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Descals, Adrià; Verger, Alexandre; Yin, Gaofei; [et al.]. «Local interpretation of machine learning models in remote sensing with SHAP : the case of global climate constraints on photosynthesis phenology». International Journal of Remote Sensing, Vol. 44, issue 10 (2023), p. 3160-3173. DOI 10.1080/01431161.2023.2217982

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1 TITLE: Local interpretation of machine learning models in remote sensing with
2 SHAP: the case of global climate constraints on photosynthesis phenology

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9

10 **Abstract**

11 Data-driven models using machine learning have been widely used in remote sensing
12 applications such as the retrieval of biophysical variables and land cover classification. However,
13 these models behave as a ‘black box’, meaning that the relationships between the input and
14 predicted variables are hard to interpret. Recent regression models that downscale sun-induced
15 fluorescence (SIF) with MODIS and weather variables are an example. The impact of weather
16 variables on the predicted SIF in these models is unknown. The explanation of such weather-SIF
17 relationships would aid in the understanding of climate-related constraints on photosynthesis
18 phenology since SIF is a proxy of gross primary productivity. Here, we used SHapley Additive
19 exPlanations (SHAP) –a novel technique based on game theory– for explaining the contribution
20 of input variables to the individual predictions in a machine learning model. We explored the
21 capabilities of this technique with a weather-SIF model. The regression model predicted ESA-
22 TROPOSIF measurements from ERA5-Land air temperature, shortwave radiation, and vapor-
23 pressure-deficit (VPD) data. The SHAP values of the model were estimated at the start and end

24 of the growing season for the entire globe. These values depicted the global constraints of the
25 three climate variables on the photosynthetically active season and confirmed existing
26 knowledge on the limiting factors of terrestrial photosynthesis with unprecedented spatial detail.
27 Radiation was the limiting factor in tropical rainforest and VPD constrained the start and end of
28 the growing season in tropical dryland ecosystems. In extra-tropical regions, temperature was
29 the main limiting factor during the start of the growing season, but both temperature and
30 radiation constrained photosynthesis at the end of the growing season. This technique may help
31 future remote sensing studies that require the use of non-interpretable machine-learning
32 regression models and explain how input variables contribute to the model prediction in a
33 spatiotemporally explicit manner.

34 **Keywords:** SHapley Additive exPlanations, explainable machine learning, local interpretation,
35 sun-induced fluorescence, vegetation phenology, climate constraints, photosynthesis dynamics.

36 **1. INTRODUCTION**

37 The field of vegetation phenology has gained attention recently, with the number of publications
38 on phenology quintupling in the last two decades (Fu et al., 2020). The transition between the
39 dormant and growing season and the climate factors determining it have been explained globally
40 by models employing climate thresholds. Jolly et al. (2005) proposed the growing season index
41 (GSI), which is calculated with cut-off functions on three weather variables: temperature, vapor-
42 pressure-deficit (VPD), and day length. These cut-off functions represent thresholds that were
43 subjectively defined by expert knowledge and are constant for the entire globe. The cut-off

44 functions converts the climate factors into a GSI value; low values of temperature, VPD, and day
45 length lead to low values of GSI. The GSI shows seasonal changes throughout the year and aims
46 to replicate a spectral index (e.g., normalized difference vegetation index (NDVI) and enhanced
47 vegetation index (EVI)) or a biophysical variable (e.g., leaf area index (LAI)).

48 Other studies used regression models to fit climate reanalysis datasets to vegetation indices or
49 biophysical variables. Both standard machine learning regression –such as random forests (Li and
50 Xiao, 2019)–, and deep learning (Ahmad et al., 2020) have been used given their ability to fit non-
51 linear and non-parametric relationships between dependent and independent variables. This
52 methodology predicts vegetation indices or biophysical variables, and the climate thresholds are,
53 thus, defined empirically and more accurately than the cut-off functions (Jolly et al., 2005).
54 However, an important flaw in machine learning models is the lack of interpretability. Contrarily
55 to Jolly et al. (2005), the impact of the weather variables on the predicted outcome remains
56 challenging in machine learning models. Recent regression models using vegetation indices and
57 weather variables to downscale sun-induced fluorescence (weather-SIF) are an example. For
58 instance, the GOSIF product (Li and Xiao, 2019) uses a machine learning regression model to fit
59 SIF with weather variables and EVI. Another product is the SIFnet (Gensheimer et al., 2022), which
60 downscales SIF measurements from TROPOspheric Monitoring Instrument (TROPOMI) using
61 auxiliary data.

62 Feature importance in machine learning is a technique used to determine the importance of
63 input variables in predicting the target variable of a model. One common method is to use tree-
64 based models, such as Random Forest or Gradient Boosting, which provide a feature importance

65 score (i.e. Gini index) based on how much each feature contributes to reducing the impurity of
66 the tree nodes (Breiman, 2001). These techniques provide a score that reflects the overall (or
67 global) importance of each input variable in the model. For example, in a weather-SIF model, the
68 Gini index would rank the weather variables by their overall importance in the model. This overall
69 importance, however, would neglect how relevant each weather variable is in a specific
70 observation (i.e. the importance of the weather variables in a particular pixel and moment of the
71 time series). In this context, local feature importance provides a more comprehensive and
72 interpretable way of measuring feature importance. By calculating local feature importance, one
73 can gain a better understanding of which weather variables are most important for those single
74 predictions.

