1	Robust Enzymatic Saccharification of a Douglas-fir
2	Polest Halvest Residue by SI ORL
3 4 5	Shao-Yuan Leu <sup>1,2</sup> , J.Y.Zhu <sup>1</sup> *, Roland Gleisner <sup>1</sup> , John Sessions <sup>3</sup> , Gevan Marrs <sup>4</sup>
6 7 8 9	<sup>1</sup> USDA Forest Service, Forest Products Laboratory, Madison, WI <sup>2</sup> Dept. Civil Environ. Eng., Hong Kong Polytechnic University, Kowloon, Hong Kong <sup>3</sup> College of Forestry, Oregon State University, Corvallis, OR <sup>4</sup> Weyerhaeuser NR Company, Federal Way, WA
10 11 12	Abstract
12	Forest harvest residues can be a cost-effective feedstock for a biorefinery, but the high
14	lignin content of forest residues is a major barrier for enzymatic sugar production. Sulfite
15	pretreatment to overcome strong recalcitrance of lignocelluloses (SPORL) was applied to a
16	Douglas-fir (Pseudotsuga menziesii (Mirb) Franco var. menziesii) forest residue in a range of
17	sulfite and acid loadings at 165°C for 75 minutes with liquid to wood ratio of 3:1. Sodium
18	bisulfite and sulfuric acid charge as mass fraction of oven dry biomass of 12 % and 2.21 %,
19	respectively, was optimal in terms of enzymatic cellulose saccharification, sugar yield and
20	formation of hydroxymethylfurfural (HMF) and furfural. Enzymatic glucose yield of a dry
21	biomass was 345 g kg <sup>-1</sup> , or equivalent to 82.3 % of theoretical at a cellulase (CTec2) dosage of
22	15 filter paper unit (FPU) per gram of glucan. HMF and furfural formation were low at
23	approximately 2.5 g $L^{-1}$ each in the pretreatment hydrolysate. Delignification was important to
24	achieve good cellulose saccharification efficiency, however, approximately 80-90 %
25	hemicellulose removal is also required. Substrate enzymatic digestibility (SED) was found to
26	correlate to a combined parameter $Z(CHF)$ of delignification and hemicellulose dissolution well,
27	suggesting that the combined hydrolysis factor $(CHF)$ – a pretreatment severity measure – can be
28	used to predict saccharification of forest residue for scale-up studies to reduce numbers of
29	experiments.

31	Keywords: forest harvest residue, pretreatment, enzymatic hydrolysis/saccharification,
32	biofuel, pretreatment severity
33	
34	* Corresponding author: <u>jzhu@fs.fed.us</u> , (608) 231 – 9520 (Phone), (608) 231 – 9538 (fax)
35	This work was conducted on official government time of Zhu and Gleisner while Leu was a
36	postdoctoral fellow at the USDA Forest Service, Forest Products Lab.

# 37 Introduction

38 Forest harvest residues can be sustainably produced in large quantities in North America and various regions of the globe [1-3]. About 50 Mt of forest residues are available in the United 39 States alone, of which it is estimated that 70 % can be sustainably recovered annually [1-3]. A 40 41 recent study by the U.S. National Academy of Sciences indicated that forest residues are one of the two most cost effective feedstock for biofuel production [4]. Forest residues have relatively 42 high bulk densities and can be harvested year round which reduces on-site storage requirements, 43 44 both of which are significant advantages over agriculture residues and herbaceous biomass in terms of improving supply chain logistics and reducing transportation costs [5, 6]. However, 45 forest residues are very recalcitrant to biochemical conversion through the biorefinery concept 46 because bark and juvenile wood in the residues have high lignin content. Very limited studies 47 are reported on bioconversion of forest residues [7]. Few process technologies reported 48 satisfactory enzymatic saccharification yield from woody biomass including forest residues. 49 Successfully addressing efficient bioconversion of forest residues has significant practical 50 importance because feedstock sustainability and cost are the two critical factors that dictate the 51 52 commercial viability of the biorefinery concept.

Some degrees of lignin removal as well as substantial removal of hemicelluloses through a pretreatment step are required for efficient enzymatic saccharification of lignocellulosic biomass with high lignin content [8]. Various pretreatment technologies, such as Organosolv, alkaline, and SO<sub>2</sub> catalyzed steam explosion, have been applied to softwood species with high lignin contents [6] and achieved some level of success [9-13]. Sulfite Pretreatment to Overcome the Recalcitrant of Lignocelluloses (SPORL), though a relatively new process [14], demonstrated robust performances for sugar and biofuel production from very recalcitrant softwoods with

