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Universal NER: A Gold-Standard Multilingual Named Entity Recognition Benchmark

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Abstract

We introduce Universal NER (UNER), an open, community-driven project to develop goldstandard NER benchmarks in many languages. The overarching goal of UNER is to provide high-quality, cross-lingually consistent annotations to facilitate and standardize multilingual NER research. UNER v1 contains 18 datasets annotated with named entities in a cross-lingual consistent schema across 12 diverse languages. In this paper, we detail the dataset creation and composition of UNER; we also provide initial modeling baselines on both in-language and cross-lingual learning settings. We release the data, code, and fitted models to the public.¹

1 Introduction

High-quality data in many languages is a necessity for broadly multilingual natural language processing. In named entity recognition (NER), the majority of annotation efforts are centered on English, and cross-lingual transfer performance remains brittle (e.g., Chen et al., 2023b; Ma et al., 2023). Amongst non-English human-annotated NER datasets, while there have been multiple separate efforts in this front (e.g., Agić and Ljubešić, 2014; Plank, 2019; Adelani et al., 2022), these either have disjoint annotation schemes and labels, cover a single language or small set of related languages, or are not widely accessible (e.g., Strassel and Tracey, 2016). For most of the world's languages, the only readily available NER data is the automatically annotated WikiANN dataset (Pan et al., 2017), though this annotation paradigm introduces data quality issues and limits its usefulness for evaluation (Lignos et al., 2022).

To address this data gap, we propose Universal NER (UNER), an open community effort to

| EN | The expedition was led by General <mark>Joseph Burgoyne</mark> who intended to reach <mark>Albany</mark> . |
|----|---|
| DE | Die Expedition wurde angeführt von General <mark>Joseph</mark> <mark>Burgoyne</mark> , der <mark>Albany</mark> erreichen wollte. |
| RU | Поход возглавлял генерал <mark>Джон Бергойн</mark> , который намеревался достичь <mark>Олбани</mark> . |
| ZH | 約翰·伯戈因將軍領導了這次遠征,他希望能到達奧爾巴尼。 |
| - | |

Figure 1: Parallel sentences annotated with person (PER) and location (LOC) named entities in English (EN), German (DE), Russian (RU), and Chinese (ZH).

develop gold-standard named entity recognition benchmarks across a large number of languages. Each dataset in Universal NER is annotated by primarily native speakers on the text of an existing Universal Dependencies treebank (UD; Nivre et al., 2020). Inspired by Universal Dependencies, the overarching philosophy of the UNER project is to provide a shared, universal definition, tagset, and annotation schema for NER that is broadly applicable across languages (Figure 1).

The current version of Universal NER, UNER v1, contains 18 datasets spanning 12 languages (Section 4). To establish performance baselines on UNER, we finetune an XLM-R model on various training configurations (Section 5) showing that while NER transfer performance between European languages is relatively strong, there remains a gap when transferring to different scripts or language typologies.

The goal of the UNER project is to facilitate multilingual research on entity recognition by addressing the need in the multilingual NLP community for standardized, cross-lingual, and manually annotated NER data. With the release of UNER v1, we plan to expand UNER to new languages and datasets, and we welcome all new annotators who are interested in developing the project.

¹https://www.universalner.org, UNER v1 available at https://doi.org/10.7910/DVN/GQ8HDL

2 Dataset Design Principles

Named entity recognition (NER) is the task of identifying spans of text in a given context that uniquely refer to specific *named entities*. The task of NER has a long tradition (Grishman, 2019) and facilitates many downstream NLP applications, such as information retrieval (Khalid et al., 2008) and question answering (Mollá et al., 2006). Furthermore, successful NER tagging requires a model to reason about semantic and pragmatic world knowledge, which makes the task an informative evaluation setting for testing NLP model capabilities.

As with Universal Dependencies, our goal is to develop an annotation schema that can work in any language. Traditionally, the UD (Nivre et al., 2016) and UPOS (Petrov et al., 2012) projects have chosen what amounts to the intersection of tags across all language-specific tagsets, keeping the resultant tagset broad and simple. We follow a similar strategy, picking tags that broadly cover the space of proper nouns.

Universal NER's annotation schema emphasizes three coarse-grained entity types: Person (PER), Organization (ORG), and Location (LOC). We provide a short description and an example for each tag in our schema.

PER The PERSON tag includes names of people, real or fictional, but not nominals.

"Mr. Robinson_{PER} smiled at the teacher."

ORG The ORGANIZATION tag is used for named collections of people.

"The \underline{FDA}_{ORG} announced time travel pills tomorrow."

LOC The LOCATION tag covers all types of named locations.

"I will arise and go now, and go to InnisfreeLOC"

Figure 1 demonstrates how named entities and their corresponding annotations surface across languages. In some cases (such as in the English and German sentences), the surface forms of named entities are shared. However, often these forms vary—as in the Russian and Chinese examples which makes entity identification and tagging more challenging, particularly in cross-lingual settings.

Annotation Guidelines In preparation for annotation, we developed extensive annotation guidelines,² using the NorNE project guidelines Jørgensen et al. (2020) as a starting point. Along with tag descriptions, our guidelines include many examples, as well as instructions for dealing with ambiguity and unclear constructions, such as email addresses, pet names, and typographical errors.

