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1 **A traffic light grading system of hip dysplasia to predict the**
2 **success of arthroscopic hip surgery.**

3
4 **ABSTRACT**

5
6 **Background:** The role of hip arthroscopy in dysplasia is controversial.

7
8 **Purpose:** Determine the 7-year joint preservation rate following hip arthroscopy in
9 hip dysplasia and identify anatomical and intra-operative features that predict
10 success of hip preservation with arthroscopic surgery allowing formulation of an
11 evidence-based classification.

12
13 **Study Design:** Cohort Study; Level of evidence: 3

14
15 **Methods:** Between 2008 and 2013, 111 hips with dysplastic features [acetabular
16 index (AI) > 10° and/or centre-edge angle (CEA) <25°] having undergone an
17 arthroscopy were identified. Clinical, radiological and operative findings and type of
18 procedure performed were reviewed. Radiographic evaluations of the operated hip
19 [acetabular index (AI), centre-edge angle (CEA), extrusion index] were performed.
20 Outcome measures included whether the hip was preserved at follow-up, pre- and
21 post-operative NAHS and HOOS scores.

22

23 We calculated AI and CEA factored (AI_f and CEA_f respectively) by a measure of
24 articular wear as follows:

$$25 \quad AI_f = AI \times (\text{number of UCL wear zones} + 1)$$

$$26 \quad CEA_f = CEA / (\text{number of UCL zones} + 1)$$

27 A contour plot of the resulting probability value of failure for every combination of
28 AI_f and CEA_f allowed for the determination of the zones with the lowest and highest
29 incidence of failure to preserve the hip respectively.

30

31 **Results:** The mean AI and CEA were 7.8° and 18.0° , respectively. At a mean follow-up
32 of 4.4 years, 33 hips had failed requiring a hip arthroplasty. The 7- year joint survival
33 was 68%. The mean improvement in NAHS and HOOS were 7.8 and 23 points
34 respectively.

35 The zone with the greatest chance of joint preservation (odds ratio: 10, $p < 0.001$) was
36 AI_f : 0 – 15 and CEA_f : 15 – 25 (Green Zone); on the contrary the zone with the greatest
37 chance of failure (odds ratio: 10, $p < 0.001$) was AI_f : 20 – 100 and CEA_f : 0 – 10 (Red
38 Zone).

39

40 **Conclusion:** Overall, the 7- year hip survival in hip dysplasia appears inferior
41 compared to reports of Femoro-Acetabular Impingement cases. Hip arthroscopy is
42 associated with excellent chance of hip preservation in mild (Green Zone) dysplasia
43 ($AI < 15^\circ$ & CEA : 15 – 25°) and no (or little) articular wear. Hip arthroscopy should not
44 be performed in cases with severe (Red Zone) dysplasia ($AI > 20^\circ$ & $CEA < 10^\circ$).

45

46 **Keywords:** hip arthroscopy; dysplasia; hip preservation; outcomes

47 **What is known about the subject:**

48 Acetabular dysplasia presents in great variability. Arthroscopic treatment of
49 dysplastic hips is controversial, with mixed results in early-term studies. To-date no
50 evidence-based guidelines exist in order to quantify the degree of Dysplasia.

51 **What this study adds to existing knowledge:**

52 The 7-year preservation rate with arthroscopy in hip dysplasia is 68% (inferior to FAI
53 treatment). Articular wear and extent of dysplasia predicted failure to preserve the
54 hip. This study provides the reader with an evidence-based algorithm on when hip
55 arthroscopy can be offered in the setting of hip dysplasia. Hips with AI $<15^\circ$ and CEA
56 $\geq 15^\circ$ have good chances of joint preservation with arthroscopy provided no articular
57 wear exists and no labral debridement takes place.

58 Introduction

59

60 The desire to achieve hip preservation with symptomatic hip conditions has been
61 associated with a steep rise in the uptake of hip arthroscopy over recent years⁷.
62 Numerous reports have demonstrated the effectiveness of arthroscopic hip surgery
63 in dealing with a variety of femoral and acetabular deformities and their associated
64 pathological features (e.g. chondro-labral lesions)^{4, 25, 35, 42}. However, up to 37% of
65 these hips may subsequently 'fail' and require an arthroplasty within 10-yrs²⁹.
66 Factors associated with an increased risk of arthroscopic failure include advanced
67 age, established degenerative changes within the joint and the presence of
68 dysplasia^{2, 17, 29}.

69

70 Although hip arthroscopy can effectively address the bony deformities of femoro-
71 acetabular impingement (FAI) (e.g. acetabular rim-trim, cam- and subspinous-
72 resection), it does not allow for augmenting the deficient acetabulum, the primary
73 pathology in the dysplastic hip. Accordingly, in a recent study reviewing all causes of
74 failed hip arthroscopy, dysplasia was the second most common cause (24%) after
75 persistent/unaddressed FAI (43%)².

76

77 Acetabular hip dysplasia covers a spectrum of deformity and is considered to be
78 present when the centre-edge angle (CEA) is less than 25° and/or acetabular index
79 [(AI) or Tönnis angle] is greater than 10°^{3, 14}. In severe cases, a peri-acetabular
80 osteotomy may be necessary in order to alleviate symptoms; a treatment associated
81 with very good chance of joint preservation and restoration of function, albeit

82 associated with significant peri-operative risks^{19, 39}. In contrast, the ideal treatment
83 modality (i.e. osteotomy or not) for the moderate/mild case is not as well defined.

84

85 Arthroscopic treatment in the dysplastic hip has been associated with mixed results^{3,}

86 ^{9, 11-13, 21, 26, 32, 37}. Some authors describe little symptomatic improvement and high

87 failure rates within 3 years post-surgery³⁴. These cases were associated with

88 debridement of the labrum, which provides a significant contribution to joint

89 stability in dysplastic hips, and so is now not recommended practice. In contrast,

90 others have demonstrated that symptomatic improvement can be achieved in

91 particular when labral repair and capsular plication takes place, in addition to

92 addressing any other pathology (e.g. cam resection)^{9, 26}. This may be because the

93 pathology primarily contributing to the patient's symptoms may not be the

94 dysplasia. It has been shown that the presence of a cam lesion and the associated

95 FAI can be the predominant pathology even in hips with shallow acetabulae^{10, 31}.

96

97 Defining the role of arthroscopy in dysplasia and identifying the parameters that

98 increase the chances of success would aid surgical decision-making and therefore

99 potentially improve outcomes. The aims of this study were to: 1. Determine the 7-

100 year joint preservation rate following hip arthroscopy in a cohort of dysplastic hips,

101 2. Identify anatomical and peri-operative features predictive of success in preserving

102 the hip with arthroscopic surgery in such cohort and 3. Create an evidence-based

103 algorithm for the treatment of the symptomatic dysplastic hip.

104

105 **Methods**

106

107 This is a retrospective, single-surgeon, consecutive, case series from a tertiary
108 referral centre. This was a service evaluation and so did not require NHS Research
109 Ethics Committee (REC) or NHS/HSC R&D office or HRA Approval
110 (<http://www.hra.nhs.uk/research-community/before-you-apply/determine-whether-your-study-is-research/#sthash.UDz6enkk.dpuf>). The senior author set up
111 the hip arthroscopy service in 2002, and in January 2008 a hospital database was set
112 up that prospectively records data on all hip arthroscopies performed. We retrieved
113 from this database all hip arthroscopies (n=377) performed between 2008 and 2013,
114 by the senior author, ensuring a minimum 2-year follow-up period. Inclusion
115 criterion for this study was the presence of radiographic features of dysplasia (AI >
116 10° and/or CEA <25°). Exclusion criteria included previous history of Legg-Calve-
117 Perthes' Disease, advanced degenerative changes based on radiographic evaluation
118 (<2 mm joint space). From the retrieved cases, we identified 112 hips (108 patients)
119 that fulfilled the above criteria and these formed the study cohort.

