Pulmonary Unit, Hospital Universitario San Ignacio, Bogota,
39 (Colombia), ²⁶Faculty of Medicine, Pontificia Universidad Javeriana, Bogota (Colombia),
40 ²⁷CINTESIS@RISE, MEDCIDS, Faculty of Medicine of the University of Porto - Porto (Portugal),
41 ²⁸Australian Severe Asthma Network, Priority Research Centre for Healthy Lungs, University
42 of Newcastle; Hunter Medical Research Institute, Department of Respiratory and Sleep
43 Medicine, John Hunter Hospital – Newcastle (Australia), ²⁹Wellcome-Wolfson Institute for
44 Experimental Medicine, Queen's University - Belfast (UK), ³⁰Allergy, Asthma & Clinical
45 Immunology Service, Alfred Health; Public Health and Preventive Medicine, Monash
46 University - Melbourne (Australia), ³¹Kindai University Hospital - Osakasayama (Japan),
47 ³²Division of Allergy and Clinical Immunology, Department of Medicine, National Jewish
48 Health, Denver, CO (USA), ³³Department of Respiratory and Critical Care Medicine, Singapore

49 General Hospital - Singapore (Singapore),³⁴Division of Internal Medicine Asthma and Allergy,
50 Medical University of Lodz - Lodz (Poland),³⁵Centro de Excelencia en Asma y Alergia, Hospital
51 Médica Sur, Ciudad de México - Mexico (Mexico),³⁶Allergy Centre, Tampere University
52 Hospital, Tampere (Finland),³⁷Faculty of Medicine and Health Technology, Tampere
53 University, Tampere, (Finland),³⁸Rashid hospital, Dubai Health Authority (DHA) - Dubai
54 (Utd.Arab Emir.),³⁹BioPharmaceuticals Medical, AstraZeneca - Cambridge (UK),⁴⁰Department
55 of Respiratory Medicine & Allergology, Kindai University Faculty of Medicine (Japan),
56 ⁴¹Division of Infection, Immunity & Respiratory Medicine, University of Manchester,
57 Manchester, (UK); ⁴²Allergy Department, 2nd Pediatric Clinic, University of Athens - Athens
58 (Greece), ⁴³University Hospital Sv. Ivan Rilski - Sofia (Bulgaria), ⁴⁴Bispebjerg Hospital,
59 Department of Respiratory Medicine and Infections Diseases, Research Unit - Copenhagen
60 (Denmark), ⁴⁵Respiratory Medicine, Royal Brompton Hospital, London (UK), ⁴⁶Division of
61 Pulmonary and Critical Care Medicine, Department of Internal Medicine, Seoul St. Mary's
62 Hospital, College of Medicine, The Catholic University of Korea - Seoul (South Korea),
63 ⁴⁷Respiratory Evaluation Sciences Program, Faculty of Pharmaceutical Sciences, The University
64 of British Columbia - Vancouver (Canada), ⁴⁸Respiratory Diseases Department, Hôpital Bichat,
65 AP-HP Nord-Université Paris Cité, Paris (France), ⁴⁹CINEUMO, Respiratory Research Center,
66 Fundación Neumológica Colombiana - Bogotá (Colombia), ⁵⁰Division of Pulmonary and Critical
67 Care Medicine, Department of Internal Medicine, Kaohsiung Medical University Hospital,
68 Kaohsiung Medical University, Kaohsiung (Taiwan), ⁵¹Department of Internal Medicine, School
69 of Medicine, College of Medicine, Kaohsiung Medical University, Kaohsiung(Taiwan),
70 ⁵²Department of Respiratory Medicine, Copenhagen University Hospital - Hvidovre
71 (Denmark), ⁵³Faculty of Medicine, University of Queensland, Brisbane (Australia),
72 ⁵⁴Respiratory research unit, Department of Respiratory Medicine and Infectious Diseases,

73 Bispebjerg hospital, Copenhagen (Denmark), ⁵⁵National Jewish Health and University of
74 Colorado School of Medicine - Denver and Aurora (USA), ⁵⁶NJH Cohen Family Asthma Institute,
75 Department of Medicine, National Jewish Health - Denver (USA), ⁵⁷Centre of Academic
76 Primary Care, Division of Applied Health Sciences, University of Aberdeen, Aberdeen (UK)

77

78 **ORCID ids**

79 Luis Perez-De-Llano: <https://orcid.org/0000-0003-2652-6847>

80 Ghislaine Scelo: <https://orcid.org/0000-0002-2692-8507>

81 Trung N. Tran: <https://orcid.org/0000-0001-8003-7601>

82 Tham Le: <https://orcid.org/0000-0003-3648-5858>

83 Malin Faregåås: <https://orcid.org/0000->

84 Borja G. Cosio: <https://orcid.org/0000-0002-6388-8209>

85 Matthew Peters: <https://orcid.org/0000-0002-9649-8741>

86 Paul E Pfeffer: <https://orcid.org/0000-0003-0369-2885>

87 Mona Al-Ahmad: <https://orcid.org/0000-0003-2950-5363>

88 Riyadh O. Al-Lehebi: <https://orcid.org/0000-0002-3232-6668>

89 Alan Altraja: <https://orcid.org/0000-0001-7798-9871>

90 Celine Bergeron: <https://orcid.org/0000-0003-2585-5411>

91 Leif H. Bjermer: <https://orcid.org/0000-0002-3441-8099>

92 Anne S. Bjerrum: <https://orcid.org/0009-0002-1657-2399>

93 Lakmini Bulathsinhala: <https://orcid.org/0009-0007-0466-4671>

94 John Busby: <https://orcid.org/0000-0003-4831-7464>

95 Diana J Cano Rosales: <https://orcid.org/0000-0002-7090-5142>

96 Giorgio W. Canonica: <https://orcid.org/0000-0001-8467-2557>

97 Victoria A. Carter: <https://orcid.org/0000-0002-0333-3308>

98 Jeremy Charriot: <https://orcid.org/0000-0002-1420-5774>

99 George C. Christoff: <https://orcid.org/0000-0003-4549-7711>

100 Eve J. Denton: <https://orcid.org/0000-0003-1482-7626>

- 101 Delbert R. Dorscheid: <https://orcid.org/0000-0002-0680-0258>
- 102 Maria J. Fernandez Sanchez: <https://orcid.org/0000-0001-6461-2725>
- 103 Takashi Iwanaga: <https://orcid.org/0000-0001-9155-265X>
- 104 Rohit Katial: <https://orcid.org/0000-0002-0627-2467>
- 105 Mariko S. Koh: <https://orcid.org/0000-0002-1230-0129>
- 106 Piotr Kuna: <https://orcid.org/0000-0003-2401-0070>
- 107 Desiree E. S. Larenas-Linnemann: <https://orcid.org/0000-0002-5713-5331>
- 108 Lauri Lehtimäki: <https://orcid.org/0000-0003-1586-4998>
- 109 Bassam Mahboub: <https://orcid.org/0000-0002-6089-438X>
- 110 Neil Martin: <https://orcid.org/0000-0003-3115-3328>
- 111 Charlotte S. Ulrik: <https://orcid.org/0000-0001-8689-3695>
- 112 John W. Upham: <https://orcid.org/0000-0002-0017-3433>
- 113 Anna von Bulow: <https://orcid.org/0009-0005-3268-785X>
- 114 Eileen Wang: <https://orcid.org/0000-0002-3149-3229>
- 115 Michael E. Wechsler: <https://orcid.org/0000-0003-3505-2946>
- 116 David B. Price: <https://orcid.org/0000-0002-9728-9992>
- 117

118 **Corresponding author information**

119 Professor David B Price

120 Observational and Pragmatic Research Institute

121 22 Sin Ming Lane, #06 Midview City

122 Singapore 573969

123 Tel: +65 3105 1489

124 Email: dprice@opri.sg

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- 140
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144

At a glance commentary

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Scientific knowledge on the subject:

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Asthma remission has been defined in many ways. Previous studies to identify
147 predictors of remission have predominantly been retrospective or *post-hoc* analyses
148 from randomized controlled trials, limited to single jurisdiction, have included
149 relatively small numbers of patients, and/or investigated remission achievable with
a single biologic.

