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1 **Optimisation of the Hydrodynamic**
2 **Performance of a wave energy converter in**
3 **an Integrated Cylindrical WEC-Type**
4 **Breakwater System**

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54

55 **ABSTRACT**

56

57 *Wave energy converters (WECs) are built to extract wave energy. However, this kind of device is still*

58 *expensive for commercial utilisation. To cut down the cost of WECs by sharing the construction cost with*

59 *breakwaters, an integrated cylindrical WEC-type breakwater system that includes a cylindrical WEC array in*

60 *front of a very long breakwater is proposed to extract wave energy and attenuate incident waves. This paper*

61 *aims to optimise the performance of the integrated cylindrical WEC-type breakwater system. A*

62 *computational fluid dynamics tool, OpenFOAM®, and a potential flow theory-based solver, HAMS®, are*

63 *utilised. OpenFOAM® provides viscosity corrections to a modified version of HAMS® in order to accurately*

64 *and efficiently predict the integrated system's performance. Parametric studies are conducted to optimise*

65 *the integrated system, and a novel setup with an extra arc structure is found to significantly improve the*

66 *performance of the integrated system.*

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69 **1. Introduction**

70

71 Wave power is reliable, affordable and sustainable form of energy [1]. To extract

72 wave energy, wave energy converters (WECs) are installed in coastal or offshore areas.

73 However, current WEC technology is still unavailable for practical large-scale utilisation,

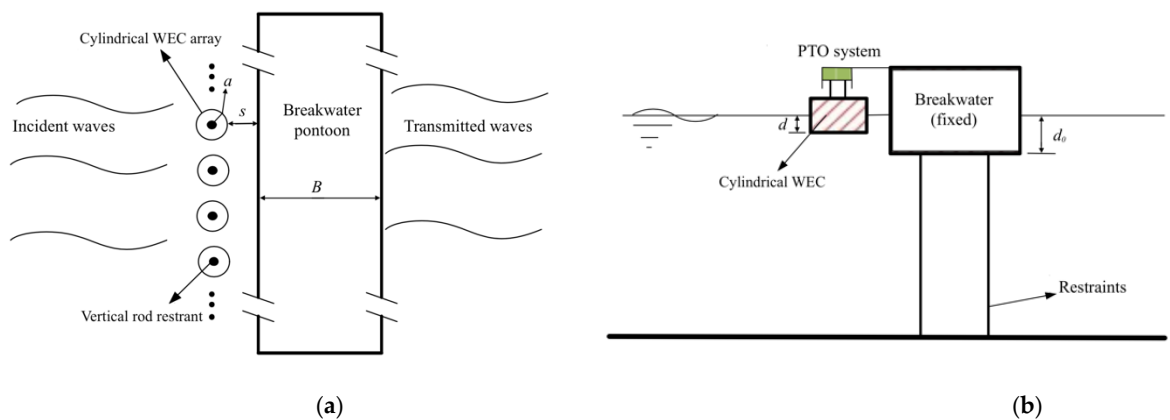
74 and high cost is a major barrier to widespread use [2]. One possible solution to develop
75 WECs into an affordable commercial technology is to share the construction cost of WECs
76 with other functional structures [3].

77 Breakwaters are coastal structures designed to provide coastal protection by
78 attenuating incident wave power. This type of structure is appropriate for integration
79 with WECs because both breakwaters and WECs are installed in coastal and nearshore
80 areas. WECs aim to convert wave energy to other functional energy, which can also help
81 breakwaters to attenuate incident wave power. Thus, the integrated WEC-type
82 breakwater system was proposed to share the construction cost and space.

83 Zhao et al. [4] originally proposed an integrated cylindrical WEC-type breakwater
84 system (hereafter WEC-B system) which comprises heaving oscillating cylindrical WECs
85 attached to the wave-exposed side of a truncated breakwater as shown in Fig. 1. These
86 WECs are connected to a PTO system and restrained by vertical rods. The PTO system
87 then transfers the heave motion of buoys to energy. As for the truncated breakwater, the
88 incident waves are dissipated and reflected as they propagate past the surface-piercing
89 skirt, reducing the wave energy which reaches the coast. An additional advantage is that
90 the component of wave energy reflected from the breakwater can be utilised by the
91 cylindrical WEC array to generate more power (the magnitude of wave energy conversion
92 efficiency, capture width ratio, was raised from 20% without breakwater to 70% with a
93 breakwater in [4]). [5] argued that the heaving oscillating WEC has the potential for highly
94 efficient wave-power conversion in terms of output per unit volume. Considering this
95 advantage, it is valuable to develop this WEC-B system further. The design parameters,

96 including the WEC draft, the gap width between the WEC and the breakwater and
97 dampings, were discussed in [4] to optimise the WEC-B system.

