

# Elucidation of the genetic causes of bicuspid aortic valve disease

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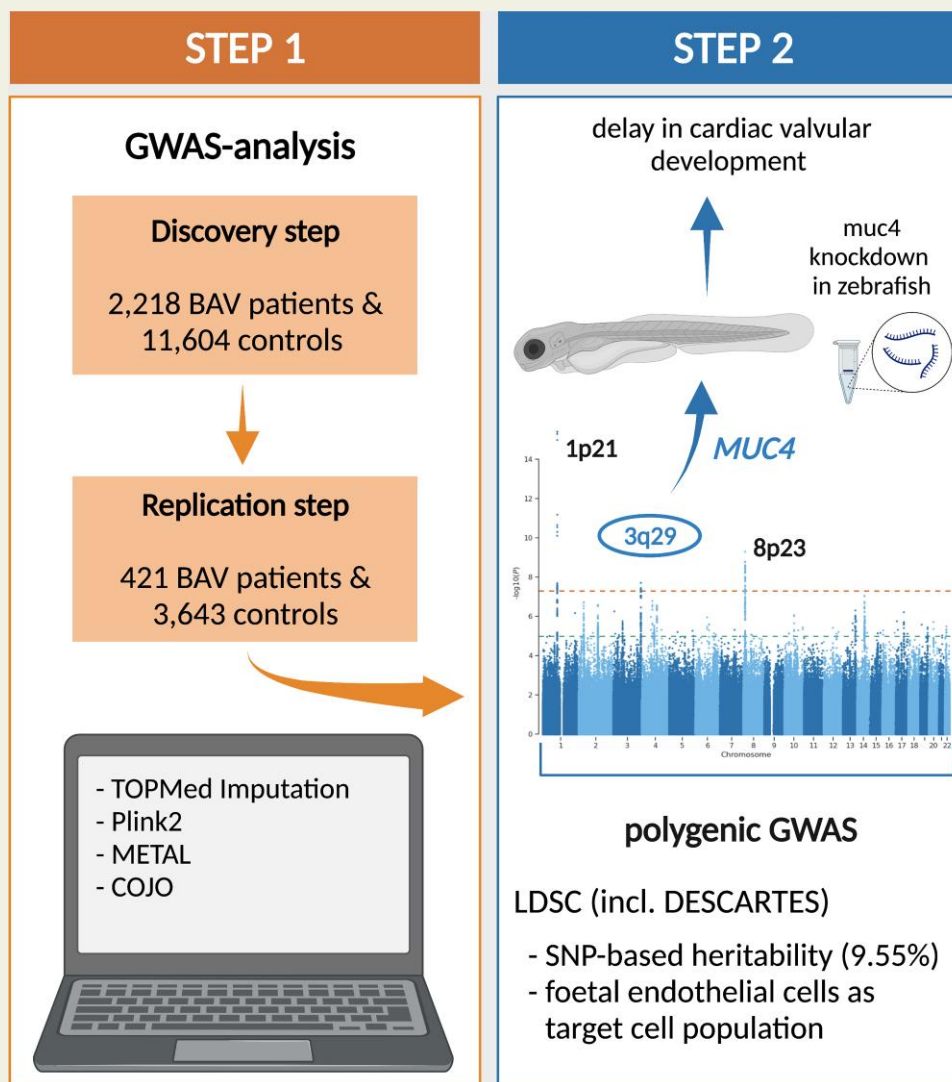
**Aims** The present study aims to characterize the genetic risk architecture of bicuspid aortic valve (BAV) disease, the most common congenital heart defect.

**Methods and results**

We carried out a genome-wide association study (GWAS) including 2236 BAV patients and 11 604 controls. This led to the identification of a new risk locus for BAV on chromosome 3q29. The single nucleotide polymorphism rs2550262 was genome-wide significant BAV associated ( $P = 3.49 \times 10^{-08}$ ) and was replicated in an independent case-control sample. The risk locus encodes a deleterious missense variant in *MUC4* (p.Ala4821Ser), a gene that is involved in epithelial-to-mesenchymal transformation. Mechanical studies in zebrafish revealed that loss of *Muc4* led to a delay in cardiac valvular development suggesting that loss of *MUC4* may also play a role in aortic valve malformation. The GWAS also confirmed previously reported BAV risk loci at *PALMD* ( $P = 3.97 \times 10^{-16}$ ), *GATA4* ( $P = 1.61 \times 10^{-09}$ ), and *TEX41* ( $P = 7.68 \times 10^{-04}$ ). In addition, the genetic BAV architecture was examined beyond the single-marker level revealing that a substantial fraction of BAV heritability is polygenic and ~20% of the observed heritability can be explained by our GWAS data. Furthermore, we used the largest human single-cell atlas for foetal gene expression and show that the transcriptome profile in endothelial cells is a major source contributing to BAV pathology.

**Conclusion** Our study provides a deeper understanding of the genetic risk architecture of BAV formation on the single marker and polygenic level.