121

122 Demographic data was obtained for all patients and is detailed in Table 1. All
123 procedures were performed in the supine position using a two portal (antero-lateral
124 and anterior) technique. Typically, following the diagnostic round, a limited
125 capsulotomy to enlarge each portal (but not join them) was performed to aid the
126 carrying out of therapeutic interventions. No T-capsulotomy or limb extension to the
127 capsulotomy took place in any of the cases. The peripheral compartment was fully
128 assessed in all cases. The operative findings are detailed in Table 2. The most

129 common finding was that of labral tear (n=105, 95%) followed by acetabular
 130 cartilage wear in 54 hips (49%), the extent of which was graded using the UCL
 131 system²⁴. The type of therapeutic intervention performed is detailed in Table 2. The
 132 most common intervention was femoral osteochondroplasty (81%). The limited
 133 capsulotomy was not repaired at the end of the procedure and no ligamentum teres
 134 reconstruction nor capsular plication took place in any of the cases. Labral repair was
 135 always carried out in preference to debridement (Figure 1). Post-operatively,
 136 patients were allowed to fully weight bear unless a microfracture took place, when
 137 weight bearing was restricted for up to 6 weeks. All patients were reviewed by a
 138 physiotherapist with a specialist interest in hip pathology prior to discharge and
 139 were provided with dedicated hip and abdominal core exercises. They were regularly
 140 reviewed in the outpatients setting by both the physiotherapy and surgical team for
 141 at least 1-year following surgery.

Factor		Cohort (n=111)	Groups		p-value
			Preserved (n=78)	Failed (n=33)	
Age/ years (mean, range)		40.9 (16 – 65)	39.6 (16 – 65)	44.0 (23 – 63)	0.04*
Age Group	<40 years old	33	34	9	0.1
	≥ 40 years old	68	44	24	
Gender	Male	33	27	6	0.08
	Female	78	51	27	
Follow-up/ years (mean, range)		4.4 (0.4 – 8.3)	5.5 (3.3 – 8.3)	1.8 (0.4 – 6.8)	<0.001*
Side	Right	56	37	19	0.3
	Left	55	41	14	

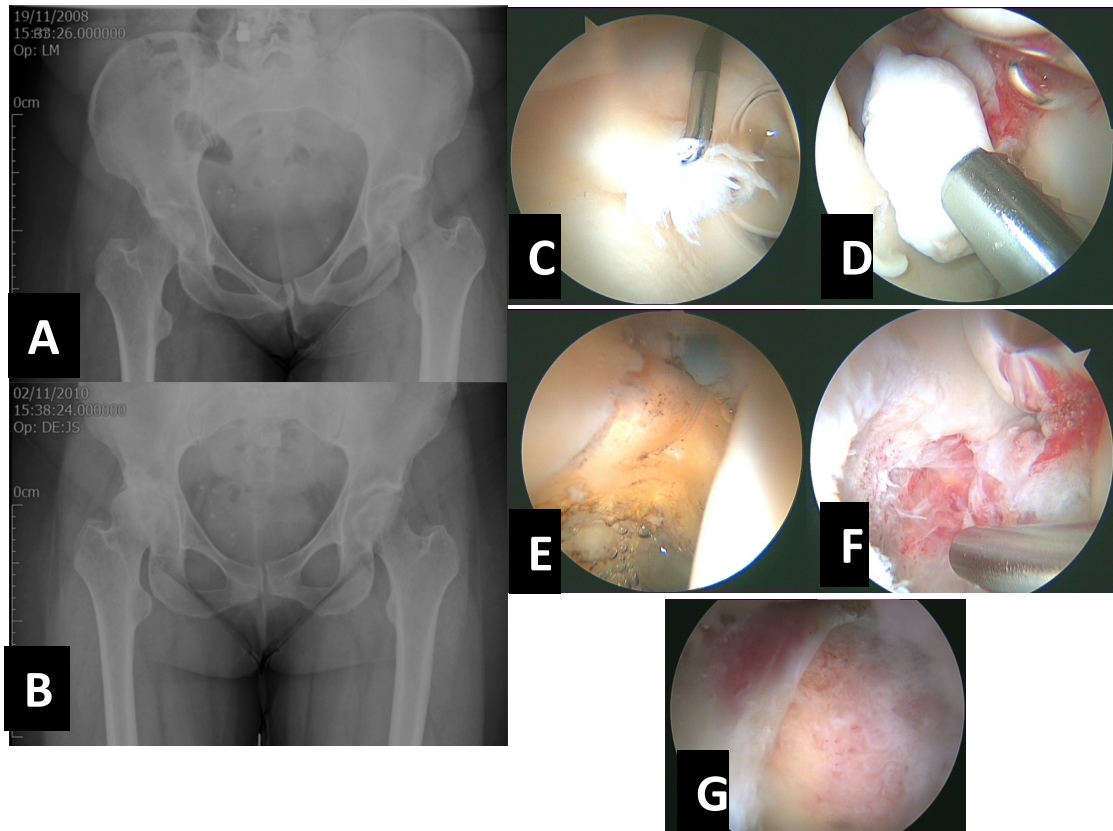
142 Table 1: Demographics as per whole cohort and per group (hip preserved or
 143 failed). *: Statistical significant difference

144

Factor		Cohort (n=111)	Groups		p-value
			Preserved (n=78)	Failed (n=33)	
Labral Tear	No	6 (5)	3 (4)	3 (9)	0.3
	Yes	105 (95)	75 (96)	30 (91)	
Location of labral tear	Anterior	37 (33)	27 (35)	10 (30)	0.02*
	AS	25 (23)	22 (28)	3 (9)	
	Superior	23 (21)	18 (23)	5 (15)	
	PS	1 (1)	0	1 (3)	
	Posterior	8 (7)	4 (5)	4 (12)	
	Circumferential	11 (10)	4 (5)	7 (21)	
Labral Repair	No	59 (56)	40 (53)	19 (63)	0.35
	Yes	46 (44)	35 (47)	11 (37)	
Femoral head cartilage wear	No	70 (63)	56 (72)	14 (42)	0.003*
	Yes	41 (37)	22 (28)	19 (58)	
Acetabular cartilage wear	No	57 (51)	49 (63)	8 (24)	<0.001*
	Yes	54 (49)	29 (37)	25 (76)	
No. of acetabular zones with wear		0.9 (1 – 5)	0.7 (0 – 5)	1.4 (0 – 3)	<0.001*
Zone location of acetabular wear	2	8 (7)	5 (6)	3 (9)	0.01*
	3	11 (10)	7 (9)	4 (12)	
	4	2 (2)	2 (3)	0	
	5	2 (2)	1 (1)	1 (3)	
	6	2 (2)	2 (3)	0	
	2-zones	15 (14)	4 (5)	11 (37)	
	≥3 -zones	14 (13)	8 (10)	6 (18)	
Microfracture	No	83 (75)	59 (76)	24 (73)	0.75
	Yes	28 (25)	19 (24)	9 (27)	
Osteochondroplasty	No	21 (19)	11 (14)	10 (30)	0.046*
	Yes	90 (81)	67 (86)	23 (70)	

145 Table 2: Operative findings and surgical procedure performed for the whole

146 cohort and sub-divided by outcome.



147

148 *Figure 1: Pre-operative (A) and Follow-up (B) radiographs of a mildly dysplastic case*

149 *that failed at 2-years post surgery. Intra-operative findings are detailed in C-G,*

150 *illustrating a labral tear (C), a loose cartilaginous piece within the joint (D), the*

151 *labrum repaired (E), debridement of the cotyloid fossa (F) and finally the CAM*

152 *resection ensuring satisfactory seal.*

153

154 *Radiographic assessments*

155 Radiographic evaluations of the operated hip were performed using a validated

156 (HipMorf) software programme using Antero-Posterior Pelvic radiographs³³.

157 Parameters recorded included AI, CEA, extrusion index (EI) and alpha angle. An

158 orthopaedic resident performed the assessments in all cases. Intra-observer

159 reliability was established by repeating the measurement in 10 cases. Similarly, inter-

160 observer reliability was tested with an orthopaedic fellow performing the
161 measurements in 10 cases and comparing the results of the two assessors.