98 This paper utilises open-source CFD and potential flow solvers to investigate the
99 influence of the ratio of radius to draft of a cylindrical WEC and optimise the system in
100 terms of capture width ratio. In addition, a novel setup of the cylindrical WEC installed
101 with an extra arc structure is proposed and evaluated.



102 Fig. 1 (a) the top view and (b) the side view of the integrated cylindrical WEC-type
103 breakwater system.
104

105 2. Response and capture width ratio

106 Potential flow theory, which ignores viscous effects, is an efficient method for
107 undertaking parametric research with large numbers of test cases. However, this method
108 will overestimate the motion response and the power conversion efficiency of a heaving
109 oscillating WEC because of the lack of viscous effects [6]. To improve this issue, a viscous
110 computational fluid dynamics (CFD) model is utilised in parallel to provide viscosity
111 corrections to the potential flow theory. Through this approach, the investigation using
112 the modified potential flow theory saves substantial computational time compared with

113 using the CFD tool alone while still providing reasonably accurate results. Thus, the
 114 modified potential flow theory is employed in the parametric study of the WEC-B system
 115 to optimise this integrated system. In this paper, OpenFOAM® [7] is selected as the CFD
 116 tool, and HAMS® [8-10] (<https://github.com/YingyiLiu/HAMS>) is employed to solve the
 117 potential flow theory.

118 In our computations, the heave response of the heaving oscillating cylindrical WEC
 119 buoy is required for calculating the capture width ratio (CWR), which is used to evaluate
 120 the efficiency of wave energy extraction. The heave motion can be yielded from the
 121 following equation:

$$122 \quad (-\omega^2(M + \mu_0) - i\omega(\lambda + \lambda_{PTO}) + K)\zeta = F_{EX} \quad (1)$$

123 where M is mass, μ_0 denotes added mass, λ and λ_{PTO} are the viscous damping and
 124 damping of the PTO system, respectively, K is the buoyancy stiffness, ζ refers to the
 125 motion of the structure and F_{EX} denotes the wave excitation force. F_{EX} is obtained
 126 from HAMS® by solving potential flow theories. μ_0 and λ , are both influenced by
 127 viscosity. To better represent the viscosity effects, [4] and [11] use the free decay test
 128 implemented by physic experiments to calculate μ_0 and λ and substitute them to Eq.
 129 1 to yield the motion of structures. In this paper, the free decay test is simulated by
 130 OpenFOAM®. The dynamic viscosity μ_0 is calculated from the free decay period, $T_0 =$

131 $2\pi\sqrt{(M + \mu_0)/K}$. Thus,

$$132 \quad \mu_0 = \frac{T_0^2 K}{2\pi} - M \quad (2)$$

133 As for λ , it can be calculated as:

$$134 \quad \lambda = \frac{2\kappa K}{\omega_0} \quad (3)$$

135 where $\omega_0 = 2\pi/T_0$, $\kappa = \frac{1}{2\pi} \ln\left(\frac{z_i - z_{i-1}}{z_{i-2} - z_{i-3}}\right)$ and z_i are the successive peak or trough
 136 values of the heave response in the free decay test [11]. In this way, ζ can be obtained
 137 from Eq. 1 using the viscosity corrections. Because the power generated by the
 138 motion of WEC can be denoted as

$$139 \quad P_{capture} = \frac{1}{2} \lambda_{PTO} \omega^2 |\zeta|^2 \quad (4)$$

140 The optimal damping of the PTO system λ_{PTO} can be defined as:

$$141 \quad \lambda_{PTO} = \sqrt{(K/\omega - \omega(M + \mu_0))^2 + \lambda^2} \quad (5)$$

142 The CWR is calculated as the ratio of the generated power by the motion of WEC
 143 to the incident wave power:

$$144 \quad CWR = P_{capture}/P_{incident} \quad (6)$$

145 where $P_{incident}$ is the incident wave power, it can be calculated as:

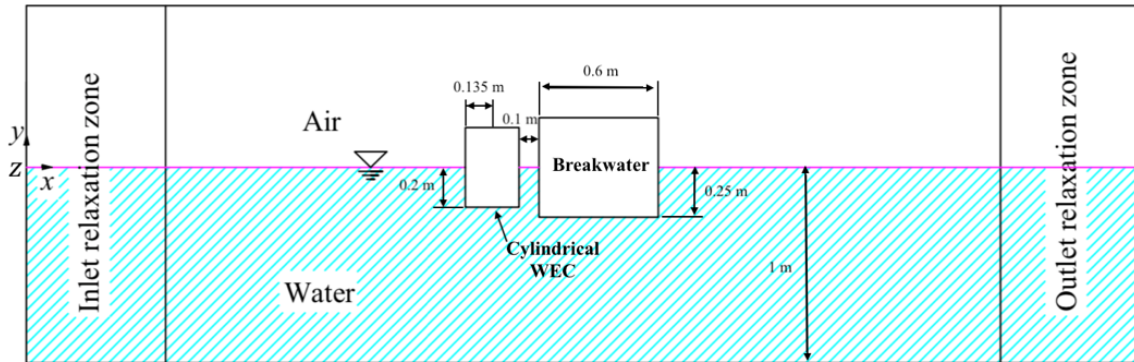
$$146 \quad P_{incident} = \frac{1}{16} \frac{\rho g H^2 \omega D}{k} \left(1 + \frac{2hk}{\sinh 2hk}\right) \quad (7)$$

147 where H is incident wave height, D is the diameter of the cylindrical WEC, k is wave
 148 number and h is water depth [12].

149 **3. Validation**

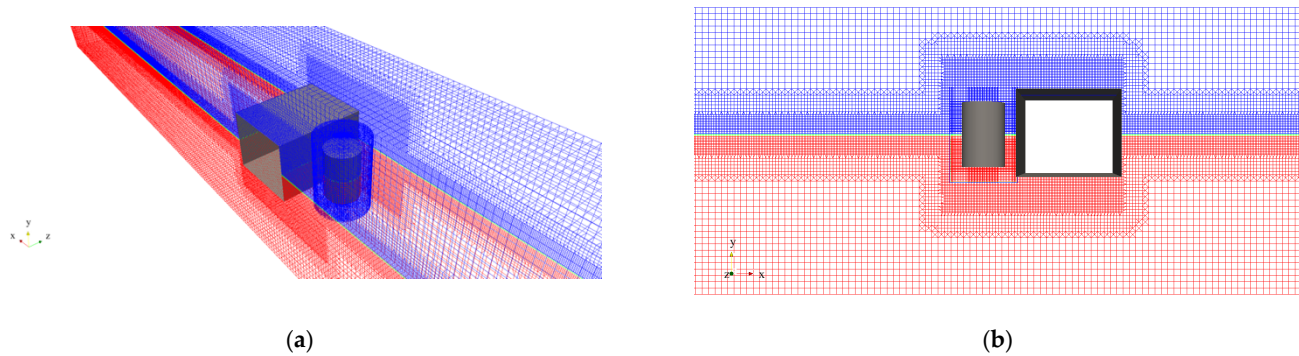
150 Fig. 2 shows the dimension of the WEC-B system for the validation cases setup
 151 based on the experiments detailed in [4]. One heaving oscillating cylindrical WEC with
 152 radius (a) of 0.135 m and draft (d) of 0.2 m is installed in front of a fixed truncated
 153 breakwater with a draft of 0.25 m and structure breadth of 0.6 m. The gap width between
 154 the cylindrical WEC and the breakwater (s) is 0.1 m, the water depth (h) is 1 m, and the

155 incident waves are regular waves with a wave height that is kept at a constant value of
156 0.12 m.



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158

Fig. 2 Schematic of the WEC-B system for validation cases (Side view).



159 Fig. 3 (a) The 3D numerical wave tank for validation cases in OpenFOAM®; (b) the mesh
160 setup in one cross-section of the numerical wave tank.

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Fig. 3 shows the 3D numerical wave tank for the validation cases in OpenFOAM®.

