

## RESEARCH PAPER

## An ecophysiological approach for *Araucaria araucana* regeneration management

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### Abstract

**F. Drake, J.R. Molina, and M.Á. Herrera. 2012. An ecophysiological approach for *Araucaria araucana* regeneration management. Cien. Inv. Agr. 39(1): 159-176.** Chilean temperate forests are dominated by *Nothofagus* and *Araucaria araucana* species. Despite *A. araucana* not being at imminent risk of extinction, its cultural value and the associated environmental services and landscape goods have an important role for the conservation of this native forest. In some areas, the future conservation of *A. araucana* is a cause of great concern given its management prohibition and regeneration limitation due to slow growth, canopy tree competition and dense understory. The above characteristics make this species most susceptible to some disturbances, such as livestock, wildlife and human pressures. Therefore, sustainable management of *A. araucana* forests requires the assessment of its regeneration condition. The objective of this research was to apply multivariable analysis techniques in search of the most relevant parameter for *Araucaria* regeneration. This study used the following methods: principal component analysis (PCA), forward stepwise regression modeling and Maxent modeling. By PCA, it was possible to reduce the dimension to six-dimensional with a variance explanation of greater than 75%. The multivariable regression model, known as model 7, was the best compromise between the coefficient of determination and model size (number of independent variables). Incorporating a maximum entropy trend improved model performance. A spatial prediction was obtained by summing the contributions of statistical methods and the geographic information system (GIS). The GIS increased the flexibility of the proposed model, which enabled an extrapolation to other areas at different spatial and temporal scales.

**Key words:** Maxent model, *Nothofagus* species, seedling establishment, seedling tree.

### Introduction

Chilean forests are one of the most biodiverse forests in the world (Marticorena and Quezada, 1985). In the last 100 years, thousands of hectares

of the most endemic, interesting and wonderful forests have been destroyed by men. In the case of Chile, some authors have reported that native forest destruction began in the 16<sup>th</sup> century with the Spanish conquest (Donoso and Lara, 1995, Torrejon and Cisternas, 2003). Subsequently, millions of hectares were burnt to prepare the land for agriculture in the 1950s (Otero, 2006).

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*Araucaria araucana* (Mol.) K. Koch is a relict conifer in South America's temperate forests (Tortorelli, 1956, Veblen, 1982, Bekessy *et al.*, 2004, Donoso, 2006). *Araucaria* forests are found between 37° and 41° S on both sides of the Andean Mountain Range in Chile and Argentina (Veblen, 1982; Donoso, 1993, Drake *et al.*, 2009). Annual precipitation varies between 800 and 4,000 mm per year (Donoso, 2006) in this region due to its large and narrow distribution. In Chile, *A. araucana* usually forms mixed stands with *Nothofagus obliqua* (Mirb. Oerst. var.) at lower altitudes, *Nothofagus dombeyi* (Mirb. Oerst.) at medium altitudes and *Nothofagus pumilio* (Poepp. et Endel. Krasser) at higher altitudes (Rodríguez *et al.*, 1983). In Chile, *A. araucana* covers 253,715 ha (Drake, 2004) representing approximately 60% of the total area in which this species is found (Donoso, 2006). In Chile, there are two restricted habitats: the Andean Mountain Range and the Chilean Coastal Range (Bekessy *et al.*, 2004). In the Andean Mountain Range, *A. araucana* occupies an area between 37° 30' and 39° 30' S (Biobío, Araucanía and Los Lagos regions). In the Chilean Coastal Range, *A. araucana* is situated at latitudes between 37° 20' and 38° 40' S (Donoso, 1993, Enright and Hill, 1995, Drake *et al.*, 2009).

*A. araucana* is established as a representative symbol of the Chilean forest biodiversity because of its cultural and social importance (Donoso and Lara, 1995, Agesen, 1998, Donoso, 2006). This species is a primary source of food and income for the Mapuche Pewenche community (Maletti 1997, Bengoa, 2000, Azocar *et al.*, 2005). The Pewenche community obtains edible plants, firewood, livestock shelter and construction materials from these forests (Ladio, 2001, Agesen, 2004). Native forests also offer important environmental services (Schmidt and Lara, 1985, Hoffmann *et al.*, 2001) and landscape goods according to the presence of century- and millennium-old trees (Heusser *et al.*, 1988). *A. araucana* is listed as a vulnerable species (IUCN, 1996) and is a protected species under Chilean law, consolidating its protection and prohibiting its cutting (Supreme Decree 43 and Native Forest Law).

The regeneration behavior for shade-tolerant gymnosperms such as *A. araucana* is consistent with the regeneration hypothesis associated to the competition/colonization model and spatial patterns (Bond, 1989). Under this theoretical framework and based on the dynamics of *A. araucana/Nothofagus* forests, there is direct influence of the regeneration condition and disturbance histories (Veblen, 1982, Burn, 1993). *A. araucana* can colonize disturbed areas, such as volcanic ash deposition and lava flows, and survive under suppressed conditions and wildfire (Veblen, 1982; Donoso *et al.*, 2008). Wildfire is the most common worldwide disturbance and has been shown to be the key disturbance factor controlling the dynamic of *A. araucaria/Nothofagus* forests (Veblen, 1982; González *et al.*, 2005, 2010). Temperate forest species have developed mechanisms, such as resprouting, fire-girdled stems or thick bark, to survive (Montaldo, 1974, Veblen *et al.*, 1995a, 1995b). The presence of thick bark on *A. araucana* trees is a special adaptation to survive fire conditions. *A. araucana* trees can regenerate due to their thick bark (Burns, 1993, González *et al.*, 2005, González and Veblen, 2007) if these trees establish immediately after a fire that kills canopy trees (Veblen, 1982; Burns, 1991).

