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1 **Title:** Ketone esters and their effects on carbohydrate metabolism during exercise.

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24 has an unpaid role on the Scientific Advisory Board of Zoe Global Limited.

25

26 Ketone bodies are metabolites produced by the liver under conditions of low
27 carbohydrate and high fatty acid availability. The ketone body of most abundance is
28 typically beta-hydroxybutyrate (BHB). Physiological scenarios where circulating BHB
29 concentrations are elevated to >1 mmol/L include fasting, exercise, late pregnancy
30 (particularly labor), and very low-carbohydrate diets (1, 2). During scenarios of high
31 BHB concentrations, the brain and muscle can take up and utilize BHB as a fuel (3).
32 In addition, BHB may play a role in signalling via extracellular receptors and inhibiting
33 histone deacetylases (4). BHB therefore produces a wide variety of effects on
34 metabolism and signalling under conditions of low carbohydrate availability.

35

36 Ketone supplements are orally ingestible compounds that can raise circulating BHB
37 concentrations without the need to restrict carbohydrate intake. Of the various ketone
38 supplements currently available, the ketone monoester (R)-3-hydroxybutyl (R)-3-
39 hydroxybutyrate, appears to be the most effective at raising plasma BHB
40 concentrations. It has been speculated that ingesting ketone esters alongside
41 carbohydrate during exercise may provide additional fuel and thereby spare glycogen
42 use and enhance performance. To date, however, evidence on glycogen sparing and
43 performance effects of ketone esters during exercise has been equivocal (5-7). This
44 may be, in part, due to the methods of measurement which have focussed on net
45 muscle glycogen utilisation. A more complete understanding of the effects of ketone
46 esters of exercise metabolism would include the potential impact on broader aspects
47 of carbohydrate utilization.

48

49 In this issue of the journal, Howard et al. (8) employed dual stable-isotope tracers
50 combined with indirect calorimetry to examine plasma glucose kinetics and exogenous

51 substrate metabolism with ketone esters ingested during exercise. This allowed
52 assessment of how ingested carbohydrates are handled, and the impact on hepatic
53 carbohydrate metabolism. These methods can overcome some of the limitations of
54 muscle biopsy measures, which are restricted to the site of sampling, providing
55 snapshot data, and potentially introducing artefacts from the sampling procedure. In
56 contrast, the dual-stable isotope method (oral and intravenous infusion of glucose
57 tracers) can provide insight into fluxes, does not require assumptions or extrapolations
58 from a single sample site to other glycogen depots, and can reveal the fate of ingested
59 *versus* endogenous carbohydrates (9).

60

61 Ketone ester ingestion raised plasma BHB concentrations to ~1.25 mmol/L at the
62 onset of exercise and suppressed glucose rate of appearance (Ra) from ~7 mg/kg/min
63 to ~6.5 mg/kg/min (equating to a suppression of glucose Ra of ~2 g/h). Since there
64 was no evidence that exogenous glucose oxidation rates were affected to any
65 meaningful extent by ketone ester ingestion (<0.01 g/min difference), it can be
66 assumed that this reduction in glucose Ra primarily represents a reduction in hepatic
67 glucose output. In other words, it is possible that ketone esters may spare liver
68 glycogen stores. The relevance of this reduction, however, should be considered
69 carefully in the context of other strategies. For example, glucose ingestion at a rate of
70 less than 10 g/h can induce a similar suppression of endogenous glucose Ra (10),
71 and a suppression of 2 g/h (reflecting <5% of replete liver glycogen stores) is unlikely
72 to be sufficient to impact performance. However, it may be that optimising the dose
73 and timing of ketone esters could produce more substantial effects of glucose kinetics,
74 since data at rest suggest that BHB concentrations >1.5 mmol/L can suppress
75 endogenous glucose Ra from ~13.5 to ~9.9 g/h (>30% reduction). If this magnitude of

76 suppression with higher BHB concentrations extends to an exercising context, this
77 could be a physiologically meaningful suppression. The current evidence, however,
78 does not support the idea the ketone esters consistently suppress liver or muscle
79 glycogen utilization to any meaningful degree.

80

81 The metabolic impact of ketone esters in this study was, therefore, modest. The impact
82 on performance was negative, whereby both time trial and time-to-exhaustion tests
83 showed a reduction in performance with ketone ester ingestion versus placebo. Since
84 the metabolic effects were relatively small, these are unlikely to explain performance
85 changes with ketone esters in this study. BHB can suppress lipolysis (3), and glycerol
86 and long-chain fatty acid concentrations (as markers of lipolysis) were lower in the
87 present study with ketone ester ingestion (8). Whilst suppressing circulating fatty acid
88 availability can impair endurance performance (11), this seems to be more relevant
89 for more prolonged exercise than that tested in the current study. Other potential
90 mechanisms of impaired performance include gastrointestinal distress and/or acid-
91 base disturbances with ketone esters (7, 12). Increased gastrointestinal symptoms in
92 the current study may have partly explained the performance decrement. This is
93 despite a lack of correlation between gastrointestinal symptoms and the degree of
94 performance decrement, which is perhaps unsurprising given the subjective nature of
95 measuring gastrointestinal distress. The contribution of acidosis remains unknown,
96 since acid-base balance of the blood or muscle was not determined, yet indirect
97 inference from ventilatory data was consistent with greater acidosis in the ketone ester
98 trial (8).

99

100 Where next for ketone esters and exercise? The current study makes an important
101 contribution to understanding the acute effects of ketone esters on exercise
102 metabolism with moderate glucose ingestion (30 g/h). However, further work is needed
103 to understand their impact during conditions reflecting sporting scenarios with higher
104 carbohydrate intakes (e.g., >60 g/h) and with glucose-fructose mixtures. It is plausible
105 that ketone esters may interact with these factors, since carbohydrate ingestion dose-
106 dependently affects glucose kinetics (10), and the lactate from fructose ingestion
107 would be competing with the same cell membrane transport proteins as BHB.
108 Nevertheless, attention may be also turning more intensively to longer-term effects of
109 ketone esters and their role as signalling molecules. BHB may stimulate muscle
110 protein synthesis (13), alter catecholamines during exercise (14), and erythropoietin
111 concentrations after exercise (15). These signalling effects of ketone bodies may
112 prove to be more important than their effects as a fuel source for exercise performance
113 and the research landscape in this area appears to provide some exciting
114 opportunities for advancements in understanding.

115

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