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# Harnessing *in* and *ex vivo* imaging to investigate motor nerve terminal injury and recovery in a mouse model of Guillain-Barré syndrome

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#### **ABSTRACT**

The peripheral nerve disorder Guillain-Barré syndrome (GBS) accounts for the most common cause of acute acquired paralysis in the Western World. Circulating antiganglioside antibodies (Abs) are considered important mediators of this disease. Research conducted in a mouse model of GBS has the revealed the neuromuscular junction (NMJ) as a potential site of anti-ganglioside Ab-binding, due to this structure lying outside the blood-nerve-barrier. The ganglioside composition of the neural and glial components of the NMJ determines which of these structures are bound and in the following subjected to complement-mediated injury.

Some patients suffering from the acute motor axonal neuropathy (AMAN) forms of GBS recovery very rapidly from paralysis; it has been proposed that in these patients the injury was restricted to the distal motor axons and nerve terminals (NTs), which are able to regenerate over a short time-frame. To test this hypothesis, the mouse model of GBS was combined with *in* and *ex vivo* imaging of the NMJ in the ventral neck muscles of mice expressing cytosolic fluorescent proteins in their axons (cyan fluorescent protein: CFP) and Schwann cells (green fluorescent protein: GFP).

Following confirmation of the stability of NMJs in these mice over time, optimisation of *in vivo* imaging procedures and determination of the most advantageous Abs for these kinds of investigations, 45 mice were subjected to a single *in vivo* topical application of anti-ganglioside Ab followed by a source of complement. Group A (n=15) received Ab that selectively bound to the NTs, group B (n=15) received Abs that bound both to the NTs and the perisynaptic Schwann cells (pSCs) and group C (control animals; n=15) only received complement. Evolution of the injury was documented by *in vivo* imaging, and following euthanasia the muscles were reimaged *ex vivo* both quantitatively and qualitatively, either immediately, or after 1, 2, 3 or 5 days of regeneration (each n=3 per group).

Within 15 minutes of complement application, a rapid loss of CFP overlying the NMJ could be seen; in group A, the GFP signal remained unchanged, whereas in group B the GFP signal was also lost. In group C no changes to either CFP or GFP were observed. At 24h, 6% of the superficial NMJs in group A and 12% of the NMJs in group B exhibited CFP; the CFP-loss extended proximally until the axons formed little bundles. In both groups, CFP returned within the next five days (group A: 93.5%, group B: 94%; p=0.739), with the recovery of CFP being preceded by a return of GFP-positive cells overlying the NMJ in group B. Both in groups A and B,

the pSCs exhibited processes which extended beyond the normal NMJ boundaries and very occasionally accompanied by axonal sprouts. This behavior was similar to that of pSCs challenged by traumatic denervation of their NMJ, albeit the changes observed in the Ab-mediated injury were lower in frequency and less dramatic when compared to those observed following traumatic denervation. The rate of motor NT-regeneration corresponds well to rates observed following the application of spider or snake toxins, which mediate selective injury to the NTs.

Auxiliary investigations revealed that the loss of CFP at the NMJ correlated with a loss of axonal architectural proteins (neurofilament (NF) heavy and light) and a return of CFP at the NMJ was accompanied by a return of NF heavy. Ultrastructurally, the injured NTs resembled NTs undergoing degeneration following a traumatic denervation of the endplate and following five days of regeneration, the NMJs exhibited a physiological morphology.

The results described above indicate that following a single anti-ganglioside Abmediated and complement-mediated attack, independent of whether there are healthy and mature pSCs overlying the NMJ, the murine NT is capable of recovering its architectural, axolemmal and ultrastructural integrity very rapidly. This data supports the notion that an equivalent mechanism may account for the rapid recovery seen in some clinical cases of AMAN.

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# **ACCOMPANYING MATERIAL**

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# **DECLARATION OF AUTHORSHIP**

All experiments are the work of the author unless specifically stated otherwise.

Angelika Frances Rupp, Dr. med. vet. University of Glasgow, October 2011

#### **ABBREVIATIONS**

Ab – antibody

ACh - acetylcholine

AIDP - acute inflammatory demyelinating polyneuropathy

AMAN – acute motor axonal neuropathy

AMSAN – acute motor and sensory axonal neuropathy

 $BTx - \alpha$ -bungarotoxin

BNB - blood-nerve barrier

CFP - cyan fluorescent protein

C. jejuni – Campylobacter jejuni

CN - cranial nerve

CNS – central nervous system

E – embryonic day

EAN – experimental allergic neuritis

EM - electronmicroscopy

EthD-2 - Ethidium-Homodimer2

FITC - fluorescein isothiocyanate

GalC - galactocerebroside

GAP - growth-associated-protein

GBS - Guillain-Barré syndrome

GD3KO - GD3-Synthase-Knock-out

GFP - green fluorescent protein

GM2KO - GalNac-Transferase-Knock-out

Ig – immunoglobulin

KO - knock-out

LP – long pass

MAC – membrane attack complex

MFS – Miller-Fisher syndrome

NA – numerical aperture

nAChR - nicotinic acetylcholine receptor

NF - neurofilament

NGS - normal goat serum

NHS - normal human serum

NMJ – neuromuscular junction

NoR - node of Ranvier

NT - nerve terminal

OS – oligosaccharide

PBS - phosphate buffered saline

PFA – paraformaldehyde

PLP – proteolipid protein

PLP-Tg – proteolipid protein transgenic mouse

PNS – peripheral nervous system

pSC - perisynaptic Schwann cell

RT – room temperature

SC - Schwann cell

SD – standard deviation

SH – sternohyoid muscle

SM - sternomastoid muscle

Tg – transgene

TRITC - tetramethyl rhodamine iso-thiocyanate

TS – triangularis sterni muscle

WT - wildtype

## 1. INTRODUCTION

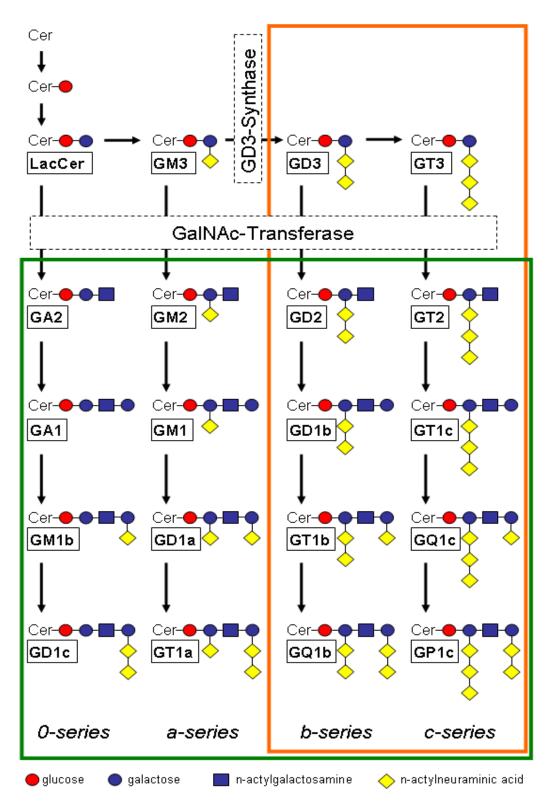
## 1.1 Guillain-Barré syndrome (GBS)

#### 1.1.1 Epidemiology

Guillain-Barré syndrome (GBS) stands for a group of acute peripheral neuropathies, which together account for the most common cause of acute acquired paralyis in the Western World since the eradication of polio (Hafer-Macko et al., 1996a; Hahn, 1998). The incidence for acquiring GBS, which can occur at any age (Hahn, 1998), but affects more males than females (Hughes et al., 1999; Sejvar et al., 2011), lies at one to four cases per 100 000 (Hughes et al., 1999; Yu et al., 2006) with the lifetime likelihood for an individual to acquire the disease resting at 1:1000 (Willison, 2007). Roughly 20% of patients affected die or remain disabled within one year of disease onset (Willison, 2007). In 2/3 of the patients, the onset of GBS is anteceded by an acute infectious illness of the either the gastrointestional or respiratory system (Hahn, 1998). The most common microorganisms linked to GBS include *Campylobacter (C.) jejuni* (13% to 66% of cases), Cytomegalovirus (5% to 22%), *Mycoplasma pneumoniae* (5%) and Epstein-Barr virus (1% to 3%) (Hughes et al., 1999; Ogawara et al., 2000; Yu et al., 2006).

#### 1.1.2 Aetiology and anti-ganglioside antibodies

GBS and its variant forms are considered an auto-immune mediated neuropathy with both humoral and cell-mediated immune factors contributing to the disease process (Ariga & Yu, 2005). The antibodies (Abs) observed in high titres in GBS-patients are anti-ganglioside Abs (Yu et al., 2006) and were first demonstrated in the late 1980s (Ilyas et al., 1988). Gangliosides are complex glycosphingolipids found within cells and on cell membranes throughout the body but in a higher concentration on neural and glial tissue (Hamberger & Svennerholm, 1971). There are a number of different gangliosides which are distinguished from one another by the number and location of sialic acid residues attached to a neutral sugar backbone (Fig.1) (Svennerholm, 1963).



**Fig.1:** Schematic overview of the synthesis and composition of gangliosides (adapted from (Plomp & Willison, 2009)). Mice lacking GD3-Synthase do not express and b- and c-series gangliosides (orange box), whereas mice lacking GalNAc-Transferase do not express any complex gangliosides (green box) at all.

Gangliosides are not distributed evenly throughout the organism and their composition varies depending which structures they are located on. Investigations conducted in human, mouse and rat peripheral nerves, nerve roots and dorsal root ganglia revealed a preferential staining of anti-GD1a Abs for myelinated motor nerves (compared to myelinated sensory nerves) in cross- and transverse sections of nerve roots and sciatic nerves (De Angelis et al., 2001; Gong et al., 2002). In contrast to this, anti-GM1 Abs bind both myelinated motor and sensory nerves (Gong et al., 2002) with ultrastructural studies confirming binding sites both on the nodal axolemma and the paranodal areas of Schwann cells (SCs) (Sheikh et al., 1999a). The extraocular nerves (cranial nerves (CNs) III, IV and VI) and the optical nerve contain high levels of GQ1b (Chiba et al., 1997). The function of gangliosides is diverse and is hypothesized to range from cell development, signal transduction and receptor function to cell-cell interactions (Plomp & Willison, 2009; Zeller & Marchase, 1992).

Depending on the screening method and the patient groups/ ratio of GBS-subtypes under investigation (see below), the incidence of anti-ganglioside Abs found in GBSpatients ranges from 38% to 60% (Alaedini et al., 2002; Caudie et al., 2002; Matà et al., 2006; Meena et al., 2010; Yu et al., 2006). The patterns for the Ab-binding specificities vary between the different subtypes of GBS assessed (Caudie et al., 2000). Good correlations are observed between anti-GQ1b Abs and the GBSsubtype Miller-Fisher syndrome and anti-GM1/GD1a Abs and motor axonal forms of GBS, in the latter especially after preceding C. jejuni infections (Ariga & Yu, 2005; Caudie et al., 2002; Ogawara et al., 2000; also see 1.1.3) Nevertheless, controversy surrounds the importance of these Abs for two reasons: firstly, depending on the detection assay anti-ganglioside Abs are detected (Matà et al., 2006), are not detected in relevant titres (Vincent, 1998) or are not detected at all in control sera or sera of patients suffering from other neurological diseases (Ilyas et al., 1988; Alaedini et al., 2002). Secondly, despite high Ab-titres being frequently observed at onset of the disease and a reduction or even loss of titres observed during progression of the disease (Ariga & Yu, 2006), it is not quite clear whether the Abs themselves are responsible for induction of the disease or merely should be considered as generated secondarily to nerve damage (Hughes et al., 1999). However, considering plasmapheresis and the application of intravenous immunoglobulin improve the symptoms of GBS-patients (van Doorn et al., 2010; Walgaard et al., 2011), it can be surmised that anti-ganglioside Abs are directly

involved in the pathogenesis of GBS (Ariga & Yu, 2005). Furthermore, patients suffering from GBS-forms associated with a C. jejuni-infection often exhibit Abs against GM1 or GQ1b (Willison & Yuki, 2002; Yuki et al., 1993; Yuki et al., 1994), which - in addition to GT1a, GD3, GM2, GM3, GD1a and GD1b - share a structural homology with oligosaccharide side chains of C. jejuni surface lipopolysaccharides (Ang et al., 2002; Aspinall et al., 1994; Gilbert et al., 2000; Guerry et al., 2002; Prendergast et al., 1998) (Fig.2). Thus, an Ab-mediated attack of the pathogens also leads to production of Abs against the body's own structures, rendering this situation one of molecular mimicry (Yu et al., 2006). This principle has also been proven in mice following an immunisation with C. jejuni preparations (Goodyear et al., 1999) and further indicates that the anti-ganglioside Abs are not produced secondary to nerve injury, but bear a more striking role in this group of peripheral nerve disorders. At the same time, however, only about one in a thousand cases of symptomatic C. jejuni-enteritis is followed by GBS, indicating that other factors, such as host factors, must contribute to the disease susceptibility (Hahn, 1998; Hughes et al., 1999; Yu et al., 2006).

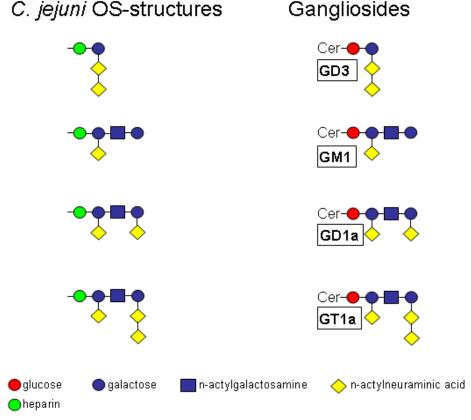


Fig.2: Examples for the homology between *Campylobacter jejuni* oligosaccharide (OS) side-chains and gangliosides.

#### 1.1.3 Subtypes (Fig.3)

There are a number of subtypes for GBS. These comprise the **axonal** forms, acute motor axonal neuropathy and acute motor and sensory axonal neuropathy, which are more common in central America, China, Japan and India, and the **demyelinating** form, acute inflammatory demyelinating polyradiculoneuropathy, which is the predominant form in North America and Europe (Hughes et al., 1999). The demyelinating type of GBS was considered the only form of GBS up until the late 1980s when the axonal variants were "discovered" (Feasby et al., 1986).

In addition to these two major types, there also are regional variants of GBS, such as Miller-Fisher syndrome, and forms frustes, like acute oropharyngeal palsy; these can remain localised or develop into full-blown GBS at a later time-point (Hughes et al., 1999; Willison, 2005).

#### 1.1.3.1 Acute motor axonal neuropathy (AMAN)

AMAN-patients exhibit paralysis, which may be that widespread that they are required to be ventilated artificially (McKhann et al., 1993). In electrophysiological investigations, reduced or absent distally evoked compound muscle action potentials are noted, whilst the nerve conduction velocities and the action potentials of the sensory nerves remain normal (Hahn, 1998; McKhann et al., 1991). These findings indicate a selective axonal injury to the peripheral motor nerve fibres.

The convalescence of AMAN-patients seems to occur in two subgroups: one which exhibits a rapid improvement within the first two to four weeks after onset of disease, and another, in which recovery is prolonged and the patients are unable to walk independently at six months after disease onset (Hiraga et al., 2005; Kuwabara et al., 1998a; Ogawara et al., 2000).

Necropsies of AMAN-patients reveal minimally inflammatory Wallerian-like degeneration of the motor nerve fibres more pronounced in the ventral roots than the peripheral nerves, indicating that the initial lesion is to be found in the spinal roots. Demyelination of the axons remains an exception (Griffin et al., 1995).

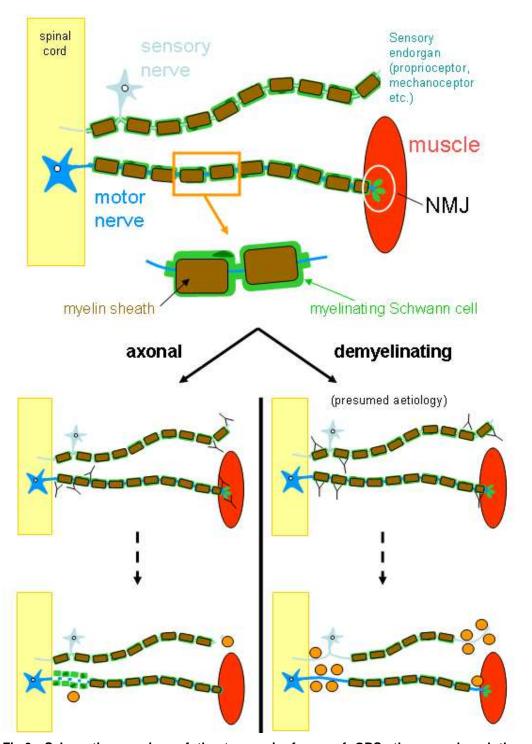


Fig.3: Schematic overview of the two main forms of GBS, the axonal and the demyelinating form. In both forms the Abs bind very proximal or distal areas of the PNS, areas where there is no blood-nerve barrier. In the axonal form, anti-ganglioside Abs bind directly to the axolemma of the nerve roots and NTs, whereas in the demyelinating form it is surmised that the Abs bind the outer surface of the myelinating SCs. Following activation of the complement system, this in turn leads to degeneration of proximal and distal axons with minimal demyelination and cell infiltrates in the axonal form, whereas in the demyelinating form extensive demyelination with a mononuclear infiltrate of cells is observed. In severe cases the demyelinating form is accompanied by secondary axonal degeneration.

Ultrastructural investigations of the nodes of Ranvier (NoR) at early stages of AMAN reveal a lengthening of the node with a local accumulation of neurofilaments in the axon and macrophages overlying the node. In more advanced stages the macrophages had moved into the paranodes and the internodal space, distorting both axon and myelin sheath and leading to shrinking of the axon (Griffin et al., 1996b). At nodes not yet undergoing degeneration, complement products are visualised at the nodal axolemma, whilst immunoglobulin-deposits can be seen on the internodal axolemma (Hafer-Macko et al., 1996a).

In some necropsies, the pathological changes to the nerve roots and proximal peripheral nerves are not sufficient to be commensurate with the degree of paralysis observed (Griffin et al., 1995; McKhann et al., 1993). At the same time, the very extensive motor fibre degeneration observed in many necropsies is not compatible with the rapid recovery described above (Griffin et al., 1995; Ho et al. 1997b). Potential explanations for a rapid recovery include **a)** distal demyelination, **b)** a reversible conduction-block along motor axons and **c)** degeneration restricted to the very distal motor nerve (Griffin et al., 1995; Ho et al., 1997b; Kuwabara et al., 1998a) with the investigation of muscle-nerve biopsies obtained from a rapidly recovering AMAN-patient providing evidence for the third hypothesis (Ho et al., 1997a).

Anti-GM1 and anti-GD1a are the circulating Abs predominantly found in AMAN-patients (Kaida et al., 2000; Press et al., 2001; Willison, 2005).

#### 1.1.3.2 Acute motor and sensory neuropathy (AMSAN)

AMSAN-patients exhibit paralysis, paresthesia and sensory loss with the occasional involvement of CNs. Both the compound motor and sensory action potentials are reduced, whilst conduction velocities remain preserved (Feasby et al., 1986; Griffin et al., 1996a), indicating an axonal injury to both motor and sensory fibres. Recovery is slow and often incomplete (Feasby et al., 1986; Griffin et al., 1996a).

In necropsies of AMSAN-patients an additional involvement of the sensory fibres equal to the changes observed in the motor fibres in AMAN-patients is evident. In

both dorsal and ventral nerve roots, minimal inflammatory Wallerian-like degeneration of the axons is observed. Additionally (and equivalent to AMAN), macrophages are present within the periaxonal space of many myelinated fibres and only very rarely demyelination is seen (Griffin et al., 1995, 1996a).

In AMSAN-patients, the predominant Abs found also are anti-GM1 Abs (Kuwabara et al., 1998b), indicating that these Abs might play a pivotal role in axonal damage (Hughes et al., 1999).

#### 1.1.3.3 Acute inflammatory demyelinating polyradiculoneuropathy (AIDP)

AIDP is the most common form of GBS in the western countries (85-90%; Hahn, 1998). Clinically, AIDP-patients exhibit weakness, which progresses to paralysis and can require artificial ventilation. Paresthesia also is a common complaint (Asbury et al., 1969). In electrophysiological investigations, decreased conduction velocities and conduction blocks are noted (Hughes et al., 1999), indicating that this disorder is based on demyelination of the axons. The lesions can occur at any site of the nerve, i.e. predominantly proximal or distal or both, and can involve both motor and sensory nerves, also including autonomic nerves (Asbury et al., 1969; Hughes et al., 1999).

AIDP is considered a self-limiting disease. Once the immune-reaction has come to a halt, the nerves remyelinate promptly, which leads to a complete recovery. Additional axonal injury, however, complicates recovery and can lead to lasting deficits (Hahn, 1998).

Histologically, a mononuclear inflammatory infiltration is observed. This is predominantly lymphocytic in character, however, a macrophage component is also noted. The myelin sheaths form ovoids and are phagocytosed. In the severe cases, the axonal continuity is interrupted and Wallerian degeneration can be seen (Asbury et al., 1969). Detailed investigations conducted on tissues of three subjects suffering from the early stages of AIDP revealed complement products on the outer surface of SCs and vesicular changes of the myelin, starting from the outer layer. At later time-points, macrophages and extensive lymphocytic infiltrates were observed (Hafer-Macko et al., 1996b). These findings lead to the hypothesis, that in (at least some cases) of AIDP the abaxonal SC plasmalemma serves as a target for circulating

Abs, which then leads to the formation of a complement on these structures and vesicular changes to myelin beginning at the outer surface (Hafer-Macko et al., 1996b; Willison & Yuki, 2002).

A common Ab found in AIDP-patients remains to be discovered (Hughes et al., 1999); in these patients often a variety of anti-ganglioside Abs, such as anti-GM1, -GM2, -GM3, -GD1a, -GD1b, -GT1b and -GQ1b Abs, are detected (Alaedini et al., 2002; Matà, 2006; Meena et al., 2010).

## 1.1.3.4 Miller-Fisher syndrome (MFS)

MFS accounts for roughly 5-10% of GBS cases observed (Willison & Yuki, 2002). Clinically, MFS-patients exhibit a characteristic triad of ophthalmoplegia, ataxia and areflexia and serologically, anti-GQ1b Abs can be found in 96% of MFS-cases (Hahn, 1998), rendering these Abs a reliable serologic marker. As described above, GQ1b is strongly expressed on the extraocular nerves, which accounts for the ophthalmoplegia observed. Additionally, serum obtained from MFS-patients binds to cells in the molecular layer of the cerebellum (Kornberg et al., 1996), which could account for the ataxia observed.

In general, MFS is benign. Therefore, histological investigations have only been carried out in the cases which graduated to full-blown GBS. In these, pathological investigations have revealed a multifocal inflammatory process in the line with AIDP (Hughes et al., 1999).

#### 1.1.4 Models

#### 1.1.4.1 Spontaneous models

There are a number of naturally occurring animal diseases, which are considered spontaneous models for GBS. These include acute canine polyradiculoneuritis (ACP, also known as Coonhound paralysis), Marek's disease in chicken (Stevens et

al., 1981) and an acute paretic syndrome in juvenile White leghorn chicken (Bader et al., 2010). Whilst ACP most accurately replicates the various features found in in the different types of GBS, i.e. axonal (motor and sensory) and demyelinating forms with varying cellulary infiltrates (Cummings & Haas, 1967; Northington & Brown, 1982), both avian peripheral nerve disorders seem to resemble the demyelinating form of GBS more closely.

### 1.1.4.2 Experimental allergic neuritis (EAN)

Early artificially induced models for GBS were based on the observations made in AIDP and therefore primarily focussed on the immune-mediated demyelination of peripheral nerves. A widely applied model was (and still is) experimental allergic neuritis (EAN), which was first described by Waksman and Adams in 1955. Experimental allergic neuritis is primarily carried out in Lewis rats or other susceptible rat strains.

Rats are actively immunised with purified myelin or myelin components, such as P0 protein, P2 protein, myelin basic protein or myelin-associated glycoprotein. The immune-reaction is orchestrated by activated T-cells, which cross the blood-nerve barrier (BNB) and induce a generized invasion of T-cell and macrophages into the peripheral nervous system (PNS) (Maurer & Gold, 2002). Clinically and electrophysiologically, the rats closely resemble AIDP-patients. Histological investigations carried out in acute EAN reveal an infiltration of the nerve roots and peripheral nerves with macrophages and lymphocytes, which closely resemble the changes seen in AIDP. Furthermore, primary demyelination of the nerves is observed, which can be associated with axonal damage (Maurer et al., 2002). In addition to an induction by active immunisations, EAN can also be induced by adoptive transfer of T-cells specific for P2 peptides, P0 or P2 peptides (Maurer et al., 2002). It could be shown that following the induction of EAN by adoptive transfer of specific T-cells the BNB is compromised. The systemic application of unspecific immunoglobulin (Ig) leads to local accumulations of Igs in nerve roots (Hadden et al., 2002).

Similar to EAN in rats, murine EAN can reliably be reproduced by actively immunising susceptible mouse strains (SJL/L mice) with purified peripheral nerve myelin (Xia et al., 2010).

Interestingly, in rabbits EAN can also be induced by active immunisation with galactocerebroside (GalC), which is a glycosphingolipid with a galactose unit, thus very similar to a ganglioside. Galactocerebroside is a major component of central nervous system (CNS) and PNS myelin (Linington & Rumsby, 1980; Ranscht et al., 1987). During development of the PNS and CNS and before myelin is formed, GalC is expressed on the surface of myelin-forming cells; the application of anti-GalC Abs during this time inhibits the formation of peripheral myelin (Ranscht et al., 1987). Later in life, GalC is expressed on the surface of oligodendrocytes and both myelinating and non-myelinating SCs (Jessen et al., 1985). In GalC-EAN, quite a length of time after the GalC-immunisations have taken place, the rabbits exhibit paralysis, ataxia and decreased responses to pinpricks (Saida et al., 1981). Histologically, demyelinative lesions of the PNS are observed. These are more frequent in proximal sites when compared to distal sites and are accompanied by an infiltration of phagocytic mononuclear cells, which are mainly macrophages. Only very few lymphocytic infiltrates are noted, and no changes to the CNS are observed (Saida et al., 1981). Weeks before clinical and electrophysiological changes are noted, circulating anti-GalC Abs and deposits of anti-GalC Abs at the PNSpredilection sites are detected (Maurer & Gold, 2002). Systemic transfer of rabbit anti-galactocerebroside serum with high Ab-titres into rats does not induce any clinical or histological changes (Hahn et al., 1993), whereas the intraneural application of anti-GalC serum into rat sciatic nerves produces focal demyelination in the rats, which is accompanied by an invasion of phagocytic mononuclear cells. However, SC damage and disruption of myelin occur prior to the invasion of macrophages, and following additional investigations which were associated with heat-treatment of the serum and pretreatment of nerves with complement-activating factors, it was surmised that demyelination and SC-injury are Ab- and complementmediated (Saida et al., 1979; see also 1.1.5). Additionally, the application of rabbit anti-GalC serum into EAN-rats induced by adoptive transfer of sensitised T-cells very much exacerbates the demyelinating changes observed in mild EAN and the application of anti-GalC-serum into rats where the BNB has been openend unspecifically, results in mild demyelination; however, no clinical changes are observed (Hahn et al., 1993). Thus, the investigations conducted in EAN (especially with the combination of rat and rabbit EAN) have revealed the potentially synergistic roles of T-cells and Abs in demyelinating GBS.

# 1.1.4.3 Models based on anti-ganglioside antibodies

Following the detection of anti-ganglioside Abs in GBS-patients (Ilyas et al., 1988), models for GBS were expanded to include the immunisation with gangliosides. Interestingly, here the results vary widely between the species immunised and Abresponses are not necessary accompanied by clinical and histological changes.

Rabbits have repeatedly been actively immunised with gangliosides, which in some cases has also lead to GBS-like clinical, serological and histological changes (Kusunoki et al., 1996; Moyano et al., 2008; Nagai et al., 1976; Susuki et al., 2007; Thomas et al., 1991; Yuki et al., 2001). Depending on the gangliosides applied, the rabbits exhibit ataxia (Kusunoki et al., 1996), reduced compound muscle action potentials (Thomas et al., 1991) and weakness (Moyano et al., 2008) leading to respiratory paralysis (Yuki et al., 2001). Histologically, Wallerian-like degeneration of axons is observed in the PNS. Demyelinative lesions are minimal and no invasions of mononuclear cells are seen (Kusunoki et al., 1996; Thomas et al., 1991; Yuki et al., 2001). In summary, these results correspond most accurately with the clinical and histological observations made in the axonal forms of GBS.

In mice and rats, active immunisation with the ganglioside GM1 readily leads to Tcell independent anti-GM1 Ab titres (Freimer et al., 1993; Ilyas & Chen, 2007). Interestingly, however, the animals do not exhibit any clinical or pathological changes (Ilyas & Chen, 2007). Whether this is due to immunisation protocols or differences in species and strain susceptibility, remains to be elucidated (Willison & Yuki, 2002). Additionally, the degree to which the BNB is permeable seems to play a role in the development of Ab-mediated neuropathy (Sheikh et al., 2004) which makes sense considering the conclusing reached in EAN-experiments regarding a potentially synergistic role for T-cells and Abs in demyelinating GBS. Research conducted on endothelial cells of endoneurial origin, however, has shown that these cells express GM3, GM1, GD1a, GD1b and GT1b (Kanda et al., 1997) and the examination of nerve samples from patients suffering from autoimmune demyelinative neuropathy and exhibiting antiglycosphingolipid Abs reveals a loss of tight junctions between endothelial cells. Thus, it is surmised that circulating antiganglioside Abs of the required specificity can mediate a loss of BNB-integrity, which might in turn permit the Igs to enter the endoneurial space (Kanda et al., 2000).

Passive immunisations of mice with gangliosides have been fruitful and have yielded a plethora of information regarding possible pathophysiological events in GBS and potential treatments. Most of these investigations have been conducted on the neuromuscular junction (NMJ) or the distal motor nerves as these sites lie outside the BNB (Olsson, 1968) and therefore clearly provide an excellent target for antiganglioside Abs, both under clinical and experimental circumstances (Ho et al., 1997a; Plomp & Willison, 2009). The application of mouse monoclonal antiganglioside Abs has been carried out both in in vivo (application of Abs to living animals) and ex vivo systems (application of Abs to muscle-nerve preparations) (Bullens et al., 2002; Goodfellow et al., 2005; Halstead et al., 2004, 2005a, 2005b, 2008b; McGonigal et al., 2010; O'Hanlon et al., 2001; 2003; Paparounas et al., 1999). The advantages of the in vivo system are that the whole organism is involved and, potentially, regenerative processes can also be observed. However, the systemic in vivo application of anti-ganglioside Abs is relatively difficult to regulate and maintain, due to the foreign Ab being degraded and cleared from the system. Thus, the obvious advantages of an ex vivo application of Abs include the ease in regulating the dose and reproducibility of investigations. However, here a limited "life"-span of the tissue preparations provides a time barrier for extended investigations and, obviously, no insights can be gathered on regenerative processes.

Similar to the conclusions reached in EAN regarding the pathomechanisms of GalC-Abs, the actions of anti-ganglioside Abs are also complement-dependent (see 1.1.5). Following the *in* or *ex vivo* application of murine anti-ganglioside Abs in mice or mouse tissue, clear Ab-deposits are observed at the site of interest, the NMJ. However, only very sparse deposits of mouse complement can be detected and no pathophysiological changes are observed in the structures bound by Abs or in the behaviour of the mice (Willison et al., 2008; see also 3.3.2). Considering it could be demonstrated in additional investigations that the mouse monoclonal antiganglioside Abs are able to activate mouse complement, it was surmised that complement-inhibitory factors, such as protectin (CD59a), decay accelerating factor (DAF1) or Factor H are present at the area of interest. Protectin is easily demonstrated at the NMJ and factor H can be stained for at the NMJ when activated complement is present. Following experiments with CD59a/DAF1 double knock-out mice, it is hypothesised that the endogenous mouse complement fails to generate pathological changes at the Ab-binding site due to an inability of the mouse

complement to follow thought the entire complement cascade and produce MAC (see 1.1.5).

One final caveat must be noted regarding the use of any artifical models, especially those concerned with the induction of specific Abs and based on antigen/Ab interactions. The expression (amount and localisation) of the Ab-targets, i.e. the antigens, might vary between the species the experiments are conducted in and when comparing species under investigation and humans. Interestingly enough, in many studies conducted with anti-ganglioside Abs, good correlations were achieved between the experimental subjects and humans. Anti-GD1b Abs, for example, are associated both in rabbits (Kusunoki et al., 1996) and humans (Caudie et al., 2002; Wicklein et al., 1997) with pure ataxic sensory neuropathies, whilst anti-GM1 Abs are linked with weakness and a loss of compound muscle action potentials both in rabbits (Yuki et al., 2001) and in humans (Caudie et al., 2002; Willison, 2005). In contrast to this, the extent of the expression of sulphated glucuronyl glycolipids (components of peripheral nerves), however, varies between animal species (Ilyas et al., 1986) and this is surmised to be the reason why following an active immunisation with these glycolipids an ataxic sensory neuronopathy can be elicited in cats, but not in rabbits and rats (Ilyas et al., 2008). Following the immunisation, the rabbits exhibit mild clinical changes but not pathology, whereas rats exhibit large Ab-titres but no clinical or pathological abnormalities. Interestingly, the pathological changes observed in the cats do not quite correlate with changes observed in humans suffering from the same Abs and clinical symptoms (Ilyas et al., 2008). This stresses the fact that all animal models remain to be a model and do not necessarily replicate all the events taking place in the "original disease", but only can give potential explanations for disease pathophysiology and progress. Regarding the use of anti-ganglioside Abs it needs to be stressed that characterisation of ganglioside distribution profiles and antiganglioside Ab-binding patterns is essential before the interpretation of results.

#### 1.1.5 Anti-ganglioside Ab-mediated pathophysiology

Investigations conducted in mice following the application of anti-ganglioside Abs and an external source of complement have shown that following the binding of the anti-ganglioside Abs to neural (motor nerve terminal; NT) and glial (perisynaptic SCs; pSC) structures at the NMJ, the complement system is activated (Fig.4), which then leads to a formation of the membrane attack complex (MAC) and injury of the structures bound (Halstead et al., 2004, 2005b). The application of anti-GT1a Abs combined with a source of complement leads to a selective injury of the NTs, whereas the application of anti-GD3-Abs combined with a source of complement preferencially induces pSC injury (Halstead et al., 2005b; for more detailed information see chapter 3.3.1). Membrane attack complexes are a the complement factors C5b, C6, C7, C8 and C9 combined (see Fig.4) of which the C5b-7 complex mediates the binding to the cell membrane bound by Ab. Association of C8 to the C5b-7 complex leads only to unstable pore formation on the membrane, whereas the binding of one C9 to a C5b-8 complex rapidly leads to a transformation of C9 and an incorporation of up to 16 molecules of C9 into the final complex. C9 polymers consisting of more than 6 C9 molecules form a tubular structure with an external diameter of 20 nm, an internal diameter of 5 nm and a height of 15 nm. The functional size of a MAC-pore is between 1 and 11 nm; the more C9 molecules are incorporated into the complex, the bigger the pore (Tegla et al., 2011).

The presence of C1q and C4 indicates that the classical complement activation pathway is in operation in the murine model of GBS, while the alternative pathway can be ruled out as a primary source of MAC due a Ca<sup>2+</sup>-dependency of the process. The lectin pathway cannot be excluded (Halstead et al., 2004). In the absence of C6 (which prevents the formation of MAC), only further upstream components of the complement cascade such as C3c can be detected; there are no MAC-deposits and neither functional nor morphological changes to the NTs are observed (Halstead et al., 2004). Similar observations are made in the absence of C7 and regarding an injury to the pSCs (Halstead et al., 2004). The addition of Eculizumab or rEV576, which both bind to C5, thus preventing the formation of C5a and C5b and effectively stopping the complement cascade at this level, completely prevent the deposition of MAC and the occurrence of morphological and functional changes to the neural and glial structures of the NMJ, while once again further upstream complement components (C3c) are still readily detected (Halstead et al.,

2008a, 2008b). The application of the complement inhibitor APT070 leads to the same results (Halstead et al., 2005a).

Investigations conducted at the NoR confirm the observations made at the NT. Following the application of anti-ganglioside Abs, IgG- and complement-deposits are observed (McGonigal et al., 2010; Paparounas et al., 1999). Here, the application of the complement-inhibitor Eculizumab together with the source of complement, leads to a protection of the nodal proteins, including the sodium channel Nav1.6, and a preservation of the sodium current upon perineural recordings (McGonigal et al., 2010). The finding that MAC plays a major effector role in this model for antiganglioside Ab-mediated injury correlates well with the localised IgG and complement activation products demonstrated at the NoR and also on the axolemmal surface in AMAN patients (see 1.1.3.1) (Hafer-Macko et al., 1996a).

The deposition of MAC (pores) on the axonal and glial surface leads to an uncontrolled influx of ions, small molecules and water into the NT and pSCs along the diffusion gradient (Acosta et al., 1996; Morgan et al., 1986). This includes all ions, however, especially the passive influx of Ca<sup>2+</sup>, possibly exacerbated by a breakdown or reversal of the Na/Ca exchanger (LoPachin & Lehning, 1997), which has been shown to be specifically concentrated at the presynaptic NT (Luther et al., 1992), leads to a massive increase in intraaxonal Ca<sup>2+</sup>. This in turn has many effects, such as the overload and disruption of mitochondria, metabolic depletion due to an increased expenditure of energy to maintain the ionic gradients, and the activation of a number of Ca<sup>2+</sup>-dependant proteases (Morgan et al, 1986). Recent research has attributed the mitochondria a pivotal role in the process of nerve degeneration (Barrientos et al., 2011). One of the Ca<sup>2+</sup>-dependant proteases is calpain, and neurofilament (NF), which stabilises the axonal cytoskeleton and determines its caliber (Schlaepfer, 1987), acts as a substrate for calpain (Chan & Mattson, 1999).