*A. araucana* is known for its conservative strategy because of its long-lived status (more than 1,000 years) and slow growth (Mutarelli, 1966, Burns, 1991, Donoso, 2006). Seedling establishment may be limited by masting, seed predation and understory vegetation effects (Sanguinetti and Kitzberger, 2009). *A. araucana* has a cone production masting pattern that is environmentally triggered, intermittent, moderately fluctuating, and regionally synchronous (Sanguinetti and Kitzberger, 2008). Although seed production depends on *A. araucana* mast year (Sanguinetti and Kitzberger, 2008, Donoso, 2008), the maximum seed production is obtained when the stand age is more than 40 years old (Donoso, 1993) and when the tree occupies the dominant layer in the canopy cover (Enright and Hill, 1995, Enright *et al.*, 1999, Drake, 2004). Austral parakeets (*Enicognathus*

*ferrugineus*) are the primary predispersal predators of *A. araucana* seeds (Finckh and Paulsch, 1995). Parakeets handle seeds differently in mast and intermast years because they drop fewer slightly damaged seeds when production is low (Shepherd *et al.*, 2008). *A. araucana* seedlings tend to establish mainly beneath parent trees due to the limited dispersal range of the large seeds (Caro, 1995, Sanguinetti and Kitzberger, 2009). If *A. araucana* seedlings colonize immediately in gaps and open areas created by dead trees, they can develop into the canopy layer (Schmidt 1977, Drake 2004). Although some authors have indicated that dense *Chusquea* understory tends to reduce *A. araucana* seedling establishment (Finckh and Paulsch, 1995, Donoso, 2008, Mujica *et al.*, 2009), other authors have suggested that this situation is not only related to *Chusquea* competition but also to seed predation (Sanguinetti and Kitzberger, 2009, Sanguinetti and Kitzberger, 2010). *A. araucana* forests are strongly altered by animal, mainly *Sus scrofa* Linnaeus, and human pressures (Sanguinetti and Kitzberger, 2008, 2010).

The forest dynamics of mixed stands have been studied extensively (Veblen, 1982, Rodríguez *et al.*, 1983, Burns, 1991, Finckh and Paulsch, 1995, Donoso, 2003, 2006, González and Veblen, 2007, Sanguinetti and Kitzberger, 2008). Despite the prohibition of *A. araucana* management, Drake *et al.* (2005) recommended sustainable management actions to improve the stand structure and seedling establishment. The present study documented the patterns of vegetation on private land in the Andean Mountain Range in Southern Central Chile. This study aimed to develop an objective and simple model of *A. araucana* stand development that incorporates the regeneration condition. This study utilized tree mensuration, forest characterization, ecological variables and physiographic variables according to the geographic information system (GIS) to define sustainable management recommendations for each stand on the private land. The environmental characteristics and their relation to the regeneration condition were examined. According to other

studies (Drake *et al.*, 2005, Mujica *et al.*, 2009), the present study considered the hypothesis that Chilean law and forest policies should strongly consider sustainable management of *A. araucana* and should avoid ecological traditional principles, such as *A. araucana* area preservation and complete cutting prohibition.

## Materials and methods

### Study area

*A. araucana* occupies three administrative regions of Chile: the Biobío Region, Araucanía Region and Los Ríos Region (Figure 1). The study area is located in Melipeuco County in the Araucanía Region, and it covers 255.1 hectares. The sampled area was 108.76 hectares, which was the proportion of the private land where *A. araucana* trees were present.

Although the annual precipitation of this area varies between 1,500 and 2,500 mm, the climate is temperate with dry summer months. The temperature is 2° C for the coldest month and 23° C for the hottest month. The lithology is predominantly volcanic type, such as volcanic ash deposition in lava flows. These soils have a depth of up to 1.25 m. *A. araucana* elevation ranges between 1,150 and 1,500 meters. Although there is a small area with a slope greater than 80%, the study area is characterized by wavy topography with a mean slope close to 15%.

The vegetation is occupied by mixed *Araucaria/N. Dombeyi/N. pumilio* forests. In the upper areas, *N. pumilio* and *Nothofagus antarctica* (G. Forst) dominate the vegetation, forming pure stands. The understory is dominated by *Chusquea quila* (Mol.) Kunth, *Drimys winteri* J. R. et G. Forster var. *andina* Reiche, *Desfontainia spinosa* Ruiz et Pav., *Azara lanceolata*, Hook. f. *Berberis pearcei*, *Chiliotrichium rosmarinifolium* Less, *Maytenus disticha* Hook. f. and some herbaceous plants, such as *Valeriana lapathifolia* Vahl. The forest

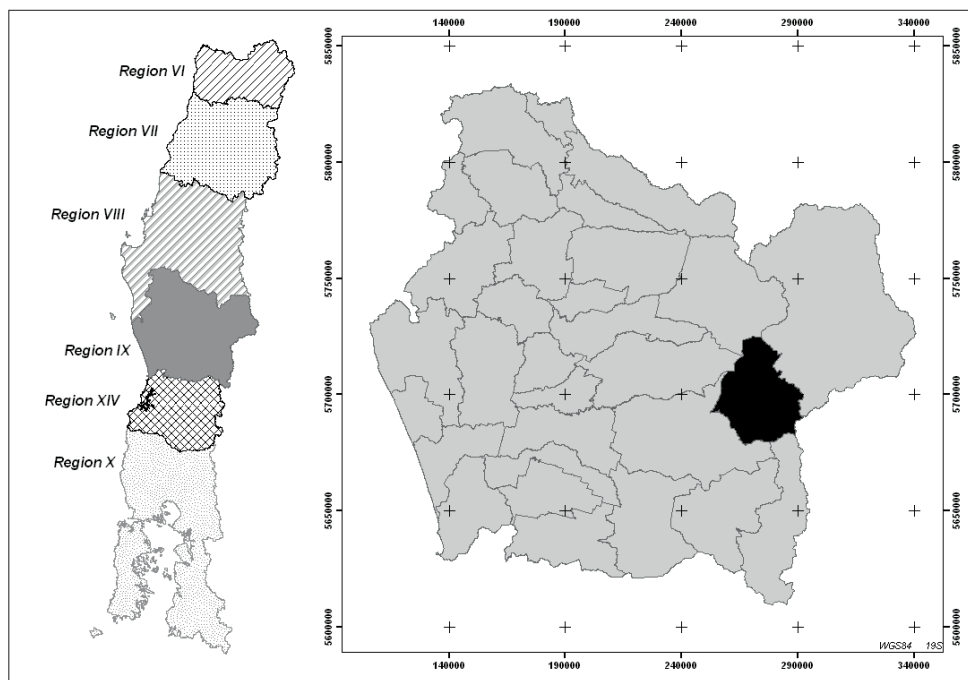


Figure 1. Study area location (meters).

understory generally has a dense layer of *C. quila*, an aggressive and abundant species in Southern Chile. The dense layer reached up to 1.3 m in the majority of the sampling units.