We expect that, as annotation proceeds, the guidelines will be further refined and updated. To manage this, we track version numbers for the guidelines, as well as changelogs. Each data release will include the corresponding annotation guidelines at time of release.

3 Dataset Annotation Process

Having described the theoretical basis for the tagset, we now discuss the mechanics of annotation.

Sourcing Data We chose to use the Universal Dependency corpora as the default base texts for annotation. This jumpstarts the process: there's high coverage of languages, and the data is already collected, cleaned, tokenized, and permissively licensed. Further, by adding an additional annotation layer onto an already rich set of annotations, we not only support verification in our project (Section 4.3), but we also enable multilingual research on the full pipeline of core NLP. Since UD is annotated at the word level, we follow a BIO annotation schema (specifically IOB2), where words forming the beginning (nonbeginning) part of an X entity $(X \in \{PER, LOC, ORG\})$ are annotated B-X (I-X, respectively), and all other words are given an 0 tag. For the sake of continuity, we preserve all tokenization from UD.

Even though we chose UD as the default data source, we do not limit the project to UD corpora (particularly for languages not currently included in UD). The only criteria for inclusion in the UNER corpus is that the tagging schema matches the UNER guidelines. We are also open to converting existing NER efforts on UD treebanks to UNER: in this initial release, we include three datasets that are transferred from other manual annotation efforts on UD sources (for DA, HR, and SR).

Sourcing Annotators For the initial UNER annotation effort, we recruited annotators from the multilingual NLP community through academic networks on social media. Annotators were organized via channels in a Slack workspace. Annotators of the datasets included in UNER thus far are volunteers and not paid. We expect that annotators are native speakers of their annotation language,

²http://www.universalner.org/guidelines/

or are highly proficient, but we did not issue any language tests.

For the first release of UNER, the choice of the 12 dataset languages is solely dependent on the availability of annotators. As the project continues, we expect that additional languages and datasets will be added as annotators in more languages become available to contribute.

Annotation Tool We collect annotations for the UD treebanks using TALEN (Mayhew and Roth, 2018), a web-based tool for span-level sequence labeling.³ TALEN includes an optional feature that propagates annotations – if you annotate "McLovin" in one section of the document, every instance of "McLovin" in that document will be annotated. This significantly speeds up annotation but risks certain over-annotation mistakes. For example, consider the token "US", which may show up in contexts such as "The US economy..." or "THEY OFFERED TO BUY US LUNCH!"

Secondary Annotators In addition to collecting a full set of annotations from a primary annotator for each dataset, we also gather secondary annotations from another annotator on (at least) a subset of the data, in order to estimate inter-annotator agreement (Section 4.2). In general, we aim for at least 5% coverage of each data split with these secondary annotations, although most datasets have significantly more. For each document that has multiple annotators, we selected the annotator who made the most annotations in that document and used their annotations as official. This means that a dataset may have multiple annotators, but each document has exactly one annotator. We retain annotator identities in the data files.

Annotation Differences and Resolution When annotators disagreed on annotation decisions, and the inter-annotator agreement scores were low, we encouraged them to discuss the disagreements and decide if they were conflicting interpretations of the guidelines or fundamental disagreements. In the former case, annotators came to an agreement on guideline interpretations and updated annotations accordingly. In the latter, the annotations were kept as-is. Not every dataset had this resolution process.

The multilingual nature of this process also highlighted cross-language differences in named entities that affect NER annotation. For instance, most languages in UNER use capitalization as a marker of proper nouns and therefore named entities. However, Chinese does not include capitalization in its script, which makes identifying named entities more difficult and time-consuming than in other languages, potentially leading to more annotation errors. Differences in annotating NER across languages also stem from divergent definitions of proper nouns (PROPN) by language and the effects of translation artifacts; these issues are discussed further in Sections 4.3 and 4.4, respectively.

OTHER Tag As a helpful check for annotators, we allow the option of annotating a fourth entity type, Other (OTH), which is not represented in the final dataset. This had several purposes: to store annotations that behaved like mentions, but didn't quite rise to the level of the other tags; to potentially measure annotation disagreement on ambiguous cases; to store an additional layer of annotation. Not all annotators used it, and those that did were not always consistent. In practice, it was applied most often to language and nationality names and brands. The OTH tag roughly corresponds to the MISC tag used in CoNLL 2003, which has been described as being "ill-defined" (Adelani et al., 2022).

Dataset Transfer Most of the included datasets are annotated from scratch using the annotation process detailed above, but a few (DA ddt, HR and SR set) are transferred from other sources. The Danish ddt annotations are derived from the News portion of the DaN+ dataset (Plank et al., 2020); this text corresponds to the Universal Dependencies ddt treebank. The Croatian hr annotations come from the hr500k dataset (Ljubešić et al., 2016), half of which, consisting of newspaper and various web texts, was used for producing the Croatian Universal Dependencies hr_set treebank (Agić and Ljubešić, 2015). The Serbian sr data come from the SETimes. SR dataset (Batanović et al., 2018), which was used in its fullness to produce the Serbian Universal Dependencies sr_set treebank (Samardžić et al., 2017). The original Croatian and Serbian NER annotations were annotated and curated in multiple iterations by various native speaker annotations. The annotations added to the UNER dataset were, however, slightly modified, to conform to the UNER annotation guidelines. While nationalities and similar groups were annotated as PER in the original dataset, in the UNER dataset such entities were not included.