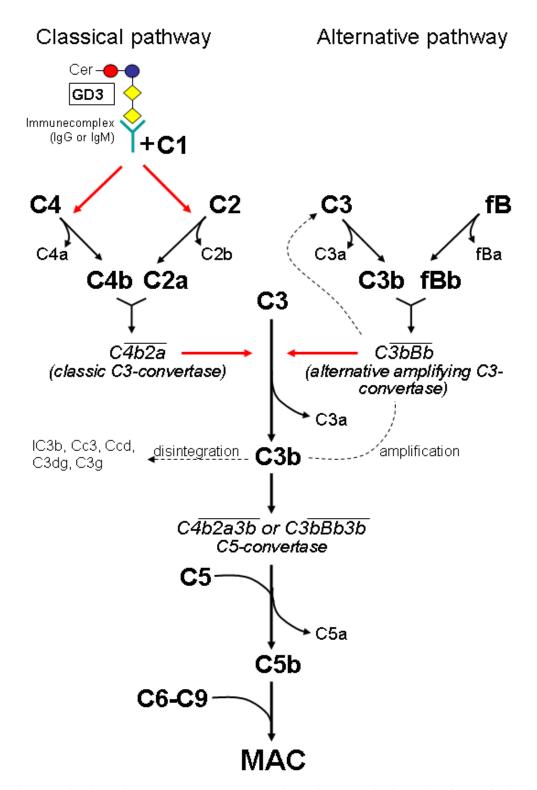


Fig.4: Activation of the complement system following the binding of anti-ganglioside Abs on target structures.

### 1.1.6 Anti-ganglioside Ab-mediated pathology

Following the application of anti-ganglioside Abs and a source of complement, a loss of NF (both phosphorylated and un-phosphorylated) (O'Hanlon et al., 2001, 2003) and β-tubulin, swollen mitochondria (with abnormal or absent cristae) and decreased amounts of vesicles located to the pre-synaptic active zone are seen (Goodfellow et al., 2005; Halstead et al., 2005b; O'Hanlon et al., 2001). Additionally, the presence of pSC processes invading the synaptic cleft or even "wrapping" the NT can be observed (Halstead et al., 2005b; O'Hanlon et al., 2001, 2003). Application of the calpain-inhibitor AK295 (or other calpain-inhibitors) leads to a preservation of NF-heavy (McGonigal et al., 2010; O'Hanlon et al., 2003)

Perisynaptic SCs, when targeted by anti-ganglioside Ab and complement-mediated injury, exhibit a nuclear uptake of Ethidium, swollen and electron-lucent cytoplasm, damaged organelles, fragmented processes and perinuclear cell bodies (Halstead et al., 2004, 2005b). Ethidium is a nuclear marker whose cellular ingress und subsequent nuclear binding indicates a loss of the cell membrane integrity.

## 1.1.7 Anti-ganglioside Ab-mediated clinical and electrophysiological changes

Mice supplied systemically with anti-ganglioside Abs and a source of complement exhibit acute respiratory problems with a decrease in tidal volumes and respiratory rate. This is accompanied by a decrease in grip strength (Halstead et al., 2008b).

Electrophysiological investigations conducted at the NMJ reveal an initial massive increase of miniature endplate potentials, followed by a block of synaptic transmission due to an inability to release acetylcholine (Goodfellow et al., 2005; Halstead et al., 2008b; Plomp & Willison, 2009); these changes have been attributed to dysfunction of the motor NTs, as acute injury to the pSCs alone does not seem to affect the electrophysiologic properties of the NMJ (Halstead et al., 2005b). At more proximal sites, a complete loss of the perineural K<sup>+</sup> and Na<sup>+</sup> flow is observed (McGonigal et al., 2010).

## 1.2 The neuromuscular junction

Over the last century, the vertebrate NMJ has been closely examined in many of its aspects including structure, function, development, maintenance and plasticity, which renders the NMJ the most thoroughly investigated synaptic structure (Balice-Gordon, 1997a; Sanes & Lichtman, 1999). The reasons for these rigorous efforts lie in the fact that the NMJ was and still is considered a fairly simple, large, and easily accessible model system for synapses (Astrow et al., 1994, 1998; Lampa et al., 2004; Patton, 2003; Ribchester, 2009; Rochon et al., 2001; Sanes & Lichtman, 1999; Wigston, 1990) especially when compared to those located in the central nervous system (Balice-Gordon, 1997b; Lu & Lichtman, 2007). However, in recent years, research on the NMJ in its own right has been driven forward, after it was shown that the architecture and composition of the NMJ is compromised in various congenital myasthenic syndromes (Hughes et al., 2006). Furthermore, the motor NTs are the first areas to degenerate in dying-back neuropathies (Luo & O'Leary, 2005; Saxena & Caroni, 2007) such as amyotrophic lateral sclerosis (Dadon-Nachum et al., 2011) and acrylamide intoxication (Jennekens et al., 1979), and are targeted by a number of bacterial, spider and snake toxins (Cull-Candy et al., 1976; Dixon & Harris, 1999; Duchen et al., 1981; Duchen & Strich, 1968; Duchen & Tonge, 1973; Gopalakrishnakone & Hawgood, 1984; Hucho, 1995). In addition to this, the different structures of the NMJ also pose as targets for auto-antibody-mediated attacks in Lambert-Eaton myasthenic syndrome, myasthenia gravis and possibly also acquired neuromytonia (Hughes et al., 2006; Vincent, 2008).

#### 1.2.1 Research carried out on the NMJ: tools, techniques and sites

Progress in research on the NMJ has largely been determined by the tools available (Hughes et al., 2006; Sanes & Lichtman, 1999). The first descriptions of "nerve endings" date back to 1836, however, it was only Doyere, in 1840, who first described that the nerve terminates on the muscle (and does not form an arc which runs back to the CNS as a sensory fibre as surmised in the earlier descriptions) (Lu & Lichtman, 2007). These investigations triggered numerous studies of motor NTs in varies species, one of the most complete being published by Kuehne in 1887, who together with Ranvier mainly used a gold impregnation method to stain the terminal

axonal branches (Lu & Lichtman, 2007). Subsequent development of the silverstaining technique was employed by researchers such as Retzius, Cajal and Tello. However, it was Paul Ehrlich, who already in 1886 introduced the vital dye methylene blue (Lu & Lichtman, 2007). Development of the electron microscope in the 1950s made it possible to examine the structures involved more closely (Lu & Lichtman, 2007; Ribchester, 2009), whereas advances in modern biological technologies at the end of the 20th century facilitated the isolation of individual molecules involved in signaling (Sanes & Lichtman, 1999). In recent years, strong progress was made both in imaging techniques and fluorescent probes binding to various components of the NMJ. These include the NT-stains 4-(4diethylaminostyryl)-N-methylpyridium iodide, RH 795, tetanus toxin and FM1-43, calcein blue acetoxymethyl ester which labes the pSCs, various lectins such as peanut agglutin, which label the synaptic basal lamina, and  $\alpha$ -bungarotoxin (BTx) which selectively binds to the nicotic acetylcholine receptors (nAChRs), the muscular component of the NMJ (also see 1.2.3) (Astrow et al., 1998; Balice-Gordon, 1997b; Betz et al., 1992; Magrassi et al., 1987; O'Malley et al., 1999). Especially the "construction" of transgenic animals, which express fluorescent proteins in neurons/axons and various glial cells, such as SCs (Feng et al., 2000; Livet et al., 2007; Zuo et al., 2004) has proven extremely helpful in this kind of research (Hayashi et al., 2008; Lichtman & Sanes, 2003; Magill et al., 2007, 2008).

Investigations of the NMJ have been conducted in various different sites and animals. The most popular sites include the sartorius (Herrera et al., 1990) and cutaneous pectoris muscle (Georgiou & Charlton, 1999) in the *frog*, the sternocostalis (Kemplay & Stolkin, 1980), bulbocavernosus (Lubischer & Bebinger, 1999), soleus (Lubischer & Thompson, 1999), gastrocnemius (Ma et al., 2002; Mehta et al., 1993) and extensor digitorum longus muscle (Love & Thompson, 1998) in the *rat*, and the levator auris longus (Rochon et al., 2001), gluteus (Balice-Gordon, 1997b), soleus (Fahim et al., 1983; Wigston, 1989), gastrocnemius (Wigston, 1990), extensor digitorum longus (Fahim et al., 1983), flexor digitorum brevis (Halstead et al., 2004), bulbocavernosus (Balice-Gordon, 1997b), triangularis sterni (Bishop et al., 2004; Goodfellow et al., 2005; Kerschensteiner et al., 2008), diaphragm (Bullens et al., 2002; Halstead et al., 2004; O'Hanlon et al., 2001) and sternomastoid muscle in the mouse (Balice-Gordon, 1997b; de Paiva et al., 1999; Lichtman et al., 1987; O'Malley et al., 1999; Rich & Lichtman, 1989b). Developmental stages examined reach from embryos, over neonates to adult or

senile individuals (Balice-Gordon, 1997b; Fahim et al., 1983; Love & Thompson, 1998; Trachtenberg & Thompson, 1996).

All mammalian muscles listed above share two traits, namely that their muscle fibres, physiologically, are singly innervated by only one axon and that their NMJs exhibit an en plaque morphology. These features are the norm in the mammalian muscle, however, amphibian, reptile, avian and some mammalian muscles also have muscles fibres, which are multiply innervated and exhibit en grappe synapses (Morgan & Proske, 1984; Hughes et al., 2006). En plaque endplates, which are found mostly on mammalian twitch muscle fibres, are about 50 µm in diameter (Hughes et al., 2006) and physiologically are clustered in a central endplate band across the muscle (Sanes & Lichtman, 1999). In contrast to this, en grappe endings are found on tonic or intermediate muscle fibres, exhibit a smaller diameter of only 10 to 16 µm and usually are dispersed along the entire length of individual muscle fibres (Hughes et al., 2006). The latter fibres, unlike twitch muscle fibres, do not respond to a single neural input with a single action potential, but rather are activated by a passive spread of depolarisation from multiple nerve endings, which results in continuous contractions lasting over time (Hughes et al., 2006). In mammals, muscle fibres of the extraocular, laryngeal, intrinsic ear and tongue muscles as well as the intrafusal fibres found in muscle spindles contain multiinnervated fibres with both en plaque and en grappe endings (Matthews, 1981; Morgan & Proske, 1984; Subramani et al., 1986; Hughes et al., 2006). In the following all information is related to en plaque NMJs.

## 1.2.2 Formation and maturation of the NMJ

During the first postnatal weeks all mammalian en plaque NMJs share a elliptical plaque-like appearance and are multiply innervated (Ma et al., 1999). Their shape, however, becomes more distinguished over the next few weeks due to changes in input, so that mature NMJs have been likened to the form of pretzels, with each NMJ exhibiting a distinct and individual appearance and supplied by a single axon (Bishop et al., 2004; Sanes & Lichtman, 1999). During further growth of the organism the NMJs have been described not to change anymore in their geometry and shape, only in size (Balice-Gordon, 1997a, 1997b; Kang et al., 2007; Lichtman

et al., 1987; Lubischer & Bebinger, 1999; Powell et al. 1982; Son et al., 1996; Wigston, 1990). A mature NMJ physiologically persists for life, remodeling of small areas, however, can be observed and attributed to changes in input or activity (Wigston, 1990). In general, the extent of NMJ remodeling seems to rely on the age, species and muscle observed.

Frog NMJs seem to undergo a lot more changes when compared to NMJs of young adult (three to six-month old) mice (Herrera et al., 1990; Wigston, 1990). The sternomastoid muscle in such mice exhibits hardly any changes when examined over a period of three to six months (Lichtman et al., 1987; Sanes & Lichtman, 1999), whereas approximately one seventh of NMJs in the gastrocnemius muscle (Wigston, 1990) and close to half of the NMJs in the soleus muscle in mice undergo significant remodelling in the same period of time (Wigston, 1989). The reason for these discrepancies in observations might lie in the different approaches applied for these studies, i.e. the application of presynaptic (Lichtman et al., 1987) or postsynaptic dyes (Wigston, 1989) or both (Wigston, 1990). Alternatively, the amount of fast- and slow-twitch muscle fibres per muscle has been proposed to play a role, too (Wigston, 1990). Muscles containing mostly fast-twitch fibres, like the sternomastoid, the bulbocavernosus and gastrocnemius muscles in the mouse, exhibit less plasticity (changes) when compared to those consisting to a greater degree of slow-twitch fibres, like the soleus muscle (Wigston, 1990). In embryonic and young adult mice up to 3 months of age, NMJs can further be divided into "fast synapsing" and "delayed synapsing" NMJs, which is independent from which kind of muscle fibres these are located on, but nevertheless has an impact on the stability of the different neuromuscular components during synaptogenesis and following toxin- and denervation-induced paralysis (Pun et al., 2002; Santos et al., 2003). A drastic increase of instability of synapses has been reported once mice have reached the age of one year. This instability becomes quite marked at an age of 18 to 20 months, so that 24- to 36-month-old mice exhibit significant losses both in preand postsynaptic components of the NMJ even in the otherwise relatively stable sternomastoid muscle (Balice-Gordon, 1997a, 1997b). Other researchers report an increase in the number of intrasynaptic nerve branches in association with an increased number of primary clefts on the postsynaptic sites in 29-month-old mice, rendering the pre-and postsynaptic apparatus observed a lot more complex than compared to those in seven-month-old mice (Fahim et al., 1983).

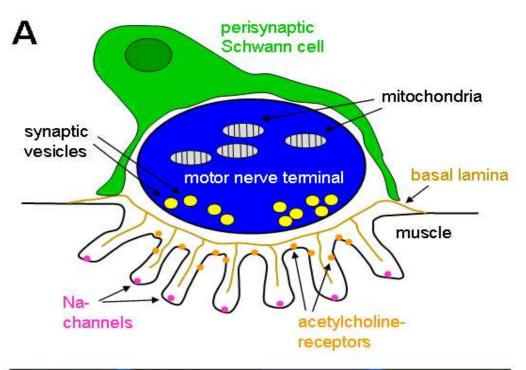
## 1.2.3 Anatomy of the NMJ (Fig.5)

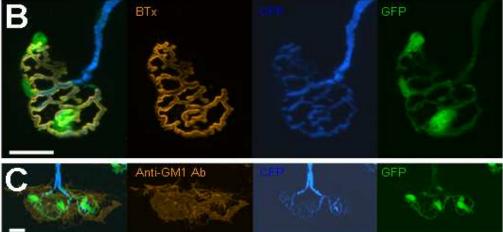
The NMJ is a tripartite synapse consisting of **a)** a presynaptic component, the motor NT, **b)** a postsynaptic component, the nAChRs, and **c)** glia, the pSCs (Balice-Gordon, 1997b; Feng & Ko, 2007; Hughes et al., 2006; Ribchester, 2009; Sanes & Lichtman, 1999). Recent research has provided mounting evidence of a fourth component of the NMJ, a fibroblast-like cell, which lies outside the synaptic basal lamina, caps the NMJ in total and thus has been named 'kranocyte' (Court et al., 2008; Ribchester, 2009; Fig. 5C).

The motor NT is polarised (Patton, 2003), with most of the synaptic vesicles clustered in that half of the terminal which faces the muscle. The ab-junctional half mostly incorporates mitochondria, which provide the energy for neurotransmitter synthesis and release (Sanes & Lichtman, 1999), and also buffer intracellular Ca<sup>2+</sup> (Hughes et al., 2006). The synaptic vesicles, which have an approximate diameter of 30-50 nm and are filled with the neurotransmitter acetylcholine (ACh), are once again concentrated in so-called active zones (Patton, 2003) and are associated with voltage-dependant K- and Ca<sup>2+</sup>-channels (Hughes et al., 2006; Sanes & Lichtman, 1999). Following the arrival of an action potential at the NT, Ca<sup>2+</sup> enters the NT and leads to a marked increase of the local Ca<sup>2+</sup>-concentration. This triggers the fusion of the synaptic vesicles with the preterminal axonal membrane, thus resulting in the release of ACh into the synaptic cleft. Additionally, to being Ca2+ -dependent, this process also relies on various SNARE (soluble NSF (N-Ethylmaleimide-Sensitive Factor) Attachment Protein receptor) -proteins associated with both the presynaptic NT membrane and the vesicle membranes (Hughes et al., 2006). Other molecular structures on the NT surface feature the α-latrotoxin receptor (Lelyanova et al., 2009), which mediates the binding of the black widow spider venom, A<sub>2A</sub> adenosine receptors (Baxter et al., 2005) and gangliosides, which act as receptors for the neurotoxins tetanus toxin and botolinum toxin (van Heyningen, 1974; see also 3.5.4)

Once released, the ACh transverses the short synaptic cleft, which is roughly 50 to 80 nm wide (Hughes et al., 2006; Yamagata et al., 2003), thus about two to three times wider than the average interneuronal synaptic cleft (Patton, 2003), and is taken up by the nAChRs. These nAChRs are ligand-gated ion channels (Hughes et al., 2006) and are located on the postsynaptic membrane. The postsynaptic membrane of the muscle fibre has the shape of a shallow indent opposite the NT

and is organised in about 1 to 2  $\mu$ m deep junctional folds, the secondary synaptic folds. The nAChRs are located at a concentration of more than 10,000 per  $\mu$ m<sup>2</sup> (Hughes et al., 2006; Sanes & Lichtman, 1999) in direct apposition with the presynaptic active zones at the crest and partly down the sides of the secondary folds, whereas Na-channels are located in the depths of these folds (Sanes & Lichtman, 1999).





**Fig.5:** The neuromuscular junction. A schematic overview; **B** NMJ in a mouse expressing cyan fluorescent protein (CFP; blue in composite image) in its axons and green fluorescent protein (GFP; green in composite image) in its SCs. Additionally, the nicotinic acetylcholine receptors are stained with rhodamine-conjugated bungarotoxin (BTx; orange in composite image). **C** Location of the kranocyte (stained with anti-GM1 Ab; orange in composite image) in relation to the nerve terminals (CFP; blue in the composite image) and pSCs (GFP; green in the composite image). Scale bars: 20 μm.

Located within the synaptic cleft, and extending into the junctional folds, is the basal lamina which ensheaths every muscle fibre. The composition of this is similar to other basal laminae in the body, namely collagen IV, laminin, entactin, perlecan and fibronectin (Patton, 2003). In addition to these, however, further molecules like acetylcholinesterase (Hughes et al., 2006; Sanes, 1995) for the clearance of neurotransmitter (Patton, 2003) and agrin and neuregulin, two molecules important for postsynaptic differentiation and the clustering of nAChRs (Martin, 2003;Sanes & Lichtman, 1999), can be found. Generally, it is assumed that the synaptic basal lamina in its unique composition of molecules organises and maintains the architectural specialisation of the pre- and postsynaptic components of the NMJ (Patton, 2003).

On top of the NT the pSCs are situated, isolating the NMJ from the environment (Sanes & Lichtman, 1999). Physiologically, each NMJ (both in mammalian and amphibian organisms) is associated with between three and five pSCs (Auld & Robitaille, 2003; Hughes et al., 2006; O'Malley et al., 1999). This number seems to be relatively tightly regulated and depends on the endplate size (Love & Thompson, 1998; Lubischer & Bebinger, 1999; Zuo et al., 2004) Unlike the preterminal SCs, which wrap themselves around to the motor axons and produce myelin, the pSCs do not produce any myelin and only cap the NT with their cell bodies and processes (Balice-Gordon, 1996).

The apposition between pSCs and NTs is estimated to approximately 10-20 nm and no basal lamina intervenes (Griffin & Thompson, 2008). In the amphibian NMJ, the processes of pSCs invade the synaptic clefts (Auld & Robitaille, 2003; Griffin & Thompson, 2008), whereas in mammalian NMJs the synaptic basal lamina inhibits the pSCs from doing so (Sanes & Lichtman, 1999). Invading processes can only be seen at mammalian NMJs under pathological conditions, such as following NT degeneration (Manolov, 1974; Miledi & Slater, 1970; Winlow & Usherwood, 1975), and habitually are observed in the mouse model of GBS described above (Halstead et al., 2004; O'Hanlon et al., 2001). In the event of a genetic deficiency for synaptic acetylcholinesterase or after chronic administration of anti-acetylcholinesterase, the pSCs also extend processes into cleft; this is seen as compensatory mechanism to reduce the amount and duration of ACh in the cleft during synaptic activity (Hughes et al., 2006). In general, pSC processes have been described as very dynamic (Kang et al., 2007).

#### 1.3 Schwann cells

#### 1.3.1 Origin

Both myelinating and non-myelinating SCs arise from the neural crest, together with a variety of other neural and non-neural cells, such as neurons (for sensory, sympathetic and enteric ganglia), melanocytes, satellite cells, endoneurial fibroblasts (Joseph et al., 2004) and endocrine cells. Neural crest cells of the cephalic domain of the neural crest even give rise to mesenchymal cells, which eventually will form cartilage, bone, dermis, connective tissue in muscles and glands, meningeal cells and adipocytes (Dupin et al., 2007; Le Douarin et al., 2008).

The differentiation of multipotent neural crest cells seems to be strongly determined by the environment into which these cells migrate (Dupin et al., 2007) and once these cells have reached post-migratory stages, they are fairly restricted in their developmental potential. Various experiments, however, in which neural crest derived cells were removed from their differentiated tissues and subjected to a new environment, have exhibited a reversal of differentiation programmes and recovery of multipotential properties in these cells. In addition to this, pluripotent progenitors for glia, autonomic neurones and myofibroblasts and also a distinct line of bipotent cells, yielding myofibroblasts and glia, have been shown to be capable of self-renewal (Dupin et al., 2007; Stemple & Anderson, 1992).

#### 1.3.2 Differentiation and maturation

Differentiation of the neural crest stem cells to SCs occurs in two steps during embryonic development. In mice, SC precursors have been formed from neural crest stem cells around embryonic day (E) 12/13 (Jessen & Mirsky, 2005). These then develop into immature SCs, which are present around E15/16 until the time of birth (Jessen & Mirsky, 1999; Woodhoo & Sommer, 2008). Schwann cell proliferation rates are their highest during the immature SC stage (Woodhoo & Sommer, 2008).

Both SC precursors and peripheral axons traverse the body together on their way to connect the periphery with the central nervous system, with the axons leading the way (Koirala et al., 2003). At this stage of development, the SC precursors are likely to provide trophic support for the sensory and motor neurons, in addition to being essential for the development of the normal nerve fasciculation (Jessen & Mirsky, 2005). At the same time the SC precursors are dependant on neuregulin which is produced by the axons (Birchmeier & Nave, 2008; Jessen & Mirsky, 1999) and binds to ErbB receptors on the SCs (Hayworth et al., 2006). Differentiation of the immature SCs into myelinating and non-myelinating forms seems to depend on which axons they randomly have been associated with; SCs associated with single large diameter (> 1 µm) axons turn into myelinating SCs (Jessen & Mirsky, 2005) and this, in addition to the thickness of myelin produced by the SC, once again is mediated by neuregulin (Birchmeier & Nave, 2008). Following nerve injury, both mature myelinating and non-myelinating SCs revert to a phenotype similar to that of immature SCs. Schwann cells precursors can (in vitro) be persuaded to form other neural crest derivatives. Only the transition from SC precursor to immature SC seems to be irreversible (Jessen & Mirsky, 2005). This then means that once the neural crest cell has differentiated into a SC precursor, it will become a (myelinating or non-myelinating) SC. There is not clear evidence for the persistence of a precursor population in mature nerves (Jessen & Mirsky, 1999)

Denervation of the NMJ in the first two weeks of life results in the pSCs undergoing apoptosis and this loss extends along the efferent axon into the myelinating SC population. Application of glial growth factor, a member of the neuregulin family, prevents the apoptosis *in vivo* (Trachtenberg & Thompson, 1996), further indicating the dependency of SC to axons in this stage of development. During the maturation of SCs in the early postnatal life, however, the SCs establish an autocrine survival mechanism which renders them independent from axonal neuregulin-production (Jessen & Mirsky, 1999).

### 1.3.3 Perisynaptic Schwann cells

#### 1.3.3.1 Distribution

At birth, no or only one pSC body can be found on most rat NMJs (Love & Thompson, 1998). This one pSC forms a loose cap over multiple axonal bulbs (Hirata et al., 1997), whereas in the adult only one pSC is responsible for one terminal bouton (Sanes & Lichtman, 1999). Neuromuscular junctions with no visible pSC body are covered by processes extending down from cells located on the preterminal axon (Love & Thompson, 1998).

The most dramatic increase of pSCs occurs during the first two weeks of life in rats (Love & Thompson, 1998). The increase in pSC number is attributed to migration (at least for the first pSC), followed by a considerable rate of mitosis. Two- to four-month-old rats exhibit two to four pSC bodies at each NMJ (Hirata et al., 1997; Love & Thompson, 1998) and over the next year only one or two pSCs are added to each NMJ (Love & Thompson, 1998). Reportedly, up to nine pSC bodies per NMJ can be counted in young adult rats and mice (O'Malley et al., 1999; Reynolds & Woolf, 1992). Mitosis of pSCs, however, is not seen under physiological circumstances (Love & Thompson, 1998) and the number of pSCs in adult NMJs is remarkably stable (O'Malley et al., 1999).

In general, large NMJs are associated with more pSCs than small NMJs (Love & Thompson, 1998; Lubischer & Bebinger, 1999) and shrinkage of endplates due to muscle fibre atrophy or gradual decrease of nAChRs in old individuals is associated with loss of pSCs (Lubischer & Bebinger, 1999; Sanes & Lichtman, 1999).

#### 1.3.3.2 Function

Despite numerous studies trying to elucidate the role of the pSCs at the NMJ, not much is know regarding their regular function, especially in mammals (Griffin & Thompson, 2008).

It has been shown, however, that, like glial cells at central nervous system synapses, pSCs can detect neuronal activity at the NMJ. They respond to release of neurotransmitter from the motor NT with a rise in intracellular Ca2+ derived from internal stores (Georgiou et al., 1994; Jahromi et al., 1992; Rochon et al., 2001), which in the frog in turn potentiates the release of neurotransmitter probably via second messager-cascades (Castonguay & Robitaille, 2001). Additionally, it has been shown that frog pSCs can influence synaptic efficacy via G-protein signaling, which are linked downstream to purinergic, adenosine and peptidergic receptors (Robitaille, 1998). In mice, the increase of intracellular pSC Ca<sup>2+</sup> levels is mediated both by muscarinic AChR and adenosine A1 receptors (Rochon et al., 2001). Extracellular Ca2+ negatively affects the pSCs to respond to the release of neurotransmitters (Rochon et al., 2001). Following denervation of NMJ or electrical stimulation of pSCs, frog pSCs also seem to be able to release ACh (Bevan et al., 1973; Dennis & Miledi, 1974). The ACh-release following stimulation of the pSCs is Ca<sup>2+</sup>-independent, relatively "explosive" and accompanied by an extensive changes of the pSC-morphology (Dennis & Miledi, 1974).

Selective ablation of frog pSCs from the NMJ acutely does not seem to affect NMJ structure and function, whereas loss of pSCs for one week or more, results in the NTs retracting from the NMJ and a reduction in synaptic transmission (Reddy et al., 2003).

In addition to the functions described above, a role for the pSCs in "pruning" of neonatal multiple innervation of NMJs (Hirata et al., 1997; Love & Thompson, 1998), clustering of nAChRs (Koirala et al., 2003) and phagocytosis of cell debris (Reynolds & Woolf, 1992) have been described.

#### 1.3.3.3 Perisynaptic Schwann cells and NMJ denervation

As indicated above, denervation of motor endplates in neonatal rats results in the pSCs undergoing apoptosis, as at that stage these cells are still trophically dependent on axons (Lubischer & Thompson, 1999; Trachtenberg & Thompson, 1996).

Denervation of mammalian NMJs after that age, however, induces pSCs to change from a steady into a reactive form. These changes are mediated by an autocrine neuregulin signaling pathway (Hayworth et al., 2006) and result in the pSCs extending processes towards neighbouring NMJs (Reynolds & Woolf, 1992), which then form an extensive interconnecting network (Son et al., 1996). This process is preceded by proliferation and spreading of the kranozytes capping the NMJ (Court et al., 2008). The reactive forms of pSCs themselves display characteristics of earlier developmental stages (Son et al., 1996) and once reinnervation is complete, the pSC processes are withdrawn (Reynolds & Woolf, 1992).

In completely denervated muscles, regenerating axons extend towards the periphery along the bands of Büngner until they reach an endplate. Due to the network of pSC processes between the endplates, however, the axons often do not stop there, but continue growing along the intercalating pSC processes to other NMJs (Son & Thompson, 1995b). This is the basis for (muscle) fibre type clustering after reinnervation and also often leads to multiple innervation of individual muscle fibres (Son & Thompson, 1995b; Son et al., 1996). Only once the endplates have regained innervation, some pSCs can undergo mitosis (Love & Thompson, 1998).

In partly denervated muscles, the pSC processes extending from denervated endplates preferentially contact still innervated endplates (Love & Thompson, 1999), induce the intact NTs there to grow and then guide the motor sprouts towards "their" denervated motor endplate, so that these motor axons end up providing innervation to another NMJ in addition to their original endplate (Son & Thompson, 1995a). In addition to inducing axonal growth, processes extending from denervated endplates also induce pSC mitosis on innervated NMJs (Love & Thompson, 1998; Lubischer & Thompson, 1999).

The event of mitosis in adult pSCs, therefore, seems to require two prerequisites. Firstly, a preceding denervation at the same or a different site, and secondly, axon-pSC contact. The latter further implies that a nerve-derived factor probably is necessary to induce mitosis. Several signaling molecules, including neuregulin, platelet-derived growth factor, fibroblast growth factor and calcitonin gene-related peptide have been proposed to be responsible for this (Love & Thompson, 1998). In addition to this, reactive pSCs might also be responsible for providing the stimulus, which potentially could be  $TGF-\beta$  or neuregulin (Love & Thompson, 1998). Recent

experiments indicated a role for neuregulin signaling in inducing pSC mitosis (and migration (Trachtenberg & Thompson, 1997)) at NMJs; the source of this, however, remains to be determined (Hayworth et al., 2006).

#### 1.3.3.4 Markers

The most commonly used SC probe is S100, which labels both myelinating and nonmyelinating SCs (Koirala et al., 2003); in mouse SC precursors low levels of S100 might be detected with increasing sensitivity of the assay (Jessen & Mirsky, 2005). In general, S100 proteins are Ca2+ binding proteins and act primarily as intracellular Ca<sup>2+</sup> receptors, some, however, also extracellularly. There are roughly 16 different S100 proteins (Zimmer et al., 1995), of which S100B is commonly used as a marker for glial cells (Zuo et al., 2004). S100B has been described to play a role in cell-cell communication, cell structure, growth, metabolism and intracellular signal transduction (Zimmer et al., 1995). Interestingly, in frogs, myelin associated proteins P0 and myelin-associated glycoprotein also label both myelinating SCs and pSCs (Georgiou & Charlton, 1999). All non-myelinating SCs can be labelled with Abs to glial fibrillary acidic protein (Jessen & Mirsky, 2005) and the cell-surface glycoprotein CD44 (Gorlewicz et al., 2009); peanut agglutin and mAb 2A12 specifically label the external surface of frog (and in the case of mAB 2A12 also avian) pSCs (Astrow et al., 1998), but unfortunately not mammalian pSCs (Koirala et al., 2003). However, Calcein blue, a cell permanent dye has recently been used to label mammalian pSCs in vivo (O'Malley et al., 1999).

LNX1 is an ubiquitin kinase which is specifically localised to mouse pSCs (compared to myelinating SCs). The expression of this protein is at its maximum roughly at postnatal week two to three and then persists in adults. Following denervation, it cannot be stained for anymore (Young et al., 2005). In direct contrast to this, semaphorin 3A is predominantly expressed in the pSCs of postnatal developing (until day 21) and denervated muscles and here selectively in pSCs of type IIb/x (fast-fatigable) muscle fibres (De Winter et al., 2006). The monoclonal Ab 4E2, which recognises a truncated nestin protein (Kang et al., 2007), weakly stains rat myelinating SCs, whereas pSCs do not exhibit any levels of immunoreactivity at all. Following denervation of the NMJ (or blockade of neurotransmitter release), pSCs

become strongly nestin immuno-positive until reinnervation of the NMJ has occurred (Astrow et al., 1994; Kang et al., 2007). Similarly, the blockade of nerve activity (or nerve transection of the efferent) results in the up-regulation both of glial fibrillary acidic protein (Georgiou et al., 1994), which might be important in inducing process extension (Love & Thompson 1998), growth-associated-protein (GAP) -43, which coincides with the onset of process extension (Woolf et al., 1992) and the low-affinity nerve growth factor receptor p75 (Reynolds & Woolf, 1992).

Thus, the markers for semaphorin 3A, nestin, glial fibrillary acidic protein (upregulated), p75 and GAP-43 indicate the reactive state of pSCs.

### 1.3.4 Schwann cells as targets in pathological processes

Myelinating SCs are affected by a number of hereditary demyelinating disorders. These include Charcot-Marie-Tooth disease and the lysosomal storage diseases, globoid cell and metachromatic leukodystrophy (Scherer & Wrabetz, 2008; Suzuki, 2003). Additionally, these cells can suffer from metabolic disturbances, such as oxidative stress after ischemia-reperfusion injury to the peripheral nerve in both normal (Iida et al., 2004) and diabetic peripheral nerves (Wang et al., 2005). Intoxications with lead (Powell et al., 1982), galactose (Mizisin & Powell, 1993), bortezomib (Shin et al., 2010) and disulfiram (Filosto et al., 2008) also result in changes observed in myelinating SCs.

Additionally, myelinating SCs are presumed to be directly targeted by *Mycobacterium leprae* in the peripheral neuropathy leprosy (Spierings et al., 2000) and diphtheria toxin following the infection with *Corynebacterium diphteriae* (Morgan-Hughes, 1968). Immune-mediated attacks on myelinating SCs are observed in paraproteinaemic neuropathies, lymphomatous neuropathies (Kelly & Karcher, 2005) and the demyelinating form of GBS, CIPD (Glass & Cornblath, 1994).

However, regarding pSCs posing as specific (and sole) targets in disease, the antiganglioside Ab-mediated injury described above seems to account for the only incident observed so far.

### 1.4 In vivo imaging

In vivo imaging of synapses was pioneered in the 1980s, with the report of Purves and colleagues of imaging dendrites of sympathetic neuron in the cranial cervical ganglion probably being the first of its kind (Purves & Hadley, 1985). Following the development of dyes suitable to stain NTs reliably (see 1.2.1), this technique was subsequently applied to image NMJs in living mice (Kasthuri & Lichtman, 2004). In addition to single *in vivo* investigations, it now has become possible to monitor the same NMJ repeatedly over a period of time (Lichtman et al., 1987; Lichtman & Sanes, 2003), which has yielded invaluable information on development, stability and plasticity of synapses (Balice-Gordon, 1997b; Balice-Gordon & Lichtman, 1993; Lichtman & Sanes, 2003; Magrassi et al., 1987; Walsh & Lichtman, 2003).

The prerequisites for the sites used for *in vivo* imaging include ease of access, location of endplate band and flatness. Thus, the muscles preferentially used in mice comprise the sternomastoid, lateral gastrocnemius, soleus and gluteus muscles (Balice-Gordon, 1997a, 1997b; Lichtman et al. 1987; O'Malley et al., 1999; Rich & Lichtman, 1989b; Wigston, 1989, 1990).

#### 1.4.1 Sternomastoid muscle

The sternomastoid muscle (SM) acquires its motor innervation by the spinal part of CN XI, whose combined motor pool for SM, cleidomastoid muscle and trapezius muscle lies in the caudal medulla and C1 to C3. Sensory innervation is provided from the cervical plexus (C1 to C6) (Fitzgerald et al., 1982; Gottschall et al., 1980). It has, however, very recently been reported, that additional motor innervation to the SM might be supplied by ventral roots of the first few spinal nerves (Ullah et al., 2007).

Both medullary and spinal cervical components of CN XI exit the skull via the jugular foramen and run caudal under the digastric muscle, medial to the SM and lateral to the truncus vagosympathicus together with CN XII. Cranial nerve XI then turns towards lateral, passing under the cleidomastoid muscle. On the lateral side of this muscle the innervation for the trapezius muscle branches off and the rest of the

nerve is divided up into a branch for the cleidomastoid muscle and a branch for the SM. The latter enters the SM from underneath in the middle of the muscle and is distributed throughout the middle of the muscle belly in a single endplate band. This endplate band lies in the mid-region of the muscle (Lichtman et al., 1987) and runs from caudomedial to craniolateral (when the mouse lies in dorsal recumbency). All muscle fibres of the SM pass the entire length of the muscle without interruption (Zenker et al., 1990). The SM itself consists of two parts, but predominantly is a fast-twitch muscle in rats and mice (d'Albis et al., 1988; Kemp et al., 2009). On the lateral side, there is a main white component with fibre types IIa (intermediate) and IIb (fast), whereas on the medial side a smaller red component with fibre types I (slow twitch) and II (fast) can be found (Gottschall et al., 1980). The fibre types do not seem to exert any influence on the size of the individual NMJs (Zenker et al., 1990).

#### 1.4.2 Gastrocnemius muscle

The gastrocnemius muscle is innervated by tibial nerve which enters the muscle from dorsal (Popesko et al., 1992). On the lateral gastrocnemius muscle (used for *in vivo* investigations (Wigston, 1990)) a short endplate band runs obliquely on the distal half of the lateral head close its border with the tibia.