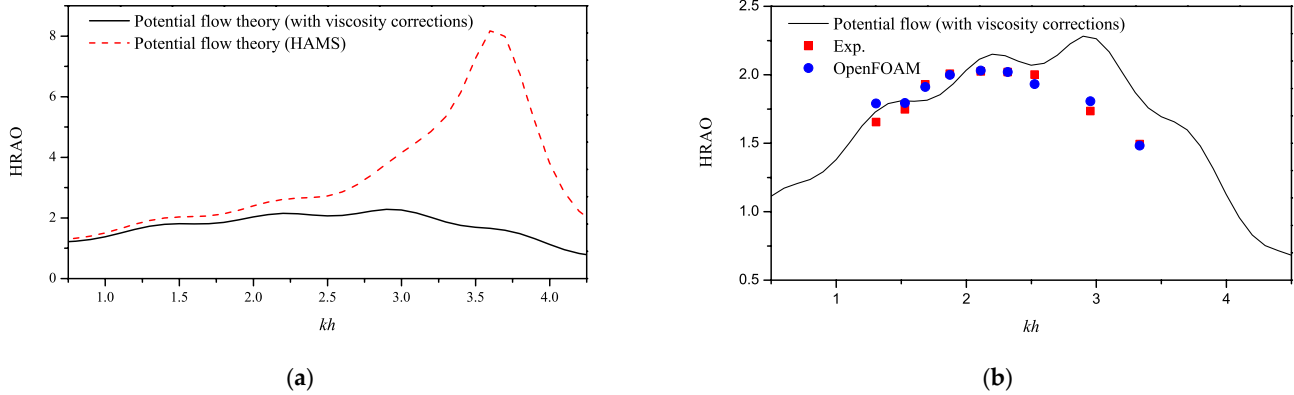
163 The breadth of the numerical wave tank in the z-direction is 0.73 m, which is the distance
164 between adjacent cylinders in the original conceptual design. The water depth and the
165 height of the air phase in the y-direction are 1 m and 0.8 m, respectively. Based on the
166 discussion about mesh size in a 3D numerical wave tank in [13] and floating structure in
167 [14], a refined mesh is used around the free surface along the x- and z-axes with a cell size
168 of $l/200$ (l is the incident wavelength). The refined mesh size around the structures along

169 the x -axis has a cell size of 0.01 m, and $A/8$ in the y -direction (A is the incident wave
170 amplitude).

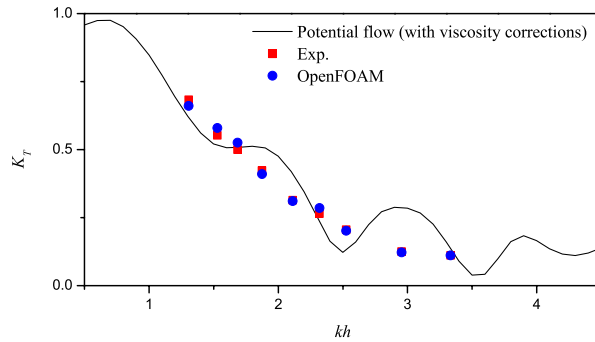
171 A series of validation cases were implemented using the above numerical model
172 setup. Fig. 4(a) shows comparisons of the heave response amplitude operator (HRAO =
173 heave response/incident wave amplitude) as a function of dimensionless wavenumber,
174 kh , between the original HAMS[®] results without viscosity and the modified HAMS[®] results
175 using the method of viscosity corrections in Section 2. The HRAO is much smaller when
176 the viscosity corrections are applied, especially around the natural frequency of the
177 cylindrical WEC (around $kh = 3$). Fig. 4(b) validates HRAO calculated by the potential flow
178 solver with viscosity corrections by comparing them with results from the CFD method
179 and experimental data from [4]. In the lower frequency region ($kh < 2.5$), both HAMS[®]
180 and OpenFOAM[®] results agree with experimental data. In the higher frequency region (kh
181 > 2.5), the OpenFOAM[®] results still predict the HRAO accurately compared with
182 experimental results. However, the results of HAMS[®] are higher than those of both
183 OpenFOAM[®] and experiments. The overestimation of HRAO using HAMS[®] may be
184 influenced by the nonlinear wave conditions in the narrow gap between the cylindrical
185 WEC and the breakwater. Nonetheless, the modified HAMS[®] with viscosity corrections
186 can still predict the general behaviour of the HRAO curve, and the values are generally
187 close to the OpenFOAM[®] and experimental results.

188 The transmission coefficient ($K_T =$ transmitted wave height/incident wave height,
189 where the transmitted wave height is obtained from the wave gauge at the central line
190 of the WEC-B system and 1.5 m away from the backside of the breakwater) is shown in

191 Fig. 5 as a function of wavenumber. Fig. 5 shows good agreement between the results of
 192 HAMS®, OpenFOAM® and the experiments described in [4]. In conclusion, the modified
 193 HAMS® can is capable of predicting CWR and K_T under various conditions for a parametric
 194 study.



