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Interconnection Networks for Parallel and Distributed Computing

Yonghong XIANG

Supervisor: Professor Iain Stewart and Professor Hajo Broersma

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A thesis presented for the degree of Doctor of Philosophy



Department of Computer Sciences University of Durham England

August 2008

1 9 DEC 2008



$Dedicated \ to$

My wife Yunli LIU

Interconnection Networks for Parallel and Distributed Computing

Yonghong XIANG

Submitted for the degree of Doctor of Philosophy August 2008

Abstract

Parallel computers are generally either shared-memory machines or distributedmemory machines. There are currently technological limitations on shared-memory architectures and so parallel computers utilizing a large number of processors tend to be distributed-memory machines. We are concerned solely with distributed-memory multiprocessors. In such machines, the dominant factor inhibiting faster global computations is inter-processor communication. Communication is dependent upon the topology of the interconnection network, the routing mechanism, the flow control policy, and the method of switching. We are concerned with issues relating to the topology of the interconnection network.

The choice of how we connect processors in a distributed-memory multiprocessor is a fundamental design decision. There are numerous, often conflicting, considerations to bear in mind. However, there does not exist an interconnection network that is optimal on all counts and trade-offs have to be made. A multitude of interconnection networks have been proposed with each of these networks having some good (topological) properties and some not so good.

Existing noteworthy networks include trees, fat-trees, meshes, cube-connected cycles, butterflies, Möbius cubes, hypercubes, augmented cubes, k-ary n-cubes, twisted cubes, n-star graphs, (n, k)-star graphs, alternating group graphs, de Bruijn networks, and bubble-sort graphs, to name but a few.

We will mainly focus on k-ary n-cubes and (n, k)-star graphs in this thesis. Meanwhile, we propose a new interconnection network called *augmented k-ary n*- cubes.

The following results are given in the thesis.

- 1. Let $k \ge 4$ be even and let $n \ge 2$. Consider a faulty k-ary n-cube Q_n^k in which the number of node faults f_n and the number of link faults f_e are such that $f_n + f_e \le 2n - 2$. We prove that given any two healthy nodes s and e of Q_n^k , there is a path from s to e of length at least $k^n - 2f_n - 1$ (resp. $k^n - 2f_n - 2$) if the nodes s and e have different (resp. the same) parities (the *parity* of a node in Q_n^k is the sum modulo 2 of the elements in the n-tuple over $0, 1, \dots, k - 1$ representing the node). Our result is optimal in the sense that there are pairs of nodes and fault configurations for which these bounds cannot be improved, and it answers questions recently posed by Yang, Tan and Hsu, and by Fu. Furthermore, we extend known results, obtained by Kim and Park, for the case when n = 2.
- 2. We give precise solutions to problems posed by Wang, An, Pan, Wang and Qu and by Hsieh, Lin and Huang. In particular, we show that Q_n^k is bipanconnected and edge-bipancyclic, when $k \ge 3$ and $n \ge 2$, and we also show that when k is odd, Q_n^k is m-panconnected, for $m = \frac{n(k-1)+2k-6}{2}$, and (k-1)-pancyclic (these bounds are optimal). We introduce a path-shortening technique, called *progressive shortening*, and strengthen existing results, showing that when paths are formed using progressive shortening then these paths can be efficiently constructed and used to solve a problem relating to the distributed simulation of linear arrays and cycles in a parallel machine whose interconnection network is Q_n^k , even in the presence of a faulty processor.
- 3. We define an interconnection network $AQ_{n,k}$ which we call the augmented k-ary n-cube by extending a k-ary n-cube in a manner analogous to the existing extension of an n-dimensional hypercube to an n-dimensional augmented cube. We prove that the augmented k-ary n-cube $AQ_{n,k}$ has a number of attractive properties (in the context of parallel computing). For example, we show that the augmented k-ary n-cube $AQ_{n,k}$: is a Cayley graph (and so is vertex-symmetric); has connectivity 4n - 2, and is such that we can build a

set of 4n - 2 mutually disjoint paths joining any two distinct vertices so that the path of maximal length has length at most $\max\{(n-1)k - (n-2), k+7\}$; has diameter $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$, when n = 2; and has diameter at most $\frac{k}{4}(n+1)$, for $n \ge 3$ and k even, and at most $\frac{k}{4}(n+1) + \frac{n}{4}$, for $n \ge 3$ and k odd.

4. We present an algorithm which given a source node and a set of n-1 target nodes in the (n, k)-star graph $S_{n,k}$, where all nodes are distinct, builds a collection of n-1 node-disjoint paths, one from each target node to the source. The collection of paths output from the algorithm is such that each path has length at most 6k - 7, and the algorithm has time complexity $O(k^3n^4)$.

Keywords: interconnection network, fault-tolerance, embedding, node-disjoint paths, bipanconnectivity, bipancyclicity, hamiltonicity, k-ary n-cube, augmented k-ary n-cube, (n, k)-star graph.

Declaration

The work in this thesis is based on research carried out at the Department of Computer Sciences, Durham University, England. No part of this thesis has been submitted elsewhere for any other degree or qualification and it's all the author's work unless referenced to the contrary in the text.

This research has been documented, in part, within the following publications:

- Iain A. Stewart and Yonghong Xiang, Bipanconnectivity and bipancyclicity in k-ary n-cubes, IEEE Transactions on Parallel and Distributed Systems, 11 Mar 2008. IEEE Computer Society Digital Library. IEEE Computer Society, 22 July 2008 http://doi.ieeecomputersociety.org/10.1109/TPDS.2008.45>
- Iain A. Stewart and Yonghong Xiang, Embedding long paths in k-ary n-cubes with faulty nodes and links, *IEEE Transactions on Parallel and Distributed* Systems, vol. 19, no. 8, pp. 1071-1085, Aug., 2008.

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Acknowledgements

Many thanks to my primary supervisor Professor Iain Stewart for all his patience, encouragement, and guidance during my Ph.D study. Thanks to my second supervisor Professor Hajo Broersma for every aspect of help and support during my study.

Thanks to all of my friends in or outside of the UK. Especially to Dr. Tong Li, who helped me like an elder brother in many aspects; Dr. Florent Madelaine, who helped with my research since early 2005; Dr. John Bailey, who helped with my study and my English. I enjoyed my stay in Durham and will miss the time with Dr. Keith Gallagher, Dr. Shengchao Qin, Miss. Barbara Froner, Mr. Minjie Sun, Mr. Xiaofeng Du, Mr. Qingzheng Zheng, and many other colleagues.

Thanks to my family for their continuous support my study in the UK. Especially to my wife, who gave up her nice job in China and joins me in the UK to support my Ph.D study. Special thanks to my mom, who came to the UK and helped us to look after my son Liang (Alexander) Xiang for half a year.

Thanks to EPSRC, ORSAS and the Department of Computer Science, Durham University for their financial support during my Ph.D study.

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Chapter 1

Introduction

1.1 Parallel and distributed computers

Parallel computers are generally either shared-memory machines or distributedmemory machines. There are currently technological limitations on shared-memory architectures and so parallel computers utilizing a large number of processors tend to be distributed-memory machines. We are concerned solely with distributed-memory multiprocessors. In such machines, the dominant factor inhibiting faster global computations is inter-processor communication. Communication is dependent upon the topology of the interconnection network (how the processors are joined to one another), the routing mechanism (how the paths along which data is transmitted between processors are determined), the flow control policy (how channels and buffers are allocated to packets as they travel along a path in the interconnection network), and the method of switching (the method by which a packet is moved in the interconnection network). We are concerned with issues relating to the topology of the interconnection network.

The choice of how we connect processors in a distributed-memory multiprocessor is a fundamental design decision. There are numerous, often conflicting, considerations to bear in mind. For instance, we would like our interconnection network to be symmetric (to make programming and analysis easier), have small diameter (to lessen message-passing latency), be recursively decomposable (to aid scalability), be highly connected (to improve fault-tolerance and reliability), be regular of low degree (to lessen communication overheads and design complexity), support rapid and easy inter-processor communication, support the simulation of other machines based on other topologies, and so on (note that a small diameter is desirable even when using wormhole switching, as wormhole switching only comes to the fore when dealing with larger packets). These properties all give rise to improved computational performance. However, there does not exist an interconnection network that is optimal on all counts and trade-offs have to be made. A multitude of interconnection networks have been proposed with each of these networks having some good (topological) properties and some not so good.

Existing noteworthy networks include trees, fat-trees, meshes, cube-connected cycles, butterflies, Möbius cubes, hypercubes, augmented cubes, k-ary n-cubes, twisted cubes, n-stars, (n, k)-stars, alternating group graphs, de Bruijn networks, and bubble-sort graphs, to name but a few. In the following section, we will introduce several popular networks.

1.2 Some popular interconnection networks

The architecture of an interconnection network is usually represented by a graph. We use graphs and networks interchangeably. A network is represented as an undirected graph in the thesis.

Interconnection topologies can be classified as either single-stage or multi-stage networks. Multi-stage networks, such as the omega network [107], connect system resources through multiple intermediate stages of crossbar switching devices. The performance of multi-stage type networks has been extensively studied in the literature [1-3, 101, 147]. Single-stage networks incorporate the processing devices within the network itself, allowing direct communication between processors. A single stage network has smaller average latency and is more fault tolerant in comparison with multi-stage networks of the same size [65]. As a result, single-stage networks are gaining in popularity and have been employed in many existing large scale computing systems [65].

We are only interested in single-stage networks, and now we will briefly introduce

some popular interconnection network topologies including hypercubes, k-ary ncubes, n-star graphs and (n, k)-star graphs.

1.2.1 *k*-ary *n*-cube: an alternative to hypercube

Perhaps the most popular interconnection topology is the hypercube Q_n , on account of its properties and its extremely elegant realization as a graph whose nodes are indexed with bit-strings of length n and whose edges join nodes of Hamming distance 1 (such a realization immediately yields elementary yet optimal routing algorithms and key topological information). The hypercube has been used as the interconnection topology of a number of distributed-memory multiprocessors, such as the Cosmic Cube [141], the Ametek S/14 [15], the iPSC [48,49], the Ncube [26,49] and the CM-200 [27], and the properties of hypercubes relevant to parallel computing have been well studied.

However, every node of Q_n has degree n, and, consequently, as n increases so does the degree of every node, which is undesirable. Hence, given a collection of processors, if we wish to connect these processors in the topology of a hypercube then we have no choice as to the degree of the nodes of the resulting network. One method of circumventing this problem, so as to still retain a 'hypercube-like' interconnection network, is to build parallel computers so that the underlying topology is the k-ary n-cube Q_n^k . The k-ary n-cube is similar in essence to the hypercube (the nodes being indexed by bit-strings of length n where there are k, as opposed to 2, different bits), but by a judicious choice of k and n we can include a large number of nodes yet keep the degree of each node low. The k-ary n-cube Q_n^k has not been investigated to the same extent as the hypercube, but it has still been well studied. Machines whose underlying topology is based on a k-ary n-cube include the Mosaic [142], the iWARP [24], the J-machine [127], the Cray T3D [96], the Cray T3E [9], the SGI Origin and the IBM Blue Gene [64], and so on.

1.2.2 (n,k)-star graph: an alternative to *n*-star graph

The *n*-star graph [4] is an attractive alternative to the hypercube Q_n , and has significant advantages over Q_n , such as a lower degree and a smaller diameter. However, a practical restriction is the number of nodes: n! for an *n*-star graph. Since there is a large gap between n! and (n + 1)!, one may face the choice of either too few or too many available nodes. The (n, k)-star graph preserves many attractive properties of the *n*-star graph such as node symmetry, hierarchical structure, maximal fault tolerance, and simple shortest routing. What's more, the two parameters n and k can be tuned to make a suitable choice for the number of nodes in the network and for a degree/diameter trade-off. This allows more flexibility in designing networks than star graphs offer.

The definition and some basic properties of hypercubes, k-ary n-cubes, n-star graphs and (n, k)-star graphs will be given in Chapter 2.

1.3 Paths and cycles

1.3.1 Paths and cycles in non-faulty interconnection networks

It is important for an interconnection network to efficiently route data among nodes. Efficient routing can be achieved by using node-disjoint paths. In what follows, we will use disjoint paths for node-disjoint paths. Routing by disjoint paths among nodes can not only avoid communication bottlenecks, and thus increase the efficiency of message transmission, but also provide alternative paths in case of node failures.

There are three well-known paradigms for the study of disjoint paths in interconnection networks. The node-to-node (one-to-one) disjoint paths that constructs the maximal number of disjoint paths in the network between two given nodes. The node-to-set (one-to-many or many-to-one) disjoint paths that constructs disjoint paths in the network from a given node to each of the nodes in a given set (it is true that k disjoint paths exist for the node-to-set disjoint paths problem in a kconnected graph [123]). The k-pairwise disjoint paths (set-to-set disjoint paths or many-to-many disjoint paths) that constructs k disjoint paths between the given k node-pairs.

Linear arrays (paths) and rings (cycles), which are two of the most fundamental networks for parallel and distributed computation, are suitable for designing simple algorithms with low communication costs. Numerous efficient algorithms designed on linear arrays and rings for solving various algebraic problems and graph problems can be found in [7,128]. Linear arrays and rings can also be used as control/data flow structures for distributed computation in arbitrary networks. For example, having a collection of processors connected in a ring means that all-to-all message passing can be undertaken by "daisy-chaining" messages around the ring. An application of longest paths to a practical problem was encountered in the on-line optimization of a complex Flexible Manufacturing System (see [10]). These applications motivate the embedding of paths and cycles in networks.

One important property relevant to parallel computing is hamiltonicity, for the existence of hamiltonian cycles in networks is of crucial importance, given the ubiquity of such cycles as data structures in many distributed algorithms (they are primarily used to facilitate message-passing). Not only is the existence of hamiltonian cycles of great importance but also the existence of hamiltonian paths, and more generally the existence of cycles and paths of different lengths. The existence of hamiltonian (or, at least, long) paths is extremely useful as we regularly need to simulate linear-array computations in distributed-memory multiprocessors; having a long path allows us to cater for such simulations where there are many different array lengths involved in the simulations. In addition, given the ubiquity of cycle-based computations and algorithms in parallel computations, not only is the simulation of linear-array-based computations important but so is the simulation of cycle-based computations (of varying lengths).

Other hamiltonicity-based algorithms are also important in interconnection networks, such as the existence of (almost-)hamiltonian path, hamiltonian connectivity, almost-hamiltonian-connectivity, (m-)pancyclicity, (m-)panconnectivity, and hamiltonian-laceability.

1.3.2 Paths and cycles in faulty interconnection networks

As more and more processors are incorporated into parallel machines, faults become more common, be it faults in the processors themselves or faults on the interprocessor connections. Given the significant cost of parallel machines, we would prefer to be able to tolerate small numbers of faults and still be able to use our parallel machine. A key property we would like our 'faulty' machine to have is that a large number of the healthy processors should remain in a connected component and be able to undertake significant parallel computations. However, we prefer that the (non-faulty portion of the) interconnection network remains connected.

A number of different contexts have been studied with respect to the existence of faults. For example, the existence of hamiltonian cycles, hamiltonian paths, cycles and paths of specific lengths, and so on, have been studied in a variety of interconnection networks where there are faulty nodes or links. In addition, other aspects of fault-tolerance have been considered with regard to broadcasting algorithms, Euler tour algorithms, wormhole routing algorithms, and so on.

Indeed, some parallel applications, such as those in image and signal processing, are originally designed for a cycle architecture, and it is important to have effective cycle embeddings in a network. Faults can be static or dynamic, and there are possibilities of faulty nodes, faulty links or both faulty nodes and links.

When we consider how many faults we can tolerate in a given context, there are often pathological situations which immediately yield upper bounds. However, it has been shown that for certain topologies and situations, the probability of such situations is extremely small and discounting them can yield a meaningful and improved analysis. For example, consider a k-ary n-cube where we wish to determine the maximum number of faulty nodes so that regardless of the distribution of these faults, the healthy nodes remain connected. Immediately we see that there are configurations of 2n faulty nodes (where all faulty nodes are adjacent to some given node) which disconnect the network. However, if one assumes that the distribution of faults is such that all nodes are incident with at least 1 healthy node then a k-ary n-cube can tolerate 4n - 3 faulty nodes such that the healthy nodes remain connected [44] (this result is optimal). Similar results regarding the conditional fault

connectivity of other networks have been obtained, e.g., for hypercubes [36,52]; for cube-connected cycles, undirected de Bruijn networks and Kautz networks [133]; and for twisted-cubes, crossed-cubes, Möbius cubes, star graphs, pancake graphs recursive circulant graphs, and k-ary n-cubes [36]. Related studies of the diameter of faulty networks, under similar conditional fault assumptions, have been undertaken for hypercubes [104] and star graphs [138]. Conditional fault assumptions have also been made and studied in the context of hamiltonian cycles for hypercubes [28], crossed-cubes [91], star graphs [61] and so on.

Some related work has also been done for meshes [165], torus networks [145], arrangement graphs [79], line digraph interconnection networks [161], multi-stage interconnection networks [160], pancake graphs [90], double loop networks [152], (binary) wrapped butterfly graphs [17], folded hypercubes [162], (n, k)-star graphs [86], Josephus cubes [117], gamma interconnection networks [35], twisted cubes [59,89], recursive circulant graphs [130], flexible hypercubes [95], de Bruijn networks [126], and so on. Note that the general problem of deciding whether a given hypercube or a k-ary n-cube with an arbitrary collection of faults has a hamiltonian cycle (where no conditional assumptions on the distribution or number of faults are made) is known to be NP-complete [14, 28].

1.4 Organization of the thesis

This thesis is focused on four aspects research of interconnection networks.

In Chapter 2, some basic graph definitions will be given. Then we will introduce several popular interconnection networks including the definitions and some of their basic properties. Also, some related results will be given in this chapter.

In Chapter 3, we will consider embedding long paths in a k-ary n-cube Q_n^k with faulty nodes and links. We will answer questions recently posed by Yang, Tan and Hsu [171], and by Fu [60]. Furthermore, we extend known results, obtained by Kim and Park [98], for the case when n = 2.

In Chapter 4, we will investigate the hamiltonian, pancyclic, panconnected, bipancyclic and bipanconnected properties of k-ary n-cubes. Precise solutions will be given to problems posed by Wang, An, Pan, Wang and Qu [163] and by Hsieh, Lin and Huang [82]. A path-shortening technique, called *progressive shortening*, will be introduced. We will strengthen existing results, showing that when paths are formed using progressive shortening then these paths can be efficiently constructed and used to solve a problem relating to the distributed simulation of linear arrays and cycles in a parallel machine whose interconnection network is Q_n^k , even in the presence of a faulty processor.

In Chapter 5, we will propose a new interconnection network called the *aug*mented k-ary n-cube $AQ_{n,k}$. Some basic properties including degree, diameter, connectivity and one-to-one node-disjoint paths will be given for $AQ_{n,k}$.

In Chapter 6, we will present an algorithm which given a source node and a set of n-1 target nodes in the (n, k)-star graph $S_{n,k}$, where all nodes are distinct, builds a collection of n-1 node-disjoint paths, one from each target node to the source. The collection of paths output from the algorithm is such that each path has length at most 6k - 7, and the algorithm has time complexity $O(k^3n^4)$.

Finally, Chapter 7 concludes the thesis and gives some future research topics.

Based on the recursive structural properties of k-ary n-cubes, augmented k-ary n-cubes and (n, k)-star graphs, we mainly use induction proof method in Chapter 3, 4, 5 and 6.

Chapter 2

Basic Definitions and Basic Results

In this chapter, we will introduce some basic graph definitions. Then we will introduce several popular interconnection networks and some of their basic properties.

2.1 Some basic graph definitions

Throughout the thesis, a network is represented as a loopless undirected graph. For graph theoretic definitions and notations, we follow [22].

G = (V, E) is a graph if V is a finite set and E is a subset of $\{(u, v)|(u, v) \text{ is an} unordered pair of V\}$. We say that V is the vertex (node) set and E is the edge (link) set. Two vertices u and v are adjacent if $(u, v) \in E$. A graph H is called a subgraph of G if $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$. In graph G, the neighborhood of v, denoted by $N_G(v)$, is the set $\{x|(v,x) \in E\}$. If it is clear which graph is considered, we write N(v) instead; the same holds for other notations using graphs as a subscript. The degree of a vertex v, denoted by deg(v), is the number of vertices in N(v). A graph G is k-regular if deg(v) = k for every vertex $v \in V$. A graph G is vertex-symmetric (or node-symmetric) if given any two distinct nodes v and v' of G, there is an automorphism of G mapping v to v'. A graph $G = (V_0 \cup V_1, E)$ is bipartite if V(G) is the union of two disjoint sets V_0 and V_1 such that each edge consists of one vertex from each set; such a partition (V_0, V_1) is called a bipartition.

of the graph. Given vertices u and v, we say that u and v are in the same partite set if $u, v \in V_i$ or in different partite sets if $u \in V_i$ and $v \in V_{1-i}$ for $i \in \{0, 1\}$. A vertex cut of a graph G is a set $S \subseteq V(G)$ such that G - S has more than one connected component. It is known that only complete graphs do not have vertex cuts. The connectivity or vertex-connectivity of G, written $\kappa(G)$, is defined as the minimum size of a vertex cut if G is not a complete graph, and $\kappa(G) = |V(G)| - 1$ otherwise. A graph G is called k-connected or k-vertex-connected if its vertex connectivity is k or greater. A graph G with vertex connectivity $\kappa(G)$ can tolerate $\kappa(G) - 1$ node failures. This measure of fault tolerance, however, gives a poor indication about the impact of faults on the interconnection network. A more appropriate metric, which is often used for measuring the fault tolerance of a graph, is the fault-diameter, which is defined as the maximum diameter of any graph obtained from G by removing at most $\kappa(G) - 1$ nodes from G [100].

A path is a non-null sequence $\rho = \langle v_1, e_2, v_2, e_3, v_3, \ldots, e_k, v_k \rangle$ whose terms are alternately vertices and edges, such that, for $2 \leq i \leq k$, the ends of e_i are v_{i-1} and v_i , and in which all the vertices v_1, v_2, \ldots, v_k are distinct. For convenience, we also write the path as $\langle v_1, v_2, v_3, \ldots, v_k \rangle$ or $\langle v_1, e_2, e_3, \ldots, e_k, v_k \rangle$. We also write the path $\langle v_1, v_2, v_3, \ldots, v_k \rangle$ as $\langle v_1, \rho', v_i, v_{i+1}, \ldots, v_j, \rho'', v_t, \ldots, v_k \rangle$, where ρ' is the path $\langle v_1, v_2, v_3, \ldots, v_i \rangle$ and ρ'' is the path $\langle v_j, v_{j+1}, \ldots, v_t \rangle$. We use ρ^{-1} to denote the path $\langle v_k, v_{k-1}, \ldots, v_1 \rangle$. On occasion we might refer to a link (x, y) as appearing on a path $\rho(u, v)$, or equivalently the path $\rho(u, v)$ as containing the link (x, y); when we do, the notation denotes that if we traverse the path $\rho(u, v)$ starting at node u then we shall reach node x immediately before we reach node y. If $\rho(u, v)$ is a path and x and y are nodes on this path then $\rho(x, y)$ denotes the sub-path of $\rho(u, v)$ starting at x and ending at y. The length of a path ρ is the number of the edges in ρ , denoted by $|\rho|$. We use $dis_G(u, v)$ to denote the distance between u and v in graph G, that is the length of the shortest path joining u and v. The diameter of a graph G, denoted by dia(G), is the greatest distance between any two vertices. A path is a hamiltonian path if its vertices are distinct and span V. A cycle is a path with at least three vertices such that the first vertex is the same as the last vertex. A cycle is a hamiltonian cycle if it traverses every vertex of G exactly once.

A graph is *hamiltonian* if it has a hamiltonian cycle.

We say that a graph is hamiltonian-connected if there is an hamiltonian path joining any two distinct nodes of the graph. Note that any (non-trivial) bipartite graph cannot be hamiltonian-connected, though there might exist almost-hamiltonian paths, i.e., paths joining pairs of distinct nodes upon which all but one of the nodes of the graph appear (a solitary node not appearing on an almost-hamiltonian path is called the *residual node*). Irrespective of whether a graph is bipartite or not, we say that a graph is almost-hamiltonian-connected if there is a hamiltonian path of an almost-hamiltonian path joining any pair of distinct nodes. The concept of hamiltonian connectivity does not apply to bipartite graphs because bipartite graphs are definitely not hamiltonian connected except for a few exceptions such as K_2 or K_1 . As such a property is important, the concept of hamiltonian laceability on bipartite graphs was introduced by Wong [164]. A bipartite graph $G = \{V_0 \cup V_1, E\}$ is hamiltonian laceable if there is a hamiltonian path between any two vertices x and y which are in different partite sets. A hamiltonian laceable graph G is k-edge-faulttolerant hamiltonian laceable if G - E' is hamiltonian laceable where E' is subset of E with $|E'| \leq k$. On the condition of $|V_0| = |V_1|$, Hsieh et al. [80] proposed the concept of strong hamiltonian laceability. G is strongly hamiltonian laceable if it is hamiltonian laceable and there is a path of length $|V_0| + |V_1| - 2$ between any two vertices in the same partite set. A strongly hamiltonian laceable graph G is k-edgefault-tolerant strongly hamiltonian laceable if G - E' is strongly hamiltonian laceable where E' is subset of E with $|E'| \leq k$. Lewinter and Widulski [109] introduced another concept, hyper hamiltonian laceability. G is hyper hamiltonian laceable if it is hamiltonian laceable and for any vertex $v \in V_i$, there is a hamiltonian path of $G \setminus \{v\}$ between any two vertices in V_{1-i} . A hyper-hamiltonian laceable graph Gis k-edge-fault-tolerant hyper-hamiltonian laceable if G - E' is hamiltonian laceable where E' is subset of E with $|E'| \leq k$. So hyper hamiltonian laceability implies strong hamiltonian laceability.

A k-container of G between u and v, C(u, v), is a set of k internally disjoint paths between u and v. A k-container C(u, v) of G is a k*-container if it contains all vertices of G. A graph G is k*-connected if there exists a k*-container between any two distinct vertices. Obviously, a 1*-connected graph (there is a path connecting any two nodes and covering all the nodes in the graph) is a hamiltonian connected graph, and a 2*-connected graph (there are two disjoint paths between any two nodes, and these two paths cover all nodes in the graph; thus they form a cycle, and all nodes are on the cycle.) is a hamiltonian graph. The spanning connectivity of a graph G, $\kappa^*(G)$, is the largest integer k such that G is w*-connected for all $1 \le w \le k$. A graph G is super spanning connected if $\kappa^*(G) = \kappa(G)$.

The concept of pancyclicity was extended to vertex-pancyclicity by Hobbs [76] and edge-pancyclicity by Alspach and Hare [8]. Let n = |V(G)|. A graph G is called *vertex-pancyclic* if for any vertex u, there exists a cycle of every length from 3 to ncontaining u, and *edge-pancyclic* if for any edge e, there exists a cycle containing e of every length from 3 to n. If we fix one edge (two linked vertices), there exist cycles of every length from 3 to n, then if we fix one of these vertices, the result will still hold. So, every edge-pancyclic graph is vertex-pancyclic. The graph G is almost-pancyclic if it contains a cycle of every possible length between 4 and n, and *bipancyclic* if it contains a cycle of every possible even length between 4 and n (the definition of bipancyclicity is intended primarily for bipartite graphs but can be applied to any graph). A graph G is called *edge-bipancyclic* if every edge e of G lies on a cycle of every even length between 4 and n, and vertex-bipancyclic if every vertex v of G lies on a cycle of every even length between 4 and n. A graph G is k-edge-fault-tolerant *bipancyclic* if the resulting graph by deleting any k edges from G is bipancyclic. A graph G is k-edge-fault-tolerant edge-bipancyclic if the resulting graph by deleting any k edges from G is edge-bipancyclic. The graph G is panconnected (resp. m*panconnected*) if for any pair of distinct vertices u and v, there is a path joining uand v of every length between dis(u, v) (resp. m > dis(u, v)) and n - 1. The graph G is bipanconnected if for any pair of distinct vertices u and v, there is a path joining u and v of every length from $\{l : l = dis(u, v) + 2i$, where $0 \le i \le \frac{n - dis(u, v)}{2}\}$.

The Hamming distance between two vectors a and b is the number of different positions in which a and b differ, denoted by $D_H(a, b)$. Let $a = a_n a_{n-1} \dots a_1$ be an

n-digit radix k vector. The Lee weight of a is defined as

$$W_L(a) = \sum_{i=1}^n |a_i|$$
, where $|a_i| = \min(a_i, k - a_i)$.

The Lee distance between two vectors a and b is denoted by $D_L(a, b)$ and is defined to be $W_L(a-b)$. That is, the Lee distance between two vectors is the Lee weight of their bitwise difference, mod k.

For other graph theory definitions please refer to the bibliography.

2.2 Definitions and properties of some interconnection networks

We will define the mesh, torus, hypercube, k-ary n-cube, n-star graph and (n, k)-star graph and their basic properties in this section.

2.2.1 Mesh, torus

Definition 2.2.1 An *n*-dimensional mesh system M(s) consists of $s_1 \times s_2 \times \ldots \times s_n$ processors arranged in an *n*-dimensional grid. A processor in the grid is denoted by the coordinate (x_1, x_2, \ldots, x_n) , where $0 \le x_i \le s_i - 1$.

Specifically, we define a 2-dimensional mesh as follows.

Definition 2.2.2 An $m \times n$ (rectangular) mesh M(m, n) is a graph of $m \times n$ nodes arranged in m rows and n columns, where the node lying in the *i*th row and *j*th column is identified with an ordered pair (i, j), and two nodes (i, j), (k, l) are adjacent if and only if either (a) i = k, |j - l| = 1, or (b) j = l, |i - k| = 1. An $m \times n$ mesh is a bipartite graph with the bipartition (U_0, U_1) , where

$$U_0 = \{(i, j) : 0 \le i \le m - 1, 0 \le j \le n - 1, i + j \text{ is even}\},\$$
$$U_1 = \{(i, j) : 0 \le i \le m - 1, 0 \le j \le n - 1, i + j \text{ is odd}\}.$$

A 2-dimensional mesh M(m, n) is also called a grid Grid(m, n).

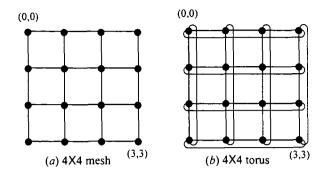


Figure 2.1: Example: (a) 4×4 mesh, (b) 4×4 torus

The *n*-dimensional mesh network is currently one of the most popular topologies for massively parallel computer systems [151]. Low dimensional mesh networks, due to their low node degree, are more popular than the high dimensional mesh networks. The two-dimensional mesh topology has been adopted by Symult 2010 [140], Intel Touchstone DELTA [23] and Intel paragon [93]; the MIT J-machine [127] adopts three-dimensional mesh topology.

Definition 2.2.3 A torus T(m, n) is a mesh with wraparound edges in the rows and columns. A row-torus is a mesh with wraparound edges in the rows. The rowtorus rt(i, j) is the subgraph of T(m, n) induced by the nodes on rows i, i + 1, ..., j, if i < j, or rows i, i + 1, ..., m, 1, ..., j, if j < i, but with all column links between nodes on row j and nodes on row i removed if i = j + 1 or (i = 0 and j = k - 1).

Fig. 2.1(a) is an example of a 4×4 mesh, and Fig. 2.1(b) is an example of a 4×4 torus.

An $m \times n$ mesh with $m, n \ge 4$ is almost-hamiltonian-connected [92].

2.2.2 Hypercube

Definition 2.2.4 The *n*-dimensional hypercube (*n*-cube) Q_n , for $n \ge 2$, has 2^n nodes indexed by $\{0,1\}^n$, and there is a link $((u_n, u_{n-1}, \ldots, u_1), (v_n, v_{n-1}, \ldots, v_1))$ if, and only if, there exists $d \in \{1, 2, \ldots, n\}$ such that $|u_d - v_d| = 1$, and $u_i = v_i$, for every $i \in \{1, 2, \ldots, n\} \setminus \{d\}$.

Fig. 2.2(a), (b) and (c) depict Q_1, Q_2 , and Q_3 respectively. The hypercube has been used as the interconnection topology of a number of distributed memory

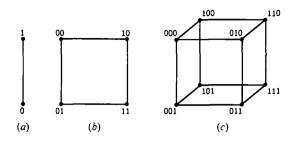


Figure 2.2: Example of hypercubes: (a) Q_1 , (b) Q_2 , (c) Q_3

multiprocessors, such as the Cosmic Cube [141], the Ametek S/14 [15], the iPSC [48, 49], the Ncube [26, 49] and the CM-200 [27], and the properties of hypercubes relevant to parallel computing have been well studied. The *n*-cube is a connected graph of diameter n, and is regular of degree n [139]. The hypercube is a bipartite graph [108, 139]. In *n*-cube, the minimum distance between the nodes u and v is equal to the number of bits that differ between u and v, i.e., to the Hamming distance $D_H(u, v)$ [139].

2.2.3 *k*-ary *n*-cube

The hypercube Q_n is a very popular interconnection topology on account of its properties and its extremely elegant realization as a graph. However, the node degree of Q_n increases too fast, which is undesirable. Hence, a hypercube-like interconnection network k-ary n-cube Q_n^k was proposed, as in Q_n^k , we can include a large number of nodes yet keep the degree of each node low by tuning k and n.

Definition 2.2.5 The k-ary n-cube Q_n^k , for $k \ge 1$ and $n \ge 1$, has k^n nodes indexed by $\{0, 1, \ldots, k-1\}^n$, and there is a link $((u_n, u_{n-1}, \ldots, u_1), (v_n, v_{n-1}, \ldots, v_1))$ if, and only if, there exists $d \in \{1, 2, \ldots, n\}$ such that $\min\{|u_d - v_d|, k - |u_d - v_d|\} = 1$, and $u_i = v_i$, for every $i \in \{1, 2, \ldots, n\} \setminus \{d\}$, and we say this is an edge of dimension i.

An index $d \in \{1, 2, ..., n\}$ is often referred to as a *dimension*. We can partition Q_n^k over dimension d by fixing the dth element of any node tuple at some value v, for every $v \in \{0, 1, ..., k-1\}$. Such a partition proves to be extremely useful (in proofs by induction, as we shall see for example in Chapter 3 and Chapter 4).

The class of k-ary n-cubes contains as special cases many topologies important to parallel processing, such as rings, hypercubes, and tori. Table 2.1 summarizes the special cases of k-ary n-cubes [120]. Fig. 2.1(b) is a 4×4 torus, and is also a 4-ary 2-cube.

| , | n | | | | | | | |
|-----|------------------------|--------------------------|--------------|--|--|--|--|--|
| k | 1 | 2 | ≥ 3 | | | | | |
| 1 | Point (cycle) | Point (torus) | Point | | | | | |
| 2 | Edge (hypercube/cycle) | Square (hypercube/torus) | Hypercube | | | | | |
| ≥ 3 | Ring | Torus | k-ary n-cube | | | | | |

Table 2.1: Special k-ary n-cubes

We now give some basic properties of k-ary n-cubes. A k-ary n-cube is a regular graph. The degree of each node is n for k = 2 and 2n for $k \ge 3$. The number of edges in a k-ary n-cube is nk^{n-1} for k = 2 and nk^n for $k \ge 3$ [120]. $dia(Q_n^k) = n\lfloor \frac{k}{2} \rfloor$ [25]. In Q_n^k , the length of a shortest path between any two nodes is equal to their Lee distance [25]. Q_2^k is bipanconnected, bipancyclic, almost-hamilton-connected, and if k is odd, Q_2^k is hamilton-connected, and Q_n^k is almost-hamilton-connected, and hamilton-connected if k is odd [163]. Q_n^k is node-symmetric [98]. A k-ary n-cube contains k composite subcubes, each of which is a k-ary (n-1)-cube, and the number of edges with endpoints in different composite subcubes is k^{n-1} for k = 2 and k^n for $k \ge 3$ [120].

2.2.4 *n*-star graph

The *n*-star graph [4] is an attractive alternative to the *n*-cube, as it has significant advantages over the *n*-cube, such as a lower degree and a smaller diameter.

Definition 2.2.6 The *n*-star graph S_n has node set $V(S_n) = \{(u_1, u_2, ..., u_n) : each <math>u_i \in \{1, 2, ..., n\}$ and $u_i \neq u_j$, for $i \neq j\}$, and there is an edge $((u_1, u_2, ..., u_n), (v_1, v_2, ..., v_n))$ if, and only if, $u_1 = v_i$ and $u_i = v_1$, for some $i \in \{2, 3, ..., n\}$, with $u_l = v_l$, for all $l \in \{2, 3, ..., n\} \setminus \{i\}$.

Fig. 2.3(a) shows a 3-star graph, (b) shows a 4-star graph. The *n*-star graph S_n , has n! nodes, and $\frac{n! \times (n-1)}{2}$ edges. It is regular of degree (n-1) and has diameter $dia(S_n) = \frac{3(n-1)}{2}$. S_n is node and edge symmetric and is (n-1)-connected [4,43].

The star graph, which belongs to the class of Cayley graphs [5], possesses many nice topological properties such as recursiveness, symmetry, maximal fault tolerance, sublogarithmic degree and diameter, and strong resilience [5], which are all desirable when we are designing the interconnection topology for a parallel and distributed system. Besides, the star graph can embed rings [135], meshes [137], trees [16], and hypercubes [125]. Many efficient algorithms [7] have been designed on the star graph.

The star graph has been extensively studied. Its topological properties have been analyzed in [43, 135, 153]. Many efficient communication algorithms for shortestpath routing [135], multiple-path routing [43], broadcasting [122], gossiping [18], and scattering [57] were proposed. Many efficient algorithms have been designed for sorting and merging [124], selection [135], Fourier transform [56], and computational geometry [6].

2.2.5 (n,k)-star graph

In order to avoid the significant jump from n! nodes in an *n*-star graph to (n + 1)!nodes in an (n+1)-star graph, (n, k)-star graphs were devised, as 'generalized' *n*-star graphs.

Definition 2.2.7 Let $n > k \ge 1$. The (n, k)-star graph, denoted $S_{n,k}$, has node set $V(S_{n,k}) = \{(u_1, u_2, \ldots, u_k) : \text{each } u_i \in \{1, 2, \ldots, n\} \text{ and } u_i \ne u_j, \text{ for } i \ne j\}$, and there is an edge $((u_1, u_2, \ldots, u_k), (v_1, v_2, \ldots, v_k))$ if, and only if, either:

- $u_i = v_i$, for $2 \le i \le k$, and $u_1 \ne v_1$ (a 1-edge);
- $u_1 = v_i$ and $u_i = v_1$, for some $i \in \{2, 3, ..., k\}$, with $u_l = v_l$, for all $l \in \{2, 3, ..., k\} \setminus \{i\}$ (an *i*-edge).

In consequence, $S_{n,k}$ has $\frac{n!}{(n-k)!}$ nodes and $\frac{n-1}{2} \times \frac{n!}{(n-k)!}$ edges. Note that $S_{n,n-1}$ is isomorphic to the *n*-star S_n , and that $S_{n,1}$ is a clique on *n* nodes [39].

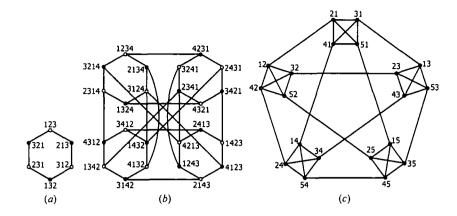


Figure 2.3: Example of star graph: (a) 3-star, (b) 4-star and (c) (5,2)-star

Fig. 2.3(c) shows a (5,2)-star graph.

Since their introduction in [39], (n, k)-star graphs have been well-studied and their basic topological and algorithmic properties are well-understood. For example: The diameter $dia(S_{n,k})$ of $S_{n,k}$ is given by

$$dia(S_{n,k}) = \begin{cases} 2k - 1 & \text{if } 1 \le k \le \left\lfloor \frac{n}{2} \right\rfloor, \\ k + \left\lfloor \frac{n-1}{2} \right\rfloor & \text{if } \left\lfloor \frac{n}{2} \right\rfloor + 1 \le k \le n-1 \end{cases}$$

in [39]. The (n, k)-star graphs form a hierarchical family of graphs, each of which is node-symmetric [39]; they can be recursively decomposed in a number of ways [39]; they have a simple shortest-path routing algorithm [39]; the node-connectivity of $S_{n,k}$ is n-1 [38]; and their fault-diameters are at most their fault-free-diameters plus 3 [38]. Let $S_{n-1,k-1}(i)$ denote a subgraph of $S_{n,k}$ induced by all the nodes with the same last symbol i, for some $1 \leq i \leq n$. $S_{n,k}$ can be decomposed into nsubgraphs $S_{n-1,k-1}(i), 1 \leq i \leq n$, and each subgraph $S_{n-1,k-1}(i)$ is isomorphic to $S_{n-1,k-1}$ [39].

2.2.6 *n*-dimensional augmented cube

Several variations of hypercubes have been proposed and investigated to improve the efficiency of hypercube networks. Like the twisted cube [30], folded cube [51] or crossed cube [29], the augmented cube is one of the variations of hypercubes, which is proposed in [41] by Choudum and Sunithda.

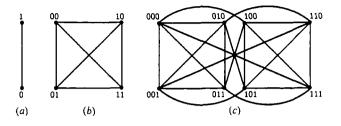


Figure 2.4: Three augmented cubes AQ_1, AQ_2 and AQ_3

Definition 2.2.8 Let $n \ge 1$ be an integer. The graph of the *n*-dimensional augmented cube, denoted by AQ_n has 2^n vertices indexed by $\{0,1\}^n$. AQ_1 is the graph K_2 with vertex set $\{0,1\}$. For $n \ge 2$, AQ_n can be recursively constructed by two copies of AQ_{n-1} , denoted by $AQ_{n-1}(0)$ and $AQ_{n-1}(1)$ and by adding 2^n edges between $AQ_{n-1}(0)$ and $AQ_{n-1}(1)$ as follows:

Let the first bit of all nodes in $AQ_{n-1}(0)$ (resp. in $AQ_{n-1}(1)$) be 0 (resp. 1). There is a link between node $u = (0u_{n-1}u_{n-2} \dots u_1)$ and $v = (1v_{n-1}v_{n-2} \dots v_1)$ if and only if either

(i) $u_i = v_i$ for $2 \le i \le n$; in this case, (u, v) is called a *hypercube edge* and we set $v = u^h$, or

(ii) $u_i = 1 - v_i$ for $2 \le i \le n$; in this case,(u, v) is called a *complement edge* and we set $v = u^c$.

Examples of augmented cubes AQ_1 , AQ_2 , and AQ_3 are shown in Fig. 2.4(a), (b) and (c) respectively.

The augmented cube of dimension n is a Cayley graph, (2n-1)-regular, (2n-1)connected, and has diameter $\lceil \frac{n}{2} \rceil$ [41]. It admits optimal routing and broadcasting
algorithms that are similar to those for hypercubes and have the same time complexity O(n) [41].

2.2.7 Section summary

We give the following table to summarize this section, which is a comprehensive version of Subsection 2.2.1 to 2.2.6.

.

2.2

| Network Name | Diameter | Degree | Nodes | Edges | Important Properties |
|--------------------------------|--|----------------------|---------------------|--|--|
| Mesh $(M(m,n))$ | m+n | 2, 3 or 4 | m 	imes n | 2mn - m - n | almost Hamilton-Connected |
| Torus $(T(m, n))$ | $\max\{\lfloor \frac{m}{2} \rfloor, \lfloor \frac{n}{2} \rfloor\}$ | 4 | m 	imes n | 2mn | |
| Hypercube (Q_n) | n | n | 2^n | $n \times 2^{n-1}$ | Bipartite; $Dis(u, v) = D_H(u, v)$ |
| k-ary <i>n</i> -cube (Q_n^k) | $n\lfloor \frac{k}{2} \rfloor$ | $n 	ext{ if } k = 2$ | k^n | nk^{n-1} if $k=2$ | Q_2^k is bipartite |
| | | 2n if $k > 2$ | | nk^k if $k \ge 3$ | $Dis(u,v) = D_L(u,v)$ |
| Augmented Cube (AQ_n) | $\left\lceil \frac{n}{2} \right\rceil$ | 2n - 1 | 2^n | $(2n-1)2^{n-1}$ | (2n-1)-connected |
| n -star graph (S_n) | $\frac{3(n-1)}{2}$ | n-1 | n! | $n!\frac{n-1}{2}$ | node- and edge-symmetric |
| | | | | | (n-1)-connected |
| (n,k)-star graph | $2k-1$ if $1 \le k \le \lfloor \frac{n}{2} \rfloor$ | n-1 | $\frac{n!}{(n-k)!}$ | $\frac{n-1}{2} \times \frac{n!}{(n-k)!}$ | $S_{n,1}$ is a clique; $S_{n,n-1} \cong S_n$ |
| $(S_{n,k})$ | $k + \lfloor \frac{n-1}{2} \rfloor$ otherwise | | | | $S_{n,k}$ is $(n-1)$ -connected |

Table 2.2: Key properties of some important interconnection networks.

20

2.3 Related results

In this section, we will mainly review the following two related topics in interconnection networks: structures embedding in interconnection networks, and node-disjoint paths problems in interconnection networks.

2.3.1 Structures embedding in interconnection networks

In some popular interconnection networks, we will consider the following properties: hamiltonicity, hamiltonian connectivity, ring/cycle embedding, (bi)panconnectivity, (bi)pancyclicity, and so on.

If there are faults in interconnection networks, we will only consider static faults.

Definition 2.3.1 Conditional fault assumption (CFA): Each node is adjacent to at least two healthy (fault-free) nodes via healthy links.

Let F_e denote the set of faulty edges (links) in the graph G, and let F_v denote the set of faulty nodes in the graph. Let f_e and f_v denote the number of faulty edges and nodes respectively, i.e., $f_e = |F_e|$, and $f_v = |F_v|$.

Hypercube Q_n

As the hypercube is a bipartite graph, there exist no odd cycles.

