

1     **A New Adaptive Interpolation Algorithm for 3D Ultrasound Imaging**  
2                     **with Speckle Reduction and Edge Preservation**

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14     **Running Title: Adaptive interpolation in 3D ultrasound**

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1 **Abstract**

2 Conventional interpolation algorithms for reconstructing freehand three-dimensional (3D)  
3 ultrasound data always contain speckle noises and artifacts. This paper described a new  
4 algorithm for reconstructing regular voxel arrays with reduced speckles and preserved  
5 edges. To study speckle statistics properties including mean and variance in sequential B-  
6 mode images in 3D space, experiments were conducted on an ultrasound resolution  
7 phantom and real human tissues. In the volume reconstruction, the homogeneity of the  
8 neighborhood for each voxel was evaluated according to the local variance/mean of  
9 neighboring pixels. If a voxel was locating in a homogeneous region, its neighboring  
10 pixels were averaged as the interpolation output. Otherwise, the size of the voxel  
11 neighborhood was contracted and the ratio was re-calculated. If its neighborhood was  
12 deemed as an inhomogeneous region, the voxel value was calculated using an adaptive  
13 Gaussian distance weighted method with respect to the local statistics. A novel method  
14 was proposed to reconstruct volume data set with economical usage of memory.  
15 Preliminary results obtained from the phantom and a subject's forearm demonstrated that  
16 the proposed algorithm was able to well suppress speckles and preserve edges in 3D  
17 images. We expect that this study can provide a useful imaging tool for clinical  
18 applications using 3D ultrasound.

19 *Keywords:* 3D ultrasound imaging; Interpolation; Volume reconstruction; Adaptive  
20 Gaussian distance weighted; Speckle reduction; Edge preservation

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1 **1. Introduction**

2           Ultrasound has been recognized as the most often used imaging tool in clinical  
3 environments. Conventional 2D ultrasound imaging has limitations in 3D structural  
4 analysis, especially for volume quantification and tissue localization, which are necessary  
5 in assessing the progression of disease and uncovering the properties of human tissues.  
6 Thus, attention has been paid to developing 3D ultrasound imaging which has been  
7 recognized as a promising evaluation tool for a variety of clinical applications since the  
8 1980s [1-3].

9           In past decades, lots of researchers have proposed techniques for reconstruction  
10 and visualization of 3D ultrasound images from echo data. To date, they can be mainly  
11 grouped into two main categories: real-time imaging using a 3D probe and 3D imaging  
12 based on a set of conventional 2D ultrasound images (B-scans). Dedicated 3D probes  
13 usually consist of 2D arrays that allow explicit 3D imaging [4]. Several commercial types  
14 of 3D probes make use of an internal mechanical motor to drive an annular array for  
15 accurate scanning within the probe housing. However, these 3D probes are relatively  
16 large and expensive. Their image resolution was not as good as conventional ultrasound  
17 image. Furthermore, the field of view of such dedicated 3-D probes was limited by the  
18 dimensions of piezoelectric elements in the probe.

19           In contrast, the 3D imaging methods based on conventional B-scans provide  
20 inexpensive solutions for medical diagnoses by locating individual B-scans in space  
21 using mechanical scanning apparatus or spatial sensing devices. Dating back to the 1980s  
22 and early 1990s, many seminal 3D ultrasound systems adopted a mechanical probe  
23 mover to control the motion of a conventional ultrasound probe and to record the

1 positions and orientations of B-scans [5-9] during the moving process of the probe. This  
2 method can be viewed as one of the most original ideas in producing 3D ultrasound  
3 images and now is adopted for designing various types of 3D real-time volumetric probes  
4 [10, 11]. Although the mechanical scanning worked well in obtaining high-quality 3D  
5 images and conducting fast reconstructions, earlier scanning apparatus were bulky and  
6 inconvenient to use for different applications [1]. Since the early 1990s, freehand  
7 scanning methods which provide much more flexibility have been widely used to  
8 overcome the shortcomings of their mechanical scanning counterparts. Freehand 3D  
9 imaging systems allow image acquisition with unconstrained movement [1, 2, 12].  
10 Generally, they make use of a spatial tracking sensor attached to an ultrasound probe for  
11 capturing spatial information of the B-scans in real-time. The tracking sensors that have  
12 been used for numerous tracked freehand systems in the past decades include  
13 electromagnetic sensing devices [13], acoustic spark gaps [14], optical sensors [12,15]  
14 and mechanical arms [16,17]. Spatial calibration is required to accurately determine the  
15 relationship between the spatial sensor and the B-scan image plane. The position and  
16 orientation of the sensor at any point in space is calculated and recorded during the  
17 freehand scanning. The location of each B-scan image plane can be inferred using the  
18 spatial readings of the sensor. With accurate measurements of the spatial data for B-scan  
19 images recorded in a single examination, a 3D image formed by a regular voxel array can  
20 be reconstructed from B-scan pixels which are registered into the volume coordinate  
21 system. Due to its advantages of unlimited field of view and low cost, freehand scanning  
22 protocol has been proven to be the cheapest and the most flexible imaging tool in  
23 comparison with its competitors [2].

1           Using tracked freehand systems, some authors [18] performed data analysis  
2 without the need to create 3D volume data sets. In their work, the operations of extracting  
3 3D contours were directly conducted using original B-scans, avoiding long computation  
4 time for volume reconstruction. However, the computer used in such a system would be  
5 equipped with a large amount of memory to store the original data and the analysis  
6 method would have to handle the very large amount of data, resulting in longer  
7 processing time in comparison with that based on a 3D volume data set with relatively  
8 lower resolution. In addition, the users may not be able to view the entire picture of the  
9 scanned body parts by inspecting all of the scattered B-scan images. To view internal part  
10 of the data, a reslicing procedure should be required, leading to much time for mapping  
11 pixels from the B-scans onto the slice plane. In comparison, reslicing of voxel arrays is  
12 straightforward and fast [18]. Therefore, as stated by Rohling et al. [19], volume  
13 reconstruction (interpolation of voxels) is normally a key procedure for most applications,  
14 and the interpolation errors and artifacts should be avoided and the important diagnostic  
15 information should be preserved well during the reconstruction.

16           In the past years, a number of interpolation algorithms for this problem have been  
17 reported. According to a previous survey [19], these interpolation methods for freehand  
18 3D ultrasound could be grouped into three categories: voxel nearest neighbor (VNN),  
19 pixel nearest neighbor (PNN) and distance weighted (DW) interpolation. VNN method  
20 was easy to understand: each voxel value was assigned as the nearest pixel intensity.  
21 Although this method was easy to realize and offered the most original texture patterns  
22 from B-scan images, large reconstruction errors were usually generated within the gaps  
23 between B-scan image planes. PNN method assigned the intensity of each pixel to its

1 nearest voxel grid point and averaged multiple contributions to a single voxel. This  
2 method however causes great blurring as well as relatively large reconstruction error. In  
3 comparison, DW method was able to further reduce reconstruction error, suppress  
4 speckle noises and preserve image details. For each voxel, the neighboring pixels in a  
5 predefined fixed spherical region were weighed with respect to their inverse distances to  
6 the voxel centre and then averaged. Because of the averaging operation, the DW method  
7 has been traditionally considered being good at reduce speckles [20]. This method could  
8 provide improved image quality and reduced reconstruction errors in comparison with  
9 VNN and PNN methods [19, 21]. Based on the concept of DW, several interpolation  
10 algorithms were reported to reduce the reconstruction error and improve the 3D image  
11 quality in recent years [21-23]. In particular, a weighted ellipsoid Gaussian convolution  
12 kernel using similar computation principle to conventional DW method was applied to  
13 the neighboring pixels of each voxel in volume reconstruction, and obtained 3D images  
14 with more texture patterns and small resolvable objects [21,23].

15         However, no attention from aforementioned studies was paid to developing an  
16 interpolation scheme which can both suppress speckle noises and preserve important  
17 anatomical features. As is well-known, speckle is an undesirable property of B-mode  
18 ultrasound imaging because it may mask small but diagnostically significant features.  
19 Lots of efforts have been made to reduce speckles and preserve important edge details in  
20 conventional medical ultrasound images [24-28]. In some of these studies, the ratio of  
21 variance to mean in a local region has been thought of as a metrics to determine whether  
22 or not the region can be classified as a homogenous speckle region. Despite the unclear  
23 theoretical validity of this method, some previous authors have experimentally

1 demonstrated its usefulness in differentiating the significant edges from homogeneous  
2 speckle regions [24-26]. Using the ratio, adaptive distance-weighted (ASDW)  
3 interpolation methods have been proposed to reduce speckle noises and preserve tissue  
4 edges in reconstruction of freehand 3D ultrasound images [29, 30]. Although improved  
5 3D images could be obtained, the interpolation of voxels required an extremely large  
6 amount of storage capacities and hence resulted in a less efficient computation and even  
7 an infeasibility of reconstruction for the PCs without enough RAM memory. To the best  
8 of our knowledge, little attention has been paid to the reduction of the computer memory  
9 occupied for the volume reconstruction in freehand 3D ultrasound imaging.

