

## BRIEF REPORT

# New window into hepatitis B in Africa: Liver sampling combined with single cell omics enables deep and longitudinal assessment of intrahepatic immunity in Zambia

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In Lusaka, Zambia, we introduced liver fine needle aspiration (FNA) into a research cohort of adults with treatment-naïve chronic hepatitis B virus (HBV) infection, with and without HIV coinfection, as well as with acute HBV infection. Over 117 enrollment and 47 longitudinal FNAs (at 1 year follow-up), we established participant acceptability and safety. We also demonstrated the quality of the material through single cell RNA sequencing of selected enrollment FNAs, which revealed a range of immune cells. This approach can drive new insights into HBV

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immunology, informing cure strategies, and can improve our understanding of HBV natural history in Africa.

**Key words:** Hepatitis B virus; Africa; liver fine needle aspiration; single cell RNA sequencing; human immunodeficiency virus

## INTRODUCTION

Major gaps persist in our knowledge of hepatitis B virus (HBV) immunopathogenesis<sup>1</sup> as limited studies have been performed at the site of infection – the human liver. Liver fine needle aspiration (FNA)<sup>2</sup> has potential to close knowledge gaps as it can reliably capture the immunodiversity within patients' livers,<sup>3</sup> and its lower potential for complications than core biopsy<sup>2, 4</sup> may make it more acceptable in longitudinal research studies. To date, early HBV research studies that included liver FNA were conducted at top hepatitis research centers in North America and Europe; however, there is strong need to extend this type of HBV research to low and middle income countries (LMIC). In sub-Saharan Africa, for example, there is a high burden of HBV infection, and unique host and viral factors that may alter the infection's natural history. In this paper, we describe our introduction of liver FNA, analyzed via single cell sequencing, into a hepatitis B research cohort in Zambia (Southern Africa) in order to characterize the impact of HIV coinfection on HBV outcomes<sup>5</sup>.

## METHODS

### HBV cohort description

We incorporated the liver FNA procedure into a longstanding HBV cohort study in Lusaka, Zambia, which started in 2013<sup>6, 7</sup>. The study has ethical approvals from the University of Zambia Biomedical Research Ethics Committee (Lusaka, Zambia) and the University of Alabama at Birmingham Institutional Review Board (Birmingham, USA). General inclusion criteria were 18 years of age and above, and sero-positive for hepatitis B surface antigen. Participants fell into 3 groups: those with HIV coinfection and starting antiretroviral therapy for the first time; those who were HIV-negative and eligible to start antiviral therapy for chronic HBV monoinfection; and those who were HIV-negative had acute HBV infection (AHB). Exclusion criteria for all groups were pregnancy, hepatitis C virus infection, clinical evidence of acute liver failure or decompensated cirrhosis. All participants provided written informed consent prior to any protocol-specific procedures. Treatment for HBV monoinfection and HBV/HIV coinfection was per Zambian Ministry of Health guidelines. Liver FNAs were performed as part of enrollment and 1-year follow-up visits.

## **Pre-FNA safety assessment**

As soon as possible after enrollment or the 1-year visit, and within 1 week prior to the FNA procedure, participants underwent an abdominal ultrasound and blood tests, with results discussed during a weekly multidisciplinary call with research assistants who recruited the participants, nurses, hepatologists, and a laboratory technician. The FNA was canceled for ascites or liver lesions on ultrasound, international normalized ratio (INR) >1.3, platelet count <80,000/mm<sup>3</sup>, or haemoglobin <8.0 g/dl. Those with concerning liver lesions on ultrasound were referred for a multi-phase CT scan to assess for hepatocellular carcinoma (HCC).

## **FNA collection, processing, and analysis**

Ultrasound-guided percutaneous liver FNA was performed by a Zambian hepatologist with 4 passes collected from each participant at each time point. The liver FNA sampling procedure is described in detail in supplementary data.

At the local laboratory, FNA passes underwent depletion of red blood cells by use of the EasySep™ RBC Depletion Reagent and the EasySep™ magnet (STEMCELL TECHNOLOGIES). After depletion, cells were counted and 15,000 to 20,000 cells were loaded into a HIVE cell loader (Honeycomb Biotechnologies, Waltham, USA) that was then frozen at -20°C; remaining cell aspirates were viably stored at -80°C in Fetal Bovine Serum with 10% dimethyl sulfoxide. Frozen HIVEs were shipped to the analyzing laboratory within 6 months.