**Graphical Abstract**



**Keywords**

Bicuspid aortic valve • GWAS • SNP-based heritability • Foetal heart transcriptome • Zebrafish

## 1. Introduction

Bicuspid aortic valve (BAV) is the most common congenital heart defect (CHD) with a prevalence of 0.5–2%.<sup>1</sup> BAV is of major clinical relevance because as many as 71% of patients develop aortic valve stenosis (AS) and ~60% experience aortic root dilatation with risk of aortic dissection. Furthermore, 10–30% of patients with BAV develop infective endocarditis.<sup>2</sup> As a consequence, BAV accounts for nearly 50% of all aortic valve replacements.<sup>3</sup>

Genetic factors are strongly involved in the aetiology of BAV with an observed heritability of 47–89%.<sup>4,5</sup> This range of heritability reflects the genetically heterogeneous nature of BAV with a wide spectrum of disease-causing mutations and risk variants. On one end of this spectrum, BAV is the result of highly penetrant mutations in single genes. This refers to monogenic BAV forms, which can be syndromic or non-syndromic. Examples of syndromic BAV that are characterized by additional extra-cardiac conditions include Loeys-Dietz syndrome with mutations in *TGFBR1* or *TGFBR2* as well as familial thoracic aortic aneurysm and dissection (TAAD) syndrome with mutations in *ACTA2*.<sup>6,7</sup> In contrast, mutations in *NOTCH1*, *ADAMTS19*, *SMAD6*, or *ROBO4* were found in monogenic non-syndromic cases of BAV.<sup>8–11</sup> However, the vast majority of BAV cases are sporadic<sup>12</sup> and result from a complex genetic background.

Genome-wide association studies (GWAS) provides an unbiased approach for discovering genetic risk variants for complex genetic traits and two GWAS involving BAV patients have been published so far. The first GWAS analysed 466 European BAV cases as discovery sample and 1326 European BAV cases as replication cohort.<sup>13</sup> This study identified one risk single nucleotide polymorphism (SNP) at the 3' end of *GATA4* with genome-wide significant BAV association as well as one independent SNP within *GATA4* that represents a missense variant (p.Ser377Gly) and was suggestive BAV associated. In addition, a rare missense variant (p.Thr1221Met) within *DHX38* showed genome-wide significant BAV association. In a second GWAS of AS, the authors used a follow-up sample of 1555 European BAV patients in order to assess whether associated AS risk variants occurred on the background of a tricuspid or bicuspid valve formation.<sup>14</sup> Of all genome-wide significant associated AS variants, SNPs at *PALMD*, *TEX41*, or *MYH6* were also associated with BAV. All association findings along with information on the identified BAV risk variants and used GWAS samples are summarized in [Supplementary material online, Table S1](#).

In the present study, we further characterized the genetic architecture of BAV and present single marker and polygenic association findings from the largest GWAS analysed to date. In addition, we functionally characterized a new BAV GWAS locus in zebrafish using CRISPR/Cas9-mediated knockout and antisense oligonucleotide Morpholino-(MO-) mediated gene knockdown.

## 2. Methods

### 2.1 Study subjects

The GWAS sample consisted of two European case-control cohorts that were recruited in Germany and the UK. The German cohort comprised 1539 BAV patients that were recruited by the University Hospitals of Bonn and Lübeck as well as the German Heart Centre Munich and 4414 controls that were part of the population-based Heinz-Nixdorf Recall study.<sup>15</sup> The UK cohort comprised 697 BAV patients that were recruited by the University Hospitals of Leicester as well as 7190 controls that were part of the UK Biobank.<sup>16</sup> In total, we

analysed 2236 BAV patients (583 females, 1653 males) and 11 604 controls (4415 females, 7189 males) for the GWAS. The replication sample consisted of 421 German BAV patients (99 females, 322 males) that were recruited by the University Hospital of Bonn and the German Heart Centre Munich as well as 3643 controls (1266 females, 2377 males) that were part of a blood donor cohort recruited at the University Hospital of Kiel. A detailed description of all BAV patients and controls analysed within this study is given as [Supplementary material online, Cohort description](#).

### 2.2 Declaration

The authors state that their study complies with the Declaration of Helsinki, that the locally appointed ethics committees have approved all research protocols and that informed written consent has been obtained from all study participants before the inclusion of subjects into the study.

### 2.3 Genome-wide genotype data, quality control, and imputation

All patient samples (GWAS and replication) were genome-wide genotyped within this study. For the control cohorts (GWAS and replication) genotype data were obtained from previous studies. All genotyping arrays are summarized for each cohort in [Supplementary material online, Methods](#).

The pre-imputation quality control (QC) is described in detail in [Supplementary material online, Methods](#). After the QC, the sets of remaining SNPs were imputed using the TOPMed Imputation Server and TOPMed Reference panel<sup>17–19</sup> for the German samples. For the UK samples, the Sanger Imputation Server and a combined reference panel using the Haplotype Reference Consortium release 1.1 (HRC r1.1)<sup>20</sup> with additional variants from the UK10K and the 1000 Genomes phase 3 reference panel<sup>21</sup> were used. After imputation, we excluded variants with a Hardy-Weinberg equilibrium deviation of  $P < 10^{-06}$  in patients or  $P < 10^{-04}$  in controls, a minor allele count  $< 20$  or a minor allele frequency (MAF) in cases  $< 0.01$ .

### 2.4 GWAS and GWAS meta-analysis

For the German cohort, trait associations were calculated with Firth logistic regression as implemented in Plink2,<sup>22</sup> conditional on the first 10 variance standardized genotype principal components.

For the British cohort, logistic models were run in Plink2<sup>22</sup> utilizing Firth regression where models failed to converge. Analyses were adjusted for the first five genetic principle components.

The meta-analysis was performed with METAL<sup>23</sup> using the fixed-effect inverse standard error-weighting approach, with a standard genome-wide significant threshold of  $5 \times 10^{-08}$ .