The mouse and rat lateral gastrocnemius muscle is predominantly a fast-twitch muscle (90%) with the surface area containing only fast-twitch fibres (Gillespie et al., 1987; Wigston, 1990), whereas in human subjects this muscle seems to be predominantly slow-twitch (Shorey & Cleland, 1988).

## 1.4.3 Soleus muscle

Innervation to the soleus muscle is provided by a branch of the tibial nerve, which enters the soleus muscle in its proximal third from dorsal (Popesko et al., 1992).

The endplate band of the soleus muscle extends diagonally on its caudal aspect from proximo-lateral to distal-medial. In rats, mice and humans, the predominant fibre types in the soleus muscle are slow (I) and intermediate types (IIa) (d'Albis et al., 1988; Gillespie et al., 1987; Jaweed et al., 1975; Kemp et al., 2009; Shorey & Cleland, 1988).

#### 1.4.4 Gluteus muscle

The gluteus muscle is innervated by two branches of the sciatic nerve, the rostal and caudal gluteal nerves, and its endplate band runs in a craniocaudal direction (Lampa et al., 2004).

Both in rat and mouse, the gluteus muscle is a predominantly fast-twitch muscle (Armstrong & Phelps, 1984; Lampa et al., 2004).

#### **1.5 Aims**

The aim of my doctoral research project was to combine the already wellestablished mouse model of GBS with an *in* and *ex vivo* imaging system of the murine NMJ and exploit this paradigm to investigate acute injury and regeneration of neural and glial structures targeted in GBS.

The successful establishment of an *in vivo* imaging system not only allows to monitor and document acute dynamic changes to the neural and glial components of the NMJ following anti-ganglioside Ab and complement-mediated injury in real time, but also to highlight the progress and recovery of individual NMJs over time. This system then can also be used to investigate potential therapies for GBS, in addition to exploring basic neurobiological questions on the relationship of the neural and glial components of the NMJ with one another. Due to the possibility of multiple reimaging sessions, the number of experimental subjects required to obtain the information desired can be greatly decreased.

Within this investigatory paradigm, my investigations sought to address the following hypotheses:

- 1. Following an *in / ex vivo* application of anti-ganglioside Ab and complement, differential (neural and or glial) patterns of injury can be observed depending on the binding specificity of the anti-ganglioside Ab applied.
- Injury restricted to the distal motor nerves and NTs can be observed following the application of anti-ganglioside Abs which specifically bind to the NTs, and a source of complement.
- Following a single anti-ganglioside Ab and complement-mediated injury, the distal motor nerves and NTs can rapidly recover their physiological morphology.
- 4. The rate of NT recovery/regeneration is decreased in the event of a concomitant injury to the pSCs.

Similar to amphibian NTs, mammalian NTs are also dependent on pSCs.
 Thus, morphological changes to the NTs can be observed following a prolonged (one week or more) loss of pSCs.

To ensure reliability and reproducibility of the investigations and to eliminate any artificial changes in this system, my experiments were conducted in a hierarchical fashion and included a number of control and supplementary investigations.

#### These included to

- confirm stability of the NMJ over time in the mice aspired to use for the imaging investigations (chapter 3.1)
- optimise *in vivo* imaging technique to eliminate any manipulation and imaging-induced changes (chapter 3.2)
- determine most advantageous anti-ganglioside Abs for in vivo investigations (chapter 3.3)
- ensure that results acquired from the different muscles can be extrapolated to one another and that muscles used for investigations are able to exhibit the properties required (chapter 3.4)

Once these prerequisites were established, the *in* and *ex vivo* regeneration studies were to be conducted.

In these investigations, the focus lay on the rate of distal motor nerve and NT regeneration following anti-ganglioside Ab and complement-mediated injury (chapter 3.7). To examine the role of the pSCs in NT recovery/regeneration, a concomitant injury of the pSCs was carried out (also chapter 3.7).

In addition to the *in vivo* experiments, a number of *ex vivo* auxiliary investigations were carried out to elucidate the meaning of the loss of intracytosolic axonal fluorescent proteins in the injury paradigms applied and to facilitate the interpretation of the results achieved (chapter 3.6).

Out of line with the rest of the investigations, a result achieved in the primary investigations, namely the physiological and rapid uptake of anti-ganglioside Ab into the NT, was further explored in a side-study (chapter 3.5).

# 2. GENERAL MATERIALS AND METHODS

## 2.1 Experimental animals

#### 2.1.1 Wildtype (WT) fluorescent mice

All experiments were conducted in fluorescent B6.Cg-Tg(Thy1-CFP/S100B-GFP) mice, which express intracytosolic cyan fluorescent protein (CFP) in their peripheral motor and sensory axons (Feng et al., 2000) and intracytosolic green fluorescent protein (GFP) in their Schwann cells (Zuo et al., 2004). These double-fluorescent mice were generously supplied to us by Dr W. Thompson (Austin, TX, USA), however now are also commercially available as individual lines through Jackson (Bar Harbor, ME, USA). The description of these lines on the "The Jackson laboratory site" (http://jaxmice.jax.org/) indicates that the double-fluorescent mice are on a mixed B6/DBA background and are - at least for the expression of GFP - supplied as homozygous mice. To increase the number of experimental subjects available, male double-fluorescent mice were also outbred with conventional DBA females. All F1-offspring of these matings where also double-fluorescent, indicating that the founder line must be homozygous for the expression of both GFP and CFP. Heterozygous F1 mice were crossed to acquire single-fluorescent (GFP or CFP) mice.

All offspring (both homozygous and heterozygous) were investigated for the inheritance of transgenes by phenotyping ear-punches from 21 day-old mice. For this, the skin of the two sides of the ear was gently pulled apart and coverslipped with Citifluor antifade (Citifluor Products, Canterbury, UK) with the inner side upwards. The samples were then examined under a fluorescence compound microscope (see 2.3.4 for details) for the occurrence of GFP in adipocytes and CFP in axons/neurons.

In our particular strain of mice, the expression of CFP is observed in all peripheral motor axons; this lies in contrast to other strains of these mice, where only a subset of motor axons exhibit fluorescent proteins (Beirowski et al., 2004; Feng et al., 2000).

The GFP signal intensity is proportional to the activity of the S100B-promotor, however, GFP can also persist in these cells over some time even when the S100B-promotor is inactive. Myelinating SCs exhibit a lower level of GFP intensity when compared to pSCs (Magill et al., 2007).

Both homozygous or heterozygous mice were used for experiments; occasionally only single-fluorescent (only CFP or GFP) mice were required.

## 2.1.2 Knock-out (KO) fluorescent mice (see Fig.1)

GalNac-Transferase knock-out (GM2KO) mice do not express any complex gangliosides, whilst at the same time expressing higher levels of the simple gangliosides GM3, GD3 and GT3 (Takamiya et al., 1996).

GD3-Synthase KO-mice (GD3KO) do not express any gangliosides of the b- and c-series, but express gangliosides of the a-series at higher levels when compared to WT mice (Kawai et al., 2001; Okada et al., 2002).

Both of these strains are viable and do not appear to have any very obvious morphological, behavioural and electrophysiological changes (Bullens et al., 2002; Kawai et al., 2001; Okada et al., 2002; Takamiya et al., 1996). The homozygous male GM2KO-mice, however, are sterile and other investigators report subtle changes to the myelination of axons and increased axonal degeneration both in the CNS and PNS of these mice (Sheikh et al., 1999b).

Both strains of KO-mice were crossed with fluorescent mice to obtain both singleand double-fluorescent KO-mice. Inheritance of the transgenes was confirmed by PCR (kindly carried out by Dr Denggao Yao) and phenotyping of earsamples (see 2.1.1).

### 2.1.3 Knock-in-knock-out fluorescent mice (PLP-transgenic (Tg-) mice)

In GM2KO-mice, GalNac-Transferase was reintroduced by linking this enzyme to a proteolipid protein (PLP)-promotor. PLP and its smaller isoform DM20 are expressed in oligodendrocytes, SC precursors, olfactory ensheathing cells and also some non-glial cells (cardiomyocytes and cells of the thymus) (Griffiths et al., 1998). This means that in these mice only the cells listed above should be able to synthesise and thus exhibit complex gangliosides.

To obtain (single- and double-) fluorescent PLP-Tg-mice, fluorescent GM2KO-mice were crossed with non-fluorescent PLP-Tg mice. Due to sterility of the homozygous male GM2KO-mice (see 2.1.2), no backcrossing was carried out. Inheritance of the required transgenes was confirmed by PCR (kindly carried out by Dr Denggao Yao) and phenotyping of earsamples (see 2.1.1).

### 2.1.4 Age and sex

All experiments were carried out in male and female ten- to 14-week-old mice unless stated otherwise.

#### 2.1.5 Procedures

All procedures described were carried out in accordance with UK home office and local (University of Glasgow) guidelines.

# 2.2 In vivo exposure and imaging of the sternomastoid (SM) and sternohyoid (SH) muscles

### 2.2.1 Preparation of the mouse, surgical approach and intubation

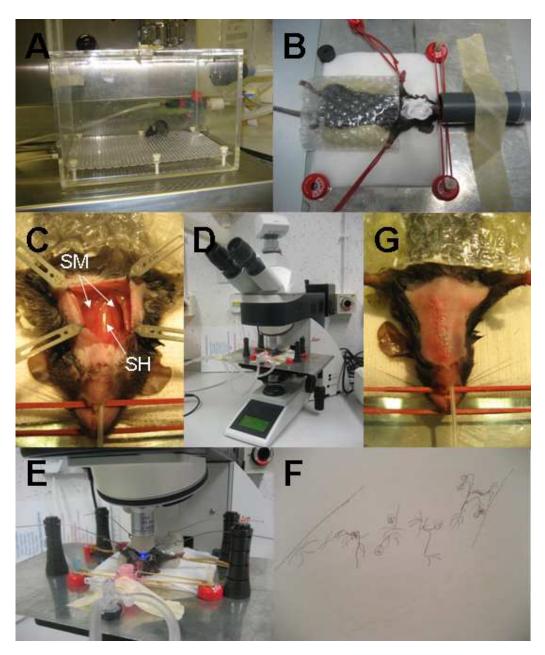
All mice were induced in an anaesthetic box (Fig.6A), which was flooded with 4% isoflurane (IsoFlo®, Abbot, Kent, UK). Following tolerance, the mice were supplied with buprenorphine (Vetergesic®, Reckitt Benckiser, Hull, UK; 0.05 mg/kg s.c) and 0.5 ml Glucose-saline (Baxter, Thetford, UK; s.c.) before being transferred to a padded metal plate, positioned in dorsal recumbency and maintained on 2% isoflurane with a mouse mask. Body temperature was kept physiological by placing the mice in a bubble-wrap "sleeping-bag" and supplying them with heated hot water bottles (tied-off glove-fingers filled with warm water). All surgical procedures were monitored with the help of an anaesthesia protocol (Table 1).

As a next step, the ventral neck area from the area of the chin to the rostral area of the sternum were depilated (Fig.6B; Veet ®, Renckitt Benckiser, Hull, UK) and cleaned with 70% alcohol. Following a midline incision, the bilateral submandibular salivary glands were separated and retracted carefully, and the SM and SH exposed (Fig.6C).

The surgical area was covered in sterile Ringer's solution (Vetivex 9, Dechra, Shrewsbury, UK; approximate ionic content: 147 mM Na, 4 mM K, 2.25 mM Ca, 155.5 mM Cl) and the mice were intubated with a 18G (green) or 20G (pink) ventflon (Vasofix®, Braun, Melsungen, Germany) under visual guidance and connected to a rodent ventilator (Harvard Apparatus, Edenbridge, Kent, UK). All mice were ventilated at 110 breaths per minute and 0.1 ml volume per 10g (i.e. 0.3 ml for a 30g mouse).

Mouse			Strain				m / f	weight			Date	
Stroke volume				Strokes	Strok es per minute							
			time	location	flow rate							
Isoflurane box	%											
Tolerance												
Eye gel												
Buprenorphine	ш											
Glc-saline	ш											
Isoflurane mask	%											
Intubation												
Isoflurane respirator	%											
Extubation												
Glc-saline	ш					start suturing	ıring			finish suturing	uring	
Carprofen	lm											
Wake up												
	0	15	88	45	9	75	8	105	120	135	150	165
time												
toe pinch												
tail pinch												
respiration												
	180	195	210	225	240	255	270	285	300			
time												
toe pinch												
tail pinch												
respiration												
Imaging	no ging			start								
	polp o∉			end			σPMN				Experiment	
	_							_				_

Table 1: Anaesthesia protocol



**Fig.6:** *In vivo* **imaging.** A mouse being induced in an anaesthesia box flooded with isoflurane; **B** mouse maintained under anaesthesia with an isoflurane mask and being depilated for surgery; **C** SM and SH following a midline incision in the ventral neck area and retraction of skin and salivary glands; **D**, **E** mouse placed under the microscope for *in vivo* imaging; **F**: map to depict location of NMJs imaged; **G**: mouse sutured up following imaging and ready for recovery.

### 2.2.2 In vivo imaging

Prior to imaging the skin surrounding the surgical area was retracted in four points (caudal-left and -right and rostral-left and -right) to create a trough. Then the SH and SM were covered with 0.4 ml of sterile Ringer's solution containing rhodamine- or Cy5-conjugated BTx (Molecular Probes, Eugene, OR, USA) for 10 minutes. Following a wash with Ringer's solution, the trough was filled with Ringer's solution and the mice transferred to the imaging microscope (Fig.6D, E), which was an epifluorescence microscope (Leica DMI4000B, Leica, Wetzlar, Germany) fitted with the appropriate filters to detect cyan, green, red and purple fluorescence (see Table 2) and connected to a computer via camera (Leica DFC 350 Fx, Leica Wetzlar, Germany). Images were acquired with the help of Leica Application Suite (Version 2.5.0 RI, Leica Microsystems CMS GmbH, Switzerland).

Following orientation at a total magnification of x100 (numerical aperture (NA) 0.3), superficial NMJs of the SH/SM were imaged at x400 (NA 0.8), taking care to minimise the exposure of the tissue to light. The localisation of the NMJs imaged was depicted by maps drawn which highlighted the main structures of the muscle (Fig.6F). Individual NMJs were identified by their distinct BTx-signal.

Fluorochrome	TRITC	GFP	CFP	Су5
Excitation range	Green	Blue	Violet / blue	Red
Excitation filter	BP 546/12	BP 470/40	BP 436/20	BP 620/60
Dichromatic mirror	565 nm	500 nm	455 nm	660 nm
Suppression filter	BP 600/40	BP 525/50	BP 480/40	BP 700/75

**Table 2: Filter sets for Leica DMI4000B** (*in vivo* imaging). All values for bandpasses (BP) are given in nm.

#### 2.2.3 Recovery

All mice recovered received a skin suture (single sutures Fig.6G, 6-0 Vicryl, Ethicon®, Johnson & Johnson, Livingston, UK), a single dose of carprofen (Rimadyl®, Pfizer, Kent, UK; 5 mg/kg s.c.) and another dose of Glucose-saline (0.5

ml, s.c.). They were then transferred to a padded cage in an incubator (27°C) for 24 hours and fed with soft mouse chow and babyfood (egg custard with rice, Heinz, Hayes, UK). Over the next five days (or until sacrificed), the mice were monitored regarding abnormalities in weight, appearance of the suture, general mentation, appetite, grooming, urination and defecation.

#### 2.2.4 Sacrifice

In the event of a terminal anaesthesia, mice received an intraperitonaeal dose of pentobarbital (Euthatal®, Merial Animal Health Ltd, Harlow, UK; pentobarbital sodium 200 mg/ml; 0.3 ml per mouse). The muscles were then processed and imaged as described in 2.3.

## 2.2.5 Reconstruction of images acquired in vivo (Figs.7, 8)

For reconstructing the images a combination of ImageJ (Version 1.38, NIH, Bethesda, MD, USA; http://rsb.info.nih.gov/ij/) and Adobe Photoshop CS (Version 8.0, Adobe Systems Europe Limited, Edinburgh, UK) were used. Some macros used in ImageJ ("MinMax" and "deblurrr single stack") were generated in-house by Mr Peter Humphreys; the commands for these are included in the Appendix.

As a first step, all clear images showing a part of or the entire the NMJ (regardless of filter) were selected and optimised regarding their contrast (command "MinMax" in ImageJ).

All images depicting the **BTx**-stain were then combined to a stack in ImageJ, deblurred and registered in the correct z-location within their stack with "deblurrr single stack"- and "stackreg" (with "scaled rotation") -macros. The z-stacks were then combined to one single image (command "ZProject") by summarising the intensities and the background subtracted (rolling ball radius: 50) once the files had been converted to an 8-bit file.

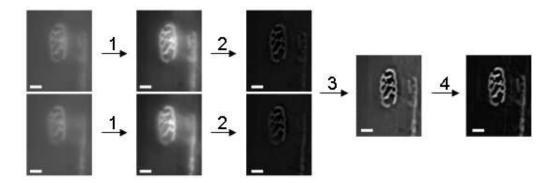
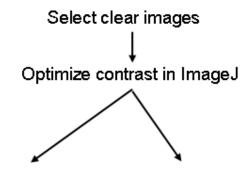


Fig.7: Effects of the image-processing steps. Two images depicting the BTx-signal are selected and optimised regarding their contrast (step 1), then deblurred (step 2). Following registering in the stack, the stacks are combined to a single image (step 3) and the background subtracted (step 4). (For illustratory purposes, the images are deblurred individually; this step usually is conducted once the optimised images are combined to a stack. Scale bars:  $20 \, \mu m$ .)

The images acquired of the **GFP** and **CFP** were first optimised regarding their contrast (command "MinMax" in ImageJ) and then copied to Photoshop. Here the corresponding BTx-signal for the NMJ was used as a matrix for correct positioning of the GFP/CFP-filled structures. The GFP/CFP-image with the largest amount of neuromuscular structures in focus was selected, superimposed on the BTx-image and shifted to the correct position. In the following, layers were added onto the first GFP/CFP-image and the in-focus areas cloned from the respective images and pasted onto new layers to acquire a full GFP/CFP-image with clear and distinct features for the whole NMJ. As a final step, the opacity of all layers was adjusted to 100%, the BTx-stencil was deleted and all layers were merged (command "flatten image"). If necessary the background of the CFP/GFP-images was subtracted in ImageJ (rolling ball radius: 50) once the files had been converted to an 8-bit file.

The scale bars for images acquired *in vivo* were extrapolated from scale bars acquired from the NMJs imaged in rapid succession (within an hour) *in* and *ex vivo*. These "sample" scale bars where then applied to the *in vivo* images according to their rate of magnification.



- Convert images to stack
- Deblurr stack
- Register images in stack ("stackreg")
- Project all images in stack onto one another ("ZProject")
- Convert to 8-bit
- Subtract background

- Open BTx-image of same NMJ in Photoshop
- Copy GFP/CFP image into Photoshop
- Superimpose GFP/CFP image with largest amount of structures in focus onto BTx-matrix
- •Add new layer and clone in focus areas of next GFP/CFP image onto the first image
- Repeat until image complete
- Adjust opacity of all layers to 100%
- •Delete BTx-matrix
- Flatten image
- Subtract background (optional) in ImageJ

**Fig.8: Workflow for the reconstruction of images acquired** *in vivo.* For images depicting the BTx-stain (orange) all steps were conducted with ImageJ, whereas for GFP/CFP images (green/blue) a combination of ImageJ and Photoshop was used.

# 2.3 Fixation, processing and imaging (qualitative and quantitive) of the SM and SH

#### 2.3.1 In situ fixation

Following sacrifice of mice by CO<sub>2</sub>/pentobarbital-exposure, the SM and SH were exposed in the same manner as for *in vivo* imaging and covered with 4% paraformaldehyde (PFA; see 2.10.4) for 1 hour. The muscles then were extracted, pinned into Sylgard-coated imaging dishes (diameter: 34 mm) and post-fixed at 4°C in 4% PFA for 1 hour (SH)/ 2 hours (SM).

# 2.3.2 Perfusion

In order to gain better resolution in SH/SM-wholemount imaging, to facilitate the application of additional stains to SM/SH and to acquire SH/SM for electronmicroscopic investigations (EM), mice were perfused intracardially following C0<sub>2</sub>-exposure. Mice were pinned out in dorsal recumbency and the heart was exposed following an abdominal incision and reflection of the sternum towards rostal. Next, the left cardiac ventricle was expanded by the flushing agents, the portal vein transected and the agents applied with moderate pressure.

For wholemount imaging of the SH/SM, the mice were flushed with 3 ml of Ringer's solution and then fixed with 10 ml of warmed 4% PFA. Following perfusion, the SM and SH were extracted and post-fixed at 4°C in 4% PFA for 1 hour (SH)/ 2 hours (SM).

For the acquisition of tissue processed for EM, the mice were flushed with 10 ml of saline and then fixed with 20 ml of "Strongfix" (see 2.10.5). Following perfusion, SH and SM were exposed and post-fixed in situ for 1 hour in "Strongfix", extracted and transferred into "Strongfix" at 4°C for storage until processing.

### 2.3.3 Secondary staining

For the optional application of primary and/or secondary Abs, the SH/SM following their fixation were thoroughly rinsed in phosphate-buffered-saline (PBS; see 2.10.12) for 10 minutes, incubated in 0.1M Glycine for 10 minutes and rinsed again in PBS for 10 minutes. Primary/secondary Abs were applied as described in the relevant chapters and 2.8.

# 2.3.4 Wholemount imaging (Fig.9A)

Pinned-out fixed muscles were thoroughly rinsed in cold PBS before transferral to a Zeiss Axiolmager with optional Apotome function (Zeiss, Goettingen, Germany) and adequate filters for the detection of blue, green, red and purple fluorescence (see Table 3). Imaging was conducted wholemount in PBS with water-immersion objectives and a total magnification of x200 (NA 0.5) and x400 (NA 0.8). All images acquired were reconstructed semi-automatically and processed with Zeiss Axiovision 4.7.2 imaging software (© Carl Zeiss Imaging Solutions GmbH, Germany).

Fluorochrome	TRITC	FITC / GFP	CFP	Су5
Excitation range	BP 546/12	BP 485/20	BP 436/20	BP 640/30
Dichromatic mirror	560 nm	510 nm	455 nm	660 nm
Emission range	BP 575-640	BP 515-565	BP 480/40	BP690/50

**Table 3: Filter sets for Zeiss Axiolmager** (*ex vivo* imaging). All values for bandpasses (BP) are given in nm.

## 2.3.5 Quantitative imaging

In quantitative assessments, superficial NMJs of the SM/SH were assessed for GFP/CFP overlying the BTx-signal with the Zeiss AxioImager. CFP was scored as "present" if any CFP extending from the efferent axon was seen overlying the BTx-

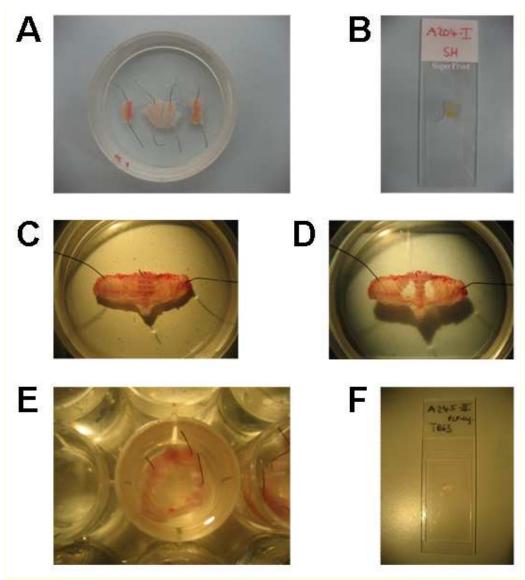
signal, regardless of its morphology, intensity and extent. GFP was scored as "present" if any GFP-positive cell bodies were overlying the NMJ, regardless of their fluorescence intensity. The percentage of NMJs exhibiting CFP or GFP was then calculated for each muscle assessed and pooled for each group and time-point. Chisquare tests (Excel spreadsheet; Microsoft ® Office Excel 2003) were applied to the mean percentages of counts for each time-point and group to determine statistical significances between groups (personal communications Dr. Carl Goodyear).

In SM these investigations were conducted in pinned-out muscles, whereas in SH these investigations were conducted in coverslipped muscles (Fig.9B). In SM as many superficial NMJs were assessed as possible (minimum: 51; maximum: 95), whereas in SH roughly 100 superficial NMJs per muscle were assessed.

### 2.3.6 Electronmicroscopic investigations

All stages of these investigations, including the processing, cutting and staining of tissue followed by the imaging of sections, was very kindly carried out by Mrs Jennifer Barrie.

Briefly, the tissue blocks prepared for resin embedding were processed using a Lynx Tissue Processor for Microscopy (Leica, Wetzlar, Germany). The tissues were post-fixed in 1% osmium tetraoxide, subjected to alcohols of increasing grades followed by propylene oxide and then infiltrated with araldite resin. In resin-filled silicone moulds, the tissue blocks were then orientated and left for 24 hours at 60°C to polymerise. One µm-thick sections were cut with an Ultracut-E ultratome (Reichert-Jung, Vienna, Austria). These sections were mounted on cleaned glass microscopic slides (Menzel, Braunschweig, Germany), stained with 1% methylene blue and 1% azur II in 1% borax and used for orientation in light microscopy. For EM, 70 nm sections were cut with a diamond knife and mounted on 300-mesh copper grid with a diameter of 3.05 mm. Sections were stained with uranyl acetate and lead citrate and imaged with a CX100 electron microscope (JEOL, Watchmead, Herts, UK).



**Fig.9:** *Ex vivo* **imaging. A** wholemount SM and SH; **B** coverslipped SH; **C** ribcage and sternum pinned out with outer side upwards and connective tissue and superficial muscles removed; **D** exposure of the left and right TS following removal of the ribs and intercostal muscles; **E** single TS-prep pinned out for Ab- and complement-incubation; **F** coverslipped TS.

## 2.4 Preparation of the triangularis sterni muscle (TS)

The TS (also M. transversarius thoracis or M. sternocostalis) is a very thin muscle, which consists of only two to three layers of muscle fibres and lies within the rib cage. Its thinness (maximum thickness 60 to 120 µm (McArdle et al., 1981)) allows

for very good Ab-penetration whilst also facilitating imaging by allowing this muscle to be coverslipped. Additionally, a large number of NMJs can be examined with a good spatial resolution (Kerschensteiner et al., 2008).

In the mouse, the TS has a trapezoidal shape, originates at the sternum and inserts on the ribs. Cranially, the TS extends to the second intercostal space, whilst the seventh intercostal space provides its caudal limit (Kempley & Stolkin, 1980; McArdle et al., 1981). Innervation to the TS is provided by the third, fourth and fifth intercostal nerves (Nickel et al., 1992).

The gain access to the TS, the entire ribcage of the mouse is extracted following removal of skin, overlying muscle and contents of the thoracic cavity. The ribs are cut as close to the vertebral column as possible and the ribcage then pinned into a Sylgard-lined petridish containing freshly prepared Ringer's solution (see 2.10.1) with the outermost side up (Fig.9C).

Next, the intercostal muscles are carefully dissected away in the second to fourth or third to fifth intercostal space. The TS can be identified by its fibres running rostolateral to caudo-medial, which is the opposite when compared with the intercostal muscles (rostro-medial to caudo-lateral). Following clearance of the intercostal spaces, the ribs are carefully lifted and cut, first close to the sternum and then laterally (Fig.9D). Connective tissue usually is removed together with the ribs. Severing of blood vessels, especially the paired internal thoracic arteries, leads to "blood-staining" of the TS. This can easily be rectified by gently squirting the affected area with a micro-fine syringe (BD Medical-Diabetes Care, Oxford, UK) filled with Ringer's solution.

Cutting the sternum along the midline results in the preparation being separated into two individual TS, and following trimming of excess tissue (ribs, muscle and connective tissue), these can then be pinned into Sylgard-containing wells (Fig.9E). Careful pinning maintains the physiological tautness of the muscle, whilst limiting the pins to bony structures and tissue outside the ribs ensures that the TS are not subjected to artificial changes.

## 2.5 Fixation, processing and imaging of the TS

### 2.5.1 Fixation and processing of TS

Following incubations, TS were rinsed with Ringer's solution and fixed with 4% PFA for 20 minutes at 4°C. Following a thorough rinse with PBS, the TS was then dissected away from the surrounding bony structures of the ribcage.

In the event of further staining, TS was thoroughly rinsed in PBS for 10 minutes, incubated in 0.1M Glycine for 10 minutes and rinsed again in PBS for 10 minutes before incubation with secondary Abs as described in 2.8. This was followed by another thorough PBS rinse.

For imaging, the TS were mounted on conventional glass slides (Menzel, Braunschweig, Germany) in Citifluor antifading agent (Citifluor products, Canterbury, UK), coverslipped and sealed (Fig.9F). These muscles were stored at -20°C until required for imaging.

#### 2.5.2 Qualitative imaging of TS

Qualitative imaging of the TS was conducted with a Zeiss AxioImager with optional Apotome function (Zeiss, Goettingen, Germany; also see Table 3). Imaging was conducted with water-immersion objectives (total magnification x200 (NA 0.5) and x400 (NA 0.8)) or with an oil-immersion objective (total magnification x630, NA 1.25). All images acquired were reconstructed semi-automatically and processed with Zeiss Axiovision 4.7.2 imaging software (© Carl Zeiss Imaging Solutions GmbH, Germany).

For the assessment of NT injury, 100 superficial TS-NMJs were assessed for the incidence of CFP overlying the BTx-signal. CFP was scored as absent, changed or healthy, and data (percentages) between groups was compared with the chi-square test.

#### 2.5.3 Semi-quantitative imaging of TS and SM/SH sections

For quantitative assessments of IgG/complement deposition, TS/SM sections/SH sections were imaged with a Zeiss LSM 5 Pascal confocal microscope (Zeiss, Oberkochen, Germany) at a magnification of x400 (NA 1.3; oil-immersion objective) and using constant acquisition settings both for fluorescein isothiocyanate (FITC) and tetramethyl rhodamine iso-thiocyanate (TRITC). Orientation within the samples was carried out with fluorescent light (FITC filter-set: excitation BP 450-490 nm, dichromatic mirror 510 nm, emission long pass filter (LP) 515 nm; TRITC filter-set: excitation BP 546 nm/12, dichromatic mirror 580 nm, LP 590 nm), whereas for imaging the samples were excited with an Argon laser (488/514nm, 25 mW) and a Helium Neon laser (543nm, 1 mW) and emission detected with two photomultiplier tubes. Imaging was conducted semi-automatically with LSM 5 Pascal software (Version 2.5, © Carl Zeiss 1986-1999, Portions © Copyright 1996. Microsoft Corporation.)

At least 150 NMJs per muscle were imaged and these images were then semi-quantified using a macro ("FITC/TRITC-Intensity") generated in-house by Mr Peter Humphreys for the ImageJ software (see Appendix for macro). In short, this macro identified NMJs on the basis of the conjugated (FITC- or TRITC-) BTx-staining and measured the intensity of FITC/TRITC-conjuated IgG/complement covering the NMJ-area. The maximum value obtainable for read-outs amounted to 255. Data then was analysed with Minitab statistical software (Version 16.1, Minitab LTd., Coventry, UK) and for comparison of time-points and groups the Mann-Whitney test (2 groups) or the Kruskal Wallace test (> 2 groups) for non-parametrical data were applied. The measurements themselves are illustrated by boxplots generated in Minitab (Version 16.1, Minitab LTd., Coventry, UK). In these illustrations, the box depicts the values for the second and third quartile, whilst the lower whisker extends to the lowest value within the lower limit (Q1-1.5(Q3-Q1)) and the upper whisker extends to the highest value within the upper limit (Q3+1.5(Q3-Q1)). All outlier points represent one individual value.

#### 2.6. Permeabilisation of wholemount muscles

Following fixation all muscles were thoroughly rinsed in PBS for 10 minutes, then incubated in 0.1M Glycine for a further 10 minutes and then rinsed in PBS again. Depending on the level of permabilisation required and the muscle used different permeabilisation protocols were applied:

### 2.6.1 Permeabilisation only at the level of the NMJ

Triangularis sterni muscles were incubated in blocking serum II (see 2.10.6) for 30 minutes at 4°C. Secondary Abs was applied in blocking serum II for 16 hours at 4°C.

## 2.6.2 Permeabilisation of the NMJ and proximal efferents

TS were incubated in frozen acetone for 30 minutes at -20°C, whereas SH/SM were incubated in frozen acetone for 1 hour at -20°C. Following a thorough rinse in PBS, TS and SH/SM were further incubated for 1 hour in blocking serum III (see 2.10.6) at 4°C. Both primary and secondary Abs were applied in blocking serum III for 20 hours at 4°C.

# 2.7 Anti-ganglioside Abs and $\alpha$ -BTx (Table 4)

	In vi	ivo (SM/S	SH)	Ex	<i>vivo</i> (TS	5)	Sections		
	Concen- tration	Quantity	Time	Concen- tration	Quantity	Time	Concen- tration	Quantity	Time
α-BTx	2.5 µg/ml	0.4 ml	10 min	2 μg/ml			1.33 µg/ml	300 µl per	6h or 16h @ 4ºC
TBG3	200 μg/ml	0.6 ml		200 µg/ml	0.5 ml	30 min	50 μg/ml	µg/ml slide	
EG1	1 mg/ml	120 µl	30	100					
All other Abs	100 µg/ml	0.6 ml	min	μg/ml				n.a.	
NHS	40%			40%					

Table 4: Application of anti-ganglioside Abs and  $\alpha\text{-BTx}$  (n.a. not applicable)

In *in vivo* experiments all agents were applied diluted in sterile Ringer's solution.

In **ex vivo** experiments (TS and sections) all agents were applied diluted in Ringer's solution made up according to 2.10.

## 2.8 Tissue manipulation and staining (Table 5)

	Wholemou	nt SH/SM	Wholemo	ount TS	Section	ons	
	Concentration	Application	Concentration	Application	Concentration	Application	
Fixation with PFA	4%	1h SH, 2h SM @ 4°C	4%	20 min @ 4°C			
Permea-	1% Triton x100	1h @ 4ºC	0.5% or 1% Triton x100	30 min or 1h @ 4ºC			
bilisation	Frozen acetone	1h @ -20 ºC	Frozen acetone	30 min @ -20 ℃	n.a		
NF	1:100	20h @ 4ºC	1:200	20h @ 4ºC			
Anti-rabbit	1:200	2011 () 1 0	1.200	2011 () 1 0			
C5b-C9 (MAC)	1:40	1h <i>in vivo</i> plus 16h @ 4ºC	1:40	1h @ RT, 3h @ 4°C, plus 16h @ 4°C			
Anti- mouse	1:200	1h <i>in vivo</i> plus 16h @ 4°C or 4h @ RT (GAP-43)	1:200	16h @ 4ºC	1:300	6h or 16h @ 4ºC	
EthD-2	1:500	30 min	1:500 30 min				
Anti-GAP- 43	1:500 to 1:125	3d @ 4°C	n.a	a.	n.a		

**Table 5: Tissue manipulation and staining** (n.a. not applicable; RT room termperature)

All primary Abs were applied diluted in Ringer's solution for **unfixed tissue** and diluted in PBS for **fixed tissue**.

All secondary Abs were applied to fixed tissues in blocking solution I for **unpermeabilised tissue** and blocking solution II or III for **permeabilised tissue**. Blocking solution II was applied if only the NMJ needed to be permeabilised and blocking solution III was applied if the permeabilisation extended to the proximal efferents.

Between application of primary and secondary Abs all **unfixed tissues** were rinsed in Ringer's solution and all **fixed** tissues were rinsed in PBS.

## 2.9 Manufacturers for reagents used (Table 6)

Agent	Manufacturer	Localisation
All forms of conjugated α-BTx	Molecular Probes	Eugene, OR, USA
All forms of conjugated α-mouse Abs	Southern Biotech	Birmingham, AL, USA
All forms of conjugated α-rabbit Abs	Southern Biotech	Birmingham, AL, USA
α-GAP-43	Sigma-Aldrich	St Louis, MO, USA
α-human C5b-9 (MAC)	Dako	Glostrup, Denmark
Bright cryo-m-bed	Bright Instrument Company Limited	Huntingdon, UK
Citifluor antifading agent	Citifluor Products	Canterbury, UK
EthidiumD2	Molecular Probes	Eugene, OR, USA
Glutaraldehyde (25%, EM grade)	Agar Scientific Ltd.	Stansted, Essex, UK
NF-heavy (200 kDa)	Affiniti, Enzo Life Sciences	Exeter, UK
NF-light (60 kDa)	Abcam	Cambridge, UK
Normal goat serum (NGS)	Sigma-Aldrich	St Louis, MO, USA
Ringer's solution (Vetivex 9)	Dechra	Shrewsbury, UK
Triton x100	Sigma-Aldrich	St Louis, MO, USA
	VWR International	Haasrode, Belgium
All chemicals	Fisher Scientific	Loughborough, Leicestershire, UK

Table 6: Manufacturers for reagents used

## 2.10 Recipes for commonly used solutions

## 2.10.1 Ringer's solution

A 10x Ringer's solution stock (NaCl 67.79g, KCl 3.35g, NaHCO $_3$  19.32g, NaH $_2$ PO $_4$ x2H $_2$ O 1.56g, Glucose 19.82g, 1M MgCl $_2$  10ml added to distilled water to make up a total volume of 1l) was made up and stored at 4 $^\circ$ C.

For use, Ringer's solution stock was diluted in distilled water and bubbled for 5-10 minutes with oxygen (minimum 99.5%). Two ml of 1M CaCl<sub>2</sub> were added per 1l of solution and the solution brought to a pH of 7.4; the final concentration of the

Ringer's solution came to 116 mM NaCl, 4.5 mM KCl, 1 mM MgCl<sub>2</sub>, 2 mM CaCl<sub>2</sub>, 1 mM NaH<sub>2</sub>PO4, 23 mM NaHCO<sub>3</sub> and 11 mM glucose.