10 In this study, we proposed a new adaptive algorithm called adaptive Gaussian  
11 distance weighted (AGDW) interpolation for reconstructing 3D freehand ultrasound  
12 images with reduced speckle noise and well preserved diagnostic features. In order to  
13 reconstruct a large voxel array in a PC, a novel method was also proposed to perform the  
14 reconstruction slice by slice with an economical usage of memory, being more practical  
15 than previously proposed algorithms for the PC-based applications. The local statistics  
16 (mean and variance) were measured and the ratio of variance/mean was calculated in 3D  
17 speckle regions. The relationship between the homogeneity of speckle region and region  
18 size was investigated. We employed the same registration procedure for B-scan pixels  
19 and a spherical neighbouring region for each voxel grid point as used by conventional  
20 DW method. If a voxel's neighbouring region with default size was inhomogeneous, a  
21 Gaussian convolution kernel with an adaptive parameter in relation to the ratio of local  
22 variance/mean was applied. Otherwise, the size of the neighbourhood for the voxel was  
23 contracted and whether it was lying within a homogenous region or near edges would be

1 re-judged. Once its neighbouring region was eventually considered being homogeneous,  
2 a trimmed mean filter was performed to reduce speckles. The next section describes the  
3 reconstruction algorithm and experimental methods in detail. Consequently, the  
4 preliminary results obtained from an ultrasound resolution phantom and real human  
5 tissues are presented. The discussion and conclusion for the proposed method are finally  
6 given in this paper.

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## 8 **2. Methods**

### 9 *2.1. System description*

10 In this study, a previously developed freehand 3D system [22] was used for data  
11 acquisition and volume reconstruction. The system was comprised of an electromagnetic  
12 spatial sensing device (miniBird, Ascension Technology Corporation, Burlington, VT,  
13 USA), a portable ultrasound scanner (SonoSite 180PLUS, SonoSite, Inc., Bothell, WA,  
14 USA), and a personal computer (PC) with a 3.0 GHz Pentium IV processor and 1 GB of  
15 RAM. A custom-designed software system programmed in VC++ was designed for data  
16 collection, volume reconstruction, visualization and data analysis. The receiver of the  
17 spatial sensing device was attached to the 7.5 MHz linear array probe of the ultrasound  
18 scanner. The video stream of the ultrasound scanner was digitized by a video capture card  
19 (NI-IMAQ PCI/PXI-1411, National Instruments Corporation, Austin, TX, USA) installed  
20 in the PC. The position and orientation of the spatial receiver could be simultaneously  
21 recorded through RS232 serial port. After a temporal calibration procedure [22], the B-  
22 scans with a pixel resolution of 0.08 mm were matched to the spatial data points at 20 Hz.



1 Spatial calibration using a cross-wire phantom [13, 31] was performed to determine the  
2 spatial relationship between the receiver and the ultrasound probe.

3

#### 4 *2.2. Measurements of speckle statistics*

5 The statistics of speckle in ultrasound images has been discussed by many authors  
6 in past decades [24-26]. A signal-dependent noise model for speckle specification is  
7 widely used to identify speckle regions in ultrasound images [24]. This model indicates  
8 that the variance is proportional to the mean in a homogenous speckle region. Thus, the  
9 ratio of variance/mean can be used as a criterion to determine whether a local region is  
10 homogenous or not.

11 Traditional techniques for speckle reduction required a pre-specified, image-  
12 dependent constant homogeneity as a threshold value. However, the homogeneity of  
13 speckle region actually varied with the size of the region measured according to a  
14 previous study [25]. In this study, we recorded several sequences of parallel B-scans with  
15 different spacings in a regular manner and measured local speckle statistics in 3D  
16 spherical regions with different radiuses, as demonstrated in Fig. 2. A 3D translating  
17 device (Parker Hannifin Corporation, Irvine, CA, USA) was employed to conduct the  
18 linear scanning on an ultrasound resolution phantom (Model 44, CIRS Inc, USA) and  
19 part of a healthy male subject's forearm. Fig. 3 illustrates two typical 2D ultrasound  
20 images captured from the phantom and the subject. Five different spacings of the B-scan  
21 sequences were chosen to be 0.04 mm, 0.08 mm, 0.16 mm, 0.32 mm, and 0.64 mm,  
22 respectively. Within each set of B-scans, the local statistics of 10 spherical regions at  
23 different locations containing only speckles were measured. The averaged ratios of

1 variance/mean under different region sizes and spacing conditions are summarized in  
2 Table 1 and Table 2 for the resolution phantom and real tissues, respectively. Whether the  
3 size of speckle region and the spacings of B-scan had significant effects on the measures  
4 of local variance/mean was evaluated using Two-way ANOVA (MiniTab, MiniTab Inc.,  
5 PA, USA). Both of the two P-values that we obtained are 0.0 ( $<0.01$ ), indicating that both  
6 of the radius of spherical region and the spacings of B-scan sequence resulted in  
7 significant difference for speckle measurements of the resolution phantom and real  
8 human tissues. Fig. 4 demonstrates the measured results of local speckle statistics.  
9 Because the numerical difference caused by the B-scan spacings was very small, we  
10 studied only the resulting effect of the radius of spherical region. For the phantom and  
11 musculoskeletal tissues of the subject, the effect of the size of speckle region could be  
12 delineated by two logarithmic trendlines with small approximation errors ( $R^2>0.97$ ). Thus,  
13 the two trendlines shown in Fig. 4 were used as dynamic threshold values to detect  
14 homogenous regions in this study.

15

### 16 *2.3. Interpolation algorithm*

17 In each experiment, a region of interest was scanned in a single sweep with a slow  
18 and steady motion using the freehand system. Fig. 1 illustrates the outline of a sequence  
19 of B-scans in a typical sweep. A regular voxel array was defined with respect to the  
20 distribution of B-scan pixels in 3D space. Being similar to conventional DW interpolation,  
21 our algorithm proceeded voxel by voxel. A default spherical neighbourhood with a radius  
22 of  $R_{max}$ , centred about each voxel was predefined. The intensities of B-scan pixels

1 transformed into this neighbourhood and their distances to the voxel centre were stored in  
2 a dynamic array in association with the current voxel.

3 For reconstruction of voxels, the following interpolation algorithm including two  
4 main steps was proposed in this paper. The first step was to determine whether or not a  
5 voxel was locating in a homogenous region. With the default size of neighborhood  
6 ( $R=R_{max}$ ) for each voxel, the local statistics including the variance and mean was  
7 calculated. If the local ratio of variance/mean was below the threshold value in  
8 association with the size of speckle region, the current voxel was considered locating in a  
9 homogenous speckle region. Otherwise, a B-scan pixel's resolution,  $R_p$ , was subtracted  
10 from the radius ( $R$ ) of its neighborhood, i.e.  $R=R-R_p$ . Within the shrunken neighborhood,  
11 the statistics of pixels was calculated again and the homogeneity threshold updated by the  
12 decreased radius was used to judge whether or not the contracted neighborhood was a  
13 speckle region not containing an object edge. This contraction of neighborhood radius did  
14 not stop until the ratio of local variance/mean was no larger than the updated  
15 homogeneity threshold, the remaining pixel number in the updated neighborhood was  
16 less than a pre-set threshold ( $P_t$ ), or the neighborhood radius reached a pre-set minimum  
17 value ( $R_{min}$ ). For the latter two cases, the neighborhood radius was expanded with an  
18 increase of  $R_p$ , i.e.  $R=R+R_p$ . In this study,  $P_t$  was set to 5 and  $R_{min}$  was set to the voxel  
19 width. This step is summarized in Fig. 5.

20 The second step was to compute voxel values with respect to the local statistics.  
21 Once a voxel was deemed as locating in a homogeneous background, a trimmed mean  
22 filter was applied to compute the voxel value. For a voxel locating at  $(i, j, k)$  in the voxel  
23 array, the calculation of its value was expressed by

$$\begin{aligned}
1 \quad & V(i, j, k) = \frac{1}{N_t} \sum_{P_l \in T} P_l, \\
2 \quad & T = \{P_l \mid l \in S \cap |P_l - \mu_S| < \sigma_S\} \tag{1}
\end{aligned}$$

3 where  $P_l$  was the  $l$ th pixel intensity within the voxel neighborhood  $S$ ,  $\mu_S$  and  $\sigma_S$  were the  
4 mean and standard deviation of all pixels in  $S$ , respectively,  $T$  was the pixel set only  
5 containing pixels within one standard deviation of the mean,  $N_t$  was the number of pixels  
6 in  $T$ , and  $V(i, j, k)$  was the output voxel value.