At the U.S. laboratory, captured mRNA from HIVEs was amplified to create sequencing-ready libraries. Libraries were multiplexed and sequenced on the NovaSeq 6000 sequencer, using a NovaSeq SP 100-cycle flow-cell, and raw data (FASTQ files) were processed using BeeNet™, a custom software to process paired-end Illumina® sequencing data from libraries produced by the HIVE methodology. FASTQ files were quality-controlled, pre-processed, aligned to Genome Reference Consortium Human Build 38 (GRCh38), and then transformed into annotated count matrices. Resulting count matrices were imported to Seurat for analysis and visualization. High-quality cells were selected for analyses using quality-control filtering with the following parameters that we established in a prior liver FNA study: less than 40% mitochondrial RNA content, to respect the prevalence of highly metabolically active cells in the liver (e.g. hepatocytes, macrophages, effector T cells),<sup>8</sup> greater than 300 genes per cell, and >500 reads per cell.<sup>9</sup> After removing doublets, cells were clustered and differential gene expression analysis was performed using Seurat's built-in functions. Clusters were annotated according to canonical markers, significance defined as a Bonferroni-corrected p-value less than 0.05 and a log<sub>2</sub>-fold-change of at least 0.25.

## **Analysis of participant acceptability, and safety**

We analyzed the numbers of participants who met safety criteria and returned for the procedure as planned (i.e., not returning could indicate lack of acceptance). Following enrollment FNA, we

described losses to follow-up, which may have reflected dissatisfaction with the procedure. During a post-FNA follow-up visit, we also conducted brief qualitative (open-ended) interviews with some participants to elicit their perspectives on the procedure. Interviews were based on a standard interview guide and were conducted by a staff member who did not participate in the procedure. Interviews were audio recorded, translated to English when necessary, and transcribed verbatim for analysis. To assess safety, we described any issues reported by participants just after the FNA and at follow-up, and any complications.

## RESULTS

### Adaptation of the liver FNA procedure for Zambia

We held a series of virtual meetings to develop a standard operating procedure that leveraged U.S. collaborators prior omics analysis of liver FNAs,<sup>9, 10</sup> relied as much as possible on supplies and reagents that were locally available in Zambia, and took into consideration local health-system and patient factors. We briefly used the original Seq-Well protocol, a platform with potential to make single cell analysis possible with samples collected in low-resource settings. However, in 2020, we adopted its commercial version (HIVE scRNA solution), which requires much fewer and easier processing steps and allowed us to collect and process up to 4 FNAs at a time. A Zambian hepatologist, already experienced in liver core biopsy, and several Zambian laboratory technicians visited the U.S. site to train in sample collection and processing. An immunologist-hepatologist from the U.S. team visited the Zambia site to reinforce the training. During the initial period of implementation, a weekly virtual meeting was held to discuss each individual FNA procedure, and review the FNA appearance (i.e., degree of visualized blood contamination), cell counts, and processing. The overall approach is summarized in Figure 1.

### Feasibility and acceptability

From October 2020 to March 2023, we enrolled 157 participants, including 88 with HBV/HIV coinfection, 55 with chronic HBV monoinfection, and 14 with AHB (Supplementary data). Median age was 34 years (range 18 - 62) and 59 participants (37.6%) were women. 138/157 participants completed the pre-FNA safety assessment. 125/138 (90.6%) met safety parameters, and 117 underwent the enrollment FNA. Among the 13 failing safety screening, 9 had liver lesions, 3 of which were subsequently diagnosed as HCC. Among those completing the enrollment FNA, we selected 64 participants for a repeat FNA at their 1 year follow-up. Of them, 47 (73%) completed the second FNA, with 5 (7.8%) declining the second procedure, 5 (7.8%) not meeting safety criteria, 6 (9.4%) not having the FNA due to staffing or supply issues. A participant flow chart is presented in supplementary data (Figure S1).

We conducted qualitative interviews with 11 participants (3 with HIV and 2 with AHB) who underwent the FNA, including 3 who had two FNAs (Supplementary data). Short-lived pain at

the puncture site was their main concern, and some additionally had peri-procedure anxiety, especially before the first FNA. However, pain resolved quickly and participants returned to their normal activities rapidly, even returning to work the same day in one case. Participants reported overall satisfaction with the study and their interactions with the study team, and this, plus transportation reimbursement available for study visits, made liver FNAs acceptable.

### **Patient safety**

Overall, there were no major complications over 164 FNA procedures. In one participant, pain at the puncture site was so severe (puncture of the biliary tree was suspected as FNA fluid was yellow), during and immediately post-procedure, that we admitted him to the hospital for 24 hours of clinical observation and pain management. We routinely phoned participants the day after FNA, and on those calls <10% complained of ongoing pain at the puncture site. Only 5 participants returned to clinic to be reviewed by a doctor due to persistent pain. None of these required intervention beyond the prescription of paracetamol.

### **Quality and usability of liver FNA samples**

Cell counts were documented for 502/652 individual FNA passes. Cells were present in 495 (98.6%) of the passes with a median number of 22,277 cells per FNA pass (interquartile range, 15,000-32,375). So far, cDNA libraries were successfully created for 55 loaded HIVEs and 15 cDNA libraries from enrollment FNAs from people with chronic HBV with and without HIV were sequenced and analyzed. In line with the typical HIVE version-1 cell recovery of 20% at low thresholds (100 genes/200 transcripts per cell), we recovered ~3,000 of the 15,000 loaded cells per participant FNA (range 9.40-36.73%). After quality control filtering (Figure S2.A and S2.B), and removal of doublets, we identified 18,679 high-quality cells (11,005 HBV/HIV coinfection; 7,674 HBV mono-infection). We generated a uniform manifold approximation and projection (UMAP) to visualize the distribution of cells and performed clustering (Figure 2A). Clusters were identified based on significant differential expression of canonical marker genes (Figure 2B). We consistently captured major lymphoid and myeloid immune cell types in each patient, i.e. T cells, B cells, neutrophils, monocytes DCs, and macrophages alongside parenchymal cells such as hepatocytes, and hepatic stellate cells (Figure 2C).

