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3 **Minimising embodied carbon in reinforced concrete beams**

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Abstract

The construction industry has received attention due to its significant contribution to global carbon emissions. In this paper, conventional design and construction practices of reinforced concrete beams are scrutinised to explore the potential for reductions in embodied carbon. For a given set of design criteria, a family of discrete beam designs which have different geometries and corresponding reinforcements were developed to identify those with minimum embodied carbon. Two algorithms for shape optimisation were developed, one to identify the geometry of the theoretical optimum design, and another considering technical and construction feasibility. Prismatic beams were also optimised exploring alternative designs with different depths and widths along with the required reinforcements, for a reasonable comparison. Several cases were studied to understand the effect of different design parameters. Different design criteria suggested different geometries to minimise embodied carbon, even if the design span was the same. The importance of minimising web width was seen throughout the analysis. The expected deflection of each design was also estimated to understand the effect of optimisation on serviceability performance and found to be satisfactory in all the cases. Embodied carbon of beams can be reduced by up to 38% by optimising prismatic beams compared with conventional designs. Further savings up to 8% are possible with a feasible shape optimised design compared with optimised prismatic beams.

Keywords: parametric design, reinforced concrete beam design, shape optimisation, embodied carbon, deflection

43 **1 Introduction**

44 The built environment accounts for approximately 40% of global energy consumption
45 and 30% of greenhouse gas emissions, according to United Nations Environment
46 Programme [1]. Thus, assessing the environmental performance of buildings is crucial
47 in aiming at sustainability. Ding [2], Ortiz et al. [3], Pomponi and Moncaster [4] and
48 Sharma et al. [5] discussed the methods of measuring the environmental performance
49 of the buildings by analysing different phases of life for their energy consumption and
50 greenhouse gas emissions, while EN 15978 [6] specifies a calculation method.
51 Referring to EN 15978 [6], RICS [7] identifies operational emissions as the result of
52 energy consumption in the day-to-day running of a property whereas embodied
53 emissions as the results from producing, procuring and installing the materials and
54 components of the structure. Since operational carbon is appreciably understood and
55 regulated, Cabeza et al. [8] and Orr et al. [9] suggested that the potential of reducing
56 embodied carbon should be explored equally vigorously in the present context, to
57 reduce whole life carbon emissions of buildings.

58 Reinforced concrete is widely used in the construction industry. Global production of
59 cement which is mainly used for concrete is around 4.1 gigatonnes [10], being
60 responsible for 5-6% of global carbon emissions [11]. Dimoudi and Tompa [12] and
61 Luo et al. [13] showed that concrete and steel are responsible for 65-75% of total
62 embodied carbon in buildings. Furthermore, Sansom and Pope [14], and Foraboschi et
63 al. [15] identified floor systems were responsible for a share of up to 75% of the overall
64 embodied carbon of the superstructure. Therefore, this paper will explore the
65 possibilities of reducing the embodied carbon of reinforced concrete floor beams.

66 Prismatic structural members with a uniform longitudinal and transverse reinforcement
67 have the same flexural and shear capacity throughout the member. Such sections are

68 underutilised in several places, implying the potential of reducing material
69 consumption. Shape optimisation is a proven strategy to reduce material usage by
70 providing the necessary amount of material in the right places. Hawkins et al. [16]
71 showed that shape optimisation using flexible formwork can reduce concrete
72 consumption of beams up to 44%. Thus, this study utilises the concept of shape
73 optimisation to minimise embodied carbon of concrete beams.

74 For a given set of design criteria for a building, there exists a range of viable and safe
75 structural designs that have different grids, element sizes, steel reinforcement design,
76 and even geometries. From the perspective of optimisation, such alternative designs
77 can be analysed to seek the design with minimum possible embodied carbon. For
78 example, if a steel-reinforced concrete beam of a specified span is to be designed to
79 withstand a specified load envelope, there are multiple arrangements of concrete and
80 steel that will satisfy the requirements. Existing design guidelines such as IStructE
81 Design Manual [17] and Concrete Buildings Scheme Design Manual [18] offer span to
82 depth ratios as the starting point for the design process. This paper examines how
83 parametric design could be used to update these starting points to support reductions
84 in embodied carbon in new designs.

85 According to Orr et al. [19], the depth profiles of the shape optimised beams can be
86 developed considering the flexural performance, and the width profile can be
87 developed considering shear performance. Some adjustments to the depth profile
88 might be required to incorporate shear capacity. However, there might exist more
89 optimal shapes by trading off the width and depth near the ends of the beams in terms
90 of environmental performance. Thus, shape optimisation was considered as a
91 parametric exploration in this study to understand whether the embodied carbon could
92 be further reduced. Therefore, this paper explores the possibility to reduce the

93 embodied carbon of reinforced concrete floor beams through parametric design
94 exploration coupled with shape optimisation.

95

96 **2 Literature review**

97 Considering the different phases of the lifecycle of building materials, Embodied
98 Carbon of buildings can be reduced by adopting low carbon materials, material
99 minimisation strategies, construction optimisation strategies, local sourcing of
100 materials, material reuse and recycling strategies as shown by Akbarnezhad and Xiao
101 [20], Lupíšek et al. [21], Birgisdottir et al. [22], and International Energy Agency [23].
102 This study focuses on material minimisation through developing design alternatives.
103 Miller et al. [24], Zeitz et al. [25], Nadoushani and Akbarnezhad [26], Foraboschi et al.
104 [15], and Sahab et al. [27] have successfully illustrated the potential of reducing
105 embodied carbon of buildings by developing design alternatives varying structural form
106 of the building, floor system, reinforcing technique and layout. Going to the next step of
107 optimisation, this study focuses on the reduction in embodied carbon of structural
108 elements by minimising resource usage through understanding the potential trade-off
109 between the choice of the amount of concrete and the reinforcement.

110 Different researchers have attempted to explore the possibility to reduce the embodied
111 carbon of structural members through developing a range of design solutions. Due to
112 the similarity of the principles, attempts to optimise either cost or embodied energy are
113 also considered in this review. Camp et al. [28], Lee and Ahn [29], and Leps and
114 Sejnoha [30] used genetic algorithms to optimise steel-reinforced concrete frames or
115 beams by varying reinforcement arrangement and sectional dimensions. With the
116 proven savings of around 25-36%, their studies confirm that understanding the trade-
117 off between sectional dimensions and reinforcement may be a promising approach to

118 optimise embodied carbon of concrete members. Kwan et al. [31] optimised two-way
119 span slabs for different span lengths by varying the slab thickness, the grade of
120 concrete, and the amount and strength of reinforcement using genetic algorithms. They
121 observed that the designs with optimum carbon had less concrete and more low
122 strength steel than a conventional design, but the optimum designs were dominated by
123 limiting slab thickness. This further certifies the importance of understanding the trade-
124 off between the amount of steel and concrete chosen in a design. Perea et al. [32]
125 optimised reinforced concrete bridge frames through heuristic optimisation and
126 observed that the optimum designs are governed by the serviceability criteria.
127 Therefore, it is required to assess the serviceability of each discrete design in this study
128 in the optimisation process. Yeo and Gabbai [33] parametrically varied the geometry of
129 and amount of reinforcement in beams to identify optimum and observed a parabolic
130 relationship between depth and embodied carbon, supporting the viability of parametric
131 design approach for optimisation. The above studies adhered to selected existing
132 design codes for the design limitations even if they designed the structural elements
133 with varying dimensions and reinforcement configurations.

134 Several researchers have reduced the concrete consumption of beams using different
135 design and construction techniques. Xie and Steven [34], Huang and Xie [35], Huang
136 et al. [36], Bendose and Kikuchi [37], Jantos et al. [38], and Gaganelis et al. [39]
137 researched algorithms for topology optimisation which can reduce material
138 consumption by changing the geometry and forming voids. Jewett and Carstensen [40]
139 successfully tested a topology optimised beam with a CNC cut Styrofoam mould
140 reducing concrete usage by 50%. Vantighem et al. [41] 3D printed a topology
141 optimised post-tensioned beam using 20% less concrete. Veenendaal et al.[42],
142 Garbett et al. [43] and Orr et al. [19,44] used flexible fabric formwork to cast shape
143 optimised reinforced concrete beams reducing concrete usage up to 58%, 55% and

144 40% respectively. Apart from the minimised concrete consumption, Hawkins et al. [16]
145 identified additional benefits of fabric formwork such as improved durability, textured
146 surface finish, and reduced weight of formwork due to permeable fabric formwork.
147 Therefore, this paper studies the shape optimisation of beams, further exploring the
148 design aspect from a parametric point of view.