7 On the contrary, if the voxel was treated as locating at an inhomogeneous region,  
8 we employed a Gaussian convolution kernel related to the local ratio of variance/mean in  
9 its neighborhood. The output value of voxel  $(i, j, k)$  was computed as follows,

$$10 \quad V(i, j, k) = \frac{\sum_{m=0}^n W_m P_m}{\sum_{m=0}^n W_m}, \quad W_m = e^{-\left(\frac{\sigma_S^2}{\mu_S} - H_c\right) \frac{d_m^2}{b}} \tag{2}$$

11 where  $P_m$  was the  $m$ th pixel value in the neighborhood of voxel  $(i, j, k)$ ,  $d_m$  was the  
12 distance between  $P_m$  and the voxel center,  $H_c$  was the radius-based homogeneity of the  
13 voxel neighborhood,  $W_m$  was the weight in association with  $P_m$  in this weighted mean  
14 filter, and  $b$  was a parameter empirically set by the operator. Because an adaptive  
15 Gaussian convolution kernel method was employed for interpolation of voxels in  
16 inhomogeneous regions, this proposed algorithm was named as adaptive Gaussian  
17 distance weighted (AGDW) interpolation in this paper.

18

#### 19 2.4. Volume reconstruction with an economical memory usage

1           The proposed volume reconstruction method required that a dynamic pixel array  
2 storing neighboring pixel intensities should be associated with each voxel. However, if  
3 there were a large number of raw B-scans or the voxel size was set as small as the pixel  
4 size, the memory of the PC would be quickly overwhelmed and the failure of  
5 reconstruction would result. To make our reconstruction algorithm feasible for real  
6 practices, we proposed a novel procedure for volume reconstruction with economical  
7 memory usage.

8           The reconstruction was carried out slice by slice. In this study, the voxel array  
9 was considered as a set of 2-D slices along  $z$ -axis, i.e. the scanning direction as  
10 demonstrated in Fig. 1. As illustrated in Fig. 6, we defined a neighbouring cuboid which  
11 could merely contain the voxels on one slice and their neighbourhoods. In another word,  
12 the cuboid could be generated by expanding the voxel grids along six directions by the  
13 default neighbourhood radius used for volume reconstruction. For each slice, only B-  
14 scans intersecting its neighbouring cuboid were loaded into the memory allocated to the  
15 current slice. The pixels from these B-scans were transformed into the volume coordinate  
16 system and stored in the pixels arrays of all voxels on the slice. Once the slice was  
17 completely reconstructed, the memory allocated for storing these pixel arrays was  
18 released and the next slice would be reconstructed in the same way.

19           A critical problem was, however, how to determine which B-scans intersected the  
20 neighbouring cuboid for each slice. In this study, this problem was solved by the  
21 following procedure. Within the volume coordinate system where the direction of  $z$ -axis  
22 was set to be the same as the  $z$ -axis of the volume data set, we assumed that the range of  
23 the cuboid of a slice perpendicular to the  $z$ -axis was defined by  $(X_{min}, X_{max}), (Y_{min}, Y_{max}),$

1 and  $(Z_{min}, Z_{max})$  along the three axes  $(x, y, z)$ , as shown in Fig. 6. All B-scans in an  
2 examination were tested if they were intersecting the cuboid. The following rule was  
3 proposed to judge if a B-scan plane with four vertices intersected the cuboid.

4 **IF** the  $z$ -values of all vertices of the B-scan  $< Z_{min}$  **THEN** the B-scan did not intersect the  
5 cuboid;

6 **ELSE IF** the  $z$ -values of all vertices of the B-scan  $> Z_{max}$  **THEN** the B-scan did not  
7 intersect the cuboid;

8 **ELSE IF** the  $x$ -values of all vertices of the B-scan  $< X_{min}$  **THEN** the B-scan did not  
9 intersect the cuboid;

10 **ELSE IF** the  $x$ -values of all vertices of the B-scan  $> X_{max}$  **THEN** the B-scan did not  
11 intersect the cuboid;

12 **ELSE IF** the  $y$ -values of all vertices of the B-scan  $< Y_{min}$  **THEN** the B-scan did not  
13 intersect the cuboid;

14 **ELSE IF** the  $y$ -values of all vertices of the B-scan  $> Y_{max}$  **THEN** the B-scan did not  
15 intersect the cuboid;

16 **ELSE IF** all vertices of the cuboid were locating at one side of the B-scan plane **THEN**  
17 the B-scan did not intersect the cuboid;

18 **ELSE** the B-scan did intersect the cuboid.

19 Using this rule, only those B-scans intersecting the voxel neighborhoods were used to  
20 interpolate the current slice. This would result in relatively faster computation and  
21 reduced memory allocation.

22

23 2.5. Experimental methods

1           The ultrasound resolution phantom was scanned in this study. A set of 110 nearly  
2 parallel B-scans were captured in a single sweep. Each B-scan was cropped to 506×378  
3 pixels. A voxel array with 131×113×110 voxels was reconstructed using conventional  
4 DW, VNN, Gaussian convolution kernel, and AGDW methods, respectively. For the DW,  
5 Gaussian and AGDW methods, a spherical region with a default radius of 0.4 mm was  
6 predefined for each voxel. The parameter  $b$  in the AGDW method was set to 0.5. The  
7 homogeneity threshold  $H_c$  for the resolution phantom was determined in relation to the  
8 radius as shown in Fig. 4(a). Totally, 4 voxel arrays using these methods were  
9 reconstructed in this phantom study.

10           Four representative slices were chosen from the same location in the voxel arrays  
11 reconstructed using the DW, VNN, Gaussian and AGDW ( $b=0.5$ ) methods. To compare  
12 the performance in speckle suppression and edge preservation among the four different  
13 methods, the signal-noise-ratio (SNR) of the 3-D homogeneous regions and the local  
14 contrast of target regions (see Fig. 3 (a)) interpolated using these methods were studied.  
15 We selected 4 homogenous sub-volumes at the same location only containing speckles  
16 from the 4 voxel arrays, respectively. Their SNRs was computed for comparing the  
17 performances in reducing speckles among the four reconstruction methods. Larger SNR  
18 values indicated better performance in speckle reduction. In addition, local contrast  
19 measure [32] was also conducted to compare the performance of edge preservation using  
20 these different methods. For a voxel  $V(i, j, k)$  at the coordinates  $(i, j, k)$  in a voxel array,  
21 the local contrast in its  $2n+1$  neighbourhood can be expressed as:

$$22 \quad C(i, j, k) = \frac{Max(I_s(i, j, k)) - Min(I_s(i, j, k))}{Max(I_s(i, j, k)) + Min(I_s(i, j, k))}, \quad (3)$$

1 where  $I_s(i, j, k)$  denotes a group of voxel intensities at the location  $(i, j, k)$  and the voxel's  
 2 neighbourhood. We used the averaged local contrast in a 3-D inhomogeneous region to  
 3 represent the performance of contrast enhancement. The method is described by

$$4 \quad C_A = \frac{\sum_{(i,j,k) \in S} C(i, j, k)}{N_v}, \quad (4)$$

5 where  $S$  is a sub-volume cropped from a reconstructed volume, and  $N_v$  is the voxel  
 6 number within the sub-volume. In this phantom study, another 4 sub-volumes with  
 7 mainly including a typical dark target were selected at the same location from the 4 voxel  
 8 arrays, as illustrated in Fig. 3(a). The averaged local contrast measures were applied to  
 9 the selected sub-volumes at the same location. It is noted that sharper edges, more texture  
 10 objects and details in raw B-scans would be preserved in a sub-volume with a larger  $C_A$ .

11 Besides the phantom study, an *in vivo* examination was performed on the healthy  
 12 male subject's forearm. The subject gave his informed consent to the investigation which  
 13 was approved by the Hong Kong Polytechnic University Human Subjects Ethics  
 14 Committee. A dense set of 188 nearly parallel B-scans was captured and each B-scan was  
 15 cropped to 408×305 pixels. The homogeneity threshold ( $H_c$ ) for this *in vivo* experiment  
 16 was determined with respect to the spherical neighbourhood radius, as measured in Fig.  
 17 4(b). An evaluation test previously introduced by Rohling et al. [19] was carried out in  
 18 this *in vivo* study. The idea of the test was to evaluate the ability of a reconstruction  
 19 technique in preserving true intensity values at the locations where a part of original data  
 20 was removed. A good reconstruction method should be able to interpolate the removed  
 21 grid points with values very close to the original data. A B-scan near the middle of the  
 22 raw data set was selected for pixel removing. Different percentages of pixels were



1 removed randomly from this selected B-scan. The remained data were used to reconstruct  
2 a voxel array with a voxel size equivalent to the pixel size. The average of the absolute  
3 differences between the interpolated grid points and the missing original pixel values was  
4 calculated for evaluating the reconstruction performance using the following equation:

$$5 \quad V = \frac{1}{N} \sum_{i=1}^N |p_i - r_i| \quad (5)$$

6 where  $p_i$  is the removed original pixel intensity,  $r_i$  is the interpolated intensity at the  
7 location of  $p_i$ , and  $N$  is the number of removed pixels. A smaller  $V$  indicates a better  
8 performance of interpolation. Seven different data removing ratios were used in our tests,  
9 i.e. 25%, 50%, 75%, 100%, 300%, 500% and 700%. The tests with the data removing  
10 ratios of 25%, 50%, 75% and 100% were performed using the selected B-scan  $n$ . For the  
11 300% test, the data from the B-scan  $n\pm 1$  and B-scan  $n$  were removed. The 500% and  
12 700% tests further removed all data from the B-scan  $n\pm 2$  and  $n\pm 3$ , respectively. In this  
13 evaluation method, the default radius of predefined neighbourhood for each voxel was  
14 0.5 mm for the 25%, 50%, 75% and 100% tests, 0.8 mm for the 300% test, 1.1 mm for  
15 the 500% test, and 1.4 mm for the 700% test. The averaged interpolation error from 10  
16 randomly selected B-scans was recorded to compare the performance of the proposed  
17 AGDW method ( $b=0.5$ ) with that of the other three often used methods, i.e. the DW,  
18 VNN and Gaussian methods.

