

Citation for published version: Lussier, AA, Zhu, Y, Smith, BJ, Simpkin, AJ, Smith, ADAC, Suderman, MJ, Walton, E, Ressler, KJ & Dunn, EC 2022, 'Updates to data versions and analytic methods influence the reproducibility of results from epigenomewide association studies', *Epigenetics*, vol. 17, no. 11, pp. 1373-1388. https://doi.org/10.1080/15592294.2022.2028072

DOI: 10.1080/15592294.2022.2028072

Publication date: 2022

Document Version Peer reviewed version

Link to publication

This is an Accepted Manuscript of an article published by Taylor & Francis in Epigenetics on 14/02/2022, available online: http://www.tandfonline.com/10.1080/15592294.2022.2028072

**University of Bath** 

# **Alternative formats**

If you require this document in an alternative format, please contact: openaccess@bath.ac.uk

#### **General rights**

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

# Title:Updates to data versions and analytic methods influence the reproducibility ofresults from epigenome-wide association studies

Authors: Alexandre A. Lussier\*<sup>1,2,3</sup>, Yiwen Zhu<sup>1,4</sup>, Brooke J. Smith<sup>1</sup>, Andrew J. Simpkin<sup>5</sup>,
 Andrew D.A.C. Smith<sup>6</sup>, Matthew J. Suderman<sup>7</sup>, Esther Walton<sup>7,8</sup>, Kerry J. Ressler<sup>2,9</sup>,
 Erin C. Dunn\*\*<sup>1,2,3,10</sup>

#### **Affiliations:**

- <sup>1</sup> Psychiatric and Neurodevelopmental Genetics Unit, Centre for Genomic Medicine, Massachusetts General Hospital, Boston, MA, 02114, USA.
- <sup>2</sup> Department of Psychiatry, Harvard Medical School, Boston, MA, 02115, USA.
- <sup>3</sup> Stanley Center for Psychiatric Research, The Broad Institute of Harvard and MIT, Cambridge, MA, 02142, USA.
- <sup>4</sup> Department of Epidemiology, Harvard T.H. Chan School of Public Health, Boston, MA, 02114, USA
- <sup>5</sup> School of Mathematics, Statistics and Applied Mathematics, National University of Ireland, Galway, Ireland.
- <sup>6</sup> Mathematics and Statistics Research Group, University of the West of England, Bristol, UK.
- <sup>7</sup> MRC Integrative Epidemiology Unit, Population Health Sciences, Bristol Medical School, University of Bristol, Bristol, UK.
- <sup>8</sup> Department of Psychology, University of Bath, Bath, UK.
- <sup>9</sup> McLean Hospital, Belmont, MA, 02478, USA.
- <sup>10</sup> Center on the Developing Child at Harvard University, Cambridge, MA, 02138, USA.

## **Corresponding authors:**

- \*Alexandre A. Lussier: alussier@mgh.harvard.edu
- \*\*Erin C. Dunn: edunn2@mgh.harvard.edu

Word count: 4320

#### 1 ABSTRACT

2 **Introduction:** Biomedical research has grown increasingly cooperative, with several large consortia 3 compiling and sharing epigenomic data. Since data are typically preprocessed by consortia prior to 4 distribution, the implementation of new pipelines can lead to different versions of the same dataset. 5 Analytic frameworks also constantly evolve to incorporate cutting-edge methods and shifting best 6 practices. However, it remains unknown how differences in data and analytic versions alter the results 7 of epigenome-wide analyses, which has broad implications for the replicability of epigenetic 8 associations. Thus, we assessed the impact of these changes using a subsample of the Avon 9 Longitudinal Study of Parents and Children (ALSPAC) cohort. 10 **Methods:** We analyzed two versions of DNA methylation data, processed using separate preprocessing 11 and analytic pipelines, to examine associations between childhood adversity and prenatal smoking 12 exposure on DNA methylation at age 7. We performed two sets of analyses: (1) epigenome-wide 13 association studies (EWAS); (2) Structured Life Course Modeling Approach (SLCMA), a two-stage 14 method that models time-dependent effects. We also compared results from the SLCMA using more 15 recent methodological recommendations. 16 **Results:** Differences between ALSPAC data versions impacted both EWAS and SLCMA analyses, 17 vielding different sets of associations at conventional p-value thresholds. However, the magnitude and 18 direction of associations was generally consistent between data versions, regardless of significance 19 thresholds. Updating the SLCMA analytic version similarly altered top associations, but time-20 dependent effects remained concordant.

Conclusions: Changes to data and analytic versions influenced the results of epigenome-wide studies,
 particularly when using p-value thresholds as reference points for successful replication and stability.

Keywords: ALSPAC, epigenetic data versions, analytic versions, updates/revised, adversity, DNA
 methylation, reproducibility.

#### 25 INTRODUCTION

26 Biomedical science has become increasingly cooperative over the past decade. The emergence of large 27 datasets, combined with the small effects of biological measures on complex traits, has fueled such 28 cooperation, making global collaboration with researchers more important now than ever. Access to 29 large-scale data has emphasized the importance of identifying both replicable and stable findings, both 30 across and within research studies. As such, large consortia, including birth cohorts, have become an 31 integral part of these collaborative efforts, generating and compiling large amounts of research data 32 ranging from behavioral and clinical markers to molecular and genetic measures. These data are often 33 made available to collaborators and other researchers worldwide, facilitating the interrogation of 34 broader research questions and enabling replication efforts. 35 Epigenetic data are one key data type collected within these consortia. Epigenetics refer to mechanisms 36 that can result in heritable changes to gene expression without altering genetic sequences <sup>1</sup>. DNA 37 methylation (DNAm) is the most common type of epigenetic mechanism measured in human studies. 38 DNAm occurs when a methyl residue is added to cytosine residues, typically in the context of cytosine-39 guanine dinucleotides (CpG). DNAm is both stable over time and responsive to external signals in 40 certain genomic contexts, which highlights its potential as a biomarker and mechanism for the 41 biological embedding of environmental factors<sup>2</sup>. As such, epigenome-wide association studies 42 (EWAS) have exploded in popularity, with over 1,600 papers on EWAS published since 2015. 43 To facilitate the sharing of DNAm data, datasets are often processed by the individual cohorts prior to 44 distribution. However, due to both technological and conceptual developments over time, the data 45 available from large cohorts will sometimes become outdated, requiring the distribution of revised 46 versions to collaborators. In addition, individuals in longitudinal studies occasionally withdraw consent 47 to share their data, reducing the overlap of samples between different data versions. At the same time, 48 analytic frameworks are constantly updated and improved upon, resulting in newer cutting-edge

