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# 1 Model Reduction of Rotor-Foundation Systems Using the Approximate 2 Invariant Manifold Method

3 Arthur Mereles · Diogo Stuani Alves · Katia Lucchesi Cavalca

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6 **Abstract** This work presents a model reduction method suited for performing nonlinear dynamic analysis of high-  
7 dimensional rotor-foundation systems modeled by the finite element method. The approach consists in combining the  
8 Component Mode Synthesis (CMS) method with the Approximate Invariant Manifold Method (AIMM), and allows the  
9 obtention of forced responses through the integration of a single pair of ordinary differential equations. The proposed  
10 approach is tested using two examples: a simple and a complex rotor-foundation system. In both cases, the nonlinearity  
11 comes from the fluid-film bearings. The results show that the method can provide a significant reduction in numerical  
12 cost while still retaining good accuracy when compared to direct time integrations. By means of the proposed method,  
13 the nonlinear dynamic analysis of high-dimensional rotor-foundation system becomes a feasible option.

14 **Keywords** Model reduction method · Nonlinear analysis · Rotor-foundation systems · Approximate invariant  
15 manifold method · Component mode synthesis · Fluid-film bearings.

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## 1 Introduction

The complexity of rotating machines makes their design and analysis a challenging subject. One of several complicating factors is the interaction between the rotor and its supporting structure. This interaction has been shown to affect the critical speeds of rotor systems [1,2], which need to be avoided for a safe operation of the machines. Additionally, foundation flexibility is very relevant to the design of fluid-film bearings, which are commonly used in many rotating machinery applications [3]. These types of bearings are known to have unstable regions, denoted as "oil-whirl" and "oil-whip" [4,5], and the inclusion of the foundation flexibility is also known to affect the onset speed of this instability [6]. Aside from affecting the onset speed, the nonlinear dynamic behavior of the rotor when considering the foundation may be greatly affected during the oil-whip [7,8]. These works highlight the importance of including the flexibility of the foundation in rotor dynamic analyses.

The foundation of large rotating machines, such as turbine-generator systems for example, are often very complex structures [9,10]. For this reason, a classical approach is to introduce the foundation in the receptance matrix of the rotor system, after the equations have been transformed into the frequency domain [11,12,13,14]. In this case, experimentally obtained parameters of the foundation, such as modal masses, damping and natural frequencies, can be used, making the dynamic analysis in the frequency domain very accurate. These parameters can be obtained from run-down analysis [15] or Operation Modal Analysis (OMA) [16]. This method, however, is not suited for nonlinear dynamic analysis in rotor-foundation systems, mainly because of the evaluation of the nonlinear forces. Thus, in these cases, the procedure is to model the foundation by means of the Finite Element Method (FEM), and study the complete rotor-foundation system [17,18,19], which demands considerable computational power, and a reduction method is often required.

One well-known method used to reduce high-dimensional models obtained by means of the FEM is the Component Mode Synthesis (CMS), also known as substructuring [20,21]. The basis of the CMS is to divide the system into subcomponents or substructures. After this, a model reduction technique is applied in each subcomponent. The global system is obtained by assembling the substructures, leading to a reduced number of Degrees of Freedom (DOFs). Many different methods have been proposed to perform this subcomponent reduction and the subsequent assembly, such as the Craig-Bampton (CB) [22], MacNeal [23], and Rubin [24] methods. Substructuring is still an active field of research, and more information on the many different approaches can be consulted in the book by Allen et al. [21], and the reviews by Craig [20], Seshu [25] and de Klerk et al. [26].

Another approach to obtain reduced models is using the so-called Nonlinear Normal Modes (NNMs), also known as Invariant Manifold Method (IMM) [27,28]. The idea of the IMM is to extend the invariance property of eigenspaces of linear systems in the nonlinear range. This property states that any motion that starts on the eigenspace, remains on it as time tends to infinity. When nonlinearities are considered, however, the linear eigenspaces tend to be no longer invariant. The idea put forward by Shaw and Pierre [27] relies on constructing curved surfaces, or manifolds, that are tangent to the linear eigenspace and allows one to regain the invariance property for nonlinear systems. These are the Invariant Manifolds (IMs), which Shaw and Pierre also labeled as the NNMs of the system. However, the IMs obtained by the IMM are in general not unique, as discussed by Haller and Ponsioen [29]. The literature on IMs and NNMs is vast, and one may find more information in the reviews by Touzé et al. [30], Renson et al. [31], Mazzilli et al. [32], Avramov et al.[33], and Albu-Schäffer and Santina [34].

There are several ways to construct IMs for nonlinear systems. A common approach is to enforce a functional relation between a set of coordinates, labeled as master coordinates, of the system to another set, the slave coordinates. This functional relation, when substituted in the equations of motion, leads to nonlinear Partial Differential Equations (PDEs), which solutions give the IMs. Some other ways to obtain the IMs are through shooting methods, harmonic balance, or numerical continuation (see [31] for a review on the computation of IMs). Several methods have been developed to solve these PDEs. Taylor expansions are the most common way [35,36,37]. In this case, the relationships between the master and slave coordinates are expanded into polynomial series, and the coefficients of the polynomials are obtained from the PDEs. Here, one can also make use of the parameterization method [38], which is a powerful technique to obtain IMs. The three main parameterization styles are the *graph style*, the *normal form style*, and the *mixed style*. In the first case, one has the already mentioned functional relationship between master and slave coordinates. In the normal form style, one has an additional nonlinear transformation in the equations of motion of the master modes, which is done in order to simplify them by removing resonant monomials and non-essential terms. This makes the method applicable to cases in which the IMs fold [39]. The mixed style is simply a combination of the two previously mentioned styles (one is referred to Touzé et al. [30], for more details). Alternatively, one may use numerical methods to solve for the IMs, such as the Galerkin method [40,41,42], FEM [43], or the Finite Difference Method (FDM) [44]. Since the manifolds obtained from such numerical procedures are an approximation of the true IM of the system, one might label this approach as Approximate Invariant Manifold Method (AIMM).

71 This paper introduces a model reduction procedure applied to high-dimensional rotor-foundation systems. The  
 72 method combines the use of CMS and the AIMM to obtain fast and accurate solutions when considering nonlinear  
 73 bearing models. The CMS method used was the CB [22], which divides the rotor and foundation into the so-called  
 74 superelements. The AIMM, based on [41], is then applied in the system reduced by the CMS, leading to a single pair  
 75 of equations for the master coordinates. The main contribution of the work is the introduction of a method that allows  
 76 nonlinear dynamic analysis to be performed in high-dimensional rotor-foundation systems.

77 The remaining of this paper is divided as follows: Section 2 presents the model of the rotor-foundation adopted.  
 78 The source of nonlinearities comes from the fluid-film bearings, which are introduced in Section 3. The first reduction  
 79 by the CMS is given in Section 4, and the subsequent application of the AIMM in Section 5. After this, Section 6  
 80 presents the results and the paper is finished by some conclusions in Section 7.

## 81 2 Rotor-foundation model

82 The rotor-foundation system is modeled by means of the Finite Element Method (FEM). Figure 1 depicts the system  
 83 considered, where  $\bar{\mathbf{x}}_{\mathbf{r}}$  and  $\bar{\mathbf{x}}_{\mathbf{f}}$  denote the nodal displacements and rotations of the discretized mesh of both rotor and  
 84 foundation, respectively. The form of these nodal vectors depend on the type of finite element utilized. For example,  
 85 for the 3D finite element, one has,  $\bar{\mathbf{x}}^i = [\bar{u}_x^i, \bar{u}_y^i, \bar{u}_z^i, \bar{\psi}_x^i, \bar{\psi}_y^i, \bar{\psi}_z^i]$ , where  $u$  and  $\psi$  denote the displacement and rotation,  
 86 respectively; and  $i$  denote the  $i$ th node in the mesh. Throughout this work, the bars denotes that the displacements are  
 87 not measured from the equilibrium position, which means that they denote the absolute displacements of the system.  
 88 The equation of motion of the system can be written in general form as [13,14,19],

$$\begin{aligned} \begin{bmatrix} \mathbf{M}_{\mathbf{r}} & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_{\mathbf{f}} \end{bmatrix} \begin{Bmatrix} \ddot{\bar{\mathbf{x}}}_{\mathbf{r}}(t) \\ \ddot{\bar{\mathbf{x}}}_{\mathbf{f}}(t) \end{Bmatrix} + \left( \begin{bmatrix} \mathbf{C}_{\mathbf{r}} + \Omega \mathbf{G}_{\mathbf{r}} & \mathbf{0} \\ \mathbf{0} & \mathbf{C}_{\mathbf{f}} \end{bmatrix} + \mathbf{R}(\Omega) \right) \begin{Bmatrix} \dot{\bar{\mathbf{x}}}_{\mathbf{r}}(t) \\ \dot{\bar{\mathbf{x}}}_{\mathbf{f}}(t) \end{Bmatrix} \\ + \left( \begin{bmatrix} \mathbf{K}_{\mathbf{r}} & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_{\mathbf{f}} \end{bmatrix} + \mathbf{S}(\Omega) \right) \begin{Bmatrix} \bar{\mathbf{x}}_{\mathbf{r}}(t) \\ \bar{\mathbf{x}}_{\mathbf{f}}(t) \end{Bmatrix} = \mathbf{f}_{\mathbf{nl}}(\bar{\mathbf{x}}_{\mathbf{r}} - \bar{\mathbf{x}}_{\mathbf{f}}, \dot{\bar{\mathbf{x}}}_{\mathbf{r}} - \dot{\bar{\mathbf{x}}}_{\mathbf{f}}) + \mathbf{f}_{\mathbf{h}}(t) + \mathbf{f}_{\mathbf{g}}, \quad (1) \end{aligned}$$

89 where the subscripts  $\mathbf{r}$  and  $\mathbf{f}$  denote the rotor and foundation terms, respectively,  $\mathbf{M}$  is the mass matrix,  $\mathbf{C}$  is the  
 90 damping matrix,  $\mathbf{G}$  is the gyroscopic matrix,  $\mathbf{K}$  is the stiffness matrix, and  $\Omega$  is the shaft speed. The matrices  $\mathbf{R}$  and  
 91  $\mathbf{S}$  are the linearized damping and stiffness matrices of the bearings, while  $\mathbf{f}_{\mathbf{nl}}$  are the purely nonlinear components of  
 92 the bearing force. Also, the term  $\mathbf{f}_{\mathbf{g}}$  denote the gravity force, which is a constant vector acting at the center of mass

of the system; and  $\mathbf{f}_h$  is the excitation due to mass unbalance, and it is given as,

$$\mathbf{f}_h(t) = \mathbf{f}_{hy} m_{un} \Omega^2 \cos \Omega t + \mathbf{f}_{hz} m_{un} \Omega^2 \sin \Omega t, \quad (2)$$

where  $\mathbf{f}_{hy}$  and  $\mathbf{f}_{hz}$  are horizontal and vertical Boolean vectors that define which node in the mesh the force is acting on (generally they act on the rigid disks), and  $m_{un}$  is the unbalance moment.

It is convenient to rewrite Eq. (1) with respect to the equilibrium position. This can be done by a change of variables as,

$$\mathbf{x}(t) = \bar{\mathbf{x}}(t) - \mathbf{x}_e, \quad (3)$$

being  $\mathbf{x}(t) = \{\mathbf{x}_r(t) \ \mathbf{x}_f(t)\}^T$ ,  $\bar{\mathbf{x}}(t) = \{\bar{\mathbf{x}}_r(t) \ \bar{\mathbf{x}}_f(t)\}^T$ , and  $\mathbf{x}_e = \{\mathbf{x}_{er} \ \mathbf{x}_{ef}\}^T$  the equilibrium position, which has the equilibrium displacements of both rotor ( $\mathbf{x}_{er}$ ) and foundation ( $\mathbf{x}_{ef}$ ). The equilibrium position is obtained by assuming  $\ddot{\bar{\mathbf{x}}}_r = \ddot{\bar{\mathbf{x}}}_f = \dot{\bar{\mathbf{x}}}_r = \dot{\bar{\mathbf{x}}}_f = \mathbf{f}_h = 0$  in Eq. (1), which gives,

$$\left( \begin{bmatrix} \mathbf{K}_r & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_f \end{bmatrix} + \mathbf{S}(\Omega) \right) \begin{Bmatrix} \mathbf{x}_{er} \\ \mathbf{x}_{ef} \end{Bmatrix} = \mathbf{f}_{nl}(\mathbf{x}_{er} - \mathbf{x}_{ef}, \mathbf{0}) + \mathbf{f}_g. \quad (4)$$

Equation (4) is a nonlinear algebraic equation, and its solution provides the equilibrium position  $\mathbf{x}_e$  of the system. It is noted that the solution depends on the speed of the shaft  $\Omega$ . By using (3), Eq. (1) is rewritten as,

$$\mathbf{M}\ddot{\mathbf{x}}(t) + \mathbf{D}\dot{\mathbf{x}}(t) + \mathbf{K}\mathbf{x}(t) = \mathbf{f}_{nl}(\mathbf{x} + \mathbf{x}_e, \dot{\mathbf{x}}) + \mathbf{f}_h(t) + \mathbf{f}_g - \mathbf{K}\mathbf{x}_e = \mathbf{f}(\mathbf{x}, \dot{\mathbf{x}}, t), \quad (5)$$

where,

$$\mathbf{M} = \begin{bmatrix} \mathbf{M}_r & \mathbf{0} \\ \mathbf{0} & \mathbf{M}_f \end{bmatrix}, \quad \mathbf{D} = \begin{bmatrix} \mathbf{C}_r + \Omega \mathbf{G}_r & \mathbf{0} \\ \mathbf{0} & \mathbf{C}_f \end{bmatrix} + \mathbf{R}(\Omega), \quad \mathbf{K} = \begin{bmatrix} \mathbf{K}_r & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_f \end{bmatrix} + \mathbf{S}(\Omega). \quad (6)$$

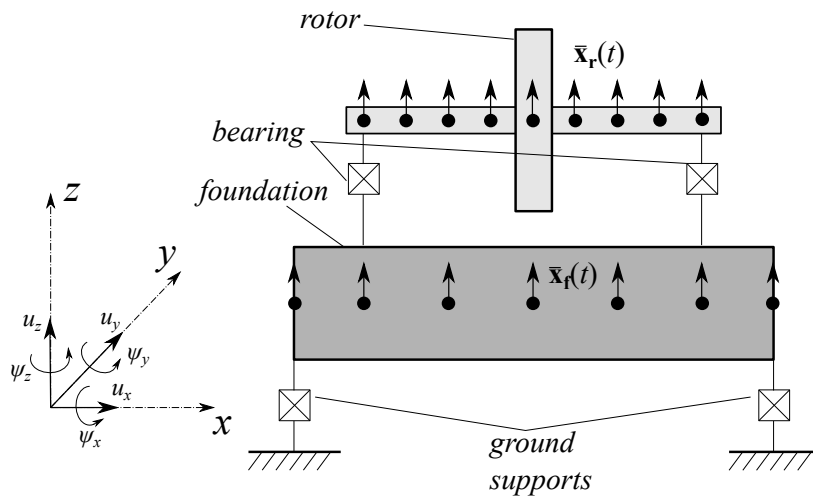


Fig. 1 Coordinate system and depiction of the rotor-foundation model.