#### Field inventory

In the study area, there was no evidence of recent disturbances (last 50 years), such as forest fires or volcanic activities. Only trees falling by strong winds were found. The forest inventory design and stratification were developed using aerial photographs and GIS from a private air flight. A preliminary sampling was carried out with the help of the above cartographic information to estimate forest variability in relation to vegetation structure.

An analysis of variance (ANOVA) was used to determine if significant differences ( $P \leq 0.05$ ) existed in the vegetation structure (stand density, *Araucaria* density, *Araucaria* diameter and

*Araucaria* height). If significant differences were detected, Tukey's HSD test was performed to determine which specific vegetation characteristic was different.

The sampling units were circular plots of 1,000 m<sup>2</sup> according to the inventory results of the surrounding area where crown diameters between 9.75 and 13.55 m were found (León and Villaruel, 2004), and the plot optimization was based on forest characterization (Freese, 1961, Zeide, 1980). This plot size had some limitations because of the presence of some seedling trees near the plot borders. As a consequence, some *A. araucana* seedlings were either present inside or outside of the plot. The forest inventory design was based on a cost-benefit analysis (Condés and Martínez Millán, 2001) according to its private condition and the lack of economic profitability.

Regeneration information was collected by random and square 100 m<sup>2</sup> subplots containing *A. araucana* and other species seedlings. The

seedling number was calculated by averaging the mean seedling number over the 1,000 m<sup>2</sup> plot. Model variables were expressed as the number of seedlings per hectare to allow the model to be applied independently of the plot size. All seedlings were classified by three diameter classes (< 1 cm, 1-5 cm, and > 5 cm) and three height classes (< 50 cm, 50-130 cm, and > 130 cm) according to previous *A. araucana* approaches (Cavieres, 1987, Divasto, 2003, Drake, 2004). Seedling height has a low correlation with seedling age due to the different abilities of species to respond to shade or light stress. González (1998) reported that the average annual height growth for *A. araucana* ranges between 3.10 and 3.55 cm. According to this hypothesis, it was possible to establish a relation between height classes and to estimate age without the need of tree-ring measurement. Thus, *A. araucana* seedlings less than 50 cm generally corresponded to plants less than 15 years old.

We tested different types of variables: tree mensuration, forest characterization, ecological variables and physiographic variables (Table 1).

All variables were organized in a GIS database at a digital elevation model with an original 30-m resolution. Forest characterization variables, such as stand density, *A. araucana* density, canopy composition and seedling tree presence, were complemented by ecological variables, such as shrub coverage, *A. araucana* seedling number and *Nothofagus* seedling number. Stand density (DEN) and *Araucaria* density (ARA) were obtained from the forest inventory and were expressed as the number of trees per hectare. Thus, the model can be applied independently of the plot size. A binomial variable (COM) representing the canopy composition was created (class 0 = *N. dombeyi* and class 1 = *N. dombeyi* and *N. pumilio*). The SED variable depended on the presence of *A. araucana* trees with maximum seed production (class 0 = seed tree absence and class 1 = seed tree presence), which allowed an improvement opportunity for seedling establishment. A “seed tree” was identified as a female tree with a 50-100-cm diameter at breast height (dbh) according to previous studies (Enright *et al.*, 1999, Drake, 2004).

**Table 1.** Variables used in the modeling experiments.

Name	Definition	Units
REG	<i>A. araucana</i> seedlings per hectare	seedlings/ha
DEN	Number of trees per hectare	Trees ha <sup>-1</sup>
ARA	<i>Araucaria</i> trees per hectare	Trees ha <sup>-1</sup>
COM	Canopy composition	Two classes
BRU	Shrub coverage	Five classes
DIF	Presence of dense and high understory (> 1.3 m of mean height)	Two classes
SED	Presence of seedling tree (50-100 cm of dbh)	Two classes
BUF	<i>Araucaria</i> seedlings in the nearest plots (mean value)	Seedlings ha <sup>-1</sup>
COMP	<i>Nothofagus</i> seedlings per hectare	Seedlings ha <sup>-1</sup>
SIZ	Size of largest <i>Araucaria</i> seedling	Three classes
SLO	Slope degree	Degree
ASP	Aspect degree	Degree
POS	Topographic position of the plot	Three classes

REG: *Araucaria* regeneration; DEN: stand density; ARA: *Araucaria* density; COM: canopy composition; BRU: shrub coverage; DIF: difficulty to seedling establishment; SED: presence of *A. araucana* trees with maximum seed production; BUF: seedling number over surrounding sample units; COMP: *Nothofagus* regeneration; SIZ: seedling size, SLO: slope, ASP: aspect; and POS: topographical position.

The following ecological variables were used: shrub coverage, *Nothofagus* seedling number and *A. araucana* seedling number. The shrub coverage variable (class 1 = low coverage to class 5 = dense coverage) was derived from the Braun-Blanquet method (Braun-Blanquet, 1979). A binomial variable was adopted to create the difficulty of seedling establishment (DIF) according to the shrub height (class 0 = mean height of less than 1.30 m and class 1 = height of more than 1.30 m). The presence of dense and high understory is related to *A. araucana* seedling establishment (Finckh and Paulsch, 1995, Finckh, 1996, Rechene *et al.*, 2003, Donoso, 2008, Mujica *et al.*, 2009). *Nothofagus* regeneration was obtained from the forest inventory and was expressed as the number of seedlings per hectare. Current explanations for *Nothofagus* regeneration success emphasize the advantages on seedling growth rate and seed dispersal (Veblen, 1982; Burns, 1993; Donoso, 1993). The functional differences on gymnosperm and angiosperm growth rates suggest that *A. araucana* will be limited in areas where growth of *Nothofagus* is important, for example, in isolated habitats (Finckh, 1996; Rechene *et al.*, 2003). One variable based on the regeneration condition in the surrounding area was used to avoid the problems associated with plot size and border effect (for example, seedlings located near the plot border). Mean seedling number (BUF) was calculated by averaging the seedling number (REG) over surrounding sample units (110 m). Finally, the *A. araucana* regeneration condition depends on the seedling size or seedling age. The same procedure used for the other variables was adopted to create the size variable (SIZ) according to the presence of seedlings less than 50 cm or 15 years, seedlings between 50-130 cm or 15-40 years, and seedlings more than 130 cm or 40 years (class 0 = less than 50 cm; class 1 = between 50-130 cm; and class 2 = more than 130 cm). When *A. araucana* regeneration is less than 50 cm, seedlings are exposed to competition with shrub species, mainly *D. winteri* var. *andina* and *D. spinosa*, and *Nothofagus* seedlings that colonize gaps faster than *Araucaria* seedlings. Finally,

over 40 years (approximately 130 cm of height in the study area), seedlings can reach a further crown developing or polewood stage (Cavieres, 1987; Drake, 2004).