#### 2.10.2 Phosphate buffered saline (PBS)

A 10x PBS stock (NaCl 80g, KCl 2g, KH<sub>2</sub>PO<sub>4</sub> 2g, Na<sub>2</sub>HPO<sub>4</sub>x12H<sub>2</sub>O 29g in 1l of distilled water) was made up and brought to a pH of 7.4.

For use, the stock was diluted with distilled water.

#### 2.10.3 Saline solution

Distilled water was supplemented with 0.75% of NaCl.

## 2.10.4 Paraformaldehyde (PFA) 4%

Distilled water equal to slightly less than 2/3 of the desired final volume was heated to 60°C in a fume hood. The quantity of PFA, that will make a 4%-solution was weighed out and added to the water with a stir bar. The solution was maintained at 60°C and one drop of 2N NaOH added, which leads to clearance of the solution. One tenth of the volume of 10x PBS was added and the solution brought to a pH of 7.2. Following the addition of more water to reach the final volume, the solution was filtered, cooled to room temperature (RT) and stored in aliquots at -20 °C.

### 2.10.5 "Strongfix"

For 500 ml of "Strongfix", 250 ml of 8% PFA (see above) were made up and mixed with 100 ml of 25% Glutaraldehyde and 250 mg of CaCl<sub>2</sub> in a glass bottle on a heat-

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proof surface. The solution was then made up to 500 ml by adding 0.08M Nacacodylate buffer and stored at 4°C.

2.10.6 Blocking sera

Blocking serum I: PBS + 1% NGS

Blocking serum II: PBS + 1% NGS + 0.5% Triton x100

Blocking serum III: PBS + 1% NGS + 1% Triton x100

## 2.11 Normal human serum (NHS)

NHS, acting as a source of complement, was taken from a single donor stock and stored immediately after acquisition in aliquots at -70°C to preserve complement activity.

# 2.12 Statistics and Imaging

The following statistical (Table 7) and imaging packages (Table 8) were applied:

Comparison of	Statistical test	Software	Description	Application	
Percentages	Chi-square	Excel	Chapter 2.3.5, 2.5.2	Chapter 3.1, 3.2, 3.5, 3.7	
Fluorescence intensity	Mann-Whitney (2 groups), Kruskal-Wallis (>2 groups)	Minitab statistical	Chapter 2.5.3	Chapter 3.4, 3.5	
Scores, whole number counts, measurements	2-sample t-test	Soliware	Chapter 3.2	Chapter 3.2	

Table 7: Statistical tests and packages

Microscope	Softw	are for	Details
	Image acquisition	Image processing	
Leica DMI4000B ( <i>in vivo</i> imaging)	Leica Application Suite	ImageJ and Adobe Photoshop	Chapter 2.2.2 and 2.2.5
Zeiss Axiolmager (ex vivo imaging)	Zeiss Axio	vision 4.7.2	Chapter 2.3.4
Zeiss LSM 5 Pascal (ex vivo imaging)	LSM 5 Pascal software	ImageJ	Chapter 2.5.3

Table 8: Microscopes, imaging and image processing software

## 3. RESULTS

# 3.1 Stability of NMJs regarding their pSC number in double fluorescent mice

#### 3.1.1 Introduction

Murine NMJs have been described as remarkably stable over longer periods of time (Sanes & Lichtman, 1999). Apart from the NMJs expanding in size in accordance with muscle fibre growth (Balice-Gordon & Lichtman, 1990), only minor changes to the NT arborisations and nAChR-patterns have been noted in *in vivo* imaging studies conducted both in the SM and gastrocnemius muscles in mice aged three to 18 months (Balice-Gordon & Lichtman, 1990; Lichtman, Magrassi, & Purves, 1987; Wigston, 1990). Interestingly, similar investigations conducted in the soleus muscle yielded different results, with more frequent changes to the nAChRs of NMJs observed (Wigston, 1989).

Specifically regarding the number of pSCs per NMJ, a short-term *in vivo* study in two- to three-month-old-mice with a maximum of one month between assessments, revealed no changes to the pSCs number per NMJ (O'Malley et al., 1999). Similarly, an *in vivo* study conducted in mice expressing fluorescent proteins solely in their SCs, revealed only minor changes to numbers and positions of SCs, with 20% of NMJs exhibiting an extra pSC and 10% of NMJs losing one pSCs over 30 days (Zuo et al., 2004). Over the time-course of more than a year (rats aged two months and 15 months) only one or two pSCs are added to the average number of pSCs per NMJ (Love & Thompson, 1998).

Since none of the studies described above, however, was conducted in mice expressing fluorescent proteins both in the motor axons and SCs of their PNS and most investigations were limited to one month, the stability of NMJs regarding their pSC number was assessed in double-fluorescent mice aged one to twelve months, with a special focus on three-month-old mice as it was aspired to use these in *in* and *ex vivo* investigations.

#### 3.1.2 Specific Materials and Methods

### 3.1.2.1 Mice and procedures

In 28 double-fluorescent WT mice (see 2.1.1) aged 1, 3, 5, 7, 9 and 12 months (each n=4; except 3 months, n=8), the SM were exposed for *ex vivo* imaging (see 2.3.1) and incubated with rhodamine-conjugated BTx for 1 hour. Following rinsing with Ringer's solution, the muscles were fixed and processed for imaging (see 2.3.1 and 2.3.4).

Both left and right SM-NMJs (each mouse n=2) were assessed for numbers of bright GFP-positive cell bodies overlying the BTx-signal.

#### 3.1.2.2 Statistics

In each age-group the counts were combined to calculate the average number of pSCs overlying the NMJ. Additionally, the mice were grouped into a **young** group (1, 3 and 5 months) and an **old** group (7, 9 and 12 months) and the NMJs were grouped into NMJs with one or two pSCs, NMJs with three pSCs and NMJs with four or more pSCs.

To compare percentages, chi-square tests were applied to determine statistical signicant differences between groups.

3.1.3 Results

A total of 6909 NMJs were assessed for their pSC numbers (Table 9).

			Mice	aged		
NMJs with	1 month	3 months	5 months	7 months	9 months	12 months
1 pSC	66	180	93	38	49	64
2 pSCs	484	698	341	171	194	220
3 pSCs	460	850	398	284	299	348
4 pSCs	126	303	172	157	181	206
5 pSCs	21	76	46	74	78	88
6 pSCs	2	15	7	17	30	26
7 pSCs		4	3	3	7	12
8 pSCs		2		5	2	8
9 pSCs						1
Total NMJs	1159	2128	1060	749	840	973
pSCs per NMJ	2.62	2.75	2.78	3.19	3.21	3.21

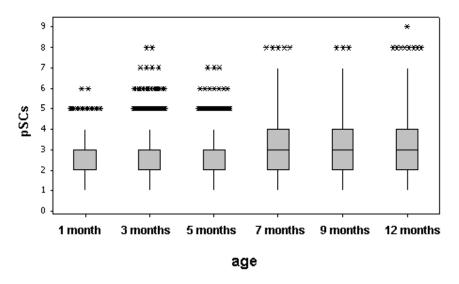
Table 9: Results for pSC counts

An increase in the average number of pSCs per NMJ (Table 9) could be observed when comparing the different age-groups, with one-month-old mice exhibiting the lowest average (2.6 pSCs per NMJ) and the twelve-month-old-mice exhibiting the highest average (3.2 pSCs per NMJ). However, once the mice had reached an age of seven months the average number of pSCs remained fairly stable around 3.2 pSCs per NMJ. In general, the younger mice (one- to five-month-old) displayed less variation between NMJs when when compared to the older mice (seven- to twelve-month-old) and the variation for the pSC-distribution was very constant for all ages within the younger and older group, respectively (Fig.10A).

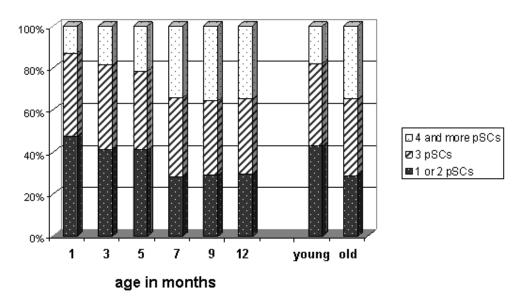
Regarding the distribution of pSCs per NMJ (Fig.10B), all mice showed a relatively similar percentage of NMJs with three pSCs (min 35.6%; max 39.9%; mean 38.1%), and this similary remained evident when grouping the mice into younger mice and older mice (young: 39.3%, old 36.3%); nevertheless the difference between those two groups was still significant (p=0.015; chi-square test, n=56). The younger mice, however, had a significantly larger percentage of NMJs with only one or two pSCs when compared with the older mice (p<0.001; chi-square test, n=56). With the NMJs

comprising four or more pSCs, the reverse situation could be observed (p<0.001; chi-square test, n=56).

# A Perisynaptic SCs per NMJ

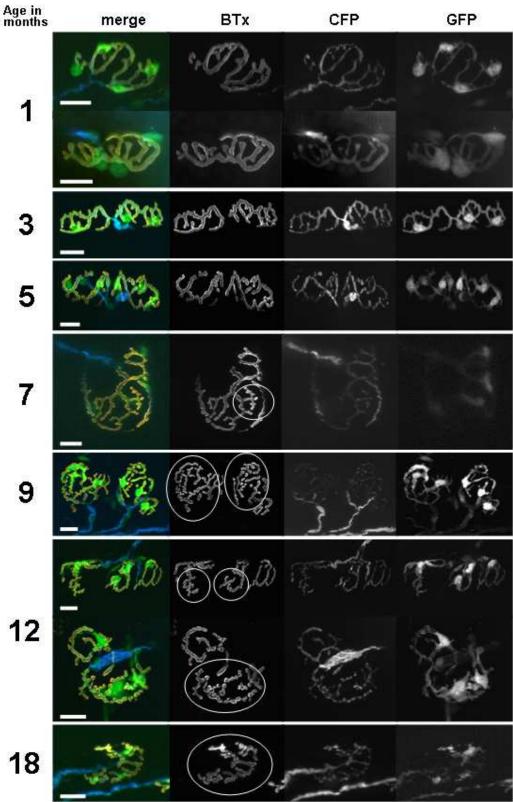


# **B** Distribution of NMJs in relation to their pSC-number



**Fig.10:** Results for pSCs counts per NMJ. A Boxplot for pSCs per NMJ in each age group; the box depicts the values for the second and third quartile, whilst the lower whisker extends to the lowest value within the lower limit (Q1-1.5(Q3-Q1)) and the upper whisker extends to the highest value within the upper limit (Q3+1.5(Q3-Q1)). All outlier points represent one individual value. **B** distribution of NMJs in relation to their number of pSCs.

3. Results Stability of NMJs



**Fig.11: NMJs in mice of different ages.** Ages in months are depicted in the left column. Note the increased fragmentation of the BTx-signal (circles), which is mirrored by "knobbly" NTs. BTx (orange in composite images) depicts the nAChRs, CFP (blue in composite images), the axons and GFP (green in composite images) the SCs. Scale bars: 20  $\mu$ m.

The morphology of the NMJs slightly changed once the mice had reached an age of approximately seven months. Instead of the smooth and continuous BTx-signal (mirrored by homogenously CFP-filled NTs) observed at NMJs of younger mice, mice in this older age group often exhibited slightly fragmented and "knobbly" BTx-signals. These changes to the distribution of the nAChRs were mirrored by "knobbly" NTs, heterogenous in their diameter (Fig.11).

#### 3.1.4 Discussion

The average number of pSCs per SM-NMJ remains fairly stable over the first year in the life of a mouse. Compared to the counts conducted in the SM of two- to four-month-old mice only expressing the fluorescent protein in their SCs, which exhibited 3.3 ± 0.5 pSCs per NMJ (Zuo et al., 2004), the average number in the counts described above were a little lower. At the same time, they correspond well to counts conducted in the soleus muscle of two-month-old-mice (Love & Thompson, 1998). One reason for a relatively lower number of average pSCs per NMJ ascertained in the same muscle and with the same kind of detection method might be the fact, that in the current study only the bright GFP-stained cell bodies were counted, whereas routinely also faint GFP-positive cell bodies are observed at NMJs.

Over time, NMJs have been described to expand (Balice-Gordon & Lichtman, 1990) and since the endplate size (determined by nAChR-area) correlates with the pSC number (Lubischer & Bebinger, 1999), larger endplates are associated with higher numbers of pSCs (Love & Thompson, 1998; Zuo et al., 2004). In the SM of rats, the endplate length ceases to increase at roughly 200 days of age (Courtney & Steinbach, 1981), which corresponds well with a stabilisation of the pSC number at roughly 7 months observed in the present study.

A relative increase of NMJs with more than four pSCs and a relative decrease of NMJs with one or two pSCs as observed when comparing the "old" group with the "young" group, probably also reflects the general tendency of larger NMJs being associated with more pSCs.

The morphological changes to the NMJs observed in the "old" group are consistent with those observed previously by other authors (Balice-Gordon, 1997a; Chai et al., 2011; Courtney & Steinbach, 1981; Li et al., 2011; Smith & Rosenheimer, 1982), albeit here the very subtle changes were observed many months earlier than described previously (Balice-Gordon, 1997a; Li et al., 2011). Fragmentation of nAChRs and the formation of NT-varicosities also occur following insults which lead to necrosis/degeneration and regeneration of the postsynaptic muscle fibre, thus these age-related alterations to the NMJs-morphology are surmised to be initiated by changes to the postsynaptic apparatus (Li et al., 2011; also see chapter 3.2).

#### 3.1.5 Conclusion

The average number of pSCs per NMJ in the SM of double-fluorescent mice remains very stable over the first year of life of the mouse, indicating that any shift in pSC numbers must be induced by pathological events.

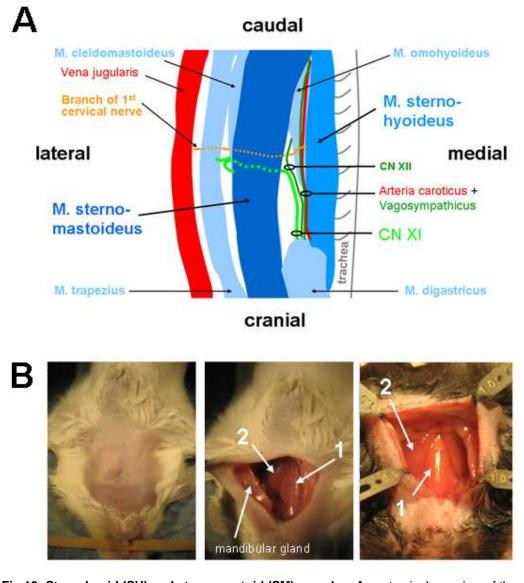
## 3.2 In vivo imaging of the NMJ

#### 3.2.1 Introduction

In vivo imaging of the NMJ was pioneered in the middle of the 1980s (Lichtman et al., 1987). One of the muscles most commonly used for these investigations is the SM (Balice-Gordon, 1997b; Zuo et al., 2004), which lies obliquely in the ventral neck area and flanks the sternohyoid muscle (SH) (Fig.12). For imaging, the SM is generally lifted by a small wire loop and flattened with a small circular coverslip. This set-up improves the optics, whilst stabilising the muscle and also bringing most of the endplate region into the same focal plane (Balice-Gordon, 1997b; de Paiva et al., 1999; Lichtman et al., 1987; Zuo et al., 2004).

The application of fluorescent conjugates of  $\alpha\text{-BTx}$ , which selectively binds the nAChRs allows for the detection of the junctional area (Lu & Lichtman, 2007). Additionally, every NMJ has its own very distinct nAChR pattern which means that this staining helps to discriminate one NMJ from another. Keeping the concentration of α-BTx around 2-10 μg/ml ensures that only 10-20% of all nAChR are labelled and synaptic transmission is not influenced (Balice-Gordon, 1997b). Saturation of the nAChRs has been described following an incubation of 20 minutes at a concentration of 5 µg/ml (Turney et al., 1996) or 20 µg/ml (van Mier & Lichtman, 1994), whilst other authors claim that incubation of (frog) endplates with α-BTx at a concentration of 1 µg/ml for more than 30 minutes results in a reduction of the miniature endplate potentials to more than one tenth (Katz & Miledi, 1973). Most in vivo imaging paradigms are carried out following an incubation of the muscles with fluorescently-labelled α-BTx at a concentration of 4-5 μg/ml for 3-5 minutes (Balice-Gordon & Lichtman, 1993; Rich & Lichtman, 1989b, 1989c; Wigston, 1990; Zuo et al., 2004), which labels approximately 50% of the nAChR (Rich & Lichtman, 1989b). Alternatively, the application of 10 μg/ml α-BTx for 1-3 minutes labels 10% of the nAChRs (O'Malley et al., 1999).

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**Fig.12: Sternohyoid (SH) and sternomastoid (SM) muscles.** A anatomical overview of the left half of the ventral neck area. **B** following a midline incision with the mouse is lying in dorsal recumbency and gentle retraction of the mandibular glands, the SH (1) and SM (2) become visible.

## 3.2.2 Specific Materials and Methods

## 3.2.2.1 Groups and procedures (Table 10)

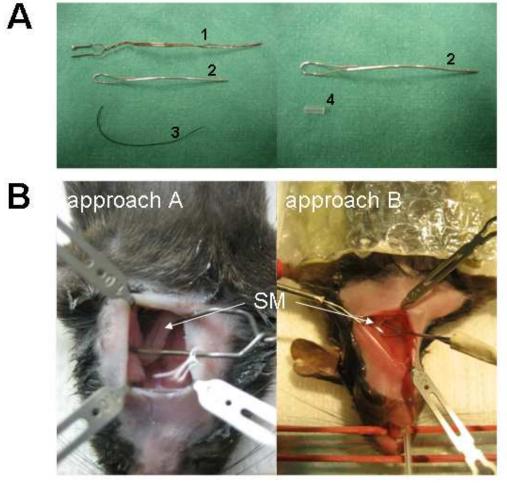
Fifty-six adult (three months to one year) male and female double-fluorescent mice in five groups were subjected to *in vivo* imaging of the ventral neck muscles.

Anaesthesia, general approach to the muscles under investigation (approach A) and the set-up for the *in and ex vivo* imaging were as described in 2.2 and 2.3.

4	٥	ologia	900000000000000000000000000000000000000	muscle	>Ta ∾	microscop	microscope settings	cami	camera settings	S	NMJs imaged in	time between 1st
5	=		2007	stabilization	\ - -	light intensity	field diaphragm	light intensity	exposure time	gain	ੀੜ session	and 2nd session
_	10	0		7x lever 1 2x lever 2 1x suture thread	4 µg/ml 10 min			%09-09			3-10	6 to 35 days
=	ω	5	∢	6x rubber tubing 1x lever 2 1x nothing	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	92%	ស	7.5%	ω_	×	2-1	5 to 7 days
=	12	胀		nothing	2 pg/mil 10 min			?			4-7	
Δ	14	SM	В	Lever 2							8-9	4 TO ZI days
۸	12	HS	A	nothing		17%	3	25-45%	120 ms	x2	9-9	3 to 21 days

Table 10: Experimental groups for in vivo imaging trials

In groups I, II, and IV, NMJs of the SM were imaged, whereas in groups III and V, NMJs of the sternohyoid muscle (SH) were imaged. In groups I and II various different devises were used to stabilise the SM for imaging (Fig.13A). These included two "lever"-like constructions (lever 1 and 2), rubber tubing positioned under the SM and a suture thread looped under the SM and attached to the skin either side. In group IV the approach to the SM was modified in that way, that the neck/head of the mouse was gently turned so that the SM imaged lay uppermost (approach B, Fig.13B). In group V, the light intensity the muscles were exposed to were decreased consecutively both for the orientation and imaging step.



**Fig.13:** *In vivo* **imaging trials.** A different stabilising devices: lever 1 (1), lever 2 (2), suture thread (3), rubber tubing (4); **B** two different approaches to the muscles imaged: approach A with the mouse lying flat in dorsal recumbency, approach B with the head of the mouse slightly twisted so that the SM imaged lies uppermost.

One mouse in group II and two mice each in groups III and IV served as  $\alpha$ -BTx-controls, i.e. in these mice the muscles of interest were only exposed and incubated with  $\alpha$ -BTx, but not imaged or stabilised.

A second imaging session - either *in* or *ex vivo*- was conducted between 3 days and 5 weeks later with the aim to revisit the previously imaged NMJs.

## 3.2.2.2 Scoring

Groups I to IV were assessed regarding the stability of the muscle imaged, the images acquired in the 1<sup>st</sup> and 2<sup>nd</sup> imaging session and the feasibility to reimage individual NMJs. The images were scored with the following criteria (Table 11):

Imaging session	Criteria	Minimum score	Maximum score
1 <sup>st</sup>	Overall detail of images	1	3
	Detail of pSCs	1	4
	Overall score	2	7
2 <sup>nd</sup>	Percentage of NMJs revisited due to location	0	100
	Percentage of NMJs revisited due to BTx signal	0	100
	Percentage of NMJs with unchanged NTs	0	100
	Percentage of NMJs with unchanged pSCs	0	100

Table 11: Scoring criteria for the in vivo imaging trials

Additionally the scores for groups I and IV were combined in order to be able to compare the SH and SM muscle.

## 3.2.2.3 Comparison of SH- and SM- NMJs regarding size and pSC number

In three 14-week-old mice the left and right SH and SM (each mouse n=2 per muscle) were assessed regarding their number of pSCs per NMJ in the same way as described in 3.1.2.1.

Additionally, the diameter of 15 randomly selected NMJs per muscle was measured and the average NMJ-diameter for both SH and SM calculated.

### 3.2.2.4 Statistics

To compare scores, pSCs-counts and NMJ-diameters between muscles 2-sample ttests were applied, whereas to compare percentages between muscles chi-square tests were applied.

#### 3.2.3 Results

#### 3.2.3.1 Survival of mice

Three mice in group II and two mice in group IV died due to anaesthetic or intubation-related complications.

## 3.2.3.2 Stability of muscle under investigation

Stabilisation of the SM with either of two levers resulted in the muscle being reasonably stable and flat for imaging. However, the positioning or rubber tubing beneath the SM still resulted in the SM being very wobbly; thus no good images could be acquired or the imaging had to be terminated early. Group II, therefore, was omitted from the scoring.

Stabilisation of the SH was due to its location not possible (or even necessary).

#### 3.2.3.3 Primary imaging sessions

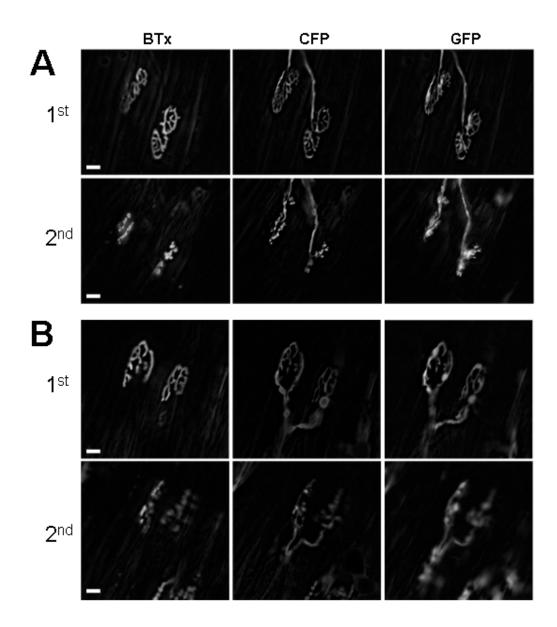
In both SH and SM, reasonably flat NMJs could be imaged. Subjectively, the NMJs of the SM appeared to be a little bit bigger and more complex than NMJs of the SH, thus requiring more images to be taken to acquire sufficient detail of the NMJ. With SH-NMJs, it was often possible to acquire a detailed and in-focus of the entire NMJ with one image.

#### 3.2.3.4 Secondary imaging sessions

In general, the tissue in follow-up imaging sessions appeared to be not as clear as in primary imaging sessions. This was especially the case when the time-course between 1<sup>st</sup> and 2<sup>nd</sup> session was less than seven days. Macroscopically, the muscle subjected to (more) imaging often appeared to less pink and smaller when compared to the not (or less) imaged contralateral muscle.

"Murkiness" of the tissue thus contributed to the failing of reimaging individual NMJs. Additionally, in very many cases the morphology of the different components of the original NMJs had changed. The changes could be seen within a few days and seemed to persist, as  $ex\ vivo$  reimaging at a later time-point still revealed morphologically altered NMJs (Figs.14, 15). Changes to the NMJ included a fragmentation of the  $\alpha$ -BTx-signal with loss of the smooth appearance of this signal. This was often accompanied by a decrease of the NMJ in size. The fragmentation of the  $\alpha$ -BTx-signal was mirrored by "knobbly" CFP-signal in the NT which also lost its smooth appearance and homogenous diameter. The pSCs exhibited increased numbers overlying the NMJ and frequently extended long processes towards the periphery, which could be accompanied by axonal sprouts. In general, the area imaged appeared to be populated by a surplus of GFP-positive cells.

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**Fig.14:** *In vivo* imaging trials: *in vivo* imaging results. Four NMJs (two each for **A** and **B**) are imaged (1<sup>st</sup>) and reimaged (2<sup>nd</sup>) *in vivo*. Note the general shrinkage of the NMJs (all images have the same magnification), fragmentation of the BTx-signals, which is mirrored by irregular and "knobbly" NTs (CFP) and increased numbers of pSCs (GFP in  $2^{nd}$ ) overlying the NMJ. Scale bars: 20 µm.

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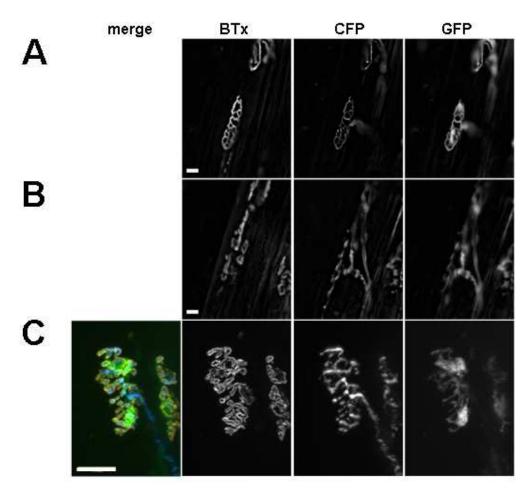


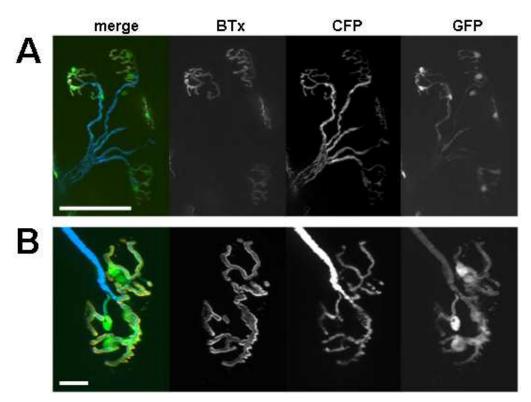
Fig.15: *In vivo* imaging trials: *in* and *ex vivo* imaging results. A first imaging *in vivo*, **B** reimaging of the same NMJ two weeks later *in vivo*, **C** reimaging of the same NMJ four months later *ex vivo*. BTx depicts the nACHRs (orange in composite image), CFP the axons (blue in composite image) and GFP the SCs (green in composite image).

Note the fragmentation of the nAchRs, the muscular part of the NMJ, which is mirrored by irregular and "knobbly" NTs (CFP in B and C). Scale bars:  $20 \, \mu m$ .

## 3.2.3.5 *α-BTx-controls* (Fig.16)

Incubation of the muscles with rhodamine-conjugated  $\alpha\text{-BTx}$  at a concentration of 2  $\mu\text{g/ml}$  for 10 minutes did not lead to any changes of the neural, glial or postsynaptic structures of the NMJ both of the SH and SM in a time-course of four days to two weeks.

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**Fig.16:** α-BTx-controls. A overview; **B** close-up of NMJs. There are no changes observed to the BTx-signal (which depicts the nAChRs and is orange in the composite image), the pSCs (GFP, green in the composite image) and the NTs (CFP, blue in the composite image). Scale bars: A  $100 \, \mu m$ , B  $20 \, \mu m$ .

## 3.2.3.6 Scores for groups I, III and IV and SM versus SH (Table 12)

Imaging session	Criteria	(	Groups		Muscle			
36331011		I	Ш	IV	SM	SH	р	
		n=10	n=10	n=10	n=20	n=10		
1 <sup>st</sup>	Overall detail of images	2.4	1.7	2.5	2.4	1.7	0.005	
	Detail of pSCs	2.9	3.4	3.0	2.95	3.4	0.115	
	Overall score	5.3	5.1	5.5	5.35	5.1	0.599	
2 <sup>nd</sup>	NMJs revisited	31.1%	71.9%	44.8%	38.2%	71.9%	< 0.001	
	NMJs with unchanged BTx-signal	6.8%	36.8%	2.6%	4.6%	36.8%	< 0.001	
	NMJs with unchanged NTs	0.0%	21.1%	5.1%	2.6%	21.1%	< 0.001	
	NMJs with unchanged pSCs	1.4%	29.8%	6.4%	3.9%	29.8%	< 0.001	

Table 12: Scores for groups I, III and IV and comparison of SM versus SH (scores: 2-sample t-test, n=30; percentages: chi square test, n=30)

## 3.2.3.7 Comparison of SH- and SM-NMJs

In each SH, 100 NMJs were assessed for the number of bright GFP-positive cell bodies overlying the BTx –signal, whereas in the SM between 64 and 82 NMJs were assessed. In the SH, an average of 1.9 pSCs per NMJ was observed, whilst in the SM the average lay at 2.6 pSCs per NMJ (p<0.001, 2-sample t-test, n=12). The NMJ-diameters measured in the SH ranged from 26.42  $\mu$ m to 63.64  $\mu$ m with an average of 45.83  $\mu$ m. In the SM the minimum diameter was 48.38  $\mu$ m, the maximum diameter was 70.41  $\mu$ m and the average diameter was 60.38  $\mu$ m (p<0.001, 2-sample t-test, n=30).

## 3.2.3.8 Group V

Decreasing of the fluorescent light intensity omitted from the bulb to 17% whilst orientating in the sample and to 25% during the acquisition of images still allowed for sufficient illumination of the SH; it was surmised that these values could be decreased even further. Additionally, the field diaphragm (diameter of light beam) of the microscope and the exposure time of the camera were decreased (field diaphragm: 3; exposure time: 120 ms), whilst the optical gain was doubled. Thus the general exposure of the muscle to light was even more limited, whilst optimising the camera input.

In this group 60.1% of the originally imaged NMJs could be revisited and more than half of these (31.3%) had retained their original  $\alpha$ -BTx, NT and pSC morphology.

No changes to the NMJs of the adjacent SMs were noted.

#### 3.2.4 Discussion

Like any *in vivo* procedure, *in vivo* imaging of NMJs is an invasive procedure and, therefore, can lead to changes or inhibit processes which normally occur (Balice-Gordon, 1997b; Lichtman & Fraser, 2001). Investigator-induced changes can be due to surgical trauma, infection, labelling and phototoxicity (Lichtman & Fraser, 2001), thus adequate control groups are necessary (Balice-Gordon, 1997b).

Overillumination of the NMJs under investigation induces NT sprouting and proliferation of pSCs within three to seven days. Additionally, the loss of nAChRareas can be observed (O'Malley et al., 1999). Traumatic injury to the innervating nerve leads to denervation of the endplates. The pSCs react to this by extending processes, which, following regeneration, are accompanied by NT sprouts; alternatively, these can also arise from still innervated NMJs (Brown & Ironton, 1978; O'Malley et al., 1999; Son & Thompson, 1995a). A loss of nAChRs (Rich & Lichtman, 1989b) and increase of pSC numbers can be observed (Love & Thompson, 1998). Application of paralyzing agents to the endplates, such as botolinum toxin, high doses of α-BTx (Love & Thompson, 1999), β-BTx or tetanus toxin also lead to a loss of nAChRs (Balice-Gordon & Lichtman, 1994), a reactive growth of pSC processes (Kang et al., 2007) and NT sprouts (de Paiva et al., 1999; Dixon & Harris, 1999; Duchen & Tonge, 1973), albeit in a lower magnitude when compared to traumatic denervation (Son & Thompson, 1995a). Following muscle fibre injury, also a loss of nAChRs (Rich & Lichtman, 1989a) with fragmentation of the nAChRs following regeneration of muscle fibres (Rich & Lichtman, 1989c; Li & Thompson, 2011; Li et al., 2011) and reactive sprouting of NTs both on the injured and neighbouring healthy muscle fibres is observed (van Mier & Lichtman, 1994). Also, the morphologic changes to the cholinesterase pattern of the NMJs of regenerating muscle fibres following muscle fibre necrosis induced by the snake toxin cardiotoxin, closely resemble the fragmented nAChR- and NT- patterns observed in my mice (Duchen et al., 1974). Thus, in summary, overillumination, traumatic denervation, inactivation of the NMJs following the application of paralyzing agents and both traumatic and toxic muscle fibre injury result in similar changes to the different components of the NMJ, namely reactive growing of pSC processes, increase of pSC numbers, extension of NT sprouts and loss of nAChRarea, all of which were observed in the present study. Interestingly, the changes to the BTx- and NT-pattern also resemble those seen in previously not-imaged mice older than nine months, described in the previous chapter (3.1), further supporting the notion of a limited repertoire for components of the NMJ to react to associated degenerative changes.

The first attempts of imaging the NMJs were carried out following descriptions on in vivo imaging of SM-NMJs (group I). However, due to the microscope being equipped with water-immersion objectives, a coverslipping of the muscle – as described by other groups conducting in vivo imaging procedures in the SM (see 3.2.1) – had to be omitted. The extensive changes observed in this group lead to the trial of a different device (rubber tubing or lever B) to stabilise the muscle and a different approach (approach B) in the hope of being able to omit a stabilising device. At the same time the application of BTx was validated to investigate whether the application of this potentially paralyzing agent was the source of the changes observed. Application of rhodamine-conjugated α-BTx at a concentration of 2 µg/ml for 10 minutes did not lead to any changes of the different components of the NMJ at any time-point (four days to two weeks) observed and also was well within the recommended limits. As the imaged NMJs in these groups, however, remained to exhibit extensive changes, it was clear that stabilisation and/or imaging of the muscles must be causing these degenerative changes; thus phototoxity and traumatic injury to innervating nerve or muscle imaged remained potential explanations for the changes observed.

The aptitude of the SH for *in vivo* imaging of NMJs was discovered more accidentally than intentionally. The SH is a flat paired muscle in the ventral neck area, which in the mouse originates at the sternum and inserts on the hyoid bone. It is innervated from dorsolateral by the ventral branch of the first cervical nerve (Nickel et al., 1992). Similar to the SM, the SH is described to be a fast-twitch muscle (van Lunteren & Moyer, 2003) composed entirely of type IIb and IIx myosin heavy chain isoforms (Attal et al., 2000). Other investigators observed close to equal amounts of type IIa and IIb fibres (Yoshii et al., 2008). One of the functions of the SH is to contribute to dilation of the pharynx, therefore this muscle contributes to breathing actions (Attal et al., 2000). All SH-NMJs are confined to a single endplate band which arches across the middle of the muscle (Must, 1987). Location of the SH directly on top of the trachea and in the midline render this muscle easily accessible and very flat at the same time, thus making any stabilisation unnecessary and minimising any manipulation and the chance of providing injury to either the

innervating nerve or the muscle itself. The comparatively smaller and flatter NMJs with less pSCs (compared to the SM) facilitate imaging, thus making it possible to minimise the exposure of this muscle to light.

The last control group, therefore, focussed on the SH and aimed to determine the minimum amount of light required to be able to image this muscle sufficiently. Whilst it was possible to decrease light exposure significantly, still a number of NMJs exhibited the changes described above. Thus, it was aspired to decrease the light intensity even more in further investigations.

#### 3.2.5 Conclusion

Considering the SH did not require any manipulation for imaging, the α-BTx concentration had been validated and the changes observed in imaged muscles most accurately resembled those seen following (traumatic or toxic) injury to the muscle to the muscle itself, it was concluded that the changes were due to phototoxic damage of the muscle. Fragmentation of the nAChR-pattern, shrinking of the NMJ and the extension of short pSC processes has been described to be visible within 3 days of induction of muscle fibre injury (Li & Thompson, 2011). As my reimaging sessions were conducted after a lag phase of 3 days, it therefore should have been possible to pick up any changes to the components of the NMJ. Furthermore, assessments of the neighbouring SM under decreased light exposure of the SH revealed no changes to these muscles.

Therefore, it was concluded that **conservative imaging of the SH** by decreasing the light intensity of the microscope to 17% (or lower), the field diaphragm of the microscope to 3, the light intensity of camera to 25% (or lower) and the exposure time to 120ms should allow for *in vivo* investigations conducted in this muscle. A concomitant increase of the acquisition gain to x2 would counteract for the loss of fluorescence intensity observed in the images.

In all cases, the BTx-signal would need to be closely scrutinised for changes, as fragmentation of the BTx-signal indicates muscle-injury-induced (overillumination, traumatic and toxic injury) changes to the components of the NMJ. However,

considering that these changes appeared to be very localised (see 3.2.3.8), it still should be possible to extrapolate and combine findings observed on the SM to the SH and vice versa.

## 3.3 Anti-ganglioside antibody trials

#### 3.3.1 Introduction

As indicated in the introduction (1.1.2) the distribution of gangliosides varies between the neural and glial structures they are located on. Anti-GM1 Abs, for example, bind both peripheral motor and sensory nerves (and here also both the nodal axolemma and the paranodal areas of myelinating SCs), whereas anti-GD1a Abs preferentially bind motor nerves (Gong et al., 2002).