To investigate whether independent associations are present in regions of genome-wide significance we carried out conditional analyses on the strongest associated SNP in each region with the meta-analysis approach COJO from GCTA.<sup>24,25</sup> Here, we used the German cohort as a linkage disequilibrium (LD) reference sample. Additionally, we performed reciprocal conditional analysis with rs2293232 and rs2550262 on chromosome 3q29 as implemented in Plink2.<sup>22</sup>

### 2.5 LD score regression analyses

SNP-based heritability was calculated using LD score regression (LDSC).<sup>26</sup> The reference files from GRCh37 were used as advised by the authors.

We next applied LDSC to partition the SNP-based heritability for BAV across transcriptome profiles from 16 foetal cardiac cell types that characterize heart development and were available through DESCARTES,<sup>27</sup> the largest human single-cell atlas for foetal gene expression. Details of this analysis are provided as [Supplementary material online, Methods](#).

## 2.6 FUMA analysis

We used FUMA (Functional Mapping and Annotation of Genome-Wide Association Studies)<sup>28,29</sup> via the web-interface to gain functional insights into BAV. FUMA was used with default settings for the SNP2GENE and the GENE2FUNC modules. These tools provide information about functional annotations of associated SNPs and the possible biological role of the annotated genes.

## 2.7 Expression analysis at the BAV risk locus on chromosome 3q29 in embryonic/foetal and adult heart

Transcriptome data from single-cell RNA sequencing (scSeq) experiments derived from seven embryonic/foetal<sup>30</sup> and two adult hearts<sup>31</sup> were used. A detailed description of the tissue samples and scSeq protocols can be found in the corresponding publications.<sup>30,31</sup> In brief, raw data of 676 single-cell transcriptomes isolated from seven human embryonic/foetal hearts (4.5–10 weeks of gestation) and three heart compartments (proximal outflow tract, ventricle, atria) were downloaded from the NCBI Sequence Read Archive (accession no. PRJNA510181). In addition, in-house data of 18 485 single-nuclei transcriptomes isolated from two adult human heart ventricles and atria were used. All transcriptomes were subjected to a standardized QC procedure followed by alignment to the Genome Reference Consortium Human Build 38 (hg38) using RNA STAR<sup>32</sup> on the Galaxy web platform.<sup>33</sup> This was followed by deduplication (BroadInstitute Picard tools: MarkDuplicates. <https://broadinstitute.github.io/picard/> Accessed August 15, 2020) and count matrix creation<sup>34</sup> for single-cell data as well as the Cellranger pipeline<sup>35</sup> with default settings including the `–include-introns` option for single-nuclei data, respectively. Seurat<sup>36</sup> objects for all samples were created for downstream analyses from filtered count matrices. After quality filtering, the data were normalized and scaled and variable features for 669 embryonic/foetal and 16 896 adult single cells were detected using SCTransform.<sup>37</sup> Data from embryonic and adult cardiac tissue were integrated using integration anchors and label transfer as described by Stuart et al.<sup>38</sup> PCA and uniform manifold approximation and projection for dimension reduction were used to cluster cells into distinct biological identities and cell type identification was established based on the expression of known markers. For expression analysis of *APOD*, *MUC20*, *MUC4*, and *TNK2*, the ‘RNA’-assay of the integrated Seurat object was split into adult and embryonic cardiac cell populations retaining the clustering information of the ‘integrated’ -assay. The two populations were each normalized (NormalizeData) and the Seurat command FeaturePlot was used for visualization of gene expression with settings `min.cutoff = ‘q10’` and `max.cutoff = ‘q90’`.

## 2.8 Zebrafish experiments

Handling of zebrafish was done in compliance with the guidelines from Directive 2010/63/EU of the European Parliament on the protection of animals used for scientific purposes and with German and Brandenburg state law, carefully monitored by the local authority for animal

protection (LUGV, Brandenburg, Germany). Zebrafish embryos were euthanized by rapid chilling upon submersion into ice water bath (five parts ice/one part water, 2–4°C) for at least 20 min to ensure death. The *Tg(kdrl:EGFP)*<sup>s84339</sup> zebrafish line was used and maintained under standard conditions as previously described.<sup>40</sup> MOs (Gene Tools, LLC, USA) were diluted in ddH<sub>2</sub>O to 1 mM stock solution and injected into the yolk at the one-cell stage in 1 nL volume. Details of gRNAs, CRISPR/Cas9, and MOs sequences as well as experimental conditions, whole-mount immunohistochemistry, and whole-mount *in situ* hybridization protocol are provided in the [Supplementary material online, Methods](#).

## 3. Results

### 3.1 GWAS single-marker association analysis

Our combined GWAS sample consisted of 2236 patients and 11 604 controls (see Methods for details). Genetic variants at three loci were associated with BAV at genome-wide significance. Two of the loci—on chromosome 1p21 and 8p23—have previously been reported,<sup>13,14</sup> whereas the locus on chromosome 3q29 is novel. The findings for the lead variants at each locus are summarized in [Table 1](#). The corresponding Q-Q- as well as Manhattan-plots are shown in [Supplementary material online, Figures S1 and S2](#).