49 methods and shifting analytic best practices <sup>3</sup>. Yet, the extent to which differences in data versions and 50 analytic pipelines lead to meaningful differences in analytic results remains unclear. This raises an 51 important question as to the replicability and stability of findings across and within studies, which may 52 influence our interpretation of epigenome-wide associations in biomedical research.

53 Here, we explored the impact of changes in data versions and analytic methods on the consistency of 54 epigenome-wide findings (Fig 1). We analyzed two versions of epigenetic data collected from children 55 at age 7 from the Avon Longitudinal Study of Parents and Children (ALSPAC) cohort, a longitudinal 56 birth cohort near Bristol, England. We first characterized the difference between these versions with 57 respect to the distributions of DNAm at the CpG- and individual-level to illuminate the discrepancies 58 that can arise between data versions. Second, we performed two analyses to ascertain the impact of data 59 version changes at the level of CpG-associations, using classical EWAS and a more nuanced analytic 60 method called the Structured Life Course Modeling Approach (SLCMA)<sup>4</sup>. We performed these 61 analyses using two different types of exposures, contrasting the results from psychosocial (childhood 62 adversity) and physical (maternal smoking during pregnancy) exposures <sup>5,6</sup>. Finally, we compared 63 results derived from SLCMA between two analytic versions, as more recent guidelines have emerged 64 on its use in big data settings <sup>3</sup>. Overall, these analyses provide insight into the reproducibility of 65 epigenome-wide associations and highlight the features of epigenetic data that are more reproducible 66 and robust.

67

# 68 MATERIALS AND METHODS

#### 69 ALSPAC cohort

ALSPAC is a large prospective cohort study that recruited 14,541 pregnancies in Avon, UK, with expected dates of delivery between 1 April 1991 and 31 December 1992<sup>7,8</sup>. Further details of the study and available data are provided on the study website through a fully searchable data dictionary

73	(http://www.bris.ac.uk/alspac/researchers/data-access/data-dictionary/). Please note that the study
74	website contains details of all the data that is available through a fully searchable data dictionary and
75	variable search tool ( <u>http://www.bristol.ac.uk/alspac/researchers/our-data/</u> ). Ethical approval for the
76	study was obtained from the ALSPAC Law and Ethics Committee and the Local Research Ethics
77	Committees. Consent for biological samples has been collected in accordance with the Human Tissue
78	Act (2004). Informed consent for the use of data collected via questionnaires and clinics was obtained
79	from participants following the recommendations of the ALSPAC Ethics and Law Committee at the
80	time. All data are available by request from the ALSPAC Executive Committee for researchers who
81	meet the criteria for access to confidential data ( <u>http://www.bristol.ac.uk/alspac/researchers/access/</u> ).
82	
83	Epigenetic data generation

DNAm profiles at birth, 7, and 15 years of age are part of the Accessible Resource for Integrated Epigenomic Studies (ARIES), a subsample of 1018 mother–child pairs from the ALSPAC cohort <sup>9</sup>. In this study, we focus on the samples collected at age 7. Briefly, DNA was extracted from peripheral blood samples according to established procedures. DNAm was then measured at 485,577 CpG sites across the genome using the Illumina Infinium Human Methylation 450K BeadChip microarray (Illumina, San Diego, CA). We received two versions of the DNAm data, which were processed using different pipelines by ALSPAC, as described below.

91

# 92 Epigenetic data versions

In the first version, which we refer to as the *old data* (2015 version), DNAm data were processed using
the pipeline developed by Touleimat and Tost <sup>9,10</sup>. This pipeline involved performing background
correction and quantile normalization using the R-package *wateRmelon*. DNAm values for all 485,577
CpGs were provided in the old data version.

97	In the second data version, which we refer to as the new data (2018 version), DNAm data were
98	processed using the pipeline developed by Min and colleagues <sup>11</sup> . In this version, background
99	correction and functional normalization of DNAm data were performed using the R-package meffil. In
100	addition, samples with > 10% of CpG sites with a detection p-value > 0.01 or a bead count < 3 in >
101	10% of probes were removed. As such, there were fewer CpGs available for analysis (482,855) in the
102	new data compared to the old data (Fig 2A). Furthermore, due to data processing and potential removal
103	of consent for some individuals, only 948 participants overlapped between both data versions (Fig 2A).
104	Only singleton birth participants present in both data versions were analyzed (n=946).
105	For the current analyses, we further removed cross-hybridizing probes, polymorphic probes, and probes
106	located in sex chromosomes, as well as those probes that did not overlap between both data versions.
107	These filtering steps resulted in a list of 440,257 CpGs that were present in each data version. To
108	remove possible outliers, we winsorized the beta values (i.e., values that represent % methylation) at
109	each CpG site, setting the bottom 5% and top 5% of values to the 5th and 95th quantile, respectively.
110	Measures of childhood adversity
111	We investigated seven types of childhood adversity assessed between birth and age 7: experiences of
112	sexual/physical abuse, caregiver physical/emotional abuse, maternal psychopathology, financial stress,
113	family instability, one-adult households, and neighborhood disadvantage. These variables were coded
114	the same way between both the old and new datasets. For a full description of these variables, please
115	refer to Dunn and colleagues (2019), which described their coding in depth <sup>5</sup> .