162

163 Outcome

164 Patient outcome was determined for all patients from hospital records and
165 questionnaires. Outcome measures included whether any complications or revision
166 surgery took place. Furthermore, whether the hip was preserved at follow-up was
167 established. Pre- and post-operative patient reported outcome measures were
168 obtained using the Non-Arthritic Hip⁵ and the Hip-disability and Osteoarthritis
169 Outcome²³ Scores (NAHS, and HOOS) at 1-year post-op. The differences between
170 pre- and post-operative scores were defined as Δ and were calculated as:

171

$$172 \Delta\text{NAHS} = \text{NAHS}_{\text{post-operatively}} - \text{NAHS}_{\text{Pre-operatively}}$$

173

$$174 \Delta\text{HOOS} = \text{HOOS}_{\text{post-operatively}} - \text{HOOS}_{\text{Pre-operatively}}$$

175

176 Analyses

177 The effect of different patient- and surgical- related factors on the ability to preserve
178 the hip with an arthroscopy were assessed. Factors tested included: age, gender, AI,
179 CEA, HEI, degree of intra-articular wear, labral pathology and labral surgery
180 (debridement or repair) performed.

181

182 The extent of articular surface wear and dysplasia are factors that have been
183 associated with increased failure following hip arthroscopy and are interlinked¹¹. A

184 greater degree of dysplasia (greater AI and smaller CEA) is associated with a smaller
185 contact area between the acetabular and femoral surfaces and would therefore
186 result in greater wear. Therefore, both factors needed to be taken in account for any
187 analysis. In order to account for the interaction of these 2 factors, we defined AI and
188 CEA factored by the extent of acetabular wear (AI_f and CEA_f) and calculated them
189 using the following equations:

190

$$191 \quad AI_f = AI * (\text{number of UCL wear zones} + 1)$$

$$192 \quad CEA_f = CEA / (\text{number of UCL wear zones} + 1)$$

193

194 The following 2 different methods were used to identify which degrees of dysplasia
195 are associated with hip preservation and failure respectively. For both methods a
196 scatter plot of CEA_f on the x-axis and AI_f on the y-axis was plotted.

197

198 *Method 1: Lowest/highest incidence of failure based on degree space Euclidean*
199 *distance.*

200 The Euclidean distance in the scatter plot degree space (r) of each acetabulum from
201 any point P, with factored acetabular index value of P_{AI_f} and factored centre-edge
202 angle of P_{CEA_f} , can be calculated using the following equation:

203

$$204 \quad r = \sqrt{[(AI_f - P_{AI_f})^2 + (CEA_f - P_{CEA_f})^2]}$$

205

206 The mean distances from any given point of the scatter plot from the preserved
207 ($r_{\text{preserved}}$) and failed (r_{failed}) cases, were calculated separately. The distance ratio

208 $(r_{\text{preserved}}/r_{\text{failed}})$ was calculated for every possible combination of each degree
209 increment in AI_f and CEA_f . This was then plotted as a contour plot; the larger the
210 value of the distance ratio the nearer to the points of all preserved hips and the
211 further away from all those that failed. The optimal degree of dysplasia, which was
212 at the maximum value of the distance ratio was then determined for hips that were
213 preserved.

214

215 *Method 2: Zones with lowest/highest incidence of failure to preserve the hip.*

216 In order to identify the zones with the lowest and highest incidence of failure to
217 preserve the hip, for every combination of AI_f and CEA_f a 2x2 contingency table was
218 constructed. This consisted of the number of failed and preserved cases within a $\pm 2^\circ$
219 about the considered location on the scatter plot. Fisher's exact test was then
220 applied to the contingency table. This was repeated for every combination of each
221 degree increment in AI_f and CEA_f . A contour plot of the resulting probability values
222 allowed for the location of the zones with the lowest and highest incidence of failure
223 to preserve the hip respectively.

224 Analyses were performed using custom routines written in Matlab (version 2009a,
225 The MathWorks Inc., Natick, Massachusetts, USA).

226

227 Statistics

228 Statistical analysis was performed using SPSS v22 (IBM). Intra- and inter-observer
229 reliability were evaluated using single measure intra-class correlation coefficients
230 (ICC) with a two-way random effects model for absolute agreement. Cross-
231 tabulation, the chi-squared and Fisher's exact tests were used for categorical data.

232 Intergroup comparisons were made using non-parametric tests (Mann-Whitney U,
233 Kruskal Wallis, log-rank). Survival analysis taking into account time to arthroplasty
234 was performed using Kaplan-Meier survival analysis with 95% confidence intervals
235 (CI). A p-value ≤ 0.05 was considered significant.

236 **Results**

237

238 Excellent intra- (k: 0.73 to 0.85, p=0.001 to 0.003) and inter- (k: 0.71 to 0.82, p=
 239 0.002 to 5) observer reliability was detected for radiographic parameters measured.
 240 The mean AI was 9.8° (0.2 to 26.6°), the mean CEA was 17.9° (1.8 to 24.9°) and the
 241 mean head extrusion index was 0.5 (0.2 to 0.9) (Table 3). Strong and significant
 242 correlations (rho: 0.2 to 0.7, p<0.001) between the measured parameters were
 243 detected (Table 4, Figure 2). The mean improvement in NAHS and HOOS was 7.8 (-52
 244 to 66) and 23 (-24 to 80) points respectively.

245

Factor (mean, range)	Cohort	Groups		p-value
		Preserved	Failed	
Acetabular index (AI) /°	9.8 (0.2 – 27)	8.9 (0.2 – 24.7)	11.8 (2.5 – 26.6)	0.004*
Anterior Centre-Edge Angle (ACEA) /°	17.8 (1.8 – 25.0)	18.8 (7.4 – 25.0)	16.0 (1.8 – 22.2)	0.003*
Alpha Angle/°	44.3 (3.4 – 82.5)	43.9 (3.4 – 77.7)	45.3 (34.4 – 82.5)	0.4
Extrusion Index/°	0.47 (0.2 – 0.9)	0.45 (0.2 – 0.9)	0.50 (0.2 – 0.9)	0.07

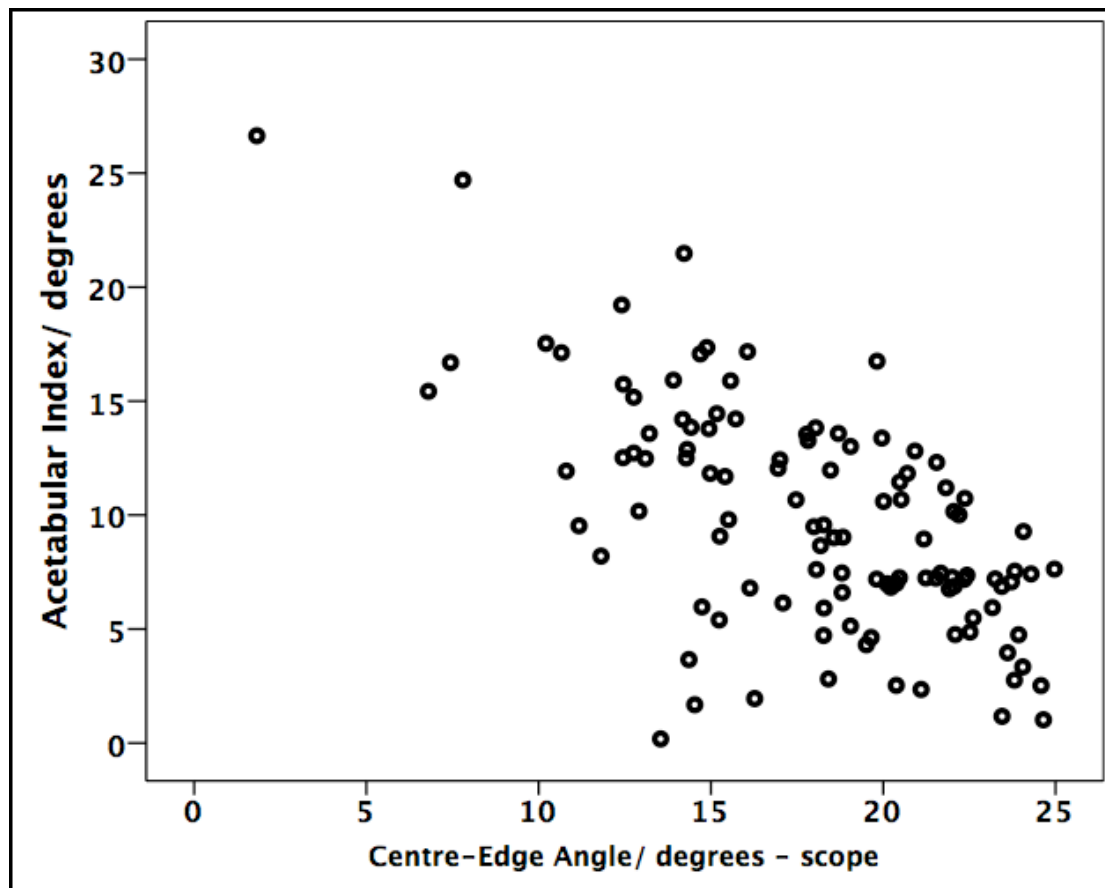
246 Table 3: Radiographic parameters made on Antero-Posterior Pelvic Radiographs.