104 Also, it is worth noting that the inputs for the nonlinear force  $\mathbf{f}_{nl}$  are the relative displacements and velocities of the  
 105 rotor and foundation.

### 106 3 Hydrodynamic bearing model

107 The bearings considered in this work are hydrodynamic. These types of bearings have many advantages, such as high  
 108 load capacity and low friction, and are largely used in rotordynamics applications [7]. The dynamics of the fluid-film  
 109 in the hydrodynamic bearing is commonly modeled using the Reynolds equation, which is given as [45,46],

$$\frac{1}{R^2} \frac{\partial}{\partial \theta} \left( h^3 \frac{\partial p}{\partial \theta} \right) + \frac{\partial}{\partial x} \left( h^3 \frac{\partial p}{\partial x} \right) = 6\mu\Omega \frac{\partial h}{\partial \theta} + 12\mu \frac{\partial h}{\partial t}, \quad (7)$$

110 being  $p$  the pressure distribution,  $h$  the oil-film thickness,  $R$  the journal radius,  $\mu$  the fluid viscosity (assumed con-  
 111 stant), and  $\theta$  and  $x$  denote the angular and axial coordinates. The bearing considered in this work is cylindrical, and  
 112 its geometry is depicted in Fig. 2. The fluid film thickness is given by,

$$h = c_r(1 + \varepsilon \cos \theta), \quad (8)$$

113 with,

$$\varepsilon = \frac{1}{c_r} \sqrt{(\bar{u}_y^r - \bar{u}_y^f)^2 + (\bar{u}_z^r - \bar{u}_z^f)^2}, \quad (9)$$

114 where  $c_r$  is the radial clearance,  $\varepsilon = e/c_r$  is the dimensionless eccentricity, and  $\bar{u}_y^r$ ,  $\bar{u}_z^r$ ,  $\bar{u}_y^f$  and  $\bar{u}_z^f$  are the horizontal and  
 115 vertical displacements of the rotor and foundation at the bearings. To obtain the bearing force, the Reynolds equation

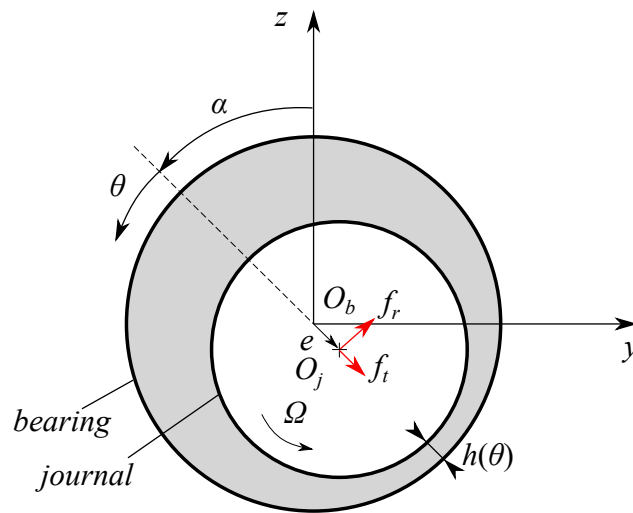


Fig. 2 Geometry of the hydrodynamic bearing.

116 is solved based on the short bearing theory, which neglects the pressure gradient in the circumferential direction  
 117 ( $\partial p / \partial \theta = 0$ ). Thus, the pressure from the oil film can be obtained as [45],

$$p(\theta, x) = \left( \frac{3\mu L_b^2}{c_r^2} \right) \frac{\varepsilon(\Omega - 2\dot{\alpha}) \sin \theta - 2\dot{\varepsilon} \cos \theta}{(1 + \varepsilon \cos \theta)^3} \left( \left( \frac{x}{L_b} \right)^2 - \frac{1}{4} \right), \quad (10)$$

118 where  $L_b$  is the bearing length and  $\dot{\alpha}$  denotes the whirl speed. In order to obtain the radial ( $f_r$ ) and tangential ( $f_t$ )  
 119 components of the fluid-film force, the pressure field has to be integrated over the axial and circumferential direction,  
 120 that is,

$$\begin{Bmatrix} f_r \\ f_t \end{Bmatrix} = \int_{\theta_1}^{\theta_2} \int_{-L_b/2}^{L_b/2} p(\theta, x) \begin{Bmatrix} \cos \theta \\ \sin \theta \end{Bmatrix} dx d\theta. \quad (11)$$

121 The integration limits  $\theta_1$  and  $\theta_2$  define the type of pressure distribution assumed. Here, the widely used Gumbel or  
 122 half-Sommerfeld condition is used, where  $\theta_1 = 0$  and  $\theta_2 = \pi$ . Thus, the integration above can be performed analytically,  
 123 leading to,

$$f_r = -\frac{\mu R L_b^3}{2c_r^2} \left[ \frac{2\varepsilon^2(\Omega - 2\dot{\alpha})}{(1 - \varepsilon^2)^2} + \frac{\pi(1 + 2\varepsilon^2)\dot{\varepsilon}}{(1 - \varepsilon^2)^{5/2}} \right], \quad (12)$$

$$f_t = \frac{\mu R L_b^3}{2c_r^2} \left[ \frac{\pi(\Omega - 2\dot{\alpha})\varepsilon}{2(1 - \varepsilon^2)^{3/2}} + \frac{4\varepsilon\dot{\varepsilon}}{(1 - \varepsilon^2)^2} \right]. \quad (13)$$

125 To express the force in cartesian components, one can apply the transformations (see Fig. 2),

$$f_y = f_r \cos \alpha + f_t \sin \alpha, \quad (14a)$$

$$f_z = f_r \sin \alpha - f_t \cos \alpha, \quad (14b)$$

127 with,

$$\alpha = \tan^{-1} \left( \frac{\bar{u}_y^r - \bar{u}_y^f}{\bar{u}_z^r - \bar{u}_z^f} \right). \quad (15)$$

128 The expressions above model the hydrodynamic force in cylindrical bearings under the short bearing assumption, and  
 129 it is widely used in the literature due to its simplicity [7, 47, 48].

### 130 3.1 Linearized Bearing Force

The linearized force can be obtained from a Taylor expansion of Eq. (14) around the equilibrium of the journal inside  
 the bearing, that is,

$$\begin{aligned} f_y^l &= f_{y0} + \left. \frac{\partial f_y}{\partial \Delta u_y} \right|_{\Delta u_y=0} \Delta u_y + \left. \frac{\partial f_y}{\partial \Delta u_z} \right|_{\Delta u_z=0} \Delta u_z + \left. \frac{\partial f_y}{\partial \Delta \dot{u}_y} \right|_{\Delta \dot{u}_y=0} \Delta \dot{u}_y + \left. \frac{\partial f_y}{\partial \Delta \dot{u}_z} \right|_{\Delta \dot{u}_z=0} \Delta \dot{u}_z \\ &= f_{y0} + k_{yy} \Delta u_y + k_{yz} \Delta u_z + c_{yy} \Delta \dot{u}_y + c_{yz} \Delta \dot{u}_z, \end{aligned} \quad (16a)$$



$$\begin{aligned}
f_z^t &= f_{z0} + \frac{\partial f_z}{\partial \Delta u_y} \Big|_{\Delta u_y=0} \Delta u_y + \frac{\partial f_z}{\partial \Delta u_z} \Big|_{\Delta u_z=0} \Delta u_z + \frac{\partial f_z}{\partial \Delta \dot{u}_y} \Big|_{\Delta \dot{u}_y=0} \Delta \dot{u}_y + \frac{\partial f_z}{\partial \Delta \dot{u}_z} \Big|_{\Delta \dot{u}_z=0} \Delta \dot{u}_z \\
&= f_{y0} + k_{yz} \Delta u_y + k_{zz} \Delta u_z + c_{yz} \Delta \dot{u}_y + c_{zz} \Delta \dot{u}_z,
\end{aligned} \tag{16b}$$

131 being  $\Delta u_i$  and  $\Delta \dot{u}_i$  the relative displacements and velocities ( $i = y, z$ ),  $k_{ij}$  and  $c_{ij}$  the stiffness and damping coefficients  
132 ( $i, j = yy, zz, yz, zy$ ), and  $f_{y0}$  and  $f_{z0}$  the static forces. In the present case,  $f_{y0} = 0$  and  $f_{z0} = F_0$ , where  $F_0$  denotes  
133 the vertical force on the bearings due to gravity alone. Note that, due to the change of coordinates (3), the equilibrium  
134 position is at the origin of the coordinate system. By performing the differentiations above, one arrives at [45, 49],

$$k_{ij} = \frac{F_0}{c_r} \gamma_{ij}, \quad c_{ij} = \frac{F_0}{\Omega c_r} \beta_{ij}, \quad i, j = yy, zz, yz, zy \tag{17}$$

with,

$$\begin{aligned}
\gamma_{yy} &= -\frac{4\varepsilon^2(\pi^2 - 16) - 8\pi^2}{(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}, \quad \gamma_{yz} = \frac{\pi((\pi^2 - 16)\varepsilon^4 - 2\pi^2\varepsilon^2 + \pi^2)}{\varepsilon\sqrt{1 - \varepsilon^2}(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}, \\
\gamma_{zy} &= -\frac{\pi((32 - 2\pi^2)\varepsilon^4 + (\pi^2 + 32)\varepsilon^2 + \pi^2)}{\varepsilon\sqrt{1 - \varepsilon^2}(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}, \quad \gamma_{zz} = -\frac{4\varepsilon^2(\pi^2 + 32) - 4\varepsilon^4(2\pi^2 - 32) + 4\pi^2}{(\varepsilon^2 - 1)(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}, \\
\beta_{yy} &= \frac{2\pi\sqrt{1 - \varepsilon^2}((2\pi^2 - 16)\varepsilon^2 + \pi^2)}{\varepsilon(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}, \quad \beta_{yz} = \beta_{zy} = -\frac{4\varepsilon^2(4\pi^2 - 32) + 8\pi^2}{(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}, \\
\beta_{zz} &= \frac{2\pi(\pi^2\varepsilon^4 + (48 - 2\pi^2)\varepsilon^2 + \pi^2)}{\varepsilon\sqrt{1 - \varepsilon^2}(\pi^2 - \varepsilon^2(\pi^2 - 16))^{3/2}}.
\end{aligned} \tag{18}$$

136 The eccentricity  $\varepsilon$  denotes the position of the journal inside the bearing, and it is dependent on the speed  $\Omega$ , which  
137 in turn makes the coefficients above also dependent on  $\Omega$ . One can solve the following nonlinear equation to obtain  
138 the eccentricity [45, 49]

$$F_0 - \left(\frac{\mu L^3 \Omega R}{2c_r}\right) \frac{\pi}{2} \frac{\varepsilon}{(1 - \varepsilon^2)^2} \sqrt{1 - \varepsilon^2 + \left(\frac{4}{\pi}\varepsilon\right)^2} = 0. \tag{19}$$

139 The zeros of Eq. (19) gives the locus of the journal center as the speed increases.

140 The global bearing matrices  $\mathbf{R}$  and  $\mathbf{S}$  that are used in Eq. (5), can be obtained in the following way. Firstly, one  
141 can separate the rotor and foundation parts as,

$$\mathbf{S}(\Omega) = \begin{bmatrix} \mathbf{S}_{rr}(\Omega) & \mathbf{S}_{rf}(\Omega) \\ \mathbf{S}_{fr}(\Omega) & \mathbf{S}_{ff}(\Omega) \end{bmatrix}, \quad \mathbf{R}(\Omega) = \begin{bmatrix} \mathbf{R}_{rr}(\Omega) & \mathbf{R}_{rf}(\Omega) \\ \mathbf{R}_{fr}(\Omega) & \mathbf{R}_{ff}(\Omega) \end{bmatrix}. \tag{20}$$

142 Secondly, one writes the sub-matrices  $\mathbf{S}_{rr}$ ,  $\mathbf{S}_{rf}$ , and so on, as,

$$\begin{aligned}
\mathbf{S}_{rr}(\Omega) &= \sum_{i=1}^{n_b} \left( \mathbf{B}_{ri}^T \begin{bmatrix} k_{yy}^i(\Omega) & k_{yz}^i(\Omega) \\ k_{zy}^i(\Omega) & k_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{ri} \right), \quad \mathbf{S}_{rf}(\Omega) = \sum_{i=1}^{n_b} \left( \mathbf{B}_{ri}^T \begin{bmatrix} k_{yy}^i(\Omega) & k_{yz}^i(\Omega) \\ k_{zy}^i(\Omega) & k_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{fi} \right), \\
\mathbf{S}_{fr}(\Omega) &= \sum_{i=1}^{n_b} \left( \mathbf{B}_{fi}^T \begin{bmatrix} k_{yy}^i(\Omega) & k_{yz}^i(\Omega) \\ k_{zy}^i(\Omega) & k_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{ri} \right), \quad \mathbf{S}_{ff}(\Omega) = \sum_{i=1}^{n_b} \left( \mathbf{B}_{fi}^T \begin{bmatrix} k_{yy}^i(\Omega) & k_{yz}^i(\Omega) \\ k_{zy}^i(\Omega) & k_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{fi} \right),
\end{aligned} \tag{21a}$$

$$\begin{aligned}
\mathbf{R}_{\mathbf{r}\mathbf{r}}(\Omega) &= \sum_{i=1}^{n_b} \left( \mathbf{B}_{\mathbf{r}i}^T \begin{bmatrix} c_{yy}^i(\Omega) & c_{yz}^i(\Omega) \\ c_{zy}^i(\Omega) & c_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{\mathbf{r}i} \right), & \mathbf{R}_{\mathbf{r}\mathbf{f}}(\Omega) &= \sum_{i=1}^{n_b} \left( \mathbf{B}_{\mathbf{r}i}^T \begin{bmatrix} c_{yy}^i(\Omega) & c_{yz}^i(\Omega) \\ c_{zy}^i(\Omega) & c_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{\mathbf{f}i} \right), \\
\mathbf{R}_{\mathbf{f}\mathbf{r}}(\Omega) &= \sum_{i=1}^{n_b} \left( \mathbf{B}_{\mathbf{f}i}^T \begin{bmatrix} c_{yy}^i(\Omega) & c_{yz}^i(\Omega) \\ c_{zy}^i(\Omega) & c_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{\mathbf{r}i} \right), & \mathbf{R}_{\mathbf{f}\mathbf{f}}(\Omega) &= \sum_{i=1}^{n_b} \left( \mathbf{B}_{\mathbf{f}i}^T \begin{bmatrix} c_{yy}^i(\Omega) & c_{yz}^i(\Omega) \\ c_{zy}^i(\Omega) & c_{zz}^i(\Omega) \end{bmatrix} \mathbf{B}_{\mathbf{f}i} \right),
\end{aligned} \tag{21b}$$

being  $n_b$  the number of bearings, and  $\mathbf{B}_{\mathbf{r}}$  and  $\mathbf{B}_{\mathbf{f}}$  Boolean matrices that indicate which rotor and foundation DOFs the bearings are acting, respectively. For an example, consider that the bearings act in the first node of both rotor and foundation meshes, and they are modeled using 3D finite elements. Recall that for 3D elements the  $i$ th nodal displacement is  $\bar{\mathbf{x}}^i = [\bar{u}_x^i, \bar{u}_y^i, \bar{u}_z^i, \bar{\psi}_x^i, \bar{\psi}_y^i, \bar{\psi}_z^i]$ , and the bearings act in the  $y$  and  $z$  direction. Thus, the Boolean matrices will be,

$$\mathbf{B}_{\mathbf{r}} = \begin{bmatrix} 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 \end{bmatrix}, \quad \mathbf{B}_{\mathbf{f}} = \begin{bmatrix} 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 \end{bmatrix}. \tag{22}$$