149 Though embodied carbon has been used as a popular assessment method for
150 environmental performance, it has some degree of uncertainty. Hammond and Jones
151 [45] suggested that embodied carbon coefficients should be generally considered
152 tentative. Omar et al. [46] and Dixit et al. [47] illustrated that embodied carbon
153 coefficients can be geographically and temporally inconsistent. Furthermore, Oh et al.
154 [48] pointed out that adhering to present databases may not be a solution for
155 sustainable design due to extreme inconsistencies in the present literature. As an
156 example, the average embodied carbon of C 28/35 concrete is 0.126 kgCO₂e/kg as per
157 The Inventory of Carbon and Energy [49]. The value can be increased to 0.136
158 kgCO₂e/kg when only CEM I is used or decreased to 0.099 kgCO₂e/kg when fly ash is
159 used for 40%. While the world average embodied carbon of steel rebar is 1.99
160 kgCO₂e/kg, using 85% recycled steel will reduce the coefficient to 1.20 kgCO₂e/kg.
161 Furthermore, the reports by Energy Transitions Commission [50] and Material
162 Economics [51] highlight the possibilities of decarbonising the steel industry. Therefore,
163 this study illustrates how sensitive the optimum designs are to carbon coefficients as
164 well.

165 Design codes such as EN 1992-1-1 [52] and ACI 318 [53] require Ultimate Limit State
166 and Serviceability Limit State to be considered in the reinforced concrete design to
167 provide functional structures. Those codes of practice often offer span/depth ratios as
168 the starting points of the design to tackle deflection conservatively. Further, the design
169 codes impose limits to allowable deflection as a predefined fraction of span. Different

170 researchers have questioned those conventions considering both the design approach
171 and the design limit. Stewart [54] developed a probabilistic model for deflection of
172 reinforced concrete beams sized according to span/depth ratios and proved that the
173 probabilities of serviceability failures are not consistent. Vollum and Hossain [55]
174 conducted a series of parametric studies and concluded that there is scope to reduce
175 slab thicknesses below some conventional guidelines. Further, Orr et al. [56] presented
176 findings of a survey of the structural engineering design profession which showed that
177 47% of respondents were comfortable in allowing the deflection to exceed the design
178 deflection limit for a few minutes per week or more, even if the limiting deflection of
179 beams and slabs are prescribed by different design guidelines. Therefore, it is rational
180 to estimate the deflections with structural mechanics-based calculations in this study
181 for each design and evaluate how the optimisation process can affect serviceability.

182

183 **3 Objective**

184 In this study, the approach for the design and construction of concrete beams passively
185 reinforced with steel is revisited to reduce embodied carbon. Exhaustive parametric
186 design together with shape optimisation is used to explore the design space against
187 the conventional design of prismatic beams. Parametric design in this context refers to
188 the design of a set of beams which have different shapes and corresponding amounts
189 of reinforcement in longitudinal and transverse directions to comply with a specified set
190 of design criteria. The intention is to identify the combination of beam geometry and the
191 amount of reinforcement which provides enough capacity with the lowest embodied
192 carbon. Optimisation algorithms are developed to obtain the designs with theoretical
193 optimum and feasible optimum. Prismatic beams are also optimised to facilitate a fair
194 understanding of the benefit of shape optimisation. Since conventional span/depth

195 ratios are not considered as the starting point of the design process, the deflection of
196 each design is estimated and compared against prescribed benchmarks.

197

198 **4 Methodology**

199 To illustrate the effect of the parametric design approach and shape optimisation, a set
200 of shape optimised beams and a set of prismatic beams were designed to withstand a
201 specified structural requirement. Embodied carbon of all the designs was calculated to
202 identify the design with the minimum environmental impact. Deflections of each design
203 were also estimated. For the sake of simplicity, simply supported reinforced concrete
204 single-spanning flanged floor beams with loadings corresponding to a general office
205 building were analysed in this study. Due to the repetitive nature of the parametric
206 design calculations, MATLAB programmes were developed for designing the beams.

207 **4.1 Design Criteria**

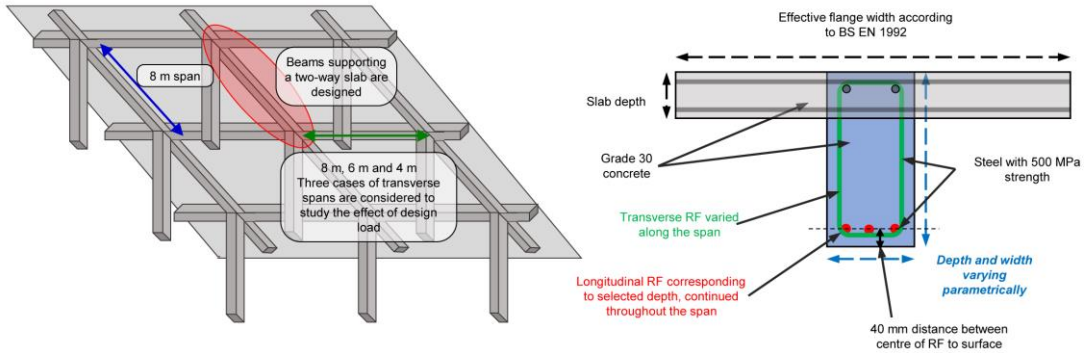
208 One-way-spanning T-beams between columns, which support a two-way-spanning
209 slab around its edges were studied in this paper. The beams were designed
210 considering flexural and shear performance while the resulting designs were assessed
211 for deflection. The following design criteria were considered for both prismatic and
212 shape optimised beams (Figure 1 and Table 1).

- 213 • Three sets of design criteria were studied, namely, 8m span simply supported
214 beams in grids of 8m×8m, 8m×6m and 8m×4m, aiming to study the effect on
215 the optimum design from the design load. This selection of the grids captures a
216 range of possible design loads for 8 m span beams within the borderlines of
217 one-way spanning and two-way spanning slabs. Furthermore, the grid choices
218 can be justified considering the possibility to provide recommended window to

219 core spacings (6-13.5 m for deep plans) with a comfortable aspect ratio,
220 referring to British Council for Offices [57].

- 221 • The loadings and factors of safety were considered for a general office building
222 referring to EN 1992-1-1 [52], EN 1990 [58] and IStructE design manual [17].
223 The load transferred to the beams as uniformly distributed loads was then
224 assessed.
- 225 • The adjacent two-way slabs were designed according to Concrete Buildings
226 Scheme Design Manual [18], resulting in overall flange depths of 200 mm, 160
227 mm and 120 mm for the grids of 8 m × 8 m, 8 m × 6 m and 8 m × 4 m
228 respectively.
- 229 • The effective flange widths were estimated according to EN 1992-1-1 [52]
- 230 • To keep a provision of cover, the distance from the outer surface to the centre
231 of the bottom reinforcement was selected as 40 mm for all the cases (The cover
232 according to EN 1992-1-1 [52] was 15 mm for conditions of a general office
233 building. The recommended deviation is 10 mm resulting in the nominal cover
234 being 25 mm. The provision kept for shear links and longitudinal reinforcement
235 is 15 mm).
- 236 • The amount of longitudinal and transverse reinforcement was assumed to be a
237 continuous variable - the error of not selecting the amount of reinforcement from
238 discrete sets of available bar sizes gives a difference of less than 3% in all
239 cases.
- 240 • C 30/37 concrete (compressive cylinder strength of 30 MPa and elastic
241 modulus of 33 GPa according to EN 1992-1-1 [52]) and steel reinforcement
242 (with a tensile yield strength of 500 MPa and elastic modulus of 200 GPa) were
243 used in all cases.