³https://github.com/mayhewsw/talen-react

| | | Sentences | | | | | Entities | | | Tokens | | | |
|--|-----------|-----------|-------|-------|--------|-------|----------|-------|--------|---------|--------|--------|---------|
| Lang. | Dataset | Train | Dev | Test | All | Train | Dev | Test | All | Train | Dev | Test | All |
| DA | ddt | 4,383 | 564 | 565 | 5,512 | 3,022 | 379 | 446 | 3,847 | 80,378 | 10,332 | 10,023 | 100,733 |
| EN | ewt | 12,543 | 2,001 | 2,077 | 16,621 | 7,022 | 966 | 1,088 | 9,076 | 204,579 | 25,149 | 25,097 | 254,825 |
| HR | set | 6,914 | 960 | 1,136 | 9,010 | 8,261 | 1,218 | 1,403 | 10,882 | 152,857 | 22,292 | 24,260 | 199,409 |
| РТ | bosque | 7,018 | 1,172 | 1,167 | 9,357 | 8,101 | 1,401 | 1,215 | 10,717 | 171,776 | 28,447 | 27,604 | 227,827 |
| SK | snk | 8,483 | 1,060 | 1,061 | 10,604 | 2,707 | 636 | 915 | 4,258 | 80,628 | 12,733 | 12,736 | 106,097 |
| SR | set | 3,328 | 536 | 520 | 4,384 | 5,020 | 742 | 847 | 6,609 | 74,259 | 11,993 | 11,421 | 97,673 |
| SV | talbanken | 4,303 | 504 | 1,219 | 6,026 | 967 | 23 | 196 | 1,186 | 66,646 | 9,797 | 20,377 | 96,820 |
| | gsd | 3,997 | 500 | 500 | 4,997 | 6,136 | 754 | 767 | 7,657 | 98,616 | 12,663 | 12,012 | 123,291 |
| ZH | gsdsimp | 3,997 | 500 | 500 | 4,997 | 6,118 | 753 | 763 | 7,634 | 98,616 | 12,663 | 12,012 | 123,291 |
| DE | pud | _ | _ | 1,000 | 1,000 | _ | _ | 1,039 | 1,039 | _ | _ | 21,331 | 21,331 |
| EN | pud | _ | _ | 1,000 | 1,000 | _ | _ | 1,038 | 1,038 | - | _ | 21,176 | 21,176 |
| РТ | pud | _ | _ | 1,000 | 1,000 | _ | _ | 1,099 | 1,099 | - | _ | 23,407 | 23,407 |
| RU | pud | _ | _ | 1,000 | 1,000 | _ | _ | 1,036 | 1,036 | - | _ | 19,355 | 19,355 |
| SV | pud | _ | _ | 1,000 | 1,000 | _ | _ | 1,029 | 1,029 | - | _ | 19,076 | 19,076 |
| ZH | pud | - | - | 1,000 | 1,000 | - | - | 1,137 | 1,137 | - | _ | 21,415 | 21,415 |
| CEB | gja | _ | _ | 188 | 188 | _ | _ | 49 | 49 | _ | _ | 1,295 | 1,295 |
| - The second sec | trg | - | _ | 128 | 128 | _ | _ | 92 | 92 | - | _ | 734 | 734 |
| TL | ugnayan | _ | - | 94 | 94 | - | - | 61 | 61 | _ | - | 1,097 | 1,097 |

Table 1: Universal NER has broad coverage of named entities in several languages and domains, adding annotations to the development, testing, and training sets from Universal Dependencies (Nivre et al., 2020).

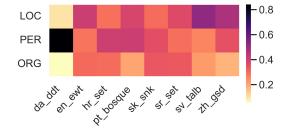


Figure 2: Distribution of tags in different UNER training sets. zh_gsds imp has the same distribution as zh_gsd.

4 Universal NER: Statistics and Analysis

This section presents an overview of the Universal NER (UNER) dataset. UNER v1 adds an NER annotation layer to 18 datasets (primarily treebanks from UD) and covers 12 geneologically and typologically diverse languages: Cebuano, Danish, German, English, Croatian, Portuguese, Russian, Slovak, Serbian, Swedish, Tagalog, and Chinese⁴. Overall, UNER v1 contains nine full datasets with training, development, and test splits over eight languages (TL and CEB), and a parallel evaluation benchmark spanning six languages.

4.1 Dataset Statistics

In Table 1 we report the number of sentences, tokens, and annotated entities for each dataset in UNER. The datasets in UNER cover a wide range of data quantities: some provide a limited amount of evaluation data for a commonly low-resourced language, whereas others annotate thousands of training and evaluation sentences.

The datasets in UNER also cover a diverse range of domains, spanning web sources such as social media to more traditional provenances like news text. Table 5 in the appendix presents the full set of sources for the data, and the distribution of NER tags in each dataset, along with references to original treebank papers. The variety in data sources leads to varied distributions of tags across datasets (Figure 2).

4.2 Inter-Annotator Agreement

We calculate inter-annotator agreement (IAA, Table 2) for each dataset in UNER that was annotated with the above process and for which we have secondary annotations. Table 2 reports agreement as per-label F_1 score, using one annotator as "reference," and the other as "prediction."