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What this study adds to the field:

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In this longitudinal cohort real life study including data from 23 countries, 20.3-50.2%
of patients with severe asthma met criteria for clinical remission within 1-year of
biologic treatment depending upon domains included in the remission definition.
Patients with less severe disease and shorter duration of asthma pre-biologic-
initiation had a better chance of achieving remission post-biologic. Our results suggest
the need to consider earlier intervention with biologics for patients with severe
asthma prior to significant and irreversible lung function impairment (partly as a
consequence of repeated exacerbations) and before initiation of long-term oral
corticosteroid treatment. Recognition that remission is more likely to occur if targeted
earlier in the asthma life cycle, may influence biologic prescription criteria, and herald
a paradigm shift away from targeting response in those with more severe asthma,
towards the promotion of remission in those with less severe disease but at risk of
developing severe asthma, but this will need to be confirmed.

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161 conducted this study in collaboration with Optimum Patient Care and AstraZeneca.

162 **Trung N. Tran, Tham T. Le, Malin Faregas, Neil Martin, and Andrew Menzies Gow** are
163 employees of AstraZeneca and may own stock or stock options in AstraZeneca.

164 **Borja G. Cosio** declares grants from Chiesi and GSK; personal fees for advisory board activities
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168 **Matthew Peters** declares personal fees and non-financial support from AstraZeneca,
169 GlaxoSmithKline and Sanofi.

170 **Paul E. Pfeffer** has attended advisory boards for AstraZeneca, GlaxoSmithKline, and Sanofi; has
171 given lectures at meetings supported by AstraZeneca and GlaxoSmithKline; has taken part in
172 clinical trials sponsored by AstraZeneca, GlaxoSmithKline, Novartis, and Sanofi, for which his

173 institution received remuneration; and has a current research grant funded by
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176 Novartis, and GlaxoSmithKline and received a grant from Kuwait Foundation for the
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178 **Riyad Al-Lehebi** has given lectures at meetings supported by AstraZeneca, Boehringer
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180 GlaxoSmithKline, AstraZeneca, Novartis, Abbott.

181 **Alan Altraja** has received lecture fees from AstraZeneca, Berlin-Chemie Menarini, Boehringer
182 Ingelheim, GSK, MSD, Norameda, Novartis, Orion, Sanofi, and Zentiva; sponsorships from
183 AstraZeneca, Berlin-Chemie Menarini, Boehringer Ingelheim, GlaxoSmithKline, MSD,
184 Norameda, Novartis, and Sanofi; and has participated in advisory boards for AstraZeneca,
185 Boehringer Ingelheim, GlaxoSmithKline, Novartis, Sanofi, and Teva.

186 **Celine Bergeron** has received advisory board and speaker fees from ValeoPharma, Sanofi-
187 Aventis, GSK, Astra-Zeneca, Grifols; has participated in clinical trials (paid to University)
188 sponsored by Sanofi-Aventis, GSK, Astra-Zeneca, BioHaven, Regeneron.

189 **Leif Bjermer** received during the last three years consulting/lecture fees from Acucort,
190 AstraZeneca, Birc, Chiesi, GSK, Phargentis and Sanofi.

191 **Anne S Bjerrum** has received lecture fees from Astra Zeneca, Sanofi, GSK and Novartis

192 **Lakmini Bulathsinhala** is an employee of the Observational and Pragmatic Research Institute
193 (OPRI). OPRI conducted this study in collaboration with Optimum Patient Care and
194 AstraZeneca.

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202 Thermo Fisher, and Valeas.

203 **Victoria Carter** is an employee of Optimum Patient Care Global (OPCC), a co-funder of the
204 International Severe Asthma Registry.

205 **Jeremy Charriot** reports receiving advisory board and lecture fees from AstraZeneca,
206 GlaxoSmithKline, Sanofi and receiving consulting fees for Chiesi, and serving as a trial co-
207 investigator for AstraZeneca, Boehringer Ingelheim, GlaxoSmithKline, Novartis, and Sanofi.

208 **George C. Christoff** declares no relevant conflicts of interest.

209 **Eve J. Denton** declares grants to her institution from AstraZeneca GlaxoSmithKline, Novartis,
210 Sanofi, Teva, and Seqirus, for unrelated projects and speaker fees from Sanofi.

211 **Delbert. Dorscheid** is on faculty at the University of British Columbia and is supported by the
212 following grants: Canadian Institutes of Health Research, British Columbia Lung Association,
213 and Michael Smith Foundation for Health Research. In addition, he has received speaking fees,
214 travel grants, unrestricted project grants, writing fees and is a paid consultant for Pharma
215 including Sanofi Regeneron, Novartis Canada, AstraZeneca, GlaxoSmithKline and

216 ValeoPharma. He is an active member of Canadian Thoracic Society, American Thoracic
217 Society, European Respiratory Society, and the Allergen Research Network.

218 **João A Fonseca** reports grants from or research agreements with AstraZeneca, Mundipharma,
219 Sanofi Regeneron, and Novartis. Personal fees for lectures and attending advisory boards:
220 AstraZeneca, GSK, Mundipharma, Novartis, Sanofi Regeneron, and TEVA.

221 **Peter G. Gibson** has received speakers and grants to his institution from AstraZeneca,
222 GlaxoSmithKline, Novartis.

223 **Celine Goh** is an employee of Optimum Patient Care Global (OPCG), a co-funder of the
224 International Severe Asthma Registry.

225 **Liam G. Heaney** has received grant funding, participated in advisory boards and given lectures
226 at meetings supported by Amgen, AstraZeneca, Boehringer Ingelheim, Chiesi, Circassia,
227 Hoffmann la Roche, GlaxoSmithKline, Novartis, Theravance, Evelo Biosciences, Sanofi, and
228 Teva; he has received grants from MedImmune, Novartis UK, Roche/ Genentech Inc, and
229 GlaxoSmithKline, Amgen, Genentech/Hoffman la Roche, AstraZeneca, MedImmune,
230 GlaxoSmithKline, Aerocrine, and Vitalograph; he has received sponsorship for attending
231 international scientific meetings from AstraZeneca, Boehringer Ingelheim, Chiesi, GSK, and
232 Napp Pharmaceuticals; he has also taken part in asthma clinical trials sponsored by
233 AstraZeneca, Boehringer Ingelheim, Hoffmann la Roche, and GlaxoSmithKline for which his
234 institution received remuneration; he is the Academic Lead for the Medical Research Council
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237 Ingelheim, GlaxoSmithKline, Hoffmann la Roche, and Janssen.

238 **Enrico Heffler** declares personal fees from: Sanofi, Regeneron, GSK, Novartis, AstraZeneca,
239 Stallergenes, Chiesi, Bosch, Celltrion Healthcare.

240 **Mark Hew** declares grants and other advisory board fees (made to his institutional employer)
241 from AstraZeneca, GlaxoSmithKline, Novartis, Sanofi, Teva, and Seqirus, for unrelated projects.

242 **Takashi Iwanaga** received lecture fees from Kyorin, GlaxoSmithKline, Novartis, Boehringer
243 Ingelheim, AstraZeneca and Sanofi.

244 **Rohit Katial** has received personal fees from AstraZeneca, Sanofi-Regeneron

245 **Mariko Siyue Koh** reports grant support from AstraZeneca, and honoraria for lectures and
246 advisory board meetings paid to her hospital (Singapore General Hospital) from
247 GlaxoSmithKline, AstraZeneca, Novartis, Sanofi, and Boehringer Ingelheim, outside the
248 submitted work.

249 **Piotr Kuna** reports personal fees from Adamed, AstraZeneca, Berlin Chemie Menarini, FAES,
250 Glenmark, Novartis, Polpharma, Boehringer Ingelheim, Teva, Zentiva, outside the submitted
251 work.

252 **Désirée Larenas Linnemann** reports personal fees from ALK-Abelló, AstraZeneca national and
253 global, Bayer, Chiesi, Grunenthal, Grin, GSK national and global, Viatris, Menarini, MSD,
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255 AstraZeneca, Pfizer, Novartis, Circassia, UCB, GSK, outside the submitted work.

256 **Lauri Lehtimäki** has received personal fees from ALK, AstraZeneca, Berlin Chemie, Boehringer
257 Ingelheim, Chiesi, GlaxoSmithKline, Menarini, Novartis, Orion Pharma and Sanofi.

258 **Bassam Mahboub** reports no conflict of interest.

259 **Hisako Matsumoto** declares lecturer fees from Kyorin Pharma, AstraZeneca, Sanofi Co.
260 Novartis Pharma and GlaxoSmithKline.

261 **Nikolaos G. Papadopoulos** has been a speaker and/or advisory board member for Abbott,
262 Abbvie, ALK, Asit Biotech, AstraZeneca, Biomay, Boehringer Ingelheim, GSK, HAL, Faes Farma,
263 Medscape, Menarini, MSD, Novartis, Nutricia, OM Pharma, Regeneron, Sanofi, Takeda, Viatrix

264 **Todor A. Popov** declares relevant research support from Novartis and Chiesi Pharma.