Similarly, Ab-binding specificities also hold true for the NMJ. Previous studies investigating the potential neuromuscular neural and/or glial targets for the mouse monoclonal Abs cloned and produced in-house reached the following observations:

In **WT** mice, anti-GD3 Abs preferentially induce pSC injury, whilst the application of anti-GT1a Abs combined with a source of complement leads to a selective injury of the NTs (Halstead et al., 2005b). Anti-GQ1b Abs in combination with a source of complement lead to paralysis of the muscle under investigation (Bullens et al., 2002), thus putatively indicating that this Ab binds and exerts its pathology at the NT. Other investigators have reported that anti-GM2 Abs bind both the pSCs and NTs in WT mice (Santafe et al., 2005). In **GalNac-Transferase KO**s (GM2KO; see Fig.1), application of an anti-GD3 Abs combined with a source of complement induce the same electrophysiological changes at the NMJ as a bispecific anti-GQ1b/anti-GD3-Abs applied to a WT mouse (Bullens et al., 2002), thus also indicating the ability of this Ab to bind the NT. Finally, in **GD3-Synthase KO**s (GD3KO; see Fig.1), anti-GD1a Abs induce axonal/NT injury (Goodfellow et al., 2005; McGonigal et al., 2010).

Considering the overall aim of the present study was to establish an *in vivo* imaging system in which either the neuromuscular neural or glial component or both could be targeted by anti-ganglioside Abs and monitored, the following investigations were aimed at determining suitable Abs for the specified injuries of the various structures of the NMJ. A number of different in house-generated mouse monoclonal Abs were trialled both *in* and *ex vivo* for their staining profiles and injury potential following the supplementary application of complement.

#### 3.2.2 Specific Materials and Methods

## 3.2.2.1 Animals and general protocol

WT, GM2KO, GD3KO and PLP-Tg mice, all double-fluorescent, were either subjected to *in vivo* (2.2) and/or *ex vivo* (2.4) application of mouse monoclonal antiganglioside Abs.

#### 3.2.2.2 Antibodies (Table 13)

All Abs applied were mouse monoclonal Abs, generated and characterised for ganglioside-binding profiles as described previously (Goodfellow et al., 2005; Goodyear et al., 1999; Halstead et al., 2005b).

Both for *in and ex vivo* investigations, the Abs were applied for 30 minutes at a concentration of 100  $\mu$ g/ml, except for TBG3 which was applied at 200 $\mu$ g/ml (see 2.7). In *ex vivo* investigations, the Abs were supplemented by fluorescently-labelled  $\alpha$ -BTx.

Antibody	Isotype		M	ouse stra	ain	Applic	ation	Invest	gation
		specificity (ELISA)	WT	КО	PLP -Tg	In vivo	Ex vivo	Bin- ding profile	Injury
TBG3		GD1a, (GT1b)	$\checkmark$	GD3KO	√	$\checkmark$	√	$\checkmark$	<b>√</b>
EG1	IgG3	GT1a, GQ1b, GD3	√	GM2KO	<b>√</b>	√	√	√	<b>√</b>
MOG16	1900	GD1b, GT1b, (GD1a)	√	GM2KO	<b>√</b>	<b>√</b>	√	<b>√</b>	<b>V</b>
CGG3		GD3	<b>V</b>		√		√	√	

Table 13: Anti-ganglioside Abs applied in the Ab-trials

For the **illustration of the binding profiles**, *ex vivo* preparations were incubated with the anti-ganglioside Ab on ice, whilst *in vivo*-Ab incubations remained at physiological body temperatures. Following processing of the tissue, the adequate

FITC/TRITC-conjugated secondary Abs were applied as described (see 2.7 and 2.3.1, 2.3.3 and 2.5.1).

In order to **investigate the pathological potential** of the Abs, tissues was further incubated with a source of complement (40% normal human serum (NHS), see 2.11) following their Ab-incubation and then processed for qualitative imaging (see 2.3 and 2.5). All these incubations were conducted at 37°C.

## 3.2.2.3 Determination of injury to neural/glial structures of the NMJ

The fluorescent proteins expressed in the axons and SCs of the fluorescent mice are both localised to the cytosol as soluble free proteins (Wesley Thompson, personal communications). Following a loss of the axolemmal/SC membrane integrity, for example due to the formation of MAC pores on these, these proteins (presumably) diffuse out of the axon/SC. Thus a loss of CFP/GFP serves as a rapid and reliable indicator of complement-mediated injury to these.

#### 3.2.2.4 Additional illustration of a loss of pSC membrane integrity

In order to further demonstrate the loss of pSC membrane integrity, NHS in many cases was supplemented by EthD-2 (1:500), a nuclear marker, whose cellular ingress und subsequent nuclear binding indicates a loss of cell membrane integrity.

## 3.2.2.5 Auxiliary investigations regarding the TBG3-binding profile

TS-preparations incubated as described above were permeabilised as described in 2.6.1 and stained for Ab-deposition as described above.

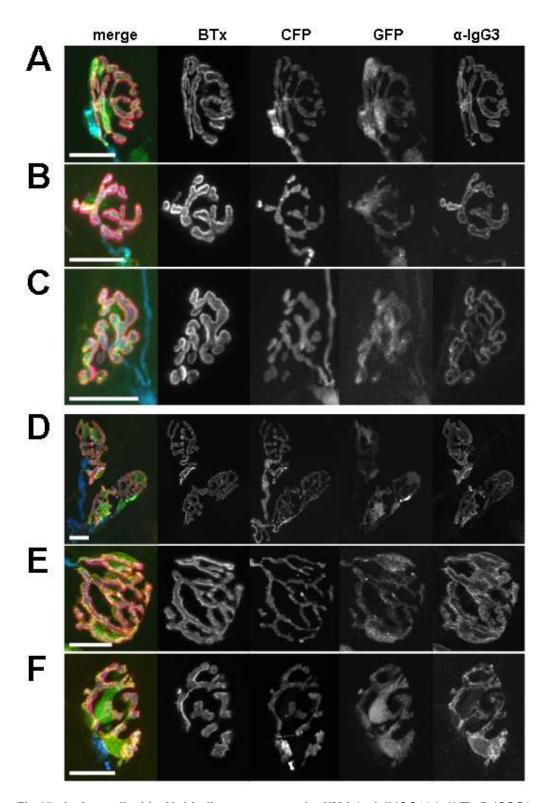


Fig.17: Anti-ganglioside Ab-binding patterns at the NMJ. In A (MOG16 in WT), B (CCG3 in PLP-Tg) and C (EG1 in PLP-Tg) the Ab-binding patterns ( $\alpha$ -IgG3; pink in the composite image) resemble the BTx-signal (which depicts the nAChRs; orange in the composite image), whereas in D (EG1 in GM2KO), E (EG1 in WT) and F (MOG16 in PLP-Tg) the Ab colocalises with the pSCs (GFP, green in the composite image). CFP depicts the axons, which are blue in the composite image. Scale bars: 20  $\mu$ m.

#### 3.3.3 Results

### 3.3.3.1 Binding profiles

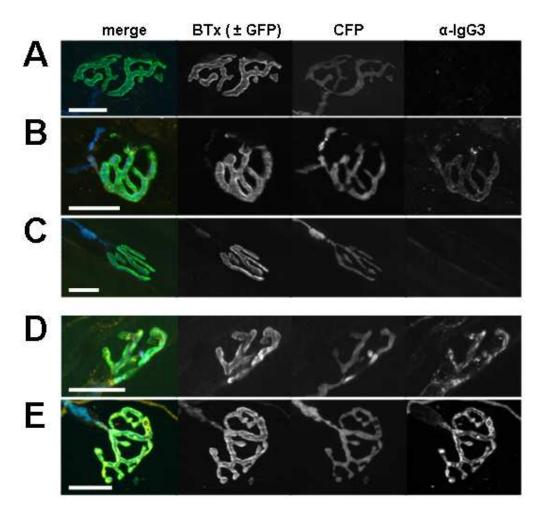
Depending on the ganglioside-specificity applied the staining of the secondary Abs either very much resembled the nAChR-pattern or colocalised with the pSCs (Fig.17).

The binding pattern for TBG3 in WT mice was very difficult to illustrate (Fig.18A). Therefore, TBG3 was applied to GD3KOs which relatively overexpress the Abtarget. In these mice, the staining pattern for TBG3 much resembled the nAChR-pattern (Fig.18B). In PLP-Tg mice TBG3 did not bind (Fig. 18C); this was expected considering the fact that the NTs of these mice do not possess any complex gangliosides. Following permeabilisation both of WT- and GD3KO-tissue incubated with TBG3, Ab could be easily detected in both; the staining pattern now resembled the CFP-pattern with punctuate interspersed dense patches of Ab (Fig.18D, E).

#### 3.3.3.2 Loss of fluorescent proteins

Following the acute topical application either *in vivo* or *ex vivo* of anti-ganglioside Abs no changes to the neural or glial components could be observed (Fig.19A). Only once NHS was applied as a source of external complement, a rapid loss of either GFP and/or CFP was observed. Within 15 minutes of the application of NHS following a TBG3-incubation, the NTs started to bleb, exhibit constrictions and a local loss of CFP (Fig.19B). These changes only involved the area overlying the NMJ or extended proximally along the axon for a short distance (Fig.19C).

With GFP, the loss of fluorescent protein occurred roughly within the same timeframe and within 30 minutes of the application of complement the nuclei of injured pSCs were stained with EthD-2 (Figs.20, 21).

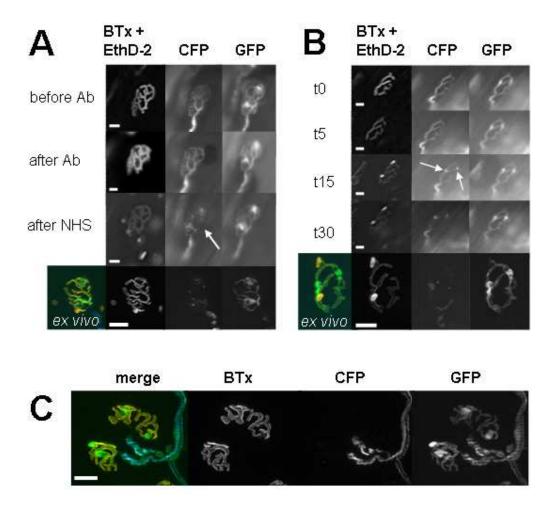


**Fig.18:** Binding pattern for TBG3 at the NMJ. A, B, C un-permeabilised tisse; D, E permeabilised tisse. A, D WT-mouse, B, E GD3KO-mouse, C PLP-TG mouse. Note how in the WT- and PLP-Tg-mouse no Ab-binding (α-lgG3, orange in the composite image) can be demonstrated in unpermeabilised tissue (A and C), whereas in the GD3KO-mouse Ab is readily shown (B). Once tissue is permeabilised, the Ab is readily visible at the WT-NMJ and colocalises with the NTs (CFP, blue in the composite image). However, following permeabilisation the binding pattern does not resemble the BTx-signal (which depicts the nAChRs and is green in the composite image) anymore (B), but follows the CFP-signal (D, E). Scale bars:  $20 \, \mu m$ .

With none of the Abs applied the myelinating SCs surrounding the distal axons neither seemed to lose their fluorescence nor exhibited an uptake of EthD-2 (Fig.21). In control animals, which only received Ringer's solution and NHS, no changes to the fluorescent proteins were noted (Fig.22).

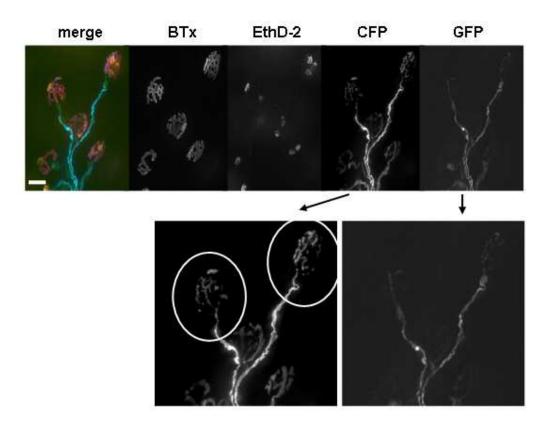
Depending on the Ab applied, an isolated loss of CFP could be observed, whereas never an isolated GFP-loss could be induced. In some cases where GFP-loss was

readily observed, the changes to the physiological CFP-pattern were only very subtle (Fig.20), whilst in other cases they were very obvious (Figs.21C, 23). Especially with EG1, the CFP-changes appeared to be concentration-dependent, i.e. lower concentrations of EG1 lead to less concomitant loss of CFP in addition to the well reproducible loss of GFP (Figs.20, 21).



**Fig.19:** NT injury following the application of TBG3 and complement. A No changes to the NT (CFP) are observed following the application of Ab. Only once the source of complement (NHS) is applied, the NT starts to bleb and looses its fluorescence (arrow). **B** *In vivo* documentation of injury progression. At t0 the Ab-application is completed and the muscle is incubated with complement. Within 15 minutes (t15, arrows) of the application of complement, changes to the morphology of the NT (CFP) can be observed, whereas the GFP-signal for the pSCs remains physiological (same as t0). The pSCs also do not exhibit any uptake of EthD-2 (orange in the composite image), indicating that their cell membrane integrity is not compromised by complement-mediated injury. **C** *Ex vivo* image of the acute injury following 30 minutes of incubation with Ab and complement.

In A, B and C, BTx depicts the nAChRs (orange in the composite image), CFP the axons (blue in the composite images) and GFP the SCs (green in the composite images). Scale bars: 20 µm.



**Fig.20:** pSC injury in WT-mouse following the application of EG1 and complement. The GFP-positive cell bodies are replaced by EthD-2 stained nuclei (orange in the composite image). Note the very subtle changes to the circled NTs (CFP), wherase the other NTs remain healthy.

BTx depicts the nAChRs (pink in the composite image), CFP the axons (blue in the composite image) and GFP the SCs (green in the composite image). Scale bar:  $20 \mu m$ .

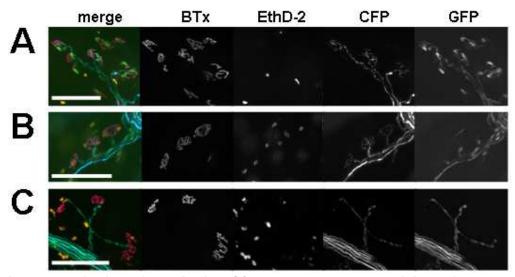
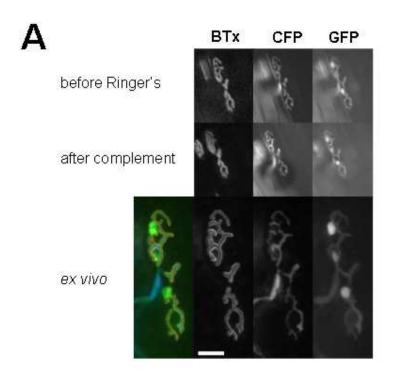
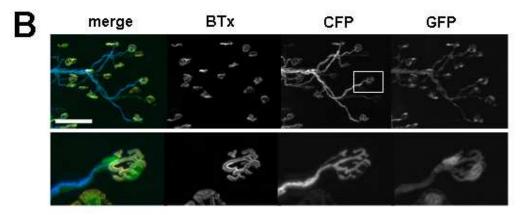


Fig.21: Examination of myelinating SCs and dose-dependant injury of the NTs following the application of EG1 and complement. No loss of GFP or uptake of EthD-2

(orange in the composite images) in the myelinating SCs in **A** NT injury, **B** predominant pSC injury following the application of EG1 at 50  $\mu$ g/ml (half the usual concentration) and **C** combined NT and pSC injury following the application of EG1 at 100  $\mu$ g/ml (usual concentration).

BTx depicts the nAChRs (pink in the composite image), CFP the axons (blue in the composite image) and GFP the SCs (green in the composite image). Scale bars:  $100 \mu m$ .





**Fig.22: Control muscles (incubated with Ringer's solution followed by complement.) A** *in vivo* application and imaging; **B** *ex vivo* application and imaging. No changes to the fluorescent proteins are noted.

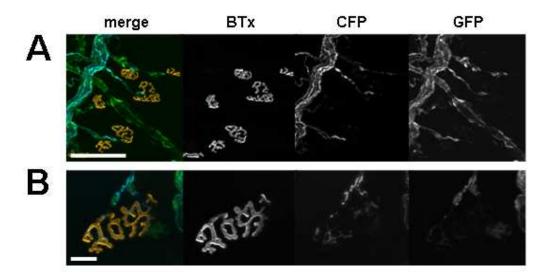
BTx depicts the nAChRs (orange in the composite image), CFP the axons (blue in the composite image) and GFP the SCs (green in the composite image). Scale bars: A 20  $\mu$ m, B 100  $\mu$ m.

## 3.3.3.3 Summary of results (Table 14)

In and ex vivo application of anti-ganglioside Abs in conjunction with a source of complement revealed the following binding profiles and injury specificities. Antibodies with a binding profile resembling the nAChR-pattern corresponded to selective NT-injuries, whereas Abs which exhibited a binding profile colocalising with pSCs, resulted in pSC injuries and NT changes of varying degree.

Antibody	Mouse strain							
	WT		GD3KO		GM2KO		PLP-Tg	
	binding	injury	binding	injury	binding	injury	binding	injury
TBG3	NT	NT	NT	NT	n.i.	n.i.	-	_
EG1	pSC	pSC + NT	n.i.	n.i.	pSC	pSC + NT	NT	NT
MOG16	NT	NT	n.i.	n.i.	_	_	pSC	pSC + NT
CGG3	pSC	n.i.	n.i.	n.i.	n.i.	n.i.	NT	NT

**Table 14: Results for anti-ganglioside Ab-binding and –injury trials** (*n.i.* not investigated)



**Fig.23:** Application of MOG16 in PLP-Tg mice. Despite this Ab exhibiting a pSC staining pattern (see Fig.17), a loss of CFP (blue in the composite images) overlying the NMJ (BTx, orange in the composite images) can be observed, indicating that the NTs are injured in addition to the pSCs (GFP, green in the composite images). Scale bars: A 100  $\mu$ m, B 20  $\mu$ m.

## 3.3.4 Discussion

Similar to previous investigations conducted in WT and KO non-fluorescent mice, the application of anti-ganglioside Abs with varying affinities to the different gangliosides and a source of complement resulted in selective injuries to the neural and/or glial components of the NMJ depending on the affinity of the Ab applied.

An injury specifically to the NTs in WT mice was elicited following the application of anti-GD1a-, anti-GD1b- and anti-GT1b-Abs. This corresponds well to previous investigations (Halstead et al., 2005b) and clinical studies, where anti-GM1 and anti-GD1a Abs are predominantly found in patients suffering from acute motor axonal forms of GBS (Kaida et al., 2000; Press et al., 2001). Also, the fact that anti-GD1a Abs bind at lower levels (i.e. are harder to demonstrate) in WT mice when compared to GD3KO-mice, correlates well with the literature (Goodfellow et al., 2005). The rather unexpected and highly interesting finding, that TBG3, the anti-GD1a Ab, could readily be demonstrated at the NT of WT following permeabilisation of these structures, indicates that this Ab must be internalised rapidly in this area and lead to a side-study exploring this phenomenon (see 3.5). Additionally, this finding then also explains why in previous studies WT-NMJs appeared to be relatively refractory to anti-GD1a Ab-mediated injury at the standard concentration of 100 µg/ml (Goodfellow et al., 2005). In the current settings anti-GD1a Ab (TBG3) was applied at double the concentration (200 µg/ml) and a shorter incubation period, thus increasing the Ab-load and limiting the time available for uptake into the NT.

Rather unexpectedly, however, and contrary to previous observations, it did not seem to be possible to elicit a selective injury to the pSCs in WT fluorescent mice. Previous investigations had indicated that pSC injury in these mice is relatively associated with a GD3-reactivity of Abs (Halstead et al., 2005b); at the same time it was surmised that variations in Ab-concentration and exposure times could result in a broadening of the target structures (Halstead et al., 2005b). In the current study, both the application of EG1 and CGG3 in WT mice resulted in combined pSC and NT injuries, however, especially for EG1 a dose-dependant target shift could be observed, with lower concentrations (50 µg/ml) resulting primary in injuries to the pSCs (albeit at a lower overall frequency) and regular concentrations (100 µg/ml) resulting in combined injuries to pSCs and NTs.

The application of EG1 and complement in GM2KO mice resulted in a combined pSC and NT injury. This potentially can be explained by the knockout of the GalNacTransferase in these mice which leads to the NTs expressing GD3 instead of complex gangliosides. Thus an Ab targeting GD3 binds both pSCs and NTs and results in a complement-mediated injury to both of these. This Ab therefore could not contribute to explaining the background behind the contradictory observations described above.

At this point it might be worthwhile mentioning the efficacy of binding patterns. The binding pattern of Abs which in conjunction with complement lead to injury of the NTs, closely resemble the staining pattern for nAChRs (BTx) (Fig. 17). This staining pattern on the other hand is also very similar to that shown for acetylcholineesterase (Rich & Lichtman, 1989c), glycoproteins of the synaptic basal lamina stained with L-VVA (O'Malley et al., 1999) or following the application of RH795 (Balice-Gordon & Lichtman, 1993), a dye used for the functional imaging of neurons (product information, Molecular Probes). The analogy of staining patterns observed for muscular, basal membranous and neural structures of the NMJ presumably indicates the close proximity of these structures to one another, especially for when Abs applied can only bind the synaptic (abglial) side of the NTs. In stark contrast to this, the binding patterns for pSCs are very distinct due to colocalisation with GFPfilled cell bodies overlying the NMJS in these mice (Fig.17). At the same time, it is not clear to what extent pSC binding patterns mask NT binding patterns due to the relation of these structures to one another. The pSCs processes precisely align with the NTs, thus making it difficult to discern whether the Abs actually are binding the NTs, the pSC processes or both.

Thus, there are three potential explanations, why all Abs which exhibited a pSC binding profile induced both a pSC and NT injury following the application of complement (Table 14): **a)** the Ab binds to both the pSCs and NTs and the NT-binding is masked by the pSC-binding, **b)** the NT-injury is a bystander (friendly fire) injury following a severe complement activation on the pSCs or **c)** the NTs show changes to their morphology as a result of the injury to their pSC. As briefly indicated above, b) and c) lie in direct contrast to previous investigations where the short-term ablation of the pSCs did not lead to any ultrastructural or functional changes of the NTs (Halstead et al., 2005b). A caveat to the previous investigations, however, is that the pSC ablation/injury was not complete (Halstead et al., 2005b)

and the subtle changes to the CFP observed in the present study might not have been discernible in ultrastructural and electrophysiological investigations conducted in the previous investigations.

The application of MOG16 in PLP-Tg mice provided some help in elucidating this question. In ELISAs, MOG16 binds the complex gangliosides GD1b and GT1b. In WT-muscles, this Ab exhibits a binding pattern similar to the BTx-signal and induces a selective injury to the NTs. In PLP-Tg mice, however, this Ab colocalises with the pSCs and, following the application of complement, results in a very pronounced pSC injury which is accompanied by obvious changes to the NTs (Fig.23). Technically, PLP-Tg mice only express complex gangliosides in the SCs of their PNS, as the promoter (PLP) for the enzyme required to synthesise complex gangliosides is only found in certain glial cells, such as SC precursors, oligodendrocytes and olfactory ensheating cells, and some non-glial cells (Griffiths et al., 1998). However, recent publications have indicated a possibility of neuronal expression of PLP in mice (Miller et al., 2009; Sarret et al., 2010) with research specifically showing the embryonic expression of soma-restricted products of the PLP gene in motor neurons of the PNS (Jacobs et al., 2004). This would mean that depending on the life of the enzyme and the rate of ganglioside turnover at the NT, PLP-Tg mice potentially could possess complex gangliosides on their NTs (confirmed by personal communications with Wendy Macklin, supplier of the PLPpromoter). However, if the neural structures in these mice do possess complex gangliosides, they should also be vulnerable to the application of TBG3 and complement, which in WT mice leads to a very reliable NT-injury. In PLP-Tg-mice, however, TBG3 does not bind and the application of TBG3 and complement does not lead any changes to the NTs; therefore, PLP-Tg neural structures do not contain any complex gangliosides, and potential explanation a) (the masking of a NT binding pattern by a pSC binding pattern) cannot apply to these mice. When comparing pSC binding profiles for PLP and WT mice no obvious differences can be observed. This, together with the fact that an Ab which definitely only binds the pSCs leads to a combined pSC and NT injury in the PLP-Tg mouse, indicates that the concomitant injury of the NTs with the pSC injury in the WT mouse most likely is the result of a bystander injury (explanation b)) or reactive changes of the NT following the pSC injury (explanation c)). Further investigations are warranted to provide a definite answer to this observation.

In addition to raising a number of questions, the binding and injury profiles, however, also resulted in the very interesting observation, namely that the reintroduction of the GalNac-Transferase in PLP-Tg mice via the PLP-promotor resulted in a changed ganglioside profile of the pSCs of these mice. Instead of the simple ganglioside GD3, the pSCs of these mice now express the complex gangliosides GD1b and/or GT1b. The switch seems to be complete as both EG1 and CGG3, Abs which both bind GD3, exhibit a NT binding profile and induce a selective NT-injury in these mice (Fig.17).

#### 3.3.5 Conclusion

Despite raising a number of questions, the investigations described in this chapter indicate that for WT mice, a selective and reliable injury to the NTs can be induced following the application of TBG3. The concentration of this Ab needs to be increased and the exposure time decreased to be able to compensate for the rapid internalisation of this Ab at the NT. A reliable combined injury to NTs and pSCs can be achieved by applying both TBG3 and EG1 simultaneously.

Currently, no selective injury to pSCs can be elicited in fluorescent mice.

# 3.4 Comparison of SM and SH regarding Ab-binding, NT sprouting and pSC process extension

#### 3.4.1 Introduction

Previous investigations conducted in the murine model for GBS have revealed differences in the strain susceptibility to Ab-mediated injury. NIH(s) mice, which were used in the earliest investigations, seemed relatively refractory to Ab- and complement-mediated of pSCs when compared to Balb/c and C57Bl/6 mice (Halstead et al., 2004, 2005b). This appears to be due to a difference in the level of anti-ganglioside Ab-binding (unpublished data).

The homozygous strain of double-fluorescent mice was outbred with DBA females to acquire bigger litters and thus increase the availability of mice. As it was intended to make use of all stocks (both homozygous and heterozygous male and female mice) the following investigations were aimed at determining whether the Ab-binding level for the Ab in mind to create *in vivo* NT-injuries was equal for all muscles under investigation in both homozygous and heterozygous male and female mice. The Ab-binding levels were determined semi-quantitatively by assessing the fluorescence intensity of secondary Abs overlying the NMJ (briefly described in 2.5.3), a method repeatedly published in previous instances (Goodfellow et al., 2005; Greenshields et al., 2009; Halstead et al., 2004). Obviously, this methodology does not allow for determining the exact amount of Ab bound at the NMJ. However, as all staining runs are carried out in parallel and with the same agents, it does allow for the relative comparison of the level of Ab bound in the muscles under investigation.

On an entirely different note, research has indicated that the ability and rate of NTs to sprout following traumatic denervation of the NMJ or toxin-induced paralysis differs between fast- and slow-twitch muscles, with NTs of slow-twitch-muscles exhibiting a greater capacity and/or faster NT sprouting (Brown et al., 1980; Duchen, 1970; Duchen & Tonge, 1973; Frey et al., 2000; Hopkins et al., 1986). As indicated in the introduction (1.3.3.3), NT sprouts follow extended pSC processes and can be induced by these (Son & Thompson, 1995a); both the axonal sprouts and the reactive pSCs extending processes are GAP-43 positive (Woolf et al., 1992). Considering the SM and SH, the muscles aspired to be used in the *in vivo* investigations, are predominantly fast-twitch (d'Albis et al., 1988; Kemp et al., 2009;

van Lunteren & Moyer, 2003), the ability of these muscles to exhibit pSC processes and NT sprouts following (traumatic) denervation of the NMJs was examined.

## 3.4.2 Specific Materials and Methods

## 3.4.2.1 Antibody-binding capacity

The SM, SH and TS of four age-matched (10 to 12 weeks) homozygous and heterozygous double-fluourescent WT mice (one male and one female each) were extracted and snap-frozen at -80°C.

Muscles were mounted on blocks of embedding compound (Bright cryo-m-bed, Bright Instrument Company Limited, Huntingdon, UK), sectioned longitudinally at 8 µm with a cryostat (Bright Company Limited, Huntingdon, UK) and transferred to glass slides (Menzel, Braunschweig, Germany).

Two samples per muscle per animal were incubated with TBG3 ( $50\mu g/ml$ ) for 2 hours at 4°C, rinsed in PBS and further incubated with TRITC-conjugated  $\alpha$ -m-IgG3 (1:300 in PBS) and FITC-conjugated BTx (1.3  $\mu g/ml$ ) for 6 hours at 4°C (see also 2.7 and 2.8). Slides were washed in PBS, mounted in Citifluor anti-fading agent (Citifluor products, Canterbury, UK), coverslipped and sealed.

The Ab-deposit overlying the NMJ was determined and semi-quantified for each muscle section as described in 2.5.3 and muscles/lines/sexes were compared using the Mann-Whitney test for non-parametrical data.

## 3.4.2.2 Nerve terminal sprouting and pSC process extension capacity

In twelve heterozygous double-fluorescent WT mice the SM and SH were exposed as described in 2.2.1. Then the left CNXI was crushed at the point of appearance from under the digastric muscle (see Fig. 12; roughly 3 to 5 mm proximal to the

entrance into the SM) and the left innervating nerve to the SH was crushed directly at its entrance into the SH. The mice were recovered and sacrificed after four (n=3), seven (n=3) and 14 days (n=6). For qualitative assessments (n=3 for each time-point) the SM and SH were processed and imaged as described in 2.3.1 and 2.3.4

The remaining three mice (14 days of regeneration) were perfused as described in 2.3.2 and the SM permeabilised as described in 2.6.2. Anti-GAP-43 was applied in blocking serum III (2.10) at a concentration of 1:500, 1:250 and 1:125 for 3 days at 4°C, followed by a PBS wash and an incubation with secondary Ab (TRITC-conjugated anti-m-IgG2a, 1:100) and Cy5-conjugated BTx (1:500) for 4h at RT. SM were imaged as described in 2.3.4.

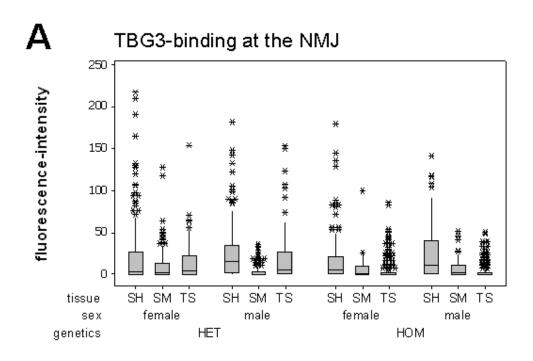
#### 3.4.3 Results

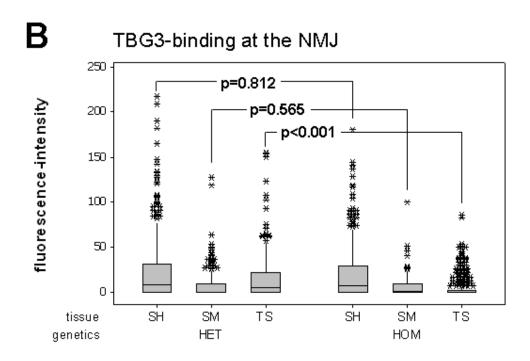
## 3.4.3.1 Antibody-binding capacity

In total 1867 NMJs (minimum 82 NMJs, maximum 262 NMJs per muscle, sex and strain) were imaged.

The Ab-binding capacity were similar for all muscles in male and female homo- and heterozygous double-fluorescent mice with the SH in male heterozygous TS exhibiting the highest levels and the homozygous TS (both male and female) exhibiting the lowest levels (Fig.24A).

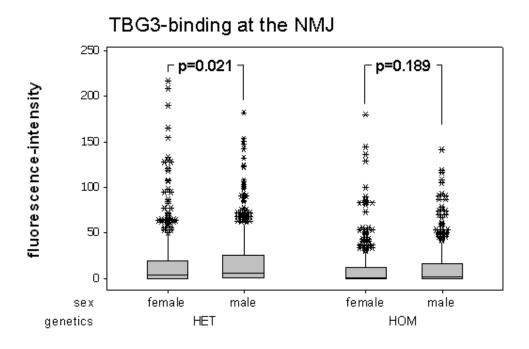
In statistical analyses, no significant differences were observed between homozygous and heterozygous SH (p=0.812; Mann-Whitney test, n=830) and SM (p=0.565; Mann-Whitney test, n=433) with the SM generally exhibiting lower levels of Ab-deposit when compared to the SH. A significant difference in the levels of Ab-binding was observed when comparing homozygous and heterozygous TS (p<0.001; Mann Whitney test, n=604; Fig.24B).





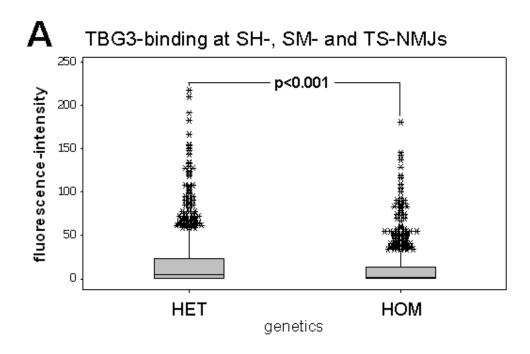
**Fig.24: TBG3-binding at SH-, SM- and TS-NMJs of female and male double-fluorescent mice.** A overview of binding values for all muscle sections; **B** comparison of the different muscles in heterozygous and homozygous double-fluorescent mice. Mann-Whitney test; n=830 (SH), n=433 (SM) and n=604 (TS). Each point represents one measurement. The maximum value obtainable for a measurement is 255.

When combining all three muscles and comparing male and female heterozygous or homozygous mice, a slight (however significant (p=0.021; Mann-Whitney test; n=1037)) difference was noted between male and female heterozygous mice, however, there was no difference between male and female homozygous mice (p=0.189; Mann-Whitney test, n=830; Fig.25).



**Fig.25:** Comparison of TBG3-binding capacity between the female and male individuals of each strain. Mann-Whitney test, n=1037 (het) and n=830 (hom). Each point represents one measurement. The maximum value obtainable for a measurement is 255.

Pooling all three muscles and both sexes and comparing heterozygous and homozygous mice, a significant difference between the two strains of mice was observed (p<0.001; Mann-Whitney test, n=1867; Fig.26A). This however, is due to the difference of Ab-deposit in the TS between the two strains, as the exclusion of this muscle lead to no significant difference being observed between homozygous and heterozygous double-fluorescent mice (p=0.853; Mann-Whitney test, n=1263; Fig.26B).



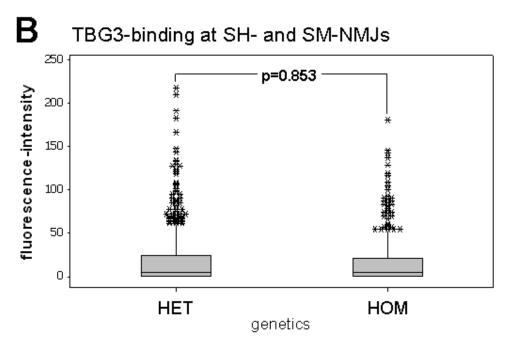
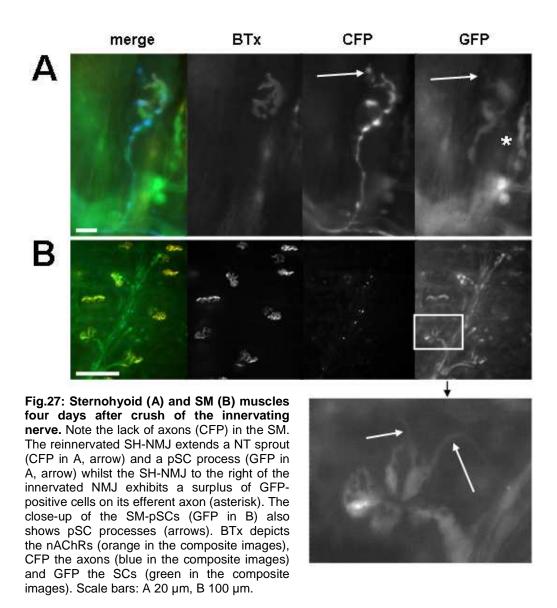


Fig.26: Comparison of the TBG3-binding capacity between heterozygous and homozygous double-fluorescent mice. A all muscles; B TS excluded. Statistics were conducted with Mann-Whitney tests; n=1867 (A), n=1263(B). Each point represents one measurement. The maximum value obtainable for a measurement is 255.

## 3.4.3.2 Nerve terminal sprouting and pSC process extension in SM and SH following traumatic denervation

Following four days of regeneration, many NMJs of the left SH already exhibited CFP overlying the NMJ. The presence of CFP occurred in a latero-medial fashion. Also, GFP-positive processes and axonal sprouts extending from CFP-positive NMJs were observed (Fig.27A). In general, there seemed to be a surplus of GFP-positive cells. At this time-point, the SM exhibited no CFP, whatsoever. In some areas of the SM, GFP-positive networks were observed, whereas in other areas, the pSCs and myelinating SCs only extended very tentative processes (Fig.27B).