195 Fig. 4 HRAO of the cylindrical WEC as a function of kh with (a) the comparison of the
 196 original HAMS® and the modified HAMS® with viscosity corrections; (b) the comparison
 197 of the numerical and experimental results.
 198



199 Fig. 5 Comparison of experimental and numerical values of wave transmission
 200 coefficient (K_T) as a function of kh .
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203 4. Optimisation

204 4.1 Ratio of radius to draft of the cylinder (a/d)

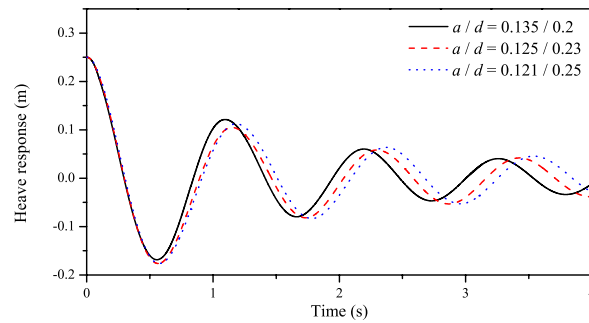
205 The radius is changed relatively when the draft changes to keep the volume and
 206 mass of the cylindrical WEC constant. Thus, the ratio of radius to draft of the cylinder, a/d ,

207 is investigated. Fig. 6 shows the heave response in decay tests of different cylindrical
 208 WECs with varying ratios of radius to draft in time histories obtained via OpenFOAM®.
 209 Even though the three cylindrical WECs have the same mass, the curves of the heave
 210 response of different cylindrical WECs are different due to the viscosity effects. The
 211 viscosity corrections are obtained via the decay tests, and the calculated added mass (as
 212 shown in Eq. 3) and viscous damping (as shown in Eq. 4) are listed in Table 1.

213 **Table 1 Viscosity corrections for different ratios of radius to draft of the cylinder.**

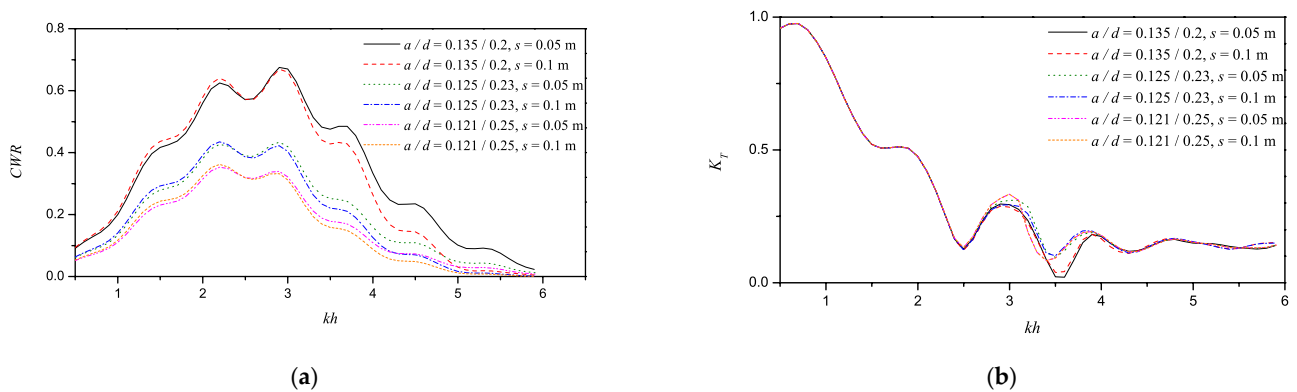
	Draft 0.2 m	Draft 0.23 m	Draft 0.25 m
Radius of the cylinder (m)	0.135	0.125	0.121
Added mass (kg)	5.247	4.567	4.227
Viscous damping (kg/s)	22.50	19.49	18.06

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Fig. 6 Decay tests of the cylinders with different ratios of radius to draft.