The following physiographic variables were used: slope, aspect and topographical position. The slope (SLO) and aspect (ASP) variables were derived from the field plot and validated from the digital terrain model at a 30-m resolution. The aspect variable affects the amount of sunlight the plot receives and is expressed as degrees. In Chilean latitudes, places with a northern aspect tend to be warmer and drier than places with a southern aspect. A categorical variable representing the topographical position (POS) within each sample unit was created (class 1 = valley or lower slope area; class 2 = mid-level slope area; and class 3 = upper slope area).

#### Statistical analysis

Statistical analysis was realized including the above variables with easy identification for forest managers. With principal component analysis (PCA), a large number of variables can be systematically reduced to a smaller and conceptually more coherent set of variables. These principal components are a linear combination of the original variables. The first principal component usually accounts for most of the variation in the variables and successively within the rest of the components.

To assess the spatial autocorrelation of *A. araucana* regeneration in the models, the following two different predictors were prepared: multivariable regression model and trend, which accounted for spatial autocorrelation of the observed natural regeneration at the landscape level. The multivariable regression model was created in SPSS 10.0© by a parametric model using a forward stepwise method. Ecological, forest and physiographic variables were used to test modeling alternatives and to provide the best modeling framework. Due

to the measurement of *A. araucana* regeneration (dependent variable) on seedlings per hectare, the model can be applied independently of the forest inventory. In addition, a trend was created by a geostatistical method, which resulted in a smooth surface capturing coarse-scale pattern of *A. araucana* regeneration based on random field values and their spatial distributions. GARP and Maxent models (geostatistical methods) can test the regeneration of an unsampled area using ecological niche-modeling algorithms (Baldwin, 2009; Costa *et al.*, 2010). Both methods attempt to identify correlations between the presence or absence of natural regeneration and environmental parameters. Maxent models fit a probability distribution for *A. araucana* regeneration based on the principle that the best explanation of unknown phenomena will maximize the entropy of the probability distribution (Phillips *et al.*, 2006). A given location may be allocated to an absence or presence set depending on whether a given regeneration might or might not be present (binary variable). The environmental variables most closely associated with the presence of regeneration can be extrapolated to similar biotopes to identify the probable spatial patterns of the seedlings. Multivariate data analysis is a crucial part of the methodology (Mota *et al.*, 2002, Moreno *et al.*, 2011). The model starts with a uniform distribution and performs a number of iterations based on the most significant environmental variables until no further improvements in the prediction are made.

Similar to other studies (Moreno *et al.*, 2011), 25% of the sample plots were used to test whether Maxent predictions (training dataset) were better than random predictions. Maxent models were evaluated using the area under the curve (AUC) of a receiver operating characteristic (ROC) plot on the training and test datasets. Maxent models use the area under the curve (AUC) to evaluate the model statistically and are among the statistics most frequently used to assess ecological modeling (Peterson and Nakazawa, 2008; Baldwin, 2009; Costa *et al.*, 2010, Moreno *et al.*, 2011). According to the Maxent model, each grid cell of the

study area predicted to have the best conditions for natural regeneration will have the cumulative value of 1, and cumulative values close to 0 indicate predictions of unsuitable conditions.

## Results and discussion

### *Field inventory*

Three stands were identified with 99.07 hectares (81 plots), 3.72 hectares (2 plots) and 5.97 hectares (6 plots) according to the stand structure (Table 2). The distance among the sample units was calculated as 110 meters according to the square root between each stand area and plot number depending on the standard deviation (Consejería de Medio Ambiente, 2004). Although many additional variables, such as stump diameter (before prohibition of *A. araucana* cutting), crown diameter and understory composition, were collected during the inventory, only a summary of the following five most important variables was presented: canopy composition, stand density, *Araucaria* density, *Araucaria* diameter and *Araucaria* height. The canopy composition was dominated by mixed *A. araucana*/*N. dombeyi*/*N. pumilio* forests (Table 2). Although the stand density was significantly reduced in stand 2, *Araucaria* density was the most variable parameter. The stand diameter was significantly increased in stand 2 as compared to stands 1 and 3. Finally, *Araucaria* height was significantly reduced in stand 3.

*A. araucana* regeneration tended to be less than or equal to 3 seedlings at 72% of the total sample units (100 m<sup>2</sup>). The lack of natural regeneration may have been due to seed production and seed predation by rodents. The forest inventory showed that 75% of the plots were without seedling trees and, consequently, had a low regeneration condition. In 30.34% of these sampling units, the *A. araucana* trees were in old growth conditions, which resulted in unsuitable seed production. More than 3 *A. araucana* seedlings per plot (100 m<sup>2</sup>) were associated with the presence of seed-

**Table 2.** Average forest stands characteristics.

Stand	1	2	3
Area (ha)	99.07	3.72	5.97
Canopy Composition	<i>A. araucana</i> <i>N. dombeyi</i> , <i>N. pumilio</i>	<i>A. araucana</i> <i>N. pumilio</i>	<i>A. araucana</i> <i>N. dombeyi</i>
Stand density (trees/plot)	30.4 ( $\pm$ 18.39) a	17.5 ( $\pm$ 9.19) b	37.7 ( $\pm$ 22.76) a
<i>Araucaria</i> density (trees/plot)	4.4 ( $\pm$ 3.21) ab	2.5 ( $\pm$ 0.70) a	9.2 ( $\pm$ 6.82) b
<i>Araucaria</i> diameter (cm)	58.97 ( $\pm$ 23.73) ab	84.92 ( $\pm$ 55.96) a	48.17 ( $\pm$ 25.45) b
<i>Araucaria</i> height (m)	23.17 ( $\pm$ 8.31) a	24.25 ( $\pm$ 7.42) a	19.86 ( $\pm$ 10.31) b

Mean values in a row followed by the same letter are not significantly different ( $P \leq 0.05$ ).

ling trees, which was a unique factor and was not enough to explain the observed *A. araucana* regeneration pattern.