ORG vs LOC Confusion The agreement on ORG and LOC is generally lower than that on PER. The

⁴Languages sorted by their ISO 639-1/639-2 codes (International Organization for Standardization, 2002, 1998)

| | | Train | | | Dev | | | | Test | | | | |
|-------|-----------|-------|------|------|--------|------|------|------|--------|------|------|------|--------|
| Lang. | Dataset | LOC | ORG | PER | % Docs | LOC | ORG | PER | % Docs | LOC | ORG | PER | % Docs |
| DA | ddt | .875 | .778 | .959 | 100% | .917 | .765 | .934 | 100% | .882 | .805 | .975 | 100% |
| EN | ewt | .696 | .533 | .925 | 20% | .786 | .640 | .949 | 20% | .825 | .869 | .969 | 20% |
| РТ | bosque | .928 | .902 | .974 | 11% | .850 | .885 | .980 | 25% | .955 | .914 | .975 | 23% |
| SK | snk | .840 | .743 | .900 | 100% | .801 | .597 | .770 | 100% | .837 | .621 | .823 | 100% |
| SV | talbanken | .857 | .670 | .913 | 100% | .800 | .461 | .888 | 100% | .937 | .812 | .871 | 100% |
| ZH | gsd | .800 | .724 | .917 | 14% | .795 | .661 | .956 | 100% | .860 | .711 | .944 | 23% |
| DE | pud | - | - | - | - | - | - | - | - | .709 | .840 | .812 | 6% |
| EN | pud | - | - | - | - | - | - | - | - | 1.00 | .936 | .966 | 6% |
| РТ | pud | - | - | - | - | - | - | - | - | .903 | .920 | .985 | 14% |
| RU | pud | - | - | - | - | - | - | - | - | .719 | .531 | .891 | 100% |
| SV | pud | - | - | - | - | - | - | - | - | .865 | .735 | .944 | 100% |
| ZH | pud | - | - | - | - | - | - | - | - | .752 | .776 | .971 | 20% |
| CEB | gja | - | - | - | - | - | - | - | - | .769 | 1.00 | .914 | 71% |
| TL | trg | - | - | - | - | - | - | - | - | .833 | - | .957 | 100% |
| IL | ugnayan | - | - | - | - | - | - | - | - | .913 | - | - | 100% |

Table 2: Inter-annotator agreement scores for the datasets annotated natively for the Universal NER project. We don't report IAA for the datasets adapted from other sources, or from zh_gsdsimp, which has nearly identical annotations to zh_gsd.

annotation guidelines allow that certain named entities may take either the ORG or LOC tag based on context. In certain cases, the context is underspecified, and there is a natural ambiguity. For example, a restaurant is a LOC when you go there to eat, but it is an ORG when it hires a new chef. A city is a LOC when you move there, but it is an ORG when it levies taxes. Officially, it is the city government that levies taxes, but common usage allows, for example, "Springfield_{ORG} charges a brutal income tax." CoNLL 2003 English also has this ambiguity, with many documents where city names, representing sports teams, are annotated as ORG. This ambiguity was especially common in the en_ewt train and validation splits, primarily in documents in the reviews domain, which are short and very informal (e.g. "we love pamelas").

4.3 Agreement with the PROPN POS Tag

The proper noun (PROPN) part-of-speech tag used in UD represents the subset of nouns that are used as the name of a specific person, place, or object (Nivre et al., 2020). We hypothesize that named entities as defined in UNER act roughly as a subset of these PROPN words or phrases, although not a strict subset due to divergent definitions. To test this, we calculate the precision of the UNER annotations against the UD PROPN tags (Table 3, F₁ scores reported in Table 4). Overall, precision is relatively high, with a mean precision of 0.745 across datasets. Lower precision is often due to multi-word names containing non-PROPN words (e.g., "Catherine the Great"). The differences in precision can also be due to languagespecific PROPN annotation guidelines: for example, while the English PUD treebank tags the United States entity as "<u>United</u>_{PROPN} <u>States</u>_{PROPN}", Russian PUD tags it as "Coeдиненных_{ADJ} <u>Штатов</u>_{NOUN}".

4.4 Cross-lingual Agreement in UNER

UNER contains sentence-aligned evaluation sets for six languages (German, English, Portuguese, Russian, Swedish, and Chinese) that are annotated on top of the Parallel Universal Dependencies treebanks (PUD; Zeman et al., 2017). Figure 3 summarizes the similarity of the NER annotations across these target languages in PUD.

We find that the overall distribution of tags is similar for the Western European languages (left panel): the English, German, and Swedish annotations contain very similar counts of LOC and PER entities, while there is slightly more variance in ORG tags. Portuguese has a similar distribution with slightly more LOC entities. However, the Russian and Chinese annotations contain differing distributions from both these languages and each other.