To assess the robustness of our findings, we first compared our data with previously published GWAS findings. SNP rs11166276 on chromosome 1p21 near *PALMD* showed the strongest genome-wide significant BAV association in our study [ $P = 3.97 \times 10^{-16}$ ; odds ratio (OR) = 1.33] ([Table 1](#) and [Figure 1A](#)). The risk variant is in perfect LD to rs7543130 ( $r^2 = 1$ ), which was the lead associated SNP in the GWAS of Helgadottir et al.<sup>14</sup> On chromosome 8p23, rs6996406 showed genome-wide significant BAV association in our study ( $P = 1.61 \times 10^{-09}$ , OR = 1.61) ([Table 1](#) and [Figure 1B](#)). The risk variant is in perfect LD to rs118065347 ( $r^2 = 1$ ), which was the lead SNP in the GWAS of Yang et al.<sup>13</sup> and was linked to *GATA4* as disease-contributing gene. Furthermore, we found nominal significant association at two additional BAV risk loci that have been reported previously.<sup>13,14</sup> On chromosome 2q22, SNP rs13408842 within Intron 4 of *TEX41*, which was the lead variant at this locus in the GWAS of Helgadottir et al.,<sup>14</sup> showed BAV association in our sample ( $P = 7.68 \times 10^{-04}$ , OR = 1.13) ([Table 1](#)). In addition, the missense variant rs3729856 (p.Ser377Gly) within Exon 6 of *GATA4* on chromosome 8p23 that showed independent disease association at this locus in the GWAS of Yang et al.<sup>13</sup> was significant BAV associated in our sample ( $P = 1.71 \times 10^{-02}$ , OR = 1.12) ([Table 1](#)). Thus, all common risk loci at *PALMD*, *TEX41*, and *GATA4*<sup>13</sup> that have been previously found in BAV GWAS were replicated in our data set. However, we could not test the previously described risk SNPs rs137867582 (p.Thr1221Met) within *DHX38*<sup>13</sup> and rs387906656 (p.Arg721Trp) within *MYH6*,<sup>14</sup> because the MAFs of the corresponding risk alleles are extremely rare in the European population (<0.001% according to gnomAD<sup>41</sup>).

At the novel risk locus on chromosome 3q29 rs2293232 showed genome-wide significant BAV association ( $P = 1.96 \times 10^{-08}$ , OR = 1.33) ([Table 1](#) and [Figure 1C](#)). This SNP is located in Exon 5 of *MUC4* and represents a missense variant (p.Ala4448Thr) that is predicted to be benign according to gnomAD.<sup>41</sup> In addition, a second and independent variant at this locus showed genome-wide significant BAV association ( $P = 3.49 \times 10^{-08}$ , OR = 1.30) ([Table 1](#)). This SNP, rs2550262,

**Table 1** Lead associations of genome-wide significant and replicated BAV risk loci

SNP	Chromosome [position in bp (hg38)]	Effect allele/other allele	Frequency in %	P-value	OR	95% CI
rs11166276	1 (99 579 683)	T/C	0.50	$3.97 \times 10^{-16}$	1.33	1.29–1.38
rs13408842	2 (145 086 078)	A/G	0.48	$7.68 \times 10^{-04}$	1.13	1.09–1.17
rs2293232	3 (195 770 272)	T/C	0.13	$1.96 \times 10^{-08}$	1.33	1.27–1.40
rs2550262	3 (195 763 273)	C/A	0.30	$3.49 \times 10^{-08}$	1.30	1.24–1.36
rs6996406	8 (11 945 922)	A/G	0.40	$1.61 \times 10^{-09}$	1.61	1.49–1.73
rs3729856	8 (11 757 066)	G/A	0.15	$1.71 \times 10^{-02}$	1.12	1.07–1.18

The associations are shown for the risk alleles (effect alleles). Mean allele frequencies for the risk alleles across all GWAS cohorts, P-values, odds ratios (OR), and the corresponding 95% confidence intervals (CIs) are shown.

is only in weak LD to rs2293232 ( $r^2 = 0.53$ ). Accordingly, both variants showed significant BAV association upon reciprocal conditioning ( $P_{rs2293232} = 4.96 \times 10^{-02}$ ,  $P_{rs2550262} = 1.12 \times 10^{-03}$ ). Of note, rs2550262 is in nearly perfect LD to rs2246901 ( $r^2 = 0.97$ ), a missense variant in Exon 13 of *MUC4* (p.Ala4821Ser) and deleterious according to gnomAD.<sup>41</sup> Thus, this variant represents the most likely causal SNP at this locus.

Finally, we analysed 421 independent European BAV patients and 3643 ethnically matched controls in order to replicate the newly identified risk locus on chromosome 3q29. Here, we found independent BAV association for rs2550262 ( $P = 1.36 \times 10^{-02}$ , OR = 1.22), one of the lead GWAS SNPs within *MUC4*. In addition, rs2246901, which is in nearly perfect LD to rs2550262 and represents the most likely causal SNP at this locus, showed significant BAV association ( $P = 1.31 \times 10^{-02}$ , OR = 1.22). In the combined sample (GWAS and replication) rs2550262 was BAV associated with  $P = 1.85 \times 10^{-09}$  (OR = 1.28) (see [Supplementary material online, Table S2](#)).

## 3.2 Genetic BAV architecture on the polygenic level

We used the LDSC method, which allows the collective analysis of common GWAS variants, to estimate the SNP-based heritability of BAV and to test whether GWAS risk SNPs are enriched in regions surrounding genes with specific expression in different cell types of the foetal heart.

Based on our genome-wide data, we estimate the SNP-based heritability of BAV to be  $9.55 \pm 3.97\%$  standard deviation (SD). The reported BAV heritability, which is based on family studies, ranges from 47 to 89%<sup>4,5</sup> with the lower estimate referring to complex genetic BAV forms, while the upper estimate reflects monogenic forms of BAV. Thus, our data already explain 20% of the reported heritability ( $h^2 = 47\%$ ).