19

### 20 **3. Results**

21 Fig. 7 demonstrates a set of reconstructed slices of the ultrasound resolution  
22 phantom using the DW, VNN, Gaussian, and AGDW ( $b=0.5$ ) methods, respectively. It

1 can be observed that the proposed AGDW method reduced most of speckles in  
2 homogeneous regions and effectively preserved the edges of the round targets (Fig. 7(d)).  
3 In comparison, the DW method blurred the target edges (Fig. 7(b)) and the VNN seemed  
4 to overemphasize the original texture patterns of ultrasound images (Fig. 7(a)). The  
5 Gaussian method presented an improvement in edge preservation but retained most of  
6 speckle characteristics in the slice.

7 Table 3 presents the quantitative results for the SNR and the averaged local  
8 contrast measurements. The AGDW method offered similar SNR result to the DW  
9 method, indicating a good performance in suppressing speckles. As the DW method has  
10 proven to be good at speckle suppression, it offered the best SNR result. The Gaussian  
11 method preserved relatively more speckles in comparison with the DW and AGDW  
12 methods. It is obvious that the VNN method preserved most of the speckle patterns in  
13 homogeneous regions. For the averaged local contrast measure, the proposed AGDW  
14 method produced higher contrast than the DW and Gaussian method in the edge regions,  
15 indicating a good improvement in edge preservation. Although the VNN method  
16 produced the largest value of local contrast, it introduced most of noises and preserved  
17 most of speckles in the image, giving the lowest SNR value and hence showing the worst  
18 image quality.

19 The result for the data removing test is summarized in Fig. 8. The VNN method  
20 generated the largest interpolation error though it preserved the most texture patterns.  
21 Due to the greatest level of misalignments for relatively large gaps between B-scans, the  
22 VNN method generally had greater reconstruction error than the other conventional  
23 methods [19, 21, 31]. The DW method produced improved interpolation quality

1 compared with the VNN. The proposed AGDW method ( $b=0.5$ ) further reduced the  
2 interpolation error, illustrating a good interpolation performance. In comparison, the  
3 Gaussian method offered the best interpolation result, since it preserved many speckle  
4 patterns in homogenous regions but the AGDW over-smoothed these image contents.

5 Fig. 9 shows four typical slices reconstructed using the DW, VNN, Gaussian and  
6 AGDW ( $b=0.5$ ) methods, respectively, at the 100% evaluation test. The AGDW  
7 presented the most contrast at edge regions and smoothed most of speckles in comparison  
8 with other conventional methods. Fig. 10 demonstrates a quantitative comparison  
9 between different methods by extracting the intensities along column 200. It can be  
10 observed that the DW and Gaussian methods smoothed many small resolvable objects as  
11 well as significant edges, while the AGDW method gave a better contrast for the edges  
12 and made the boundaries easy to be identified. In comparison with the VNN method, the  
13 AGDW method did much better in reducing speckles and other noises. Although the  
14 reconstruction results using the VNN method seemed to be able to preserve most of  
15 texture patterns as shown in Fig. 9 (a), the noises were at the same time retained and  
16 hence the tissue boundaries were difficult to be contoured.

17 Fig. 11 highlights a smaller region of the removed image plane using the four  
18 methods at the 500% data removing test. They were qualitatively compared in this figure.  
19 The image content of the VNN was actually copied from the nearest unremoved B-scan,  
20 thus the largest interpolation error was produced as shown in Fig. 8. The DW method  
21 over-smoothed almost all small details of original image, making significant edges  
22 difficult to be identified. In comparison with the DW method, the Gaussian method  
23 greatly improved the reconstruction quality and offered the lowest interpolation error as

1 shown in Fig. 8. Although the proposed AGDW method produced relatively larger  
2 interpolation error than the Gaussian method, it preserved significant edges well and  
3 reduced nearly all of speckles. This result indicates that the AGDW performed well in  
4 preserving edges and reducing interpolation error when the B-scan spacing was large.

5

#### 6 **4. Discussion and conclusion**

7 In this study, an adaptive volume reconstruction algorithm was proposed for  
8 freehand 3D ultrasound imaging. This algorithm was designed to reduce speckles and  
9 preserve edges using local statistics of speckle. The ratio of local variance/mean was used  
10 as a criterion for determining whether the neighborhood of a voxel was homogenous or  
11 not. A study was performed to obtain the effects of the neighborhood radius and the B-  
12 scan spacing on the speckle statistics in 3D. With respect to the findings for speckle  
13 properties, the neighborhood for each voxel could be classified as homogeneous or  
14 inhomogeneous region. The voxels locating in homogeneous regions were computed  
15 using a trimmed averaging method to greatly reduce speckle noises. The other voxels  
16 which were regarded locating in inhomogeneous regions were interpolated using an  
17 adaptive Gaussian convolution kernel applied to their neighborhoods. In this adaptive  
18 method, the local variance/mean was a factor to adjust the interpolation result. Larger  
19 ratio of variance/mean in a local region would result in a Gaussian convolution kernel  
20 with a smaller standard deviation, hence presenting a better capability of preserving  
21 edges and small resolvable objects. In addition, a novel procedure for reconstructing the  
22 voxel array slice by slice was proposed to reduce the memory usage in the PC. Although  
23 the total computation time using the proposed method was still longer than other

1 conventional interpolation methods, the preliminary results have demonstrated that the  
2 reconstruction procedure could be used to compute a large voxel array in our system and  
3 the AGDW algorithm could effectively improve the quality of 3D ultrasound images with  
4 suppressed speckles and well preserved edges.

5         The measurements of local statistics demonstrated that the homogeneity of  
6 speckle regions in both the phantom and forearm images varied with the radius of the  
7 spherical region. A logarithmic approximation could be used to delineate the relationship  
8 between the local variance/mean and the region size, as shown in Fig. 4. With respect to  
9 the trend lines in Fig. 4, a threshold for differentiating speckle regions and  
10 inhomogeneous regions containing significant edges was obtained in the volume  
11 reconstruction. Generally, a voxel's neighbourhood was considered a homogeneous  
12 region if the ratio of variance/mean was below the threshold. Because the speckle  
13 statistics depend on the scanner specifications, the relationship between the homogeneity  
14 of speckle regions and the region size is varied for different ultrasound scanners. Also,  
15 the imaged materials can also affect the speckle statistics, and hence the homogeneity  
16 should be appropriately determined through enough tests. A follow-up study could be the  
17 adaptive selection of the threshold to optimize the reconstruction result.

18         It is well-known that tissue edges and other small anatomical points are important  
19 for clinical diagnosis. In particular, edge preservation is very important for segmentation  
20 of anatomical structures [9, 33-35], as the increased gradient at edge voxels can make an  
21 easier and more accurate tracing of tissue boundaries. Consequently, more accurate 3-D  
22 measurements, such as volume estimation [2, 18], can be realized for 3-D objects.  
23 Furthermore, the improvement of edge preservation would be useful for the registration

1 of multiple sweeps [36-38], as tissue edges could be treated as anatomical landmarks and  
2 used for alignment of different sweeps. According to the preliminary results, the AGDW  
3 method presented well-preserved edges and more diagnostic features in inhomogeneous  
4 regions because the adaptation strategy using the local statistics and the contraction of  
5 voxel neighbourhood resulted in an effective enhancement of image gradient, hence an  
6 improved edge and feature preservation. The parameter  $b$  was actually a scale factor for  
7 adjusting the effect of the ratio of variance/mean on the interpolation. Theoretically, an  
8 extremely large value of  $b$  would lead to similar interpolation performance to a mean  
9 filter for inhomogeneous regions, since the standard deviation of the Gaussian  
10 convolution kernel would be large. Although the parameter  $b$  was empirically assigned as  
11 0.5 in our *in vitro* and *in vivo* studies, it can be appropriately adjusted according to a  
12 variety of needs in real applications.