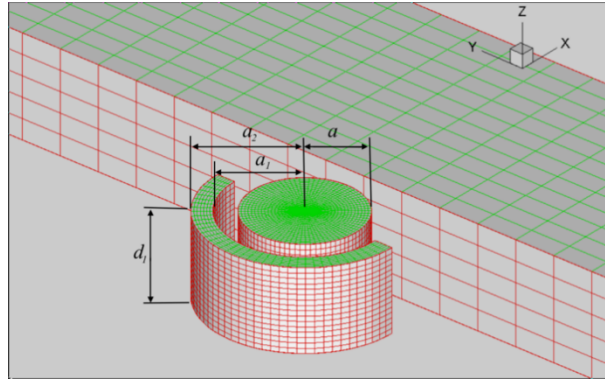


218 Fig. 7 (a) CWR and (b) K_T as a function of kh with different ratios of radius to draft of the
 219 cylindrical WEC and different gap widths between the cylindrical WEC and the
 220 breakwater.
 221

222 Fig. 7(a) shows CWR as a function of kh with different ratios of radius to draft of
223 the cylindrical WEC and different gap widths between the WEC and the breakwater, s . It
224 indicates that CWR is sensitive to the changes in a/d . When a/d decreases, the CWR
225 reduces significantly. While the different gap widths varying from 0.05 m to 0.1 m have a
226 relatively less significant influence on CWR than the changes in a/d . Fig. 7(b) shows K_T as
227 a function of kh with different ratios of radius to draft of the cylindrical WEC and different
228 gap widths, it suggests that the ratio of radius to draft and gap width have only a minor
229 influence on K_T .

230 **4.2 Cylinder with fixed arc structure**

231 To optimise the WEC-B, an original idea which uses a fixed arc structure installed
232 around the cylindrical WEC, as shown in Fig. 8, is investigated. The fixed arc structure is
233 designed to re-reflect waves to increase reflected waves on the cylindrical WEC and
234 increase CWR. For practical utilisation, the fixed arc structure can be attached to the rod
235 of the pile restraint for the cylindrical WEC. Meanwhile, the volume of the arc structure
236 in the following parametric research (a_2 equals 0.22 m; d_1 varying from 0.15 m to 0.20 m)
237 is always set to be smaller than half the volume of the cylindrical WEC. The construction
238 cost of the structure is controlled by total volume (i.e., total mass with the same density)
239 in this research. Thus, the installation of this extra setup should be considered much
240 cheaper than the installation of another new cylindrical WEC buoy, and the new setup
241 should not be difficult to implement in practical utilisations. The gap width between the
242 cylindrical WEC and the inner side of the arc structure is 0.05 m ($a_1 = a + 0.05$ m), which
243 equals the gap width between the cylindrical WEC and the breakwater.



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Fig. 8 A new setup with a fixed arc structure installed around the cylindrical WEC (model setup in HAMS®).

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4.2.1 Angle of the Arc

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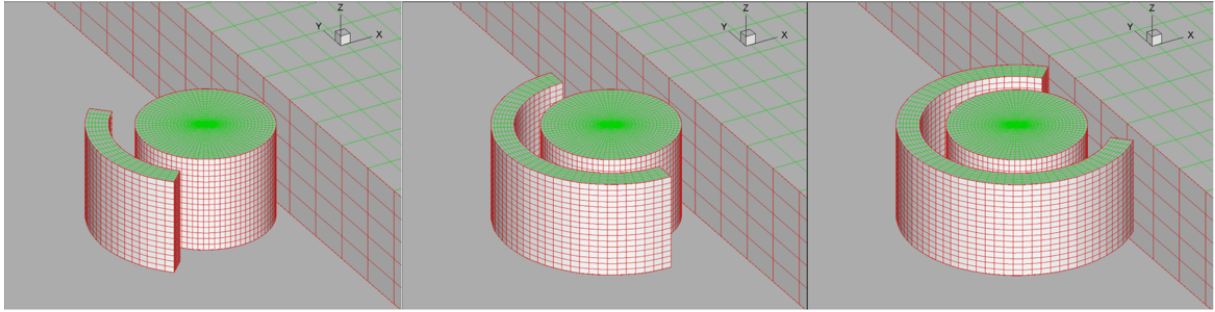
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Three angles of the arc structure with a_2 of 0.22 m are investigated below and shown in Fig. 9. Fig. 10(a) shows CWR as a function of kh with different angles of a fixed arc structure. CWR in Fig. 10(a) increases, especially in the high wave frequency region when a 90° or 180° arc is installed, compared with CWR of the cylindrical WEC without the arc. However, when the arc angle is 270° , CWR reduces and is even lower than the CWR of the cylindrical WEC without the arc. So, based on these comparisons, the 180° arc is the best setup for the cases investigated here, and CWR keeps a high value with a wide range of kh . Fig. 10(b) shows K_T as a function of kh with different angles of a fixed arc structure. The presence of an arc structure does not obviously influence the performance of the breakwater on K_T . In addition, when the best setup with the 180° arc is applied, K_T is at a low value in the high-frequency region, which refers to a good performance on transmitted wave height reduction of the breakwater, the WEC can also keep a good performance on wave energy conversion with a high value of CWR in this wave frequency region.