244



245

246 **Figure 1. Design criteria of the beams**

247 **Table 1. Design details for different load cases**

	Load Case 1 (C1)	Load Case 2 (C2)	Load Case 3 (C3)
Grid Size	8 m x 8 m	8 m x 6 m	8 m x 4 m
Slab depth	200 mm	160 mm	120 mm
Flange width	2240 mm	2240 mm	1860 mm
Self-weight of slab (Gk)	5 kN/m ²	4 kN/m ²	3 kN/m ²
Finishes + Services (Gk)	1.8 + 0.5 kN/m ²	1.8 + 0.5 kN/m ²	1.8 + 0.5 kN/m ²
Partitions + Imposed (Qk)	1.0 + 2.5 kN/m ²	1.0 + 2.5 kN/m ²	1.0 + 2.5 kN/m ²
Load transfer coefficient from slabs to beam (n for $v_{sx} = \beta_{vx} \cdot n \cdot l_x$ according to [18])	0.33	0.41	0.5
Design load for ULS (1.35Gk + 1.5Qk)	79.75 kN/m	67.67 kN/m	49.62 kN/m

Design Load for SLS (Quasi-permanent deflection - Gk + 0.3Qk) for	44.09 kN/m	36.16 kN/m	25.40 kN/m
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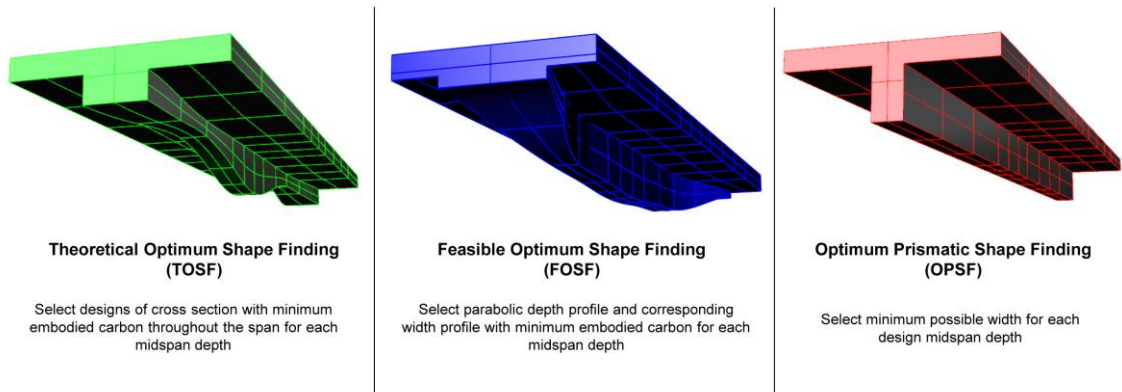
249 The longitudinal reinforcement for a selected depth was designed to satisfy the
250 Ultimate Limit State flexural criterion, following EN 1992-1-1 [52]. Since the critical
251 location for bending moment in a simply supported beam carrying uniformly distributed
252 load is at mid-span, this is the defining location to calculate the amount of longitudinal
253 reinforcement, and the same amount was continued throughout the span. All the cases
254 are verified to be within the recommended maximum and minimum amounts of
255 reinforcements stated in EN 1992-1-1 [52]. If the required amount of reinforcement
256 exceeds the maximum reinforcement, the selected geometry was considered
257 structurally unfeasible. The same amount of longitudinal reinforcement was given
258 throughout the beam.

259 Transverse reinforcement was provided to resist shear which was designed using the
260 variable truss analogy, following EN 1992-1-1 [52] and IStructE Design Manual [17].
261 The reinforcement was calculated for 11 sections throughout the span, to provide only
262 what is required. The required amount was designed to adopt a strut angle of 22° to the
263 horizontal wherever feasible. The minimum recommended reinforcement was provided
264 where the design shear links were unnecessary. The designs in which concrete strut
265 failed were considered unfeasible. The contribution from the flange to the shear
266 capacity has not been considered in this study to be conservative according to EN
267 1992-1-1 [52,59].

268 Three different optimisation approaches were adopted in this study, namely,
269 Theoretical Optimum Shape Finding (TOSF), Feasible Optimum Shape Finding

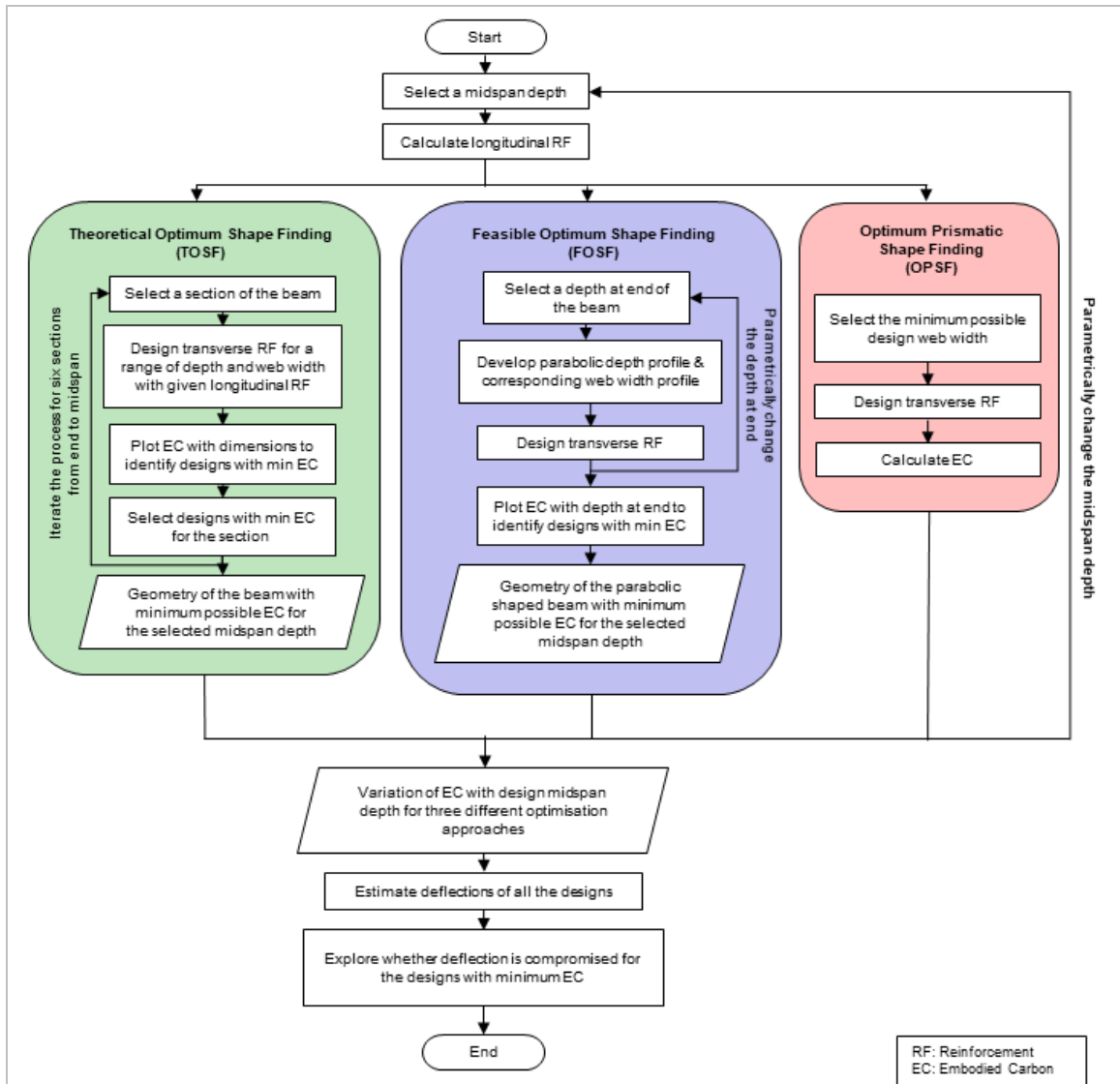
270 (FOSF), and Optimising Prismatic Beams (Figure 2 & 3). In all three cases, series of
271 design solutions were generated for a range of design midspan depths while each
272 design is optimised for embodied carbon as much as possible through three different
273 approaches. Then the optimum midspan depth for each optimisation approach was
274 identified by plotting the variation of embodied carbon with design midspan depth.