247 *: Statistical significant difference

248

	Acetabular Index	Anterior Centre-Edge Angle	Head- Extrusion Index
Acetabular Index	1.00	Rho=-0.57 p<0.001	Rho=0.23 p=0.01
Anterior Centre Edge Angle	Rho=-0.57 p<0.001	1.00	Rho=-0.68 p<0.001
Head- Extrusion Index	Rho=0.23 p=0.01	Rho=-0.68 <0.001	1.00

249 Table 4: Correlation of radiographic parameters of cohort.

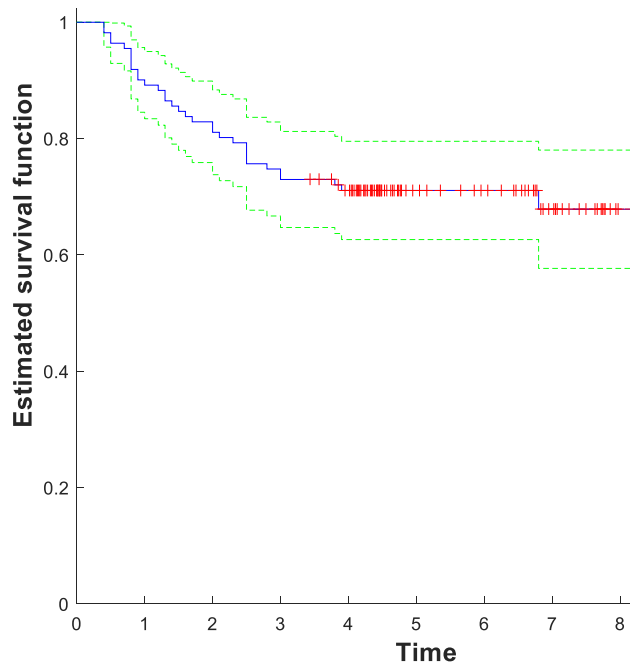


250

251 *Figure 2: Scatter plot of Acetabular Index measured plotted against the Centre-Edge*
 252 *angle measured.*

253

254 At a mean follow-up of 5.5 years [3.1 to 8.3 years], 33 hips (29%) had failed requiring
 255 a hip arthroplasty, whilst the remaining 79 (71%) remained preserved. The overall 3,
 256 5- and 7- year joint survivorship was 73% (95%CI: 65 to 81%), 71% (95% CI: 64 to
 257 79%) and 68% (95% CI: 58 to 78%) respectively (Figure 3). There were no gender
 258 ($p=0.09$), nor age ($p=0.06$) differences between the failed and preserved cases.
 259 Failed cases had more severe features of dysplasia compared to preserved hips, with
 260 higher acetabular index [11.9, SD: 5.5 (failed) Vs. 8.9, SD: 4.5 (preserved)] ($p=0.004$)
 261 and lower centre-edge-angle [16.0, SD: 4.5 (failed) Vs. 18.7, SD: 4.2 (preserved)]
 262 ($p=0.004$).

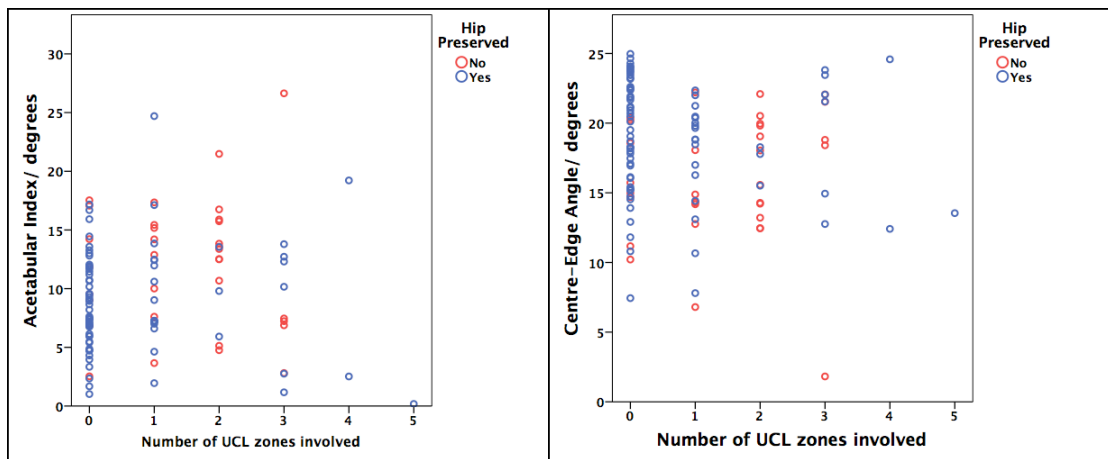


263

264 *Figure 3: Kaplan Meier survival analysis plot for the whole cohort*

265

266 The importance of intra-articular wear and the degree of dysplasia parameters on
 267 subsequent outcome (preservation or not) are illustrated in Figure 4.