In addition, the nonlinear part of the bearing force,  $\mathbf{f}_{\mathbf{nl}}$ , can be obtained in a similar way, as

$$\mathbf{f}_{\mathbf{nl}} = \begin{Bmatrix} \mathbf{f}_{\mathbf{nl}}^{\mathbf{r}} \\ \mathbf{f}_{\mathbf{nl}}^{\mathbf{f}} \end{Bmatrix} = \sum_{i=1}^{n_b} \begin{bmatrix} \mathbf{B}_{\mathbf{r}i}^T & \mathbf{0} \\ \mathbf{0} & -\mathbf{B}_{\mathbf{f}i}^T \end{bmatrix} \begin{Bmatrix} (f_y - f_y^l)_i \\ (f_z - f_z^l)_i \end{Bmatrix}, \tag{23}$$

where one subtracts the full hydrodynamic forces  $f_y$  and  $f_z$  given by Eq. (14) to the linear part  $f_y^l$  and  $f_z^l$  given by Eq. (16) to obtain the purely nonlinear contribution.

#### 4 Component Mode Synthesis

The first reduction applied in the system given by Eq. (5) is performed using Component Mode Synthesis (CMS). Since rotor-foundation systems modeled by the FEM can reach very high numbers of DOFs [17, 18, 19], the purpose of the CMS is to reduce this number for the application of the method presented in Section 5. The CMS approach selected was the Craig-Bampton (CB) method [22, 21]. This method is well established in the literature and it is commonly used in the obtention of Reduced Order Models (ROMs) for large systems modeled by the FEM. Some examples of the application of the CB method can be found in [50, 51, 52].

The basis of the CB method is the separation of the DOFs into internal and boundary or interface nodes, creating the so-called superelements. The boundary nodes are kept into physical form, while the internal nodes are reduced using fixed-interface modes. This makes the method very applicable to localized nonlinearities, such as friction interfaces [53]. In the present case, the boundary nodes correspond to the DOFs where the bearings are located at both the shaft and the foundation. Thus, Eq. (5) is rewritten as,

$$\begin{bmatrix} \mathbf{M}_{ii} & \mathbf{M}_{ib} \\ \mathbf{M}_{bi} & \mathbf{M}_{bb} \end{bmatrix} \begin{Bmatrix} \ddot{\mathbf{x}}_i(t) \\ \ddot{\mathbf{x}}_b(t) \end{Bmatrix} + \begin{bmatrix} \mathbf{D}_{ii} & \mathbf{D}_{ib} \\ \mathbf{D}_{bi} & \mathbf{D}_{bb} \end{bmatrix} \begin{Bmatrix} \dot{\mathbf{x}}_i(t) \\ \dot{\mathbf{x}}_b(t) \end{Bmatrix} + \begin{bmatrix} \mathbf{K}_{ii} & \mathbf{K}_{ib} \\ \mathbf{K}_{bi} & \mathbf{K}_{bb} \end{bmatrix} \begin{Bmatrix} \mathbf{x}_i(t) \\ \mathbf{x}_b(t) \end{Bmatrix} = \begin{Bmatrix} \mathbf{f}_i(\mathbf{x}, \dot{\mathbf{x}}, t) \\ \mathbf{f}_b(\mathbf{x}, \dot{\mathbf{x}}, t) \end{Bmatrix}, \quad (24)$$

164 where  $\mathbf{i}$  and  $\mathbf{b}$  denote the internal and boundary DOFs. The relation between the boundary and the internal DOFs is

165 obtained by performing a static reduction, which gives the following reduction basis,

$$\boldsymbol{\psi} = \begin{bmatrix} -\mathbf{K}_{ii}^{-1} \mathbf{K}_{ib} \\ \mathbf{I} \end{bmatrix}. \quad (25)$$

166 The basis  $\boldsymbol{\psi}$  has a size  $N \times n_B$ , being  $N$  the total number of DOFs in Eq. (24) and  $n_B$  the number of boundary DOFs.

167 Equation (25) is obtained by performing a unit displacement in each of the boundary DOFs and fixing all remaining

168 DOFs. In order to improve the dynamic analysis, the static reduction is augmented by the use of the vibrating modes

169 of the structure with all boundary DOFs fixed. These modes are obtained from the solution of the following eigenvalue

170 problem:

$$(\mathbf{K}_{ii} - \lambda_r^{CMS} \mathbf{M}_{ii}) \boldsymbol{\phi}^r = \mathbf{0}, \quad r = 1, 2, \dots, n_I \quad (26)$$

171 where  $n_I$  denotes the number of modes retained. The eigenvectors  $\boldsymbol{\phi}^r$  and eigenvalues  $\lambda_r^{CMS}$  correspond to the vibrating

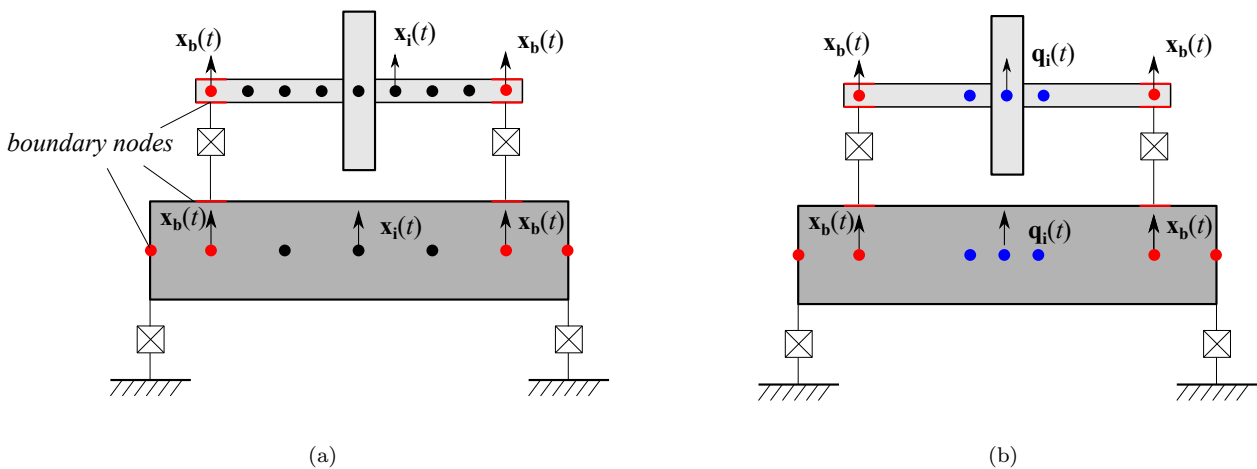
172 modes of the system when the boundaries are considered fixed. The basis with the fixed vibrating modes will be given

173 as,

$$\boldsymbol{\phi} = \begin{bmatrix} [\boldsymbol{\phi}^1 \ \boldsymbol{\phi}^2 \ \dots \ \boldsymbol{\phi}^{n_I}] \\ \mathbf{0} \end{bmatrix}. \quad (27)$$

174 Thus, the complete CMS basis is obtained as,

$$\mathbf{T} = [\boldsymbol{\psi} \ \boldsymbol{\phi}], \quad (28)$$



**Fig. 3** Application of CMS: division of the system into boundary and internal nodes (a), and reduction by means of the CB method (b).

175 which has size  $N \times (n_B + n_I)$ . Using the CMS basis, the displacements are written as,

$$\begin{Bmatrix} \mathbf{x}_i(t) \\ \mathbf{x}_b(t) \end{Bmatrix} \approx \mathbf{T} \begin{Bmatrix} \mathbf{q}_i(t) \\ \mathbf{x}_b(t) \end{Bmatrix}, \quad (29)$$

176 being  $\mathbf{q}_i$  the modal (generalized) coordinates of the fixed-interface modes. By substituting the expansion (29) into

177 Eq. (24), and multiplying by  $\mathbf{T}^T$ , one obtains the reduced set of equations for the rotor and foundation, that is,

$$\hat{\mathbf{M}} \begin{Bmatrix} \ddot{\mathbf{q}}_i(t) \\ \ddot{\mathbf{x}}_b(t) \end{Bmatrix} + \hat{\mathbf{D}} \begin{Bmatrix} \dot{\mathbf{q}}_i(t) \\ \dot{\mathbf{x}}_b(t) \end{Bmatrix} + \hat{\mathbf{K}} \begin{Bmatrix} \mathbf{q}_i(t) \\ \mathbf{x}_b(t) \end{Bmatrix} = \mathbf{T}^T \begin{Bmatrix} \mathbf{f}_i(\mathbf{T}\mathbf{x}, \mathbf{T}\dot{\mathbf{x}}, t) \\ \mathbf{f}_b(\mathbf{T}\mathbf{x}, \mathbf{T}\dot{\mathbf{x}}, t) \end{Bmatrix}, \quad (30)$$

178 where,

$$\hat{\mathbf{M}} = \mathbf{T}^T \mathbf{M} \mathbf{T}, \quad \hat{\mathbf{D}} = \mathbf{T}^T \mathbf{D} \mathbf{T}, \quad \hat{\mathbf{K}} = \mathbf{T}^T \mathbf{K} \mathbf{T}. \quad (31)$$

179 Equation (30) is the equation of motion of the rotor-foundation system after the reduction into superelements. Thus,  $\mathbf{q}_i$

180 consists of the internal coordinates of both the rotor and foundation, and  $\mathbf{x}_b$  the boundary coordinates at the bearings,

181 which is where the rotor is connected to the supporting structure. Figure 3 depicts the CMS reduction process in the

182 rotor-foundation system.

## 183 5 Approximate Invariant Manifold Method

184 The next step in the present approach is the application of the Approximate Invariant Manifold Method (AIMM).

185 The basic idea of the AIMM is to estimate the IM of the dynamical system, allowing one to obtain the response of

186 it by only solving a selected set of master coordinates. To this end, the equations reduced by the CMS are projected

187 into the eigenspace, that is, the space spanned by the vibrating modes of the coupled structure.

188 Here, it is important to note that one could bypass the application of the CMS presented in Sec. 4 and apply the

189 modal analysis directly into the full system given by Eq. (5). However, if the model has a very high number of DOFs

190 (which is common in systems modeled by the FEM), the solution of the eigenvalue problem may be too computationally

191 expensive. Hence, the use of CMS can be very **useful** in such cases [21]. Alternatively, the computational burden can

192 be avoided by using the direct computation of IMs [37,39], which only requires the computation of the master modes

193 instead of all the eigenvectors of the system.

194 Due to the damping of the bearings and gyroscopic effect of the shaft, a complex modal analysis is necessary to

195 fully decouple the equations at linear order [54]. One first writes the equations in the state-space form as,

$$\dot{\mathbf{w}}(t) = \mathbf{A} \mathbf{w}(t) + \mathbf{G}(\mathbf{w}, t), \quad (32)$$

196 where,

$$\mathbf{A} = \begin{bmatrix} \mathbf{0} & \mathbf{I} \\ \hat{\mathbf{M}}^{-1}\hat{\mathbf{K}} & \hat{\mathbf{M}}^{-1}\hat{\mathbf{D}} \end{bmatrix}, \quad (33a)$$

$$\mathbf{w}(t) = \left\{ \mathbf{q}_i(t) \ \mathbf{x}_b(t) \ \dot{\mathbf{q}}_i(t) \ \dot{\mathbf{x}}_b(t) \right\}^T, \quad (33b)$$

$$\mathbf{G}(\mathbf{w}, t) = \left\{ \mathbf{0} \ \hat{\mathbf{M}}^{-1}\mathbf{T}^T\mathbf{f}_i(\mathbf{w}, t) \ \hat{\mathbf{M}}^{-1}\mathbf{T}^T\mathbf{f}_b(\mathbf{w}, t) \right\}^T, \quad (33c)$$

199 Next, the state vector is expanded in terms of the eigenvectors of the matrix  $\mathbf{A}$  as follows,

$$\mathbf{w}(t) = \sum_{i=1}^{2n} \boldsymbol{\eta}^i q_i(t) = [\boldsymbol{\eta}^1 \ \boldsymbol{\eta}^2 \ \dots \ \boldsymbol{\eta}^{2n}] \mathbf{q}(t) = \boldsymbol{\eta} \mathbf{q}(t), \quad (34)$$

200 where  $n$  are the modes retained,  $\boldsymbol{\eta}$  is the modal matrix of size  $2N \times 2n$  that has the eigenvectors  $\boldsymbol{\eta}^i$  on its columns,  
 201 and  $\mathbf{q}(t)$  are the modal (generalized) coordinates. Here, one has the opportunity to perform a second reduction in the  
 202 equations. In the CMS, the system is reduced from  $N$  to  $(n_B + n_I)$ . In some cases, specially in highly discretized 3D  
 203 finite element models, the number of boundary DOFs  $n_B$  may still be large. Thus, one can further reduce the system  
 204 by choosing  $n$  in Eq. (34), such that  $2n < (n_B + n_I)$ . The eigenvectors and adjoints are the solution of,

$$\mathbf{A}\boldsymbol{\eta}^i = \lambda_i \boldsymbol{\eta}^i, \quad \text{for } i = 1, 2, \dots, 2n \quad (35a)$$

$$\mathbf{A}^H \tilde{\boldsymbol{\eta}}^i = \lambda_i^* \tilde{\boldsymbol{\eta}}^i, \quad \text{for } i = 1, 2, \dots, 2n \quad (35b)$$