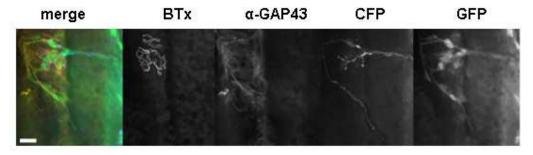


At seven days of regeneration, all SH-NMJs exhibited CFP overlying their BTx-signal and very many NMJs exhibited processes extending from GFP-positive cells and NT sprouts (Fig.28A). The SM was in the process of reinnervation, with some NTs exhibiting short sprouts. Here, a surplus of GFP-positive cells was observed which formed extensive networks. These often bridged individual NMJs (Fig.28B) A further seven days later, the SH closely resembled the control muscles, with only very few and distinct processes extending from GFP-positive cells and one or two NT sprouts to be seen (Fig.28C). The SM also exhibited CFP overlying all its NMJs, however, nearly every NMJ exhibited NT sprouts and extensive networks of GFP-positive cells could be observed, of which there was still a surplus. In many occasions both CFP- and GFP-positive processes bridged individual NMJs (Fig.28D)

Control SM and SH did not exhibit any changes to their fluorescent proteins; this includes pSCs processes or NT sprouts (Fig.28E).

The anti-GAP-43 stain predominantly colocalised with GFP-positive structures. Here both the cell bodies and processes were stained (Fig.29). Only very occasionally axonal structures were stained.

Fig. 28 see overleaf



**Fig.29: GAP-43-stain at regenerating NMJs.** GAP-43 (orange in the composite image) colocalises mainly with the GFP-signal for the pSC cell bodies and processes. BTx depicts the nAChRs (pink in the composite image), CFP the axons (blue in the composite image) and GFP the SCs (green in the composite image). Scale bar: 20 μm.

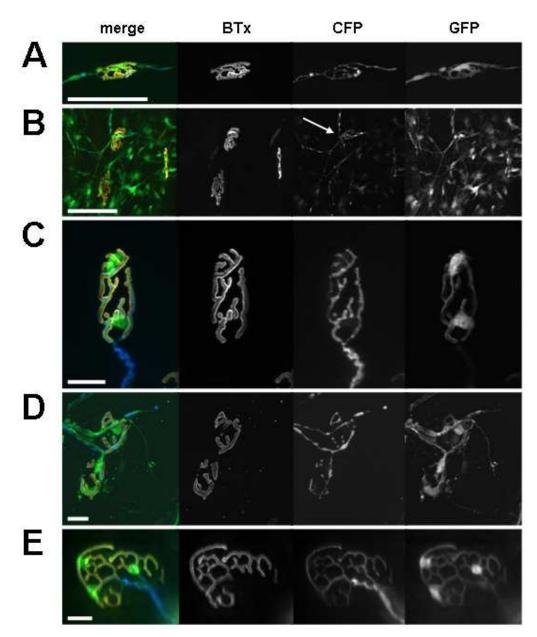


Fig.28: Recovery of the SH and SM from crush of the innervating nerve. A, B 7 days after crush. C, D 14 days after crush. E control NMJ.

**A** note the long pSC process (GFP) and NT sprout (CFP) extending to the right in the NMJ. The efferent axon is on the left. **B** networks of GFP-positive cells and return of some CFP to all three NMJs; the NMJ at the top (arrow) exhibits the most complete reinnervation. **C** the SH-NMJs exhibit a physiological morphology, **D** two SM-NMJs are bridged both by axons (CFP) and pSC processes (GFP).

BTx depicts the nAChRs (orange in the composite images), CFP the axons (blue in the composite images) and GFP the SCs (green in the composite images). Scale bars: A, B 100  $\mu$ m, C-E 20  $\mu$ m.

## 3.4.4 Discussion and Conclusion

## 3.4.4.1 Antibody-binding capacity

Differences in the ganglioside distribution in the same species have been reported for various areas of the central nervous system and these also seem to undergo changes during maturation of the animal (Bhargava et al., 1984). Similar findings were made when comparing human extraocular and limb/axial muscles. Here a difference in the neuromuscular staining was observed, with the extraocular NMJs exhibiting a stronger binding for anti-GQ1b, -GT1a and -GD1b Abs when compared to the limb and axial muscles (Liu et al., 2009).

The results described above reveal no major differences in the ganglioside distribution at NTs for the two predominantly fast-twitch muscles, SH (van Lunteren & Moyer, 2003) and SM (Kemp et al., 2009) both in homo- and heterozygous double-fluorescent mice. In both strains lower Ab-deposits were observed in the SM when compared to the SH, which means potentially that the SH-NTs are slightly more susceptible to anti-ganglioside Ab and complement-mediated injury. Nevertheless, it should be feasible to extrapolate data acquired both from homozygous and heterozygous mice for these two muscles.

Interestingly, the homozygous predominantly slow-twitch TS (Baxter et al., 2008) exhibited a much reduced Ab-binding capacity when compared to the heterozygous TS. The reason behind this remains to be elucidated. Therefore, at this point – considering the heterozygous TS exhibited Ab-binding levels similar to SH and SM – further investigations should exclude the homozygous TS and only utilise heterozygous TS.

## 3.4.4.2 Nerve terminal sprouting and pSC process extension

Many investigations assessing pSC process extension and associated NT sprouting following traumatic denervation of the NMJ have been conducted in the soleus muscle (Brown & Holland, 1979; Love & Thompson, 1999; Reynolds & Woolf, 1992; Son & Thompson 1995a, 1995b), which predominantly is a slow-twitch muscle

(d'Albis et al., 1988; Kemp et al., 2009). At the same time, a difference in the capacity NTs to produce axonal sprouts has been reported with NTs from slow-twitch muscles exhibiting a greater capacity for this behaviour when compared to NTs located on fast-twitch muscles (Brown et al., 1980; Duchen & Tonge, 1973; Frey et al., 2000; Hopkins et al., 1986). This might be due to the fact that Semaphorin 3A, a growth-cone collapse inducing factor and therefore inhibitory for the growth of neurites, is selectively expressed in pSCs of fast-twitch (Ilb/x) muscle fibres following denervation or toxin-induced paralysis (De Winter et al., 2006). Interestingly, however, other investigators have reported that following paralysis of muscles with botulinum toxin the morphology and actual period of nerve growth (four weeks) both in slow- and fast-twitch muscles is very similar. The principal difference is at what rate these changes occur. In slow-twitch muscles these changes are observed roughly two to three weeks earlier than in fast-twitch muscles (Duchen, 1970).

In general, and as briefly introduced in the introduction (1.3.3.3), following crush injuries to the innervating nerve, pSCs of denervated NMJs extend processes towards the periphery in the aim of reaching another NMJ; preferentially these pSCs bridges are formed between innervated and denervated NMJs (in contrast to two denervated NMJs) (Love & Thompson, 1999). In the event of contacting an innervated NMJ, the pSCs then induce the NT to sprout and guide it back to their own NMJ to regain innervation (Son & Thompson, 1995a). In regenerating muscles, the NMJs therefore are cross-linked by pSC-processes and axonal sprouts, and following regeneration "escaping"/overshooting axons which follow pSC processes extending towards the periphery can be observed (O'Malley et al., 1999; Son & Thompson 1995a, 1995b; Son et al., 1996).

The results described above, clearly indicate that the SM and SH, which both are predominantly fast-twitch-muscles (d'Albis et al., 1988; Kemp et al., 2009; van Lunteren & Moyer, 2003), exhibit pSC processes and NT sprouts during their reinnervation period after a crush injury to their innervating nerve.

In the early stages after nerve injury, only few pSC processes were observed. In the SM, however, these soon extended and resulted in extensive networks of GFP-positive cells, which could still be seen at the time-point when most NMJs had recovered their CFP-signal (NTs). In the SH, many pSC processes were noted, too,

these however, did not form extensive networks. Within seven to ten days following reinnervation, the SH-pSCs had returned to control morphology. During and following the reinnervation period, the rate of NT sprouts increased until close to all NMJs exhibited sprouts. These then persisted for a while, in their time-course presumably mirroring the pSC processes. The occurrence and resolution of pSC processes and NT sprouts observed in the present study were similar to those described in the soleus muscle following crush injury to the sciatic nerve (Reynolds & Woolf, 1992), whilst the rate of the changes observed corresponded well to crush injuries to the SM-innervation carried out in other groups, albeit in closer location to the muscle (Marques et al., 2006).

The GAP-43 stain confirmed the presence of activated pSCs (Woolf et al., 1992). Unfortunately, it proved very problematic to stain the axonal sprouts. This most probably is due to technical difficulties as most protocols apply this stain in sections and not wholemount tissue.

Nevertheless, the results acquired in this chapter indicate that following traumatic denervation of their NMJs, the pSCs and NTs of the SM and SH are capable of exhibiting the characteristic changes observed in other muscles following denervation or paralysis of those.

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# 3.5 Antibody internalisation at the motor NT and protection from complement-mediated injury

#### 3.5.1 Introduction

Previous studies investigating the application of anti-GD1a Abs in WT and GD3KO mice, have revealed the WT mice as relatively resistant to NT-injury following Aband complement-application. Additionally, the WT mice exhibited significantly lower levels of IgG and complement deposition when compared to the GD3KO mice (Goodfellow et al., 2005). This was attributed to the lower expression of the target ganglioside GD1a in WT compared to the GD3KO mouse (Goodfellow et al., 2005), as in the latter the a-series gangliosides are relatively overexpressed (Kawai et al., 2001; Okada et al., 2002). Investigations described in a previous chapter (3.3) revealed that anti-GD1a Abs are rapidly internalised in the WT mice, whereas in GD3KO mice these Abs can still demonstrated on the terminal axolemma following identical incubation times.

Thus, the following study was aimed at determining the rate of Ab-uptake at the NT in WT mice and how this potentially can protect the NT from complement-mediated injury. The amount of Ab and complement overlying the NMJ were determined semi-quantitively, in exactly the same way as described and discussed in the previous chapter (see 3.4.1) and published on previous occasions (Bullens et al., 2002; Goodfellow et al., 2005; Greenshields et al., 2009, Halstead et al., 2004, 2005a, 2008b).

#### 3.5.2 Specific Materials and Methods

## 3.5.2.1 Antibody-binding and -uptake

Twelve TS preparations (see 2.4) of heterozygous double-fluorescent WT mice were incubated with 0.5 ml of TBG3 (100  $\mu$ g/ml, supplemented by FITC-labelled-BTx) with the following four protocols (each n=3):

- 30 minutes on ice (termed 0 min),
- 30 minutes at 37℃ (termed 30 min)
- 30 minutes at 37℃ followed by 60 minutes with Ringer's solution (2.10.1) (termed 90 min)
- 30 minutes with Ringer's solution on ice (control)

Following these incubations the muscles were rinsed, fixed and further processed for Ab-detection as described in 2.5.1. Semi-quantification of Ab-deposit was conducted and measurements illustrated as described in 2.5.3. Groups were compared with the Mann-Whitney test (2 groups) and the Kruskal-Wallis test (>2 groups) for non-parametrical data.

After the first set of quantitative imaging, the muscles were rinsed in PBS, permeabilised for the level of the NMJ as described in 2.6.1 and reprocessed for Abdetection in the same way as the primary event. The second set of imaging was conducted with the same settings for FITC and TRITC as the first set and processing of the measurements was also identical to that of the primary event.

#### 3.5.2.2 Ex vivo assessment of complement deposition and NT injury

Twelve TS preparations (see 2.4) of heterozygous single - (only CFP) or double-fluorescent WT mice were incubated at  $37^{\circ}$ C with 0.5 ml of TBG3 (200 µg/ml, supplemented by FITC-labelled-BTx) and 0.5 ml of 40% NHS with the following four incubation protocols (each n=3):

- TBG3 and NHS together for 30 minutes (termed 0 min)
- TBG3 for 30 minutes followed by NHS for 30 min (termed 30 min)
- TBG3 for 30 minutes, followed by Ringer's solution for 60 min and NHS for 30 min (termed 90 min)
- NHS for 30 minutes (control)

The TS were rinsed five times with Ringer's solution between the individual solutions.

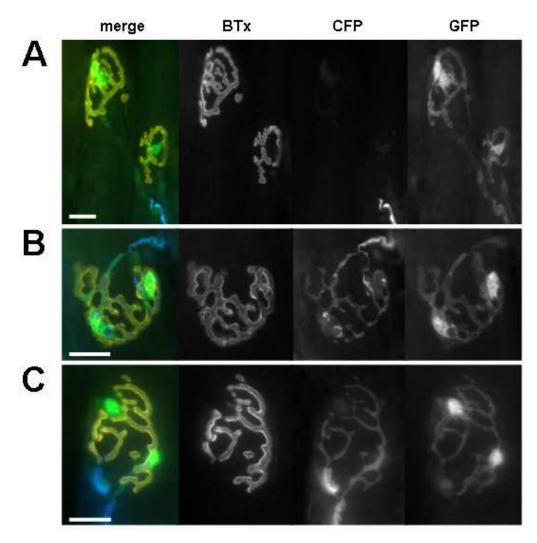
Following the incubations, all TS were rinsed and incubated with  $\alpha$ -C5-9 (1:40 and FITC-BTx (2  $\mu$ g/ml) in Ringer's solution) for 1 hour at room temperature and 3 hours at 4°C, then fixed with 4% PFA for 20 minutes, rins ed with PBS and 0.1M Glycine in PBS, incubated further with  $\alpha$ -C5b-9 (1:40 in PBS) overnight at 4°C and with secondary AB (TRITC- $\alpha$ -m-IgG2a 1:200) for 7 hours at 4°C, and processed as above.

Following the quantitative analyses of complement deposition (see 2.5.3), 100 NMJs per TS were assessed for CFP overlying the BTx-signal. To be able to conduct these investigations in a blinded fashion, the labels of the slides were obscured and samples coded by an independent colleague. CFP was scored as absent, changed or normal (Fig.30) and percentages of NMJs calculated for absent, changed or normal CFP. Groups were compared with the Kruskal-Wallis test for non-parametrical data (measurements) and chi-square tests (percentages calculated from counts).

#### 3.5.2.3 In vivo assessment of NT injury

In twelve heterozygous double-fluorescent WT mice, the SM were exposed *in vivo* as described in 2.2.1 and incubated with 0.6 ml TBG3 (200 µg/ml) and 0.6 ml of 40% NHS with the following four incubation protocols (each n=3):

- TBG3 and NHS together for 30 minutes (termed 0 min)
- TBG3 for 30 min followed by NHS for 30 minutes (termed 30 min)
- TBG3 for 30 min, followed by sterile Ringer's solution for 60 minutes and NHS for 30 minutes (termed 90 min).
- either Ringer's solution instead of TBG3 or heat-inactivated NHS (control).



**Fig.30: Assessment of NTs (CFP). A** CFP absent, **B** CFP changed, **C** CFP normal. Note the blebbing of the NT (CFP) in B. BTx depicts the nAChRs (orange in the composite images), CFP the axons (blue in the composite images) and GFP the SCs (green in the composite images). Scale bars: 20 μm.

The surgical site was rinsed five times with sterile Ringer's solution between the individual solutions. Following the incubations, the mice were immediately sacrificed and the SM processed for wholemount imaging and imaged as described in 2.3.1 and 2.3.5.

For the quantitative analysis of the injury, the superficial NMJs of the extracted SM were assessed for CFP overlying the BTx signal. CFP was scored as absent, changed or normal (Fig.30).

#### 3.5.3 Results

## 3.5.3.1 Antibody-binding and -uptake (Fig.31)

A total of 2722 NMJs and 3043 NMJs were assessed in non-permeabilised and permeabilised tissue, respectively.

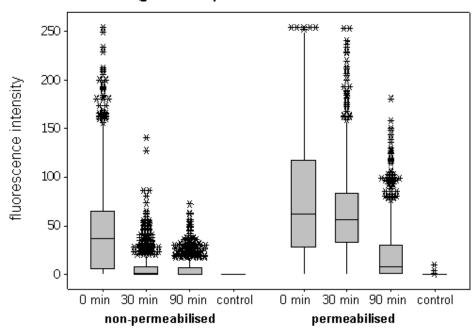
In non-permeabilised tissue a significant loss of superficial IgG-deposit could be observed between the NMJs incubated on ice (0 min) and at 37°C for 30 minutes (p<0.001; Mann-Whitney test, n=1645). Following an additional hour of incubation at 37°C with Ringer's solution, the values for IgG-deposition further decreased (p<0.001; Mann-Whitney test, n=1381).

In contrast to this, following permeabilisation of the tissue, only very slight (yet significant) differences between the IgG-content (both superficial and internalised) were observed between tissue incubated on ice or at 37°C (p<0.001; Mann-Whitney text, n=1663), whereas following a further one hour incubation with Ringer's solution the IgG-content decreased dramatically (p<0.001; Mann-Whitney test; n=1557).

In controls, no superficial or internalised Ab was detected.

3. Results

## TBG3-binding and -uptake at TS-NMJs



**Fig.31: Antibody-binding and -uptake.** The level of Ab bound to the surface of the NT (non-permeabilised tissue) decreases with an increase in incubation time and there is a statistically significant difference between all time-points assessed (p<0.001; Kruskal-Wallis test, n=2722). Following permeabilisation of the tissue, Ab lying within the NT can also be visualised, thus the measurements depict the summary of superficial and internalised Ab. Here the level also decreases after a prolonged incubation period (90 min) and statistically significant different values are observed between all time-points and control tissue (p<0.001; Kruskal-Wallis test; n=3043).

Each outlier point represents one measurement. The maximum value obtainable for a measurement is 255.

#### 3.5.3.2 Complement deposition and ex vivo NT injury

Here a total of 3552 NMJs were assessed for complement deposition.

Concomitant incubation of tissue with anti-ganglioside Ab and complement resulted in the highest values for MAC-deposition. The later complement was applied, the less MAC-deposits were observed at the NMJ, with an incubation gap (in which Ringer's solution was applied for 1h) resulting in the same MAC-deposits as control tissue (Fig.32).

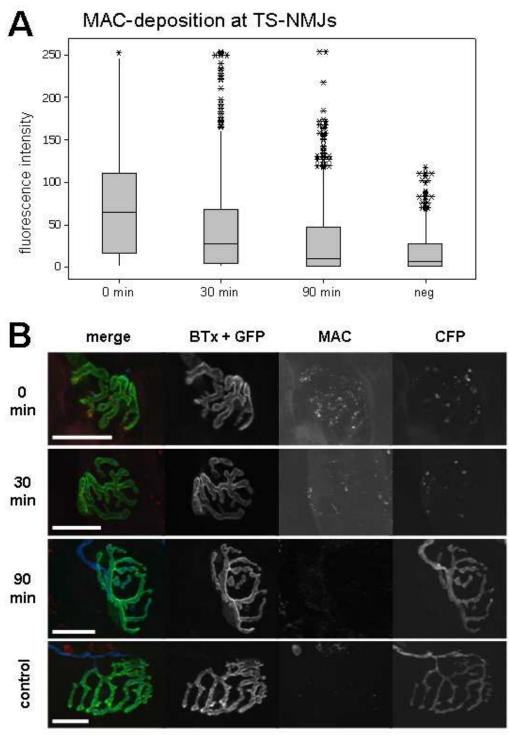


Fig.32: Complement deposition and ex vivo NT injury.

**A** The more time elapses between Ab-incubation and application of complement, the less MAC-deposition is observed at the NMJ (p<0.001; Kruskal-Wallis test, n=3552) Each point represents one measurement. The maximum value obtainable for a measurement was 255. **B** Example images for MAC deposition (red in the composite images) at the different timepoints. Note the CFP-loss at 0 min and 30 min, which represents NT injury, and coincides with MAC-deposition.

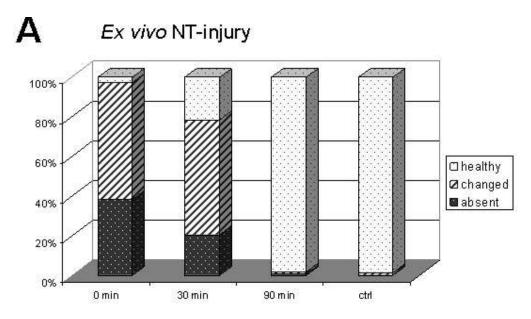
BTx depicts the nAChRs and GFP the SCs (both green in the composite images), CFP depicts the axons (blue in the composite images). Scale bars:  $20 \ \mu m$ .

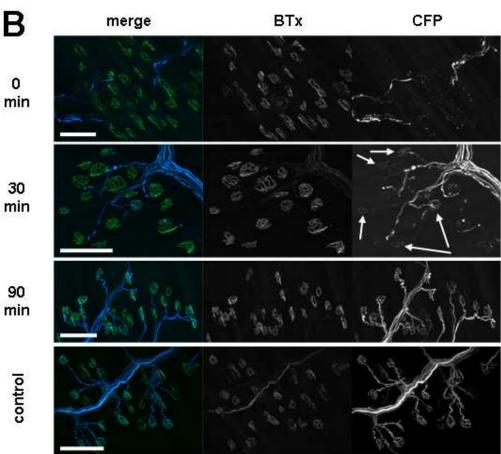
The results of the MAC-deposition were mirrored in assessments for NT injury. The simultaneous application of Ab and complement resulted in the highest score for unhealthy (CFP absent or changed) NMJs. This score continuously decreased the later complement was applied and statistically, no difference was observed between tissue with the incubation gap (90min) and the control tissue (p=0.737; chi-square test, n=12; Fig.33A). This corresponds directly with the results of the complement depositions.

Interestingly, the main category contributing to the most detrimental effect of applying Ab and complement simultaneously was the amount of NTs where the CFP could not be visualised anymore (absent), indicating the severity of the injury in these muscles.

## 3.5.3.3 In vivo NT injury (Fig.34)

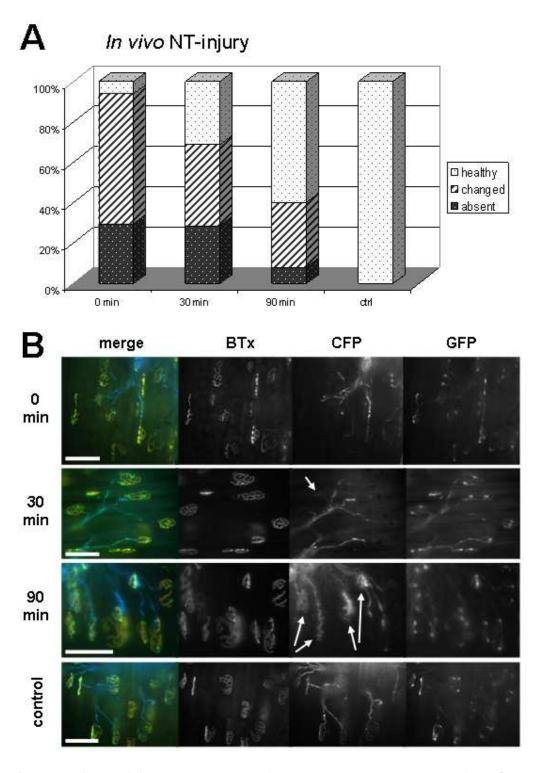
Nerve terminal injury following the *in vivo* application of Ab and complement mirrored that of *ex vivo* incubations. Here also the concomitant application of Ab and complement resulted in the highest counts for non-healthy NTs (CFP absent or changed). The later complement was applied the less NTs were injured and in controls all NTs remained healthy.





**Fig.33:** *Ex vivo* **NT injury. A** Assessment of NT injury through examination of the CFP-signal. **B** examples for NT injury at the different time-points. Note the increase in amount of healthy NTs (CFP; arrows in 30 min) with an increase in the time between Ab-incubation and application of complement.

BTx depicts the nAChRs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $100 \, \mu m$ .



**Fig.34:** *In vivo* **NT injury. A** Assessment of NT injury through examination of the CFP-signal. **B** examples for NT injury at the different time-points. Arrows in 30 min and 90 min depict healthy NTs (CFP).

BTx depicts the nAChRs (orange in the composite images), CFP the axons (blue in the composite images) and GFP the SCs (green in the composite images). Scale bars: 100 µm.

## 3.5.4 Discussion

Anti-ganglioside Ab is taken up rapidly at the motor NT, which results in a protection of the NT from complement-mediated injury. These findings explain why in previous investigations, the NTs of WT mice appeared to be relatively resistant to antiganglioside Ab- and complement-mediated injury (Goodfellow et al., 2005). At the same time, the findings, however, also indicate that an increase of Ab-concentration and a decrease in the protraction time until application of complement result in this phenomenon being overcome, presumably by saturation of the internalisation pathways. A saturation of the internalisation pathway presumably also accounts for the increased vulnerability and higher MAC deposits observed in GD3KO mice (Goodfellow et al., 2005).

Following a prolonged incubation of TS, the total amount of Ab (both internalised and superficial) found at the NT decreases which indicates either a local degradation or a transport of the Ab to a more proximal location.

There are other macromolecules such as cholera, tetanus and botulinum toxin, which also bind to the gangliosides located on the NT (Helting et al., 1977; van Heyningen, 1974). Cholera toxin very selectively binds GM1 (Singh et al., 2000; van Heyningen, 1974), whilst both tetanus and botulinum toxin bind GD1b, GT1b and GQ1b (Schwab & Thoenen, 1978; Singh et al., 2000). Following their binding, both cholera and tetanus toxin are taken up into the NT and undergo retrograde transport in membrane-bound compartments to the cell body at a velocity of 7.5 mm/h (Schwab & Thoenen, 1978). In the case of cholera toxin, this phenomenon is widely exploited in research be coupling this toxin with fluorescent proteins and using it as a retrograde tracer. Botulinum toxin on the other hand is not transported, but locally undergoes conformational changes to be able to exert its pathological actions directly at the NT (Foran et al., 2003). Dopamine-β-hydrolase Abs, which presumably bind to dopamine-β-hydrolases at adrenergic NTs, also are taken up and undergo retrograde transport; this selectively takes place in axons of the sympathetic nervous system (Fillenz et al., 1976). Thus, both the possibilities for local degradation or retrograde transport have been demonstrated for macromolecules binding gangliosides and being taken up in the NT. The fate for the anti-ganglioside Abs remains to be elucidated.

#### 3.5.5 Conclusion

The rapid uptake of anti-ganglioside Abs into the motor NT can protect this structure from complement-mediated injury. In order to overcome this phenomenon in the experimental setting, an increased Ab-concentration - preferentially combined with a decreased protraction period for the incubation with complement - needs to be applied. Unfortunately, no comment can be made in regards to how the Ab-concentrations applied in current investigations relate to the clinical situation, as unlike for example Myasthenia gravis (Rødgaard et al., 1987) the serum Ab-concentrations in relation to disease occurance and disease severity remain unknown.

## 3.6 Auxiliary investigations associated with the loss of CFP at the NT

#### 3.6.1 Introduction

Mice expressing fluorescent proteins in the axons of their peripheral and central nervous system due to the Thy1.2 gene regulatory elements have been used to investigate a number of developmental, degenerative and regenerative questions (Lichtman & Sanes, 2003; Magill et al., 2008; Misgeld, 2005), such as axonal branch removal at developing synapses (Bishop et al., 2004) and axonal de- and regeneration in the central nervous system (Dratviman-Storobinsky et al., 2008; Kerschensteiner et al., 2005) and PNS (Beirowski et al., 2005; Hayashi et al., 2007, 2008; Koob et al., 2007; Magill et al., 2007 ;Nguyen et al., 2002; Pan et al. 2003) following traumatic nerve injury. The advantages of these mice - especially when compared to carrying out intravital staining of axons - are multiple and include the fact that the fluorescent proteins diffuse or are transported along the entire axon. Therefore the entire NT is labelled, including tiny axonal sprouts. Also, there is no obvious difference in the staining intensity between distal and proximal portions of peripheral nerves, the fluorescence remains stable even following numerous imaging sessions, in many mouse lines the motor axons start exhibiting fluorescence by embryonic day 13 and the fluorescent proteins do not induce any (toxic) changes to the motor axons (Feng et al., 2000).

Considering all these investigations are purely based on the incidence or absence of intracytosolic fluorescent proteins in the axons, it is crucial to determine to which (commonly investigated) histological changes the loss of fluorescent proteins correspond. Three studies have investigated the relationship between the loss of intraaxonal cytosolic proteins and electronmicroscopy (EM) of corresponding nerve sections following traumatic injury of the peripheral and central nerves (Beirowski et al., 2004, 2010; Wang, Hamm, & Povlishock, 2011). Both *in* and *ex vivo* lesioning of fluorescent optic, spinal cord and cerebral axons leads to swelling and beading of these in a proximo-distal fashion. The velocity of the occurrence of these morphological changes roughly corresponds to the velocity of slow axonal transport (Beirowski et al., 2010). Fragmentation of the fluorescent signal correlates with breakdown of the axon (spheroid formation) (Beirowski et al., 2004), which is one of the first changes observed in Wallerian degeneration (Stoll et al., 2002; Stoll & Muller, 1999). Up to 48 hours after induction of the injury these spheroids contain

accumulations of multivesicular bodies, intact or dilated mitochondria and disorganised cytoskeletal elements, whereas at later time-points the spheroids appear to be empty or are only filled with floccular material. At early time-points (10-24 hours after induction of the injury), the spheroids stain for anti-neurofilament, anti-neuronal BIII-tubulin and anti-tau Abs and also for makers of impaired axonal transport, such as β-amyloid precursor protein and synatophysin (Beirowski et al., 2010). Quantitative analyses of preservation rates for peripheral axons following traumatic injury were conducted both with fluorescence and conventional light microscopy/EM. No significant difference was observed between the method of evaluation at any time-point scrutinised (Beirowski et al., 2004). Percussion injury of the optic nerve also results in the area of focal loss of fluorescent proteins in the area where the force is applied. Here, EM investigations revealed highly vacuolated and organelle-devoid axonal cylinders, whereas the proximal and distal swellings contain disorganised axonal skeletal proteins. The distal swellings are further characterised by dilated mitochondria and also contain autophagic vacuoles at later time-points (Wang et al., 2011).

In the following, similar investigations to those described above were carried out in the murine model of GBS to investigate the significance, nature and presumed mode for loss of the intraaxonal fluorescent protein following induction of an antiganglioside Ab and complement-mediated injury to the motor NT.

## 3.6.2 Specific Materials and Methods

## 3.6.2.1 Ex vivo preparations

Triangularis sterni preparations (see 2.4) of WT double-fluorescent and single-fluorescent (only CFP) mice were incubated at 37°C with TBG3 followed by NHS as described in 2.7. On one occasion, tissue was further incubated with Ringer's solution for 4 hours at 37 °C after the NHS incubation. In control tissue, TBG3 was replaced by Ringer's solution.

Following the incubations, the tissue was processed for Ab-deposition (see 2.5.1) or for permeabilisation of the NMJ and proximal efferents as described in 2.6.2. Primary Abs for neurofilament (NF) light (70kDa) and heavy (200 kDa) were applied at concentration of 1:200 in blocking serum III (see 2.10.6) for 20 hours at 4°C (see 2.7). Following a rinse in PBS, secondary fluorescence-conjugated goat-anti rabbit-IgG was applied, also at a concentration of 1:200 in blocking serum III (see 2.10.6) for 20 hours at 4°C. Then tissue was processed for imaging as described in 2.5.1.

For each type of NF, 100 NMJs per TS and condition were assessed for the incidence of the physiological appearance of NF and CFP overlying the BTx-signal.

To assess the contribution of calpain to NT injury and loss of CFP, AK 295 (200 mM, provided by Dr. J. Glass (Atlanta, GA, USA), which strongly inhibits both calpain I and II and to a lesser degree also cathepsin B (Li et al., 1996; Wang et al., 2004) was added to NHS and the tissue was processed for NF heavy as described above.

#### 3.6.2.2 In vivo preparations

The SH and SM of double-fluorescent mice were subjected to *in vivo* application (see 2.2.1) of TBG3 followed by NHS as described in 2.7. Then  $\alpha$ -IgG3 (1:200) and  $\alpha$ -C5b-9 (1:40) were applied *in vivo* for 1 hour, before SM and SH were processed as described in 2.3.1 and 2.3.2. Anti-IgG3 and  $\alpha$ -C5b-9 were then reapplied at the same concentrations overnight at 4°C. Following a PBS rinse, the secondary Ab for MAC-deposition (TRITC- $\alpha$ -m-IgG2a; 1:200) was applied in blocking serum I (see 2.10.6) for 7 hours at 4°C (see 2.7).

To assess the complete loss of NF light and heavy immuno-reactivity at 24 hours after induction of the injury, mice were recovered following the *in vivo* application of TBG3 and NHS and sacrificed one day later. Then SM and SH were processed for permeabilisation of the NMJs and proximal efferents as described in 2.6.2, and  $\alpha$ -NF-Abs (1:100) were applied in blocking serum III for 20 hours at 4°C, followed by incubation with secondary Ab (fluorescence-conjugated goat anti-rabbit IgG; 1:200) also in blocking serum III for 20 hours at 4°C.

### 3.6.2.3 Electronmicroscopic investigations

To acquire tissue for EM, mice subjected to *in vivo* application of TGB3 or Ringer's solution followed by NHS (see 2.2.1 and 2.7). The mice were recovered after checking the SH/SM *in vivo* for completeness of the injury and then sacrificed within the next hour or after 24 hours. Sternomastoid muscles/SH were processed for EM and imaged by Mrs Jennifer Barrie as described in 2.3.6.

### 3.6.3 Results

### 3.6.3.1 Ex vivo preparations

In all control preparations, smooth and regular CFP was observed overlying the BTx-signal (Fig.35A). Acutely, following the induction of an anti-ganglioside Ab and complement-mediated injury, a change to the physiological appearance of CFP overlying the BTx-signal could be observed (also see chapter 3.3). The CFP either was confined to blebs overlying the NMJ (Fig.35B) or no CFP could be observed anymore (Fig.35C).

### 3.6.3.1.1 Antibody-deposition

Following induction of anti-ganglioside Ab and complement-mediated injury to the NT, it was still possible to illustrate the Ab-binding pattern at the NMJ. The pattern of Ab-binding was very punctate (Fig.35D) and exhibited a similar pattern to Ab applied to NMJs without the ensuing application of complement (Fig. 35E).

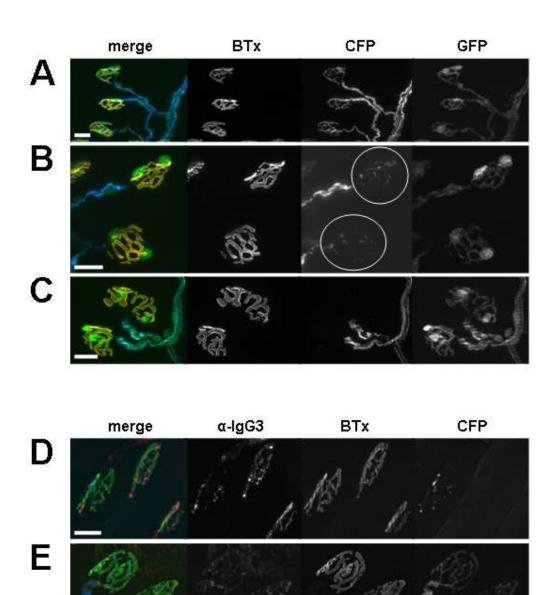


Fig.35: Ex vivo TBG3 and complement application. A control NMJs with no changes to the fluorescent proteins,  $\bf B$  blebbing NTs (circles in CFP),  $\bf C$  no CFP overlying the BTx-signal,  $\bf D$  superficial Ab-deposit ( $\alpha$ -lgG3) at NMJs, which exhibit injured NTs (loss of CFP),  $\bf E$  Ab-binding at the NMJ without the application of complement.

**A**, **B**, **C**: BTx depicts the nAChRs (orange in the composite images), CFP the axons (blue in the composite images) and GFP the SCs (green in the composite images). **D**, **E**: BTx depicts the nAChRs (green in the composite images), CFP the axons (blue in the composite images) and  $\alpha$ -IgG3 the Ab-deposit (pink/orange in the composite images). Scale bars: 20  $\mu$ m.

### 3.6.3.1.2 Neurofilament immunoreactivity at the NMJ

In all control preparations, the NF immunostaining, both for NF heavy and light, exhibited a very crisp and distinct signal extending along the motor axons and spreading into the NMJ (Fig.36A, C, E; Fig.37A).

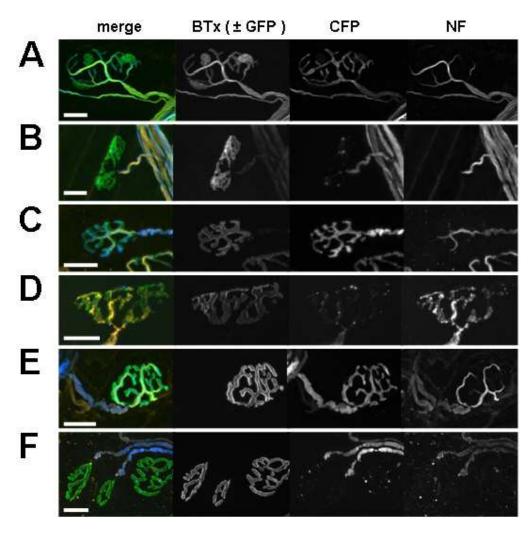


Fig.36: Neurofilament immunoreactivity (orange in the composite images) at the NMJ. A, C, E control tissue; B, D, F tissue exposed to TBG3 and complement. A, B NF heavy acutely; C, D NF light acutely; E, F NF light after prolonged incubation times.

Note the very distinct and clear signal both for NF heavy (A) and NF light (C, E) in control situations. Acutely following the application of TBG3 and compliment, a loss of CFP-signal (B, D) and loss of the immunoreactivity for NF heavy (B) is observed. The NF light-staining exhibits a spongy appearance (D; compare to the crisp signal in C). Following a prolonged incubation time, the immunoreactivity for NF light also is lost (F).

BTx depicts the nAChRs and GFP the SCs (both green in the composite images) and CFP depicts the axons (blue in the composite images) and GFP the SCs. Scale bars:  $20~\mu m$ .

In preparations subjected to anti-ganglioside Ab and complement, the changes to the physiological appearance of CFP at the NMJ were accompanied by changes to the NF-immunoreactivity. Neurofilament heavy could not be stained for anymore (Fig.36B), whereas the NF light stain exhibited a swollen and spongy appearance (Fig.36D). However, following an additional incubation for four hours with Ringer's solution at 37°C the immunostaining for NF light also disappeared (Fig.36F).