- If there are no faults, Q_n is bipancyclic [139].
- If there are faulty edges in Q_n , the following results has been obtained.
 - The Q_n is hamiltonian, if $f_e \leq n-2$ [105], or under CFA and $f_e \leq 2n-5$ [28]. The Q_n is bipancyclic [154] under CFA and $f_e \leq 2n-5$.

The Q_n is proved to be edge-bipancyclic if

 $-n \ge 3$, and $f_e \le n-2$ by Li et al. [110], or

- under CFA, $n \ge 4$ and $f_e \le n 1$ by Xu et al. [167], or
- under CFA, $n \ge 4$ and $f_e \le 2n 5$ by Shih et al. [146].

Note that the minimum cycle length in [167] and [146] is 6.

• If there are node faults or both node and edge faults, the following results have been obtained.

It is proved that a longest cycle of length at least $2^n - 2f_v$ can be embedded into Q_n if

Tsai [155] proved that every fault-free edge of Q_n , for $n \ge 3$, lies on a faultfree cycle of every even length from 4 to $2^n - 2f_v$ inclusive if $f_e + f_v \le n - 2$. Furthermore, he proved that Q_n , for $n \ge 5$, contains a fault-free cycle of every even length from 4 to $2^n - 2f_v$ inclusive if $f_e \le n - 2$ and $f_e + f_v \le 2n - 4$.

k-ary n-cube Q_n^k

The k-ary n-cube Q_n^k is proved to be hamiltonian under different conditions:

- $k \ge 3, n \ge 2$ and no faults [19,25]; or
- under CFA and $f_e \leq 4n 5$ [14]; or
- $k \ge 3$ be an odd integer, and $f_e + f_e \le 2n 2$ [171].

Let $k \ge 3$ be an odd integer, if $f_v + f_e \le 2n - 3$, then the wounded k-ary n-cube is hamiltonian-connected [171].

n-star graphs S_n

If there are no faults in *n*-star graphs S_n , then S_n is hamiltonian, bipancyclic and a variety of two- and multi-dimensional grids can be embedded into S_n [94].

 S_n is hamiltonian if $f_e \leq n-3$ [158] or under CFA and $f_e \leq 2n-7, n \geq 4$ [61]. S_n is proved to be bipancyclic [111] and edge-bipancyclic [169] if $f_e \leq n-3$ and $n \geq 3$, where the minimum cycle length is 6. The *n*-star graph is (n-3)-edge fault tolerant hamiltonian laceable, (n-3)-edge fault tolerant strongly hamiltonian laceable, and (n-4)-edge fault tolerant hyper hamiltonian laceable [113]. Tseng et al. [158] found a cycle of length at least $n! - 4f_v$, if $f_v \leq n - 3$. Hsieh et al. [81] found a path of length $n! - 2f_v - 2$ $(n! - 2f_v - 1)$ between two arbitrary vertices of even (odd) distance, if $f_v \leq n - 5$. Since S_n is bipartite with two partite sets of equal size, the path is longest for the worst-case scenario.

(n, k)-star graphs $S_{n,k}$

Chang and Kim [32] found a cycle of length $n!/(n-k)! - f_v$ in an (n, k)-star graph when $f_v \leq n-3$ and n-k=2.

 $S_{n,k}$ is hamiltonian if $f_e + f_v \le n-3$, hamiltonian-connected if $f_e + f_v \le n-4$ [86]. $S_{n,k}$ is super spanning connected if $n \ge 3$ and $(n-k) \ge 2$ [87].

Chen et al. [37] showed that $S_{n,k}$ is vertex-pancyclic when $1 \le k \le n-4$ and $n \ge 6$. Additionally, for $n-3 \le k \le n-2$, $S_{n,k}$ is also vertex-pancyclic with the minimum cycle length is 6. Moreover, each constructed cycle in $S_{n,k}$ can be made to contain a desired 1-edge.

2.3.2 Disjoint paths in interconnection networks

It is practically important to construct node-disjoint paths (disjoint paths for short) in networks, because they can be used to increase the transmission rate and enhance the transmission reliability. Besides, disjoint paths have applications in multi-path routing (such as Rabin's information dispersal algorithm [136]), fault tolerance (see [47,60]), and communication protocols (see [85]).

We are only interested in disjoint paths problem in non-faulty interconnection networks. For more information about disjoint paths in faulty interconnection networks, please refer to [67, 70, 73, 119].

One-to-one disjoint paths

Sets of one-to-one disjoint paths are also named containers.

1988 [139]: In the *n*-cube, let u and v be any two nodes and assume that $D_H(u,v) < n$. Then there are $D_H(u,v)$ disjoint paths of length $D_H(u,v)$, and n disjoint paths of length at most $D_H(u,v) + 2$ between the nodes u and v.

1997 [45]: Day and Al-Ayyoub constructed a set of n disjoint paths between any two nodes of a k-ary n-cube Q_n^k . Each path is of length zero, two, or four plus the minimum length except for one path in a special case (when the Hamming distance between the two nodes is one) where the increase over the minimum length may attain eight. These results improve those obtained in [25] where the length of some of the paths has a variable increase (which can be arbitrarily large) over the minimum length.

2000 [150]: Su et al. showed that a set of d node disjoint paths is constructed between two arbitrary nodes of an incomplete WK-recursive network IK(d,t). The length is not greater than 2 times the diameter.

2002 [62]: Fu et al. constructed n+1 disjoint paths between any two given nodes in *n*-dimensional Hierarchical Cubic Networks (HCS(*n*)), whose lengths are at most $n + \lfloor \frac{n}{3} \rfloor + 3$. This improves on the containers of [40] whose lengths are 2n + 6 at most.

2005 [134]: Qiu and Akl gave an algorithm that finds n-1 disjoint paths between any two nodes s and t in an n-star in optimal $O(n^2)$ time such that no path has length more than dis(s,t) + 4.

2007 [166]: Wu et al. found m + 1 disjoint paths between any two distinct nodes of an *n*-dimensional hierarchical hypercube network *n*-HHC network $(n = 2^m + m)$, whose lengths are not greater than max{dia(n-HHC) + 2m + 1, dia(n-HHC) + m + 4}, where $dia(n-HHC) = 2^{m+1}$.

2008 [116]: Lin et al. described an algorithm for constructing a container of width n-1 between a pair of vertices in an (n, k)-star graph with $2 \le k \le n-2$. The maximal path length is $dia(S_{n,k}) + 2$ for $2 \le k \le \lfloor \frac{n}{2} \rfloor$, or $dia(S_{n,k})$ plus a value between 1 and 2 for $\lfloor \frac{n}{2} \rfloor + 1 \le k \le n-2$. The same problem for (n, n-1)-star and (n, 1)-star graphs has been investigated in [115], where the lengths of the paths are at most $dis(S_{n,n-1}) + 2$ and $dis(S_{n,1}) + 1$ respectively.

One-to-many disjoint paths

1997 [71]: Gu and Peng gave an $O(n^2)$ time algorithm, which finds n-1 disjoint paths of length at most $dia(S_n) + 2$. (A lower bound on the length of the paths for the above problem in is $dia(S_n) + 1$.)

1998 [106]: Latifi et al. computed the n vertex disjoint paths of length at most n+1 in a hypercube Q_n of dimension n, given a source node and an arbitrary set of

at most n destination nodes; their algorithm is computationally simpler than that of [136].

Many-to-many disjoint paths

1996 [69]: Gu and Peng presented an algorithm for finding k disjoint paths where each path connects a pair of nodes from two given node sets in an n-cube Q_n , where $1 \le k \le n$. The path length is at most $n + \log k + 2$, and the time complexity is $O(kn \log^* k)$, where $\log^* n = 0$, if $n \le 1$, and $\log^* n = 1 + \log^*(\log n)$, if n > 1.

1998 [72]: Gu and Peng gave an many-to-many algorithm, which finds the k disjoint paths of length at most $dia(S_n) + 5$ in $O(n^2)$ optimal time. This improves the previous results of 4(n-2) (path length) and $O(n^4 \log n)$ (time), respectively in [46].

2000 [68]: Given $k = \lceil \frac{n}{2} \rceil$ pairs of distinct nodes $(s_1, t_1), \ldots, (s_k, t_k)$ in the *n*-cube Q_n , Gu and Peng presented an algorithm finding the k disjoint paths with length at most $n + \lceil \log n \rceil + 1$ in $O(n^2 \log^* n)$ time.

Up to now, we have given some results related to paths and cycles in interconnection networks. There are more related problems in this area, for example, the pairwise shortest path routing problem [66]. However, we are only interested in the above stated problems. In the next chapter, we will present an algorithm to show that there exists a long path in faulty k-ary n-cubes.

Chapter 3

Embedding long paths in k-ary n-cubes with faulty nodes and links

3.1 Introduction

In this chapter we study the existence of long paths and cycles in the presence of limited numbers of node and link faults in k-ary n-cubes. We are motivated by the work in four recent publications. In [98], Kim and Park study the existence of hamiltonian paths in two-dimensional tori. They provide conditions when a two-dimensional torus with at most 2 faulty nodes is hamiltonian, hamiltonian-connected and bi-hamiltonian-connected. In [60], Fu proves that an n-dimensional hypercube with $f_v \leq n-2$ is such that there is a path of length at least $2^n - 2f_v - \epsilon$ between any two distinct, healthy nodes, where $\epsilon = 1$ if the two nodes have different parities and $\epsilon = 2$ otherwise. In [77], Hsieh and Chang show that under CFA, Fu's result holds even when $f_v \leq 2n - 5$. In [171], Yang, Tan and Hsu prove that in a k-ary n-cube where k is odd, if the number of faulty nodes and links is at most 2n - 2 then there is a hamiltonian path joining any two, distinct healthy nodes. Note that Yang, Tan and Hsu prove no results when k is even beyond remarking that when k is even, the k-ary n-cube is bipartite and so if there is 1 faulty node then there can

be no hamiltonian cycle and there exists a pair of distinct, healthy nodes not joined by a hamiltonian path.

Our main result is as follows. Let $k \ge 4$ be even and let $n \ge 2$. In a faulty k-ary n-cube Q_n^k in which the number of node faults f_v and the number of link faults f_e are such that $f_v + f_e \le 2n - 2$, given any two healthy nodes s and e of Q_n^k , there is a path from s to e of length at least $k^n - 2f_v - 1$ (resp. $k^n - 2f_v - 2$) if the nodes s and e have different (resp. the same) parities. Our result: resolves the situation in [171] when k is even; answers questions posed by Yang, Tan and Hsu, and by Fu; and extends known results, obtained by Kim and Park, for the case when n = 2. The rest of this chapter is devoted to a proof by induction of our main theorem. Section 3.2 contains the basic definitions. In Section 3.3, we deal with the base case of the induction, and in Section 3.4, we deal with the inductive step. We present our conclusions in Section 3.5.

3.2 Basic definitions

Many structural properties of k-ary n-cubes are known, but of particular relevance for us is that a k-ary n-cube is node-symmetric. Throughout, we assume that addition on tuple elements is modulo k.

We can partition Q_n^k over dimension d. This results in k copies $Q_{d,0}, Q_{d,1}, \ldots, Q_{d,k-1}$ of Q_{n-1}^k , with corresponding nodes in $Q_{d,0}, Q_{d,1}, \ldots, Q_{d,k-1}$ joined in a cycle of length k (in dimension d).

The parity of a node $v = (v_n, v_{n-1}, \ldots, v_1)$ of Q_n^k is defined to be $\sum_{i=1}^n v_i$ modulo 2. We speak of a node as being *odd* or *even* according to whether its parity is odd or even. A pair of nodes $\{v, v'\}$ is *odd* (resp. *even*) if v and v' have different (resp. the same) parities.

We write paths in Q_n^k as sequences of incident links, and when k is even, paths necessarily consist of links joining, alternatively, odd and even nodes.

A fault in Q_n^k refers to a faulty node or a faulty link. If a node is faulty then we imagine that the node and its incident links do not exist; if a link is faulty then we imagine that this link does not exist. When we refer to a path in a faulty Q_n^k , we

mean that all nodes and links on the path should be non-faulty, i.e., *healthy* (unless otherwise stated).

We repeatedly apply the following construction throughout. Suppose that we have partitioned a k-ary n-cube Q_n^k over some dimension d so as to obtain k-ary (n-1)-cubes $Q_{d,0}, Q_{d,1}, \ldots, Q_{d,k-1}$ and that we have a path $\rho(u, v)$ in Q_n^k of length l. Suppose also that (x_i, y_i) is a link of $\rho(u, v)$, with $x_i, y_i \in Q_{d,i}$, and that we have another path $\rho'(x_{i+1}, y_{i+1})$ of length l' which shares no nodes in common with $\rho(u, v)$, where x_{i+1} and y_{i+1} are the neighbours of x_i and y_i , respectively, in $Q_{d,i+1}$. We refer to the path obtained by removing the link (x_i, y_i) from $\rho(u, v)$ and replacing it with the path $(x_i, x_{i+1}), \rho'(x_{i+1}, y_{i+1}), (y_{i+1}, y_i)$, so as to obtain a new path from u to v of length l + l' + 1, as the join of $\rho(u, v)$ to $\rho'(x_{i+1}, y_{i+1})$ over (x_i, y_i) . We can equally well join two paths over a sub-path rather than a link; with the above notation, we would remove a sub-path $\rho(x_i, y_i)$ from $\rho(u, v)$ and replace it with the path $(x_i, x_{i+1}), \rho'(x_{i+1}, y_{i+1}), (y_{i+1}, y_i)$. We have analogous constructions should we wish to join: a cycle and a path, to obtain a path; or two cycles, to obtain a cycle (when joining a cycle, we lose one edge from the cycle).

3.3 The base case

In this section, we deal with the base case of our forthcoming inductive proof of the main result, namely when we have a k-ary 2-cube with no more than 2 faults.

We consider Q_2^k as a $k \times k$ grid with wrap-around and we think of a node $v_{i,j}$ as indexed by its row *i* and column *j*. Throughout, we assume that addition on row or column indices is modulo *k*.

We define the following paths in the row-torus rt(0,1) (of some Q_2^k). The names of these paths are derived from the shape of their pictorial representations (see the figures coming up). Also, if i = 0 then $\overline{i} = 1$, and if i = 1 then $\overline{i} = 0$.

$$\begin{split} N^+(v_{i,j},v_{i,j'}) &= (v_{i,j},v_{\overline{i},j}), (v_{\overline{i},j},v_{\overline{i},j+1}), (v_{\overline{i},j+1},v_{i,j+1}), (v_{i,j+1},v_{i,j+2}), (v_{i,j+2},v_{\overline{i},j+2}), \\ &(v_{\overline{i},j+2},v_{\overline{i},j+3}), (v_{\overline{i},j+3},v_{i,j+3}), (v_{i,j+3},v_{i,j+4}), \dots, (v_{i,j'-1},v_{i,j'}) \\ &\text{where } 0 \leq i \leq 1, 0 \leq j \neq j' \leq k-1 \text{ and } |j-j'| \text{ is even.} \end{split}$$

$$\begin{split} N^{-}(v_{i,j}, v_{i,j'}) &= (v_{i,j}, v_{\bar{i},j}), (v_{\bar{i},j}, v_{\bar{i},j-1}), (v_{\bar{i},j-1}, v_{i,j-1}), (v_{i,j-1}, v_{i,j-2}), (v_{i,j-2}, v_{\bar{i},j-2}), \\ &(v_{\bar{i},j-2}, v_{\bar{i},j-3}), (v_{\bar{i},j-3}, v_{i,j-3}), (v_{i,j-3}, v_{i,j-4}), \dots, (v_{i,j'+1}, v_{i,j'}) \\ &\text{where } 0 \leq i \leq 1, 0 \leq j' \neq j \leq k-1 \text{ and } |j-j'| \text{ is even.} \end{split}$$

$$Z^{+}(v_{i,j}, v_{i,j'}) = (v_{i,j}, v_{i,j+1}), (v_{i,j+1}, v_{\overline{i},j+1}), (v_{\overline{i},j+1}, v_{\overline{i},j+2}), (v_{\overline{i},j+2}, v_{i,j+2}), (v_{i,j+2}, v_{i,j+2}), (v_{i,j+2}, v_{i,j+2}), (v_{i,j+3}, v_{i,j+3}), (v_{\overline{i},j+3}, v_{\overline{i},j+3}), (v_{\overline{i},j+3}, v_{\overline{i},j+4}), (v_{\overline{i},j+4}, v_{i,j+4}), \dots, (v_{\overline{i},j'}, v_{i,j'})$$

where $0 \le i \le 1, 1 \le j \ne j' \le k-1$ and $|j - j'|$ is even.

$$Z^{-}(v_{i,j}, v_{i,j'}) = (v_{i,j}, v_{i,j-1}), (v_{i,j-1}, v_{\overline{i},j-1}), (v_{\overline{i},j-1}, v_{\overline{i},j-2}), (v_{\overline{i},j-2}, v_{i,j-2}), (v_{i,j-2}, v_{$$

In addition, we define $C_j^+(v_{i,j}, v_{\bar{i},j}) = C_j^-(v_{i,j}, v_{\bar{i},j}) = (v_{i,j}, v_{\bar{i},j})$. We also use the above notation to describe paths in other row-tori of the form rt(l, l+1) in Q_2^k . Furthermore, if we write, for example, $N^+(v_{i,j}, v_{i,j+1})$, $Z^-(v_{i,j}, v_{i,j})$ or some other illegal node-pairing then we regard the path so denoted as being the empty path.

We begin with two lemmas, the first concerning paths in a row-torus rt(0, 1)in which there is a faulty node, and the second concerning paths in a row-torus rt(0, p - 1) in which there are no faults. These two lemmas are used repeatedly in the proofs of the subsequent propositions, each of which deals with a specific configuration of faults relating to the base case. **Lemma 3.3.1** Let $k \ge 4$ be even and consider the row-torus rt(0,1) in Q_2^k where 1 node of the row-torus is faulty. If the pair of distinct, healthy nodes $\{s, e\}$ of the row-torus is odd (resp. even) then there is a path $\rho(s, e)$ in the row-torus joining s and e of length at least 2k - 3 (resp. 2k - 4).

Proof: By the symmetric properties of the row-torus rt(0, 1), w.l.o.g. we may assume that the fault is the node $v_{0,0}$.

Suppose that s and e are both odd. W.l.o.g. there are four cases. (Throughout, we proceed by a case-by-case analysis, eliminating some cases by applying automorphisms of rt(0,1) such as "reflections in the vertical bisecting plane" or "toroidal rotations".)

Case (a) s and e both lie on row 0 with $s = v_{0,i}$, $e = v_{0,j}$ and i < j. Consider the path

$$C_{j-1}^{+}(v_{0,i}, v_{1,i}), Z^{-}(v_{1,i}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}),$$
$$N^{-}(v_{1,k-1}, v_{1,j}), (v_{1,j}, v_{0,j}).$$

This path has length 2k - 2 and is as depicted in 3.1(a).

<u>Case (b)</u> s and e lie on different rows with $s = v_{0,i}$, $e = v_{1,j}$ and i < j. Consider the path

$$C_{j-1}^{+}(v_{0,i}, v_{1,i}), Z^{-}(v_{1,i}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j+1}), (v_{1,j+1}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), (v_{0,j}, v_{1,j}).$$

This path has length 2k - 2 and is as depicted in Fig. 3.1(b).

Case (c) s and e lie on different rows with $s = v_{0,i}$ and $e = v_{1,0}$. Consider the path

$$C_{k-1}^+(v_{0,i},v_{1,i}), Z^-(v_{1,i},v_{1,1}), (v_{1,1},v_{1,0}).$$

This path has length 2k - 2 and is as depicted in Fig. 3.1(c).

Case (d) s and e both lie on row 1 with $s = v_{1,i}$, $e = v_{1,j}$ and i < j. Consider the path

$$N^{-}(v_{1,i}, v_{1,0}), (v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j+1}), (v_{1,j+1}, v_{1,j+1}), (v_{1,j+1}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), C^{-}_{i+1}(v_{0,j}, v_{1,j}).$$

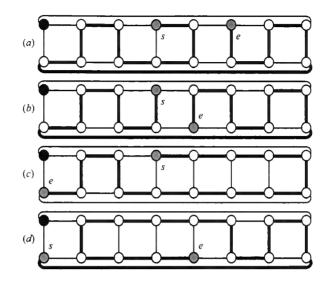


Figure 3.1: Cases (a)-(d) when k = 8.

This path has length 2k - 2 and is as depicted in Fig. 3.1(d).

Suppose now that s and e are both even. W.l.o.g. there are three cases.

Case (e) s and e both lie on row 0 with $s = v_{0,i}$, $e = v_{0,j}$ and i < j. Consider the path

$$C_{j-1}^{+}(v_{0,i}, v_{1,i}), Z^{-}(v_{1,i}, v_{1,2}), (v_{1,2}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}),$$
$$N^{-}(v_{1,k-1}, v_{1,j+1}), (v_{1,j+1}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}).$$

This path has length 2k - 4 and is similar to the path depicted in Fig. 3.1(*a*). <u>Case (f)</u> s and e lie on different rows with $s = v_{0,i}$, $e = v_{1,j}$ and i < j. Consider the path

$$C_{j-1}^{+}(v_{0,i}, v_{1,i}), Z^{-}(v_{1,i}, v_{1,2}), (v_{1,2}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,0}), (v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j}).$$

This path has length 2k - 4 and is similar to the path depicted in Fig. 3.1(b). <u>Case (g)</u> s and e both lie on row 1 with $s = v_{1,i}$, $e = v_{1,j}$ and i < j. Consider the path

$$N^{-}(v_{1,i}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j+2}), (v_{1,j+2}, v_{0,j+2}), (v_{1,j+2}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), C^{-}_{i+1}(v_{0,j}, v_{1,j}).$$

This path has length 2k - 4 and is similar to the path depicted in Fig. 3.1(d).

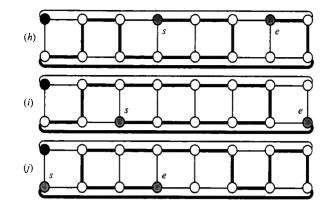


Figure 3.2: Cases (h)-(j) when k = 8.

Suppose now that one of s and e is odd and one is even, and, further, that s and e lie on the same row. W.l.o.g. there are three cases.

<u>Case (h)</u> s and e both lie on row 0 with $s = v_{0,i}$ odd, $e = v_{0,j}$ even and i < j. Consider the path

$$C_{j-1}^{+}(v_{0,i}, v_{1,i}), Z^{-}(v_{1,i}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}),$$
$$N^{-}(v_{1,k-1}, v_{1,j+1}), (v_{1,j+1}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}).$$

This path has length 2k - 3 and is as depicted in 3.2(h).

Case (i) s and e both lie on row 1 with $s = v_{1,i}$ odd, $e = v_{1,j}$ even and $0 \neq i < j$. Consider the path

$$C_{j-1}^{+}(v_{1,i}, v_{0,i}), Z^{-}(v_{0,i}, v_{0,2}), (v_{0,2}, v_{0,1}), (v_{0,1}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j}).$$

This path has length 2k - 3 and is as depicted in Fig. 3.2(i).

Case (j) s and e both lie on row 1 with $s = v_{1,0}$ and $e = v_{1,j}$ even. Consider the path

$$(v_{1,0}, v_{1,k-1}), N^-(v_{1,k-1}, v_{1,j+2}), (v_{1,j+2}, v_{0,j+2}), (v_{0,j+2}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), C_1^-(v_{0,j}, v_{1,j}).$$

This path has length 2k - 3 and is as depicted in Fig. 3.2(j).

Suppose now that one of s and e is odd and one is even, and, further, that s and e lie on different rows. W.l.o.g. there are five cases.

.

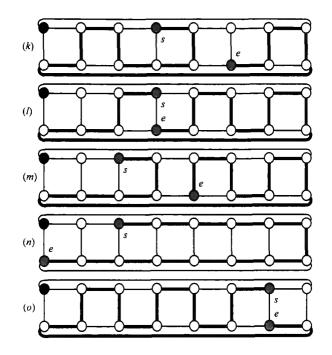


Figure 3.3: Cases (k)-(o) when k = 8.

Case (k) s lies on row 0 and e lies on row 1 with $s = v_{0,i}$ odd, $e = v_{1,j}$ even and i < j. Consider the path

$$C_{j-1}^+(v_{0,i},v_{1,i}), Z^-(v_{1,i},v_{1,1}), (v_{1,1},v_{1,0}), (v_{1,0},v_{1,k-1}), N^-(v_{1,k-1},v_{1,j}).$$

This path has length 2k - 3 and is as depicted in Fig.3.3(k).

<u>Case (l)</u> s and e lie on different rows with $s = v_{0,i}$ odd, $e = v_{1,i}$ even and $i \neq 1$. Consider the path

$$Z^{-}(v_{0,i}, v_{0,3}), (v_{0,3}, v_{0,2}), (v_{0,2}, v_{1,2}), (v_{1,2}, v_{1,1}), (v_{1,1}, v_{1,0}),$$
$$(v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j}).$$

This path has length 2k - 3 and is as depicted in Fig. 3.3(l).

<u>Case (m)</u> s and e lie on different rows with $s = v_{0,i}$ even, $e = v_{1,i}$ odd and i < j. Consider the path

$$C_{j-1}^{+}(v_{0,i}, v_{1,i}), Z^{-}(v_{1,i}, v_{1,2}), (v_{1,2}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}),$$
$$N^{-}(v_{1,k-1}, v_{1,j+1}), (v_{1,j+1}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), (v_{0,j}, v_{1,j}).$$

This path has length 2k - 3 and is as depicted in Fig. 3.3(m).

Case (n) s and e lie on different rows with $s = v_{0,i}$ even and $e = v_{1,0}$. Consider the path

$$C_{j-1}^+(v_{0,i}, v_{1,i}), Z^-(v_{1,i}, v_{1,2}), (v_{1,2}, v_{1,1}), (v_{1,1}, v_{1,0})$$

This path has length 2k - 3 and is as depicted in Fig. 3.3(n).

Case (o) s and e lie on different rows with $s = v_{0,i}$ even, $e = v_{1,i}$ odd. Consider the path

$$Z^{-}(v_{0,i}, v_{0,2}), (v_{0,2}, v_{0,1}), (v_{0,1}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, v_{1,k-1}), N^{-}(v_{1,k-1}, v_{1,j+1}), (v_{1,j+1}, v_{1,j}).$$

This path has length 2k - 3 and is as depicted in Fig. 3.3(o).

The result follows.

The following lemma proves to be useful throughout.

Lemma 3.3.2 Let $k \ge 4$ be even and consider the row-torus rt(0, p-1) in Q_2^k where $2 \le p \le k$. If the pair of distinct nodes $\{s, e\}$ of the row-torus is odd (resp. even) then there is a path $\rho(s, e)$ in the row-torus joining s and e of length pk - 1 (resp. pk - 2).

Proof: We proceed by induction on p. Suppose that p = 2 and consider the row-torus rt(0, 1). W.l.o.g. we may assume that $e = v_{0,0}$.

Suppose that $s = v_{0,i}$ is odd. The path

$$C_{k-1}^+(s, v_{1,i}), Z^-(v_{1,i}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, e)$$

has length 2k - 1.

Suppose that $s = v_{0,i}$ is even. The path

$$C_{k-1}^+(s, v_{1,i}), Z^-(v_{1,i-2}, v_{1,2}), (v_{1,2}, v_{1,1}), (v_{1,1}, v_{1,0}), (v_{1,0}, e)$$

has length 2k - 2.

Suppose that $s = v_{1,i}$ is odd. The path

$$C_{k-1}^+(s, v_{0,i}), Z^-(v_{0,i}, e)$$

has length 2k - 1.

Suppose that $s = v_{1,i}$ is even. The path

$$C_{k-1}^+(s, v_{0,i}), Z^-(v_{0,i}, v_{0,1}), (v_{0,1}, e)$$

has length 2k - 2. So the result holds for p = 2.

Suppose, as our induction hypothesis, that the result holds for all p such that $1 \le p < q$, where $1 < q \le k - 1$. Consider rt(0,q).

<u>Case (a)</u> It is not the case that s lies on row 0 and e lies on row q, and it is not the case that s lies on row q and e lies on row 0.

W.l.o.g. assume that s and e lie in rt(0, q - 1). By the induction hypothesis, there is a path $\rho(s, e)$ in rt(0, q - 1) of length qk - 1 (resp. qk - 2) if $\{s, e\}$ is odd (resp. even). For a node r in row q - 1, if it is not linked with its neighbor on the same row, then either r = s, or r = e, or it is not on path $\rho(s, e)$. As there is at most one node not in path $\rho(s, e)$, there are at least $\lfloor \frac{k-1}{2} \rfloor$ edges on row q - 1 which are also on the path $\rho(s, e)$. Hence, the path $\rho(s, e)$ must contain a link $(v_{q-1,i}, v_{q-1,i+1})$ lying on row q - 1.

Consider the path

$$\rho(s, v_{q-1,i}), (v_{q-1,i}, v_{q,i}), (v_{q,i}, v_{q,i-1}), (v_{q,i-1}, v_{q,i-2}), \dots$$
$$(v_{q,i+2}, v_{q,i+1}), (v_{q,i+1}, v_{q-1,i+1}), \rho(v_{q-1,i+1}, e).$$

This path is as required (with reference to our construction as detailed at the beginning of this section, an alternative description of this path would be as that obtained by joining $\rho(s, e)$ to the cycle

$$(v_{q,0}, v_{q,1}), (v_{q,1}, v_{q,2}), \dots, (v_{q,k-2}, v_{q,k-1}), (v_{q,k-1}, v_{q,0})$$

over the links $(v_{q-1,i}, v_{q-1,i+1})$ and $(v_{q,i}, v_{q,i+1})$.

Case (b) The node s lies on row 0 and the node e lies on row q.

If $e = v_{q,i}$ then define $e' = v_{q-1,i-1}$. Note that e is odd if, and only if, e' is odd. By the induction hypothesis, there is a path $\rho(s, e')$ in rt(0, q-1) of length qk - 1(resp. qk - 2) if $\{s, e\}$ is odd (resp. even). The path

$$\rho(s, e'), (e', v_{q,i-1}), (v_{q,i-1}, v_{q,i-2}), (v_{q,i-2}, v_{q,i-3}), \dots, (v_{q,i+1}, e)$$

is as required.

The result follows by induction.

We now deal with first scenario in the base case.

Proposition 3.3.1 Consider the k-ary 2-cube Q_2^k where $k \ge 6$ is even and where 2 of the nodes are faulty. Let s and e be any two distinct, non-faulty nodes. There is a path of length at least $k^2 - 5$ (resp. $k^2 - 6$) from s to e if $\{s, e\}$ is odd (resp. even).

Proof: W.l.o.g. suppose that the two faulty nodes are $f_0 = v_{0,0}$ and $f_1 = v_{p,p'}$ with $p \neq 0$. We begin by partitioning Q_2^k into 3 or 4 row-tori. If $p \in \{1, 2, k-2, k-1\}$ then:

- if p = 1 or p = 2 then we partition Q_2^k into A = rt(k 1, 0), B = rt(1, 2) and X = rt(3, k 2);
- if p = k-2 or p = k-1 then we partition Q_2^k into A = rt(0, 1), X = rt(2, k-3)and B = rt(k-2, k-1).

If $p \notin \{1, 2, k - 2, k - 1\}$ then:

- if $p \neq 3$ is odd then we partition Q_2^k into A = rt(0,1), X = rt(2, p-2), B = rt(p-1, p) and Y = rt(p+1, k-1);
- if p = 3 then we partition Q_2^k into A = rt(k 1, 0), X = rt(1, 2), B = rt(3, 4)and Y = rt(5, k - 2);
- if p is even then we partition Q_2^k into A = rt(0, 1), X = rt(2, p 1), B = rt(p, p + 1) and Y = rt(p + 2, k 1).

The outcome is that we have one of the two partitioned structures as in Fig.3.4, where consecutive row-tori are joined by column links. In particular, w.l.o.g. we may assume that: when the partition involves 3 row-tori, we have the situation as in Fig. 3.4(a), with $f_0 = v_{0,0} \in A = rt(0,1)$, X = rt(2, k - 3) and $f_1 \in B = rt(k-2, k-1)$; and when the partition involves 4 row-tori, we have the situation as in Fig. 3.4(b), with $f_0 = v_{0,0} \in A = rt(0,1)$, X = rt(2, q-1), $f_1 \in B = rt(q, q+1)$ and Y = rt(q+2, k-1), for some even q where $4 \le q \le k-4$.

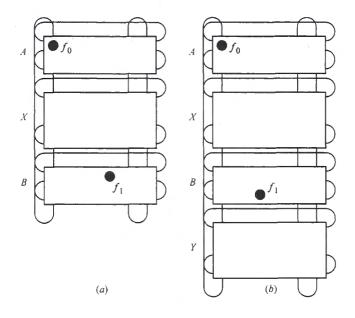


Figure 3.4: Partitioned Q_2^k 's.

Throughout the proof, $\epsilon = 1$ if $\{s, e\}$ is odd, and $\epsilon = 2$ if $\{s, e\}$ is even. Case (a) Q_2^k is partitioned into 3 row-tori.

Sub-case (i) The nodes s and e both lie in A.

By Lemma 3.3.1, there exists a path $\rho_A(s, e)$ in A of length at least $2k - 2 - \epsilon$. A simple counting argument yields that there is at least one link of $\rho_A(s, e)$ lying on row 1; w.l.o.g. let $(v_{1,i}, v_{1,i+1})$ be such a link (the case when the link is $(v_{1,i+1}, v_{1,i})$ is almost identical). By Lemma 3.3.2, there exists a path $\rho_X(v_{2,i}, v_{2,i+1})$ in X of length k(k - 4) - 1. Let $\rho(s, e)$ be obtained by joining $\rho_A(s, e)$ to $\rho_X(v_{2,i}, v_{2,i+1})$ over $(v_{1,i}, v_{1,i+1})$. Again, a simple counting argument yields that there are at least two non-incident links of $\rho(s, e)$ lying on row k - 3; w.l.o.g. let $(v_{k-3,j}, v_{k-3,j+1})$ be such a link where $v_{k-2,j} \neq f_1 \neq v_{k-2,j+1}$. By Lemma 3.3.1, there exists a path $\rho_B(v_{k-2,j}, v_{k-2,j+1})$ in B of length at least 2k - 3. The path obtained by joining $\rho(s, e)$ to $\rho_B(v_{k-2,j}, v_{k-2,j+1})$ over $(v_{k-3,j}, v_{k-3,j+1})$ has length at least $k^2 - 4 - \epsilon$.

Sub-case (ii) The node s is in A and the node e is in X.

Choose $v_{1,i}$ such that $v_{1,i}$ is odd if, and only if, s is even, and $v_{2,i} \neq e$ (such a node $v_{1,i}$ exists due to there are at least $\frac{k}{2} \geq 2$ nodes on row 1 that have different parity from node e). By Lemma 3.3.1, there exists a path $\rho_A(s, v_{1,i})$ in A of length at least 2k - 3. By Lemma 3.3.2, there exists a path $\rho_X(v_{2,i}, e)$ in X of length $k(k - 4) - \epsilon$.

Let $\rho(s, e)$ be the path

$$\rho_A(s, v_{1,i}), (v_{1,i}, v_{2,i}), \rho_X(v_{2,i}, e).$$

A simple counting argument yields that $\rho(s, e)$ contains at least two non-incident links on row k-3; w.l.o.g. let $(v_{k-3,j}, v_{k-3,j+1})$ be a link of $\rho(s, e)$ such that $v_{k-2,j} \neq f_1 \neq v_{k-2,j+1}$. By Lemma 3.3.1, there exists a path $\rho_B(v_{k-2,j}, v_{k-2,j+1})$ in B of length at least 2k-3. The path obtained by joining $\rho(s, e)$ to $\rho_B(v_{k-2,j}, v_{k-2,j+1})$ over $(v_{k-3,j}, v_{k-3,j+1})$ has length at least $k^2 - 4 - \epsilon$.

Sub-case (iii) The node s is in A and the node e is in B.

Choose $v_{1,i}$ such that $v_{1,i}$ is odd if, and only if, s is even, and $v_{1,i} \neq s$. By Lemma 3.3.1, there exists a path $\rho_A(s, v_{1,i})$ in A of length at least 2k - 3. Choose $v_{k-2,j}$ such that $v_{k-2,j}$ is odd if, and only if, e is even, and $f_1 \neq v_{k-2,j}$. By Lemma 3.3.1, there exists a path $\rho_B(v_{k-2,j}, e)$ in B of length at least 2k - 3. By Lemma 3.3.2, there exists a path $\rho_X(v_{2,i}, v_{k-3,j})$ in X of length $k(k-4) - \epsilon$. The path

$$\rho_A(s, v_{1,i}), (v_{1,i}, v_{2,i}), \rho_X(v_{2,i}, v_{k-3,j}), (v_{k-3,j}, v_{k-2,j}), \rho_B(v_{k-2,j}, e)$$

has length at least $k^2 - 4 - \epsilon$.

Sub-case (iv) The nodes s and e both lie in X.

By Lemma 3.3.2, there exists a path $\rho_X(s, e)$ in X of length $k(k-4) - \epsilon$. A simple counting argument yields that $\rho_X(s, e)$ always contains at least one link on row 2 and also that there are two non-incident links on row k-3, unless we have the special situation where k = 6, s and e have a common neighbour on row k-3 with this neighbour not lying on $\rho_X(s, e)$, and neither s nor e is adjacent on $\rho_X(s, e)$ to a node on row k-3. Suppose that there are two non-incident links on row k-3. W.l.o.g. let $(v_{k-3,j}, v_{k-3,j+1})$ and $(v_{2,i}, v_{2,i+1})$ be links of $\rho_X(s, e)$ where $v_{k-2,j} \neq f_1 \neq v_{k-2,j+1}$. By Lemma 3.3.1, there exists a path $\rho_B(v_{k-2,j}, v_{k-2,j+1})$ (resp. $\rho_A(v_{1,i}, v_{1,i+1})$) in B (resp. A) of length at least 2k-3. W.l.o.g. suppose that the nodes $v_{k-3,j}, v_{k-3,j+1}$, $v_{2,i}$ and $v_{2,i+1}$ come in that order as we move along the path $\rho_X(s, e)$. The path

$$\rho_X(s, v_{k-3,j}), (v_{k-3,j}, v_{k-2,j}), \rho_B(v_{k-2,j}, v_{k-2,j+1}), (v_{k-2,j+1}), (v_{k-2,j+1}), (v_{k-3,j+1}), \rho_X(v_{k-3,j+1}, v_{2,i}), (v_{2,i}, v_{1,i}), \rho_A(v_{1,i}, v_{1,i+1}),$$

$$(v_{1,i+1}, v_{2,i+1}), \rho_X(v_{2,i+1}, e)$$

has length at least $k^2 - 4 - \epsilon$.

Alternatively, suppose that we are in the special situation described above (and so k = 6). W.l.o.g. suppose that $s = v_{3,0}$ and $e = v_{3,2}$; so, the path $(v_{3,3}, v_{3,4}), (v_{3,4}, v_{3,5})$ is a sub-path of $\rho_X(s, e)$. If $f_1 \neq v_{4,4}$ then we can find two links $(v_{3,j}, v_{3,j+1})$ and $(v_{2,i}, v_{2,i+1})$ of $\rho_X(s, e)$, as above, and so obtain our path as required. So, suppose that $f_1 = v_{4,4}$. Let $\rho_B(v_{4,3}, v_{4,5})$ be the path

$$(v_{4,3}, v_{4,2}), (v_{4,2}, v_{4,1}), (v_{4,1}, v_{4,0}), (v_{4,0}, v_{4,5}),$$

and join $\rho_X(s, e)$ to $\rho_B(v_{4,3}, v_{4,5})$ over $(v_{3,3}, v_{3,4}), (v_{3,4}, v_{3,5})$ to obtain the path $\rho(s, e)$ of length $16 - \epsilon$. We can now join $\rho(s, e)$ to the cycle induced by the nodes on row 5, over two appropriate links, and to an appropriate path $\rho_A(v_{1,i}, v_{1,i+1})$ in A of length at least 9, as we did above, to obtain our required path of length at least $32 - \epsilon$ (that is, $k^2 - 4 - \epsilon$).

The remaining sub-cases are essentially identical to those already considered.

Case (b) Q_2^k is partitioned into 4 row-tori.

If s and e lie in $A \cup X \cup B$ then by the analysis for Case (a), there is a path $\rho(s, e)$ in $A \cup X \cup B$ (and the connecting column links) of length at least $k(q+2) - 4 - \epsilon$ (note that all paths constructed in Case (a) actually lie in the row-torus induced by $A \cup X \cup B$). A simple counting argument yields that there is at least one link of $\rho(s, e)$ on row q+1 or on row 0; w.l.o.g. suppose that it is row q+1 and let $(v_{q+1,j}, v_{q+1,j+1})$ be such a link. By Lemma 3.3.2, there exists a path $\rho_Y(v_{q+2,j}, v_{q+2,j+1})$ in Y of length k(k-1-q-1)-1. Join $\rho(s, e)$ to $\rho_Y(v_{q+2,j}, v_{q+2,j+1})$ over $(v_{q+1,j}, v_{q+1,j+1})$ to obtain a path of length at least $k^2 - 4 - \epsilon$. A similar argument holds should s and e lie in $B \cup Y \cup A$.

Necessarily, the only remaining case is when s lies in X and e lies in Y. Let $v_{0,i}$ be such that s and e do not lie on column i and $v_{0,i}$ is odd if, and only if, e is odd. By Lemma 3.3.2, there exists a path $\rho_Y(v_{k-1,i}, e)$ in Y of length k(k-1-q-1)-1. Let $v_{1,j}$ be such that s does not lie on column j and $v_{1,j}$ is odd if, and only if, s is odd. By Lemma 3.3.2, there exists a path $\rho_X(s, v_{2,j})$ in X of length k(q-2)-1. By Lemma 3.3.1, there exists a path $\rho_A(v_{1,j}, v_{0,i})$ in A of length at least $2k - 2 - \epsilon$. Let $\rho(s, e)$ be the path

$$\rho_X(s, v_{2,j}), (v_{2,j}, v_{1,j}), \rho_A(v_{1,j}, v_{0,i}), (v_{0,i}, v_{k-1,i}), \rho_Y(v_{k-1,i}, e).$$

Necessarily, there are at least two non-incident links of $\rho_X(s, v_{2,j})$ on row q - 1; w.l.o.g. let $(v_{q-1,m}, v_{q-1,m+1})$ be such a link with $v_{q,m} \neq f_1 \neq v_{q,m+1}$. By Lemma 3.3.1, there exists a path $\rho_B(v_{q,m}, v_{q,m+1})$ in B of length 2k - 3. The path obtained by joining $\rho(s, e)$ to $\rho_B(v_{q,m}, v_{q,m+1})$ over $(v_{q-1,m}, v_{q-1,m+1})$ has length at least $k^2 - 4 - \epsilon$. The result follows.

We deal with the case when k = 4 later (as we do also for subsequent propositions).

The next proposition deals with the next scenario in the base case.

Proposition 3.3.2 Consider the k-ary 2-cube Q_2^k where $k \ge 6$ is even and where 1 of the nodes is faulty. Let s and e be any two distinct, non-faulty nodes. There is a path of length at least $k^2 - 3$ (resp. $k^2 - 4$) from s to e if $\{s, e\}$ is odd (resp. even).

Proof: The proof is a much simplified version of the proof of Proposition 3.3.1. Essentially, we partition Q_2^k into 2 row-tori, A = rt(0, 1) and X = rt(2, k - 1), and follow the constructions in Sub-cases (a.i), (a.ii) and (a.iv). The result follows. \Box

We now consider when there are only faulty links in Q_2^k , but first we construct some basic hamiltonian circuits on row-tori. Consider the row-torus rt(0, p - 1) in Q_2^k , for some even p where $2 \le p \le k - 1$. For every even $i \in \{0, 1, \ldots, p - 2\}$, build the following cycle C_i :

$$(v_{i,0}, v_{i,1}), (v_{i,1}, v_{i,2}), \dots, (v_{i,k-2}, v_{i,k-1}), (v_{i,k-1}, v_{i+1,k-1}),$$

 $(v_{i+1,k-1}, v_{i+1,k-2}), \dots, (v_{i+1,1}, v_{i+1,0}), (v_{i+1,0}, v_{i,0}).$

Join the cycle C_0 to the cycle C_2 over the links $(v_{1,0}, v_{1,1})$ and $(v_{2,0}, v_{2,1})$, and denote the resulting cycle by $E_{0,0}$. Now join $E_{0,0}$ to the cycle C_4 over the links $(v_{3,0}, v_{3,1})$ and $(v_{4,0}, v_{4,1})$, and denote the resulting cycle by $E_{0,0}$ also. Proceed in this way to obtain the hamiltonian cycle $E_{0,0}$ of the row-torus rt(0, p-1) rooted at $v_{0,0}$.

If $3 \le p \le k-1$ is odd then build the cycle $E_{0,0}$ in the row-torus rt(0, p-2) and join it to the cycle induced by the nodes on row p-1, over the links $(v_{p-2,0}, v_{p-2,1})$

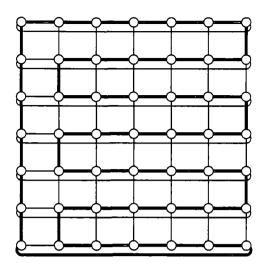


Figure 3.5: The hamiltonian cycle $E_{0,0}$ in rt(0,6) in Q_2^7 .

and $(v_{p-1,0}, v_{p-1,1})$; denote the resulting cycle as the cycle $E_{0,0}$ of rt(0, p-1) rooted at $v_{0,0}$. The hamiltonian cycle $E_{0,0}$ in rt(0,6) in Q_2^7 can be visualised as in Fig.3.5.

Note that we also have the hamiltonian cycles $E_{0,i}$ of rt(0, p-1), for all $p \in \{2, 3, ..., k\}$ and $i \in \{1, 2, ..., k-1\}$, obtained by starting the above process at the root-node $v_{0,i}$ as opposed to node $v_{0,0}$.