75 A state-of-the-art local interpretation method for model explainability is SHapley Additive
76 exPlanations (SHAP) (Lundberg and Lee, 2017). SHAP has been used for understanding the risk of
77 hypoxemia during anaesthesia (Lundberg et al., 2018), interpret the features that make an online
78 product review helpful (Meng et al., 2020), understanding the pollutant removal mechanisms in
79 wastewater treatment plants (Wang et al., 2022), or analysing large-scale biobank data for
80 potential gene–gene and gene–environment interactions (Johnsen et al., 2021). This technique
81 is, however, novel in remote sensing studies and it only started recently to be employed to
82 improve understanding of spatial and temporal relationships of geospatial data modeling (Li et
83 al., 2022; Zhan et al., 2022). In a weather-SIF model, the use of a technique such as SHAP for local
84 feature importance could potentially provide insights into the weather factors that are
85 constraining photosynthetic phenology. By explaining the contribution of input variables to the
86 individual predictions in a machine learning model, SHAP has the potential to elucidate how the

87 weather variables specifically influence the individual prediction of SIF in a spatiotemporally
88 explicit manner.

89 The aim of this study was to demonstrate the capability of SHAP to explain the correlation
90 between geospatial gridded data and model predictions in a machine learning model. We used
91 the case of weather-SIF models (Li and Xiao, 2019) to determine the global constraints of weather
92 variables on vegetation activity. To achieve the objective of the study, we sampled SIF
93 measurements and temperature, shortwave radiation, and VPD in specific sites at the global
94 scale. Then, we trained a machine learning model that predicted SIF from weather variables and
95 applied the model for the entire globe. We estimated two phenological metrics, the start of
96 season (SoS) and end of season (EoS), from the predicted SIF time series. The SHAP technique
97 was used to describe the effect of weather variables on SIF at the timing of the SoS and the EoS
98 and, thus, determine the climate constraints on vegetation phenology at the global scale. Finally,
99 we discussed and validated the model interpretation with SHAP compared with the current
100 understanding on photosynthesis dynamics.

101 **2. DATA**

102 **2.1. TROPOSIF global sun-induced fluorescence dataset**

103 We used the TROPOSIF L2B product (Guanter et al., 2021), which provides non-gridded SIF
104 measurements derived from observations in the 743–758 nm and 665–785 nm part of the
105 spectrum from the TROPOspheric Monitoring Instrument (TROPOMI) sensor. TROPOSIF provides
106 SIF measurements for the entire land area of the globe at a spatial resolution of 3.5 km × 5.5 km
107 at nadir. The observations were made by the TROPOMI sensor onboard Sentinel-5. The

108 methodology that generates SIF uses a retrieval method that fits the top-of-atmosphere
109 radiances with SIF training sets (Guanter et al., 2015). We used the data for all the product time
110 coverage, which spans from May 2018 to April 2021. We used the SIF_745_corr, which represents
111 SIF in the 743–758 nm window. SIF observations that presented a cloud cover greater than 50%
112 were rejected. The TROPOSIF L2B product already masks observations with cloud cover greater
113 than 80%, a view zenith angle greater than 60°, and a solar zenith angle greater than 70° since
114 the SIF retrievals at these conditions are affected by directional effects (including shadow
115 influence) and are not reliable (Joiner et al., 2020).

116 **2.2. ERA5-Land hourly data**

117 We used gridded climatic data from the European Centre for Medium-Range Weather Forecasts
118 (ECMWF) Re-Analysis data version 5 (ERA5-Land) hourly dataset (Muñoz-Sabater et al., 2021).
119 The ERA5-Land is a reanalysis dataset that covers a period from 1950 to present. The data were
120 produced by a combination of modelled data with observations collected across the globe and
121 improves upon the ERA-5 since it has higher spatial resolution (about 9 km) at the same temporal
122 resolution (1 hour). We used the near-surface air temperature (2m temperature) and the surface
123 solar radiation downwards –the solar shortwave radiation that reaches the surface of the Earth.
124 We also estimated VPD –atmospheric demand for evapotranspiration– using the ERA5 near-
125 surface air temperature and dew point temperature (2m dew point temperature) as described
126 by Barkhordarian et al. (2019).