275



276

277 **Figure 2. Three different approaches to reduce the embodied carbon of beams**



278

279 **Figure 3. Design flowchart for three different optimisation approaches**

280

281 4.2 Shape Optimisation

282 Each generated design solution had a unique depth at midspan, amount of longitudinal
 283 reinforcement (which was continued throughout the span), depth profile, width profile
 284 and a profile of transverse reinforcement. Though Hawkins et al. [60] mentioned
 285 previous work regarding the natural shape of the beam possible using fabric formwork
 286 due to fluid concrete pressure, such effects were not considered in this study, for

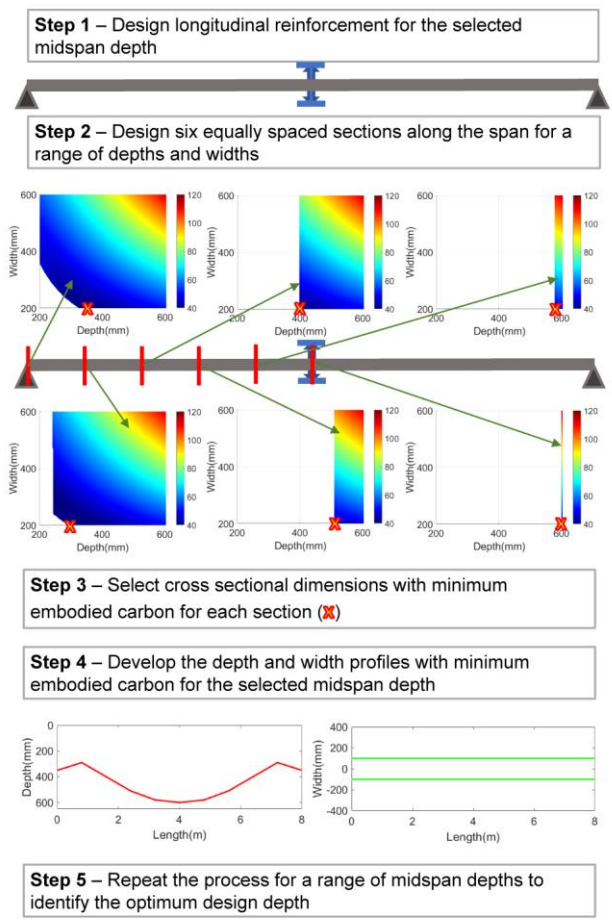
287 simplicity. The depth and the web width of the beam have been subjected to
288 optimisation, keeping the shape of the web at a given section as a rectangle. The
289 approach for optimisation was developed based on findings by Orr et al. [19,61–63],
290 but an extended parametric design which explores the optimum overall depth and the
291 corresponding shape has been introduced here. The self-weight of the web of the
292 beam was not considered for the sake of simplicity, acknowledging the insignificance of
293 the self-weight of the web compared to other loads. The minimum possible width of the
294 beam was limited to 150 mm and 200 mm as two separate cases, following the fire
295 rating of R60 and R90 [17]. As per the recommendations from Orr [63], the apparently
296 beneficial effect of inclined bars in resisting shear was not considered in the designs.

297 The process of optimising the shape was approached in two ways. One was to identify
298 the theoretical shape which results in minimum possible embodied carbon, and the
299 other was to identify the design with minimum possible embodied carbon within the
300 constraints of feasible construction. The motivation was to explore the gap between the
301 theoretical best and the practical best in terms of embodied carbon.

302 **4.2.1 Theoretical Optimum Shape Finding (TOSF)**

303 For a given midspan depth, the shape of the beam with minimum possible embodied
304 carbon was explored by designing several sections along the beam in a parametric
305 design approach. First, the amount of longitudinal reinforcement for the selected
306 midspan depth was calculated. Six sections along the beam from the support to the
307 midspan were designed for a range of depths and widths with the predetermined
308 amount of longitudinal reinforcement, taking the advantage of symmetry in the
309 algorithm. Each selection of cross-sectional dimensions for a given section of the beam
310 was estimated for its flexural capacity with the predetermined longitudinal
311 reinforcement and marked structurally unfeasible if the capacity was less than the

312 applied moment at the section. The amount of transverse reinforcement was estimated
 313 for each section. Then, the variation of the embodied carbon at each section with
 314 design depth and web width was plotted to identify the dimensions which yield the
 315 minimum embodied carbon. The shape of the beam with minimum possible embodied
 316 carbon for the selected design midspan depth was obtained combining outcomes of
 317 such analyses for several sections throughout the beam (Figure 4).



318

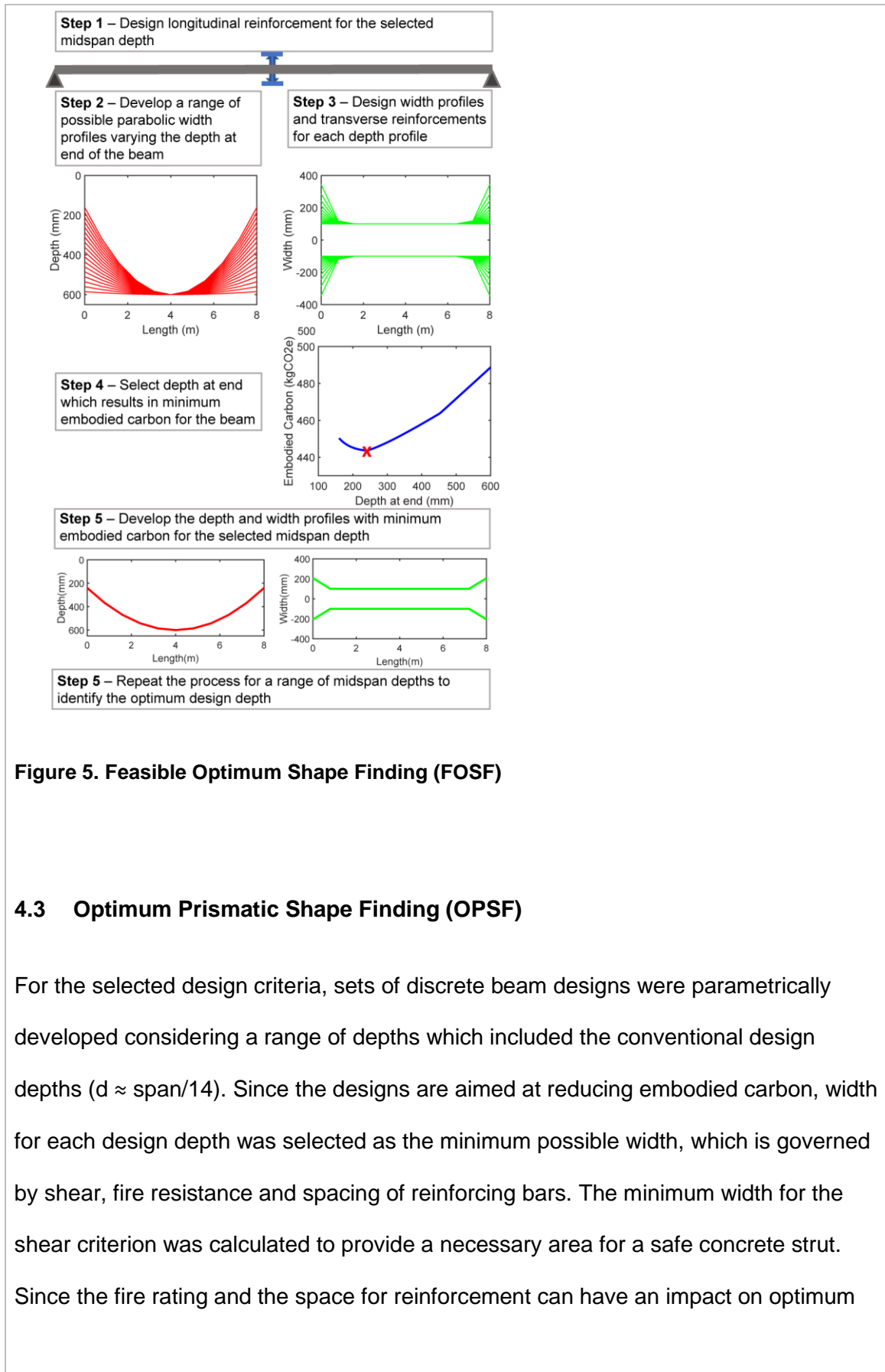
319 **Figure 4. Theoretical Optimum Shape Finding (TOSF)**

320

321 **4.2.2 Feasible Optimum Shape Finding (FOSF)**

322 It is advised to avoid longitudinal reinforcement having both positive and negative
323 curvatures in the design of shape optimised beams since otherwise there will be
324 straightening effects and accompanying vertical forces that add to the shear demand,
325 as shown by Orr et al. [61]. Hence, the design space of shape optimised beams is
326 practically limited to beams with longitudinal reinforcement having a single direction of
327 bar curvature. Therefore, FOSF was focused on finding the configuration of the shape
328 optimised beam with a parabolic depth profile resulting in the minimum possible
329 embodied carbon.