Next, we used DESCARTES<sup>27</sup> to assess cell type-specific GWAS enrichment. This database provide an annotation of cell type-specific transcriptome profiles for 15 organs from 121 foetal tissues. Within the foetal heart, DESCARTES identified 16 cardiac cell types with distinct transcriptome profiles during development. We applied LDSC to partition the SNP-based heritability for BAV across these 16 transcriptome profiles and found nominally significant GWAS enrichment ( $P < 0.05$ ) among 11 foetal cardiac cell types (see [Supplementary material online, Table S3](#)). Of note, we observed only a weak overlap of expressed genes between these cell types (see [Supplementary material online, Figure S3](#)). Of the implicated cell types, the transcriptome profile in vascular endothelial cells also showed significant enrichment for BAV GWAS signals after a Bonferroni-correction for multiple testing ( $P_{\text{uncorrected}} = 5.9 \times 10^{-04}$ ,  $P_{\text{corrected}} = 9.5 \times 10^{-03}$ ).

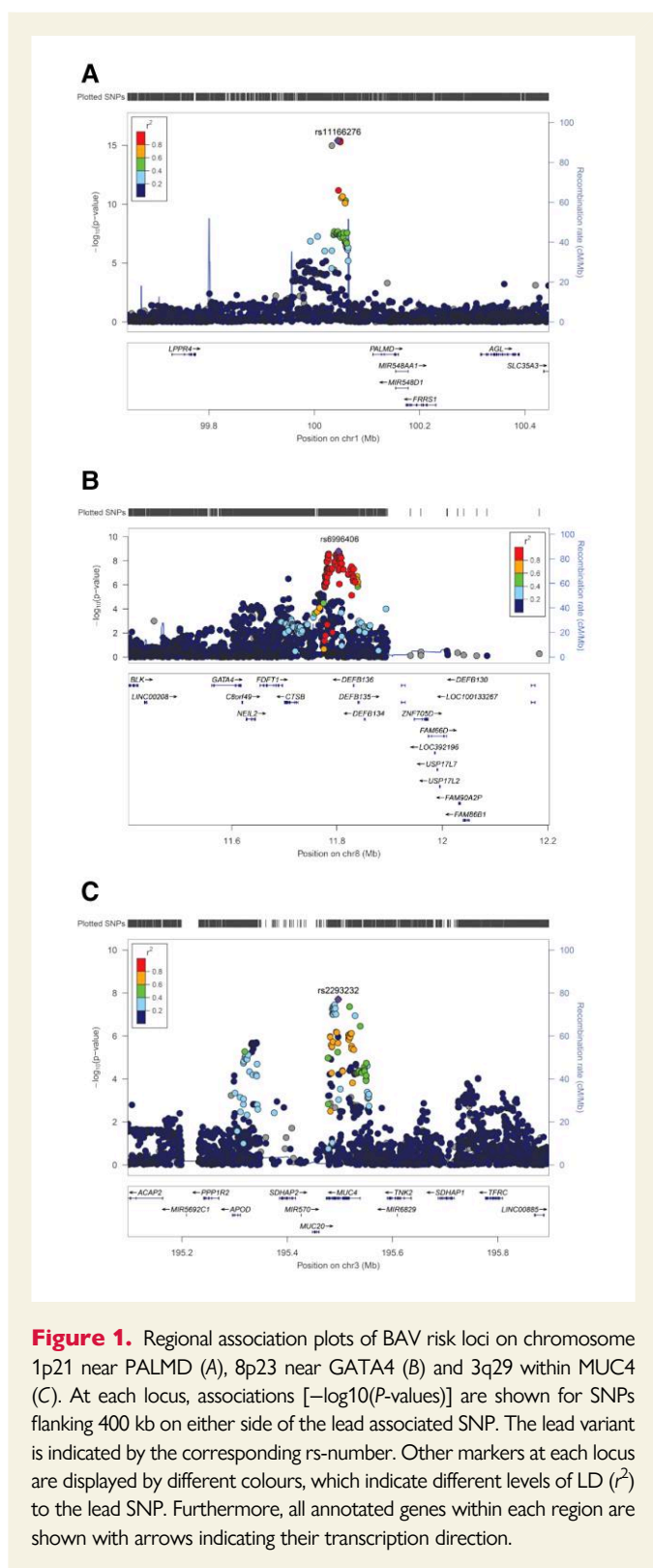
In addition to the LDSC method, we analysed the GWAS data on the polygenic level using FUMA,<sup>28,29</sup> a tool that uses functional and biological information as well as GWAS data to prioritize disease genes. The FUMA gene-based analysis was performed for a total of 18 830 genes. Here, two genes were implicated after correction for multiple testing ( $P < 0.05/18\,830$  or  $P < 2.66 \times 10^{-06}$ ) (see [Supplementary material online, Figure S4](#)). One refers to *MUC4*, the BAV candidate gene on chromosome 3q29 identified by the GWAS, where FUMA showed significant BAV association with  $P = 9.66 \times 10^{-08}$ . The other gene that showed significant BAV association in FUMA is *DCAF4* on chromosome 14q24 ( $P = 1.54 \times 10^{-06}$ ). In contrast, all FUMA tissue expression profile analyses did not reveal any significant or biologically interesting results (data not shown), which could be because FUMA uses information only from adult heart tissue.