265 **Celeste M. Porsbjerg** has attended advisory boards for AstraZeneca, Novartis, TEVA, and
266 Sanofi-Genzyme; has given lectures at meetings supported by AstraZeneca, Novartis, TEVA,
267 Sanofi-Genzyme, and GlaxoSmithKline; has taken part in clinical trials sponsored by
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270 ALK, and Sanofi-Genzyme.

271 **Pujan Patel** has received advisory board and speaker fees from AstraZeneca, GlaxoSmithKline,
272 Novartis and Sanofi/Regeneron.

273 **Chin Kook Rhee** received consulting/lecture fees from MSD, AstraZeneca, GSK, Novartis,
274 Takeda, Mundipharma, Boehringer Ingelheim, Teva, Sanofi, and Bayer.

275 **Diana Cano Rosales** has received speaker fees from AstraZeneca, Boehringer Ingelheim, and
276 Sanofi and has acted as an investigator for trials sponsored by AstraZeneca, Sanofi and GSK.

277 **Mohsen Sadatsafavi** has received honoraria from AstraZeneca, Boehringer Ingelheim, TEVA,
278 and GSK for purposes unrelated to the content of this manuscript and has received research
279 funding from AstraZeneca and Boehringer Ingelheim directly into his research account from
280 AstraZeneca for unrelated projects.

281 **Maria J. Fernandez Sanchez** is part time employee of GSK Colombia and does not hold shares
282 in the company. Reports educational grant support to her institution from AstraZeneca, GSK,
283 Sanofi.

284 **Camille Taillé** reports personal fees from AstraZeneca, Chiesi, GSK, Sanofi, Stallergens Geer
285 and grants from GSK, Sanofi and AstraZeneca outside the submitted work.

286 **Carlos A. Torres-Duque** has received fees as advisory board participant and/or speaker from
287 AstraZeneca, Boehringer-Ingelheim, GlaxoSmithKline, Novartis, and Sanofi-Aventis; has taken
288 part in clinical trials from AstraZeneca, Novartis, and Sanofi-Aventis; has received unrestricted
289 grants for investigator-initiated studies at Fundacion Neumologica Colombiana from
290 AstraZeneca, Boehringer-Ingelheim, GlaxoSmithKline, Grifols, and Novartis.

291 **Ming-Ju Tsai** has received sponsorship to attend or speak at conferences, honoraria for
292 lecturing or attending advisory boards, and research grants from the following companies:
293 AstraZeneca, Boehringer Ingelheim, GlaxoSmithKline, Novartis, Pfizer, Shionogi and Orient
294 EuroPharma.

295 **Charlotte Ulrik** reports personal fees for talks, participation in advisory boards etc. from
296 AstraZeneca, GlaxoSmithKline, Teva, Boehringer Ingelheim, Orion Pharma, Sanofi Genzyme,
297 TFF Pharmaceuticals, Covis Pharma, Berlin-Chemie, Takeda, Chiesi, and Pfizer, outside the
298 submitted work.

299 **John W. Upham** has received consultancy fees from AstraZeneca, GSK, Sanofi and Moderna.

300 **Anna von Bülow** reports speakers fees and consultancy fees from AstraZeneca and Novartis,
301 outside the submitted work. She has also attended advisory board for Novartis and
302 AstraZeneca.

303 **Eileen Wang** has received honoraria from AstraZeneca, GlaxoSmithKline, and Genentech. She
304 has been an investigator on studies sponsored by AstraZeneca, GlaxoSmithKline, Genentech,
305 Sanofi, Novartis, and Teva, for which her institution has received funding.

306 **Michael E. Wechsler** reports grants and/or personal fees from Novartis, Sanofi, Regeneron,
307 Genentech, Sentien, Restorbio, Equillium, Genzyme, Cohero Health, Teva, Boehringer
308 Ingelheim, AstraZeneca, Amgen, GlaxoSmithKline, Cytoreason, Cerecor, Sound Biologics,
309 Incyte, Kinaset.

310 **David B. Price** has advisory board membership with AstraZeneca, Boehringer Ingelheim,
311 Chiesi, GlaxoSmithKline, Novartis, Viatris, Teva Pharmaceuticals; consultancy agreements
312 with AstraZeneca, Boehringer Ingelheim, Chiesi, GlaxoSmithKline, Novartis, Viatris, Teva
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315 AstraZeneca, Chiesi, Viatris, Novartis, Regeneron Pharmaceuticals, Sanofi Genzyme, and UK
316 National Health Service; payment for lectures/speaking engagements from AstraZeneca,
317 Boehringer Ingelheim, Chiesi, Cipla, Commune Digital, GlaxoSmithKline, Medscape, Viatris,
318 Novartis, Regeneron Pharmaceuticals and Sanofi Genzyme, Teva Pharmaceuticals; payment
319 for travel/accommodation/meeting expenses from AstraZeneca, Boehringer Ingelheim,
320 Novartis, Medscape, Teva Pharmaceuticals; stock/stock options from AKL Research and
321 Development Ltd which produces phytopharmaceuticals; owns 74% of the social enterprise
322 Optimum Patient Care Ltd (Australia and UK) and 92.61% of Observational and Pragmatic
323 Research Institute Pte Ltd (Singapore); 5% shareholding in Timestamp which develops
324 adherence monitoring technology; is peer reviewer for grant committees of the UK Efficacy

325 and Mechanism Evaluation programme, and Health Technology Assessment; and was an
326 expert witness for GlaxoSmithKline.

327

328 **Abstract**

329 Rationale: There is no consensus on criteria to include in an asthma remission definition in
330 real-life. Factors associated with achieving remission post-biologic-initiation remain poorly
331 understood.

332 Objectives: To quantify the proportion of adults with severe asthma achieving multi-domain-
333 defined remission post-biologic-initiation and identify pre-biologic characteristics associated
334 with achieving remission which may be used to predict it.

335 Methods: This was a longitudinal cohort study using data from 23 countries from the
336 International Severe Asthma Registry. Four asthma outcome domains were assessed in the 1-
337 year pre- and post-biologic-initiation. A *priori*-defined remission cut-offs were: 0
338 exacerbations/year, no long-term oral corticosteroid (LTOCS), partly/well-controlled asthma,
339 and percent predicted forced expiratory volume in one second $\geq 80\%$. Remission was defined
340 using 2 (exacerbations + LTOCS), 3 (+control or +lung function) and 4 of these domains. The
341 association between pre-biologic characteristics and post-biologic remission was assessed by
342 multivariable analysis.

343 Measurements and main results: 50.2%, 33.5%, 25.8% and 20.3% of patients met criteria for
344 2, 3 (+control), 3 (+lung function) and 4-domain-remission, respectively. The odds of achieving
345 4-domain remission decreased by 15% for every additional 10-years asthma duration (odds
346 ratio: 0.85; 95% CI: 0.73, 1.00). The odds of remission increased in those with fewer
347 exacerbations/year, lower LTOCS daily dose, better control and better lung function pre-
348 biologic-initiation.

349 Conclusions: One in 5 patients achieved 4-domain remission within 1-year of biologic-
350 initiation. Patients with less severe impairment and shorter asthma duration at initiation had

351 a greater chance of achieving remission post-biologic, indicating that biologic treatment
352 should not be delayed if remission is the goal.