13         Because the local statistics of neighbouring pixels of each voxel must be  
14 measured prior to the interpolation of voxel values, the AGDW needed relatively longer  
15 computation time than the DW in our experiments. With further progress in computer  
16 technology, the time for volume reconstruction using the AGDW method could be  
17 anticipated to be significantly reduced in the near future. In addition, speckle statistics of  
18 imaged human tissues should be studied prior to real examinations, which would cause  
19 inconveniency for various clinical applications. Thus, one of our future tasks will be the  
20 investigation of the speckle statistics for different human tissues for providing a table  
21 which will be used for selecting appropriate homogeneity threshold for a specific  
22 examination. Moreover, the effect of the parameter  $b$  on different human tissues will be

1 further quantitatively studied for optimizing the reconstruction results in real  
2 examinations.

3 In conclusion, we have presented an adaptive volume reconstruction technique  
4 based on local statistics for freehand 3D ultrasound imaging in this paper. This algorithm  
5 using an arithmetic mean filter and an adaptive Gaussian convolution method was  
6 proposed to interpolate a voxel array with suppressed speckle noises and preserved edges  
7 and other anatomical features. According to its improved interpolation performance in  
8 comparison with conventional methods, this new method is expected to be a useful 3D  
9 imaging technique for both research and clinical practices.

10

## 11 **Acknowledgements**

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14 Natural Science Foundation of China (No.60772147).

15

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3

4

## 5 **FIGURE CAPTIONS**

6 Fig. 1. A typical single sweep of freehand B-scans in volume coordinate system.

7 Fig. 2. Measurements of speckle statistics in several spherical regions with different  
8 radiuses. These spherical regions were locating within several sets of parallel  
9 B-scans with different spacings.

10 Fig. 3. Two typical ultrasound images collected from the ultrasound resolution phantom  
11 (a) and human musculoskeletal tissues (b). A target region in (a) and several  
12 speckle regions in (a) and (b) are indicated by circles.

13 Fig. 4. Relationship between the local ratio of variance/mean and the radius of 3D  
14 spherical homogeneous region. (a) Measurements on the phantom B-scans,  
15 and (b) measurements on the human forearm B-scans.

16 Fig. 5. Flow chart for detecting the 3D homogeneous neighbourhood of a voxel grid.  
17 Once the homogeneity (A or B) was determined, the calculation of its value  
18 was performed using the pixels within the updated neighbourhood.

19 Fig. 6. Reconstruction of a slice perpendicular to the z-axis in volume coordinate system.  
20 A neighbouring cuboid was defined. The B-scans intersecting this cuboid  
21 were loaded to interpolate the voxel values on the slice.

1 Fig. 7. Four slices reconstructed using the DW, VNN, Gaussian and AGDW ( $b=0.5$ )  
2 methods for the ultrasound resolution phantom: (a) the VNN, (b) DW, (c)  
3 Gaussian, and (d) AGDW ( $b=0.5$ ) methods.

4 Fig. 8. The comparison of the interpolation errors among the results of DW, VNN,  
5 Gaussian and AGDW ( $b=0.5$ ) methods in the evaluation test for interpolating  
6 missing data.

7 Fig. 9. Four slices reconstructed for the subject's forearm at the 100% test using the: (a)  
8 the VNN, (b) DW, (c) Gaussian, and (d) ADW ( $b=0.5$ ) methods, with lines  
9 indicating the column 200 in the image.

10 Fig. 10. The comparisons of intensities along column 200 of the four slices for the  
11 subject's forearm: (a) the ADW ( $b=0.5$ ) and VNN, (b) the ADW ( $b=0.5$ ) and  
12 DW, (c) the ADW ( $b=0.5$ ) and Gaussian.

13 Fig. 11. Four slices reconstructed using the (a) VNN, (b) DW, (c) Gaussian, and (d)  
14 AGDW ( $b=0.5$ ) methods for the subject's forearm at the 300% test.

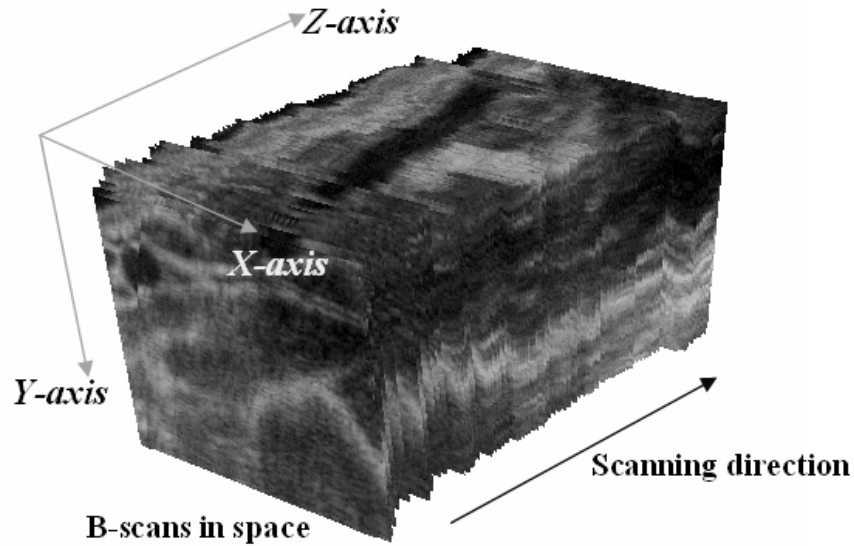


Fig. 1.

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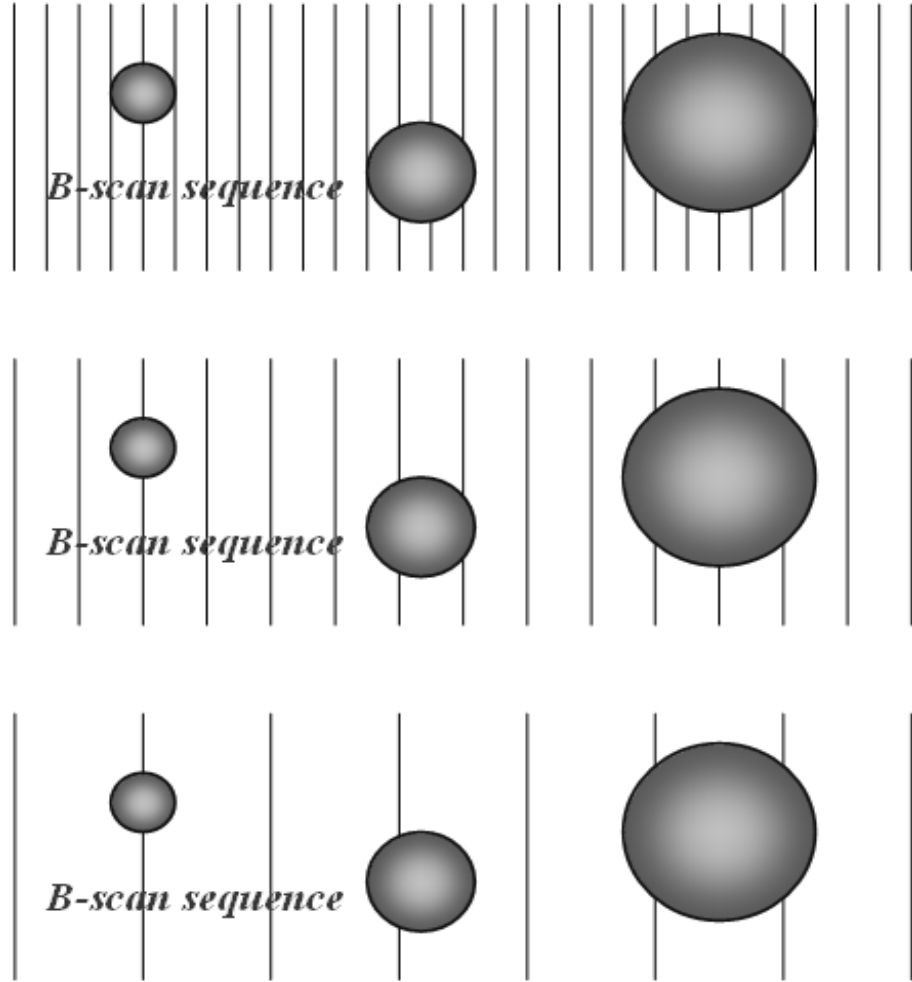


Fig. 2.