206 being  $\lambda_i$  the eigenvalues, which are generally complex conjugate pairs,  $\tilde{\boldsymbol{\eta}}^i$  the  $i$ th adjoint eigenvector, and  $H$  and  $*$   
 207 denote the hermitian (complex conjugate) transpose and complex conjugation, respectively. The adjoint eigenvectors  
 208 are the complex conjugate of the left eigenvectors, and they are necessary here due to the non-symmetric nature of  
 209  $\mathbf{A}$ , which in turn is due to the gyroscopic effect and anisotropy of the bearings. The relation between the eigenvectors  
 210 and its adjoint is given as,

$$\begin{aligned} (\tilde{\boldsymbol{\eta}}^j)^H \boldsymbol{\eta}^i &= \delta_{ij}, \\ (\tilde{\boldsymbol{\eta}}^j)^H \mathbf{A} \boldsymbol{\eta}^i &= \lambda_j \delta_{ij}, \end{aligned} \quad \text{for } i = 1, 2, \dots, 2n \quad (36)$$

211 being  $\delta_{ij}$  the Kronecker delta. Note that the previous relations assumes that the vectors have been normalized. By  
 212 substituting the expansion (34) in the equation of motion (32), and multiplying by the adjoint matrix  $\tilde{\boldsymbol{\eta}}^H$ , one has,

$$\dot{q}_i(t) = \lambda_i q_i(t) + (\tilde{\boldsymbol{\eta}}^i)^H \mathbf{G}(\mathbf{q}, t), \quad \text{for } i = 1, 2, \dots, 2n \quad (37)$$

213 which are  $2n$ , first-order, complex equations uncoupled at linear order. By separating the modal coordinates into its  
 214 real and imaginary parts as  $q_i = p_{2i-1} + jp_{2i}$ , with  $j = \sqrt{-1}$ , Eq. (37) can be written using real numbers. The result

215 is,

$$\begin{aligned} \dot{p}_{2i-1}(t) &= \sigma_i p_{2i-1}(t) - \omega_i p_{2i}(t) + \operatorname{Re} \{ (\tilde{\boldsymbol{\eta}}^i)^H \mathbf{G}(\mathbf{q}, t) \}, \\ \dot{p}_{2i}(t) &= \sigma_i p_{2i}(t) + \omega_i p_{2i-1}(t) + \operatorname{Im} \{ (\tilde{\boldsymbol{\eta}}^i)^H \mathbf{G}(\mathbf{q}, t) \}, \end{aligned} \quad \text{for } i = 1, 2, \dots, n \quad (38)$$

216 with  $\sigma_i = \operatorname{Re}\{\lambda_i\}$  and  $\omega_i = \operatorname{Im}\{\lambda_i\}$ . Note that the procedure above assumed that the mode  $i$  is a vibrating mode, that  
217 is  $\omega_i \neq 0$ . In case,  $\omega_i = 0$ , one has a  $i$ th overdamped mode, and its equation of motion is simply,

$$\dot{p}_i(t) = \sigma_i p_i(t) + (\tilde{\boldsymbol{\eta}}^i)^T \mathbf{G}(\mathbf{q}, t), \quad (39)$$

218 where the eigenvector  $\tilde{\boldsymbol{\eta}}^i$  is now purely real as well. The solution manifold corresponding to Eq. (38) is 2D while the  
219 ones given by Eq. (39) is 1D [29].

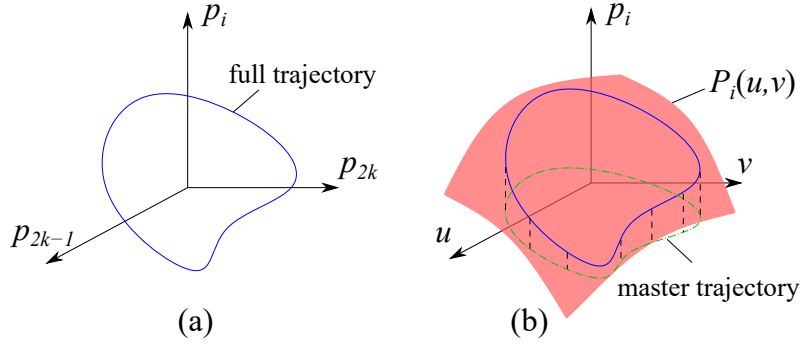
220 To apply the AIMM, one chooses one mode, or a pair of coordinates, from the  $2n$  used to express the displacements  
221 in Eq. (34). These are then used as a basis to describe the remaining  $2n - 2$  slave modes of the system. It is worth  
222 mentioning that the approach could be easily extended to multiple master modes, and there is no loss of generality  
223 here. Let  $u = p_{2k-1}$  and  $v = p_{2k}$  denote the selected master coordinates. The relation between the remaining modes  
224 with the master coordinates can be expressed as,

$$p_{2i-1} = P_i(u, v, \phi), \quad p_{2i} = Q_i(u, v, \phi), \quad \text{for } i = 1, 2, \dots, n; i \neq k \quad (40)$$

225 where  $P_i$  and  $Q_i$  can be seen as the coordinates that compose the  $i$ th manifold. Since the system is non-autonomous  
226 (forced) due to the unbalance force, one needs to introduce a third generalized coordinate  $\phi = \Omega t$ , which is the phase  
227 of the external excitation. Since  $P_i$  and  $Q_i$  depend on three quantities, one can think of the manifold as a 2D surface  
228 with time-varying coordinates due to the external excitation [41]. The procedure followed in the AIMM is depicted in  
229 Fig. 4. In the traditional direct integration, the solution of Eq. (38) gives the trajectories in phase space (depicted in  
230 blue). In the AIMM, instead of integrating the full equations, one only solves for the master coordinates  $u$  and  $v$ , and  
231 uses the relations in Eq. (40) to obtain the trajectories of the full system.

232 By differentiating Eq. (40) with respect to time one arrives at,

$$\begin{aligned} \dot{p}_{2i-1} &= \frac{\partial P_i}{\partial u} \dot{u} + \frac{\partial P_i}{\partial v} \dot{v} + \frac{\partial P_i}{\partial \phi} \dot{\phi}, \\ \dot{p}_{2i} &= \frac{\partial Q_i}{\partial u} \dot{u} + \frac{\partial Q_i}{\partial v} \dot{v} + \frac{\partial Q_i}{\partial \phi} \dot{\phi}, \end{aligned} \quad \text{for } i = 1, 2, \dots, n; i \neq k \quad (41)$$



**Fig. 4** In the direct numerical integration, the solution is obtained as a trajectory in phase space (a). In the AIMM (b), one obtains the trajectory of the master mode only, and uses the shape of the manifold to obtain the full trajectories.

233 where the functional dependence of the variables has been omitted for better clarity. Substituting Eq. (38) above, and  
 234 considering  $\dot{\phi} = \Omega$ , one has,

$$\begin{aligned}
 \sigma_i P_i - \omega_i Q_i + g_{2i-1} &= \frac{\partial P_i}{\partial u} (\sigma_k u - \omega_k v + g_{2k-1}) + \frac{\partial P_i}{\partial \phi} \Omega \\
 &\quad + \frac{\partial P_i}{\partial v} (\sigma_k v + \omega_k u + g_{2k}), \quad \text{for } i = 1, 2, \dots, n; i \neq k \\
 \sigma_i Q_i + \omega_i P_i + g_{2i} &= \frac{\partial Q_i}{\partial u} (\sigma_k u - \omega_k v + g_{2k-1}) + \frac{\partial Q_i}{\partial \phi} \Omega \\
 &\quad + \frac{\partial Q_i}{\partial v} (\sigma_k v + \omega_k u + g_{2k}),
 \end{aligned} \tag{42}$$

235 where  $g_{2i-1} = \text{Re} \{ (\tilde{\eta}^i)^H \mathbf{G}(u, v, \phi) \}$  and  $g_{2i} = \text{Im} \{ (\tilde{\eta}^i)^H \mathbf{G}(u, v, \phi) \}$ . Note that the external vector  $\mathbf{G}$  depends now  
 236 only on  $u, v$  and  $\phi$  due to Eq. (40). The above equations are partial differential equations (PDEs), and they can only  
 237 be solved approximately by means of numerical methods. Their solution gives the coordinates of the manifolds  $P_i$  and  
 238  $Q_i$ , for the  $i$ th mode. In the AIMM, the coordinates are assumed as,

$$P_i(u, v, \phi) = \sum_{l=1}^{N_u} \sum_{m=1}^{N_v} \sum_{r=1}^{N_\phi} C_i^{lmr} U_{l,m}(u, v) S_r(\phi), \quad \text{for } i = 1, 2, \dots, n; i \neq k \tag{43}$$

$$Q_i(u, v, \phi) = \sum_{l=1}^{N_u} \sum_{m=1}^{N_v} \sum_{r=1}^{N_\phi} D_i^{lmr} U_{l,m}(u, v) S_r(\phi), \quad \text{for } i = 1, 2, \dots, n; i \neq k \tag{44}$$

240 being  $C_i^{lmr}$  and  $D_i^{lmr}$  the unknown coefficients,  $U_{l,m}$  and  $S_r$  known shape functions, and  $N_u, N_v$  and  $N_\phi$  the number  
 241 of shape functions assumed. For the expansion in  $u$  and  $v$ , the shape functions were assumed standard Chebyshev  
 242 polynomials, which are known to be very accurate in a wide range of applications [55, 56]. In this case, the 2D shape  
 243 functions are obtained through the tensor product of two 1D polynomials in the  $u$  and  $v$  directions. For the expansion  
 244 in  $\phi$ , a Fourier series expansion was performed, taking advantage of the periodicity of this coordinate [41].

245 The coefficients of Eqs. (43) and (44) are obtained from a Weighted Residual Method, namely the Galerkin method.

246 Firstly, the form assumed in Eqs. (43) and (44) are substituted in (42), leading to,

$$\begin{aligned} & \sum_{l=1}^{N_u} \sum_{m=1}^{N_v} \sum_{r=1}^{N_\phi} [\sigma_i (C_i^{lmr} U_{l,m} S_r) - \omega_i (D_i^{lmr} U_{l,m} S_r) + g_{2i-1}] = \\ & \sum_{l=1}^{N_u} \sum_{m=1}^{N_v} \sum_{r=1}^{N_\phi} \left[ C_i^{lmr} S_r \frac{\partial U_{l,m}}{\partial u} (\sigma_k u - \omega_k v + g_{2k-1}) + C_i^{lmr} U_{l,m} \frac{\partial S_r}{\partial \phi} \Omega \quad \text{for } i = 1, 2, \dots, n; i \neq k \right. \\ & \left. + C_i^{lmr} S_r \frac{\partial U_{l,m}}{\partial v} (\sigma_k v + \omega_k u + g_{2k}) \right], \end{aligned} \quad (45)$$

247

$$\begin{aligned} & \sum_{l=1}^{N_u} \sum_{m=1}^{N_v} \sum_{r=1}^{N_\phi} [\sigma_i (D_i^{lmr} U_{l,m} S_r) + \omega_i (C_i^{lmr} U_{l,m} S_r) + g_{2i}] = \\ & \sum_{l=1}^{N_u} \sum_{m=1}^{N_v} \sum_{r=1}^{N_\phi} \left[ D_i^{lmr} S_r \frac{\partial U_{l,m}}{\partial u} (\sigma_k u - \omega_k v + g_{2k-1}) + D_i^{lmr} U_{l,m} \frac{\partial S_r}{\partial \phi} \Omega \quad \text{for } i = 1, 2, \dots, n; i \neq k \right. \\ & \left. + D_i^{lmr} S_r \frac{\partial U_{l,m}}{\partial v} (\sigma_k v + \omega_k u + g_{2k}) \right], \end{aligned} \quad (46)$$

The next step is to perform a Galerkin projection. Thus, one multiplies the residue above by the same shape functions and integrate the result, that is,

$$\begin{aligned} & \int_{u_1}^{u_2} \int_{v_1}^{v_2} \int_0^{2\pi} U_{p,q}(u, v) S_s(\phi) R_{1i}(u, v, \phi) du dv d\phi = 0, \\ & \int_{u_1}^{u_2} \int_{v_1}^{v_2} \int_0^{2\pi} U_{p,q}(u, v) S_s(\phi) R_{2i}(u, v, \phi) du dv d\phi = 0, \end{aligned} \quad (47)$$

for  $i = 1, 2, \dots, n - 1$ ;  $p = 1, 2, \dots, N_u$ ;  $q = 1, 2, \dots, N_v$ ;  $r = 1, 2, \dots, N_\phi$ .

248 where  $R_{1i}$  and  $R_{2i}$  are the residues of Eqs. (45) and (46), respectively, and  $[u_1, u_2]$  and  $[v_1, v_2]$  are the integration limits;  
 249 they denote the region of validity, in the phase space, of the approximate manifolds. The integrations in Eq. (47) were  
 250 performed using the roots of the Chebyshev polynomials in  $U_{p,q}(u, v)$ , also known as Gauss points [55]. The number  
 251 of points used were  $N_v + 1$  and  $N_u + 1$ . In addition, in the integration for the  $\phi$  variable, the number of points must  
 252 be at least  $2N_\phi$  in order to minimize aliasing errors [56].

253 Equation (47) consists of integro-algebraic equations that need to be solved for the coefficients  $C_i^{lmr}$  and  $D_i^{lmr}$ .  
 254 The total number of equations will be  $N_u N_v N_\phi (2n - 2)$ . One may note that this number may be very high, and thus  
 255 the method is useful mainly when the system can be described with a small number of vibrating modes  $n$ . It is also for  
 256 this reason that a spectral method, instead of a finite difference or finite element approach, was chosen for the solution  
 257 of (42). Spectral methods allows accurate solutions for boundary-value problems with less computational costs [55].

258 Since the idea of the AIMM is to find an approximation of the manifolds defined in (40), there may exist multiple  
 259 solutions to the Galerkin equations (47). In order to obtain fast and accurate solutions, one is advised to use the



260 solution of the underlying linear system as an initial condition for the nonlinear solver. This linear solution is obtained  
 261 by neglecting the nonlinearities of the bearings in Eqs. (45)-(47). The integration limits  $[u_1, u_2]$  and  $[v_1, v_2]$ , can also  
 262 be estimated based on the solution of the linear system.