Proposition 3.3.3 Consider the k-ary 2-cube Q_2^k where $k \ge 6$ is even and where there is 1 faulty link. Let s and e be any two distinct nodes in the row-torus rt(0, p-1), where $2 \le p \le k$. There is a path in rt(0, p-1) from s to e of length pk - 1(resp. pk - 2) if $\{s, e\}$ is odd (resp. even).

Proof: By Lemma 3.3.2, we may assume that the faulty link lies in rt(0, p-1). W.l.o.g. we may assume that the faulty link is either $(v_{a,0}, v_{a+1,0})$ or $(v_{a,0}, v_{a,1})$, where $0 \le a \le p-2$. As before, $\epsilon = 1$ if $\{s, e\}$ is odd, and $\epsilon = 2$ if $\{s, e\}$ is even. Case (a) a = 0, and the faulty link is $(v_{0,0}, v_{1,0})$.

Sub-case (i) s and e lie on row 0.

If $s = v_{0,i}$ and $e = v_{0,j}$ then w.l.o.g. we may assume that i < j and that it is not the case that both i = 0 and j = k - 1.

Suppose that it is not the case that i = 1 and j = k - 1. Let $\rho_0(s, e)$ be the path

$$(s, v_{0,i-1}), (v_{0,i-1}, v_{0,i-2}), \dots, (v_{0,j+1}, e).$$

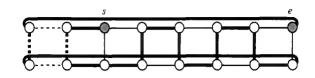


Figure 3.6: Joining $\rho_0(s, e)$ to the amended cycle C.

Note that the length of $\rho_0(s, e)$ is odd if, and only if, $\{s, e\}$ is odd; so, there are an even number of nodes on row 0 that are not on $\rho_0(s, e)$ if, and only if, $\{s, e\}$ is odd. Let C be the cycle induced by the nodes on row 1. Iteratively join C to appropriate links $(v_{0,l}, v_{0,l+1})$ over $(v_{1,l}, v_{1,l+1})$ so that the nodes used on row 0 do not already appear on $\rho_0(s, e)$. Links should be replaced (by paths) so that if $\{s, e\}$ is odd (resp. even) then every node of rt(0, 1) appears on the (amended) cycle C or on $\rho_0(s, e)$ (resp. except one). Join $\rho_0(s, e)$ to C over two corresponding links (this is always possible) and denote the new path by $\rho_A(s, e)$. The path $\rho_A(s, e)$ has length $2k - \epsilon$. This construction can be visualised in Fig.3.6, where the dashed links show how $\rho_0(s, e)$ is joined to the amended C.

Suppose that i = 1 and j = k - 1. Let $\rho_0(s, e)$ be the path

$$(s, v_{0,2}), (v_{0,2}, v_{0,3}), \dots, (v_{0,k-2}, e)$$

Let C be the cycle induced by the nodes on row 1. Join $\rho_0(s, e)$ to C over $(v_{0,1}, v_{0,2})$ and $(v_{1,1}, v_{1,2})$, and denote the new path by $\rho_A(s, e)$. The path $\rho_A(s, e)$ has length 2k-2.

If p = 2 then we are done. If p > 3 then let D be the hamiltonian cycle $E_{2,0}$ in the row-torus rt(2, p-1), and if p = 3 then let D be the cycle induced by the nodes on row 2. Join $\rho_A(s, e)$ to D over two corresponding links, and the resulting path is as required.

Sub-case (ii) s lies on row 0 and e lies on row 1.

Let $s = v_{0,i}$ and $e = v_{1,j}$; w.l.o.g. we may assume that $i \neq k - 1$. If $i \neq 1$ then let e' be a neighbour of s on row 0 that does not lie in the same column as e. If i = 1 and $j \neq 2$ then let $e' = v_{0,2}$. Either way, let $\rho_0(s, e')$ be a path on row 0 of length k - 1. If i = 1 and j = 2 then let $e' = v_{0,3}$ and let $\rho_0(s, e')$ be a path on row 0 of length k - 2.

Let s' be the neighbour of e' on row 1 and let $\rho_1(s', e)$ be a path on row 0 which contains the link $(v_{1,0}, v_{1,1})$. Define the path $\rho_A(s, e)$ as

$$\rho_0(s, e'), (e', s'), \rho_1(s', e)$$

Iteratively join $\rho_A(s, e)$ to appropriate links $(v_{1,l}, v_{1,l+1})$ over $(v_{0,l}, v_{0,l+1})$ so that the nodes used on row 1 do not already appear on $\rho_A(s, e)$. Links should be replaced (by paths) so that if $\{s, e\}$ is odd (resp. even) then every node of rt(0, 1) appears on (the amended) $\rho_A(s, e)$ (resp. except one).

If p = 2 then we are done. If p > 3 then let D be the hamiltonian cycle $E_{2,0}$ in the row-torus rt(2, p-1), and if p = 3 then let D be the cycle induced by the nodes on row 2. Join $\rho_A(s, e)$ to D over the links $(v_{1,0}, v_{1,1})$ and $(v_{2,0}, v_{2,1})$. The resulting path is as required.

Note that if p = 2 then we have covered all cases, so henceforth we assume that $p \ge 3$.

Sub-case (*iii*) s lies on row 0 and e lies on rows $2, 3, \ldots, p-1$.

Suppose that $s = v_{0,i}$. If $i \neq 1$ then define $e' = v_{0,i-1}$, and if i = 1 then define $e' = v_{0,i+1}$. Define the path $\rho_0(s, e')$ to be the path on row 0 of length k - 1. Let e'' be the neighbour of e' on row 1, and let e''' be a neighbour of e'' on row 1 that does not lie in the same column as e. Define the path $\rho_1(e'', e''')$ as the path of length k - 1 on row 1. Define the path $\rho_A(s, e''')$ as

$$\rho_0(s, e'), (e', e''), \rho_1(e'', e''').$$

The path $\rho_A(s, e'')$ has length 2k - 1.

Let s' be the neighbour of e''' on row 2. If $p \ge 4$ then by Lemma 3.3.2, there is a path $\rho_X(s', e)$ in rt(2, p-1) of length $k(p-2) - \epsilon$, and the path

$$\rho_A(s, e'''), (e''', s'), \rho_X(s', e)$$

is as required. If p = 3 then define the path $\rho_X(s', e)$ to be a path on row 2, and let $\rho(s, e)$ be the path

$$\rho_A(s, e'''), (e''', s'), \rho_X(s', e).$$

Iteratively join $\rho(s, e)$ to appropriate links $(v_{2,l}, v_{2,l+1})$ over $(v_{1,l}, v_{1,l+1})$ so that the nodes used on row 2 do not already appear on $\rho(s, e)$. Links should be replaced (by paths) so that if $\{s, e\}$ is odd (resp. even) then every node of row 2 appears on the amended path (resp. except one). The resulting path is as required.

Sub-case (iv) s and e lie on row 1.

Proceed as in Sub-case (i) to build a path (analogous to) $\rho_A(s, e)$. The path $\rho_A(s, e)$ is such that it contains a link on row 1. Join $\rho_A(s, e)$ to the cycle D, as constructed in Sub-case (i) and over corresponding links, to obtain a required path.

Sub-case (v) s lies on rows $1, 2, \ldots, p-1$ and e lies on rows $2, 3, \ldots, p-1$.

By Lemma 3.3.2, there exists a path $\rho(s, e)$ in rt(1, p - 1) of length $(p - 1)k - \epsilon$. There is at least one link of $\rho(s, e)$ on row 1 that is not incident with $v_{1,0}$. Join $\rho(s, e)$ to the cycle induced by the nodes on row 0 over two corresponding links to obtain a required path.

Case (b) $0 \neq a \neq p-2$ and the faulty link is $(v_{a,0}, v_{a+1,0})$.

Sub-case (i) s and e lie on rows $0, 1, \ldots, a$.

By Lemma 3.3.2, there is a path $\rho_A(s, e)$ in rt(0, a) of length $(a + 1)k - \epsilon$. Either: there exist 2 disjoint links of $\rho_A(s, e)$ on row a, and so we have a link of $\rho_A(s, e)$ on row a that is not incident with $v_{a,0}$; or k = 6 and the nodes $v_{a,2}, v_{a,3}, v_{a,4}$ constitute s, e and a node not on $\rho_A(s, e)$. However, in this latter case, let $E_{0,0}$ be the hamiltonian cycle in rt(0, a) but with the sub-path from s to e involving (some of) the nodes $v_{a,2}, v_{a,3}, v_{a,4}$ removed (so, the length of this sub-path is 1, if $\{s, e\}$ is odd, and 2, if $\{s, e\}$ is even). Either way, we obtain a path, call it $\rho_A(s, e)$, in rt(0, a) of length $(a + 1)k - \epsilon$ with the property that there is a link of $\rho_A(s, e)$ on row a that is not incident with $v_{a,0}$.

Join $\rho_A(s, e)$ to the hamiltonian cycle $E_{a+1,0}$ of rt(a + 1, p - 1), over some appropriate links, and the path obtained is as required.

Sub-case (ii) s lies on rows $0, 1, \ldots, a$ and e lies on rows $a + 1, a + 2, \ldots, p - 1$.

Suppose that we can choose e' on row a such that: $v_{a,0} \neq e' \neq s$; e and e' are not adjacent; and $\{s, e'\} = \{s, e\}$. If so then by Lemma 3.3.2, there is a path $\rho_A(s, e')$ in rt(0, a) of length $(a + 1)k - \epsilon$ so that e is not adjacent to e'. Define s' to be

the neighbour of e' on row a + 1. By Lemma 3.3.2, there is a path $\rho_X(s', e)$ in rt(a+1, p-1) of length (p-a-1)k-1. The path

$$\rho_A(s, e'), (e', s'), \rho_X(s', e)$$

is as required.

Alternatively, suppose that e' does not exist. This only happens when k = 6, and $(s = v_{a,2} \text{ and } e = v_{a+1,4})$ or $(s = v_{a,4} \text{ and } e = v_{a+1,2})$. Define $e' = v_{a,3}$ and let $E_{0,0}$ be the hamiltonian cycle in rt(0, a) with the link (s, e') removed; call this path $\rho_A(s, e')$. By Lemma 3.3.2, there is a path $\rho_X(v_{a+1,3}, e)$ in rt(a + 1, p - 1) of length (p - a - 1)k - 1. The path

$$\rho_A(s, e'), (e', v_{a+1,3}), \rho_X(v_{a+1,3}, e)$$

is as required.

Case (c) a = 0 and the faulty link is $(v_{0,0}, v_{0,1})$.

Sub-case (i) s and e lie on row 0.

Let $\rho_0(s, e)$ be the path on row 0 which contains the faulty link $(v_{0,0}, v_{0,1})$, and let *C* be the cycle induced by the nodes on row 1. Join $\rho_0(s, e)$ to *C* over the links $(v_{0,0}, v_{0,1})$ and $(v_{1,0}, v_{1,1})$, and denote the resulting path by $\rho(s, e)$. Iteratively join $\rho(s, e)$ to appropriate links $(v_{0,l}, v_{0,l+1})$ over $(v_{1,l}, v_{1,l+1})$ so that the nodes used on row 0 do not already appear on $\rho(s, e)$. Links should be replaced (by paths) so that if $\{s, e\}$ is odd (resp. even) then every node of row 0 appears on the amended path (resp. except one). Denote the amended path by $\rho(s, e)$ also.

If p > 3 then let D be the hamiltonian cycle $E_{2,0}$ in rt(2, p - 1), and if p = 3 then let D be the cycle induced by the nodes of row 2. Joining $\rho(s, e)$ to D over two corresponding links yields a path as required.

Sub-case (ii) s lies on row 0 and e lies on row 1.

Suppose that $s = v_{0,i}$ and $e = v_{1,j}$. W.l.o.g. we may assume that *i* is odd.

If $\{s, e\}$ is odd and $1 \le j < i$ then define $\rho(s, e)$ as

$$C_0^+(s, v_{1,i}), Z^-(v_{1,i}, v_{1,j+2}), (v_{1,j+2}, v_{1,j+1}), (v_{1,j+1}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), C_1^-(v_{0,j}, e).$$

If $\{s, e\}$ is odd and $i < j \le k - 1$ then define $\rho(s, e)$ as

$$C_{1}^{-}(s, v_{1,i}), Z^{+}(v_{1,i}, v_{1,j-2}), (v_{1,j-2}, v_{1,j-1}), (v_{1,j-1}, v_{0,j-1}),$$

$$\cdot (v_{0,j-1}, v_{0,j}), C_{k-1}^{+}(v_{0,j}, e).$$

If $\{s, e\}$ is odd and i = j then define $\rho(s, e)$ as $C_0^+(s, e)$, and if $i \neq 1$ then define C as the cycle

$$C_1^-(v_{0,i-1},v_{1,i-1}),(v_{1,i-1},v_{0,i-1}).$$

If $\{s, e\}$ is even and $2 \le j < i$ then define $\rho(s, e)$ as

$$C_0^+(s, v_{1,i}), Z^-(v_{1,i}, v_{1,j+3}), (v_{1,j+3}, v_{1,j+2}), (v_{1,j+2}, v_{0,j+2}), (v_{0,j+2}, v_{0,j+1}), (v_{0,j+1}, v_{0,j}), C_0^-(v_{0,j}, e).$$

If $\{s, e\}$ is even and j = 0 then define $\rho(s, e)$ as

$$C_1^-(s, v_{1,i}), Z^+(v_{1,i}, v_{1,k-1}), (v_{1,k-1}, e).$$

If $\{s, e\}$ is even and $i < j \le k - 1$ then define $\rho(s, e)$ as

$$C_{1}^{-}(s, v_{1,i}), Z^{+}(v_{1,i}, v_{1,j-3}), (v_{1,j-3}, v_{1,j-2}), (v_{1,j-2}, v_{1,j-1}), (v_{1,j-1}, v_{0,j-1}), (v_{0,j-1}, v_{0,j}), C_{0}^{+}(v_{0,j}, e).$$

If p > 3 then let D be the hamiltonian cycle $E_{2,0}$ of rt(2, p-1), and if p = 3 then let D be the cycle induced by the nodes on row 2. If there is a cycle C then join C and D over two corresponding links and denote the new cycle by D also. Now join $\rho(s, e)$ to the cycle D, and the path obtained is as required.

Sub-case (*iii*) s lies on row 0 and e lies on rows $2, 3, \ldots, p-1$.

Suppose that p > 3. If $\{s, e\}$ is even then let the node e' on row 1 be such that e'and s have a common neighbour on row 0 and also such that e' does not lie on the same column as e. If $\{s, e\}$ is odd then let e' be the neighbour of s on row 1. By the construction in Sub-case (*ii*), there is a path $\rho_A(s, e')$ in rt(0, 1) of length $2k - \epsilon$.

Let s' be the neighbour of e' on row 2 (note that $s' \neq e$ and that $\{s', e\}$ is odd). By Lemma 3.3.2, there is a path $\rho_X(s', e)$ in rt(2, p-1) of length (p-2)k-1. The path

$$\rho_A(s,e'), (e',s'), \rho_X(s',e)$$

is as required.

Suppose that p = 3. Let s' be a neighbour of e on row 2 so that s' does not lie on the same column as s, and let e' be the neighbour of s' on row 2. By the construction in Sub-case (*ii*), there is a path $\rho_A(s, e')$ in rt(0, 1) of length $2k - \epsilon$. Let $\rho_X(s', e)$ be the path on row 2 of length k - 1. The path

$$\rho_A(s, e'), (e', s'), \rho_X(s', e)$$

is as required.

Sub-case (iv) s and e lie on row 1.

Let $s = v_{1,i}$ and $e = v_{1,j}$; w.l.o.g. we may assume that i < j. Let $\rho_1(s, e)$ be the path on row 1 containing the link $(v_{1,0}, v_{1,1})$. Join $\rho_1(s, e)$ to the cycle induced by the nodes on row 0 over the links $(v_{1,0}, v_{1,1})$ and $(v_{0,0}, v_{0,1})$, and denote the resulting path by $\rho_A(s, e)$. Iteratively join $\rho_A(s, e)$ to appropriate links $(v_{1,l}, v_{1,l+1})$ over $(v_{0,l}, v_{0,l+1})$ so that the nodes used on row 1 do not already appear on $\rho_A(s, e)$. Links should be replaced (by paths) so that if $\{s, e\}$ is odd (resp. even) then every node of row 1 appears on the amended path (resp. except one). Denote the amended path by $\rho(s, e)$.

If $p \ge 4$ then let D be the hamiltonian cycle $E_{2,1}$ of rt(2, p-1), and if p = 3 then let D be the cycle induced by the nodes on row 2. Join $\rho(s, e)$ to D over two corresponding links, and the resulting path is as required.

Sub-case (v) s lies on row 1 and e lies on rows $2, 3, \ldots, p-1$.

Suppose that $p \ge 4$. Let e' be a neighbour of s on row 1 such that e does not lie on the same column as e'. We now define a path $\rho_A(s, e')$ in rt(0, 1). If $s = v_{1,1}$ and $e' = v_{1,0}$ then define $\rho_A(s, e')$ as

$$N^+(s, v_{1,k-1}), (v_{1,k-1}, v_{0,k-1}), (v_{0,k-1}, v_{0,0}), (v_{0,0}, e');$$

if $s = v_{1,0}$ and $e' = v_{1,1}$ then define $\rho_A(s, e')$ as

$$N^{-}(s, v_{1,2}), (v_{1,2}, v_{0,2}), (v_{0,2}, v_{0,1}), (v_{0,1}, e');$$

otherwise, let $\rho_1(s, e')$ be the path on row 1 containing the link $(v_{1,0}, v_{1,1})$, and join $\rho_1(s, e')$ to the cycle induced by the nodes on row 0 (which contains the faulty

link) over the links $(v_{1,0}, v_{1,1})$ and $(v_{0,0}, v_{0,1})$, denoting the resulting path by $\rho_A(s, e')$ (joining as we do results in the path $\rho_A(s, e')$ being fault-free).

Let s' be the neighbour of e' on row 2. By Lemma 3.3.2, there is a path $\rho_X(s', e)$ in rt(2, p-1) of length $(p-2)k - \epsilon$. The path

$$\rho_A(s,e'), (e',s'), \rho_X(s',e)$$

is as required.

Suppose that p = 3. Let e' be a node on row 1 such that $s \neq e'$ and e' is in a column adjacent to the column on which e lies. Clearly, $\{s, e\}$ is odd if, and only if, $\{s, e'\}$ is odd (node e' and e have the same parity). We now build a path $\rho_A(s, e')$ in rt(0, 1); w.l.o.g. we may assume that $s = v_{1,i}$, $e' = v_{i,j}$ and i < j, with $i \neq 0$ (as usual, we can apply automorphisms of rt(0, 1) if necessary). If $\{s, e\}$ is odd and $i \neq 1$ then define $\rho_A(s, e')$ as

$$C_1^-(s, v_{0,i}), Z^+(v_{0,i}, v_{0,j-1}), (v_{0,j-1}, v_{0,j}), C_0^+(v_{0,j}, e').$$

If $\{s, e\}$ is odd and i = 1 then define $\rho_A(s, e')$ as

$$N^+(s, v_{1,j-1}), (v_{1,j-1}, v_{0,j-1}), (v_{0,j-1}, v_{0,j}), C^+_0(v_{0,j}, e').$$

If $\{s, e\}$ is even and $s \neq 1$ then define $\rho_A(s, e')$ as

$$C_1^{-}(s, v_{0,i}), Z^{+}(v_{0,i}, v_{0,j-2}), (v_{0,j-2}, v_{0,j-1}), (v_{0,j-1}, v_{0,j}), C_0^{+}(v_{0,j}, e').$$

If $\{s, e\}$ is even and s = 1 then define $\rho_A(s, e')$ as

$$N^+(s, v_{1,j-2}), (v_{1,j-2}, v_{0,j-2}), (v_{0,j-2}, v_{0,j-1}), (v_{0,j-1}, v_{0,j}), C_0^+(v_{0,j}, e').$$

Let s' be the neighbour of e' on row 2 and let $\rho_X(s', e)$ be the path on row 2 of length k - 1. The path

$$\rho_A(s, e'), (e', s'), \rho_X(s', e)$$

is as required.

Sub-case (vi) s and e lie on rows $2, 3, \ldots, p-1$.

Suppose that $p \ge 4$. By Lemma 3.3.2, there is a path $\rho_X(s, e)$ in rt(2, p - 1) of length $(p-2)k - \epsilon$. Let C be the cycle

$$C_1^-(v_{1,0}, v_{0,0}), (v_{0,0}, v_{1,0})$$

Joining $\rho_X(s, e)$ to C over two corresponding links yields a required path.

Suppose that p = 3. If $(s = v_{2,0} \text{ and } e = v_{2,1})$ or $(e = v_{2,0} \text{ and } s = v_{2,1})$ then let $\rho_X(s, e)$ be the path on row 2 of length k - 1; otherwise, let $\rho_X(s, e)$ be the path on row 2 not containing the link $(v_{2,0}, v_2, 1)$. Join $\rho_X(s, e)$ to C over two corresponding links and denote the resulting path by $\rho(s, e)$.

If $(s = v_{2,0} \text{ and } e = v_{2,1})$ or $(e = v_{2,0} \text{ and } s = v_{2,1})$ then $\rho(s, e)$ is as required. Otherwise, iteratively join $\rho(s, e)$ to appropriate links $(v_{2,l}, v_{2,l+1})$ over $(v_{1,l}, v_{1,l+1})$ so that the nodes used on row 2 do not already appear on $\rho(s, e)$. Links should be replaced (by paths) so that if $\{s, e\}$ is odd (resp. even) then every node of row 2 appears on the amended path (resp. except one). The path so obtained is as required.

Case (d) The faulty link is $(v_{a,0}, v_{a+1,0})$, where $1 \le a \le p-3$.

Sub-case (i) s and e lie on rows $0, 1, \ldots, a + 1$.

By Case (c), there is a path $\rho_A(s, e)$ in rt(0, a+1) of length $(a+2)k - \epsilon$. If $a \neq p-3$ then let C be the hamiltonian cycle $E_{a+2,0}$ of rt(a+2, p-1), and if a = p-3 then let C be the cycle induced by the nodes on row p-1. Joining $\rho_A(s, e)$ and C over two corresponding links yields a path as required.

Sub-case (*ii*) s lies on rows 0, 1, ..., a + 1 and e lies on rows a + 2, a + 3, ..., p - 1. Suppose that $a \neq p - 3$. Let the node e' on row a + 1 be such that $s \neq e'$ and $\{s, e\} = \{s, e'\}$. By Case (c), there is a path $\rho(s, e')$ in rt(0, a + 1) of length $(a + 2)k - \epsilon$. Let s' be the node on row a + 2 adjacent to e'. By Lemma 3.3.2, there is a path $\rho_X(s', e)$ in rt(a + 2, p - 1) of length (p - a - 2)k - 1. The path

$$\rho_A(s, e'), (e', s'), \rho_X(s', e)$$

is as required.

Suppose that a = p - 3. Let the node e' on row a + 1 be such that $e' \neq s$ and e' lies on a column adjacent to the column on which e lies. By Case (c), there is a path $\rho(s, e')$ in rt(0, p - 2) of length $(p - 1)k - \epsilon$. Let s' be the neighbour of e' on row p - 1 and let $\rho_X(s', e)$ be the path of length k - 1 on row p - 1. The path

$$\rho_A(s,e'), (e',s'), \rho_X(s',e)$$

is as required.

Proposition 3.3.4 Consider the k-ary 2-cube Q_2^k where $k \ge 6$ is even and where 2 of the links are faulty. Let s and e be any two distinct nodes. There is a path of length $k^2 - 1$ (resp. $k^2 - 2$) from s to e if $\{s, e\}$ is odd (resp. even).

Proof: W.l.o.g. we may assume that $(v_{0,0}, v_{1,0})$ is a faulty link. Partition Q_2^k into rt(k-1,0) and rt(1, k-2). As usual, $\epsilon = 1$ if $\{s, e\}$ is odd, and $\epsilon = 2$ if $\{s, e\}$ is even.

Case (a) Both s and e lie in rt(k-1, 0).

By Proposition 3.3.3, there is a path $\rho_A(s, e)$ in rt(k - 1, 0) of length $2k - \epsilon$. Either there is a link of $\rho_A(s, e)$ on row k - 1 that is not incident with any faulty link or there is a link of $\rho_A(s, e)$ on row 0 that is not incident with any faulty link; w.l.o.g. suppose that $(v_{k-1,i}, v_{k-1,i+1})$ is a link of $\rho_A(s, e)$ such that neither $(v_{k-1,i}, v_{k-2,i})$ nor $(v_{k-1,i+1}, v_{k-2,i+1})$ is faulty (the alternative case is similar). By Proposition 3.3.3, there is a path $\rho_X(v_{k-2,i}, v_{k-2,i+1})$ in rt(1, k - 2) of length (k - 2)k - 1. The path obtained by joining $\rho_A(s, e)$ to $\rho_X(v_{k-2,i}, v_{k-2,i+1})$ over $(v_{k-1,i}, v_{k-1,i+1})$ is as required. Case (b) s lies in rt(k - 1, 0) and e lies in rt(1, k - 2).

Let $(v_{k-1,i}, v_{k-2,i})$ be a healthy link such that $s \neq v_{k-1,i}$, $e \neq v_{k-2,i}$ and $\{s, v_{k-1,i}\} = \{s, e\}$. By Proposition 3.3.3, there is a path $\rho_A(s, v_{k-1,i})$ in rt(k-1, 0) of length $2k - \epsilon$ and there is a path $\rho_X(v_{k-2,i}, e)$ in rt(1, k-2) of length (k-2)k - 1. The path

$$\rho_A(s, v_{k-1,i}), (v_{k-1,i}, v_{k-2,i}), \rho_X(v_{k-2,i}, e)$$

is as required.

Finally, we deal with the case when there is one faulty node and one faulty link.

Proposition 3.3.5 Consider the k-ary 2-cube Q_2^k where $k \ge 6$ is even and where there is a faulty node and a faulty link. Let s and e be any two distinct, non-faulty nodes. There is a path of length at least $k^2 - 3$ (resp. $k^2 - 4$) from s to e if $\{s, e\}$ is odd (resp. even).

Proof: W.l.o.g. we may assume that the faulty node is $v_{0,0}$. Moreover, we may assume that either the faulty link does not lie in rt(0,1) or the faulty link is

 $(v_{0,0}, v_{0,1})$ (again, by applying the usual automorphisms). However, if the faulty link is $(v_{0,0}, v_{0,1})$ then we can assume that there are no faulty links as the fact that $v_{0,0}$ is a faulty node means that the link $(v_{0,0}, v_{0,1})$ is never used. Thus, we can assume that the faulty link does not lie in rt(0, 1). As usual, $\epsilon = 1$ if $\{s, e\}$ is odd, and $\epsilon = 2$ if $\{s, e\}$ is even.

Case (a) Both s and e lie in rt(0, 1).

By Lemma 3.3.1, there is a path $\rho_A(s, e)$ in rt(0, 1) of length at least $2k - 2 - \epsilon$. Either there is a link of $\rho_A(s, e)$ on row 0 that is not incident with $v_{0,0}$ nor a faulty link, or there is a link of $\rho_A(s, e)$ on row 1 that is not incident with a faulty link. W.l.o.g. suppose that $v_{1,i}, v_{1,i+1}$ is a link of $\rho_A(s, e)$ that is not incident with a faulty link (the alternative case is similar). By Proposition 3.3.3, there is a path $\rho_X(v_{2,i}, v_{2,i+1})$ in rt(2, k - 1) of length (k - 2)k - 1. The path obtained by joining $\rho_A(s, e)$ to $\rho_X(v_{2,i}, v_{2,i+1})$ over $(v_{1,i}, v_{1,i+1})$ is as required.

Case (b) s lies in rt(0,1) and e lies in rt(2, k-1).

Let $v_{1,i}$ be such that $s \neq v_{1,i}$, $(v_{1,i}, v_{2,i})$ is healthy and $\{s, v_{1,i}\} = \{s, e\}$. By Lemma 3.3.1, there is a path $\rho_A(s, v_{1,i})$ in rt(0, 1) of length at least $2k - 2 - \epsilon$. By Proposition 3.3.3, there is a path $\rho_X(v_{2,i}, e)$ in rt(2, k-1) of length (k-2)k-1. The path

$$\rho_X(s, v_{1,i}), (v_{1,i}, v_{2,i}), \rho_X(v_{2,i}, e)$$

is as required.

From Propositions 3.3.1, 3.3.2, 3.3.4 and 3.3.5, we obtain the base case for our main result so long as $k \ge 6$. However, when k = 4 a simple computer program (implementing an exhaustive search) verifies that Propositions 3.3.1, 3.3.2, 3.3.4 and 3.3.5 all still hold (we leave this verification as an exercise). Hence, we have the following result.

Theorem 3.3.3 Let $k \ge 4$ be even. In a faulty k-ary 2-cube Q_2^k in which the number of node faults f_v and the number of link faults f_e are such that $f_v + f_e \le 2$, given any two healthy nodes s and e of Q_2^k , there is a path from s to e of length at least $k^2 - 2f_v - 1$ (resp. $k^2 - 2f_v - 2$) if the nodes s and e have different (resp. the same) parities.

3.4 The inductive step

In this section, we complete the proof by induction of our main theorem. The following lemma simplifies the situation considerably.

Lemma 3.4.1 Let Q_n^k have 2n - 2 faulty nodes and links, where $n \ge 4$. There exists a dimension d such that when we partition Q_n^k over dimension d, the resulting k-ary (n-1)-cubes $Q_{d,0}, Q_{d,1}, \ldots, Q_{d,k-1}$ each contain at most 2n - 4 faulty nodes and links.

Proof: Suppose as our induction hypothesis that $n \ge 5$ and that the result holds for Q_{n-1}^k (with 2n - 4 faults). Let Q_n^k have 2n - 2 faults. Partition Q_n^k over dimension 1; if the resulting k-ary (n - 1)-cubes $Q_{1,0}, Q_{1,1}, \ldots, Q_{1,k-1}$ are such that each contains at most 2n - 4 faults then we are done. So w.l.o.g. suppose that $Q_{1,0}$ contains 2n - 2 or 2n - 3 faults.

Suppose that $Q_{1,0}$ contains 2n - 3 faults, and so there is exactly 1 fault not in $Q_{1,0}$. Temporarily regard some fault, w, say, of $Q_{1,0}$ as healthy and apply the induction hypothesis to $Q_{1,0}$ (note that w might be a node or a link). Thus, there is a dimension d such that when we partition $Q_{1,0}$ over dimension d, the resulting k-ary (n-2)-cubes each contain at most 2n - 6 faults. Consequently, when we partition Q_n^k over dimension d, each of the resulting k-ary (n-1)-cubes contains at most 2n - 4 faults (the 'temporarily healthy fault' w needs to be recast as faulty, and there is 1 other fault not in $Q_{1,0}$ to consider).

Suppose that $Q_{1,0}$ contains 2n-2 faults, and so there are no faults outside $Q_{1,0}$. Temporarily regard 2 faults, w and w', say, of $Q_{1,0}$ as healthy and apply the induction hypothesis to $Q_{1,0}$. Thus, there is a dimension d such that when we partition $Q_{1,0}$ over dimension d, the resulting k-ary (n-2)-cubes each contain at most 2n-6faults. Consequently, when we partition Q_n^k over dimension d, each of the resulting k-ary (n-1)-cubes contains at most 2n-4 faults (the 2 'temporarily healthy faults' w and w' need to be recast as faulty).

In order for the result to follow by induction, all we need to do is to verify the statement of the lemma for when n = 4. Let the faults of Q_4^k be w_i , for i = 1, 2, ..., 6. Partition Q_4^k over dimension 1. Either each resulting k-ary 3-cube contains at most 4 faults, and we are done, or the nodes involved in at least 5 of $\{w_i : i = 1, 2, ..., 6\}$ have identical fourth components (if w_i is a link then the nodes involved in w_i are the nodes of the link, and if w_i is a node then the node involved in w_i is the node itself). We may assume that it is the latter and that the 5 faults whose fourth components (of the nodes involved) are identical are w_1, w_2, w_3, w_4 and w_5 .

Partition Q_4^k over dimension 2. Either each resulting k-ary 3-cube contains at most 4 faults, and we are done, or one of the resulting k-ary 3-cubes contains either 5 or 6 faults. We may assume that the third components of w_1 , w_2 , w_3 and w_4 are identical.

Partition Q_4^k over dimension 3. Either each resulting k-ary 3-cube contains at most 4 faults, and we are done, or one of the resulting k-ary 3-cubes contains either 5 or 6 faults. We may assume that the second components of w_1 , w_2 and w_3 are identical.

Partition Q_4^k over dimension 4. Either each resulting k-ary 3-cube contains at most 4 faults, and we are done, or one of the resulting k-ary 3-cubes contains either 5 or 6 faults. We may assume that the first components of w_1 and w_2 are identical. This yields a contradiction as either: w_1 and w_2 are nodes and $w_1 \neq w_2$; or w_1 or w_2 is a link joining a node to itself. The result follows.

Let us reexamine the proof of Lemma 3.4.1. Ideally we would like Lemma 3.4.1 to apply when n = 3 but the argument in the proof fails. However, we can classify exactly the fault configurations leading to failure.

Suppose that Q_3^k has 4 faulty nodes. Following through the argument in the proof of Lemma 3.4.1 yields that, up to isomorphism, the situations where the argument fails is when the 4 faults are of the form (0,0,0), (a,0,0), (0,b,0) and (0,0,c), for some a, b and c all different from 0.

Suppose that Q_3^k has 3 faulty nodes and 1 faulty link. W.l.o.g. suppose that the faulty link lies in dimension 3. Following the argument in Lemma 3.4.1 yields that, up to isomorphism, the situations where the argument fails is when the 3 faulty nodes are of the form (0,0,0), (0,b,0) and (0,0,c), for some b and c different from 0, and the faulty link is of the form ((a,0,0), (a + 1,0,0)), for some a.

Suppose that Q_3^k has 2 faulty nodes and 2 faulty links. W.l.o.g. suppose that one of the faulty links lies in dimension 3 with the other in dimension 2 (the two links cannot lie in the same dimension as otherwise we could partition over this dimension and be done). Following the argument in Lemma 3.4.1 yields that, up to isomorphism, the situations where the argument fails is when the 2 faulty nodes are of the form (0,0,0) and (0,0,c), for some c different from 0, and the faulty links are of the form ((a,0,0), (a + 1,0,0)) and ((0,b,0), (0,b + 1,0)), for some a and b.

Suppose that Q_3^k has 1 faulty node and 3 faulty links. W.l.o.g. suppose that one of the faulty links lies in dimension 1, one in dimension 2 and one in dimension 3. Following the argument in Lemma 3.4.1 yields that, up to isomorphism, the situations where the argument fails is when the faulty node is of the form (0,0,0)and the faulty links are of the form ((a,0,0), (a+1,0,0)), ((0,b,0), (0,b+1,0)) and ((0,0,c), (0,0,c+1)), for some a, b and c.

Suppose that Q_3^k has 4 faulty links. In this case, Lemma 3.4.1 holds as at least 2 faulty links lie in the same dimension and we can partition over this dimension. We shall use these observations in the proof of the following theorem.

Throughout the rest of the chapter, we adopt the following notation. Suppose that we partition Q_n^k over some dimension d to get the k-ary (n-1)-cubes $Q_{d,0}, Q_{d,1}, \dots, Q_{d,k-1}$. Let x be a node of $Q_{d,i}$, say. Then we refer to the node in $Q_{d,j}$ corresponding to x (that is, the node of $Q_{d,j}$ whose name is identical to that of x except that its component on dimension d is j as opposed to i) as x_j . We also refer to the node x as x_i .

Theorem 3.4.2 Let Q_n^k be a k-ary n-cube, for some $n \ge 2$ and some even $k \ge 4$, with f_v faulty nodes and f_e faulty links, where $0 \le f_v + f_e \le 2n - 2$. If s and e are distinct healthy nodes and $\{s, e\}$ is odd (resp. even) then there exists a path from s to e of length at least $k^n - 2f_v - 1$ (resp. $k^n - 2f_v - 2$).

Proof: We proceed by induction on n. The base case of the induction is handled by Theorem 3.3.3. Suppose, as our induction hypothesis, that the result holds for Q_m^k , where $n \ge 3$ and for all m < n. Let Q_n^k be a k-ary n-cube as in the statement of the theorem. Throughout, $\epsilon = 1$ if $\{s, e\}$ is odd, and $\epsilon = 2$ if $\{s, e\}$ is even. Suppose that $n \ge 4$. By Lemma 3.4.1, we may assume that when we partition Q_n^k over dimension 1, the resulting k-ary (n-1)-cubes $Q_{1,0}, Q_{1,1}, \ldots, Q_{1,k-1}$ each contain at most 2n - 4 faults. Suppose that the number of faulty nodes in $Q_{1,i}$ is f_i , for $i = 0, 1, \ldots, k - 1$.

Case (a) s and e lie in $Q_{1,0}$.

By the induction hypothesis, there is a path $\rho_0(s, e)$ in $Q_{1,0}$ of length at least $k^{n-1} - 2f_0 - \epsilon$. Let (w_0, z_0) be a link of $\rho_0(s, e)$ for which w_1 and z_1 are healthy nodes (of $Q_{1,1}$) and (w_0, w_1) and (z_0, z_1) are healthy links (a simple counting argument shows the existence of such a link; if otherwise, there is no such edge, i.e., for $\forall (w_0, z_0) \in \rho_0(s, e)$, either (w_1, z_1) or (w_0, w_1) or (z_0, z_1) is faulty; then the number of faulty edges must be at least half of the path length; as there are at most 2n-2 faults in $Q_{n,k}$ and $k \geq 4, n \geq 3$, we have a contradiction). By the induction hypothesis, there is a path $\rho_1(w_1, z_1)$ in $Q_{1,1}$ of length at least $k^{n-1} - 2f_1 - 1$. Let $\rho(s, e)$ be the join of $\rho_0(s, e)$ to $\rho_1(w_1, z_1)$ over (w_0, z_0) . The path $\rho(s, e)$ has length at least $2k^{n-1} - 2(f_0 + f_1) - \epsilon$. Proceeding similarly and iteratively with appropriate paths in $Q_{1,2}, Q_{1,3}, \ldots, Q_{1,k-1}$ yields a path from s to e of the required length.

Case (b) s lies in $Q_{1,0}$ and e lies in $Q_{1,a}$, for $a \neq 0$.

A simple counting argument yields that there exists a healthy node $w_0 \in Q_{1,0} \setminus \{e_0\}$ such that: $\{s, w_0\}$ is odd; w_i is healthy, for all i = 0, 1, ..., k - 1; and all links of $\{(w_i, w_{i+1}) : i = 0, 1, ..., k - 2\} \cup \{(w_{k-1}, w_0)\}$ are healthy. By the induction hypothesis, there exists a path $\rho_0(s, w_0)$ in $Q_{1,0}$ of length at least $k^{n-1} - 2f_0 - 1$.

Suppose that $a \neq 1$. A simple counting argument yields that there exists a healthy node $z_1 \in Q_{1,1} \setminus \{e_1\}$ such that: $\{w_1, z_1\}$ is odd; z_i is healthy, for all $i = 0, 1, \ldots, k - 1$; and all links of $\{(z_i, z_{i+1}) : i = 0, 1, \ldots, k - 2\} \cup \{(z_{k-1}, z_0)\}$ are healthy. By the induction hypothesis, there exists a path $\rho_1(w_1, z_1)$ in $Q_{1,1}$ of length at least $k^{n-1} - 2f_1 - 1$. Denote the path

$$\rho_0(s, w_0), (w_0, w_1), \rho_1(w_1, z_1)$$

by $\rho(s, z_1)$.

Suppose that $a \neq 2$. By the induction hypothesis, there exists a path $\rho_2(z_2, w_2)$ in $Q_{1,2}$ of length at least $k^{n-1} - 2f_2 - 1$. Denote the path

$$ho(s,z_1),(z_1,z_2),
ho_2(z_2,w_2)$$

by $\rho(s, w_2)$.

Proceeding iteratively in this way yields a path $\rho(s, z_{a-1})$ or $\rho(s, w_{a-1})$, depending upon whether a - 1 is odd or even, respectively, of length at least $ak^{n-1} - 2(f_0 + f_1 + \ldots + f_{a-1}) - 1$. W.l.o.g., suppose that the path is $\rho(s, z_{a-1})$ (the other case is similar). The node z_a is odd if, and only if, the node s is odd; hence, $\{s, e\} = \{z_a, e\}$.

By the induction hypothesis, there exists a path $\rho_a(z_a, e)$ in $Q_{1,a}$ of length at least $k^{n-1} - 2f_a - \epsilon$. Denote the path

$$\rho(s, z_{a-1}), (z_{a-1}, z_a), \rho_a(z_a, e)$$

by $\rho'(s, e)$. The path $\rho'(s, e)$ has length at least $(a+1)k^{n-1} - 2(f_0 + f_1 + \ldots + f_a) - \epsilon$.

A simple counting argument yields that there is a link (x_a, y_a) of $\rho_a(z_a, e)$ such that x_{a+1} and y_{a+1} are both healthy nodes and (x_a, x_{a+1}) and (y_a, y_{a+1}) are both healthy links (to see this, note that $\rho_a(z_a, e)$ has length at least $k^{n-1} - 2f_a - \epsilon \ge 2^{2n-2} - 2(2n-4) - 2 = 2^{2n-2} - 4n + 6$, and so there are at least $2^{2n-3} - 2n + 3$ mutually disjoint links on $\rho_a(z_a, e)$; as there are at most 2n-2 faulty links in our Q_n^k and $2^{2n-3} - 2n + 3 > 2n - 2$, when $n \ge 3$, at least one such link (x_a, y_a) of $\rho_a(z_a, e)$ must be as required). By the induction hypothesis, there is a path $\rho_{a+1}(x_{a+1}, y_{a+1})$ in $Q_{1,a+1}$ of length at least $k^n - 2f_{a+1} - 1$. Form the path obtained by joining $\rho'(s, e)$ to $\rho_{a+1}(x_{a+1}, y_{a+1})$ over (x_a, y_a) and denote this path by $\rho''(s, e)$. The path $\rho''(s, e)$ has length at least $(a+2)k^{n-1} - 2(f_0 + f_1 + \ldots + f_{a+1}) - \epsilon$. Proceeding similarly and iteratively in $Q_{1,a+2}, Q_{1,a+3}, \ldots, Q_{1,k-1}$ results in a path from s to e of the required length (the construction can be visualized as in Fig.3.7).

Now suppose that n = 3 and suppose further that we have no faulty links (we deal with when there are faulty links later). From the observation following Lemma 3.4.1, we may assume that we have 4 faulty nodes and that these nodes are (0,0,0), (a,0,0), (0,b,0) and (0,0,c), for some a, b and c all different from 0; otherwise the construction above in Cases (a) and (b) can be used to build our path.

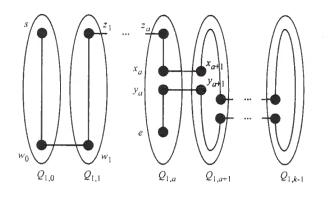


Figure 3.7: The construction in Case (b).

Partition Q_3^k over dimension 1 to obtain the *k*-ary 2-cubes $Q_{1,0}, Q_{1,1}, \ldots, Q_{1,k-1}$; note that (0,0,0), (a,0,0) and (0,b,0) lie in $Q_{1,0}$.

Case (c) s and e lie in $Q_{1,0}$.

Temporarily suppose that (0,0,0) is healthy. By Theorem 3.3.3, there is a path $\rho_0(s,e)$ in $Q_{1,0}$ of length at least $k^2 - 4 - \epsilon$ but upon which (0,0,0) may lie. If (0,0,0) lies on $\rho_0(s,e)$ then choose $y_0 = (0,0,0)$, otherwise choose y_0 to be any node of $\rho_0(s,e)$ different from s and e.

Let y_0^- and y_0^+ be the nodes immediately before and after y_0 , respectively, on $\rho_0(s, e)$. W.l.o.g., we may suppose that y_{k-1}^- and y_1^+ are healthy nodes (and that (y_0^-, y_{k-1}^-)) and (y_0^+, y_1^+) are healthy links; recall, there is 1 faulty node outside $Q_{1,0}$). A simple counting argument yields that there exists a healthy node $w_{k-1} \in Q_{1,k-1} \setminus \{y_{k-1}^-\}$ such that $\{y_{k-1}^-, w_{k-1}\}$ is odd and w_i is healthy, for all $i = 1, 2, \ldots, k-1$ (and the links of $\{(w_i, w_{i+1}) : i = 0, 1, \ldots, k-2\}$ are healthy; to see this, note that there are at least $\lfloor (k^2 - 1)/2 \rfloor$ healthy nodes w_{k-1} for which $\{y_{k-1}^-, w_{k-1}\}$ is odd, and this number is greater than 0). By Theorem 3.3.3, there exists a path $\rho_{k-1}(y_{k-1}^-, w_{k-1})$ in $Q_{1,k-1}$ of length at least $k^2 - 2f_{k-1} - 1$.

A simple counting argument yields that there exists a healthy node $z_{k-2} \in Q_{1,k-2} \setminus \{y_{k-2}^+, w_{k-2}\}$ such that $\{w_{k-2}, z_{k-2}\}$ is odd and z_i is healthy, for all $i = 1, 2, \ldots, k-1$ (and the links of $\{(z_i, z_{i+1}) : i = 0, 1, \ldots, k-3\}$ are healthy). By Theorem 3.3.3, there exists a path $\rho_{k-2}(w_{k-2}, z_{k-2})$ in $Q_{1,k-2}$ of length at least $k^2 - 2f_{k-2} - 1$.

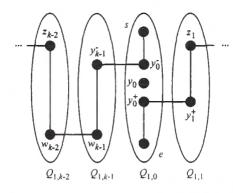


Figure 3.8: The construction in Case (c).