60 excellent sugar and ethanol yields [15, 16] and at high titer [17]. Recently, we demonstrated that lignosulfonate produced in the soluble stream (spent liquor) by SPORL pretreatment acts as non-61 ionic surfactant to enhance cellulose saccharification [18]. This facilitates simultaneous 62 enzymatic saccharification and combined fermentation of the solids and soluble streams from 63 pretreatment without either solid and liquid separation or washing of solids [17]. Furthermore, 64 we found that elevated pH of 5.2 to 6.0 significantly alters the surface charge of insoluble 65 sulfonated lignin from SPORL pretreatment, resulting in near zero nonproductive cellulase 66 binding to lignin in the solid fraction [18-20]. These positive effects of lignin sulfonation by 67 68 SPORL makes it uniquely suited for pretreating feedstock of very high lignin content such as forest residues. 69

70 The objective of this study is to evaluate the SPORL process for fermentable sugar production from a Douglas-fir forest residue. Douglas-fir forest residues represent one of the 71 72 most recalcitrant lignocellulosic feedstock because of its softwood lignin structure and very high lignin content arising from the additional rich bark and juvenile wood content. Pretreatments 73 were conducted in a range of severities using varied sulfite and acid dosages in a lab scale 74 reactor. Both total sugar recovery and the production of fermentation inhibitors, such as 5-75 76 Hydroxymethyl furfural (HMF) were evaluated. This study can provide useful information to 77 further improve the SPORL process for efficient bioconversion of forest residues in large scale studies for commercial applications in the future. 78

- 80
- 81
- 82

## 83 **2. Materials and methods**

#### 84 **2.1 Feedstock and chemicals**

Douglas-fir forest residues used in this study were collected from roadside piles (Fig. 1) 85 resulting from a regeneration harvest in a Douglas-fir stand located in western Oregon (44.24' N 86 and 123.42' W) owned by Roseboro Resources (Roseburg, OR, USA). The stand was harvested 87 in Spring of 2011. The residues were still fairly green when ground on February 16, 2012 using 88 a Peterson Pacific 4710B horizontal grinder with a combination of 76 mm and 102 mm grates, 89 and a combination of 18 standard carbide and 18 chipper bits. The harvested residues were 90 91 shipped to Weyerhaeuser Company at Federal Way, WA. The moisture content was 38.1 % measured at arrival. The residues were composed of approximately 87 % Douglas-fir and 6 % 92 hardwood based upon wood fiber identification. The collected residues were screened using a 3.2 93 mm woven wire screen to remove fines. The mass fraction of screen reject fines was 94 approximately 15 %. The ash content of the fines was very high at 15.3 % in agreement with a 95 96 previous study of chipped Douglas-fir residue sample [21]. The ash content of the screen accepts after fines removal was 1.2 %. The screen accepts were then air-dried to 10.4 % 97 moisture. The dried accept chips (labeled for the project as FS-03) were shipped to the USDA 98 Forest Products Lab. The received FS-03 was fractionated using a Williams horizontal sieve 99 shaker (USPN 7905, Williams Standard, Williams Apparatus Company, Watertown, NY) with a 100 set of sieves of sizes: 3.2, 4.8, 6.4, 9.5, 12.7, 15.9, 19.1, 22.2, 25.4, 28.6, and 31.8 mm to 101 determine particle size distribution. 102

All chemicals, i.e. sulfuric acid and sodium bisulfite, acetic acid and sodium acetate, were
 ACS reagent grade and purchased from Sigma-Aldrich (St. Louis, MO). A commercial cellulase

105 cocktail CTec2 was kindly provided by the Novozymes North America (Franklinton, NC). The
 106 CTec2 activity was 150 FPU cm<sup>-3</sup>.

### 107 2.2 Substrate production

FS-03 Douglas-fir forest residue was pretreated using SPORL in lab bomb reactors. Three 108 1 L stainless bomb reactors were housed in an autoclave configuration in a 23 L laboratory 109 rotating pulping digester as described previously [16, 22]. The pulping digester was heated 110 internally by steam and rotated at 0.21 rad s<sup>-1</sup> for mixing. Our previous study indicated that 111 SPORL pretreatment conducted at a low temperature of 165 °C is advantageous in reducing 112 113 sugar degradation during SPORL pretreatment without affecting the enzymatic digestibility of 114 the pretreated solid substrate [23]. Therefore all SPORL pretreatments were conducted at T =165°C with varied pretreatment duration t = 50 - 125 minutes, chemical loadings of sodium 115 bisulfite as mass fraction of oven dry (od) wood B = 4 - 14 %, and sulfuric acid concentration as 116 volume fraction = 0 - 0.8% or as mass fraction A = 0 - 4.42% on oven dried solids as listed in 117 Table 1. Replicate pretreatments were conducted for several pretreatment conditions. Each 118 119 pretreatment was conducted in a bomb reactor using 150 g of oven dried solids mixed with dilute sodium bisulfite solution at a fixed liquid to solids ratio of 3:1. The pretreatment temperature 120 121 was monitored using a thermocouple probed inside of the 23 L pulping digester by a wireless transmitter (Omega Engineering, Inc., CT) and a laptop computer. The temperature was 122 controlled at 165±3°C by manually adjusting the steam flow through the digester. After 123 124 pretreatment, the spent liquor was separated from the pretreated solids using a stainless steel mesh for determining mass balances of the solid and liquid fractions. 125 126 The solids were then disk milled in a 0.31 m disk refiner (Andritz Sprout-Bauer