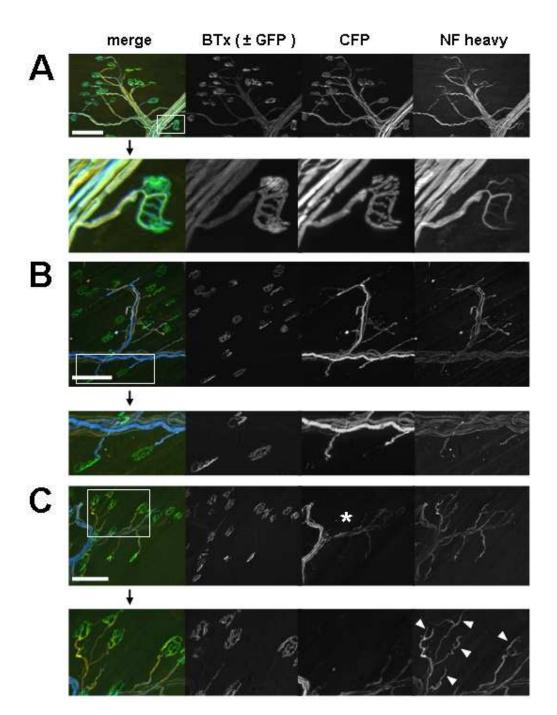
These qualitative observations were confirmed in counts assessing the occurrence or absence of a physiological CFP- and NF-signal overlying the BTx-signal (Table 15).

	Condition	NMJs	CFP abnormal or gone	Neurofilament			
				normal	swollen	gone	total abnormal
NF heavy acute	Ab+NHS	100	97	2	9	89	98
	Ringer's+NHS	100	1	94	1	5	6
NF light acute	Ab+NHS	100	97	5	90	5	95
	Ringer's+NHS	100	1	100	0	0	0
NF light 4h	Ab+NHS	100	98	2	6	92	98
	Ringer's+NHS	100	2	97	2	1	3

Table 15: Occurrence and absence of NF heavy and light at the NMJ following the application of TBG3 and complement

### 3.6.3.1.3 Application of calpain-inhibitors

Applying the calpain-inhibitor AK295 together with the source of complement (NHS), resulted in NF heavy immunoreactivity remaining, whilst CFP at the NMJ still was lost (Fig.37B). However, in comparison to the very sharply demarcated loss of CFP observed predominantly at the NMJ in muscles where anti-ganglioside Ab and complement were applied, the additional application of AK295 resulted in a more generalised loss of CFP, with the individual axon branches exhibiting a decrease of fluorescence intensity and occasionally even the fluorescence of axons in small nerve bundles appearing faint (Fig.37C).



**Fig.37:** Application of the calpain-inhibitor AK295 together with complement following the TBG3-incubation. A CFP and NF heavy at healthy NMJs, B localised and sharply demarked loss of CFP and NF heavy following the application of TBG3 and complement. C generalised decrease of CFP-fluorescence intensity (asterisk) and preservation of NF heavy (arrowheads) following the inhibition of calpain after the application of TBG3, AK295 and complement.

BTx depicts the nAChRs and GFP the SCs (both green in the composite images), CFP depicts the axons (blue in the composite images). The NF immunoreactivity is orange in the composite images. Scale bars:  $100 \mu m$ .

### 3.6.3.2 In vivo preparations

At NMJs where CFP was lost or blebbing, MAC deposition was observed (Fig.38B); Ab-deposition could not be demonstrated.

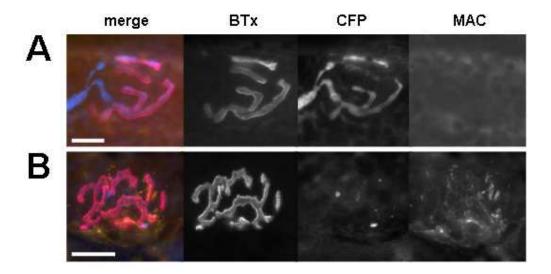


Fig.38: MAC-deposition (orange in the composite images) at NMJs following the *in vivo* application of TBG3 and complement. A no MAC-deposition at a healthy NT (smooth CFP), B MAC-deposition at an injured NT (only CFP-blobs). BTx depicts the nAChRs (pink in the composite images) and CFP depicts the axons (blue in the composite images). Scale bars:  $20~\mu m$ .

Twenty-four hours following the induction of anti-ganglioside Ab and complement-mediated injury, neither NF light (Fig.39A) nor heavy (Fig.39C) could be stained for at NMJs which did not exhibit any CFP anymore.

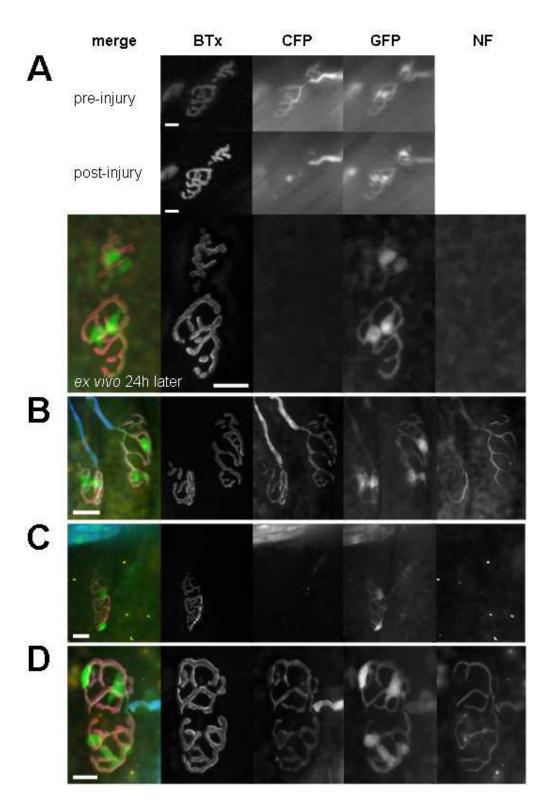


Fig.39: Absence of NF (orange in composite images) at NTs exhibiting no CFP at 24h after the application of TBG3 and complement. A, B NF light, C, D NF heavy. B and D are on the contralateral side (underside) of the muscles which did not receive any Ab and complement and therefore serve as an internal control.

BTx depicts the nAChRs (pink in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20 \mu m$ .

### 3.6.3.3 Electronmicroscopy of representative tissue

Ultrastructural investigations of tissue processed for EM directly after subjecting the muscles to anti-ganglioside Ab and complement-mediated injury revealed electron lucent NTs, some of which were wrapped/engulfed by the overlying pSCs or segregated into smaller membrane-bound compartments. Most NTs were devoid of vesicles and filaments; some of them did, however, exhibit swollen mitochondria. No changes to the muscular components of the NMJs were observed.

At 24h after induction of the injury, the NTs were difficult do address. In many cases they seem to have decreased in volume and compacted their contents.

Control NMJs exhibited well addressable spherical NTs containing numerous filaments, synaptic vesicles and a few mitochondria. In some NTs a relative paucity of synaptic vesicles was observed.

Fig. 40 see overleaf

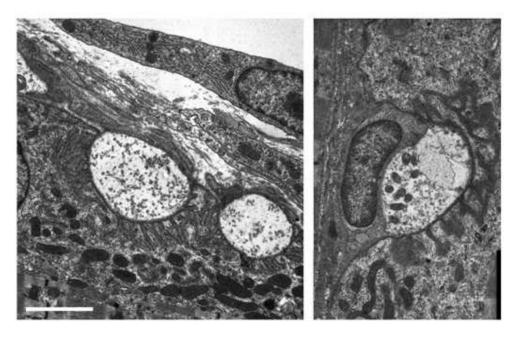
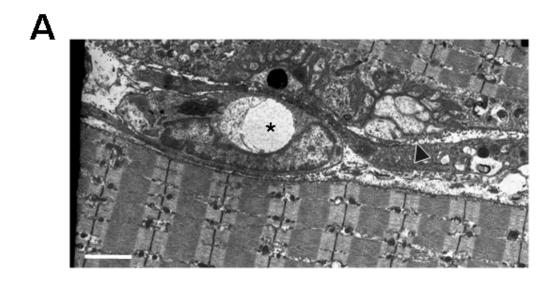
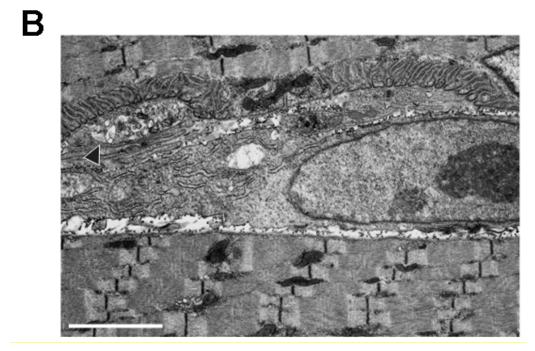


Fig.41: Electronmicrosopic images of control NMJs. Note the well addressable spherical NTs filled with filaments, synaptic vesicles and mitochondria. Scale bar:  $2 \mu m$ .





**Fig. 40: Electronmicroscopic images of injured NTs. A** acute injury. The left NT (asterisk) is engulfed (presumably) by a pSC, whereas the right NT (arrowhead) is fragmented into a number of membrane-bound compartments. Note the paucity of filaments, mitochondria and synaptic vesicles. **B** 24h after induction of the injury it is very difficult to address the original NT, which appears to be filled with debris (arrowhead). Scale bars:  $2 \mu m$ .

### 3.6.4 Discussion

### 3.6.4.1 CFP loss – mode and extent

Immune-mediated injury to the NTs at the NMJ results in a change of the morphology or loss of the intracytosolic NT-marker (CFP) overlying the NMJ. The morphological changes comprise localised swelling and blebbing of CFP. Investigations described in the previous chapter (3.5) indicate that the severity of the injury might correlate to the morphological changes. Milder injuries result in local swelling and blebbing, whereas more severe injuries seem to be associated with a rapid and complete loss of CFP overlying the NMJ. In general, incidence and absence of CFP is sharply demarcated which indicates that the residual fluorescent marker must be contained within some kind of membranous structure (similar to the axolemma which provides a diffusion barrier under physiological circumstances).

Antibody-mediated injury leads to the formation of a MAC-complex on the axolemmal surface (Halstead et al., 2004) (see 1.1.5), which also could be demonstrated following the *in vivo* application of Ab and complement. In contrast to this, Ab-deposits could not be shown following the *in vivo* induction of the injury. However, considering the challenges in demonstrating the Ab-deposit in WT-mice described in a previous chapter (3.2) and the notorious difficulty in staining and obtaining sufficient resolution when imaging relatively thick and previously *in vivo* imaged wholemount tissue, this result is not entirely surprising and does not reliably indicate that the injured NTs were not bound by Ab.

The formation of MAC-complexes on the axolemmal surface results in an uncontrolled influx of ions, small molecules and water into the NT along the diffusion gradient and specifically a massive increase of intraaxonal Ca<sup>2+</sup> (Morgan et al., 1986). This, in turn and amongst other consequences, leads to Ca<sup>2+</sup>-dependant proteases, such as calpain, being activated. One of the major substrates for calpain is neurofilament (Chan & Mattson, 1999), one of the axonal cytoskeletal proteins (Schlaepfer, 1987). This explains the loss of the NF heavy overlying the NMJ observed in the current and also previous investigations (Goodfellow et al., 2005; O'Hanlon et al., 2003). The relative preservation of NF light acutely, however with a very different morphology when compared to the physiological situation, presumably reflects the localisation of NF light within the axon; NF light acts as the backbone of

the axonal core (Fuchs & Cleveland, 1998), and thus may be less readily accessible for calpain-mediated cleavage. Further incubation of the ex vivo preparations for four hours in Ringer's solution and investigations conducted at 24 hours after induction of the injury readily show that at these later time-points NF light also cannot be stained for anymore. This further supports the hypothesis that due to its location in the axon, NF light requires more time to be degraded when compared to NF heavy. Similar results were observed following induction of a (traumatic) stretch injury to the optic nerve. Here the levels for NF heavy decreased acutely (within 30 minutes) following induction of the traumatic axonal injury, whilst the levels for NF light exhibited a progressive decrease over the first 24 hours (Serbest et al., 2007). Generally, both following diffuse axonal injury and nerve transection, a loss of NF is observed (Donat & Wisniewski, 1973) in areas of axonal swelling (Martinez & Friede, 1970; Maxwell & Graham, 1997). The localised loss of NF overlying the NMJ observed in the present study corresponds well with observations made at NMJs following the *in vivo* application of α-latrotoxin in mice (Duchen et al., 1981; Robbins et al., 1990) and of β-BTx in rats (Dixon & Harris, 1999).

Application of the calpain-inhibitor AK295 both in previous (McGonigal et al., 2010; O'Hanlon et al., 2003) and current investigations resulted in the preservation of NF heavy whilst a loss of CFP at the NMJ is still observed. This finding both confirms that injury to the architectural structures of the NT in the current model occurs in a calpain-dependent fashion, whilst at the same time also indicating that CFP is not a calpain-substrate.

Therefore, the acute CFP-loss of the NT in the present study must be due to a) either the CFP diffusing from the NT-cytosol into the periphery via MAC pores located on the NT or b) the CFP being degraded locally by a different protease. Depending on the severity of the injury, blobs of CFP overlying the NMJ – at early time-points often associated with pSC cell bodies - can still be observed. At NMJs where CFP-blobs are evident, it was still possible to illustrate Ab-deposit. This indicates that the axolemmal membrane must acutely persist following induction of the injury, even if it may be broken up into small sections filled with CFP or even be riddled with MAC-pores.

### 3.6.4.2 Events in the proximal efferents following the acute NT-injury

Proximal to the injured NT small bead-like axonal compartments filled with CFP are observed, which probably correspond to the blebbing observed acutely after the transection of nerve; this is thought to signify temporary events of membrane resealing (David et al., 1997; Xie & Barrett, 1991). Fragmentation of the intracytosolic fluorescent signal in axons following sciatic nerve transection has been shown to correlate well with ovoid bodies (and signifies the axoplasmic content of those (Pan et al., 2003)) formed in the course of Wallerian degeneration (Beirowski et al., 2004). It is preceded by cytoplasmic constrictions in Wlds mice (Beirowski et al., 2005). Both the removal of Ca<sup>2+</sup> and the application of calpain-inhibitors from optic nerve explants result in a massive reduction of the formation of axonal spheroids, indicating a role for Ca<sup>2+</sup>-induced calpains in this process (Beirowski et al., 2010) and supporting that fact that Wallerian degeneration is largely dependent on the influx of extracellular Ca<sup>2+</sup> and the activation of calpains (George et al., 1995).

A morphologically similar process to the proceedings observed at the NT following anti-ganglioside Ab and complement-mediated injury also seems to occur in acute axonal degeneration, which has been observed peracutely following nerve transection in the spinal cord. Acute axonal degeneration develops very rapidly (within 10 to 20 minutes after induction of the lesion), is restricted to a small part of the axon (200-300 µm), takes place both proximal and distal to the lesion and only lasts for about 5 min (Kerschensteiner et al., 2005). In regards to its morphology and dynamics (Ca<sup>2+</sup>- and calpain-dependant) it is very similar to Wallerian degeneration (Kerschensteiner et al., 2005; Misgeld, 2005). Combining these observations with the fact that the NT/axonal degeneration in the current setting also is Ca<sup>2+</sup>- and calpain-dependant (Halstead et al., 2004; O'Hanlon et al., 2003), indicate that antiganglioside Ab-mediated injury to the NTs possibly shares similar mechanisms with those taking place directly at the lesioned site early in Wallerian degeneration. however more rapid in their execution and self-limitating, and therefore very similar to the acute axonal degeneration described above. This is in line with the hypothesis that many types of nerve injuries including metabolic, inflammatory, mechanical, heritable, toxic and ischemic insults share a similar sequence of degenerative morphological changes, which are the result from the common mechanistic features

(Coleman, 2005) and originate in the disruption of the physiological axoplasmic Na<sup>+</sup>, K<sup>+</sup> and Ca<sup>2+</sup> concentration (LoPachin & Lehning, 1997; Stoll et al., 2002).

Interestingly and further supporting the resealing hypothesis, following the application of AK295, a decreased intensity of CFP-fluorescence proximal to the NMJ and even in small nerve bundles was observed. Calpain has been reported to play a role in axolemmal resealing following the transection of spinal nerve roots (Howard et al., 1999) or neuronal processes (Xie & Barrett, 1991), a process which clearly appears to take place in the current investigations when viewing the sharply demarcated loss of CFP under those experimental situations where no calpain-inhibition was carried out. Following the application of AK295 the resealing potentially is hindered, which then leads to a greater leakage (or a more wide-spread digestion) of the cytosolic CFP and results in a more generalised loss of fluorescence. An exacerbated loss of ion homeostasis would then also explain why the application of AK295 – despite preventing the destruction of the architectural structure and the nodal proteins in single fibres and small bundles following the application of anti-ganglioside Ab and complement – did not preserve the perineural electrophysiological properties in previous investigations (McGonigal et al., 2010).

Regardless of the reason behind the CFP-loss, one important corollary to take from these observations is that the absence of disappearance of cytosolic CFP cannot simply be equated to an absence or destruction of the axon, but in these mice rather needs to be interpreted in the pathophysiological context in which it is occurring, i.e. in our case as a breach of the axolemmal integrity with all its associated (intra-axonal) consequences. The additional use of transgenic mice exhibiting membrane-associated fluorescent proteins (De Paola et al., 2003) might be able to contribute to elucidate questions specifically associated with the fate of the axonal membrane following the breaching of its integrity.

3.6.4.3 Ultrastructural changes to the NT following anti-ganglioside Ab and complement-mediated injury

Electronmicroscopic investigations of NTs directly after subjecting them to antiganglioside Ab and complement-mediated injury revealed electronlucent NTs devoid of vesicles and filaments and containing abnormal mitochondria or no mitochondria at all. These NTs sometimes were segregated into smaller compartments or "wrapped" by the overlying pSCs. These observations correspond well to previous investigations conducted in the same model (Goodfellow et al., 2005; Halstead et al., 2005b; O'Hanlon et al., 2001). An additional 24 hours later, the NTs seemed to be more difficult to address due to an overall decrease in volume and a compaction of any remaining contents.

Ultrastructural investigations of motor NTs following proximal axotomy of the efferent nerve (Gillingwater & Ribchester, 2001; Manolov, 1974: Miledi & Slater, 1970; Winlow & Usherwood, 1975) or the *in vivo* application of presynaptic neurotoxins, such as  $\beta$ -BTx (Dixon & Harris, 1999), crotoxin (Gopalakrishnakone & Hawgood, 1984),  $\alpha$ -latrotoxin (Duchen et al., 1981), taipoxin and notexin (Cull-Candy et al., 1976) result in the same changes to the NT as observed following an antiganglioside Ab and complement-mediated injury to the NT. Following proximal nerve section the endplates remain unchanged for eight to twelve hours. After this lag phase, the NT breaks up into smaller fragments, which contain abnormal mitochondria, honeycomb structures, bags of glycogen particles and exhibit a decrease of synaptic vesicles. The pSC processes protrude into the synaptic cleft and engulf NT-fragments (Manolov, 1974; Winlow & Usherwood, 1975). Finally, the pSC takes the place of the NT at the NMJ (Gillingwater & Ribchester, 2001; Manolov, 1974; Miledi & Slater, 1970), which can also be observed following the applicaton of  $\beta$ -BTx (Abe et al., 1976).

The only caveat in the current observations is the relative paucity of synaptic vesicles observed in some control NMJs (Manolov, 1974; Miledi & Slater, 1970). However, considering the control muscles were harvested from mice exposed to an *in vivo* topical application of complement under general anaesthesia it could be surmised that manipulations to the muscles might have lead to an increased expenditure of synaptic vesicles. Poor fixation or fixation artefacts should be associated with more wide-spread changes to the neuromuscular structures.

### 3.6.5 Conclusion

Following anti-ganglioside Ab and complement-mediated injury to the NTs acutely a sharply demarcated blebbing and/or loss of CFP overlying the NMJ can be observed. These changes correspond to a loss of the axonal skeletal proteins, NF light and heavy. The application of calpain-inhibitors results in a preservation of skeletal proteins, whilst the loss of CFP seems to be even more pronounced indicating that the events are calpain-dependant and also heavily rely on resealing processes.

Similar morphological characteristics in fluorescence microscopic investigations and pathophysiolocial dynamics are noted when comparing the immune-mediated injury to the NT with acute events in the Wallerian degeneration of axons following traumatic injury. Presumably (as discussed above) both events are the consequence of the same mechanisms, and a based on the disruption of the physiological axonal ion homeostasis. Additionally, ultrastructural investigations of the NT following anti-ganglioside Ab and complement-mediated injury closely resemble those of NTs undergoing degeneration following proximal axotomy of the efferent nerve.

Combining all these observations it is surmised that following an anti-ganglioside Ab and complement-mediated injury the NTs degenerate. This then in the following implicates that these structures need to undergo regeneration to restore their physiological state.

# 3.7 Rate of motor NT regeneration following a single anti-ganglioside Ab and complement-mediated injury

### 3.7.1 Introduction

Anti-GM1 and anti-GD1a Abs are the circulating Abs predominantly found in patients suffering from the motor axonal forms of GBS (acute motor axonal neuropathy, AMAN) (Kaida et al., 2000; Press et al., 2001; Willison, 2005). As described in 1.1.3.1, AMAN patients clinically exhibit paralysis, which may require the patients to be ventilated artificially (McKhann et al., 1993). Necropsies conducted these patients reveal Wallerian-like degeneration of the motor nerve fibres. As the degenerative changes are more pronounced in the ventral roots than the peripheral nerves, it is surmised that the initial lesion is to be found in the spinal roots. Occasionally, however, only very few pathological changes are observed in the ventral roots and these are not compatible with the degree of paralysis observed (Griffin et al., 1995; McKhann et al., 1993). At the same time, some necropsies exhibit very extensive motor fibre degeneration, which is not commensurate with the rapid recovery (Griffin et al., 1995; Ho et al., 1997b) occurring in some patients. The convalescence of AMAN-patients has been described to occur in two subgroups: one group which exhibits a rapid improvement within the first two to four weeks after onset of disease, and the other group, in which recovery is prolonged and the patients are unable to walk independently at six months after disease onset (Hiraga et al., 2005; Kuwabara et al., 1998a). The explanations for a rapid recovery include a) distal demyelination,
 b) a reversible conduction-block along motor axons and
 c) degeneration restricted to the very distal motor nerve (Griffin et al., 1995; Ho et al., 1997b; Kuwabara et al., 1998a). The investigation of muscle-nerve biopsies obtained from a rapidly recovering AMAN-patient provides evidence for the third hypothesis (Ho et al., 1997a). Since the neuromuscular junction (NMJ) lies outside the BNB (Olsson, 1968), the motor NTs – also under clinical circumstances – are readily available for Ab-binding (Ho et al., 1997a; Plomp & Willison, 2009).

Thus, the first set of investigations conducted in this chapter aims at determining the rate of NT recovery following a single anti-ganglioside Ab and complement-mediated injury. In a second step, a concomitant injury to the pSCs is elicited. Since these cells have been described to play a supportive role in NT regeneration (see 1.3.3.3),

it is surmised that a concomitant injury of these should result in decreasing the rate of NT regeneration.

### 3.7.2 Specific Materials and Methods

### 3.7.2.1 Groups and study set-up

Forty-five homozygous and heterozygous double-fluorescent mice were assigned to three groups (each n=15).

Survival times	Group A: NT injury	Group B: NT and pSC injury	Group C: control	
unes	TBG3 + NHS	TBG3 + EG1 + NHS	Ringer's + NHS	
0d	3	3	3	
1d	3	3	3	
2d	3	3	3	
3d	3	3	3	
5d	3	3	3	

Table 16: Experimental groups for the NT regeneration study

Investigations described in chapter 3.3 revealed that the application of TBG3 and a source of complement in WT mice leads to a selective injury of the NTs, whereas the application of EG1 is primarily associated with an injury to the pSCs, however also has a NT-injury component (see 3.3.4). Therefore, to obtain a reliable injury both the NTs and pSCs, TBG3 and EG1 were applied in combination.

All mice were subjected to a primary *in vivo* imaging investigation during which the injury was induced and documented, and a secondary *ex vivo* imaging investigation (Fig.42). The *ex vivo* investigations were carried out immediately after the *in vivo* investigations to acquire more information on the acute state and extent of injury, or after 1, 2, 3 or 5 days to gain insights into the rate of regeneration and the regenerative processes.

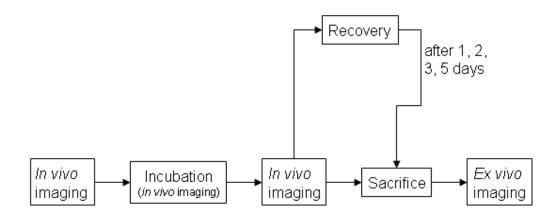


Fig.42: Flowchart for the in and ex vivo NT-regeneration study.

### 3.7.2.2 In vivo procedures (see 2.2)

In all mice, the SM and SH muscles were exposed and incubated with rhodamine-conjugated BTx as described in 2.2.1. The following microscope and camera settings were applied: field diaphragm: 3, light emission during orientation in the sample: 10%, light emission during image acquisition: 20%, exposure time during image acquisition: 120 ms; gain: x2. Following a preliminary imaging session in which the healthy state of three NMJs was documented, Abs and NHS were applied topically. In groups A and B, all mice received 0.6 ml of TBG3 (200 µg/ml) followed by 0.6 ml of 40% NHS (see 2.7). In group B, the NHS was supplemented by 120 µl of EG1 (1 mg/ml). Control mice (group C) received 0.6 ml of Ringer's solution followed by NHS. After the incubations, the muscles were rinsed with Ringer's solution and the three originally imaged NMJs were reimaged to document the injury. Then SH and SM were assessed for completeness of the injury, and the mice either sacrificed (see 2.2.4) or recovered (see 2.2.3).

In some of the mice sacrificed immediately, the NHS was supplemented by rhodamine-conjugated EthD-2 (see 2.7), a nuclear marker whose cellular ingress and subsequent nuclear binding indicates a loss of cell membrane integrity.

### 3.7.2.3 Ex vivo procedures

For *ex vivo* imaging, the muscles were processed and subjected both to wholemount and quantitative imaging as described in 2.3. Here also the three originally imaged NMJs were revisited and imaged.

### 3.7.2.4 Additional investigations associated with the return of CFP

In additional heterozygous double-flourescent mice, SM and SH were processed for NF heavy immunoreactivity and EM investigations following five days of regeneration as described in 3.6.2.3 and 2.3.6.

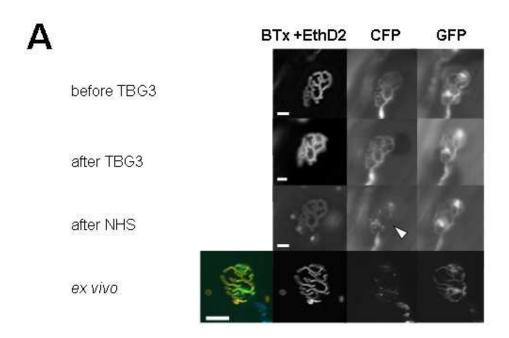
### 3.7.3 Results

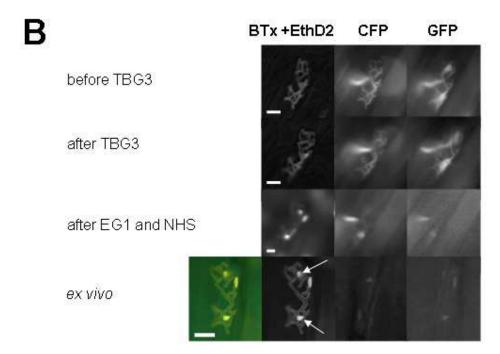
### 3.7.3.1 In vivo investigations

In groups A and B, the application of TBG3 alone did not lead to any changes at the NMJ (Figs.43A, B); however, once the source of complement (NHS) was applied, a very rapid deterioration of the NTs could be seen (Fig.44A). These changes only involved the area overlying the NMJ or extended proximally along the axon for a short distance. A sharp line demarcated the presence and absence of CFP.

In group A, the pSCs were entirely unaffected; in all cases they retained their fluorescence and in no cases was any uptake of EthD2 demonstrable (Fig.43A). In group B, many pSCs lost their fluorescence and their cell body profiles (now devoid of GFP) were replaced by EthD-2-stained nuclei (Fig.43B). In both groups, the myelinated Schwann cells surrounding the distal axons neither lost their fluorescence nor exhibited an uptake of EthD-2 (Figs.45A, C).

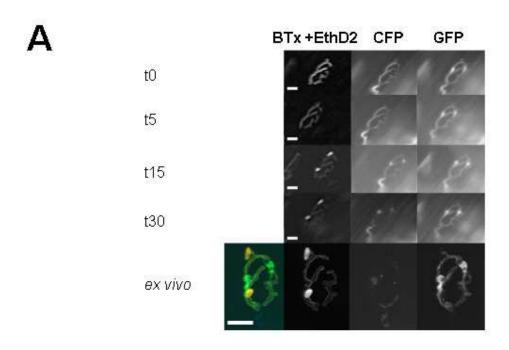
In the control mice (group C), no changes to any of the fluorescent proteins were noted (Figs.44B, 45E).

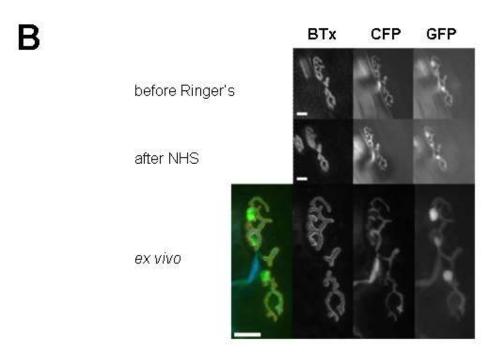




**Fig.43:** *In vivo* images of the acute anti-ganglioside Ab and complement-mediated injury. **A, B** evolution of the injury: application of complement (NHS) is required to induce an injury; there are no changes to the NMJs after sole application of the Ab (images for before and after TBG3 are the same). **A** group A: selective injury of the NTs (CFP; arrowhead); the pSCs (GFP) remain bright and there is no uptake of EthD-2 in these cells. **B** group B: injury of both the NTs (CFP) and the pSCs (GFP); the EthD-2 staining (arrows) correlates with the former pSC cell bodies.

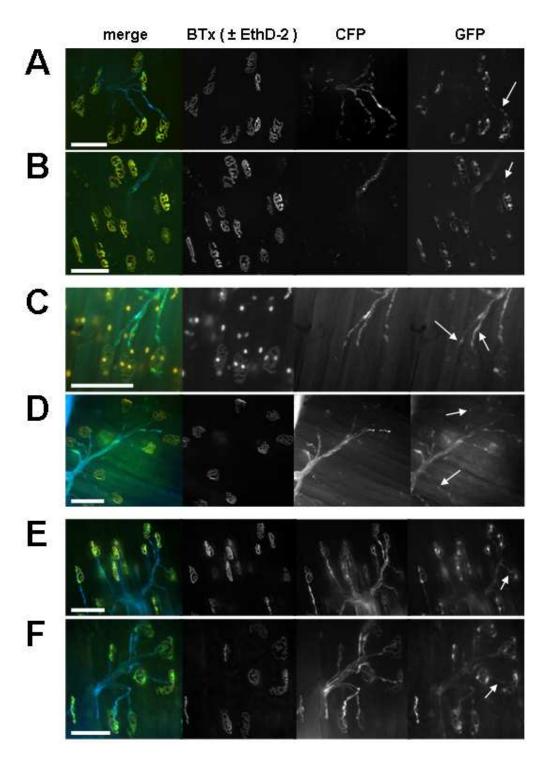
BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20~\mu m$ .





**Fig.44:** *In vivo* images of control tissue and evolution of the NT injury. A rapid evolution of the injury; within 15 minutes of application of complement the NTs (CFP) start to bleb and loose their fluorescence (NMJ from group A). There are no changes to the pSCs (GFP). **B** group C: negative control; application of Ringer's solution and NHS does not lead to any changes at the NMJ.

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20 \ \mu m$ .



**Fig.45:** *Ex vivo* images of the extent of injury acutely and after 24h. A, B group A (NT injury), C, D group B (NT and pSC injury), E, F group C (control). Acutely (A, C, E), the loss of CFP (NTs) only affects the NMJ. After 24h (B, D, F), however, this loss extends further proximally (groups A and B). In all groups, the myelinating Schwann cells (arrows) remain fluorescent and do not exhibit any uptake of EthD-2 (orange in composite images), indicating that they are not injured.

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $100 \mu m$ .

### 3.7.3.2 Ex vivo investigations

### 3.7.3.2.1 Group A

Following the acute injury (day 0), approximately 6% (5.7%) of the superficial SH-NMJs still exhibited CFP overlying their BTx-signal. After one day of regeneration, this percentage remained unchanged (5.8%, p=0.932; chi-square test, n=12; Fig.46); however, at many NTs the injury had extended more proximally, with the CFP appearing fragmented or absent up to 150-200 µm into the distal axon. This often coincided with the site at which the individual axons coalesce into small bundles (Fig.45B). A recovery of the CFP-signal at the superficial SH-NMJs could be demonstrated over the next few days with 93.5% of the NMJs exhibiting CFP overlying their BTx–signal by day 5 (Fig.46); a precise alignment of the CFP and BTx-signal could be shown, both of which exhibited the same morphology as the pre-injury state (Fig.47). At earlier time-points (days 2 and 3), NTs only partially covering the BTx-signal could be observed (Fig.48A). At these time-points the NTs also often exhibited an irregular axonal diameter (Figs.47, 48B).

The pSCs were not compromised at any time-point and their cell-bodies always assumed their originally imaged position (Fig.47). On days 3 and 5, a number of pSCs extended processes beyond the NMJ-boundaries, which were often accompanied by short axonal sprouts (Figs.48C, D). Furthermore, many NMJs exhibited increased numbers of GFP-positive cells (Figs.48B, C, D) and very occasionally the bridging of two neighbouring NMJs by pSC-processes and axons was observed (Fig. 48E).

100%

80%

60%

40%

20%

0%

1

Οd

1d

# Percentage of NMJs exhibiting CFP

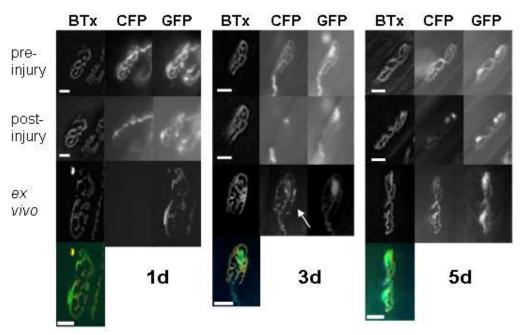
Зd

5d

**Fig.46:** Recovery of CFP overlying the NMJ. There is a significant difference between groups A (NT injury) and B (NT and pSC injury) on all days (p<0.001; chi-square test, n=12 for each time-point) except day 5 (p=0.739, chi-square test, n=12). In group C (control) there is no difference between all different time-points assessed (p=0.076, chi-square test, n=30). Error bars depict standard deviations.

2d

days of regeneration



**Fig.47:** Regeneration of individual NMJs in group A (NT injury). On day 1, there are no changes to the NMJs when compared to the acute state of injury (post-injury). On day 3, the NT (CFP) of this specific NMJ has recovered, but exhibits a slightly irregular diameter (arrow), whilst the NMJ examined after 5 days of regeneration exhibits a physiological morphology. Note that the pSCs (GFP) of all NMJs all remain in same location when compared to the pre-injury state.

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20 \, \mu m$ .

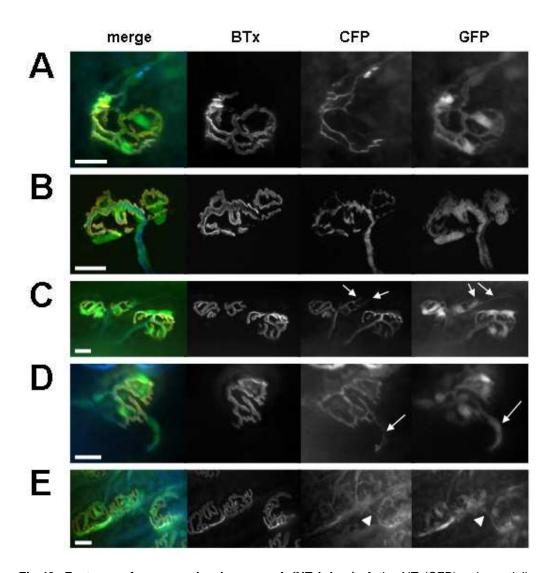


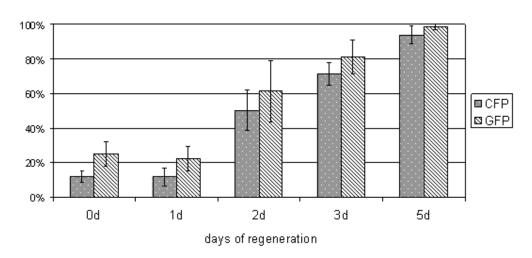
Fig.48: Features of regeneration in group A (NT injury). A the NT (CFP) only partially covers the NMJ on day 2. **B** irregular NT-diameter (CFP) and increased numbers of GFP-positive cells at the NMJ on day 3. **C**, **D** increased numbers of GFP-positive cells, pSC processes and small terminal sprouts (arrows) on day 3. **E** bridging of two neighbouring NMJs with pSC processes and axonal sprouts (arrowheads) on day 5. BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars: 20  $\mu m$ .