Proceeding iteratively in this way yields a path $\rho'(s, z_1)$ defined as

$$\rho(s, y_0^-), (y_0^-, y_{k-1}^-), \rho_{k-1}(y_{k-1}^-, w_{k-1}), (w_{k-1}, w_{k-2}),$$
$$\rho_{k-2}(w_{k-2}, z_{k-2}), (z_{k-2}, z_{k-3}), \dots, (z_2, z_1).$$

By Theorem 3.3.3, there is a path $\rho_1(z_1, y_1^+)$ in $Q_{1,1}$ of length at least $k^2 - 2f_1 - 2$. Consider the path $\rho''(s, e)$ defined as

$$\rho'(s, z_1), \rho_1(z_1, y_1^+), (y_1^+, y_0^+), \rho_0(y_0^+, e).$$

The length of this path is $k^3 - 2\sum_{i=1}^{k-1} f_i - 6 - \epsilon = k^3 - 8 - \epsilon$. Hence, the path $\rho''(s, e)$ is as required (the construction can be visualized as in Fig.3.8).

Case (d) s lies in $Q_{1,0}$ and e does not lie in $Q_{1,0}$.

For the moment, regard the node $x_0 = (0, 0, 0)$ as healthy. By Theorem 3.3.3, there is a path $\rho_0(s, x_0)$ in $Q_{1,0}$ of length at least $k^2 - 5$, if $\{s, x_0\}$ is odd, and $k^2 - 6$, if $\{s, x_0\}$ is even. Let w_0 be the node of $\rho_0(s, x_0)$ adjacent to x_0 . W.l.o.g. we may assume w_1 and (w_0, w_1) are healthy. There are two possibilities: either $e \in Q_{1,1}$ or $e \in Q_{1,m}$, where $0 \neq m \neq 1$.

Suppose that $e \in Q_{1,1}$ and $w_1 = e$. A simple counting argument yields that there exists a link (y_0, z_0) of $\rho_0(s, w_0)$ such that $y_0 \neq w_0 \neq z_0$ and $y_1, z_1, (y_0, y_1)$ and (z_0, z_1) are healthy. By Theorem 3.3.3, there is a path $\rho_1(y_1, z_1)$ in $Q_{1,1}$ that avoids e and is of length at least $k^2 - 2(f_1 + 1) - 1$. Let $\rho(s, e)$ be the path obtained by joining

$$\rho_0(s, w_0), (w_0, e)$$

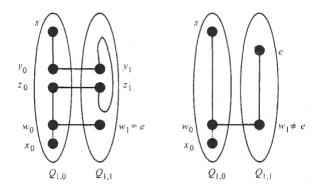


Figure 3.9: The constructions in Case (d) when $e \in Q_{1,1}$.

to $\rho_1(y_1, z_1)$ over the link (y_0, z_0) . As $\{s, x_0\} = \{s, e\}$, the length of $\rho(s, e)$ is at least $2k^2 - 2f_1 - 6 - \epsilon$.

Suppose that $e \in Q_{1,1}$ and $w_1 \neq e$. By Theorem 3.3.3, there is a path $\rho_1(w_1, e)$ in $Q_{1,1}$ of length at least $k^2 - 2f_1 - 1$, if $\{w_1, e\}$ is odd, and $k^{n-1} - 2f_1 - 2$, if $\{w_1, e\}$ is even. Define the path $\rho(s, e)$ as

$$\rho_0(s, w_0), (w_0, w_1), \rho_1(w_1, e).$$

If $\{s, e\}$ is odd then $\{s, x_0\} = \{s, w_1\} \neq \{w_0, e\}$ and the length of $\rho(s, e)$ is at least $2k^2 - 2f_1 - 7$. If $\{s, e\}$ is even then $\{s, x_0\} = \{s, w_1\} = \{w_0, e\}$ and the length of $\rho(s, e)$ is at least $2k^2 - 2f_1 - 8$.

Hence, if $e \in Q_{1,1}$ then we have a path $\rho(s, e)$ in $Q_{1,0} \cup Q_{1,1}$ of length at least $2k^2 - 2f_1 - 6 - \epsilon$ (the constructions can be visualized as in Fig.3.9).

A simple counting argument yields that there is a link (u_1, v_1) of $\rho(s, e)$ such that (u_1, u_2) and (v_1, v_2) are both healthy. By Theorem 3.3.3, there is a path $\rho_2(u_2, v_2)$ in $Q_{1,2}$ of length at least $k^2 - 2f_2 - 1$. Join the path $\rho(s, e)$ to the path $\rho_2(u_2, v_2)$ over the link (u_1, v_1) and denote the resulting path by $\rho(s, e)$ also. Proceeding iteratively in this way in $Q_{1,3}, Q_{1,4}, \ldots, Q_{1,k-1}$ yields a path $\rho(s, e)$ whose length is at least $k^3 - 2\sum_{i=1}^{k-1} f_i - 6 - \epsilon = k^3 - 8 - \epsilon$. Hence, the path $\rho(s, e)$ is as required.

Alternatively, suppose that $e \in Q_{1,m}$ where $0 \neq m \neq 1$. Let $y_1 \in Q_{1,1}$ be such that: $\{s, y_1\}$ is odd; $y_m \neq e$; and y_i is healthy, for i = 1, 2, ..., k - 1 (and the links of $\{(y_i, y_{i+1}) : i = 1, 2, ..., k - 2\}$ are healthy). By the construction above, there is a path $\rho'(s, y_1)$ in $Q_{1,0} \cup Q_{1,1}$ of length $2k^2 - 2f_1 - 7$.

Suppose that $m \neq 2$. Let $z_2 \in Q_{1,2}$ be such that: $\{z_2, y_2\}$ is odd; $z_a \neq e$; and z_i is healthy, for i = 1, 2, ..., k - 1 (and the links of $\{(z_i, z_{i+1}) : i = 2, 3, ..., k - 2\}$ are healthy). By Theorem 3.3.3, there is a path $\rho_2(y_2, z_2)$ in $Q_{1,2}$ of length $k^2 - 2f_2 - 1$.

Suppose that $m \neq 3$. By Theorem 3.3.3, there is a path $\rho_3(z_3, y_3)$ in $Q_{1,3}$ of length $k^2 - 2f_3 - 1$. Proceeding in this way, we obtain paths $\rho_2(y_2, z_2), \rho_3(z_3, y_3), \ldots$, and so on until $\rho_{m-1}(y_{m-1}, z_{m-1})$, if m is odd, or $\rho_{m-1}(z_{m-1}, y_{m-1})$, if m is even. Applying Theorem 3.3.3 again yields a path $\rho_m(z_m, e)$ or $\rho_m(y_m, e)$ in $Q_{1,m}$, depending upon whether m is odd or even, respectively. If m is odd (resp. even) then $\rho_m(z_m, e)$ (resp. $\rho_m(y_m, e)$) has length at least $k^2 - 2f_m - 1$ if $\{z_m, e\}$ (resp. $\{y_m, e\}$) is odd, and $k^2 - 2f_m - 2$ if $\{z_m, e\}$ (resp. $\{y_m, e\}$) is even.

If m is odd then let $\rho(s, e)$ be defined as

$$\rho'(s, y_1), (y_1, y_2), \rho_2(y_2, z_2), (z_2, z_3), \rho_3(z_3, y_3), \dots, (z_{m-1}, z_m), \rho_m(z_m, e),$$

and if m is even then let $\rho(s, e)$ be defined as

$$\rho'(s, y_1), (y_1, y_2), \rho_2(y_2, z_2), (z_2, z_3), \rho_3(z_3, y_3), \dots, (y_{m-1}, y_m), \rho_m(y_m, e).$$

It can easily be verified that if m is odd then $\{s, e\} = \{z_m, e\}$, and if m is even then $\{s, e\} = \{y_m, e\}$. Thus, the length of the path $\rho(s, e)$ is at least $(m+1)k^2 - 2\sum_{i=1}^{m} f_i - 6 - \epsilon$. If $m \neq k - 1$ then the path $\rho(s, e)$ can be iteratively joined to a path in $Q_{1,i}$ of length $k^2 - 2f_i - 1$, for $i = m + 1, m + 2, \dots, k - 1$, just as we did above, to obtain a path, also denoted $\rho(s, e)$, of length at least $k^3 - 2\sum_{i=1}^{k-1} f_i - 6 - \epsilon$. Hence, our path $\rho(s, e)$ is as required.

<u>Case (e)</u> s and e lie in $Q_{1,p}$ and $Q_{1,m}$, respectively, where $m \neq 0 \neq p \neq m$.

W.l.o.g. suppose that p > m. Let $s' \in Q_{1,0}$ be such that s', s'_{k-1} and (s'_{k-1}, s') are healthy and $\{s', s\}$ is odd. By the construction in Case (d), above, there is a path $\rho'(s', e)$ in $Q_{1,0} \cup Q_{1,1} \cup \ldots \cup Q_{1,m}$ of length at least $(m+1)k^2 - 2\sum_{i=0}^a f_i - 7$.

Let w_p be a node of $Q_{1,p}$ such that: $\{s, w_p\}$ is odd; $w_0 \neq s'$; and w_i is healthy, for i = p, p + 1, ..., k - 1 (and the links of $\{(w_i, w_{i+1}) : i = p, p + 1, ..., k - 2\}$ are healthy). By Theorem 3.3.3, there is a path $\rho_p(s, w_p)$ in $Q_{1,p}$ of length at least $k^2 - 2f_p - 1$.

Let y_{p+1} be a node of $Q_{1,p+1}$ such that: $\{w_{p+1}, y_{p+1}\}$ is odd; $y_0 \neq s'$; and y_i is healthy, for $i = p+1, p+2, \ldots, k-1$ (and the links of $\{(y_i, y_{i+1}) : i = p+1, p+1\}$

2,..., k-2} are healthy). By Theorem 3.3.3, there is a path $\rho_{p+1}(w_{p+1}, y_{p+1})$ in $Q_{1,p+1}$ of length at least $k^2 - 2f_{p+1} - 1$.

Again, by Theorem 3.3.3, there are paths $\rho_{p+2}(y_{p+2}, w_{p+2})$, $\rho_{p+3}(w_{p+3}, y_{p+3})$, and so on, up to $\rho_{k-2}(y_{k-2}, w_{k-2})$, if p is even, and $\rho_{k-2}(w_{k-2}, y_{k-2})$, if p is odd, of lengths $k^2 - 2f_{p+2} - 1$, $k^2 - 2f_{p+3} - 1$, ..., $k^2 - 2f_{k-2} - 1$, respectively; note that $\{s, e\} = \{w_{k-1}, s'_{k-1}\}$, if p is odd (resp. $\{s, e\} = \{y_{k-1}, s'_{k-1}\}$, if p is even). Yet again, by Theorem 3.3.3, there is a path $\rho_{k-1}(w_{k-1}, s'_{k-1})$ (resp. $\rho_{k-1}(y_{k-1}, s'_{k-1})$) in $Q_{1,k-1}$ of length at least $k^2 - 2f_{k-1} - \epsilon$, if p is even (resp. odd). Let $\rho(s, e)$ be the path

$$\rho_p(s, w_p), (w_p, w_{p+1}), \rho_{p+1}(w_{p+1}, y_{p+1}), (y_{p+1}, y_{p+2}),$$

$$\rho_{p+2}(y_{p+2}, w_{p+2}), \dots, (s'_{k-1}, s'), \rho'(s', e).$$

The path $\rho(s,e)$ has length at least $(k-p+m-1)k^2 - 2\sum_{i=0}^m f_i - 2\sum_{i=p}^{k-1} f_i - 2 - \epsilon$.

If $p \neq m + 1$ then the path $\rho(s, e)$ can be iteratively joined to a path in $Q_{1,i}$ of length $k^2 - 2f_i - 1$, for $i = m + 1, m + 2, \dots, p - 1$, just as we did in Case(d), to obtain a path, also denoted $\rho(s, e)$, of length at least $k^3 - 2\sum_{i=1}^{k-1} f_i - 6 - \epsilon$. Hence, our path $\rho(s, e)$ is as required.

Case (f) s and e lie in $Q_{1,m}$ where $m \neq 0$.

By Theorem 3.3.3, there is a path $\rho_m(s, e)$ in $Q_{1,m}$ of length at least $k^2 - 2f_m - \epsilon$. There exists a link (w_m, y_m) of $\rho_m(s, e)$ such that w_{m+1} , y_{m+1} , (w_m, w_{m+1}) and (y_m, y_{m+1}) are healthy. By Theorem 3.3.3, there exists a path $\rho_{m+1}(w_{m+1}, y_{m+1})$ in $Q_{1,m+1}$ of length at least $k^2 - 2f_{m+1} - 1$. Join $\rho_m(s, e)$ to $\rho_{m+1}(w_{m+1}, y_{m+1})$ over (w_m, y_m) and denote this path by $\rho(s, e)$ also. The path $\rho(s, e)$ can be iteratively joined to a path in $Q_{1,i}$ of length $k^2 - 2f_i - 1$, for $i = m + 2, m + 3, \ldots, m - 1$ to obtain a path of length at least $k^3 - 8 - \epsilon$ as required.

Now suppose that we have 1 faulty link. Partition over the dimension containing this faulty link and if each resulting k-ary 2-cube $Q_{1,0}, Q_{1,1}, \ldots, Q_{1,k-1}$ contains at most 2 faults then apply the construction as in Cases (a) and (b) to build our path. Hence, we may assume that $Q_{1,0}$ contains 3 faulty nodes. However, if we follow exactly the constructions in each of Case (c), (d), (e) and (f), then these constructions still apply and we obtain a path of the required length. Exactly the same can be said of the scenarios when we have 2 and 3 faulty links. The result now follows. $\hfill \square$

We note that given Q_n^k , where $k \ge 4$ is even, and f_v and f_e , where $f_v + f_e \le 2n-2$, there are configurations of f_v faulty nodes, f_e faulty links and pairs of distinct, healthy nodes so that the longest path joining the two nodes has length exactly $k^n - 2f_v - 1$ (resp. $k^n - 2f_v - 2$) if the parities of the two nodes are different (resp. the same). Hence, in this sense our result can be viewed as optimal.

Also, there are configurations of 2n - 1 faulty nodes in Q_n^k and pairs of healthy nodes such that the longest path joining the two nodes has length 1; take healthy, adjacent nodes x and y where all other neighbours of x are faulty. Hence, the total number of faults in Theorem 3.4.2 cannot be increased.

3.5 Conclusions

Theorem 3.4.2, allied with the result in [171], fully resolves the situation as regards the existence of longest cycles in k-ary n-cubes where the total number of faults (nodes and links) is at most 2n - 2 and where the faults are configured in a 'worst case' scenario with respect to the pair of nodes in question.

Of course, there are configurations of, for example, 2n - 2 faulty nodes in Q_n^k where certain pairs of nodes have paths joining them of lengths strictly greater than the bounds stated in Theorem 3.4.2. It would be interesting to build longest paths joining pairs of nodes but taking into account the configuration of faults (though this would appear to be a demanding task).

We expect that if we assume the conditional fault assumption then we should be able to tolerate more faults yet still prove a result analogous to Theorem 3.4.2. It would be worthwhile to investigate this scenario and we conjecture that the path lengths will be exactly as in Theorem 3.4.2.

The existence of paths and cycles in (faulty) interconnection networks does not guarantee that we can efficiently construct these paths and cycles using a distributed algorithm implemented on the underlying topology (see [149] as regards the issues involved with the distributed embedding of a hamiltonian cycle in a faulty k-ary n-cube). The existence of an efficient distributed algorithm which 'implements' Theorem 3.4.2 should be investigated.

Chapter 4

Bipanconnectivity and bicyclicity of *k*-ary *n*-cube

4.1 Introduction

Of interest to us in this chapter are the different paths and cycles embedded within k-ary n-cubes. Particularly, we are interested in questions relating to hamiltonicity, pancyclicity, panconnectivity, bipancyclicity and bipanconnectivity. These properties can be described as 'strong hamiltonicity' properties and their existence in an interconnection network enables a much higher degree of flexibility with regard to the simulation of linear arrays of processors or cycles of processors.

The notions in the preceding paragraph have been investigated in the context of a number of interconnection networks: for example, in crossed cubes [54,170], Möbius cubes [78], augmented cubes [118], alternating group graphs [31], star graphs [169], bubble-sort graphs [97], and in hypercubes and hypercube-like networks [55, 110, 131,154,156,167,168]. As regards k-ary n-cubes, these notions have been considered in [82,163]. In particular, it was proven in [163]: that Q_2^k is almost-hamiltonian connected, bipanconnected and bipancyclic; that Q_n^k is almost-hamiltonian connected, bipanconnected and bipancyclic. It was posed as an open problem in [163] as to whether their results on bipanconnectivity and bipancyclicity for Q_2^k could be extended to Q_n^k , for arbitrary n, and it was posed as an open problem in [82] as to

whether their results on panconnectivity and pancyclicity could be extended to Q_n^k , for arbitrary k. In this chapter, we provide precise answers to both these questions. In addition, we show that when k is odd, Q_n^k is m-panconnected, for $m = \frac{n(k-1)+2k-6}{2}$, and (k-1)-pancyclic (these bounds are optimal). We also strengthen the results in [82, 163] by introducing a path-shortening technique, called progressive shortening, and show that the construction of paths using this technique enables us to efficiently construct paths in a distributed fashion and so solve a problem relating to the distributed simulation of linear arrays and cycles in a parallel machine whose interconnection network is Q_n^k , even in the presence of a faulty processor (even in Q_2^k , the solution to this problem is not possible using the paths constructed in [163]).

Many structural properties of k-ary n-cubes are known, but of particular relevance for us is that a k-ary n-cube is vertex-symmetric. Throughout, we assume that addition on tuple elements is modulo k.

It is proven in [163] that Q_2^k is bipanconnected and (edge-) bipancyclic; however, as to whether Q_n^k , for $n \ge 3$, is bipanconnected or bipancyclic was left as an open question. However, in relation to this question, it was proven in [82] that Q_n^3 is edge-pancyclic, for all $n \ge 2$.

Let u and v be distinct vertices of Q_n^k and let ρ be a path joining u to v of length m, where m - d(u, v) is even. Suppose that there are paths $\rho_{d(u,v)}, \rho_{d(u,v)+2}, \ldots, \rho_m = \rho$ such that:

- the path ρ_i joins u and v and is of length i, for each $i = d(u, v), d(u, v) + 2, \dots, m$
- for each $i = d(u, v), d(u, v) + 2, \dots, m 2$, the path ρ_{i+2} is of the form

$$u = u_0, u_1, \ldots, u_{i+2} = v$$

with ρ_i of the form

$$u = u_0, u_1, \dots, u_j, u_{j+3}, u_{j+4}, \dots, u_{i+2} = v,$$

for some $j \in \{0, 1, \dots, i-1\}$.

Then we say that ρ can be progressively shortened to obtain paths of all lengths from $\{l : l = d(u, v), d(u, v) + 2, ..., m\}$. As we shall see, it will be crucial that our paths can be progressively shortened. In the next section, we improve the constructions from [163] in Q_2^k . In Section 3, we look at the general case when k is even, and in Section 4 when k is odd. We outline our application in Section 5 before presenting our conclusions in Section 6.

4.2 Existing bipanconnectivity results

The result from [163] that Q_2^k is bipanconnected (irrespective of whether k is odd or even) is important to our forthcoming results (as the base case of inductions). However, we need to refine the proof from [163] that Q_2^k is bipanconnected in order to obtain a stronger result, involving progressive shortening, and so that we can apply this stronger result later. We remark that it is also crucial that any residual vertex is as stated in Proposition 4.2.1. Our stronger result is as follows.

Proposition 4.2.1 Let $k \geq 3$ and let u and v be distinct vertices of Q_2^k .

- If k + d(u, v) is odd then there exists a hamiltonian path joining u and v such that this path can be progressively shortened to obtain paths of all lengths from {d(u, v) + 2i : 0 ≤ i ≤ (k²-1-d(u,v))/2}}.
- 2. If k + d(u, v) is even then there exists an almost-hamiltonian path joining uand v such that the residual vertex is adjacent to v and such that this path can be progressively shortened to obtain paths of all lengths from $\{d(u, v) + 2i : 0 \le i \le \frac{(k^2 - 2 - d(u, v))}{2}\}$.

In particular, Q_2^k is bipannconnected.

Before we prove Proposition 4.2.1, let us illustrate why the proof from [163] that Q_2^k is panconnected will not suffice. Consider Case (a) of Fig. 2 in [163] (in this case, k is even). We have reproduced this figure in Fig. 4.1(a). The authors claim (in a statement prior to Theorem 3) that the almost-hamiltonian path joining u and v can be shortened to a path of length d(u, v) so that paths of lengths $d(u, v), d(u, v) + 2, \ldots, k^2 - 2$ are obtained, and this is indeed the case. However, regard the path from u to v as a curve on the plane and close this curve as shown in Fig. 4.1 with the dotted line. No matter how we progressively shorten the almost-hamiltonian path,

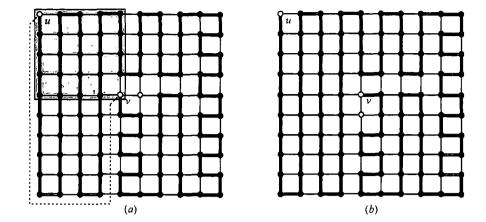


Figure 4.1: Case (a) of Fig. 2 of [163] and its correction.

the residual vertex (shaded in grey) must lie inside the closed curve, and hence we cannot shorten the almost-hamiltonian path to a path of length d(u, v) (as any such path must lie within the top-left shaded grid). We have corrected this deficiency in Fig. 4.1(b).

Similarly, the cases in Fig. 2(c) and Fig. 3(d) in [163] are deficient in the same way, and have been reproduced in Fig. 4.2(a,c). These deficiencies are corrected in Fig. 4.2(b,d). Thus, Proposition 4.2.1 follows (as all other cases in [163] are such that the paths can be progressively shortened).

4.3 The general case when k is even

We begin by examining whether Q_n^k is bipanconnected or not when k is even (we reiterate that Q_n^k is bipartite when k is even). As remarked earlier, this question was posed as an open problem by Wang, An, Pan, Wang and Qu in [163]. We answer this question precisely.

Theorem 4.3.1 Let $k \ge 4$ and $n \ge 2$, with k even, and let u and v be distinct vertices of Q_n^k .

1. If d(u, v) is odd then there exists a hamiltonian path joining u and v such that this path can be progressively shortened to obtain paths of all odd lengths between d(u, v) and $k^n - 1$, inclusive.

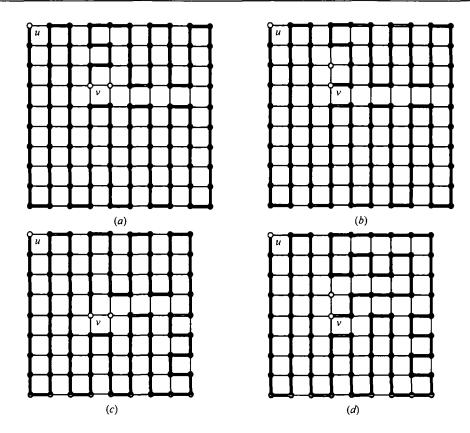


Figure 4.2: Other cases from [163] and their corrections.

If d(u, v) is even then there exists an almost-hamiltonian path joining u and v such that the residual vertex is adjacent to v and such that this path can be progressively shortened to obtain paths of all even lengths between d(u, v) and kⁿ − 2, inclusive.

In particular, Q_n^k is bipann connected.

Proof: The vertex-symmetry of Q_n^k means that, w.l.o.g., we may suppose that u = (0, 0, ..., 0) and $v = (v_n, v_{n-1}, v_{n-2}, ..., v_1)$, where $v_i \leq \frac{k}{2}$, for i = 1, 2, ..., n, and where $v \neq (v_n, 0, ..., 0)$. For brevity, denote v_n as a.

Let $u^i = (i, 0, 0, ..., 0)$, for $0 \le i \le k-1$; hence, $u = u^0$ and $v \ne u^a$. Partition Q_n^k over dimension n to obtain $Q_n^k(0), Q_n^k(1), ..., Q_n^k(k-1)$. We proceed by induction on n. There are two cases: $d(u^a, v)$ is odd; and $d(u^a, v)$ is even.

Case (i) $d(u^a, v)$ is odd.

So, by the induction hypothesis applied to $Q_n^k(a)$, there exists a hamiltonian path ρ_a from u^a to v in $Q_n^k(a)$ which can be progressively shortened to obtain paths of

all odd lengths between $d(u^a, v) = d(u, v) - a$ and $k^{n-1} - 1$, inclusive. Note that if the parity of v is even (resp. odd) then a is odd (resp. even).

Denote the vertex $(i, v_{n-1}, v_{n-2}, \ldots, v_1)$ as v^i , for $i \in \{0, 1, \ldots, k-1\}$; so, $v = v^a$. For each $i \in \{0, 1, \ldots, k-1\} \setminus \{a\}$, let $\rho_i \in Q_n^k(i)$ be obtained from ρ_a by setting the first component of every vertex of ρ_a at i. Note that corresponding vertices of the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ induce cycles of length k in Q_n^k , e.g., $u^0, u^1, \ldots, u^{k-1}, u^0$ is a cycle of length k, as is $v^0, v^1, \ldots, v^{k-1}, v^0$. In particular, the edges of these induced cycles and the edges of the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ yield a $k \times k^{n-1}$ grid, with rows $1, 2, \ldots, k$ and columns $1, 2, \ldots, m$, where $m = k^{n-1}$, with 'wrap-around' column edges. Refer to the vertices by their row-column co-ordinates in this grid; so, for example, u is the vertex (1, 1) and v is the vertex (a + 1, m).

Sub-case (i.a) Suppose that a is even (and so v lies on odd row a + 1). Consider the path ρ from u to v defined as:

$$(1,1), (2,1), \dots, (k,1), (k,2), (k-1,2), \dots, (1,2), (1,3), (2,3), \dots, (k,3), (k,4), (k-1,4), \dots, (1,4), \dots, (1,m-3), (2,m-3), \dots, (k,m-3), (k,m-2), (k-1,m-2), \dots, (1,m-2), (1,m-1), (k,m-1), (k-1, m-1), \dots, (a+2,m-1), (a+2,m), (a+3,m), \dots, (k-1,m), (k,m), (1,m), (2,m), (2,m-1), (3,m-1), (3,m), (4,m), (4,m-1), \dots, (a,m), (a,m-1), (a+1,m-1), (a+1,m).$$

The path ρ is hamiltonian and can be visualized as in Fig. 4.3(*a*). Furthermore, it can trivially be progressively shortened to obtain paths of all odd lengths between $k^{n-1} - 1 + a$ and $k^n - 1$ (inclusive), and so that the path of length $k^{n-1} - 1 + a$ is the path ρ_0 in $Q_n^k(0)$, from *u* to v^0 , extended with the path in column *m* of length *a* to vertex *v*. By above, the path ρ^0 can be progressively shortened to obtain paths of all odd lengths between $d(u, v^0) = d(u, v) - a$ and $k^{n-1} - 1$; the result follows. Subcase (*i.b*) Suppose that *a* is odd (and so *v* lies on even row $a + 1 \ge 2$). Consider

the path ρ from u to v defined as:

$$(1,1), (2,1), \dots, (k,1), (k,2), (k-1,2), \dots, (1,2), (1,3), (2,3), \dots, (k,3),$$

 $(k,4), (k-1,4), \dots, (1,4), \dots, (1,m-3), (2,m-3), \dots, (k,m-3),$

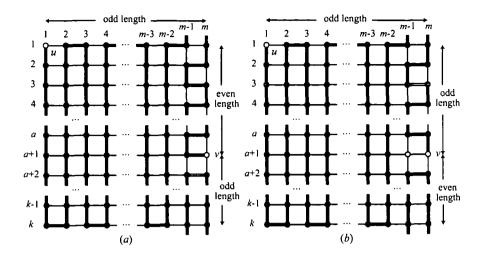


Figure 4.3: The different cases when $d(u^a, v)$ is odd.

$$(k, m - 2), (k - 1, m - 2), \dots, (1, m - 2), (1, m - 1), (k, m - 1),$$

 $(k - 1, m - 1), \dots, (a + 2, m - 1), (a + 2, m), (a + 3, m), \dots, (k - 1, m),$
 $(k, m), (1, m), (2, m), (2, m - 1), (3, m - 1), (3, m), (4, m), (4, m - 1), \dots,$
 $(a, m - 1), (a, m), (a + 1, m)$

(note that the vertex (a + 1, m - 1) does not appear on ρ).

The path ρ is almost-hamiltonian and can be visualized as in Fig. 4.3(b). Furthermore, it can trivially be progressively shortened to obtain paths of all even lengths between $k^{n-1} - 1 + a$ and $k^n - 2$, and so that the path of length $k^{n-1} - 1 + a$ is the path ρ_0 in $Q_n^k(0)$, from u to v^0 , extended with the path in column m of length a from v^0 to v. By above, the path ρ^0 can be progressively shortened to obtain paths of all odd lengths between $d(u, v^0)$ and $k^{n-1} - 1$. As $d(u, v) = d(u, v^0) + a$ and the vertex (a + 1, m - 1) is adjacent to v, we obtain the required result.

Case (ii) $d(u^a, v)$ is even.

So, by the induction hypothesis applied to $Q_n^k(a)$, there exists an almost-hamiltonian path ρ_a from u^a to v in $Q_n^k(a)$ which can be progressively shortened to obtain paths of all even lengths between $d(u^a, v) = d(u, v) - a$ and $k^{n-1} - 2$, and so that the residual vertex of the almost-hamiltonian path ρ_a is adjacent to v. Note that if the parity of v is even (resp. odd) then a is even (resp. odd).

For each $i \in \{0, 1, ..., k-1\} \setminus \{a\}$, let $\rho_i \in Q_n^k(i)$ be obtained from ρ_a by setting the first component of every vertex of ρ_a at *i*. As was the case in Case (*i*), corresponding vertices of the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ induce cycles of length k in Q_n^k . In particular, the edges of these induced cycles and the edges of the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ yield a $k \times (k^{n-1}-1)$ grid, with rows $1, 2, \ldots, k$ and columns $1, 2, \ldots, m-1$, where $m = k^{n-1}$, with 'wrap-around' column edges. Furthermore, if we denote the residual vertex of ρ_i in $Q_n^k(i)$ by r^i then there is an edge (v^i, r^i) in Q_n^k , for $i = 0, 1, \ldots, k-1$; moreover, $r^0, r^1, \ldots, r^{k-1}, r^0$ is a cycle (this is why we focus on the adjacency relationship between the residual vertex and the vertex v, as in the statement of the result). Thus, we have a $k \times m$ grid with 'wrap-around' column edges, just as we had in Case (i); as before, we refer to the vertices as row-column pairs.

Sub-case (*ii.a*) Suppose that a is even (and so v lies on odd row $a + 1 \ge 1$ and on column m - 1). Consider the path ρ from u to v defined as:

$$(1,1), (2,1), \dots, (k,1), (k,2), (k-1,2), \dots, (1,2), (1,3), (2,3), \dots, (k,3), (k,4), (k-1,4), \dots, (1,4), \dots, (1,m-3), (2,m-3), \dots, (k,m-3), (k,m-2), (k,m-1), (k,m), (k-1,m), \dots, (a+2,m), (a+2,m-1), (a+3,m-1), \dots, (k-1,m-1), (k-1,m-2), (k-2,m-2), \dots, (1,m-2), (1,m-1), (1,m), (2,m), (2,m-1), (3,m-1), (3,m), (4,m), (4,m-1), \dots, (a,m), (a,m-1), (a+1,m-1)$$

(note that the vertex (a + 1, m) does not appear on ρ). The path ρ is almosthamiltonian and can be visualized as in Fig. 4.4(*a*). Furthermore, it can trivially be progressively shortened to obtain paths of all even lengths between $k^{n-1} - 2 + a$ and $k^n - 2$, and so that the path of length $k^{n-1} - 2 + a$ is the path ρ_0 in $Q_n^k(0)$, from *u* to v^0 , extended with the path in column m - 1 of length *a* from v^0 to *v*. By above, the path ρ^0 can be progressively shortened to obtain paths of all even lengths between $d(u, v^0)$ and $k^{n-1} - 2$. As $d(u, v) = d(u, v^0) + a$ and the vertex (a + 1, m) is adjacent to *v*, we obtain the required result.

Sub-case (*ii.b*) Suppose that a is odd (and so v lies on even row $a + 1 \ge 2$ and on column m - 1). Consider the path ρ from u to v defined as:

$$(1,1), (2,1), \dots, (k,1), (k,2), (k-1,2), \dots, (1,2), (1,3), (2,3), \dots,$$

 $(k,3), (k,4), (k-1,4), \dots, (1,4), \dots, (1,m-3), (2,m-3), \dots,$

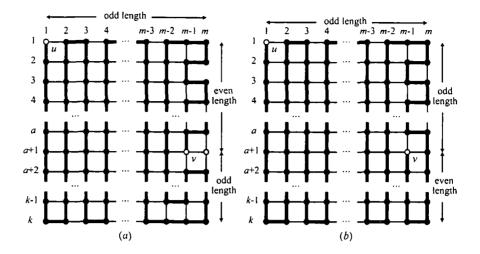


Figure 4.4: The different cases when $d(u^a, v)$ is even.

$$(k, m - 3), (k, m - 2), (k - 1, m - 2), \dots, (1, m - 2), (1, m - 1),$$

 $(1, m), (2, m), (2, m - 1), (3, m - 1), (3, m), (4, m), (4, m - 1), \dots,$
 $(a, m - 1), (a, m), (a + 1, m), (a + 2, m), \dots, (k - 1, m), (k, m),$
 $(k, m - 1), (k - 1, m - 1), \dots, (a + 2, m - 1), (a + 1, m - 1).$

The path ρ is hamiltonian and can be visualized as in Fig. 4.4(b). Furthermore, it can trivially be progressively shortened to obtain paths of all odd lengths between $k^{n-1}-2+a$ and k^n-1 , and so that the path of length $k^{n-1}-2+a$ is the path ρ_0 in $Q_n^k(0)$, from u to v^0 , extended with the path in column m-1 of length a from v^0 to v. By above, the path ρ^0 can be progressively shortened to obtain paths of all even lengths between $d(u, v^0) = d(u, v) - a$ and $k^{n-1} - 2$; thus, we obtain the required result.

All that remains is to deal with the base case of the induction. However, the base case is handled by Proposition 4.2.1.

The following is an immediate corollary of Theorem 4.3.1.

Corollary 4.3.2 Let $k \ge 4$ and $n \ge 2$, with k even. Q_n^k is edge-bipancyclic.

4.4 The general case when k is odd

We now examine whether Q_n^k is bipanconnected when k is odd. As remarked earlier, this question was posed as an open problem by Wang, An, Pan, Wang and Qu in [163]. We answer this question precisely; in fact, we prove even more as we shall see later.

Theorem 4.4.1 Let $k \ge 3$ and $n \ge 2$, with k odd, and let u and v be distinct vertices of Q_n^k .

- If d(u, v) is even then there exists a hamiltonian path joining u and v such that this path can be progressively shortened to obtain paths of all even lengths between d(u, v) and kⁿ - 1, inclusive.
- If d(u, v) is odd then there exists an almost-hamiltonian path joining u and v such that the residual vertex is adjacent to v and such that this path can be progressively shortened to obtain paths of all odd lengths between d(u, v) and kⁿ − 2, inclusive.

In particular, Q_n^k is bipannconnected.

Proof: The proof is very similar in structure to that of Theorem 4.3.1 and we adopt the exact same notation as in that proof. Again, we proceed by induction on n and there are two cases, according to whether $d(u^a, v)$ is odd or even.

Case (i) $d(u^a, v)$ is even.

So, by the induction hypothesis, there exists a hamiltonian path ρ_a from u^a to vin $Q_n^k(a)$ which can be progressively shortened to obtain paths of all even lengths between $d(u^a, v) = d(u, v) - a$ and $k^{n-1} - 1$, inclusive. As in the proof Theorem 4.3.1, the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ yield a $k \times k^{n-1}$ grid, with rows $1, 2, \ldots, k$ and columns $1, 2, \ldots, m$, where $m = k^{n-1}$, with 'wrap-around' column edges.

<u>Sub-case (*i.a*)</u> Suppose that a is even (and so v lies on odd row $a + 1 \ge 1$ and on column m). Consider the path ρ from u to v defined as:

$$(1, 1), (2, 1), \dots, (k, 1), (k, 2), (k - 1, 2), \dots, (1, 2), (1, 3), (2, 3), \dots, (k, 3),$$

 $(k, 4), (k - 1, 4), \dots, (1, 4), \dots, (k, m - 3), (k - 1, m - 3), \dots, (1, m - 3),$

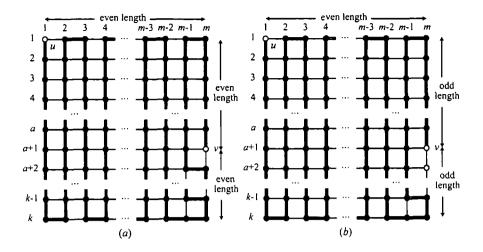


Figure 4.5: The different cases when $d(u^a, v)$ is even.

$$(1, m - 2), (2, m - 2), \dots, (k, m - 2), (k, m - 1), (k, m), (k - 1, m),$$

 $(k - 1, m - 1), (k - 2, m - 1), (k - 2, m), \dots, (a + 2, m), (a + 2, m - 1),$
 $(a + 1, m - 1), (a, m - 1), \dots, (1, m - 1), (1, m), (2, m), \dots, (a + 1, m).$

The path ρ is hamiltonian and can be visualized as in Fig. 4.5(*a*). Similarly to as in the proof of Theorem 4.3.1, ρ can be progressively shortened to obtain paths of all even lengths between d(u, v) and $k^n - 1$.

<u>Sub-case (*i.b*)</u> Suppose that a is odd (and so v lies on even row $a + 1 \ge 2$ and on column m). Consider the path ρ from u to v defined as:

$$(1,1), (2,1), \dots, (k,1), (k,2), (k-1,2), \dots, (1,2), (1,3), (2,3), \dots, (k,3), (k,4), (k-1,4), \dots, (1,4), \dots, (k,m-3), (k-1,m-3), \dots, (1,m-3), (1,m-2), (2,m-2), \dots, (k,m-2), (k,m-1), (k,m), (k-1,m), (k-1,m-1), (k-2,m-1), (k-2,m), (k-3,m), (k-3,m-1), \dots, (a+2,m-1), (a+1,m-1), (a,m-1), \dots, (1,m-1), (1,m), (2,m), \dots, (a+1,m)$$

(note that the vertex (a + 2, m) does not appear on ρ). The path ρ is almosthamiltonian and can be visualized as in Fig. 4.5(b). Similarly to as in the proof of Theorem 4.3.1, ρ can be progressively shortened to obtain paths of all odd lengths between d(u, v) and $k^n - 2$.

$\underline{\text{Case}(ii)} d(u^a, v)$ is odd.

So, by the induction hypothesis, there exists an almost-hamiltonian path ρ_a from u^a to v in $Q_n^k(a)$ which can be progressively shortened to obtain paths of all odd lengths between $d(u^a, v) = d(u, v) - a$ and $k^{n-1} - 2$, and so that the residual vertex of the almost-hamiltonian path ρ_a is adjacent to v. As in the proof Theorem 4.3.1, the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ and the residual vertices yield a $k \times k^{n-1}$ grid, with rows $1, 2, \ldots, k$ and columns $1, 2, \ldots, m$, where $m = k^{n-1}$, with 'wrap-around' column edges.

<u>Sub-case (*ii.a*)</u> Suppose that a is odd (and so v lies on even row $a + 1 \ge 2$ and on column m - 1). Consider the path ρ from u to v defined as:

$$(1, 1), (2, 1), \dots, (k, 1), (k, 2), (k - 1, 2), \dots, (1, 2), (1, 3), (2, 3), \dots, (k, 3), (k, 4), (k - 1, 4), \dots, (1, 4), \dots, (k, m - 3), (k, m - 2), (k - 1, m - 2), (k - 1, m - 3), \dots, (a + 2, m - 3), (a + 2, m - 2), (a + 1, m - 2), (a + 1, m - 3), (a, m - 3), (a, m - 2), \dots, (4, m - 2), (4, m - 3), (3, m - 3), (3, m - 2), (2, m - 2), (2, m - 3), (1, m - 3), (1, m - 2), (1, m - 1), (k, m - 1), (k - 1, m - 1), \dots, (a + 2, m - 1), (a + 2, m), (a + 3, m), \dots, (k, m), (1, m), (2, m), (2, m - 1), (3, m - 1), (3, m), (4, m), (4, m - 1), \dots, (a, m - 1), (a, m), (a + 1, m), (a + 1, m - 1).$$

The path ρ is hamiltonian and can be visualized as in Fig. 4.6(*a*). Similarly to as in the proof of Theorem 4.3.1, ρ can be progressively shortened to obtain paths of all even lengths between d(u, v) and $k^n - 1$.

<u>Sub-case (*ii.b*)</u> Suppose that a is even (and so v lies on odd row $a + 1 \ge 1$ and on column m - 1). Consider the path ρ from u to v defined as:

$$(1, 1), (2, 1), \dots, (k, 1), (k, 2), (k - 1, 2), \dots, (1, 2), (1, 3), (2, 3), \dots, (k, 3),$$
$$(k, 4), (k - 1, 4), \dots, (1, 4), \dots, (k, m - 3), (k - 1, m - 3), \dots, (1, m - 3),$$
$$(1, m - 2), (1, m - 1), (1, m), (2, m), (2, m - 1), (2, m - 2), (3, m - 2),$$
$$(3, m - 1), (3, m), (4, m), (4, m - 1), (4, m - 2), \dots, (a, m), (a, m - 1),$$
$$(a, m - 2), (a + 1, m - 2), (a + 2, m - 2), \dots, ((k, m - 2), k, m - 1),$$

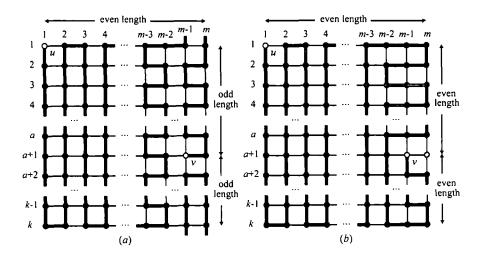


Figure 4.6: The different cases when $d(u^a, v)$ is odd.

$$(k, m), (k - 1, m), (k - 1, m - 1), (k - 2, m - 1), \dots, (a + 2, m),$$

 $(a + 2, m - 1), (a + 1, m - 1)$

(note that the vertex (a + 1, m) does not appear on ρ). The path ρ is almosthamiltonian and can be visualized as in Fig. 4.6(b). Similarly to as in the proof of Theorem 4.3.1, ρ can be progressively shortened to obtain paths of all odd lengths between d(u, v) and $k^n - 2$.

However, the base case is handled by Proposition 4.2.1.

The following is an immediate corollary of Theorem 4.4.1.

Corollary 4.4.2 Let $k \geq 3$ and $n \geq 2$, with k odd. Q_n^k is edge-bipancyclic.

As remarked earlier, bipanconnectivity and bipancyclicity are concepts which make most sense in the context of bipartite graphs, such as the graphs Q_n^k , for k even. However, when k is odd, Q_n^k is not bipartite and it is possible that odd cycles might exist, as well as odd and even length paths between vertices u and v. As we shall see, this is indeed the case but not universally.

Henceforth, k is odd. Consider the vertices u = (0, 0, ..., 0) and $v = (v_n, v_{n-1}, ..., v_1)$ of Q_n^k , where (as usual) we assume w.l.o.g. that $v_i \leq \frac{k-1}{2}$, for i = 1, 2, ..., n. Consider any path from u to v that does not use any 'wrap-around' edge, *i.e.*, an edge where the *i*th component of one incident vertex is k - 1 and where the *i*th component of the other incident vertex is 0, for some *i*. Such a path must alternate between odd parity and even parity vertices; thus, such paths are either all of even length or all of odd length (depending upon whether d(u, v) is even or odd). Suppose that d(u, v) is odd (and so all such paths are of odd length). Let *i* be such that v_i is maximal from amongst $\{v_n, v_{n-1}, \ldots, v_1\}$. Any path from *u* to *v* of length at most

$$v_n + \ldots + v_{i+1} + (k - v_i - 1) + v_{i-1} + \ldots + v_1 = d(u, v) + k - 2v_i - 1$$

cannot use a wrap-around edge and so must be of odd length. Consequently, there are no even length paths from u to v of length less than $d(u, v) + k - 2v_i$. Identical reasoning implies that if d(u, v) is even then there are no odd length paths from uto v of length less than $d(u, v) + k - 2v_i$. Consequently, we have a lower bound on the length of a shortest path, joining u and v and of parity different from that of d(u, v).

Choose the vertex v of Q_n^k to be such that $v_n = 1$ and $v_j = 0$, for j = 1, 2, ..., n - 1. Thus, there exists a vertex v such that d(u, v) is odd and there are no paths joining u and v of even length less than d(u, v) + k - 2. There clearly also exists a vertex v' such that d(u, v') is even and there are no paths joining u and v' of odd length less than d(u, v) + k - 2 (for example, choose v' = (1, 1, 0, ..., 0)). Consequently, as we are interested in general statements concerning all pairs of distinct vertices from Q_n^k , we shall only look for even (resp. odd) length paths joining u and v of length at least d(u, v) + k - 2, when d(u, v) is odd (resp. even).

Theorem 4.4.3 Let $k \ge 3$ and $n \ge 2$, with k odd, and let u and v be distinct vertices of Q_n^k . There are paths joining u and v of all lengths in $\{i : d(u, v) + k - 3 \le i \le k^n - 1\}$. Furthermore, this result is optimal in that there exist distinct vertices u and v of Q_n^k for which d(u, v) is odd (resp. even) and there are no even-length (resp. odd-length) paths joining u and v of length less than d(u, v) + k - 2.

Proof: The proof is very similar in structure to that of Theorem 4.4.1 and we adopt the exact same notation as in that proof (and in the proof of Theorem 4.3.1). There are two cases, according to whether $d(u^a, v)$ is odd or even. Given the earlier proofs, we are much briefer with our arguments here.

Case (i) $d(u^a, v)$ is even.

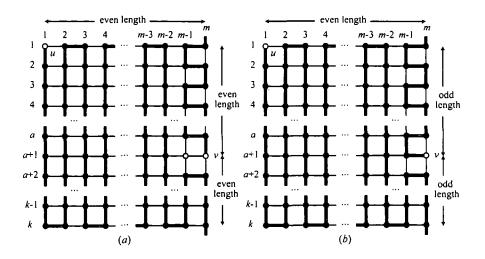


Figure 4.7: The different cases when $d(u^a, v)$ is even.