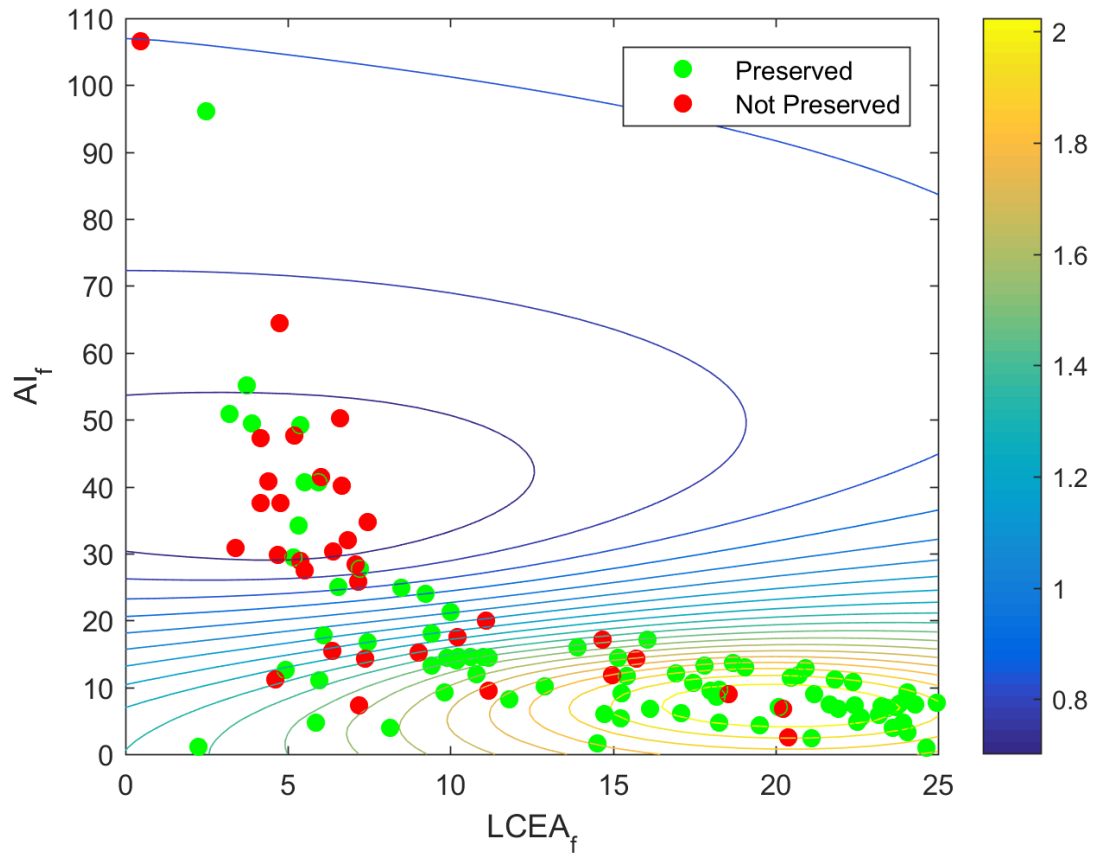


268

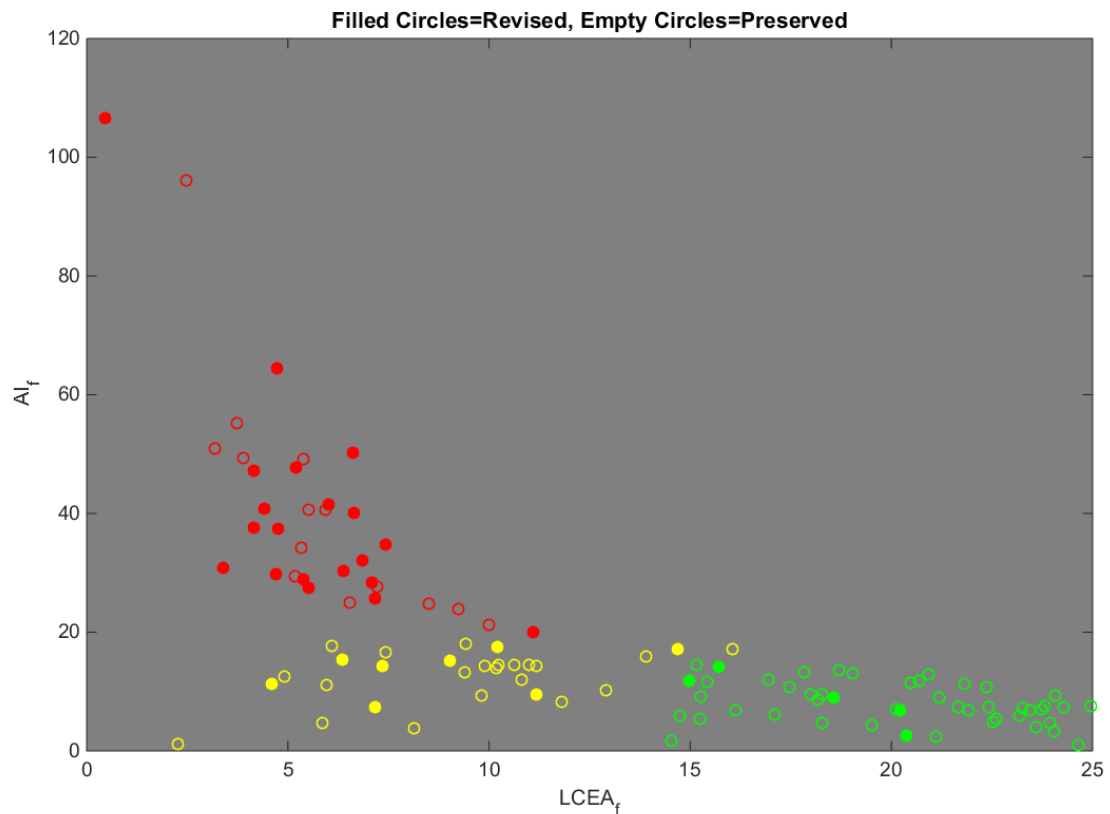
269 *Figure 4: Scatter plots of Acetabular Index (A) and Centre Edge Angle (B) measured*
 270 *against the number of UCL Zones with evidence of cartilaginous damage. Note*
 271 *colour coding as per fate of operated hip.*

272

273 The mean AI_f was 18.8 (1 to 106.6) and the mean CEA_f was 12.9 (0.5 to 25.0). The
274 location on the scatter plot with the maximum value of the distance ratio was AI_f of
275 7.4 and CEA_f of 19.8 (Figure 5).



276
277 Figure 5: Scatter plot of AI_f against CEA_f colour coded for fate of hip. The colours of
278 the contour plot define the distance ratio ($r_{preserved}/r_{failed}$). The larger the value of the
279 distance ratio (closer to yellow) the nearer to the points of all preserved hips and the
280 further away from all those that failed.
281
282 The optimal zone with the greatest chance of joint preservation (odds ratio: 10,
283 $p < 0.001$) was AI_f : 0 to 14 and CEA_f : 15 to 25 (this was termed the Green Zone); in
284 contrast the zone with the greatest chance of failure (odds ratio: 10, $p < 0.001$) was
285 AI_f : 20 to 100 and CEA_f : 0 to 10 (Red Zone) (Figure 6).



286

287 *Figure 6: Scatter plot of AI_f against CEA_f colour coded for Zone each case belongs to.*

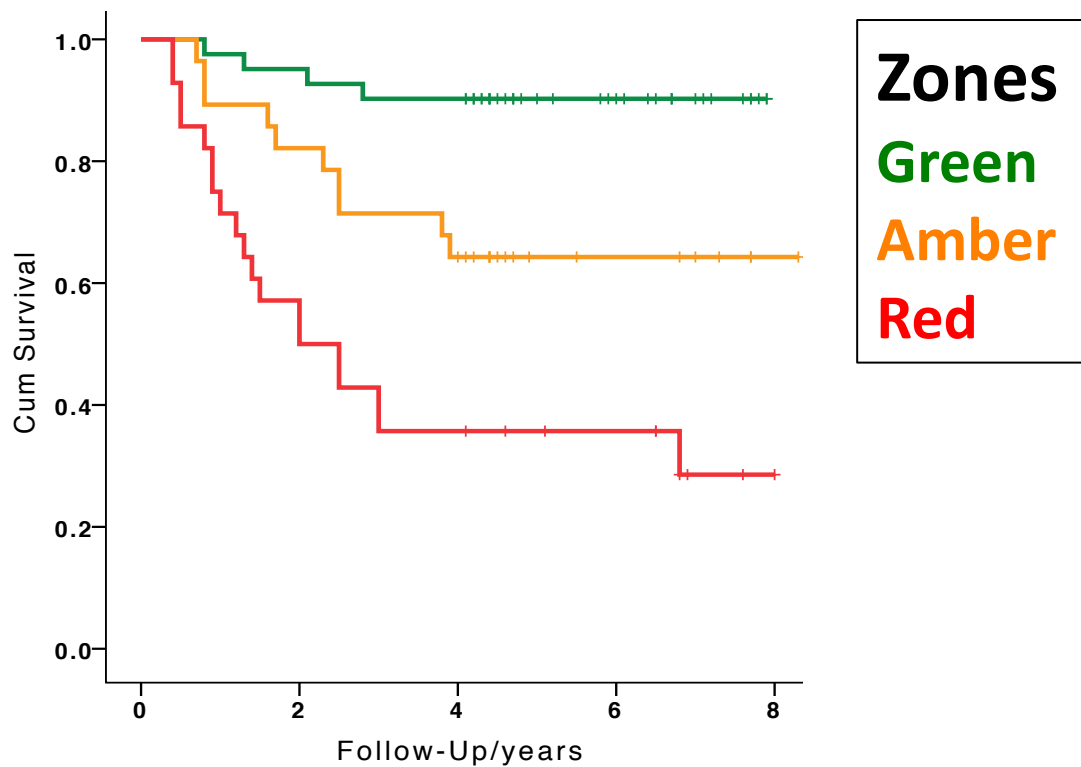
288 Filled circles are cases that had undergone arthroplasty by follow-up. Hollow circles
 289 were preserved by follow-up.