353 Key words: anti-IgE; anti-IL5/5R; anti-IL4R α ; exacerbation, lung function

354 **Introduction**

355 Clinical studies and asthma treatment goals for adults with severe asthma have focused on
356 biologic effectiveness and disease control, respectively, rather than remission as a therapeutic
357 target.(1) The existence of spontaneous remission in the adult asthma population,(2–5)
358 coupled with the chronic inflammatory nature of asthma, and a similar treatment
359 development trajectory as other chronic inflammatory conditions where remission on
360 treatment is well defined,(6–8) led to the hope that the asthma management paradigm could
361 undergo a similar shift from asthma control to asthma remission.(9) Indeed, recently, there
362 has been a shift in asthma management, with the concept of remission included in four
363 national guidelines.(10) To date, remission is not included as a therapeutic target by the Global
364 Initiative of Asthma (GINA), although good control of symptoms, normal activity levels, and
365 minimization of exacerbations, persistent airflow limitation and side-effects are listed as long-
366 term goals.(1)

367

368 Remission has been defined as ‘clinical’, ‘functional’, ‘immunological’ and ‘deep’ (all criteria)
369 remission.(11) Expert consensus also defined ‘clinical’ remission as the absence of asthma
370 symptoms, optimization/stabilization of lung function, patient/provider agreement regarding
371 disease remission and no systemic oral corticosteroid (OCS; minimum duration of 12 months).
372 Objective resolution of asthma-related inflammation and, if appropriate, negative bronchial
373 hyperresponsiveness was additionally required for complete remission.(6) Recently updated
374 national asthma guidelines from Germany, Spain and Italy all agree on no exacerbations, no
375 systemic corticosteroids, good asthma control or no asthma-related symptoms and stable
376 lung function as remission criteria.(10) In Italy, OCS use was considered the central tenant of
377 ‘partial’ and ‘complete’ clinical remission; the latter requiring the complete absence of asthma

378 symptoms, exacerbations and stable lung function for ≥ 12 months, and the former requiring
379 any 2 of these criteria over the same timeframe.(12) These definitions will be part of the 2023
380 GINA Italy update.(10)

381

382 There is, however, some variability in remission domains and cut-offs recommended by these
383 guidelines. For example, a lung function criterion was not incorporated into the 2023 update
384 of the Japanese Practical Guidelines for Asthma Management.(10) Moreover good asthma
385 control definitions ranged from ‘no asthma-related symptoms’ in the German and Spanish
386 guidelines, to an Asthma Control Test (ACT) score of ≥ 23 or ≥ 20 in the Japanese and Italian
387 guidelines, respectively.(10) Like our study, others have used an Asthma Control
388 Questionnaire (ACQ)-5 cut-off of < 1.5 as corresponding to GINA partly or well-controlled.(13)
389 Most recently, a US expert consensus panel increased the rigor of current definitions to also
390 include no missed work and limited inhaled corticosteroid (ICS) dose (low-medium) and short-
391 acting β_2 -agonist (SABA) use (≤ 1 /month).(14)

392

393 The achievement of clinical remission following biologic treatment has varied widely, ranging
394 from 12-43%,(11, 13, 15–22) most likely due to the wide range of criteria used to define it,
395 but also due to differences in study methodology and heterogeneity among study
396 populations. Identified predictors of remission have included younger age, shorter duration of
397 asthma, less comorbidity, preserved lung function at biologic initiation, and no (or low dose)
398 maintenance OCS. Patients with an elevated blood eosinophil count (BEC) and fractional
399 exhaled nitric oxide (FeNO) levels have also reached remission more frequently.(11, 13, 16, 17,
400 20) However, these studies have utilised retrospective or *post-hoc* analyses and/or have
401 included relatively small numbers of patients.

402 Further research is needed to explore and test consensus-derived remission definitions, to
403 align on criteria to include in a global definition, to ascertain the impact of each domain
404 included, and to identify factors which predict severe asthma remission following biologic
405 treatment in real-life. The International Severe Asthma Registry (ISAR), offers a unique
406 opportunity to do that.(23–26) Our study aimed to quantify the proportion of adult patients
407 with severe asthma achieving multi-domain-defined remission when treated with biologic
408 therapy in real-life (overall and by biologic class), and to identify pre-biologic characteristics
409 associated with remission in these patients. Some of the results of this study have been
410 previously reported in the form of abstracts.(27, 28)

411

412

413

414 **Methods**

415 Study design and data source

416 This was a longitudinal, pre-to-post biologic-initiation, cohort study including data from 23
417 countries which shared data with ISAR (**Table E1**)(23, 25, 29) from 05.01.17 up to 01.25.23
418 2023. Biologic class categorization was based on first biologic used during the study period,
419 regardless of subsequent changes (stop or switch) during follow-up (intention-to-treat
420 approach). Pre- and post-biologic-initiation outcomes were described across four domains, in
421 the 1-year pre-biologic and as close as possible to 1-year post-biologic-initiation (**Figure E1;**
422 **Table 1**).

423

424 Patients

425 Patients were required to be ≥ 18 years old at biologic initiation and have severe asthma (i.e.
426 receiving treatment at GINA 2018 Step 5 or with uncontrolled asthma at GINA Step 4).(30)
427 Uncontrolled asthma for registry inclusion was defined as having severe asthma symptoms or
428 frequent exacerbations (≥ 2 /year) requiring OCS. Patients were also required to be treated with
429 anti-IgE, anti-IL5/5R, or anti-IL4R α , have available registry data prior to, or on, biologic
430 initiation date for ≥ 1 study domain, and follow-up data (as close to 1-year as possible). The
431 presence of significant disease impairment at baseline was not required. Those with a history
432 of bronchial thermoplasty were excluded.

433

434 Variables

435 Key patient demographic (e.g. age, sex, body mass index [BMI], smoking history) and pre-
436 biologic asthma clinical characteristics (e.g. asthma onset and duration, biomarker levels,
437 treatment and comorbidity history) were collected (**Table 2A and 2B**).

438 Asthma outcome domains, timing of assessments and remission definitions

439 Definitions and timing of pre- and post-biologic outcomes are provided in **Table 1**. The asthma
440 outcome domains used to define remission included exacerbation rate, long-term OCS (LTOCS)
441 daily dose, asthma control (assessed using either GINA control criteria, ACT or ACQ; **Table E2**),
442 and percent predicted forced expiratory volume in one second (ppFEV₁). ACQ and/or ACT
443 control categories were fitted to GINA 2020 control categories as follows – mean ACQ: well
444 controlled (≤ 0.75), partly controlled (> 0.75 to < 1.5), uncontrolled (≥ 1.5); total ACT: well
445 controlled (> 19), partly controlled (> 15 to ≤ 19), uncontrolled (≤ 15). Similar cut-offs and
446 correlations (31, 32) have been described and used by others.(12, 13, 22) For forced expiratory
447 volume in one second (FEV₁) we used post-bronchodilator measures if available, and pre-
448 bronchodilator measures otherwise, while ensuring that pre- and post-biologic measures
449 were both either pre- or post-bronchodilator. Post-bronchodilator measurements were used
450 for 61.6% of patients with available pre-biologic ppFEV₁ (N=2,705). The remaining 38.4% of
451 patients were all treated with ICS/long-acting β_2 -agonist (LABA; i.e. bronchodilator not
452 specifically withheld).

453

454 Domain choice was informed *a priori* by a previous ISAR study which examined pre-to-post
455 biologic change in exacerbation rate, LTOCS use, asthma control and lung function in patients
456 categorized according to degree of pre-biologic impairment, and which assessed the
457 magnitude of improvement according to starting point and outcome assessed.(33) Our
458 domain choice and remission cut-offs were also informed by expert consensus (52 experts
459 from 25 countries)(33) and aligned with findings of the expert consensus framework for
460 asthma remission of Menzies-Gow et al, (i.e. 0 exacerbations, no LTOCS use, absence of

461 significant symptoms and optimized lung function).(6) Remission was characterized using 2
462 domains (i.e. exacerbation rate & LTOCS), 3 domains (i.e. exacerbation rate + LTOCS + asthma
463 control OR exacerbation rate + LTOCS + ppFEV₁) or all 4 asthma outcomes (**Table 1**). Remission
464 cut-offs for each of these domains were also defined *a priori* and categorized as 'strict' or
465 'relaxed' (**Figure 1**). In this article 'remission' refers to 'strict' remission in those who initiated
466 biologics.

467

468 Statistical analyses

469 The statistical analysis plan was pre-defined. R version 4.1.0 (R Foundation for Statistical
470 Computing, Vienna, Austria) was used.(34) The observed proportions of patients who met the
471 criteria for each remission definition were described overall and by biologic class. A *post-hoc*
472 analysis was conducted to assess the proportion of patient meeting remission criteria in those
473 with FEV₁/forced vital capacity (FVC) < and ≥ 0.7 No formal comparison between biologic
474 classes was intended for these descriptive analyses. The associations between pre-biologic
475 characteristics and remission were analysed using multivariable logistic regressions with
476 remission (yes/no) as the outcome variable, using all proposed remission definitions. Patients
477 with missing data for all asthma-related outcomes were excluded from the study, as well as
478 patients with missing age and/or sex. However, patients with missing data for some but not
479 all asthma-related outcomes were included in the analysis for the relevant outcomes. We did
480 not conduct imputation of missing values. Significance was tested through log-likelihood
481 ratios. Variables assessed for association with remission in the multivariable analyses included
482 pre-biologic characteristics that were statistically significant (p<.05) in a univariate analysis for
483 any domain assessed (data not shown) or those informed by literature review and expert
484 consensus. Analyses were adjusted for pre-biologic asthma-related outcome included in the

485 considered remission definition, age, and sex. Pre-biologic asthma-related outcomes,
486 biomarkers, asthma duration, and BMI were analyzed as continuous variables.'. The models
487 were fitted overall and for each biologic class (not anti-IL4R α due to small sample size). To test
488 for difference between anti-IgE and anti-IL5/5R patients, a single model was fitted in these
489 patients adding biologic class as an interaction term with the variables of interest.