263 With the functions  $P_i$  and  $Q_i$ , for  $i = 1, 2, \dots, n$ , at hand, the equations of motion for the master coordinates can  
 264 be solved, which are given by,

$$\begin{aligned}\dot{u}(t) &= \sigma_k u(t) - \omega_k v(t) + \operatorname{Re} \{ (\tilde{\boldsymbol{\eta}}^i)^H \mathbf{G}(u, v, \Omega t) \}, \\ \dot{v}(t) &= \sigma_k v(t) + \omega_k u(t) + \operatorname{Im} \{ (\tilde{\boldsymbol{\eta}}^i)^H \mathbf{G}(u, v, \Omega t) \}.\end{aligned}\tag{48}$$

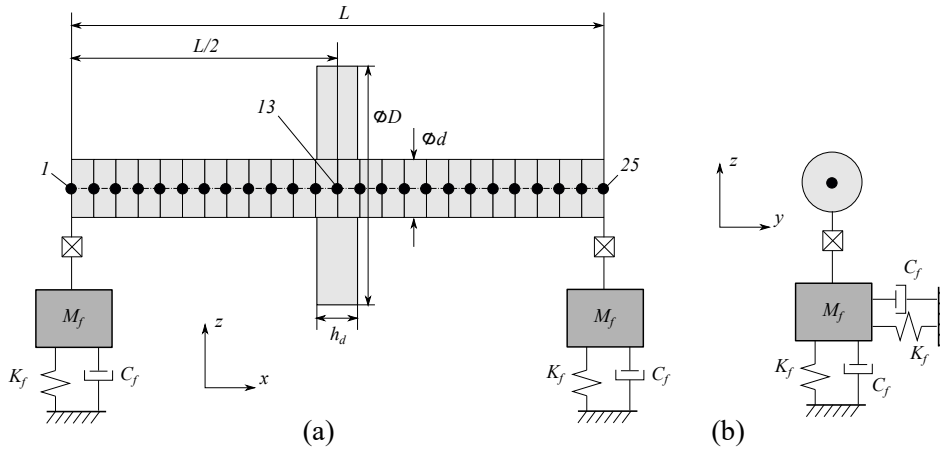
265 The physical displacements and velocities are then obtained by,

$$\begin{aligned}\mathbf{w}(t) &= \sum_{i=1}^n \left( \operatorname{Re} \{ \boldsymbol{\eta}^i \} p_{2i-1}(t) + \operatorname{Im} \{ \boldsymbol{\eta}^i \} p_{2i}(t) \right) \\ &= \operatorname{Re} \{ \boldsymbol{\eta}^k \} u(t) + \operatorname{Im} \{ \boldsymbol{\eta}^k \} v(t) + \sum_{i=1, i \neq k}^n \left( \operatorname{Re} \{ \boldsymbol{\eta}^i \} P_i(u, v, \Omega t) + \operatorname{Im} \{ \boldsymbol{\eta}^i \} Q_i(u, v, \Omega t) \right) = \mathbf{W}(u, v).\end{aligned}\tag{49}$$

266 To summarize the present approach: the full equations, Eq. (1), obtained after finite elements discretization, is  
 267 firstly reduced using CMS, Eq. (29). This reduced set is then written in terms of the normal modes of the structure  
 268 (and possibly further reduced), Eq. (34). Lastly, the equation of motion for the modal coordinates is reduced to a  
 269 single master mode, Eq. (48). Therefore, the proposed method reduces a  $N$  degree of freedom system, with  $N \gg 1$ , to  
 270 a single pair of equations. Provided the solutions of the manifolds are **precise**, Eq. (47), the AIMM can provide very  
 271 accurate and fast solutions for high dimensional dynamical systems.

## 272 6 Results and Discussion

273 This section presents applications of the method proposed in the previous sections. The method will be studied in two  
 274 systems: a simple rotor on a spring-mass foundation, and a realistic model of a turbomachine on a plate-like elastic  
 275 foundation. In all subsequent simulations, the results were obtained using MATLAB<sup>TM</sup>. Numerical integrations were  
 276 performed using the `ode15s` integrator, which is ideal for numerically stiff systems [57], with standard options and  
 277 zero initial conditions. The Galerkin equations (47) were solved by means of the `fsolve` function, with the initial  
 278 conditions being the solution of the underlying linear system.



**Fig. 5** Mesh and geometry of the simple rotor-foundation studied: front (a) and lateral view (b).

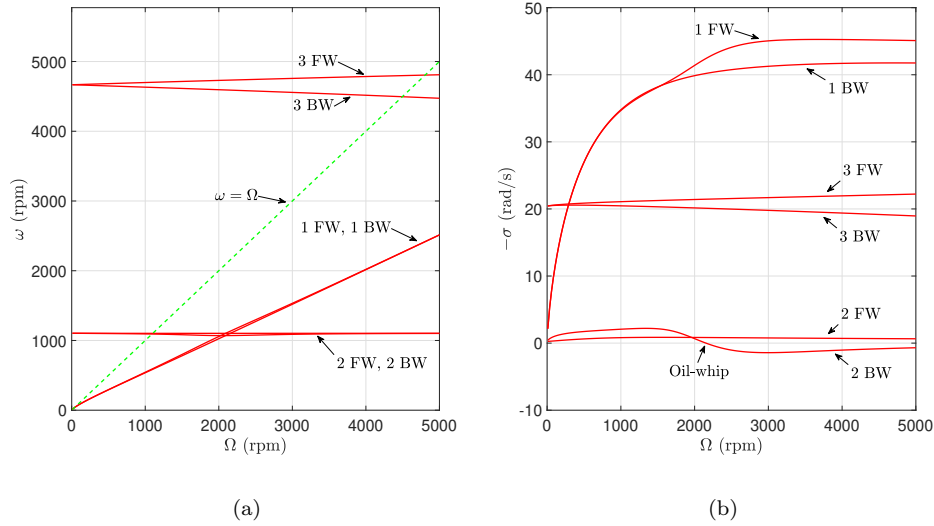
**Table 1** Parameters used in the simple rotor-foundation system.

Parameter	Value
Shaft length ( $L$ )	600 mm
Shaft diameter ( $d$ )	12 mm
Disk diameter ( $D$ )	90 mm
Disk thickness ( $h_d$ )	47 mm
Young's modulus ( $E$ )	207 GPa
Poisson's ratio ( $\nu$ )	0.3
Density of the material ( $\rho$ )	7850 kg·m <sup>3</sup>
Rotor mass ( $M_r$ )*	3.1 kg
Foundation mass ( $M_f$ )	0.1 $M_r$
Foundation support stiffness ( $K_f$ )	10 <sup>5</sup> N/m
Foundation support damping ( $C_f$ )	0.1 $\sqrt{K_f M_f}$
Bearing length ( $L_b$ )	20 mm
Fluid viscosity ( $\mu$ )	0.028 Pa·s
Radial clearance ( $c_r$ )	90 $\mu$ m
Journal radius ( $R$ )	15.5 mm

\* $M_r$  is the mass of the shaft plus the disk.

279 6.1 Simple rotor-foundation system

280 This first example is dedicated to show how the proposed method works, and hence a simple system was chosen for  
 281 the study. The rotor system is depicted in Fig. 5, and it consist of a shaft with a disk positioned at its midspan and  
 282 two identical bearings at its extremities. In contact with the bearings is also the foundation, which is considered to  
 283 be two spring-mass-damper systems, as depicted in the figure. The supporting stiffness and damping are considered  
 284 isotropic. The shaft is modeled by Timoshenko beam elements, in both the  $y$  and  $z$  directions. Only bending motion  
 285 is considered, thus torsion and axial displacements are neglected. The mesh has a total of 24 elements and 25 nodes,

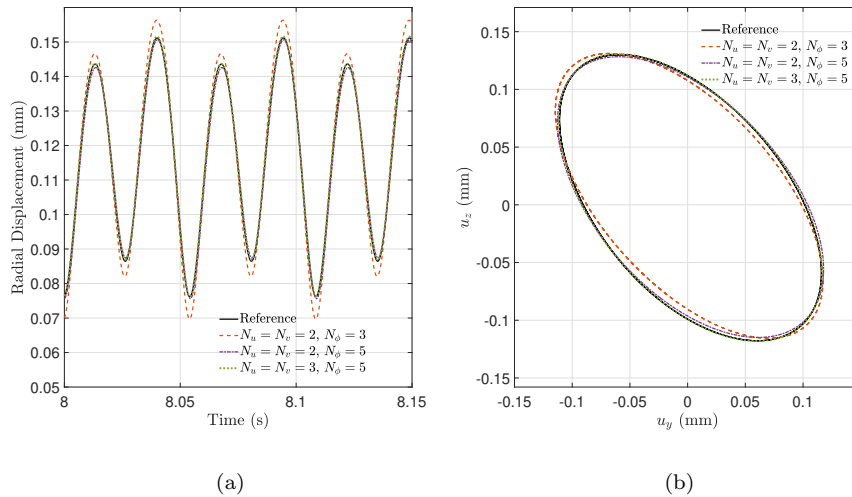


**Fig. 6** Eigenvalues of the simple rotor-foundation system: imaginary (a) and real part (b).

each with 4 DOFs (two displacements and two rotations in  $y$  and  $z$ ). All the relevant data of the model is listed in Tab. 1.

The total number of DOFs in the system is  $N = 104$ . The first reduction consist in applying the CMS in the rotor. The boundary DOFs are the displacements and rotations of nodes 1 and 25 (8 in total) together with the foundation displacements (4 in total), while the remaining DOFs are labeled as internal. To construct the CMS basis given by Eq. (28), the first six fixed-interface vibrating modes were considered in the matrix  $\phi$ . This leads to a reduction from  $N = 104$  to  $n_B + n_I = 18$ , being  $n_B = 12$  and  $n_I = 6$ .

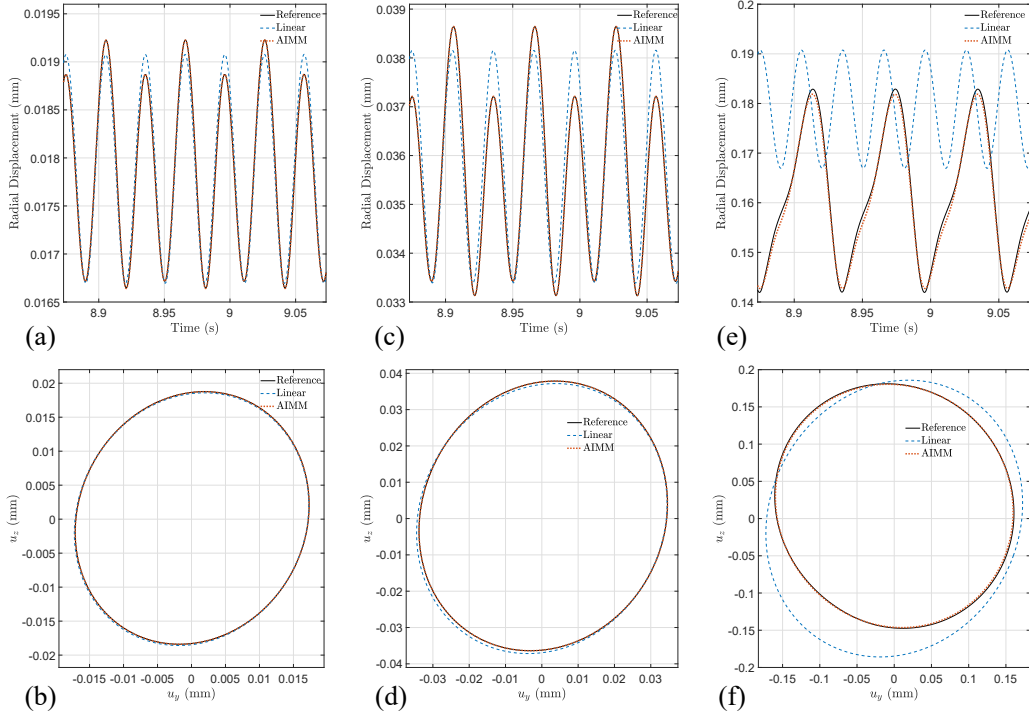
Next, the AIMM is applied to the equations reduced by means of the CMS. The number of modes retained in the modal expansion in Eq. (34) was  $2n = 20$ . From these retained modes, 4 are highly overdamped modes, while 16 are vibrating modes coming in complex conjugate pairs. The inclusion of the overdamped modes makes the modal equations, Eq. (37), stiff, but they are necessary for the nonlinear analysis. Figure 6 shows the eigenvalues of the 6 vibrating modes considered, where FW and BW denote forward (shaft whirl in the same direction as rotation) and backward (shaft whirl in the opposite direction as rotation) modes, respectively. A distinct characteristic of rotating shafts is that the natural frequencies change with the increase in speed, due to the gyroscopic effect and the hydrodynamic bearings. The line  $\omega = \Omega$  in Fig. 6a indicates where the speed equals the natural frequencies and are denoted the critical speeds of the system [45, 49]. The first backward and forward critical speeds are found to be  $\omega_c^B = 1092.2$  rpm and  $\omega_c^F = 1102.1$  rpm (Fig. 6a).



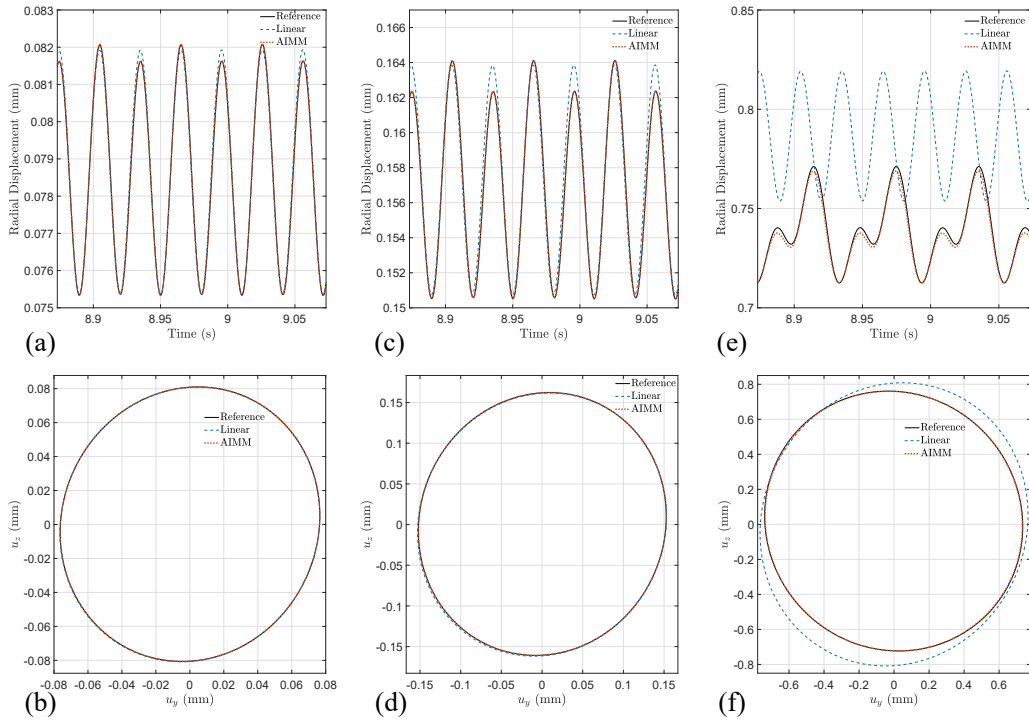
**Fig. 7** Comparison between the reference solution with the AIMM considering different number of shape functions for  $\Omega = \omega_c^F$  and  $m_{un} = 0.05$  kg·mm: radial displacement (a) and orbit (b) of the rotor at the bearing.