127 We chose air temperature, radiation, and VPD for two reasons. First, air temperature, shortwave
128 radiation, and vapor-pressure deficit are important environmental factors that affect vegetation

129 photosynthesis. Air temperature influences the rate of photosynthesis by affecting enzyme
130 activity, the solubility of carbon dioxide in water, and the diffusion rate of gasses through plant
131 tissues. Shortwave radiation, particularly photosynthetically active radiation (PAR), provides the
132 energy required for photosynthesis. Vapor-pressure deficit affects the rate of transpiration, and
133 therefore, the availability of water for photosynthesis. Second, we wanted to replicate the
134 weather SIF-model used in a prior study (Li and Xiao, 2019), which also used air temperature,
135 radiation, and VPD as input variables, and explain spatially and temporally the importance of
136 these variables in the SIF predictions. These three variables were also used in the growing season
137 index (Jolly et al., 2005). The growing season index is the result of a parametric model that also
138 assessed the significance of air temperature, photoperiod as a proxy for radiation, and VPD as
139 factors that explain global leaf phenology.

140 **3. METHODS**

141 **3.1. Extraction of training pairs (TROPOSIF - ERA5) in BELMANIP2 sites**

142 We collected pairs of TROPOSIF measurements and ERA5 observations as training data. These
143 data were used to train a machine learning model that predicted SIF from temperature,
144 shortwave radiation, and VPD. We extracted the TROPOSIF and ERA5 data from the BEnchmark
145 Land Multisite ANalysis and Intercomparison of Products version 2 (BELMANIP2) sites for the
146 period going from May 2018 to April 2021. The BELMANIP2 consists of a collection of 445 sites
147 of homogeneous areas that include the most representative land covers of the world (Weiss et
148 al., 2014). BELMANIP2 sites have been used to validate global satellite datasets, such as
149 reflectance products (Franch et al., 2017), biophysical variables (Verger et al., 2014), or

150 phenology metrics (Kandasamy and Fernandes, 2015). We excluded bare soil, cropland, and
151 other non-natural or non-vegetated land covers, which resulted in 233 sites (see location map of
152 the BELMANIP2 points in Fig. S1) including the following land covers: evergreen needleleaf
153 forests (ENF), deciduous needleleaf forests (DNF), deciduous broadleaf forests (DBF), mixed
154 forests (MX), closed shrublands (CSH), open shrublands (OSH), woody savannah (WSA), savannah
155 (SAV), and grasslands (GRA). The land cover types were determined for each BELMANIP2 site
156 with the 'LC_Type1' layer of the MCD12Q1v6 product derived from MODIS. The ERA5 data were
157 extracted at hourly temporal resolution, and then aggregated daily. The TROPOSIF dataset
158 provides daily non-gridded SIF measurements. We, thus, extracted the daily SIF observations that
159 were located the closest to a BELMANIP2 site. SIF observations more than 5 km away from a
160 BELMANIP2 site were rejected. A total of 140,969 pairs of data were generated from the 233
161 sites for the May 2018 - April 2021 period.

162 **3.2. Weather – SIF model**

163 We used Gradient Boosting regression (Friedman, 2001) to fit ERA5 data (air temperature,
164 shortwave radiation, and VPD) to TROPOSIF observations. Gradient Boosting is an ensemble
165 model that uses decision trees as weak learners, where decision trees are trained sequentially by
166 correcting the errors of a previously trained decision tree. The performance of the decision trees
167 is improved using a loss function. A loss function is a function that measures the difference
168 between the predicted output and the actual output in a machine learning algorithm. The loss
169 function is used to optimize the model by updating its parameters in a way that minimizes the
170 loss. We used Gradient Boosting because it is a common machine learning model used by the

171 research community, it can easily capture non-linear and non-parametric relationships, and has
172 very fast training and deployment times (Bentéjac et al., 2021). We trained the Gradient Boosting
173 model with 75% of the data and kept the remaining 25% for validation. The accuracy metrics that
174 we reported are the mean error (ME: difference between predicted minus observed), root-mean-
175 squared error (RMSE), and the coefficient of determination (R^2).

176 We performed hyperparameter tuning to find the optimal parameters of the Gradient Boosting
177 regression model. Hyperparameters are parameters that are set before the training and control
178 the learning process of the machine learning model (Yang and Shami, 2020). The hyperparameter
179 tuning consisted of a random search for different combinations of hyperparameter values. The
180 range of hyperparameters is depicted in Table S1. For each combination of parameters, the RMSE
181 of the model was evaluated with a 4-fold partition (75% training and 25% validation). The
182 accuracy of the Gradient Boosting model was tested using 100 different hyperparameter
183 combinations, and the model with the lowest root-mean-squared error (RMSE) was selected.

184 **3.3. Local interpretation with SHAP**

185 The local interpretation of the Gradient Boosting was done with SHapley Additive exPlanations
186 (SHAP) (Lundberg and Lee, 2017). SHAP is a state-of-the-art technique for machine learning
187 explainability; it aims to explain the correlations between input and output variables in any
188 machine learning model, in both regression and classification algorithms. SHAP is based on the
189 Shapley values (Shapley, 1953) of game theory and is categorized as a local interpretation
190 technique –it explains the contribution of the input variables to individual model predictions.