290

291 The 7-year survival of cases in the Green Zone was significantly superior (89.6%,
 292 range: 80.9 to 98.3), compared to the Amber (71.9%, 66.9 to 87.9) and the Red Zone
 293 (35.3%, 14.8 to 55.8) ($p < 0.001$) (Figure 7). Functional outcome 1-year post-
 294 arthroscopy was similar for the three zones (Table 5). Preserved cases (in all three
 295 zones) had superior $NAHS_{post-operatively}$ and $HOOS_{post-operatively}$ (71, SD: 20; 61 SD: 20) at
 296 1-year compared to the failed cases (60, SD: 15; 44, SD: 23) ($p = 0.03$).

Factor (mean, range)	Cohort	Groups			p-value
		Green (n=48)	Amber (n=29)	Red (n=34)	
AIf	19.1(1 - 107)	8 (1 - 14)	13 (1 - 18)	40 (20 - 107)	<0.001
ACEAf	12.8 (1 - 25)	20 (15 - 25)	9 (2 - 16)	6 (0 - 11)	<0.001
n (%) of hips preserved	78 (70)	43(90)	21 (72)	14 (41)	<0.001
NAHSpres	49 (4 - 90)	46 (0 - 84)	51 (6 - 77)	52 (19 - 90)	0.3
NAHSpost	58 (0 - 100)	62 (13 - 100)	57 (0 - 95)	52 (9 - 85)	0.3
ΔNAHS	7.8 (-52 to 66)	14 (-29 to 62)	4 (-20 to 23)	1 (-52 to 66)	0.1
HOOSpres	45 (4 - 96)	46 (13 - 96)	39 (4 - 82)	48 (11 - 91)	0.4
HOOSpost	67 (21 - 100)	71 (27 - 100)	66 (34 - 100)	63 (21 - 91)	0.4
ΔHOOS	23 (-24 to 80)	25 (-24 to 80)	27 (-1 to 77)	15 (-11 to 76)	0.5

297 Table 5: AIf, ACEAf and functional outcome parameters for the whole cohort and
298 for the three different types of dysplasia severity. AI: Acetabular Index factored
299 for wear, ACEAf: Anterior Centre Edge Angle factored for wear. NAHS: Non-
300 Arthroplasty Hip Score, HOOS: Hip disability Osteoarthritis Outcome Score
301



302
303 *Figure 7: Kaplan Meier survival analysis plot colour-coded for the three different*

304 Zones.

305 **Discussion**

306 The last decade has seen significant advances in the understanding of failure
307 mechanisms in the young adult hip, an improved understanding of which surgical
308 procedures are appropriate for particular morphological abnormalities, and an
309 evolution in the safety and effectiveness of these procedures.

310

311 Painful hips with evidence of some degree of dysplasia can represent a significant
312 proportion of patients seen in a young adult hip practice, as seen in this study (30%).

313 However, the role of hip arthroscopy in the presence of dysplasia remains
314 controversial, due to conflicting results. Byrd *et al* reviewed their arthroscopic results

315 in 48 dysplastic hips, categorising them into borderline dysplastic (CEA: 20 to 25°) or
316 dysplastic (<20°)³. At an average follow-up of 2 years, 2 hips had been converted to

317 arthroplasty and no statistical difference was detected between the 2 severity
318 groups. Parvizi *et al* reported on the outcome of 34 hips with acetabular

319 morphological abnormalities (30 dysplastic) that underwent arthroscopy and labral
320 debridement.³⁴ At an average follow-up of 3 years, the authors reported accelerated

321 arthritis in 14 cases and/or migration of the femoral head in 13 cases with 16 hips
322 requiring further intervention. The authors emphasised the importance of not

323 debriding the labrum in such cohort, as this would likely accelerate the degenerative
324 process. Larson *et al* reviewed 88 dysplastic hips (CEA: 8.7 to 24.5° and AI: 0 to 22.2°)

325 that underwent arthroscopic treatment and compared outcome with an age-
326 matched FAI cohort²⁶. The authors reported inferior clinical results in the dysplastic

327 cohort and higher failure rate; they noted that labral repair and capsular plication
328 resulted in superior clinical results. In addition to the above studies, cases with

329 catastrophic results following rim resection, labral debridement, extensive³⁰
330 capsulotomy³⁶ and psoas tenotomy³⁸ have been reported. The present study has
331 greater length of follow-up compared to the aforementioned ones hence allowed for
332 determination of the medium-term (5 and 7 year) joint preservation rates and
333 identification of factors that improve chances of preservation. The rate of joint
334 preservation in this dysplastic series is inferior to other reports on FAI patients (^{18, 20}).
335 It is evident, therefore, that patient selection should be stratified. Based on the
336 analyses the following 2 factors had a detrimental effect on the chances of joint
337 preservation: pre-existing wear and greater degree of dysplasia as per radiographic
338 assessment.

339

340 There is an overall consensus that corrective osteotomy, such as a PAO, should be
341 the treatment of choice in severely dysplastic hips. Very good 10-year hip joint
342 preservation (over 85%) and functional outcome have been reported by many
343 authors, with correction of the bony anatomy with a PAO^{16, 19, 39}. Young age, minimal
344 intra-articular wear and an impingement-free environment post-correction are
345 important factors for optimal outcome following PAO. A PAO is a technically
346 challenging procedure with a steep learning curve. In 2008, PAO was a very
347 significant intervention requiring an extensive surgical approach, associated with a
348 potentially large blood loss (up to 2,500mls), and considerable peri-operative risks
349 (up to 46%) and inevitably had cosmetic implications^{1, 8, 15, 43}. It was, therefore,
350 important to offer a PAO to appropriately selected patients; however grading of the
351 degree of deformity in order to judge optimal treatment is not always
352 straightforward⁴¹. Consequently, hip arthroscopy presented an attractive alternative

353 for such patients. In 2016, minimally-invasive PAO is well established, and associated
354 with low blood loss, rapid discharge, and a cosmetically satisfactory result^{22, 27, 28}.
355 Considering this evolution, it is clear that many of the patients in this historical
356 cohort would perhaps not be offered arthroscopy in 2016. However, this cohort has
357 provided a unique and valuable opportunity to study the natural history of
358 arthroscopy in hips with a range of severity of hip dysplasia, and therefore define
359 evidence-based thresholds to aid management. Furthermore, hip arthroscopy can be
360 a very useful way to assess the integrity of the articular cartilage even prior to
361 considering a PAO.

362

363 Ross et al, analysing data from the ANCHOR group, reviewed characteristics of 30
364 dysplastic hips that required PAO following a 'failed' hip arthroscopy and compared
365 the results with 30 cases that underwent a PAO, without a prior arthroscopy³⁷. The
366 authors described lesser pre-operative radiographic dysplasia values for the failed-
367 arthroscopy-PAO group [(AI: 16.3°, 0 to 31°) and (CEA: 14.7°, -4 to 37°)] compared to
368 the PAO-alone group [(AI: 21.7°, -15 to 75°) and (CEA: 8.8 (-44 to 65°)]. They
369 reported that having a prior hip arthroscopy did not affect outcome following PAO.

370

371 It is also important to understand that patients with acetabular dysplasia frequently
372 demonstrate femoral abnormalities that may require correction. These can manifest
373 as torsional and cam deformities, the latter of which are common in dysplastic
374 patients, demonstrating both femoral head asphericity and also poor anterior
375 femoral head-neck offset^{6, 40}. This may cause symptoms by causing impingement
376 against a bulky and at-risk labrum. Thus correction of cam morphology, which is

377 eminently achievable through arthroscopic techniques, may improve symptoms in
378 dysplastic hips and potentially improve the longevity of the joint. In this cohort, 81%
379 of patients underwent femoral osteochondroplasty to correct cam deformity.
380 Determining the relative importance of this aspect of the procedure is difficult from
381 our data, however, it would seem an appropriate intervention when a cam deformity
382 is present.