## 3.3 Expression of genes at the BAV risk locus on chromosome 3q29 in embryonic/foetal and adult heart

We used transcriptome data from scSeq experiments derived from seven embryonic/foetal and two adult hearts<sup>30,31</sup> of which expression data were available. [Supplementary material online, Figure S5A](#) shows that the cell type-specific embryonic/foetal and adult cardiac transcriptome data lead to perfectly superimposed clusters, which have been published previously.<sup>31</sup> We then focused on expression data of genes from the embryonic/foetal and adult heart, in which variants showed at least weak LD ( $r^2 > 0.2$ ) to the strongest BAV-associated SNP on chromosome 3q29. According to [Supplementary material online, Figure 1B](#), these are the genes *APOD*, *SDHAP2*, *MUC20*, *MUC4*, and *TNK2*. Of them, all—except *SDHAP2*—showed expression in embryonic/foetal and adult cardiac cell types, while *MUC4* represented the gene that—compared with the other genes—was expressed in embryonic/foetal cardiac cells but almost undetectable in the adult heart (see [Supplementary material online, Figure S5C](#)). Thus, also based on these expression data *MUC4* represents an interesting BAV candidate gene at the newly identified GWAS locus, although the other genes cannot be excluded as the true risk conferring BAV genes.

## 3.4 Cardiac valvulogenesis is delayed upon loss of *Muc4* in zebrafish

In the GWAS and replication study, SNP rs2550262 in *MUC4* was significantly BAV associated and in strong LD to the missense variant rs2246901 (p.Ala4821Ser) that is deleterious according to gnomAD.<sup>41</sup> In addition, *MUC4* showed almost specific expression in embryonic/foetal cardiac cells compared with adult heart tissue. We, thus, selected



*MUC4* for first functional testing of its potential involvement on valve leaflet morphogenesis in zebrafish.

Previous transcriptome data on cardiac tissue revealed that the predicted zebrafish orthologous gene *si:ch73-105b23.6* (here called *muc4*) is expressed at 21.5, 54, and 72 h post-fertilization (hpf) during stages of atrioventricular (AV) valvulogenesis.<sup>42–44</sup> To functionally study the role of *Muc4* during valvulogenesis, we used two different loss-of-function

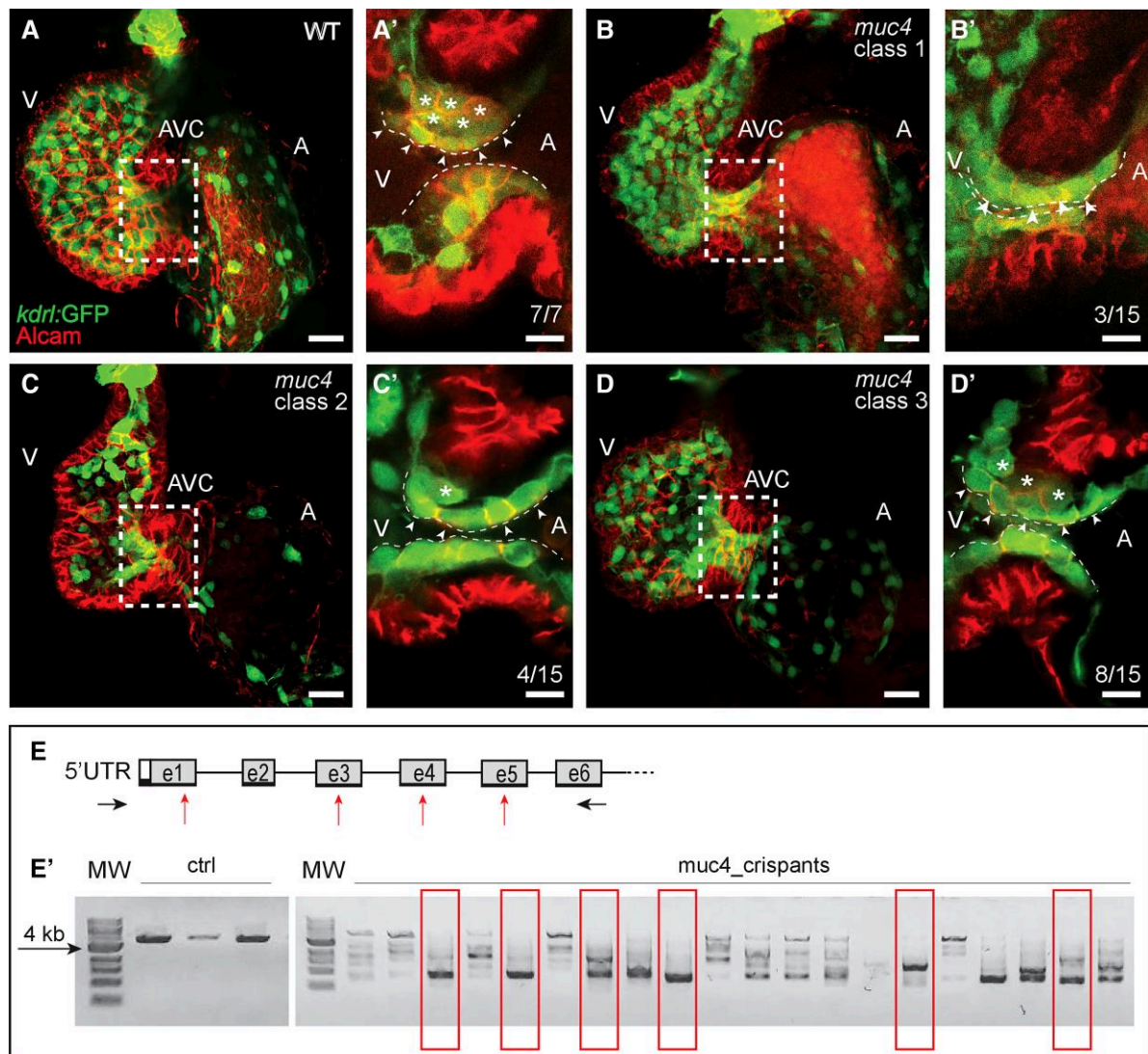
strategies. First, we used a CRISPR/Cas9-mediated knockout (Figure 2) that was based on injecting of a mixed pool of four gRNAs and Cas9 protein. We tested the efficacy of the CRISPR/Cas9-mediated gene knockout on a total of 58 embryos. Genomic PCR of the *muc4* locus revealed that the mixture of four gRNAs had induced mutations in almost all embryos. Among these, we chose 15 embryos that had not only a large deletion at the *muc4* locus but also lacked a WT allele (Figure 2E and E'). When we characterized these 15 *muc4* crispant embryos, we found that the gene knockout had not caused any gross defects in cardiac morphogenesis at 58 hpf. However, careful analysis of endocardial cell ingression into the cardiac jelly during the morphogenesis of the AV valve leaflets revealed a delay in *muc4* crispants compared with wild-type (WT) non-injected control embryos (Figure 2A–D). Whereas in WT endocardial cell ingression has produced an abluminal layer of endocardial cells at that stage (Figure 2A', asterisks), *muc4* crispants exhibited a delayed endocardial cell ingression into the cardiac jelly. We identified three phenotype classes among the 15 *muc4* crispants as quantified in Figure 2B and D. As an alternative approach, we also designed three non-overlapping MO antisense oligonucleotides to target *muc4* pre-mRNA splice sites (see Supplementary material online, Figure S6). For this alternative MO knockdown approach (see Supplementary material online, Figure S6), a similar delay in endocardial cell ingression during AV valvulogenesis was observed (as quantified in see Supplementary material online, Figure S7). Thus, the *muc4* crispant and morphant AV valvular phenotypes correlate with a temporal delay in cardiac valve development.