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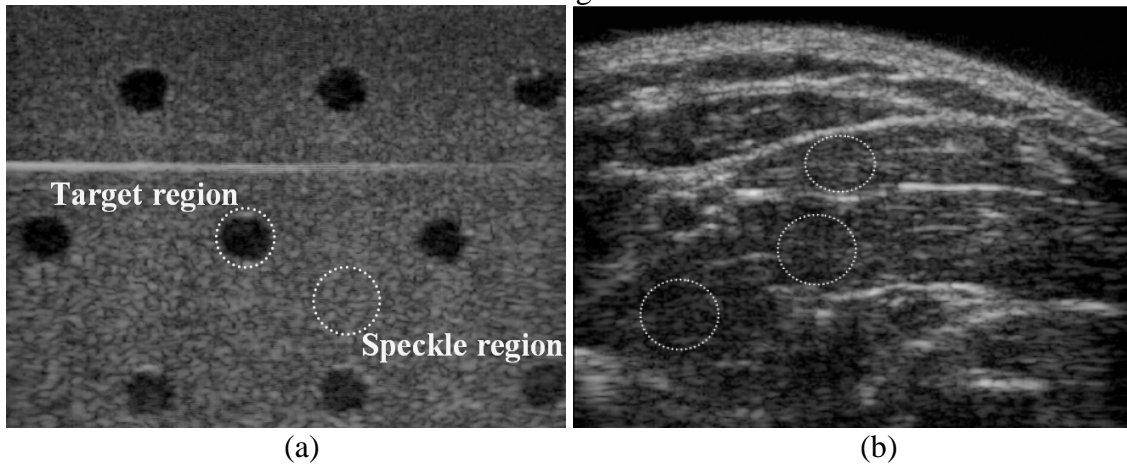
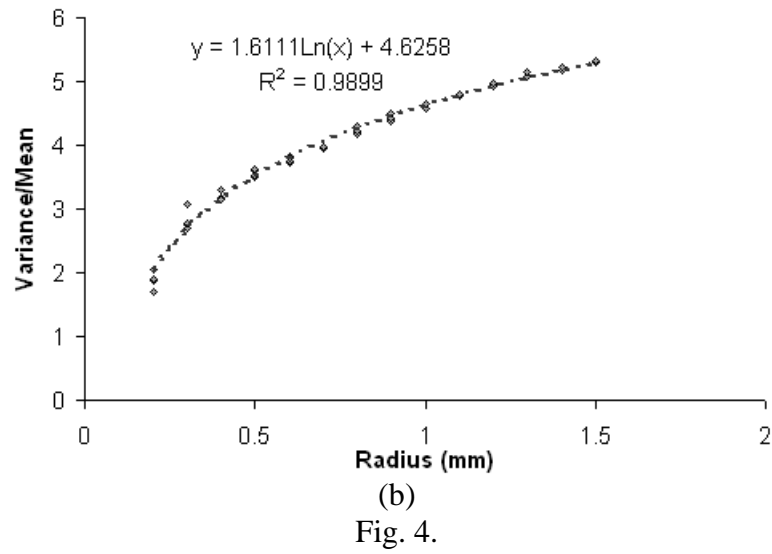
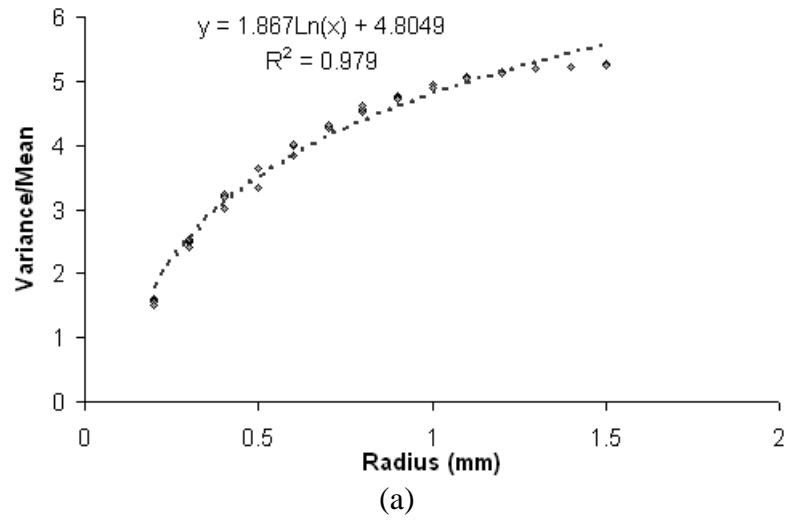
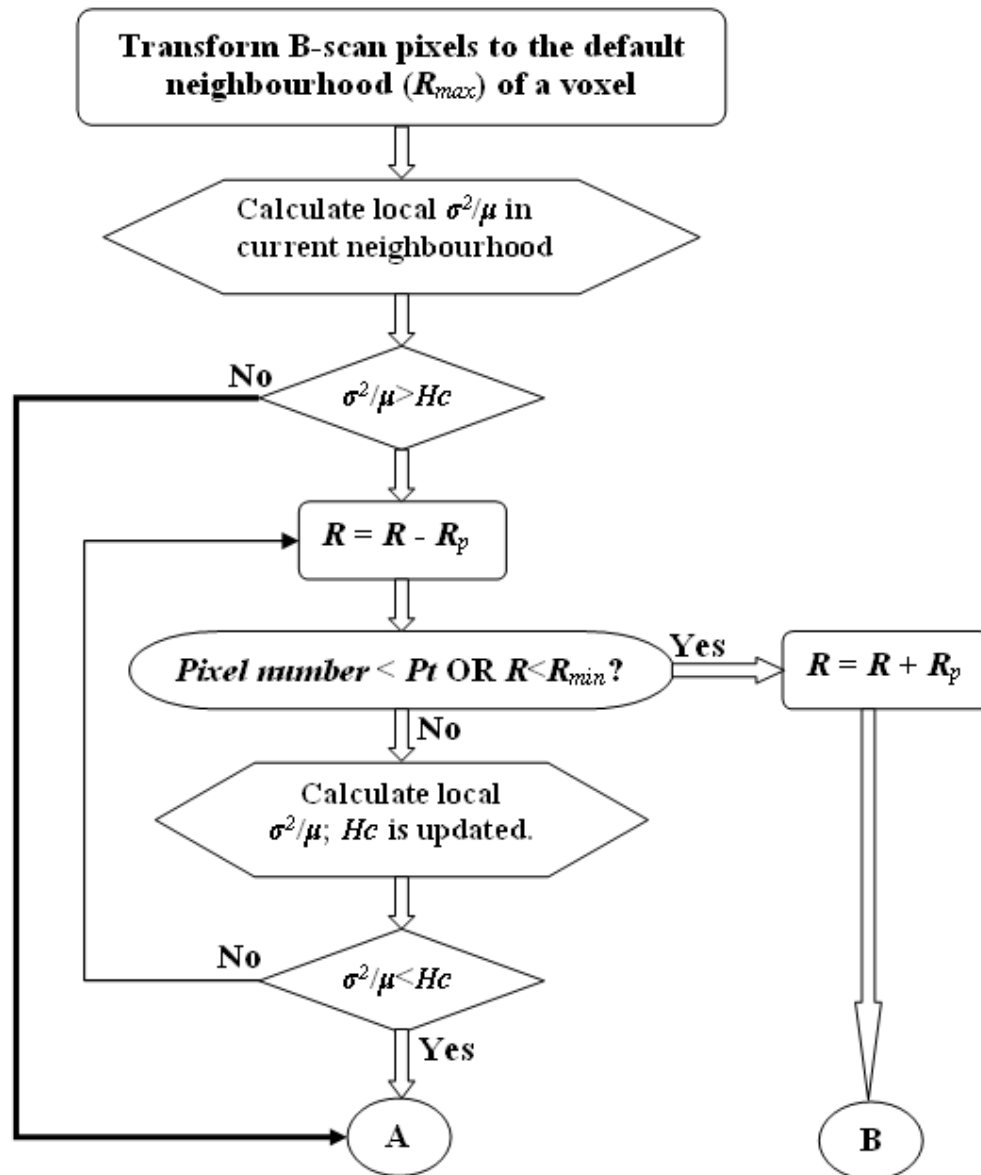


Fig. 3.

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**A: The voxel is considered locating in a homogeneous region.**

**B: The voxel is considered locating in an inhomogeneous region.**

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Fig. 5.