### 3.7.3.2.2 Group B

Following the acute injury, 11.8% of the NMJs examined exhibited CFP overlying their BTx-signal and 25.0% of the NMJs had overlying GFP-positive cells (Figs.46, 49). In most instances the previously GFP-positive cell profiles were replaced by EthD2-stained nuclei. At day 1, the percentage of NMJs exhibiting CFP remained at the same value as with the acute injury (11.8%, p=0.774; chi-square test, n=12; Figs

45, 48), whilst for the GFP-signal a slight (however, not significant) further deterioration was observed (22.6%, p=0.462; chi-square test, n=12). Both the CFP-and GFP-signals overlying the NMJ returned during the regeneration phase, with 94.0% of the NMJs examined expressing CFP and 98.7% expressing GFP at day 5 (Figs.46, 49). As seen in group A, the loss of CFP extended further proximally between day 0 and day 1 (Fig.45D) and precisely aligned with the BTx-signal following recovery. Both the BTx- and the CFP-signal exhibited the same morphology as the pre-injury state (Fig.50). At all time-points, the return of GFP-positive structures to the NMJ appeared to precede the return of CFP (Figs.49, 50, 51).

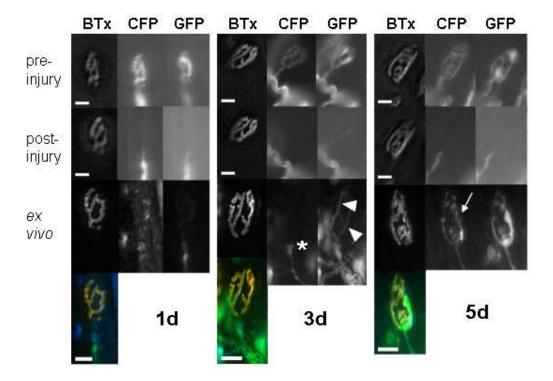
## Percentage of NMJs exhibiting CFP/GFP



**Fig.49:** Incidence of CFP and GFP overlying the NMJ in group B (NT and pSC injury). The return of CFP overlying the NMJ is preceded by a return of GFP. There is a significant difference between CFP and GFP at all time-points investigated (p<0.001; chi-square test, n=12 for each time-point).

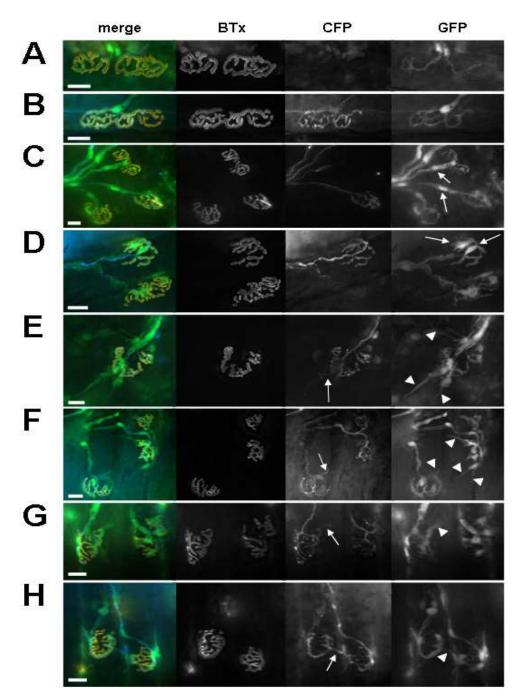
At days 1 and 2 the GFP-positive cells mostly exhibited a spindly morphology with only faint fluorescence (Figs.50, 51A, B), whilst by day 5 they had a prominent and brightly fluorescent cell body (Figs.50, 51), similar to GFP-positive cells in the control situation. The GFP-positive cells did not always assume exactly the same location with respect to the BTx-signal when compared to the pre-injury state (Figs.50, 52), and often an increased number (compared to the physiological state) of GFP-positive cells could be observed overlying the regenerating NT (Fig.51). As

seen in group A at days 3 and 5, the GFP-positive cells often extended processes beyond the original NMJ-boundary, occasionally accompanied by axonal sprouts (Figs.51E, F, G). Also, the bridging of neighbouring NMJs both by pSCs processes and nerve terminals could occasionally be observed (Fig.51H) and on day 5, the nerve terminals, albeit filled with bright CFP, often exhibited an irregular diameter (Figs.51E, F, G).



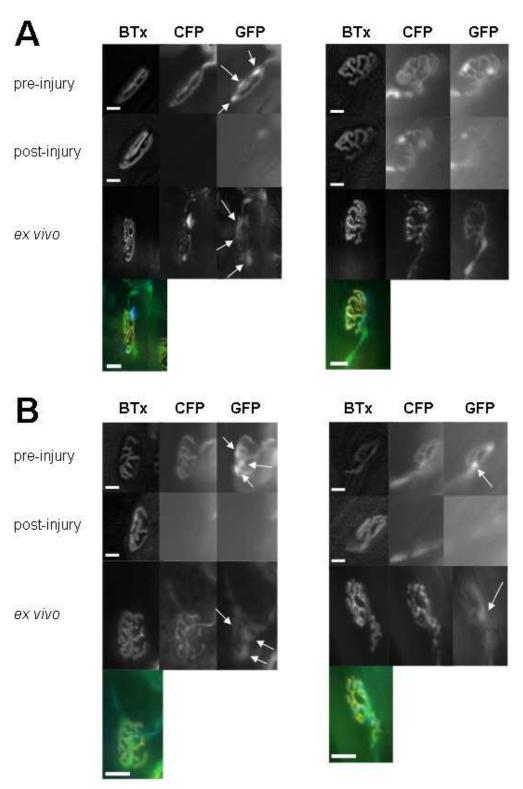
**Fig.50:** Regeneration of individual NMJs in group B (NT and pSC injury). On day 1, there are no changes to the NMJs when compared to the acute state of injury (post-injury). On day 3, the efferent axon has only reached the NMJ, but is not covering it yet (asterisk). The NMJ itself is covered by spindly and faintly fluorescent GFP-positive cells (arrowheads). On day 5, the NT (CFP) has also recovered and exhibits an irregular appearance (arrow). The pSCs on this NMJ do not lie in same location when compared to the pre-injury state. BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars: 20 μm.

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**Fig.51:** Features of regeneration in group B (NT and pSC injury). A the NMJ exhibits no CFP (NTs) and is only partly covered by spindly and only faintly GFP-positive structures on day 1. B, C, D day 2; B the NMJ has partially recovered both GFP and CFP (NT). C, D all NMJs exhibit GFP-positive cells, which however, in comparison to the myelinating SCs and the pSCs on the healthy looking NMJ (arrows) are less intense in fluorescence. Not all NMJs exhibit CFP (NTs). E, F, G pSC processes (GFP; arrowheads) and short axonal sprouts (CFP; arrows) extend from NMJs on days 3 and 5. In all three images the NTs (CFP) exhibit irregular diameters. H two neighbouring NMJs are bridged by both pSC processes (GFP, arrowhead) and axonal sprouts (CFP, arrow) on day 5. Note the increased numbers of GFP-positive cells overlying the NMJ in E-H.

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20 \, \mu m$ .

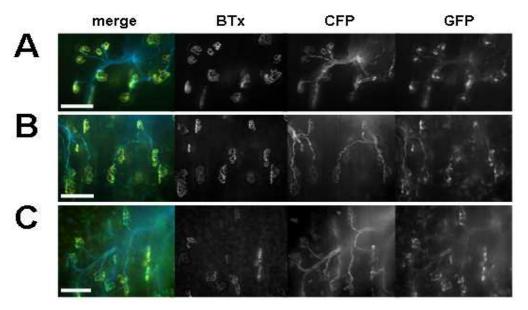


**Fig.52:** Regeneration of individual NMJs in group B (NT and pSC injury) – investigation of pSCs. A 3 days, B 5 days. Following regeneration in many cases, but not all, the GFP-positive cells occupy the same areas as originally inhabited by the pSCs (arrows indicate alignment).

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20 \, \mu m$ .

### 3.7.3.2.3 Group C

In all control mice, no changes to the fluorescent proteins were noted (Figs.44B, 45E, F; 53, 54) and no pSCs demonstrated any uptake of EthD-2. At each time-point, between 95.8% and 98.5% of the NMJs assessed exhibited clearly visible CFP overlying the BTx-signal (p=0.076; chi-square test, n=30; Fig.46). On day 5, a few NMJs with increased numbers of GFP-positive cell bodies could be observed. Also, very occasionally, the pSCs exhibited short processes extending beyond the NMJ-boundaries; these, however, were never accompanied by axonal sprouts.



**Fig.53: Overviews for group C (negative control). A** day 2, **B** day 3, **C** day 5. No changes to the fluorescent proteins are noted.

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars: 100 µm.

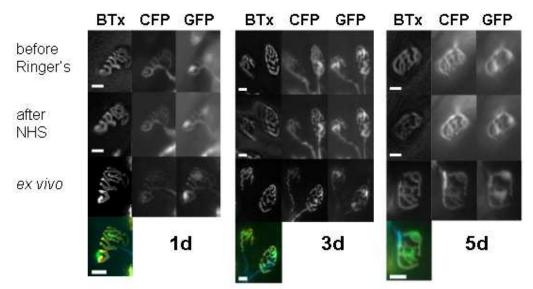


Fig.54: Revisiting individual NMJs over time in group C (negative control). No changes to the fluorescent proteins are noted.

BTx depicts the nAChRs (orange in the composite images), GFP the SCs (green in the composite images) and CFP the axons (blue in the composite images). Scale bars:  $20 \mu m$ .

### 3.7.3.3 Auxiliary investigations

Following five days of regeneration, both NF heavy and a return of CFP could be demonstrated at NMJs, which acutely (following the *in vivo* application of antiganglioside Ab and complement) had shown a loss of CFP overlying the NMJ (Fig.55).

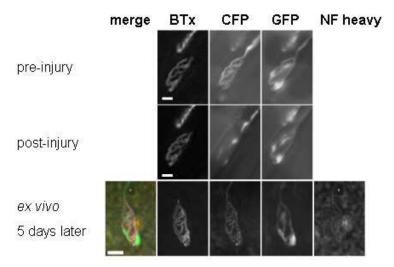


Fig.55: Recovery of CFP and NF heavy (orange in the composite image) following 5 days of regeneration.

BTx depicts the nAChRs (pink in the composite image), GFP the SCs (green in the composite image) and CFP the axons (blue in the composite image). Scale bars:  $20 \mu m$ .

Electronmicroscopic imaging of muscles five days after induction of anti-ganglioside Ab and complement-mediated injury revealed easily identifiable spherical NTs filled with synaptic vesicles, filaments and a few mitochondria. These lay in direct apposition to the synaptic folds (Fig.56).

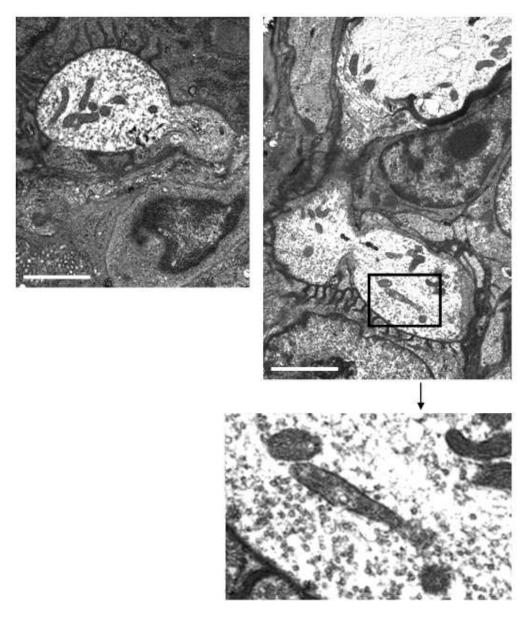


Fig.56: Electronmicroscopic images of NMJs 5 days after induction of the injury. The NTs exhibit a physiological morphology and are filled with synaptic vesicles and mitochondria. Scale bars:  $2 \, \mu m$ .

### 3.7.4 Discussion

### 3.7.4.1 Regeneration of motor nerve terminals

Following five days of regeneration, the axolemmal integrity (determined by containment of CFP) was restored in nearly all NTs examined, regardless of whether the pSCs had undergone concomitant injury or not. No obvious difference in the rate of recovery between the groups with and without concomitant injury to the pSCs was observed. At the recovering NTs the CFP- (and the BTx-) signal equalled the pre-injury state and the return of CFP corresponded to a reconstitution of NF-heavy.

The observation, that the status of NT integrity in both the acute state after induction of the injury and following one day of regeneration were identical, corresponds with the initial lag phase observed in rodents following traumatic injury to their nerves (Forman & Berenberg, 1978). During this lag phase the injury, acutely confined to the area of the NMJ, extended proximally towards the nerve bundles for a maximum of 200 µm. The ensuing rapid recovery of the physiological NT-morphology (including the ultrastructural morphology (Manolov, 1974; Winlow & Usherwood, 1975)) also correlates well to the rates of axonal regeneration observed in rodents after traumatic denervation (Forman & Berenberg, 1978; Pan et al., 2003; Pestronk et al., 1980) or the NT recovery following in vivo applications of  $\beta$ -BTx or  $\alpha$ -latrotoxin (Dixon & Harris, 1999; Duchen et al., 1981; Robbins et al., 1990). Some of the regenerated NTs examined exhibited a slightly irregular diameter of their CFPsignal. Considering NF determines the axonal calibre (Schlaepfer, 1987), it is quite possible that the irregular diameter reflects (temporary) irregularities in the NFreassembly. Regenerating axons of a smaller diameter and a "wispy" appearance are also observed following the application of  $\beta$ -BTx (Dixon & Harris, 1999).

Nerve terminal sprouts (always in association with pSCs processes) were observed during the second half of the regeneration period. Occasionally, these could be shown to interconnect neighbouring NMJs, however, more regularly they were quite short and did not venture more than 20 µm from the NMJ-boundaries. In general, NT sprouts have been shown to be a regular hallmark of NMJs following both traumatic and neurotoxin mediated injuries (Dixon & Harris, 1999; Duchen et al., 1981; Rich & Lichtman, 1989b; Robbins et al., 1990). Considering their association

with and dependency of pSCs processes, the occurrence of these will be discussed in greater detail below.

As all axons contained the same colour of fluorescence (CFP), no assumptions can be made to whether the individual NMJs were "reinnervated" by their original NTs. However, taking into account that the injury did not extend more than 200  $\mu$ m proximal and the myelinating Schwann cell tube seemed to persist, it seems very likely that all axons reoccupied their original endplate sites.

### 3.7.4.2 Role of the perisynaptic Schwann cells

For group A, the intracytosolic marker (GFP) for the pSCs remained stable at all times and an uptake of EthD-2 into these cells could not be demonstrated in any instance. This indicates that these cells were not affected by the local application of anti-GD1a-/anti-GT1b-Ab and complement. In group B, however, the pSCs exhibited a loss of their intracytosolic fluorophore and an uptake of EthD-2, which indicates a loss of their cell membrane integrity consistent with the formation of MAC pores known to occur in this injury model. EthD-2-uptake usually is equated with cell death; however, it is not clear whether the pSCs in this model experienced a sublethal injury and recovered, whether they underwent degeneration, or a combination of both. One clue to this might lie in the observations regarding the exact location of the pSCs with respect to their NMJ. In group A, the pSCs never moved from their assigned place at the NMJ regardless of their NT undergoing degeneration, whereas in group B, some of the recovering/returning GFP-positive cells were found in a different position at the NMJ when compared to the pre-injury state. Considering the relative loyalty of the pSCs to their exact location on the NMJ, the finding of pSCs at a different position potentially indicates that these have newly migrated or divided, which then means that the complement-mediated injury was at both a sublethal and lethal level. In group B, many GFP-positive cells overlying the NMJs at days 2 and 3 of regeneration exhibited a very spindly morphology with only faint green fluorescence. A lower expression of S100 has been reported in immature Schwann cells or their precursors (Jessen & Mirsky, 1999) and diminished levels of GFP-intensity have been proposed to represent a dedifferentiation of these cells into a more immature phenotype that does not express S100 as robustly (Magill et al.,

2007). This then either indicates **a)** a dedifferentiation of the pSCs following complement-mediated injury into a morphologically different and more immature phenotype or **b)** that many pSCs were killed and were replaced by morphologically different pSC precursors. Also, increased numbers of GFP-positive cells overlying the regenerated NTs were regularly observed at the later time-points in both groups, corresponding to observations made following reinnervation of traumatically denervated NMJs (Love & Thompson, 1998).

Following the immune-mediated destruction of the NTs, both the pSCs of groups A and B, however, did exhibit behaviour similar to pSCs challenged by traumatic denervation of their NMJ. As demonstrated in the second part of chapter 3.4, following crush injuries to the innervating nerve, pSCs of denervated NMJs extend processes towards the periphery in the aim of reaching another NMJ. These then form extensive networks between individual NMJs. In the event of contacting an innervated NMJ, the pSCs then induce the NT to sprout and guide it back to their own NMJ to regain innervation (Son & Thompson, 1995a). In regenerating muscles, the NMJs therefore are cross-linked by pSC-processes and axonal sprouts, and following regeneration "escaping"/overshooting axons which follow pSC processes extending towards the periphery can be observed (O'Malley et al., 1999; Son & Thompson 1995a, 1995b; Son et al., 1996). These could also demonstrated in the SM following two weeks of regeneration after a crush injury to the innervating nerve (see 3.4.3.2). Interestingly, following the anti-ganglioside Ab and complementmediated injury, such changes were not observed at the magnitude expected. The fact that in this specific model axonal/NT regeneration occurred at such a rapid rate might explain the relative paucity of pSC processes and axonal sprouts observed, especially when considering that crush injury to innervating nerve of the SH – which was carried out a lot closer to the muscle when compared to the SM - resulted in less extensive changes regarding pSC processes and NT sprouts when compared to the crush injury of the innervating nerve of the SM.

Intriguingly, the rate of NT restoration was not dependant on healthy and mature pSCs overlying the NMJ. The reasons for this can only be surmised and include the fact that the axonal injury in itself was very small and the myelinated SCs did not seem to be compromised. However, the return of CFP at the NMJ always seemed to be preceded by faint GFP-positive cells covering the area; these might be sufficient to support the newly regenerating NTs. The fact that the occurrence of these GFP-

positive cells overlying the NMJ seemed to slightly precede the NT restoration at all time-points investigated either reflects the smaller loss of GFP-positive cells at the NMJ in comparison to the CFP-loss acutely following the induction of the injury and a comparable rate of restoration for both, or provides further evidence for the supporting role of these cells in the event of axonal/NT regeneration (Griffin & Thompson, 2008).

### 3.7.5 Conclusion

The results described in this chapter demonstrate that following a single antiganglioside Ab- and complement-mediated injury to the motor NTs and the very distal parts of the motor axons a fast recovery of the NT axolemma, the axonal architectural structures and the NT ultrastructure can be observed. These results support the hypothesis that the rapid recovery from paralysis observed in some AMAN-patients is due to the anti-ganglioside Ab-mediated injury being concentrated to the very distal ends of the motor nerves, while the ventral nerve roots and more proximal axons remain unaffected – or are only injured at a level not sufficiently contributing to chronic clinical changes.

A concomitant injury to the pSCs did not affect the rate of NT regeneration, indicating that the NT regeneration in the current setting occurred independent from the incidence of healthy and mature pSCs overlying the NMJ.

### 4. CONCLUSIONS

The aim of my PhD project was to combine the already well-established mouse model of GBS with an *in* and *ex vivo* imaging system of the murine NMJ and exploit this investigatory paradigm to examine acute injury and regeneration of neural and glial structures targeted in GBS.

A number of conclusions were reached.

### 4.1 Stability of NMJs in double-fluorescent mice

As it was aspired to conduct all investigations in mice expression fluorescent proteins in the axons (CFP) and SCs (GFP) of their PNS, the first set of investigations examined the NMJs of these mice regarding their stability over time. The main focus was concerned with the number of pSCs per NMJ, and these investigations were conducted in one of the muscles traditionally used for *in vivo* imaging investigations (Balice-Gordon, 1997b).

Counts of pSCs overlying the NMJ revealed that the average number of pSCs per NMJ only increased from 2.6 pSCs per NMJ in one-month-old mice to 3.2 pSCs per NMJ in twelve-month-old mice, thus indicating that this parameter is fairly stable. Especially once the mice had reached an age of seven months, no differences in the counts could be observed anymore. Regarding the appearance of the nAChRs and NTs in the fluorescent mice, minor changes were observed in the same time-frame. Here, the mice older than seven months often exhibited slightly fragmented BTx-signals, which were mirrored by "knobbly" CFP-filled NTs, irregular in their diameter.

These results correspond well with previous investigations examining the number of pSCs per NMJ (Love & Thompson, 1998; Zuo et al., 2004), general stability (Balice-Gordon & Lichtman, 1990; Lichtman et al., 1987) and aging of NMJs (Balice-Gordon, 1997a; Chai et al., 2011; Courtney & Steinbach, 1981; Li et al., 2011) in rodents, thus indicating that the double-fluorescent mice can be used for extended *in vivo* investigations and any prominent changes in pSC numbers must be induced by pathological events.

#### 4.2 Minimalising the illumination facilitates in vivo imaging of the SH (and SM)

Like any *in vivo* procedure, *in vivo* imaging of NMJs is an invasive procedure and thus can result in changes of the structures imaged or inhibit processes which normally occur (Balice-Gordon, 1997b; Lichtman & Fraser, 2001). Investigator-induced changes can be due to surgical trauma, infection, labelling and phototoxicity (Lichtman & Fraser, 2001). Therefore, adequate control groups and an optimisation of the techniques applied are mandatory.

Overillumination, traumatic denervation, inactivation of NMJs following the application of paralyzing agents and both traumatic and toxic muscle fibre injury all induce similar changes to the different components of the NMJ. These include reactive growing of pSC-processes, increase of pSC numbers, extension of NT sprouts and loss of nAChR-area. All of these changes were observed in the first two imaging control groups which focused on the SM, the muscle traditionally used for *in vivo* imaging procedures.

Control animals validated the concentration and incubation time of the potentially paralysing agent α-BTx, which was applied to selectively label the nAChRs and be able to discriminate one NMJ from another. Imaging of NMJs of the SH muscle, a flat muscle located in the midline directly on top of the trachea (if the mouse lies in dorsal recumbancy) and therefore requires no manipulation for imaging whatsoever, further indicated that the changes observed at the previously imaged NMJs were due to traumatic or phototoxic injury of the muscle. In the following, the light intensity for imaging was decreased substantially, which resulted in higher percentage of NMJs retaining their physiological appearance after *in vivo* imaging.

It was concluded that conservative imaging of the SH by decreasing the light intensity of the microscope to 17% (or lower), the field diaphragm of the microscope to 3, the light intensity of camera to 25% (or lower) and the exposure time to 120ms should allow for *in vivo* investigations conducted in this muscle. A concomitant increase of the acquisition gain to x2 would counteract for the loss of fluorescence intensity observed in the images. Nevertheless, the BTx-signal would need to be scrutinised closely as an indicator for any muscle-injury (i.e. light-) induced changes to the components of the NMJ.

### 4.3 Specificity of the anti-ganglioside Abs applied determines whether neural or glial components of the NMJ are injured

The distribution of gangliosides varies between the neural and glial structures they are located on. Previous investigations conduced in the murine model of GBS have revealed that in WT mice anti-GD3-Abs preferentially induce pSC-injury, whilst anti-GD1a-Abs combined with a source of complement lead to a selective injury of the NTs (Halstead et al., 2005b).

Four anti-ganglioside Abs with varying binding affinities to both simple and complex gangliosides were applied to WT, GM2KO, GD3KO and PLP-Tg mice to determine binding and injury profiles.

In WT double-fluorescent mice, previously observed ganglioside specificities for the NTs were confirmed. However, it seems advantageous to increase the Abconcentration for TBG3 to 200µg/ml and a decrease the incubation time to 30 minutes to be able to compensate for the rapid internalisation of Ab observed at the NT. A reliable injury to both NTs and pSCs can be achieved by applying both TBG3 and EG1 simultaneously.

Interestingly, no selective injury to pSCs could be elicited in double-fluorescent mice, neither in WT nor PLP-Tg mice, which selectively express complex gangliosides in glial cells via the PLP-gene regulatory element. This finding was rather unexpected and lay in contrast to previous investigations conducted in non-fluorescent WT mice (Halstead et al., 2005b). Following the examination of the binding and injury patterns of the different Abs, it was concluded that the concomitant NT-injury observed in both mice strains was either due to a) the NT-injury being a bystander injury following severe complement activation of pSCs or b) the NTs acutely exhibit changes following the injury of "their" pSC. Further investigations are warranted to elucidate this rather intriguing finding.

### 4.4 Ab-binding levels in SM, SH and TS of homozygous and heterozygous double-fluorescent mice correspond well to eachother

Previous investigations conducted in the murine model for GBS have revealed differences in the strain susceptibility to Ab-mediated injury. This appears to be due to a difference in the level of anti-ganglioside Ab-binding (unpublished data). Thus, the different muscles used for all my investigations (SM, SH and TS) and the both male and female homozygous and heterozygous mice were assessed for the level of TBG3-binding, the Ab aspired to apply for *in vivo* NT injury investigations.

For the Ab-deposits no significant differences were observed between homozygous and heterozygous SH and SM, with the SM in both cases exhibiting a lower level of Ab-binding when compared to the SH, indicating potentially a slightly higher susceptibility of the SH-NTs to Ab- and complement-mediated injury. Combining these two muscles no significant differences between the homozygous and heterozygous double-fluorescent mouse strains could be observed. There was however, a significant difference when comparing homozygous and heterozygous TS, with the homozygous TS exhibiting very low Ab-binding levels, indicating that this muscle should be excluded from being used in any investigations. With the heterozygous TS, the binding levels were similar to the SM and SH. No (or only very slight) differences were observed between the muscles of male and female mice of each strain.

## 4.5 Both SH- and SM-NMJs react to traumatic denervation with the extension of pSC processes and axonal sprouts

The ability or rate of NTs to sprout following traumatic denervation of the NMJ or toxin-induced paralysis has been shown to differ between fast- and slow-twitch muscles, with NTs of slow-twitch-muscles exhibiting a greater capacity and/or faster NT sprouting (Brown et al., 1980; Duchen, 1970; Duchen & Tonge, 1973; Frey et al., 2000; Hopkins et al., 1986). Considering the muscles used for the *in* and *ex vivo* 

investigations were predominantly fast-twitch muscles, their ability to produce pSC processes and NT sprouts following traumatic denervation was examined.

Both SM and SH exhibited pSC processes and NT sprouts following crush injuries to their innervating nerves. These were more extensive in the SM, probably reflecting the fact that the crush of the innervating nerve was carried out at a further distance to the muscle when compared to the SH and therefore resulting in the SM requiring more time to regain innervation. Both the rate of the process extensions and the mode in which the changes occurred, correlated well with the literature (Marques et al., 2006; Reynolds & Woolf, 1992), indicating the capability of the SM and SH to show the changes expected and further validating these two muscles for the *in vivo* anti-ganglioside Ab and complement-mediated NT-injury.

## 4.6 Anti-ganglioside Ab is rapidly internalised at the NT; this mechanism protects the NT from complement-mediated injury

Investigations associated with the validation of anti-ganglioside Abs for the specific injury of NTs in WT mice, indicated that TBG3, the Ab of choice, is internalised rapidly at the NT. This raised the question whether this interesting observation could help protect the NTs from anti-ganglioside Ab and complement-mediated injury.

In *ex vivo* TS-preparations it could be shown that an increase of incubation times at 37°C resulted in Ab being internalised and subsequently removed from the NT. This was accompanied by decreased MAC-deposition and lower rates of NT-injury. *In vivo* investigations confirmed these observations. In summary, it could be shown that rapid uptake of anti-ganglioside Ab into the motor NT can protect this structure from complement-mediated injury.

In order to overcome this phenomenon (in the experimental setting), the Abconcentration needs to be increased, whilst a decrease of the protraction period for the incubation with complement further facilitates the induction of a NT-injury.

### 4.7 Loss of CFP at the NT corresponds with a loss of physiological axonal architectural and ultrastructural properties

The present study and also numerous other studies investigating developmental, degenerative and also regenerative questions of the PNS and CNS have and are being conducted in mice expressing fluorescent proteins in their axons. Only very few investigations have been carried out to assess which conventional observations (EM) the loss of fluorescent proteins in these structures corresponds to. None of these investigations were conducted at the NMJ.

The loss or abnormal morphology of CFP overlying the NMJ corresponds well with a loss of the axonal architectural proteins NF light and heavy. The immunoreactivity for NF light persisted longer than that for NF heavy, albeit with a changed morphology; which presumably reflects the localisation of the different NFs within the axon (Fuchs & Cleveland, 1998) and corresponds well to the literature (Serbest et al., 2007). Application of a calpain-inhibitor resulted in NF heavy being rescued from degradation, whilst an increased loss of CFP was observed. This observation indicates that both resealing events (which are calpain-dependant) and calpainmediated destruction of the architectural proteins are occurring in this model. Additionally, the Ca<sup>2+</sup>-dependency of the process, in combination with the morphology of the loss of the axonal fluorescent proteins and EM investigations conducted at the NMJ, surmise that degenerative events similar to those observed acutely in axons following the induction of Wallerian degeneration take place at the NT following anti-ganglioside Ab and complement-mediated injury. Injured NTs, therefore, need to undergo regenerative processes in order to regain their physiological morphology.

# 4.8 Rapid motor NT regeneration following anti-ganglioside Ab- and complement-mediated injury; the rate of NT regeneration is not affected by a concomitant injury to the pSCs

Following a single anti-ganglioside Ab and complement-mediated injury, the NTs exhibit a rapid loss of intracytosolic fluorescent protein (CFP), which corresponds to both a loss of the axonal architectural structures and the physiological ultrastructure (see 3.6). In the NT-regeneration study the incidence of CFP overlying the NMJ-area was used as an indicator of NT-regeneration.

Acutely after an *in vivo* induction of the injury and at 24h, roughly 6% of all NMJs exhibited CFP overlying their BTx-signal. Over the next four days, CFP returned with close to all NMJs (93.5%) exhibiting CFP by day five. A concomitant injury to the pSCs did not decrease the rate of NT regeneration. No differences between the extent of NT regeneration on day 5 were observed between the two groups with and without concomitant injury to the pSCs. However in the group subjected to both NT-and pSC-injury, the return of CFP was preceded by a return of GFP-positive cells overlying the NMJ.

In both groups, some pSCs extended processes beyond the physiological boundary of the NMJ, which occasionally were accompanied by axonal sprouts. Thus, the changes observed following Ab-mediated injury were similar to those of NMJs subjected to traumatic denervation, albeit at a lower magnitude; this is presumably due to very rapid time-course of reinnervation. In the control group, no changes to the fluorescent proteins were noted.

Auxiliary investigations revealed that following five days of regeneration both the axolemmal integrity and the architectural integrity of the NTs were re-established, and the physiological ultrastructural characterists of NMJs are observed once again.

This data supports the notion that an equivalent mechanism may account for the rapid recovery seen in some clinical cases of AMAN.

#### 5. SUMMARY and OUTLOOK

In summary, the aim of my thesis-project was achieved.

I managed to successfully combine the murine model of GBS with *in vivo* and *ex vivo* imaging of the murine NMJ and exploit this system to investigate acute injury and regeneration of neural and glial structures targeted in GBS.

In the *in vivo* imaging system, it was possible to document the integrity of the structures under investigation under physiological circumstances. Following induction of an anti-ganglioside Ab and complement-mediated injury, this system then also allowed to monitor and image the degenerative changes to the structures under attack.

The *in vivo* imaging system was combined with *ex vivo* imaging of the same site, which allowed to track the degeneration and regeneration of individual NMJs over time, thus decreasing the amount of research subjects required for these kinds of investigations. Quantitative assessments complemented the qualitative imaging sessions to determine the acute extent of distal motor nerve and NT degeneration and also the rate of regeneration following a single anti-ganglioside Ab and complement-mediated injury.

Both qualitative and quantitative imaging confirmed that following an antiganglioside Ab and complement-mediated injury, the NT degenerates very quickly. Regeneration occurs within five days. This rapid rate of regeneration corresponds well to rates of NT regeneration observed following the application of snake and spider toxins which mediate selective injury to the NT. However, the observations described here are the first investigation of the rate of NT regeneration following Aband complement-mediated injury to this structure.

Furthermore, this study directly confirms the hypothesis that in some patients suffering from the motor axonal forms of GBS only the very distal motor nerves and NTs are injured, and that these can recover within a short time-frame.

A concomitant injury applied to pSCs in this injury paradigm revealed that (in this setting) successful NT-regeneration is not dependant on mature and healthy pSCs

situated at the NMJ. Nevertheless, a return of GFP-positive structures (pSCs) overlying the NMJs preceded the restoration of the CFP-filled NTs, thus further supporting the notion of a dependency of the NTs on the glial structures of the NMJ.

The very interesting observation that anti-ganglioside Ab physiologically can rapidly be internalised at the NT was further explored in a side-study. Investigations showed that the removal of anti-ganglioside Ab from the axolemmal surface and internalisation into the NT is associated with a decrease of complement deposition on the axolemmal surface. This in turn correlates with a decrease in the rate of NT injury observed. Thus, NTs can also be protected from complement-mediated injury by internalisation of antiganglioside Ab bound to the axolemma. In what way these observations correspond to physiological circumstances in the GBS-patients remains open, as to date the concentrations of circulating anti-ganglioside Abs in GBS-patients have not been determined and internalization rates for NTs also remain to be investigated.

However, in addition to being able to provide some answers - in the very nature of science - my examinations also raised a number of queries which warrant further investigation.

#### These include

- the observation that in the mice expressing fluorescent proteins in the neural and glial structures of their PNS no selective injuries to the pSCs could be elicited. This is contradictory to previous investigations conducted in nonfluorescent mice. The results of my investigations indicate that the NTs either are injured in a bystander fashion following an extensive complement activation on the pSCs or undergo rapid reactive changes following injury to "their" pSC.
- the fate of the anti-ganglioside Ab once taken up at the NT. Quantitative investigations revealed a decrease of internatised Ab over time, pointing to either a local degradation or retrograde transport.
- the origin and nature of the surplus of GFP-positive cells at regenerative time-points following immune-mediated or traumatic denervation of the NMJ.

• the fate of the pSCs following anti-ganglioside Ab and complement-mediated injury. Can these cells recover, or do they regenerate and are replaced by newly generated pSCs? If the latter is the case, where are the progenitors of these new pSCs located and do these also differentiate into the surplus of GFP-positive cells overlying the NMJ and observed at regenerative time-points following neuromuscular denervation?

Nevertheless, the use of a combined *in* and *ex vivo* imaging approach in mice expressing fluorescent proteins in the neural and glial structures of their PNS proved to be useful and informative for the investigation both of the acute degenerative and also the regenerative changes to these structures following an anti-ganglioside Ab and complement-mediated injury.

Future investigations based on (repeated) *in vivo* imaging investigations, if necessary combined with *ex vivo* imaging sessions, are numerous and include:

- the chronic effect of circulating anti-ganglioside Abs (without the external application of complement) following repeated passive immunisations or active immunisation of immune-responsive mice
- the extent of regeneration observed in chronic anti-ganglioside Ab and complement-mediated injuries
- the regenerative capacities of the ganglioside-KO vs WT mice following both immune-mediated and traumatic denervation of the NMJ
- the effects of resealing components, such as tri-block copolymers (Borgens et al. 2004; Kilinc, Gallo, & Barbee 2007), in complement-mediated injury
- the effects of complement-and calpain-inhibitors in a chronic setting of antiganglioside Ab and complement-application.

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### Appendix: Commands for macros generated in-house

```
macro "MinMax"
{run("Set Measurements...", " min display redirect=None decimal=0");
run("Measure");
min = getResult("Min")
max = getResult("Max")
run("Select None");
  setMinAndMax(min,max);
run("Apply LUT");
run("Clear Results");}
macro "Deblurrr single stack"
\{type = 1
rename ("original");
run("8-bit");
run("Duplicate...", "title=[blur] duplicate");
run("Gaussian Blur...", "radius=30 stack");
run("Gamma...", "stack value=1.25");
imageCalculator("Subtract create stack", "original", "blur" );
//run("Enhance Contrast", "saturated=0 normalize normalize_all");
selectWindow("blur");
close();}
macro "Intensity (Red BTx)"
\{type = 1
dir = getDirectory("Choose Image Folder");
list = getFileList(dir);
setBatchMode(true);
 for (i=0; iist.length; i++){
    path = dir+list[i];
   if (endsWith(path, ".lsm")){
       open(path);
if (nlmages==2) {
title=list[i] + " Channel : Ch2-T2";
title2 =list[i] + " Channel : Ch1-T1";
selectWindow(title2);
rename("red");
selectWindow(title);
rename(list[i]);
run("Set Measurements...", "area mean display redirect="+list[i]);
selectWindow("red");
setThreshold(50, 255);
run("Analyze Particles...", "size=10-1000 circularity=0.00-1.00 show=Nothing
display");
close();
close();}
else
{close();}
```

Appendix 195

```
macro "Intensity (Green BTx)"
\{type = 1
dir = getDirectory("Choose Image Folder");
list = getFileList(dir);
setBatchMode(true);
 for (i=0; iist.length; i++){
    path = dir+list[i];
   if (endsWith(path, ".lsm")){
       open(path);
if (nlmages==2) {
title=list[i] + " Channel : Ch2-T2";
title2 =list[i] + " Channel : Ch1-T1";
selectWindow(title);
rename("green");
selectWindow(title2);
rename(list[i]);
run("Set Measurements...", "area mean display redirect="+list[i]);
selectWindow("green");
setThreshold(50, 255);
run("Analyze Particles...",
                              "size=10-1000 circularity=0.00-1.00 show=Nothing
display");
close();
close();}
else
{close();}
```

Publications linked to this thesis:

- a.) Experimental Neurology 233 (2012): 836-848
- b.) The Journal of Clinical Investigation 122 (2012): 1037-1061