## 4. Discussion

Using the largest GWAS sample analysed so far, we independently confirmed all common loci that have previously been reported to contribute to the risk for BAV disease. In addition, we identified a novel risk locus on chromosome 3q29 and revealed that *MUC4* at this locus might be involved in embryonic valvulogenesis. Finally, our results underline the polygenic nature in BAV development.

Although recent GWAS have identified risk variants for BAV development near *PALMD* (chromosome 1p21) and *TEX41* (chromosome 2q22), functional data are still lacking to determine the causal BAV genes at both loci. Helgadottir et al.<sup>14</sup> used chromatin interaction maps from different foetal and adult heart tissues and found that the BAV risk variants at both loci are located within regulatory regions that interact with promoters on neighbouring genes. On chromosome 1p21 this involved promoters of *PALMD*, *SNX7*, *PLPPR5*, and *PLPPR4* as well as two non-coding RNAs. On chromosome 2q22 promoters of *ZEB2* and *GTDC1* as well as three non-coding RNAs, including *TEX41* have been implicated. In contrast, *GATA4* on chromosome 8p23 is so far the only gene at a GWAS locus with convincing evidence of being involved in BAV development. Common risk variants and rare deleterious mutations in *GATA4* have been repeatedly found in various CHDs, including BAV, atrial and ventricular septal defect as well as Tetralogy of Fallot.<sup>46–51</sup>

In the present study, we identified and independently confirmed a fourth BAV risk locus on chromosome 3q29. Based on our GWAS data, expression data from embryonic/foetal and adult heart tissues as well as zebrafish knockout and knockdown experiments, *MUC4* represents an interesting BAV candidate gene at this locus. SNP rs2246901 in Exon 13 of *MUC4* is in nearly perfect LD to the lead-associated GWAS variant and represents a missense variant (p.Ala4821Ser) that is



**Figure 2.** Zebrafish *muc4* knockout using CRISPR/Cas9. (A–D) Maximum intensity projections from confocal z-stack images of hearts at 58 hpf. (A'–D') Single confocal z-section images of the AVC region. Endocardial cells in luminal positions (arrowheads) at the AVC are marked by *kdr1:GFP* and Alcam.<sup>45</sup> Endocardial cells that have already invaded the extracellular matrix and are in abluminal positions are marked with asterisks. (A') While in WT control embryos many endocardial cells are in abluminal positions, some of the 15 *muc4* crispants with a complete truncation of the genomic locus exhibit a delayed ingress of endocardial cells into the cardiac jelly. The severity of the phenotypes varied according to the following classes: (B') Class 1 embryos (3 of 15) lack an endocardial cell ingress by that stage; (C') Class 2 embryos (4 of 15), have only a single endocardial cell ingressing into the cardiac jelly at that stage; (D') in *muc4* crispants of class 3 (8 of 15), a few endocardial cells have ingressed into the cardiac jelly. V, ventricle; A, atrium; AVC, atrioventricular canal. Scale bars are 20  $\mu$ m (A–D) and 10  $\mu$ m (A'–D'). (E) Schematic representation of the zebrafish *muc4* locus and gRNA binding sites (red arrows). Black arrows indicate the positions of primers used to assess the efficacy of CRISPS-induced knockout by PCR. (E') Single embryo PCR products from control embryos (ctrl) and embryos injected with a mixture of four gRNAs and Cas9 protein (*muc4\_crispant*). Red boxes are indicating samples that lack any WT genomic amplification bands. Among 58 injected embryos that were genotyped, 15 had a large truncation of the genomic region and did not contain any WT band. Only these crispants were used for phenotypic characterization. MW, molecular weight.