191 SHAP values represent the marginal effect of the input variables on the prior expectation of the
192 model output. A negative SHAP value for a given input variable implies that the input variable
193 has a decreasing effect on the model output, and vice versa; a positive SHAP value means that
194 the input variable increases the model output. The greater the absolute value of SHAP, the
195 greater the impact of the input variable on the predicted value. The model prediction is the prior
196 expectation of the model plus the summatory of the SHAP values of all input variables. The
197 mathematical formulation for SHAP is described in (Lundberg and Lee, 2017). In this study, we
198 used the SHAP package in Python and used the approximation method for tree-based machine
199 learning models (Lundberg et al., 2020). In the weather-SIF model, SHAP can potentially evaluate
200 the importance of the weather observations on individual SIF predictions for any given date and
201 location.

202 **3.4. Land Surface Phenology metric estimation**

203 Phenological metrics were extracted from the predicted SIF time series. To achieve this, we first
204 predicted SIF at the global scale using the Gradient Boosting model. Then, we estimated two
205 phenological metrics, the start of season (SoS) and end of season (EoS), from the predicted SIF
206 time series. The SoS and EoS were extracted using the Maximum Separation (MS) method
207 (Descals et al., 2020b). MS is a threshold-based method that can effectively estimate
208 phenological metrics without the need of time series pre-processing prior to the phenology
209 extraction. These types of time series pre-processing include smoothing and interpolation
210 techniques that are applied to improve the robustness of the phenology estimates. However,
211 these pre-processing steps may produce a time series that differs from the original, resulting in

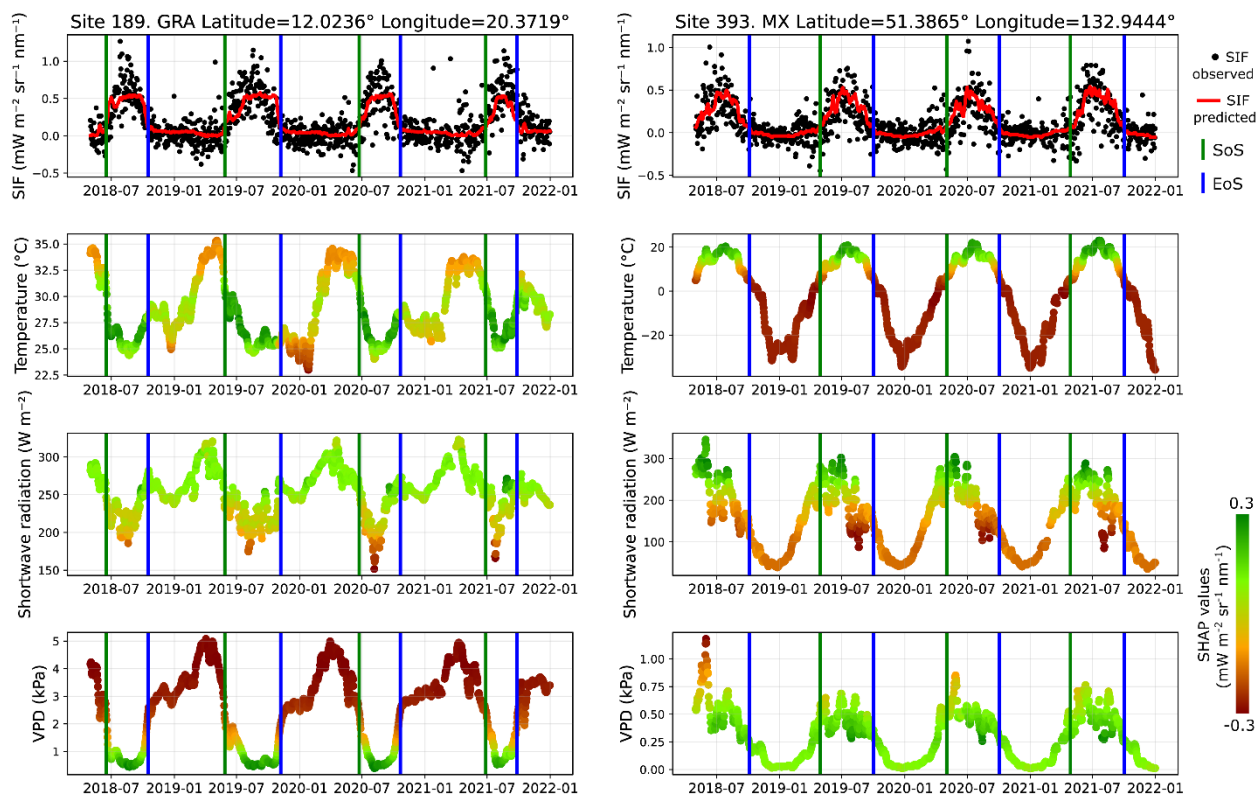
212 biases in the phenology estimates. The Maximum Separation method can be applied directly to
213 the original time series.