303 A crucial step in the application of the AIMM is the selection of a master mode to enslave the remaining modes of  
 304 the system. The procedure to follow here is to choose the slowest mode, that is, **the mode with the smallest absolute**  
 305 **value of the real part of the eigenvalue**. This is frequently called slow-manifold reduction [29], and it is a common  
 306 choice in model reduction. From Fig. 6b, it is clear that the 2 FW mode has the smallest real part up to around  
 307 2000 rpm, where the 2 BW mode actually becomes positive, making the system unstable. This phenomenon is the  
 308 so-called "oil-whip" and is very well documented in the literature [4,5]. Since this instability is commonly avoided in  
 309 the operation of actual rotating machines, the analysis was restricted to speeds below 2000 rpm and the master mode  
 310 is considered to be the 2 FW. Another approach would be to choose two modes to serve as master modes, in this case  
 311 2 FW and 2 BW. This is known as multi-mode invariant manifolds [58]. This is not performed here, however, as the  
 312 present approach only allows one master mode to enslave the remaining ones.

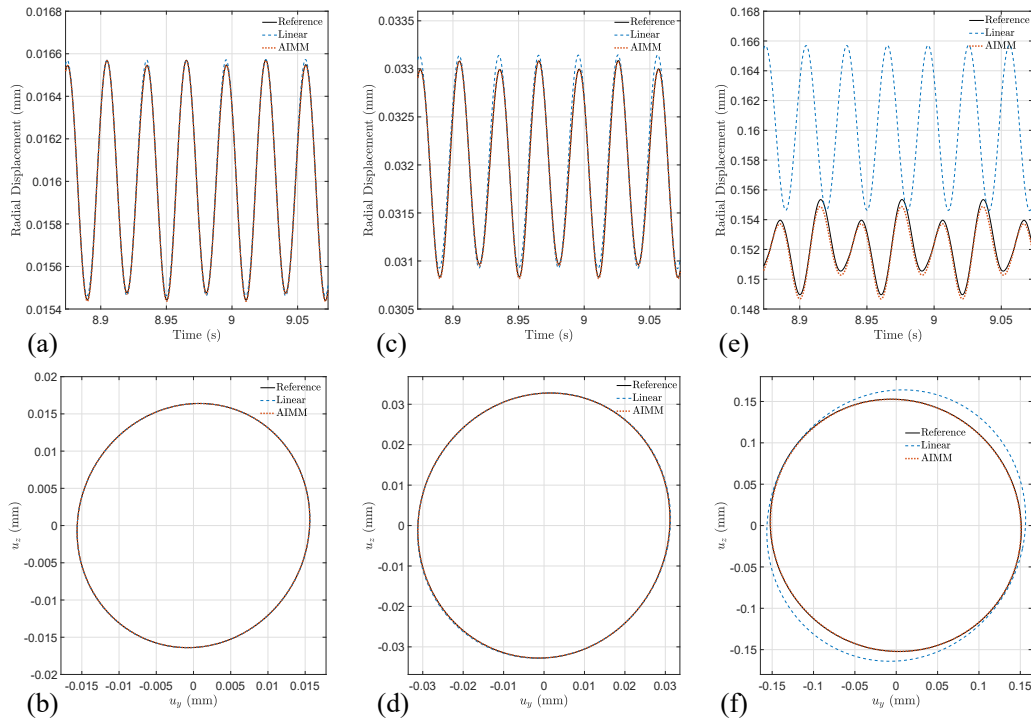
313 With the master mode chosen, one needs to set the number of shape functions used in the expansions (43)-(44). In  
 314 order to define these numbers, convergence tests are necessary. Figure 7 presents one of the tests performed, where the  
 315 displacements at the **bearing is** shown. The reference solution correspond to the integration of Eq. (30). This result is  
 316 obtained for the rotor at the critical speed,  $\Omega = \omega_c^F$ , and with an unbalance moment of  $m_{un} = 0.05$  kg·mm. As one  
 317 can note from the figure, a convergence is reached for  $N_u = N_v = 3$ , which correspond to quadratic base, and  $N_\phi = 5$ ,  
 318 which correspond to four harmonics plus the constant term in the Fourier series. Therefore, these numbers are used  
 319 in the following analysis.



**Fig. 8** Radial displacements and orbits of the rotor at the bearing for  $\Omega = 0.9\omega_c^F$  and varying unbalance moments:  $m_{un} = 0.05 \text{ kg}\cdot\text{mm}$  (a)-(b),  $m_{un} = 0.1 \text{ kg}\cdot\text{mm}$  (c)-(d) and  $m_{un} = 0.5 \text{ kg}\cdot\text{mm}$  (e)-(f).



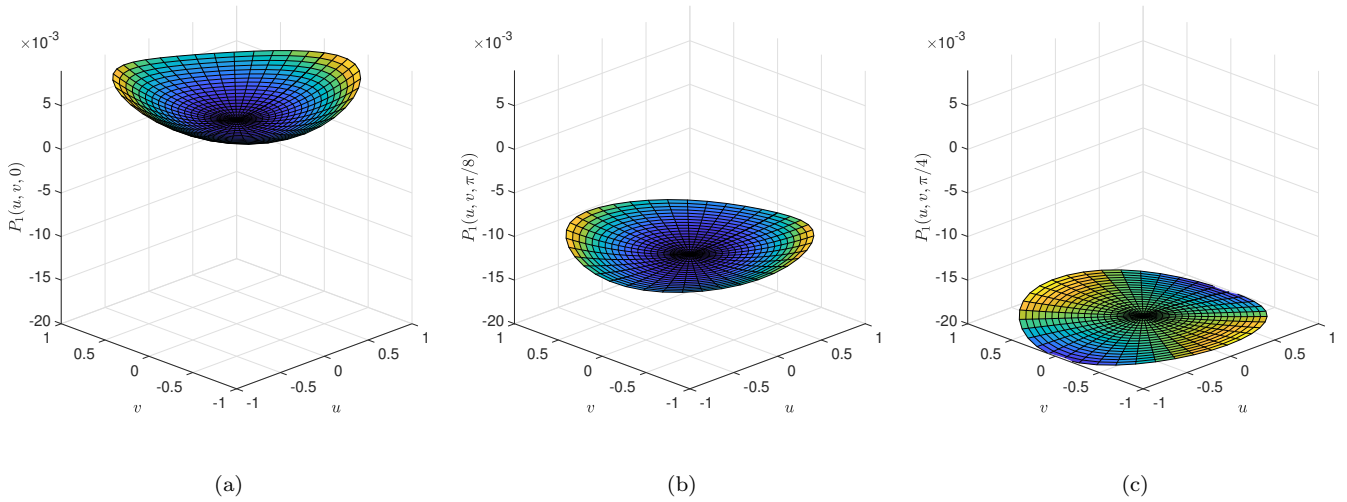
**Fig. 9** Radial displacements and orbits of the rotor at the disk for  $\Omega = 0.9\omega_c^F$  and varying unbalance moments:  $m_{un} = 0.05 \text{ kg}\cdot\text{mm}$  (a)-(b),  $m_{un} = 0.1 \text{ kg}\cdot\text{mm}$  (c)-(d) and  $m_{un} = 0.5 \text{ kg}\cdot\text{mm}$  (e)-(f).



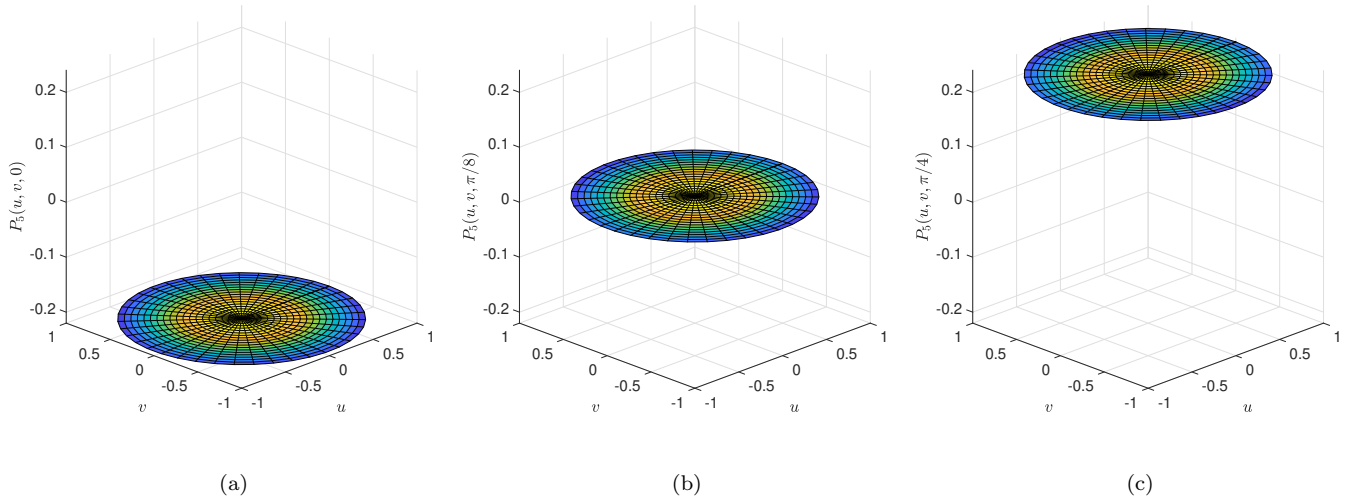
**Fig. 10** Radial displacements and orbits of the foundation for  $\Omega = 0.9\omega_c^F$  and varying unbalance moments:  $m_{un} = 0.05$  kg-mm (a)-(b),  $m_{un} = 0.1$  kg-mm (c)-(d) and  $m_{un} = 0.5$  kg-mm (e)-(f).

320 Figures 8-10 show the radial displacements and orbits of the rotor at the bearings and disk positions and the  
 321 displacements of the foundation, respectively, for different unbalance moments and for a speed close to the critical  
 322 speed  $\Omega = 0.9\omega_c^F$ . Due to the symmetry of the system (see Fig. 5), only the displacement of one bearing and foundation  
 323 spring-mass system is shown. The linear solution is also shown to assess the degree of nonlinearity. For  $m_{un} = 0.05$   
 324 kg-mm, one sees in Figs. 8a, 9a and 10a that the difference between the nonlinear response and linear is small, indicating  
 325 a weak nonlinearity. As the unbalance is increased, this difference grows, and for  $m_{un} = 0.5$  kg-mm, the linear response  
 326 gives discrepant results. One also notes that the nonlinearity is stronger in the bearings and the major effect of the  
 327 nonlinear fluid-film force is to distort the orbits, which are ellipses in the linear case. By comparing the reference  
 328 solutions with the AIMM, one notes good agreement, in both rotor and foundation responses, even at highly nonlinear  
 329 cases. To obtain the reference solutions,  $2(n_B + n_I) = 36$  equations are numerically integrated (as the system needs to  
 330 be in state-space form to apply the numerical integrator), while in the AIMM only 2 equations are solved. Therefore,  
 331 the method provides a great reduction while giving good accuracy in the responses.

332 Figures 11-12 show the approximate manifold for the first (first overdamped) and fifth (first vibrating) modes in  
 333 the case of strong nonlinearity. These manifolds are obtained from the solution of the Galerkin equations (47), and

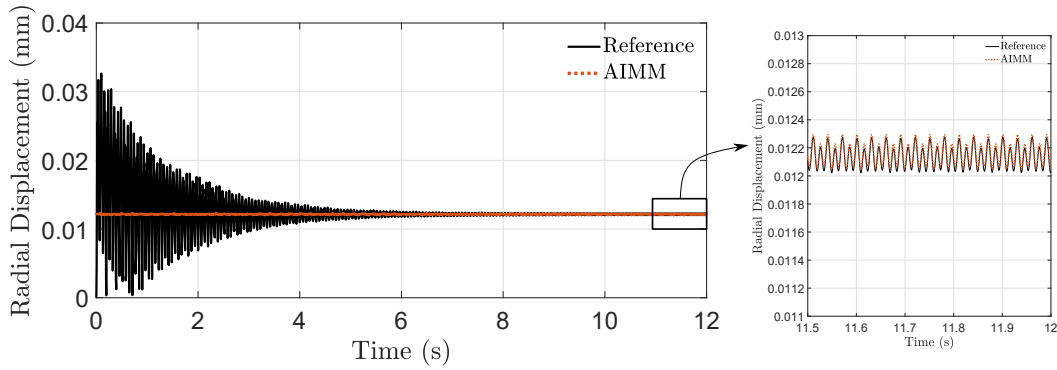


**Fig. 11** Approximate invariant manifold  $P_1(u, v, \phi)$  (first overdamped mode) for  $\Omega = 0.9\omega_c^F$ ,  $m_{un} = 0.5$  kg-mm, and varying phase:  $\phi = 0$  (a),  $\phi = \pi/8$  (b) and  $\phi = \pi/4$  (c).



**Fig. 12** Approximate invariant manifold  $P_5(u, v, \phi)$  (first vibrating mode) for  $\Omega = 0.9\omega_c^F$ ,  $m_{un} = 0.5$  kg-mm, and varying phase:  $\phi = 0$  (a),  $\phi = \pi/8$  (b) and  $\phi = \pi/4$  (c).

334 need to be obtained prior to the solution of the master equations of motion (48). Indeed, it is the correct obtention of  
 335 these manifolds that allows good accuracy in the responses presented previously. As one notes from these figures, the  
 336 manifolds are curved surfaces that move about with the phase of the unbalance  $\phi$ . Also, this motion is not simple, as  
 337 shown mainly in Fig. 11, where beside the translation of the surface, one notes additional "wobbles" (i.e, the shape  
 338 of the surface changes with time), as described in [59]. In spite of this, it is clear that the translating motion of the  
 339 surfaces has a more prominent effect than the change in their shape, which indicates that the expansion in  $\phi$  bears a  
 340 higher degree of importance in the obtention of the manifolds.



**Fig. 13** Full simulation time of the radial displacement at the bearing for  $\Omega = 2000$  rpm and  $m_{un} = 0.1$  kg·mm. The AIMM gives the steady-state solutions directly, while in the reference system the full simulation time is required.

**Table 2** Computation time comparison for the obtention of Figs. 8-10\* (results are in the format hours : minutes : seconds).

Case	CMS solution	AIMM: solution	AIMM: manifold obtention (offline)
$m_{un} = 0.05$ kg·mm	00:03:30	00:00:02	00:06:04
$m_{un} = 0.1$ kg·mm	00:03:23	00:00:02	00:07:13
$m_{un} = 0.5$ kg·mm	00:03:41	00:00:02	00:11:03

\*Results obtained with a laptop with an Intel(R) Core(TM) i7-7500U CPU @ 2.90 GHz processor.