deleterious according to gnomAD.<sup>41</sup> In line with this finding, knockout and knockdown of this gene in zebrafish revealed that *muc4* may impact cardiac AV valve leaflet morphogenesis causing a developmental delay of the multi-layering of valve leaflets. The zebrafish heart is two-chambered containing three sets of valves (AV, inflow, and outflow tract valves). In our study, we focused on the development of the AV valve between atrial and ventricular chambers because the development of this valve has been well characterized and it forms at an early developmental stage between 48 and 72 hpf. Of note, zebrafish outflow tract valve leaflets,

which are related to human aortic valves affected in BAV, emerge and elongate between 96 and 144 hpf and, currently much less is known about their mode of development.<sup>52</sup> We also found that by this stage that AV valve development had partially recovered in our *Muc4* knockout and knockdown zebrafish models. On the cellular level, *MUC4* also represents an interesting BAV candidate gene. *MUC4* is involved in epithelial-to-mesenchymal transformation (EMT),<sup>53,54</sup> a cellular process that is of major relevance for cardiac valve development.<sup>55–57</sup> During EMT, the molecular phenotype of the endocardial epithelial cells changes

towards a mesenchymal character and those cells migrate from the inner lining of the endocardium towards the cardiac jelly forming the so-called endocardial cushions.<sup>58</sup> At later stages fusion of these cardiac cushions results in the formation of valve leaflets.<sup>58</sup> Interestingly, induced molecular changes in the composition of the prevalvular mesenchyme can result in the formation of BAVs.<sup>59</sup> A similar transition of cells in adult life can be seen during cancer and especially in tumour metastasis. In this context expression of MUC4 has been previously shown to initiate the process of EMT in cancer cells,<sup>53</sup> whereas inhibition of MUC4 expression resulted in suppressed cell invasion and EMT.<sup>54</sup>

Although our genetic and zebrafish data indicate MUC4 as an interesting BAV candidate gene, Muc4 knockout mice are viable, fertile, and show no obvious developmental abnormalities.<sup>60,61</sup> However, mild cardiac valve abnormalities may have been missed in the latter studies. In muc4 knockout and knockdown zebrafish models, the developmental phenotype only became apparent after focused cardiac phenotyping.

In addition, our study represents the first that examined the genetic BAV architecture beyond the single-marker level. Our findings show that a substantial fraction of BAV heritability is polygenic with an estimated SNP-based heritability of  $9.55 \pm 3.97\%$  (SD) that already explains around 20% of the observed BAV heritability. In addition, we found that the transcriptome profile of foetal endothelial cells in DESCARTES<sup>27</sup> showed significant enrichment of GWAS signals. This implies that endothelial cells, which along with interstitial cells are the most common aortic valve cell type,<sup>62</sup> are of major relevance for BAV development. However, the transcriptome profiles of 10 additional foetal heart cell types showed nominally significant enrichment of BAV GWAS signals. This might point to a complex interaction of different cell types involved in BAV development and might also explain why BAV patients often present with other CHDs, where additional disease-relevant cell types are involved.

Our study has two main limitations. Most of our patients were symptomatic cases, mainly with an AS phenotype. Even though the vast majority of BAV patients will become symptomatic, genetic variability also influences the BAV outcome. We, thus, cannot exclude the possibility that we have also identified genetic risk factors that influence the occurrence of BAV complications rather than its development. In addition, our study did not allow the identification of rare genetic risk factors contributing to BAV. We, thus, could not examine the contribution of the previously described rare risk variants in *DHX38* and *MYH6*. Large exome-wide sequencing studies will provide corresponding data in the future.

## 5. Conclusions

We independently confirm that the risk loci at *PALMD*, *TEX41*, and *GATA4* contribute to BAV development. In addition, our results imply that a disturbed *MUC4* function contributes to BAV development. The BAV-associated missense variant rs2246901 (p.Ala4821Ser) in *MUC4* is predicted to be deleterious and Muc4 knockout as well as knockdown in zebrafish led to a persistent delay in AV valvular development. However, further studies are needed to determine the relevance of MUC4 in BAV development. The present study constitutes the basis for these future studies. Furthermore, we show that a substantial fraction of BAV heritability is polygenic and around 20% of the observed heritability can be explained by our GWAS data. In addition, our findings point to the transcriptome profile in foetal endothelial cells as a major source contributing to BAV pathology.

## Supplementary material

Supplementary material is available at *Cardiovascular Research* online.

## Authors' contribution

Design of the work, acquisition/analysis/interpretation of data for the work, drafting the manuscript, final approval of the manuscript, being accountable for the parts of the work she/he has done: J.G., A.S., R.D., F.F., D.S., M.K., J.-M.S., G.N., M.M.N., A.P.B., S.A.-S., N.J.S., J.E., T.T., J.S. Acquisition of data/study participants for the work, final approval of the manuscript, being accountable for the parts of the work she/he has done: C.P.N., B.A.-K., A.-S.G., C.M.H.B., C.F.Z., L.L.K., P.S.B., T.R.W., S.H., S.E., B.F., S.A.M., M.S., H.K., M.S., F.A.K., P.N., L.B., M.K., V.V., M.A., S.B., K.-L.L., Y.H., M.K., U.M., L.O.C., I.B., C.L., P.U., W.-K.K., J.K., D.E., U.N.-G., P.H., F.W., S.D., H.L., M.D., M.v.S., K.K., T.K., C.H., H.S.

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## Data availability

The data that support the findings of this study are available on request from the corresponding author (J.S.).

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