383

384 It would therefore seem very timely to develop evidence-based guidelines based on
385 radiographic characteristics of who should be considered for a hip arthroscopy and
386 who should be a candidate for bony correction. Review of this historic cohort,
387 allowed us to study a large number of dysplastic hips with great variation in both
388 radiographic and operative findings. As both these factors affect chances of success
389 we calculated AI_f and CEA_f and determined the zones with greatest chance of joint
390 preservation and failure respectively. When no intra-articular wear is present AI_f and
391 CEA_f , are of the same values as AI and CEA. Both methods of analysis allowed us to
392 develop a grading system for hip dysplasia, which would help guide treatment.

393

394 In our cohort, the 5 and 7 year hip survival appears inferior to series reporting on
395 patients with FAI^{18, 20} and therefore arthroscopy should be considered with caution,
396 especially when intra-articular wear is detected on pre-operative imaging. We have
397 been able to develop an evidence-based traffic light grading system that can help
398 guide surgeons when considering arthroscopic treatment in dysplastic hips.

399

400 Hip arthroscopy can be associated with an excellent chance of hip preservation with
401 mild dysplasia (Green Zone: AI < 15° & CEA: 15 to 25°) providing there are no signs of
402 instability or articular wear on pre-operative imaging. Hip arthroscopy should not be
403 performed in cases with severe (Red Zone) dysplasia (AI > 20° & CEA < 10°), where
404 other options should be considered. Hip arthroscopy may be offered, with caution,
405 in moderate dysplasia (Amber Zone) provided there is no articular wear, the labrum
406 is repairable and the soft tissues are respected during the procedure.

407

408 We recommend that this traffic light system should only be used as a guide; as even
409 when in the Green Zone and with no intra-articular wear, failure to preserve the
410 joint can occur. In this cohort, the five failures in the Green Zone had deterioration in
411 their hip symptoms following arthroscopy but improved dramatically with a hip
412 arthroplasty.

413

414 This study has a number of limitations. Firstly, it is a retrospective review and hence
415 suffers from all the inherent faults of such a design. Secondly, as the cohort was not
416 homogeneous (both dysplasia and wear in some cases) we had to account for both
417 factors contributing to failure. However, we defined and calculated AI_f and CEA_f, and
418 based the analyses on these pragmatic values accounting for both radiographic (pre-
419 operative) and arthroscopic (intra-operative) assessments. Therefore, our findings
420 and subsequent recommendations on management reflect a holistic assessment.
421 Thirdly, the study period covers a number of years, during which the surgical attitude
422 and approach towards hip preservation, and the treatment of dysplasia, has seen
423 significant evolutionary changes. Never the less, such a relatively long study period

424 allowed us to evaluate different predictors of outcome and allowed for inclusion of
425 severely dysplastic cases (Red Zone) that would not have been considered as
426 suitable candidates by today's standards. Lastly, we did not perform a radiological
427 assessment of all hips at follow-up in order to determine which hips are
428 radiologically at-risk of failure.

429

430 **Conclusion**

431

432 Arthroscopic management of hip dysplasia is associated with an overall 7- year joint
433 survival rate of 68% and a moderate improvement in functional outcome. We were
434 able to determine an evidence-based classification system, based on the degree of
435 dysplasia, extent of intra-articular wear and chances of joint preservation. We have
436 demonstrated an excellent chance of hip preservation with arthroscopic treatment
437 for the symptomatic dysplastic hip with an AI < 15° & CEA: 15 to 25° (the Green
438 Zone), without signs of instability and rim overload.

439

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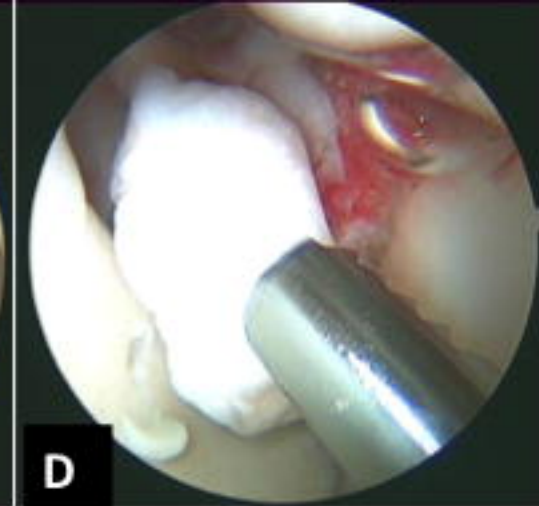
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Acetabular Index/ degrees