490

491 **Results**

492 Patients

493 As of 25th Jan 2023, 14,284 patients were enrolled in ISAR. Of these, 6,816 initiated biologics
494 and 3,717 met all inclusion criteria and were included in ≥ 1 analysis (**Figure E2**). Most
495 exclusions occurred due to lack of pre- (n=715; 10.5%), or post-biologic data (n=1956; 28.7%)
496 (**Table E3**). A total of 1,390, 2,021 and 306 patients received anti-IgE, anti-IL5/R, and anti-
497 IL4R α , respectively. The median duration of treatment was 1 year. Biologic interruption or
498 switching was reported in 6.6% and 3.2% of patients, respectively (**Table E4**). The USA
499 (n=1,131; 30.4%), UK (n=487; 13.1%), and Italy (n=438; 11.8%) contributed most patients
500 (**Table E1**). The number of patients included in each analysis varied according to data
501 availability for multiple domains (**Figure E2**).

502

503 Patient demographic and clinical characteristics pre-biologic

504 Patients were predominantly White (80.6%; n=2,616/3,246), with a tendency for more
505 females (62.0%; n=2,305/3,715) and never-smokers (67.9%; n=1,827/2,692), with a median
506 age of 30 (Q1, Q3: 14, 44) years at asthma onset and an asthma duration of 19 (Q1, Q3: 9, 34)
507 years (**Table 2A**). Median age and BMI at study entry were 54 years (Q1, Q3: 43, 63) and 28.1
508 kg/m² (Q1, Q3: 24.4, 32.9), respectively. Biomarkers indicative of T2-high disease were all
509 elevated, and 84.9% (n=2,709/2,901) had an eosinophilic phenotype. Most patients (79.7%;
510 n=1,378/1,730) had a positive allergy test (i.e. to dust mite, grass mix, cat hair, mould mix, dog
511 hair, aspergillus, weed mix, trees, food mix, animal mix, and/or others), with 96.9% of patients
512 (n=1040/1073) with available data for at least one category (excluding UK which does not
513 provide type of allergen data to ISAR) testing positive to an aeroallergen. The prevalence of
514 T2-related comorbidities was 52.4% (n=1,274/2,430), 51.4% (n=1,471/2,860) and 28.1%

515 (n=842/2,997) for allergic rhinitis (AR), chronic rhinosinusitis (CRS), and nasal polyposis (NP),
516 respectively (**Table 2A**). In 2,278 patients with information on both AR and CRS, 700 (30.7%)
517 reported both comorbidities. The prevalence of other comorbidities is provided in **Table E1**.
518 Pre-biologic, 45.5% of patients (n=1,070/2,351) experienced ≥ 1 exacerbation requiring
519 hospitalization or ≥ 3 exacerbations in total, 40.1% (n=1,242/3,094) were treated with LTOCS,
520 72.5% (n=1,310/1,808) had uncontrolled asthma, and 58.4% (n=1,579/2,705) had a ppFEV₁
521 $< 80\%$ (**Table 2B**). Patients, who subsequently initiated anti-IL5/5R, tended to have more severe
522 disease in terms of greater exacerbation burden and LTOCS use, and those who subsequently
523 initiated anti-IL4R α had less severe disease for all considered domains (**Table 2B**). Those, who
524 subsequently achieved remission (any definition) post-biologic-initiation, also had less severe
525 disease at baseline than those who did not subsequently meet remission criteria, and also
526 tended to have a lower BMI, be older at asthma onset, have shorter disease duration, and
527 have a higher BEC, a positive allergen test, and CRS pre-biologic (**Table E5**).

528

529 Proportion of patients in remission

530 The percentage of patients in remission was dependent upon number of asthma outcome
531 domains included in the definition, highest (50.2%; n=1,076/2,142) for 2-domain remission
532 and lowest (20.3%; n=215/1,059) for 4-domain remission (**Figure 2; Table E6**). The addition of
533 lung function to the 2-domain remission definition decreased the remission rate (25.8%,
534 n=435/1,688) to a greater degree than the addition of control status (33.5%, n=414/1,235)
535 (**Figure 2; Table E6**). Remission was also achievable in those with evidence of irreversible
536 airflow limitation, albeit less likely; 11.3% (n=50/444) of those with pre-biologic FEV₁/FVC < 0.7
537 achieved 4-domain remission and 25.4% (n=88/347) of those with FEV₁/FVC ≥ 0.7 (**Table E7**).
538 A small proportion of patients met remission criteria pre-biologic-initiation, highest for 2-

539 domain remission (8.4%; n=106/1258) and lowest for 4-domain remission (1.0%; n= 6/585)
540 **(Figure 2; Table E8)**. Remission prevalence for patients treated with anti-IgE, anti-IL5/5R and
541 anti-IL4R α ranged from 19.3-55.1%, 20.6-43.4% and 22.6-71.0%, respectively **(Figure 3)**.

542
543 The prevalence of post-biologic-initiation remission defined using the relaxed cut-offs was
544 higher, ranging from 29.1% to 75.2% **(Figure E3)**. By biologic class remission rates, using
545 relaxed cut-offs, ranged from 25.7-78.0% for anti-IgE, 30.8-70.6% for anti-IL5/5R and 29.0-
546 90.0% for anti-IL4R α **(Figure E4)**. See **Tables E6 and E8** for a detailed breakdown of remission
547 prevalence pre- and post-biologic therapy.

548
549 Association between pre-biologic characteristics and remission (multivariable analyses)

550 *Disease severity*

551 In general, the odds of remission were increased in those with less severe disease evidenced
552 by: fewer exacerbations/year, lower LTOCS daily dose, better asthma control, and better lung
553 function in the 1-year pre-biologic-initiation period **(Figure 4A and B; Table E9)**. For 4-domain
554 remission, the odds of remission decreased by 12% (95% CI 0.80, 0.97) for each additional
555 exacerbation/year experienced pre-biologic, and by 41% (95% CI: 0.45, 0.77) for each
556 additional 5 mg/day increment of LTOCS received pre-biologic-initiation. The odds of
557 achieving 4-domain remission increased by 1.34 (95% CI: 0.91, 1.97) and by 1.29 (95% CI 1.20,
558 1.38) for each GINA control category improvement, and each 5% ppFEV₁ increment
559 improvement pre-biologic-initiation, respectively **(Figure 4B)**. A similar association pattern
560 was noted for 2-domain **(Figure E5A)** and 3-domain (+ lung function) remission **(Figure E5B)**
561 and for both anti-IgE and anti-IL5/5R, but generally with greater odds of remission for the

562 latter (**Figure E6-9**). Similar findings were also noted when results were adjusted by country,
563 although the exacerbation OR was attenuated (**Table E10**).

564 *Biomarkers*

565 Higher BEC levels (but not blood IgE or FeNO) were associated with greater odds of
566 remission (**Figure 4; Figure E5A & B**), particularly noted for anti-IL5/5R (**Figure E6-E9**), and
567 slightly attenuated when adjusted by country although the trend remained (**Table E10**).

568 *Asthma duration*

569 Shorter asthma duration was also associated with greater odds of remission (all definitions
570 except 3-domain remission (+control); **Figure 4 and Figure E5**). Patients had a 15% lower odds
571 of achieving 4-domain remission (OR: 0.85; 95% CI: 0.73, 1.00) (**Figure 4B**). The same estimate
572 was achieved when adjusted by country (**Table E10**). Similar findings were observed when
573 restricting the study population to patients aged ≥ 20 years at asthma onset (OR: 0.87; 95% CI:
574 0.67, 1.14) and was not solely driven by lung function, being still apparent (although
575 attenuated) when adjusted for pre-biologic-initiation ppFEV₁ (0.94, 95% CI: 0.79, 1.13) (**Table**
576 **E9**).

577

578 *Other pre-biologic variables*

579 Neither BMI nor smoking status were associated with remission (any definition). Prescription
580 for theophylline (but not leukotriene receptor antagonist or macrolide) was negatively
581 associated with the odds of remission, with similar findings noted on country adjustment
582 (**Table E10**). Although T2-related co-morbidity score was not associated with remission
583 (without or without country adjustment), those without a history of osteoporosis, and with a
584 history of sleep apnea or anxiety/depression tended to have a greater odds of achieving
585 remission, although the confidence intervals were wide (**Figure 4; Figure E5**).