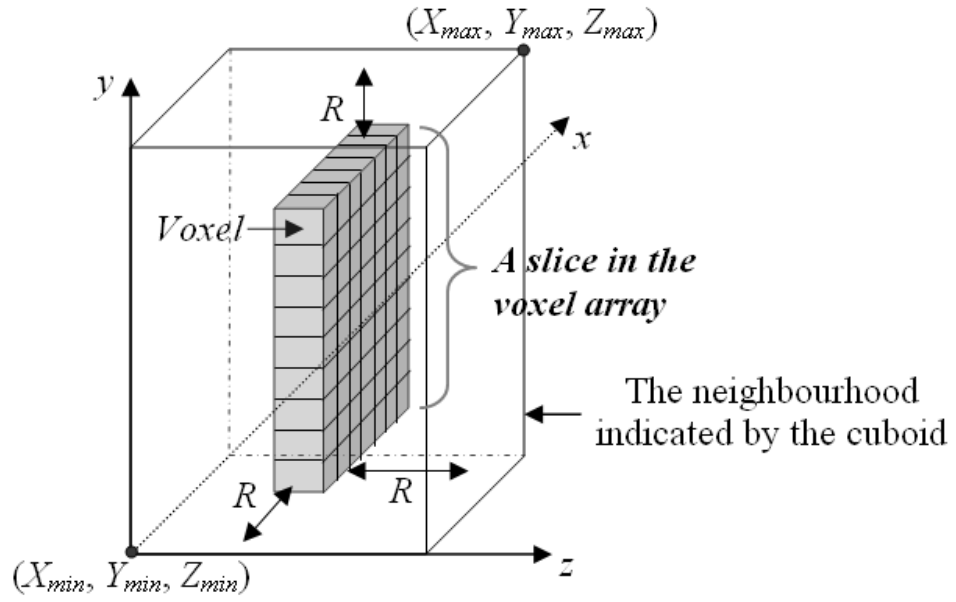
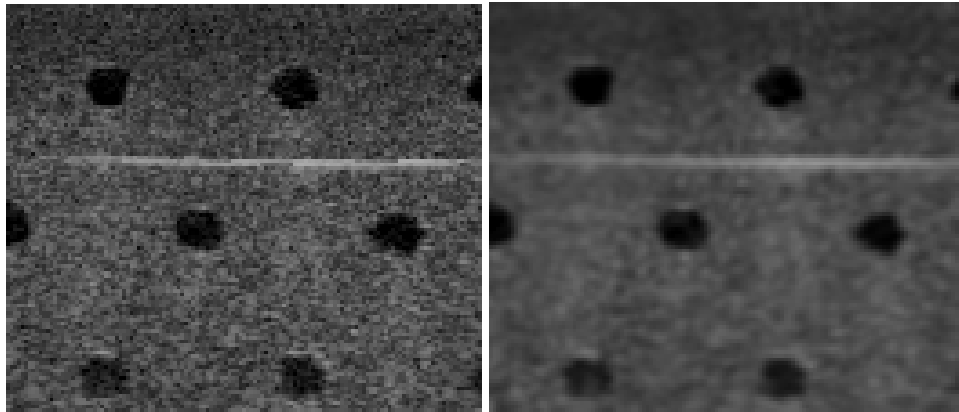


Fig. 6.

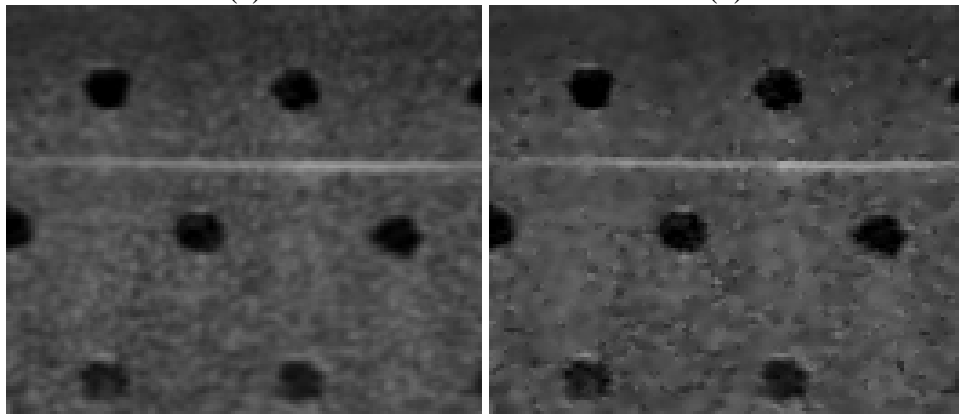
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(a)

(b)

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(c)

(d)

Fig. 7.

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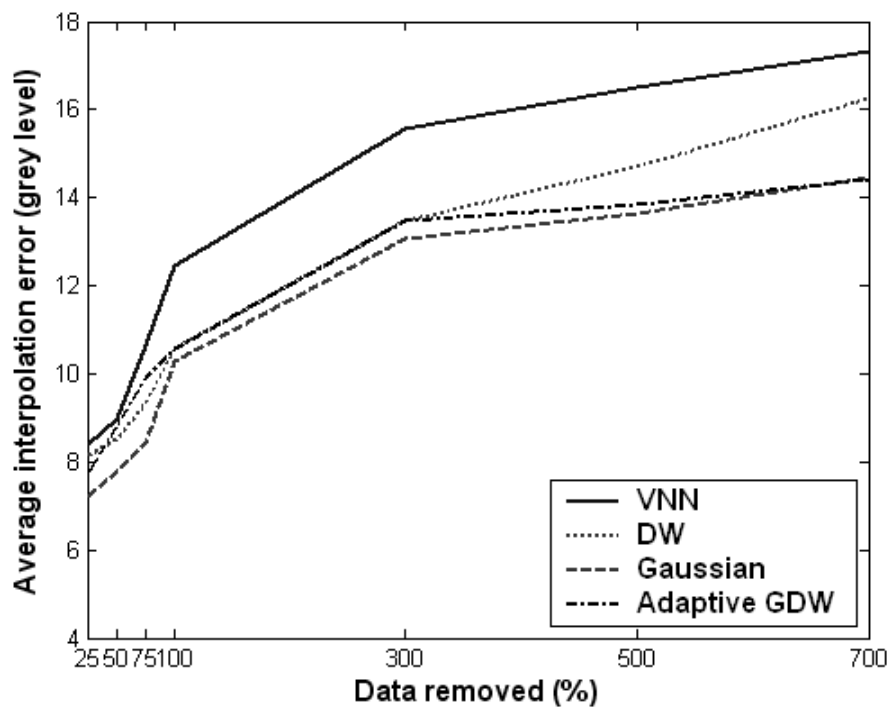


Fig. 8.

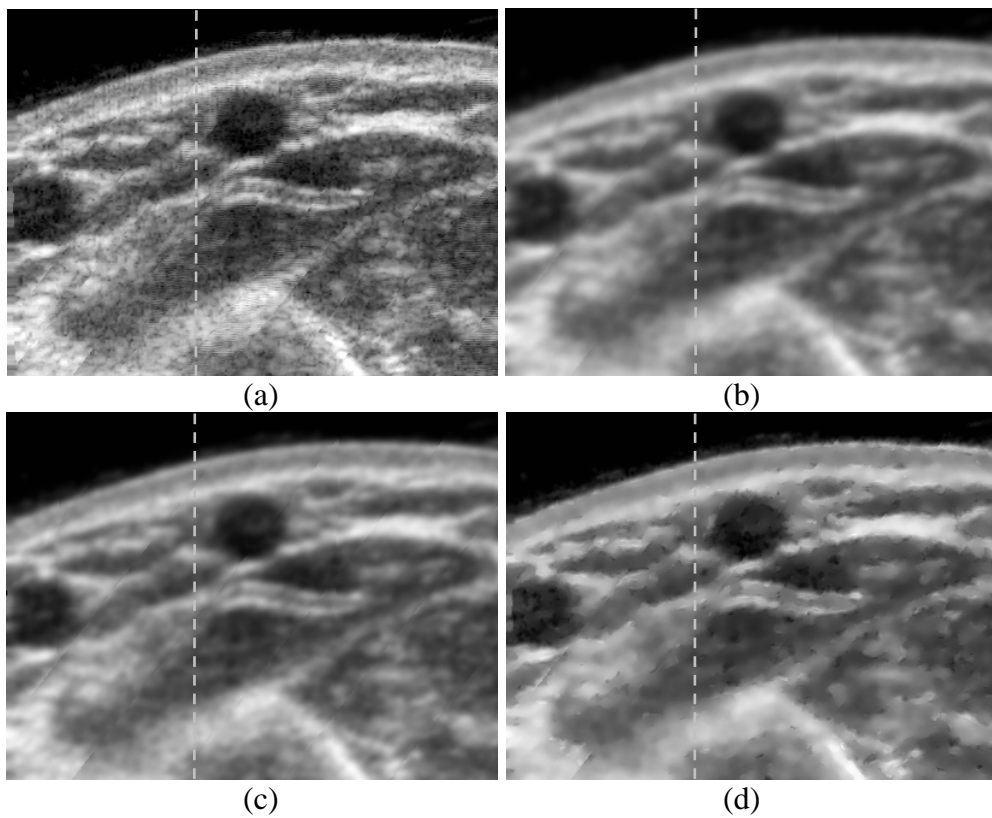
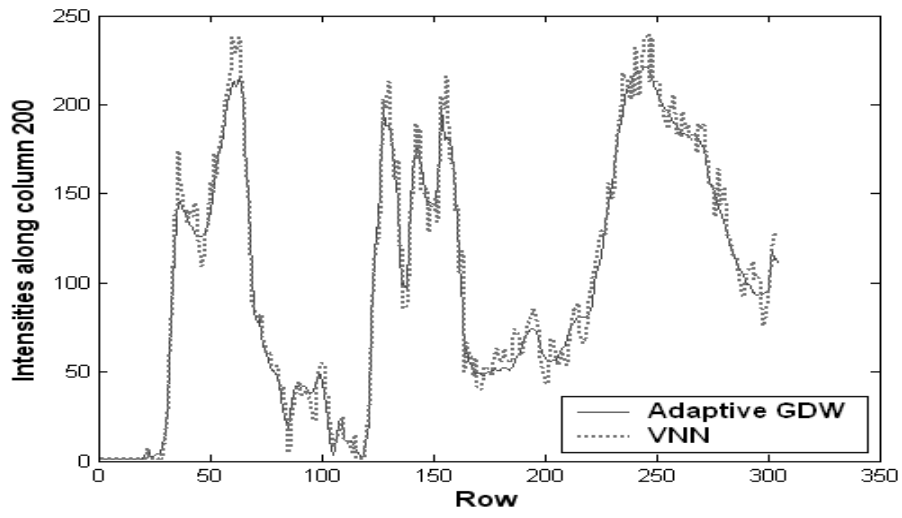


Fig. 9.