# 116 Analyses

# 117 Epigenome-wide association study (EWAS) of childhood adversity

118 To determine how data versions can influence the results of traditional epigenome-wide methods, we

119 performed EWAS for each of the childhood adversities described above using the old and new data

120 versions. Here, we categorized children as 'exposed' or 'unexposed' to adversity on whether they

experienced a given adversity between ages 0 to 7. We performed these epigenome-wide associations using the *limma* package in R<sup>12</sup>. Consistent with previous work on these exposures <sup>5</sup>, we included the following covariates to account for potential confounding: sex, race/ethnicity, maternal age at birth, maternal education, birth weight, number of previous pregnancies, maternal smoking during pregnancy, and cell type proportions estimated using the Houseman method <sup>13</sup>. We accounted for multiple-testing using the Benjamini-Hochberg method and set the false discovery rate (FDR) at 5% <sup>14</sup>.

127

#### 128 Structured Life Course Modeling Approach (SLCMA) of childhood adversity

129 The SLCMA is a two-stage method that compares different life course hypotheses that describe the relationship between time-dependent exposures and an outcome of interest <sup>4,15,16</sup>. This method 130 131 simultaneously compares a set of *a priori*-specified life course hypotheses encoding time-varying 132 exposure-DNAm relationships, such as the timing of exposure (sensitive periods), or a cumulative 133 count of exposures over time (accumulation of risk). Therefore, it provides more nuanced insights 134 about exposure mechanisms beyond the traditional analyses of exposed versus unexposed individuals. 135 Importantly, the SLCMA has been applied in multiple contexts to determine whether the timing of 136 certain exposures can influence outcomes, including psychometric measures and DNAm<sup>3,17</sup>. To 137 summarize SLCMA briefly, in the first stage, variable selection (LARS-LASSO) is used to select the 138 life course hypothesis that explains the greatest proportion of outcome variation. In the second stage, 139 post-selection inference is performed to obtain point estimates, confidence intervals, and p-values for 140 the hypothesis selected from the first stage, accounting for multiple testing burden associated with 141 testing several life course hypotheses simultaneously for each locus.

To assess the impact of data version changes on SLCMA results, we tested the association between
childhood adversity and epigenetic patterns, as previously reported by Dunn and colleagues (2019), in

both data versions. adjusted for the same covariates as the EWAS analyses above. We tested five

145	different life course hypotheses, including three sensitive periods hypotheses encoding exposures
146	during the following three time periods: 1) very early childhood (0-2), 2) early childhood (3-5), 3)
147	middle childhood (6-7); and two additive hypotheses: 4) total number exposures across childhood
148	(accumulation), and 5) number of exposures weighted by time (recency). Post-selection inference was
149	performed using the covariance test (covTest) method <sup>18</sup> . We accounted for multiple-testing at the
150	epigenome-level using the Benjamini-Hochberg method and set the FDR at 5% <sup>14</sup> .

151

#### 152 Analytic version updates of the SLCMA of childhood adversity

153 To determine how updates to analytic versions influence the SLCMA results, we compared the results 154 from the new data using the analysis described above, which we refer to as the *standard analysis*, to the 155 latest recommendations for the SLCMA as described by Zhu and colleagues (2020), which we refer to 156 as the *updated analysis*. This approach differed in three major ways. First, post-selection inference was performed using the selective inference method, which reduces p-value inflation compared to the 157 covariance test in high dimensional analyses <sup>3,19</sup>. Second, we adjusted for covariates using the Frisch-158 159 Waugh-Lovell (FWL) theorem (partitioned regression)<sup>20</sup>. This method has been used in penalized 160 regression analyses and can improve the statistical power to detect differences between groups <sup>3,21</sup>. 161 Third, we updated the covariates to reflect best practices in the ALSPAC cohort, swapping parental 162 occupation-based social class for maternal education. Maternal education is not only a better predictor 163 of health and DNA methylation patterns, but also has better availability and comparability in other birth 164 cohorts, allowing for more direct comparisons and integration into future meta-analyses <sup>22,23</sup>.

165

#### 166 Sensitivity analyses of prenatal exposure to maternal smoking.

167 Given that the associations between smoking and DNA methylation are some of the best replicated

- 168 findings in the EWAS field, we performed additional sensitivity analyses to contrast this physical
- 169 exposure to the psychosocial exposures described above. We assessed the impact of data versions on

the association between exposure to maternal smoking during pregnancy and epigenetic patterns, as previously reported by Richmond and colleagues (2018). Following the same approach as the analyses of childhood adversity, we performed an EWAS of prenatal exposure to maternal smoking in the old and new data versions. Maternal smoking exposure was ascertained repeatedly in all three trimesters, wherein smoking at any point was considered prenatal smoking exposure <sup>6</sup>. For the SLCMA analysis, we tested five separate life course hypotheses of prenatal smoking exposure: first trimester, second trimester, third trimester, accumulation across all trimesters, and recency of exposure.

177

#### 178 **RESULTS**

#### 179 Old and new versions of the ALSPAC data differed by several key descriptive features

180 We first assessed the CpG- and individual-level differences between the ALSPAC data normalized

181 using the Tost pipeline (*old*) and the meffil pipeline (*new*). The genome-wide distribution of DNAm

182 values from the old data were generally shifted towards the center in the new data (Fig 2B and 2C).

183 CpG-level variability, assessed by the standard deviation of each CpG, was generally higher in the old

184 data (Fig 2D). In addition, we detected higher individual-level variability (across all CpGs) in the new

185 data than in the old data, which showed no individual-level variability due to the use of quantile

186 normalization (Fig 2E). Nevertheless, individual-level data were generally highly correlated between

187 data versions (mean r=0.981, SD=0.003), with no clear biases being detected in specific chromosomes

188 (Fig 2F). However, CpGs located in 3'UTRs showed slightly lower correlations between versions (Fig

189 2G). Estimated cell-type proportions showed only slight differences between data versions but were

190 mostly similar (**Fig 2H**).