## **DISCUSSION**

We report the use of liver FNA in Zambia with subsequent molecular analysis of intrahepatic HBV immunity. FNA was acceptable to many participants, including as a longitudinal procedure, and no major complications occurred over 164 initial procedures and 656 biopsy passes. This novel approach may open a new window into the pathogenesis of HBV in humans, including in low and middle-income settings.

In Zambia, we documented high willingness of people living with HBV mono-infection and HBV/HIV coinfection to participate in a study involving research liver biopsies, building on studies in high-income countries<sup>10-12</sup>. We readily recruited more than 150 participants and ~90% who met pre-FNA safety criteria completed the procedure. Only ~10% of participants who were approached for a second FNA declined it, indicating that despite frequent pain immediately after the FNA, the procedure was perceived as acceptable. This was also reflected in qualitative interviews. We believe acceptability was driven by the local team's in-depth knowledge and experience in the management of HBV and their ability to explain the FNA procedure to participants. However, we cannot fully exclude that some participants may have misconceived that there was a therapeutic benefit from the FNA.<sup>13</sup>

We also report that liver FNAs collected in Zambia yielded high-quality single-cell transcriptomic landscapes of the liver, comparable to studies conducted at top hepatitis research centers in upper-income countries.<sup>3, 9</sup> Optimization of sampling and processing required strong partnerships between experienced investigators in Boston and Lusaka, and there was a learning curve over a series of reciprocal training visits. Using the HIVEs also strongly facilitated data quality as fresh samples could be loaded in Zambia and then frozen for later analysis.<sup>9</sup> HIVEs also captured cell types that are not recovered well or at all after freezing, such as neutrophils, as well as small amounts of hepatocytes. Building on this success, we now hope to transfer additional liver FNA processing and analysis steps to Zambia, to build African capacity for hepatitis research.

In conclusion, longitudinal liver FNAs, followed by molecular analysis at the single-cell level, were incorporated into an HBV research cohort in Zambia, to facilitate in-depth analysis of HBV liver immunology. This collaborative effort between liver-focused immunologists in the U.S. and HBV clinical experts in Zambia is on track to reveal major insights into HBV immunopathogenesis in the context of the African endemic and may serve as a role model for similar advanced studies in LMICs.

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**List of abbreviations in order of appearance:**

HBV – Hepatitis B virus

FNA – Fine needle aspiration

HIV – Human immunodeficiency virus

cDNA – Complementary Deoxy-ribonucleic acid

scRNA – Single cell Ribonucleic acid sequencing

LMICs – Low and middle income countries

AHB – Acute HBV infection

INR – International normalized ratio

mRNA – Messenger Ribonucleic acid

GRCh38 – Genome Reference Consortium Human Build 38

UMAP – Uniform manifold approximation and projection

DNA – Deoxy-ribonucleic acid

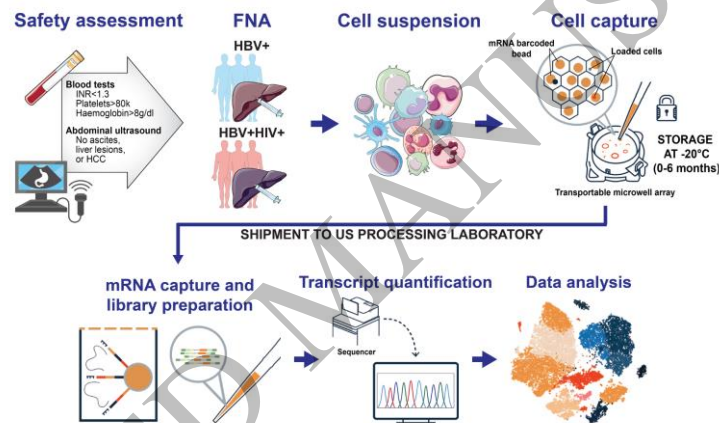
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## FIGURE CAPTIONS

**Figure 1: Overall summary of the liver FNA study workflow.** At the recruitment site in Zambia, following a safety assessment, liver aspirates were obtained and loaded onto transportable microwell arrays, which were shipped to the processing site in Boston for sequencing and analysis.





**Figure 2: Display of the cell populations obtained from liver FNA.** (A) UMAP of single cells annotated by cell type (cells included in analysis have >300 genes, >500 UMIs, and <40 MT%). (B) Dot plot showing marker gene expression for each identified cell type. (C) Stacked bar plots grouped by infection status showing the proportion of identified cell types in each enrollment sample.