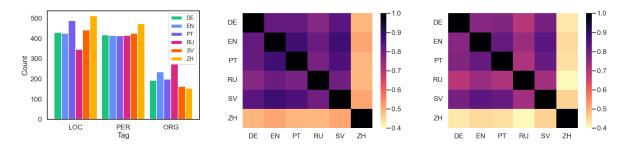


Figure 3: Cross-lingual comparison of NER Annotations on top of PUD treebanks. Left: Global distribution of tags for each PUD language. Center: Sentence-level agreement between languages for the number of entities. Right: Sentence-level agreement between languages for the identity of entities.

| Lang. | Dataset | Train | Dev | Test |
|-------|-----------|-------|------|------|
| DA | ddt | .709 | .729 | .722 |
| EN | ewt | .890 | .895 | .892 |
| HR | set | .683 | .651 | .671 |
| РТ | bosque | .864 | .881 | .844 |
| SK | snk | .803 | .783 | .688 |
| SR | set | .687 | .631 | .680 |
| SV | talbanken | .766 | .756 | .842 |
| ZH | gsd | .605 | .624 | .616 |
| ZH | gsdsimp | .601 | .604 | .617 |
| DE | pud | - | - | .712 |
| EN | pud | - | - | .872 |
| РТ | pud | - | - | .749 |
| RU | pud | - | - | .708 |
| SV | pud | - | - | .810 |
| ZH | pud | - | - | .634 |
| CEB | gja | - | - | .980 |
| TL | trg | - | - | .958 |
| TL | ugnayan | - | - | .654 |

Table 3: Comparing the overlap (Precision) between UNER annotations and UD PROPN tags.

A similar trend occurs in the sentence-level pairwise agreement on entity counts and identities between languages (center). There is relatively high agreement on the number of entities between European languages, with Russian differing slightly more from English, German, Portuguese, and Swedish. However, the Chinese benchmark agrees less frequently: the Chinese annotations match other languages on the number of entities in 50.4% of sentences; the other languages have an average agreement of 71.7–75.6%. Pairwise agreement on the specific entities in a given sentence shows similar behavior, albeit with lower agreement overall (right).

Many of these annotation differences likely stem from the translation process. While the data is aligned at the sentence level, linguistic variation and translator decisions may cause an entity to be added to or removed from the sentence, or the concept to be expressed in a manner that no longer qualifies as a named entity under the annotation guidelines.⁵ While we cannot directly measure inter-annotator agreement across languages because of the above differences, some variation also undoubtedly stems from annotation differences and errors, just as these cause disagreement between annotators on the same benchmark.

In the case of Chinese and English, we manually audited the annotation discrepancies. The differences in the LOC and ORG tags mostly stem from the confusion outlined in Section 4.2. Additionally, we saw more than 30 instances that could be explained by language-specific morphological inflection rules. Specifically, country names are used directly to modify the following nouns in Chinese as opposed to English using the adjectival form.⁶ Finally, the increase in PER entities can be best explained by the style of Chinese writing, which tends to transliterate non-Chinese names into Chinese and append the Latin name in parentheses; in these cases, each instance of the name would be tagged as a separate PER entity.⁷

⁵Consider the phrases: "奧巴馬對在北卡羅來納大學運 動場上的群衆説道。" and "he told the crowd gathered on a sports field at the University of North Carolina." In Chinese, *Obama* (奧巴馬) is referred to by name, whereas the English version uses a pronoun.

⁶I.e., "韓國公司" 'South Korean company'. The Chinese word "韓國" means the country 'South Korea', and in this case, directly modifies the noun "公司" 'company'. This word was consequently labeled as LOC, whereas its English counterpart is 0.

⁷An example is "聖羅斯季斯拉夫 (St. Rastislav)", in which the English name is parenthesized and kept in the Chinese sentence, causing both names to be annotated.

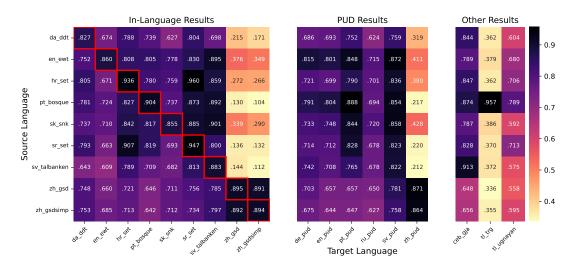


Figure 4: Heatmap of micro F_1 scores on test sets with different fine-tuned models. y-axis indicates the dataset that the model is fine-tuned in, and the x-axis indicates the datasets that the models are evaluated on. Left: Model performance on datasets that contains the train, dev and test splits. The highlighted diagonal cells are the in-dataset results. Center: Model performance on the PUD datasets. Right: Model performance on all other datasets.

5 Baselines for UNER

In this section, we establish initial performance baselines on the datasets in UNER v1. We provide results leveraging XLM-R_{Large}, on both inlanguage and cross-lingual learning settings.

5.1 Experiment Setup

We fine-tune XLM- R_{Large} (Conneau et al., 2020) on the UNER datasets in which train and dev sets are available, i.e. the set of datasets {ddt, ewt, set, bosque, snk, set, talbanken, gsd, gsdsimp}. We also evaluate the performance of XLM- R_{Large} jointly finetuned on all training sets (all) listed above. We use a learning rate of 3e-5 and batch size of 16, except for bosque, where we used a batch size of 8, and batch size of 4 in the cases of talbanken and all.

5.2 Results and Discussion

Figure 4 reports the micro F_1 scores on all test sets when XLM-R_{Large} is fine-tuned on different languages. The in-language performance shown on the diagonal on the left of Figure 4 is almost always the highest among all test sets, with a few exceptions such as Simplified Chinese vs Traditional Chinese (ZH) and Croatian (HR) vs Serbian (SR). This is most likely due to the fact that both pairs are closely related languages.