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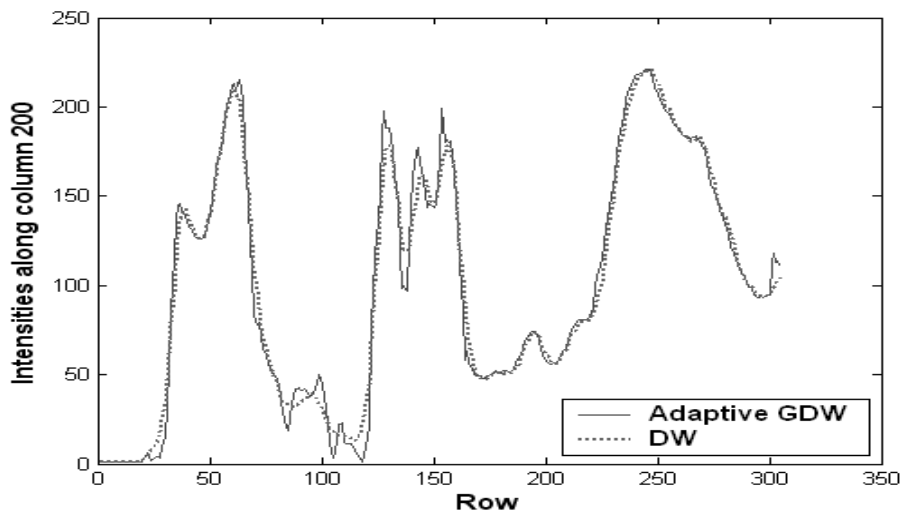
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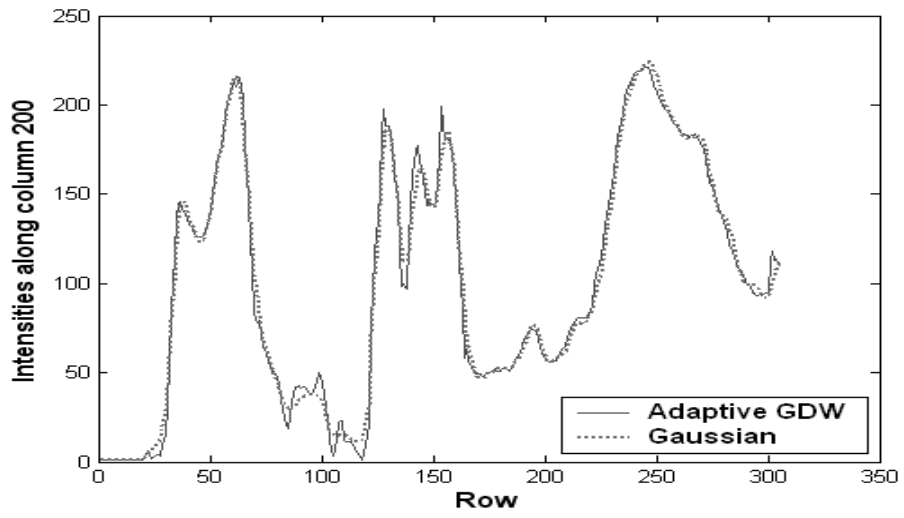
(a)



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(b)



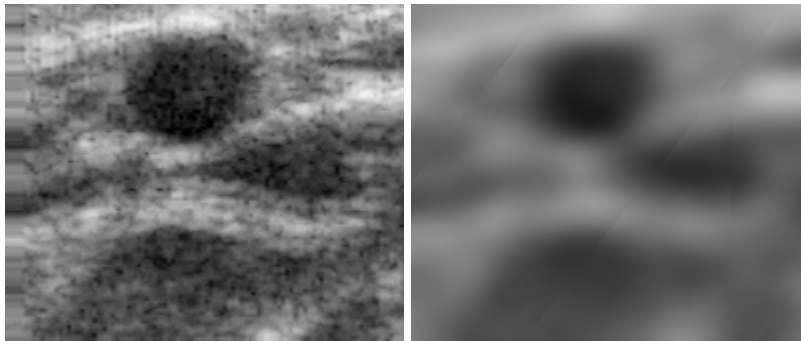
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(c)

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Fig. 10.

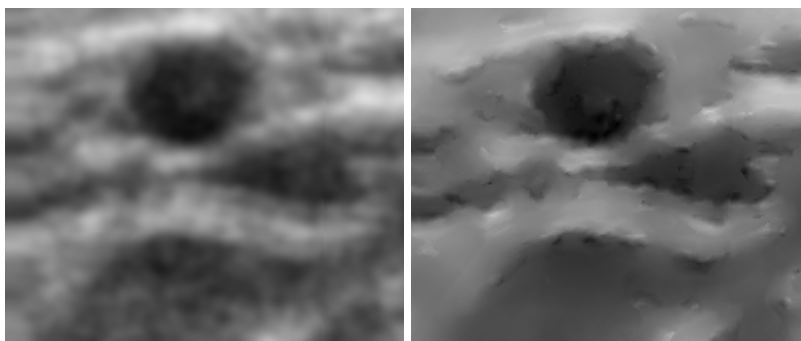


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(b)



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(c)

(d)

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Fig. 11.

1 TABLES

2 Table 1. Averaged local variance/mean in 3D homogeneous speckle regions with  
 3 different radiuses ( $r$ ) and spacings ( $s$ ) for the resolution phantom.

$r$ (mm)	Variance/Mean				
	$s = 0.04$ mm	$s = 0.08$ mm	$s = 0.16$ mm	$s = 0.32$ mm	$s = 0.64$ mm
0.02	1.611	1.574	1.556	1.512	1.512
0.03	2.492	2.517	2.535	2.419	2.419
0.04	3.19	3.218	3.228	3.196	3.017
0.05	3.637	3.63	3.634	3.635	3.343
0.06	3.999	4.012	4.011	4.006	3.831
0.07	4.288	4.292	4.298	4.28	4.31
0.08	4.538	4.533	4.527	4.572	4.613
0.09	4.758	4.755	4.756	4.741	4.732
0.10	4.952	4.955	4.948	4.955	4.9
0.11	5.07	5.07	5.062	5.077	5.043
0.12	5.141	5.143	5.145	5.132	5.13
0.13	5.191	5.191	5.190	5.196	5.199
0.14	5.228	5.229	5.228	5.233	5.223
0.15	5.264	5.264	5.267	5.258	5.248

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1 Table 2. Averaged local variance/mean in 3D homogeneous speckle regions with  
 2 different radiuses ( $r$ ) and spacings ( $s$ ) for human musculoskeletal tissues.

3

$r$ (mm)	Variance/Mean				
	$s = 0.04$ mm	$s = 0.08$ mm	$s = 0.16$ mm	$s = 0.32$ mm	$s = 0.64$ mm
0.02	1.871	1.891	1.691	2.048	2.048
0.03	2.760	2.695	2.774	3.073	3.073
0.04	3.16	3.143	3.167	3.162	3.30
0.05	3.512	3.506	3.514	3.593	3.618
0.06	3.744	3.727	3.761	3.825	3.798
0.07	3.916	3.957	3.958	3.975	3.973
0.08	4.189	4.183	4.180	4.237	4.298
0.09	4.392	4.385	4.387	4.415	4.501
0.10	4.573	4.566	4.569	4.570	4.659
0.11	4.777	4.777	4.773	4.767	4.807
0.12	4.949	4.948	4.947	4.928	4.968
0.13	5.075	5.077	5.078	5.068	5.138
0.14	5.178	5.178	5.175	5.168	5.222
0.15	5.295	5.295	5.292	5.291	5.317

4

5 Table 3. Quantitative results of the phantom study for the measures of SNR in a  
 6 homogeneous region and the averaged local contrast in a target region using different  
 7 interpolation methods.

Metrics	DW	VNN	Gaussian	AGDW
SNR	9.273	5.612	8.861	9.266
Contrast	0.3554	0.6425	0.3951	0.4758

8