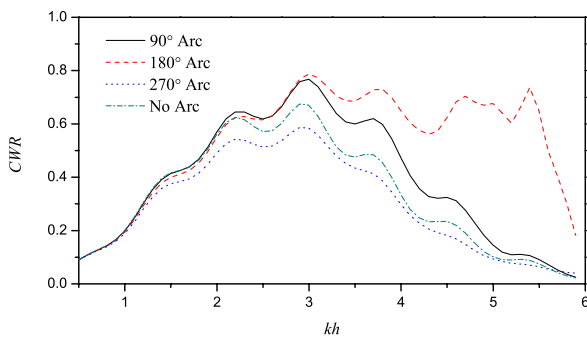
90° Arc

180° Arc

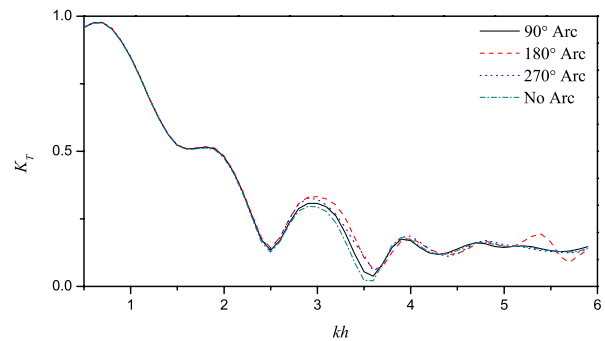
270° Arc

Fig. 9 The fixed arc structure with different angles (model setup in HAMS®).

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(a)



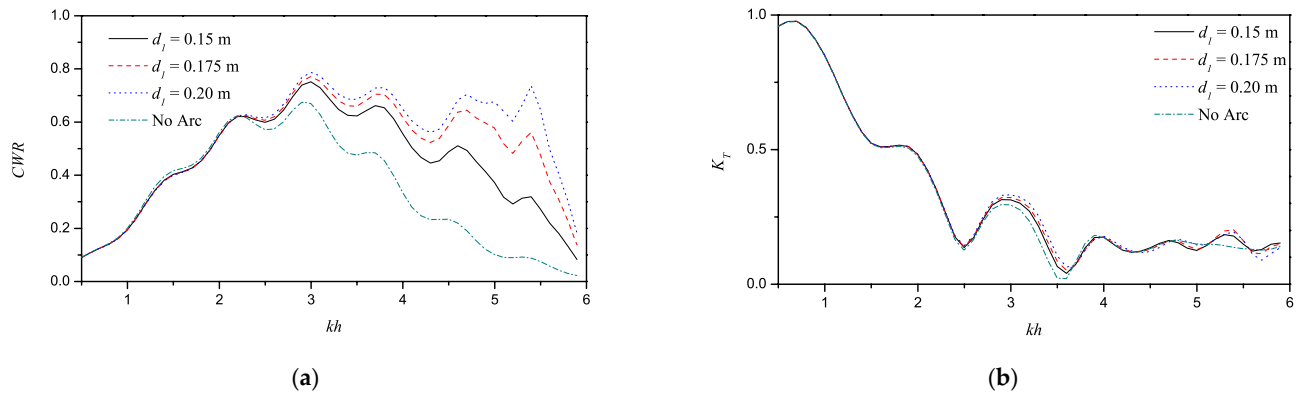
(b)

Fig. 10 (a) CWR and (b) K_T as a function of kh with different angles of a fixed arc structure.

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4.2.2 Draft of the Arc

270 Fig. 11(a) shows diagrams of CWR with different drafts of the arc (d_1). Compared
271 with the CWR of the cylindrical WEC without arc, an arc structure with all these drafts
272 listed in Fig. 11 can improve the peak value of CWR and keep CWR at a high value in the
273 broader region of kh . In addition, the increase of the draft of the arc structure can improve
274 the CWR continuously. K_T as a function of kh with a different outer radius of the arc is
275 shown in Fig. 11(b). Identical to the other parameters, the draft has only a minor effect
276 on K_T in Fig. 11(b).