191

### 192 Epigenome-wide association study results differed between data versions

193 To determine how data versions may impact the results from traditional EWAS, we analyzed the

194 association between each of the seven childhood adversity exposures and DNA methylation at age 7 in

195	both ALSPAC DNAm data versions. Overall, we found little concordance between data versions for
196	psychosocial exposures. In the old data, we identified one CpG at an FDR <0.05 for the abuse
197	exposure, but no significant associations for the other adversities. By contrast, using the new data, we
198	identified five CpGs at an FDR <0.05, but those were associated with exposure to financial stress.
199	Moreover, no significant CpGs overlapped between the old and new data versions (Fig 3A). Indeed,
200	beyond significance thresholds, the overlap of CpGs by p-value rank was somewhat low for most
201	adversities (10-40%) but remained higher than by random chance (Fig 3B).
202	However, for each set of top CpGs (ranked by p-values), those that overlapped between data versions
203	showed relatively good rank correlation, suggesting that some signal may be retained between data
204	versions (Fig 3C). Importantly, top CpGs also showed high concordance in the direction and
205	magnitude of differences in DNAm between exposed and unexposed groups (Fig 3D). As such, it
206	appeared that the differences introduced by changing data versions caused fluctuations in the results at
207	the level of p-value thresholds, but the results from the EWAS of childhood adversity were more
208	similar when considering p-value ranks, as well as the direction and magnitude of associations.

209

## 210 Data versions also changed the results from the SLCMA

211 To determine how data versions can influence more sensitive or complex methods beyond an EWAS, 212 we assessed the impact of data versions on the SLCMA results. Here, we identified 372 CpGs in the 213 old data and 664 CpGs in the new data at an FDR<0.05 across all seven adversities, with 52 CpGs 214 overlapping between data versions (Table 1; Fig 3E; Tables S1, S2). The most selected hypotheses for 215 significant CpGs were different between data versions (Fig 3F), as were the adversities with the most 216 hits (Table 1). The old data showed more associations with very early childhood and neighborhood 217 disadvantage, whereas the new data showed more associations with *early childhood* and financial 218 stress. However, significant CpGs generally had the same hypothesis selected across data versions,

with little changes in the CpGs significant in the analyses of both versions (Fig 3G). In addition, top
hits generally showed the same direction of change and similar magnitude between data versions (Fig
3H). These results highlight the brittleness of p-value thresholds, which result in few overlaps between
data versions, despite the general characteristics of these CpGs and their associations being similar
between data versions.

224

#### 225 Analytic versions altered the results from the SLCMA of childhood adversity

226 Finally, we assessed the impact of updates to analytic versions on the results from SLCMA, as per the 227 recommendations of Zhu and colleagues (2020) using only the new data version. We first performed 228 the SLCMA analysis of the childhood adversities with the standard covariates and adjustment strategy 229 but using the selective inference method in the second stage, rather than the covariance test. However, 230 only one CpG was significant at an FDR<0.05 in this analysis. As such, we performed a comparison 231 between the standard analytic version and the fully updated pipeline, which uses FWL correction and 232 updated covariates. We identified 48 CpGs at an FDR<0.05 in this updated analysis, with 44 233 overlapping with results from the original pipeline in the new dataset (Fig 4A; Table S3). The majority 234 of significant CpGs in this new analysis were association with early childhood exposure to family 235 instability, a pattern that differed slightly from the standard version of the analysis in the new data 236 (Table 1; Fig 4B). All significant CpGs between analytic versions showed the same hypothesis 237 selected (Fig 4C). These results suggested that the reduction in power of the selective inference method 238 can potentially be offset by the use of the FWL theorem and that updates to covariates only cause 239 minor changes to the results. We also note that 4 CpGs overlapped between all analyses (old data with 240 standard analysis; new data with standard analysis; new data with updated analysis), representing the 241 associations that survived technical replication across both data and analytic versions (Table S4).

242

#### 243 Sensitivity analyses of prenatal smoke exposure showed similar results to psychosocial exposures 244 To determine whether the impact of data and analytic version changes were limited to psychosocial 245 exposures, we performed secondary analyses of prenatal smoking exposure (supplemental materials). 246 While the EWAS of smoking showed more overlap and consistency between data versions than 247 psychosocial exposures (Fig S1), we again observed differences in terms overall concordance at the 248 level of p-values and magnitude of change. These results suggested that p-value thresholds remain 249 relatively arbitrary, even with "gold-standard" epigenetic associations. Our secondary analysis of 250 prenatal smoking exposure using the SLCMA also found some overlapping CpGs at an FDR<0.05 and 251 major changes to selected hypotheses between data versions (Fig S2). These results further suggest that 252 SLCMA was more sensitive to fluctuations between data versions than EWAS, particularly during the 253 second step of the approach when significance was assessed. We also found few overlaps between the 254 standard and updated analytic versions of the SLCMA of prenatal smoking, suggesting that updates to 255 covariates may have different effects on the results from SLCMA depending on analysis-specific 256 confounding structures, since these effects were not observed with the childhood adversity analyses 257 (Fig S2).