586 **Discussion**

587 To our knowledge, this is the largest study reporting prevalence of remission pre- and post-
588 biologic-initiation and correlates of remission post-biologic for patients with severe asthma in
589 real-life. Multiple domain severe asthma remission was achievable in real-life, along a
590 gradation according to number and type of domains included in its definition, in a broad,
591 heterogeneous severe asthma population; many of whom would be excluded from
592 randomized controlled trials (RCTs). One in 5 patients with severe asthma met the criteria for
593 clinical remission in all 4 domains within 1-year of biologic initiation, increasing to 1 in 2
594 patients when remission included exacerbation + LTOCS outcome domains only (indicative of
595 bronchial inflammation and most effectively targeted by biologic therapy). These findings lend
596 further weight to GINA recommendations to avoid LTOCS if possible in severe asthma (i.e. due
597 to potential for adverse events, many of which do not reverse upon discontinuation, plus now
598 with a negative association with remission). Importantly, patients with less severe disease and
599 shorter duration of asthma pre-biologic-initiation had a better chance of achieving remission
600 post-biologic.

601

602 To date, several studies have assessed the remission of severe asthma post-biologic
603 therapy.(11, 13, 15–18, 21, 22) Three-domain biologic associated remission rates (excluding
604 lung function), were remarkably similar across studies; 37.6% using data from the German
605 Asthma Net severe asthma cohort,(17) 37.0% in a *post-hoc* analysis using data from the real-
606 world Effectiveness and safety of mepolizumab study,(16) and 33.5% in the current study.
607 Although remission definitions used in these studies frequently included the same domains,
608 domain-specific criteria differed between them, making cross-comparisons difficult.(10, 13–

609 16) The prevalence of 4-domain biologic associated remission (including lung function)
610 ranged from 14.5 to 43.0%, (20.3% in the current study),(13, 15–18, 20–22) varying according
611 to lung function criterion applied, patient cohort, and biologic. Examples of previously used
612 lung function remission criteria include $FEV_1 > 80\%$ predicted (as in the present study),(22) an
613 objective assessment of normal lung function,(2) and an FEV_1 above the lower limit of normal
614 or no more than 100 mL less than baseline.(13) We consider inclusion of a high lung function
615 hurdle an important component of clinical remission as it is representative of lung function
616 optimization,(6) and may encourage earlier intervention with targeted treatment prior to
617 irreversible lung damage. We also acknowledge the difficulty in achieving it in patients who
618 frequently exhibit limited reversibility,(35–37), the lack of consensus in defining lung function
619 optimization/stabilization,(38) and the ongoing debate on whether a lung function domain,
620 used in sentinel remission papers (39) and national guidelines(10) should be included as a
621 remission criterion. Of note, a reduced FEV_1 can be due to other non-asthma factors and,
622 therefore, be unrelated to the presence of remission.

623
624 Although severe asthma remission is achievable in some patients when treated with a biologic
625 in real-life, other patients receiving the same treatment failed to achieve it.(13, 22) This is
626 likely due to a complex interplay of factors, including the heterogeneity of asthma itself, the
627 timing of biologic intervention and assessment of remission, the presence of non-reversible
628 airflow obstruction and the negative impact of comorbidities on asthma control.(40)
629 Understanding why certain patients with severe asthma treated with biologics fail to achieve
630 remission is arguably just as important as predicting those who do achieve it. This represents
631 an important unmet need which requires consideration of the pathway to remission and
632 national variability in biologic access,(26) but may also warrant the adoption of an alternative

633 concept of remission (e.g. personalized remission), and/or a different approach to achieve it
634 (e.g. more effective or alternative interventions).

635

636 Some important points emerged when remission rate was assessed by biologic class. Firstly,
637 remission was noted for all classes assessed. Secondly, the addition of the lung function
638 domain (to exacerbations plus LTOCS) had a consistently greater negative impact on remission
639 rate than the addition of asthma control. And thirdly, although the 2- and 3-domain remission
640 [+ control or + lung function] rates appeared higher for IL4R α , caution in interpretation should
641 be employed due to small patient numbers, less severe impairment pre-biologic-initiation, and
642 the greater prevalence of patients in remission pre-treatment in this group. Notably, when the
643 more stringent 4-domain remission definition was applied, remission rates were similar across
644 all biologic classes (approx. 20%) irrespective of inherent inter-group differences. We also
645 noted a small proportion of patients in remission pre-biologic-initiation (up to 1.5% for 4-
646 domain remission) which may be indicative of differences in biologics we use worldwide,(26)
647 an artifact of under-reporting during the COVID pandemic, better management in severe
648 asthma centres, including optimization of inhaled treatments and comorbidity management,
649 and improved adherence pre-biologic.(20) Also, it is possible that some patients were
650 incorrectly categorized as 'in remission' pre-biologic-initiation.

651

652 Pre-biologic correlates of remission were consistent across remission definitions. However, in
653 contrast to what has been formerly observed with biologic response, where greater response
654 is associated with greater pre-biologic-initiation disease severity,(41–43) for remission those
655 with less impairment pre-biologic had greater odds of achieving remission. Patients had a 29%
656 increased odds of achieving 4-domain remission for every 5% greater ppFEV₁, and were 41%

657 less likely, respectively, to achieve remission for every additional 5 mg/day of LTOCS prescribed
658 pre-biologic-initiation. Others reported similar findings, but these studies have been small by
659 comparison, national in scope, have investigated remission achievable with a single biologic,
660 and/or assessed remission predictors by univariate analysis.(11, 13, 16) A *post-hoc* analysis of
661 the REDES study, for example, found that compared to those who did not achieve clinical
662 remission, those who achieved 4-domain remission were more likely to have better pre-
663 biologic asthma control (ACT score: 15.9 vs 13.7), lower median OCS dose (10.0 vs 6.3 mg/day)
664 and better lung function (ppFEV₁: 71.2% vs 86.9%).(16) Similarly, a study in Japanese patients
665 with severe asthma found that those with a ppFEV₁ ≥75% were 3.38 times more likely to
666 achieve 3-domain clinical remission.(11) A UK study found that the odds of remission were
667 7.44-fold higher in patients with high T2-biomarkers and lower for those who were female,
668 obese or had poorly controlled severe asthma pre-biologic initiation.(13)

669

670 The shorter duration of asthma as a remission predictor in the current study is particularly
671 relevant and could indicate that the path to remission should start as early as possible. Our
672 finding has been corroborated by data from both the UK and from Denmark, the former
673 showing that the likelihood of remission reduced by 14% for every 10-year increase in disease
674 duration.(13, 22) Others reported that patients with an asthma diagnosis made after the age
675 of 12 years were 1.9 times more likely to achieve 3-domain clinical remission,(17) and that
676 greater improvements in lung function when treated with t₃₄ ezepeelumab compared to
677 placebo were observed in patients with a disease duration <20 years.(44) This phenomenon is
678 likely a consequence of accelerated lung function decline in those patients who frequently
679 exacerbate (most marked in those <40 years),(45) or due to limited efficacy of ICS in preventing
680 long-term lung function decline in some patients (or due to poor adherence or under

681 prescription). Indeed, the odds ratios for asthma duration were attenuated when adjusted for
682 pre-biologic ppFEV₁. In contrast to response, elevated FeNO levels were not consistently
683 associated with increased odds of remission in our study, possibly as this biomarker may be
684 better at predicting those who do badly without treatment, rather than in predicting those
685 who will do better while treated, or due to the fact that anti-IL4R α is under-represented in
686 our study. An association with persistently high FeNO levels may have been observed but
687 requires further study. The finding of a positive association of elevated BEC and higher odds
688 of remission (particularly for anti-IL5/5R) is notable and an important treatable trait, although
689 a selection bias for those with elevated BEC in the anti-IL5/5R group cannot be discounted.

690

691 Limitations of the current study include missing data, the relatively small number of anti-IL4R α
692 treated patients, lack of patient matching between biologic classes, and the risk of multiplicity.
693 Assessing generalizability is difficult, so although our study included a large cohort of severe
694 asthma patients from 23 countries, caution should be employed when extrapolating results to
695 the wider asthma population. Use of three tools to assess asthma control (i.e. GINA, ACT and
696 ACQ) could be considered a limitation. However, these are all validated with good inter-test
697 correlation,(31, 32) and reflect inter-country variability in how asthma control is assessed in
698 real-life, including variability in control tools required for biologic eligibility and
699 reimbursement, although this has been mitigated to some extent by adjusting for country.
700 Additionally, while remission can also be defined as a prolonged period with low to no disease
701 activity this goes beyond the scope of our study which assessed disease activity at ~1-year
702 post-biologic-initiation. Inclusion of a patient-reported outcome measure in a remission
703 definition may also strengthen our concept of what remission means to patients.