127 Atmospheric Refiner, Springfield, OH) at atmospheric pressure using a pair of disk plates of

pattern D2-B505 with plate gap of 1 mm and rotating at 269 rad s<sup>-1</sup>. The collected pretreatment spent liquor was re-mixed with the pretreated solids at the inlet to milling, without adding any additional dilution water. The milled samples were placed into a canvas bag to separate the pretreatment liquor containing dissolved materials from the solids, by hydraulic pressure. A 100 g sample of the resultant wet solids was washed twice by mixing with 1 L of tap water to wash out the soluble components. The washed solids were filtered using a Whatman paper filter. The washed solid sample was collected for yield determination and chemical composition analysis.

#### 135 **2.3 Pretreatment severity**

The combined severity factor (*CSF*) has been used to describe the severity of dilute acid pretreatment [24]. Unfortunately, *CSF* failed to provide good predictions of hemicellulose dissolution during pretreatments [25]. Furthermore, it cannot be applied to pretreatments with additional catalysts. We previously developed a combined hydrolysis factor (*CHF*) that can accurately predict hemicellulose dissolution for both SPORL and dilute acid pretreatment of aspen [26] and SPORL pretreatment of Douglas-fir [23] under a wide range of conditions:

142

$$CHF = e^{\left(\alpha - \frac{E}{RT} + \beta C_A + \gamma C_B\right)} (C_A + C_B)t \tag{1}$$

143 Where  $C_A$  and  $C_B$  are the molar concentrations of chemical *A* (sulfuric acid) and chemical *B* 144 (sodium bisulfite) used in pretreatment, respectively;  $\alpha$ ,  $\beta$  and  $\gamma$  are adjustable parameters, *E* is 145 the apparent activation energy (J mole<sup>-1</sup>), *R* is universal gas content of 8.314 J mole<sup>-1</sup> K<sup>-1</sup>, *t* in 146 min, and *T* is absolute temperature (K). The values of  $\alpha = 28.5$ ,  $\beta = 17$ ;  $\gamma = -10$ , and E = 100,000147 (J mole<sup>-1</sup>) were used in our previous study for the bark-free Douglas-fir wood chips [23]. Slow 148 and fast reaction hemicelluloses were incorporated into the kinetic analysis for predicting 149 hemicellulose dissolution using *CHF* by the following equation:

150 
$$X_R = (1 - \theta)e^{-CHF} + \theta e^{-f CHF}$$
(2)

151 Where  $X_R$  is the fraction of hemicellulose remaining in the pretreated solids,  $\theta$  is the fraction of 152 slow hemicelluloses, *f* is the ratio of the rate constants between the slow and fast hemicellulose 153 hydrolysis reactions. The slow hemicelluloses represent a small fraction of hemicelluloses 154 intimately associated with cellulose that is hard to be hydrolyzed.

#### 155 **2.4 Enzymatic hydrolysis**

156 Enzymatic hydrolysis was carried out at a solids mass fraction loading of 2 %, and an enzyme dosage of 15 FPU (or 100 mm<sup>3</sup>) per gram glucan, or approximately 0.5-0.6 mL CTec2 157 per gram biomass. The wet substrate was mixed into sodium acetate buffer to make a 50 mL 158 mixture in a 125 mL flask. The pH of the mixture was first adjusted using lime and then 159 controlled at 5.5 using acetate buffer rather than pH 5.0 commonly used in many laboratories 160 throughout the world. Elevated pH of approximately 5.5 can significantly reduce nonproductive 161 cellulase binding to bound lignin on solid substrates and enhance enzymatic saccharification [19, 162 20]. The flasks were placed into a shaking incubator (Thermo Fisher Scientific, Model 4450, 163 Waltham, MA) at 50 °C and agitated at 20.9 rad s<sup>-1</sup> (i.e. 200 rpm). Hydrolysate samples were 164 collected at 3, 6, 9, 24, 48, 72 hours for each experiments. Replicates of enzymatic hydrolysis 165 were conducted for selected samples. 166

#### 167 **2.5 Analytical methods**

The chemical compositions of the forest residues, and the SPORL pretreated substrates were analyzed as described previously [22]. Briefly, the biomass carbohydrates were hydrolyzed using sulfuric acid in two steps: concentration as volume fraction of 72% at 30 °C for one hour followed by dilution to concentration as volume concentration of 3.6% at 120 °C for one hour. The hydrolyzed sugars were analyzed using a Dionex HPLC system (ICS-3000, Dionex) equipped with integrated amperometric detector. Klason lignin was determined gravimetrically.

Sugars, furan, and acetic acid concentrations in the pretreatment hydrolysates were analyzed by
another HPLC (Ultimate 3000, Thermo Scientific) equipped with a refractive index detector for
carbohydrate and furan analyses, using a Biorad Aminex HPX-87P column with an ionic
deashing guard column, as well as a UV-Vis detector for acetic acid analysis using a Biorad
Aminex HPX-87H column along with a cation H guard column. A commercial glucose analyzer
(YSI 2700 S, YSI Inc., Yellow Springs, OH) was used for fast analysis of glucose concentrations
in the enzymatic hydrolysates.