341 It is also worth mentioning that the AIMM only gives the steady-state solutions of the system. This fact makes  
 342 the AIMM ideal for the obtention of steady-state solutions of rotors with low damping, where the transients take in  
 343 general very long to die out, requiring a long simulation time. Figure 13 illustrate this by showing the full simulation  
 344 time of the displacement at the bearing for  $\Omega = 2000$  rpm and  $m_{un} = 0.1$  kg·mm. It is clear that only a single cycle is  
 345 enough for the obtention of steady-state solution with the AIMM, while in the full system, the whole simulation time  
 346 is required, which in this case was 400 cycles.

347 The computation time required to obtain the results in Figs. 8-10 is listed in Table 2. The application of the AIMM  
 348 is in general more costly than the direct integration when one takes into account the solution for the manifolds. The  
 349 numerical integration of the master equations, however, is very cheap, since they are only two equations, and it takes  
 350 only seconds to complete.

## 351 6.2 Realistic rotor-foundation system

352 In this example, in order to evaluate the applicability of the proposed method, a more complex rotor system is studied.  
 353 The mesh of the rotor is shown in Fig. 14a, and it consist of a multi-stepped shaft with three disks and two bearings.  
 354 The rotor has a total length of  $L = 2.5$  m, weights  $W_r = 17.94$  kN, and it is discretized into 26 elements and 27

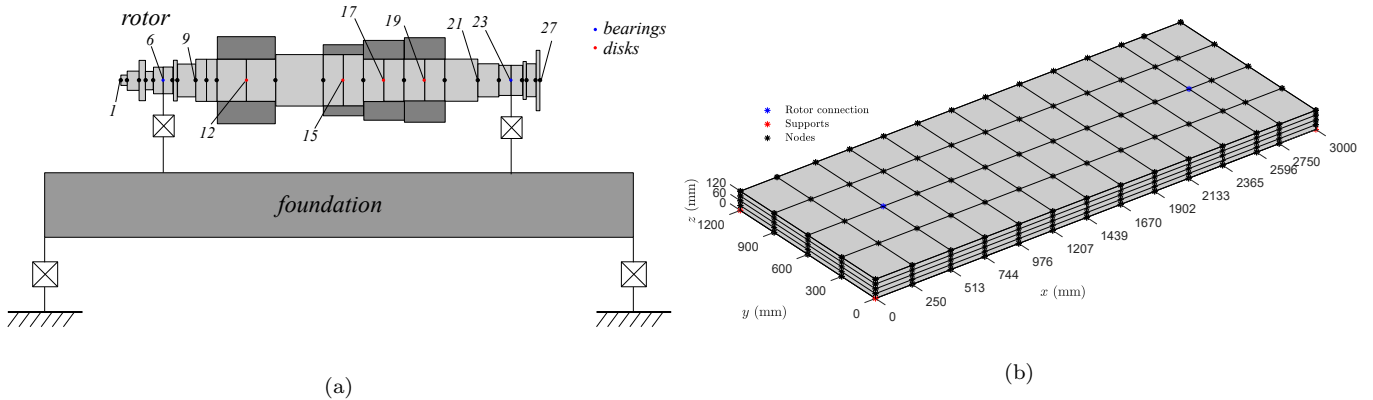


nodes, where two 1D beams are used in both orthogonal directions (torsional and axial motions are neglected). Thus, each node has 4 DOFs, being two translations and two rotations. The mesh data can be consulted in [60] or [61]. The rotor is connected to the foundation through the bearings, positioned at nodes 6 (bearing 1) and 23 (bearing 2). The bearings are cylindrical with diameters  $d_{b1} = 160$  mm and  $d_{b2} = 180$  mm, lengths  $L_{b1} = 88$  mm and  $L_{b2} = 98$  mm, radial clearances  $c_{r1} = 0.12$  mm and  $c_{r2} = 0.135$  mm, and fluid viscosity  $\mu = 0.027$  Pa·s.

The foundation consist of a steel plate of size  $120 \times 1200 \times 3000$  mm<sup>3</sup>, weighting  $W_f = 33.27$  kN. It is modeled using 3D linear hexahedral elements, with 8 nodes per element and 3 DOFs per node (three translations in  $x$ ,  $y$  and  $z$ ) [62]. The mesh consist of 208 elements and 350 nodes, and it is shown in Fig. 14b. The rotor is connected to two nodes of the plate at the top surface, as shown in the figure. Also, the plate is held in place by isotropic supports with stiffness  $K_f = 10^{10}$  N/m and damping  $C_f = 5.8 \times 10^5$  Ns/m at its four lower surface vertices. The material properties of the rotor and foundation are the same as listed in Table 1.

The total number of DOFs in the system is  $N = 1158$ . Prior to the application of the AIMM, the system is reduced by means of the CMS. The boundary DOFs in the rotor are the nodes with bearings (8 DOFs), while in the foundation they are the DOFs with elastic supports (12 DOFs) and the connection with the rotor (6 DOFs). The number of fixed interface modes retained for the rotor and foundation are 6 and 4, respectively. These numbers were obtained through nonlinear dynamic analysis, and provided satisfactory results. With the CMS, the system is reduced to  $n_B + n_I = 36$  DOFs, with  $n_B = 26$  and  $n_I = 10$ .

Figure 15 shows a comparison between the first 7 eigenvalues of the full system and the reduced one. One can note good accuracy with the reduced system up to mode 6. Figure 16 shows the first 6 vibrating modes of the rotor-foundation system at  $\Omega = 4000$  rpm, where the displacements were rescaled for better clarity. Also, only the

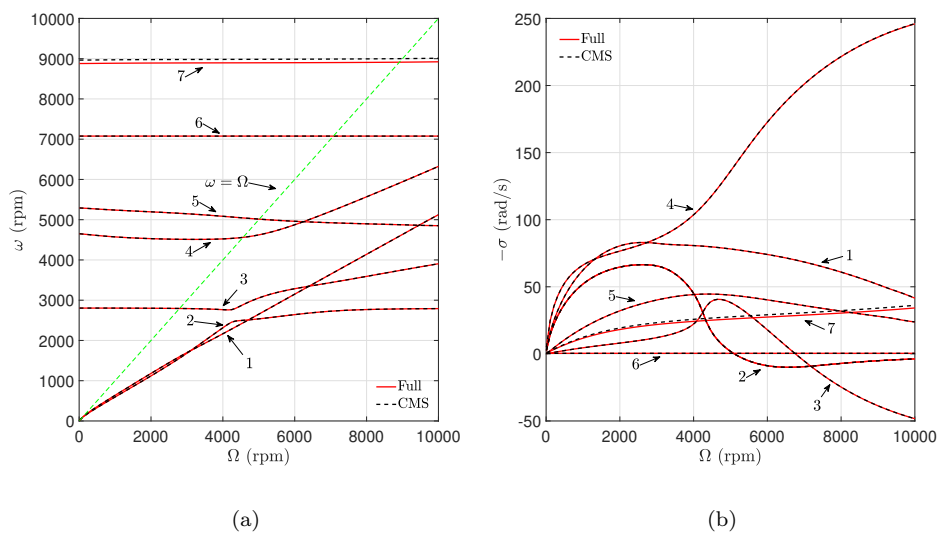


**Fig. 14** Realistic rotor-foundation system: rotor (a) and foundation (b) meshes.

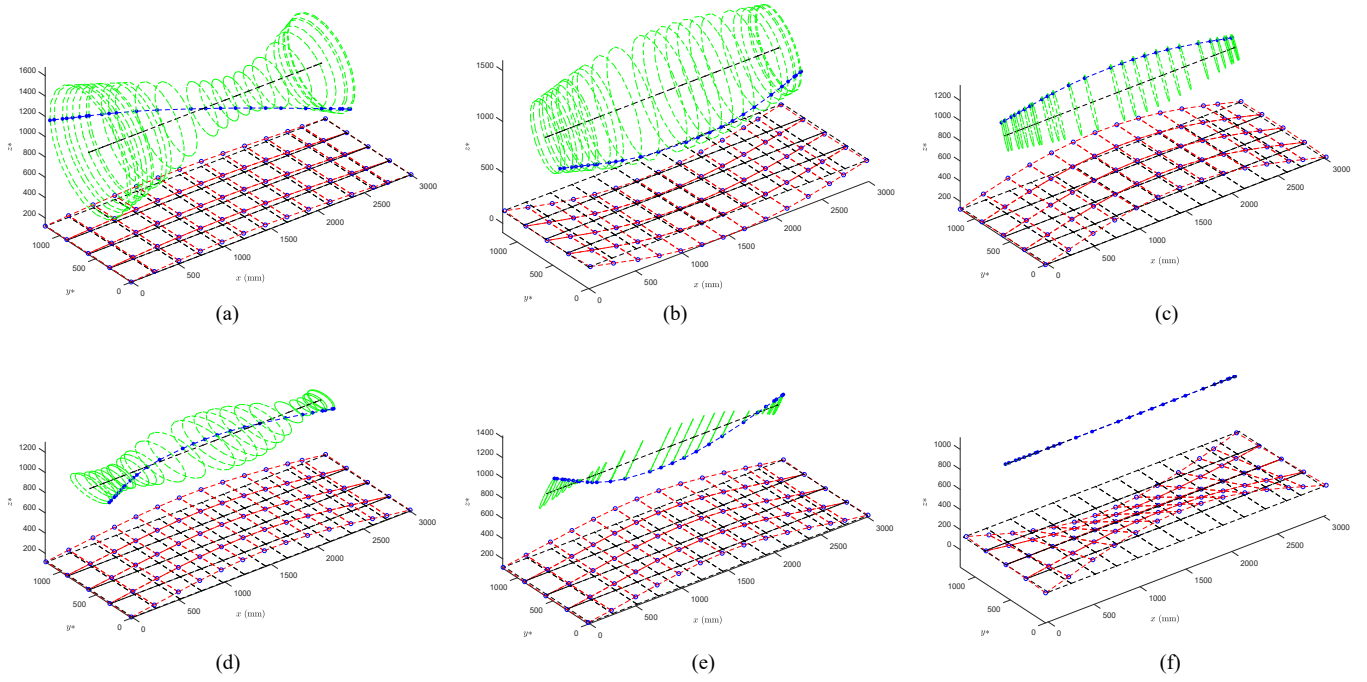
375 displacements of the upper surface of the foundation is shown. From these figures, one notes that the first two modes,  
 376 (a)-(b), correspond to the conical and cylindrical modes of the rotor, and the fourth and fifth, (d)-(e), the elastic  
 377 modes. The third (c) and sixth (f) modes are foundation-dominant, and, in the latter mode, the amplitude of the  
 378 foundation is much higher than that of the rotor, as its displacement is weakly seen.

379 The next step consist in applying the AIMM to the system reduced by the CMS. Firstly, one needs to choose a  
 380 master mode to enslave the remaining modes. The best strategy is to find the mode with the lowest **absolute value in**  
 381 **the** real part in the eigenvalues [29]. By looking at Fig. 15b, it is clear that the best candidate is mode 6, which is the  
 382 slowest mode up to around 5000 rpm, where the system becomes unstable due to oil-whip. Since this instability is not  
 383 considered here, the master mode chosen is the sixth mode. Here, it is also worth mentioning that the rotor-foundation  
 384 system has 8 overdamped modes. As discussed in the previous example, these modes must be included in the nonlinear  
 385 dynamic analysis. Due to the complexity of the system, no further reduction, without losing accuracy, was possible  
 386 in Eq. (34). Thus the number of modes considered was  $2n = 2(n_B + n_I) = 72$ , being 8 of these overdamped and 32  
 387 vibrating modes. Additionally, the number of shape functions used in expansions (43)-(44) where  $N_u = N_v = 3$  and  
 388  $N_\phi = 5$ , which were obtained from a convergence study similar to the previous example (Fig. 7).

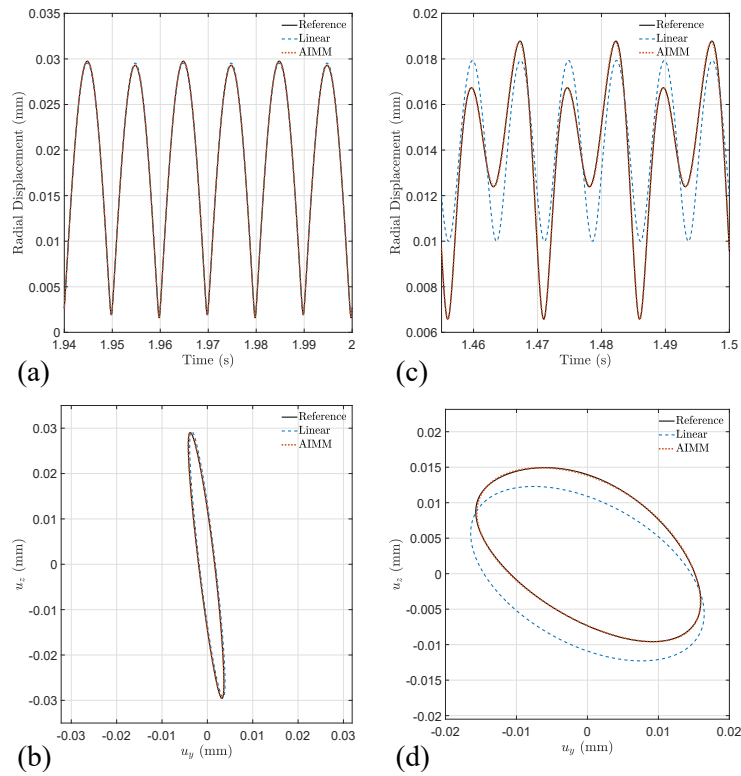
389 From the Campbell diagram, Fig. 15, one notes that a safe operating range for the rotor system, that is, with no  
 390 critical speeds, is between 3000 rpm and 4000 rpm. Thus, this was the range studied. In addition, the unbalance of  
 391 the real turbomachine in which the model is based upon is estimated as  $m_{un} = 0.0213$  kg·m, and it is placed at disk 2  
 392 (node 15 in Fig. 1a).