By Theorem 4.4.1, there exists a hamiltonian path ρ_a from u^a to v in $Q_n^k(a)$ which can be progressively shortened to obtain paths of all even lengths between $d(u^a, v) =$ d(u, v) - a and $k^{n-1} - 1$, inclusive. As in the proofs of Theorems 4.3.1 and 4.4.1, the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ yield a $k \times k^{n-1}$ grid, with rows $1, 2, \ldots, k$ and columns $1, 2, \ldots, m$, where $m = k^{n-1}$, with 'wrap-around' column edges.

<u>Sub-case (*i.a*)</u> Suppose that *a* is even (and so *v* lies on odd row $a + 1 \ge 1$ and on column *m*). Build the path ρ as depicted in Fig. 4.7(*a*). It is easy to see that ρ has length $k^n - 2$ and can be progressively shortened to obtain paths of all odd lengths between $(k - 1) + d(u^a, v) + a + 1 = d(u, v) + k$ and $k^n - 2$ (shorten so that the resulting sub-path of length $k^{n-1} - 1$ lies on row *k*).

<u>Sub-case (*i.b*)</u> Suppose that *a* is odd (and so *v* lies on even row $a + 1 \ge 2$ and on column *m*). Build the path ρ as depicted in Fig. 4.7(*b*). It is easy to see that ρ has length $k^n - 1$ and can be progressively shortened to obtain paths of all even lengths between $(k - 1) + d(u^a, v) + a + 1 = d(u, v) + k$ and $k^n - 1$.

Case (*ii*) $d(u^a, v)$ is odd.

By Theorem 4.4.1, there exists an almost-hamiltonian path ρ_a from u^a to v in $Q_n^k(a)$ which can be progressively shortened to obtain paths of all odd lengths between $d(u^a, v) = d(u, v) - a$ and $k^{n-1} - 2$, inclusive, and so that the residual vertex is adjacent to v. As before, the paths $\rho_0, \rho_1, \ldots, \rho_{k-1}$ and the residual vertices yield a $k \times k^{n-1}$ grid, with rows $1, 2, \ldots, k$ and columns $1, 2, \ldots, m$, where $m = k^{n-1}$, with

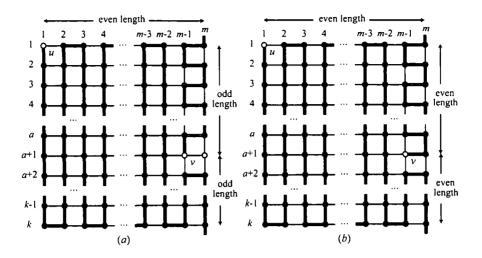


Figure 4.8: The different cases when $d(u^a, v)$ is odd.

'wrap-around' column edges.

<u>Sub-case (*ii.a*)</u> Suppose that a is odd (and so v lies on even row $a + 1 \ge 2$ and on column m - 1). Build the path ρ as depicted in Fig. 4.8(a). It is easy to see that ρ has length $k^n - 2$ and can be progressively shortened to obtain paths of all odd lengths between $(k - 1) + d(u^a, v) + a + 1 = d(u, v) + k$ and $k^n - 2$.

<u>Sub-case (*ii.b*)</u> Suppose that a is even (and so v lies on odd row $a + 1 \ge 1$ and on column m - 1). Build the path ρ as depicted in Fig. 4.8(b). It is easy to see that ρ has length $k^n - 1$ and can be progressively shortened to obtain paths of all even lengths between $(k - 1) + d(u^a, v) + a + 1 = d(u, v) + k$ and $k^n - 1$.

In order to complete the construction of our paths, we deal with some special cases. W.l.o.g., assume that $v_n \neq 0$. There is trivially a path of length

$$(k - v_n) + v_{n-1} + \ldots + v_1 = d(u, v) + k - 2v_n \le d(u, v) + k - 2$$

joining u and v. We can easily lengthen this path to obtain a path of length d(u, v) + k - 2 joining any distinct vertices u and v. Hence, no matter which vertex v is, Theorem 4.4.1 yields paths as in the statement of the result. Optimality follows by the argument presented prior to the statement of the result.

Note that putting k = 3 in Theorem 4.4.3 yields the result from [82] that Q_n^3 is edge-pancyclic, and also resolves the question for arbitrary k, as was posed in [82]. The following corollary is immediate, given the fact that the diameter of Q_n^k , when k is odd, is $\frac{n(k-1)}{2}$.

Corollary 4.4.4 Let $k \ge 3$ and $n \ge 2$, with k odd. The k-ary n-cube Q_n^k is mpanconnected, for $m = \frac{n(k-1)+2k-6}{2}$, and (k-1)-pancyclic.

As remarked earlier, the bounds in Corollary 4.4.4 are optimal.

4.5 An application

We give here the outline of an application where we require our paths to be progressively shortened and where alternative shortening methods will not suffice.

Consider a parallel machine whose underlying interconnection network is a k-ary n-cube, and where this machine is required to solve problems specifically designed for a cycle of processors (amongst other problems), with the number of processors involved in the cycle being variable. Moreover, there is known to be a faulty processor in the machine and this faulty processor cannot be used in any embedded cycle. Furthermore, the location of the fault is not known and any cycle must be constructed in a distributed fashion, through message-passing between processors.

For simplicity, suppose that k is even and n = 2; consequently, any cycle we construct must have even length. We begin our construction by processor (0,0) attempting to construct a hamiltonian path to processor (0,1) according to the construction in Proposition 4.2.1. Actually, the path is constructed as in Case 1.3 of Theorem 1 of [163]. It is important to note that the constructions in Proposition 4.2.1 (and Theorems 1 and 3 of [163]) are of such a uniform nature that the processor at the head of the path constructed so far can calculate in constant time the name of the next processor on the path, and can send a message to this processor thus extending the path constructed so far. If there were no faults then this construction would terminate with a hamiltonian path from (0,0) to (0,1) laid out in the k-ary 2-cube. However, the construction will halt when the faulty processor is encountered (we assume that the processor immediately before the fault on the constructed path can detect that the next processor is faulty).

Let p be the processor that detects that the faulty processor is the next processor on the path, and suppose that this faulty processor is f = (i, j). The processor psends a message to processor s = (i + 1, j) (over at most 4 hops, with addition modulo k) that it should use the construction of Proposition 4.2.1 to embark on the construction of a path of length $k^2 - 2$ to the processor (i, j - 1). Note that the path, as shown in Fig. 4.2(b) (that is, the amended construction of a case from [163]), avoids the faulty processor f. We reiterate that the uniform nature of the construction is such that the processor at the head of the path constructed so far can calculate in constant time the name of the next processor on the path, and can send a message to this processor thus extending the path constructed so far. Having reached the processor (i, j - 1), we actually truncate the path at processor t = (i + 1, j - 1). Thus, we have a path of length $k^2 - 3$ from processor s to t,

avoiding processor (i, j - 1) and the faulty processor f. Moreover, this path can be progressively shortened so as to obtain any odd length path (of length at most k^2-3) joining s to s (and avoiding f). Furthermore, again because of the uniformity of the construction and also the uniformity of the progressive shortening, this progressive shortening can easily be completed by message-passing between the processors. In fact, message-passing can be used so that every processor q on the path computes a list of triples of the form (q^+, q^-, i) detailing that q appears on a path of length i from s to t so that that the processor q^- (resp. q^+) is the next processor on this path moving towards s (resp. t). The existence of the edge (s, t) gives our embedded fault-avoiding cycles of varying lengths.

The above construction can be generalized to an analogous construction of faultavoiding paths and cycles in Q_n^k where there is a faulty processor. As we stated above, we have not presented the precise details of this generalization; what suffices is that the general principle has been presented and any interested reader could implement the construction if needs be. We envisage that there are many other applications of progressive shortening but we have chosen not to explore these applications here.

4.6 Conclusions

In tandem with [82,163], we have resolved completely the main questions concerning panconnectivity, bipanconnectivity, pancyclicity and bipancyclicity for a k-ary n-

cube Q_n^k , when $k \ge 3$ and $n \ge 2$. In doing so, we have introduced the new concept of the progressive shortening of a path and shown how this concept can be used to solve a problem related to the embedding of linear arrays and cycles of processors in a distributed-memory multiprocessor whose interconnection network is a k-ary *n*-cube and where there is one faulty processor.

As directions for future research, we would like to see more applications of progressive shortening (and feel that the concept will prove to be more widely applicable). Also, we would like to see results on panconnectivity, pancyclicity, and so forth, extended to k-ary n-cubes in which there may be (a limited number of) faulty vertices or edges.

Chapter 5

Augmented k-ary n-cube

In this chapter, we define an interconnection network $AQ_{n,k}$ which we call the augmented k-ary n-cube by extending a k-ary n-cube in a manner analogous to the existing extension of an n-dimensional hypercube to an n-dimensional augmented cube. We prove that the augmented k-ary n-cube $AQ_{n,k}$ has a number of attractive properties (in the context of parallel computing). For example, we show that the augmented k-ary n-cube $AQ_{n,k}$ is a Cayley graph (and so is vertex-symmetric); has connectivity 4n - 2, and is such that we can build a set of 4n - 2 mutually disjoint paths joining any two distinct vertices so that the path of maximal length has length at most $max\{(n-1)k - (n-2), k+7\}$; has diameter $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$, when n = 2; and has diameter at most $\frac{k}{4}(n+1)$, for $n \ge 3$ and k even, and at most $\frac{k}{4}(n+1) + \frac{n}{4}$, for $n \ge 3$ and k odd.

5.1 Introduction

Hypercubes are perhaps the most well known of all interconnection networks for parallel computing, given their basic simplicity, their generally desirable topological and algorithmic properties, and the extensive investigation they have undergone (not just in the context of parallel computing but also in discrete mathematics in general; see, for example, [139] for some essential properties of hypercubes). However, a multitude of different interconnection networks have been devised and developed in a continuing search for improved performance, with many of these networks having hypercubes at their roots. Amongst these generalisations of hypercubes are k-ary n-cubes [42], augmented cubes [41], cube-connected cycles [132], twisted cubes [75], twisted n-cubes [53], crossed cubes [50], folded hypercubes [51], Mcubes [148], Möbius cubes [103], generalised twisted cubes [33], shuffle cubes [112], k-skip enhanced cubes [159], twisted hypercubes [99], supercubes [143], and Fibonacci cubes [88].

Perhaps the most popular of these generalisations are the k-ary n-cubes [42]. Another generalisation of hypercubes are augmented cubes, recently proposed by Choudum and Sunitha [41] as improvements over hypercubes. Hypercubes and augmented cubes (of the same dimensions) have the same sets of vertices. However, whereas the recursive construction of an n-dimensional hypercube is to take two copies of an (n-1)-dimensional hypercube and join corresponding pairs of vertices, the recursive construction of an n-dimensional augmented cube AQ_n is to take two copies of an (n-1)-dimensional augmented cube and as well as joining corresponding pairs of vertices, pairs of vertices of Hamming distance n-1 are also joined (that is, vertices that are different in every component). Choudum and Sunitha show that an n-dimensional augmented cube AQ_n : has 2^n vertices and $n2^n$ edges; has diameter $\left\lceil \frac{n}{2} \right\rceil$; has connectivity 2n-1; is a Cayley graph and so is vertex-symmetric; and has an O(n) time optimal routing algorithm.

In this chapter, and inspired by [41], we extend a k-ary n-cube in a manner analogous to the extension of an n-dimensional hypercube to an n-dimensional augmented cube. Our definition of an augmented k-ary n-cube $AQ_{n,k}$, in comparison with that in [41], is not a straightforward generalisation; however, we believe that it does reflect the essence of the extension in [41], and our structural results bear this out. We give two different definitions of an augmented k-ary n-cube in Section 5.2 and show that they yield the same interconnection network. In Section 5.3, we show that an augmented k-ary n-cube $AQ_{n,k}$ is vertex-symmetric and, furthermore, a Cayley graph. In Section 5.4, we show that an augmented k-ary n-cube $AQ_{n,k}$ has connectivity 4n - 2, and that we can build a set of 4n - 2 mutually disjoint paths joining any two distinct vertices so that the path of maximal length has length at most at most $max\{(n-1)k - (n-2), k+7\}$. In Section 5.5, we examine the diameter of the augmented k-ary n-cube $AQ_{n,k}$ and show that the diameter of the augmented k-ary 2-cube $AQ_{2,k}$ is $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$. We also show that the diameter of the augmented k-ary n-cube $AQ_{n,k}$ is at most $\frac{k}{4}(n+1)$, when $n \ge 3$ and k is even, and at most $\frac{k}{4}(n+1) + \frac{n}{4}$, when $n \ge 3$ and k is odd. Our conclusions are presented in Section 5.6.

5.2 Basic definitions

We assume throughout that addition on tuple elements is modulo k. Recall the definition of the k-ary n-cube Q_n^k : the vertex set $V(Q_n^k)$ is $\{(a_n, a_{n-1}, \ldots, a_1) : 0 \leq a_i \leq k-1\}$; and the edge set $E(Q_n^k)$ is $\{(u, v) :$ either $u_i = v_i - 1$ or $u_i = v_i + 1$, for some *i*, and $u_j = v_j$, for all $i \neq j$. Whilst we regard all graphs defined in this chapter as undirected, our definitions define all edges from the perspective of a given vertex. Thus, in our definition of Q_n^k we define the (undirected) edge (u, v) twice: once from the perspective of *u*, as the edge (u, v); and once from the perspective of *v*, as the edge (v, u). The reason we do this is that later we shall define paths in our graphs and an undirected edge will be regarded differently depending upon the direction it is being traversed in the path. The following definition adheres to this convention.

Definition 5.2.1 Let $n \ge 1$ and $k \ge 3$ be integers. The augmented k-ary ncube $AQ_{n,k}$ has k^n vertices, each labelled by an n-bit string $(a_n, a_{n-1}, \ldots, a_1)$, with $0 \le a_i \le k-1$, for $1 \le i \le n$. There is an edge joining vertex $u = (u_n, u_{n-1}, \ldots, u_1)$ to vertex $v = (v_n, v_{n-1}, \ldots, v_1)$ if, and only if:

- $v_i = u_i 1$ (resp. $v_i = u_i + 1$), for some $1 \le i \le n$, and $v_j = u_j$, for all $1 \le j \le n, j \ne i$; call the edge (u, v) an (i, -1)-edge (resp. an (i, +1)-edge); or
- for some $2 \le i \le n$, $v_i = u_i 1$, $v_{i-1} = u_{i-1} 1$, ..., $v_1 = u_1 1$ (resp. $v_i = u_i + 1$, $v_{i-1} = u_{i-1} + 1$, ..., $v_1 = u_1 + 1$), $v_j = u_j$, for all j > i; call the edge (u, v) a $(\le i, -1)$ -edge (resp. a $(\le i, +1)$ -edge).

We emphasise that the graph $AQ_{n,k}$ is undirected but that edges are *labelled* differently, as an (i, +1)-edge or as an (i, -1)-edge, for example, according to the perceived orientation.

The augmented k-ary n-cube $AQ_{n,k}$ can also be recursively defined as follows (the proof of this fact is a simple induction).

Definition 5.2.2 Fix $k \ge 3$. The augmented k-ary 1-cube $AQ_{1,k}$ has vertex set $\{0, 1, \ldots, k-1\}$ and there is an edge joining vertex u to vertex v if, and only if, v = u + 1 or v = u - 1. Fix $n \ge 2$. Take k copies of an augmented k-ary (n - 1)-cube $AQ_{n-1,k}$ and for the *i*th copy, add an extra number i as the *n*th bit of each vertex (all vertices have the same *n*th bit if they are in the same augmented k-ary (n - 1)-cube). Four more edges are added for each vertex, namely the (n, -1)-edge, the (n, +1)-edge, the $(\le n, -1)$ -edge and the $(\le n, +1)$ -edge (as defined in Definition 5.2.1).

With respect to the above definition, we refer to the subgraph of $AQ_{n,k}$ induced by the vertices whose first component is *i*, for some fixed $i \in \{0, 1, ..., k-1\}$, as $AQ_{n-1,k}^{i}$ (this subgraph is clearly a copy of $AQ_{n-1,k}$).

Clearly (from the definition of $AQ_{n,k}$), when $n \ge 2$, $AQ_{n,k}$ has n^k vertices, $(2n-1)n^k$ edges, and every vertex has degree 4n-2.

We adopt the following notation with regard to identifying specific vertices relevant to a given vertex in $AQ_{n,k}$. Let $v = (v_n, v_{n-1}, \ldots, v_1)$ be some vertex of $AQ_{n,k}$. For each $i \in \{0, 1, \ldots, k-1\}$ and each $j \in \{1, 2, \ldots, n\}$, we denote the vertex $(v_n, v_{n-1}, \ldots, v_{j+1}, i, v_{j-1}, \ldots, v_1)$ by $v|_j^i$. For $j \in \{1, 2, \ldots, n\}$, we refer to the neighbour $(v_n, \ldots, v_{j+1}, v_j + 1, v_{j-1}, \ldots, v_1)$ (resp. $(v_n, \ldots, v_{j+1}, v_j - 1, v_{j-1}, \ldots, v_1)$, $(v_n, \ldots, v_{j+1}, v_j + 1, v_{j-1} + 1, \ldots, v_1 + 1)$, $(v_n, \ldots, v_{j+1}, v_j - 1, v_{j-1} - 1, \ldots, v_1 - 1)$) as $v_{(j,+1)}$ (resp. $v_{(j,-1)}, v_{(\leq j,+1)}, v_{(\leq j,-1)}$). We can combine our notation as the following example shows: $v_{(j,+1)}|_n^3$ denotes the vertex obtained by taking the vertex $v_{(j,+1)}$ and fixing its *n*th component at 3 whilst leaving all other components as they were.

Paths in graphs are given as sequences of vertices (on occasion, a path might consist of a solitary vertex). A path in $AQ_{n,k}$ might be specified by the source vertex and a sequence of labels detailing the edges to be traversed, *e.g.*, the path in $AQ_{3,5}$ detailed as having the source vertex (0,0,0) and then following the edges labelled $(\leq 2,+1), (3,-1), (1,+1)$ is actually the path (0,0,0), (0,1,1), (4,1,1), (4,1,2).

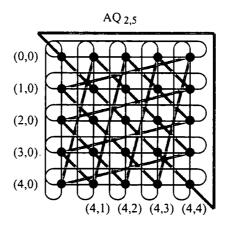


Figure 5.1: An augmented 5-ary 2-cube.

The augmented 5-ary 2-cube is depicted in Fig. 5.1 where the edges of the underlying 5-ary 2-cube (that is, the (2, +1)-edges, the (2, -1)-edges, the (1, +1)-edges and the (1, -1)-edges) are drawn using narrow pen and the "augmented" edges (that is, the $(\leq 2, +1)$ -edges and the $(\leq 2, -1)$ -edges) are drawn using broad pen.

5.3 Symmetry

In this section, we examine $AQ_{n,k}$ as to any symmetric properties it might have. We begin with a useful lemma which will be used to reduce case analyses in subsequent proofs, and the proof of which is trivial.

Lemma 5.3.1 (a) The following are automorphisms of $AQ_{n,k}$:

- (i) the mapping taking the vertex $(v_n, v_{n-1}, \dots, v_1)$ to $(v_n a_n, v_{n-1} a_{n-1}, \dots, v_1 a_1)$, where $(a_n, a_{n-1}, \dots, a_1) \in \{0, 1, \dots, k-1\}^n$ is fixed;
- (*ii*) the mapping taking the vertex $(v_n, v_{n-1}, \ldots, v_1)$ to $(\epsilon v_n, \epsilon v_{n-1}, \ldots, \epsilon v_1)$, where $\epsilon \in \{+1, -1\}$ is fixed.
- (b) For $i, j \in \{0, 1, \dots, k-1\}$, the mapping taking the vertex $(i, v_{n-1}, v_{n-2}, \dots, v_1)$ to $(j, v_{n-1}, v_{n-2}, \dots, v_1)$ is an isomorphism of $AQ_{n-1,k}^i$ to $AQ_{n-1,k}^j$.
- (c) The mapping taking the vertex (u, v) to the vertex (v, u) is an automorphism of $AQ_{2,k}$.

The property of a graph being vertex-symmetric is important when that graph is used as an interconnection network for parallel computing, for having a vertexsymmetric interconnection network makes parallel algorithm design and topological analysis easier, as well as allowing flexibility in, for example, linear array simulations.

An immediate corollary of Lemma 5.3.1 is the following.

Corollary 5.3.2 The augmented k-ary n-cube $AQ_{n,k}$ is vertex-symmetric.

Proof: Given vertices $u = (u_n, u_{n-1}, \ldots, u_1)$ and $v = (v_n, v_{n-1}, \ldots, v_1)$ of $AQ_{n,k}$, by Lemma 5.3.1, the mapping taking an arbitrary vertex $(w_n, w_{n-1}, \ldots, w_1)$ to $(w_n - (u_n - v_n), w_{n-1} - (u_{n-1} - v_{n-1}), \ldots, w_1 - (u_1 - v_1))$ is an automorphism mapping u to v.

However, we can do better. Let Γ be a finite group and let $S \subseteq \Gamma$ be a set of generators of Γ not containing the identity and closed under inversion; that is, $s^{-1} \in S$ whenever $s \in S$. The simple undirected graph $G(\Gamma, S)$ with vertex set Γ and where two vertices g and h are adjacent if, and only if, $gh^{-1} \in S$, is called the *Cayley graph* of Γ (with generating set S). Knowledge that an interconnection network is a Cayley graph not only immediately yields that the graph is vertexsymmetric but also provides an algebraic description of the graph that will be useful in, for example, developing routing algorithms.

Let $(Z_k)^n$ denote the *n*-fold Cartesian product of the group (Z_k, \bigoplus_k) , where $Z_k = \{0, 1, \ldots, k-1\}$ and where \bigoplus_k denotes addition modulo k. Let $x = (x_n, x_{n-1}, \ldots, x_1)$ $\in (Z_k)^n$; so $x^{-1} = (k - x_n, k - x_{n-1}, \ldots, k - x_1)$.

Proposition 5.3.1 For every $n \ge 1$, $AQ_{n,k} \cong G((Z_k)^n, S)$, where S is the set

$$\{(0, \dots, 0, 0, k - 1, k - 1), (0, \dots, 0, k - 1, k - 1, k - 1), \dots, \\(k - 1, \dots, k - 1, k - 1), (0, \dots, 0, 0, 1, 1), (0, \dots, 0, 1, 1, 1), \dots, (1, \dots, 1, 1), \\(k - 1, 0, 0, \dots, 0), (0, k - 1, 0, \dots, 0), \dots, (0, \dots, 0, k - 1), \\(1, 0, 0, \dots, 0), (0, 1, 0, \dots, 0), \dots, (0, \dots, 0, 1)\}.$$

Proof: By definition, $V(AQ_{n,k}) = Z_k \times Z_k \times \ldots \times Z_k$ (repeated *n* times). Let $u = (u_n, u_{n-1}, \ldots, u_1)$ and $v = (v_n, v_{n-1}, \ldots, v_1)$ be vertices of $AQ_{n,k}$.

Suppose that u and v are adjacent in $AQ_{n,k}$. So, for some i, one of the following holds:

1.
$$v = (u_n, u_{n-1}, \dots, u_{i+1}, u_i \oplus_k 1, u_{i-1}, \dots, u_1)$$

2. $v = (u_n, u_{n-1}, \dots, u_{i+1}, u_i \oplus_k 1, u_{i-1} \oplus_k 1, \dots, u_1 \oplus_k 1)$
3. $v = (u_n, u_{n-1}, \dots, u_{i+1}, u_i \oplus_k (k-1), u_{i-1}, \dots, u_1)$
4. $v = (u_n, u_{n-1}, \dots, u_{i+1}, u_i \oplus_k (k-1), u_{i-1} \oplus_k (k-1), \dots, u_1 \oplus_k (k-1))$

Thus, we have (respectively):

1.
$$u \oplus_k v^{-1} = (u_n \oplus_k (k - u_{n-1}), \dots, u_{i+1} \oplus_k (k - u_{i+1}), u_i \oplus_k (k - (u_i + 1)),$$

 $u_{i+1} \oplus_k (k - u_{i+1}), \dots, u_0 \oplus_k (k - u_0))$
 $= (0, \dots, 0, k - 1, 0, \dots, 0) \in S$
2. $u \oplus_k v^{-1} = (0, \dots, 0, k - 1, \dots, k - 1) \in S$
3. $u \oplus_k v^{-1} = (0, \dots, 0, 1, 0, \dots, 0) \in S$
4. $u \oplus_k v^{-1} = (0, \dots, 0, 1, \dots, 1) \in S$.

Hence, $u \oplus_k v^{-1} \in S$.

Conversely, suppose that $u \oplus_k v^{-1} \in S$. So, $u \oplus_k v^{-1}$ is of the form $(0, \ldots, 0, 1, 0, \ldots, 0)$ or $(0, \ldots, 0, 1, \ldots, 1)$ or $(0, \ldots, 0, k - 1, 0, \ldots, 0)$ or $(0, \ldots, 0, k - 1, \ldots, k - 1)$. Hence, for some *i*, one of the following holds:

1. $u = (u_n, \dots, u_{i+1}, u_i \oplus_k (k-1), u_{i-1}, \dots, u_1)$ 2. $v = (u_n, \dots, u_{i+1}, u_i \oplus_k (k-1), u_{i-1} \oplus_k (k-1), \dots, u_1 \oplus_k (k-1))$ 3. $v = (u_n, \dots, u_{i+1}, u_i \oplus_k 1, u_{i-1}, \dots, u_1)$ 4. $v = (u_n, \dots, u_{i+1}, u_i \oplus_k 1, u_{i-1} \oplus_k 1, \dots, u_1 \oplus_k 1).$

So u and v are adjacent in $AQ_{n,k}$.

As remarked earlier, (by definition) all Cayley graphs are vertex-symmetric and so we obtain an alternative proof of Corollary 5.3.2.

5.4 Connectivity

In this section, we examine the connectivity of $AQ_{n,k}$. By Menger's Theorem (see, for example, [21]), a graph G = (V, E) has connectivity at least c if, and only if,

given any two distinct vertices of V, there are c vertex-disjoint paths joining them. Having a high connectivity is a desirable property of any interconnection network as it provides fault-tolerance with regard to message routing, allows for hot-spots to be avoided, and allows large messages to be split up into smaller ones and routed in parallel along vertex-disjoint paths.

We show that $\kappa(AQ_{n,k}) = 4n - 2$, whenever $n \ge 2$ and $k \ge 3$. We begin by proving this result for $AQ_{2,k}$ and then for the general case using a proof by induction (on n).

5.4.1 The base case of our induction

The base case of our forthcoming induction is provided by the following result.

Lemma 5.4.1 The connectivity of $AQ_{2,k}$ is 6; that is, $\kappa(AQ_{2,k}) = 6$.

Proof: We prove our result by constructing 6 disjoint paths joining any two distinct vertices of $AQ_{2,k}$. By Lemma 5.3.1, w.l.o.g. we may suppose that our two given vertices of $AQ_{2,k}$ are u = (0,0) and v = (i, j), where $0 \le i \le j \le \lfloor \frac{k}{2} \rfloor$. For the case when k = 3, Lemma 5.3.1 tells us that we need only consider the cases when v is (1,2) and (2,2). The 6 disjoint paths between (0,0) and (1,2) are as follows:

1. (0,0), (2,2), (1,2);4. (0,0), (0,1), (1,2);2. (0,0), (2,0), (1,2);5. (0,0), (1,0), (1,2);3. (0,0), (0,2), (1,2);6. (0,0), (1,1), (1,2).

The 6 disjoint paths between (0,0) and (2,2) are as follows:

- 1. (0,0), (2,2);4. (0,0), (2,0), (2,2);
- 2. (0,0), (1,1), (2,2);5. (0,0), (1,0), (2,1), (2,2);
- 3. (0,0), (0,2), (2,2);6. (0,0), (0,1), (1,2), (2,2).

For k > 3, we have 3 different cases to consider. Recall, $0 \le i \le j \le \lfloor \frac{k}{2} \rfloor \le k-2$. <u>Case (i)</u> $0 < i < j \le \lfloor \frac{k}{2} \rfloor$. Consider the following 6 paths:

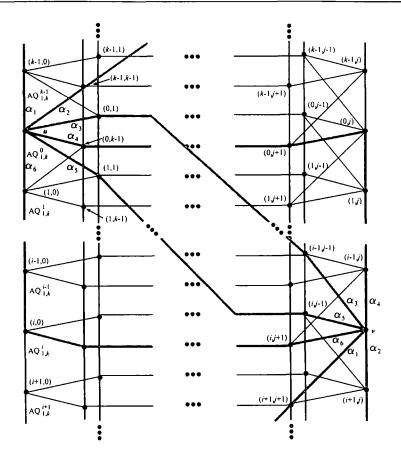


Figure 5.2: The 6 disjoint paths when 0 < i < j.

 α_1 : $u, (k-1,0), (k-2,0), \dots, (k-j+i,0), (k-j+i-1, k-1), (k-j+i-2, k-2), \dots, (i+1, j+1), v;$

 $\begin{aligned} &\alpha_{2}: \ u, (k-1, k-1), (k-2, k-2), \dots, (j, j), (j-1, j), (j-2, j), \dots, (i+1, j), v; \\ &\alpha_{3}: \ u, (0, 1), (0, 2), \dots, (0, j-i), (1, j-i+1), (2, j-i+2), \dots, (i-1, j-1), v; \\ &\alpha_{4}: \ u, (0, k-1), (0, k-2), \dots, (0, j+1), (0, j), (1, j), (2, j), \dots, (j-1, j), v; \\ &\alpha_{5}: \ u, (1, 1), (2, 2), \dots, (i, i), (i, i+1), (i, i+2), \dots, (i, j-1), v; \\ &\alpha_{6}: \ u, (1, 0), (2, 0), \dots, (i, 0), (i, k-1), (i, k-2), \dots, (i, j+1), v. \end{aligned}$

These paths can be visualized in Fig. 5.2 and are clearly mutually disjoint (as there are no common nodes between them).

Case (ii) $0 < i = j \leq \lfloor \frac{k}{2} \rfloor$. Consider the following 6 paths:

$$\alpha_1$$
: $u, (k-1, 0), (k-2, k-1), \dots, (j, j+1), v;$

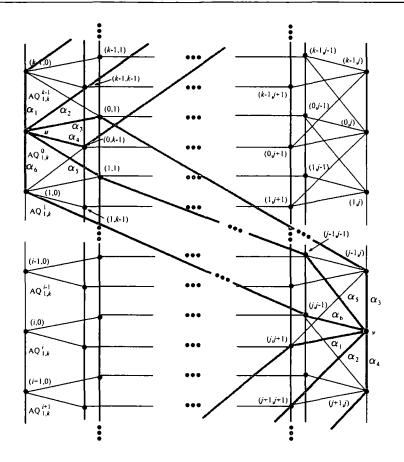


Figure 5.3: The 6 disjoint paths when 0 < i = j.

 $\begin{aligned} &\alpha_2: \ u, (k-1, k-1), (k-2, k-2), \dots, (j+1, j+1), v; \\ &\alpha_3: \ u, (0, 1), (1, 2), (2, 3) \dots, (j-1, j), v; \\ &\alpha_4: \ u, (0, k-1), (k-1, k-2), (k-2, k-3), \dots, (j+1, j), v; \\ &\alpha_5: \ u, (1, 1), (2, 2), \dots, (j-1, j-1), v; \\ &\alpha_6: \ u, (1, 0), (2, 1), (3, 2), \dots, (j, j-1), v. \end{aligned}$

These paths can be visualized in Fig. 5.3 and are clearly mutually disjoint. <u>Case (*iii*)</u> i = 0 and $1 \le j \le \lfloor \frac{k}{2} \rfloor$. Consider the following 6 paths:

$$\alpha_1: u, (k-1,0), (k-1,1), \dots, (k-1,j-1), v;$$

$$\alpha_2: u, (k-1,k-1), (k-1,k-2), \dots, (k-1,j), v;$$

$$\alpha_3: u, (0,1), (0,2), \dots, (0,j-1), v;$$

 $lpha_4: u, (0, k - 1), (0, k - 2), \dots, (0, j + 1), v;$ $lpha_5: u, (1, 1), (1, 2), \dots, (1, j), v;$ $lpha_6: u, (1, 0), (1, k - 1), (1, k - 2), \dots, (1, j + 1), v.$

These paths can be visualized in Fig. 5.4 and are clearly mutually disjoint. The result follows. $\hfill \Box$

By examining each of the different constructions in the proof of Lemma 5.4.1, we see that the maximal length path joining u = (0,0) and v = (i, j) is k.

Corollary 5.4.2 Given any two distinct vertices u and v of $AQ_{2,k}$, there are 6 disjoint paths joining u and v so that the longest of these paths has length at most k.

5.4.2 The induction step

We now prove our general connectivity result.

Theorem 5.4.3 $\kappa(AQ_{n,k}) = 4n - 2$, whenever $k \ge 3$ and $n \ge 2$, and given any two distinct vertices of $AQ_{n,k}$, there are 4n - 2 mutually disjoint paths joining these two vertices so that the length of the longest of these paths is at most $max\{(n-1)k - (n-2), k+7\}$.

Proof: When n = 2 and $k \ge 3$, the result holds by Lemma 5.4.1. We proceed by induction on n. Our induction hypothesis is that any two distinct vertices of $AQ_{n-1,k}$ are joined by a set of 4n - 6 mutually disjoint paths (the base case of the induction is covered by Lemma 5.4.1).

We shall also calculate the length of a longest path as constructed according to this proof. Let $d_n(w, w')$ be the maximal length of any path as constructed according to this proof joining any two vertices w and w' of $AQ_{n,k}$, and let $\delta_n = max\{d_n(w, w'):$ w and w' are distinct vertices of $AQ_{n,k}\}$. We shall obtain a recursive estimate of δ_n .

Fix $k, n \ge 3$. Given any two distinct vertices u and v of $AQ_{n,k}$, we shall construct 4n - 2 disjoint paths joining them. By Lemma 5.3.1, w.l.o.g. we may assume that u = (0, 0, ..., 0) and $v = (v_n, v_{n-1}, ..., v_1)$, with $0 \le v_n \le \lfloor \frac{k}{2} \rfloor$.

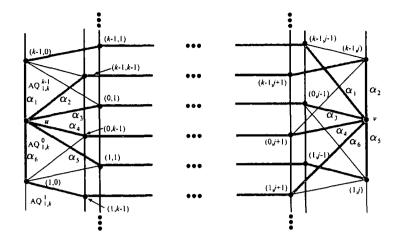


Figure 5.4: The 6 disjoint paths when i = 0.

<u>Case 1</u>: $v = (v_n, 0, 0, \dots, 0)$, where $1 \le v_n \le \lfloor \frac{k}{2} \rfloor$; so, $v \mid_n^0 = u$.

The vertex u has 4n-6 neighbours in $AQ_{n-1,k}^0$. For each of these neighbours w, apart from (0, 1, 1, ..., 1) and (0, k-1, k-1, ..., k-1), build the path from w by traversing (n, +1)-edges until $AQ_{n-1,k}^{v_n}$ is reached, before moving to v. This accounts for 4n-8mutually disjoint paths from u to v. From the neighbour (0, k-1, k-1, ..., k-1), build the path by traversing (n, +1)-edges until $AQ_{n-1,k}^{v_n-1}$ is reached, before moving to v. From the neighbour (0, 1, 1, ..., 1), traverse (n, -1)-edges until $AQ_{n-1,k}^{v_n+1}$ is reached, before moving to v. This accounts for another 2 paths from u to v that are mutually disjoint and disjoint from all the other paths constructed above.

From the neighbour (k - 1, k - 1, ..., k - 1) of u, traverse (n, -1)-edges until $AQ_{n-1,k}^{v_n}$ is reached, before moving to v. From the neighbour (1, 1, ..., 1) of u, traverse (n, +1)-edges until $AQ_{n-1,k}^{v_n}$ is reached, before moving to v. Finally, two additional paths are obtained by traversing (n, +1)-edges from u until v is reached, and by traversing (n, -1)-edges from u until v is reached. All paths constructed are mutually disjoint and can be visualized as in Fig. 5.5. Note that the length of the longest constructed path is $max\{v_n + 2, k - v_n + 1\}$; so, $d_n(u, v) \leq k$.

Having dealt with Case 1, let us henceforth assume that $v|_n^0 \neq u$. We now define some paths which we shall use throughout the subsequent cases.

Our induction hypothesis is that there are 4n - 6 disjoint paths joining any two distinct vertices of $AQ_{n-1,k}$. So, by our induction hypothesis, there is a set Π of 4n - 6 disjoint paths joining u and $v|_n^0$ in $AQ_{n-1,k}^0$ (by assumption u and $v|_n^0$ are

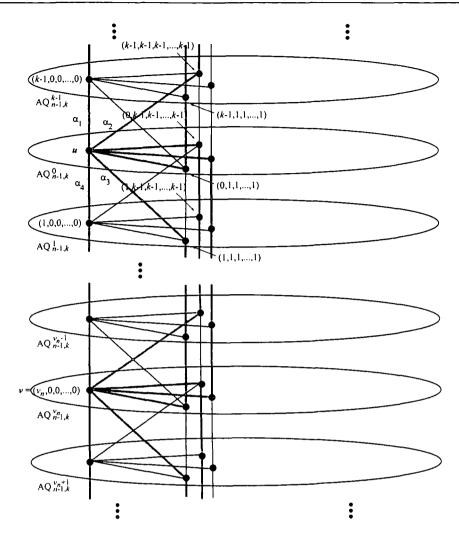


Figure 5.5: The 4n - 2 disjoint paths in Case 1.

distinct). Let us denote 4 of these paths as follows:

- π_1 is the path passing through the neighbour $u_{(\leq n-1,-1)}$ of $u_{:}$
- π_2 is the path passing through the neighbour $u_{(\leq n-1,+1)}$ of u;
- π_3 is the path passing through the neighbour $v_{(\leq n-1,-1)}|_n^0$ of $v|_n^0$;
- π_4 is the path passing through the neighbour $v_{(\leq n-1,+1)}|_n^0$ of $v|_n^0$.

Note that although π_1 and π_2 are always distinct, as are π_3 and π_4 , it may be the case that either π_1 or π_2 is identical to either π_3 or π_4 (note also that any one of the above paths may consist of a solitary edge). We examine each of these circumstances separately. Moreover, there are two distinct situations: when $v_n = 0$; and when $v_n \neq 0$. Note that every path π in Π , from u to $v|_n^0$, is such that there is a path π^i in $AQ_{n-1,k}^i$, where $i \in \{1, 2, ..., k-1\}$, from $u|_n^i$ to $v|_n^i$ obtained by taking the isomorphic image of π under the natural isomorphism (which takes $(0, a_{n-1}, a_{n-2}, ..., a_1)$) to $(i, a_{n-1}, a_{n-2}, ..., a_1)$; see Lemma 5.3.1). Throughout this proof, we extend this notation to arbitrary paths in $AQ_{n-1,k}^0$.

Consider the situation when $v_n = 0$ (and so $v|_n^0 = v$). For each path π_j , where $j \in \{1, 2, 3, 4\}$, that is not the path $u, v|_n^0$, truncate π_j at the penultimate vertex (that is, the vertex of the path that is a neighbour of $v|_n^0$) and also remove the first edge: denote this truncated path by ρ_j (note that a path might be truncated so that it consists of a solitary vertex). Do likewise with all isomorphic images of π_1, π_2, π_3 and π_4 (in $AQ_{n-1,k}^1, AQ_{n-1,k}^2$, and so on).

Suppose that $\rho_1 \neq \rho_3$. If neither ρ_1 nor ρ_3 is the path u, v then we construct additional paths $u, \rho_1^{k-1}, v|_n^{k-1}, v$ and $u, u|_n^{k-1}, \rho_3^{k-1}, v$ through $AQ_{n-1,k}^{k-1}$. If $\rho_1 = u, v$ then we have that $v = (0, k-1, k-1, \ldots, k-1)$. In this case, we construct additional paths $u, u|_n^{k-1}, \rho_3^{k-1}, v$ and $u, v|_n^{k-1}, v$ through $AQ_{n-1,k}^{k-1}$. If $\rho_3 = u, v$ then we have that $u = (0, v_{n-1} - 1, v_{n-2} - 1, \ldots, v_1 - 1)$, with $v_{n-1} = v_{n-2} = \ldots = v_1 = 1$. In this case, we construct additional paths $u, u|_n^{k-1}, v$ and $u, \rho_1^{k-1}, v|_n^{k-1}, v$ through $AQ_{n-1,k}^{k-1}$.

Suppose that $\rho_1 = \rho_3$. We have that $\rho_1 \neq \rho_2$. In this case, we construct additional paths u, ρ_1^{k-1}, v and $u, u|_n^{k-1}, \rho_2^{k-1}, v|_n^{k-1}, v$ through $AQ_{n-1,k}^{k-1}$.

We proceed in an analogous fashion by considering ρ_2 and ρ_4 in the same way, and constructing disjoint paths from u to v through $AQ_{n-1,k}^1$. Consequently, we obtain 4n - 2 disjoint paths from u to v in $AQ_{n,k}$. From the above construction, we clearly have that $d_n(u,v) = d_{n-1}((0,0,\ldots,0), (v_{n-1},v_{n-2},\ldots,v_1)) + 2 \leq \delta_{n-1} + 2$.

Henceforth, we shall assume that $v_n \neq 0$.

<u>Case 2</u>: $u \neq v|_n^0$, u is not adjacent to $v|_n^0$, and u and $v|_n^0$ do not have a neighbour of $AQ_{n-1,k}^0$ in common.

In particular, u, v is not a path in Π .

<u>Sub-case 2.1</u>: $\rho_1 \neq \rho_4$ and $\rho_2 \neq \rho_3$.

We begin by building 6 specific paths:

$$\alpha_1$$
: $u, \rho_1^{k-1}, v|_n^{k-1}, v|_n^{k-2}, \dots, v|_n^{v_n+1}, v;$

$$\begin{aligned} \alpha_{2} \colon u, u|_{n}^{k-1}, \rho_{4}^{k-1}, v_{(\leq n,+1)}|_{n}^{k-2}, v_{(\leq n,+1)}|_{n}^{k-3}, \dots, v_{(\leq n,+1)}, v; \\ \alpha_{3} \colon u, u|_{n}^{1}, u|_{n}^{2}, \dots, u|_{n}^{v_{n}}, \rho_{3}^{v_{n}}, v; \\ \alpha_{4} \colon u, u_{(\leq n,+1)}, u_{(\leq n,+1)}|_{n}^{2}, u_{(\leq n,+1)}|_{n}^{3}, \dots, u_{(\leq n,+1)}|_{n}^{v_{n-1}}, \rho_{2}^{v_{n}}, v; \\ \alpha_{5} \colon u, \rho_{2}, v|_{n}^{0}, v|_{n}^{1}, \dots, v|_{n}^{v_{n-1}}, v; \\ \alpha_{6} \colon u, \rho_{3}, v_{(\leq n,-1)}|_{n}^{1}, v_{(\leq n,-1)}|_{n}^{2}, \dots, v_{(\leq n,-1)}, v. \end{aligned}$$

These paths can be visualized as in Fig. 5.6, and can easily be seen to be mutually disjoint.

There are 4n - 8 paths in Π apart from π_2 and π_3 ; let π be any one of them. We truncate π at the penultimate vertex, and then extend this path along (n, +1)-edges until we reach $AQ_{n-1,k}^{v_n}$. Finally, we extend the path by an edge to v. Again, it is easy to see that the resulting set of 4n - 2 paths are mutually disjoint. Furthermore, we have that $d_n(u, v) = d_{n-1}((0, 0, \ldots, 0), (v_{n-1}, v_{n-2}, \ldots, v_1)) + max\{k - v_n - 1, v_n\} \leq \delta_{n-1} + k - 2$.

<u>Sub-case 2.2</u>: $\rho_1 = \rho_4$ and $\rho_2 \neq \rho_3$.

Note that, by definition, ρ_1 , ρ_2 and ρ_3 are distinct. Referring to Sub-case 2.1 (and Fig. 5.6), if we can amend paths α_1 and α_2 so that they remain disjoint and also disjoint from all of the other 4n - 4 paths then we are done. Replace α_1 and α_2 with the paths α'_1 and α'_2 defined as:

$$\alpha'_{1}: \ u, \rho_{1}^{k-1}, v_{(\leq n,+1)}|_{n}^{k-2}, v_{(\leq n,+1)}|_{n}^{k-3}, \dots, v_{(\leq n,+1)}, v;$$

$$\alpha'_{2}: \ u, u|_{n}^{k-1}, \rho_{2}^{k-1}, v|_{n}^{k-1}, v|_{n}^{k-2}, \dots, v|_{n}^{v_{n}+1}, v.$$

Again, it is easy to see that the resulting set of 4n - 2 paths are mutually disjoint. The amendments made can be visualized as in Fig. 5.7. Furthermore, we have that $d_n(u, v) = d_{n-1}((0, 0, ..., 0), (v_{n-1}, v_{n-2}, ..., v_1)) + max\{k - v_n, v_n\} \le \delta_{n-1} + k - 1.$ Sub-case 2.3: $\rho_1 \ne \rho_4$ and $\rho_2 = \rho_3$.