704

705 Strengths included use of routinely collected clinical and functional domains to define
706 remission, facilitating replication and validation globally. We included a large, real-life and
707 heterogenous severe asthma population treated with biologic therapy, with sufficient data to
708 categorize remission using multiple domain definitions and using both strict and relaxed cut-
709 offs, for biologics overall and by class. The very low prevalence of remission pre-biologic-
710 initiation coupled with the observed negative association of pre-biologic impairment with
711 odds of remission, indicates that the results were unlikely affected by inclusion of patients
712 already in remission at baseline. Our study also investigated the likelihood of achieving
713 remission using a large number of pre-biologic variables used in routine management and
714 included many patients not eligible for inclusion in RCTs. New directions and opportunities for
715 future research include the assessment of remission duration (on treatment), since the
716 occurrence of temporary remission cannot be discounted.(46, 47) Remission prevalence at
717 later timepoints and according to the American Thoracic Society definition,(14), the
718 persistence of remission upon treatment discontinuation, and the impact of earlier biologic
719 initiation on disease trajectory should also be investigated. Future studies could also
720 investigate the concepts of complete and long-term remission, including objective resolution
721 of asthma-related inflammation and lung function stabilization (rather than optimization) as
722 remission criteria, in line with the remission consensus framework(6) and recent national
723 asthma management guidelines.(10)

724

725 Our findings have tested the sensitivity of asthma remission definitions in the largest severe
726 asthma cohort in the world, shown how the proportion of patients categorized as in remission
727 is affected by some domains more than others and, by identifying a wide range of pre-biologic
728 factors associated with remission, brought us one step closer to accurate remission prediction

729 in real-life. Although, remission is the ultimate goal of asthma management, it occurs in a
730 relatively small proportion of patients treated with current biologics. This may suggest the
731 need to consider switching biologic therapies if remission is not achieved, use of biologic
732 combinations, and use of biologics earlier to give patients the best chance of achieving
733 remission, but further research is needed. If remission is the target, guidelines should reflect
734 that, and treatment approaches/strategies in selected patients most likely to achieve it may
735 be recommended (pending confirmation).

736

737

738

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749

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902 **Figure legends**

903 **Figure 1:** Definitions of remission post-biologic therapy using strict and relaxed domain cut-
904 offs.

905 **Figure 2:** Percentage of patients in remission (strict criteria) pre- and post-biologic treatment.

906 **Figure 3:** Percentage of patients in remission (strict criteria) pre- and post-treatment with anti-
907 IgE, anti-IL5/5R, or anti-IL4R α .

908 **Figure 4:** Association between selected pre-biologic characteristics and (A) 3-domain and (B)
909 4 domain asthma remission in patients with severe asthma.

910

911 **Footnotes**

912 **Figure 1:** Abbreviations: LTOCS: long-term oral corticosteroid; ppFEV₁: percent predicted
913 forced expiratory volume in one second. * prednisolone equivalent;† Control was assessed by
914 GINA control criteria; Asthma Control Questionnaire or Asthma Control Test; ‡Post-
915 bronchodilator used if available, and pre-bronchodilator used otherwise, while ensuring that
916 pre- and post-biologic measures were both either pre- or post-bronchodilator. Post-
917 bronchodilator measurements were used for 61.6% of patients with available pre-biologic
918 ppFEV₁ (N=2,705). The remaining 38.4% of patients were all treated with ICS/LABA (i.e.
919 bronchodilator not specifically withheld).

920 **Figure 2:** Abbreviations: ppFEV₁: percent predicted forced expiratory volume in one second;
921 LTOCS: long-term oral corticosteroid.

922 **Figure 3:** Abbreviations: ppFEV₁: percent predicted forced expiratory volume in one second;
923 LTOCS: long-term oral corticosteroid.

924 **Figure 4:** Abbreviations: BEC: blood eosinophil count; BMI: body mass index; CI: confidence
925 interval; FeNO: fractional exhaled nitric oxide; GINA: Global Initiative for Asthma; LTOCS:
926 long-term oral corticosteroids; LTRA: leukotriene receptor antagonist; OR: odds ratio;
927 ppFEV₁: percent predicted forced expiratory volume in one second.

928 3-domain remission: 0 exacerbations/year + no LTOCS + well or partly-controlled asthma
929 4-domain remission: 0 exacerbations/year + no LTOCS + well or partly-controlled asthma +
930 ppFEV₁ ≥80%

931 Grey zones highlight association patterns.

932 * Pre-biologic lung function adjustment removed

933 Asthma duration: age at biologic initiation minus reported age at asthma onset

934 All ORs were adjusted for pre-biologic asthma-related outcome including in the considered
935 remission definition, as well as for age and sex.

936

Table 1: Asthma outcome domain definitions and timing of pre- and post-biologic assessment

Outcome	Definition	Pre-biologic	Post- biologic
Annualized Exacerbation rate	<ul style="list-style-type: none"> asthma-related hospital attendance/admission; AND/OR asthma-related ER attendance; AND/OR acute OCS course ≥ 3 days 	1 year pre-biologic (or 48 weeks minimum)	Annualized post-biologic (number of events assessed for a minimum of 48 weeks and a maximum of 80 weeks post-biologic)
Asthma control*	<ul style="list-style-type: none"> GINA control test,(1) OR ACT Test(48) OR ACQ(49) 	At biologic initiation (or assessment closest to biologic initiation up to a maximum of 1 year pre-biologic)	Closest to 1-year post biologic (24 weeks minimum and 80 weeks maximum)
Daily LTOCS dose†	<ul style="list-style-type: none"> Continuous OCS use ≥ 3 months duration Daily LTOCS (prednisolone equivalent) dose (mg) 	At biologic initiation	Closest to 1-year post biologic (24 weeks minimum and 80 weeks maximum)

Lung function†	<ul style="list-style-type: none"> • ppFEV₁ 	At biologic initiation (or assessment closest to biologic initiation up to a maximum of 1 year pre-biologic)	Closest to 1-year post biologic (24 weeks minimum and 80 weeks maximum)
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Abbreviations: ACQ: Asthma Control Questionnaire; ACT: Asthma Control Test; GINA: Global Initiative for Asthma; LTOCS: long-term oral corticosteroid; OCS: oral corticosteroid; ppFEV₁: percent predicted forced expiratory volume in one second

* Some countries use ACQ and/or ACT to assess control. In these instances, ACQ and/or ACT control categories were fitted to GINA 2020 control categories as follows:

Mean ACQ: well controlled (≤ 0.75); partly controlled (> 0.75 to < 1.5); uncontrolled (≥ 1.5)

Total ACT: well controlled (> 19); partly controlled (> 15 to ≤ 19); uncontrolled (≤ 15). A

summary of control test utilised by each country is provided in the online supplement (**Table E2**).

† In cases when there were different periods with different doses pre-biologic, the most recent dose (i.e. closest to biologic initiation) was used. For post-biologic dose and if changed from pre-biologic, the new dose closest to 1-year post-biologic initiation (minimum 24 weeks, maximum 80 weeks) was used and the date of change used to calculate the follow-up time.

‡ Post-bronchodilator used if available, and pre-bronchodilator used otherwise, while ensuring that pre- and post-biologic measures were both either pre- or post-bronchodilator.

Post-bronchodilator measurements were used for 61.6% of patients with available pre-biologic ppFEV₁ (N=2,705). The remaining 38.4% of patients were all treated with ICS/LABA (i.e. bronchodilator not specifically withheld).