181

## **Results and discussion**

## 183 **3.1** Analysis of the forest residue (FS-03)

184 FS-03 has a bark mass fraction of 3.5 % measured by manually separating bark and wood of an aliquot sample, which is very close to 3.1 % calculated from the Klason lignin and glucan 185 186 contents of pure wood, pure bark, and the FS-03 forest residue according to a procedure 187 developed previously [21]. Images of the FS-03 fractions are shown in Fig. 2a through Fig. 2d. 188 Despite initial fractionation of as-received moisture content material (rejecting particles less than 3.2 mm), drying of the forest residue resulted in an additional fraction of small particles, 189 presumably from (1) the separation of small particles that adhered to large particles when wet, 190 191 and (2) the breakup of the brittle bark particles due to drying. This fraction of small particles can 192 be clearly seen from Fig. 2a and accounts for approximately 2 % of the total mass. Because FS-03 was harvested by grinding, some relatively large particles were observed (Fig. 2b) which can 193 pose problems for pretreatment using the small scale laboratory reactor. Therefore FS-03 was 194 195 hammer milled before pretreatment. The large particles were cut manually to shorter length as shown in Fig. 2c, to facilitate hammer milling. Hammer milling significantly reduced particle 196

size (Fig. 2d) and the particle size distribution becomes relatively uniform compared with the
initial FS-03 (Fig. 3). However hammer milling also produced a significant amount of small
particles. The mass fraction with size less than 3.2 mm increased from approximately 2 % to 33
% (Fig. 3).

The chemical compositions of FS-03, and the wood and the bark from FS-03 were analyzed (Table 2). FS-03 has higher lignin and lower glucan content than the commercial wood from which FS-03 was obtained due to: (1) the high lignin (38.4 %) and low glucan (31.7 %) content in the bark; and (2) the wood in forest residue is primarily from tree tops and branches which are juvenile wood with relatively high lignin and low glucan contents.

# 3.2 Effect of pretreatment on cell wall composition, inhibitor formation, and substrate enzymatic digestibility

Cell wall component losses, formation of fermentation inhibitors such as furan and acetic 208 acid, and substrate enzymatic cellulose saccharification efficiency are important factors in 209 determining the optimal pretreatment for a given feedstock. The effect of pretreatment time t 210 was evaluated under constant mass charges of sodium bisulfite on wood B = 10 % and sulfuric 211 acid A = 2.21 %. t had a minimal effect on delignification and glucan loss (Fig. 4a). Increasing t 212 213 increased the removals of hemicelluloses, xylan and mannan, which improved cellulose 214 accessibility. This can be seen from the 20 % increase in substrate enzymatic digestibility (SED, Fig. 4a), defined as the percentage of substrate glucan enzymatically saccharified to glucose, 215 216 when pretreatment time t was doubled from 50 to 100 minutes. However, t also had significant impact on furan formation (Fig. 4a). Both HMF and furfural increased almost linearly with t to 217 approximately 4 g  $L^{-1}$  and then plateaued at 100 minutes. The formation of acetic acid was 218 219 almost constant for the range of t studied.

220 Increasing B slightly reduced hemicellulose removal but significantly improved 221 delignification and increased glucan loss under constant A = 2.21 % and t = 75 minutes (Fig. 4b) as sulfite is known capable of degrading cellulose to produce weak sulfite pulp based on sulfite 222 223 pulping. Lignin removal achieved 40 % at B = 12 %. Partial delignification is important for improving enzymatic saccharification of lignocelluloses with high lignin content materials such 224 as FS-03 [8]. SED was increased from 50 to 91 % (Fig. 4b) when B was increased from 4 to 12 225 % due primarily to the increased lignin removal from 0 to 40 %. Increasing *B* increased pH of 226 the pretreatment liquor at constant A, and as a result, furan formation and acetic acid decreased 227 228 linearly as *B* increased (Fig. 4b). Both HMF and furfural concentrations were approximately 2.5 g L<sup>-1</sup> at B = 12 %. 229

Low pH facilitates hemicellulose dissolution but causes lignin condensation. Increasing 230 A under constant B and t resulted in improved xylan and mannan removal and decreased 231 delignification (Fig. 4c). Xylan and mannan removal were increased from approximately 60 % 232 to over 90 % when A was increased from 0 to 3.3 %. Lignin removal, however, was reduced 233 234 from approximately 50 % to 20 %. Glucan loss was not affected by A because actual pH variation is small in the acid range investigated. The opposing directions of hemicellulose 235 236 removal and delignification resulted in negligible effect on SED (Fig. 4c). Increasing A resulted in significant increase in furan production due to reduced pH, opposite to that observed from 237 increasing sodium bisulfite loading. Both HMF and furfural were increased approximately from 238  $0.7 \text{ g L}^{-1}$  to  $4 \text{ g L}^{-1}$ . 239