Note that, by definition, ρ_1 , ρ_2 and ρ_4 are distinct. Referring to Sub-case 2.1 (and Fig. 5.6), if we can amend paths α_3 , α_4 , α_5 and α_6 so that they remain disjoint and also disjoint from all of the other 4n - 6 paths then we are done. Replace α_3 , α_4 , α_5 and α_6 with the paths α'_3 , α'_4 , α'_5 and α'_6 defined as:

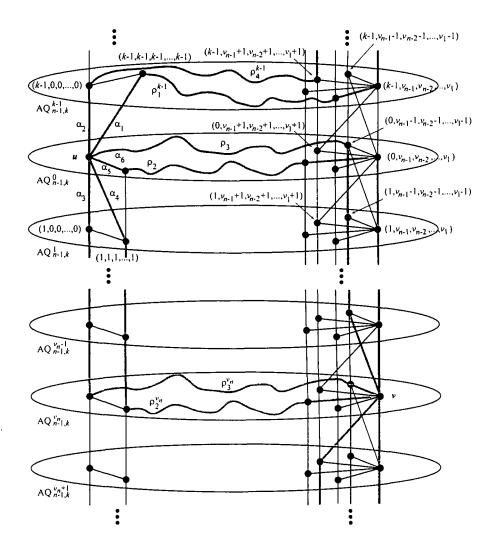


Figure 5.6: The 6 disjoint paths in Sub-case 2.1.

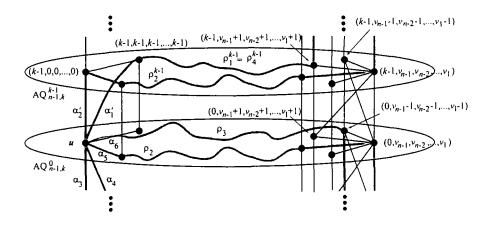


Figure 5.7: The amendments in Sub-case 2.2.

 $\alpha'_3: u, u|_n^1, u|_n^2, \dots, u|_n^{v_n}, \rho_1^{v_n}, v;$

$$\alpha'_{4}: \ u, u_{(\leq n,+1)}, u_{(\leq n,+1)}|_{n}^{2}, u_{(\leq n,+1)}|_{n}^{3}, \dots, u_{(\leq n,+1)}|_{n}^{\nu_{n}-1}, \rho_{2}^{\nu_{n}}, v;$$

- $\alpha'_{5}: u, \rho_{2}, v_{(\leq n, -1)}|_{n}^{1}, v_{(\leq n, -1)}|_{n}^{2}, \dots, v_{(\leq n, -1)}, v;$
- $\alpha'_6: u, \rho_1, v|_n^0, v|_n^1, \dots, v|_n^{v_n-1}, v.$

Again, it is easy to see that the resulting set of 4n - 2 paths are mutually disjoint. The amendments made can be visualized as in Fig. 5.8. Furthermore, we have that $d_n(u, v) = d_{n-1}((0, 0, ..., 0), (v_{n-1}, v_{n-2}, ..., v_1)) + max\{k - v_n - 1, v_n\} \le \delta_{n-1} + k - 2.$ Sub-case 2.4: $\rho_1 = \rho_4$ and $\rho_2 = \rho_3$.

By making the amendments in Sub-cases 2.2 and 2.3, we obtain a set of 4n - 2 mutually disjoint paths. Furthermore, we have that $d_n(u, v) = d_{n-1}((0, 0, ..., 0), (v_{n-1}, v_{n-2}, ..., v_1)) + max\{k - v_n, v_n\} \leq \delta_{n-1} + k - 1.$

<u>Case 3</u>: $u \neq v|_n^0$ and u and $v|_n^0$ are not adjacent, but u and $v|_n^0$ have a neighbour of $AQ_{n-1,k}^0$ in common.

All the constructions in Sub-cases 2.1, 2.2, 2.3 and 2.4 work here unless $(v_{n-1} - 1, v_{n-2} - 1, \ldots, v_1 - 1) = (1, 1, \ldots, 1)$, *i.e.*, unless $v = (v_n, 2, 2, \ldots, 2)$. Thus, this is the only situation to deal with (note that $k \ge 4$, as otherwise u and $v|_n^0$ would be adjacent).

One of the paths in the set Π is the path u, (0, 1, 1, ..., 1), v, and let π be the path passing through (0, 3, 3, ..., 3). Truncate π at the penultimate vertex (0, 3, 3, ..., 3)and also remove the first edge: denote this truncated path by ρ (note that the path ρ might consist of the solitary vertex (0, 3, 3, ..., 3)). Define the paths ρ^i , for $i \in \{1, 2, ..., k - 1\}$, as we did earlier.

Sub-case 3.1:
$$v_n > 1$$
.

We begin by building 6 specific paths:

$$\alpha_{1}: u, \rho^{k-1}, v_{(\leq n,+1)}|_{n}^{k-2}, v_{(\leq n,+1)}|_{n}^{k-3}, \dots, v_{(\leq n,+1)}, v;$$

$$\alpha_{2}: u, u|_{n}^{k-1}, v_{(\leq n,-1)}|_{n}^{k-1}, v|_{n}^{k-1}, v|_{n}^{k-2}, \dots, v|_{n}^{v_{n}+1}, v;$$

$$\alpha_{3}: u, u|_{n}^{1}, u|_{n}^{2}, \dots, u|_{n}^{v_{n}}, v_{(\leq n,-1)}|_{n}^{v_{n}}, v;$$

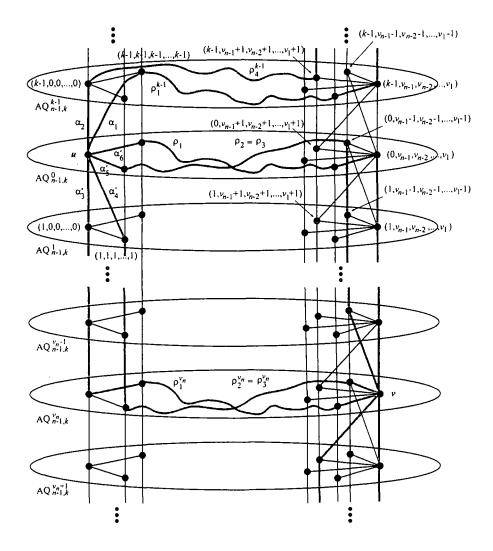


Figure 5.8: The amendments in Sub-case 2.3.

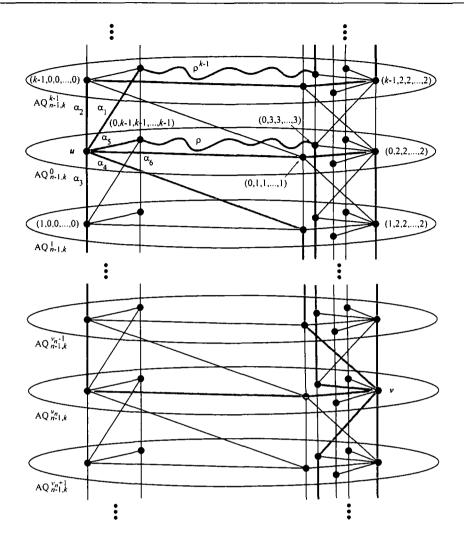


Figure 5.9: The paths in Sub-case 3.1.

 $\alpha_{4}: \ u, v_{(\leq n,-1)}|_{n}^{1}, v_{(\leq n,-1)}|_{n}^{2}, v_{(\leq n,-1)}|_{n}^{3}, \dots, v_{(\leq n,-1)}|_{n}^{v_{n}-1}, v;$ $\alpha_{5}: \ u, \rho, v_{(\leq n,+1)}|_{n}^{1}, v_{(\leq n,+1)}|_{n}^{2}, \dots, v_{(\leq n,+1)}|_{n}^{v_{n}}, v;$ $\alpha_{6}: \ u, v_{(\leq n,-1)}|_{n}^{0}, v|_{n}^{0}, v|_{n}^{1}, \dots, v|_{n}^{v_{n}-1}, v.$

These paths can be visualized as in Fig. 5.9, and can easily be seen to be disjoint.

There are 4n-8 paths in Π apart from π and u, $(0, 1, 1, \ldots, 1)$, v; let π' be any one of them. We truncate π' at the penultimate vertex, and then extend this path along (n, +1)-edges until we reach $AQ_{n-1,k}^{v_n}$. Finally, we extend the path by an edge to v. Again, it is easy to see that the resulting set of 4n - 2 paths are mutually disjoint. Furthermore, we have that $d_n(u, v) = d_{n-1}((0, 0, \ldots, 0), (2, 2, \ldots, 2)) + max\{k - v_n - 2, v_n\} \leq \delta_{n-1} + max\{k - 4, \lfloor \frac{k}{2} \rfloor\}.$

<u>Sub-case 3.2</u>: $v_n = 1$.

We begin by building 6 specific paths:

```
\begin{aligned} &\alpha_{1}: \ u, \rho^{k-1}, v_{(\leq n,+1)}|_{n}^{k-2}, v_{(\leq n,+1)}|_{n}^{k-3}, \dots, v_{(\leq n,+1)}, v; \\ &\alpha_{2}: \ u, u|_{n}^{k-1}, v_{(\leq n,-1)}|_{n}^{k-1}, v|_{n}^{k-1}, v|_{n}^{k-2}, \dots, v|_{n}^{2}, v; \\ &\alpha_{3}: \ u, u|_{n}^{1}, \rho^{1}, v; \\ &\alpha_{4}: \ u, v_{(\leq n,-1)}|_{n}^{1}, v; \\ &\alpha_{5}: \ u, \rho, v|_{n}^{0}, v; \\ &\alpha_{6}: \ u, v_{(< n,-1)}, v. \end{aligned}
```

These paths can be visualized as in Fig. 5.10, and can easily be seen to be mutually disjoint. There are 4n - 8 paths in Π apart from π and $u, (0, 1, 1, \ldots, 1), v$; let π' be any one of them. We truncate π' at the penultimate vertex, and then extend this path along an (n, +1)-edge and then an edge to v. Again, it is easy to see that the resulting set of 4n - 2 paths are mutually disjoint. Furthermore, we have that $d_n(u, v) = max\{d_{n-1}((0, 0, \ldots, 0), (2, 2, \ldots, 2)) + k - 3, k + 1\} \leq \delta_{n-1} + k - 3$. Case 4: u and $v|_n^0$ are adjacent.

Sub-case 4.1:
$$v|_n^0 \notin \{(0, k-1, k-1, \dots, k-1), (0, 1, 1, \dots, 1), (0, 2, 2, \dots, 2)\}$$
.

Note that as $(0, k - 1, k - 1, ..., k - 1) \neq v|_n^0 \neq (0, 1, 1, ..., 1)$, none of the vertices (0, k - 1, k - 1, ..., k - 1), (0, 1, 1, ..., 1), $(0, v_{n-1} - 1, v_{n-2} - 1, ..., v_1 - 1)$ and $(0, v_{n-1} + 1, v_{n-2} + 1, ..., v_1 + 1)$ is identical to either u or $v|_n^0$. Note also that as u and $v|_n^0$ are adjacent, so are (i, 1, 1, ..., 1) and $(i, v_{n-1} + 1, v_{n-2} + 1, ..., v_1 + 1)$ and also (i, k - 1, k - 1, ..., k - 1) and $(i, v_{n-1} - 1, v_{n-2} - 1, ..., v_1 - 1)$, for $i \in \{1, 2, ..., k - 1\}$.

One of the paths in Π is the edge $(u, v|_n^0)$. For each path in Π , apart from the edge $(u, v|_n^0)$ and the path passing through $(0, v_{n-1} - 1, v_{n-2} - 1, \ldots, v_1 - 1)$, truncate this path at the penultimate vertex and extend it using (n, +1)-edges until $AQ_{n-1,k}^{v_n}$ is reached before extending it further by an edge to v. As regards the path in Π passing through $(0, v_{n-1} - 1, v_{n-2} - 1, \ldots, v_1 - 1)$, truncate this path at $(0, v_{n-1} - 1, v_{n-2} - 1, \ldots, v_1 - 1)$ and extend it using (n, +1)-edges until $AQ_{n-1,k}^{v_n-1}$ is

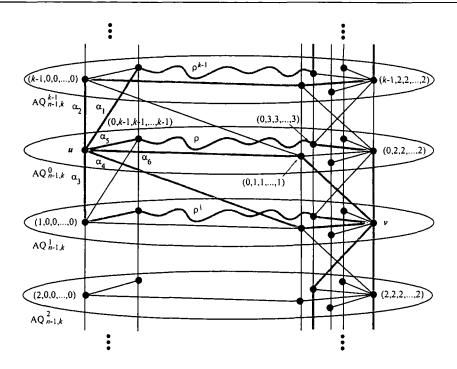


Figure 5.10: The paths in Sub-case 3.2.

reached before extending it further by an edge to v. Also, extend the edge $(u, v|_n^0)$ using (n, +1)-edges to v. These 4n - 6 paths from u to v can be visualized as in Fig. 5.11.

Form the following paths:

$$\begin{aligned} \alpha_{1} \colon u, u_{(\leq n,+1)}, u_{(\leq n,+1)}|_{n}^{2}, \dots, u_{(\leq n,+1)}|_{n}^{v_{n}+1}, v_{(\leq n,+1)}, v; \\ \alpha_{2} \colon u, u|_{n}^{1}, u|_{n}^{2}, \dots, u|_{n}^{v_{n}}, v; \\ \alpha_{3} \colon u, u|_{n}^{k-1}, v|_{n}^{k-1}, v|_{n}^{k-2}, \dots, v|_{n}^{v_{n}+1}, v; \\ \alpha_{4} \colon u, u_{(\leq n,-1)}, v_{(\leq n,-1)}|_{n}^{k-1}, v_{(\leq n,-1)}|_{n}^{k-2}, v_{(\leq n,-1)}|_{n}^{k-3}, \dots, v_{(\leq n,-1)}|_{n}^{v_{n}}, v. \end{aligned}$$

All paths can be visualized in Fig. 5.11. It is easy to see that as $(0, 1, 1, ..., 1) \neq (0, v_{n-1} - 1, v_{n-2} - 1, ..., v_1 - 1)$, *i.e.*, $v|_n^0 \neq (0, 2, 2, ..., 2)$, the 4n - 6 paths, constructed above, and the paths α_1 , α_2 , α_3 and α_4 are all mutually disjoint. Furthermore, we have that $d_n(u, v) = max\{d_{n-1}((0, 0, ..., 0), (v_{n-1}, v_{n-2}, ..., v_1)) + v_n, k - v_n + 2, v_n + 3\} \leq \delta_{n-1} + \lfloor \frac{k}{2} \rfloor$. Sub-case 4.2: $v|_n^0 = (0, 1, 1, ..., 1)$.

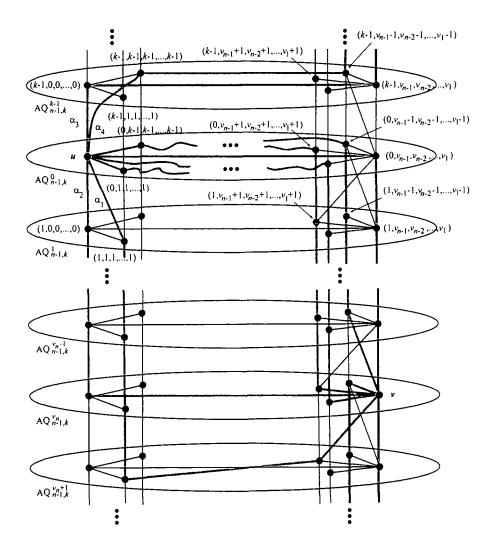


Figure 5.11: The paths in Sub-case 4.1.

One of the paths in Π is the edge $(u, v|_n^0)$. For each path in Π , apart from the edge $(u, v|_n^0)$, truncate this path at the penultimate vertex and extend it using (n, +1)-edges until $AQ_{n-1,k}^{v_n}$ is reached before extending it further by an edge to v. Extend the edge $(u, v|_n^0)$ using (n, -1)-edges to v.

Let the path ρ in $AQ_{n-1,k}^{k-1}$ be defined as $(k-1, k-1, k-1, \dots, k-1), (k-1, 0, k-1, \dots, k-1), (k-1, 1, k-1, \dots, k-1), (k-1, 2, k-1, \dots, k-1), (k-1, 2, 0, \dots, 0), (k-1, 2, 1, \dots, 1), (k-1, 2, 2, \dots, 2)$ (unless $(k-1, k-1, k-1, \dots, k-1) = (k-1, 2, 2, \dots, 2)$ when ρ is just a solitary vertex). Note that ρ avoids $(k-1, 0, 0, \dots, 0)$ and $(k-1, 1, 1, \dots, 1)$. Define the paths:

- $\alpha_1: u, \rho, v_{(\leq n, +1)}|_n^{k-2}, v_{(\leq n, +1)}|_n^{k-3}, \dots, v_{(\leq n, +1)}, v;$
- $\alpha_2: u, u|_n^{k-1}, u|_n^{k-2}, \dots, u|_n^{v_n}, v;$
- $\alpha_3: u, u|_n^1, u|_n^2, \dots, u|_n^{v_n-1}, v;$
- $\alpha_4: u, v|_n^1, v|_n^2, \dots, v|_n^{v_n-1}, v.$

Our collection of 4n - 2 paths from u to v can be visualized as in Fig. 5.12, and from the above construction, they are clearly mutually disjoint. Furthermore, we have that $d_n(u,v) = max\{d_{n-1}((0,0,0,\ldots,0),(1,1,\ldots,1)) + v_n, k - v_n + 6\} \le max\{\delta_{n-1} + \lfloor \frac{k}{2} \rfloor, k + 5\}.$

<u>Sub-case 4.3</u>: $v|_n^0 = (0, k - 1, k - 1, \dots, k - 1).$

One of the paths in Π is the edge $(u, v|_n^0)$. For each path in Π , apart from the edge $(u, v|_n^0)$ and the paths passing through (0, 1, 1, ..., 1) and (0, k - 2, k - 2, ..., k - 2, truncate this path at the penultimate vertex and extend it using <math>(n, +1)-edges until $AQ_{n-1,k}^{v_n}$ is reached before extending it further by an edge to v. Extend the edge $(u, v|_n^0)$ using (n, +1)-edges to v, and extend the truncated path through (0, k - 2, k - 2, ..., k - 2) using (n, +1)-edges to $(v_n - 1, k - 2, k - 2, ..., k - 2)$ and then to v. This accounts for 4n - 7 mutually disjoint paths.

Let the path ρ in $AQ_{n-1,k}^{v_n+1}$ be defined as $(v_n + 1, k - 2, k - 2, \dots, k - 2), (v_n + 1, k - 1, k - 2, \dots, k - 2), (v_n + 1, 0, k - 2, \dots, k - 2), (v_n + 1, 1, k - 2, \dots, k - 2), (v_n + 1, 1, 0, \dots, 0), (v_n + 1, 1, 1, \dots, 1)$ (unless $(v_n + 1, k - 2, k - 2), (v_n + 1, 1, 0, \dots, 0)$).

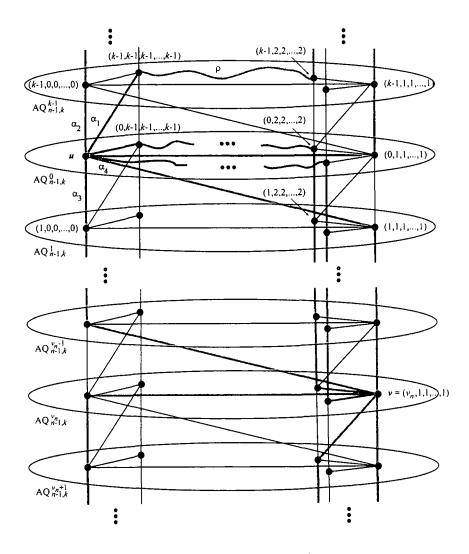


Figure 5.12: The paths in Sub-case 4.2.

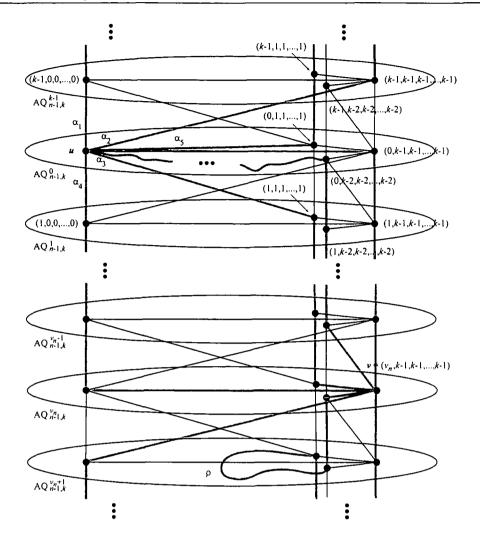


Figure 5.13: The paths in Sub-case 4.3.

 $(2, \ldots, k-2) = (v_n + 1, 1, 1, \ldots, 1)$ when ρ is just a solitary vertex). Note that ρ avoids $(v_n + 1, 0, 0, \ldots, 0)$ and $(v_n + 1, k - 1, k - 1, \ldots, k - 1)$. Define the paths:

$$\begin{aligned} &\alpha_{1}: \ u, u|_{n}^{k-1}, u|_{n}^{k-2}, \dots, u|_{n}^{v_{n}+1}, v; \\ &\alpha_{2}: \ u, v|_{n}^{k-1}, v|_{n}^{k-2}, \dots, v|_{n}^{v_{n}+1}, v; \\ &\alpha_{3}: \ u, u_{(\leq n,+1)}, u_{(\leq n,+1)}|_{n}^{2}, u_{(\leq n,+1)}|_{n}^{3}, \dots, u_{(\leq n,+1)}|_{n}^{v_{n}}, v; \\ &\alpha_{4}: \ u, u|_{n}^{1}, u|_{n}^{2}, \dots, u|_{n}^{v_{n}}, v; \\ &\alpha_{5}: \ u, u_{(\leq n,+1)}|_{n}^{0}, u_{(\leq n,+1)}|_{n}^{k-1}, u_{(\leq n,+1)}|_{n}^{k-2}, \dots, u_{(\leq n,+1)}|_{n}^{v_{n}+2}, \rho, v_{(\leq n,-1)}|_{n}^{v_{n}}, v. \end{aligned}$$

Our collection of 4n - 2 paths from u to v can be visualized as in Fig. 5.13, and they are clearly mutually disjoint. Furthermore, we have that $d_n(u, v) =$ $\max\{d_{n-1}((0,0,\ldots,0),(k-1,k-1,\ldots,k-1))+v_n,k-v_n+8\} \le \max\{\delta_{n-1}+\lfloor \frac{k}{2} \rfloor,k+7\}.$

<u>Sub-case 4.4</u>: $v|_n^0 = (0, 2, 2, \dots, 2).$

As u and $v|_n^0$ are adjacent, we must have that k = 3 and that v = (1, 2, 2, ..., 2). By Lemma 5.3.1, there exists an automorphism of $AQ_{n,k}$ mapping (1, 2, 2, ..., 2) to (2, 1, 1, ..., 1) and fixing u. Thus, this sub-case reduces to Sub-case 4.2.

As regards the length of the longest path constructed, we have that $\delta_n \leq max\{\delta_{n-1} + k - 1, k + 7\}$ and $\delta_2 = k$. Thus, $\delta_n \leq (n-1)k - (n-2)$, unless: n = 3 and k = 3, 4, 5, 6, 7; n = 4 and k = 3, 4; or n = 5 and k = 3, when $\delta_n \leq k + 7$. The result follows by induction.

5.5 The diameter

Obviously, the smaller the diameter of an interconnection network, the lower the communication latency (be this under store-and-forward or wormhole routing). In this section, we obtain the diameter of $AQ_{2,k}$ and an upper bound on the diameter of $AQ_{n,k}$ when $n \geq 3$.

We begin with some immediate observations as regards the order of edges in paths in $AQ_{n,k}$. Consider some path ρ from some vertex u of $AQ_{n,k}$ to some vertex v of $AQ_{n,k}$ within which there is an λ -edge, where $\lambda \in \{(i, +1), (i, -1), (\leq i, +1), (\leq i, -1)\}$, for some i, as the ath edge of the path, and a μ -edge, where $\mu \in \{(j, +1), (j, -1), (\leq j, +1), (\leq j, -1)\}$, for some j, as the bth edge of the path, where $a \neq b$. The path obtained from ρ by traversing a μ -edge as the ath edge of the path and a λ -edge as the bth edge of the path, and leaving the labels of all other edges as they were, is still a path from u to v. Also, if ρ is a shortest path between u and v and there is a (i, +1)-edge (resp. (i, -1)-edge, $(\leq i, +1)$ -edge, $(\leq i, -1)$ edge) in ρ , for some particular i, then there is no (i, -1)-edge (resp. (i, +1)-edge, $(\leq i, -1)$ -edge, $(\leq i, +1)$ -edge) in ρ . We use these observations throughout the proof of the following result.

Proposition 5.5.1 The diameter of $AQ_{2,k}$ is $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$, and for $n \ge 3$ the diameter of $AQ_{n,k}$ is at most $\frac{k}{4}(n+1)$, if k is even, and at most $\frac{k}{4}(n+1) + \frac{n}{4}$, if k is

odd.

Proof: By Corollary 5.3.2, we may restrict our attention to the lengths of paths from an arbitrary vertex of $AQ_{n,k}$ to the vertex u = (0, 0, ..., 0) of $AQ_{n,k}$ when determining the diameter of $AQ_{n,k}$.

Let $v = (v_2, v_1)$ be a vertex of $AQ_{2,k}$.

Case (i): $k \equiv 0 \pmod{3}$.

<u>Sub-case (a)</u>: $v_1, v_2 \notin \{\frac{k}{3} + 1, \frac{k}{3} + 2, \dots, \frac{2k}{3} - 1\}.$

By traversing edges with labels from $\{(i, +1), (i, -1) : i = 1, 2, ..., n\}$, we can obtain a path of length at most $\frac{2k}{3}$ from v to u.

Sub-case (b): exactly one of v_1 and v_2 is in $\{\frac{k}{3}+1, \frac{k}{3}+2, \ldots, \frac{2k}{3}-1\}$.

Suppose that $v_1 \in \{\frac{k}{3} + 1, \frac{k}{3} + 2, \dots, \frac{2k}{3} - 1\}$. By traversing (1, +1)-edges or (1, -1)-edges, we can move from v to (v_2, v_2) , and by traversing $(\leq 2, +1)$ -edges or $(\leq 2, -1)$ -edges we can then move to u. This yields a path of length at most $\frac{2k}{3} - 1$ from v to u. If $v_2 \in \{\frac{k}{3} + 1, \frac{k}{3} + 2, \dots, \frac{2k}{3} - 1\}$ then we proceed similarly except that we first traverse (2, +1)-edges or (2, -1)-edges to get to (v_1, v_1) , before traversing $(\leq 2, +1)$ -edges or $(\leq 2, -1)$ -edges to get to u.

Sub-case (c): $v_1, v_2 \in \{\frac{k}{3} + 1, \frac{k}{3} + 2, \dots, \frac{2k}{3} - 1\}.$

Proceeding similarly to as in Sub-case (b) results in a path from v to u of length at most $\frac{2k}{3} - 1$.

In consequence, when $k \equiv 0$ there is a path from v to u of length at most $\frac{2k}{3} = \lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$.

Case (*ii*): $k \equiv 1 \pmod{3}$.

We proceed similarly to as in Case (i) except that we consider the values of v_1 and v_2 as to whether they lie in $\{\lfloor \frac{k}{3} \rfloor + 1, \lfloor \frac{k}{3} \rfloor + 2, \ldots, \lfloor \frac{k}{3} \rfloor + \lceil \frac{k}{3} \rceil - 1\}$. We thus obtain a path from v to u of length at most $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k}{3} \rceil - 1$. In consequence, when $k \equiv 1 \pmod{3}$ there is a path from v to u of length at most $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k}{3} \rceil - 1 = \lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$. Case (*iii*): $k \equiv 2 \pmod{3}$.

We proceed similarly to as in Case (i) except that we consider the values of v_1 and v_2 as to whether they lie in $\{\lceil \frac{k}{3} \rceil + 1, \lceil \frac{k}{3} \rceil + 2, \ldots, 2\lceil \frac{k}{3} \rceil - 1\}$. We thus obtain a path

from v to u of length at most $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k}{3} \rceil$. In consequence, when $k \equiv 2$ there is a path from v to u of length at most $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k}{3} \rceil = \lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$.

Whilst $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$ is an upper bound on the diameter of $AQ_{2,k}$, it is also a lower bound as we now show. Suppose that $k \equiv 0 \pmod{3}$ and the length of a shortest path ρ from $(\frac{k}{3}, \frac{2k}{3})$ to (0,0) is less than $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil = \frac{2k}{3}$. If the edges of ρ are all (i, +1)-edges or (i, -1)-edges then we immediately obtain a contradiction. Thus, there must be some $(\leq 2, +1)$ -edges or $(\leq 2, -1)$ -edges in ρ . By symmetry, we may suppose that there are $(\leq 2, -1)$ -edges (and so, as ρ is a shortest path, there must be no $(\leq 2, +1)$ -edges in ρ). Moreover, based on our observation, we may clearly assume that all these $(\leq 2, -1)$ -edges appear as a prefix of ρ .

Suppose that there are at most $\frac{2k}{3} - \lceil \frac{k}{2} \rceil (\leq 2, -1)$ -edges in ρ and that traversing these $(\leq 2, -1)$ -edges takes us to (v'_2, v'_1) . For an arbitrary vertex (v_2, v_1) of $AQ_{2,k}$, define $wt(v_2, v_1) = \min\{v_2, k - v_2\} + \min\{v_1, k - v_1\}$, *i.e.*, the distance of (v_2, v_1) from (0,0) in the k-ary 2-cube Q_2^k . As $wt(\frac{k}{3}, \frac{2k}{3}) = wt(v'_2, v'_1) = \frac{2k}{3}$, this yields a contradiction (as any path from (v'_2, v'_1) to (0,0) traversing only edges with labels from $\{(1,+1),(1,-1),(2,+1),(2,-1)\}$ has length at least $wt(v'_2, v'_1)$). Thus there must be between $\frac{2k}{3} - \lceil \frac{k}{2} \rceil + 1$ and $\frac{k}{3}$ ($\leq 2, -1$)-edges in ρ (clearly there cannot exist more than $\frac{k}{3}$ such edges as otherwise we could obtain a shorter path than ρ).

Suppose that there exist $m + \frac{2k}{3} - \lceil \frac{k}{2} \rceil \ (\leq 2, -1)$ -edges in ρ , where $1 \leq m \leq \lceil \frac{k}{2} \rceil - \frac{k}{3}$, and that traversing these edges takes us to the vertex (v'_2, v'_1) . Then $wt(v'_2, v'_1) = \frac{2k}{3} - 2(m-1) - 1$. Any path from (v'_2, v'_1) to (0, 0) not using $(\leq 2, +1)$ -edges nor $(\leq 2, -1)$ -edges has length at least $\frac{2k}{3} - 2(m-1) - 1$. Thus, the length of ρ is at least $(\frac{2k}{3} - 2(m-1) - 1) + (m + \frac{2k}{3} - \lceil \frac{k}{2} \rceil) = \frac{4k}{3} - m + 1 - \lceil \frac{k}{2} \rceil \geq \frac{4k}{3} - (\lceil \frac{k}{2} \rceil - \frac{k}{3}) + 1 - \lceil \frac{k}{2} \rceil = \frac{5k}{3} - 2\lceil \frac{k}{2} \rceil + 1 = \frac{2k}{3}$, which yields a contradiction.

Arguing in an analogous fashion with the vertex $\left(\lfloor \frac{k}{3} \rfloor, \lfloor \frac{k}{3} \rfloor + \lceil \frac{k}{3} \rceil\right)$ of $AQ_{2,k}$, when $k \equiv 1 \pmod{3}$, and with the vertex $\left(\lceil \frac{k}{3} \rceil, 2\lceil \frac{k}{3} \rceil\right)$ of $AQ_{2,k}$, when $k \equiv 2 \pmod{3}$, yields that the diameter of $AQ_{2,k}$ is $\lfloor \frac{k}{3} \rfloor + \lceil \frac{k-1}{3} \rceil$ irrespective of the value of $k \pmod{3}$.

Let $n \geq 3$ and $v = (v_n, v_{n-1}, \dots, v_1)$ be a vertex of $AQ_{n,k}$.

Case (i): k is even.

Define $\sum_{i=1}^{n} |\frac{k}{2} - v_i| = \alpha$. Traversing $\frac{k}{2}$ ($\leq n, -1$)-edges from v leads to a vertex $v' = (v'_n, v'_{n-1}, \dots, v'_1)$ such that $\sum_{i=1}^{n} \min\{v'_i, k - v'_i\} = \alpha$, and so by traversing

(i, +1)-edges and (i, -1)-edges, for various i, as appropriate, we obtain a path of length $\frac{k}{2} + \alpha$ from v to u. Alternatively, we could simply start from v and traverse (i, +1)-edges and (i, -1)-edges, as appropriate, to obtain a path of length $\frac{nk}{2} - \alpha$ from v to u.

Suppose that $\frac{k}{2} + \alpha \leq \frac{nk}{2} - \alpha$, *i.e.*, $2\alpha \leq \frac{k}{2}(n-1)$. So, there is a path of length at most $\frac{k}{2} + \frac{k}{4}(n-1) = \frac{k}{4}(n+1)$ from v to u. If $2\alpha > \frac{k}{2}(n-1)$ then there is a path of length less than $\frac{nk}{2} - \frac{k}{4}(n-1) = \frac{k}{4}(n+1)$ from v to u. Thus, when k is even there is a path of length at most $\frac{k}{4}(n+1)$ from v to u.

Case (ii): k is odd.

We proceed similarly to as in Case (i) but the numerics are slightly messier. Define $\sum_{i=1}^{n} |\lceil \frac{k}{2} \rceil - v_i| = \alpha$. Similarly to as in Case (i), we obtain a path from v to u of length at most $\lfloor \frac{k}{2} \rfloor + \alpha$ and also one of length at most $n \lceil \frac{k}{2} \rceil - \alpha$.

Suppose that $\lfloor \frac{k}{2} \rfloor + \alpha \leq n \lceil \frac{k}{2} \rceil - \alpha$, *i.e.*, $2\alpha \leq n \lceil \frac{k}{2} \rceil - \lfloor \frac{k}{2} \rfloor$. So, there is a path of length at most $\lfloor \frac{k}{2} \rfloor + \frac{n}{2} \lceil \frac{k}{2} \rceil - \frac{1}{2} \lfloor \frac{k}{2} \rfloor \leq \frac{k}{4} (n+1) + \frac{n}{4}$ from v to u. If $2\alpha > n \lceil \frac{k}{2} \rceil - \lfloor \frac{k}{2} \rfloor$ then there is a path of length less than $n \lceil \frac{k}{2} \rceil - \frac{n}{2} \lceil \frac{k}{2} \rceil + \frac{1}{2} \lfloor \frac{k}{2} \rfloor \leq \frac{k}{4} (n+1) + \frac{n}{4}$. Thus, when k is odd there is a path of length at most $\frac{k}{4} (n+1) + \frac{n}{4}$ from v to u. \Box

Note that we only have an upper bound on the diameter of $AQ_{n,k}$, when $n \geq 3$. Ascertaining the exact value of the diameter appears to be combinatorially quite challenging. However, we conjecture that our upper bound is actually quite close to the true diameter.

5.6 Conclusions

In this chapter, we have defined a new class of graphs, the class of augmented k-ary n-cubes, and we have examined these graphs in relation to some properties pertinent to their use as interconnection networks for parallel computing. Let us examine our findings by comparing and contrasting augmented k-ary n-cubes with (the standard) k-ary n-cubes from which they are derived.

Both $AQ_{n,k}$ and Q_n^k have k^n vertices, with the former having $(n-1)k^n$ more edges than the latter, and both interconnection networks are Cayley graphs, and so vertex-symmetric. However, $AQ_{n,k}$ has a much improved connectivity of 4n-2 in comparison with the connectivity of Q_n^k which is 2n, although this comes at the expense of an increased vertex degree, which is 4n-2 as opposed to 2n for the k-ary *n*-cube (both $AQ_{n,k}$ and Q_n^k are 'maximally connected', in the sense that if disjoint paths are used to transmit messages from one vertex to another in either network then there are no unused neighbours of the source vertex). We have also shown an upper bound on the diameter of an augmented k-ary *n*-cube at roughly one half that of a k-ary *n*-cube.

Recall that both the k-ary n-cube and the augmented k-ary n-cube come with two parameters which are both variable. Suppose that we have a k-ary n-cube, which involves n^k vertices, and we wish to obtain an augmented K-ary N-cube of comparable size, but not necessarily by choosing the parameters N = n and K = k, so that the degrees of the two networks are also comparable. Choose

$$N = \frac{n}{2}$$
 and $K = \frac{k}{1 - \frac{1}{\log(n)}}$

(we assume for simplicity that both N and K are integral). Thus, $n^k = N^K$. Moreover, the degree of the k-ary n-cube Q_n^k is 2n and the degree of the augmented K-ary N-cube $AQ_{N,K}$ is 2n-2, with the diameter of Q_n^k being $\frac{nk}{2}$ in comparison to an upper bound of

$$\frac{K}{4}(N+1) = \frac{k}{4(1-\frac{1}{\log(n)})}(\frac{n}{2}+1)$$

on the diameter of $AQ_{N,K}$ (again, for notational simplicity, let us assume that k is even). It is easy to see that for any fixed k, as n increases the diameter of our augmented K-ary N-cube approaches one quarter of that of our k-ary n-cube (indeed, the actual improvement in diameter could well be better than this, given that we have only given an upper bound as to the diameter of a $AQ_{K,N}$). In consequence, we conclude that augmented k-ary n-cubes can be regarded as improvements over k-ary n-cubes.

There are numerous directions for further research. One obvious one is an exact characterization of the diameter of an augmented k-ary n-cube. However, even in the absence of this exact characterization, our upper bound results still yield a significant improvement. Moreover, the constructions used in the proof of Proposition 5.5.1 yield a very simple routing algorithm of time complexity O(nk) (albeit possibly

non-optimal).

The lengths of the longest of the 4n-2 disjoint paths constructed in $AQ_{n,k}$ in the proof of Theorem 5.4.3 is longer than the length of the 2n disjoint paths joining any two distinct vertices of Q_n^k constructed in [45]; for in [45], 2n disjoint paths, joining any two distinct nodes u and v of Q_n^k , were constructed so that the lengths of these paths are 0, 2, or $4 + d_{Q_n^k}(u, v)$, except for one path in a special case (when the Hamming distance between the u and v is 1) where the length of the path might be $4 + d_{Q_n^k}(u, v)$. This is possibly to be expected, given that we are constructing 4n-2 paths in $AQ_{n,k}$ whereas only 2n paths were constructed in Q_n^k in [45]. Nevertheless, it would be interesting to try and improve upon our length bounds.

Finally, there are numerous other aspects relating to augmented k-ary n-cubes which are worthy of study: for example, the embedding of other networks in $AQ_{n,k}$ (cf. [11,12,41]), the tolerance of faults within $AQ_{n,k}$ (cf. [11,14]), and broadcasting and routing in $AQ_{n,k}$ (cf. [13,41]).

Chapter 6

One-to-Many Node-Disjoint paths in (n, k)-star graph

6.1 Introduction

Chiang and Chen [39] introduced (n, k)-star graphs, $S_{n,k}$, where $n > k \ge 1$, as alternatives to *n*-star graphs, for which the 'jump' from *n*! nodes in an *n*-star graph to (n+1)! nodes in an (n+1)-star graph is deemed excessive (*n*-star graphs were devised in [4] as rivals to hypercubes in that they can incorporate comparable numbers of nodes yet have smaller diameters and degrees). The two parameters, *n* and *k*, of (n, k)-star graphs allow much more precision with regard to incorporating more nodes, and allow fine tuning with regard to a degree/diameter trade-off.

As regards the node-connectivity of $S_{n,k}$, it was shown in [38] that there are n-1 node-disjoint paths joining any two distinct nodes of $S_{n,k}$ (with an implicit algorithm for construction) and that each of these paths has length at most the diameter, $dia(S_{n,k})$, of $S_{n,k}$ plus 3. Furthermore, it was shown that the diameter $dia(S_{n,k})$ is 2k-1, if $1 \leq k \leq \lfloor \frac{n}{2} \rfloor$, and $k + \lfloor \frac{n-1}{2} \rfloor$, if $\lfloor \frac{n}{2} \rfloor + 1 \leq k < n$. Thus, the one-to-one node-disjoint paths problem for $S_{n,k}$ has been pretty much resolved (note that as $S_{n,k}$ is regular of degree n-1, there is no scope for incorporating more node-disjoint paths problem for $S_{n,k}$; that is, we are given in $S_{n,k}$, n-1 distinct target nodes, in the set T, and a source node I, different from any target

node, and we wish to find n-1 node disjoint paths, one from each target node of T, to I.

The many-to-one node-disjoint paths problem is a fundamental problem in the design and implementation of parallel and distributed computing systems and it has been extensively studied for a variety of (families of) interconnection networks. Whilst Menger's Theorem [21] implies that, given a source node and n-1 distinct target nodes (different from the source) in a graph of node-connectivity (n-1), there exist n-1 node-disjoint paths from each of the target nodes to the source, it is by no means easy to identify and actually construct the paths, especially if the paths are to be as short as possible. Indeed, given a source and a collection of target nodes in an arbitrary graph, the general problem of finding node-disjoint paths from each of the target nodes to the source with each path of shortest length is NP-hard [83]. However, in many interconnection networks, which almost always have 'uniformity' properties such as recursive decomposability, node-symmetry and degree regularity, the situation is much more acceptable (see, for example, [4, 20, 34, 63, 71, 74, 84, 102,114, 129, 136]). We only highlight here two such studies of the many-to-one nodedisjoint paths problem: in hypercubes and in *n*-star graphs. In [136], Rabin studied the many-to-one node-disjoint paths problem in hypercubes where he showed that given a source node and n target nodes in an n-dimensional hypercube, there exist node-disjoint paths from each of the target nodes to the source such that each path has length at most 1 plus the diameter of the *n*-dimensional hypercube (that is, n). In [71], Gu and Peng showed that given a source and n-1 target nodes in an n-star graph, there is an algorithm of time complexity $O(n^2)$ that builds n-1 paths from each of the target nodes to the source such that the length of each path is at most the diameter of the *n*-star graph (that is, $\lfloor \frac{3(n-1)}{2} \rfloor$) plus 2.

In this chapter, we prove the following theorem.

Theorem 6.1.1 When T is a set of n-1 distinct nodes in $S_{n,k}$, where $n > k \ge 1$, and when I is a node not in T, there is an algorithm which finds n-1 node-disjoint paths in $S_{n,k}$ from the nodes in T to the node I. Furthermore, all paths found by this algorithm have length at most 6k - 7 and the time complexity of the algorithm is $O(k^3n^4)$. Compared to Chapter 3, 4 and 5, where structural results are given, in this chapter, we will give an algorithmic result. Our algorithmic result contains a structural result. It should be noted that the structural results from Chapters 3, 4 and 5 can be translated into algorithms.

We present the basic definitions in Section 6.2 before dealing with the case when k = 2 in Section 6.3. In Section 6.4, we present the algorithm alluded to in Theorem 6.1.1 and its proof of correctness, and in Section 6.5 we consider the lengths of the paths constructed by our algorithm and also the time complexity of our algorithm. Our conclusions are presented in Section 6.6.

6.2 Basic definitions and lemmas

It is worthwhile beginning with an *n*-star graph in order that we might understand why (n, k)-star graphs emerged. In order to avoid the significant jump from n! nodes in an *n*-star graph to (n + 1)! nodes in an (n + 1)-star graph, (n, k)-star graphs were devised, as 'generalized' *n*-star graphs. $S_{n,k}$ has $\frac{n!}{(n-k)!}$ nodes and $\frac{n-1}{2} \times \frac{n!}{(n-k)!}$ edges. Note that $S_{n,n-1}$ is isomorphic to the *n*-star S_n , and that $S_{n,1}$ is a clique on *n* nodes.

An important property of $S_{n,k}$, which we make crucial use of, is that it can be partitioned into n node-disjoint copies of $S_{n-1,k-1}$ over one of k-1 dimensions. In more detail, let $i \in \{2, 3, ..., k\}$ and partition the nodes of $S_{n,k}$ by fixing the *i*th component of each node. Thus, define $S_{n,k}^i(j) = \{(u_1, u_2, ..., u_k) \in V(S_{n,k}) : u_i = j\}$, for each $j \in \{1, 2, ..., n\}$. It is trivial to see that the set of nodes $S_{n,k}^i(j)$, for $j \in \{1, 2, ..., n\}$, induces a copy of $S_{n-1,k-1}$. Note that there are k-1 dimensions over which we can so partition $S_{n,k}$.

We adopt the following notation throughout this chapter. Let $I = (u_1, u_2, \ldots, u_k)$ be an arbitrary node of $S_{n,k}$. Note that there are k - 1 neighbours of I that are joined to I via an *i*-edge, and n - k neighbours of I that are joined to I by a 1-edge; each neighbour is characterized by its first component. We denote the neighbour of I whose first component is j by I^j . We shall denote paths in $S_{n,k}$ by $\rho(t,s)$ where t is the start node and s is the terminal node. Paths are written explicitly as sequences of nodes, such as $(t, u_2, u_3, \ldots, u_m, s)$. We write $x \in S_{n,k} \setminus T$, where T is a set of nodes of $S_{n,k}$, to denote that x is a node of $S_{n,k}$ different from any node in T.

Our intention is to build an algorithm to find n-1 node-disjoint paths from each of n-1 distinct target nodes, held in T, to a given source node I of $S_{n,k}$ (Iis never a target node). Before we present our algorithm, we show that there are certain assumptions that we can make.

Lemma 6.2.1 Let T be a set of n-1 target nodes in $S_{n,k}$, where $k \ge 3$. There exists a dimension $i \in \{2, 3, ..., k\}$ such that each of $S_{n,k}^i(1), S_{n-k}^i(2), ..., S_{n,k}^i(n)$ contains at most n-2 nodes of T.

Proof: Suppose that for every $j \in \{2, 3, ..., k\}$, when we partition $S_{n,k}$ over dimension j, we get that some $S_{n,k}^{j}(i_{j})$ contains all the target nodes from T. Thus, all target nodes in T have the form $(u, i_{2}, i_{3}, ..., i_{k})$, for some u. This yields a contradiction as there are only n - (k - 1) such nodes.