258

# 259 **DISCUSSION**

A major challenge in conducting epigenetic analyses centers around the replicability of findings across cohorts, particularly when standard practices are constantly evolving. In this study, we quantified these differences, showing that even within the same dataset, updates to preprocessing pipelines and analytic frameworks altered the DNA methylation loci that were associated with psychosocial and physical exposures at standard p-value significance thresholds, while the magnitude of differences at these loci tended to remain the same. 266 The major differences between the data versions arose from two main sources: 1) individuals added or 267 removed from the analyses due to preprocessing and withdrawal of consent for certain individuals, and 268 2) changes to the preprocessing pipeline for DNAm data. Although we accounted for this first factor by 269 only analyzing overlapping samples, we found broad differences in both CpG-level and individual-270 level DNAm patterns that must therefore be caused by preprocessing differences. One particularly 271 striking difference was observed at the individual level, wherein the new dataset showed increased 272 variability across individuals due to the use of functional normalization, rather than quantile 273 normalization in the old dataset. Such normalization techniques provide a major technical and 274 conceptual difference in the preprocessing of DNAm data, as quantile normalization assumes that all individual samples have identical distributions of DNAm across the genome <sup>24</sup>. Bulk differences 275 276 between data versions were also apparent at the level of estimated cell-type proportions. Given that cell 277 types are estimated from the DNAm data, they may reflect broader differences between data versions, 278 which may, in turn, broadly influence the results of epigenetic analyses. Overall, no single facet of the 279 data fully reflected the changes between datasets, suggesting that a combination of sample differences 280 and normalization techniques likely leads to different results between versions. 281 As such, it is perhaps unsurprising that updates to data versions resulted in broad changes to the results

282 of both our EWAS and SCLMA of psychosocial exposures. Although these exposures may have 283 subtler effects on the epigenome, we found little reproducibility at the level of p-value thresholds and 284 ranking. By contrast, the magnitude of change between exposed and unexposed individuals was highly 285 reproducible across all CpGs in both types of analyses. For the SLCMA, we also found that hypothesis 286 selection was stable across data versions (i.e., the first stage of SLCMA), but p-values obtained from 287 post-selection inference were different (i.e., the second stage of SLCMA), further highlighting the 288 fragility of inference based on p-values across our analyses. Numerous recent reports have already 289 urged the scientific community to move away from p-values as a measure of significance and

reproducibility since p-values can be less than informative and sometimes misleading <sup>25-28</sup>. In 290 291 particular, the American Statistical Association recently outlined six important principles to avoid the misuse of p-values in scientific analyses <sup>29</sup>. They note that p-values are not a good measure of evidence 292 293 on their own, nor do they measure the size or importance of an effect. Our results show these 294 statements hold true in epigenome-wide analyses. Building from our findings and prior 295 recommendations, we urge researchers to supplement standard analyses (e.g., reporting of p-values) 296 with metrics that provide additional insight into the reproducibility and strength of associations, such as 297 their magnitude and direction of effect, and allow for better understanding of both mean and variance 298 differences within a sample<sup>30</sup>.

299 When we updated the SLCMA analytic version, we observed a not only a loss of p-value significance 300 for several CpGs, but also several new associations. Given that we changed three main factors between 301 analytic versions, there are at least three possible causes for these observed differences. First, selective 302 inference is more stringent than the covariance test, which can produce inappropriately small p-values 303 <sup>3</sup>. This initial difference resulted in a total loss of FDR-significant CpGs, without any changes to the 304 magnitude of associations, thus explaining the reduction in the number of significant CpGs. Second, 305 the application of the FWL theorem alongside selective inference resulted in more FDR-significant 306 CpGs. However, since the FWL theorem improves statistical power without influencing the effect 307 estimates of associations <sup>3</sup>, no new associations should arise from its application in the updated analytic 308 version, which would explain the overlapping FDR-significant CpGs between the standard and updated 309 analytic versions. Thus, the third difference – updates to covariates in the statistical model – is likely 310 responsible for the emergence of four new FDR-significant CpGs in the SLCMA of psychosocial 311 exposures. Although these differences were minor, they reflect the potential effect of moving towards 312 more appropriate covariates in epigenome-wide analyses, such as the use of maternal education rather than occupation-based social class in the ALSPAC cohort. This result is contrasted in the secondary 313

analyses of prenatal smoking, where changes to covariates greatly influenced the results of the
analyses, highlighting that careful consideration of potential confounding is required for different types
of analyses.

317 In contrast to the analyses of psychosocial exposures, the EWAS of prenatal smoking, a physical 318 exposure, was relatively reproducible when using p-value thresholds. This finding was expected 319 considering that cigarette smoke has the most reproduced findings from epigenome-wide studies <sup>31,32</sup>. 320 However, the overall ranking and overlap of CpGs beyond FDR-significance remained relatively low 321 in the EWAS, resulting in similar levels as psychosocial exposures across the top 5,000 CpGs. These 322 results could potentially highlight the mechanisms by which such exposures become biologically 323 embedded. Whereas smoking exposure has not just well defined, but also targeted cellular processes 324 (i.e., implicated pathways that clear toxins from the organism), psychosocial exposures may have more 325 systemic influences, impacting a broader set of CpGs with smaller effects <sup>33,34</sup>. In addition, it is 326 possible that psychosocial exposures may be have greater influences in central nervous system, rather 327 than peripheral tissues, resulting in more moderate signals from blood samples <sup>35</sup>. Of note, SLCMA 328 analyses of smoking were not well reproduced across data and analytic versions. Although these results 329 may be due to a variety of factors, a potential explanation is that smoking may not be a time-dependent 330 exposure. Life course modeling approaches lose power when hypotheses are highly correlated, 331 reducing their ability to make statistical inferences <sup>16</sup>. As such, these broad differences between 332 versions may indicate that the SCLMA is not appropriate for an exposure such as prenatal smoking, 333 which may influence epigenetic patterns equally throughout development.