### 240 **3.3 Predictions of hemicellulose removal and delignification using** *CHF*

*CHF* was developed using aspen with the consideration of both slow and fast xylan and
shown to provide accurate prediction of xylan removal even at near complete xylan removal

243 [26]. When CHF was applied to the current study of Douglas-fir forest residue (FS-03), fairly good prediction of hemicellulose removal was also obtained (Fig. 5a). The difference in the 244 removal of xylan and mannan was apparent. This is probably due to the differences between 245 246 these two hemicellulose types and how they are embedded in the cell matrix. Another possibility is due to the fact that bark has higher content of xylan and lower content of mannan compared 247 248 with wood (Table 2). The high lignin content in bark may resulted in less hemicellulose dissolution than the hemicellulose in wood. Separating fittings of xylan and mannan produced 249 better predictions of approximately  $\pm 3\%$  (Fig. 5a). 250

251 Delignification was found to be inversely proportional to CHF and can be predicted to within  $\pm 6$  % (Fig. 5b) despite the fact that CHF was developed for predicting hemicellulose 252 253 dissolution. This is probably due to the fact that all pretreatments were conducted in a narrow 254 range of conditions, e.g., temperature was fixed at 165°C. Furthermore, delignification was facilitated by sulfite but negatively impacted by acid through lignin condensation reactions, 255 256 which are accurately captured by CHF, i.e.,  $\beta$  is positive and  $\gamma$  is negative in Eq. (1). Fine tuning 257 optimization experiments, especially in scale-up studies are often conducted in a narrow range, and therefore, can use CHF to predict delignification. These results indicate that CHF can be 258 used for designing pretreatment processes, especially for scale-up studies where conducting 259 260 numerous experiments are economically prohibitive.

261 **3.4 Enzymatic cellulose saccharification and hemicellulose removal and delignification** 

Cellulose accessibility is a key factor dictating enzymatic saccharification of
lignocelluloses [8]. Delignification and removal of hemicelluloses can improve cellulose
accessibility [27]. For lignocelluloses with low lignin content, hemicellulose removal is the
dominant factor for improving enzymatic saccharification [8]. *SED* can be predicted using xylan

removal or CHF [26]. Delignification becomes important for lignocelluloses with high lignin 266 267 content such as softwoods [8]. FS-03 has a lignin content of 32.3 % (Table 2) much higher than that of common softwoods around 28 % [6]. The effects of delignification on SED can be clearly 268 269 seen from Fig. 4b. Based on the aforementioned effects of component removal from high lignin content substrates, a combined parameter Z can be developed consisting of delignification, 270 271 hemicellulose removal and glucan loss. When the SEDs of the substrates are plotted against Z(Fig. 6a), a good correlation is found despite some data scattering. This combined factor is 272 defined as: 273 274  $Z = L \times \text{Delignification} + H \times \text{Hemicellulose removal} + G \times \text{Glucan loss}$ (3a) Where hemicellulose removal is the mass weighted-average percent loss of mannan (M) and 275 xylan (X). Least square fitting resulted in L = 0.908, H = 1.671, and G = 0.089. When 276 comparing the magnitude of the terms in Eq. (3a). It is apparent that delignification is important 277 to increase SED while hemicellulose removal is still critical and more important than 278 279 delignification. Furthermore, glucan loss also contributes to improving SED due to improve 280 cellulose accessibility as noticed in an early study using catalyzed steam explosion [28]. However, G is an order magnitude smaller than L and H and glucan loss was lower than 281 282 delignification and hemicellulose dissolution. We can assume G = 0 and refit the data to result L = 0.934 and H = 1.725. Because both delignification and hemicellulose removal are functions of 283 CHF as shown in Figs. 5a and 5b, we have 284 285  $Z(CHF) = 0.934 \times Delignification + 1.725 \times Hemicellulose removal$ (3b) This makes *CHF* much more meaningful and useful for prediction purpose. 286 Enzymatic hydrolysis glucose yield (EHGY) can also be correlated to delignification, 287 288 hemicellulose removal, and glucan using Eq. (3a) as shown in Fig. 6b. Glucan loss can increase

SED, however, it reduced glucan recovery to result in a negative effect on *EHGY*. Least square fitting resulted in a different set of coefficients, i.e., L = 0.944, H = 1.675, and G = -0.577. We use Z's to represent this combined parameter,  $Z' = 0.943 \times \text{Delignification} + 1.675 \times \text{Hemicellulose removal} - 0.577 \times \text{Glucan loss}$ 