In general, *A. araucana* regeneration was related to the presence of high *Nothofagus* regeneration levels. In 91.30% of the plots with low *A. araucana* regeneration ( $< 3$  seedling per plot), more than 7 *Nothofagus* seedlings were present. In 87.5% of the plots with more than 100 *Nothofagus* seedlings, low levels of *A. araucana* regeneration were present. In some plots, there was a good level of regeneration with less than 15 years, but advanced stages of seedling development were not present. *A. araucana* seedlings with more than 15 years were only present in 13.43% of the total sample units. Shrub coverage was more than 75 in 79.10% of the study area. *C. quila* had an aggressive behavior, reaching up to 1.30 m (breast height) in 31.34% of the total sampling units. *A. araucana* regeneration of each plot was related to the surrounding plots (within less than 110 meters). A portion (68.75%) of the plots that had no *A. araucana* regeneration was surrounded by plots with no or low *A. araucana* regeneration.

#### Principal component analysis

Principal component analysis transformed the original set of variables into a substantially smaller set of uncorrelated variables that represented most of the information in the original dataset. The total variance in the system was 100%, which was the sum of the variances of the thirteen standardized variables. The variance of the first component was 27.7% (Table 3). Because there were six components, it was possible

to explain 76% of the total variance of the thirteen variables. The remaining components were difficult to assign variance due to the high variability of the dataset. Thus, the first seven components only accounted for 80% of the variance.

#### Multiple regression models

Different regressions were planned to measure the relative goodness of each model using 89 field plots (Table 4). The selection stopped when models could not add improvements according to the coefficient of determination ( $R^2$ ), Durbin-Watson statistic and Akaike information crite-

**Table 3.** Estimates of variances associated to principal components and their proportion and cumulative variance.

Principal Component	Eigenvalue ( $\lambda$ )	Proportion variance (%)	Cumulative variance (%)
1	3.59	27.7	27.7
2	1.64	12.6	40.3
3	1.42	10.9	51.2
4	1.17	9.1	60.3
5	1.05	8.1	68.4
6	0.98	7.5	76
7	0.83	6.5	82.4
8	0.68	5.3	87.7
9	0.45	3.5	91.2
10	0.34	2.7	93.9
11	0.33	2.6	96.5
12	0.31	2.4	98.9
13	0.13	1.1	100



rion (AIC). The differences in  $R^2$ , which is an indicator of model predictability and goodness, and in AIC, which is an indicator of model size, were of particular importance (Burnham and Anderson, 2002). According to the coefficient of determination evaluation, the best performances were obtained with models 1, 7 and 8. Based on AIC, the best performances (lowest values) were obtained with models 14, 9 and 7.

### Geostatistical model

The geostatistical models performed better than the random models in explaining the presence or absence of *A. araucana* regeneration. The performance and stability of the geostatistical models were significantly higher ( $P \leq 0.01$ ) than the random models. The AUC values over all models ranged from 0.974 for model 5 to 0.989

**Table 4.** Multiple regression models.

Code	Model	R <sup>2</sup> (%)	Durban-Watson statistic	AIC
1	REG = 165.85*SED + 0.63*BUF - 0.004*COMP + 266.1*SIZ + 0.44*ASP + 61.68*POS - 0.19*DEN - 3.53*ARA - 241.22*COM - 46.90*BRU - 99.18*DIF + 10.81*SLO	82.4	2.11	468
2	REG = 256.24*SED - 0.01*COMP + 335.46 SIZ ++ 0.46*ASP + 110.52*POS - 0.25*DEN - 2.86*ARA - 324.88*BRU - 43.53*DIF	49.3	1.77	705.97
3	REG = 146.95*SED + 0.65*BUF - 0.002*COMP + 0.45*ASP + 105.14*POS - 282.26*COM - 67.49*BRU - 331.75*DIF + 7.99*SLO	75.1	1.90	458.18
4	REG = 226.47*SED + 341.96*SIZ + 0.58*ASP - 42.71*POS - 4.62*ARA - 557.86*COM - 293.92*BRU + 21.05*SLO	61.2	1.85	523.48
5	REG = 192.1*SED + 0.65*BUF - 0.05*DEN - 0.8*ARA - 338.56*COM - 100.55*BRU - 291.42*DIF + 11.47*SLO	73.5	1.98	424.76
6	REG = 92.42*SED + 0.65*BUF + 214.73*SIZ - 0.37*DEN - 149.55*COM - 58.4*BRU - 139.8*DIF + 13.24*SLO	78.6	1.97	377.67
7	REG = 139.4*SED + 0.72*BUF + 313.17*SIZ + 0.31*ASP + 56.42*POS - 0.20*DEN - 2.97*ARA + 11.67*SLO	80.4	2.15	373.41
8	REG = 0.67*BUF - 0.003*COMP + 286.44*SIZ + 0.48*ASP - 3.16*ARA - 219.45*COM - 108.19*DIF + 14.29*SLO	80.7	1.85	399.66
9	REG = 252.77*SED - 0.007*COMP + 287.63*SIZ + 0.19*ASP + 134.46*POS - 0.40*DEN - 430.65*COM	45.9	1.90	330.54
10	REG = 178.18*SED + 0.76*BUF - 0.007*COMP + 0.55 ASP - 0.29*ARA - 144.04*BRU - 202.4*DIF	70.8	2.22	405.98
11	REG = 0.74*BUF - 0.006*COMP + 126.68*POS - 0.83*ARA - 211.65*COM - 157.59*BRU	68.6	1.85	720.45
12	REG = 165.92*SED + 0.69*BUF + 0.37 ASP + 167.08*POS - 272.9*COM - 349.1*DIF	74.2	1.93	411.60
13	REG = - 0.003*COMP + 0.16*DEN + 0.05*ARA - 760.62*COM - 535.59*DIF	35.3	1.90	349.88
14	REG = 0.77*BUF + 248.31*SIZ + 0.28 ASP + 102.92*POS - 0.43*DEN	76	2.021	290.45

REG: *Araucaria* regeneration; DEN: stand density; ARA: *Araucaria* density; COM: canopy composition; BRU: shrub coverage; DIF: difficulty to seedling establishment; SED: presence of *A. araucana* trees with maximum seed production; BUF: seedling number over surrounding sample units; COMP: *Nothofagus* regeneration; SIZ: seedling size, SLO: slope, ASP: aspect and POS: topographical position.

for model 1. Model 1 performed better than model 7 when using training data (Table 5). However, model 7 was more stable than model 1 when using test data. A second group with reasonably good models included models 2 and 4.