334

The inevitable fluctuations in epigenome-wide associations highlight the importance of tracking data and analytic versions across epigenetic analyses to improve both the reproducibility and replicability of findings. As a field, we should endeavor to use the most up-to-date data versions and analytic models

338 before performing analyses. This approach is particularly relevant for subtler exposures, such as 339 childhood adversity, where the epigenetic signal may require more nuanced methods due to limited 340 sample sizes. Our investigation has shown the benefit of comparing data and analytic versions in a 341 stepwise manner (i.e. that the observed differences in results can be explained step by step). Moving 342 beyond p-values as a single metric for significance appears to be a necessary first step towards replicability, but p-values remain an important feature of biomedical research <sup>28</sup>. We propose that 343 344 researchers consistently report the magnitude and direction of effects alongside p-values to provide 345 insight into their findings. Furthermore, as CpGs tend to be highly correlated, nuanced approaches that 346 go beyond statistical and effect size cutoffs can be used to gain broader insight into the biological 347 mechanisms influenced by a given exposure or disease. Such methods include those assessing differentially methylated or co-methylated regions <sup>36,37</sup>, or genome-wide effects, such as WGCNA and 348 349 other network analyses <sup>38</sup>.

350

#### 351 CONCLUSIONS

Changes to both data and analytic versions do impact results derived from epigenome-wide studies using both traditional and more nuanced methods. As differences not only depend on the robustness of associations, but also nuances and complexities of the analyses, our results highlight the challenges in making direct comparisons between and within datasets, stressing the importance of transparency in reporting these differences.

357

#### 358 ACKNOWLEDGMENTS

This work was supported by the National Institute of Mental Health of the National Institutes of Health (grant number R01MH113930 awarded to ECD). The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. Dr. Dunn and

363	families who took	part in the ALSPAC st	dy, the midwive	s for their help	p in recruiting	g them, and the
-----	-------------------	-----------------------	-----------------	------------------	-----------------	-----------------

- 364 whole ALSPAC team, which includes interviewers, computer and laboratory technicians, clerical
- 365 workers, research scientists, volunteers, managers, receptionists and nurses. The UK Medical Research
- 366 Council and Wellcome (Grant ref: 217065/Z/19/Z) and the University of Bristol provide core support
- 367 for ALSPAC. A comprehensive list of grants funding is available on the ALSPAC website
- 368 (http://www.bristol.ac.uk/alspac/external/documents/grant-acknowledgements.pdf); This research was
- 369 specifically funded by grants from the BBSRC (BBI025751/1; BB/I025263/1), IEU
- 370 (MC\_UU\_12013/1; MC\_UU\_12013/2; MC\_UU\_12013/8), National Institute of Child and Human
- 371 Development (R01HD068437), NIH (5R01AI121226-02), and CONTAMED EU (212502). This
- 372 publication is the work of the authors, whom will serve as guarantors for the contents of this paper.
- 373 Dr. Walton is funded by CLOSER, whose mission is to maximise the use, value and impact of
- 374 longitudinal studies. CLOSER was funded by the Economic and Social Research Council (ESRC) and
- the Medical Research Council (MRC) between 2012 and 2017. Its initial five-year grant has since been
- 376 extended to March 2021 by the ESRC (grant reference: ES/K000357/1). The funders took no role in the
- design, execution, analysis or interpretation of the data or in the writing up of the findings.
- 378 <u>www.closer.ac.uk</u>. Dr. Walton is also supported by the European Union's Horizon 2020 research and
- 379 innovation programme (grant nº 848158).

#### 380 **Disclosure statement**

- 381 The authors report no conflict of interest.
- 382

#### **383 REFERENCES**

- Petronis, A. Epigenetics as a unifying principle in the aetiology of complex traits and diseases.
   *Nature* 465, 721-727, doi:10.1038/nature09230 (2010).
- Boyce, W. T. & Kobor, M. S. Development and the epigenome: the 'synapse' of geneenvironment interplay. *Dev Sci* 18, 1-23, doi:10.1111/desc.12282 (2015).

- 388 3 Zhu, Y. et al. A Structured Approach to Evaluating Life Course Hypotheses: Moving Beyond 389 Analyses of Exposed Versus Unexposed in the Omics Context. Am. J. Epidemiol., 390 doi:10.1093/aje/kwaa246 (2020). 391 4 Mishra, G. et al. A structured approach to modelling the effects of binary exposure variables 392 over the life course. Int. J. Epidemiol., doi:10.1093/ije/dyn229 (2009). 393 Dunn, E. C. et al. Sensitive Periods for the Effect of Childhood Adversity on DNA 5 394 Methylation: Results From a Prospective, Longitudinal Study. Biol. Psychiatry, 395 doi:10.1016/j.biopsych.2018.12.023 (2019). 396 6 Richmond, R. C., Suderman, M., Langdon, R., Relton, C. L. & Davey Smith, G. DNA 397 methylation as a marker for prenatal smoke exposure in adults. Int. J. Epidemiol. 47, 1120-398 1130, doi:10.1093/ije/dyy091 (2018). 399 Fraser, A. et al. Cohort Profile: the Avon Longitudinal Study of Parents and Children: ALSPAC 7 400 mothers cohort. Int. J. Epidemiol. 42, 97-110, doi:10.1093/ije/dys066 (2013). Boyd, A. et al. Cohort Profile: the 'children of the 90s'--the index offspring of the Avon 401 8 402 Longitudinal Study of Parents and Children. Int. J. Epidemiol. 42, 111-127, 403 doi:10.1093/ije/dys064 (2013). 404 9 Relton, C. L. et al. Data resource profile: Accessible resource for integrated epigenomic studies 405 (ARIES). Int. J. Epidemiol., doi:10.1093/ije/dyv072 (2015). 406 10 Touleimat, N. & Tost, J. Complete pipeline for Infinium® Human Methylation 450K BeadChip 407 data processing using subset quantile normalization for accurate DNA methylation estimation. 408 Epigenomics 4, 325-341, doi:10.2217/epi.12.21 (2012). 409 11 Min, J. L., Hemani, G., Davey Smith, G., Relton, C. & Suderman, M. Meffil: efficient 410 normalization and analysis of very large DNA methylation datasets. *Bioinformatics (Oxford,* 411 England) 34, 3983-3989, doi:10.1093/bioinformatics/bty476 (2018).
- 412 12 Smyth, G. K. in *Bioinformatics and Computational Biology Solutions Using R and*413 *Bioconductor* (eds Robert Gentleman *et al.*) 397-420 (2005).
- Houseman, E. A., Molitor, J. & Marsit, C. J. Reference-free cell mixture adjustments in analysis
  of DNA methylation data. *Bioinformatics* **30**, doi:10.1093/bioinformatics/btu029 (2014).
- 416 14 Benjamini, Y. & Hochberg, Y. Controlling the False Discovery Rate: A Practical and Powerful
  417 Approach to Multiple Testing. *Journal of the Royal Statistical Society. Series B*
- 418 (Methodological) 57, 289 300, doi:10.2307/2346101 (1995).
- Smith, A. D. A. C. *et al.* A structured approach to hypotheses involving continuous exposures
  over the life course. *Int. J. Epidemiol.*, doi:10.1093/ije/dyw164 (2016).