293

# 294 **3.5 Overall mass balance and maximal sugar yield**

Based upon SED, EHGY, inhibitor formation, as well as total sugar yield, we determined 295 that pretreatment condition A = 2.21 %, B = 12 %, and t = 75 minutes as the optimal pretreatment 296 condition. An overall mass balance under this pretreatment (averaged of duplicate 297 pretreatments) is shown in Fig. 7. A total of 365 g glucose was recovered from 1000 g FS-03, 298 equivalent to 87.1 % theoretical, which include *EHGY* of 345 g kg<sup>-1</sup> wood equivalent to 82.3 % 299 300 theoretical. Mannose and xylose recovery from the pretreatment hydroysate was relatively low 301 at approximately 50 and 30 %, respectively. High sodium bisulfite loading of 12 % to facilitate delignification reduced xylan removal to approximately 80%. Recoveries of mannose and 302 xylose from enzymatic hydrolysis were not measured but are expected to increase the overall 303 mannose and xylose recovery. The HMF and furfural concentrations in the pretreatment 304 hydrolysate were relatively low with each at approximately 2.5 g  $L^{-1}$ . 305

306

# 307 Conclusions

308 SPORL can effectively remove the strong recalcitrance of a Douglas-fir forest harvest 309 residue to produce a good sugar yield. The optimal SPORL pretreatment condition was T =310 165°C for 75 min at liquor to solid ratio of 3:1 and sodium bisulfite and sulfuric acid loading of 311 12 % and 2.21 % on dry biomass, respectively. An enzymatic hydrolysis glucose yield of 87%

312 theoretical was achieved at this condition with HMF and furfural concentration each at only 2.5 g  $L^{-1}$ . The combined hydrolysis factor (*CHF*) developed using aspen was capable of predicting 313 dissolution of hemicelluloses for the Douglas-fir forest harvest residue. Delignification becomes 314 important in order to achieve high enzymatic cellulose saccharification efficiency for the forest 315 residue due to its high lignin content. Delignification can be correlated to CHF for the narrow 316 range of pretreatment conditions investigated. Enzymatic cellulose saccharification can be 317 predicted by CHF, which makes CHF a good factor for scale-up studies where numerous 318 experiments are economically prohibitive. 319

320

# 321 Acknowledgement

322 The authors thank the financial support of the Agriculture and Food Research Initiative Competitive grant (No. 2011-68005-30416), USDA National Institute of Food and Agriculture 323 (NIFA) through the Northwest Advanced Renewables Alliance (NARA). The authors also thank 324 325 Novozymes North America for providing the cellulase enzyme; Fred Matt of USFS-FPL for conducting the chemical composition analysis. Drs. Xuejun Pan and Troy Runge of University of 326 327 Wisconsin-Madison for allowing us access to their lab analytical equipment. We also would like to acknowledge the photo credit of the forest residue pile (Fig. 1) by Rene Zamora, Graduate 328 Research Assistant, College of Forestry, Oregon State University. 329

331		References
332	[1]	Perlack RD, Stokes BJ, Leader Authors. DOE. 2011. U.S. Billion-Ton Update: Biomass
333		Supply for a Bioenergy and Bioproducts Industry. Oak Ridge (TN): Oakridge National
334		Laboratory, 2011 August. 227 p. Prepared of the US DOE under Contract No.: DE-AC05-
335		00R22725.
336	[2]	Kirschbaum MUF. To sink or burn? A discussion of the potential contributions of forests to
337		greenhouse gas balances through storing carbon or providing biofuels. Biomass Bioenergy
338		2003;24(4-5):297-310.
339	[3]	Gan J, Smith CT. Availability of logging residues and potential for electricity production
340		and carbon displacement in the USA. Biomass Bioenergy 2006;30(12):1011-20.
341	[4]	Committee on Economic and Environmental Impacts of Increasing Biofuels Productsion.
342		Renewable Fuel Standard: Potential Economic and Environmental Effects of US Biofuel
343		Policy. Washington DC: National Academies Press: 2011
344		http://www.nap.edu/catalog.php?record_id=13105
345	[5]	Stephen JD, Mabee WE, Saddler JN. Biomass logistics as a determinant of second-
346		generation biofuel facility scale, location, and technology selection. Biofuels Bioprod
347		Biorefin 2010;4:503-18.
348	[6]	Zhu JY, Zhuang XS. Conceptual net energy output for biofuel production from
349		lignocellulosic biomass through biorefining. Prog Energy Combust Sci 2012;38(4):583-98.
350	[7]	Kim KH, Tucker M, Nguyen Q. Conversion of bark-rich biomass mixture into fermentable
351		sugar by two-stage dilute acid-catalyzed hydrolysis. Bioresour Technol 2005;96(11):1249-
352		55.