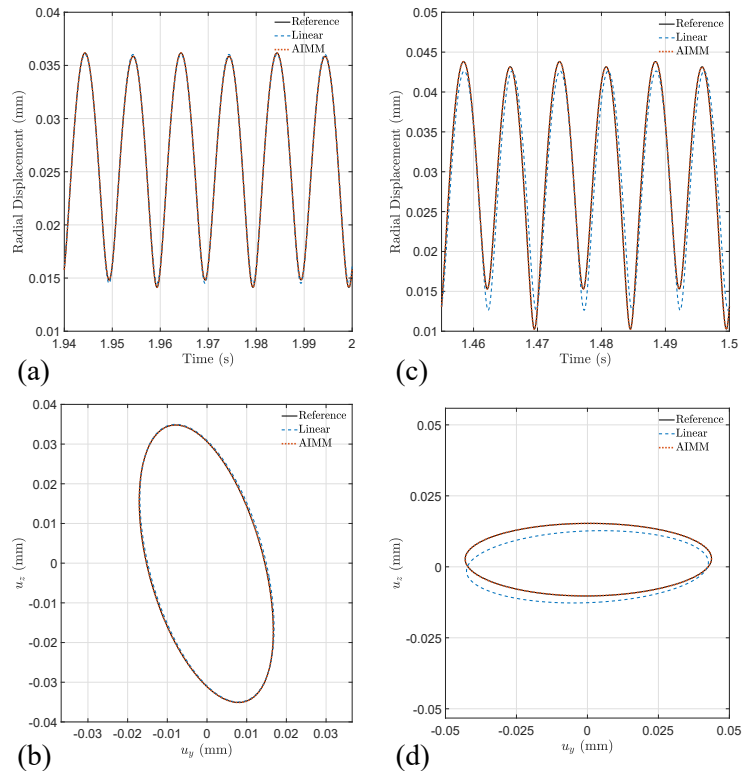
**Fig. 15** Comparison between the first seven eigenvalues of the full and the CMS-reduced system: imaginary (a) and real part (b).



**Fig. 16** First six vibrating modes of the rotor-foundation system at  $\Omega = 4000$  rpm (Note: the displacements of both rotor and foundation were rescaled by  $1000\times$  for the sake of clarity, and only the upper surface of the plate is shown).



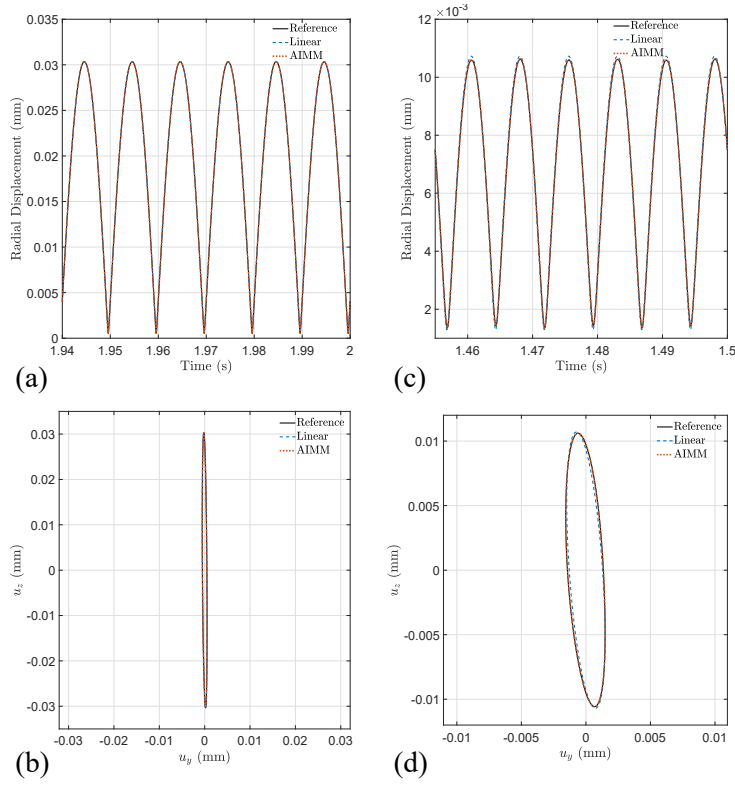
**Fig. 17** Radial displacements and orbits of the rotor at bearing 1 for:  $\Omega = 3000$  rpm (a)-(b) and  $\Omega = 4000$  rpm (c)-(d).



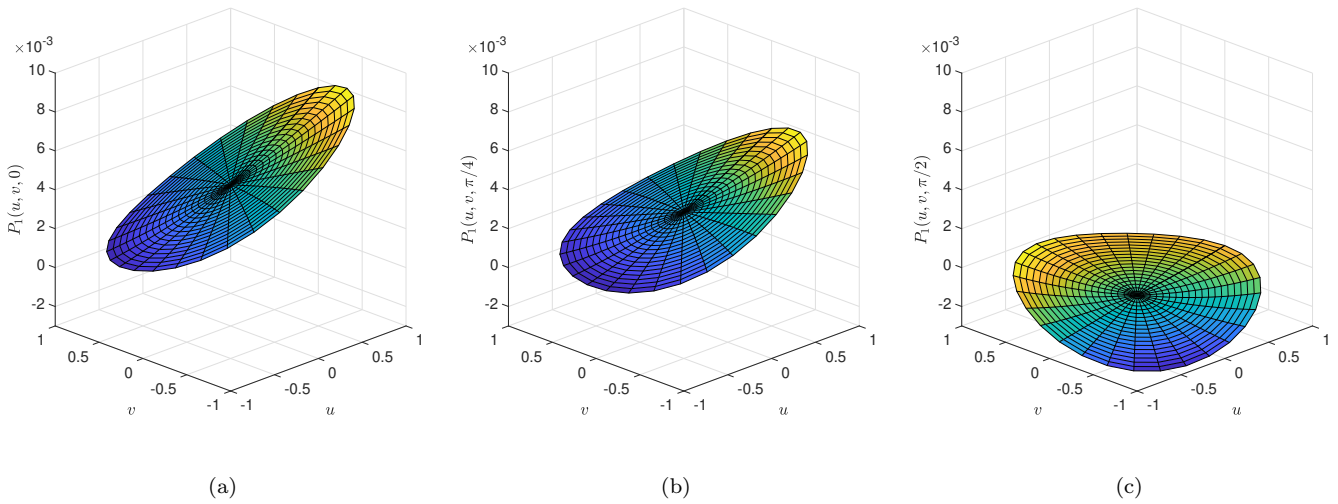
**Fig. 18** Radial displacements and orbits of the rotor at disk 2 for:  $\Omega = 3000$  rpm (a)-(b) and  $\Omega = 4000$  rpm (c)-(d).

393 Figures 17-19 show the radial displacements and orbits at several points of the rotor-foundation system for  $\Omega =$   
 394 3000 rpm and  $\Omega = 4000$  rpm: of the rotor at bearing 1 (node 6 in Fig. 1a), disk 2 (node 15 in Fig. 1a), and of the  
 395 foundation at the connection with bearing 1 (Fig. 1b). Similarly to the previous example, the linear solution is also  
 396 shown to illustrate the degree of nonlinearity. For  $\Omega = 3000$  rpm, the nonlinear effect is weak, and the linear response is  
 397 very similar to the nonlinear one. At this speed, the highest excited mode correspond to a foundation-dominant mode,  
 398 namely mode 3 (See Fig. 16), and this is seen in the response by the higher vertical than horizontal displacements  
 399 (due to the foundation bending). This highlights the importance of considering the flexibility of the foundation in the  
 400 response. When  $\Omega = 4000$  rpm, the nonlinearity is apparent, specially at bearing 1, Fig. 17c-d. The main mode excited  
 401 here is mode 6. By comparing the results of the reference solution with the AIMM ones, one notes good agreement.  
 402 The AIMM provides accurate solutions for the high dimensional system by the integration of 2 equations, leading to  
 403 a great reduction in numerical cost, as the total number of DOFs of the system is  $N = 1158$ .

404 Figures 20-21 show the approximate manifolds for the first overdamped and first vibrating modes of the system for  
 405 different unbalance phases  $\phi$ . These manifolds are obtained from the solution of the Galerkin equations (47). There  
 406 are a total of 70 manifolds, which correspond to the slave modes of the system. Similar to the previous example, one

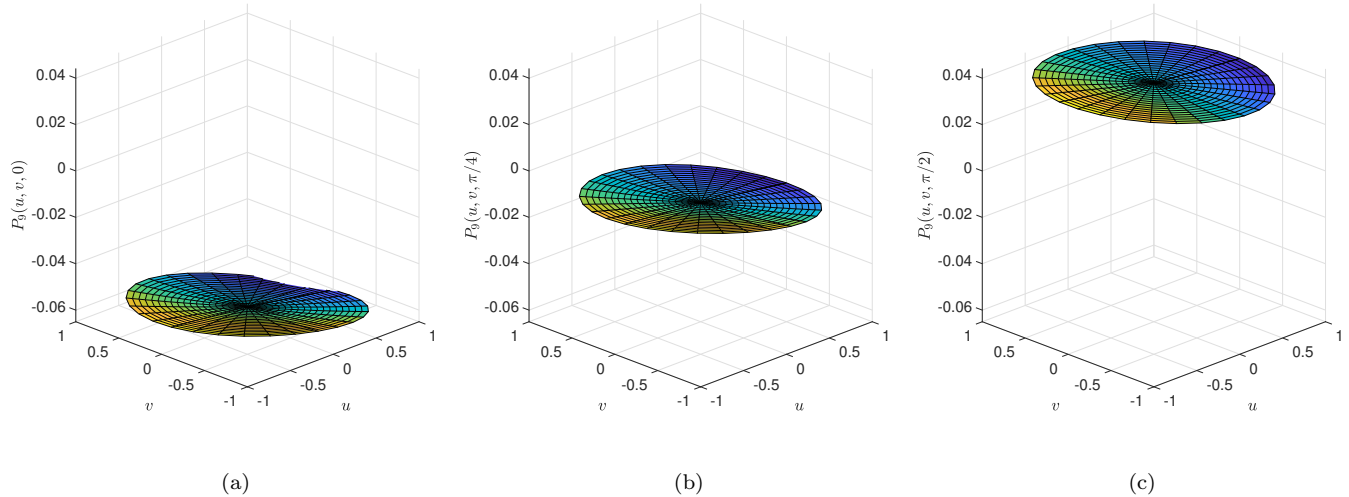


**Fig. 19** Radial displacements and orbits of the foundation at connection with bearing 1 for:  $\Omega = 3000$  rpm (a)-(b) and  $\Omega = 4000$  rpm (c)-(d).



**Fig. 20** Approximate invariant manifold  $P_1(u, v, \phi)$  (first overdamped mode) of the realistic rotor-foundation for  $\Omega = 4000$  rpm and varying phase:  $\phi = 0$  (a),  $\phi = \pi/4$  (b) and  $\phi = \pi/2$  (c).

407 notes that the manifolds are curved surfaces in motion. This motion, and the shape of the surfaces, is what allows a  
 408 good agreement between the solution of the pair of equations (48) and the full high-dimensional system.



**Fig. 21** Approximate invariant manifold  $P_9(u, v, \phi)$  (first vibrating mode) of the realistic rotor-foundation for  $\Omega = 4000$  rpm and varying phase:  $\phi = 0$  (a),  $\phi = \pi/4$  (b) and  $\phi = \pi/2$  (c).

**Table 3** Computation time comparison for the obtention of Figs. 17-19\* (results are in the format hours : minutes : seconds).

Case	CMS solution	AIMM: solution	AIMM: manifold obtention (offline)
$\Omega = 3000$ rpm	00:36:05	00:00:02	02:46:28
$\Omega = 4000$ rpm	00:37:01	00:00:02	03:26:13

\*Results obtained with a laptop with an Intel(R) Core(TM) i7-7500U CPU @ 2.90 GHz.

409 Table 3 lists the computation time required to obtain the solutions shown in Figs. 17-19. Due to a high number of  
 410 slave modes, the solution of the manifolds is computationally expensive and often takes longer than direct numerical  
 411 integration. One can reduce the number of slave modes, sacrificing some accuracy, to decrease computational cost. It  
 412 is important to note that integrating the master equations is fast as they consist of only a pair of equations.

### 413 6.3 Discussion

414 It is worth mentioning here some numerical aspects of the AIMM. The main disadvantage of the method lies in the  
 415 solution of the manifold equations, Eq. (42), which are highly nonlinear PDEs. Different methods were employed in the  
 416 literature to solve these equations [31]. Here, the Galerkin method is used, which presented accurate solutions. However,  
 417 this approach still requires a large computational cost, as the number of equations to be solved are  $(2n - 2)N_u N_v N_\phi$ ,  
 418 making the method applicable mainly to systems that can be reduced with a small number of modes. In the first  
 419 example, since the system was simple, the solution of the manifold equations was very fast as shown in Table 2. In the  
 420 second example, with the more complex rotor system, the solution took a bit longer, since the number of equations

421 was larger, as Table 3 shows. Moreover, when the initial conditions are obtained from the underlying linear system,  
422 the convergence is very fast, taking 4 to 5 iterations using the `fsolve` function of MATLAB<sup>TM</sup>.

423 One should bear in mind that the numerical cost associated with the solution of the manifold equations is an  
424 *offline* cost, which means that they do not affect the actual solution of the system. Similar numerical costs exists  
425 for reduction methods based on the Proper Orthogonal Decomposition (POD), for example, which is a well-known  
426 method to obtain reduced order models [63,64]. Given that the manifolds were solved, the reduction provided by the  
427 AIMM is simply astonishing: with just two equations the response of the whole system is accurately predicted. Not  
428 only this, but the solution gives the steady-state responses directly (see Fig. 13). Thus, since the cost of numerical  
429 integration is negligible, the only cost in the AIMM is the solutions of Eqs. (42).

430 The nonlinearity considered in this work was due to the fluid-film bearings. However, the method proposed is  
431 very general, and can be applied in a wide range of nonlinearities. Some possible applications are rotor-stator rubbing  
432 [65], geometric nonlinearities from large displacements [66,67], forces from seals [68], from magnetic bearings in the  
433 nonlinear regime [69], and from friction joints [53].

## 434 7 Conclusions

435 This work presents a method to obtain fast solutions for high-dimensional rotor-foundation systems subjected to  
436 nonlinear forces. The basis of the method consist in, firstly, projecting the system (reduced by the CMS) in the linear  
437 eigenspace, and then selecting a master mode to enslave all remaining modes. The master mode should be the one with  
438 the smallest absolute value of the real part of the eigenvalue (the slowest mode). The approximate manifolds gives the  
439 relation between the master and the slave modes, and they are obtained from the solution of nonlinear PDEs. With  
440 these relations at hand, the equations of motion for the master modes can be solved, and one can obtain the global  
441 responses by solving a single pair of equations.

442 The method was studied in two different systems: a simple and a complex rotor-foundation system. In both cases,  
443 the nonlinearity considered comes from fluid-film forces of the bearings. The AIMM was then compared with the  
444 responses obtained with the direct numerical integration of the equations. The results show a great capability of  
445 reducing the numerical cost and still retaining good accuracy. Therefore, the AIMM is established as a reliable method  
446 to perform nonlinear dynamic analysis in rotor-foundation system.

447 **Acknowledgements** The authors would like to thank CNPq (Grants #307941/2019-1 and #140275/2021-5) for the financial support to  
448 this research.

#### 449 **Conflict of interest**

450 The authors declare that they have no conflict of interest.

#### 451 **Data availability**

452 The datasets generated during and analyzed during the current study are not publicly available but are available from  
453 the corresponding author on reasonable request

#### 454 **References**

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