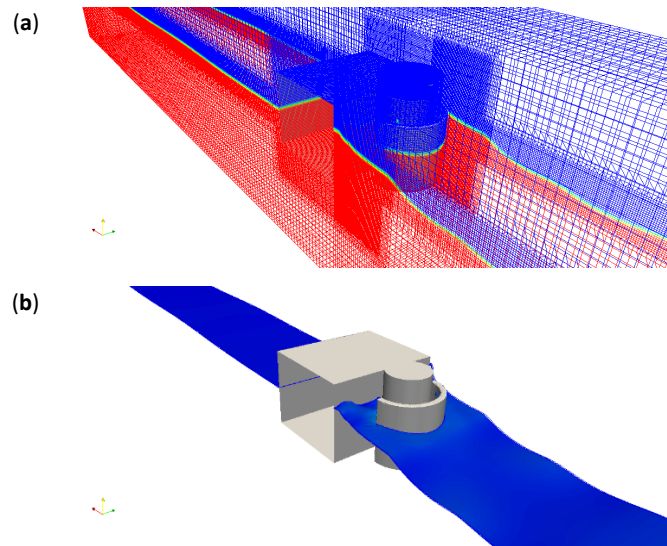
277 Fig. 11 (a) CWR and (b) K_T as a function of kh with different drafts of a fixed arc
 278 structure.
 279

280 *4.2.3 Comparison between the modified HAMS® and OpenFOAM®*

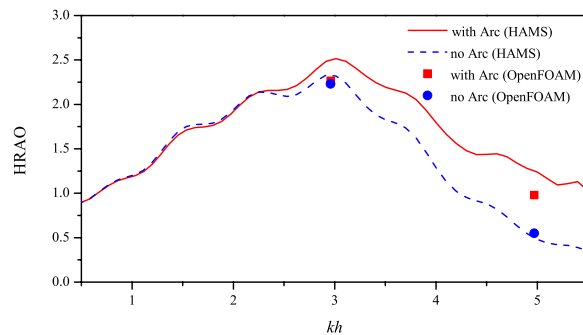
281 To check the accuracy of the results obtained from the modified HAMS®, the
 282 optimised setup of the cylinder with arc system simulated by OpenFOAM® is compared
 283 with the modified HAMS® results. Fig. 12 shows the setup and the visualised simulations
 284 when a wave crest passes the WEC-B system in OpenFOAM®.

285 Four test cases, the cylinder without arc with the incident wave period of 1.17 s
 286 ($kh = 2.956$) and 0.90 s ($kh = 4.969$), and the cylinder with arc with the incident wave
 287 period of 1.17 s ($kh = 2.956$) and 0.90 s ($kh = 4.969$), are simulated by OpenFOAM®. Fig.
 288 13 shows the comparisons between the four points of the four test cases simulated by
 289 OpenFOAM® and the curves of HRAO as a function of kh predicted by the modified
 290 HAMS®. The HRAO simulated by OpenFOAM® presents identical predictions as the
 291 modified HAMS® that the cylindrical WEC with arc has a relatively larger heave response
 292 than the WEC without arc. Fig. 13 indicates the reliability of the modified HAMS® in this
 293 paper to predict the accurate changes of HRAO with the presence of an arc structure. A
 294 larger HRAO will increase the CWR of WEC (as the relations in Eq. 4), and the arc structure

295 is supposed to be able to improve the performance of the WEC-B system in practical
296 situations.
297



298 Fig. 12 (a) Mesh and (b) surface snapshot of the visualization for the simulation by
299 OpenFOAM when a wave crest is passing the WEC-B system and cylindrical WEC is in an
300 elevated position.
301



302 Fig. 13 Comparison of HRAO of cylindrical WEC as a function of kh between the modified
303 HAMS results and OpenFOAM results.
304
305

306 5. Conclusions

307 This paper has employed the CFD model (OpenFOAM®) to modify a potential flow
308 solver (HAMS®) to undertake parametric research to optimise an integrated cylindrical
309 WEC-type breakwater system. Validations have been conducted to confirm the accuracy

310 of this method. Both the variation and magnitude of CWR and K_T of the WEC-B system as
311 a function of kh were predicted using an efficient numerical approach. The ratio of radius
312 to draft of the cylindrical WEC was investigated for optimisation. A new setup based on
313 the original concept was proposed to increase the potential efficiency of wave energy
314 extraction of the WEC-breakwater system to about 80% from 70% in [4]. In addition, the
315 performance of wave energy extraction of the concept of heave oscillating WEC without
316 arc structure in the high wave frequency region (dimensionless wave number $kh > 4$) is
317 notably improved with the new concept setup.

318

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320

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