- 353[8] Leu SY, Zhu JY. Substrate-related factors affecting enzymatic saccharification of
- lignocelluloses: Our recent understanding. Bioenergy Res 2013;6(2):405-15.
- 355 [9] Iakovlev M, van Heiningen A. Efficient fractionation of spruce by SO2-ethanol-water
- treatment: Closed mass balances for carbohydrates and sulfur. ChemSusChem
- 357 2012;5(8):1625-37.
- 358 [10] Kumar L, Chandra R, Saddler JN. Influence of steam pretreatment severity on post-
- treatments used to enhance the enzymatic hydrolysis of pretreated softwoods at low enzyme
  loadings. Biotechnol Bioeng 2011;108(10):2300-11.
- 361 [11] Monavari S, Bennato A, Galbe M, Zacchi G. Improved one-step steam pretreatment of SO2-
- 362 impregnated softwood with time-dependent temperature profile for ethanol production.
- Biotechnol Progr 2010;26(4):1054-60.
- [12] Pan XJ, Xie D, Yu R, Saddler JN. The bioconversion of mountain pine beetle killed
- lodgepole pine to fuel ethanol using the organosolv process. Biotechnol Bioeng
  2008;101(1):39-48.
- [13] Zhao YL, Wang Y, Zhu JY, Ragauskas A, Deng YL. Enhanced enzymatic hydrolysis of
- 368 spruce by alkaline pretreatment at low temperature. Biotechnol Bioeng 2008;99(6):1320-28.
- 369 [14] Zhu JY, Pan XJ, Wang GS, Gleisner R. Sulfite pretreatment (SPORL) for robust enzymatic
- saccharification of spruce and red pine. Bioresour Technol 2009;100(8):2411-18.
- 371 [15] Tian S, Luo XL, Yang XS, Zhu JY. Robust cellulosic ethanol production from SPORL-
- 372 pretreated lodgepolep pine using an adapted strain S. cerevisiae without detoxification.
- Bioresour Technol 2010;101:8678-85.

- 374 [16] Zhu JY, Zhu W, OBryan P, Dien BS, Tian S, Gleisner R, et al. Ethanol Production from
- 375 SPORL-Pretreated Lodgepole Pine: Preliminary Evaluation of Mass Balance and Process
- Energy Efficiency. Appl Microbiol Biotechnol 2010;86(5):1355-65.
- 377 [17] Lan TQ, Gleisner R, Zhu JY, Dien BS, Hector RE. High titer ethanol production from
- 378 SPORL-pretreated lodgepole pine by simultaneous enzymatic saccharification and
- combined fermentation. Bioresour Technol 2013;127:291-97.
- [18] Wang ZJ, Lan TQ, Zhu JY. Lignosulfonate and elevated pH can enhance enzymatic
  accharification of lignocelluloses. Biotechnol Biofuels 2013;6:9.
- [19] Lan TQ, Lou H, Zhu JY. Enzymatic saccharification of lignocelluloses should be conducted
- at elevated pH 5.2 6.2. BioEnergy Res 2013;6(2):476-85.
- [20] Lou H, Zhu JY, Lan TQ, Lai H, Qiu X. pH-induced lignin surface modification to reduce
   nonspecific cellulase binding and enhance enzymatic saccharification of lignocelluloses.
- 386 ChemSusChem 2013; 6(5):919-27.
- 387 [21] Zhang C, Zhu JY, Gleisner R, Sessions J. Fractionation of Forest Residues of Douglas-fir
- for Fermentable Sugar Production by SPORL Pretreatment. Bioenergy Res 2012;5(4):97888.
- 390 [22] Luo X, Gleisner R, Tian S, Negron J, Horn E, Pan XJ, et al. Evaluation of mountain beetle
- infested lodgepole pine for cellulosic ethanol production by SPORL pretreatment. Ind Eng
  Chem Res 2010;49(17):8258-66.
- [23] Zhang C, Houtman CJ, Zhu JY. Using Low Temperature to Balance Enzymatic
- 394 Saccharification and Furan Formation during SPORL Pretreatment of Douglas-fir. AIChE J
  395 2013 (submitted).

396	[24] Chum HL, Johnson DK, Black SK, Overend RP. Pretreatment-catalyst effects of the
397	combined severity parameter. Appl Biochem Biotechnol 1990;24/25:1-14.
398	[25] Abatzoglou N, Chornet E, Belkacemi K, Overend RP. Phenomenological kinetics of
399	complex systems: the development of a generalized severity parameter and its application to
400	lignocellulosics fractionation. Chem Eng Sci 1992;47(5):1109-22.
401	[26] Zhu W, Houtman CJ, Zhu JY, Gleisner R, Chen KF. Quantitative predictions of
402	bioconversion of aspen by dilute acid and SPORL pretreatments using a unified combined
403	hydrolysis factor (CHF). Process Biochem 2012;47:785-91.
404	[27] Wang QQ, He Z, Zhu Z, Zhang Y-HP, Ni Y, Luo XL, et al. Evaluations of cellulose
405	accessibilities of lignocelluloses by solute exclusion and protein adsorption techniques.
406	Biotechnol Bioeng 2012;109(2):381-89.
407	[28] Clark TA, Mackie KL, Dare PH, McDonald AG. Steam explosion of the softwood Pinus
408	radiata with sulphur dioxide addition. II. Process characterization. J Wood Chem Technol
409	1989;9(2):135-66.
410	
411	
412	