- 421 16 Smith, A. D. A. C. *et al.* Model Selection of the Effect of Binary Exposures over the Life
  422 Course. *Epidemiology*, doi:10.1097/EDE.0000000000348 (2015).
- Dunn, E. C. *et al.* What life course theoretical models best explain the relationship between
  exposure to childhood adversity and psychopathology symptoms: Recency, accumulation, or
  sensitive periods? *Psychol. Med.*, doi:10.1017/S0033291718000181 (2018).
- Lockhart, R., Taylor, J., Tibshirani, R. J. & Tibshirani, R. A significance test for the lasso. *Ann. Statist.* 42, 413-468, doi:10.1214/13-AOS1175 (2014).
- Tibshirani, R. J., Taylor, J., Lockhart, R. & Tibshirani, R. Exact Post-Selection Inference for
  Sequential Regression Procedures. *Journal of the American Statistical Association* 111, 600620, doi:10.1080/01621459.2015.1108848 (2016).
- 431 20 Frisch, R. & Waugh, V. F. Partial Time Regressions as Compared with Individual Trends.
  432 *Econometrica*, doi:10.2307/1907330 (1933).
- 433 21 Yamada, H. The Frisch–Waugh–Lovell theorem for the lasso and the ridge regression.
- 434 *Communications in Statistics Theory and Methods* **46**, 10897-10902,
- 435 doi:10.1080/03610926.2016.1252403 (2017).
- Alfano, R. *et al.* Socioeconomic position during pregnancy and DNA methylation signatures at
  three stages across early life: epigenome-wide association studies in the ALSPAC birth cohort. *Int. J. Epidemiol.* 48, 30-44, doi:10.1093/ije/dyy259 (2019).
- Kramer, M. S., Séguin, L., Lydon, J. & Goulet, L. Socio-economic disparities in pregnancy
  outcome: why do the poor fare so poorly? *Paediatr. Perinat. Epidemiol.* 14, 194-210,
- 441 doi:<u>https://doi.org/10.1046/j.1365-3016.2000.00266.x</u> (2000).
- Wu, Z. & Aryee, M. J. Subset quantile normalization using negative control features. *Journal of computational biology : a journal of computational molecular cell biology* 17, 1385-1395,
  doi:10.1089/cmb.2010.0049 (2010).
- 445 25 Huak, C. Y. Are you a p-value worshipper? *Eur J Dent* **3**, 161-164 (2009).
- Jones, D. & Matloff, N. Statistical hypothesis testing in biology: a contradiction in terms. *J. Econ. Entomol.* 79, 1156-1160, doi:10.1093/jee/79.5.1156 (1986).
- Sterne, J. A. & Davey Smith, G. Sifting the evidence-what's wrong with significance tests? *BMJ*(*Clinical research ed.*) 322, 226-231, doi:10.1136/bmj.322.7280.226 (2001).
- Wasserstein, R. L., Schirm, A. L. & Lazar, N. A. Moving to a World Beyond "p < 0.05". *The American Statistician* 73, 1-19, doi:10.1080/00031305.2019.1583913 (2019).
- Wasserstein, R. L. & Lazar, N. A. The ASA Statement on p-Values: Context, Process, and
  Purpose. *The American Statistician* 70, 129-133, doi:10.1080/00031305.2016.1154108 (2016).

454	30	Staley, J. R. et al. A robust mean and variance test with application to high-dimensional
455		phenotypes. bioRxiv, 2020.2002.2006.926584, doi:10.1101/2020.02.06.926584 (2020).
456	31	Kaur, G., Begum, R., Thota, S. & Batra, S. A systematic review of smoking-related epigenetic
457		alterations. Arch. Toxicol. 93, 2715-2740, doi:10.1007/s00204-019-02562-y (2019).
458	32	Silva, C. P. & Kamens, H. M. No Pagination Specified-No Pagination Specified (American
459		Psychological Association, US, 2020).
460	33	Cecil, C. A. M., Zhang, Y. & Nolte, T. Childhood maltreatment and DNA methylation: A
461		systematic review. Neuroscience & Biobehavioral Reviews 112, 392-409,
462		doi: <u>https://doi.org/10.1016/j.neubiorev.2020.02.019</u> (2020).
463	34	Smith, A. K. et al. DNA extracted from saliva for methylation studies of psychiatric traits:
464		evidence tissue specificity and relatedness to brain. Am. J. Med. Genet. B Neuropsychiatr.
465		Genet. 168b, 36-44, doi:10.1002/ajmg.b.32278 (2015).
466	35	Dudek, K. A., Kaufmann, F. N., Lavoie, O. & Menard, C. Central and peripheral stress-induced
467		epigenetic mechanisms of resilience. Current Opinion in Psychiatry 34 (2021).
468	36	Gatev, E., Gladish, N., Mostafavi, S. & Kobor, M. S. CoMeBack: DNA methylation array data
469		analysis for co-methylated regions. Bioinformatics 36, 2675-2683,
470		doi:10.1093/bioinformatics/btaa049 (2020).
471	37	Peters, T. J. et al. De novo identification of differentially methylated regions in the human
472		genome. Epigenetics & Chromatin 8, 6, doi:10.1186/1756-8935-8-6 (2015).
473	38	Langfelder, P. & Horvath, S. WGCNA: an R package for weighted correlation network
474		analysis. BMC Bioinformatics 9, 559, doi:10.1186/1471-2105-9-559 (2008).