Table 2A: Patient demographic and clinical characteristics pre-biologic overall and by biologic class

	Total (N=3717)	Anti-IgE (N=1390)	Anti-IL5/5R (N=2021)	Anti-IL4Rα (N=306)
Age at biologic initiation, yrs				
Median (Q1, Q3)	54 (43, 63)	50 (40, 59)	56 (46, 65)	52 (41, 62)
Sex, N				
Female, n (%)	2305 (62.0)	902 (64.9)	1214 (60.1)	189 (61.8)
Ethnicity, N				
Caucasian, n (%)	2616 (70.4)	982 (70.6)	1438 (71.2)	196 (64.1)
South East Asian, n (%)	118 (3.2)	59 (4.2)	52 (2.6)	7 (2.3)
N East Asian, n (%)	108 (2.9)	25 (1.8)	70 (3.5)	13 (4.2)
African, n (%)	95 (2.6)	36 (2.6)	49 (2.4)	10 (3.3)
Mixed, n (%)	68 (1.8)	55 (4.0)	7 (0.3)	6 (2.0)
Other, n (%)	241 (6.4)	89 (6.4)	130 (6.4)	22 (7.2)
Unknown/missing, n (%)	471 (12.7)	144 (10.4)	275 (13.6)	52 (17.0)
BMI, kg/m², N				
Median	28.1	28.8	27.5	28.9
(Q1, Q3)	(24.4, 32.9)	(25.1, 33.7)	(24.0, 32.0)	(24.8, 33.8)
Smoking status at Bx initiation, N				
Current smoker, n (%)	74 (2.7)	38 (3.9)	29 (2.0)	7 (3.0)
Ex-smoker, n (%)	791 (29.4)	232 (23.7)	479 (32.4)	80 (34.0)
Never smoker, n (%)	1827 (67.9)	708 (72.4)	971 (65.7)	148 (63.0)

	Total	Anti-IgE	Anti-IL5/5R	Anti-IL4Rα
	(N=3717)	(N=1390)	(N=2021)	(N=306)
Age-of-asthma onset, yrs, N	2289	823	1366	100
Median (Q1, Q3)	30 (14, 44)	24 (10, 39)	33 (18, 47)	26 (10, 43)
Asthma duration,* yrs, N	2289	823	1366	100
Median (Q1, Q3)	19 (9, 34)	20 (11, 34)	18 (9, 34)	22 (7, 34)
FEV₁/FVC <0.7, N	2646	1390	1433	238
n (%)	1398 (52.8)	479 (49.1)	811 (56.6)	108 (45.4)
Pre-Bx highest BEC, 10⁹ cells/L, N	2420	843	1388	189
Median (Q1, Q3)	455 (230, 600)	300 (200, 600)	550 (300, 900)	400 (200, 600)
Pre-Bx latest FeNO, ppb, N	1603	441	1017	145
Median (Q1, Q3)	34 (18, 66)	26 (14, 51)	39 (21, 73)	28 (16, 57)
Pre-Bx latest blood IgE count, IU/mL, N	2294	927	1203	164
Median (Q1, Q3)	188 (75, 489)	253 (114, 576)	145 (53, 385)	134 (33, 500)
Positive test to any allergen†, N	1730	739	892	99
Yes, n (%)	1378 (79.7)	701 (94.9)	609 (68.3)	68 (68.7)
Medication use in the year preceding Bx initiation, N				
LAMA, n (%)	104 (3.3)	46 (3.8)	50 (3.1)	8 (2.7)
Theophylline, n (%)	274 (8.8)	114 (9.3)	154 (9.6)	6 (2.0)
LTRA, n (%)	1378 (44.2)	566 (46.3)	659 (41.2)	153 (51.2)
Macrolide, n (%)	368 (11.8)	145 (11.9)	170 (10.6)	53 (17.7)
History of AR, N	2430	987	1186	257

	Total	Anti-IgE	Anti-IL5/5R	Anti-IL4Rα
	(N=3717)	(N=1390)	(N=2021)	(N=306)
Yes, n (%)	1274 (52.4%)	600 (60.8%)	570 (48.1%)	104 (40.5%)
History of CRS, N	2860	1063	1543	254
Yes, n (%)	1471 (51.4)	458 (43.1)	880 (57.0)	133 (52.4)
History of NP, N	2997	1100	1639	258
Yes, n (%)	842 (28.1)	196 (17.8)	566 (34.5)	80 (31.0)
History of osteoporosis, N	3154	1259	1604	291
Yes, n (%)	485 (15.4)	195 (15.5)	258 (16.1)	32 (11.0)
History of anxiety/depression, N	3172	1226	1669	277
Yes, n (%)	481 (15.2)	182 (14.8)	245 (14.7)	54 (19.5)
Eosinophilic gradient\ddagger(50), N	2901	714	2021	166
Grade 0, n (%)	5 (0.2)	5 (0.7)	0 (0.0)	0 (0.0)
Grade 1, n (%)	62 (2.1)	53 (7.4)	0 (0.0)	9 (5.4)
Grade 2, n (%)	125 (4.3)	109 (15.3)	0 (0.0)	16 (9.6)
Grade 3, n (%)	2709 (84.9)	547 (76.6)	2021 (100.0)	141 (84.9)

Abbreviations: AR: allergic rhinitis; Bx: biologic; BEC: blood eosinophil concentration; CRS: chronic rhinosinusitis; FeNO: fractional exhaled nitric oxide; LAMA: long-acting muscarinic antagonist; LTRA: leukotriene receptor antagonist; NP: nasal polyps; Q: quartile

*age at biologic initiation minus reported age at asthma onset

\ddagger Except for the UK patients for whom no detail is available to ISAR (n=471, 64.8% with a positive allergy test), ISAR collects data on test results for allergens in 11 categories: dust mite, grass mix, cat hair, mould mix, dog hair, aspergillus, weed mix, trees, food mix, animal

mix, and others. Patients with a reported positive test in at least one category were reported as positive; patients with at least one negative record and no positive records were reported as negative. A total of 1,230 patients had data available for at least two categories, of whom 256 (20.8%) were negative on all recorded tests, 250 (20.3%) were positive for one category only, and 724 (58.9%) were positive for at least 2 categories

‡Note that patients receiving anti-IL5/5R were all categorized as 'Most likely' by the algorithm. Grade 0 (unlikely/non-eosinophilic); Grade 1 (least likely); Grade 2 (likely); Grade 3 (most likely)

Table 2B: pre-biologic asthma-related outcomes used in remission definitions

	Total	Anti-IgE	Anti-IL5/5R	Anti-IL4Rα
	(N=3717)	(N=1390)	(N=2021)	(N=306)
Pre-Bx exacerbations*, N	2351	777	1382	192
0, n (%)	610 (25.9)	221 (28.4)	286 (20.7)	103 (53.6)
1 (not hospitalized), n (%)	364 (15.5)	126 (16.2)	191 (13.8)	47 (24.5)
2 (not hospitalized), n (%)	307 (13.1)	100 (12.9)	186 (13.5)	21 (10.9)
≥ 1 (hospitalized) or ≥ 3 in total, n (%)	1070 (45.5)	330 (42.5)	719 (52.0)	21 (10.9)
Pre-Bx LTOCS* dose, N	3094	1076	1824	194
0 mg/day (non-user), n (%)	1852 (59.9)	729 (67.8)	974 (53.4)	149 (76.8)
≤ 5 mg/day, n (%)	332 (10.7)	98 (9.1)	218 (12.0)	16 (8.2)
>5 to 10mg/day, n (%)	365 (11.8)	100 (9.3)	252 (13.8)	13 (6.7)
>10mg/day, n (%)	362 (11.7)	105 (9.8)	242 (13.3)	15 (7.7)
User but missing dose, n (%)	183 (5.9)	44 (4.1)	138 (7.6)	1 (0.5)
Pre-Bx asthma control †‡, N	1808	637	1095	76
Well controlled, n (%)	189 (10.5)	73 (11.5)	104 (9.5)	12 (15.8)
Partly controlled, n (%)	309 (17.1)	88 (13.8)	202 (18.4)	19 (25.0)
Uncontrolled, n (%)	1310 (72.5)	476 (74.7)	789 (72.1)	45 (59.2)

Pre-Bx ppFEV ₁ †#, N	2705	995	1472	238
≥80%, n (%)	1126 (41.6)	412 (41.4)	599 (40.7)	115 (48.3)
<80%, n (%)	1579 (58.4)	583 (58.6)	873 (59.3)	123 (51.7)

* In the year preceding biologic initiation;

† in the year preceding and closest to biologic initiation.

‡ Assessed using either GINA control criteria,(30) Asthma Control Test(48) or Asthma Control Questionnaire(49). ACQ and/or ACT control categories were fitted to GINA 2020 control categories as follows: Mean ACQ: well controlled (≤ 0.75); partly controlled (> 0.75 to < 1.5); uncontrolled (≥ 1.5)
Total ACT: well controlled (> 19); partly controlled (> 15 to ≤ 19); uncontrolled (≤ 15).

Post-bronchodilator used if available, and pre-bronchodilator used otherwise, while ensuring that pre- and post-biologic measures were both either pre- or post-bronchodilator. Post-bronchodilator measurements were used for 61.6% of patients with available pre-biologic ppFEV₁ (N=2,705). The remaining 38.4% of patients were all treated with ICS/LABA (i.e. bronchodilator not specifically withheld).

Abbreviations: Bx: biologic; ppFEV₁: percent predicted forced expiratory volume in one second; LTOCS: long-term oral corticosteroid