## 414 List of Figures

- Fig. 1 A typical forest residue pile (Lane County, Oregon) from which FS-03 was taken.
- 416 Fig. 2 Images of different fractions and hammer-milled FS-03. (a) I < 3.2 mm; (b)  $6.4 \le IV <$
- 417 9.5; (c)  $19.1 \le \text{VIII} \le 22.2$ ; (d) hammer-milled FS-03
- 418 Fig. 3 Particle size distributions of FS-03 and hammer-milled FS-03
- 419 Fig. 4 Effects of pretreatment conditions on cell wall component removal, substrate enzymatic
- 420 digestibility (*SED*), and inhibitor formation. (a) variation of pretreatment time *t*; (b) variation of
- 421 sodium bisulfite loading *B*; (c) variation of sulfuric acid loading *A*.
- 422 Fig. 5 Correlations of hemicellulose removal and delignification with combined hydrolysis factor
- 423 (*CHF*). (a) hemicellulose removal; (b) delignification.
- 424 Fig. 6 Correlations of substrate enzymatic digestibility (*SED*) and enzymatic hydrolysis glucose
- 425 yield (*EHGY*) with combined factors of delignification and carbohydrate loss Z' and Z(*CHF*),
- 426 respectively. (a) SED with Z'; (b) EHGY with Z(CHF)
- Fig. 7 Overall mass balance of the optimal run at  $T = 165^{\circ}$ C, t = 75 minutes, B = 12 %, and A =
- 428 2.2 % with liquid to solid ratio of 3:1. All numbers are expressed in gram.
- 429
- 430
- 431 List of Tables:
- Table 1. SPORL pretreatment conditions for Douglas-fir forest harvest residue (FS-03)
- 433Table 2. Chemical compositions as mass fraction of the forest harvest residue (%)

434

			Pretreatment C		CHF <sup>3</sup>	
Run No.	Run Label <sup>1</sup>	Time (min)Acid (volume fraction %)		Bisulfite (mass fraction %		Initial pH
1	t50-A4-B10R1	50	0.4	10	1.84	5.10
2	t50-A4-B10R2	50	0.4	10	1.80	5.10
3	t50-A4-B12	50	0.4	12	1.85	2.71
4	t75-A0-B10	75	0	10	4.14	1.22
5	t75-A2-B10	75	0.2	10	2.25	3.01
6	t75-A4-B4	75	0.4	4	1.61	39.38
7	t75-A4-B6	75	0.4	6	1.77	23.70
8	t75-A4-B8	75	0.4	8	1.91	13.44
9	t75-A4-B10R1	75	0.4	10	1.92	7.34
10	t75-A4-B10R2	75	0.4	10	1.91	7.34
11	t75-A4-B10R3	75	0.4	10	1.75	7.34
12	t75-A4-B10R4	75	0.4	10	1.73	7.34
13	t75-A4-B12R1	75	0.4	12	1.96	3.90
14	t75-A4-B12R2	75	0.4	12	1.80	3.90
15	t75-A6-B10	75	0.6	10	1.66	17.74
16	t100-A2-B10R1	100	0.2	10	2.08	3.92
17	t100-A2-B10R2	100	0.2	10	2.37	3.92
18	t100-A4-B6	100	0.4	6	1.57	30.92
19	t100-A4-B8	100	0.4	8	1.72	17.54
20	t100-A4-B10R1	100	0.4	10	1.70	9.57
21	t100-A4-B10R2	100	0.4	10	1.67	9.57
22	t100-A4-B12	100	0.4	12	1.81	5.09
23	t100-A4-B14	100	0.4	14	1.79	2.65
24	t100-A6-B10R1	100	0.6	10	1.45	23.15
25	t100-A6-B10R2	100	0.6	10	1.81	23.15
26	t100-A8-B10R1	100	0.8	10	1.27	55.56
27	t100-A8-B10R2	100	0.8	10	1.64	55.56
28	t125-A4-B10R1	125	0.4	10	1.71	11.81
29	t125-A4-B10R2	125	0.4	10	1.65	11.81
30	t125-A4-B12	125	0.4	12	1.75	6.28

Table 1. SPORL pretreatment conditions for Douglas-fir forest harvest residue (FS-03)

<sup>1</sup> txx is pretreatment duration in min; Axx is sulfuric acid loading in cm<sup>3</sup> in 1000 cm<sup>3</sup> solution; Bxx is solium bisulfite charge on wood (oven dry weight) in mass fraction %; Rxx is replicate number for the specified set of condition.

<sup>2</sup> All pretreatments were conducted at 165°C with water to solids mass ratio = 3:1

 $^{3}CHF =$ Combined hydrolysis factor (Eq. (1))

Sample	Ash	Klason Lignin	Arabinan	Galactan	Glucan	Xylan	Mannan	Total carbohydrates
FS-03	0.8	32.3	1.3	3.7	37.7	6.3	8.2	57.3
Wood of FS-03	0.2	30.9	0.9	3.1	39.9	6.6	9.6	60.1
Bark of FS-03	0.7	38.4	5.7	3.2	31.7	4.9	5.3	50.9

Table 2. Chemical compositions as mass fraction of the forest harvest residue (%)



Figure 2 Click here to download high resolution image

