We also observe that in most cases (i.e., between European languages) cross-lingual transfer performs well, achieving over .600 F_1 . However, this setting results in strikingly low performance on all three Chinese datasets {gsd, gsdsimp, pud}, as well as on the two Tagalog (TL) datasets {trg, ugnayan}. The results on the Chinese datasets align with observations from previous work (Chen et al., 2023a; Wu et al., 2020a; Bao et al., 2019) that other languages do not transfer well to Chinese. In the case of Tagalog, this is likely due to the linguistic differences from the source languages as well as the small sample size of the evaluation set, as listed in Table 1. We also noticed that many sentences from both Tagalog benchmarks have no entities tagged at all.

The performance of the model fine-tuned on all is included in Figure 5. Most all F_1 scores are similar to the F_1 scores from individual training sets; however, in some cases the joint training improves performance, such as on zh_pud which improved from .410 using a model fine-tuned on en_ewt to .860. Fine-tuning on a diverse multilingual dataset helps preserve and even improve the performance on benchmarks in diverse languages.

Table 6 shows the tag-level performance breakdown. For all languages, F_1 on ORG is always the lowest, and LOC is almost always the second lowest. This likely stems from the similarity between ORG and LOC entities discussed in Section 4.2, whereas the names of people are usually less ambiguous, resulting in the highest F_1 on PER for most datasets. Overall, the trained models finetuned on the UNER datasets exhibit promising results, and we leave further improvements on multi- and cross-lingual NER with these datasets to future work.

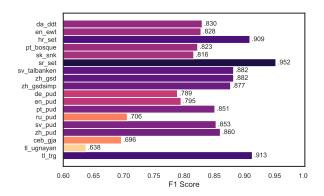


Figure 5: F_1 scores of each UNER test set after finetuning XLM- R_{Large} on all training sets.

6 Related Work

Adding an NER layer to UD Some singlelanguage efforts have added a manually annotated NER layer to emerging or existing UD data. Agić and Ljubešić (2014) annotated the SETimes.HR dataset with linguistic and NER information, this dataset becoming the set_hr UD dataset for Croatian one year later (Agić and Ljubešić, 2015). Plank (2019) added a layer of NER to the dev and test portions of the Danish UD treebank (DDT) for cross-lingual evaluation; following up on this work, Plank et al. (2020) fully annotated the Danish UD dataset with nested NER entities. In a contemporary effort, Hvingelby et al. (2020) annotated the same Danish UD data with a flat annotation scheme for NER. In this work, we compare the UNER Danish DDT with the annotations by Hvingelby et al. (2020) to obtain inter-annotator statistics (Table 2).

Other languages have seen efforts in a similar spirit. Jørgensen et al. (2020) added a named entity annotation layer on top of the Norwegian Dependency Treebank, Luoma et al. (2020) used texts from the Turku Dependency Treebank (TDT) to build the Turku NER corpus, and Plank (2021) added a layer on top of English EWT. Recently, Muischnek and Müürisep (2023) introduced the largest publicly available Estonian NER dataset annotated from the Estonian UD (EDT) and Web (EWT) treebanks. Complementing these efforts, Riabi et al. (2023) added several annotation layers, including NER, to the NArabizi treebank (Seddah et al., 2020), a North-African Arabic dialect dataset written in Latin script with a high-level of language variability and code-switching. The UNER version of this dataset will be included in our next release.⁸

Multilingual NER resources Several benchmark datasets for named entity recognition offer coverage for a variety of representative languages. Aside from well-known benchmarks such as CoNLL 2002/2003 (Tjong Kim Sang, 2002; Tjong Kim Sang and De Meulder, 2003), some datasets were specifically tailored to address a unique need, such as focusing on low-resource languages like LORELEI (Strassel and Tracey, 2016) or incorporating particularly challenging annotations, as seen in MultiCoNER (Malmasi et al., 2022a,b). MasakhaNER (Adelani et al., 2022) harnessed the *Masakhane* community to produce gold-standard annotations for ten African languages.

Other datasets were constructed using a silver-standard process, often leveraging English Wikipedia as a primary resource. Expanding annotations to other languages involved entity-linking approaches, as seen in WikiNER (Nothman et al., 2013), or the utilization of knowledge bases such as WikiANN (Pan et al., 2017). In some instances, a combination of knowledge bases and neural methods was employed, as demonstrated by the approach taken in WikiNEuRal (Tedeschi et al., 2021). Nonetheless, CoNLL 2002/2003 remains one of the main benchmarks in multilingual NER. A recent work, also called UNER (Alves et al., 2020), attempts to produce silver-standard corpora by propagating English annotations across parallel corpora; however, there are no baseline evaluations to show the efficacy of this approach. Lastly, another contemporary work called Universal NER (Zhou et al., 2023) bears no relation to our effort, contains no annotation component, and is evaluated on an ensemble of NER benchmarks with different characteristics and quality levels.