**Table 5.** Area under the curve (AUC) for the different models in training and test data set.

Model	Training data AUC	Test data AUC
1	0.989	0.963 (± 0.013)
2	0.987	0.968 (± 0.012)
3	0.982	0.979 (± 0.006)
4	0.985	0.975 (± 0.011)
5	0.974	0.889 (± 0.020)
6	0.975	0.920 (± 0.015)
7	0.988	0.967 (± 0.011)
8	0.946	0.923 (± 0.033)
9	0.987	0.952 (± 0.021)
10	0.976	0.959 (± 0.015)
11	0.843	0.779 (± 0.070)
12	0.981	0.963 (± 0.015)
13	0.82	0.534 (± 0.106)
14	0.936	0.883 (± 0.051)

Table 6 shows the contribution of each variable within the selected models (model contribution in Maxent). The seedling tree presence (SED) and aspect (ASP) variables had the highest contributions in the models, followed by the SIZ and BUF variables. Stand density (DEN) and Araucaria density (ARA) also had an important role. The contributions of canopy composition (COM) and shrub coverage (BRU) were low, and these variables were not retained for more than one-third of the models.

*Management implications*

The study of regeneration conditions is essential for forestry management at the landscape level to preserve *A. araucana* cultural and social importance (Donoso and Lara, 1995, Agesen, 1998, Donoso, 2006). The field inventory allowed the study area to be generalized as an area with low regeneration conditions. Although seedling establishment may be limited by masting, seed

**Table 6.** Contribution (percentage) of each variable within the selected models.

Model	DEN	ARA	COM	BRU	DIF	SED	BUF	COMP	SIZ	SLO	ASP	POS
1	*	*	0	*	*	*****	*	*	*	0	***	*
2	*	*	-	0	*	*****	-	*	**	-	***	*
3	-	-	0	0	*	*****	*	*	-	*	***	*
4	-	*	*	*	-	*****	-	-	**	*	***	*
5	*	*	*	*	*	*****	*	-	-	*	-	-
6	*	-	*	0	0	*****	*	-	**	*	-	-
7	*	*	-	-	-	*****	*	-	*	*	***	*
8	-	*	0	-	*	-	**	*	***	*	*****	-
9	*	-	*	-	-	*****	-	*	**	-	***	*
10	*	-	*	-	-	*****	-	*	**	-	***	*
11	-	**	*	*	-	-	*****	*	-	-	-	**
12	-	-	0	-	*	*****	*	-	-	-	***	*
13	*****	*****	*	-	*	-	-	**	-	-	-	-
14	*	-	-	-	-	-	**	-	***	-	*****	*

\*, < 10%; \*\*, 10-20%; \*\*\*, 20-30%; \*\*\*\*, 30-40%; \*\*\*\*\*, 40-50%; \*\*\*\*\*, 50-60%; \*\*\*\*\*, 60-70%; \*\*\*\*\*, 70-80%; \*\*\*\*\*, 80-90%; 0, variable proposed but not retained in the model; and -, variable not proposed in the model.

REG: *Araucaria* regeneration; DEN: stand density; ARA: *Araucaria* density; COM: canopy composition; BRU: shrub coverage; DIF: difficulty to seedling establishment; SED: presence of *A. araucana* trees with maximum seed production; BUF: seedling number over surrounding sample units; COMP: *Nothofagus* regeneration; SIZ: seedling size, SLO: slope, ASP: aspect; and POS: topographical position.

predation and understory competition (Donoso, 2008, Mujica *et al.*, 2009, Sanguinetti and Kitzberger, 2009, Sanguinetti and Kitzberger, 2010), stand 2 presented serious problems for seed production due to the presence of less than 25 *A. araucana* trees per hectare. In addition, more of these trees did not obtain a suitable seed production because they were not identified as female trees in the 50-100-cm diameter class according to previous studies (Enright *et al.*, 1999; Drake, 2004).

The absence of disturbances in the study area is the main factor controlling the dynamics of the *Araucaria/Nothofagus* forests (Schmidt, 1977, Schmidt *et al.*, 1980, Veblen, 1982, Donoso, 1993). *A. araucana* seedling establishment can be influenced by fast-growing species and dense understory. In the study area, the presence of *Nothofagus* seedlings with more than 50 cm of height was related to the absence or a low level of *A. araucana* regeneration. Although the above trends can be explained by different seed dispersion conditions, angiosperm dominance and gymnosperm persistence (Bond, 1989), the high competition of *Nothofagus* seedlings and *Chusquea* understory may be explained by the limited *A. araucana* seedling establishment (Mujica *et al.*, 2009) and by the isolated stands (Finckh, 1996; Rechene *et al.*, 2003).

Despite the many factors that influence the *A. araucana* regeneration condition (Veblen, 1982; Donoso, 1993, 2006), the first seven components (PCA) explained 82.4% of the variance in the thirteen variables. The dimensionality of the observations was reduced from thirteen to seven variables showing a 17.6% variance reduction. The multivariable statistical analysis was designed based on the PCA results. Model 7 was the best compromise between model goodness and model size (coefficient of determination, Durbin-Watson statistic, and Akaike information criterion) among the thirteen models tested. Although some authors have recommended the use of different AIC methods based on the information theory (Burnham

and Anderson, 2002), the ranking of the selection methods in the present study contradicted the AIC methods but was similar to other regression analysis studies (Maggini *et al.*, 2006). AIC is too permissive in providing the lower bound for the set of adequate models (Kuha, 2004).