# 476 TABLES

# 477 Table 1. Summary of analyses and significant CpGs

	Data version changes				Analytic version changes	
Analysis details						
Analytic approach	EWAS		SLCMA		SLCMA	
Inference method	Ordinary least squares		Covariance test		Selective inference	
Covariate adjustment	Standard <sup>a</sup>		Standard <sup>a</sup>		Standard <sup>a</sup>	FWL <sup>b</sup>
Data version	Old	New	Old New		New	
Adversity hits <sup>c</sup>						
Abuse (sexual or physical)	1	0	66	35	0	2
Financial stress	0	5	75	294	0	2
Family instability	0	0	25	225	0	43
Maternal psychopathology	0	0	31	73	0	0
Neighborhood disadvantage	0	0	129	20	0	0
One adult household	0	0	28	7	0	0
Parental cruelty	0	0	18	10	1	1

<sup>a</sup> Covariate adjustment was performed using standard methods.

<sup>b</sup> Frisch-Waugh-Lovell (FWL) theorem applied for covariate adjustment and socioeconomic position replaced

with maternal education.

<sup>c</sup>Number of associated CpGs at a false-discovery rate <0.05.

Step 1. Characterizing old vs new data differences A. CpG-level B. Individual-level
Step 2. EWAS of childhood adversity A. Old data B. New data
Step 3. SLCMA of childhood adversity (covariance test) A. Old data B. New data
<ul> <li>Step 4. SLCMA of childhood adversity (new data)</li> <li>A. Covariance test</li> <li>B. Selective inference</li> <li>C. Selective inference, FWL, new covariates</li> </ul>
<ul> <li>Step 5. Analyses of prenatal smoking</li> <li>A. EWAS from step 2 (data version changes)</li> <li>B. SLCMA from step 3 (data version changes)</li> <li>C. SLCMA from step 4 (analytic version changes)</li> </ul>

**Figure 1. Overview of analyses.** Steps 1-3 outline the impact of data version differences. Step 4 outlines the effect of analytic version differences. Here, childhood adversity refers to the seven different types of adversity that were assessed in these analyses. Step 5 outlines the sensitivity analyses of exposure to maternal smoking during gestation, which performed like steps 2-4. \*FWL = Frisch-Waugh-Lovell theorem (covariate adjustment methods).



Figure 2. Differences between data versions of the ARIES cohort.

A) 948 participants overlapped between versions of the data. The new dataset had slightly less probes due to filtering procedures.

**B**) Both the old and the new data showed typical bimodal distributions. However, the density of genome-wide DNA methylation was shifted towards the left in the new data, suggesting that the setpoint of hypermethylated CpGs was lower in the new data.

C) Mean values for each CpG were shifted towards more middling values in the new data.

**D)** The standard deviation (SD) of each CpG was generally higher in the old data. 300,839 CpGs had higher variability in the old data (dark grey) and 182,016 CpGs had higher variability in the new data (light grey).

**E)** Individual-level mean DNA methylation (across all CpGs) varied substantially between data versions. The new data were highly variable, whereas the old data showed no variability between participants.

**F)** Individual-level DNAm data were generally highly correlated between data versions (r=0.98, red line), with no clear biases detected for specific chromosomes.

**G)** Individual-level DNAm from specific genomic regions were generally highly correlated between data versions (r=0.98, red line). However, CpGs located in 3'UTRs showed slightly lower correlations between datasets.

**H)** Estimated cell type proportions showed slight differences between the old and new datasets (differences were calculated by subtracting old data proportions from new data proportions).





A) Overlap of the hits at FDR<0.05 between the old and new data for all seven different EWAS of childhood adversity.

**B)** Few CpGs overlapped between the old and new data versions at different p-value rank thresholds (top 10, 50, 100, 1000, 5000, and 50000 CpGs ranked by p-value).

**C)** The Spearman's rank correlation between CpGs (in old versus new data) that overlapped at a given rank (i.e., top N CpGs ordered by p-value) was relatively low across both data versions.

**D**) The direction of DNAm differences between exposed/unexposed groups was generally consistent across overlapping CpGs at a given rank (i.e., top CpGs ranked by p-value).

E) Overlap of the hits at FDR<0.05 between the old and new data for all seven different SLCMA of childhood adversity.

F) Both the hypotheses selected most frequently, and the adversities identified as having the most hits varied between data versions with the SLCMA for CpGs significant at FDR< 0.05.

**G)** The selected hypothesis from all top hits (shown in E) were generally consistent across data versions. Each line depicted corresponds to a specific CpG and shows whether its selected hypothesis differs between analyses.

**H)** The difference in DNAm values between exposed and unexposed participants across all top SLCMA hits from E was generally consistent between data versions, regardless of statistical significance. Only shown here are the CpGs associated with sensitive period hypotheses, as a the difference between exposed and unexposed individuals is not calculated for the accumulation and recency hypotheses.

\*Maternal psych = maternal psychopathology; Neighborhood dis = neighborhood disadvantage.



# Figure 4. Updates to analytic versions change the results of SLCMA.

A) Overlap of the hits at FDR<0.05 for all seven different SLCMA of adversity between the standard and updated analytic versions (analyses performed with the new data).

**B**) The pattern of hypotheses selected were similar across both analytic versions, though not all adversities had statistically significant associations in the updated analytic version.

C) The hypothesis selected across all significant CpGs from A was consistent across analytic versions.

\*Maternal psych = maternal psychopathology; Neighborhood dis = neighborhood disadvantage.