330 For the selected midspan depth, several parabolic depth profiles were generated by
331 varying the depth at the end of the beam. The corresponding profiles of web width
332 were generated based on shear criteria and minimum width due to fire rating. The
333 reinforcement design is like TOSF. The optimum shape for the selected midspan depth
334 was then selected from the generated pool of designs (Figure 5).



335

336 **Figure 5. Feasible Optimum Shape Finding (FOSF)**

337

338 **4.3 Optimum Prismatic Shape Finding (OPSF)**

339 For the selected design criteria, sets of discrete beam designs were parametrically
 340 developed considering a range of depths which included the conventional design
 341 depths ($d \approx \text{span}/14$). Since the designs are aimed at reducing embodied carbon, width
 342 for each design depth was selected as the minimum possible width, which is governed
 343 by shear, fire resistance and spacing of reinforcing bars. The minimum width for the
 344 shear criterion was calculated to provide a necessary area for a safe concrete strut.
 345 Since the fire rating and the space for reinforcement can have an impact on optimum

346 designs, two cases for a minimum width of 150 mm and 200 mm were adopted in this
347 study.

348

349 **4.4 Estimation of deflection**

350 Deflections of all the beams were estimated by double integration of the curvatures
351 along the beam, following Euler–Bernoulli Beam Theory [64]. Simplified stress blocks
352 for concrete and steel was used to calculate curvatures, referring to EN 1992-1-1 [52].
353 Concrete was assumed to behave linearly elastic up to the design strength, and then
354 plastic, in compression while tensile strength was neglected. Steel was also assumed
355 to behave linearly elastic up to the design strength in tension. The stress blocks for
356 several sections were developed by numerically solving the relationships for strain
357 compatibility and equilibrium. Then the curvatures along the beam were numerically
358 integrated twice to obtain the deflection profile.

359 EN 1992-1-1 [52] suggests the calculated sag of a beam under quasi-permanent loads
360 to be less than span/250 for unimpaired appearance and general utility. The quasi-
361 permanent combinations were estimated using $\psi_2=0.3$ for office areas, following EN
362 1990 [58]. The effect of creep was considered to account for long-term deflection.
363 Referring to EN 1992-1-1 [52], a creep coefficient equal to 2.0 was chosen to represent
364 the conditions of a general office building, resulting in an effective modulus of elasticity
365 for the concrete of 11 GPa for the quasi-permanent loads. The estimated deflections
366 for the developed designs were compared with the benchmark of span/250 to illustrate
367 how the serviceability requirement might affect the selection of optimum design.

368

369 **4.5 Estimation of Embodied Carbon**

370 At the end of the analysis, there were prismatic and shape optimised beam designs for
371 three different load cases, designed to satisfy flexure and shear criteria along with the
372 predicted deflections. The Inventory of Carbon and Energy (ICE database) developed
373 at the University of Bath [49] was used to calculate embodied carbon, considering the
374 emissions in the lifecycle phases from A1 to A3 according to EN 15978 [6], i.e. 'cradle
375 to gate'. Considering the designs to be European in the application, embodied carbon
376 of C30/37 concrete and reinforcing steel were considered as 0.132 kgCO₂e/kg and
377 1.20 kgCO₂e/kg respectively. In the calculation of embodied carbon, only the web
378 portion of the members was considered (height of the beam × width of the web),
379 because there was no optimisation considered for the slabs in this study. The top
380 reinforcement of the beam and the reinforcement in the flange were also not included
381 for the same reason. The optimisation of slabs will be looked at in future work.

382 The optimisation algorithms adopted in this study are based on finding an optimum
383 design with minimum embodied carbon by trading off the amounts of steel and
384 concrete. Therefore, the resulting optimum designs are expected to be correlated with
385 the selected embodied carbon coefficients for steel and concrete. A separate study
386 was carried out to understand the effect of selected carbon coefficients on the optimum
387 design. Two additional cases were analysed by increasing and decreasing the carbon
388 coefficient of steel by 50%. The carbon coefficient of concrete is kept constant since
389 the varying coefficient of steel represents the reverse effect of changing the coefficient
390 of concrete. As an example, the impact on the optimum design by increasing the
391 carbon intensity of steel is similar to decreasing the carbon intensity of concrete since
392 both will suggest the optimum to have less concrete and more steel. The choice of the
393 cases is not to represent specific conditions but to generally investigate the effect of
394 the ratio between carbon coefficients of concrete and steel. Load Case C1 and C3 for

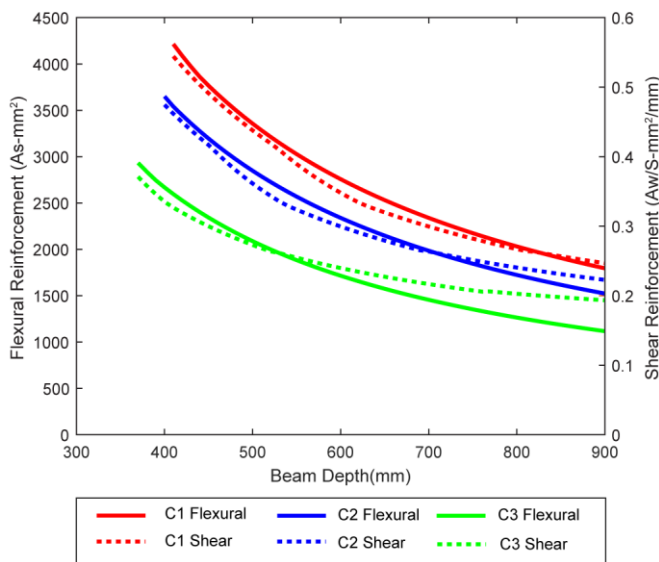
395 limiting the minimum possible width to 200 mm were analysed to observe how the
396 optimum midspan depth varies.

397

398 5 Results

399 5.1 Variation of embodied carbon in the design space

400 The optimisation algorithms considered in this study use design depth and load as
401 independent parameters and required amounts of reinforcements and optimum
402 geometry as dependant variables. Figure 6 shows the variation of flexural and average
403 shear reinforcements for OPSF for three different load cases.

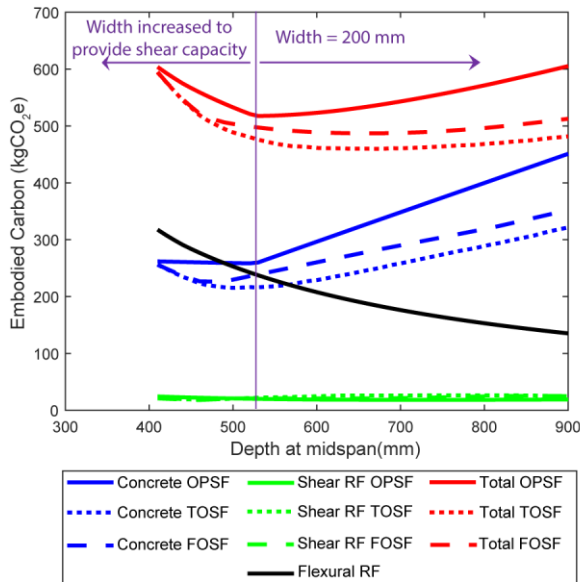


404

405 **Figure 6. Variation of flexural and shear reinforcement with design beam depth for three**
406 **load cases for OPSF**

407 Figure 7 presents the variation of embodied carbon with midspan depth for all three
408 optimisation strategies for Load Case C1, breaking down the composition into the
409 contribution from concrete, flexural reinforcement and shear reinforcement. While the
410 amount of flexural reinforcement is unchanged for three optimisation approaches for a

411 given midspan depth, saving of embodied carbon is mainly associated with reducing
 412 concrete consumption. The volumes of shear reinforcement were calculated assuming
 413 rectangular shear links. Therefore, shape optimisation suggested an increase in the
 414 density of shear links while changing the shape of the links. The resulting increase in
 415 shear reinforcement has an unnoticeable effect on overall embodied carbon.