Modeling for multilingual NER Several works have explored the task of NER outside of English. The earliest among them revolve around building language-independent methods that capture linguistic information in an unsupervised manner and then applying that knowledge downstream using a small amount of supervised training data (Cucerzan and Yarowsky, 1999; Lample et al., 2016, *inter alia*). Cross-lingual techniques have also emerged to transfer information between languages, especially from high- to low-resource languages (Ruder et al., 2019) or combining model and data transfer across languages (Wu et al., 2020b). Currently, the standard paradigm for multilingual NER involves finetuning or prompting multilingual lan-

⁸A preliminary version can be found here gitlab.inria. fr/ariabi/narabizi-ner.

guage models (e.g., Wu and Dredze, 2020; Muennighoff et al., 2023).

The UNER project offers support to these modeling efforts by providing gold-standard annotations across a variety of languages. We also include experiments finetuning XLM-R_{Large} (Conneau et al., 2020) on UNER as a baseline reference point for future modeling work on this data.

Community-driven annotation projects The field of NLP has been shaped by community-driven annotation projects. One prime example is the Universal Dependencies (UD) project (Nivre et al., 2020), precipitated by the earlier introduction of the universal POS tagset (McDonald et al., 2013). The majority of the texts in UD and its predecessors were sourced from the contributions of several linguists and researchers. Extensions and sister projects to UD have emerged (e.g., Savary et al., 2023; Kahane et al., 2021), to which UNER is now added. Another notable endeavor is Uni-Morph (Kirov et al., 2018; McCarthy et al., 2020), which covers 182 languages (Batsuren et al., 2022) and supports an annual dedicated shared task, along with its offshoot MorphyNet (Batsuren et al., 2021). The Masakhane Project has also produced a number of high-quality community efforts, to name a few: MasakhaNER (Adelani et al., 2021, 2022), MasakhaPOS (Dione et al., 2023b), and MasakhaNEWS (Dione et al., 2023a).

The UNER project follows the same communitydriven approach by asking volunteers to provide annotations for their respective languages.

7 Conclusion

We introduce Universal NER (UNER), a goldstandard data initiative covering 12 languages for named entity recognition (NER). The datasets included in UNER v1 cover a wide variety of domains and language families, and we establish initial performance metrics for these benchmarks. UNER opens a number of opportunities for research in NER outside of English and for crosslingual transfer; in particular, this project provides human-annotated and standardized evaluations for multilingual NER.

After releasing the current version of the UNER project, we plan to expand language coverage and diversity of this effort by both recruiting additional annotators and integrating existing NER datasets when possible. This will also allow us to obtain more robust agreement measures and verify the quality of existing annotations in UNER. In the longer term, our aims for Universal NER include rigorous quality checking of annotation results for robustness and further integration of finetuned models and data analysis tools into the project.

Limitations

Dataset Domains and Languages The data included in UNER v1 covers a range of domains and languages, depending on the available annotators and datasets in UD (Appendix Table 5). The variance in domains and languages will generally affect the efficacy of in cross-lingual learning and evaluation. However, we also provide a standardized, parallel evaluation set for a subset of the languages in UNER. Furthermore, we invite researchers who would like to see additional languages in UNER to join the annotation effort.

Springboarding from Universal Dependencies Our preliminary criterion for languages and data to be included in the current version of UNER is that it should be already in the Universal Dependencies (UD) (de Marneffe et al., 2021). This is to ensure the quality of the underlying data and to facilitate research in conjunction with existing UD treebanks, which include part-of-speech tags, tokenization, lemmas, and glosses. However, the next iteration of the UNER initiative is open to all languages, especially low-resource ones, regardless of whether they are present in UD or not.

Number of Annotators The UNER project relies on crowd-sourcing and community participation for annotation efforts. Thus, the languages included have varying numbers of annotators who have accepted the invitation to contribute. Nonetheless, as reported in Table 2, each language has at least **two** annotators for a subset of its documents and thus a corresponding measure of interannotator agreement.

Ethics Statement

Our annotated data is built on top of an alreadyestablished resource which is Universal Dependencies. Thus, we do not foresee any serious or harmful issues that may arise from its content. Interested volunteer annotators who were invited to the project have also been informed of the guidelines as discussed in Section 3 for annotating NER-ready datasets before starting with the process.

Contributions

Stephen Mayhew conception, kickoff, all initial organization, recruitment, and annotation, development of annotation tool, manuscript writing.

Terra Blevins annotation, organization, PUD analysis scripts, core manuscript writing.

Shuheng Liu annotation, all baseline experiments and analysis.

Marek Šuppa annotation, PROPN analysis, paper writing, GPU resources.

Hila Gonen advising, organization of and feedback on manuscript.

Joseph Marvin Imperial facilitated annotations for Tagalog and Cebuano, additions to manuscript for TL/CEB results, limitations, ethics, and conclusion sections.

Börje F. Karlsson annotation, manuscript writing and editing, advising.

Peiqin Lin annotation, manuscript comments.

Nikola Ljubešić preparation and transfer of the HR SET and SR SET datasets, manuscript comments and edits.

LJ Miranda annotation, related work section, comments, edits.

Barbara Plank preparation and transfer of the DA DDT dataset, manuscript writing, comments and edits.

Arij Riabi preparation and transfer of Northern Arabizi dataset (to be released shortly), related work section.

Yuval Pinter advising, organization of and writing of manuscript.