214 As any threshold-based method, the MS required a threshold value to calculate the SoS and EoS
215 from the SIF time series. For each pixel, we defined a dynamic threshold, which represented 20%
216 of the amplitude plus the minimum SIF value in the time series. The MS runs a moving window
217 that calculates the proportion of observations that are above the threshold before and after the
218 central day of the moving window. We determined a moving window size of 120 days (including
219 the days before and after the central day). The moving window is applied for every day of the
220 time series. SoS and EoS are defined as the days of the year when the difference in proportions
221 (before minus after) reaches the minimum and maximum during the year. The implementation
222 of the MS method is available in Python and in Google Earth Engine (Descals et al., 2020b).

223 **4. RESULTS**

224 The combination of hyperparameters that lead to the lowest RMSE in the validation dataset is
225 shown in Table S1. For these hyperparameters, RMSE was $0.21 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$, ME was -0.00
226 $\text{mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$, and R^2 was 0.38. The accuracy metrics differed slightly depending on the land
227 cover type (Fig. S2). The lowest accuracy was found in DBF (ME = $0.14 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$, RMSE =
228 $0.35 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$), while the accuracy of the other land covers was close to the overall
229 accuracy, with a minimal ME (ranging from $-0.04 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ in OSH to $0.06 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$
230 1 in MX) and similar RMSE (ranging from $0.15 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ in CSH to $0.23 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ in
231 MX). The model saturated the predicted values to $0.5 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ in observations with high

232 SIF. Some observations above that value were underestimated, particularly in DBF and MX, but
 233 also in GRA and SAV. Overall, the model fitted the data without substantial biases for SIF
 234 observations below $0.5 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$, which were the bulk of SIF observations. The cross-site
 235 validation did not differ substantially from the overall statistics except for the mean error. RMSE
 236 was $0.21 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$, ME was $-7.22 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$, and R^2 was 0.37. Cross-site validation
 237 consisted of a data partition in which the sites were partitioned in 4 folds. The accuracy metrics
 238 were evaluated using 3 folds (75% of sites) for training and 1 fold (25% of sites) for testing. Time
 239 series for two BELMANIP2 sites exemplify the predicted SIF compared to the TROPISIF
 240 measurements (Fig. 1), and show that the model replicates the seasonality of the observed SIF,
 241 as also shown in the comparison between phenology metrics estimated with observed and
 242 predicted SIF (Fig. S3 and Fig. S4).



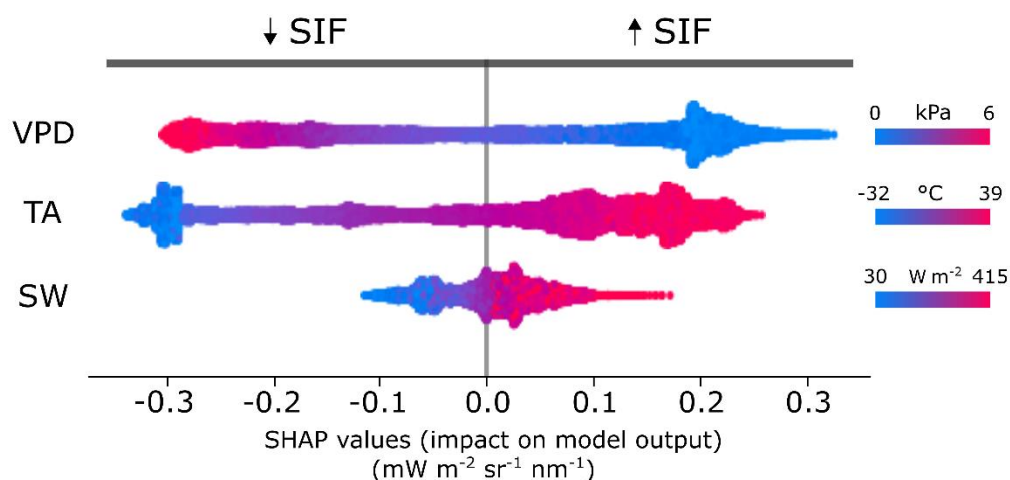
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244 Figure 1. Time series of observed and predicted sun-induced fluorescence (SIF), air temperature,
245 shortwave radiation, and vapor-pressure-deficit (VPD) in one grassland (GRA) and one mixed forest (MX)
246 sites of the BELMANIP2 network. Vertical green lines depict the start of the growing season and blue lines
247 represent the end of the growing season derived from predicted SIF time series. The observed SIF was
248 extracted from the TROPOSIF dataset, while the predicted SIF was estimated with three climate variables
249 using a machine learning regression model. Colours in the air temperature, shortwave radiation, and VPD
250 time series depict the SHAP values. SHAP values indicate the impact of the input variables on the model
251 mean SIF. Negative SHAP values mean that the input variable decreases the predicted SIF.