Alternatives to regression analysis have been developed in recent years based on models where variables do not need to be properly selected but are given a decreasing weight according to their influence on the model. Several methods, such as GARP or Maxent models, can predict natural regeneration based on random field values and the spatial distribution of the regeneration. The differences between the GARP and Maxent models can be observed in their potential distribution results. While Maxent models produce more detailed fine-grained predictions and are additive, GARP models tend to produce overpredictions (Phillips *et al.*, 2006; Baldwin, 2009; Costa *et al.*, 2010). The general spatial trend significantly improved model performance and stability. According to the classification of Swets (1988) (0.5–0.7 poor discrimination ability, 0.7–0.9 reasonable discrimination, and 0.9–1 very good discrimination), the area under the curve (AUC) obtained in the different models showed very good discrimination ability.

There is not an objective rule concerning the choice of which variables to limit. A common practice is to choose the most influential variables, such as seedling tree and aspect, to predict the spatial patterns of regeneration. The variables in model 7 were limited due to their statistical results ( $R^2$ , Durbin-Watson statistic, AIC and AUC). Seedling tree presence represented the main environmental variable, with a model contribution (as defined in the Maxent model) reaching 80% in some cases. The aspect variable was also important. The SIZ variable can be used as a surrogate for the stand structure as information for the competition level for both the ground and canopy layers. *A. araucana* seedlings with more than 15 years were present in areas

with less competition, which were areas where *Araucaria* seedlings colonized more successfully. SIZ variable contribution was particularly important (up to 10%) for models 2, 4, 6, 8, 9, 10 and 14. Schwarz *et al.* (2003) provided empirical evidence that factors, such as competition or seed dispersal, have an important role in determining spatial patterns of regeneration for many tree species in forested landscapes. In the present study, this neighboring factor was expressed by the BUF variable, which mainly accounted for plot size limitation, gap distribution and surrounding seedling tree presence. The contribution of the BUF variable was relatively low compared with the other variables (up to 10% for models 8, 11 and 14), but the contribution of the BUF variable was still significant. In the study area, a good level of *A. araucana* regeneration was confined to aggregated sample units, and the regeneration distribution was frequently identified by clumped spatial distribution.

Several authors (Zhang *et al.*, 2005) have recommended the use of the geography information system (GIS) and weighted regression to show a potential trend when ecological and/or statistical evidence of spatial autocorrelation is found. Technical recommendations can be identified with the help of GIS by the analysis of the following parameters: current regeneration condition, seedling tree presence, *A. araucana* density and slope (Figure 2). Protection from disturbances existed in clumps with high or medium regeneration levels and a slope greater than 15%. Treatments were not recommended in areas with a mean slope greater than 15%, poor regeneration and a lack of seeding trees due to high costs (Table 7). In clumps with a mean slope of less than 15%, it was suggested to remove trees in the old-growth stage in a series of *Nothofagus* cuttings to establish a cohort of seeding trees in an advanced regeneration stage (shelterwood method). The shelterwood method is related to improvement cutting or regenerative cutting. The former cutting method was suggested to

remove the old trees and create favorable conditions for *A. araucana* seedling establishment. Improvement cuttings are aimed primarily at controlling the stand growth by adjusting stand density and/or canopy composition. Finally, in poor regeneration areas with a mean slope less than 15%, the technical recommendation depended on the seeding tree presence. When seeding trees existed, *Nothofagus* regenerative cutting and brushing out was suggested. If seeding trees were absent, clearing and clumping enrichment through plantation was suggested. Thus, there was an *A. araucana* plantation in the study area with an 85% successful survival rate in living plants after 23 years. Finally, some diseases and blights can affect *A. araucana* stands (Valenzuela *et al.*, 1997), so phytosanitary treatments should be implemented to improve the regeneration condition.

This study considered *A. araucana* sustainable management and not the complete cutting of *A. araucana*. The ecophysiological approach for *A. araucana* regeneration has the advantage of simplicity and is easier to express as a mathematical function, which is used in a GIS for the decision-making process in forest management. Silvicultural actions can be conducted to improve the dynamic succession taking into account the current regeneration condition. On many private lands, the species harvested for sustainable forest management can provide an income to improve *A. araucana* landscapes (Drake, 2004).

The future sustainability of *A. araucana* forests may require comprehensive action at the landscape level. Given the current budgetary constraints, measures for landscape conservation acquire a prominent role. An ecophysiological approach for *A. araucana* regeneration management may assure the sustainability management of some emblematic stands. The final model should depend on the available data and on the specific study aims. Statistical evaluation and spatial prediction are useful in the search for the best modeling

approach. GIS increases the flexibility of this methodology, thus enabling an extrapolation to other territories. However, one should not forget to consider the particular characteristics of each area. Therefore, the use of an ecophysiological approach should aid managers in developing different management strategies for native forest conservation.