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A Additional Dataset Details

In this section, we provide additional statistics and analysis of the datasets included in UNER v1. Table 5 documents the domains included in each dataset along with their distributions of NER tags, and Table 4 presents the F1 overlap score between named entities in UNER and PROPN tags in the underlying UD treebanks. We also report the full numerical results of our baseline experiments in Table 6.

| Lang. | Dataset | Train | Dev | Test |
|-------|-----------|-------|------|------|
| DA | ddt | .824 | .835 | .836 |
| EN | ewt | .813 | .815 | .817 |
| HR | set | .810 | .785 | .799 |
| РТ | bosque | .844 | .859 | .856 |
| SK | snk | .848 | .783 | .771 |
| SR | set | .811 | .769 | .807 |
| SV | talbanken | .830 | .805 | .839 |
| ZH | gsd | .700 | .696 | .720 |
| ZH | gsdsimp | .695 | .695 | .719 |
| DE | pud | - | - | .785 |
| EN | pud | - | - | .826 |
| РТ | pud | - | - | .805 |
| RU | pud | - | - | .779 |
| SV | pud | - | - | .877 |
| ZH | pud | - | - | .708 |
| CEB | gja | - | - | .926 |
| TL | trg | - | - | .696 |
| TL | ugnayan | - | - | .723 |

Table 4: Comparing PROPN overlap (F1 scores).

| | | | | Entit | y Dist | . (%) |
|---|-------|-----------|-----------------------------------|-------|--------|-------|
| Data Source | Lang. | Dataset | Domains | LOC | ORG | PER |
| Johannsen et al. (2015) | DA | ddt | fiction, news, nonfiction, spoken | 28.0 | 30.8 | 41.2 |
| Silveira et al. (2014) | EN | ewt | blog, email, reviews, social, web | 37.8 | 21.8 | 40.4 |
| Agić and Ljubešić (2015) | HR | set | news, web, wiki | 37.4 | 33.0 | 29.6 |
| Rademaker et al. (2017) | PT | bosque | news | 29.5 | 33.9 | 36.6 |
| Zeman (2017) | SK | snk | fiction, news, nonfiction | 21.2 | 6.2 | 72.6 |
| Samardžić et al. (2017) | SR | set | news | 41.4 | 30.2 | 28.4 |
| McDonald et al. (2013) | SV | talbanken | news, nonfiction | 54.0 | 20.0 | 25.0 |
| Shen et al. (2016) | ZH | gsd | wiki | 48.1 | 17.9 | 34.0 |
| Qi and Yasuoka (2019) | | gsdsimp | wiki | 48.0 | 18.0 | 34.0 |
| | DE | pud | news, wiki | 41.3 | 18.5 | 40.2 |
| | EN | pud | news, wiki | 39.5 | 21.9 | 38.6 |
| \overline{Z} and \overline{z} at al. (2017) | PT | pud | news, wiki | 44.4 | 18.0 | 37.6 |
| Zeman et al. (2017) | RU | pud | news, wiki | 33.4 | 26.6 | 40.0 |
| | SV | pud | news, wiki | 43.0 | 15.7 | 41.3 |
| | ZH | pud | news, wiki | 44.9 | 13.5 | 41.6 |
| Aranes (2022) | CEB | gja | grammar examples | 12.3 | 2.0 | 85.7 |
| Samson and Cöltekin (2020) | TL | trg | grammar examples | 10.9 | 0.0 | 89.1 |
| Aquino et al. (2020) | | ugnayan | fiction, nonfiction | 47.5 | 0.0 | 52.5 |

Table 5: Domains and distribution of entity types for datasets in UNER. Domains are categorized for the underlying UD datasets at https://universaldependencies.org/.

| Ś | Source | | Target | F_1 | | | | | |
|-------|-----------|-------|-----------|-------|------|------|---------|--|--|
| Lang. | Dataset | Lang. | Dataset | LOC | ORG | PER | Overall | | |
| DA | ddt | DA | ddt | .879 | .828 | .914 | .826 | | |
| EN | ewt | EN | ewt | .868 | .721 | .949 | .859 | | |
| HR | set | HR | set | .969 | .898 | .969 | .936 | | |
| SK | snk | SK | snk | .846 | .635 | .881 | .854 | | |
| PT | bosque | РТ | bosque | .882 | .861 | .966 | .904 | | |
| SR | set | SR | set | .978 | .905 | .983 | .946 | | |
| SV | talbanken | SV | talbanken | .904 | .741 | .927 | .882 | | |
| ZH | gsd | ZH | gsd | .905 | .818 | .922 | .895 | | |
| ZH | gsdsimp | ZH | gsdsimp | .906 | .811 | .924 | .893 | | |
| | | DE | pud | .816 | .603 | .893 | .814 | | |
| | | EN | pud | .770 | .583 | .931 | .801 | | |
| EN | owt | РТ | pud | .844 | .697 | .913 | .848 | | |
| EN | ewt | RU | pud | .681 | .451 | .874 | .714 | | |
| | | SV | pud | .887 | .655 | .927 | .872 | | |
| | | ZH | pud | .465 | .309 | .388 | .410 | | |
| | | CEB | gja | .556 | .000 | .842 | .789 | | |
| EN | ewt | TL | trg | .571 | - | .353 | .379 | | |
| | | TL | ugnayan | .951 | - | .222 | .680 | | |

Table 6: The full results of our baseline experiments from finetuning XLM- R_{Large} on UNER. All scores are reported in micro- F_1 . ORG F_1 scores are not reported for the two TL datasets since there are no ORG entities labeled.