252 The time series of SHAP values represented the impact of the input climate variables on the
253 predicted SIF. For instance, both low temperature and low shortwave radiation during winter
254 were the most limiting factors in the BELMANIP2 site 393 (Fig. 1), located mid-latitude in a
255 temperate climate. In BELMANIP2 site 189 –a site in a dryland ecosystem– the SHAP values
256 indicate that seasonal changes in VPD determined the SIF seasonality, with the growing season
257 occurring when VPD values decrease to their annual minimum. These SHAP time series show the
258 seasonal climate constraints throughout the year, and the constraints can be extracted at the
259 start and end of the growing season. For example, in the site covering a dryland ecosystem (site
260 189), the SHAP values at the end of the growing season 2019 were $0.18 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ for air
261 temperature, $0.03 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ for shortwave radiation and $-0.26 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ for VPD.
262 The low SHAP value for VPD means that this variable had a negative contribution on the prior
263 expectation of the SIF model ($0.13 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$), indicating that VPD was constraining
264 vegetation activity at that moment of the year. The predicted SIF at the end of season was 0.08

265 $\text{mW m}^{-2} \text{sr}^{-1} \text{nm}^{-1}$, which is the result of adding the SHAP values ($0.18 + 0.03 - 0.26 \text{ mW m}^{-2} \text{sr}^{-1}$
 266 nm^{-1}) to the prior expectation of the model ($0.13 \text{ mW m}^{-2} \text{sr}^{-1} \text{nm}^{-1}$) (Fig. S5).

267 SHAP values for the BELMANIP2 sites showed that high temperature and shortwave radiation
 268 had an overall positive impact on modelled SIF, while higher VPD had a negative impact (Fig. 2).
 269 VPD was the variable with the highest overall importance followed by temperature and
 270 shortwave radiation. The most extreme VPD values had an effect of approximately -0.3 and 0.3
 271 $\text{mW m}^{-2} \text{sr}^{-1} \text{nm}^{-1}$, while the lowest and highest shortwave radiation had a lower effect,
 272 approximately -0.1 and 0.15 $\text{mW m}^{-2} \text{sr}^{-1} \text{nm}^{-1}$.