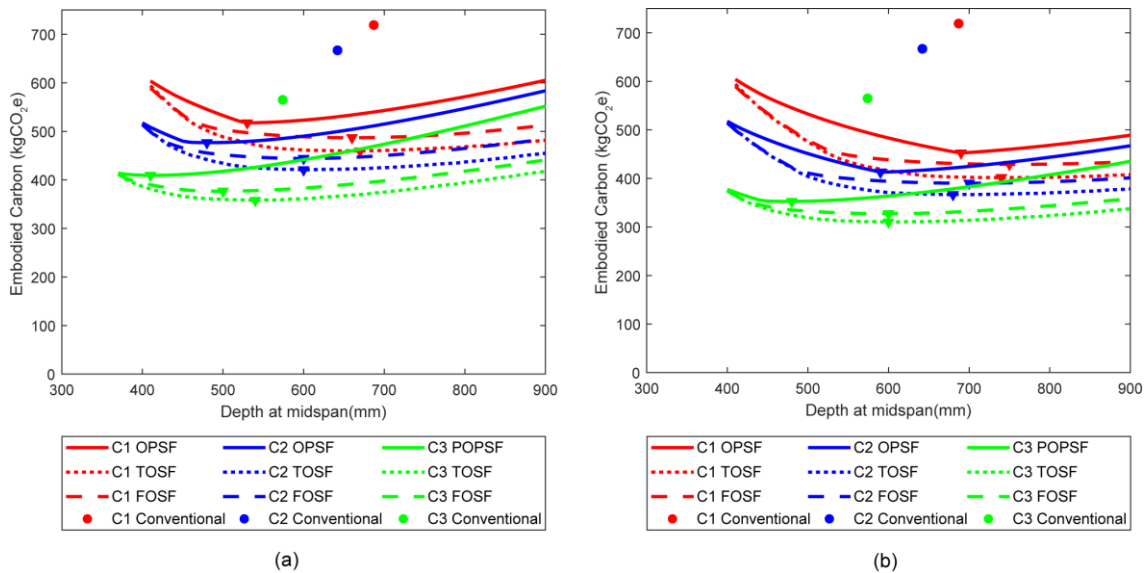


416
 417 **Figure 7. Variation of the composition of embodied carbon with design midspan depth**
 418 **for three optimisation strategies**

419 The curves for embodied carbon from concrete hence the total embodied carbon for
 420 OPSF had minimum points with noticeable kinks in the curves. These kinks represent
 421 the depths where the criterion for minimum width changes from a shear criterion to fire
 422 resistance. The beams shallower than the identified optimum design had widths
 423 governed by shear criterion whereas the deeper beams had the selected minimum
 424 possible width.

425 The three different optimisation approaches (TOSF, FOSF and OPSF) were repetitively
 426 used to develop discrete designs with different design overall midspan depths for three

427 different load cases (C1, C2 and C3 in Table 1). Further, the beams were designed
 428 considering two minimum possible web widths to illustrate the effect on optimum
 429 designs. Then, the variation of embodied carbon was plotted to understand the effect
 430 of design overall depth, as in Figure 8. As benchmarks, conventional beam designs
 431 based on Economic Concrete Frame Elements to Eurocode 2 [65] are also presented
 432 in the same plot. Referring to the design charts given in the guide, beam depths for
 433 load cases C1, C2 and C3 were selected as 687 mm, 642 mm and 574 mm
 434 respectively, for 300 mm wide beams. The interpolated design depths were directly
 435 adopted without rounding to approximate the theoretical optimum if the web width was
 436 fixed. Minimum points of all the curves which represent the minimum possible
 437 embodied carbon for the respective design load for three different optimisation
 438 approaches are also marked. Other than the selection of overall beam depth and the
 439 web width, the rest of the benchmark designs were performed using the same
 440 algorithms used to design prismatic beams.



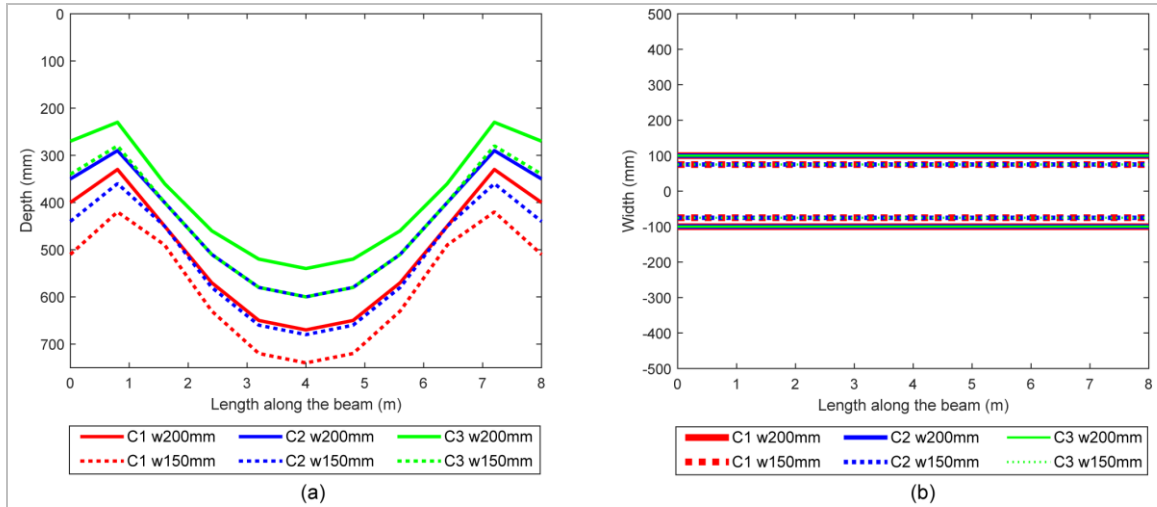
441 (a) (b)

442 **Figure 8. Variation of embodied carbon with design midspan depth for three optimisation**
 443 **approaches when the minimum width of the beams can be (a) 200 mm (b) 150**
 444 **mm**

445 The curves corresponding to shape optimised beams were generally smooth, but a
446 minimum could be identified. There was a range of midspan depths which exhibited a
447 similar level of embodied carbon around the minimum, indicated by the almost flat
448 regions of the curves. However, the design midspan depths with minimum embodied
449 carbon was notably different for the 18 curves presented in Figure 8, though all the
450 beams had 8 m spans.

451 **5.2 Variation of optimum shape**

452 Figures 9 and 10 demonstrate the identified optimum shapes of the beams from TOSF
453 and FOSF respectively. In both shape optimisation approaches, the optimum geometry
454 varied depending on the design load and the selected minimum possible width. The
455 suggested optimum design midspan depth even varied from 500 mm to 750 mm. In all
456 the cases for TOSF, optimum designs suggested keeping the web width at the
457 minimum possible throughout the beam. At the end of the beam where shear governs
458 the design, the depth profiles were compromised not to increase the web width. In
459 FOSF, the web widths at the ends of the beams were forced to increase so that the
460 depth profile could be parabolic. Since TOSF suggested depth profiles with positive
461 and negative curvatures, FOSF could be treated as the technically feasible approach
462 for shape optimisation.

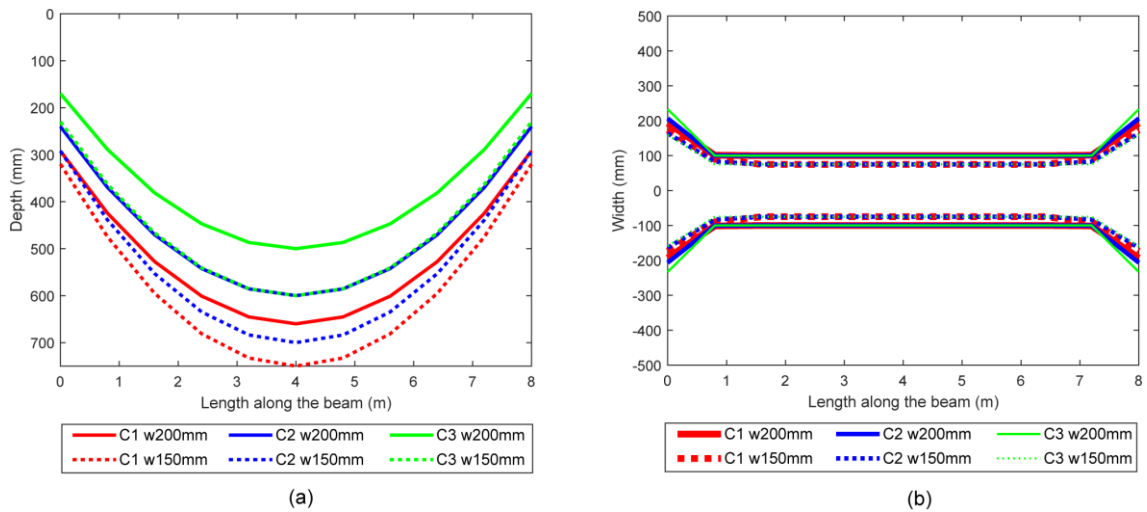


463

464

Figure 9. Variation of Optimum (a) Depth Profile (b) Web Width Profile for different design criteria for TOSF