Suppose that $k \ge 3$. By Lemma 6.2.1, we can choose a dimension, *i*, say (where $i \in \{2, 3, ..., k\}$), so that when we partition the (n, k)-star $S_{n,k}$ over dimension *i* to obtain the (n-1, k-1)-stars $S_{n,k}^i(1), S_{n,k}^i(2), \ldots, S_{n,k}^i(n)$, we can be sure that each $S_{n,k}^i(j)$ contains at most n-2 target nodes. Suppose that $i \ne k$. The automorphism of $S_{n,k}$ obtained by swapping the *i*th and *k*th components of every node is such that $S_{n,k}^i(j)$ is mapped to $S_{n,k}^k(j)$. Suppose that $I = (y_1, y_2, \ldots, y_k)$ and let σ be any permutation of $\{1, 2, \ldots, n\}$ for which $\sigma(y_j) = j$, for $j = 1, 2, \ldots, k$. The permutation σ yields an automorphism of $S_{n,k}$ by mapping each node (x_1, x_2, \ldots, x_k) to $(\sigma(x_1), \sigma(x_2), \ldots, \sigma(x_k))$, so that each $S_{n,k}^k(j)$ is mapped to $S_{n,k}^k(\sigma(j))$. Thus, we may assume that our source node I is $I_k = (1, 2, \ldots, k)$ and that when we partition over dimension k, the resulting (n-1, k-1)-stars $S_{n,k}^k(1), S_{n,k}^k(2), \ldots, S_{n,k}^k(n)$ each contains at most n-2 target nodes. Note that when k = 2, we can assume that our source is I_k but not that partitioning over dimension k results in (n-1, k-1)-stars each containing at most n-2 target nodes. Henceforth, for brevity, we denote $S_{n,k}^k(i)$ by S_i (with S_i not to be confused with the *n*-star graph of the same name).

For $i \in \{k + 1, k + 2, ..., n\}$, we define $I_i = (k, 2, 3, ..., k - 1, i) \in S_i$; for $i \in \{2, 3, ..., k - 1\}$, we define $I_i = (k, 2, 3, ..., i - 1, 1, i + 1, ..., k - 1, i) \in S_i$; and we define $I_1 = (k, 2, 3, ..., k - 1, 1) \in S_1$. For i = 1, 2, ..., n, we denote the set of

target nodes of T which lie in S_i , that is, $T \cap S_i$, by T_i .

6.3 The case for k = 2

In this section, we devise an algorithm Disjoint_paths_when_k=2 ($S_{n,2}$, T, I_2 , paths) which finds node-disjoint paths in $S_{n,2}$ from n-1 target nodes in T to the source node I_2 (which is not a target node); the paths are returned in paths. (Note that the many-to-one node-disjoint paths problem is trivial for $S_{n,1}$, an n-clique.) As is the case throughout, it is best to study the algorithm in conjunction with the subsequent description.

 $\texttt{Disjoint_paths_when_}k=2(S_{n,2}, T, I_2, paths)$ 1 for every node $I_2^j \in T_2$ do 2 add the path $ho(I_2^j,I_2)=(I_2^j,I_2)$ to paths; 3 4 od set *free* := $\{S_j : j \in \{1, 3, 4, ..., n\}$ and $T_j = \emptyset$, 5 and if $j \neq 1$ then $I_2^j \notin T_2$; for $i=1,2,\ldots,n$ where i
eq 2 and $T_i
eq \emptyset$ do 6 if i=1 or $I_2^i
ot\in T_2$ then 7 if $I_i \in T_i$ then 8 add the path $ho(I_1,I_2)=(I_1,I_2)$ (resp. $ho(I_i,I_2)=$ 9 $(I_i, I_2^i, I_2))$ to paths if i = 1 (resp. $i \neq 1$); 10 sorted_target := I_i ; 11 else choose some $I_i^j \in T_i$ and add the path $\rho(I_1^j, I_2) =$ 12 (I_1^j, I_1, I_2) (resp. $ho(I_i^j, I_2) = (I_i^j, I_i, I_2^i, I_2)$) to paths if i = 1 (resp. $i \neq 1$); sorted_target := I_i^j ; 13fi 1415else sorted_target := ϵ ; 16 17fi

if $sorted_target \neq \epsilon$ then 18 let $good_free \subseteq free$ be of size $|T_i| - 1$; 19 20else let $good_free \subseteq free$ be of size $|T_i|$; 21fi 2223 $free := free \setminus good_free;$ for every $I_i^j \in T_i \setminus \{sorted_target\}$ do 24if $S_j \in good_free$ then 25add the path $ho(I_i^1, I_2) = (I_i^1, I_1^i, I_1, I_2)$ (resp. $ho(I_i^j, I_2) =$ 26 $(I_i^j, I_j^i, I_j, I_2^j, I_2))$ to paths if j=1 (resp. j
eq 1); remove S_i from $good_free$; 2728 else choose $I_i^l \not\in T_i$ for which $S_l \in good_free;$ 29 add the path $ho(I_i^j, I_2) = (I_i^j, I_i^1, I_1^i, I_1, I_2)$ (resp. $ho(I_i^j, I_2) =$ 30 $(I_i^j,I_l^l,I_l^i,I_l,I_2^l,I_2))$ to paths if l=1 (resp. l
eq 1); remove S_l from $good_free$; 3132fi 33 od 34od

(We remark that with respect to line 5, and elsewhere throughout, when we say that, for example, S_3 is in the set *free*, in any implementation we would simply hold the index 3 in *free*; we write it as we do to make our algorithm more understandable.)

The actions of Disjoint_paths_when_k=2 can be described as follows. In lines 2-4, we define paths from every target node in S_2 to I_2 . In line 5, we define free to consist of those S_j 's from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_2\}$ containing no target nodes and for which the node $I_2^j \notin T_2$ (if $j \neq 1$); some of these S_j 's will be used as collections of 'transit' nodes for paths from target nodes (in other S_i 's) to I_2 .

In lines 6-34, we deal with the S_i 's for which $i \neq 2$ and $T_i \neq \emptyset$ in turn. In lines 7-17, we ensure that if $I_2^i \notin T_2$, *i.e.*, I_2^i does not block a path from I_i to I_2 through I_2^i , or i = 1 then a path from one of the target nodes in T_i through I_i to I_2 is chosen. The target in T_i chosen is registered in *sorted_target*. In lines 18-22, a subset $good_free$ of free of size $|T_i| - 1$ is chosen, if $sorted_target$ exists, and of size $|T_i|$ otherwise. We need to verify that such a subset exists. Suppose that $X = \{l : l = 1, 3, 4, ..., n, l < i, T_l \neq \emptyset\}$ with $Y \subseteq X$ defined as $Y = \{l : l \in X \setminus \{1\}, I_2^l \in T_2\}$, *i.e.*, X indexes the S_l 's that have so far been dealt with in the for-loop in lines 6-34, and Y indexes those such S_l 's for which I_2^l blocks direct paths from I_l to I_2 . On an iteration of the for-loop for some i where $i \neq 2$ and $T_i \neq \emptyset$, any S_l from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_2, S_i\}$ fails to be in *free* for exactly one of six reasons:

- 1. $l \in Y$;
- 2. S_l is used as a set of transit nodes for a path from some target in S_j where $j \in Y$;
- 3. $l \in X \setminus Y$;
- 4. S_l is used as a set of transit nodes for a path from some target in S_j where $j \in X \setminus Y$;
- 5. $l \notin X$, $l \neq 1$ and $I_2^l \in T_2$; and
- 6. $l \notin X$, $(I_2^l \notin T_2 \text{ or } l = 1)$ and $T_l \neq \emptyset$.

Some of the different cases are illustrated in Fig.6.1, where the target nodes are represented in black and where i = 18 (note that all S_j 's are cliques even though they are not depicted as such). We can associate a target node with any S_l in *free* by choosing: the target node I_2^l in case 1; the unique target node t upon whose path $\rho(t, I_2)$ the nodes of S_l are used as transit nodes in cases 2 and 4; the target node I_2^l in case 5; and any target node of T_l in case 6. All such target nodes are distinct and are different from the target nodes in T_i . Thus, $|free| \ge (n-2) - ((n-1) - |T_i|) = |T_i| - 1$. Furthermore, if *sorted_target* = ϵ then $I_2^i \in T_2$ and $i \ne 1$, and this target node is distinct from all target nodes which were associated above; hence, $|free| \ge (n-2) - ((n-1) - |T_i| - 1) = |T_i|$ and our claim holds.

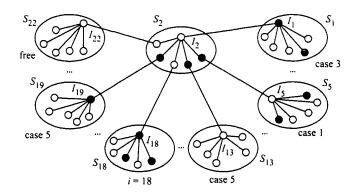


Figure 6.1: An illustration of different cases.

In line 23, we remove the copies of $S_{n-1,1}$ that are in good_free from free. In lines 24-33, we deal with the target nodes of T_i in turn and build paths to I_2 . This is done as follows. If $I_i^j \in T_i \setminus \{\text{sorted_target}\}$ and $S_j \in \text{good_free}$ then we simply take the path from I_i^j through S_j and on to I_2 ; otherwise, if $I_i^j \in T_i \setminus \{\text{sorted_target}\}$ and $S_j \notin \text{good_free}$ then we choose a neighbour I_i^l of I_i^j in S_i that is not a target and where $S_l \in \text{good_free}$ (such a neighbour always exists because we have chosen good_free large enough and $S_{n-1,1}$ is a clique). Consequently, Disjoint_paths_when_k=2 achieves its aims. Furthermore, all paths found by Disjoint_paths_when_k=2 have length at most 5 and the time complexity of Disjoint_paths_when_k=2 is $O(n^2)$.

Theorem 6.3.1 When T is a set of n-1 distinct nodes in $S_{n,2}$ and when I is a node not in T, the algorithm Disjoint_paths_when_ $k=2(S_{n,2},T,I,paths)$ finds n-1 node-disjoint paths from the nodes in T to the node I. Furthermore, all paths found have length at most 5 and the time complexity of Disjoint_paths_when_k=2is $O(n^2)$.

6.4 Building node-disjoint paths

We now detail a recursive algorithm $Disjoint_paths(S_{n,k}, T, I_k, paths)$ to construct node-disjoint paths from n-1 distinct target nodes in $S_{n,k}$, given by the set of nodes T, to a source node I_k (which is different form each target node). The n-1paths will be returned in *paths*.

6.4.1 The basic algorithm

Roughly speaking, our algorithm Disjoint_paths proceeds as follows. First, we find disjoint paths from the target nodes in S_k to I_k (if any such target nodes exist); these paths are not changed throughout the subsequent execution of the algorithm. A neighbour I_k^i of I_k appearing on one of these paths cannot be used in another path from I_i and so 'blocks' S_i ; consequently, the set *blocked* consists of those S_i 's that are blocked by some neighbour of I_k in S_k . Next, we deal in turn with the S_i 's for which $T_i \neq \emptyset$. Once the paths from the target nodes of such an S_i to I_k have been established, they do not change throughout the subsequent execution of the algorithm. Our basic algorithm is as follows. In the rest of this section, we detail the procedures in the algorithm and prove that our algorithm is correct.

1 $Disjoint_paths(S_{n,k}, T, I_k, paths)$ 2 if k=2 then 3 call Disjoint_paths_when_ $k=2(S_{n,2}, T, I_2, paths);$ 4 else free := $\{S_j : j = 1, 2, ..., n, j \neq k, T_j = \emptyset\}$; 5some := $\{S_j : j = 1, 2, ..., n, j \neq k, T_j \neq \emptyset\};$ 6 7 paths := \emptyset ; blocked := \emptyset ; 8 9 used := \emptyset ; if $T_k \neq \emptyset$ then 10 call Paths_in_ $S_k(S_k, T_k, I_k, free, paths, blocked);$ 11 12 fi 13if there is some $S_{i_0} \in blocked \cap some$ then if $I_i \in T_i$ then 14 15call Paths_in_some:target_and_ $blocked(S_{i_0}, T_{i_0}, I_{i_0}, free, used, paths);$ 16 else call Paths_in_some:not_target_and_blocked(S_{i_0} , T_{i_0} , I_{i_0} , 17free,used,paths);

| 18 | fi |
|----|---|
| 19 | else |
| 20 | $i_0 := k;$ |
| 21 | fi |
| 22 | for each $S_i \in some \setminus \{S_{i_0}\}$ do |
| 23 | call Paths_in_some:not_blocked(S_i , T_i , I_i , free, used, paths); |
| 24 | od |

We have one remark concerning procedure calls in our algorithm (including the procedures to follow). As Lemma 6.2.1 shows, we can always assume that when dealing with $S_{n,k}$, our source is I_k and, for $k \geq 3$, when we partition over dimension k, none of the resulting copies of $S_{n-1,k-1}$ contains more than n-2 target nodes. As can be seen from the above outline algorithm (in conjunction with a closer look at the procedures to follow), we make a number of recursive calls to Disjoint_paths. Even though we do not explicitly state this in the procedures to follow, we always assume that we have arranged things (using automorphisms as in Section 6.2) so that if in some recursive call we are dealing with a copy of $S_{n',k'}$ then our source is $I_{k'}$ and none of the copies of $S_{n'-1,k'-1}$ resulting from partitioning over dimension k'contains more than n'-2 target nodes. From Lemma 6.2.1, we can decide which automorphisms (and their inverses) to apply and applying these automorphisms, and ensuring that we partition over dimension k (in $S_{n,k}$, to get at most n-2 target nodes in each resulting S_i) by checking if all the target nodes in one subgraph for each dimension. There are at most k-1 dimensions and n-2 target nodes to be considered. To apply the automorphism (mapping) will only take O(n) time (suppose we choose a mapping function f(i) = j, we do not need to apply this on every node, but in the consequent processing, we will run the mapping function to the corresponding node first). Hence, this can clearly be done in O(kn) time.

6.4.2 Paths in S_k

We start with Paths_in_ $S_k(S_k, T_k, I_k, free, blocked, paths)$, which returns a set free of S_i 's, a set paths of paths in S_k and a set blocked of S_i 's, where $k \ge 3$.

Pre-conditions assumed by this algorithm are that $free = \{S_j : j = 1, 2, ..., n, j \neq k, T_j = \emptyset\}$, $paths = \emptyset$, $blocked = \emptyset$ and $0 < |T_k| < n - 1$.

```
Paths_in_S_k(S_k, T_k, I_k, free, paths, blocked)
1
      temp := \emptyset;
2
      for each neighbour I_k^j of I_k in S_k \setminus T_k do
3
         if S_j \not\in free and |temp \cup T_k| < n-2 then
4
            add I_k^j to temp;
5
6
         fi
7
      od
      temp_so_far := temp;
8
      for each neighbour I_k^j of I_k in S_k \setminus (temp\_so\_far \cup T_k) do
9
         if |temp \cup T_k| < n-2 then
10
            add I_k^j to temp;
11
12
         fi
13
      od
      call Disjoint_paths(S_k, temp \cup T_k, I_k, paths);
14
      for each neighbour I_k^j of I_k in S_k do
15
         if I_k^j \in temp then
16
            remove \rho(I_k^j, I_k) from paths;
17
         else
18
             add S_j to blocked;
19
             if S_j \in free then
20
                remove S_j from free;
21
             fi
22
          fi
 23
 24
       od
```

The actions of Paths_in_ S_k can be described as follows. Initially, free consists of the S_i 's from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k\}$ containing no target nodes; some of these S_i 's will be used as collections of 'transit' nodes for paths from target nodes (in other S_j 's) to I_k . In line 2, temp is initialized as an empty set of nodes. In lines 3-13, some of the neighbours of I_k in S_k are then set as temporary target nodes so that S_k has exactly n-2 (the degree of I_k in S_k) target nodes and temporary target nodes. The order in which the neighbours of I_k are chosen to be temporary target nodes is important; those neighbours I_k^j for which S_j contains at least one target node are chosen first, before neighbours $I_k^{j'}$ for which $S_{j'}$ contains no target nodes are chosen (as to whether neighbours $I_k^{j'}$ for which $S_{j'}$ contains no target nodes are chosen depends upon the distribution of the target nodes).

In line 14, node-disjoint paths are recursively constructed from these target nodes and temporary target nodes to I_k (note that there are n-2 of these paths and that every neighbour of I_k in S_k lies on exactly one of these paths). In line 17, the paths involving temporary target nodes are then removed from *paths* (note that these paths are just solitary edges). The remaining paths, from target nodes in S_k to I_k , will end up being output by the algorithm, and in line 19 the S_j 's for which I_k^j lies on one of these paths are registered in *blocked* (so, any node I_k^j of S_k for which $S_j \in blocked$ cannot be used on any path from the node I_j in S_j to I_k). Finally, those S_j 's in *blocked* \cap *free* are removed from *free* as they can no longer be used as collections of transit nodes, since there is no path to I_k from I_j through I_k^j (or directly, if j = 1). Note that the total number of temporary target nodes chosen is $(n-2) - |T_k|$ and that the total number of S_j 's from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k\}$ containing at least one target node is at most $(n-1) - |T_k|$. Thus, after execution of Paths_in_S_k there is at most one S_j for which $T_l \neq \emptyset$).

6.4.3 Paths in $S_i \in blocked \cap some$

Suppose that S_i is such that $S_i \in blocked \cap some$. Suppose further that $I_i \in T_i$. The procedure Paths_in_some:target_and_blocked($S_i, T_i, I_i, free, used, paths$) finds paths to I_k from every target node in S_i . Pre-conditions are that $I_i \in T_i, 0 < |T_i| < n-1$ and $used = \emptyset$.

- 1 Paths_in_some:target_and_blocked(S_i , T_i , I_i , free, used, paths)
- 2 choose some neighbour I_i^j of I_i in S_i for

```
which I_i^j \notin T_i and S_j \in free;
      root\_escape := I_i^j;
3
      temp := \{root\_escape\};
4
      for each neighbour I_i^j of I_i in S_i \setminus (\{root\_escape\} \cup T_i) do
5
         if S_i \notin free and |temp \cup (T_i \setminus \{I_i\})| < n-2 then
6
            add I_i^j to temp;
7
8
         fi
9
      od
10
      temp_so_far := temp;
      for each neighbour I_i^j of I_i in S_i \setminus (temp\_so\_far \cup T_i) do
11
          if |temp \cup (T_i \setminus \{I_i\})| < n-2 then
12
            add I_i^j to temp;
13
14
         fi
15
      od
      call Disjoint_paths(S_i, (temp \cup T_i) \ {I_i}, I_i, S_i-paths);
16
      S_{i}-paths_blocked := \emptyset;
17
      for every neighbour I_i^j of I_i in S_i do
18
19
          case of
         I_i^j = root\_escape :
20
             replace the path \rho(root\_escape, I_i) in S_i\_paths
21
             with the path \rho(I_i, root\_escape) = (I_i, root\_escape);
             set escape[\rho(I_i, root\_escape)] := S_i;
22
             remove S_j from free and add S_j to used;
23
          I_i^j \in temp \setminus \{root\_escape\} :
24
             remove the path \rho(I_i^j, I_i) from S_i-paths;
25
          I_i^j \not\in temp \cup T_i :
26
             replace the path \rho(t, I_i) in S_{i-paths}
27
             upon which I_i^j lies with the sub-path 
ho(t, I_i^j);
             set escape[\rho(t, I_i^j)] := S_j;
28
             remove S_j from free and add S_j to used;
29
          I_i^j \in T_i and S_j \in free :
30
```

| 31 | replace the path $ ho(I_i^j,I_i)$ in $S_{i_}paths$ |
|----|---|
| | with the path $ ho(I_i^j,I_i^j)=(I_i^j)$; |
| 32 | set $escape[ho(I_i^j,I_i^j)]$:= S_j ; |
| 33 | remove S_j from $free$ and add S_j to $used$; |
| 34 | $I_i^j \in T_i$ and $S_j \not\in free$: |
| 35 | remove the path $ ho(I_i^j,I_i)$ from $S_{i\text{-}}paths$ and |
| | add the path $ ho(I_i^j,I_i^j)=(I_i^j)$ to $S_i_paths_blocked$; |
| 36 | esac; |
| 37 | od |
| 38 | while some path $ ho(t,s)$ in S_{i}_paths contains a node whose |
| | first component j , say, is such that $S_j \in free$ do |
| 39 | replace the path $ ho(t,s)$ in S_{i} paths with it's sub-path $ ho(t,x)$ |
| | where x is such that its first component j , say, is such |
| | that $S_j \in free$ and where if $y eq x$ is any other node on $ ho(t,x)$ |
| | then its first component j' , say, is such that $S_{j'} ot\in free;$ |
| 40 | remove $escape[ho(t,s)]$ from $used$ and add $escape[ho(t,s)]$ to $free;$ |
| 41 | set $escape[ho(t,x)]$:= S_j ; |
| 42 | add S_j to $used$ and remove S_j from $free;$ |
| 43 | od |
| 44 | for every path $ ho(I_i^j,I_i^j)\in S_{i\text{-}}paths_blocked$ do |
| 45 | if $S_j \in free$ then |
| 46 | remove $ ho(I_i^j,I_i^j)$ from $S_i_paths_blocked$ |
| | and add $ ho(I_i^j,I_i^j)$ to $S_{i-}paths$; |
| 47 | set $escape[ho(I_i^j,I_i^j)]$:= S_j ; |
| 48 | remove S_j from $free$ and add S_j to $used$; |
| 49 | fi |
| 50 | od |
| 51 | for every path $ ho(I_i^j,I_i^j)\in S_{i\text{-}}paths_blocked$ do |
| 52 | choose a neighbour $(I_i^j)^l$ of I_i^j in S_i for which $S_l \in free$; |
| 53 | remove $ ho(I_i^j,I_i^j)$ from $S_i_paths_blocked$ and |
| | add $ ho(I_i^j,(I_i^j)^l)=(I_i^j,(I_i^j)^l)$ to S_i -paths; |
| | |

| 54 | set $escape[ho(I_i^j,(I_i^j)^l)]$:= S_l ; |
|----|--|
| 55 | remove S_l from $free$ and add S_l to $used$; |
| 56 | od |
| 57 | for every path $ ho(t,s)$ in $S_{i-}paths$ do |
| 58 | extend $ ho(t,s)$ to a path $ ho(t,I_k)$ through the nodes of |
| | $S_j = escape[ho(t,s)]$ to I_j and then on to $I_k;$ |
| 59 | remove $ ho(t,s)$ from $S_{i\text{-}}paths$ and add $ ho(t,I_k)$ to $paths;$ |
| 60 | od |

We explain below what Paths_in_some:target_and_blocked does, and prove that what the procedure claims to do is actually possible and that it achieves its aims.

We begin, in lines 2-3, by choosing a neighbour $root_escape = I_i^j$ of I_i that is not a target node and through which a path from the target node I_i will pass on its way to I_k . We need to verify that there does indeed exist such a neighbour I_i^j . Suppose that when we attempt to choose our neighbour $root_escape$ of I_i in S_i , we find that every neighbour I_i^j of I_i in S_i is such that $S_j \notin free$. The reason any $S_j \notin free$ is that exactly one of the following holds: $S_j \in blocked$; $S_j \in some \setminus blocked$. Whatever the reason, we can associate a target node with I_i^j : if $S_j \in blocked$ then choose the target node of T_k on whose path in paths the (blocking) node I_k^j lies; otherwise, if $S_j \in some \setminus blocked$ then choose some target nodes associated with two distinct neighbours of I_i in S_i are identical. Thus we get a contradiction as we obtain n-2distinct target nodes (corresponding to the n-2 neighbours of I_i in S_i) and we have yet to consider the target node I_i and the target node on whose path in S_k the node I_k^j lies.

The neighbour root_escape is set as a temporary target node in line 4. In lines 5-15, more neighbours of I_i are set as temporary target nodes, making sure that neighbours I_i^j for which $S_j \notin free$ are chosen before neighbours I_i^j for which $S_j \in free$. The process stops when $|T_i| - 1$ plus the number of temporary target nodes is exactly n - 2. We claim that all neighbours I_i^j of I_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes. Let us count the

number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, that are not in *free*. As above, the reason any $S_l \notin free$ is that exactly one of the following holds: $S_l \in blocked$; $S_l \in some \setminus blocked$. Just as we did above, we can associate a target node with each such S_l so that distinct S_l 's are associated with distinct target nodes. Thus, the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, that are not in *free* is at most the number of target nodes that potentially can be associated with such an S_l . This number is $(n-1) - |T_i| - 1$ (as, by definition of how we associate target nodes, no target node in T_i can be associated with such an S_l , and nor can the target node on whose path in S_k the node I_k^i lies). Thus, the number of temporary target nodes chosen, namely $(n-2) - (|T_i| - 1) = (n-1) - |T_i|$, is greater than $(n-1) - |T_i| - 1$, which is no less than the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, that are not in *free*. Thus, all neighbours I_i^j of I_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes, with the consequence that any neighbour I_i^j of I_i that is neither a target node nor a temporary target node is such that $S_j \in free$.

In line 16, we recursively find node-disjoint paths in S_i from every target node of $T_i \setminus \{I_i\}$ and every temporary target node to the node I_i . Note that all such paths from temporary target nodes to I_i necessarily consist of a single edge (as do such paths from target nodes that are neighbours of I_i) and that every neighbour of I_i in S_i lies upon exactly one such path. The paths reside in S_i -paths.

In line 17, we initialize S_i -paths_blocked as empty. In lines 18-37, we amend each path in S_i -paths by working through the neighbours I_i^j of I_i in turn as follows. If $I_i^j = root_escape$ then we amend the unique path containing I_i^j to $\rho(I_i, root_escape) = (I_i, root_escape)$ and register that the nodes of S_j are to be used as transit nodes to extend $\rho(I_i, root_escape)$ to a path to I_k and so can no longer be used as such for any other path (the nodes of S_j are available for this by choice of $root_escape$). This registration is done with the array escape, indexed by our paths, and the set used. If $I_i^j \in temp \setminus \{root_escape\}$ then we simply remove the path $\rho(I_i^j, I_i)$ from S_i_paths . Otherwise, we truncate the unique path $\rho(t, I_i)$ containing I_i^j by removing the final edge. Furthermore, if $I_i^j \notin T_i$ or $S_j \in free$ then we register that the nodes of S_j are to be used as transit nodes for this (truncated) path (note that immediately after the recursive call, all neighbours I_i^j of I_i in S_i that are not target nodes nor temporary target nodes are such that $S_j \in free$), and if $I_i^j \in T_i$ and $S_j \notin free$ then we move the path $\rho(I_i^j, I_i^j)$ to the set S_i -paths_blocked. Consequently, we have essentially dealt with every target node in S_i except possibly for some target nodes that are neighbours I_i^j of I_i in S_i where $S_j \notin free$ (corresponding to the paths in S_i -paths_blocked).

In lines 38-43, we amend the paths of S_{i} -paths (remember, these are the paths from target nodes in S_i that can be trivially extended to paths to I_k , through sets of transit nodes). Suppose that some path $\rho(t, s)$ in S_i -paths is such that there is some node x of the form (j, \ldots, i) lying upon it so that $S_j \in free$. We can replace the path $\rho(t, s)$ in S_i -paths with the sub-path $\rho(t, x)$, so long as we release the set of transit nodes $escape[\rho(t, s)]$ (for possible future use) and register that the new set of transit nodes S_j is not to be used as a set of transit nodes for any other path. By iterating this process, we get to the situation where no path in S_i -paths contains a node of the form (j, \ldots, i) so that $S_j \in free$.

In lines 44-50, we deal with some of the paths in S_{i} -paths_blocked, each of which is of the form $\rho(I_i^j, I_i^j)$. The changes made in lines 38-43 might mean that S_j is now in *free*, for such a path $\rho(I_i^j, I_i^j)$; if so then we move $\rho(I_i^j, I_i^j)$ to S_{i} -paths and register that the nodes of S_j are to be used as transit nodes to extend $\rho(I_i^j, I_i^j)$ to a path to I_k and so can no longer be used as such for any other path.

In lines 51-56, we deal with the remaining paths in S_i -paths_blocked (of the form $\rho(I_i^j, I_i^j)$ and where $S_j \notin free$). The situation can be visualized in Fig.6.2, where the target nodes are depicted in black, those paths ρ already established are depicted with an arrow (to $escape[\rho]$), and the neighbours of I_i^j in $S_i \setminus \{I_i\}$ are shaded in grey. We claim that there exists a neighbour $(I_i^j)^l$ of I_i^j in $S_i \setminus \{I_i\}$ such that $S_l \in free$. Let us count the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, for which $S_l \notin free$. As we have seen already, any such S_l can be associated with a target node and all these associated target nodes are distinct. The maximum number of target nodes eligible to be associated with such an S_l is $(n-1) - \alpha - 1$, where α is the number of paths currently in S_i -paths_blocked (remember, the target node on whose path in S_k the node I_k^i lies is not eligible for association). Hence, the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, for which $S_l \in free$ is at least $(n-2) - ((n-1) - \alpha - 1) = \alpha \geq 1$. Consider the neighbours of I_i^j in $S_{n,k}$; these are I_i , a node in S_j (where, by definition, $S_j \notin free$) and n-3 other neighbours. Consequently, from the n-3 neighbours of I_i^j different from I_i and the neighbour in S_j , at least one, call it $(I_i^j)^l$, is joined to a node in S_l where $S_l \in free$. We choose such a node $(I_i^j)^l$ in line 52, and in lines 53-55 we remove $\rho(I_i^j, I_i^j)$ from S_i -paths_blocked, add the path $\rho(I_i^j, (I_i^j)^l) = (I_i^j, (I_i^j)^l)$ to S_i -paths and register that the nodes of S_l are to be used as transit nodes to extend $\rho(I_i^j, (I_i^j)^l)$ to a path to I_k and so can no longer be used as such for any other path. Note that $(I_i^j)^l$ cannot lie on any path in S_i -paths because of our manipulation in lines 38-43. Also, the new path $\rho(I_i^j, (I_i^j)^l)$ does not contain a node of the form (j', \ldots, i) for which $S_{j'} \in free$. We repeat the above for every path in S_i -paths_blocked.

In lines 57-60, we extend all paths in S_{i} -paths (in the natural way) so that they reach I_k and move the paths into paths. Thus, the procedure Paths_in_some:target_and_blocked achieves its aims.

Consider the situation where $S_i \in blocked \cap some$ and $I_i \notin T_i$ (recall, up until now we have assumed that $I_i \in T_i$). In order to deal with this situation we develop a new procedure Paths_in_some:not_target_and_blocked($S_i, T_i, I_i, free, used, paths$). This procedure is very similar to Paths_in_some:target_and_blocked so we do not describe it in detail nor with pseudo-code, but only highlight any differences and comment on any amended analysis. To obtain Paths_in_some:not_target_and _blocked, we omit lines 2-3 from Paths_in_some:target_and_blocked and amend line 4 so that *temp* is initialized as being empty. We omit lines 20-23 and amend line 24 to $I_i^j \in temp$. The analysis of Paths_in_some:target_and_blocked is identical to that of Paths_in_some:not_target_and_blocked. Our only comment is that in the analysis corresponding to lines 5-15 of Paths_in_some:target_and_blocked, we still obtain that all neighbours I_i^j of I_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes (the number of temporary target nodes chosen is $(n-2) - |T_i|$ and the number of S_i 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, that are not in free is at most $(n-1) - |T_i| - 1$. Furthermore, the analysis corresponding to line 52 of Paths_in_some:target_and_blocked still holds. Hence,

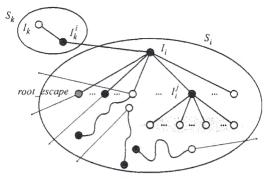


Figure 6.2: Dealing with 'bad' target nodes.

Paths_in_some:not_target_and_blocked achieves its aims.

6.4.4 Paths in $S_i \notin blocked \cap some$

We are reduced to the situation where we have established some paths from target nodes in S_k to I_k (if there are any) and established some paths from target nodes in S_i to I_k , where $S_i \in blocked \cap some$ (if such an S_i exists). Thus, we have to deal with target nodes in other S_j 's for which $S_j \in some \setminus blocked$ (recall that there is at most one S_i in $blocked \cap some$).

We deal with this situation with the procedure Paths_in_some:not_blocked(S_i , T_i , I_i , free, used, paths) (we have switched indices from j to i to make a comparison with Paths_in_some:target_and_blocked easier). This procedure differs from Paths_in_some:target_and_blocked sufficiently for it to be worthwhile detailing using pseudo-code. The line-numbering has been chosen so that Paths_in_some:not_blocked can more easily be compared with Paths_in_some:target_and_blocked. As ever, we assume that $0 < |T_i| < n - 1$.

```
1 Paths_in_some:not_blocked(S_i, T_i, I_i, free, used, paths)

4 temp := \emptyset;

5 for each neighbour I_i^j of I_i in S_i \setminus T_i do

6 if S_j \notin free and |temp \cup (T_i \setminus \{I_i\})| < n-2 then

7 add I_i^j to temp;

8 fi

9 od
```

```
10
      temp_so_far := temp;
      for each neighbour I_i^j of I_i in S_i \setminus (temp\_so\_far \cup T_i) do
11
         if |temp \cup (T_i \setminus \{I_i\})| < n-2 then
12
            add I_i^j to temp;
13
14
         fi
15
      od
      call Disjoint_paths(S_i, (temp \cup T_i) \setminus \{I_i\}, I_i, S_i-paths);
16
17
      S_{i}-paths_blocked := \emptyset;
17.1 bad_target := \epsilon;
17.2 bad_terminal := \epsilon;
      for every neighbour I_i^j of I_i in S_i do
18
19
         case of
         I_i^j \in temp :
24.1
            remove the path \rho(I_i^j, I_i) from S_{i-paths};
25
         I_i^j \notin temp \cup T_i:
26
             replace the path \rho(t, I_i) in S_i-paths
27
             upon which I_i^j lies with the sub-path 
ho(t,I_i^j);
             if S_j \in free then
28.1
                set escape[\rho(t, I_i^j)] := S_i;
28
                remove S_j from free and add S_j to used;
29
29.1
             else
                bad\_target := t;
29.2
                bad\_terminal := I_i^j;
29.3
29.4
             fi
          I_i^j \in T_i and S_j \in free :
30
             replace the path \rho(I_i^j, I_i) in S_{i-paths}
31
             with the path \rho(I_i^j, I_i^j) = (I_i^j);
             set escape[\rho(I_i^j, I_i^j)] := S_j;
32
             remove S_j from free and add S_j to used;
33
          I_i^j \in T_i and S_j \notin free :
34
             remove the path \rho(I_i^j, I_i) from S_{i-paths} and add the
35
```

```
path \rho(I_i^j, I_i^j) = (I_i^j) to S_i-paths_blocked;
36
         esac;
37
      od
     while some path \rho(t,s) in S_{i-paths} contains a node whose
38
     first component j, say, is such that S_j \in free do
         replace the path 
ho(t,s) in S_{i-paths} with it's sub-path
39

ho(t,x) where x is such that its first component j,
        say, is such that S_j \in free and where if y \neq x is any
         other node on 
ho(t,x) then its first component j',
         say, is such that S_{j'} \notin free;
         if t \neq bad\_target or s \neq bad\_terminal then
39.1
            remove escape[\rho(t,s)] from used and add
40
            escape[\rho(t,s)] to free;
40.1
         fi
         set escape[\rho(t, x)] := S_i;
41
         add S_j to used and remove S_j from free;
42
43
      od
      for every path \rho(I_i^j,I_i^j) \in S_i\_paths\_blocked do
44
         if S_i \in free then
45
            remove \rho(I_i^j, I_i^j) from S_{i-paths\_blocked}
46
            and add \rho(I_i^j, I_i^j) to S_{i-paths};
            set escape[\rho(I_i^j, I_i^j)] := S_j;
47
            remove S_j from free and add S_j to used;
48
49
         fi
50
      od
50.1 if I_i \in T_i then
         add the path 
ho(I_i,I_k)=(I_i,I_k^i,I_k) (resp. 
ho(I_1,I_k)=
50.2
         (I_1, I_k)) to paths if i \neq 1 (resp. i = 1);
50.3 else
         if bad\_target \neq \epsilon and bad\_terminal \neq \epsilon then
50.4
            if \rho(bad\_target, bad\_terminal) \in S_{i\_}paths then
50.5
```

| 50.6 | remove $\rho(bad_target, bad_terminal)$ from S_i_paths ; |
|---------|---|
| 50.7 | else |
| 50.8 | remove the path $ ho(bad_target,s)$ from $S_{i_}paths$; |
| 50.9 | remove $escape[ho(bad_target,s)]$ from $used$ and add |
| | $escape[ho(bad_target,s)]$ to $free;$ |
| 50.a | fi |
| 50.b | extend $ ho(bad_target, bad_terminal)$ through I_i to I_k |
| | and call this path $ ho(bad_target, I_k)$; |
| 50.c | add $ ho(bad_target, I_k)$ to $paths;$ |
| 50.d e | lse |
| 50.e | if S_{i} -paths_blocked $\neq \emptyset$ then |
| 50.f | choose some $ ho(I_i^j,I_i^j)\in S_{i\text{-}}paths_blocked$, |
| | remove it from $S_{i_paths_blocked}$ and add the path |
| | $ ho(I_i^j, I_k) = (I_i^j, I_i, I_k^i, I_k)$ (resp. $ ho(I_1^j, I_k) = (I_1^j, I_1, I_k)$) |
| | to paths if $i \neq 1$ (resp. $i = 1$); |
| 50.g | else |
| 50.h | remove some path $ ho(t,s)$ from $S_{i\text{-}}paths;$ |
| 50.i | <code>remove</code> $escape[ho(t,s)]$ from $used$ and |
| | add $escape[ho(t,s)]$ to $free;$ |
| 50.j | define the path $ ho(t,I_k)$ by extending the |
| | original path $ ho(t,I_i^j)$ of which $ ho(t,s)$ is a |
| | sub-path to I_i and then on to I_k ; |
| 50.k | add $ ho(t,I_k)$ to $paths;$ |
| 50.l | fi |
| 50.m f | i |
| 50.n fi | |
| 51 for | every path $ ho(I_i^j,I_i^j)\in S_i_paths_blocked$ do |
| 52 0 | choose a neighbour $(I_i^j)^l$ of I_i^j in S_i for which $S_l \in free;$ |
| | semove $ ho(I_i^j,I_i^j)$ from $S_{i_paths_blocked}$ and |
| ā | add $ ho(I_i^j,(I_i^j)^l)=(I_i^j,(I_i^j)^l)$ to S_i -paths; |
| 54 s | set $escape[ho(I_i^j,(I_i^j)^l)]$:= S_l ; |
| | |

| 55 | remove S_l from $free$ and add S_l to $used;$ |
|----|---|
| 56 | od |
| 57 | for every path $ ho(t,s)$ in $S_{i\text{-}}paths$ do |
| 58 | extend $ ho(t,s)$ to a path $ ho(t,I_k)$ through the nodes of |
| | $S_j = escape[ho(t,s)]$ to I_j and then on to $I_k;$ |
| 59 | remove $ ho(t,s)$ from $S_{i\text{-}}paths$ and add $ ho(t,I_k)$ to $paths$; |
| 60 | od |

We explain below what Paths_in_some:not_blocked does, and prove that what the procedure claims to do is actually possible and that it achieves its aims. It has some similarities with Paths_in_some:target_and_blocked and so we are brief with some of the analysis below when this analysis is identical to before with Paths_in_some:target_and_blocked. We assume that (after possible calls to Paths_in_S_k and Paths_in_some:target_and_blocked), it is the case that |free| = $(n-1) - |T_k|$, if there was no call to Paths_in_some:target_and_blocked, and $|free| \ge (n-2) - (|T_k| - 1) - |T_{i_0}| = (n-1) - |T_k| - |T_{i_0}|$, where S_{i_0} is the focus of the call to Paths_in_some:target_and_blocked. Thus, regardless, |free| is n-1minus the total number of target nodes in the S_j 's 'dealt with' so far.

In lines 4-15, neighbours of I_i are set as temporary target nodes, making sure that neighbours I_i^j for which $S_j \notin free$ are chosen before neighbours I_i^j for which $S_j \in free$. The process stops when: $|T_i| - 1$ plus the number of temporary target nodes is exactly n - 2, if $I_i \in T_i$; or when $|T_i|$ plus the number of temporary target nodes is exactly n - 2, if $I_i \notin T_i$. We claim that: if $I_i \in T_i$ then all neighbours I_i^j of I_i in S_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes; and that if $I_i \notin T_i$ then all neighbours I_i^j of I_i in S_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes except possibly for at most one such neighbour. We now verify this claim.

Suppose that the call to Paths_in_some:target_and_blocked was made. Let us count the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_{i_0}, S_i\}$, that are not in *free*. The reason any $S_l \notin free$ is that exactly one of the following holds: $S_l \in blocked$; $S_l \in some \setminus blocked$; and $S_l \in used \setminus blocked$. We can associate a target node with each such S_l : if $S_l \in blocked$ then choose the target node in T_k on whose path in paths the (blocking) node I_k^l lies; if $S_l \in some \setminus blocked$ then choose any target node in S_l ; and if $S_l \in used \setminus blocked$ then choose the unique target node in S_{i_0} on whose path in paths the nodes of S_l are used as transit nodes. Note that distinct S_l 's are associated with distinct target nodes. Thus, the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_{i_0}, S_i\}$, that are not in *free* is at most the number of target nodes that potentially can be associated with such an S_l , and this is $(|T_k| - 1) + |T_{i_0}| + \sum_{j \neq k, i_0, i} |T_j| = (n-2) - |T_i|$ (note that $I_k^{i_0} \in T_k$ cannot be so associated). Thus, irrespective of whether I_i is in T_i or not, all neighbours I_i^j of I_i in S_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes.

Suppose that the call to Paths_in_some:target_and_blocked was not made. Let us count the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, that are not in *free*. As above, the number of such S_l 's is at most $|T_k| + \sum_{j \neq k,i} |T_j| = (n-1) - |T_i|$. Thus, if $I_i \in T_i$ then all neighbours I_i^j of I_i in S_i that are not target nodes and for which $S_j \notin free$ are chosen as temporary target nodes; however, if $I_i \notin T_i$ then there may be at most one such neighbour that is not chosen as a temporary target node. Hence, our claim holds.

In line 16, we recursively find node-disjoint paths in S_i from every target node of $T_i \setminus \{I_i\}$ and every temporary target node to the node I_i , as we did before. In line 17, S_i -paths_blocked is initialized as an empty set of paths, and in lines 17.1-17.2, the nodes bad_target and bad_terminal are set as ϵ , *i.e.*, 'nil'.

In lines 18-37, we amend each path in S_i -paths by working through the neighbours I_i^j of I_i in S_i in turn as follows. If $I_i^j \in temp$ then we remove the path $\rho(I_i^j, I_i)$ from S_i -paths. If $I_i^j \notin temp \cup T_i$ then we truncate the path $\rho(t, I_i)$ containing I_i^j by removing the final edge. We also register that the nodes of S_j are to be used as transit nodes for this truncated path, but only if the path in question is such that $S_j \in free$; otherwise, we set $bad_target = t$ and $bad_terminal = I_i^j$ (from above, there is at most one such path). If $I_i^j \in T_i$ then we truncate the path $\rho(I_i^j, I_i)$ containing I_i^j by removing the final edge. If $S_j \in free$ then we register that the nodes of S_j are to be used as transit nodes for this truncates for this truncated.

In line 38-43, we amend the paths of S_{i} -paths. Suppose that some path $\rho(t, s)$ in S_{i} -paths, where $t \neq bad_target$ or $s \neq bad_terminal$, is such that there is some node x of the form (j, \ldots, i) lying upon it so that $S_j \in free$. We can replace the path $\rho(t, s)$ in S_{i} -paths with the sub-path $\rho(t, x)$, so long as we release the set of transit nodes $escape[\rho(t, s)]$ (for possible future use) and register that the new set of transit nodes S_j is not to be used as a set of transit nodes for any other path. If the path $\rho(bad_target, bad_terminal)$ is such that there is some node x of the form (j, \ldots, i) lying upon it so that $S_j \in free$ then we can simply replace it in S_i -paths with the path $\rho(bad_target, x)$ and register that the new set of transit nodes S_j is not to be used as a set of transit nodes for any other path. If then $S_l \notin free$). By iterating this process, we get to the situation where no path in S_i -paths contains a node of the form (j, \ldots, i) so that $S_j \in free$.

In lines 44-50, we deal with some of the paths in S_{i} -paths_blocked, each of which is of the form $\rho(I_i^j, I_i^j)$, as we did before.

In lines 50.1-50.n, we ensure that exactly one path from a chosen target node in S_i to I_k will pass through the node I_i (after construction, this path is placed in paths). If $I_i \in T_i$ then our chosen target node is I_i ; recall from earlier that when $I_i \in T_i$, there is no 'bad' path $\rho(bad_target, bad_terminal)$ and so all other paths in $S_{i-paths}$ can be extended through transit nodes (as is done in lines 57-60). Otherwise, if the 'bad' path $\rho(bad_target, bad_terminal)$ exists and still resides in $S_{i-paths}$ then we extend this path through I_i to I_k ; alternatively, if there is a path of the form $\rho(bad_target, s)$ in S_i_paths (where $s \neq bad_terminal$) then we release the corresponding set of transit nodes for possible future use before replacing $\rho(bad_target, s)$ with the extension of the original path $\rho(bad_target, bad_terminal)$ through I_i to I_k . Suppose that $I_i \notin T_i$ and that no 'bad' path has initially been registered. If S_{i} -paths_blocked is non-empty then some path from S_{i} -paths_blocked is chosen and extended through I_i to I_k . Alternatively, if S_i -paths-blocked is empty then some path $\rho(t, s)$ from S_{i} -paths is chosen and replaced with the original path $\rho(t, I_i^j)$ of which $\rho(t, s)$ is a sub-path; the path $\rho(t, I_i^j)$ is extended through I_i to I_k and the set of transit nodes corresponding to $\rho(t, s)$ is released for possible future use. Irrespective of which path is chosen to go through I_i , note that the corresponding target node is not associated with any set of transit nodes.

The subsequent execution of the algorithm is as before; however, we must verify that a node $(I_i^j)^l$ can be chosen as in line 52. We claim that there exists a neighbour $(I_i^j)^l$ of I_i^j in $S_i \setminus \{I_i\}$ such that $S_l \in free$.