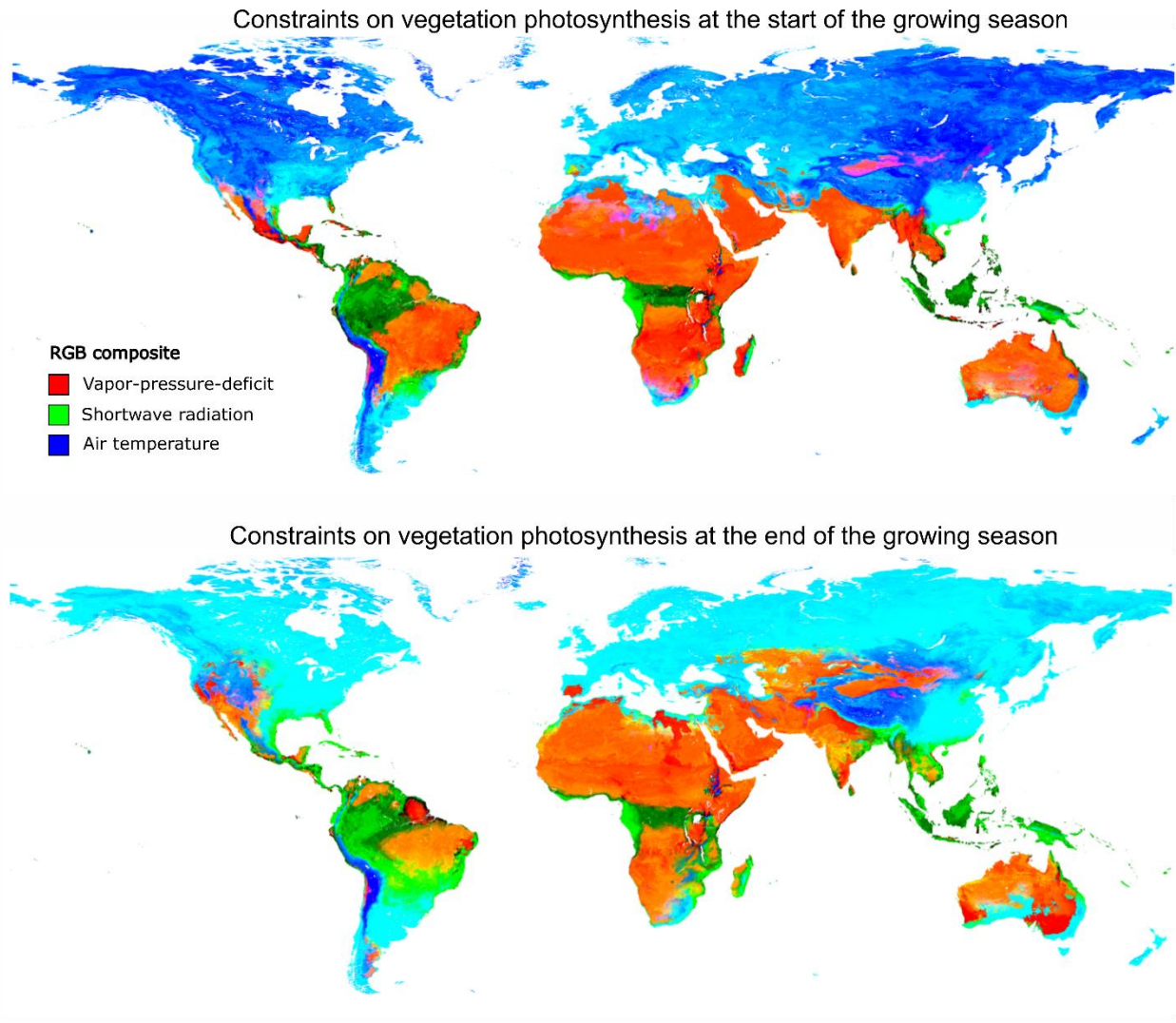


273

274 Figure 2. SHAP values of three input variables (air temperature (TA), shortwave radiation (SW), and vapor-
 275 pressure-deficit (VPD)) in a machine learning model that predicts sun-induced fluorescence (SIF). The
 276 SHAP values were estimated for 35,242 site-year observations of the BELMANIP2 network (25% of the
 277 total observations were kept for model validation). SHAP values indicate the contribution of the input
 278 climate variables on the mean SIF. Negative SHAP values mean that the input variable decreases the

279 predicted SIF and vice versa. The higher the absolute SHAP value, the higher the impact on predicted SIF.
280 Color bars indicate the range of values (minimum to maximum) for each climate variable.

281 The impact of temperature, shortwave radiation, and VPD on predicted SIF differed spatially
282 during the SoS and EoS. The maps of SHAP values at the SoS and EoS show that it was mostly in
283 extratropical areas that temperature constrained SIF (Fig. 3 and Fig. S6). However, VPD was the
284 highest constraint in tropical dryland ecosystems, while radiation was the limiting factor in
285 tropical rainforests. The impact of temperature, shortwave radiation and VPD differed in some
286 regions depending on whether it was the start or the end of the season. The most prominent
287 difference was observed in extratropical regions. Temperature was the only factor that
288 constrained SIF during the SoS in the Northern Hemisphere but both temperature and
289 secondarily radiation constrained SIF in extratropical regions during the EoS.



290

291 Figure 3. Maps of the climate constraints on photosynthesis at the start and end of the growing season.
 292 The maps represent an RGB composite of SHAP values. SHAP values were estimated from a machine
 293 learning model that fitted sun-induced fluorescence (SIF) with three climate variables: air temperature,
 294 shortwave radiation, and vapor-pressure-deficit. The SoS and EoS were estimated from daily averaged
 295 predicted SIF time series for the 2012-2021 period. The three climate variables were extracted from the
 296 ERA5-Land dataset and daily averaged for the 2012-2021 period. Low SHAP values indicate that the input
 297 variable decreases the average modelled SIF, suggesting the climate variable constrains photosynthesis.

298 The maps depict the inverse of the SHAP values for illustration purposes (higher values indicate a greater
299 SIF constraint).

300 **5. DISCUSSION**

301 The results demonstrated the capabilities of SHAP in a case study that made use of geospatial
302 climate data as input variables of a machine learning model. A weather-SIF model was trained on
303 BELMANIP2 sites using ERA5-Land and TROPoSIF measurements. SHAP values showed the spatial
304 and temporal impacts of the three climate variables –air temperature, shortwave radiation, and
305 VPD– on SIF, indicating climate constraints on the photosynthesis dynamics.

306 The model showed good performance for replicating the vegetation seasonality even though it
307 only considered weather variables as input. The model had a minimal bias, but an
308 underestimation of high SIF values ($SIF > 0.5 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$) was apparent in DBF (Fig. S2).
309 Despite this, the underestimation of high SIF values did not affect the phenology estimation,
310 which was the purpose of this work. The underestimated SIF would be potentially corrected if a
311 proxy for the fraction of Absorbed Photosynthetically Active Radiation (fAPAR) was included in
312 the model. Proxies for fAPAR are the NDVI and EVI. Should a spectral index be used, the model
313 would have similar input variables as the GOSIF product (Li and Xiao, 2019), which includes a
314 spectral index (EVI) and weather variables (air temperature, VPD, and photosynthetically active
315 radiation (PAR)). If we included a spectral index, however, the model would be less explainable
316 because part of the predicted SIF would be attributed to changes in NDVI, which would conceal
317 the marginal contributions of weather variables on the predicted SIF. Besides that, our model

318 explanation aimed to understand the impact on SIF purely attributed to climate variables and,
319 thus, providing accurate SIF predictions were less important than reproducing the SIF seasonality.
320 Another potential limitation of our experiment is that we only used concurrent SIF and weather
321 variables, neglecting the forcing requirements involved during the pre-season. However, the
322 forcing requirements are minimal in non-deciduous vegetation types (Descals et al., 2022,
323 2020a), and have negligible effect on other ecosystems of the temperate and cold biomes of the
324 Northern Hemisphere. Non-deciduous vegetation in the temperate and cold biomes of the
325 Northern Hemisphere includes temperate and boreal evergreen forests, and tundra shrublands
326 and grasslands.