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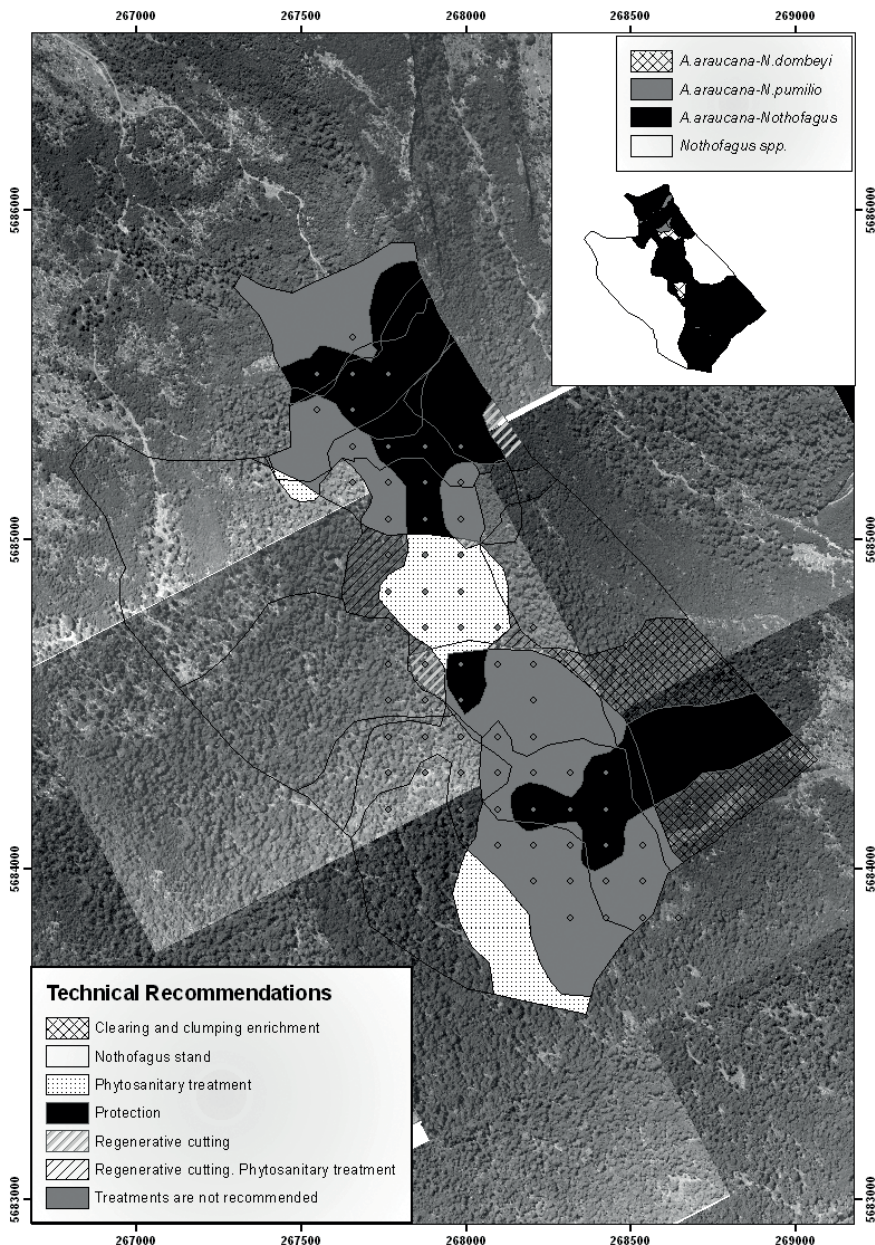


Figure 2. Technical recommendations based on the geostatistical methods (meters).

**Table 7.** Technical recommendations based on ecophysiological variables.

Regeneration condition	Slope (%)	Seedling tree presence (50-100 cm in dbh)	A. araucana density	Technical recommendations
Good	Indifferent	Indifferent	Indifferent	Protection
Medium	> 15	Yes	Low or Medium	Protection
Medium	> 15	No	Low	Protection
Medium	> 15	No	Medium or High	Protection
Medium	< 15	No	Low	Regenerative cutting ( <i>Nothofagus</i> )
Medium	< 15	No	Medium	Improvement cutting ( <i>Nothofagus</i> ) Phytosanitary treatment ( <i>A. araucana</i> )
Medium	< 15	Yes	Medium	Phytosanitary treatment ( <i>A. araucana</i> )
Poor or None	> 15	No	Indifferent	Treatments are not recommended
Poor or None	> 15	Yes	Low or Medium	Treatments are not recommended
Poor or None	< 15	No	Low	Clearing and clumping enrichment
Poor or None	< 15	No	Medium	Regenerative cutting ( <i>Nothofagus</i> ) Phytosanitary treatment ( <i>A. araucana</i> )
Poor or None	< 15	No	High	Phytosanitary treatment ( <i>A. araucana</i> )
Poor or None	< 15	Yes	Low	Clearing and clumping enrichment
Poor or None	< 15	Yes	Medium	Regenerative cutting ( <i>Nothofagus</i> )
Poor or None	< 15	Yes	High	Phytosanitary treatment ( <i>A. araucana</i> )

Good regeneration level: > 700 seedlings per hectare; Medium regeneration level: between 400 and 700 seedlings per hectare; Poor regeneration level: < 400 seedlings per hectare. Low stand density: < 50 trees ha<sup>-1</sup>; Medium stand density: between 50 and 100 trees ha<sup>-1</sup>; and High stand density: > 100 trees ha<sup>-1</sup>.

### Resumen

**F. Drake, J.R. Molina y M.Á. Herrera. 2012. Una aproximación ecofisiográfica para el manejo de la regeneración de *Araucaria araucana*. Cien. Inv. Agr. 39(1): 159-176.** Los bosques templados chilenos están dominados por especies del género *Nothofagus* y *Araucaria araucana*. El valor cultural y los servicios y bienes ambientales asociados a la presencia de *A. araucana* juegan un papel esencial en la conservación del bosque nativo, a pesar de que no se encuentra bajo riesgo inminente de extinción. Su conservación está sujeta a un gran debate debido a su prohibición de manejo y a la limitada regeneración existente en algunas áreas debido a su bajo crecimiento, la competencia existente en el dosel arbóreo y la presencia de un denso sotobosque. Todas estas características hacen a esta especie muy susceptible a perturbaciones como el ganado doméstico, la fauna silvestre y la presión humana. En este sentido, el manejo sustentable de los bosques de *A. araucana* requiere de la evaluación del estado de su regeneración. El objetivo de esta investigación fue aplicar técnicas de análisis multivariante con objeto de encontrar los parámetros más revelantes en la regeneración de *A. araucana*. Las técnicas utilizadas fueron el Análisis de Componentes Principales (ACP), el modelo de regresión mediante la eliminación de variables y el modelo Maxent. Mediante el análisis ACP fue posible reducir el modelo a seis variables con una explicación de la varianza superior al 75%. El modelo de regresión multivariante, conocido como "Modelo 7", alcanzó el mayor resultado a tenor de la relación existente entre el coeficiente de determinación y el tamaño del modelo (número de variables independientes). La incorporación del concepto de máxima entropía mejoró la representatividad del modelo. La integración de las diferentes metodologías estadísticas y los Sistemas de Información Geográfica (SIG) permitió obtener una predicción espacial. Los SIG incrementan la flexibilidad del modelo propuesto permitiendo su extrapolación a otras áreas bajo diferentes escalas espaciales y temporales.

**Palabras clave:** Árbol semillero, especies de *Nothofagus*, establecimiento de plántulas, modelo Maxent.

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