465



466

467

Figure 10. Variation of Optimum (a) Depth Profile (b) Web Width Profile for different design criteria for FOSF

468

469

470 5.3 Deflection performance

471

Figure 11 presents the variation of estimated deflections for quasi-permanent loads

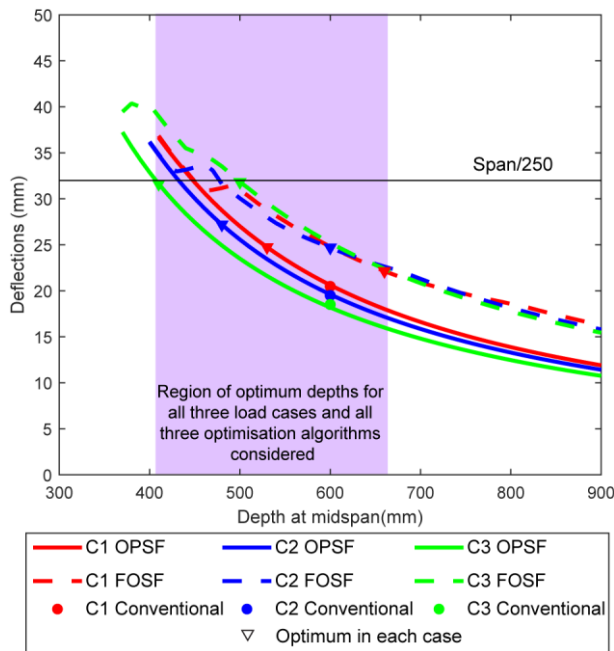
472

with the design midspan depth for OPSF and FOSF. The figure presents the

473

deflections when the minimum possible width is set to 200 mm rather than 150 mm

474 since the optimisation algorithms suggest shallower beams. The deflections at the
 475 optimum designs are also marked. Estimated deflections for conventional design
 476 depths were around 40% less than the prescribed limit, highlighting the
 477 conservativeness of span/depth ratios for deflection control. Shape optimisation always
 478 increased the deflection compared to the prismatic beams. Only the shallow extreme of
 479 the studied range of design depths compromised the deflection limit. The designs with
 480 minimum embodied carbon from OPSF and FOSF had satisfied the deflection limit for
 481 all the design criteria.



482
 483 **Figure 11. Variation of estimated deflections with design midspan depth for OPSF and**
 484 **FOSF, compared to a conventional benchmark**

485
 486 **5.4 Savings of embodied carbon from optimisation**

487 Table 2 presents the savings of embodied carbon from shape optimisation for each
 488 design case. The percentage reductions of embodied carbon possible with OPSF,

489 TOSF and FOSF compared to conventional design are noted. Also, the further savings
 490 of embodied carbon from TOSF and FOSF compared to OPSF are parallelly reported.

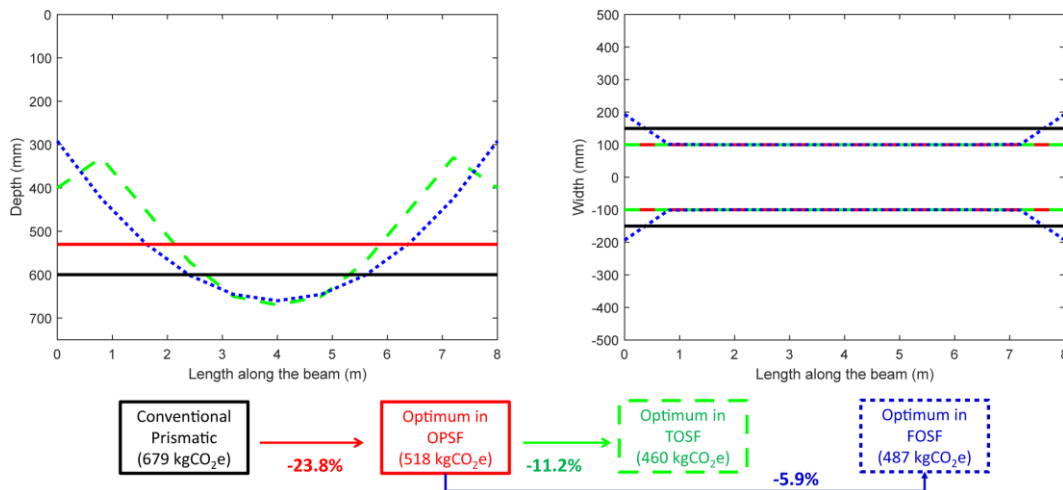
491

492 **Table 2. Minimum embodied carbon from each optimisation approach compared to**
 493 **conventional designs and savings from each optimisation approach**

Design	Embodied Carbon (kgCO ₂ e)				Saving of Embodied Carbon from conventional design (%)			Saving of Embodied Carbon from OPSF (%)	
	Conventional	OPSF	TOSF	FOSF	OPSF	TOSF	FOSF	TOSF	FOSF
Load Case 1/ min width 200 mm	719	518	460	487	28.0	36.0	32.3	11.2	6.0
Load Case 1/ min width 150 mm	719	452	402	429	37.1	44.1	40.3	11.1	5.1
Load Case 2/ min width 200 mm	667	477	421	444	28.5	36.9	33.4	11.7	6.9
Load Case 2/ min width 150 mm	667	413	367	390	38.1	45.0	41.5	11.1	5.6
Load Case 3/ min width 200 mm	565	410	358	377	27.4	36.6	33.3	12.7	8.0
Load Case 3/ min width 150 mm	565	353	310	327	37.5	45.1	42.1	12.2	7.4

494

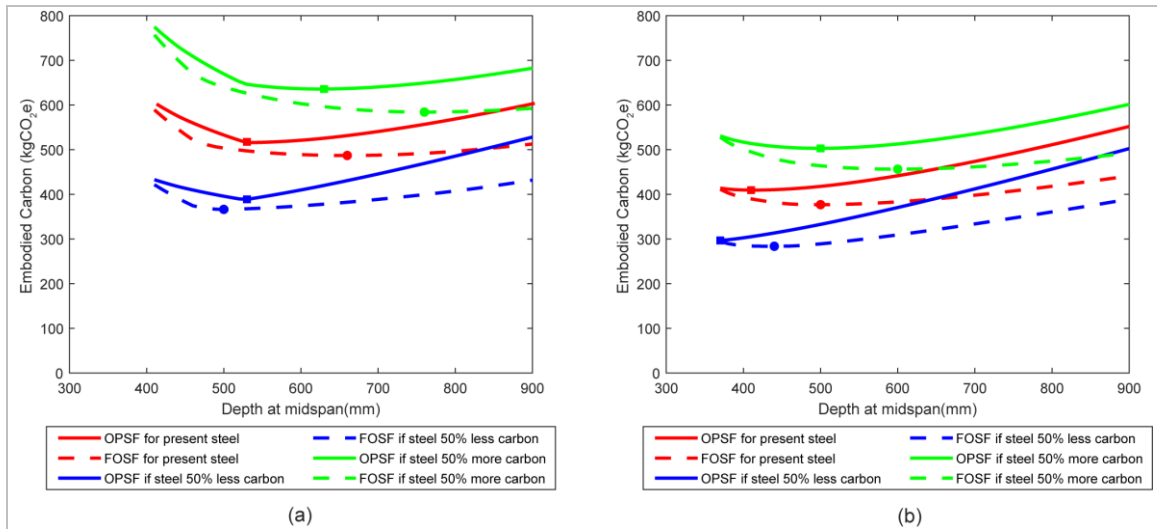
495 To illustrate the differences of the three optimisation approaches adopted in this study,
 496 the optimum beam designs suggested for Load Case 1 with a minimum width of 200
 497 mm are presented in Figure 12, along with the possible savings of embodied carbon.