Suppose that the call to Paths_in_some:target_and_blocked was made. Let us count the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_{i_0}, S_i\}$, for which $S_l \notin free$. As we have seen already, any such S_l can be associated with a target node and all these associated target nodes are distinct. The maximum number of target nodes eligible to be associated with such an S_l is $(n-1) - \alpha - 2$, where α is the number of paths currently in S_i -paths_blocked (remember, from the last line of the preceding paragraph, there is a target node of S_i , but not one of the α target nodes, not associated with any set of transit nodes; also, $I_k^{i_0} \in T_k$ is not so associated). Hence, the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_{i_0}, S_i\}$, for which $S_l \in free$ is at least $(n-3) - ((n-1) - \alpha - 2) = \alpha \ge 1$. Consider the neighbours of I_i^j in $S_{n,k}$; these are I_i , a node in S_j (where, by definition, $S_j \notin free$) and n-3 other neighbours. Consequently, from the n-3 neighbours of I_i^j different from I_i and the neighbour in S_j , at least one, call it $(I_i^j)^l$, is joined to a node in S_l where $S_l \in free$.

Suppose that the call to Paths_in_some:target_and_blocked was not made. Let us count the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, for which $S_l \notin free$. The maximum number of target nodes eligible to be associated with such an S_l is $(n-1) - \alpha - 1$, where α is the number of paths currently in S_i -paths_blocked (again, there is a target node of S_i , but not one of the α target nodes, not associated with any set of transit nodes). Hence, the number of S_l 's, from $\{S_1, S_2, \ldots, S_n\} \setminus \{S_k, S_i\}$, for which $S_l \in free$ is at least $(n-2) - ((n-1) - \alpha - 1) = \alpha \ge 1$. As above, the required node $(I_i^j)^l$ exists and our claim holds.

It can easily be verified that if we repeatedly apply the procedure Paths_in_ some:not_blocked then the analysis as presented above still holds true (essentially because every time we apply Paths_in_some:not_blocked, one of the target nodes in the S_i in question is always on a path through I_i to I_k and thus does not use any set of transit nodes). Consequently, the procedure Paths_in_some:not_blocked achieves its aims, as does our main algorithm Disjoint_paths.

6.5 Path lengths and complexity

Having proved that our algorithm Disjoint_paths finds a collection of node-disjoint paths in $S_{n,k}$ from n-1 target nodes to a source node, we now turn to the lengths of the paths produced by the algorithm and the time complexity of the algorithm.

We derive below an upper bound on the length of any path constructed by Disjoint_paths; in the first instance, this upper bound is in the form of a recurrence relation. Let b_k be an upper bound on the length of any path produced by the algorithm Disjoint_paths applied in $S_{n,k}$, irrespective of n (at the moment, we have not shown that such an upper bound exists; however, we show, using induction, that it does and derive an estimate of it). By Theorem 6.3.1, $b_2 = 5$. In order to derive the recurrence relation, we consider each of the procedures Paths_in_ S_k , Paths_in_some:target_and_blocked, Paths_in_some:not_target_and_blocked and Paths_in_some:not_blocked in turn (when called from within Disjoint_paths applied in $S_{n,k}$, where k is at least 3). As our induction hypothesis, we assume that b_{k-1} exists.

The following lemma proves useful.

Lemma 6.5.1 Let $(j, x_2, \ldots, x_{k-1}, i)$ and $(y_1, y_2, \ldots, y_{k-1}, i)$ be nodes of S_i in $S_{n,k}$, for some $i, j \in \{1, 2, \ldots, n\} \setminus \{k\}$, with $i \neq j$, and let $\rho((j, x_2, \ldots, x_{k-1}, i), (y_1, y_2, \ldots, y_{k-1}, i))$ be a path in S_i of length t. Also, let $(z_1, z_2, \ldots, z_{k-1}, j)$ be the node of S_j such that for every $l = 1, 2, \ldots, k-1$, if $y_l \neq j$ then $z_l = y_l$, and if $y_l = j$ then $z_l = i$.

- (a) There is a path $\rho((i, x_2, ..., x_{k-1}, j), (z_1, z_2, ..., z_{k-1}, j))$ in S_j of length t.
- (b) If, further, $(y_1, y_2, \ldots, y_{k-1}, i) = I_i$ then there is a path from $(z_1, z_2, \ldots, z_{k-1}, j)$ to I_j in S_j of length at most 3.

Proof: (a) This follows from a simple induction on the length of the path $\rho((j, x_2, \ldots, x_{k-1}, i), (z_1, z_2, \ldots, z_{k-1}, i)).$

(b) There are a number of cases to consider. Denote $(z_1, z_2, ..., z_{k-1}, j)$ by Z. <u>Case (i)</u>: Suppose that $I_i = (k, 2, ..., i - 1, 1, i + 1, ..., k - 1, i)$, where $i \in \{2, 3, ..., k - 1\}$, and that $I_j = (k, 2, ..., j - 1, 1, j + 1, ..., k - 1, j)$, where $j \in \{2, 3, ..., k - 1\}$

$1\} \setminus \{i\}.$

Thus, $Z = (k, 2, \dots, i-1, 1, i+1, \dots, j-1, i, j+1, \dots, k-1, j)$ and there is a path from Z to I_i of length at most 3.

Case (*ii*): Suppose that $I_i = (k, 2, ..., i - 1, 1, i + 1, ..., k - 1, i)$, where $i \in \{2, 3, ..., k - 1\}$, and that $I_j = (k, 2, ..., k - 1, j)$, where $j \in \{k + 1, k + 2, ..., n\}$.

Thus, Z = (k, 2, ..., i - 1, 1, i + 1, ..., k - 1, j) and there is a path from Z to I_j of length at most 3.

<u>Case (*iii*)</u>: Suppose that $I_i = (k, 2, ..., i - 1, 1, i + 1, ..., k - 1, i)$, where $i \in \{2, 3, ..., k - 1\}$, and that j = 1 with $I_1 = (k, 2, ..., k - 1, 1)$.

Thus, Z = (k, 2, ..., k - 1, 1) and $Z = I_1$.

Case (*iv*): Suppose that $I_i = (k, 2, ..., k - 1, i)$, where $i \in \{k + 1, k + 2, ..., n\}$, and that $I_j = (k, 2, ..., j - 1, 1, j + 1, ..., k - 1, j)$, where $j \in \{2, 3, ..., k - 1\}$.

Thus, Z = (k, 2, ..., j - 1, i, j + 1, ..., k - 1, j) and there is a path from Z to I_j of length at most 3.

<u>Case (v)</u>: Suppose that $I_i = (k, 2, ..., k - 1, i)$, where $i \in \{k + 1, k + 2, ..., n\}$, and that $I_j = (k, 2, ..., k - 1, j)$, where $j \in \{k + 1, k + 2, ..., n\} \setminus \{i\}$.

Thus, Z = (k, 2, ..., k - 1, j) and $Z = I_j$.

<u>Case (vi)</u>: Suppose that $I_i = (k, 2, ..., k - 1, i)$, where $i \in \{k + 1, k + 2, ..., n\}$, and that j = 1 with $I_1 = (k, 2, ..., k - 1, 1)$.

Thus, $Z = (k, 2, \dots, k - 1, 1)$ and $Z = I_1$.

<u>Case (vii)</u>: Suppose that i = 1 with $I_1 = (k, 2, ..., k - 1, 1)$ and that $I_j = (k, 2, ..., j - 1, 1, j + 1, ..., k - 1, j)$, for some $j \in \{2, 3, ..., k - 1\}$.

Thus, Z = (k, 2, ..., j - 1, 1, j + 1, ..., k - 1, j) and $Z = I_j$.

<u>Case (viii)</u>: Suppose that i = 1 with $I_1 = (k, 2, ..., k - 1, 1)$ and that $I_j = (k, 2, ..., k - 1, j)$, for some $j \in \{k + 1, k + 2, ..., n\}$.

Thus, Z = (k, 2, ..., k - 1, j) with $Z = I_j$.

The result follows.

Trivially, every path produced by Paths_in_ S_k has length b_{k-1} . Consider the paths constructed by Paths_in_some:target_and_blocked. Each path begins as

a path of length at most b_{k-1} produced by the recursive call to Disjoint_paths. Some paths are essentially constructed in lines 18-50 (except that they need to be extended through the appropriate S_j to I_j and then on to I_k); others are essentially constructed in lines 51-60. Consider a path $\rho(t, I_k)$ constructed according to lines 18-50. In general, this path: starts out as a path $\rho(t, I_i)$ of length at most b_{k-1} ; is progressively shortened so that some sub-path from some node X of $\rho(t, I_i^j)$ to I_i^j is removed; and the sub-path $\rho(t, X)$ is extended through some S_l to I_l and then on to I_k . By Lemma 6.5.1, the resulting (sub-)path from t to I_l has length at most $b_{k-1} + 4$, and so the resulting path $\rho(t, I_k)$ has length at most $b_{k-1} + 6$. Consider a path $\rho(t, I_k)$ constructed according to lines 51-60. Again by Lemma 6.5.1, this path has length at most 9. The same path-length analysis holds for both Paths_in_some:not_target_and_blocked and Paths_in_some:not_blocked. Thus, we have that b_k exists and $b_k \leq b_{k-1} + 6$. Thus, by induction and as $b_2 = 5$, we have that $b_k \leq 6k - 7$.

As regards the time complexity of our algorithm, consider the execution of $Disjoint_paths$ on $S_{n,k}$, with the set of target nodes T and with the source node I. This execution results in a tree τ describing the procedure calls, with every node of the tree τ corresponding to a call of the procedure $Disjoint_paths$, $Paths_in_Some:target_and_blocked$, $Paths_in_some:not_target_and_blocked$ or $Paths_in_some:not_blocked$, as follows: a node corresponding to some procedure Q from within the call to procedure P. The structure of the tree τ can be visualized as in Fig.6.3, where a node is labelled D (a D-node) if it corresponds to a call of one of the other 4 procedures. Note that it may be the case that a D-node has only 1 child; however, every P-node has exactly one child.

We can associate with each *D*-node of τ a pair of integers (k, t) if the particular call involves $S_{n,k}$, the target nodes *T* and the source node *I*, and if there are *t* target nodes of *T* not adjacent to the source node *I*. Note that the pair of integers associated with the root of τ is (k, t), for some $t \leq n$. If a *D*-node *u* has an associated pair (m, t) and *d* children then a simple consideration of the procedure calls detailed

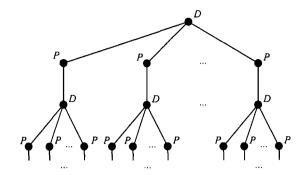


Figure 6.3: The tree τ of procedure calls.

in the algorithm Disjoint_paths yields that the pair associated with the unique D-child of the *i*th P-child of u must be of the form $(m - 1, t_i)$ and we must have that $t_1 + t_2 + \ldots + t_d \leq t$.

Remove all *P*-nodes from τ by inserting an edge joining the parent and the child of any *P*-node; denote the resulting tree by τ' . We claim that τ' has at most $(k-2)t^2$ edges, where the pair of integers associated with the root is (k, t), for some $k \ge 2$, and we prove this claim by induction on k (the base case, when k = 2, trivially holds). Suppose that the root has d children and that the pair of integers associated with the *i*th child is $(k-1, t_i)$; so, in particular, $t_1 + t_2 + \ldots + t_d \le t$. By the induction hypothesis, the sub-tree rooted at the *i*th child of the root has at most $(k-3)t_i^2$ edges. Thus, the number of edges in τ' is at most $(k-3)(t_1^2 + t_2^2 + \ldots + t_d^2) + d$ edges, which in turn is at most $(k-2)(t_1 + t_2 + \ldots + t_d)^2 \le (k-2)t^2$. Hence, our claim holds.

The upshot is that in any execution of Disjoint_paths on $S_{n,k}$ with a set of target nodes T of size n-1, there are at most $2(k-2)(n-1)^2$ procedure calls. Given that $b_k \leq 6k - 7$, it is trivial to see that apart from a call to another procedure, all procedures take $O(k^2n^2)$ time, as does the procedure Disjoint_paths_when_k=2 (by Theorem 6.3.1). Hence, Disjoint_paths on $S_{n,k}$ with a set of target nodes T of size n-1 has time complexity $O(k^3n^4)$.

6.6 Conclusions

In this chapter, we have derived a polynomial-time algorithm to find node-disjoint paths from each of n-1 distinct target nodes in $S_{n,k}$ to a source node (different from any target node). The length of any path constructed is at most 6k - 7. This should be compared with the diameter of $S_{n,k}$ which is at most 2k - 1 (see the Introduction for an exact formula for the diameter of $S_{n,k}$).

Of course, we can apply our algorithm to $S_{n-1,n}$, *i.e.*, the *n*-star. What results is an algorithm of time complexity $O(n^7)$ that finds node-disjoint paths, each of length at most 6n-13. As might be expected, the algorithm from [34], designed specifically for *n*-stars, is better in that it has time complexity $O(n^2)$ and results in node-disjoint paths each of length at most $\frac{3n+9}{2}$. Similarly, we can apply our algorithm to produce a (u, v)-container, for distinct nodes u and v of $S_{n,k}$. Again, as expected, the resulting container is much worse than that produced by the (polynomial-time) algorithm in [115] (specifically designed for the purpose) where one of wide-diameter at most 2k + 1 is produced. Nevertheless, our algorithm gives a polynomial-time alternative for constructing node-disjoint paths in *n*-stars and containers in $S_{n,k}$.

Chapter 7

Conclusion and future work

There are many studies on different aspects of interconnection networks for parallel and distributed computing; for example, the topological properties of different interconnection networks, routing and communication algorithms designed for interconnection networks, and fault-tolerant properties of interconnection networks. In this thesis we considered several properties for k-ary n-cubes and (n, k)-star graphs, and we proposed a new interconnection network, the augmented k-ary n-cube. In detail, we obtained the following results:

- 1. Let $k \ge 4$ be even and let $n \ge 2$. Consider a faulty k-ary n-cube Q_n^k in which the number of node faults f_v and the number of link faults f_e are such that $f_v + f_e \le 2n - 2$. We prove that given any two healthy nodes s and e of Q_n^k , there is a path from s to e of length at least $k^n - 2f_v - 1$ (resp. $k^n - 2f_v - 2$) if the nodes s and e have different (resp. the same) parities (the parity of a node in Q_n^k is the sum modulo 2 of the elements in the n-tuple over $\{0, 1, \ldots, k-1\}$ representing the node). Our result is optimal in the sense that there are pairs of nodes and fault configurations for which these bounds cannot be improved, and it answers questions recently posed by Yang, Tan and Hsu, and by Fu. Furthermore, we extend known results, obtained by Kim and Park, for the case when n = 2.
- 2. We give precise solutions to problems posed by Wang, An, Pan, Wang and Qu and by Hsieh, Lin and Huang. In particular, we show that Q_n^k is bi-

panconnected and edge-bipancyclic, when $k \ge 3$ and $n \ge 2$, and we also show that when k is odd, Q_n^k is m-panconnected, for $m = \frac{n(k-1)+2k-6}{2}$, and (k-1)-pancyclic (these bounds are optimal). We introduce a path-shortening technique, called progressive shortening, and strengthen existing results, showing that when paths are formed using progressive shortening then these paths can be efficiently constructed and used to solve a problem relating to the distributed simulation of linear arrays and cycles in a parallel machine whose interconnection network is Q_n^k , even in the presence of a faulty processor.

- 3. We define an interconnection network AQ_{n,k} which we call the augmented k-ary n-cube by extending a k-ary n-cube in a manner analogous to the existing extension of an n-dimensional hypercube to an n-dimensional augmented cube. We prove that the augmented k-ary n-cube AQ_{n,k} has a number of attractive properties (in the context of parallel computing). For example, we show that the augmented k-ary n-cube AQ_{n,k}: is a Cayley graph (and so is vertex-symmetric); has connectivity 4n 2, and is such that we can build a set of 4n 2 mutually disjoint paths joining any two distinct vertices so that the path of maximal length has length at most max{(n-1)k (n-2), k+7}; has diameter [k/3] + [k-1/3], when n = 2; and has diameter at most k/4(n+1), for n ≥ 3 and k even, and at most k/4(n+1) + n/4, for n ≥ 3 and k odd.
- 4. We present an algorithm which given a source node and a set of n-1 target nodes in the (n, k)-star graph $S_{n,k}$, where all nodes are distinct, builds a collection of n-1 node-disjoint paths, one from each target node to the source. The collection of paths output from the algorithm is such that each path has length at most 6k - 7, and the algorithm has time complexity $O(k^3n^4)$.

Our research plan in the near future may focus on the following topics:

• Tolerating faults under conditional fault assumptions: to classify the faulttolerance of interconnections networks used within parallel computing with respect to path- and cycle-based properties and under conditional fault assumptions.

- Tolerating faults in OTIS networks: to investigate further the topological and algorithmic properties of general OTIS networks (Optical Transpose Interconnect System network [121, 173]), both in the absence and presence of faults.
- The distributed construction of embedded structures: to investigate further the distributed construction of embedded structures within faulty interconnection networks.

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Appendix A

Source code: verify the base case of Theorem 3.3.3

'In the front, the author would like to give the following 'declaration: The program is sololy coded by Mr. Yonghong Xiang 'at Department of Computer Science Department, Durham University 'while he was doing his Ph.D study from 2005--2008 in Durham.

'The purpose of the program is: to check the base case in proving 'that one can find a longest possible path in k-ary n-cube. 'That is, for 2-ary 4-cube, the program proves the result holds in 'the following aspects:

'1) If there are two faulty nodes in 2-ary 4-cube, there exist 'paths of length at least 10 or 11 between any two given healthy 'nodes.

'2) If there are one faulty node in 2-ary 4-cube, there exist paths
'of length at least 12 or 13 between any two given healthy nodes.
'3) If there are one faulty node and one faulty edge in 2-ary 4-cube,
'there exist paths of length at least 12 or 13 between any two given
'healthy nodes.

'4) If there are two faulty edges in 2-ary 4-cube, there exist paths 'of length at least 14 or 15 between any two given healthy nodes.

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'The results of the program are saved as a .txt file in C:\, which 'shows from one specific node, there exist path of specific length to 'all other possible nodes.

'In the program, we didn't check all possible cases, but only several 'cases. That is because by the symmetric properties of the 2-ary '4-cube, all other cases can be obtained from 'the existing cases by some mapping function.

'In the following codes, the paths are not printed out. But the 'author has put all the necessary codes there. 'Once remove the corresponding comment symbol, one will get them. 'One might further improve the code by combining sub procedure 'FindPath and FindPathEdgeFaulty with one sub procedure. But, the 'current version works.

'Environment requirements: Windows XP, Visual Basic 6.0.

'If you need any more information, please contact the author: 'Mr Yonghong Xiang 'e-mail: yh_xiang@hotmail.com 'Last update: 18-11-2008

Private Type nodeDef IndexN As Integer Direction As Integer BDirection(4) As Boolean ' to indicate whether this edge is fault. 'One faulty edge means there are two nodes should set some boolean 'value false. End Type

```
Dim Node(15) As nodeDef
Const Rt = 1, Dn = 2, Lf = 3, Up = 4
Dim Path(571200, 15) As Integer
'remember all possible paths from one fixed node to any other node.
Dim PathEnds(15, 15) As Integer
' to remember the end nodes of each start node,
' so that we can conclude that the theorem is true.
' set its initial value as -1.
Dim totalEnds As Integer
'remember the number of end nodes from one fixed node with longest
'length.
Dim PaNu As Integer
Dim Addr As String
Private Sub InitNode() 'to initialize all nodes, ready for use.
Dim j As Integer, i As Integer
For j = 0 To 15
    Node(j).IndexN = j
    Node(j).Direction = 0
    For i = 0 To 4
        Node(j).BDirection(i) = True
    Next i
Next j
End Sub
Private Sub FindPath(startNode As nodeDef, PLen As Integer)
  Dim str(15) As Integer 'remember the index of the current path
  Dim ss As String
                                   'used to print information to file
  Dim i As Integer, j As Integer
```

Dim lenP As Integer 'remember the current considering path's length Dim Dict As Integer

'remember the direction of the current considering node Dim PathNum As Integer 'remember the number of paths Dim saveStartNode As Integer 'remember the being considered Next Neighbor's 'indexN

```
PathNum = 0 'set the number of path as 0
For i = 0 To 15 'set all elements of the current path as -1
str(i) = -1
NP(i) = 0
EndNode(i) = -1
Next i
```

```
saveStartNode = startNode.IndexN 'remember the start node's indexN
lenP = 0 'set the current path's length as 0
str(lenP) = startNode.IndexN
'set the first node indexN as the start node
```

```
Node(startNode.IndexN).Direction = 1
```

```
NN = NextNeib(startNode.IndexN, i)
                    'computing the current node's ith neighbor
If Node(NN).Direction = 0 And lenP < PLen Then 'If it's
     'neighbor is OK, then add the node to the path and go on.
  lenP = lenP + 1
                              'remember the length of the path
  str(lenP) = Node(NN).IndexN
                                     'add the node to the path
  Node(startNode.IndexN).Direction = i
                        'remember the direction for its father
  startNode.IndexN = NN
                   'set it as the start node for the next step
  startNode.Direction = 0
                        'set the current node's direction as 0
                     'to be computing from its first direction
  Dict = 1
  Exit For 'continue to consider its neighbor
ElseIf i = 4 Or lenP = PLen Then 'if all of the current node's
        'directions has been considered or the length is right
  If lenP = PLen Then
  'the length is right, then print the path to the given array
    For j = 0 To lenP
                                     'record the current path.
      Path(PathNum, j) = str(j)
    Next j
                                  'number of paths increase 1
    PathNum = PathNum + 1
    NP(str(lenP)) = NP(str(lenP)) + 1
              'corresponding end node's path number increase 1
     EndNode(str(lenP)) = startNode.IndexN
  End If
  If lenP > 0 Then ' if it is not the start node, then untreat
  'again, otherwise, change the start node's direction we need
    'to go back and try another direction, until we have tried
         'all directions, so as to obtain every possible path.
    Node(str(lenP)).Direction = 0
```

```
'change its direction back to 0
         str(lenP) = -1
         lenP = lenP - 1
                                'no live neighbor, untreat one step
         startNode.IndexN = str(lenP)
         startNode.Direction = Node(str(lenP)).Direction
         While startNode.Direction = 4 And lenP > 0 'If it untreat
                       'to the first node, then we should stop it.
           Node(str(lenP)).Direction = 0
           str(lenP) = -1
           lenP = lenP - 1
           startNode.IndexN = str(lenP)
           startNode.Direction = Node(str(lenP)).Direction
         Wend
         Dict = startNode.Direction + 1 'next time, we can't try the
         'direction that has already tried. So, change the direction
         'now.
       End If
       If lenP = 0 Then 'otherwise, change the start node's direction
         Node(saveStartNode).Direction =_
               Node(saveStartNode).Direction + 1
         Dict = Node(saveStartNode).Direction
       End If
       Exit For
     End If
   Next i
 Wend
' Print #1, "End nodes are: "
```

totalEnds = 0

```
While PathEnds(saveStartNode, totalEnds) <> -1 'to avoid overwrite
                  'the pervious saved ends (different path length)
   totalEnds = totalEnds + 1
 Wend
 For i = 0 To 15
   If NP(i) > 0 Then
     Print #1, i, NP(i)
,
,
     Print #1, i,
     PathEnds(saveStartNode, totalEnds) = i
     totalEnds = totalEnds + 1
   End If
 Next i
' Print #1,
' Print #1, "-----"
' Print #1, "Total: ", totalEnds
' Print #1,
 PaNu = PathNum
'The following code writing each path to the file
' For i = 0 To PathNum - 1
    ss = ""
,
   For j = 0 To PLen
,
     ss = ss & Path(i, j) & " "
2
,
    Next j
    ss = i & " " & ss
,
   Print #1, ss
,
' Next i
```

End Sub

Private Sub FindPathEdgeFaulty(startNode As nodeDef, PLen As Integer) Dim str(15) As Integer 'remember the index of the current path Dim ss As String 'used to print information to file Dim i As Integer, j As Integer Dim lenP As Integer 'remember the current considering path's length Dim Dict As Integer 'remember the direction of the current 'considering node Dim PathNum As Integer 'remember the number of paths Dim saveStartNode As Integer 'remember the source node Dim NN As Integer

'remember the being considered Next Neighbor's indexN Dim NP(15) As Integer 'Number of paths. remember the number of paths respect to the same 'end node as the index. Dim EndNode(15) As Integer 'Corresponding to NP(15), remember the

'end of the path, duplicate ends will only count once.

```
PathNum = 0 'set the number of path as 0
For i = 0 To 15 'set all elements of the current path as -1
str(i) = -1
NP(i) = 0
EndNode(i) = -1
Next i
```

```
saveStartNode = startNode.IndexN 'remember the start node's indexN
lenP = 0 'set the current path's length as 0
str(lenP) = startNode.IndexN
'set the first node indexN as the start node
```

Node(startNode.IndexN).Direction = 1

Dict = Node(startNode.IndexN).Direction 'set the direction of the

'current node as the start node's direction

```
While Node(saveStartNode).Direction < 5 'after all of the start
       'node's directions have been considered, the program end
  If Node(saveStartNode).BDirection(Node(saveStartNode).Direction)_
                    'the edge to it's neighbor should be available
     Then
  For i = Dict To 4 'there are at most 4 directions for each node
    NN = NextNeib(startNode.IndexN, i)
                       'computing the current node's ith neighbor
    If Node(NN).BDirection(i) And Node(NN).Direction = 0 And_
        lenP < PLen Then 'If it's neighbor is OK, then add the
                                    ' node to the path and go on.
      lenP = lenP + 1 'remember the length of the path has been add
      str(lenP) = Node(NN).IndexN 'add the node to the path
      Node(startNode.IndexN).Direction = i
                            'remember the direction for its father
      startNode.IndexN = NN
                       'set it as the start node for the next step
      startNode.Direction = 0 'set the current node's direction as 0
      Dict = 1 'to be computing from its first direction
      Exit For 'continue to consider its neighbor
    ElseIf i = 4 Or lenP = PLen Then 'if all of the current node's
            'directions has been considered or the length is right
      If lenP = PLen Then 'the length is right, then print the path
                                               'to the given array
        For j = 0 To lenP
                                         'record the current path.
          Path(PathNum, j) = str(j)
        Next j
        PathNum = PathNum + 1
                                      'number of paths increase 1
        NP(str(lenP)) = NP(str(lenP)) + 1
```

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```
'corresponding end node's path number increase 1
 End If
 If lenP > 0 Then ' if it is not the start node, then untreat
 'again, otherwise, change the start node's direction we need
 'to go back and try another direction, until we have tried
 'all directions, so as to obtain every possible path.
   Node(str(lenP)).Direction = 0
                               'change its direction back to 0
   str(lenP) = -1
   lenP = lenP - 1
                           'no live neighbor, untreat one step
   startNode.IndexN = str(lenP)
   startNode.Direction = Node(str(lenP)).Direction
   While startNode.Direction = 4 And lenP > 0
      'If it untreat to the first node, then we should stop it.
     Node(str(lenP)).Direction = 0
     str(lenP) = -1
     lenP = lenP - 1
     startNode.IndexN = str(lenP)
     startNode.Direction = Node(str(lenP)).Direction
   Wend
   Dict = startNode.Direction + 1
       'next time, we can't try the direction that has already
                         'tried. So, change the direction now.
 End If
 If lenP = 0 Then 'otherwise, change the start node's direction
   Node(saveStartNode).Direction =_
        Node(saveStartNode).Direction + 1
   Dict = Node(saveStartNode).Direction
 End If
 Exit For
End If
```

```
Next i
 Else
   Node(saveStartNode).Direction = Node(saveStartNode).Direction + 1
 End If
 Wend
' Print #1, "End nodes are: "
 totalEnds = 0
 While PathEnds(saveStartNode, totalEnds) <> -1
  'to avoid overwrite the pervious saved ends (different path length)
   totalEnds = totalEnds + 1
 Wend
 For i = 0 To 15
   If NP(i) > 0 Then
     Print #1, i, NP(i)
,
,
     Print #1, i,
     PathEnds(saveStartNode, totalEnds) = i
     totalEnds = totalEnds + 1
   End If
 Next i
' Print #1,
' Print #1, "-----"
' Print #1, "Total: ", totalEnds
' Print #1,
  PaNu = PathNum
'The following code writing each path to the file
' For i = 0 To PathNum - 1
```

```
ss = ""
,
)
     For j = 0 To PLen
      ss = ss & Path(i, j) & " "
,
,
     Next j
     ss = i & " " & ss
,
,
     Print #1, ss
,
  Next i
End Sub
Private Sub SortPathEnds() ' sort the ends in increasing order
    Dim i As Integer, j As Integer, k As Integer, h As Integer
    Dim remLast As Integer, remCur As Integer
    i = 0
    j = 0
    k = 0
    h = 0
    For i = 0 To 15
      If PathEnds(i, 0) <> -1 Then
        remCur = 0
        remLast = PathEnds(i, 0)
        For j = 0 To 15
            If remCur = -1 Or remLast = -1 Then
                j = 16
            Else
                remCur = PathEnds(i, j)
                k = j - 1
                 While remCur < remLast And remCur <> -1
                     PathEnds(i, k + 1) = remLast
```

```
PathEnds(i, k) = remCur
                     k = k - 1
                     If k < 0 Then
                         remLast = -1
                     Else
                         remLast = PathEnds(i, k)
                     End If
                Wend
                remLast = PathEnds(i, j)
            End If
        Next j
      End If
    Next i
End Sub
Private Sub Command1_Click()
  MsgBox "Please contact Mr Yonghong Xiang for more information: "_
   & vbCrLf & "
                                      E-Mail: yh_xiang@hotmail.com"_
   & vbCrLf & "
                                                    2008-11-18."
End Sub
Private Sub Command24_Click()
Unload Me
End Sub
Private Sub Form_Load()
  Addr = "C: \setminus "
End Sub
Private Sub FVOneFEZero_Click()
Dim i As Integer, j As Integer, t As Integer
```

```
Dim startNode As nodeDef
Dim PathLengthTwelve As Integer, PathLengthThirteen As Integer
For i = 0 To 15 'initialize PathEnds array.
    For j = 0 To 15
        PathEnds(i, j) = -1
    Next j
Next i
totalEnds = 0 ' Initialize totalEnds
'we will only check two path length which is enough to prove our
'theorem.
PathLengthTwelve = 12
PathLengthThirteen = 13
Me.MousePointer = vbHourglass
Open Addr & "FVOneZero.txt" For Append As #1
'to write the result in a file.
For i = 0 To 15 'initialize PathEnds array.
    For j = 0 To 15
        PathEnds(i, j) = -1
    Next j
Next i
totalEnds = 0
expl = "Faulty nodes: 0. Path length: " & PathLengthTwelve & " and "_
       & PathLengthThirteen & "."
Print #1, expl
```

```
InitNode
Node(0).Direction = 5
For i = 1 To 15
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i).Direction = 0 Then
,
         expl = "Start node: " & startNode.IndexN
,
         Print #1, expl
        FindPath startNode, PathLengthTwelve
        InitNode
        Node(0).Direction = 5
    End If
Next i
'expl = "Faulty nodes: 0 and 1. Path length: " & PathLengthElev & "."
'Print #1, expl
InitNode
Node(0).Direction = 5
For i = 1 To 15
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i).Direction = 0 Then
,
         expl = "Start node: " & startNode.IndexN
,
        Print #1, expl
        FindPath startNode, PathLengthThirteen
        InitNode
        Node(0).Direction = 5
```

```
End If
Next i
SortPathEnds ' sort all the end nodes increasingly
For i = 0 To 15
' to print out all ends that has reached from one node on length 10
                                                            'and 11.
    exp1 = "Start node: " & i & " has end nodes: "
    For j = 0 To 15
        If PathEnds(i, j) = -1 Then
            Exit For
        Else
            exp1 = exp1 & PathEnds(i, j) & "; "
        End If
    Next j
    Print #1, exp1 & " Total: " & j
Next i
Print #1,
Close #1
MsgBox "Congratulation! Case: 1 faulty node, and path length "_
        & PathLengthTwelve & " and " & PathLengthThirteen
Me.MousePointer = 1
End Sub
Private Sub FVZero_Click()
Dim i As Integer, j As Integer, k As Integer, t As Integer
Dim NN As Integer
```

Dim startNode As nodeDef

Dim PathLengthFourteen As Integer, PathLengthFifteen As Integer Dim FE1L(12) As Integer, FE1R(12) As Integer dim FE0L As Integer, FE0R As Integer

PathLengthFourteen = 14 PathLengthFifteen = 15

Me.MousePointer = vbHourglass
Open Addr & "FVZero.txt" For Append As #1
'to write the result in a file.

FEOL = 0:FEOR = 1 FE1L(0) = 0:FE1R(0) = 3 FE1L(1) = 0:FE1R(1) = 4 FE1L(2) = 2:FE1R(2) = 3 FE1L(3) = 3:FE1R(3) = 7 FE1L(4) = 4:FE1R(4) = 5 FE1L(5) = 4:FE1R(5) = 8 FE1L(6) = 5:FE1R(6) = 6 FE1L(7) = 6:FE1R(7) = 7 FE1L(8) = 7:FE1R(8) = 11 FE1L(9) = 8:FE1R(9) = 9 FE1L(10) = 8:FE1R(10) = 11 FE1L(11) = 10:FE1R(11) = 11

For t = 0 To 11

InitNode ' set back all nodes to its original value.

```
For i = 1 To 4
'set faulty edges, so that when we call the function FindPath,
'we can decide whether to go on some direction by its direction
'boolean value.
    NN = NextNeib(FE1L(t), i)
   If FE1R(t) = NN Then
        If i = 1 Or i = 3 Then ' horizental direction
            Node(FE1L(t)).BDirection(i) = False
            Node(FE1R(t)). BDirection(4 - i) = False
        ElseIf i = 2 Or i = 4 Then ' vertical direction
            Node(FE1L(t)).BDirection(i) = False
            Node(FE1R(t)).BDirection(6 - i) = False
        End If
    End If
Next i
Node(0).BDirection(1) = False
Node(1).BDirection(3) = False
For i = 0 To 15 'initialize PathEnds array.
    For j = 0 To 15
        PathEnds(i, j) = -1
    Next j
Next i
totalEnds = 0
expl = "Faulty edge: (0, 1) and (" & FE1L(t) & ", " & FE1R(t)_
& "). Path length: " & PathLengthFourteen & " and "_
& PathLengthFifteen & "."
Print #1, expl
```

```
For i = 0 To 15
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i).Direction = 0 Then
,
         expl = "Start node: " & startNode.IndexN
,
         Print #1, expl
        FindPathEdgeFaulty startNode, PathLengthFourteen
        InitNode ' set back all nodes to its original value.
        For k = 1 To 4 'set faulty edges, so that when we call the
             'function FindPath, we can decide whether to go on some
                          'direction by its direction boolean value.
            NN = NextNeib(FE1L(t), k)
            If FE1R(t) = NN Then
                If k = 1 Or k = 3 Then ' horizental direction
                    Node(FE1L(t)).BDirection(k) = False
                    Node(FE1R(t)).BDirection(4 - k) = False
                ElseIf k = 2 Or k = 4 Then ' vertical direction
                    Node(FE1L(t)).BDirection(k) = False
                    Node(FE1R(t)).BDirection(6 - k) = False
                End If
            End If
        Next k
        Node(0).BDirection(1) = False
        Node(1). BDirection(3) = False
    End If
Next i
InitNode ' set back all nodes to its original value.
```

For k = 1 To 4

```
'set faulty edges, so that when we call the function FindPath,
'we can decide whether to go on some direction by its direction
'boolean value.
   NN = NextNeib(FE1L(t), k)
   If FE1R(t) = NN Then
        If k = 1 Or k = 3 Then ' horizental direction
            Node(FE1L(t)).BDirection(k) = False
            Node(FE1R(t)).BDirection(4 - k) = False
        ElseIf k = 2 Or k = 4 Then ' vertical direction
            Node(FE1L(t)).BDirection(k) = False
            Node(FE1R(t)).BDirection(6 - k) = False
        End If
    End If
Next k
Node(0).BDirection(1) = False
Node(1).BDirection(3) = False
For i = 0 To 15
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i). Direction = 0 Then
         expl = "Start node: " & startNode.IndexN
,
,
         Print #1, expl
        FindPathEdgeFaulty startNode, PathLengthFifteen
        InitNode ' set back all nodes to its original value.
        For k = 1 To 4 'set faulty edges, so that when we call the
           'function FindPath, we can decide whether to go on some
                         'direction by its direction boolean value.
            NN = NextNeib(FE1L(t), k)
            If FE1R(t) = NN Then
```

```
If k = 1 Or k = 3 Then ' horizental direction
                    Node(FE1L(t)).BDirection(k) = False
                    Node(FE1R(t)).BDirection(4 - k) = False
                ElseIf k = 2 Or k = 4 Then ' vertical direction
                    Node(FE1L(t)).BDirection(k) = False
                    Node(FE1R(t)).BDirection(6 - k) = False
                End If
            End If
       Next k
        Node(0).BDirection(1) = False
       Node(1).BDirection(3) = False
   End If
Next i
SortPathEnds ' sort all the end nodes increasingly
For i = 0 To 15 'to print out all ends that has reached from one node
                                                 'on length 10 and 11.
    exp1 = "Start node: " & i & " has end nodes: "
    For j = 0 To 15
        If PathEnds(i, j) = -1 Then
            Exit For
        Else
            exp1 = exp1 & PathEnds(i, j) & "; "
        End If
    Next j
    Print #1, exp1 & " Total: " & j
Next i
Print #1,
```

```
Next t
```

Close #1

Private Sub FVOne_Click()

Dim i As Integer, j As Integer, k As Integer, t As Integer

Dim startNode As nodeDef

Dim PathLengthTwelve As Integer, PathLengthThirteen As Integer Dim FEL(5) As Integer, FER(5) As Integer

PathLengthTwelve = 12 PathLengthThirteen = 13

Me.MousePointer = vbHourglass
Open Addr & "FVOne.txt" For Append As #1
'to write the result in a file.

FEL(0) = 1:FER(0) = 2 FEL(1) = 1:FER(1) = 5 FEL(2) = 2:FER(2) = 6 FEL(3) = 5:FER(3) = 6

```
FEL(4) = 6:FER(4) = 10
For t = 0 To 4 'consider the above five cases
InitNode ' set back all nodes to its original value.
For i = 1 To 4
'set faulty edges, so that when we call the function FindPath, we can
'decide whether to go on some direction by its direction boolean
'value.
    NN = NextNeib(FEL(t), i)
    If FER(t) = NN Then
        If i = 1 Or i = 3 Then ' horizental direction
            Node(FEL(t)).BDirection(i) = False
            Node(FER(t)).BDirection(4 - i) = False
        ElseIf i = 2 Or i = 4 Then ' vertical direction
            Node(FEL(t)).BDirection(i) = False
            Node(FER(t)).BDirection(6 - i) = False
        End If
    End If
Next i
Node(0).Direction = 5
For i = 0 To 15 'initialize PathEnds array.
    For j = 0 To 15
        PathEnds(i, j) = -1
    Next j
Next i
totalEnds = 0
expl = "Faulty nodes: 0. Faulty edge: (" & FEL(t) & ", " & FER(t)_
```

```
& "). Path length: " & PathLengthTwelve & " and "_
& PathLengthThirteen & "."
Print #1, expl
For i = 1 To 15
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i).Direction = 0 Then
,
         expl = "Start node: " & startNode.IndexN
,
        Print #1, expl
        FindPathEdgeFaulty startNode, PathLengthTwelve
        InitNode ' set back all nodes to its original value.
        For k = 1 To 4 'set faulty edges, so that when we call the
           'function FindPath, we can decide whether to go on some
                        'direction by its direction boolean value.
            NN = NextNeib(FEL(t), k)
            If FER(t) = NN Then
                If k = 1 Or k = 3 Then ' horizental direction
                    Node(FEL(t)).BDirection(k) = False
                    Node(FER(t)).BDirection(4 - k) = False
                ElseIf k = 2 Or k = 4 Then ' vertical direction
                    Node(FEL(t)).BDirection(k) = False
                    Node(FER(t)).BDirection(6 - k) = False
                End If
            End If
        Next k
        Node(0).Direction = 5
```

End If

Next i

InitNode ' set back all nodes to its original value.

```
For k = 1 To 4 'set faulty edges, so that when we call the function
    'FindPath, we can decide whether to go on some direction by its
                                           'direction boolean value.
    NN = NextNeib(FEL(t), k)
    If FER(t) = NN Then
        If k = 1 Or k = 3 Then ' horizental direction
            Node(FEL(t)).BDirection(k) = False
            Node(FER(t)).BDirection(4 - k) = False
        ElseIf k = 2 Or k = 4 Then ' vertical direction
            Node(FEL(t)).BDirection(k) = False
            Node(FER(t)).BDirection(6 - k) = False
        End If
    End If
Next k
Node(0).Direction = 5
For i = 1 To 15
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i).Direction = 0 Then
         expl = "Start node: " & startNode.IndexN
,
,
         Print #1, expl
        FindPathEdgeFaulty startNode, PathLengthThirteen
        InitNode ' set back all nodes to its original value.
        For k = 1 To 4 ' set faulty edges, so that when we call the
' function FindPath, we can decide whether to go on some
' direction by its direction boolean value.
            NN = NextNeib(FEL(t), k)
```

```
If FER(t) = NN Then
                If k = 1 Or k = 3 Then ' horizental direction
                    Node(FEL(t)).BDirection(k) = False
                    Node(FER(t)). BDirection(4 - k) = False
                ElseIf k = 2 Or k = 4 Then ' vertical direction
                    Node(FEL(t)).BDirection(k) = False
                    Node(FER(t)).BDirection(6 - k) = False
                End If
            End If
       Next k
        Node(0).Direction = 5
   End If
Next i
SortPathEnds ' sort all the end nodes increasingly
For i = 0 To 15 'to print out all ends that has reached from one node
                                                 'on length 10 and 11.
    exp1 = "Start node: " & i & " has end nodes: "
    For j = 0 To 15
        If PathEnds(i, j) = -1 Then
            Exit For
        Else
            exp1 = exp1 & PathEnds(i, j) & "; "
        End If
    Next j
    Print #1, exp1 & " Total: " & j
Next i
Print #1,
```

```
Next t
Close #1
MsgBox "Congratulation! Case: 1 faulty node and one faulty edge,"_
 & " and path length " & PathLengthTwelve & " and "_
 & PathLengthThirteen
Me.MousePointer = 1
End Sub
Private Sub FVTwo_Click()
'there are only 4 cases to consider by the symmetric of Q_{2,k}
'they are: (0, 1), (0, 2), (0,5), (0,10)
'We suppose node 0 is faulty, if there is at least one faulty node.
Dim i As Integer, j As Integer, t As Integer
Dim startNode As nodeDef
Dim F2(15) As Integer 'remember the second faulty node's index
Dim PathLengthElev As Integer, PathLengthTen As Integer
For i = 0 To 15 'initialize PathEnds array.
    For j = 0 To 15
        PathEnds(i, j) = -1
    Next j
Next i
totalEnds = 0 ' Initialize totalEnds
'we will only check two path length which is enough to prove our
'theorem.
PathLengthElev = 11
```

```
PathLengthTen = 10
Me.MousePointer = vbHourglass
Open Addr & "FVTwo.txt" For Append As #1
'to write the result in a file.
F2(0) = 1:F2(1) = 2
F2(2) = 5:F2(3) = 6
F2(4) = 10
For t = 0 To 4
For i = 0 To 15 'initialize PathEnds array.
    For j = 0 To 15
        PathEnds(i, j) = -1
    Next j
Next i
totalEnds = 0
expl = "Faulty nodes: 0 and " & F2(t) & ". Path length: "_
& PathLengthTen & " and " & PathLengthElev & "."
Print #1, expl
InitNode
Node(0).Direction = 5
Node(F2(t)).Direction = 5
For i = 1 To 15
  If i \iff F2(t) Then
    startNode.IndexN = i
```

```
startNode.Direction = 1
    If Node(i).Direction = 0 Then
,
         expl = "Start node: " & startNode.IndexN
,
         Print #1, expl
        FindPath startNode, PathLengthTen
        InitNode
        Node(0).Direction = 5
        Node(F2(t)).Direction = 5
    End If
  End If
Next i
'expl = "Faulty nodes: 0 and 1. Path length: " & PathLengthElev & "."
'Print #1, expl
InitNode
Node(0).Direction = 5
Node(F2(t)).Direction = 5
For i = 1 To 15
  If i \iff F2(t) Then
    startNode.IndexN = i
    startNode.Direction = 1
    If Node(i).Direction = 0 Then
,
         expl = "Start node: " & startNode.IndexN
,
        Print #1, expl
        FindPath startNode, PathLengthElev
        InitNode
        Node(0).Direction = 5
        Node(F2(t)).Direction = 5
    End If
```

```
End If
Next i
SortPathEnds ' sort all the end nodes increasingly
For i = 0 To 15 'to print out all ends that has reached from one node
'on length 10 and 11.
    exp1 = "Start node: " & i & " has end nodes: "
    For j = 0 To 15
        If PathEnds(i, j) = -1 Then
            Exit For
        Else
            exp1 = exp1 & PathEnds(i, j) & "; "
        End If
    Next j
    Print #1, exp1 & " Total: " & j
Next i
Print #1,
Next t
Close #1
MsgBox "Congratulation! We have done for case: 2 faulty nodes,"_
 & " and path length " & PathLengthTen & " and " & PathLengthElev
Me.MousePointer = 1
End Sub
```

Private Function NextNeib(NodeNum As Integer, Dirt As Integer)

```
If Dirt = Rt Then
    If NodeNum Mod 4 = 3 Then
      NextNeib = NodeNum - 3
    Else
      NextNeib = NodeNum + 1
    End If
 ElseIf Dirt = Lf Then
    If NodeNum Mod 4 = 0 Then
      NextNeib = NodeNum + 3
   Else
     NextNeib = NodeNum - 1
   End If
 ElseIf Dirt = Dn Then
   NextNeib = (NodeNum + 4) Mod 16
 Else
   NextNeib = (16 + NodeNum - 4) \mod 16
 End If
End Function
```

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