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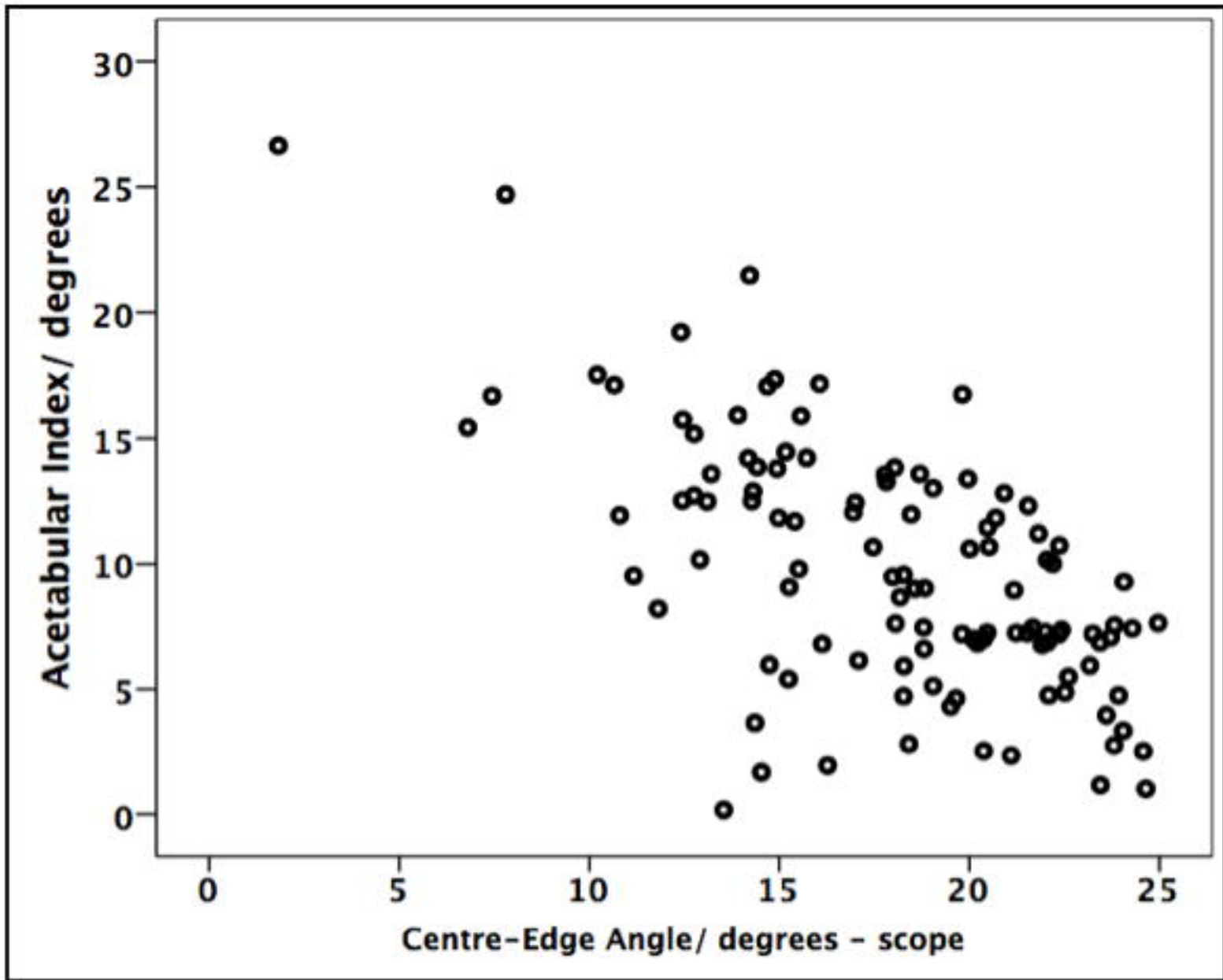
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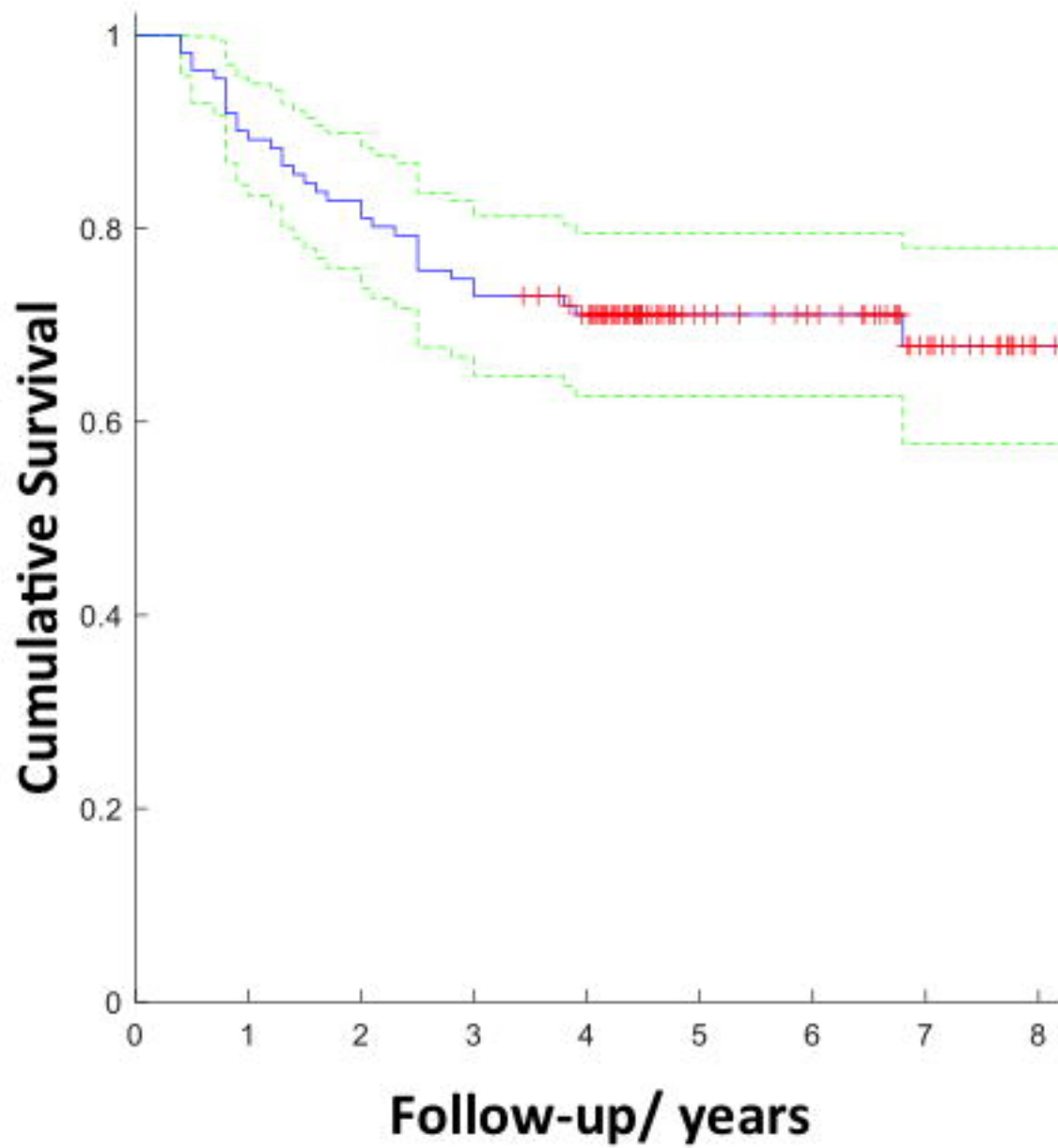
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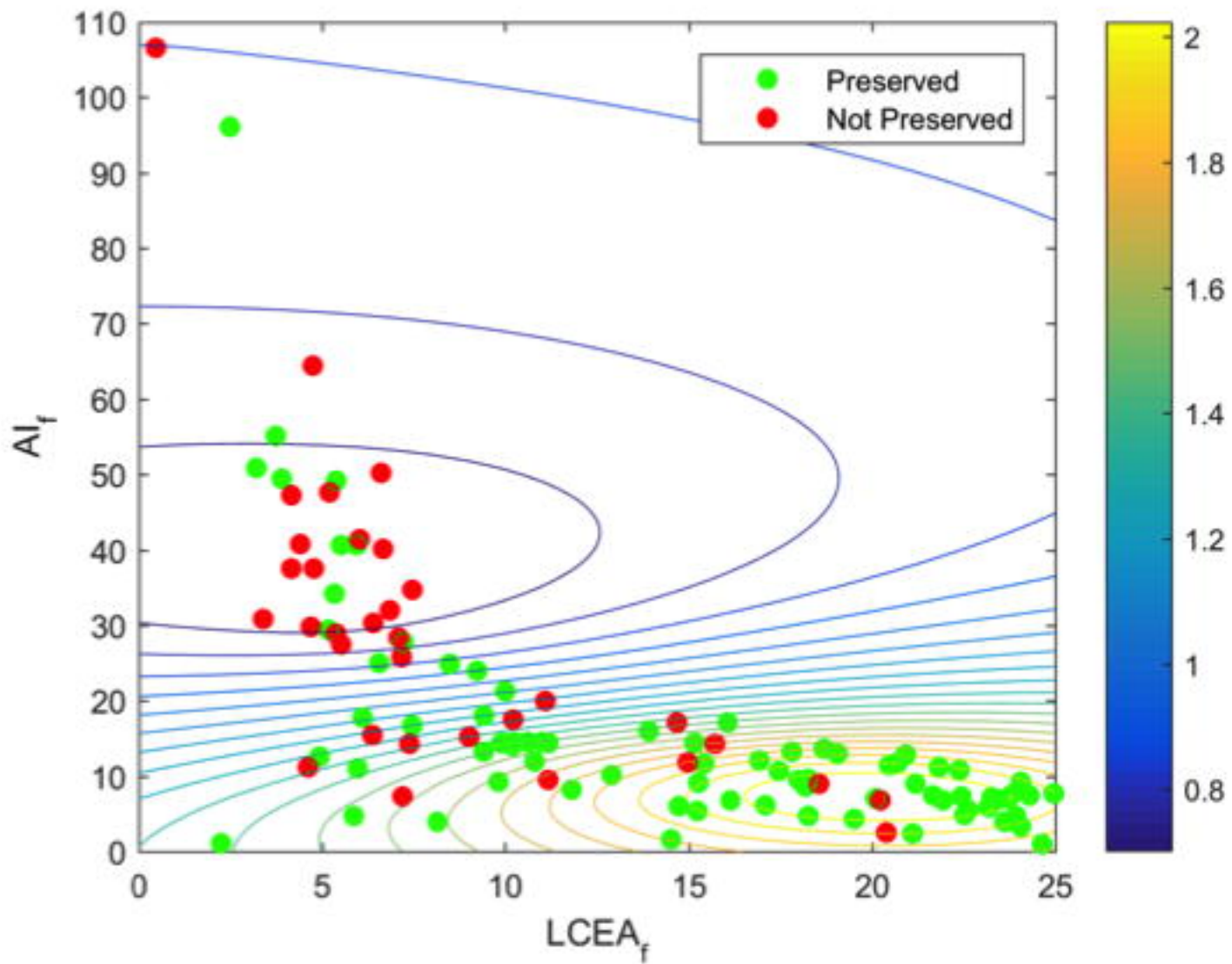
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Centre-Edge Angle/ degrees - scope







Filled Circles=Revised, Empty Circles=Preserved