327 We used air temperature, shortwave radiation, and vapor-pressure deficit because these
328 variables are key environmental factors that affect vegetation photosynthesis. However,
329 vegetation photosynthesis is a complex process influenced by additional factors, such as soil
330 water availability, carbon dioxide concentration, or nutrient availability. Thus, although air
331 temperature, shortwave radiation, and vapor-pressure deficit are surrogates for predicting SIF,
332 they do not capture the full complexity of photosynthesis. Moreover, the primary objective of
333 the study was to demonstrate the potential of local interpretation models in remote sensing,
334 utilizing a previously reported model that predicts SIF based on weather data at the global scale
335 (Li and Xiao, 2019).

336 SHAP values confirmed previous finding on the spatial and temporal climate constraints on the
337 vegetation activity. SHAP maps showed that VPD, which reflects atmospheric dryness, was the
338 main factor limiting SIF in tropical dryland ecosystems at the start and end of the growing season.

339 Tropical dry land ecosystems include tropical desert, tropical monsoon, and tropical savannah
340 climates. The growing season in these areas is sensitive to precipitation (Zhang et al., 2022), and
341 high evaporative demand induces vegetation –mostly grasslands and sparse woody vegetation–
342 into dormancy in the form of deep roots (Zhou et al., 2020). In tropical rainforests, however, both
343 temperature and water are adequate for plants, and radiation was the only factor found to
344 constrain SIF. This differs from the maps produced by Jolly et al. (2005), which depicted tropical
345 rainforests (Amazon and Central Africa) without any climate limitations, potentially because a
346 uniform threshold was used all over the Earth. However, previous studies do suggest that
347 radiation is a limiting factor in this biome (Aguilos et al., 2018; Weber et al., 2009), which supports
348 our finding. In extratropical areas, temperature was the main constraint at the start and end of
349 the growing season, which is supported by extensive literature (Fu et al., 2020; Peñuelas et al.,
350 2009; Piao et al., 2019). Moreover, although cold temperatures drive vegetation into dormancy,
351 we also found a divergent constraint in terms of radiation. Overall, radiation was not limiting SIF
352 during the SoS, but it did during the EoS. This is consistent with recent findings with point out at
353 an increasing constraint of radiation on photosynthesis during the EoS (Descals et al., 2022; Zhang
354 et al., 2020), which show that due to radiation constraints, rising temperatures will not increase
355 autumn greening. Thus, the results obtained with SHAP are overall in line with the current
356 understanding of global photosynthesis dynamics. However, it is worth mentioning that the
357 resolution of the weather dataset (ERA5-Land) limits the detail and interpretability of the results
358 in localized regions with a high diversity of climates. To depict regions with such diversity of
359 climates a finer resolution dataset and a more nuanced selection of input variables would be
360 required.

361 SHAP proved to be a useful technique for explaining the correlations between SIF and climate
362 factors that were captured by the machine learning model. The explainability of the ML models
363 with SHAP, on the other hand, must be considered with caution. SHAP values show researchers
364 which correlations machine learning has found, but these correlations do not necessarily imply a
365 causality between input and output variables (Heskes et al., 2020). Expert knowledge is required
366 to determine whether the correlations are coherent with the reality of the problem, and further
367 research is required to determine whether causality exists. In our case study, we validated our
368 findings with literature that supported the results revealed by SHAP maps. The capability of SHAP
369 to explain spatially and temporally the predictions from geospatial gridded time series might
370 assist future remote sensing applications.

371 **6. Author contributions**

372 AD and JP conceived the research idea. AD and JP designed the study. AD performed the analyses
373 and wrote the first version of the manuscript. AD, AV, GY, IF, and JP contributed to the
374 interpretation of the results and to revisions of the manuscript.

375 **7. Funding sources**

376 This work represents a contribution to CSIC Thematic Interdisciplinary Platform TELEDETECT. This
377 research was supported by the Spanish Government grant PID2019-110521GB-I00, the
378 Fundación Ramón Areces grant ELEMENTAL-CLIMATE, and the Catalan Government grant
379 SGR2017-1005.

380 **8. Data availability statement**

381 The data that support the findings of the study are openly available from TROPOSIF L2B dataset
382 [https://doi.org/10.5270/esa-s5p_innovation-sif-20180501_20210320-v2.1-202104], ERA5-Land
383 Hourly - ECMWF Climate Reanalysis [<https://doi.org/10.24381/cds.e2161bac>], and MODIS Land
384 Cover Type MCD12Q1 at [<https://doi.org/10.24381/cds.e2161bac>].

385 **9. Code availability statement**

386 Code for training the SIF-weather model and explaining the model with SHAP is available at
387 https://github.com/adriadescals/SHAP_PHENO_SIF

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