498
 499 **Figure 12. Shapes resulting from different optimisation approaches and possible savings**
 500 **of embodied carbon for the beam with Load Case C1/ minimum width 200 mm**

501
 502 **5.5 Influence of carbon coefficients**

503 Figure 13 shows how the optimum design varies for Load Cases C1 and C3 designed
 504 with a minimum possible width of 200 mm when the embodied carbon of steel is varied.
 505 The optimum design midspan depth of each case is also marked in the same plots.
 506 The optimum depth for Load Case C1 varied from 760 mm to 500 mm for FOSF, and
 507 from 630 mm to 530 mm for OPSF. The variations were lower for load Case C3. While
 508 the optimum depths for OPSF were less sensitive to selected carbon coefficients than
 509 FOSF, the curves for OPSF were steeper. It was noticeable that the optimum depth for
 510 the OPSF for Load Case C1 remained the same for two scenarios.



511

512

Figure 13. Variation of embodied carbon with design midspan depth (and hence the optimum design midspan depth) for OPSF and FOSF under (a) Load Case C1 (b) Load Case C3 for different carbon coefficients

513

514

515

516 6 Discussion

517 6.1 Optimum Shapes

518

TOSF suggested that the optimum shapes of the beam in all the design scenarios

519

need to keep the web width at the minimum possible web widths for fire criterion or

520

spacing of bars. The depth profiles at the ends of the optimum beams were adjusted to

521

provide an adequate area for the shear performance. The optimisation algorithm

522

selected the deepest cross-sectional design with the area required for the concrete

523

strut so that the amount of shear reinforcement can also be minimised. However, this

524

finding might alter if the shear capacity of the flange was to be accounted for, and this

525

is to be assessed in future work.

526 The optimum shapes suggested by FOSF had increased web widths at the end of the
527 beams. Still, the depth and web width at the end of the optimum beams varied for
528 different load cases and selected minimum possible widths.

529 In all the cases for OPSF, the optimum designs were either at the kink of the curve or
530 marginally deeper. Therefore, the optimum prismatic beam designs were significantly
531 affected by the selection of the width of the beam. This highlights the importance of
532 limiting the web width of the beams to reduce embodied carbon.

533 In all three optimisation approaches, optimum midspan depth and geometry depended
534 on the design load and the selection of a minimum possible width. However, the curves
535 of embodied carbon vs design midspan depths for shape optimisation were smooth
536 with mild slopes, even if the minimum points could be identified. There were ranges of
537 depths at which the shape optimised beam designs had similar embodied carbon.

538 According to the deflection predictions, prismatic beams with conventional design
539 depths (span/14) have deflection around 60% of the conventional design deflection
540 limit (span/250). Shape optimisation has increased deflection in all cases. However, the
541 predicted deflections of beams with minimum embodied carbon are lower than the
542 conventional design deflection limit in all the cases considered. Hence, the optimisation
543 procedure has not raised concerns about serviceability performance in this study.

544 Therefore, it is worth noting that the optimised designs in all the cases are dominated
545 by Ultimate Limit State. Further, conducting Serviceability Limit State checks with
546 structural mechanics-based calculations help to understand the real extent of design
547 limitations, rather than limiting the design space with conventional span/depth ratios.

548 **6.2 Reduction of embodied carbon**

549 TOSF reduced embodied carbon up to 36% and 45% from conventional prismatic
550 beam designs when the minimum possible widths were 200 mm and 150 mm
551 respectively. Since TOSF resulted in technical and construction challenges, FOSF was
552 adopted which managed to reduce embodied carbon up to 33% and 42% from
553 conventional prismatic beams when minimum widths were limited to 200 mm and 150
554 mm respectively. However, OPSF could reduce embodied carbon up to 28% and 38%
555 when the minimum possible width is assumed to be 200 mm and 150 mm. TOSF and
556 FOSF could further reduce embodied carbon up to 12% and 8% from OPSF. However,
557 shape optimisation can reduce concrete consumption up to 44% according to the
558 literature review, and the savings of embodied carbon in this study seemed less than
559 the expectation. Therefore, several cases were revisited to assess the reduction of
560 concrete consumption by shape optimisation to evaluate the algorithms in more detail.
561 TOSF and FOSF were observed to reduce concrete consumption up to 30% and 40%
562 respectively for a given midspan depth, though the percentage savings were not
563 consistent across different design criteria. However, optimum midspan depth for
564 prismatic beams and shape optimised beams were not coinciding in almost all the
565 design cases considered in this study. The designs with minimum embodied carbon for
566 prismatic beams and shape optimised beams should be compared with each other to
567 quantify the benefit of shape optimisation, irrespective of the midspan depth. Thus, the
568 percentage reduction of concrete consumption is not directly linked to the saving of
569 embodied carbon from shape optimisation. Due to the need of having positive and
570 negative curvatures in the depth profiles in TOSF, FOSF can be considered as the
571 feasible shape optimisation procedure which could reduce embodied carbon up to 8%
572 compared with OPSF. The interesting outcome is that it seems evident that far greater
573 reductions in carbon footprint are achievable in concrete structures through the prudent
574 analysis of carbon content than by varying the geometry of the structure. The
575 reductions of embodied carbon from all three optimisation algorithms are reduced

576 when the design load is increased, due to the higher need for reinforcement for higher
577 loads.

578 **6.3 Sensitivity of the optimum design to carbon coefficients**

579 The optimisation algorithms find the beam designs with minimum embodied carbon by
580 trading off the volume of concrete and steel. Thus, the dependability of optimum beam
581 designs on adopted carbon coefficients is to be expected. The optimum design depths
582 for FOSF was noticeably varied with the carbon coefficient of steel. That observation
583 can be justified since the designs with minimum embodied carbon would have more
584 steel and less concrete if the carbon intensity of steel reduced. However, the optimum
585 depths of prismatic beams expressed lesser sensitivity to the carbon coefficients.
586 Furthermore, the variation of embodied carbon with midspan depth was steeper in
587 OPSF than FOSF. As the design midspan increases, the increase in the volume of
588 concrete is higher in prismatic beams since shape optimisation could reduce more
589 concrete from a deeper beam. Therefore, variations of carbon coefficients have a
590 lesser effect on optimum prismatic beam designs than shape optimised beam designs.
591 Furthermore, the variation of embodied carbon with midspan depth of OPSF had kinks
592 where minimums were in most of the cases. Therefore, the optimum designs for
593 prismatic beams were less sensitive for changes in carbon coefficients, than shape
594 optimised beams.

595 **6.4 Limitations of the study**

596 The beam geometries suggested by the optimisation algorithms in this study
597 highlighted the importance of minimising the web width of the beams. Though the
598 selected values in this study were associated with fire rating, practically, it may be
599 governed by the spacing of reinforcing bars. Also, the optimisation algorithms
600 suggested different design depths for different cases. Even if the embodied carbon of

601 beams can be reduced through the proposed method, there might be adverse effects
602 on the overall embodied carbon of the building since it may require higher floor to floor
603 heights.

604

605 **7 Conclusions**

606 The design and construction aspects of concrete beams are scrutinised in this paper to
607 explore the possibilities of minimising embodied carbon. For a given set of structural
608 requirements, a solution with minimum embodied carbon can be identified when the
609 design space is explored. The shape of the beam with minimum possible embodied
610 carbon for a given set of design criteria may have technical and construction
611 objections. Shape optimisation can suggest different geometries for different design
612 criteria, even if the design span is the same. Minimising the web width of the designs is
613 crucial in reducing the embodied carbon of the beams. Such design explorations
614 require deviating from conventional design depths, but the deflection performances of
615 the optimised designs are not compromised. Designs suggested by the shape
616 optimisation algorithms can be very sensitive to adopted carbon coefficients whereas
617 optimising prismatic beams can be less sensitive. Feasible shape optimisation can
618 reduce embodied carbon up to 8% compared with the optimised prismatic beams.
619 However, optimising prismatic beams can save embodied carbon up to 38% compared
620 with conventional design, highlighting the importance of focussing on optimising
621 prismatic beams in practice, and conducting appropriate SLS checks for deflection
622 rather than relying on span-depth ratio checks.

623

624 **8 Future directions**

625 The influence of the grade of concrete and the shear contribution of the flange on the
626 optimisation requires further study. The possible savings in the two-way spanning floor
627 systems are to be looked at in the next step. However, concrete in passively reinforced
628 flexural members is wasteful since the concrete below the neutral axis is structurally
629 unused. Therefore, techniques to keep concrete mainly in compression such as shell
630 structures and prestressing are also to be looked at parametrically.

631

632 **9 Data access statement**

633 All data created during this research are openly available from the University of
634 Cambridge data archive at <https://doi.org/10.17863/CAM.66855>.

635

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638 the University of Cambridge.

639

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